

# The effect of increasing CO<sub>2</sub> on the terrestrial carbon cycle

David Schimel<sup>1\*</sup>, Britton Stephens<sup>2</sup> and Joshua B. Fisher<sup>1</sup>

<sup>1</sup> Jet Propulsion Laboratory California Institute of Technology Pasadena CA, 91011 <sup>2</sup> National Center for Atmospheric Research Boulder, Colorado 80301

Submitted to Proceedings of the National Academy of Sciences of the United States of America

**Feedbacks from the terrestrial carbon cycle significantly affect future climate change. The CO<sub>2</sub> concentration dependence of global terrestrial carbon storage is one of the largest and most uncertain feedbacks. Theory predicts the CO<sub>2</sub> effect should have a maximum in the tropics. However, a large tropical sink is contradicted by analyses of atmospheric CO<sub>2</sub> gradients. We integrate a range of observational and modeling evidence showing, in contrast to previous inferences, support for a tropical sink. To be consistent with global flux constraints, inventories require large uptake in tropical forests and terrestrial models require large CO<sub>2</sub>-driven uptake. These convergent estimates are in agreement with the latitudinal fluxes favored by a validated subset of atmospheric inverse models. We estimate a tropical-plus-southern CO<sub>2</sub> effect of  $-1.4 \pm 0.4$  Pg C y<sup>-1</sup> and a global effect of  $-2.5$  Pg y<sup>-1</sup>  $\pm$   $0.6$  Pg y<sup>-1</sup>, supporting a strong feedback from terrestrial carbon to CO<sub>2</sub> and climate.**

climate feedback | carbon budget | tropics | atmospheric transport

## Main Text:

In projections of future climate, the carbon cycle is second only to physical climate sensitivity itself in contributing uncertainty (1). Earth system model uncertainty has increased as more mechanisms have been incorporated into a growing number of increasingly sophisticated models. Terrestrial ecosystem feedbacks to atmospheric CO<sub>2</sub> concentration result from two mechanisms, direct effects of CO<sub>2</sub> on photosynthesis, and effects of climate change on photosynthesis, respiration and disturbance (2). The CO<sub>2</sub> effect, used here to describe the effect of increasing atmospheric CO<sub>2</sub> on terrestrial carbon storage by increasing photosynthetic rates, is also known as the  $\beta$  effect (3,4). The effects of CO<sub>2</sub> on carbon uptake occur at the molecular and cellular level, but impact the global carbon cycle.

The CO<sub>2</sub> effect on terrestrial carbon storage is a key potential negative feedback to climate change, and in models of the present, it is the largest carbon cycle feedback (5,6). In simulations of the next century, the CO<sub>2</sub> effect is four times larger than the climate effect on terrestrial carbon storage, and twice as uncertain (4). Land use also creates large fluxes but these are not driven by CO<sub>2</sub> or climate directly and so are not feedbacks. In models of the future, the biosphere operates as a net sink, reducing the climate impact of fossil fuel and deforestation emissions, until positive feedbacks from climate change (reduced productivity, increased respiration, or dieback: (7)) and land use emissions exceed the CO<sub>2</sub> effect. The magnitude of this negative feedback is crucial to simulating future climate, but observational constraints on the CO<sub>2</sub> effect are limited, especially at the global scale. The effects of CO<sub>2</sub> are known mainly from small-scale experimental studies, ranging from single-leaf experiments through to ecosystem-scale experiments with a spatial scale of 100s of meters. (8). Models must then be used to extrapolate these effects, first to the ecosystem scale, and then globally (9).

Photosynthesis increases with increasing CO<sub>2</sub> following a Michaelis-Menton curve, and this effect grows stronger at higher temperatures implying, all else equal, larger effects in warmer climates (10, 11) especially in the tropics. Many factors control the relationship between increased photosynthetic rate and carbon

storage, including how fixed carbon is allocated to plant tissues with different residence times, the development of progressive nitrogen limitation, interactions with water or light limitation and many other biological responses (12). Theory and experiments agree in suggesting a CO<sub>2</sub>-driven net sink that should be roughly proportional to overall productivity (13) leading to a large sink in the tropics (11).

## Results

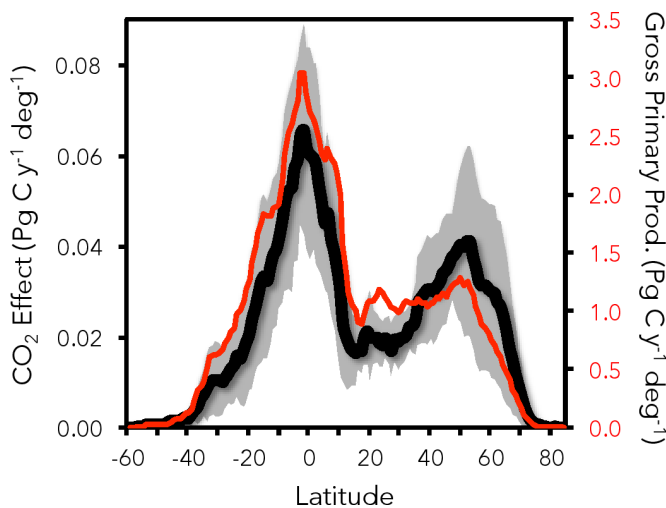
We develop a new framework for evaluating diverse estimates of terrestrial carbon feedbacks within the context of the global mass balance, and specifically focus on the impact of increasing CO<sub>2</sub> on terrestrial carbon uptake. The best-known terms in the global carbon cycle are the atmospheric concentration change, measured directly, the fossil plus cement emission rate, known from detailed statistical data, and ocean uptake, constrained by models and observations (6). The carbon budget constrains the possible value of the net terrestrial flux, which results from several gross fluxes of opposing signs (2). The global budget and atmospheric analyses provide estimates of the net flux, while bottom-up inventories and process models can estimate the gross components as well (6).

