

# UNIT 5

## BRANCH AND BOUND

\* Branch and bound:

- The term branch and bound refers to all state space search methods in which all children of the E-node are generated before any other live node can become the E-node.
- There are two graph search strategies.
1. BFS
  2. DFS

→ In this methods, the expansion of a new node cannot begin until the node currently being the explored is fully explored.

→ Both of this methods generalise to branch and bound strategies.

→ In Branch and bound terminology, BFS called as FIFO search and DFS called as LIFO search.

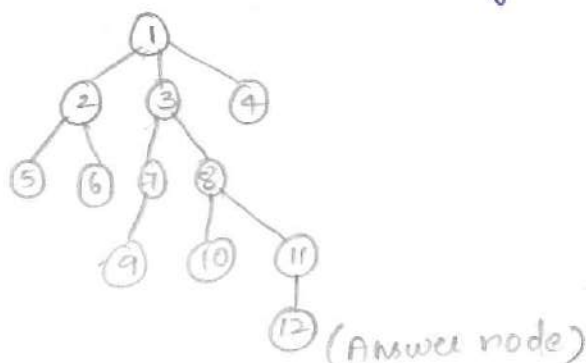
→ A branch and bound method searches a state space tree using any search mechanism in which all the children of the E-node are generated before another node becomes the E-node.

→ There are three common search strategies.

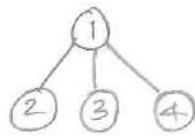
1. First in first out (FIFO)
2. Last in first out (LIFO)
3. Least cost search (Le)

1. First in first out search (FIFO):

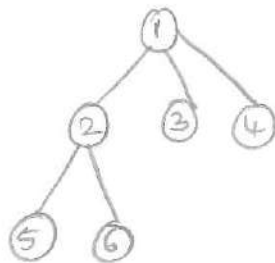
→ First in first out search method uses queues data structure. consider the following example.



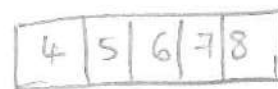
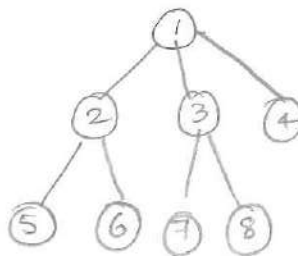
→ Assume that there is only one node. This node becomes E-node. Expand and ~~extend~~ generate all its children 2, 3, 4 nodes. Insert these nodes into the queue.



→ Queue follows FIFO. So remove node 2 from the queue and make it as E-node and generate its child nodes 5, 6 and insert 5, 6 into queue.



→ Make node 3 as E-node and generate its child nodes and insert into queue.

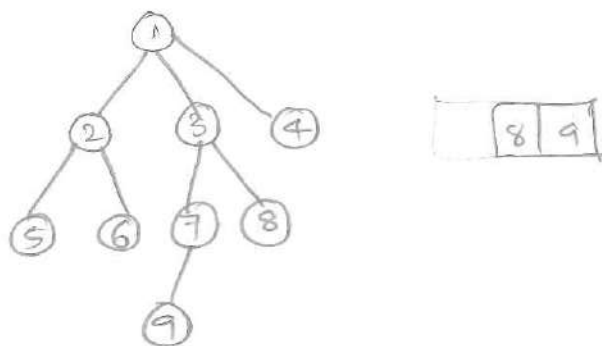


~~→ Make node 4 as E-node~~

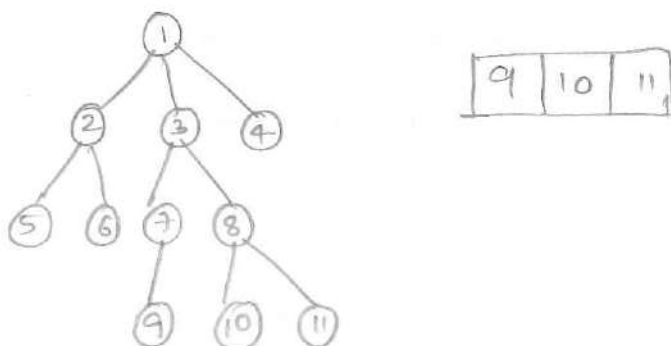
→ Nodes 4, 5, 6 killed by bounding function because they are not possible to generate child nodes. Now 4, 5, 6 are removed from queue. Now, queue contains 7, 8



→ Make 7 as E-node and generate its children and insert into queue.



