# The Reducibility Method for Call-By-Value System ${\cal F}$

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# 1 Descriptions

# 1.1 Types

$$T ::= X \mid T_1 \to T_2 \mid \Pi X.T$$

#### 1.2 Terms

$$t ::= x \mid (t_1 \ t_2) \mid \lambda x.t \mid c$$

### 1.3 Well-formed Context $\Gamma$

Let FV(T) denote all the free variables of the type T,  $dom(\Gamma)$  denote the type and term variables in the context  $\Gamma$ .

$$\Gamma ::= \cdot \mid \Gamma, X : type \mid \Gamma, x : T.$$

 $\emptyset \ OK$ 

$$\frac{\Gamma\ OK}{\Gamma, X: type\ OK}$$

$$\frac{\Gamma \ OK \ FV(T) \subseteq dom(\Gamma)}{\Gamma, x: T \ OK}$$

A context  $\Gamma$  is well-formed iff  $\Gamma$  OK. We assume all contexts in this note are well-formed.

# 1.4 Type assignment rules.

$$\frac{\Gamma(x) = T}{\Gamma \vdash x : T} \ T_{-}Var$$

$$\frac{\Gamma \vdash t_1: T_2 \rightarrow T_1 \quad \Gamma \vdash t_2: T_2}{\Gamma \vdash t_1 \ t_2: T_1} \ T\_App$$

$$\frac{\Gamma, x: T_1 \ \vdash t: T_2}{\Gamma \vdash \lambda x.t: T_1 \rightarrow T_2} \ T\_Lam$$

$$\frac{\Gamma, X: type \ \vdash t: T}{\Gamma \vdash t: \Pi X.T} \ \textit{Univ\_Abs}$$

$$\frac{\Gamma \vdash t : \Pi X.T}{\Gamma \vdash t : [U/X]T} \ \textit{Univ\_App}$$

#### 1.5 Reduction rules

Left-to-right, call-by-value reduction.

#### Contexts

$$C ::= * | v C | C t$$

#### Values

$$v ::= \lambda x.t \mid i$$

#### Inactive terms

$$i ::= c \mid (i \ v)$$

#### Reductions

$$C[(\lambda x.t) \ v] \leadsto C[[v/x]t]$$

# 2 Reducibility

### 2.1 Reducibility Candidates

Let N be the set of terms which have a normal form under our reduction setting. Let I be the set of all inactive terms.

**Definition** A reducibility candidate R is a set of terms that satisfies the following conditions:

**CR 1** If  $t \in R$ , then  $t \in N$  and closed.

**CR 2** If  $t \in R$  and  $t \rightsquigarrow t'$ , then  $t' \in R$ .

**CR 3** If t is a closed term,  $t \rightsquigarrow t'$  and  $t' \in R$ , then  $t \in R$ .

**CR** 4  $I \subseteq R$ .

**Fact** Let  $\Re$  be the set of all reducibility candidates.  $\Re$  is a non-empty set.

To show  $\Re$  is non-empty, we will just show all the closed term  $t \in N$  is a reducibility candidate, which is obvious from the definition of reducibility candidate.

### 2.2 Reducibility Sets

As Girard said: Among all the candidates, the  $true\ reducibility\ candidate$  for T is to be found. Here we use  $reducibility\ set$  to find the right candidate.

**Definition** Let  $\phi$  be a finite function:  $FV(T) \to \Re$ . Eg.  $\phi(X) \in \Re$ . If  $dom(\phi) = \{X_1, X_2, ... X_n\}$ , then we usually write  $\phi$  as  $[R_1/X_1, ... R_n/X_n]$ .

The reducibility set  $RED_T\phi$  is defined inductively as follows.

 $t \in RED_X \phi$  iff  $t \in \phi(X)$ .

 $t \in RED_{T_1 \to T_2} \phi$  iff  $(\forall u \in RED_{T_1} \phi \Rightarrow (t \ u) \in RED_{T_2} \phi)$ .

 $t \in RED_{\Pi Y.W} \phi \text{ iff } \forall R \in \Re, t \in RED_W \phi[R/Y].$ 

### 2.3 Reducibility Sets as Reducibility Candidates

Now we can show that our reducibility sets are indeed reducibility candidates.

