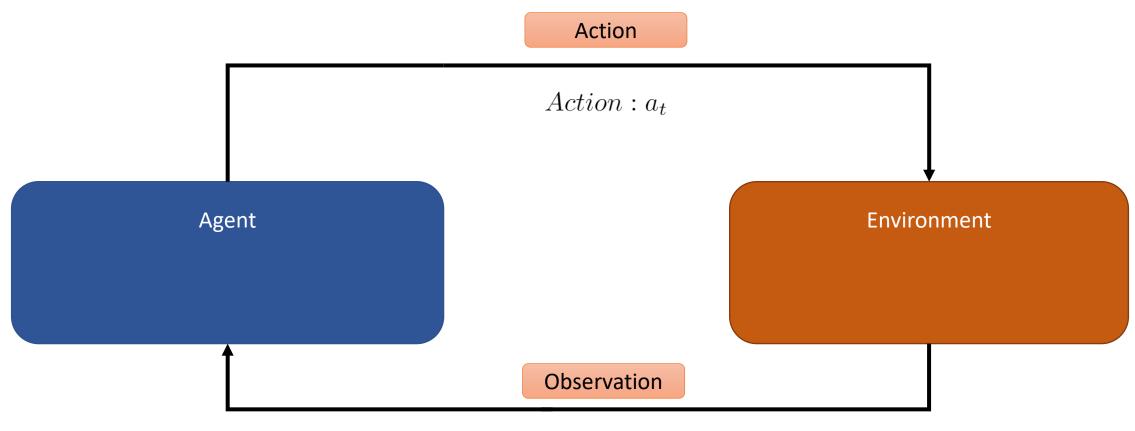


# PLANNING AND LEARNING

Deep Reinforcement Learning Balázs Nagy, PhD



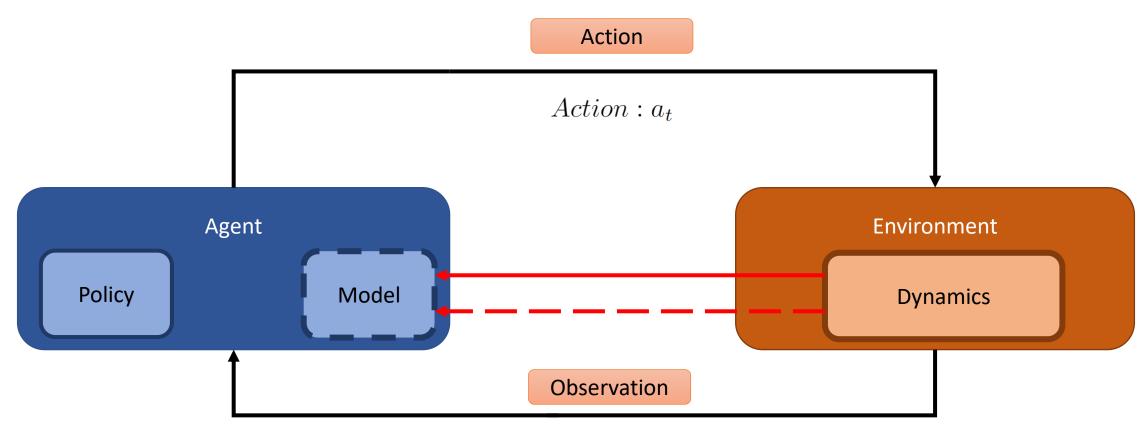
# Reinforcement Learning (RL) – Key concept