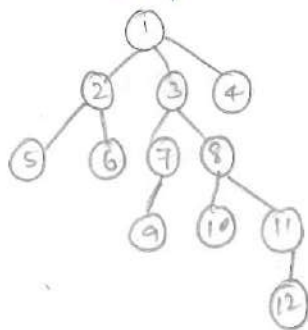
→ Make 8 as E-node and generate its children and insert into queue



→ Make 9, 10 as E-node since it does not generate children. i.e. They are dead nodes. Remove 9, 10 from queue.



→ Make 11 as the E-node and generate its child nodes and insert it into queue. 12 is the answer node and stop the process.





(ren)

### 3. Least cost (LC):

→ In both FIFO and LIFO branch and bound.

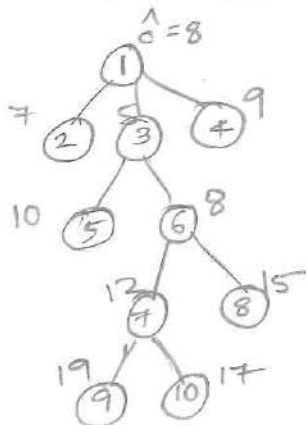
the selection rule for next E-node is not efficient. The selection rule for next E-node does not give any preference to any node that has a very good chance of getting the search to an answer node quickly.

→ In least cost search, the next E-node is selected on the basis of ranking function ( $\hat{c}()$ ).

→ Ranking function is used to find cost of each and every node. The node which has least cost is selected as E-node to generate child nodes.

This process continues until the ~~defined~~ <sup>to find</sup> answer node.

→ Consider the following example.



→ The cost of node 1 is 8 and make this node as E-node to generate child nodes 2, 3, 4. Find

the cost of this node using ranking function. The cost of nodes 2, 3, 4 are 7, 5, 9 respectively. The cost of node 3 is least and is selected as E-node to generate child nodes 5 and 6.

→ Find cost of 5 and 6 using ranking function.

The node 6 cost is least<sup>(8)</sup> and select this node as E-node to generate child nodes 7 and 8.

→ Find cost of 7 and 8 using ranking function

The costs are 12 and 15 respectively. The cost of node 7 is least and select this node as E-node

to generate child nodes 9 & 10. The costs are

19 and 17 respectively. The cost of node 10 is

least and it is answer node. Stop the process.

\* Least cost algorithm:

→ Control abstraction for branch and bound using least cost search method:

Algorithm Lcsearch ( $t$ )

{

if  $*t$  is an answer node then

output  $*t$  and return;

$E := t$ , // E-node

The Initialize the list of live nodes to be empty

The repeat

node for each child  $x$  of  $E$  do

{  
if  $x$  is an answer node then output the path  
from  $x$  to  $t$  and return

as Add( $x$ );

( $x \rightarrow \text{parent}$ ) :=  $E$ ;

on }  
if there are no more live nodes then write

node ("No answer node"); return;

u  $E = \text{least}()$ ;

s }until (false);

3- }

Algorithm

using



\* 0/1 knapsack problem using least cost search method:

→ Branch and bound technique is used to solve minimization problems where as knapsack problem is a maximization problem. So convert maximization problem into minimization problem by replacing objective function  $\sum P_i x_i$  by the function  $-\sum P_i x_i$ . The modified knapsack problem is stated as

$$\text{minimize } -\sum_{i=1}^n P_i x_i$$

$$\text{Subject to } \sum_{i=1}^n w_i x_i \leq m$$

$$x_i = 0 \text{ or } 1, 1 \leq i \leq n.$$

\* LC branch and bound solution for knapsack problem:

1) Consider the knapsack instance  $n=4$ ,

$$(P_1, P_2, P_3, P_4) = (10, 10, 12, 18), (w_1, w_2, w_3, w_4) =$$

$(2, 4, 6, 9)$  and  $m=15$ . Solve this problem using

LC branch and bound.

