XML Workshop IV - FOR XML EXPLICIT

By Jacob Sebastian, 2007/06/28

Introduction

This is the fourth installment of my XML workshop which aims at explaining/demonstrating XML processing in SQL Server 2005. If you have not read the previous articles in this series, I would suggest that you read them before proceeding. Here are the links to the previous articles.

- Part I focuses on generating XML data from the results of a query using FOR XML directive. It explains the usage of AUTO and RAW.
- Part II focuses on retrieving values from the elements and attributes of an XML variable.
- Part III focuses on performing more advanced XML generation using the *PATH* directive along with *FOR XML*.

In the previous articles we had seen several examples which demonstrated different ways to generate XML data. Using *FOR XML* directive along with *AUTO*, *RAW* and *PATH* we can achieve almost all XML formatting/processing requirements. In this article, I would present a few examples which show the power of the keyword *EXPLICIT*.

FOR XML EXPLICIT

Using *EXPLICIT* is much more complex than using *AUTO*, *RAW* and *PATH*. Almost all XML formatting requirements can be achieved by using *AUTO*, *RAW* and *PATH*. Their usage is pretty simple and basic. However, there are times when we need more complex XML formatting requirements which *AUTO*, *RAW* and *PATH* cannot handle. *EXPLICIT* supports very complex XML formatting and gives you more control over how the output is generated.

EXPLICIT expects that the query results will be in a specific structure. All the information needed for the formatting is provided in the query results. The following examples will explain this in detail.

Let us try to generate the XML structure we created previously with *FOR XML PATH*. As I have mentioned earlier, using *EXPLICIT* is much more complex than using the other directives. To present it as simple as possible, I will take a step by step approach to generate the sample XML structure that we need to generate.

Here is the output that we need.

Let us now start generating the above XML structure using the *EXPLICIT* mode with *FOR XML*. As I have mentioned earlier, the usage of EXPLICIT is a bit complex. So I will present a step by step example which will explain the usage in detail.

Step 1: Generate the *Country* **Node**

Let us see, how we could generate the *Country* node. EXPLICIT expects the query results to be in a specific format. The <u>following example</u> shows the structure of the query results and the XML structure generated from the query results.

```
2 Let us first generate the results in the required structure. Once the
   3 results are generated in the required format, we shall go ahead and
   4 generate the XML.
   5 */
   6
   7 SELECT
      1 AS Tag,
        NULL AS Parent,
      c.CountryName AS 'Country!1!name',
         c.Currency AS 'Country!1!currency'
  12 FROM
  13 Countries c
  14 /*
  15 OUTPUT:
  16
  17 Tag Parent Country!1!name Country!1!currency
  18 ----- -----
  19 1 NULL USA
20 1 NULL England
21 1 NULL India
                                           US Dollars
Pound Sterling
                                                Rupee
  2.2
  23 "Tag" is a mandatory column. It tells the XML generator the level of the
element
  in the XML hierarchy. In the example, I have put "1" to tell the XML generator that it is the top LEVEL node.
  26 "Parent" is the second mandatory column. It tells the XML generator about
t.he
  27
       parent of the current node. I have put NULL to tell the XML generator
        that the current element does not have a parent node.
  30 After the first 2 mandatory (pre-defined) columns, I have put the data that
I need.
  31
  32 "Country!1!name"
         "Country" is the name of the element
         "1" specifies the LEVEL of the node in the hierarchy
  34
        "name" is the name of the attribute
  35
  36 "Country!1!currency"
  37 "Country" is the name of the element
        "1" specifies the LEVEL of the node in the hierarchy
        "currency" is the name of the attribute
```

```
40 */
41
42 /*
43 Now let us generate the XML using FOR XML EXPLICIT
44 */
45 SELECT
46 1 AS Tag,
     NULL AS Parent,
      c.CountryName AS 'Country!1!name',
49 c.Currency AS 'Country!1!currency'
50 FROM
51 Countries c
52 FOR XML EXPLICIT
53
54 /*
55 OUTPUT:
56
57 <Country name="USA" currency="US Dollars" />
58 <Country name="England" currency="Pound Sterling" />
59 <Country name="India" currency="Rupee" />
60 */
```

