CDAR2\_IG\_CCDA\_COMPANION\_R2\_STU\_2019OCT\_AppxB



# HL7 CDA® R2 IG: C-CDA Templates for Clinical Notes R2.1 Companion Guide, Release 2- US Realm

October 2019

# **HL7 Standard for Trial Use**

Appendix B: UDI Organizer template from C-CDA Supplemental Templates for Unique Device Identifier (UDI)

Sponsored by: Structured Documents Work Group

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Logical Observation Identifiers Names & Codes (LOINC)	Regenstrief Institute
International Classification of Diseases	World Health Organization (WHO)
(ICD) codes	
NUCC Health Care Provider	American Medical Association. Please see www.nucc.org. AMA
Taxonomy code set	licensing contact: 312-464-5022 (AMA IP services)

# **Table of Contents**

1	EN	TRY6	
	1.1	UDI Organizer6	
	1.1	1 Properties	
2	SU	BENTRY17	
	2.1	Brand Name Observation	
	2.2	Catalog Number Observation	
	2.3	Company Name Observation	
	2.4	Device Identifier Observation	
	2.5	Distinct Identification Code Observation	
	2.6	Expiration Date Observation	
	2.7	Implantable Device Status Observation	
	2.8	Latex Safety Observation31	
	2.9	Lot or Batch Number Observation	
	2.10	Manufacturing Date Observation35	
	2.11	Model Number Observation	
	2.12	MRI Safety Observation39	
	2.13	Serial Number Observation41	
3	TE	MPLATE IDS IN THIS GUIDE44	
4	СО	DE SYSTEMS IN THIS GUIDE46	
I	abie	of Figures	
F	igure 1	l: Unique Device Identifier (UDI) Organizer1	3
F	igure 2	2: Brand Name Example	3
F	igure 3	3: Catalog Number Sample20	Э
F	igure 4	l: Company Name2	2
F	igure 5	5: Device Identifier	4
F	igure 6	5: Distinct Identification Code	5
F	igure 7	7: Expiration Date	3
F	igure 8	3: Implantable Device Status30	Э
F	igure 9	9: Latex Safety Status33	3
F	igure 1	0: Lot or Batch Number3	5

Figure 11: Manufacturing Date	37
Figure 12: Model Number	39
Figure 13: MRI Safety Status	41
Figure 14: Serial Number	43
Table of Tables	
Table 1: UDI Organizer Contexts	6
Table 2: UDI Organizer Constraints Overview	8
Table 3: Brand Name Observation Contexts	17
Table 4: Brand Name Observation Constraints Overview	17
Table 5: Catalog Number Observation Contexts	18
Table 6: Catalog Number Observation Constraints Overview	19
Table 7: Company Name Observation Contexts	20
Table 8: Company Name Observation Constraints Overview	21
Table 9: Device Identifier Observation Contexts	22
Table 10: Device Identifier Observation Constraints Overview	23
Table 11: Distinct Identification Code Observation Contexts	25
Table 12: Distinct Identification Code Observation Constraints Overview	25
Table 13: Expiration Date Observation Contexts	26
Table 14: Expiration Date Observation Constraints Overview	27
Table 15: Implantable Device Status Observation Contexts	28
Table 16: Implantable Device Status Observation Constraints Overview	29
Table 17: Latex Safety Observation Contexts	31
Table 18: Latex Safety Observation Constraints Overview	31
Table 19: Lot or Batch Number Observation Contexts	33
Table 20: Lot or Batch Number Observation Constraints Overview	34
Table 21: Manufacturing Date Observation Contexts	35
Table 22: Manufacturing Date Observation Constraints Overview	36
Table 23: Model Number Observation Contexts	37
Table 24: Model Number Observation Constraints Overview	38
Table 25: MRI Safety Observation Contexts	39
Table 26: MRI Safety Observation Constraints Overview	40
Table 27: Serial Number Observation Contexts	41

Table 28: Serial Number Observation Constraints Overview	42
Table 29: Template List	44
Table 30: Template Containments	45
Table 31: Code Systems	46

## 1 ENTRY

# 1.1 UDI Organizer

[organizer: identifier urn:hl7ii:2.16.840.1.113883.10.20.22.4.311:2019-06-21 (open)]

Table 1: UDI Organizer Contexts

Contained By:	Contains:
	<u>Distinct Identification Code Observation</u> (optional)
	Serial Number Observation (optional)
	Expiration Date Observation (optional)
	Brand Name Observation (optional)
	Model Number Observation (optional)
	Device Identifier Observation (required)
	Catalog Number Observation (optional)
	Company Name Observation (optional)
	Lot or Batch Number Observation (optional)
	Manufacturing Date Observation (optional)
	MRI Safety Observation (optional)
	Latex Safety Observation (optional)
	Implantable Device Status Observation (optional)

This template is used to manage all the UDI-related templates to exchange the parsed UDI data elements and associated data:

## Device Identifier

urn:hl7ii:2.16.840.1.113883.10.20.22.4.304: 2019-06-21 NCIt: C101722 SHALL be included in UDI Organizer

#### Lot or Batch Number

urn:hl7ii:2.16.840.1.113883.10.20.22.4.315: 2019-06-21 NCIt:C101672 SHOULD be included in UDI Organizer if present in UDI

## • Serial Number

urn:hl7ii:2.16.840.1.113883.10.20.22.4.319: 2019-06-21 NCIt: C101671 SHOULD be included in UDI Organizer if present in UDI

## • Manufacturing Date

urn:hl7ii:2.16.840.1.113883.10.20.22.4.316: 2019-06-21 NCIt:C101669 SHOULD be included in UDI Organizer if present in UDI

## • Expiration Date

urn:hl7ii:2.16.840.1.113883.10.20.22.4.309: 2019-06-21 NCIt: C101670 SHOULD be included in UDI Organizer if present in UDI

#### Distinct Identification Code

urn:hl7ii:2.16.840.1.113883.10.20.22.4.308: 2019-06-21 NCIt: C113843 SHOULD be included in UDI Organizer if present in UDI

# Appendix B: UDI Organizer template from C-CDA Supplemental Templates for UDI

#### • Brand Name

urn:hl7ii:2.16.840.1.113883.10.20.22.4.301: 2019-06-21 NCIt: C71898 MAY be included in the UDI Organizer if available

#### Model Number

urn:hl7ii:2.16.840.1.113883.10.20.22.4.317: 2019-06-21 NCIt: C99285 MAY be included in the UDI Organizer if available

## Catalog Number

urn:hl7ii:2.16.840.1.113883.10.20.22.4.302: 2019-06-21 NCIt: C99286 MAY be included in the UDI Organizer if available

## • Company Name

urn:hl7ii:2.16.840.1.113883.10.20.22.4.303: 2019-06-21 NCIt: C54131 MAY be included in the UDI Organizer if available

## MRI Safety

urn:hl7ii:2.16.840.1.113883.10.20.22.4.318: 2019-06-21 NCIt: C106044 MAY be included in the UDI Organizer if available

## • Latex Safety

urn:hl7ii:2.16.840.1.113883.10.20.22.4.314: 2019-06-21 NCIt: C160938 MAY be included in the UDI Organizer if available

## • Implantable Device Status

urn:hl7ii:2.16.840.1.113883.10.20.22.4.305 2019-06-21 NCIt: C160939 MAY be included in the UDI Organizer if available

Table 2: UDI Organizer Constraints Overview

XPath	Card.	Verb	Data Type	CONF#	Value			
organizer (identifier: urn:hl7ii:2.16.840.1.113883.10.20.22.4.311:2019-06-21)								
@classCode	11	SHALL		<u>4437-</u> <u>3482</u>	CLUSTER			
@moodCode	11	SHALL		<u>4437-</u> <u>3483</u>	EVN			
templateId	11	SHALL		<u>4437-</u> <u>3480</u>				
@root	11	SHALL		<u>4437-</u> <u>3484</u>	2.16.840.1.113883.10.20.22.4 .311			
@extension	11	SHALL		<u>4437-</u> <u>3485</u>	2019-06-21			
id	11	SHALL		<u>4437-</u> <u>3541</u>				
@root	11	SHALL		<u>4437-</u> <u>3542</u>				
code	01	MAY		<u>4437-</u> <u>3481</u>				
@code	01	MAY		<u>4437-</u> <u>3486</u>	urn:oid:2.16.840.1.113883.6.1 (LOINC) = 74711-3			
@codeSystem	11	SHALL		<u>4437-</u> <u>3487</u>	2.16.840.1.113883.6.1			
statusCode	11	SHALL		<u>4437-</u> <u>3490</u>				
@code	11	SHALL		4437- 3543	urn:oid:2.16.840.1.113883.5.1 4 (HL7ActStatus) = completed			
component	11	SHALL		<u>4437-</u> <u>3488</u>				
observation	11	SHALL		4437- 3489	Device Identifier Observation (identifier: urn:hl7ii:2.16.840.1.113883.1 0.20.22.4.304:2019-06-21			
component	01	SHOULD		<u>4437-</u> <u>3513</u>				
observation	11	SHALL		4437- 3514	Lot or Batch Number Observation (identifier: urn:hl7ii:2.16.840.1.113883.1 0.20.22.4.315:2019-06-21			
component	01	SHOULD		<u>4437-</u> <u>3515</u>				
observation	11	SHALL		<u>4437-</u> <u>3516</u>	Serial Number Observation (identifier: urn:hl7ii:2.16.840.1.113883.1 0.20.22.4.319: 2019-06-21			
component	01	SHOULD		<u>4437-</u> <u>3517</u>				

