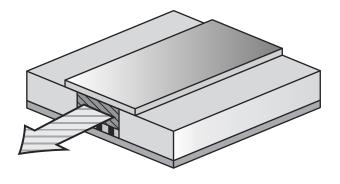
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# Semiconductor Optoelectronic Devices Final Exam

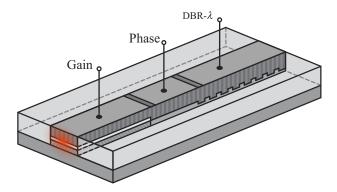


# Exercise 1

### Tunable diode laser

A tunable three-section DBR as in Fig. 1 is constructed to operate near 1.55 µm from InGaAsP/InP materials. Above threshold, the wavelength is tuned by changing the effective indices in the phase and DBR passive sections by injecting current. For no current injection, the operating wavelength is 1.57 µm, the effective index in all sections is 3.4,  $\partial \bar{n}/\partial N = 10^{-21} \, \mathrm{cm}^3$ ,  $\eta_i = 70\%$ , and the carrier lifetime is independent of carrier density and equals 3 ns in all sections. The waveguide cross section in all regions is  $0.2 \times 3 \mu \mathrm{m}^2$ ; the gain, phase shift, and grating regions are each 200 µm long; and the grating has a reflectivity per unit length of 100 cm<sup>-1</sup>. The other mirror is a cleaved facet. Plot the wavelength vs. current to the grating:

- (a) Assume no current is applied to the phase shift region and show at least three axial mode jumps.
- (b) Repeat for a phase shift current sufficient to maintain operation at the grating's Bragg wavelength.
- (c) In (b) also plot the required phase shift current on the opposite axis. Stop plots when any current reaches 50 mA.



**Figure 1:** Schematic of a tunable single-frequency three-section DBR laser. The laser consists of a gain, a phase and a DBR mirror section. Each section has its electrode with which one can apply three different currents.

#### Solution

As a first step we shall calculate the effective length  $L_{eff}$  of the Bragg reflector at the one end,

$$L_{eff} = \frac{1}{2\kappa} \tanh(\kappa L_g) = \frac{0.964}{2 \cdot 100 \,\mathrm{cm}^{-1}} = 48.2 \,\mu\mathrm{m} \,.$$
 (1)

Secondly, now can calculate the spacing of the modes  $d\lambda$ , using the equation below

$$d\lambda = \frac{\lambda_0^2}{2\bar{n}_g L} = \frac{\lambda_0^2}{2\bar{n}_g (L_a + L_p + L_{eff})} = \frac{1.570^2}{2 \cdot 3.8 \cdot (200 + 200 + 48.2)} \to d\lambda = 0.723 \,\text{nm},$$
 (2)

where  $L_a$ ,  $L_p$ ,  $L_{eff}$  are the lengths of gain, passive and Bragg sections. The reader may mentioned a factor of 2 in the denominator which comes from the fact that a full round trip, inside a linear cavity, is the distance that a photon has to propagate starting from the one end and back to it. If we had a ring laser the total round trip would have been equal to its perimeter, thus without the factor of 2. Next, we write the way that the effective index is affected due to the applied current at each electrode,

$$\Delta \bar{n} = \frac{\partial \bar{n}}{\partial N} \frac{\eta_i \tau}{q V} I, \qquad (3)$$

where  $\eta_i$  the quantum efficiency,  $\tau$  the carrier lifetime, q the electron charge, V the physical volume of the section and I the applied current. Using our parameters we get

$$\Delta \bar{n} = 109.2 \cdot I \,, \tag{4}$$

with I in mA units. Now we are ready to proceed and answer the questions.

(a)

For this case we need the shift of the center wavelength of the grating, which moves in direct proportion to the index according to Eq.(4). More specifically,

$$\Delta \lambda_{\rm g} = \lambda_{\rm g} \frac{\Delta \bar{n}_{\rm DBR}}{\bar{n}_{\rm DBR}} = \frac{109.2 \cdot 1570}{3.4} I_{\rm g}(\text{mA}), \qquad (5)$$

where  $\Delta \lambda_g$  is given in nm and  $I_{\rm g}$  the electric current applied to Bragg grating. Also, the cavity modes will shift due to the applied current in the DBR section and this shift is determined via

$$\Delta \lambda_m = \frac{\lambda_m \left( \Delta \bar{n}_a L_a + \Delta \bar{n}_p L_p + \Delta \bar{n}_{\text{DBR}} L_{eff} \right)}{\bar{n}_{ga} L_a + \bar{n}_{gp} L_p + \bar{n}_{g\text{DBR}} L_{eff}} \,. \tag{6}$$

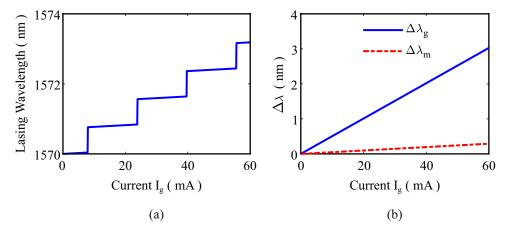
However, in our case we apply current only in the Bragg section, thus the above equation reduces to

$$\Delta \lambda_m = \frac{\lambda_m \Delta \bar{n}_{\text{DBR}} L_{eff}}{\bar{n}_{ga} L_a + \bar{n}_{gp} L_p + \bar{n}_{g\text{DBR}} L_{eff}} = \frac{\lambda_m \Delta \bar{n}_{\text{DBR}} L_{eff}}{3.8 \left( L_a + L_p + L_{eff} \right)}, \quad (7)$$

where  $\lambda_m = 1570 \,\mathrm{nm}$  and  $\Delta n_{\mathrm{DBR}}$  given by Eq.(4). After all the above calculations we can finally find the lasing mode via the equation below

$$\lambda_{\text{lasing}} = \lambda_0 + \Delta \lambda_m + \left[ \frac{\Delta \lambda_g - \Delta \lambda_m}{d\lambda} \right] d\lambda, \qquad (8)$$

where  $\Delta \lambda_m$  is given from Eq.(7) and the square brackets [·] denote rounding of the inside number to the nearest integer. We choose a reasonable range for the grating current, like  $I_{\rm g} \in [0,60]\,\mathrm{mA}$ , and we depict the result of Eq.(8) in Fig. 2(a). As we expected, there is a mode hopping due to the shift of the Bragg grating reflectivity. There is also a slight slope in the "steps" because there is a small shift in the cavity modes as stated in Eq.(7). Furthermore, in Fig. 2(b) we show the way that  $\Delta \lambda_{\rm g}$  and  $\Delta \lambda_{\rm m}$  evolve with the applied current. Mode hopping occurs because the wavelength shift of the Bragg grating is much greater than the shift in the cavity modes. This plot will also help us understand what we shall change in order to achieve tunability without mode hopping asked in question (b).



**Figure 2:** (a) Lasing wavelength of the versus the induced current  $I_g$  revealing the expected mode hoping between the cavity modes. (b) Wavelength shift of Bragg grating (blue) and cavity modes (red) due to the applied current  $I_g$ .