Sol:- The search begins with root node as E-node



Initially root node is node 1. Find lower bound cost and upper bound cost for node 1 using ranking function.

→ lower bound will accept the fractional values and upper bound does not accept fraction values.

$$\text{Lower bound}(\hat{c}) = \langle P, x \rangle = -(P_1x_1 + P_2x_2 + P_3x_3 + P_4x_4)$$

$$\hat{c}(lb) = (10 \cdot 1 + 10 \cdot 1 + 12 \cdot 1 + 18 \cdot 3/4) = 38 = -38$$

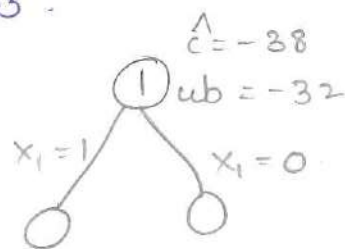
$$ub = (10 \cdot 1 + 10 \cdot 1 + 12 \cdot 1 + 18 \cdot 0) = 32 = -32$$

upper bound = -32

$$\textcircled{1} \quad \begin{array}{l} \hat{c} = -38 \\ ub = -32 \end{array}$$

→ Make node 1 as E-node to generate child nodes

2 and 3.



$x_1 = 1$  means first item must be placed in the knapsack.

$x_1 = 0$  means first item should not be placed in the knapsack.

→ for node 2 [ $x_1=1$ ]: First item must be placed in bag

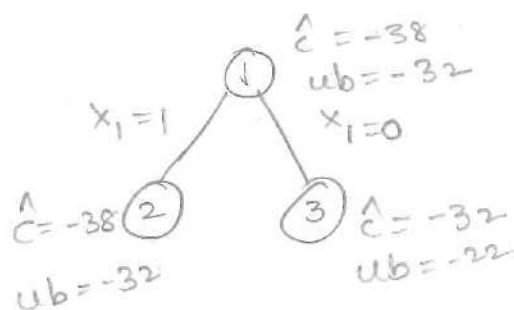
$$\hat{C} = 10 \cdot 1 + 10 \cdot 1 + 12 \cdot 1 + 18 \cdot 3 / 9 = 38 = -38$$

$$ub = 10 \cdot 0 + 10 \cdot 1 + 12 \cdot 1 + 18 \cdot 0 = 32 = -32$$

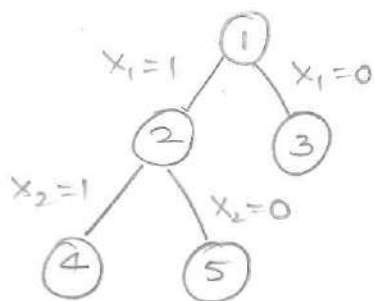
→ for node 3 [ $x_1=0$ ]: first item should not be placed in bag.

$$\hat{C} = 10 \cdot 0 + 10 \cdot 1 + 12 \cdot 1 + 18 \cdot 3 / 9 = -32$$

$$ub = 10 \cdot 0 + 10 \cdot 1 + 12 \cdot 1 + 18 \cdot 0 = -22$$



→ upper bound cost of node 2 is -32 which is least compared to node 3. So select node 2 as E-node to generate child nodes 4 and 5



