

CSCB36  
Summary Of Vassos Hadzilacos'

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Course notes for CSC B36/236/240

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# INTRODUCTION TO THE THEORY OF COMPUTATION



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# 1 PRELIMINARIES

**Sets:** A collection of objects (**Elements**).

If an object  $a$  is an element of set  $A$ , we say that  $a$  is a **member of**  $A$ ; denoted  $a \in A$

The collection that contains no elements is called the **empty** or **null set** denoted  $\emptyset$

**Cardinality/Size:** Number of elements in a set. The **cardinality** of set  $A$  is denoted  $|A|$ , and is a non-negative integer. If  $A$  has a infinite number of elements,  $|A| = \infty$ , and if  $A = \emptyset$ , then  $|A| = 0$ .

**Extensional Description:** Describing a set by listing its elements explicitly, e.g.  $A = \{1, 4, 5, 6\}$

**Intentional Description:** Describing a set by stating a property that characterizes its elements, e.g.  $A = \{x | x \text{ is a positive integer less than } 5\}$

Let  $A$  and  $B$  be sets.

If every element of  $A$  is also an element of  $B$ ,

then  $A$  is a **subset** of  $B$  ( $A \subseteq B$ ), and  $B$  is a **superset** of  $A$  ( $B \supseteq A$ ).

If  $A \subseteq B$  and  $B \subseteq A$ , then  $A$  is **equal** to  $B$  ( $A = B$ ).

If  $A \subseteq B$  and  $A \neq B$ , then  $A$  is a **proper subset** of  $B$ ; ( $A \subset B$  and  $B$ ) is a **proper superset** of  $A$  ( $B \supset A$ ).

**Note** the empty set is a subset of every set, and a proper subset of every set other than itself.

The **union** of  $A$  and  $B$  ( $A \cup B$ ), is the set of elements that belong to  $A$  or  $B$  (or both).

The **intersection** of  $A$  and  $B$  ( $A \cap B$ ), is the set of elements that belong to both  $A$  and  $B$ .

If no elements belongs to both  $A$  and  $B$ , their intersection is empty, and they are **disjoint** sets.

The **difference** of  $A$  and  $B$ , ( $A - B$ ), is the set of elements that belong to  $A$  but do not belong to  $B$ .

Note that:  $A - B = \emptyset \iff A \subseteq B$

The **union** and **intersection** can also be defined for an arbitrary (even infinite) number of sets.

let  $I$  be a set of indices, such that for each  $i \in I$  there is a set  $A_i$

$$\cup_{i \in I} A_i = \{x : \text{for some } i \in I, x \in A_i\}$$

$$\cap_{i \in I} A_i = \{x : \text{for each } i \in I, x \in A_i\}$$

The **powerset** is the set of subsets, e.g.  $A = \{a, b, c\}$ ,  $\mathcal{P}(A) = \{\emptyset, \{a\}, \{b\}, \{c\}, \{a, b\}, \{a, c\}, \{b, c\}, \{a, b, c\}\}$

**Partition** of a set  $A$ , is pairwise disjoint subsets of  $A$  whose union is  $A$ . A partition of set  $A$  is a set  $\mathcal{X} \subseteq \mathcal{P}(A)$ , such that:

- (i) for each  $X \in \mathcal{X}$ ,  $X \neq \emptyset$
- (ii) for each  $X, Y \in \mathcal{X}$ ,  $X \neq Y$
- (iii)  $\cup_{X \in \mathcal{X}} X = A$

**Ordered Pair:** A mathematical construction that bundles two objects  $a, b$  together, in a particular order, denoted  $(a, b)$ . By this definition,  $(a, b) = (c, d) \iff a = c \wedge b = d$  and  $(a, b) \neq (b, a)$  unless  $a = b$ .

We define an ordered pair  $(a, b)$  as the set  $\{\{a\}, \{a, b\}\}$ . We can also define ordered triples as ordered pairs,  $(a, b, c)$  can be defined as  $(a, (b, c))$ . This definition holds for ordered quadruples, quintuples, and ordered  $n$ -tuples for any integer  $n > 1$ .

**Cartesian Product** of  $A$  and  $B$  is denoted  $A \times B$  and is the set of ordered pairs  $(a, b)$  where  $a \in A, b \in B$ .  $|A \times B| = |A| \cdot |B|$ , note that if  $A, B$  are distinct nonempty sets,  $A \times B \neq B \times A$

The Cartesian product of  $n > 1$  sets  $A_1, A_2, \dots, A_n$  denoted  $A_1 \times A_2 \times \dots \times A_n$ , is the set of ordered  $n$ -tuples  $(a_1, a_2, \dots, a_n)$ , where  $a_i \in A_i, i \in [1, n]$

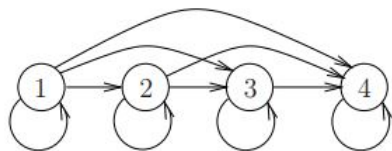
**Relation**  $R$  between sets  $A$  and  $B$  is a subset of the Cartesian product  $A \times B$  ( $R \subseteq A \times B$ ).