XPath	Card.	Verb	Data Type	CONF#	Value
observation	11	SHALL		4437- 3518	Manufacturing Date Observation (identifier: urn:hl7ii:2.16.840.1.113883.1 0.20.22.4.316:2019-06-21
component	01	SHOULD		4437- 3525	
observation	11	SHALL		4437- 3526	Expiration Date Observation (identifier: urn:hl7ii:2.16.840.1.113883.1 0.20.22.4.309: 2019-06-21
component	01	SHOULD		<u>4437-</u> <u>3523</u>	
observation	11	SHALL		4437- 3524	Distinct Identification Code Observation (identifier: urn:hl7ii:2.16.840.1.113883.1 0.20.22.4.308: 2019-06-21
component	01	MAY		<u>4437-</u> <u>3521</u>	
observation	11	SHALL		4437- 3522	Brand Name Observation (identifier: urn:hl7ii:2.16.840.1.113883.1 0.20.22.4.301: 2019-06-21
component	01	MAY		4437- 3519	
observation	11	SHALL		4437- 3520	Model Number Observation (identifier: urn:hl7ii:2.16.840.1.113883.1 0.20.22.4.317:2019-06-21
component	01	MAY		4437- 3535	
observation	11	SHALL		4437- 3536	Company Name Observation (identifier: urn:hl7ii:2.16.840.1.113883.1 0.20.22.4.303:2019-06-21
component	01	MAY		4437- 3527	
observation	11	SHALL		4437- 3528	Catalog Number Observation (identifier: urn:hl7ii:2.16.840.1.113883.1 0.20.22.4.302:2019-06-21
component	01	MAY		<u>4437-</u> <u>3529</u>	
observation	11	SHALL		4437- 3530	Latex Safety Observation (identifier: urn:hl7ii:2.16.840.1.113883.1 0.20.22.4.314: 2019-06-21
component	01	MAY		4437- 3533	

XPath	Card.	Verb	Data Type	CONF#	Value
observation	11	SHALL		4437- 3534	MRI Safety Observation (identifier: urn:h17ii:2.16.840.1.113883.1 0.20.22.4.318: 2019-06-21
component	01	MAY		<u>4437-</u> <u>3531</u>	
observation	11	SHALL		4437- 3532	Implantable Device Status Observation (identifier: urn:hl7ii:2.16.840.1.113883.1 0.20.22.4.305:2019-06-21

## 1.1.1 Properties

The UDI Organizer contains the parsed components of the UDI included in the Product Instance.

- 1. **SHALL** contain exactly one [1..1] @classCode="CLUSTER" (CONF:4437-3482).
- 2. SHALL contain exactly one [1..1] @moodCode="EVN" (CONF:4437-3483).
- 3. **SHALL** contain exactly one [1..1] **templateId** (CONF:4437-3480) such that it
  - a. **SHALL** contain exactly one [1..1] @root="2.16.840.1.113883.10.20.22.4.311" (CONF:4437-3484).

Revision from "2018-05-01".

b. **SHALL** contain exactly one [1..1] @extension="2019-06-21" (CONF:4437-3485).

The UDI Organizer identifier shall match the Product Instance identifier value. The identifier will tie the specific UDI information to an individual product instance.

- 4. **SHALL** contain exactly one [1..1] **id** (CONF:4437-3541).
  - a. This id **SHALL** contain exactly one [1..1] **@root** (CONF:4437-3542).
- 5. **MAY** contain zero or one [0..1] **code** (CONF:4437-3481).
  - a. The code, if present, MAY contain zero or one [0..1] @code="74711-3" Unique Device Identifier (CodeSystem: LOINC urn:oid:2.16.840.1.113883.6.1) (CONF:4437-3486).
  - b. The code, if present, **SHALL** contain exactly one [1..1] **@codeSystem**="2.16.840.1.113883.6.1" (CONF:4437-3487).

This is the status of the UDI Organizer. The value if fixed to "completed".

- 6. **SHALL** contain exactly one [1..1] **statusCode** (CONF:4437-3490).
  - a. This statusCode **SHALL** contain exactly one [1..1] **@code**="completed" Completed (CodeSystem: HL7ActStatus urn:oid:2.16.840.1.113883.5.14) (CONF:4437-3543).

Device Identifier - the mandatory part of the UDI

7. **SHALL** contain exactly one [1..1] **component** (CONF:4437-3488) such that it

a. **SHALL** contain exactly one [1..1] <u>Device Identifier Observation</u> (identifier: urn:hl7ii:2.16.840.1.113883.10.20.22.4.304:2019-06-21) (CONF:4437-3489).

Lot or Batch Number - needed if present as a production identifier

- 8. **SHOULD** contain zero or one [0..1] component (CONF:4437-3513) such that it
  - a. SHALL contain exactly one [1..1] Lot or Batch Number Observation (identifier: urn:hl7ii:2.16.840.1.113883.10.20.22.4.315:2019-06-21) (CONF:4437-3514).

Serial Number - needed if present as a production identifier

- 9. **SHOULD** contain zero or one [0..1] **component** (CONF:4437-3515) such that it
  - a. **SHALL** contain exactly one [1..1] <u>Serial Number Observation</u> (identifier: urn:h17ii:2.16.840.1.113883.10.20.22.4.319: 2019-06-21) (CONF:4437-3516).

Manufacturing Date - needed if present as a production identifier

- 10. **SHOULD** contain zero or one [0..1] **component** (CONF:4437-3517) such that it
  - a. **SHALL** contain exactly one [1..1] <u>Manufacturing Date Observation</u> (identifier: urn:hl7ii:2.16.840.1.113883.10.20.22.4.316:2019-06-21) (CONF:4437-3518).

**Expiration Date** - needed if present as a production identifier

- 11. **SHOULD** contain zero or one [0..1] **component** (CONF:4437-3525) such that it
  - a. **SHALL** contain exactly one [1..1] <u>Expiration Date Observation</u> (identifier: urn:hl7ii:2.16.840.1.113883.10.20.22.4.309: 2019-06-21) (CONF:4437-3526).

**Distinct Identification Code** - needed if present as a production identifier for HCT/P regulated as medical devices.

- 12. **SHOULD** contain zero or one [0..1] **component** (CONF:4437-3523) such that it
  - a. **SHALL** contain exactly one [1..1] <u>Distinct Identification Code Observation</u> (identifier: urn:hl7ii:2.16.840.1.113883.10.20.22.4.308: 2019-06-21) (CONF:4437-3524).

**Brand Name** - when it was extracted from accessGUDID at the time the information was entered in the patient's record.

- 13. MAY contain zero or one [0..1] component (CONF:4437-3521) such that it
  - a. **SHALL** contain exactly one [1..1] **Brand Name Observation** (identifier: urn:hl7ii:2.16.840.1.113883.10.20.22.4.301: 2019-06-21) (CONF:4437-3522).

**Model Number** - when it was extracted from accessGUDID at the time the information was entered in the patient's record.

- 14. MAY contain zero or one [0..1] component (CONF:4437-3519) such that it
  - a. **SHALL** contain exactly one [1..1] <u>Model Number Observation</u> (identifier: urn:hl7ii:2.16.840.1.113883.10.20.22.4.317:2019-06-21) (CONF:4437-3520).

**Company Name** - the name of the company that manufactured the device when it was extracted from accessGUDID at the time the information was entered in the patient's record.

- 15. MAY contain zero or one [0..1] component (CONF:4437-3535) such that it
  - a. **SHALL** contain exactly one [1..1] <u>Company Name Observation</u> (identifier: urn:h17ii:2.16.840.1.113883.10.20.22.4.303:2019-06-21) (CONF:4437-3536).

**Catalog Number** - specified by manufacturer or when it was extracted from accessGUDID at the time the information was entered in the patient's record.

- 16. MAY contain zero or one [0..1] component (CONF:4437-3527) such that it
  - a. **SHALL** contain exactly one [1..1] <u>Catalog Number Observation</u> (identifier: urn:hl7ii:2.16.840.1.113883.10.20.22.4.302:2019-06-21) (CONF:4437-3528).

**Latex Safety Status** when it was extracted from accessGUDID at the time the information was entered in the patient's record.

- 17. MAY contain zero or one [0..1] component (CONF:4437-3529) such that it
  - a. **SHALL** contain exactly one [1..1] <u>Latex Safety Observation</u> (identifier: urn:hl7ii:2.16.840.1.113883.10.20.22.4.314: 2019-06-21) (CONF:4437-3530).

**MRI Safety Status** when it was extracted from accessGUDID at the time the information was entered in the patient's record.

- 18. MAY contain zero or one [0..1] component (CONF:4437-3533) such that it
  - a. **SHALL** contain exactly one [1..1] <u>MRI Safety Observation</u> (identifier: urn:hl7ii:2.16.840.1.113883.10.20.22.4.318: 2019-06-21) (CONF:4437-3534).

**Implantable Device Status** - the status of the device in the body of the patient, it augments the information in **@status**.

- 19. MAY contain zero or one [0..1] component (CONF:4437-3531) such that it
  - a. **SHALL** contain exactly one [1..1] <u>Implantable Device Status Observation</u> (identifier: urn:hl7ii:2.16.840.1.113883.10.20.22.4.305:2019-06-21) (CONF:4437-3532).