Step 2: Generate the City Node

Now let us modify our query and generate the next level node. The <u>following query</u> generates an XML structure with the first 2 nodes that we require.

```
2 Just like what we did in the previous example, let us first generate
    3 the result set and have a close look at its structure. Here is the result
    4 that we need to generate the first two nodes (country and city).
    7 SELECT
      1 AS Tag,
  9 NULL AS Parent,
10 c.CountryName AS 'Country!1!name',
11 c.Currency AS 'Country!1!currency',
12 NULL AS 'City!2!name'
   13 FROM
  14 Countries c
  15
  16 UNION ALL
  17
  18 SELECT
  19 2 AS Tag,
  1 AS Parent,
Country.CountryName,
Country.Currency,
City.CityName
   24 FROM Cities City
   25 INNER JOIN Countries Country ON (Country.CountryID = City.CountryID)
  26 ORDER BY 'Country!1!name', 'City!2!name'
  27
  28 /*
   29 OUTPUT:
  31 Tag Parent Country!1!name Country!1!currency City!2!
name
  33 1
           NULL England Pound Sterling NULL
```

```
1
                          England
                                              Pound Sterling
                                                                   London
               NULL
  35 1
                           India
                                               Rupee
                                                                    NULL
  36 2
                1
                           India
                                                Rupee
                                                                    New Delhi
  37 1
                NULL
                           USA
                                               US Dollars
                                                                   NULL
                                               US Dollars
  38 2
                1
                            USA
                                                                   NJ
  39 2
                1
                            USA
                                               US Dollars
                                                                   NY
  40
  41 "Tag"
      Note that, this time we have a few records with value "2" in the
results
  The records with tag "2" are the second level nodes.
  44 "Parent"
      Note that we have records with value "1" which says that those records
         a parent node. The value in the column "Parent" refers to "Tag" of the
  46
parent
  47
        record.
  48 "City!2!name"
      "City" is the name of the second level element
  49
         "2" refers to the "Tag" which specifies that it is the second level
  50
node.
  51
        "name" is the name of the attribute.
  52 */
  53
  54 /*
  55 Now let us generate the XML and see the results.
  57
  58 SELECT
  59 1 AS Tag,
  60
        NULL AS Parent,
  61
        c.CountryName AS 'Country!1!name',
  c.Currency AS 'Country!1!currency',NULL AS 'City!2!name'
  64 FROM
  65 Countries c
  66
  67 UNION ALL
  68
  69 SELECT
  70 2 AS Tag,
  71
        1 AS Parent,
  72
       Country.CountryName,
  73 Country.Currency,74 City.CityName
  75 FROM Cities City
  76 INNER JOIN Countries Country ON (Country.CountryID = City.CountryID)
  77 ORDER BY 'Country!1!name', 'City!2!name'
  78 FOR XML EXPLICIT
  79
  80 /*
  81 OUTPUT:
  83 <Country name="England" currency="Pound Sterling">
  84 <City name="London" />
  85 </Country>
  86 <Country name="India" currency="Rupee">
  87 <City name="New Delhi" />
  88 </Country>
  89 <Country name="USA" currency="US Dollars">
  90 <City name="NJ" />
  91 <City name="NY" />
  92 </Country>
  93 */
```