 $Reward: r_t$   $New \ state: s_{t+1}$ 



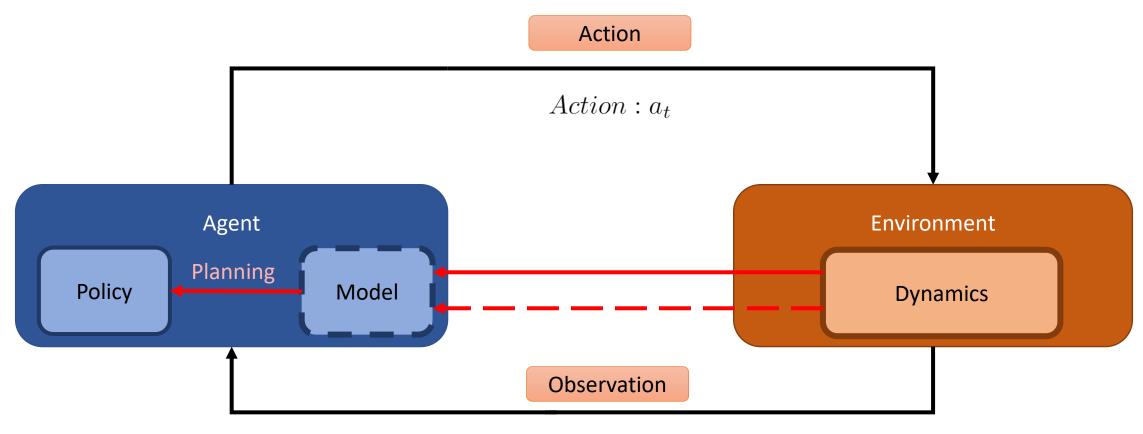
# Reinforcement Learning (RL) – Key concept



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# Reinforcement Learning (RL) – Key concept

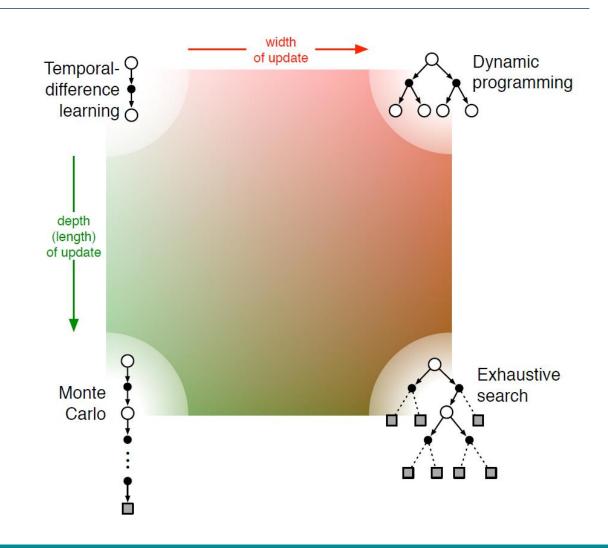


 $Reward: r_t$   $New \ state: s_{t+1}$ 



### Tabular methods

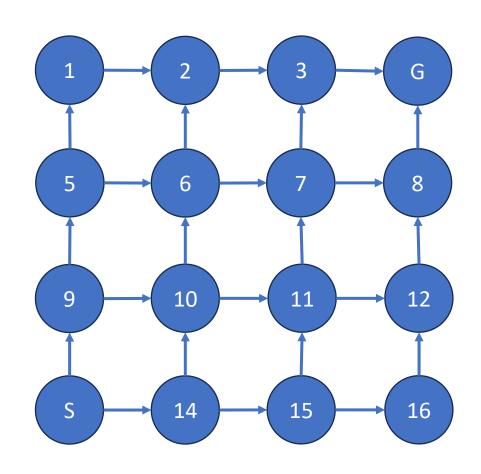
- Model-based methods
  - Dynamic programming
  - Heuristic search
  - Planning
- Model-free methods
  - Monte Carlo
  - Temporal Difference
  - Learning