(b)

Now we shall try to cancel this mode hopping by applying a current at the phase section, as depicted in Fig. 1. In order to achieve this we must insert a current  $I_p$  such that the third order in Eq.(8) rounds to zero. In other words, the two lines in Fig. 2(b) have to be identical. In this regime Bragg shifting  $\Delta \lambda_g$  remains the same, as in Eq.(5), while the cavity mode shift takes the form

$$\Delta \lambda_m' = \frac{\lambda_m \left( \Delta \bar{n}_p L_p + \Delta \bar{n}_{\text{DBR}} L_{eff} \right)}{\bar{n}_{ga} L_a + \bar{n}_{gp} L_p + \bar{n}_{g\text{DBR}} L_{eff}}, \tag{9}$$

where  $\Delta \bar{n}_p$  is given by Eq.(4) times a factor  $\alpha$ , which is about to be calculated right now. To calculate this coefficient we have to solve the equation below

$$\frac{\Delta \lambda_{g} - \Delta \lambda'_{m}}{d\lambda} = 0 \to \Delta \lambda_{g} - \Delta \lambda'_{m} = 0 \xrightarrow[5]{(9)}$$

$$I_{p} = \left(\frac{n_{g}}{nL_{p}} \left(L_{eff} + L_{p} + L_{a}\right) - \frac{L_{eff}}{L_{p}}\right) I_{g} \to$$

$$I_{p} = 2.2636 \cdot I_{g} . \tag{10}$$

Hence, the new lasing wavelength is given by the equation

$$\lambda_{\text{lasing}}' = \lambda_0 + \Delta \lambda_m' \,. \tag{11}$$

The resulting graph is given in Fig. 3(a) and reveals that the second current  $I_p$  helps the cavity modes to follow the pace of the Bragg shift. As we stated above the two shifts,  $\Delta \lambda_{\rm g}$  and  $\Delta \lambda'_{m}$ , should be identical and this is shown in Fig. 3(b). Consequently, canceling the difference between the two shifts we can achieve a tunable DBR laser without mode hopping.

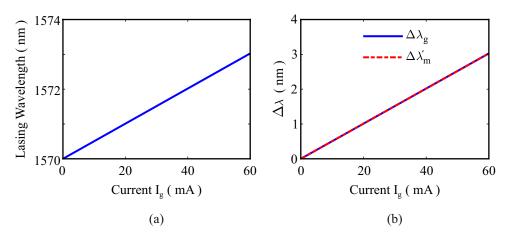
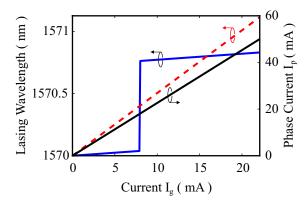


Figure 3: (a) Lasing wavelength of the versus the induced current  $I_g$  revealing linear tunability without mode hopping. (b) Wavelength shift of Bragg grating (blue) and the new shift of cavity modes (red) due to the applied current  $I_p$ . Although  $I_g$  is on x-axis,  $\Delta \lambda'_m$  evolves with  $I_p$  as given in Eq.(10).

(c) At this point we shall plot (a) and (b) questions accompanied by the current  $I_p$ . Fig. 4 depicts the three curves and stops when phase current reaches the 50 mA. The last figure mentions the great significance of the phase current, because except for it the laser would have not be able to emit light in any wavelength.

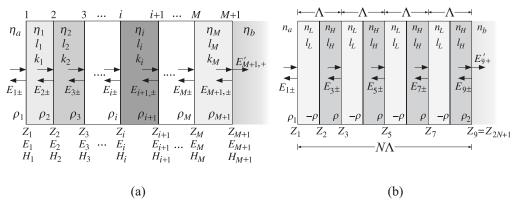


**Figure 4:** The two types of lasing(left axis), mode hopping (blue) and tunable (red), and the phase current  $I_p$  (black-right axis) versus Bragg current  $I_g$ . The graph stops when phase current reaches 50 mA.

# Exercise 2

## Reflectivity of a DBR mirror

Consider a dielectric DBR mirror consisting of  $Al_2O_3$  (n = 1.7) and  $HfO_2$  (n = 2.1) quarter-wave stacks, designed to be centered at 600nm. Estimate the number of periods needed to reach reflectivity values of 99% at 600 nm. For this number of periods, calculate and plot the reflectivity spectrum versus wavelength, neglecting absorption effects, and showing at least two minima on either side of the central maximum.



**Figure 5:** (a) General multilayer dielectric slab structure with different characteristics. (b) Eight-layer, or four-period, dielectric Bragg reflector. In both cases we assumed material with refractive index  $n_a$  from the left side of the mirror and material with  $n_b$  from the right side with infinite width.

#### Solution

Before we proceed to solution, it seems reasonable to develop some of the formulae which are about to be used afterwards. However, we shall clarify that we will not focus on strict proofs because this is not the meaning of this exercise. As it is shown in Fig. 5(a) every dielectric layer has its characteristics such as: characteristic impedance  $Z_i$ , refractive index  $n_i$ , width  $l_i$ , wave-number  $k_i$ , etc. Thus, if we have a stack of dielectric layers one after the other we should define some other coefficients. The elementary reflection coefficients  $\rho_i$  from the left of each interface are defined in terms of the characteristic impedances or refractive indices as:

$$\rho_i = \frac{\eta_i - \eta_{i-1}}{\eta_i + \eta_{i-1}} = \frac{n_{i-1} - n_i}{n_{i-1} + n_i}, \qquad i = 1, 2, 3, \dots, M + 1,$$
(12)

where  $\eta_i = \eta_0/n_i$ , and we use the convention  $n_0 = n_a$  and  $n_{M+1} = n_b$ . We can also write the forward  $E_{i+}$  and the backward  $E_{i-}$  electric fields, but there is no need for this in this exercise. As we know from the applied electromagnetism we can define the reflection responses, assuming propagation from left to right, at every layer as

$$\Gamma_i = \frac{E_{i-}}{E_{i+}} \to \Gamma_i = \frac{\rho_i + \Gamma_{i+1} e^{-2jk_i l_i}}{1 + \rho_i \Gamma_{i+1} e^{-2jk_i l_i}} \qquad i = M, M - 1, \dots, 1,$$
(13)

where  $\Gamma_{M+1}\rho_{M+1}$  and in order to get the second expression we used the transfer, or ABCD, matrices and some algebra. We can also define the impedances  $Z_i = E_i/H_i$  at any layer as

$$Z_{i} = \eta_{i} \frac{Z_{i+1} + j\eta_{i} \tan(k_{i}l_{i})}{\eta_{i} + jZ_{i+1} \tan(k_{i}l_{i})} \qquad i = M, M - 1, \dots, 1,$$
(14)

and initialized by  $Z_{M+1} = \eta_b$ . The objective of all these recursions is to obtain the overall reflection response  $\Gamma_1$  into medium  $\eta_a$ . A very well known technique in microwave technology and transmission lines.

The above process was general and we only need to use these formulae in a specific case where we only have two different materials with refractive indices  $n_H, n_L$  and widths  $\lambda/4$ , respectively. In this special case of  $\lambda/4$  widths the impedances in the center wavelength  $\lambda_0$  take the form

$$Z_1 = \frac{\eta_L^2}{Z_2} = \frac{\eta_L^2}{\eta_H^2/Z_3} = \frac{\eta_L^2}{\eta_H^2} Z_3 = \dots \left(\frac{\eta_L^2}{\eta_H^2}\right)^M Z_{M+1} = \left(\frac{\eta_L^2}{\eta_H^2}\right)^{2N} \eta_b \,, \quad (15)$$

where M the number of layers and N the number of periods. Thus, now we calculate the reflection response at  $\lambda 0$  as

$$\Gamma_{1} = \frac{Z_{1} - \eta_{a}}{Z_{1} + \eta_{a}} = \frac{n_{a} \left(\frac{n_{H}}{n_{L}}\right)^{2N} - n_{b}}{n_{a} \left(\frac{n_{H}}{n_{L}}\right)^{2N} + n_{b}},\tag{16}$$

where it is obvious that for  $N \to \infty$  we get  $\Gamma_1 = 1$  and thus 100% reflectivity. If we had chosen a bilayer with first the layer with high index  $n_H$  we would have gotten  $\Gamma_1 \to -1$ , something that does not make any difference at all. However, we shall mention that  $\Gamma$  is connected with the electric field, so the reflectivity will be given by the relation

$$R = |r|^2 \equiv |\Gamma_1|^2. \tag{17}$$

In our exercise the least reflectivity in  $\lambda_0$  is  $R \geq 0.99$ , so from Eq.(16) we find that

$$M \ge 15$$
 or  $N \ge 30$ , (18)

where we assumed that the reflector is enclosed in air, hence  $n_a = n_b = 1$ . We continue by calculating the recursive relation Eq.(14) for range of different wavelengths around  $\lambda_0 = 600 \, \mathrm{nm}$ . Then we calculate  $\Gamma_1$  from the well-known relation

$$\Gamma_1 = \frac{Z_1(\lambda) - \eta_a}{Z_1(\lambda) + \eta_a}, \tag{19}$$

with  $Z_1(\lambda)$  being a function of wavelength (or frequency). The results of the last equation are depicted in Fig. 6. We have also calculated an extra case of materials  $n_a = 1.5$  and  $n_b = 3.1$  whose results are shown in Fig. 7. The last figure reveals the fact that the reclectivity in the other wavelengths depends a lot on the materials  $\eta_a$  and  $\eta_b$ , something that is purely logical and expected, but we will not discuss it here.

