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Reading: Chapter 2.1, 2.2, 3.1, 3.2

Exercises:

- 1. P22, 2.1-3
- 2. P29, 2.2-3
- 3. P53, 3.1-3
- 4. Show that  $2n^2 + 3n + 1 = \Theta(n^2)$
- \*5. reading: Appendix B.5,
- \*6. Compute the height of a complete 3-ary tree with n nodes.

#### 1. P22, 2.1-3

Pseudo code loosely based on python syntax conventions

```
1. i = NIL
2. for index from 1 to n:
3. // assume indexing of A starts from 1
4.    if A[index] == v:
5.         i = index
6.         break
7. return i
```

**Loop invariant:** At the start of each iteration, none of the elements in the checked subarray, i.e.  $\langle a_1, a_2 \dots a_{index-1} \rangle$  is equal to v.

**Initialization:** Trivially true at initialization, since checked subarray is NULL. **Maintenance:** If  $a_{index}$  is not equal to v,  $a_{index}$  is appended to the checked subarray, and

loop invariant is maintained. Otherwise, exit loop and we are done.

**Termination:** Loop terminates because either  $a_{index} == v$  or we have exhausted all *index*. In either case, nothing is appended to the checked subarray in the current iteration, so loop invariant is unchanged. In the latter case, no element of A is equal to v and NIL is returned.

#### 2. P29, 2.2-3

Let  $X \sim$  number of elements checked. Assume each element could be v with equal probability p.

Case 1: Assume at most one element of A is equal to v (so that elements are NOT i.i.d.).

$$E(X) = 1 \cdot p + 2p \cdot + \dots + n \cdot p + n(1 - np) = \frac{n(1 + n)p}{2} + n(1 - np)$$

The term n(1 - np) corresponds to the case where v is not found in A.

In the case of v existing in A with certainty, we sub in  $p = \frac{1}{n}$ ,

$$E(X) = \frac{1+n}{2} = \Theta(n)$$

Case 2: Any number of elements of A could be equal to  $\nu$  (so that elements are i.i.d.).

$$E(X) = 1 \cdot p + 2 \cdot p(1-p) + \dots + np(1-p)^{n-1} + n(1-p)^n$$

The last term corresponds to the case where v is not found in A. Simplifying,

$$E(X) = \frac{1 - (1 - p)^n}{p}$$

Since 
$$0 < \frac{(1-p)^n}{p} < \frac{1-p}{p}$$
,  $1 < E(X) < \frac{1}{p}$ . So, curiously,  $E(X) = \Theta(1)$ 

In either case, we have to check the entire A in the worst case, and the worst case time complexity is trivially  $\Theta(n)$ .

#### 3. P53, 3.1-3

The statement is equivalent to saying the asymptotic upper bound on running time is at least  $C_0n^2$  for some constant  $C_0$ . This seems to suggest the upper bound could be greater – unbounded in fact.

# 4. Show that $2n^2 + 3n + 1 = \Theta(n^2)$

Let 
$$f(n) = 2n^2 + 3n + 1$$

$$\exists \ C_1 = 1 \ \& \ C_2 = 3 \ \& \ n_0 = 4$$
 s.t.  $0 \le C_1 n^2 \le f(n) \le C_2 n^2$  for all  $n \ge n_0$ 

Hence,

$$f(n) = \Theta(n^2)$$

### \*6. Compute the height of a complete 3-ary tree with n nodes.

For a k-ary complete tree, with h levels,

$$n = 1 + k + k^2 + \dots + k^{h-1} = \frac{k^h - 1}{k - 1}$$

Rearranging and taking logs,

$$h = log_k(n(k-1) + 1)$$

Sub in k = 3

$$h = log_3 (2n + 1)$$