#### Figure 1: Unique Device Identifier (UDI) Organizer

```
< !-- UDI Organizer -->
<organizer classCode="CLUSTER" moodCode="EVN">
    <templateId root="2.16.840.1.113883.10.20.22.4.312"</pre>
        extension="2019-06-21"/>
    <id assigningAuthorityName="FDA" extension="(01)4328998989(11)160330(10)ABC124"</pre>
root="GS1"/>
    <code code="74711-3" codeSystem="2.16.840.1.113883.6.1"</pre>
        displayName="Unique Device Identifier"/>
    <statusCode code="completed"/>
    <!-- UDI components -->
    <component>
        <observation classCode="OBS" moodCode="EVN">
            <templateId root="2.16.840.1.113883.10.20.22.4.304"</pre>
                 extension="2019-06-21"/>
            <code code="C101722" displayName="Primary DI Number"</pre>
                 codeSystem="2.16.840.1.113883.3.26.1.1"
                 codeSystemName="NCI Thesaurus"/>
            <!-- GS1 Device Identifier -->
            <value xsi:type="II" root="1.3.160"</pre>
                 extension="99994328998989" displayable="true"
                 assigningAuthorityName="GS1"/>
        </observation>
    </component>
    <component>
        <observation classCode="OBS" moodCode="EVN">
            <templateId root="2.16.840.1.113883.10.20.22.4.315"</pre>
                 extension="2019-06-21"/>
            <code code="C101672" displayName="Lot or Batch Number"</pre>
                 codeSystem="2.16.840.1.113883.3.26.1.1"
                 codeSystemName="NCI Thesaurus"/>
            <value xsi:type="ED">
                 <reference value="#LotOrBatchNumber_1"/>
             </value>
        </observation>
    </component>
    <component>
        <observation classCode="OBS" moodCode="EVN">
            <templateId root="2.16.840.1.113883.10.20.22.4.319"</pre>
                 extension="2019-06-21"/>
            <code code="C101671" displayName="Serial Number"</pre>
                 codeSystem="2.16.840.1.113883.3.26.1.1"
                 codeSystemName="NCI Thesaurus"/>
            <value xsi:type="ED">
                 <reference value="#SerialNumber_1"/>
             </value>
        </observation>
    </component>
    <component>
        <observation classCode="OBS" moodCode="EVN">
             <templateId root="2.16.840.1.113883.10.20.22.4.316"</pre>
                 extension="2019-06-21"/>
            <code code="C101669" displayName="Manufacturing Date"</pre>
                 codeSystem="2.16.840.1.113883.3.26.1.1"
                 codeSystemName="NCI Thesaurus"/>
```

```
<value xsi:type="TS" value="20181015"/>
    </observation>
</component>
<component>
    <observation classCode="OBS" moodCode="EVN">
        <templateId root="2.16.840.1.113883.10.20.22.4.309"</pre>
            extension="2019-06-21"/>
        <code code="C101670" displayName="Expiration Date"</pre>
            codeSystem="2.16.840.1.113883.3.26.1.1"
            codeSystemName="NCI Thesaurus"/>
        <value xsi:type="TS" value="20221015"/>
    </observation>
</component>
<component>
    <observation classCode="OBS" moodCode="EVN">
        <templateId root="2.16.840.1.113883.10.20.22.4.308"</pre>
            extension="2019-06-21"/>
        <code code="C113843"</pre>
            displayName="Distinct Identification Code"
            codeSystem="2.16.840.1.113883.3.26.1.1"
            codeSystemName="NCI Thesaurus"/>
        <value xsi:type="ED">
            <reference value="#DistinctIdentificationCode_1"/>
    </observation>
</component>
<component>
    <observation classCode="OBS" moodCode="EVN">
        <templateId root="2.16.840.1.113883.10.20.22.4.301"</pre>
            extension="2019-06-21"/>
        <code code="C71898" displayName="Brand Name"</pre>
            codeSystem="2.16.840.1.113883.3.26.1.1"
            codeSystemName="NCI Thesaurus"/>
        <value xsi:type="ED">
            <reference value="#BrandName_1"/>
        </value>
    </observation>
</component>
<component>
    <observation classCode="OBS" moodCode="EVN">
        <templateId root="2.16.840.1.113883.10.20.22.4.317"</pre>
            extension="2019-06-21"/>
        <code code="C99285" displayName="Model Number"</pre>
            codeSystem="2.16.840.1.113883.3.26.1.1"
            codeSystemName="NCI Thesaurus"/>
        <value xsi:type="ED">
            <reference value="#ModelNumber_1"/>
        </value>
    </observation>
</component>
<component>
    <observation classCode="OBS" moodCode="EVN">
        <templateId root="2.16.840.1.113883.10.20.22.4.302"</pre>
            extension="2019-06-21"/>
        <code code="C99286" displayName="Catalog Number"</pre>
            codeSystem="2.16.840.1.113883.3.26.1.1"
            codeSystemName="NCI Thesaurus"/>
```

```
<value xsi:type="ED">
                 <reference value="#CatalogNumber_1"/>
            </value>
        </observation>
    </component>
    <component>
        <observation classCode="OBS" moodCode="EVN">
            <templateId root="2.16.840.1.113883.10.20.22.4.303"</pre>
                 extension="2019-06-21"/>
            <code code="C54131" displayName="Company Name"</pre>
                 codeSystem="2.16.840.1.113883.3.26.1.1"
                 codeSystemName="NCI Thesaurus"/>
            <value xsi:type="ED">
                 <reference value="#CompanyName_1"/>
            </value>
        </observation>
    </component>
    <component>
        <observation classCode="OBS" moodCode="EVN">
            <templateId root="2.16.840.1.113883.10.20.22.4.318"</pre>
                 extension="2019-06-21"/>
            <code code="C106044" displayName="MRI Safety Status"</pre>
                 codeSystem="2.16.840.1.113883.3.26.1.1"
                 codeSystemName="NCI Thesaurus"/>
            <value xsi:type="CD" code="C113844"</pre>
                 codeSystem="2.16.840.1.113883.3.26.1.1" displayName="Labeling does not
contain MRI Safety Information"
                codeSystemName="NCI Thesaurus"
sdtc:valueSet="2.16.840.1.113762.1.4.1021.46">
                 <originalText>
                     <reference value="#MRISafety_1"/>
                 </originalText>
            </value>
        </observation>
    </component>
    <component>
        <observation classCode="OBS" moodCode="EVN">
            <templateId root="2.16.840.1.113883.10.20.22.4.314"</pre>
                 extension="2019-06-21"/>
            <code code="C160938" displayName="Latex Safety Status"</pre>
                codeSystem="2.16.840.1.113883.3.26.1.1"
                 codeSystemName="NCI Thesaurus"/>
            <value xsi:type="CD" code="C106038"</pre>
                 codeSystem="2.16.840.1.113883.3.26.1.1" displayName="Not Made with Natural
Rubber Latex"
                codeSystemName="NCI Thesaurus"
sdtc:valueSet="2.16.840.1.113762.1.4.1021.47">
                 <originalText>
                     <reference value="#LatexSafety_1"/>
                 </originalText>
            </value>
        </observation>
    </component>
    <component>
        <observation classCode="OBS" moodCode="EVN">
            <templateId root="2.16.840.1.113883.10.20.22.4.305"</pre>
                 extension="2019-06-21"/>
```

# Appendix B: UDI Organizer template from C-CDA Supplemental Templates for UDI

# 2 SUBENTRY

## 2.1 Brand Name Observation

[observation: identifier urn:hl7ii:2.16.840.1.113883.10.20.22.4.301: 2019-06-21 (open)]

Table 3: Brand Name Observation Contexts

Contained By:	Contains:
<u>UDI Organizer</u> (optional)	

This template is intended to be used in addition to the **Product Instance** template urn:oid:2.16.840.1.113883.10.20.22.4.37 to exchange the **Brand Name**. The UDI-DI of the medical device may be used to retrieve the **Brand Name** in accessGUDID, which should be considered the source of truth.

Table 4: Brand Name Observation Constraints Overview

XPath	Card.	Verb	Data Type	CONF#	Value				
observation (identifier: urn:hl7ii:2.16	observation (identifier: urn:hl7ii:2.16.840.1.113883.10.20.22.4.301: 2019-06-21)								
templateId	11	SHALL		<u>4437-</u> <u>3403</u>					
@root	11	SHALL		<u>4437-</u> <u>3405</u>	2.16.840.1.113883.10.20.22.4 .301				
@extension	11	SHALL		<u>4437-</u> <u>3406</u>	2019-06-21				
code	11	SHALL		<u>4437-</u> <u>3404</u>					
@code	11	SHALL		4437- 3407	urn:oid:2.16.840.1.113883.3.2 6.1.1 (NCI Thesaurus (NCIt)) = C71898				
@codeSystem	11	SHALL		<u>4437-</u> <u>3408</u>	2.16.840.1.113883.3.26.1.1				
@codeSystemName	01	MAY		<u>4437-</u> <u>3409</u>	NCI Thesaurus				
@displayName	01	MAY		<u>4437-</u> <u>3410</u>	Brand Name				
value	11	SHALL	ED	<u>4437-</u> <u>3411</u>					

- 1. **SHALL** contain exactly one [1..1] **templateId** (CONF:4437-3403).
  - a. This templateId **SHALL** contain exactly one [1..1] @root="2.16.840.1.113883.10.20.22.4.301" (CONF:4437-3405). Note: template oid

- b. This templateId **SHALL** contain exactly one [1..1] **@extension**="2019-06-21" (CONF:4437-3406).
- 2. **SHALL** contain exactly one [1..1] **code** (CONF:4437-3404).

Note: Code for "Device Brand Name"

Code used to specify the **Brand Name**.

- a. This code **SHALL** contain exactly one [1..1] **@code**="C71898" Brand Name (CodeSystem: NCI Thesaurus (NCIt) urn:oid:2.16.840.1.113883.3.26.1.1 **STATIC**) (CONF:4437-3407).
- b. This code **SHALL** contain exactly one [1..1] **@codeSystem**="2.16.840.1.113883.3.26.1.1" (CONF:4437-3408).
- c. This code MAY contain zero or one [0..1] @codeSystemName="NCI Thesaurus" (CONF:4437-3409).
- d. This code **MAY** contain zero or one [0..1] **@displayName**="Brand Name" (CONF:4437-3410).

The value of the Brand Name as a text string.