Comparing bottom-up analyses and net fluxes from atmospheric approaches is surprisingly difficult. Many bottom-up analyses do not include all the gross terms—for example, many forest-based estimates do not include growth in undisturbed forests or changes to soil storage. Top-down analyses have high uncertainty in regional fluxes, and are strongest when global patterns can be compared. Our new framework is a compromise, dividing carbon fluxes up zonally between northern hemisphere extratropical, and tropical plus southern hemisphere extratropical terms. This partitioning allows testing key hypotheses while retaining the

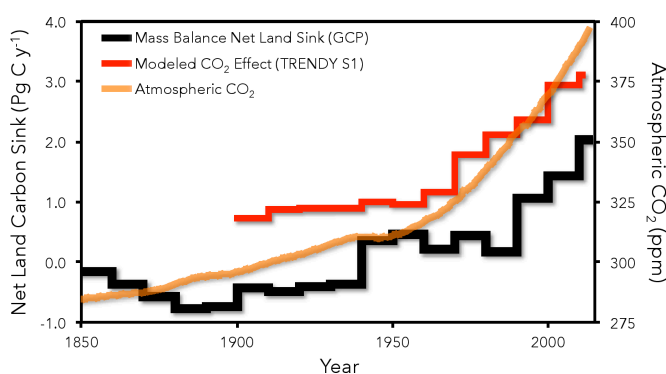
## Significance

**Feedbacks from terrestrial ecosystems to atmospheric CO<sub>2</sub> concentrations contribute the second-largest uncertainty to projections of future climate. These feedbacks, acting over huge regions and long periods of time are extraordinarily difficult to observe and quantify. We developed a framework for evaluating in situ, atmospheric and simulation estimates of the effect of CO<sub>2</sub> on carbon storage, subject to mass balance constraints. Multiple lines of evidence suggest significant tropical uptake for CO<sub>2</sub>, confirming a substantial negative feedback to atmospheric CO<sub>2</sub> and climate. This result provides a consistent explanation of the impacts of CO<sub>2</sub> concentrations on terrestrial carbon storage across the twelve orders of magnitude between plant stomata and the global carbon cycle.**

## Reserved for Publication Footnotes



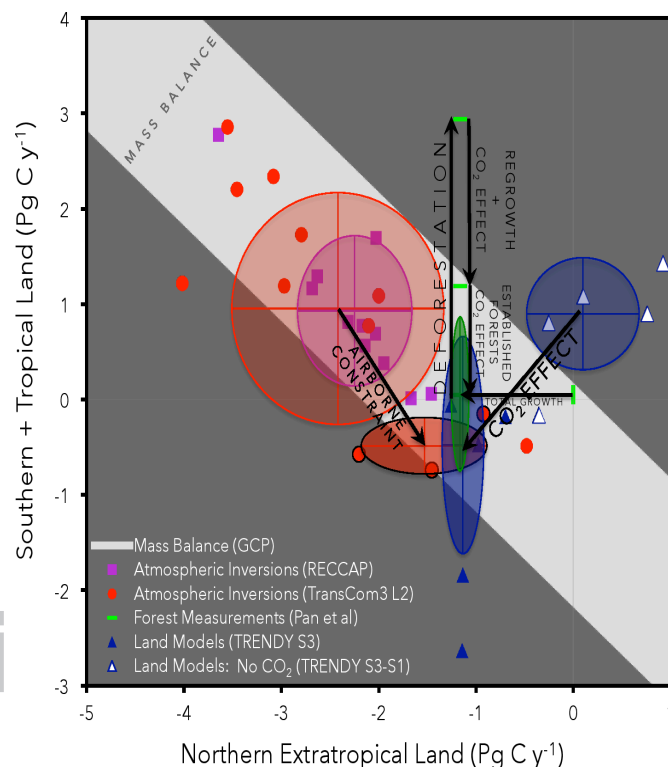
**Fig. 1.** Zonal sum signatures of the  $\text{CO}_2$  effect and GPP averaged over 2000–2010 from nine terrestrial biosphere models (see supplementary material). The gray shaded area shows the multi-model standard deviation around the multi-model mean  $\text{CO}_2$  effect (thick black line). The red line shows the multimodel mean GPP, illustrating the strong correlation between modeled GPP and enhanced storage (net biome production, NBP) due to  $\text{CO}_2$  (the  $\text{CO}_2$  effect): the sign convention is reversed here for clarity (normally uptake is negative).



**Fig. 2.** Similarities in shape between atmospheric  $\text{CO}_2$  concentration changes (orange), the net terrestrial sink from GCP (black) and the modeled  $\text{CO}_2$  effect on net biome production from TRENDY (red) suggest that ecosystem responses to  $\text{CO}_2$  have played a role in increasing land carbon uptake over the last 150 years. The TRENDY and GCP data were averaged to 10-year intervals. Sign convention as in Figure 1.

strong mass balance constraint. We make use of a recent global carbon budget, results from a comprehensive process model intercomparison (called TRENDY: see methods) and results from two atmospheric studies (called TRANSCOM and RECCAP: see methods). While these studies clearly contain information about the magnitude of the  $\text{CO}_2$  effect, this has not been the focus of the literature on these studies. We also analyze related evidence from recent studies using *in situ* data constraining localized ecosystem sensitivity against our global conclusions. Finally, we show that the estimated  $\text{CO}_2$  effect can be incorporated into the global carbon budget with consistency with other, better-known fluxes.