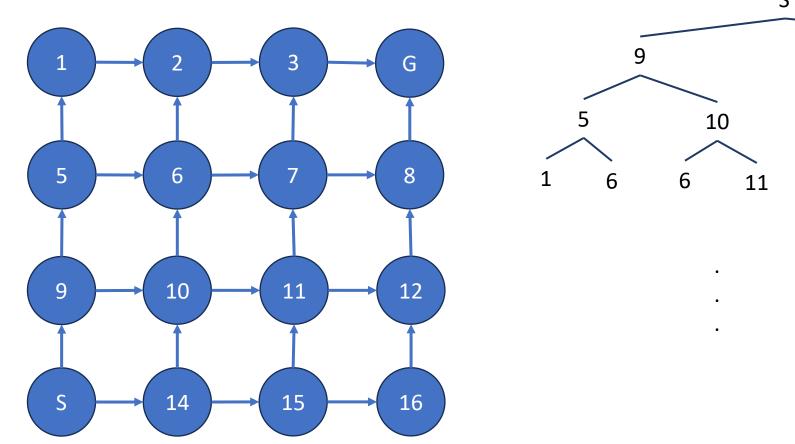
# Search problems are Models

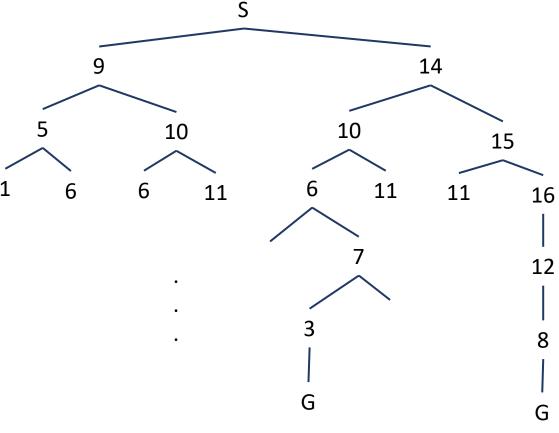


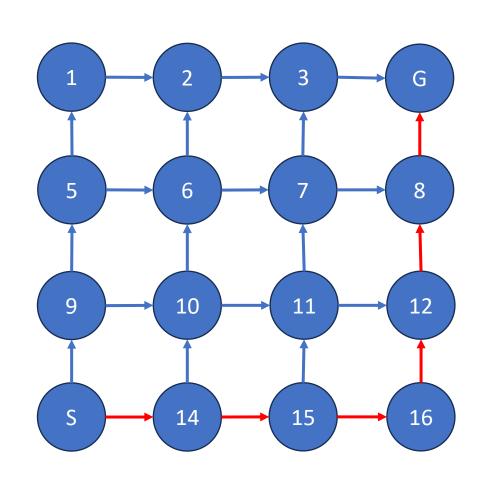


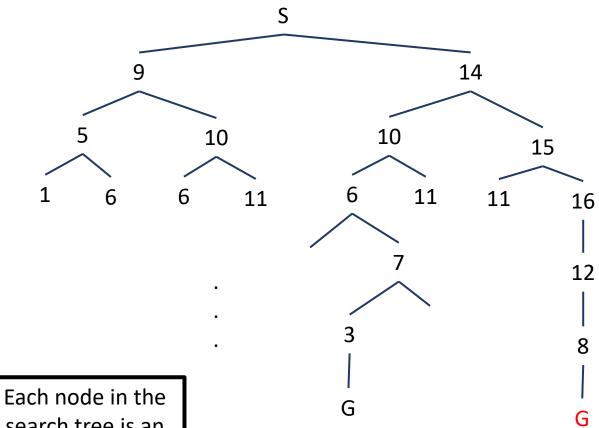




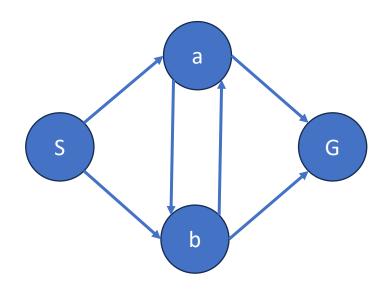




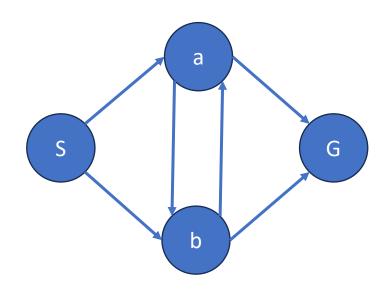




search tree is an entire PATH in the state space graph



How big is the search tree? (from S)

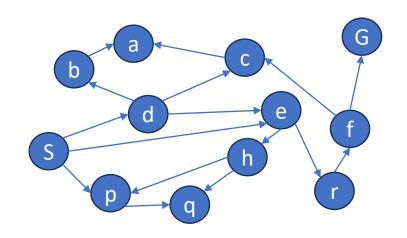


How big is the search tree? (from S)



Lots of repeated structure in the search tree!