**Arity:** number of sets involved in the relation.

Two relations are **equal** if they contain exactly the same sets of ordered pairs. The two relations must refer to the exact same set of ordered pairs.

A binary relation (**arity 2**) between elements of the **same** set, can be represented graphically as a **directed graph**.

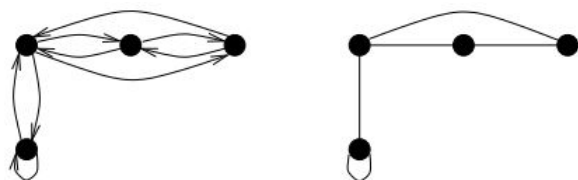
E.g.  $R = \{(a, b) | a, b \in \{1, 2, 3, 4\} \text{ and } a \leq b\}$



$R$  is a **reflexive** relation, if for each  $a \in A$ ,  $(a, a) \in R$ , e.g. the relation  $a \leq b$  between integers is reflexive, while  $a < b$  is not.

$R$  is a **symmetric** relation if for each  $a, b \in R$ ,  $(b, a) \in R$ ,

E.g.  $R_1 = \{(a, b) | a \text{ and } b \text{ are persons with atleast one parent in common}\}$  is symmetric. In the directed graph that represents a symmetric relation, whenever there is an arrow from  $a$  to  $b$ , there is an arrow from  $b$  to  $a$ . We can represent this with an **undirected graph**.



directed graph on the left, undirected graph on the right

$R$  is a **transitive** relation if for each  $a, b, c \in A$ ,  $(a, b) \in R \wedge (b, c) \in R \longrightarrow (a, c) \in R$ ,

E.g.  $R = \{(a, b) | a \text{ and } b \text{ are persons and } a \text{ is an ancestor of } b\}$

We see that if  $a$  is an ancestor of  $b$ , and  $b$  is an ancestor of  $c$ , then  $a$  is an ancestor of  $c$ .

$R$  is an **equivalence relation** if it is reflexive, symmetric and transitive,

E.g.  $R = \{(a, b) | a \text{ and } b \text{ are persons with the same parents}\}$

$a$  and  $a$  are the same person, thus have the same parents ( $(a, a) \in R$ ),  $R$  is **reflexive**.

$a$  and  $b$  share the same parents,  $b$  and  $a$  share the same parents ( $(a, b) \in R, (b, a) \in R$ ),  $R$  is **symmetric**.

$a$  and  $b$  share the same parents,  $b$  and  $c$  share the same parents,  $a$  and  $c$  must share the same parents.  $R$  is **transitive**.

thus we say  $R$  is an **equivalence relation**.

Let  $R$  be an equivalence relation and  $a \in A$ . The **equivalence class** of  $a$  under  $R$  is defined as the set  $R_a = \{b | (a, b) \in R\}$ , i.e., the set of all elements that are related to  $a$  by  $R$ .

If  $R$  is reflexive, then we know  $\forall a \in A, R_a \neq \emptyset$

If  $R$  is transitive, then we know  $\forall a, b \in R, R_a \neq R_b \longrightarrow R_a \cap R_b = \emptyset$

$R$  is **partial order** if it is anti-symmetric and transitive.

$R$  is **total order** if it is partial order and satisfies for each  $a, b \in A$ , either  $(a, b) \in R$  or  $(b, a) \in R$ .

Let  $A$  and  $B$  be sets. A **function**  $f$  from  $A$  to  $B$  is a special kind of relation where each element  $a \in A$  is associated with one element in  $B$ .

The relation  $f \subseteq A \times B$  is a **function** if for each  $a \in A$  there is exactly one  $b \in B$  such that  $(a, b) \in f$ .

We write  $f : A \rightarrow B$  to denote that  $f$  is a function from  $A$  to  $B$ , where  $A$  is the **domain** of the function, and  $B$  is the **range**.

The function  $f : A \rightarrow B$  is:

**onto/surjective** if for every  $b \in B$  there is at least one  $a \in A$  such that  $f(a) = b$

**one-to-one/injective** if for every element  $b \in B$ , there is at most one element  $a \in A$  such that  $f(a) = b$

**bijective** if it is **one-to-one** and **onto**, if  $f : A \rightarrow B$  is a bijection, then  $|A| = |B|$ .

The **restriction** of a function  $f : A \rightarrow B$  is to a subset  $A'$  of its domain, denoted  $f|_{A'}$ , is a function  $f' : A' \rightarrow B$  such that for every  $a \in A$ ,  $f'(a) = f(a)$ .