3. **SHALL** contain exactly one [1..1] **value** with @xsi:type="ED" (CONF:4437-3411). Note: Brand Name as a text value

Figure 2: Brand Name Example

# 2.2 Catalog Number Observation

[observation: identifier urn:hl7ii:2.16.840.1.113883.10.20.22.4.302:2019-06-21 (open)]

**Table 5: Catalog Number Observation Contexts** 

Contained By:	Contains:
<u>UDI Organizer</u> (optional)	

This template is intended to be used in addition to the **Product Instance** template urn:oid:2.16.840.1.113883.10.20.22.4.37 to exchange the **Catalog Number**. The UDI-DI of the medical device may be used to retrieve the Catalog Number in accessGUDID, which should be considered the source of truth.

XPath	Card.	Verb	Data Type	CONF#	Value			
observation (identifier: urn:hl7ii:2.16.840.1.113883.10.20.22.4.302:2019-06-21)								
templateId	11	SHALL		<u>4437-</u> <u>3432</u>				
@root	11	SHALL		<u>4437-</u> <u>3434</u>	2.16.840.1.113883.10.20.22.4 .302			
@extension	11	SHALL		<u>4437-</u> <u>3435</u>	2019-06-21			
code	11	SHALL		4437- 3433				
@code	11	SHALL		4437- 3436	urn:oid:2.16.840.1.113883.3.2 6.1.1 (NCI Thesaurus (NCIt)) = C99286			
@codeSystem	11	SHALL		<u>4437-</u> <u>3437</u>	2.16.840.1.113883.3.26.1.1			
@codeSystemName	01	MAY		<u>4437-</u> <u>3438</u>	NCI Thesaurus			
@displayName	01	MAY		<u>4437-</u> <u>3439</u>	Catalog Number			
value	11	SHALL	ED	4437- 3440				

Table 6: Catalog Number Observation Constraints Overview

- 1. **SHALL** contain exactly one [1..1] **templateId** (CONF:4437-3432).
  - a. This templateId **SHALL** contain exactly one [1..1] @root="2.16.840.1.113883.10.20.22.4.302" (CONF:4437-3434). Note: template oid

- b. This templateId **SHALL** contain exactly one [1..1] **@extension**="2019-06-21" (CONF:4437-3435).
- 2. **SHALL** contain exactly one [1..1] **code** (CONF:4437-3433). Note: Code for "Device Catalog Number"

Code used to specify the **Catalog Number**.

- a. This code **SHALL** contain exactly one [1..1] @code="C99286" Catalog Number (CodeSystem: NCI Thesaurus (NCIt) urn:oid:2.16.840.1.113883.3.26.1.1 **STATIC**) (CONF:4437-3436).
- b. This code **SHALL** contain exactly one [1..1] **@codeSystem**="2.16.840.1.113883.3.26.1.1" (CONF:4437-3437).
- c. This code **MAY** contain zero or one [0..1] **@codeSystemName=**"NCI Thesaurus" (CONF:4437-3438).
- d. This code **MAY** contain zero or one [0..1] **@displayName**="Catalog Number" (CONF:4437-3439).

The value of the Catalog Number is represented as a text string.

3. **SHALL** contain exactly one [1..1] **value** with @xsi:type="ED" (CONF:4437-3440). Note: Catalog Number as a text value

## Figure 3: Catalog Number Sample

# 2.3 Company Name Observation

[observation: identifier urn:hl7ii:2.16.840.1.113883.10.20.22.4.303:2019-06-21 (open)]

Table 7: Company Name Observation Contexts

Contained By:	Contains:
<u>UDI Organizer</u> (optional)	

This template is intended to be used in addition to the **Product Instance** template urn:oid:2.16.840.1.113883.10.20.22.4.37 to exchange the **Company Name**. The UDI-DI of the medical device may be used to retrieve the **Company Name** in accessGUDID, which should be considered the source of truth.

XPath	Card.	Verb	Data Type	CONF#	Value
observation (identifier: urn:hl7i	i:2.16.840.1.	113883.10.2	0.22.4.30	3:2019-06-2	1)
templateId	11	SHALL		<u>4437-</u> <u>3441</u>	
@root	11	SHALL		<u>4437-</u> <u>3443</u>	2.16.840.1.113883.10.20.22.4 .303
@extension	11	SHALL		<u>4437-</u> <u>3444</u>	2019-06-21
code	11	SHALL		4437- 3442	
@code	11	SHALL		<u>4437-</u> <u>3445</u>	urn:oid:2.16.840.1.113883.3.2 6.1.1 (NCI Thesaurus (NCIt)) = C54131
@codeSystem	11	SHALL		<u>4437-</u> <u>3446</u>	2.16.840.1.113883.3.26.1.1
@codeSystemName	01	MAY		<u>4437-</u> <u>3447</u>	NCI Thesaurus
@displayName	01	MAY		<u>4437-</u> <u>3448</u>	Company Name
value	11	SHALL	ED	<u>4437-</u> <u>3449</u>	

Table 8: Company Name Observation Constraints Overview

- 1. **SHALL** contain exactly one [1..1] **templateId** (CONF:4437-3441).
  - a. This templateId **SHALL** contain exactly one [1..1] @root="2.16.840.1.113883.10.20.22.4.303" (CONF:4437-3443). Note: template oid

- b. This templateId **SHALL** contain exactly one [1..1] @extension="2019-06-21" (CONF:4437-3444).
- 2. **SHALL** contain exactly one [1..1] **code** (CONF:4437-3442). Note: Code for "Company Name"

Code used to specify the **Company Name**.

- a. This code **SHALL** contain exactly one [1..1] @code="C54131" Company Name (CodeSystem: NCI Thesaurus (NCIt) urn:oid:2.16.840.1.113883.3.26.1.1 **STATIC**) (CONF:4437-3445).
- b. This code **SHALL** contain exactly one [1..1] **@codeSystem**="2.16.840.1.113883.3.26.1.1" (CONF:4437-3446).
- c. This code **MAY** contain zero or one [0..1] @codeSystemName="NCI Thesaurus" (CONF:4437-3447).
- d. This code **MAY** contain zero or one [0..1] **@displayName**="Company Name" (CONF:4437-3448).

The value of the Company Name as a text string.

3. **SHALL** contain exactly one [1..1] **value** with @xsi:type="ED" (CONF:4437-3449). Note: Brand Name as a text value

Figure 4: Company Name

## 2.4 Device Identifier Observation

[observation: identifier urn:hl7ii:2.16.840.1.113883.10.20.22.4.304:2019-06-21 (open)]

Table 9: Device Identifier Observation Contexts

Contained By:	Contains:
<u>UDI Organizer</u> (required)	

This template is intended to be used in addition to the **Product Instance** template urn:oid:2.16.840.1.113883.10.20.22.4.37 to exchange the **Device Identifier** (also known as the "Primary DI Number") for a medical device marketed in the US. The device identifier is parsed from the UDI value.

The Device Identifier number can be used as a key to look-up device identification information in the publicly available version of the US FDA Global UDI Database (GUDID) - the AccessGUDID: https://accessgudid.nlm.nih.gov/resources/home

Table 10: Device Identifier Observation Constraints Overview

XPath	Card.	Verb	Data Type	CONF#	Value
observation (identifier: urn:hl7ii:	2.16.840.1.	113883.10.2	0.22.4.30	4:2019-06-2	1)
templateId	11	SHALL		4437- 3421	
@root	11	SHALL		4437- 3424	2.16.840.1.113883.10.20.22.4 .304
@extension	11	SHALL		4437- 3425	2019-06-21
code	11	SHALL		4437- 3422	
@code	11	SHALL		4437- 3426	urn:oid:2.16.840.1.113883.3.2 6.1.1 (NCI Thesaurus (NCIt)) = C101722
@codeSystem	11	SHALL		4437- 3427	2.16.840.1.113883.3.26.1.1
@codeSystemName	01	MAY		4437- 3428	NCI Thesaurus
@displayName	01	MAY		4437- 3429	Primary DI Number
value	11	SHALL	II	4437- 3423	
@root	11	SHALL		<u>4437-</u> <u>3430</u>	
@extension	11	SHALL		<u>4437-</u> <u>3431</u>	
@displayable	11	SHALL		<u>4437-</u> <u>3540</u>	true

- 1. **SHALL** contain exactly one [1..1] **templateId** (CONF:4437-3421).
  - a. This templateId **SHALL** contain exactly one [1..1] @root="2.16.840.1.113883.10.20.22.4.304" (CONF:4437-3424). Note: template oid

- b. This templateId **SHALL** contain exactly one [1..1] **@extension**="2019-06-21" (CONF:4437-3425).
- 2. **SHALL** contain exactly one [1..1] **code** (CONF:4437-3422). Note: Primary DI Number Code from NCIt

Code used to specify the device identifier, one of the parsed UDI components known as the Primary DI Number (UDI-DI).

a. This code **SHALL** contain exactly one [1..1] @code="C101722" Primary DI Number (CodeSystem: NCI Thesaurus (NCIt) urn:oid:2.16.840.1.113883.3.26.1.1 **STATIC**) (CONF:4437-3426).

- b. This code **SHALL** contain exactly one [1..1] **@codeSystem**="2.16.840.1.113883.3.26.1.1" (CONF:4437-3427).
- c. This code **MAY** contain zero or one [0..1] @codeSystemName="NCI Thesaurus" (CONF:4437-3428).
- d. This code **MAY** contain zero or one [0..1] **@displayName**="Primary DI Number" (CONF:4437-3429).

The value of the Device Identifier assigned using one of the FDA-accredited, UDI issuing agency: GS1, HIBCC, or ICBBA.