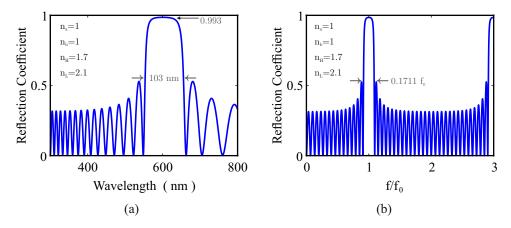
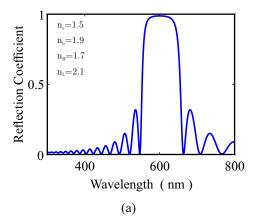


Figure 6: Graphs (a) and (b) depict the Bragg reflector in wavelength and frequency respectively. We assumed the following refractive indices:  $n_a = 1$ ,  $n_b = 1$ ,  $n_L = 1.7$ ,  $n_H = 2.1$ . Also, for reflectivity over 99% we used M = 15 periods and thus obtaining  $R_{max} = 99.3$ %. As it is expected the Bragg mirror is periodic in frequency (and wavelength) and has the same bandwidth. This periodicity is obvious in graph (b), where it could be also shown in (a) but the figure would become even smaller.



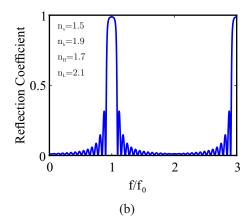


Figure 7: Graphs (a) and (b) depict the Bragg reflector in wavelength and frequency respectively. We assumed the following refractive indices:  $n_a = 1.5$ ,  $n_b = 1.9$ ,  $n_L = 1.7$ ,  $n_H = 2.1$ . Also, for reflectivity over 99% we used M = 15 periods and thus obtaining  $R_{max} = 99.1$ %. As it was expected, reflectivity away from the central wavelength depends also on the materials  $\eta_a$  ans  $\eta_b$  and more specifically on their differences with the bi-layer materials  $\eta_H$  and  $\eta_L$ .

(\* We would like to mention that the above results have also been tested for their validity with online software. For more information check Prof. Sophocles J. Orphanidis.\*)

# Exercise 3

### Gain of a quantum well

Calculate and plot the TE and TM optical gain spectra of a 14 nm GaAs quantum well with infinite barriers, for five different values of sheet carrier densities between  $10^{12}$  and  $10^{13}cm^{-2}$ . For each carrier density, estimate the respective quasi-Fermi levels. Consider only the first two electron, heavyhole and light-hole levels. Produce two plots, one for TE and one for TM polarization. In the plots, make sure to indicate the  $\pm g_{max}(E)$  curves in dashed lines and mark by arrows the relevant optical transitions. Operating temperature T=300K. Ignore line-shape broadening.

#### Solution

If we assume the initial state  $|i\rangle$  in the valence band and the final state  $|f\rangle$ 

in the conduction band we can write them as

$$|i\rangle = u_v(\vec{r}) \frac{\exp(j\vec{k}_h \vec{r})}{\sqrt{L_x L_y}} \phi_n(z)$$
 (20a)

$$|f\rangle = u_c(\vec{r}) \frac{\exp\left(j\vec{k}_e\vec{r}\right)}{\sqrt{L_x L_y}} \psi_m(z) ,$$
 (20b)

where  $u_{v,c}(\vec{r})$  if the periodic Bloch function,  $\vec{k}_{h,e}$  the momentum in the x-y plane,  $\vec{r}$  the 2D position vector and  $\phi_n$ ,  $\psi_m$  the eigenfunctions of the infinite quantum well for holes and electrons, respectively. The last functions are given

$$\phi_n(z) = \sqrt{\frac{2}{L_z}} \sin\left(\frac{n\pi z}{L_z}\right) \tag{21a}$$

$$\psi_m(z) = \sqrt{\frac{2}{L_z}} \sin\left(\frac{m\pi z}{L_z}\right). \tag{21b}$$

These two equations reveals the transitions that can take place in our problem. Due to the orthogonality between them the only transitions we will take care of are those who satisfy n=m. So, there will be two (2) transitions between electrons and heavy holes and 2 (two) transitions for electrons and light holes. We continue by writing the corresponding energies of the above mentioned wavefunctions,

$$E_i = E_{h,n} - \frac{\hbar^2 k_h^2}{2m_h^*} \tag{22a}$$

$$E_f = E_{e,m} + E_g + \frac{\hbar^2 k_e^2}{2m_e^*},$$
 (22b)

where  $E_{h,n}$ ,  $E_{e,m}$  are the energy eigenvalues of the infinite quantum wells

$$E_{h,n} = -\frac{\hbar^2 \pi^2 n^2}{2m_h^* L_z^2} \tag{23a}$$

$$E_{e,m} = \frac{\hbar^2 \pi^2 m^2}{2m_e^* L_z^2} \,, (23b)$$

where  $m_e^* = 0.067m_0$ ,  $m_{hh}^* = 0.45m_0$ ,  $m_{lh}^* = 0.082m_0$  and  $L_z = 14 \,\mathrm{nm}$  the wells width. In the above equations we have taken the zero point energy at the valence band, thus  $E_v = 0$ ,  $E_c = E_g$ , with  $E_g$  being the energy gap between the two band, for our case  $E_g = 1.42 \,\mathrm{eV}$  (GaAs energy bandgap). At this point we define the reduced densities of states in 2 dimensions, which

we are about to use soon, as

$$\rho_{rh}^{2D} = \frac{m_{rh}}{\pi \hbar L_z}, \text{ with } m_{rh} = \frac{m_e m_{hh}}{m_e + m_{hh}}$$
(24a)

$$\rho_{rh}^{2D} = \frac{m_{rh}}{\pi \hbar L_z}, \text{ with } m_{rh} = \frac{m_e m_{hh}}{m_e + m_{hh}}$$

$$\rho_{rl}^{2D} = \frac{m_{rl}}{\pi \hbar L_z}, \text{ with } m_{rl} = \frac{m_e m_{lh}}{m_e + m_{lh}}$$
(24a)

(24c)

where the first one refers to the e-hh and the second to the e-lh transition. Also,  $m_{rh}$  and  $m_{rl}$  are the respective reduced masses. At this point we can write the general function which predicts the gain of the quantum well

$$g(E_{21}) = \sum \sum g_{21}^{\text{sub}}(n_c, n_v) =$$

$$= \sum \sum g_{max}(E_{21})(f_c - f_v)) =$$

$$= \sum \sum \frac{\pi q^2 \hbar}{n \epsilon_0 c m_0^2} \frac{1}{E_{21}} |M_T(E_{21})|^2 \rho_{rj}(E_{21}) \Theta\left(E_{21} - E_{e,m}^{h,n}\right) (f_c - f_v)$$

$$= \sum \sum \frac{\pi q^2 \hbar}{2n \epsilon_0 c m_0^2} \frac{2|M_0|^2}{m_0} \frac{|M_T(E_{21})|^2}{|M_0|^2} \frac{1}{E_{21}} \rho_{rj}(E_{21}) \times$$

$$\times \Theta\left(E_{21} - E_{e,m}^{h,n}\right) (f_c - f_v) , \quad (25)$$

where q the electron charge,  $\hbar$  the reduced Planck's constant, n = 3.52(not to be confused with the quantum number n of the states and energies in Valance band) the refractive index of GaAs,  $c = 3 \cdot 10^8$  the speed of light in vacuum,  $\epsilon_0$  the permitivity of vacuum and  $m_0$  the static mass of electron. Additionally, the second order is a constant of every material and in GaAs is given as  $2|M_0|^2/m_0 \simeq 29 \,\mathrm{eV}$ , while the third order depends on the transition (e-hh or e-lh) and on the polarization of the incoming electromagnetic wave (TE & TM). The values of this parameter are given in Table 1. Also,  $\Theta$  represents the multiple heaviside function and its roots are discussed few lines below. Our first goal is to find the  $g_{max}$  curve which is somewhat the

**Table 1:** Magnitude of  $|M_T|^2/|M_0|^2$  in Quantum well  $(k_t \sim 0)$  for Different Transitions and Polarizations

Polarization	С–НН	C-LH
TE	1/2	1/6
TM	0	2/3

envelope of the possible gains. The only thing left is to find the "roots" of this function, these roots sign the spots where  $g_{max}$  has to change value in a abrupt way, like a step function. These "roots" are gathered in Table 2. Now we have anything we need to calculate the maximum gain for both TE

and TM polarizations. The results of these calculations are depicted in Fig. 8, in graphs (a) and (b) respectively.