An **initial segment**  $I$  of  $\mathbb{N}$  is a subset of  $\mathbb{N}$  with the following property: for any element  $k \in I$ , if  $k > 0$  then  $k - 1 \in I$ . Thus, an initial segment of  $\mathbb{N}$  is either the empty set, or the set  $\{0, 1, 2, \dots, k\}$  for some nonnegative integer  $k$ , or the entire set  $\mathbb{N}$

let  $A$  be a set. A **sequence over**  $A$  is a function  $\sigma : I \rightarrow A$ , where  $I$  is an initial segment of  $\mathbb{N}$ .

Intuitively  $\sigma(0)$  is the first element in the sequence,  $\sigma(1)$  is the second and so on. if  $I = \emptyset$ , then  $\sigma$  is the **empty** or **null** sequence, denoted  $\epsilon$ . if  $I = \mathbb{N}$  then  $\sigma$  is an infinite sequence; otherwise it is a finite sequence. The **length** of  $\sigma$  is  $|I|$  -i.e., the cardinality of  $I$ .

let  $\sigma : I \rightarrow A$  and  $\sigma' : I' \rightarrow A$  be sequences over the same set  $A$ , and suppose that  $\sigma$  is finite.

Informally, the **concatenation** of  $\sigma$  and  $\sigma'$ , denoted  $\sigma \circ \sigma'$  and sometimes as  $(\sigma\sigma')$ , is the sequence over  $A$  that is obtained by juxtaposing the elements of  $\sigma'$  after the elements of  $\sigma$ .

More precisely, if  $I' = \mathbb{N}$  (i.e.,  $\sigma$  is infinite), then if we let  $J = \mathbb{N}$ ; otherwise let  $J$  be the initial segment  $\{0, 1, \dots, |I| + |I'| - 1\}$ . Then  $\sigma \circ \sigma' : J \rightarrow A$ , where for any  $i \in I$ ,  $\sigma \circ \sigma'(i) = \sigma(i)$ , and for any  $i \in I$ ,  $\sigma \circ \sigma'(|I| + i) = \sigma'(i)$ .

Informally, the **reversal** of  $\sigma$ , denoted  $\sigma^R$ , is the sequence of the elements of  $\sigma$  in reversed order, more precisely,  $\sigma^R : I \rightarrow A$  is the sequence so that, for each  $i \in I$ ,  $\sigma^R(i) = \sigma(|I| - 1 - i)$ .

Since, strictly speaking, a sequence is a function of a special kind, sequences  $\sigma : I \rightarrow A$  and  $\sigma' : I \rightarrow A$  is equal if and only if, for every  $k \in I$ ,  $\sigma(k) = \sigma'(k)$ .

From the definitions of concatenation and equality of sequences, it is easy to verify the following facts:

1. For any sequences  $\sigma, \epsilon \circ \sigma = \sigma$ ; if  $\sigma$  is finite,  $\sigma \circ \epsilon = \sigma$ .
2. For any sequences  $\sigma, \tau$  over the same set, if  $\sigma$  is finite and  $\sigma \circ \sigma = \sigma$ , then  $\sigma = \epsilon$ ; if  $\sigma$  is finite and  $\sigma \circ \sigma = \sigma$ , then  $\sigma = \epsilon$ .

A sequence  $\sigma$  is a **subsequence** of sequence  $\tau$  if the elements of  $\sigma$  appear in  $\tau$  and do so in the same order. for example  $\langle b, c, f \rangle$  is a subsequence of  $\langle a, b, c, d, e, f, g \rangle$ . Note that we do not require elements of  $\sigma$  to be consecutive elements of  $\tau$ , we only require that they appear in the same order as they do in  $\tau$ .

If, in fact, the elements of  $\sigma$  are consecutive elements of  $\tau$ , we say that  $\sigma$  is a **contiguous subsequence** of  $\tau$ . Formally the definition of the subsequence relationship between sequences is as follows. let  $A$  be a set,  $I$  and  $J$  be initial segments of  $\mathbb{N}$  such that  $|I| \leq |J|$ , and  $\sigma : I \rightarrow A, \tau : J \rightarrow A$  be sequences over  $A$ . The sequence  $\sigma$  is a subsequence of  $\tau$  if there is an increasing function  $f : I \rightarrow J$  so that, for all  $i \in I$ ,  $\sigma(i) = (\tau(f(i)))$ . If  $\sigma$  is a subsequence of  $\tau$  and is not equal to  $\tau$ , we say that  $\sigma$  is a **proper subsequence** of  $\tau$ .