**CR** 1 If  $t \in RED_T \phi$ , then  $t \in N$  and closed.

**CR 2** If  $t \in RED_T \phi$  and  $t \leadsto t'$ , then  $t' \in RED_T \phi$ .

**CR 3** If t is a closed term,  $t \rightsquigarrow t'$  and  $t' \in RED_T \phi$ , then  $t \in RED_T \phi$ .

**CR** 4  $I \subseteq RED_T \phi$ .

**Proof** By induction on the structure of T.

Base Case: T = X

CR 1-CR 4 Obvious from the definition.

Step Case:  $T = T_1 \rightarrow T_2$ 

**CR 1** Assume  $t \in RED_{T_1 \to T_2} \phi$ . By IH(CR 4),  $RED_{T_1} \phi$  is non-empty. So we can take arbitrary  $u \in RED_{T_1} \phi$ . By definition,  $(t \ u) \in RED_{T_2} \phi$ . By IH(CR 1),  $(t \ u) \in N$ ,  $u \in N$ . So  $t \in N$ .

**CR 2** Assume  $t \in RED_{T_1 \to T_2} \phi$  and  $t \leadsto t'$ . Take arbitrary  $u \in RED_{T_1} \phi$ . By definition, we know  $(t \ u) \in RED_{T_2} \phi$ . With our reduction strategy,  $(t \ u) \leadsto (t' \ u)$ . By IH(CR 2),  $(t' \ u) \in RED_{T_2} \phi$ . So by definition of  $RED_{T_1 \to T_2} \phi$ ,  $t' \in RED_{T_1 \to T_2} \phi$ .

**CR** 3 Assume t is closed,  $t \sim t'$  and  $t' \in RED_{T_1 \to T_2} \phi$ . Take arbitrary  $u \in RED_{T_1} \phi$ . By definition, we know  $(t' \ u) \in RED_{T_2} \phi$ . With our reduction strategy,  $(t \ u) \sim (t' \ u)$ . By IH(CR 1), u is closed, thus we know  $(t \ u)$  is closed. By IH(CR 3),  $(t \ u) \in RED_{T_2} \phi$ . So by definition of  $RED_{T_1 \to T_2} \phi$ ,  $t \in RED_{T_1 \to T_2} \phi$ .

CR 4 To show  $I \subseteq RED_{T_1 \to T_2} \phi$ , we need to show for arbitrary  $i \in I, i \in RED_{T_1 \to T_2} \phi$ . By definition of inactive terms, i is already in normal form. Take arbitrary  $u \in RED_{T_1} \phi$ . By IH(CR 1),  $u \in N$  and closed. So  $(i \ u) \stackrel{*}{\sim} (i \ u')$ , where u' is the normal form of u. Thus by definition of inactive terms and IH(CR 4),  $(i \ u') \in I \subseteq RED_{T_2} \phi$ . ( $i \ u$ ) is closed, so by IH(CR 3),  $(i \ u) \in RED_{T_2} \phi$ . So by definition of  $RED_{T_1 \to T_2} \phi$ ,  $i \in RED_{T_1 \to T_2} \phi$ . So  $I \subseteq RED_{T_1 \to T_2} \phi$ .

Step Case:  $T = \Pi X.T$ 

**CR** 1 Assume  $t \in RED_{\Pi X,T}$ . By the fact in section 2.1,  $\Re$  is non-empty. Take a arbitrary reducibility candidate R. By definition,  $t \in RED_T \phi[R/X]$ . By IH(CR 1),  $t \in N$  and closed.

**CR 2** Assume  $t \in RED_{\Pi X,T} \phi$  and  $t \rightsquigarrow t'$ . Consider arbitrary reducibility candidate R. By definition,  $t \in RED_T \phi[R/X]$ . By IH(CR 2),  $t' \in RED_T \phi[R/X]$ . So by definition of  $RED_{\Pi X,T} \phi[R/X]$ ,  $t' \in RED_{\Pi X,T} \phi$ .

CR 3 Assume t is closed,  $t \rightsquigarrow t'$  and  $t' \in RED_{\Pi X.T} \phi$ . Take arbitrary reducibility candidate R. By definition,  $t' \in RED_T \phi[R/X]$ . We know that  $t \rightsquigarrow t'$  and t is closed. So by IH(CR 3),  $t \in RED_T \phi[R/X]$ . So by definition,  $t \in RED_{\Pi X.T} \phi$ .