The last order of Eq.(25) represents the difference between the two Fermi-

**Table 2:** Energies for transitions C-HH and C-LH (GaAs:  $E_g=1.42~{\rm eV})$ 

Energy "Root"	С–НН	C-LH
(1)	1.453013  eV	1.472146  eV
(2)	1.551708  eV	1.628242  eV

Dirac distributions, which have occurred due to the injected carries N. These carriers (and holes) have been displaced from equilibrium and thus creating two quasi-Fermi levels, one for each band. These two functions have the forms

$$f_c(E_e) = \frac{1}{1 + e^{\frac{E_e - F_c}{kT_B}}}$$
 (26a)

$$f_v(E_h) = \frac{1}{1 + e^{\frac{E_h - F_v}{kT_B}}},$$
 (26b)

where  $F_c$ ,  $F_v$  are the quasi-Fermi energy levels of each band,  $k_B$  the Boltzmann's constant and T the temperature. In our discussion we assume room temperature and thus  $k_BT = 0.0259 \, eV$ . In order to proceed we shall change the variables such that

$$E_e, E_h \longrightarrow E = \frac{\hbar^2 k^2}{2m_r^*},$$
 (27)

and if we further assume that there is no broadening shaping (we have a delta function) we can write

$$E = E_{21} - E_{e,m}^{h,n} = \frac{\hbar^2 k^2}{2m_r^*} \longrightarrow k = \sqrt{\frac{2m_r^*}{\hbar^2} \left( E_{21} - E_{e,m}^{h,n} \right)}.$$
 (28)

After these intermediate steps we shall write the energies  $E_e$ ,  $E_h$  in a more practical (for our calculations) form

$$E_h = E_{h,n} - \frac{\hbar^2 k^2}{2m_h^*} = E_{h,n} - \left(E_{21} - E_{e,m}^{h,n}\right) \frac{m_r^*}{m_h^*}$$
 (29a)

$$E_e = E_g + E_{e,m} + \frac{\hbar^2 k^2}{2m_e^*} = E_g + E_{e,m} + \left(E_{21} - E_{e,m}^{h,n}\right) \frac{m_r^*}{m_e^*}, \quad (29b)$$

where  $E_{h,n}$ ,  $E_{e,m}$  are given by Eq.(23b). Finally, we can write the Fermi distribution we are about to use in our calculations

$$f_v(E_{21}) = \frac{1}{1 + \exp\left(\frac{E_{h,n} - \left(E_{21} - E_{e,m}^{h,n}\right) \frac{m_r^*}{m_h^*} - F_v}{k_B T}\right)}$$
(30a)

$$f_c(E_{21}) = \frac{1}{1 + \exp\left(\frac{E_g + E_{e,m} - \left(E_{21} - E_{e,m}^{h,n}\right) \frac{m_r^*}{m_e^*} - F_c}{k_B T}\right)}.$$
 (30b)

To find quasi-Fermi energy level for conduction band we have to solve this equation

$$N = \int dE \rho_e^{2D} \Theta \left( E - E_{e,m} \right) f_c^m \left( E \right) , \qquad (31)$$

which can be transformed into<sup>1</sup>

$$N = \rho_e^{2D} k_B T \left[ \ln \left( e^{\frac{E_{e,1} - F_c}{k_B T}} + 1 \right) - \frac{E_{e,1} - F_c}{k_B T} + \ln \left( e^{\frac{E_{e,2} - F_c}{k_B T}} + 1 \right) - \frac{E_{e,2} - F_c}{k_B T} \right].$$
(32)

With the same way we can calculate the quasi-Fermi level for the valence band with the only difference that there will be 4 (four) terms (one logarithmic and one fraction in each term) and two (2) density of states (one for heavy and one for light holes). This is due to the fact that we have heavy holes and light holes and we take into account 2 states of the quantum well. More specifically one has to calculate the quasi fermi levels as many times as the number of different injected carriers they want to test. We take 6 (six) different values for carriers N in the range  $N \in [1, 11] \cdot 10^{12} \,\mathrm{cm}^{-2}$  and the results are gathered in Table 3.

The results for different energies  $E_{21}$  (or optical wavelengths) are given in Fig. 8, both for TE and TM modes. We shall mention that TM modes have only C-LH transitions because the coefficient  $|M_T|^2/|M_0|^2$  is zero for the transition C-HH as pointed in Table 1. Furthermore, we shall mention that all the gain curves are between the expected envelope which denotes the maximum gain, staircase like curve over zero, and the maximum losses, staircase like curve under zero. Also, for wavelengths longer than 1.4486 ev ( $E_g = 1.42 \,\mathrm{eV}$ ) the medium is transparent as we expected

<sup>&</sup>lt;sup>1</sup>we used that  $\int \mathrm{d}x \frac{1}{1+\mathrm{e}^x} = -\ln\left(1+\mathrm{e}^{-x}\right)$ 

Table 3: Quasi-Fermi energies for Conduction and Valence bands

$N (P) 10^{12} (cm^{-2})$	$F_c$ (eV)	$F_v$ (eV)
1	1.474	0.050
3	1.536	0.018
5	1.579	0.002
7	1.616	-0.009
9	1.652	-0.018
11	1.688	-0.026

from the theory. The steps in TE modes gain have the following sequence  $E_{hh1}$ ,  $E_{lh1}$ ,  $E_{hh2}$ ,  $E_{lh2}$  while for TM modes  $E_{lh1}$ ,  $E_{lh2}$  (see Table 2). Fig. 8 reveals that there should be a minimum density of carriers in order for the quantum well to produce gain. For example, if  $N = 1 \cdot 10^{12}$  there is only loss for all the wavelengths, while for higher values of N we see that there is a range of wavelengths that can feel gain. Last but not least, maximum gain envelope is projected on every curve showing with that way that if we increase a lot the injected carriers we will reach this delicate curve.

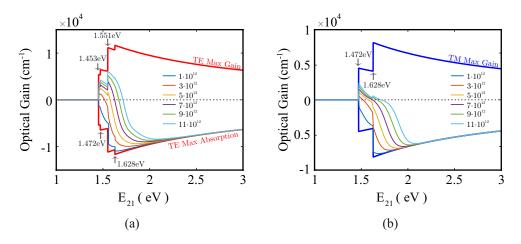


Figure 8: These graphs depict the gain spectra for a GaAs quantum well with width  $L_z = 14 \,\mathrm{nm}$ . (a) Depicts the gain for TE modes and (b) the gain for TM modes. In (a) the maximum gain curve (red) has four steps because of the involvement of both the HH and LH, while in (b) the maximum gain curve (blue) has two steps because only the LH contibute to this transition. The higher the carries density is the bigger range of wavenlengths can be amplified.