An **alphabet** is a nonempty set  $\Sigma$ ; the elements of an alphabet are called its **symbols**. A **string** (over alphabet  $\Sigma$ ) is simply a finite sequence over  $\Sigma$ .

The empty sequence is a string and is denoted, as usual,  $\epsilon$ . The set of all strings over alphabet  $\Sigma$  is denoted  $\Sigma^*$ . Note that  $\epsilon \in \Sigma^*$ , for any alphabet  $\Sigma$ .

**Operations on strings and languages** (From Lecture)

ill

$\Sigma = \{0, 1\}$ , A string over  $\Sigma$  is a finite sequence like  $x = \langle 1, 0, 1, 1 \rangle$

**Reversal:**  $x^R = (1011)^R = 1101$

**Concatenation:**  $x^k = x \cdot x \dots x$  where  $x$  is concatenated  $k$  times.

**Equality:**  $x = y$  means  $|x| = |y|$  and the  $i$ th element of  $x$  is equal to the  $i$ th element of  $y$ .

**Substring:**  $y = a_1 a_2 \dots a_i \dots a_j \dots a_n$  and  $x = a_i \dots a_j$  then  $x$  is a substring. by this definition, every string is a substring of itself.

**Proper Substring:** an  $x$  substring of  $y$  where  $|x| < |y|$

A **language** over  $\Sigma$  is a nonempty subset of  $\Sigma^*$

Recall that the set of all strings over alphabet  $\Sigma$  is denoted  $\Sigma^*$ .

A complementation:  $\Sigma = \Sigma^* - L$ , for a language  $L$ .

**Union & Intersection:**  $L \cup L'$ ,  $L \cap L'$

**Concatenation:**  $L \cdot L' = LL = \{xy | x \in L, y \in L'\}$ ,  $\emptyset \cdot L' = \emptyset$

Suppose  $|L|, |L'|$  are finite,  $|L \cdot L'| \leq |L| \cdot |L'|$ . In general

**(Kleene) Star:**  $L^* = \{x | x = \epsilon \vee x = y_1 \cdot y_2 \dots y_k, k \geq 0, y_1, \dots, y_k \in L\}$

For example  $L = \{a, b\}$ ,  $L^* = \{\epsilon, a, b, aa, ab, ba, bb, aaa, aab, abb, \dots\}$

**Exponentiation:**  $L^k = L \cdot L \cdot L \dots L$   $L$  concatenated 10 times.

$$L^k = \begin{cases} \{\epsilon\}, & k = 0 \\ \{L^{k-1} \cdot L\}, & k > 0 \end{cases}, L^* = \bigcup_{k \in \mathbb{N}} L^k$$

**Reversal:**  $(L^R)$  or  $Rev(L) = \{x^R | x \in L\}$

Since strings are simply (finite) sequences over a specified set, various notions defined for sequences apply to string as well. In particular, this is the case for the notion of length which must now be a natural number, and cannot be inf. We use the term **substring** as synonymous to contiguous subsequence.

Let  $A$  be a finite set, A **permutation** of  $A$  is a sequence in which every element of  $A$  appears once and only once. For example if  $A = \{a, b, c, d\}$  then  $\langle b, a, c, d \rangle, \langle a, c, d, b \rangle \dots$

Sometimes we speak of permutations of a sequence rather than a set. In this case, the definition is as follows: Let  $\alpha : I \rightarrow A$  and  $\beta : I \rightarrow A$  be finite sequences over  $A$ . The sequence  $\beta$  is a permutation of  $\alpha$  if there is a bijective function  $f : I \rightarrow I$  so that for every  $i \in I$ ,  $\beta(i) = \alpha(f(i))$

## 2 INDUCTION

### Fundamental properties of the natural numbers

The natural numbers are nonnegative integers  $0, 1, \dots$  denoted  $\mathbb{N}$ ;

**Principle of well-ordering:** Any nonempty subset  $A$  of  $\mathbb{N}$  contains a minimum element; i.e.,  $\forall A \subseteq \mathbb{N}, \exists: A \neq \emptyset, \exists a \in A, \exists: \forall a' \in A, a \leq a'$

This applies to all nonempty subsets of  $\mathbb{N}$  and to infinite subsets of  $\mathbb{N}$ . This principle does not apply to other sets.

### Simple induction

Let  $A$  be any set that satisfies the following properties:

$$0 \in A$$

$$\forall i \in \mathbb{N}, i \in A \Rightarrow i + 1 \in A$$

Then  $A$  is a superset of  $\mathbb{N}$ .

### Complete induction

Let  $A$  be any set that satisfies the following property:

$\forall i \in \mathbb{N}$ , if every natural number less than  $i \in A$  then  $i \in A$ .

Then  $A$  is a superset of  $\mathbb{N}$ .