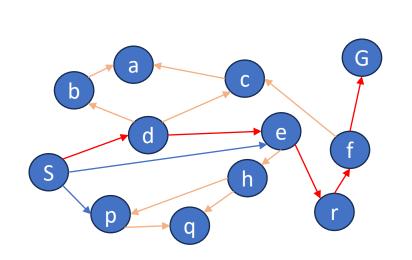
# Depth-First Search

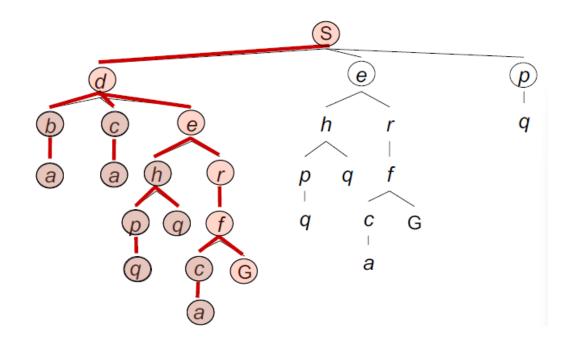


Strategy: Expand the deepest node first

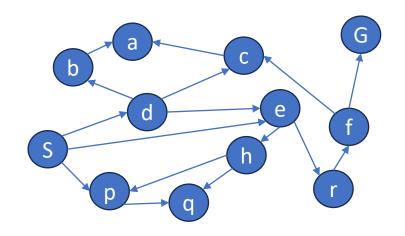


# Depth-First Search





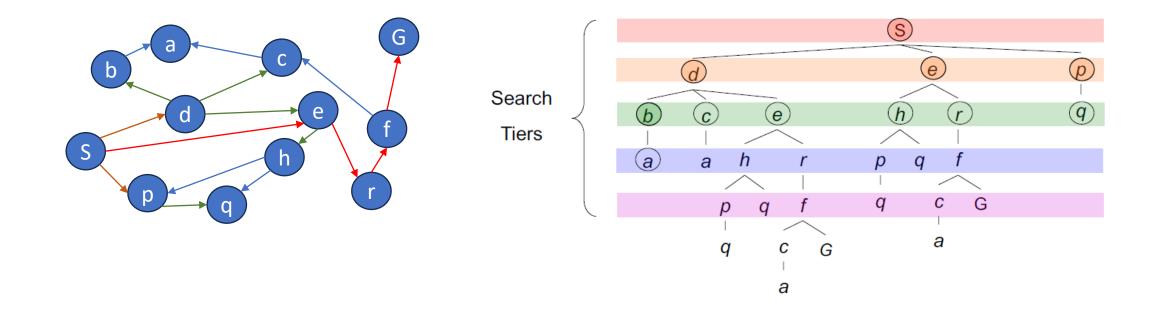
### Breadth-First Search



Strategy: Expand the shallowest node first



### Breadth-First Search





### Tabular methods

- Model-based methods
  - Dynamic programming
  - Heuristic search
  - Planning
- Model-free methods
  - Monte Carlo
  - Temporal Difference
  - Learning

#### **Similarities:**

- computation of value functions
- looking ahead to future events
- computing a backed-up value, and then using it as an update target for an approximate value function



## Reflex Agents vs Planning Agent

- Reflex Agent
  - Decision based on current perception
  - May have a model of the environment
  - Do not consider the future consequence of their action

- Planning Agent
  - Decision based on consequences of actions
  - Must have a model of the environment
  - Consider the future consequence of their action