3. **SHALL** contain exactly one [1..1] **value** with @xsi:type="II" (CONF:4437-3423). Note: This value is assigned by the Manufacturer by using an FDA-accredited UDI-issuing agency

The "root" specifies the assigning authority, i.e, the UDI issuing agency. The allowable OIDs include:

- 1.3.160 (GS1)
- 2.16.840.1.113883.6.18 (ICCBBA ISBT-128)
- 2.16.840.1.113883.6.40 (HIBCC)
  - a. This value **SHALL** contain exactly one [1..1] **@root** (CONF:4437-3430).

The value of the Device Identifier.

b. This value **SHALL** contain exactly one [1..1] @extension (CONF:4437-3431).

The Device Identifier can be used to look up device information entered by manufacturers in the FDA GUDID

c. This value **SHALL** contain exactly one [1..1] **@displayable**="true" (CONF:4437-3540).

#### Figure 5: Device Identifier

## 2.5 Distinct Identification Code Observation

[observation: identifier urn:hl7ii:2.16.840.1.113883.10.20.22.4.308: 2019-06-21 (open)]

Table 11: Distinct Identification Code Observation Contexts

Contained By:	Contains:
<u>UDI Organizer</u> (optional)	

This template is intended to be used in addition to the **Product Instance** template urn:oid:2.16.840.1.113883.10.20.22.4.37 to exchange the **Distinct Identification Code** for an HCT/P product regulated as a device as cited in 21 CFR 1271.290(c). The distinct identification code is parsed from the UDI value, if present.

The distinct identification code may be equivalent to the serial number, lot or batch number, or the donation identification number. The appropriate value should be provided as the distinct identification code.

Table 12: Distinct Identification Code Observation Constraints Overview

XPath	Card.	Verb	Data Type	CONF#	Value			
observation (identifier: urn:hl7ii:2.16.840.1.113883.10.20.22.4.308: 2019-06-21)								
templateId	11	SHALL		<u>4437-</u> <u>3348</u>				
@root	11	SHALL		<u>4437-</u> <u>3350</u>	2.16.840.1.113883.10.20.22.4 .308			
@extension	11	SHALL		<u>4437-</u> <u>3351</u>	2019-06-21			
code	11	SHALL		<u>4437-</u> <u>3349</u>				
@code	11	SHALL		4437- 3355	urn:oid:2.16.840.1.113883.3.2 6.1.1 (NCI Thesaurus (NCIt)) = C113843			
@codeSystem	11	SHALL		<u>4437-</u> <u>3352</u>	2.16.840.1.113883.3.26.1.1			
@codeSystemName	01	MAY		<u>4437-</u> <u>3353</u>	NCI Thesaurus			
@displayName	01	MAY		<u>4437-</u> <u>3354</u>	Distinct Identification Code			
value	11	SHALL	ED	<u>4437-</u> <u>3340</u>				

- 1. **SHALL** contain exactly one [1..1] **templateId** (CONF:4437-3348).
  - a. This templateId **SHALL** contain exactly one [1..1] **@root**="2.16.840.1.113883.10.20.22.4.308" (CONF:4437-3350). Note: template oid

Revision from "2018-05-01"

- b. This templateId **SHALL** contain exactly one [1..1] **@extension**="2019-06-21" (CONF:4437-3351).
- 2. **SHALL** contain exactly one [1..1] **code** (CONF:4437-3349).

Note: Distinct Identification Code from NCIt

Code used to specify the Distinct Identification Code, one of the parsed UDI components.

- a. This code **SHALL** contain exactly one [1..1] **@code**="C113843" Distinct Identification Code (CodeSystem: NCI Thesaurus (NCIt) urn:oid:2.16.840.1.113883.3.26.1.1 **STATIC**) (CONF:4437-3355).
- b. This code **SHALL** contain exactly one [1..1] **@codeSystem=**"2.16.840.1.113883.3.26.1.1" (CONF:4437-3352).
- c. This code **MAY** contain zero or one [0..1] @codeSystemName="NCI Thesaurus" (CONF:4437-3353).
- d. This code **MAY** contain zero or one [0..1] **@displayName**="Distinct Identification Code" (CONF:4437-3354).

The value of the Distinct Identification Code as a text string.

3. **SHALL** contain exactly one [1..1] **value** with @xsi:type="ED" (CONF:4437-3340).

## Figure 6: Distinct Identification Code

# 2.6 Expiration Date Observation

[observation: identifier urn:hl7ii:2.16.840.1.113883.10.20.22.4.309: 2019-06-21 (open)]

Table 13: Expiration Date Observation Contexts

Contained By:	Contains:
<u>UDI Organizer</u> (optional)	

This template is intended to be used in addition to the **Product Instance** template urn:oid:2.16.840.1.113883.10.20.22.4.37 to exchange the **Expiration Date** of the device. The expiration date is parsed from the UDI value, if present.

XPath	Card.	Verb	Data Type	CONF#	Value
observation (identifier: urn:hl7	ii:2.16.840.1.	113883.10.2	20.22.4.30	9: 2019-06-	21)
templateId	11	SHALL		<u>4437-</u> <u>3393</u>	
@root	11	SHALL		4437- 3396	2.16.840.1.113883.10.20.22.4 .309
@extension	11	SHALL		<u>4437-</u> <u>3397</u>	2019-06-21
code	11	SHALL		4437- 3394	
@code	11	SHALL		<u>4437-</u> <u>3398</u>	urn:oid:2.16.840.1.113883.3.2 6.1.1 (NCI Thesaurus (NCIt)) = C101670
@codeSystem	11	SHALL		<u>4437-</u> <u>3399</u>	2.16.840.1.113883.3.26.1.1
@codeSystemName	01	MAY		<u>4437-</u> <u>3400</u>	NCI Thesaurus
@displayName	01	MAY		<u>4437-</u> <u>3401</u>	Expiration Date
value	11	SHALL	TS	<u>4437-</u> <u>3395</u>	
@value	11	SHALL		4437- 3402	

Table 14: Expiration Date Observation Constraints Overview

- 1. **SHALL** contain exactly one [1..1] **templateId** (CONF:4437-3393).
  - a. This templateId **SHALL** contain exactly one [1..1] @root="2.16.840.1.113883.10.20.22.4.309" (CONF:4437-3396). Note: template oid

- b. This templateId **SHALL** contain exactly one [1..1] @extension="2019-06-21" (CONF:4437-3397).
- 2. **SHALL** contain exactly one [1..1] **code** (CONF:4437-3394). Note: Code for "Expiration Date"

Code used to specify the "Expiration Date", one of the parsed UDI components.

- a. This code **SHALL** contain exactly one [1..1] @code="C101670" Expiration Date (CodeSystem: NCI Thesaurus (NCIt) urn:oid:2.16.840.1.113883.3.26.1.1 STATIC) (CONF:4437-3398).
  - Note: Expiration Date code
- b. This code **SHALL** contain exactly one [1..1]@codeSystem="2.16.840.1.113883.3.26.1.1" (CONF:4437-3399).
- c. This code MAY contain zero or one [0..1] @codeSystemName="NCI Thesaurus" (CONF:4437-3400).

# Appendix B: UDI Organizer template from C-CDA Supplemental Templates for UDI

d. This code **MAY** contain zero or one [0..1] **@displayName=**"Expiration Date" (CONF:4437-3401).

The value of the Expiration Date as a time stamp.

3. **SHALL** contain exactly one [1..1] **value** with @xsi:type="TS" (CONF:4437-3395). Note: Expiration Date as a time stamp

Expiration Date as a time stamp.

a. This value **SHALL** contain exactly one [1..1] **@value** (CONF:4437-3402).

## Figure 7: Expiration Date

# 2.7 Implantable Device Status Observation

[observation: identifier urn:hl7ii:2.16.840.1.113883.10.20.22.4.305:2019-06-21 (open)]

Table 15: Implantable Device Status Observation Contexts

Contained By:	Contains:
<u>UDI Organizer</u> (optional)	

This template is intended to be used in addition to the **Product Instance** template urn:oid:2.16.840.1.113883.10.20.22.4.37 to augment the parsed data from the a Unique Device Identifier (UDI). This template is used to exchange the status of the patient's implantable medical device. This status is only relevant to medical devices implanted in the patient's body.

Table 16: Implantable Device Status Observation Constraints Overview

XPath	Card.	Verb	Data Type	CONF#	Value
observation (identifier: urn:hl7	'ii:2.16.840.1.	113883.10.2	0.22.4.30	5:2019-06-2	21)
templateId	11	SHALL		4437- 3502	
@root	11	SHALL		4437- 3505	2.16.840.1.113883.10.20.22.4 .305
@extension	11	SHALL		4437- 3506	2019-06-21
code	11	SHALL		<u>4437-</u> <u>3503</u>	
@code	11	SHALL		<u>4437-</u> <u>3507</u>	urn:oid:2.16.840.1.113883.3.2 6.1.1 (NCI Thesaurus (NCIt)) = C160939
@codeSystem	11	SHALL		4437- 3508	2.16.840.1.113883.3.26.1.1
@codeSystemName	01	MAY		4437- 3509	NCI Thesaurus
@displayName	01	MAY		<u>4437-</u> <u>3510</u>	Implantable Device Status
value	11	SHALL	CD	4437- 3504	urn:oid:2.16.840.1.113883.3.2 6.1.1 (NCI Thesaurus (NCIt))
@code	01	SHALL		<u>4437-</u> <u>3511</u>	
@codeSystem	11	SHALL		<u>4437-</u> <u>3512</u>	2.16.840.1.113883.3.26.1.1
@sdtc:valueSet	01	SHOULD		<u>4437-</u> <u>3539</u>	2.16.840.1.113762.1.4.1021.4 8

- 1. **SHALL** contain exactly one [1..1] **templateId** (CONF:4437-3502).
  - a. This templateId **SHALL** contain exactly one [1..1] @root="2.16.840.1.113883.10.20.22.4.305" (CONF:4437-3505). Note: template oid

- b. This templateId **SHALL** contain exactly one [1..1] **@extension**="2019-06-21" (CONF:4437-3506).
- 2. **SHALL** contain exactly one [1..1] **code** (CONF:4437-3503). Note: Code for "Implantable Device Status"

Code used to specify the **Implantable Device Status**.

a. This code **SHALL** contain exactly one [1..1] **@code**="C160939" Implantable Device Status (CodeSystem: NCI Thesaurus (NCIt) urn:oid:2.16.840.1.113883.3.26.1.1 **STATIC**) (CONF:4437-3507).