(\* Although we can not "debug" or check the consistency of our code with benchmark problems, the obtained results seem to be in logical agreement with similar cases found in bibliography. \*)

## Code

In this section we attach the MATLAB code that has been developed to produce the above mentioned results. For comments, mistakes, clarifications etc. please send a message to dakisfilippos@gmail.com.

```
1 % Filippos Tzimkas-Dakis UoC December 2020
2 % Final Project ----- Optoelectronics and Lasers
3 % Exercise 1 Tunable DBR Laser
4 %%
5 close all
6 clear all
7 clc
8 tic
9
10 lambda0 = 1570*10^-9; % center WL (meters)
         = 3.4;
                         % refractive index
11 n
          = 3.8;
                         % group refractive index (Coldrens Table
12 ng
       2.1 pg 59)
                          % quantum efficiency
13 hi
          = 0.7;
          = 3*10^(-9);
                        % carrier life time (sec)
14 tau
15 WG_cs
          = 0.2*3*10^(-12); % waveguide cross section (m2);
          = 200*10^(-6);
16 L_a
                             % active medium length (m)
          = 200*10^(-6);
17 L_p
                             % passive medium length (m)
         = 200*10^(-6);
                             % Bragg grating length (m)
18 L_g
         = 100/(10^-2);
19 k
                            % reflectivity/(m)
         = (ng -1)/(ng+1); % cleaved facet reflectvity
20 m2
         = 3.40;
                             % reflective index of InGaAsP @ 1.550
21 n H
          = 3.17;
                             % reflective index of InP @ 1.550
22 n_L
         = 1.602*10^(-19); % electron charge Coulomb
23 q
24 dndN
         = 10^(-21);
                             % cm^3
25 dndN
          = dndN *10^{(-6)};
                             % m^3
26
          = (n_H - n_L)/(n_H + n_L); % reflectivity between two
27 % r
     layers
                                  % n*LAMDA = lambda0 !
28 LAMDA = lambda0/(2*n);
    = L_g/LAMDA;
                                  % number of periods
29 m
30 Leff = (1/2/k)*tanh(k*L_g);
                                  % effective WL Coldrens (3.63)
31 \% Leff_ = LAMDA/4/r;
                                  % Approximation for high
     reflectivity
        = (lambda0^2)/(2*ng*(L_a + L_p + Leff)); % mode spacing (
        = dndN * hi*tau/(q*WG_cs*L_g);  % everything from (3.77)
33 Zg
      except from I
                                         % 1/Ampere
        = dndN * hi*tau/(q*WG_cs*L_p);
                                         % same here
35 Zp
```

```
37 Ig = (0:0.0001:0.06); % Bragg current (Ampere)
38 Delta_lg = lambda0*Zg*Ig/n; % Bragg WL shift (nm)
40 % mode shifting due to el. current in Bragg area
42 % New WL of lasing (only bragg current)
43 Delta_lasing1 = Delta_lm + round((Delta_lg - Delta_lm)/dl0)*dl0
45 % --
46 %%
47 Ip
               = Ig*2.2636;
                                   % Phase current (Ampere)
48 Delta_lp
              = lambda0*Zp*Ip/n; % Phase WL shift (nm)
^{49} % mode shifting due to el. current in Bragg and Passive areas
50 Delta_lm2 = (Delta_lp*n*L_p +Delta_lg*n*Leff)/(ng *(L_a +
     L_p + Leff));
51 % New WL of lasing (Bragg and Phase current)
52 Delta_lasing2 = Delta_lm2 + round((Delta_lg - Delta_lm2)/dl0)*
     d10:
53 %----
54 toc
55 %% Plotting results
56 figure
57 subplot (2,2,1)
58 plot(Ig*10^3,(lambda0 + Delta_lasing1)*10^9,'LineWidth',1.5,'
      Color','blue')
s9 xlabel('Current I_g ( mA )')
60 ylabel('Lasing Wavelength ( nm )')
61 title('Only I_g')
62 hold off
64 subplot (2,2,2)
plot(Ig*10^3, Delta_lg*10^9, 'LineWidth', 1.5, 'Color', 'blue')
67 plot(Ig*10^3, Delta_lm*10^9, 'LineWidth', 1.5,...
'LineStyle','-.','Color','r')
69 xlabel('Current I_g ( mA )')
70 ylabel('\Delta _\lambda ( nm )')
71 legend('\Delta\lambda _g','\Delta\lambda_m')
72 title('Only I_g')
73 hold off
74
75 subplot (2,2,3)
76 plot(Ig*10^3,(lambda0 + Delta_lasing2)*10^9,'LineWidth',1.5,'
     Color','blue')
77 xlabel('Current I_g ( mA )')
78 ylabel('Lasing Wavelength ( nm )')
79 title('I_g and I_p')
80 hold off
81
82 subplot (2,2,4)
83 plot(Ig*10^3, Delta_lg*10^9, 'LineWidth', 1.5, 'Color', 'blue')
84 ylabel('\Delta _\lambda ( nm )')
85 xlabel('Current I_g ( mA )')
```

```
86 hold on
87 plot(Ig*10^3, Delta_lm2*10^9, 'LineWidth', 1.5,...
       'LineStyle','-.','Color','r')
89 legend('\Delta\lambda _g','\Delta\lambda_m')
90 title('I_g and I_p')
91 % ax1 = gca; % current axes
92 % ax1_pos = ax1.Position; % position of first axes
93 % ax2 = axes('Position',ax1_pos,...
        'XAxisLocation','top',...
94 %
         'YAxisLocation','right',...
95 %
         'Color', 'none');
96 %
97 % line(Ip*10^3, Delta_lm2*10^9, 'LineWidth', 1.5,...
        'Parent', ax2, 'LineStyle', '-', 'Color', 'r')
99 % xlim([0 max(Ip)*10^3])6.592 "
100 %xlabel('Current I_g ( mA )')
101 %%
102 figure
103 plot(Ig*10^3,(lambda0 + Delta_lasing1)*10^9,'LineWidth',1.5,'
      Color','blue')
104 hold on
plot(Ig*10^3,(lambda0 + Delta_lasing2)*10^9,'LineWidth',1.5,'
       Color', 'red')
106 xlabel('Current I_g ( mA )')
ylabel('Lasing Wavelength ( nm )')
108 hold off
109 %% Plotting both cases (a) and (b) in common graph
110 [~,ind] = min(abs(Ip-0.05));
111 figure
112 subplot(2,2,1)
113 yyaxis left
plot(Ig(1:ind)*10^3,(lambda0 + Delta_lasing1(1:ind))*10^9,...
                            'LineWidth',1.5,'Color','blue')
115
116 hold on
plot(Ig(1:ind)*10^3,(lambda0 + Delta_lasing2(1:ind))*10^9,...
                           'LineWidth', 1.5, 'Color', 'red')
119 xlabel('Current I_g ( mA )')
ylabel('Lasing Wavelength ( nm )')
ylim((lambda0+[0,Delta_lasing2(ind)*1.01])*10^9)
122 xlim([0 Ig(ind)]*10^3)
123 yyaxis right
124 plot(Ig(1:ind)*10^3, Ip(1:ind)*10^3,'LineWidth',1.5,'Color','k'
125 ylabel('Phase Current ( mA )')
126 ylim([0 60])
127 xlabel('Bragg Current I_p ( mA )')
128 plt = gca;
plt.YAxis(1).Color = 'k';
plt.YAxis(2).Color = 'k';
132 % Filippos Tzimkas-Dakis UoC December 2020
133 % Final Homework ----- Optoelectronics and Lasers
134 % Exercise 2 Bragg reflector
135 %%
136 close all
```

```
137 clear all
138 clc
139 tic
141 n_h = 2.1; % High Refractive index
142 n_l = 1.7; % Low Refractive index
143 n_a = 1.5; % Refr. Index from the left
144 n_b = 1.9; % Refr. Index from the right
145 %%
Threshold = 0.99; % reflectivity at center WL
Gamma = 0; % Reflection coefficient Gamma (
      transmission lines, microwaves etc)
                        % it is connected with r, the reflection
      coefficient of the EM fiel
            = Gamma^2; % Power Reflection Coefficient R^2