This principle is similar to the principle of simple induction, although there are some differences.

The requirement that  $0 \in A$  is implicit as for any  $i \in \mathbb{N}$ ,  $0 \leq i \Rightarrow 0 \in A$ . The second difference is we require  $i$  to be an element of  $A$  if all elements preceding  $i$  are in  $A$ . In contrast, we require  $i \in A$  if  $i - 1 \in A$ .

### Equivalence of the three principles

**Theorem 1.1** The principle of well-ordering, induction, and complete induction are equivalent.

**Proof.** We prove this by establishing a "cycle" of implications. Specifically, we prove that (a) well-ordering implies induction, (b) induction implies complete induction, and (c) complete induction implies well ordering.

(a) well-ordering implies induction: Assume that the principle of well-ordering holds. We will prove that principle of induction is also true.

let  $A$  be a set that satisfies the following:

1.  $0 \in A$
2.  $\forall i \in \mathbb{N}, i \in A \Rightarrow i + 1 \in A$

We suppose that  $A \not\supseteq \mathbb{N}$ . Then there must exist a nonempty set  $A' = \mathbb{N} - A$ . By the principle of well ordering,  $A'$  has a minimum element  $a'$ , by (1),  $a' \neq 0$  because  $0 \in A$ ,  $a' \notin A$ . Thus  $a' > 0$  and  $a' - 1$  is a natural number. But since  $a'$  is the minimum element of  $A'$ ,  $a' - 1 \notin A'$ , and therefore  $a' - 1 \in A$ . By (2), as  $a' - 1 \in A$ ,  $a' \in A$  and we arrive at a contradiction, thus  $A \supseteq \mathbb{N}$

(b) induction implies complete induction.

Again we try to prove that  $A \supseteq \mathbb{N}$ . To this end, define the set  $B$ ,

$B = \{i \in \mathbb{N} \mid \text{every natural number smaller than or equal to } i \text{ is in } A\}$ .

In other words,  $B$  is the initial segment of  $\mathbb{N}$  up to but not including the smallest number that is not in  $A$ .

We see that  $B \subseteq A$ . Thus to prove that  $A \supseteq \mathbb{N}$  it suffices to prove that  $B \supseteq \mathbb{N}$

1. We have  $0 \in B$  because (1) implies  $0 \in A$ .
2. For any  $i \in \mathbb{N}$ ,  $i \in B \Rightarrow i + 1 \in B$ .

These two above satisfy the conditions to use principle of induction (which we assume holds), we have  $B \supseteq \mathbb{N}$ , as wanted.

(c) Complete induction implies well-ordering: Assume that the principle of complete induction holds. Let  $A$  be any subset of  $\mathbb{N}$  that has no minimum element. To prove that the principle of well-ordering holds, it suffices to show that  $A$  is empty.

For any  $i \in \mathbb{N}$ , if every natural number less than  $i$  is not in  $A$ ,  $i$  is not in  $A$  either, otherwise,  $i$  would be the minimum element of  $A$ , and we are assuming that  $A$  has no minimum element. Let  $A' = \mathbb{N} - A$ . Thus any natural number  $i$  is in  $A$  if and only if  $i$  is not in  $A'$ .

Therefore, for any  $i \in \mathbb{N}$ , if every natural number less than  $i$  is in  $A'$ , then  $i$  is also in  $A'$ . By the principle of complete induction,  $A' \supseteq \mathbb{N}$ . Therefore  $A$  is empty, as wanted.  $\square$

### Mathematical induction

Mathematical induction is a proof technique which can often be used to prove that a certain statement is true for all natural numbers.

For example:

1.  $P_1(n)$  : The sum of all natural numbers up to and including  $n$  is equal to  $n(n+1)/2$
2.  $P_2(n)$  : If a set  $A$  has  $n$  elements, then the powerset of  $A$  has  $2^n$  elements.
- ...

In general, a predicate of a natural number  $n$  may be true for all values of  $n$ , for some values of  $n$ , or for no values of  $n$ .

**Examples of Induction:**

**Example 1.**  $S(n) : \sum_{t=0}^n t = \frac{n(n+1)}{2}$

BASIS:  $n = 0$ . In this case,  $S(0)$  states that  $0 = 0 \cdot 1/2$ , which is obviously true. Thus  $S(0)$

INDUCTION STEP: Let  $i$  be an arbitrary natural number and assume that  $S(i)$  holds,

i.e.,  $\sum_{t=0}^i t = \frac{i(i+1)}{2}$ . This is our inductive hypothesis.