Step 3: Generate the Customer element

So far we had been progressing steadily. Now let us generate the third level nodes. The <u>following</u> <u>example</u> does that.

```
1 /*
     2 As usual, let us first generate the result set and understand its structure
     3 before proceeding with XML generation.
     6 SELECT
     7 1 AS Tag,
     8
          NULL AS Parent,
    9 c.CountryName AS 'Country!1!name',
   10 c.Currency AS 'Country!1!currency',
11 NULL AS 'City!2!name',
12 NULL AS 'Customer!3!id',
   NULL AS 'Customer!3!name',
NULL AS 'Customer!3!phone'
   15 FROM
   16 Countries c
   17 UNION ALL
   18 SELECT
   19 2 AS Tag,
   20
          1 AS Parent,
   Country.CountryName,
Country.Currency,
City.CityName,
NULL,
NULL,
NULL
   27 FROM Cities City
   28 INNER JOIN Countries Country ON (Country.CountryID = City.CountryID)
   29 UNION ALL
   30 SELECT
   31 3 AS Tag,
32 2 AS Parent,
33 Country.CountryName AS [name],
Country.Currency,
          City.CityName AS [name],
Customer.CustomerNumber AS [id],
Customer.CustomerName AS [name],
Customer.Phone
   35
   36
   37
   38
          FROM
   39
   40
                Customers Customer
   41
                 INNER JOIN Cities City ON (City.CityID = Customer.CityID)
                 INNER JOIN Countries Country ON (Country.CountryID =
City.CountryID)
   43 ORDER BY 'Country!1!name', 'City!2!name'
   44
   45 /*
   46 OUTPUT:
   48 Tag Parent Country!1!name Country!1!currency City!2!name Customer!3!id
Customer!3!name Customer!3!phone
   49 ---- ----- ------
50 1 NULL England Pound Sterling NULL NULL

NULL

51 2 1 England Pound Sterling London NULL

NULL

52 3 2 England Pound Sterling London TH

Thomas Hardy 444-444-4444
                                                                                           NULL
```

```
53 1 NULL India
                                               NULL
                           Rupee
                                                         NULL
NULL
              NULL
  54 2 1 India
                           Rupee
                                             New Delhi NULL
                                                                NULL
         NULL
  55 3 2 India Rupee
                                             New Delhi JS
Jacob Sebastian 555-555-5555
  56 1 NULL USA
                            US Dollars
                                               NULL
                                                     NULL
NULL
              NULL
        1 USA
  57 2
                           US Dollars
                                              NJ
                                                         NULL
                                                                  NULL
         NULL
  58 3 2 USA
                           US Dollars
                                              NJ
                                                         ΕN
Elizabeth Lincoln 333-333-3333
  59 3 2 USA
                            US Dollars
                                               NY
                                                         MK
John Mark
               111-111-1111
         1 USA
  60 2
                            US Dollars
                                                         NULL
                                                                    NULL
                                              NY
         NULL
  61 3 2 USA
                           US Dollars
                                               NY
                                                         WS
Will Smith
               222-222-2222
     Note that, this time we have a few records with value "3" which refers
to the third level
  in the XML hierarchy.
  66 "Parent"
  The new records (Tag = 3) have their parent set to "2" to indicate that
the parent of this
  element is the record with "Tag" having a value of "2"
  69 "Customer!3!*"
  70 These three columns contain the information needed for the third level
node.
  71 */
  72
  73 /*
  74 Let us GENERATE the XML now.
  75 */
  76
  77 SELECT
  78 1 AS Tag,
  79
       NULL AS Parent,
  8.0
       c.CountryName AS 'Country!1!name',
  81
       c.Currency AS 'Country!1!currency',
  82
       NULL AS 'City!2!name',
  83
       NULL AS 'Customer!3!id',
  NULL AS 'Customer!3!name',
NULL AS 'Customer!3!phone'
  86 FROM
  87 Countries c
  88 UNION ALL
  89 SELECT
  90 2 AS Tag,
       1 AS Parent,
       Country.CountryName,
       Country.Currency,
       City.CityName,
  94
  95
       NULL,
  96
       NULL,
        NULL
  98 FROM Cities City
  99 INNER JOIN Countries Country ON (Country.CountryID = City.CountryID)
 100 UNION ALL
 101 SELECT
 102
            3 AS Tag,
           2 AS Parent,
 103
 104
            Country.CountryName AS [name],
```

```
105
            Country.Currency,
 106
            City.CityName AS [name],
 107
            Customer.CustomerNumber AS [id],
 108
            Customer.CustomerName AS [name],
 109
            Customer.Phone
        FROM
 110
 111
             Customers Customer
 112
             INNER JOIN Cities City ON (City.CityID = Customer.CityID)
 113
             INNER JOIN Countries Country ON (Country.CountryID =
City.CountryID)
 114 ORDER BY 'Country!1!name', 'City!2!name'
 115 FOR XML EXPLICIT
 117 /*
 118 OUTPUT:
 119
 120 <Country name="England" currency="Pound Sterling">
      <City name="London">
        <Customer id="TH" name="Thomas Hardy" phone="444-444-4444" />
 123
      </City>
 124 </Country>
 125 <Country name="India" currency="Rupee">
       <City name="New Delhi">
        <Customer id="JS" name="Jacob Sebastian" phone="555-555-5555" />
 128 </City>
 129 </Country>
 130 <Country name="USA" currency="US Dollars">
 131 <City name="NJ">
       <Customer id="EN" name="Elizabeth Lincoln" phone="333-333-3333" />
 132
 133
        <Customer id="MK" name="John Mark" phone="111-111-1111" />
 134 </City>
 135
      <City name="NY">
       <Customer id="WS" name="Will Smith" phone="222-222-2222" />
 136
 137
       </City>
 138 </Country>
 139 */
```