             = 1; % intialize N
_{151} % Calculating the periodes needed for a specified reflectivity
152 while (R<= Threshold )</pre>
       Gamma = (n_a*(n_h/n_1)^(2*N)-n_b)/(n_a*(n_h/n_1)^(2*N)+n_b)
      R
            = Gamma^2;
154
155
      N = N + 1;
156 end
157 N = N-1; % Total number of periods needed
fprintf('\n The periods needed are %d \n',N)
fprintf(' The Reflectivity is R = \%f \ n', R)
160 %%
          = 3*10^8;
                                    % speed of light (m/s)
161 C
162 \text{ lambda0} = 600*10^-9;
                                    \% center wavelength (meters)
        = 2*pi/lambda0;
                                    % wave-number at center
      wavelength
164 \text{ lambda} = (300:0.1:800)*10^-9; % Wavelength span for sweep
d_lambda = lambda(2) - lambda(1);
         = linspace(0,3/lambda0,2000); % frequebcy span for sweep
          = freq(2) - freq(1);
          = 2*pi./lambda;
                                    % Wavevector in free space
          = (1/n_h)*(lambda0/4); % physical Q-length for High-
     index layer
         = (1/n_1)*(lambda0/4); % physical Q-length for Low-
     index layer
                                    % Wavevector in High index
171 k_h = k*n_h;
     layer
       = k*n_1;
172 k_l
                                    % Wavevector in Low indez layer
          = 377;
173 heta
                                    % free space characteristic
      impedance
174 Z_h = heta/n_h;
                                    % High-index characteristic
     impedance
         = heta/n_1;
175 Z_1
                                    % Low-index characteristic
      impedance
          = 2*N;
176 M
           = 0*ones(M+1,length(lambda));
177 Z
Zf(M+1,1:length(freq)) = (heta/n_b)*ones(1,length(freq));
   Char. Impedance at the Right End (material b) FREQ
```

```
Z(M+1,:) = heta/n_b;
                                                           % Char. Impedance at the Right End (
            material b) WVLG
                     = heta/n_a;
                                                             % Char. Impedance at the Left End (
              material a)
182 % Setting the parameters for the periodic stack
183 for i = M:-2:2
               ZZ(i)
                                  = Z_h;
184
                                   = d_h;
               d(i)
185
               kk(i,:) = k_h;
                                                                         % k-number in High index layer
186
              for wavelengthe sweep
               kkf(i,:) = 2*pi*freq*n_h; % k-number in High index layer
187
              for frequency sweep
188
               ZZ(i-1)
                                       = Z_1;
189
               d(i-1)
                                       = d_1;
190
               kk(i-1,:) = k_1;
                                                                             % k-number in Low index layer
191
              for wavelengthe sweep
               kkf(i-1,:) = 2*pi*freq*n_l; % k-number in Low index layer
              for frequency sweep
193 end
194 %%
195 for q = M+1:-1:2
                 % calculating characteristic impedance for wavelength
196
              sweep
                 Z(q-1,:) = ZZ(q-1)*(Z(q,:) + 1i*ZZ(q-1)*tan(kk(q-1,:)*d(q
197
               -1)))./(ZZ(q-1) + 1i*Z(q,:).*tan(kk(q-1,:)*d(q-1)));
                 \ensuremath{\text{\%}} calculating characteristic impedance for frequency sweep
                 Zf(q-1,:)=ZZ(q-1)*(Zf(q,:) + 1i*ZZ(q-1)*tan(kkf(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*d(q-1,:)*
199
              -1)))./(ZZ(q-1) + 1i*Zf(q,:).*tan(kkf(q-1,:)*d(q-1)));
200 end
201 %%
202 % Reflection coefficient Gamma (transmission lines, microwaves
             etc)
_{203} % it is connected with r, the reflection coefficient of the EM
g = (Z(1,:) - Z_a)./(Z(1,:) + Z_a);
205 % Reflection coefficient Gamma for frequency sweep
206 Gf = (Zf(1,:) - Z_a)./(Zf(1,:) + Z_a);
207 % Power Reflection Coefficient R^2
208 RR = abs(G).^2;
209 % Power Transmission Coefficient T^2
210 \text{ TT} = 1 - RR;
211 % Power Reflection Coefficient R^2, frequency sweep
212 RRf = abs(Gf).^2;
213 % Power Transmission Coefficient T^2, frequency sweep
214 TTf = 1-RRf;
RRf_dB = 10*log10(RRf);
216 RR_dB = 10*log10(RR);
217 % Calculating the 3-dB Bandwidth of the Reflector/Mirror
218 BW_f = fwhm_dakis(RRf')*df*c;
                                                                                                                 % BW in
             frequency
BW_wl = fwhm_dakis(RR')*d_lambda*c/(lambda0^2); % BW in
            wavelength
220
```

```
221 toc
222 %% Plotting Results
223 figure
224 subplot (2,2,1)
plot(lambda*10^9,RR,'LineWidth',1.2)
226 hold on
227 xlim([min(lambda) max(lambda)]*10^9);
228 set(gca,'FontSize',14);
229 ylabel(' Power Reflection Coefficient')
230 xlabel(' Wavelength ( nm ) ')
231 hold off
232
233 subplot (2,2,3)
234 plot(lambda*10^9,RR_dB,'LineWidth',1.2,'Color','blue')
235 xlim([min(lambda) max(lambda)]*10^9);
236 set(gca,'FontSize',14);
237 ylim([-30,0.5])
238 ylabel(' Power Reflection Coef. ( dB ) ')
239 xlabel(' Wavelength ( nm ) ')
240 hold off
241
242 subplot (2,2,2)
plot(freq*lambda0,RRf,'LineWidth',1.2)
244 xlim([min(freq*lambda0) max(freq*lambda0)]);
245 set(gca,'FontSize',14);
ylabel(' Power Reflection Coefficient ')
247 xlabel(' f/f_0 ')
248 hold off
250 subplot (2,2,4)
plot(freq*lambda0,RRf_dB,'LineWidth',1.2,'Color','b')
252 xlim([min(freq*lambda0) max(freq*lambda0)]);
253 ylim([-30 0.5])
254 set(gca,'FontSize',14);
255 ylabel(' Power Reflection Coefficient ')
256 xlabel(' f/f_0 ')
257 hold off
258 %% Online code Check
na = n_a;
260 \text{ nb} = \text{n_b};
261 \text{ nH} = \text{n_h};
_{262} nL = n_l; % refractive indices
263
264 LH = d_h*n_h/lambda0;
265 LL = d_l*n_l/lambda0; % optical thicknesses in units of ?0
266 \text{ la0} = 600;
                          \% central WL in units of nm
_{267} rho = (nH-nL)/(nH+nL);
                                           % reflection coefficient
1a2 = pi*(LL+LH)*1/acos(rho) * 1a0;
                                           % right bandedge
la1 = pi*(LL+LH)*1/acos(-rho) * la0; % left bandedge
270 Dla = la2-la1; % bandwidth
N = M/2;
                   % number of bilayers
_{272} n = [na, repmat([nL,nH], 1, N), nb]; % indices for the layers A
   |H(LH)N|G
```

```
273 L = [repmat([LL,LH], 1, N)]; % lengths of the layers H(
      LH)N
274 la = linspace(100,1000,2001);
                                        % plotting range is 300 ?
      ? ? 800 nm