→ node 4 [ $x_2=1$ ]: Second item must be placed in bag

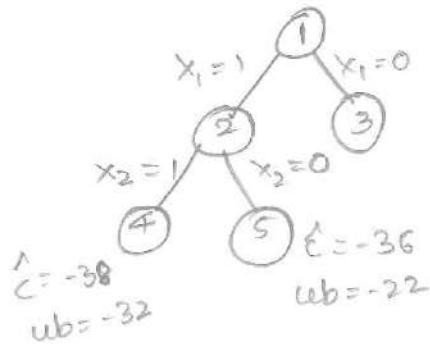
$$\hat{C} = lb = 10 \cdot 1 + 10 \cdot 1 + 12 \cdot 1 + 18 \cdot 3 / 9 = -38$$

$$ub = 10 \cdot 0 + 10 \cdot 1 + 12 \cdot 1 + 18 \cdot 0 = 32 = -32$$

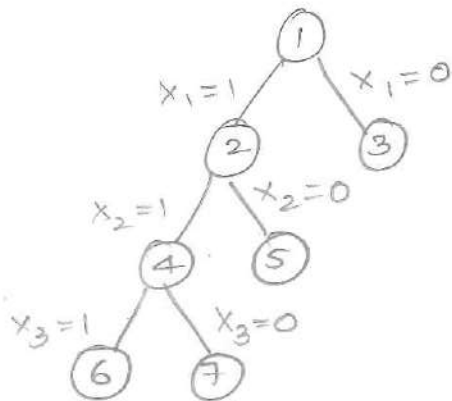
→ node 5 [ $x_2=0$ ]: second item should not be placed in bag

$$\hat{c} = lb = -(10 \cdot 1 + 10 \cdot 0 + 12 \cdot 1 + 18 \cdot 7/9) = -36$$

$$ub = -(10 \cdot 1 + 10 \cdot 0 + 12 \cdot 1 + 18 \cdot 0) = -22$$



→ upper bound cost of node 4 is -32 which is least compared to node 5. So select node 4 as E-node to generate its child nodes 6 & 7.



node 6 [ $x_3=1$ ]:

$$\hat{c} = lb = 10 \cdot 1 + 10 \cdot 1 + 12 \cdot 1 + 18 \cdot 3/9 = -38$$

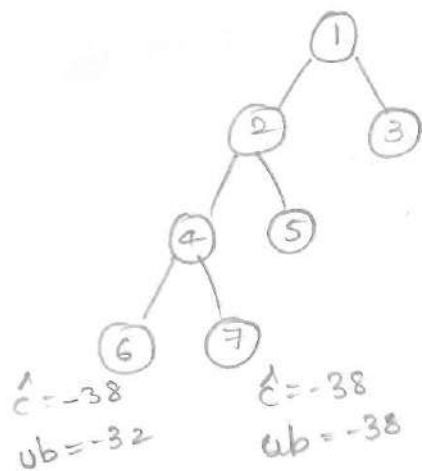
$$ub = 10 \cdot 1 + 10 \cdot 1 + 12 \cdot 1 + 18 \cdot 0 = -32$$

node 7 [ $x_3=0$ ]:

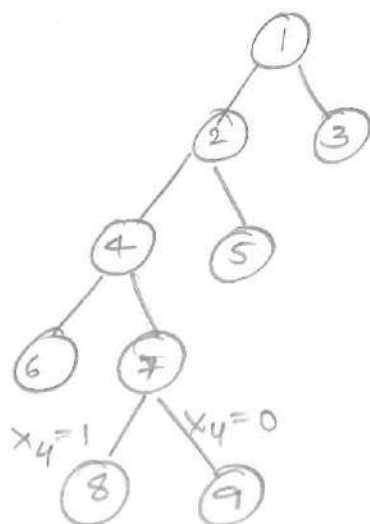
$$\hat{c} = lb = -(10 \cdot 1 + 10 \cdot 1 + 18 \cdot 1) = -38$$

$$ub = -(10 \cdot 1 + 10 \cdot 1 + 18 \cdot 0) = -38$$





→ upper bound cost of node 7 is -38 which is least compared to node 6. So select node 7 as E-node to generate its child nodes 8 & 9



node 8 [ $x_4 = 1$ ]:

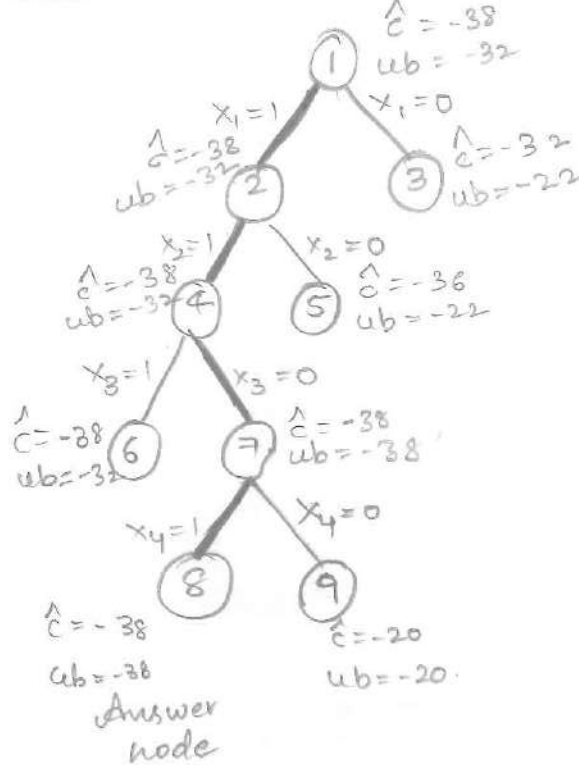
$$\hat{c} = 10 \cdot 1 + 10 \cdot 1 + 12 \cdot 0 + 18 \cdot 9/9 = -38$$

$$ub = 10 \cdot 1 + 10 \cdot 1 + 12 \cdot 0 + 18 \cdot 9/9 = -38$$

node 9 [ $x_4 = 0$ ]:

$$\hat{c} = 10 \cdot 1 + 10 \cdot 1 + 12 \cdot 0 + 18 \cdot 0 = -20$$

$$ub = 10 \cdot 1 + 10 \cdot 1 + 12 \cdot 0 + 18 \cdot 0 = -20$$



→ Subcost of node 8 is  $-38$  which is least then compared to node 9 and select this node as answer node.

→ So optimal solution is  $x_1 = 1, x_2 = 1, x_3 = 0, x_4 = 1$ .

Maximum profit

$$\sum P_i x_i = P_1 x_1 + P_2 x_2 + P_3 x_3 + P_4 x_4$$

$$= 10 \cdot 1 + 10 \cdot 1 + 12 \cdot 0 + 18 \cdot 1$$

$$\sum P_i x_i = 38$$

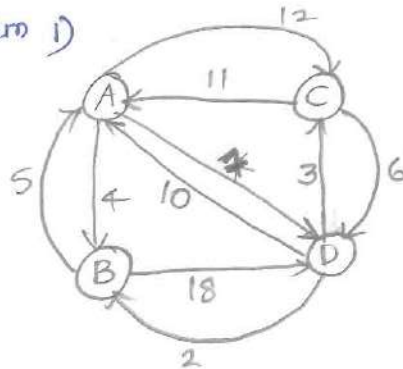
\* Travelling Salesperson problem:

→ Travelling Salesperson problem consists of Salesman and set of cities. A Salesman has to visit every city, from starting a certain city (home city) and

Come back to same city. The objective of this problem is that Salesman wants to reduce cost of the trip.

→ Consider the following graph.

problem 1)



Sol:- LC branch and bound solution.

Step-1: Represent the given graph in the form of adjacent cost matrix.

	A	B	C	D
A	$\infty$	4	12	7
B	5	$\infty$	$\infty$	18
C	11	$\infty$	$\infty$	6
D	10	2	3	$\infty$

Now reduce the cost matrix by using row reduction and column reduction.