- b. This code **SHALL** contain exactly one [1..1] **@codeSystem**="2.16.840.1.113883.3.26.1.1" (CONF:4437-3508).
- c. This code **MAY** contain zero or one [0..1] @codeSystemName="NCI Thesaurus" (CONF:4437-3509).

Implantable Device Status

d. This code **MAY** contain zero or one [0..1] **@displayName**="Implantable Device Status" (CONF:4437-3510).

The status of the device in the body of the patient. This status augments the overall status of the device active/inactive specified in the UDI organizer.

3. **SHALL** contain exactly one [1..1] **value** with @xsi:type="CD", where the code **SHALL** be selected from CodeSystem NCI Thesaurus (NCIt) (urn:oid:2.16.840.1.113883.3.26.1.1) (CONF:4437-3504).

Allowed values specified on value set 2.16.840.1.113762.1.4.1021.48:

Active (C45329)

Inactive (C154407)

Malfunctioning (C122711)

Reduced Function (C160942)

- a. This value **SHALL** contain zero or one [0..1] @code (CONF:4437-3511).
- b. This value **SHALL** contain exactly one [1..1] **@codeSystem**="2.16.840.1.113883.3.26.1.1" (CONF:4437-3512).
- c. This value **SHOULD** contain zero or one [0..1] @sdtc:valueSet=" 2.16.840.1.113762.1.4.1021.48" (CONF:4437-3539).

#### Figure 8: Implantable Device Status

# 2.8 Latex Safety Observation

[observation: identifier urn:hl7ii:2.16.840.1.113883.10.20.22.4.314: 2019-06-21 (open)]

Table 17: Latex Safety Observation Contexts

Contained By:	Contains:
<u>UDI Organizer</u> (optional)	

This template is intended to be used in addition to the **Product Instance** template urn:oid:2.16.840.1.113883.10.20.22.4.37 to exchange the **Latex Safety Status** of the patient's medical device. The UDI-DI of the medical device may be used to retrieve the Latex Safety status in accessGUDID, which should be considered the source of truth.

Table 18: Latex Safety Observation Constraints Overview

XPath	Card.	Verb	Data Type	CONF#	Value			
observation (identifier: urn:hl7ii:2.16.840.1.113883.10.20.22.4.314: 2019-06-21)								
templateId	11	SHALL		<u>4437-</u> <u>3491</u>				
@root	11	SHALL		4437- 3494	2.16.840.1.113883.10.20.22.4 .314			
@extension	11	SHALL		4437- 3495	2019-06-21			
code	11	SHALL		4437- 3492				
@code	11	SHALL		4437- 3496	urn:oid:2.16.840.1.113883.3.2 6.1.1 (NCI Thesaurus (NCIt)) = C160938			
@codeSystem	11	SHALL		4437- 3497	2.16.840.1.113883.3.26.1.1			
@codeSystemName	01	MAY		<u>4437-</u> <u>3498</u>	NCI Thesaurus			
@displayName	01	MAY		4437- 3499	Latex Safety Status			
value	11	SHALL	CD	<u>4437-</u> <u>3493</u>	urn:oid:2.16.840.1.113883.3.2 6.1.1 (NCI Thesaurus (NCIt))			
@code	01	SHALL		<u>4437-</u> <u>3500</u>				
@codeSystem	11	SHALL		<u>4437-</u> <u>3501</u>	2.16.840.1.113883.3.26.1.1			
@sdtc:valueSet	01	SHOULD		<u>4437-</u> <u>3538</u>	2.16.840.1.113762.1.4.1021.4 7			

1. **SHALL** contain exactly one [1..1] **templateId** (CONF:4437-3491).

a. This templateId **SHALL** contain exactly one [1..1]
 @root="2.16.840.1.113883.10.20.22.4.314" (CONF:4437-3494).
 Note: template oid

Revision from "2018-05-01".

- b. This templateId **SHALL** contain exactly one [1..1] **@extension**="2019-06-21" (CONF:4437-3495).
- 2. **SHALL** contain exactly one [1..1] **code** (CONF:4437-3492).

Note: Code for "Latex Status"

Code used to specify the Latex Safety Status

- a. This code **SHALL** contain exactly one [1..1] @code="C160938" Latex Safety Status (CodeSystem: NCI Thesaurus (NCIt) urn:oid:2.16.840.1.113883.3.26.1.1 **STATIC**) (CONF:4437-3496).
- b. This code **SHALL** contain exactly one [1..1] **@codeSystem**="2.16.840.1.113883.3.26.1.1" (CONF:4437-3497).
- c. This code **MAY** contain zero or one [0..1] @codeSystemName="NCI Thesaurus" (CONF:4437-3498).
- d. This code **MAY** contain zero or one [0..1] **@displayName**="Latex Safety Status" (CONF:4437-3499).

The value of the Latex Safety status.

3. **SHALL** contain exactly one [1..1] **value** with @xsi:type="CD", where the code **SHALL** be selected from CodeSystem NCI Thesaurus (NCIt) (urn:oid:2.16.840.1.113883.3.26.1.1) (CONF:4437-3493).

Allowed values from value set 2.16.840.1.113762.1.4.1021.47:

C106043 Natural Rubber Latex

C106038 Not Made with Natural Rubber Latex

- a. This value **SHALL** contain zero or one [0..1] @code (CONF:4437-3500).
- b. This value **SHALL** contain exactly one [1..1]@codeSystem="2.16.840.1.113883.3.26.1.1" (CONF:4437-3501).
- c. This value **SHOULD** contain zero or one [0..1] @sdtc:valueSet="2.16.840.1.113762.1.4.1021.47" (CONF:4437-3538).

#### Figure 9: Latex Safety Status

```
<observation classCode="OBS" moodCode="EVN">
                                                         <templateId root="2.16.840.1.113883.10.20.22.4.314"</pre>
                                                                            extension="2019-06-21"/>
                                                         <code code="C160938" displayName="Latex Safety Status"</pre>
                                                                           codeSystem="2.16.840.1.113883.3.26.1.1"
                                                                            codeSystemName="NCI Thesaurus"/>
                                                         <value xsi:type="CD" code="C106038"</pre>
                                                                            \verb|codeSystem="2.16.840.1.113883.3.26.1.1"| | displayName="Not Made with Natural Natura Natur
Rubber Latex"
                                                                           codeSystemName="NCI Thesaurus"
sdtc:valueSet="2.16.840.1.113762.1.4.1021.47">
                                                                           <originalText>
                                                                                               <reference value="#LatexSafety_1"/>
                                                                             </originalText>
                                                         </value>
                                      </observation>
```

## 2.9 Lot or Batch Number Observation

[observation: identifier urn:hl7ii:2.16.840.1.113883.10.20.22.4.315:2019-06-21 (open)]

Table 19: Lot or Batch Number Observation Contexts

Contained By:	Contains:
<u>UDI Organizer</u> (optional)	

This template is intended to be used in addition to the **Product Instance** template urn:oid:2.16.840.1.113883.10.20.22.4.37 to exchange the **Lot or Batch Number** of the device. The Lot or Batch Number is parsed from the UDI value, if present.

XPath	Card.	Verb	Data Type	CONF#	Value
observation (identifier: urn:hl7ii:	2.16.840.1.	113883.10.20	0.22.4.31	5:2019-06-2	1)
templateId	11	SHALL		<u>4437-</u> <u>3450</u>	
@root	11	SHALL		<u>4437-</u> <u>3452</u>	2.16.840.1.113883.10.20.22.4 .315
@extension	11	SHALL		4437- 3453	2019-06-21
code	11	SHALL		4437- 3451	
@code	11	SHALL		4437- 3454	urn:oid:2.16.840.1.113883.3.2 6.1.1 (NCI Thesaurus (NCIt)) = C101672
@codeSystem	11	SHALL		<u>4437-</u> <u>3455</u>	2.16.840.1.113883.3.26.1.1
@codeSystemName	01	MAY		4437- 3456	NCI Thesaurus
@displayName	01	MAY		4437- 3457	Lot or Batch Number
value	11	SHALL	ST	<u>4437-</u> <u>3458</u>	

Table 20: Lot or Batch Number Observation Constraints Overview

- 1. **SHALL** contain exactly one [1..1] **templateId** (CONF:4437-3450).
  - a. This templateId **SHALL** contain exactly one [1..1] @root="2.16.840.1.113883.10.20.22.4.315" (CONF:4437-3452). Note: template oid

- b. This templateId **SHALL** contain exactly one [1..1] @extension="2019-06-21" (CONF:4437-3453).
- 2. **SHALL** contain exactly one [1..1] **code** (CONF:4437-3451). Note: Code for "Lot or Batch Number"

Code used to specify the **Lot or Batch Number**.

- a. This code **SHALL** contain exactly one [1..1] @code="C101672" Lot or Batch Number (CodeSystem: NCI Thesaurus (NCIt) urn:oid:2.16.840.1.113883.3.26.1.1 **STATIC**) (CONF:4437-3454).
- b. This code **SHALL** contain exactly one [1..1] **@codeSystem**="2.16.840.1.113883.3.26.1.1" (CONF:4437-3455).
- c. This code **MAY** contain zero or one [0..1] **@codeSystemName=**"NCI Thesaurus" (CONF:4437-3456).
- d. This code **MAY** contain zero or one [0..1] **@displayName**="Lot or Batch Number" (CONF:4437-3457).