WTS:  $S(i+1)$ . i.e.,  $\sum_{t=0}^{i+1} t = \frac{(i+1)(i+2)}{2}$

$$\begin{aligned} \sum_{t=0}^{i+1} t &= \sum_{t=0}^i t + (i+1) \text{ [Definition of Addition]} \\ &= \frac{i(i+1)}{2} + (i+1) \text{ [IH]} \\ &= \frac{2(i+1) + i(i+1)}{2} \text{ [Algebra]} \\ &= \frac{2i + 2 + i^2 + i}{2} \text{ [Algebra]} \\ &= \frac{i^2 + 3i + 2}{2} = \frac{(i+1)(i+2)}{2} \text{ [Algebra]} \end{aligned}$$

Therefore  $S(i+1)$  as wanted.  $\square$

**Example 2.**  $P(k)$  : any set of  $k$  elements has  $2^k$  subsets.

BASIS:  $k = 0$ , then our set is  $\{\}$  (the empty set), and as the empty set is a subset of itself, it is the only subset. We see that it indeed has  $2^0 = 1$  subset(s). Thus,  $P(0)$

INDUCTION STEP: Let  $i$  be an arbitrary natural number, assume that  $P(i)$  holds, that is a set of  $i$  elements has exactly  $2^i$  subsets. [IH]

WTS:  $P(i+1)$ . that is a set of  $i+1$  elements has exactly  $2^{i+1}$  subsets.

Consider an arbitrary set with  $i+1$  elements, say  $A = \{a_1, a_2, \dots, a_{i+1}\}$ . Consider two types of subsets of  $A$  : those that contain  $a_{i+1}$  and those that do not. let  $\mathcal{Y}$  be the set of subsets of  $A$  that contain  $a_{i+1}$  and  $\mathcal{N}$  be the set of subsets of  $A$  that do not.

First we see that  $\mathcal{N}, \mathcal{Y}$  have the same number of elements. Next we see that they both have exactly  $i$  elements.

By the inductive hypothesis,  $\mathcal{N}, \mathcal{Y}$  have exactly  $2^i$  elements each.

Finally, we note that these sets of subsets are distinct, that is to say  $\mathcal{N} \cap \mathcal{Y} = \{\}$  as every subset in  $\mathcal{N}$  will not contain  $a_{i+1}$  and every subset in  $\mathcal{Y}$  will.

Since they are distinct,  $|\mathcal{Y} \cup \mathcal{N}| = |\mathcal{Y}| + |\mathcal{N}| = 2^i + 2^i = 2 \cdot 2^i = 2^{i+1}$  as wanted.  $\square$

**Example 3.** For any  $m, n \in \mathbb{N}$ , there are exactly  $n^m$  functions from any set of  $m$  elements to any set of  $n$  elements.

We prove this by using induction,

$P(m)$  : for any  $n \in \mathbb{N}$ , there are exactly  $n^m$  functions from any set of  $m$  elements to any set of  $n$  elements or

$Q(n)$  : for any  $m \in \mathbb{N}$ , there are exactly  $n^m$  functions from any set of  $m$  elements to any set of  $n$  elements

Proving either of these would give the desired proposition, but the first predicate is far easier.

BASIS:  $m = 0$ ,  $P(0)$  states that for any integer  $n \in \mathbb{N}$ , there are exactly  $n^0$  functions from any set of  $m$  elements to any set of  $n$  elements. But since we consider functions that map zero elements to  $n$  elements, there is only one function whose domain is empty, the empty function.

INDUCTION STEP: Let  $i$  be an arbitrary natural number, suppose that for  $P(i)$ , i.e, there are  $n^i$  functions that map from any set of  $i$  elements to any set of  $n$  elements. [IH]

WTS:  $P(i+1)$ ; i.e., there are  $n^{i+1}$  functions that map a set of  $i+1$  elements to a set of  $n$  elements.

let  $A$  be an arbitrary set with  $i+1$  elements, say  $A = \{a_1, a_2, \dots, a_{i+1}\}$ , and  $B$  be an arbitrary set of  $n$  elements, say  $B = \{b_1, b_2, \dots, b_n\}$ . Let's fix a particular element of  $a_{i+1}$ , and group the functions from  $A \rightarrow B$  according to the element of  $B$  which  $a_{i+1}$  gets mapped.

$$\begin{aligned} X_1 &= \text{the set of functions from } A \rightarrow B \text{ that map } a_{i+1} \text{ to } b_1 \\ X_2 &= \text{the set of functions from } A \rightarrow B \text{ that map } a_{i+1} \text{ to } b_2 \\ X_3 &= \text{the set of functions from } A \rightarrow B \text{ that map } a_{i+1} \text{ to } b_3 \\ &\vdots \\ X_n &= \text{the set of functions from } A \rightarrow B \text{ that map } a_{i+1} \text{ to } b_n \end{aligned}$$