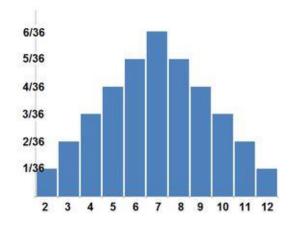
### Models

- a model of the environment:
   anything that an agent can use to predict how the
   environment will respond to its actions
   stochastic = several possible next states
- Distribution models: produce a description of all possibilities and their probabilities
- **Sample models**: produce just one of the possibilities, sampled according to the probabilities



### Example: 2 dice sum

Distribution model:



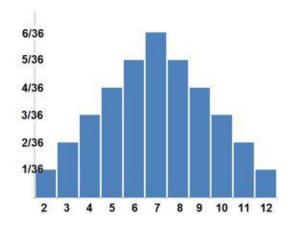
#### Sum of 2 Dice Chart

	1	2	3	4	5	6
1	2	3	4	5	6	7
2	3	4	5	6	7	8
3	4	5	6	7	8	9
4	5	6	7	8	9	10
5	6	7	8	9	10	11
6	7	8	9	10	11	12

Sample model
 Randomize 2 number between
 1 and 6 then sum it up = 1 sample

### Example: 2 dice sum

Distribution model:



Sum of 2 Dice Chart

	1	2	3	4	5	6
1	2	3	4	5	6	7
2	3	4	5	6	7	8
3	4	5	6	7	8	9
4	5	6	7	8	9	10
5	6	7	8	9	10	11
6	7	8	9	10	11	12

Sample model
 Randomize 2 number between
 1 and 6 then sum it up = 1 sample

Models can be used to mimic or simulate experience



# Planning and Learning



- Planning:
   Uses simulated experience generated by a model
- Learning:
  Use real experience generated by the environment



## Planning and Learning



- Planning:
   Uses simulated experience generated by a model
- Learning:
  Use real experience generated by the environment
- Goal: Common structure for planning and learning



### Pseudocode

#### Random-sample one-step tabular Q-planning

#### Loop forever:

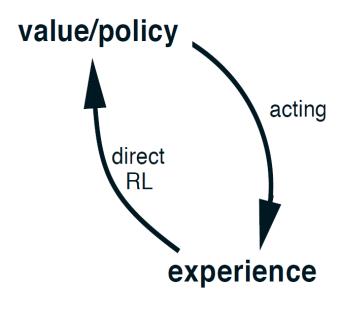
- 1. Select a state,  $S \in \mathcal{S}$ , and an action,  $A \in \mathcal{A}(s)$ , at random
- Send S, A to a sample model, and obtain a sample next reward, R, and a sample next state, S'
- 3. Apply one-step tabular Q-learning to S, A, R, S':  $Q(S, A) \leftarrow Q(S, A) + \alpha \left[ R + \gamma \max_{a} Q(S', a) Q(S, A) \right]$



### On-line planning problems

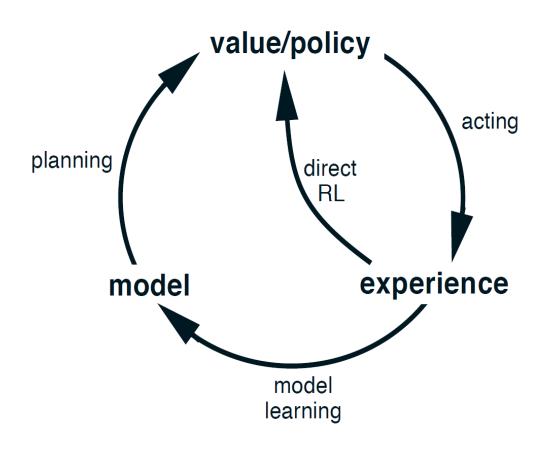
- New information gained from the interaction may change the model and thereby interact with planning
- If decision making and model learning are both computation-intensive processes, then the available computational resources may need to be divided between them.