The value of the Lot or Batch Number as a text string.

# Appendix B: UDI Organizer template from C-CDA Supplemental Templates for UDI

3. **SHALL** contain exactly one [1..1] **value** with @xsi:type="ST" (CONF:4437-3458).

#### Figure 10: Lot or Batch Number

# 2.10 Manufacturing Date Observation

[observation: identifier urn:hl7ii:2.16.840.1.113883.10.20.22.4.316:2019-06-21 (open)]

Table 21: Manufacturing Date Observation Contexts

Contained By:	Contains:
UDI Organizer (optional)	

This template is intended to be used in addition to the **Product Instance** template urn:oid:2.16.840.1.113883.10.20.22.4.37 to exchange the **Manufacturing Date** of the device. The manufacturing date is parsed from the UDI value, if present.

 ${\bf Table~22:~Manufacturing~Date~Observation~Constraints~Overview}$ 

XPath	Card.	Verb	Data Type	CONF#	Value		
observation (identifier: urn:hl7ii:2.1	observation (identifier: urn:hl7ii:2.16.840.1.113883.10.20.22.4.316:2019-06-21)						
templateId	11	SHALL		<u>4437-</u> <u>3459</u>			
@root	11	SHALL		<u>4437-</u> <u>3462</u>	2.16.840.1.113883.10.20.22.4 .316		
@extension	11	SHALL		<u>4437-</u> <u>3463</u>	2019-06-21		
code	11	SHALL		<u>4437-</u> <u>3460</u>			
@code	11	SHALL		<u>4437-</u> <u>3464</u>	urn:oid:2.16.840.1.113883.3.2 6.1.1 (NCI Thesaurus (NCIt)) = C101669		
@codeSystem	11	SHALL		<u>4437-</u> <u>3465</u>	2.16.840.1.113883.3.26.1.1		
@codeSystemName	01	MAY		<u>4437-</u> <u>3466</u>	NCI Thesaurus		
@displayName	01	MAY		<u>4437-</u> <u>3467</u>	Manufacturing Date		
value	11	SHALL	TS	<u>4437-</u> <u>3461</u>			
@value	11	SHALL		<u>4437-</u> <u>3468</u>			

- 1. **SHALL** contain exactly one [1..1] **templateId** (CONF:4437-3459).
  - a. This templateId **SHALL** contain exactly one [1..1] @root="2.16.840.1.113883.10.20.22.4.316" (CONF:4437-3462). Note: template oid

- b. This templateId **SHALL** contain exactly one [1..1] @extension="2019-06-21" (CONF:4437-3463).
- 2. **SHALL** contain exactly one [1..1] **code** (CONF:4437-3460). Note: Code for "Manufacturing Date"

Code used to specify the Manufacturing Date.

a. This code **SHALL** contain exactly one [1..1] @code="C101669" Manufacturing Date (CodeSystem: NCI Thesaurus (NCIt) urn:oid:2.16.840.1.113883.3.26.1.1 **STATIC**) (CONF:4437-3464).

Note: Manufacturing Date code

- b. This code **SHALL** contain exactly one [1..1] **@codeSystem**="2.16.840.1.113883.3.26.1.1" (CONF:4437-3465).
- c. This code **MAY** contain zero or one [0..1] @codeSystemName="NCI Thesaurus" (CONF:4437-3466).

## Appendix B: UDI Organizer template from C-CDA Supplemental Templates for UDI

d. This code MAY contain zero or one [0..1] @displayName="Manufacturing Date" (CONF:4437-3467).

The value of the Manufacturing Date as a time stamp.

3. **SHALL** contain exactly one [1..1] **value** with @xsi:type="TS" (CONF:4437-3461). Note: Manufacturing Date as a time stamp

Manufacturing Date as a time stamp.

a. This value **SHALL** contain exactly one [1..1] **@value** (CONF:4437-3468).

## Figure 11: Manufacturing Date

```
<observation classCode="OBS" moodCode="EVN">
<templateId root="2.16.840.1.113883.10.20.22.4.316" extension="2019-06-21"/>
<code code="C101669" displayName="Manufacturing Date"</pre>
   codeSystem="2.16.840.1.113883.3.26.1.1" codeSystemName="NCI Thesaurus"/>
<value xsi:type="TS" value="20181015"/>
</observation>
```

# 2.11 Model Number Observation

[observation: identifier urn:hl7ii:2.16.840.1.113883.10.20.22.4.317:2019-06-21 (open)]

Table 23: Model Number Observation Contexts

Contained By:	Contains:
<u>UDI Organizer</u> (optional)	

This template is intended to be used in addition to the **Product Instance** template urn:oid:2.16.840.1.113883.10.20.22.4.37 to exchange the **Model Number** associated with the device. The UDI-DI of the medical device may be used to retrieve the **Model Number** in accessGUDID, which should be considered the source of truth.

XPath	Card.	Verb	Data Type	CONF#	Value
observation (identifier: urn:hl7ii:2.	16.840.1.	113883.10.20	0.22.4.317	7:2019-06-21	2)
templateId	11	SHALL		<u>4437-</u> <u>3412</u>	
@root	11	SHALL		<u>4437-</u> <u>3414</u>	2.16.840.1.113883.10.20.22.4 .317
@extension	11	SHALL		<u>4437-</u> <u>3415</u>	2019-06-21
code	11	SHALL		<u>4437-</u> <u>3413</u>	
@code	11	SHALL		4437- 3416	urn:oid:2.16.840.1.113883.3.2 6.1.1 (NCI Thesaurus (NCIt)) = C99285
@codeSystem	11	SHALL		<u>4437-</u> <u>3417</u>	2.16.840.1.113883.3.26.1.1
@codeSystemName	01	MAY		<u>4437-</u> <u>3418</u>	NCI Thesaurus
@displayName	01	MAY		<u>4437-</u> <u>3419</u>	Model Number
value	11	SHALL	ED	<u>4437-</u> <u>3420</u>	

Table 24: Model Number Observation Constraints Overview

- 1. **SHALL** contain exactly one [1..1] **templateId** (CONF:4437-3412).
  - a. This templateId **SHALL** contain exactly one [1..1] @root="2.16.840.1.113883.10.20.22.4.317" (CONF:4437-3414). Note: template oid

- b. This templateId **SHALL** contain exactly one [1..1] @extension="2019-06-21" (CONF:4437-3415).
- 2. **SHALL** contain exactly one [1..1] **code** (CONF:4437-3413). Note: Code for "Device Model Number"

Code used to specify the Model Number.

- a. This code **SHALL** contain exactly one [1..1] @code="C99285" Model Number (CodeSystem: NCI Thesaurus (NCIt) urn:oid:2.16.840.1.113883.3.26.1.1 **STATIC**) (CONF:4437-3416).
- b. This code **SHALL** contain exactly one [1..1] **@codeSystem**="2.16.840.1.113883.3.26.1.1" (CONF:4437-3417).
- c. This code **MAY** contain zero or one [0..1] @codeSystemName="NCI Thesaurus" (CONF:4437-3418).
- d. This code **MAY** contain zero or one [0..1] **@displayName=**"Model Number" (CONF:4437-3419).

The value of the Model Number as a text string.

## Appendix B: UDI Organizer template from C-CDA Supplemental Templates for UDI

3. **SHALL** contain exactly one [1..1] **value** with @xsi:type="ED" (CONF:4437-3420). Note: Model Number as a text value

### Figure 12: Model Number

# 2.12 MRI Safety Observation

[observation: identifier urn:hl7ii:2.16.840.1.113883.10.20.22.4.318: 2019-06-21 (open)]

#### Table 25: MRI Safety Observation Contexts

Contained By:	Contains:
<u>UDI Organizer</u> (optional)	

This template is intended to be used in addition to the **Product Instance** template urn:oid:2.16.840.1.113883.10.20.22.4.37 to exchange the MRI Safety Status of the patient's medical device. The UDI-DI of the medical device may be used to retrieve the **MRI Safety Status** in accessGUDID, which should be considered the source of truth.

XPath	Card.	Verb	Data Type	CONF#	Value
observation (identifier: urn:hl7ii:2.16	5.840.1.	113883.10.20	0.22.4.318	8: 2019-06-2	1)
templateId	11	SHALL		<u>4437-</u> <u>3469</u>	
@root	11	SHALL		<u>4437-</u> <u>3471</u>	2.16.840.1.113883.10.20.22.4 .318
@extension	11	SHALL		4437- 3472	2019-06-21
code	11	SHALL		<u>4437-</u> <u>3470</u>	
@code	11	SHALL		4437- 3473	urn:oid:2.16.840.1.113883.3.2 6.1.1 (NCI Thesaurus (NCIt)) = C106044
@codeSystem	11	SHALL		<u>4437-</u> <u>3474</u>	2.16.840.1.113883.3.26.1.1
@codeSystemName	01	MAY		<u>4437-</u> <u>3475</u>	NCI Thesaurus
@displayName	01	MAY		4437- 3476	MRI Safety
value	11	SHALL	CD	<u>4437-</u> <u>3477</u>	urn:oid:2.16.840.1.113883.3.2 6.1.1 (NCI Thesaurus (NCIt))
@code	01	SHALL		<u>4437-</u> <u>3478</u>	
@codeSystem	11	SHALL		<u>4437-</u> <u>3479</u>	2.16.840.1.113883.3.26.1.1
@sdtc:valueSet	01	SHOULD		<u>4437-</u> <u>3537</u>	2.16.840.1.113762.1.4.1021.4 6

- 1. **SHALL** contain exactly one [1..1] **templateId** (CONF:4437-3469).
  - a. This templateId **SHALL** contain exactly one [1..1] @root="2.16.840.1.113883.10.20.22.4.318" (CONF:4437-3471). Note: template oid

- b. This templateId **SHALL** contain exactly one [1..1] **@extension=**"2019-06-21" (CONF:4437-3472).
- 2. **SHALL** contain exactly one [1..1] **code** (CONF:4437-3470). Note: Code for "MRI Safety Status"

Code used to specify the MRI Safety Status.

a. This code **SHALL** contain exactly one [1..1] **@code**="C106044" MRI Safety (CodeSystem: NCI Thesaurus (NCIt) urn:oid:2.16.840.1.113883.3.26.1.1 **STATIC**) (CONF:4437-3473).