**CR** 4 We need to show that for arbitrary  $i \in I$ ,  $i \in RED_{\Pi X.T}\phi$ . By definition, we actually need to show for arbitrary  $R \in \Re$ ,  $i \in RED_T\phi[R/X]$ . By IH(CR 4),  $I \subseteq RED_T\phi[R/X]$ . So  $i \in RED_T\phi[R/X]$ . So it's the case.

# 3 Substitution Lemma

Substitution Lemma  $RED_{[V/X]T}\phi = RED_T\phi[RED_V\phi/X].$ 

**Proof** By induction on the structure of T.

Base Case: If T = X. We need to show  $RED_V \phi = RED_X \phi[RED_V \phi/X]$ . By definition,  $RED_X \phi[RED_V \phi/X] = \phi[RED_V \phi/X](X) = RED_V \phi$ . So it is the case.

Step Case: If  $T = \Pi Y.W$ . Then we need to show  $RED_{(\Pi Y.[V/X]W)}\phi = RED_{\Pi Y.W}\phi[RED_V\phi/X]$ . Take arbitrary  $R \in \Re$  and arbitrary  $t \in RED_{(\Pi Y.[V/X]W)}\phi$ . By definition,  $t \in RED_{[V/X]W}\phi[R/Y]$ . By IH,  $RED_{[V/X]W}\phi[R/Y] = RED_W\phi[R/Y, RED_V\phi/X]$ . So  $t \in RED_W\phi[R/Y, RED_V\phi/X]$ . By definition,  $t \in RED_{\Pi Y.W}\phi[RED_V\phi/X]$ .

Now let's prove the other direction. Take arbitrary  $t \in RED_{\Pi Y.W} \phi[RED_V \phi/X]$  and arbitrary  $R \in \Re$ . By definition,  $t \in RED_W \phi[RED_V \phi/X, R/Y]$ . By IH,  $RED_W \phi[RED_V \phi/X, R/Y] = RED_{[V/X]W} \phi[R/Y]$ . So  $t \in RED_{[V/X]W} \phi[R/Y]$ . By definition,  $t \in RED_{\Pi Y.[V/X]W} \phi$ . So it is the case.

Step Case: If  $T = T_1 \to T_2$ . Then we need to show  $RED_{([V/X]T_1 \to [V/X]T_2)}\phi = RED_{(T_1 \to T_2)}\phi[RED_V\phi/X]$ . Take arbitrary  $u \in RED_{([V/X]T_1)}\phi$  and  $t \in RED_{([V/X]T_1 \to [V/X]T_2)}\phi$ . By definition,  $(t\ u) \in RED_{([V/X]T_2)}\phi$ . By IH,  $RED_{([V/X]T_1)}\phi = RED_{T_1}\phi[RED_V\phi/X]$  and  $RED_{([V/X]T_2)}\phi = RED_{T_2}\phi[RED_V\phi/X]$ . So  $t \in RED_{(T_1 \to T_2)}\phi[RED_V\phi/X]$ . The other direction is similar.

# 4 Reducibility Sets and Type assignment

**Definition** We define the set  $[\Gamma]$  of well-typed substitutions  $(\sigma, \delta)$  as follows:

$$\overline{(\emptyset,\emptyset)\in[.]}$$

$$\begin{split} & (\sigma, \delta) \in [\Gamma] \quad R \in \Re \\ & \overline{(\sigma, \delta \cup \{(X, R)\}) \in [\Gamma, X : type]} \\ & \underline{(\sigma, \delta) \in [\Gamma] \quad FV(T) \subseteq dom(\Gamma) \quad t \in RED_T\delta} \\ & \overline{(\sigma \cup \{(x, t)\}, \delta) \in [\Gamma, x : T]} \end{split}$$

**Theorem** If  $\Gamma \vdash t : T$ , then  $\forall (\sigma, \delta) \in [\Gamma], (\sigma \ t) \in RED_T \delta$ .

**Proof** By induction on the typing derivation of  $\Gamma \vdash t : T$ .

Base Case: The typing derivation looks like:

$$\frac{\Gamma(x) = T}{\Gamma \vdash x : T}$$

Because  $\Gamma(x) = T$  and context is well-formed,  $FV(T) \subseteq dom(\Gamma)$ . By definition of  $(\sigma, \delta) \in [\Gamma]$ , we have  $(x, t) \in \sigma$ , where  $t \in RED_T\delta$ . So  $(\sigma x) = t \in RED_T\delta$ .