Step 4: Generate the Root Node

We are almost done. We have all the data that we need in the desired XML format. However we are missing the *root* node, *CustomersByRegion*. Unlike *AUTO* and *RAW* modes, *EXPLICIT* does not provide a way to generate a *root* node. To generate a root node, let us generate a dummy result set and UNION it with our data. The dummy result set will be the TOP MOST node and other nodes will be pushed downwards by one level. The <u>following example</u> shows it in detail.

```
1 SELECT
      1 AS Tag,
      NULL AS Parent,
      NULL AS 'CustomersByRegion!1', -- empty root element
 5
     NULL AS 'Country!2!name',
     NULL AS 'Country!2!currency',
     NULL AS 'City!3!name',
     NULL AS 'Customer!4!id',
     NULL AS 'Customer!4!name',
10
     NULL AS 'Customer!4!phone'
11 UNION ALL
12 SELECT
13
     2 AS Tag,
     1 AS Parent,
14
15
     NULL,
16
      c.CountryName,
17
      c.Currency,
```

```
18
        NULL,
  19
        NULL,
  20
        NULL,
  21
        NULL
  22 FROM
  23
        Countries c
  24 UNION ALL
  25 SELECT
  2.6
         3 AS Tag,
  2.7
        2 AS Parent,
  2.8
        NULL,
  29
        Country.CountryName,
  30
        Country.Currency,
  31
        City.CityName,
  32
        NULL,
  33
        NULL,
  34
         NULL
  35 FROM Cities City
  36 INNER JOIN Countries Country ON (Country.CountryID = City.CountryID)
  37 UNION ALL
  38 SELECT
  39
             4 AS Tag,
  40
             3 AS Parent,
  41
             NULL,
  42
             Country.CountryName AS [name],
  43
             Country.Currency,
  44
            City.CityName AS [name],
  45
             Customer.CustomerNumber AS [id],
  46
            Customer.CustomerName AS [name],
  47
            Customer.Phone
  48
        FROM
  49
             Customers Customer
  50
             INNER JOIN Cities City ON (City.CityID = Customer.CityID)
  51
             INNER JOIN Countries Country ON (Country.CountryID =
City.CountryID)
  52 ORDER BY 'Country!2!name', 'City!3!name', Parent
  53 FOR XML EXPLICIT
  54
  55 /*
  56 OUTPUT:
  57
  58 <CustomersByRegion>
  60
        <City name="London">
  61
           <Customer id="TH" name="Thomas Hardy" phone="444-444-4444" />
  62
         </City>
  63
      </Country>
  64 <Country name="India" currency="Rupee">
  65
        <City name="New Delhi">
           <Customer id="JS" name="Jacob Sebastian" phone="555-555-5555" />
  67
        </City>
  68
      </Country>
      <Country name="USA" currency="US Dollars">
  70
        <City name="NJ">
  71
           <Customer id="EN" name="Elizabeth Lincoln" phone="333-333-3333" />
  72
        </City>
  73
         <City name="NY">
  74
           <Customer id="MK" name="John Mark" phone="111-111-1111" />
  75
           <Customer id="WS" name="Will Smith" phone="222-222-2222" />
  76
         </City>
      </Country>
  78 </CustomersByRegion>
  79 */
```

Note that I have added a dummy result set as the first level node. This result set returns a row with all *NULL* values except the Tag. Note that I did not specify an attribute name with the element (*CustomersByRegion!1*). This creates an element without any attribute.

Conclusions

In this article, I had tried to explain the usage of *EXPLICIT* directive along with *FOR XML*. Most of the XML formatting requirements can be done with keywords *AUTO*, *RAW* and *PATH*. Using those keywords are very simple. You would need *EXPLICIT* only when a given requirement cannot be fulfilled by those directives.

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