Every functions from  $A \rightarrow B$  belongs to one and only one of these sets. Notice that for any  $j \in [1, n]$ ,  $X_j$  contains as many functions there are from the set  $A' = \{a_1, a_2, \dots, a_i\} \rightarrow B$ . This is because  $X_j$  contains the functions from  $\{a_1, a_2, \dots, a_i, a_{i+1}\}$  to  $B$  where, however, we have specified the element to which  $a_{i+1}$  is mapped; the remaining elements of  $A$  can get mapped to the elements of  $B$  in any possible way, by induction hypothesis,  $|X_j| = n^i$ , for each  $j \in [1, n]$ .

$$|X_1| + |X_2| + \dots + |X_n| = n^i + n^i + \dots + n^i = n \cdot n^i = n^{i+1}, \square$$

**Example 4.** Let  $m, n$  be natural numbers such that  $n \neq 0$ . The division of  $m$  by  $n$  yields a quotient a remainder - i.e., unique natural numbers  $q, r$  such that  $m = nq + r$  where  $r < n$ .

Define predicate  $p(m)$  : for any  $n \in \mathbb{N}, n \neq 0, \exists q, r \in \mathbb{N}, \exists: m = q \cdot n + r$  where  $r < n$ .

BASIS:  $m = 0$ , if we let  $q = r = 0$  then for any  $n \in \mathbb{N}, 0 = 0 \cdot n + 0$ , thus  $P(0)$

INDUCTIVE STEP: Let  $i \geq 0$  be an arbitrary natural number, and suppose  $P(i)$ ;  $\forall n \in \mathbb{N}, \exists q, r \in \mathbb{N}, \exists: i = nq + r, r < n$

WTS:  $P(i+1)$  that is for any natural number  $n \neq 0$ , there are  $q', r' \in \mathbb{N}, \exists: i+1 = q' \cdot n + r', r' < n$ . Since  $r < n$ , we have two cases to consider, either  $r < n-1$  or  $r = n-1$

CASE 1.  $r < n-1$ . In this case we have  $i = nq + r$  by [IH], thus  $i+1 = nq + r + 1$

let  $q' = q, r' = r + 1$ , since  $r < n-1, r' = r + 1 < n$ , we see that  $i+1 = q'n + r'$

CASE 2.  $r = n-1$ . In this case again we have  $i = nq + r$  by [IH], where  $i+1 = nq + r + 1$  however we have  $r = n-1$

Thus  $i+1 = nq + n-1 + 1 = nq + n$ , letting  $q' = q + 1, r' = 0, i+1 = nq' + r'$

We have shown that  $P(i+1)$  holds for either case of  $r$  now we must show uniqueness.

Suppose that there exists  $m, n \in \mathbb{N}, n \neq 0$  let  $q, q', r, r' \in \mathbb{N}, \exists: m = q \cdot n + r = q' \cdot n + r', r, r' < n$

$(q-q') \cdot n = r' - r$ , lets assume WLOG that  $q \geq q' \Rightarrow q - q' \geq 0 \Rightarrow q - q' \geq 1$  which means that  $(q-q') \cdot n \geq n$ , but we see that  $r - r' \geq n$  must hold which is impossible, since  $r' < n$  and  $r \geq 0$ . Thus  $q - q' = 0 = r - r'$

In other words, they are unique, as wanted.

### Complete Induction

In carrying out the induction step of an inductive proof, we sometimes discover that to prove  $P(i+1)$  holds, it would be helpful to know that  $P(j)$  holds for more values of  $j$  that are smaller than  $i+1$ . For example, it might be that to prove  $P(i+1)$  holds, we need to use the fact that  $P(\lfloor i/2 \rfloor)$  holds and  $P(i)$  holds.

Let  $P(n)$  be a predicate of natural numbers,  $\forall i \in \mathbb{N}, \forall j < i, P(j) \Rightarrow P(i)$



A typical proof of complete induction is as follows:

1. We let  $i \in \mathbb{N}$
2. We assume that  $P(j)$  for all natural numbers  $j < i$  [IH]
3. Using the inductive hypothesis, we prove  $P(i)$

Sometimes complete induction takes a different form, where it has explicit base cases. For example odd and even integers.

### Bases other than zero

As with simple induction, sometimes we need to prove that a predicate holds, for all natural numbers greater than or equal to some constant  $c$ . We can prove such a statement by using variants of complete induction. For all  $i \in \mathbb{N}$ ,  $i \geq c$ , if  $P(j)$  holds for all  $c \leq j < i$ , then  $P(i)$  holds.

### Example 1.

$P(n)$  :  $n$  has a prime factorization.

We use complete induction to prove that  $P(n)$  holds for all natural  $n \geq 2$ . let  $i \in \mathbb{N}$ ,  $i \geq 2$  and assume  $P(j)$  for any  $2 \leq j < i$ . WTS  $P(i)$ .