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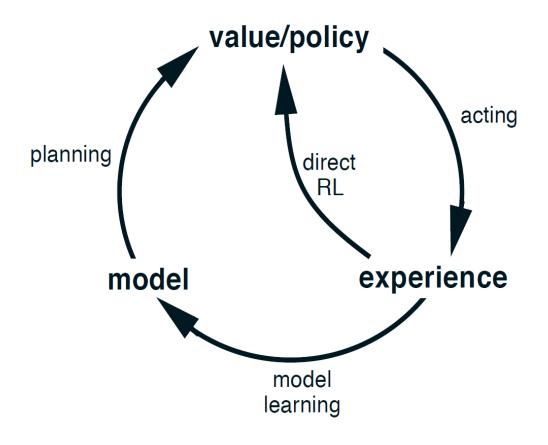




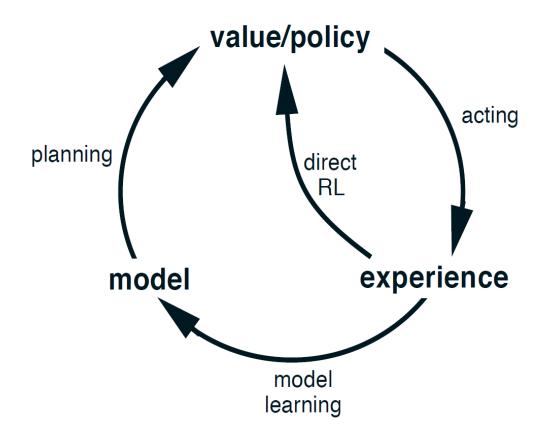
- Two roles for real experience:
  - model-learning or indirect reinforcement learning:

improve the model (to make it more accurately match the real environment)

 direct reinforcement learning (direct RL): directly improve the value function and policy



- Two roles for real experience:
  - model-learning or indirect reinforcement learning:
    - improve the model (to make it more accurately match the real environment)
      - Better use of limited amount of experience
      - Achieve a better policy with fewer environmental interactions
  - direct reinforcement learning (direct RL): directly improve the value function and policy
    - Much simpler
    - Not affected by biases in the design of the model







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Q-Learning

Observe s

Execute a, observe s', r

Update Q with <s,a,s',r>

Dyna-Q

Hallucinate experience

Update Q

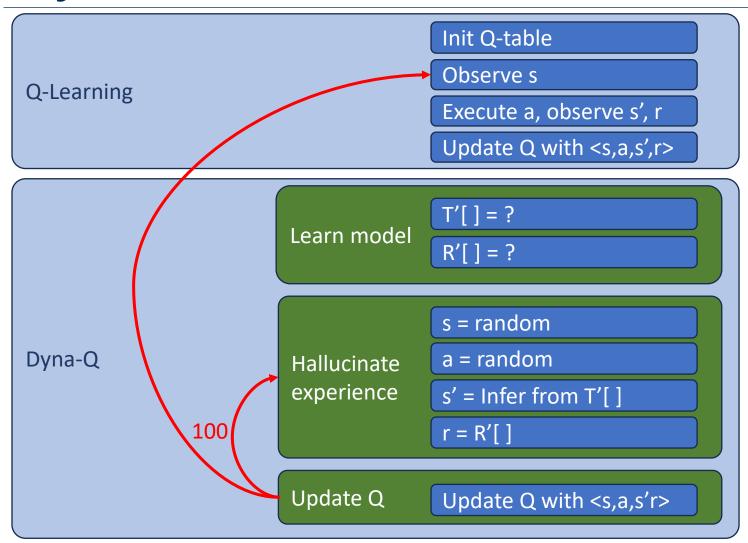


Init Q-table Observe s **Q-Learning** Execute a, observe s', r Update Q with <s,a,s',r> T'[] = ? Learn model R'[] = ? s = random Dyna-Q a = random Hallucinate experience s' = Infer from T'[] r = R'[]