Figure 1 shows zonal results for the 2000s from the TRENDY terrestrial model ensemble. Global terrestrial biosphere models simulate  $\text{CO}_2$  effects at the ecosystem-scale, and show strong tropical uptake and a strong global correlation between the  $\text{CO}_2$  effect and gross photosynthetic rates (Figure 1). The  $\text{CO}_2$  effect is dominated by the tropics, consistent with theory, with significant uptake also in forested northern mid-and-boreal latitudes. Although this first-order effect seems reasonable, these ecosystem



**Fig. 3.** Comparison of independent carbon flux estimates. Atmospheric inverse results from the TransCom 3 Level 2 (T3L2) and RECCAP inter-comparison experiments, show that across models, northern extratropical and tropical+southern fluxes are anticorrelated (since the models are also constrained by the atmospheric growth rate and tight fossil fuel and ocean flux estimates). The light gray region shows the corresponding constraints from GCP for 1992–2004, with the upper edge corresponding to the GCP estimate for the RECCAP period (2001–2004) + 0.9  $\text{Pg C y}^{-1}$  uncertainty, and the lower edge corresponding to the GCP estimate for the T3L2 period (1992–1996) - 0.9  $\text{Pg C y}^{-1}$  uncertainty. Although GCP shows a long-term increasing terrestrial sink (Figure 2), shorter-term fluctuations result in the average sink for 1992–1996 being larger than for 2001–2004 (34). The upper red circle shows the mean and standard deviation of the T3L2 results as reported by Gurney et al. (16), while the lower shows the mean and standard deviation of the three models selected by the additional vertical gradient constraint in Stephens et al. (20). The green ellipse shows the estimates and uncertainty ranges from the Pan et al. (35) global forest inventory-based study for the period 1990–2007. The upward black vector shows the gross deforestation flux from Pan et al. (35), reduced, first, by regrowth (upper downward vector) and then by fluxes in intact forests (lower downward vector, potentially  $\text{CO}_2$  effect fluxes). Pan et al. (35) do not partition northern land components but estimate a net flux there of -1.1  $\text{Pg C y}^{-1}$ , which is indicated by the horizontal black vector. The vertical vectors have been spread slightly for clarity. The solid blue triangles show process model estimates for the period 1990–2007 (TRENDY: see supplementary material) including the simulated  $\text{CO}_2$  effect, climate effect, and land use fluxes. The open blue triangles show the same models excluding the  $\text{CO}_2$  effect flux. Blue ellipses show median and uncertainty with and without the  $\text{CO}_2$  effect, and the diagonal vector shows the additional impact of including  $\text{CO}_2$  effects. T3L2, RECCAP, and TRENDY results are all presented here partitioned by the TransCom/RECCAP regions (see supplementary material). Table 1. The global carbon budget for 1990–2007, updated and inclusive with all terrestrial fluxes attributed to processes or regions. This table combines the GCP 2013 carbon budget with the additional flux estimates derived in this paper. This budget is balanced within 0.3  $\text{Pg C y}^{-1}$ . The values in the lower two rows represent weighted means of Pan et al. (35) and TRENDY estimates (see supplementary material).

models still lack many processes that could ultimately affect the outcome of increasing  $\text{CO}_2$ . The effects of residence time exert a secondary control.

Table 1.

Flux (1990-2007)	Average annual Flux (Pg C y <sup>-1</sup> )	Uncertainty (Pg C y <sup>-1</sup> )	Source
Fossil plus cement	6.9	0.4	GCP
Atmospheric increase	3.6	0.1	GCP
Ocean uptake	-2.3	0.5	GCP
Tropical gross deforestation	2.9	0.5	Pan et al. 2011
Tropical regrowth after deforestation	-1.6	0.5	Pan et al. 2011
Northern extratropical uptake (all processes)	-1.2	0.1	Combined
Tropical+southern CO <sub>2</sub> effect uptake*	-1.4	0.4	Combined

\* This is a conservative estimate as other controls over carbon cycling are changing, including radiation, nutrients and climate.

Hickler et al. (9) showed that global model CO<sub>2</sub> effects agree with experiments in the mid-latitudes and show greater enhancement of uptake in the tropics than the mid-latitudes, and lower in the boreal, as a result of the modeled CO<sub>2</sub>-effect temperature dependence. Despite the theoretical (11, 13) and empirical (14) support for a CO<sub>2</sub> effect, the magnitude and even existence of this effect is uncertain because of apparent conflict between the prediction of theory and empirical findings from atmospheric analyses. Ensemble atmospheric inverse model results conflict with predictions of a tropical CO<sub>2</sub>-driven sink and on average find strong northern and weak tropical uptake (15, 16, 17, 19).

Analyses of atmospheric CO<sub>2</sub> patterns can be used to estimate carbon exchange between terrestrial ecosystems and the atmosphere, using inverse modeling techniques. Inverse models estimate net carbon exchange, or the balance resulting from land use emissions and uptake in regrowing and established forests. This latter term, uptake by established forests, may be the strongest signal of CO<sub>2</sub> effects, as it isolates the CO<sub>2</sub> effect in carbon uptake from that in regrowth. In 1990, as the likely physiological correlation between storage and photosynthesis, or gross primary productivity (GPP), was being established, Tans et al. (15) argued that atmospheric CO<sub>2</sub> signals could not be explained by a flux proportional to productivity (the CO<sub>2</sub> effect), and were best explained by a strong sink in the northern hemisphere mid-latitudes, in effect falsifying the theoretical predictions of the CO<sub>2</sub> effect. They did note that the uncertainty (at that time) in tropical land use fluxes was so high that their assessment of a tropical sink was inconclusive (15). The combination of sparse observations and rapid vertical mixing make the tropical land "unseen" by the CO<sub>2</sub> network, such that tropical fluxes are estimated by the inversion systems as the residual from other better constrained regions. Many inverse analyses and inversion ensemble means (16, 18, 19) show tropical net fluxes roughly equal to net deforestation (emission - regrowth) leaving no room in the budget for an additional CO<sub>2</sub>-driven storage increase.

The complete set of inverse model results diverge on the tropical net flux. Stephens et al. (20) showed that filtering inverse models against an additional observational constraint, the vertical gradient of CO<sub>2</sub> in the northern hemisphere atmosphere, favored models with a more nearly neutral net flux, leaving room for a CO<sub>2</sub>-driven storage increase. Despite this realization, and subsequent advances in the observing network (21) and inversion methodology, models continue to disagree about the relative partitioning of terrestrial uptake between the mid and low latitudes (19). The RECCAP models showed terrestrial fluxes spanning a 4.9 Pg C y<sup>-1</sup> range in northern minus tropical+southern partitioning with 6 of the 11 models giving near-zero net tropical exchange consistent with a strong CO<sub>2</sub> effect (19; Table S3). Few attempts have been made to reconcile the full range of evidence

surrounding the CO<sub>2</sub> effect (22, 23), partly because such reconciliation requires integrating diverse methods and assumptions from disciplines such as plant physiology (11), forestry (24), dynamic global vegetation models (9), and atmospheric inverse and transport models (20). This reconciliation is crucial to constraining magnitude of feedbacks (22).