CASE 1:  $i$  is a prime, then it is a prime factorization of itself, thus  $P(i)$  for this case.

CASE 2:  $i$  is not a prime, then there exists  $d \in \mathbb{N}$  such that  $d$  divides  $i$  where  $i = d \cdot a$ ,  $a \in \mathbb{N}$  for  $d \neq 1$ ,  $d \neq i$ . If  $d \neq i$  and  $d \in \mathbb{N}$ ,  $d \geq 2$ , the same is said about  $a$ , we see that  $2 \leq a, b \leq i$ . We can use our inductive hypothesis, and  $P(a)$  as well as  $P(b)$  holds, this implies that our  $i$  is a product of prime factors, thus  $P(i)$ .

Therefore,  $P(n)$ ,  $\forall n \geq 2$

### Example 2.

$P(n)$  : Postage of exactly  $n$  cents can be made using only 4-cent and 7-cent stamps.

WTS,  $P(n) \forall n \geq 18$  Let  $i \geq 18 \in \mathbb{N}$  be an arbitrary, assume that  $P(j)$  for any  $18 \leq j < i$ , WTS  $P(i)$  holds.

CASE 1:  $18 \leq i \leq 21$ . We can make postage for:

1. 18 cents using 1 4-cent stamp and 2 7-cent stamps.
2. 19 cents using 3 4-cent stamps and 1 7-cent stamp.
3. 20 cents using 5 4-cent stamps and 0 7-cent stamps.
4. 21 cents using 0 4-cent stamps and 3 7-cent stamps.

CASE 2:  $i \geq 22$  let  $j = i - 4$  thus  $18 \leq j < i$  and by inductive hypothesis,  $P(j)$  holds, this means  $j = 4 \cdot k + 7 \cdot l$ .

However if we let  $k' = k + 1$  and  $l' = l$ , we see that  $4 \cdot k' + 7 \cdot l' = 4 \cdot k + 7 \cdot l + 4 = j + 4 = i$

Thus  $P(i)$ , Thus in both cases,  $P(i)$  as wanted.

### Example 3. $P(n)$ : if a full binary tree has $n$ nodes, then $n$ must be odd.

Let  $i$  be an arbitrary integer  $i \geq 1$ , suppose that  $P(j)$  holds for all positive integers  $j < i$ . That is, for any positive integer  $j < i$ , if a full binary tree has  $j$  nodes, then  $j$  is an odd number. WTS  $P(i)$ , let  $T$  be an arbitrary binary tree with  $i$  nodes, WTS  $i$  is odd.

CASE 1.  $i = 1$ ,  $P(i)$  for this case because  $T$  has only one node.

CASE 2.  $i > 1$

### 3 7.2 Regular Expressions

Let  $\Sigma$  be an alphabet, Want to define the set regexes over  $\Sigma$

- set of strings over  $\Gamma$ , where  $\Sigma \cup \{ (, ), +, *, \epsilon, \emptyset \}$

Definition: Let  $\mathcal{RE}$  let this be the smallest set.  $\mathcal{RE}$  stands for regular expression.

BASIS:  $\epsilon, \emptyset; a \in \mathcal{RE}, \forall a \in \Sigma$

INDUCTION STEP: if  $R, S \in \mathcal{RE}$ , then  $(RS), (R + S), R* \in \mathcal{RE}$

Syntax  $\equiv$  Grammar, and Semanticsof regexes:

Given a regex  $R$ , wants to define the language of  $R$   $\mathcal{L}(R)$ , i.e., if the set of strings that matches  $R$

Define  $\mathcal{L}(R)$  by structural induction.

BASIS:  $\mathcal{L}(\epsilon) = \{\epsilon\}$ ,  $\mathcal{L}(\emptyset) = \emptyset$ ,  $\mathcal{L}(a) = \{a\}, \forall a \in \Sigma$

If  $R = (ST)$ , then  $\mathcal{L}(ST) = \mathcal{L}(S) \cdot \mathcal{L}(T)$

If  $R = (S + T)$ , then  $\mathcal{L}(R) = \mathcal{L}(S) \cup \mathcal{L}(T)$

If  $R = (s^*)$ , then  $\mathcal{L}(R) = \mathcal{L}(R)^*$

For example  $1(1 + 0)^*$ ,  $\{1\}, \{0\}$  by base cases of  $\mathcal{L}(a) = \{a\}$

Thus  $1 + 0 = \{0, 1\}$ , ofc  $(1 + 0)^* = \{0, 1\}^*$

Finally  $\{1\}\{0, 1\}^*\{0\}$  is the set of all binary sequences starting from, ending with 0 with anything in between that is a binary sequence.

now  $111^* \neq (111)^*$