275 Gla = abs(multidiel(n,L,la/la0)).^2; % reflectance as a
      function of ?
276 figure; plot(la,Gla);
277 %=========
                         _____
278 % Filippos Tzimkas-Dakis UoC December 2020
279 % Final Project ----- Optoelectronics and Lasers
280 % Exercise 3 Quantum Well Gain
281 %%
282 tic
283 close all
284 clear all
285 clc
286
                            % electron mass (kg)
287 mO
       = 9.11*10^(-31);
        = 0.067*m0;
                              % = -mass in conduction band (kg)
288 me
289 \text{ mhh} = 0.45*m0;
                              % hh-mass (kg)
290 \text{ mlh} = 0.082*m0;
                              % lh-mass (kg)
291 mr_h = me*mhh/(me + mhh); % ehh reduced mass (kg)
292 \text{ mr_l} = \text{me*mlh/(me + mlh)}; \% \text{ elh reduced mass (kg)}
293
294 ev2joul = 1.60218e-19;
                             % converts eV to Joule
_{295} joul2ev = 6.242e+18;
                              % converts Joule to eV
297 kT_ = 0.026;
                              % (eV) Room temperature T=300K
298 kTT= kT_*ev2joul;
                              \% (joule) Room temperature T=300K
299 q = 1.6*10^(-19); % electric charge (Coulomb)
300 h = 6.62607015*10^(-34); \% Plancks Constant (J*s)
301 h_bar = h/2/pi;
                              % h-bar (J*s)
302
303 L = 14 *10^{-9};
                             % QWell width (m) <<<<-----
805 \text{ e0} = 8.85418*10^{(-12)};
                             % vacuum permitivity (Farad/m)
306 c = 3*10^8;
                              % speed of light (m/s)
307 n = 3.52;
                              % ref. index GaAs
308
                              % Energy bandgap (ev)
309 Eg_ = 1.42;
310 \text{ Ev}_{-} = 0;
311 Eg = Eg_*ev2joul;
                             % Energy gap (joule)
       = (h_bar^2 *pi^2)/(2*me*L^2)*[1 2^2]; % Carrier QW
312 Ee
      energies (joule)
313 Ee_ = Ee*joul2ev;
                                                   % Carrier QW
      energies (ev)
814 \text{ Ee}_{-} = \text{Ee}_{-} + \text{Eg}_{-};
315 Ehh = - (h_bar^2 *pi^2)/(2*mhh*L^2)*[1 2^2]; \% HeavyHole QW
     energies (joule)
316 Ehh_ = Ehh*joul2ev;
                                                   % HeavyHole QW
     energies (eV)
317 Elh = - (h_bar^2 *pi^2)/(2*mlh*L^2)*[1 2^2]; \% LightHole QW
   energies (joule)
```

```
318 Elh_ = Elh*joul2ev;
                                               % HeavyHole QW
     energies (eV)
const = pi*q^2 *h_bar/(2*n*e0*c*m0); %constant of g_max
321 \text{ Mthh} = [1/2 \ 0];
                             % Mt^2 / M^2 heavy hole [TE TM]
                             % Mt^2 / M^2 light hole [TE TM]
322 Mtlh = [1/6 2/3];
323 \text{ Mmo}_{-} = 29;
                             % (eV) for GaAs 2*(M^2)/m0
324 Mmo = 29*ev2joul;
                             % (Joule) for GaAs 2*(M^2)/m0
326 E21_ehh = Eg + Ee - Ehh;
                             % eHH energy levels (joule)
327 E21_elh = Eg + Ee - Elh;
                             % eLH energy levels (joule)
329 r_ehh = mr_h/(pi*h_bar^2 *L)*[1 1]; % reduced density of states
330 r_elh = mr_l/(pi*h_bar^2 *L)*[1 1]; % reduced density of states
331
332 En21
         = (0:0.001:3)*ev2joul;
                                    % Energy vector (joule)
333
334 % Caltulating Max Gain for TE
335 % gain = 1/meters for 1/cm divide with 100 !!
336 Gmax_ehh_TE = const* 1 * r_ehh*Mmo *Mthh(1); % HH TE
     polarization
337 Gmax_elh_TE = const* 1 * r_elh*Mmo *Mtlh(1); % LH TE
     polarization
338 GMAX_TE = [Gmax_ehh_TE(1) Gmax_elh_TE(1) Gmax_ehh_TE(2)
     Gmax_elh_TE(2)]; % STEPS
339 EE21_TE = [E21_ehh(1) E21_ehh(1) E21_ehh(2) E21_elh(2)];
        % ROOTS
             = min(abs(En21-E21_ehh(1))); % finding the spots of
340 [~,ind1]
       steps
341 [~,ind2]
            = min(abs(En21-E21_elh(1))); %
            = min(abs(En21-E21_ehh(2))); %
342 [~,ind3]
343 [~,ind4]
            = min(abs(En21-E21_elh(2))); %
344 % MaxGain envelope 1/m TE
345 Y_TE(1:ind1-1)
                         = 0;
                                                             %
346 Y_TE(ind1:ind2-1)
                         = GMAX_TE(1);
                                                             %
347 Y_TE(ind2:ind3-1)
                          = GMAX_TE(1) + GMAX_TE(2);
     Creating the Heaveside
Y_TE(ind3:ind4-1) = GMAX_TE(1) + GMAX_TE(2) + GMAX_TE(3);
     % function
349 Y_TE(ind4:length(En21)) = sum(GMAX_TE);
350 Y_TE = (Y_TE/100)./En21; % gain 1/cm
351 %-----
353 % Caltulating Max Gain for TM Polarization ----
354 % gain = 1/meters for 1/cm divide with 100 !!
355 Gmax_elh_TM = const* 1 * r_elh*Mmo *Mtlh(2); % LH TE
    polarization ONLY
356 GMAX_TM = [Gmax_elh_TM(1) Gmax_elh_TM(2)]; % STEPS
              = [E21_elh(1) E21_elh(2)];
                                                % ROOTS
357 EE21_TM
              = min(abs(En21-E21_elh(1))); % finding the spots of
358 [~,ind1]
      steps
359 [",ind2] = min(abs(En21-E21_elh(2))); %
```

```
360 % MaxGain envelope 1/m TM Polarization
_{361} Y_TM(1:ind1-1) = 0;
_{362} Y_TM(ind1:ind2-1) = GM
362 Y_TM(ind1:ind2-1)
                          = GMAX_TM(1);
363 Y_TM(ind2:length(En21)) = GMAX_TM(1) + GMAX_TM(2); % Creating
      the Heaveside
364 Y_TM = (Y_TM/100)./En21; % gain 1/cm
365 % -----
366 toc
368 %% Carriers and Quasi-Fermi levels
369 E21_a = 1 ;
                     % Minimun energy
370 E21_b = 3;
                     % Maximum energy
371 \text{ dE21} = 0.01 ;
                    % step
372 xLimits = [1 3];
                    % eV limits for plots
NP = 1:2:11; % multiplyer of 10^12 carriers and holes
375 \text{ Fc} = 0*NP;
376 \text{ Fv} = 0*NP;
377
378 for i = 1:length(NP)
       % induced carriers and holes
379
       n_car = NP(i)*10^12; % 1/cm<sup>2</sup>
380
       p_car = n_car;
                                 % 1/cm^2
381
382
                  383
       ^-1 m^-2
                = rhoe*ev2joul/10000 ; % 1/J 1/cm^2
       rhoe_cm
385
      \% density of states for the bound state
386
                 = @(x)rhoe_cm*(0-(-log(exp((Ee_{-}(1) -x)/kT_{-})+1)*
      he1
387
      kT_{-} + Ee_{-}(1)-x));
      % density of states for the second state
388
      he2
                 = @(x)rhoe_cm*(0-(-log(exp((Ee_{-}(2)-x)/kT_{-})+1)*
389
      kT_{-} + Ee_{-}(2)-x));
390
             = @(x)n_{car} - (he1(x)+he2(x)); % creates the
391
      function to be solved
      Efn = fzero(h,1);
                                             % calculating Quasi-
392
      Fermi for CONDUCTION band
      Fc(i) = Efn;
                                             % stores the QF of