A strong, but circumstantial line of evidence for a significant negative feedback in the carbon system comes from the increase over time of net terrestrial uptake (25, 26, 34). The land flux is estimated by the Global Carbon Cycle Project (GCP, (34)) as the residual from atmospheric concentration data (25,27), ocean models adjusted to match three observational constraints (28, 29, 30) and fossil fuel inventories (31). Prior to 1959, the ocean fluxes come from data based estimates (32) and the atmospheric growth rate from ice and firn gas (33). The resulting net terrestrial flux has shifted from a small source to a sink > 2 Pg C y<sup>-1</sup> from 1850 to 2012 (34; Figure 2). The latter part of the trend (Figure 2) shows increasing uptake, paralleling the acceleration of atmospheric CO<sub>2</sub>.

Process models suggest that CO<sub>2</sub> effects could explain a large part of this uptake: models run only with CO<sub>2</sub> effects independently mirror the observed trend, but offset by about 1.2 Pg C y<sup>-1</sup>, possibly as a result of the excluded climate and land use effects (Figure 2). If this pattern of increase in the observed net sink were not occurring, it would falsify the CO<sub>2</sub> effect. This decadal pattern is highly suggestive of a negative feedback, but cannot be used quantitatively to constrain the process because the global signal also includes the effects of CO<sub>2</sub>, climate, nitrogen deposition and historical disturbance. Attributing fluxes in ecosystems affected by many perturbations remains a great challenge but any hypothesis must address why the net terrestrial sink is accelerating.

In Figure 3, we synthesize a number of different lines of evidence within the constraints of the global carbon budget. Figure 3 shows results from several community atmospheric inverse ensembles, plotted as northern extratropical versus tropical-plus-southern land fluxes. Summed, these two terms comprise the total global terrestrial sink and so can be compared to the better-constrained global carbon budget estimate of the net land flux. The TransCom3 Level 2 (T3L2) study (red circles; (16)) compared 12 model estimates for the period 1992-1996 using identical prior flux estimates, observational data, and inversion methodology, to isolate the contribution of atmospheric transport errors. The more recent RECCAP study (19) compared 11 inverse model estimates for the period 2001-2004 with differing prior constraints, data sets, and methodologies (purple squares). The T3L2 results diverge significantly, spanning a range of 7.9 Pg C y<sup>-1</sup> in the difference between northern and tropical land fluxes ((16); Table S2). As shown by Stephens et al. (20) this divergence is not random, but rather is systematically depen-



dent on differences in model representation of vertical mixing. The RECCAP results are more convergent, likely as a result of improvements in the representation of atmospheric transport by models, inversion methodology, and increased observations over the intervening period. 6 of the RECCAP models suggest tropical uptake countering deforestation emissions completely or to within  $0.6 \text{ Pg C y}^{-1}$ , while the remaining 5 show strong net tropical emissions of over  $1.4 \text{ Pg C y}^{-1}$  ((19); Table S3).

Both the T3L2 and the RECCAP results show systematic negative correlations between northern extratropical and tropical+southern land fluxes (Fig. 3), as expected owing to the constraints on the total terrestrial uptake imposed by the lower uncertainties of fossil fuel and oceanic fluxes and the atmospheric growth rate in the inversion estimates. The shaded error band in Figure 3 shows the constraint imposed by fixing the fossil fuel and ocean fluxes to those from the GCP (34) for the corresponding T3L2 and RECCAP periods. For any set of fossil fuel, ocean, and atmosphere constraints, a -1:1 correlation and uncertainty band in the residual land fluxes is imposed, with a width approximately half that shown for the combined periods in Figure 3 (see Figure S1). Estimates of net terrestrial uptake that lie outside of this uncertainty band are unlikely because they violate one or more of the better-known constraints. Models and observations spanning the period 1990-2010 suggest terrestrial fluxes of ca  $-1 \text{ Pg C y}^{-1}$  in the northern extratropics and tropical+southern net fluxes of  $0.0$  to  $-0.5 \text{ Pg C y}^{-1}$ . This requires sinks to balance the gross deforestation source of ca  $3 \text{ Pg C y}^{-1}$ .  $\text{CO}_2$  fertilization in models and uptake in intact forests in inventories brings those estimates into greater agreement with the mass balance results.

Evidence to support a gross tropical sink comes from the evaluation of transport models against an independent atmospheric observation. The mean and  $1-\sigma$  standard deviation across the 12 TransCom models are shown by the large red cross in Figure 3 (16). Stephens et al. (20), using an additional diagnostic test, showed that a subset of 3 of these models agreed most closely with annual mean vertical atmospheric  $\text{CO}_2$  gradients in the north, and the mean and  $1-\sigma$  for these models is also shown in Figure 3. The three models that best simulated the annual mean vertical gradient all showed reduced mid-latitude and greater tropical uptake, consistent with a  $\text{CO}_2$  effect, presumably resulting from better representation of vertical mixing and atmospheric transport. Smaller northern uptake (and so potentially higher tropical uptake) is also indicated by an analysis of the Total Column Observing Network (TCCON), providing additional independent support (21).

Comparing posterior concentration fields from atmospheric inversions to observations has not become a routine procedure, and the RECCAP study did not archive concentrations. Given the importance of assessing model results and validating the north-south partitioning of flux saving posterior concentration fields and comparing them to observations should be a high priority.