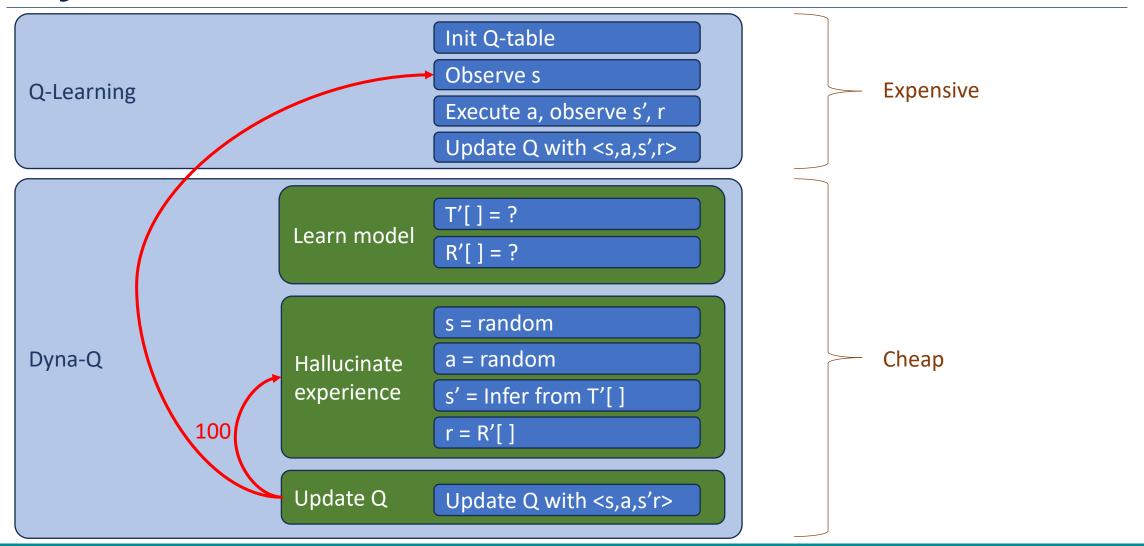


Update Q

Update Q with <s,a,s'r>

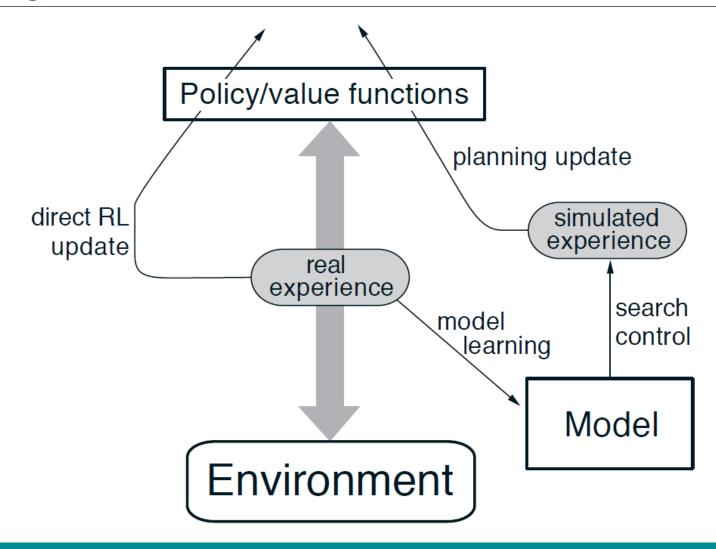








# General Dyna Architecture





### Pseudocode

#### Tabular Dyna-Q

Initialize Q(s,a) and Model(s,a) for all  $s \in S$  and  $a \in A(s)$ Loop forever:

- (a)  $S \leftarrow \text{current (nonterminal) state}$
- (b)  $A \leftarrow \varepsilon$ -greedy(S, Q)
- (c) Take action A; observe resultant reward, R, and state, S'
- (d)  $Q(S,A) \leftarrow Q(S,A) + \alpha [R + \gamma \max_a Q(S',a) Q(S,A)]$
- (e)  $Model(S, A) \leftarrow R, S'$  (assuming deterministic environment)
- (f) Loop repeat n times:

 $S \leftarrow$  random previously observed state

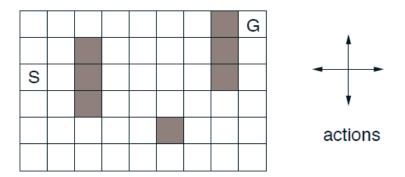
 $A \leftarrow$  random action previously taken in S

 $R, S' \leftarrow Model(S, A)$ 

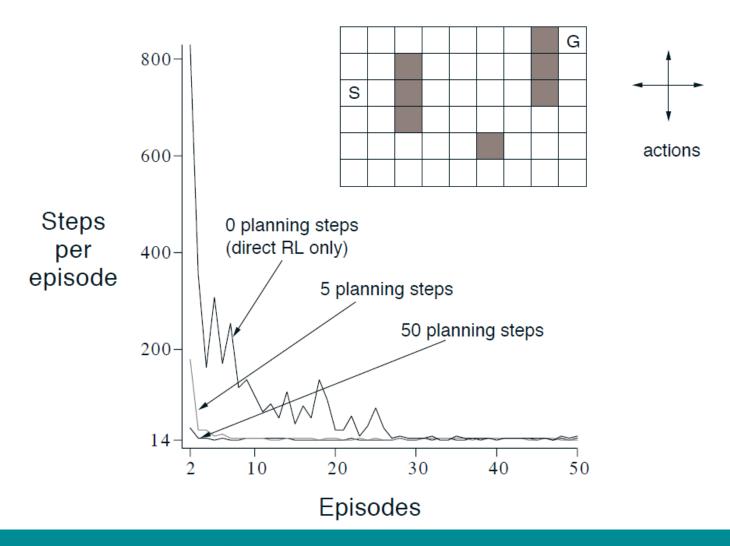
 $Q(S,A) \leftarrow Q(S,A) + \alpha \left[ R + \gamma \max_{a} Q(S',a) - Q(S,A) \right]$ 



# Dyna-Q agent in a maze

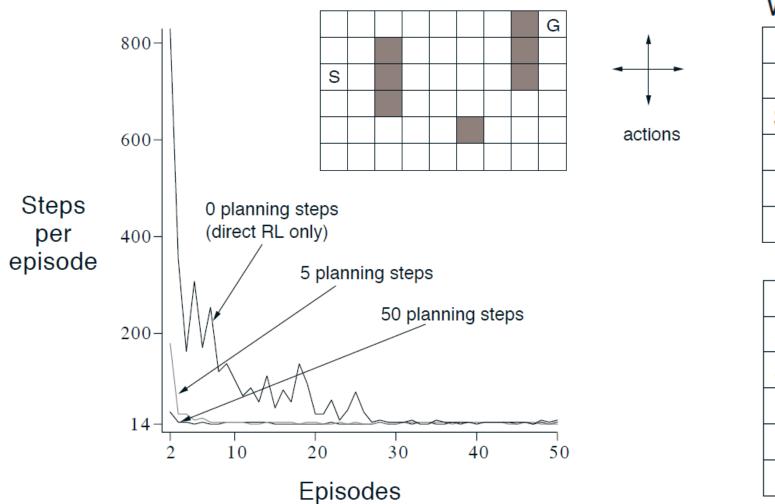


# Dyna-Q agent in a maze

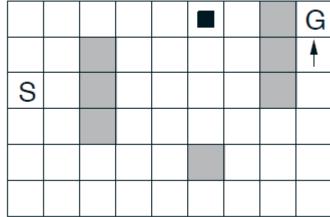




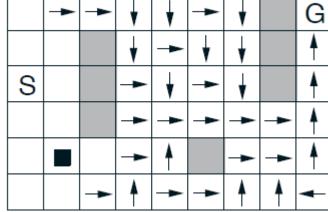
# Dyna-Q agent in a maze







#### WITH PLANNING (n=50)





Thank you for your attention!