393
      Conduction band
      rhohh
               = mhh/(pi*h_bar^2);
                                         % Density of states 2D
394
      J^-1 m^-2
       rhohh_eV_cm = rhohh*ev2joul*10^-4; % 1/J 1/cm^2
395
       % density of states for the bound state
396
                 = @(x)rhohh_eV_cm*((log(exp((x-Ehh_(1))/kT_)+1)
397
       jhh1
      *kT_ + Ehh_(1) - x )-0);
       \mbox{\ensuremath{\mbox{\%}}} density of states for the second state
398
                 = Q(x)rhohh_eV_cm*((log(exp((x-Ehh_(2))/kT_)+1)
399
       jhh2
      *kT_ + Ehh_(2) - x )-0);
400
                   = mlh/(pi*h_bar^2);
                                           % Density of states 2
      rholh
401
      D J^-1 m^-2
```

```
% density of states for the bound state
403
       jlh1
                = @(x)rholh_eV_cm*((log(exp((x-Elh_(1))/kT_)+1)
404
       *kT_ + Elh_(1) -x)-0);
405
       \% density of states for the second state
406
       jlh2
                   = @(x)rholh_eV_cm*((log(exp((x-Elh_(2))/kT_)+1)
       *kT_ + Elh_(2)-x)-0);
407
       \mbox{\ensuremath{\mbox{\%}}} creates the function to be solved
408
       j = Q(x)p_{car} - (jhh1(x) + jhh2(x) + jlh1(x) + jlh2(x));
409
410
       Efp
             = fzero(j,-1); % calculating Quasi-Fermi for Valance
411
      band
412
       Fv(i) = Efp;
                             % stores the QF of valence band
       rhorelh = (mr_l/(pi*h_bar^2))/L; % reduced density of
413
      states for electron and HH J^-1 m^-2
       rhorehh = (mr_h/(pi*h_bar^2))/L; % reduced density of
      states for electron and LH J^-1 m^-2
415
       Mlh_TE = 29*ev2joul*m0/(2*6); % se eV->joule 1/6 for TE &
416
      LH
       Mhh_TE = 29*ev2joul*m0/(2*2);
417
       Mlh_TM = (2/3)*29*ev2joul*m0/2 ;% eV->joule, 2/3 for TM &
418
       Mhh_TM = 0;
419
420
       % initializing the functions of gain ------
       gain_e_lh_TE = zeros((E21_b-E21_a)/dE21+1,1);
       gain_e_hh_TE = zeros((E21_b-E21_a)/dE21+1,1);
423
       gain_TE(:,i) = zeros((E21_b-E21_a)/dE21+1,1);
424
425
       gain_e_lh_TM = zeros((E21_b-E21_a)/dE21+1,1);
426
       gain_e_hh_TM = zeros((E21_b-E21_a)/dE21+1,1);
427
       gain_TM(:,i) = zeros((E21_b-E21_a)/dE21+1,1);
428
429
430
                    = zeros((E21_b-E21_a)/dE21+1,1);
       energy
431
       jj = 0;
432
       for E21 = E21_a:dE21:E21_b
433
434
           jj = jj+1;
435
           \% calculating the fermi distributions -----
436
           E11h = Ev_ - (E21-Eg_)*mr_1/mlh;
437
           f1elh = 1/(exp((E1lh-Efp)/kT_)+1);
438
439
           E21h = Eg_+(E21-Eg_)*mr_1/me;
440
           f2elh = 1/(exp((E2lh-Efn)/kT_{-})+1);
441
           \% checking in which are we -----
           if E21 < Ee__(1)-Elh_(1)</pre>
444
               rhorelh_E21 = 0;
445
           elseif ( (E21 >= Ee__(1)-Elh_(1) ) && (E21 < Ee__(2)-
446
      Elh_(2)) )
               rhorelh_E21 = rhorelh;
447
          else
448
```

```
rhorelh_E21 = 2*rhorelh; % when we go to the second
449
       state the
450
                                       % density of states
      doubles its value
          end
          % Caculating the gain in
453
                                      1/cm
454
          gain_e_lh_TE(jj) = (pi^1*q^2*h_bar*Mlh_TE*rhorelh_E21*(
455
      f2elh-f1elh)/(n*e0*c*m0^2*(E21*ev2joul)))/100;
          % TM
456
          gain_e_lh_TM(jj) = (pi^1*q^2*h_bar*Mlh_TM*rhorelh_E21*(
457
      f2elh-f1elh)/(n*e0*c*m0^2*(E21*ev2joul)))/100;
          %-----
458
          % calculating the fermi distributions -----
459
          E1hh = Ev_-(E21-Eg_)*mr_h/mhh;
          f1ehh = 1/(exp((E1hh-Efp)/kT_)+1);
461
          E2hh = Eg_+(E21-Eg_)*mr_h/me;
463
          f2ehh = 1/(exp((E2hh-Efn)/kT_)+1);
464
465
          % checking in which are we -----
466
          if E21 < Ee__(1)-Ehh_(1)</pre>
467
              rhorehh_E21=0;
468
           elseif ( (E21 >= Ee__(1)-Ehh_(1)) && (E21 < Ee__(2)-
469
      Ehh_(2)) )
              rhorehh_E21=rhorehh;
              rhorehh_E21 = 2*rhorehh; % when we go to the second
472
       state the
                                       % density of states
473
      doubles its value
          end
474
475
          % Caculating the gain in
                                      1/cm
476
477
          gain_e_hh_TE(jj) = (pi^1*q^2*h_bar*Mhh_TE*rhorehh_E21*(
      f2ehh-f1ehh)/(n*e0*c*m0^2*(E21*ev2joul)))/100;
479
          gain_e_hh_TM(jj) = (pi^1*q^2*h_bar*Mhh_TM*rhorehh_E21*(
480
      f2ehh-f1ehh)/(n*e0*c*m0^2*(E21*ev2joul)))/100;
          % - -
481
          \% Calculating the TOTAL GAIN \, TE and TM \, in \, 1/cm \,
482
          gain_TE(jj,i) = gain_e_lh_TE(jj) + gain_e_hh_TE(jj);
483
484
          gain_TM(jj,i) = gain_e_lh_TM(jj) + gain_e_hh_TM(jj);
           energy(jj) = E21; % storing for figures
       end
489 end
490
491 %% Prints !
492 fprintf('\n ======== PRINTS ARE HERE ========')
493 fprintf('\n -----\n')
```

```
494 fprintf(' Electron - HEAVY Hole (1) = %f eV \n', E21_ehh(1)*
     joul2ev)
495 fprintf('
                                   (2) = f eV \ n, E21_ehh(2)*
      joul2ev)
496 fprintf('\n Electron - LIGHT Hole (1) = %f eV \n', E21_elh(1)*
      joul2ev)
                                  (2) = f eV \ n', E21_elh(2)*
497 fprintf('
     joul2ev)
498 fprintf('\n -----\n')
499 fprintf('\n -----\n')
500 for i = 1:length(NP)
      fprintf('\n For N = \frac{10}{12}, -> FC = \frac{3}{12}. 3f eV \n', NP(i), Fc
      (i))
       fprintf('
                                   \rightarrow FV = %.3f eV \n', Fv(i))
502
504 fprintf('\n =========\n')
505 %% PLOTS !
506 % TE Plots
507 subplot (2,2,1)
508 for i = 1:length(NP)
      plot(energy,gain_TE(:,i),'LineWidth',1.1);
509
510
       hold on
511 end
plot(En21*joul2ev,Y_TE,'LineWidth',1.5,'Color','r','LineStyle',
plot(En21*joul2ev,-Y_TE,'LineWidth',1.5,'Color','r','LineStyle'
      , '-. ')
plot(En21*joul2ev,0*Y_TE,'Color','k','LineStyle','-')
515 xlim([0.5 3]);
516 title('TE gain spectra')
517 xlabel('E_{21} ( eV )')
518 ylabel('Optical Gain (cm^{-1})')
519 xlim(xLimits)
520 hold off
522 % TM Plots
523 % figure
524 subplot (2,2,2)
525 for i = 1:length(NP)
     plot(energy,gain_TM(:,i),'LineWidth',1.1);
526
527
       hold on
528 end
plot(En21*joul2ev,Y_TM,'LineWidth',1.5,'Color','r','LineStyle',
530 plot(En21*joul2ev,-Y_TM,'LineWidth',1.5,'Color','r','LineStyle'
      , '-.')
plot(En21*joul2ev,0*Y_TM,'Color','k','LineStyle','-')
532 xlim([0.5 3]);
533 title('TM gain spectra')
534 xlabel('E_{21} ( eV )')
535 ylabel('Optical Gain (cm^{-1})')
536 xlim(xLimits)
537 hold off
538 %===
```