## Discussion

We can assess the consistency of bottom-up estimates with the top-down estimates from the atmosphere using forest and atmospheric data. Pan et al. (35) estimated fluxes associated with deforestation, regrowth of disturbed forests, and enhanced growth in intact forests. There are many estimates of local and regional forest uptake (e.g. 36, 37, 38), but it is not possible to compare *in situ* to atmospheric estimates without global data: Pan et al. (35) is the most recent such complete compilation: regional or deforestation-regrowth only analyses are not subject to the mass balance constraint and so cannot be evaluated against atmospheric data. Recent attempts to reconcile tropical fluxes address part of the carbon budget and so cannot be directly integrated into our framework. The gross deforestation estimate lies well

outside of the uncertainty bands implied by the GCP. Regrowth of disturbed forests only returns the estimate to the edge of the GCP uncertainty band for the RECCAP period. Including Pan et al.'s (35) estimate of uptake in intact forests, hypothesized to result from  $\text{CO}_2$ , brings the inventory-based budget within the GCP bounds and into reasonable agreement with the Stephens et al. (20) estimate.

Results for the  $\text{CO}_2$  effect from a recent prognostic terrestrial ecosystem model ensemble are also shown in Figure 3 (TRENDY; 39) can add an additional constraint on the possible causes and magnitude of the  $\text{CO}_2$  effect. The TRENDY results in Figure 3 are shown broken down into fluxes responding to only climate and land-use drivers (open blue triangles) and fluxes responding to climate, land-use, and  $\text{CO}_2$  effect drivers (closed blue triangles), with ellipses representing medians and 1-sigma standard deviations. Without the  $\text{CO}_2$  effect, the median of the TRENDY models is well outside of the uncertainty bands implied by the GCP. The median  $\text{CO}_2$  effect simulated by the TRENDY models produces a budget in agreement with independent global constraints. This effect is predicted to occur both in northern and tropical forests, with the combination bringing the TRENDY estimates into close agreement with both the Pan et al. (35) and Stephens et al. (20) estimates. Several models with extremely high sensitivity to  $\text{CO}_2$  fall outside the GCP constraint.

Increases in plant growth in intact tropical forests, rather than just regrowth from deforestation, are required for consistency with the Stephens et al. (20) and GCP constraints. These increases may not be only due to  $\text{CO}_2$  although it is considered the most likely hypothesis (35). One study at the La Selva field station in Costa Rica attempted to estimate the climate and  $\text{CO}_2$ -driven components of carbon uptake and estimated an increase of plant growth rate (38) of  $5.24 \text{ g C m}^{-2} \text{ y}^{-1}$ . This increase was used to suggest that models and forest inventory studies were overestimating the tropical  $\text{CO}_2$  sink. We found the ecosystem models in Figure 3 that suggested a carbon sink of  $1-2 \text{ Pg C y}^{-1}$  showed changes in NPP that bracketed the  $5 \text{ g C m}^{-2} \text{ y}^{-1}$  value, indicating that this acceleration of productivity is consistent with pan-tropical significant net carbon storage. Models that generate a tropical+southern  $>1 \text{ Pg C y}^{-1}$  sink are not falsified by the observed *in situ* fluxes.

The results shown in Figure 3 combine estimates over varying time periods: T3L2 from 1992-1996, RECCAP from 2001-2004, TRENDY from 1990-2007, Pan et al. (35) from 1990-2007, and GCP from 1992-2004. Interannual variations in terrestrial carbon uptake are known to be large. The 5 and 4 year periods represented by T3L2 and RECCAP are likely to average over much of this variability, but to address concerns about interannual variability, we have used the TRENDY output and GCP results, which are both available on an annual basis, to examine comparisons on time periods matched to either of the Pan et al. (35) decadal estimates or the shorter T3L2 or RECCAP periods. As shown in Figure S1, all of these finer time periods support the conclusions from Figure 3 that a significant  $\text{CO}_2$  effect is needed to bring the TRENDY and Pan fluxes into agreement with a validated subset of atmospheric inversions and the global carbon budget constraints.

Within the range of extant atmospheric inversions, a subset allow a significant  $\text{CO}_2$  effect, and this is a parsimonious explanation for this array of results. This set of results converge to better define the tropical carbon budget, and also provide increasing support for the  $\text{CO}_2$  effect, showing that:

- Estimates of the  $\text{CO}_2$  effect "fit" within the GCP carbon mass balance allowing the effect to be included in a consistent global carbon budget,
- The estimated magnitude of the  $\text{CO}_2$  effect is consistent with a vetted subset of atmospheric inverse models,

· The magnitude of the CO<sub>2</sub> effect is also consistent with *in situ* estimated uptake in intact forests, and with observed long-term changes to productivity,

· Simulation results omitting CO<sub>2</sub> effects mostly lie outside the mass balance constraint but converge within when the effect is included, and

· The time history of the residual terrestrial sink is suggestive of a significant CO<sub>2</sub> effect.

As Figure 3 shows, atmospheric inversions constrained by airborne data, bottom up inventories, and prognostic models are broadly consistent in terms of their net land sinks and latitudinal partitioning. It is not possible to rigorously merge these flux estimates, because of the different time periods covered and different processes considered, but we report our best estimate for the the 1990-2007 average global terrestrial carbon cycle in Table 1. First, the GCP (34) estimates of the global fossil fuel source, atmospheric growth rate, and oceanic sink over this period together require a net terrestrial sink of  $-1.1 \pm 0.6 \text{ Pg C y}^{-1}$ . We partition this net sink into the CO<sub>2</sub> effect or all other processes, and into northern extratropical or tropical+southern regions. Our resulting best estimate of the tropical+southern CO<sub>2</sub> effect is  $-1.4 \pm 0.4 \text{ Pg C y}^{-1}$ . This is a conservative estimate, as the CO<sub>2</sub> effect, along with other environmental changes to light, water and nutrients likely also contribute to the Pan et al. (35) tropical regrowth number.