## Appendix B: UDI Organizer template from C-CDA Supplemental Templates for UDI

- b. This code **SHALL** contain exactly one [1..1] **@codeSystem**="2.16.840.1.113883.3.26.1.1" (CONF:4437-3474).
- c. This code **MAY** contain zero or one [0..1] @codeSystemName="NCI Thesaurus" (CONF:4437-3475).
- d. This code **MAY** contain zero or one [0..1] **@displayName**="MRI Safety" (CONF:4437-3476).

The value of the MRI Safety status.

3. **SHALL** contain exactly one [1..1] **value** with @xsi:type="CD", where the code **SHALL** be selected from CodeSystem NCI Thesaurus (NCIt) (urn:oid:2.16.840.1.113883.3.26.1.1) (CONF:4437-3477).

Allowed values specified in 2.16.840.1.113762.1.4.1021.46:

C113844 Labeling does not contain MRI Safety Information

C106046 MR Conditional

C106045 MR Safe

C106047 MR Unsafe

- a. This value **SHALL** contain zero or one [0..1] **@code** (CONF:4437-3478).
- b. This value **SHALL** contain exactly one [1..1]@codeSystem="2.16.840.1.113883.3.26.1.1" (CONF:4437-3479).
- c. This value **SHOULD** contain zero or one [0..1]@sdtc:valueSet="2.16.840.1.113762.1.4.1021.46" (CONF:4437-3537).

#### Figure 13: MRI Safety Status

## 2.13 Serial Number Observation

[observation: identifier urn:hl7ii:2.16.840.1.113883.10.20.22.4.319: 2019-06-21 (open)]

#### Table 27: Serial Number Observation Contexts

Contained By:	Contains:
<u>UDI Organizer</u> (optional)	

This template is intended to be used in addition to the **Product Instance** template urn:oid:2.16.840.1.113883.10.20.22.4.37 to exchange the **Serial Number** of the device. The serial number is parsed from the UDI value, if present.

Table 28: Serial Number Observation Constraints Overview

XPath	Card.	Verb	Data Type	CONF#	Value		
observation (identifier: urn:hl7ii:2.10	observation (identifier: urn:hl7ii:2.16.840.1.113883.10.20.22.4.319: 2019-06-21)						
templateId	11	SHALL		<u>4437-</u> <u>3373</u>			
@root	11	SHALL		<u>4437-</u> <u>3377</u>	2.16.840.1.113883.10.20.22.4 .319		
@extension	11	SHALL		<u>4437-</u> <u>3368</u>	2019-06-21		
code	11	SHALL		4437- 3374			
@code	11	SHALL		<u>4437-</u> <u>3378</u>	urn:oid:2.16.840.1.113883.3.2 6.1.1 (NCI Thesaurus (NCIt)) = C101671		
@codeSystem	11	SHALL		4437- 3369	2.16.840.1.113883.3.26.1.1		
@codeSystemName	01	MAY		<u>4437-</u> <u>3370</u>	NCI Thesaurus		
@displayName	01	MAY		<u>4437-</u> <u>3379</u>	Serial Number		
value	11	SHALL	ED	<u>4437-</u> <u>3372</u>			

- 1. **SHALL** contain exactly one [1..1] **templateId** (CONF:4437-3373).
  - a. This templateId **SHALL** contain exactly one [1..1]
     @root="2.16.840.1.113883.10.20.22.4.319" (CONF:4437-3377).
     Note: template oid

Revision from "2018-05-01".

- b. This templateId **SHALL** contain exactly one [1..1] @extension="2019-06-21" (CONF:4437-3368).
- 2. **SHALL** contain exactly one [1..1] **code** (CONF:4437-3374). Note: Code for "Serial Number"

Code used to specify the **Serial Number**.

- a. This code **SHALL** contain exactly one [1..1] @code="C101671" Serial Number (CodeSystem: NCI Thesaurus (NCIt) urn:oid:2.16.840.1.113883.3.26.1.1 **STATIC**) (CONF:4437-3378).
- b. This code **SHALL** contain exactly one [1..1] **@codeSystem**="2.16.840.1.113883.3.26.1.1" (CONF:4437-3369).

# Appendix B: UDI Organizer template from C-CDA Supplemental Templates for UDI

- c. This code **MAY** contain zero or one [0..1] @codeSystemName="NCI Thesaurus" (CONF:4437-3370).
- d. This code **MAY** contain zero or one [0..1] **@displayName=** "Serial Number" (CONF:4437-3379).

The value of the Serial Number as a text string.

3. **SHALL** contain exactly one [1..1] **value** with @xsi:type="ED" (CONF:4437-3372). Note: Serial Number as a text value

#### Figure 14: Serial Number

# 3 TEMPLATE IDS IN THIS GUIDE

Table 29: Template List

Template Title	Template Type	templateId
<u>UDI Organizer</u>	entry	urn:hl7ii:2.16.840.1.113883.10.20. 22.4.311:2019-06-21
Brand Name Observation	subentry	urn:hl7ii:2.16.840.1.113883.10.20. 22.4.301: 2019-06-21
Catalog Number Observation	subentry	urn:hl7ii:2.16.840.1.113883.10.20. 22.4.302:2019-06-21
Company Name Observation	subentry	urn:hl7ii:2.16.840.1.113883.10.20. 22.4.303:2019-06-21
Device Identifier Observation	subentry	urn:hl7ii:2.16.840.1.113883.10.20. 22.4.304:2019-06-21
Distinct Identification Code Observation	subentry	urn:hl7ii:2.16.840.1.113883.10.20. 22.4.308: 2019-06-21
Expiration Date Observation	subentry	urn:hl7ii:2.16.840.1.113883.10.20. 22.4.309: 2019-06-21
Implantable Device Status Observation	subentry	urn:hl7ii:2.16.840.1.113883.10.20. 22.4.305:2019-06-21
<u>Latex Safety Observation</u>	subentry	urn:hl7ii:2.16.840.1.113883.10.20. 22.4.314: 2019-06-21
Lot or Batch Number Observation	subentry	urn:hl7ii:2.16.840.1.113883.10.20. 22.4.315:2019-06-21
Manufacturing Date Observation	subentry	urn:hl7ii:2.16.840.1.113883.10.20. 22.4.316:2019-06-21
Model Number Observation	subentry	urn:hl7ii:2.16.840.1.113883.10.20. 22.4.317:2019-06-21
MRI Safety Observation	subentry	urn:hl7ii:2.16.840.1.113883.10.20. 22.4.318: 2019-06-21
Serial Number Observation	subentry	urn:hl7ii:2.16.840.1.113883.10.20. 22.4.319: 2019-06-21

# ${\bf Appendix\,B: UDI\,Organizer\,template\,from\,C-CDA\,Supplemental\,Templates\,for\,UDI\,Complete and Complete and C$

Table 30: Template Containments

Template Title	Template Type	templateId
UDI Organizer	entry	urn:hl7ii:2.16.840.1.113883.10.20. 22.4.311:2019-06-21
Distinct Identification Code Observation	subentry	urn:hl7ii:2.16.840.1.113883.10.20. 22.4.308: 2019-06-21
Serial Number Observation	subentry	urn:hl7ii:2.16.840.1.113883.10.20. 22.4.319: 2019-06-21
Expiration Date Observation	subentry	urn:hl7ii:2.16.840.1.113883.10.20. 22.4.309: 2019-06-21
Brand Name Observation	subentry	urn:hl7ii:2.16.840.1.113883.10.20. 22.4.301: 2019-06-21
Model Number Observation	subentry	urn:hl7ii:2.16.840.1.113883.10.20. 22.4.317:2019-06-21
Device Identifier Observation	subentry	urn:hl7ii:2.16.840.1.113883.10.20. 22.4.304:2019-06-21
Catalog Number Observation	subentry	urn:hl7ii:2.16.840.1.113883.10.20. 22.4.302:2019-06-21
Company Name Observation	subentry	urn:hl7ii:2.16.840.1.113883.10.20. 22.4.303:2019-06-21
Lot or Batch Number Observation	subentry	urn:hl7ii:2.16.840.1.113883.10.20. 22.4.315:2019-06-21
Manufacturing Date Observation	subentry	urn:hl7ii:2.16.840.1.113883.10.20. 22.4.316:2019-06-21
MRI Safety Observation	subentry	urn:hl7ii:2.16.840.1.113883.10.20. 22.4.318: 2019-06-21
Latex Safety Observation	subentry	urn:hl7ii:2.16.840.1.113883.10.20. 22.4.314: 2019-06-21
Implantable Device Status Observation	subentry	urn:hl7ii:2.16.840.1.113883.10.20. 22.4.305:2019-06-21

# 4 CODE SYSTEMS IN THIS GUIDE

Table 31: Code Systems

Name	OID
HL7ActStatus	urn:oid:2.16.840.1.113883.5.14
LOINC	urn:oid:2.16.840.1.113883.6.1
NCI Thesaurus (NCIt)	urn:oid:2.16.840.1.113883.3.26.1.1