**Application Case** The typing derivation looks like:

$$\frac{\Gamma \vdash t_1: (T_2 \rightarrow T_1) \quad \Gamma \vdash t_2: T_2}{\Gamma \vdash t_1 \ t_2: T_1}$$

We need to prove that  $\sigma(t_1 \ t_2) \in RED_{T_1}\delta$ . By IH, for any  $(\sigma, \delta) \in [\Gamma]$ ,  $(\sigma \ t_1) \in RED_{(T_2 \to T_1)}\delta$  and  $(\sigma \ t_2) \in RED_{T_2}\delta$ . By the defintion of  $RED_{(T_2 \to T_1)}\delta$ , we have  $((\sigma t_1)(\sigma t_2)) = \sigma(t_1 \ t_2) \in RED_{T_1}\delta$ .

Lambda abstract Case The typing derivation looks like:

$$\frac{\Gamma, x: T_1 \vdash t: T_2}{\Gamma \vdash \lambda x. t: (T_1 \to T_2)}$$

We need to show any  $(\sigma, \delta) \in [\Gamma]$ , we have  $\sigma(\lambda x.t) = \lambda x.(\sigma \ t) \in RED_{(T_1 \to T_2)}\delta$ . Since  $\lambda x.(\sigma \ t) \in N$  and closed. By definition of  $RED_{(T_1 \to T_2)}\delta$ , we still need to show for arbitrary  $u \in RED_{T_1}\delta$ ,  $((\lambda x.(\sigma \ t)) \ u) \in RED_{T_2}\delta$ . Since u is closed by CR 1, the normal form of u must be a value, which means  $u \stackrel{*}{\leadsto} v$ . So we have  $(\lambda x.(\sigma \ t)) \ u \stackrel{*}{\leadsto} (\lambda x.(\sigma \ t)) \ v$ , and by CR 2,  $v \in RED_{T_1}\delta$ . By definition of call-by-value reduction,  $(\lambda x.(\sigma \ t)) \ v \leadsto \sigma[v/x]t$ . Since  $v \in RED_{T_1}\delta$ , and  $FV(T_1) \subseteq dom(\Gamma)$ , we have  $(\sigma \cup \{(x,v)\}, \delta) \in [\Gamma, x : T_1]$ . By IH,  $(\sigma[v/x]t) \in RED_{T_2}\delta$ . Since  $((\lambda x.(\sigma \ t)) \ u)$  is closed, by CR 3,  $((\lambda x.(\sigma \ t)) \ u) \in RED_{T_2}\delta$ . So by definition of  $RED_{(T_1 \to T_2)}\delta$ ,  $\sigma(\lambda x.t) = \lambda x.(\sigma \ t) \in RED_{(T_1 \to T_2)}\delta$ .

Unviersal abstract Case The typing derivation looks like:

$$\frac{\Gamma, X: type \vdash t: T}{\Gamma \vdash t: \Pi X.T}$$

We need to show  $\sigma(t) \in RED_{\Pi X,T}\delta$ . By definition of  $RED_{\Pi X,T}\delta$ , we just need to show for arbitrary  $R \in \Re$ ,  $\sigma(t) \in RED_T\delta[R/X]$ . By IH, for any  $(\sigma, \delta \cup \{(X,R)\}) \in [\Gamma, X : type]$ ,  $\sigma(t) \in RED_T\delta[R/X]$ . So it is the

Unviersal application Case The typing derivation looks like:

$$\frac{\Gamma \vdash t : \Pi X.T}{\Gamma \vdash t : ([U/X]T)}$$

We need to show  $\sigma(t) \in RED_{([U/X]T)}\delta$ . By substitution lemma,  $RED_{([U/X]T)}\delta = RED_T\delta[RED_U\delta/X]$ . By IH, we know that  $\sigma(t) \in RED_{(\Pi X.T)}\delta$ . By definition, for arbitrary  $R \in \Re$ ,  $\sigma(t) \in RED_T\delta[R/X]$ . We let  $R = RED_U\delta$ . So it is the case.

## 5 Conclusion

So for any closed term t, if  $\Gamma \vdash t : T$ , where  $dom(\Gamma)$  only contains type variables, then  $t \in RED_T\delta$ , and by CR  $1, t \in N$ .