For the northern extratropics, where nitrogen deposition and air pollution, climate, and historical land use also all affect carbon uptake, and Pan et al. (35) did not partition the inventory estimates, we must rely on the prognostic models. The TRENDY models estimate a northern extratropical CO<sub>2</sub> effect of  $-1.1 \pm 0.4 \text{ Pg C y}^{-1}$  (median of 9 models, S1 experiment). Combined, we estimate a global effect of  $-2.5 \pm 0.6 \text{ Pg C y}^{-1}$ . The median global CO<sub>2</sub> effect simulated by the TRENDY models over the 1990-2007 time period is  $-2.6 \pm 1.0$ . Figure 3 shows models with very high sensitivity to CO<sub>2</sub> lie well outside the mass balance constraint..

As a check, if we assume that the Stephens et al. (20) estimate of the net tropical+southern atmospheric flux is correct and representative of the full decade at roughly  $-0.5 \text{ Pg C y}^{-1}$ , and further assume that Pan et al.'s (35) 1990-1999 deforestation and regrowth numbers are correct, this yields an uptake in intact forest of  $-0.8 \text{ Pg C y}^{-1}$ , well within the uncertainty of the other Pan et al. (35) and TRENDY estimates and illustrating how global *in situ* and inverse estimates may be used to cross-check each other: this analysis cannot be done formally as the inverse model results and atmospheric constraint (vertical profiles) do not span the entire Pan et al. (35) period.

## Conclusions

The CO<sub>2</sub> effect likely acts as a significant negative feedback in today's global carbon cycle, absorbing up to 30% of fossil-fuel CO<sub>2</sub> emissions. Uncertainty in the strength of this effect contributes significant variability to projections of future atmospheric CO<sub>2</sub> concentrations. Process models, forest inventories, forest NPP time series (38) and a data-constrained subset of atmospheric inverse models lead to a carbon budget consistent with a significant role for a CO<sub>2</sub> effect. Including estimates for these new process-specific gross fluxes in the GCP (34) global carbon budget results in the first consistent budget to include the CO<sub>2</sub> effect (Table 1).

All the evidence suggests that the CO<sub>2</sub> effect is a significant feedback in the climate system, except for that from a group of inverse models that do not agree between themselves. To refine the quantification of the CO<sub>2</sub> effect (Figure 3), it is critical to reduce the remaining uncertainty in inverse model partitioning of tropical versus northern flux estimates. Inverse studies should be designed so they span long enough for robust comparison of atmospheric to biomass results. Atmospheric analyses need to

produce and archive posterior atmospheric CO<sub>2</sub> concentrations for comparison to observations, both those used in their analysis and independent data (20) as well as many other diagnostics. We need to improve the numerical representation of northern extratropical atmospheric convection to reduce uncertainty in inverse estimates (20).

Recent studies show significant, but highly variable carbon uptake in the tropics (40) and this year-to-year variability may be increasing (41). Long term trends in climate will also produce carbon storage trends that must be estimated. The high variability (40, 41, 42) implies that observations and inverse analyses must span a period of years sufficient to average over short-term climate-driven variability. Standardizing time periods, or producing all estimates on an annual basis, are essential to allow integrative analysis.

Further reductions in uncertainty require reducing the persistent disagreement between inverse estimates of tropical versus mid-latitude uptake. New atmospheric measurements of full tropospheric vertical profiles, and in particular in the tropics (where atmospheric data are currently sparse), are needed. Isotopic measurements may also help: an early study using <sup>13</sup>C had results consistent with Figure 3, but could not distinguish between an overestimate of deforestation or the existence of a large sink, balancing that flux (43). The apparent CO<sub>2</sub>-driven sink also increases the priority of experimental manipulations in tropical forests to confirm the sensitivity of tropical woody ecosystems to increasing CO<sub>2</sub>. Reducing the CO<sub>2</sub>-driven flux uncertainty can in turn reduce the uncertainty of Earth System model predictions. While estimates of gross deforestation are beginning to converge (44, 45, 46), reconciling inventory and atmospheric estimates requires similarly robust estimates of all the gross fluxes.

The balance of evidence from *in situ*, inventory, simulation and atmospheric studies provides support for a long-hypothesized negative feedback to CO<sub>2</sub> from the terrestrial biosphere that is distributed as theory predicts. Globally, the terrestrial biosphere, despite deforestation has been an increasing sink for carbon since atmospheric CO<sub>2</sub> began rising in response to fossil fuels, and is currently a net sink of CO<sub>2</sub>. Some of this sink is due to recovery from historical land use in the mid- and low-latitudes, but this does not constitute a feedback, is not thought to have accelerated over recent decades, and will not scale with future climate change. Terrestrial uptake responds to multiple influences, but there is no other candidate hypothesis that can fully explain the net land sink required by global carbon budgeting and the acceleration of this sink over the past 100 years.

The CO<sub>2</sub> effect cannot absorb the majority of fossil fuel emissions, but carbon uptake in response to increasing CO<sub>2</sub> is a crucial global ecosystem service. The future tropical balance of sources (deforestation and climate) and sinks (regrowth and CO<sub>2</sub>) will only remain a robust feature of the global carbon cycle if these vast ecosystems are protected from destruction. Terrestrial sinks dominate over land use and climate sources in the present, but the effects of climate are likely to grow over the next decades. This implies a potential transition, influenced by both climate and land use trends, when climate effects exceed CO<sub>2</sub> effects and other sinks, leading to an enhanced growth rate of CO<sub>2</sub>. Accurately forecasting when this transition might occur requires improved quantification feedback sensitivity.

**Acknowledgments:** The research carried out at the Jet Propulsion Laboratory, California Institute of Technology, was under a contract with the National Aeronautics and Space Administration. Copyright 2014 California Institute of Technology. Government sponsorship acknowledged and was supported by JPL's Carbon and Climate Initiative. The National Center for Atmospheric Research is sponsored by the National Science Foundation. This study made use of TRENDY terrestrial process and RECCAP atmospheric inverse model results downloaded in January 2012 (Figs 1 and 2) and March 2014 (Fig 3, Figure S1, and Table S1). Detailed information on data availability is included in the supplementary material. We thank the TRENDY

**modelers: Stephen Sith, Chris Huntingford, Ben Poulter, Anders Ahlström, Mark Lomas, Peter Levy, Sam Levis, Sönke Zaehle, Nicolas Viovy, and Ning Zeng, and the RECCAP modelers: Philippe Peylin, Frederic Chevallier, Rachel Law, Peter Rayner, Andy Jacobson, Wouter Peters, Christian Roedenbeck, Prabir Patra, Kazutaka Yamada, Kevin Gurney, and Yosuke Niwa for sharing their results, with particular thanks to Philippe Peylin for regridding and making these results publicly available. Michael Keller and Sassan Saatchi**

- 1 Bodman, RW, et al. (2013). Uncertainty in temperature projections reduced using carbon cycle and climate observations. *Nature Climate Change* 3, 725–729.
- 2 Friedlingstein, P, et al. (2006). Climate–Carbon Cycle Feedback Analysis: Results from the C4MIP Model Intercomparison. *J. Climate*, 19, 3337–3353.
- 3 Keeling, CD. (1973). The Carbon Dioxide Cycle: Reservoir Models to Depict the Exchange of Atmospheric Carbon Dioxide with the Oceans and Land Plants, in *Chemistry of the Lower Atmosphere*, S. I. Rasool, Ed, Plenum Press, New York, pp. 251–329.
- 4 Gregory, J. M., C. D. Jones, P. Cadule, P. Friedlingstein, (2009). Quantifying carbon cycle feedbacks. *J. Climate*, 22, 5232–5250
- 5 Friend AD, et al. (2011). Carbon residence time dominates uncertainty in terrestrial vegetation responses to future climate and atmospheric CO<sub>2</sub>. *Proceedings of the National Academy of Sciences*, doi:10.1073/pnas.1222477110.
- 6 Ciais, P., et al. (2013). Carbon and Other Biogeochemical Cycles. In: *Climate Change 2013. The Physical Science Basis. Contribution of Working Group I to the Fifth Assessment Report of the Intergovernmental Panel on Climate Change*. [Stocker, T.F., et al. (eds.)]. Cambridge University Press, Cambridge, United Kingdom and New York, NY, USA.
- 7 Cox, PM, et al. (2013). Sensitivity of tropical carbon to climate change constrained by carbon dioxide variability. *Nature* 494, 341–344.
- 8 Luo, Y., Hui, D. and Zhang, D. (2006). Elevated CO<sub>2</sub> stimulates net accumulations of carbon and nitrogen in land ecosystems: A meta-analysis. *Ecology* 87: 53–63.
- 9 Hickler, T. et al. (2008). CO<sub>2</sub> fertilization in temperate FACE experiments not representative of boreal and tropical forests. *Global Change Biology* 14: 1531–1542.
- 10 Mooney, HA, et al. (1991). Predicting ecosystem responses to elevated CO<sub>2</sub> concentrations. *BioScience* Vol. 41, No. 2, 96–104
- 11 Lloyd, J. and GD. Farquhar. (2008). Effects of rising temperatures and [CO<sub>2</sub>] on the physiology of tropical forest trees. *Transactions of the Royal Society of London Series B*. 363: 1811–1817.
- 12 Zaehle et al. (2014). Evaluation of 11 terrestrial carbon–nitrogen cycle models against observations from two temperate Free-Air CO<sub>2</sub> Enrichment studies. *New Phytologist*, online early.
- 13 Taylor JA, Lloyd J. (1992). Sources and Sinks of Atmospheric CO<sub>2</sub>, *Australian Journal of Botany*: 40, 407–407
- 14 Norby RJ, et al. (2005). Forest response to elevated CO<sub>2</sub> is conserved across a broad range of productivity. *Proceedings of the National Academy of Sciences* 102: 18052–18056.
- 15 Tans, PP, et al. (1990). Observational constraints on the global atmospheric CO<sub>2</sub> budget. *Science*, 247, 1431–1439,
- 16 Gurney, KR, et al. (2004). Transcom 3 Inversion Intercomparison: Control results for the estimation of seasonal carbon sources and sinks. *Global Biogeochemical Cycles*, 18, GB1010, doi:10.1029/2003GB002111.
- 17 Jacobson, AR, et al. (2007). A joint atmosphere–ocean inversion for surface fluxes of carbon dioxide: 2. Regional results. *Global Biogeochemical Cycles*, 21, GB1020 doi:10.1029/2006GB002703.
- 18 Deng, F., et al. (2013). Nested Inversion of the North America Carbon Flux with Forest Stand Age Constraint. *Biogeosciences*, 10:5335–5348.
- 19 Peylin, P, et al. (2013). Global atmospheric carbon budget: results from an ensemble of atmospheric CO<sub>2</sub> inversions, *Biogeosciences*, 10, 6699–6720, doi:10.5194/bg-10-6699-2013.
- 20 Stephens, BB, et al. (2007). Weak northern and strong tropical land carbon uptake from vertical profiles of atmospheric CO<sub>2</sub>. *Science*, 316, 1732–1735
- 21 Yang, Z., R.A. Washenfelder, G. Keppel-Aleks, N.Y. Krakauer, J.T. Randerson, P.P. Tans, C. Sweeney, and P.O. Wennberg. (2007). New constraints on Northern Hemisphere growing season net flux. *GRL*, 34, L12807, doi:10.1029/2007GL029742
- 22 Enting, IG, Rayner, PJ, and Ciais, P. (2012). Carbon Cycle Uncertainty in REgional Carbon Cycle Assessment and Processes (RECCAP), *Biogeosciences*, 9, 2889–2904,
- 23 Houghton, RA. (2003). Revised estimates of the annual net flux of carbon to the atmosphere from changes in land use and land management 1850–2000. *Tellus B*, 55: 378–390.
- 24 Goodale, CL, et al. (2002). Forest carbon sinks in the Northern Hemisphere. *Ecological Applications* 12:891–899.
- 25 Ballantyne, A. P., Alden, C. B., Miller, J. B., Tans, P. P., and White, J. W. C.: Increase in observed net carbon dioxide uptake by land and oceans during the last 50 years, (2012).

**provided critical insights into tropical forest inventory data. We thank the students and faculty of the Niwot Ridge Flux course for years of stimulating discussion of the ideas leading to this paper, Munish Sikka for data processing and Chip Miller, Stan Sander, Riley Duren, Kevin Bowman, Duane Waliser and the JPL Carbon-Climate Initiative for support, valuable discussions and feedback. .**

- Nature, 488, 70–72..
- 26 Sarmiento, J. L., Gloor, M., Gruber, N., Beaulieu, C., Jacobson, A. R., Mikaloff Fletcher, S. E., Pacala, S., and Rodgers, K. (2010). Trends and regional distributions of land and ocean carbon sinks, *Biogeosciences*, 7, 2351–2367
- 27 Keeling, C.D., S. C. Piper, R. B. Bacastow, M. Wahlen, T. P. Whorf, M. Heimann, and H. A. Meijer. (2001). Exchanges of atmospheric CO<sub>2</sub> and <sup>13</sup>CO<sub>2</sub> with the terrestrial biosphere and oceans from 1978 to 2000. I. Global aspects, *SIO Reference Series*, No. 01-06, Scripps Institution of Oceanography, San Diego, 88 pages,
- 28 McNeil, B. I., Matear, R. J., Key, R. M., Bullister, J. L., and Sarmiento, J. L. (2011). Anthropogenic CO<sub>2</sub> uptake by the ocean based on the global chlorofluorocarbon data set, *Science*, 299,30 235–239,
- 29 Mikaloff Fletcher, S. E., Gruber, N., Jacobson, A. R., Doney, S. C., Dutkiewicz, S., Gerber, M., Follows, M., Joos, F., Lindsay, K., Menemenlis, D., Mouchet, A., Müller, S. A., and Sarmiento, J. L. (2006). Inverse estimates of anthropogenic CO<sub>2</sub> uptake, transport, and storage by the oceans, *Global Biogeochem. Cycles*, 20, GB2002, doi:10.1029/2005GB002530,
- 30 Manning, A. C. and Keeling, R. F. (2006). Global oceanic and land biotic carbon sinks from the Scripps atmospheric oxygen flask sampling network, *Tellus B*, 58, 95–116,
- 31 Boden, T. A., Marland, G., and Andres, R. J.: Global, Regional, and National Fossil-Fuel CO<sub>2</sub> Emissions, Oak Ridge National Laboratory, US Department of Energy, Oak Ridge, Tenn., USA, <http://cdiac.ornl>.
- 32 Khattiwala, S., Tanhua, T., Mikaloff Fletcher, S., Gerber, M., Doney, S. C., Graven, H. D., Gruber, N., McKinley, G. A., Murata, A., Rios, A. F., and Sabine, C. L. (2013). Global ocean storage of anthropogenic carbon, *Biogeosciences*, 10, 2169–2191, doi:10.5194/bg-10-2169-2013,
- 33 MacFarling Meure, C., D. Etheridge, C. Trudinger, P. Steele, R. Langenfelds, T. van Ommen, A. Smith, and J. Elkins. (2006). Law Dome CO<sub>2</sub>, CH<sub>4</sub> and N<sub>2</sub>O ice core records extended to 2000 years BP, *Geophys. Res. Lett.*, 33, L14810, doi:10.1029/2006GL026152.
- 34 Le Quéré, C., et al: Global carbon budget 2013, *Earth Syst. Sci. Data*, 6, 235–263, doi:10.5194/essd-6-235-2014, 2014.
- 35 Pan, Y, et al. (2011). A large and persistent sink in the world's forest. *Science* 333, 988–993.
- 36 Lewis SL, et al. (2009). Increasing carbon storage in intact African tropical forests. *Nature* 457:1003–1006.
- 37 Phillips OL, et al. (2009). Drought sensitivity of the Amazon rainforest. *Science* 323:1344–1347.
- 38 Clark, DA, DB Clark, and SF Oberbauer, (2013). Field-quantified responses of tropical rainforest aboveground productivity to increasing CO<sub>2</sub> and climatic stress, 1997–2009, *J. Geophysical Research Biogeosciences*, 118, 783–794.,
- 39 Piao, S. et al. (2013). Evaluation of terrestrial carbon cycle models for their response to climate variability and to CO<sub>2</sub> trends. *Global Change Biology* 19, 2117–2132,
- 40 Gatti, LV, et al. (2014). Drought sensitivity of Amazonian carbon balance revealed by atmospheric measurements. *Nature* 506, 76–80.
- 41 Wang X. et al. (2014). A two-fold increase of carbon cycle sensitivity to temperature variations. *Nature* 506, 212–215
- 42 Fisher, J. B. *et al.* (2013). African tropical rainforest net carbon dioxide fluxes in the twentieth century. *Philosophical Transactions of the Royal Society B: Biological Sciences* 368, doi:10.1098/rstb.2012.0376.
- 43 Townsend, A.R., G.P. Asner, P.P. Tans and J.W. C. White. (2002). Tropical land use effects on atmospheric <sup>13</sup>C imply a sizable terrestrial carbon sink in equatorial latitudes. *Geophysical Research Letters*: 29, NO. 10, 10.1029
- 44 Tollefson, J. 2012. <http://blogs.nature.com/news/2012/12/scientists-publish-consensus-statement-on-deforestation-emissions.html>. *Nature News Blog*.
- 45 Harris, N, Brown, S, Hagen, S, Baccini, A and R. Houghton. 2012. Progress toward a consensus on carbon emissions from tropical deforestation: policy brief. Meridian Institute. ISBN: 978-0-615-72677-9. 10 pp.
- 46 Baccini, A., S. J. Goetz, W. S. Walker, N. T. Laporte, M. Sun, et al. 2012. Estimated carbon dioxide emissions from tropical deforestation improved by carbon- density maps. *Nature Climate Change* 2: (182–185). doi:10.1038/nclimate1354.