Row reduction:

→ Subtract least element from all elements of the selected row



By row reduction

$$\begin{bmatrix} \infty & 4 & 12 & 7 \\ 5 & \infty & \infty & 18 \\ 11 & \infty & \infty & 6 \\ 10 & 2 & 3 & \infty \end{bmatrix} \Rightarrow \begin{bmatrix} \infty & 0 & 8 & 3 \\ 0 & \infty & \infty & 13 \\ 5 & \infty & \infty & 0 \\ 8 & 0 & 1 & \infty \end{bmatrix}$$

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Column reduction:

→ Subtract least element from all elements of

Selected row

$$\begin{bmatrix} \infty & 0 & 8 & 3 \\ 0 & \infty & \infty & 13 \\ 5 & \infty & \infty & 0 \\ 8 & 0 & 1 & \infty \\ \hline 0 & 0 & 1 & 0 \end{bmatrix}$$

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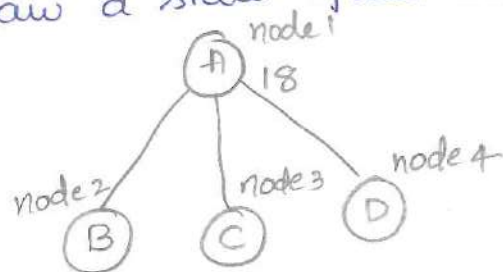
$$\Rightarrow \begin{bmatrix} \infty & 0 & 7 & 3 \\ 0 & \infty & \infty & 13 \\ 5 & \infty & \infty & 0 \\ 8 & 0 & 0 & \infty \end{bmatrix}$$

→ So cost of node 1 is cost of row reduction + cost of column reduction

$$\text{node 1 cost} = 17 + 1$$

$$= 18$$

→ Draw a state space tree



Step-2:

→ Choose vertex 'B' [node 2] to visit (path, A → B)

1. From the reduced matrix of step-1 cost of [A, B] = 0

2. Set elements of row A and column B to infinite

3. Set cost of  $[B, A] = \infty$ .

4. The resulting cost matrix is

$$\begin{bmatrix} \infty & \infty & \infty & \infty \\ \infty & \infty & \infty & 13 \\ 5 & \infty & \infty & 0 \\ 8 & \infty & 0 & \infty \end{bmatrix}$$

Find the reduced cost matrix for above matrix.

Row reduction

$$\begin{bmatrix} \infty & \infty & \infty & \infty \\ \infty & \infty & \infty & 13 \\ 5 & \infty & \infty & 0 \\ 8 & \infty & \infty & \infty \end{bmatrix} \begin{matrix} \\ 13 \\ 0 \\ 0 \end{matrix} \Rightarrow \begin{bmatrix} \infty & \infty & \infty & \infty \\ \infty & \infty & \infty & 0 \\ 5 & \infty & \infty & 0 \\ 8 & \infty & \infty & \infty \end{bmatrix}$$

column reduction

$$\begin{bmatrix} \infty & \infty & \infty & \infty \\ \infty & \infty & \infty & 0 \\ 5 & \infty & \infty & 0 \\ 8 & \infty & 0 & \infty \end{bmatrix} \Rightarrow \begin{bmatrix} \infty & \infty & \infty & \infty \\ \infty & \infty & \infty & 0 \\ 0 & \infty & \infty & 0 \\ 3 & \infty & 0 & \infty \end{bmatrix}$$

reduced cost matrix

$$\rightarrow \text{cost of node 2} = \text{cost of node 1} + \text{reduction cost} + \text{cost of } [A, B]$$

$$= 18 + (13 + 5) + 0 = 36.$$

→ Now determine cost of node 3. choose vertex 3  
(node 3) path  $A \rightarrow C$

1. From reduced matrix of step 1,  $\text{cost}[A, c] = 7$
2. Set all elements of row A and column c to infinite
3. Set cost of  $[C, A] = \infty$
4. Now resulting cost matrix is

$$\begin{bmatrix} \infty & \infty & \infty & \infty \\ 0 & \infty & \infty & 13 \\ \infty & \infty & \infty & 0 \\ 8 & 0 & \infty & \infty \end{bmatrix}$$

→ This matrix is already reduced the cost of nodes row reduction and column reduction is already done in above matrix.

$$\begin{bmatrix} \infty & \infty & \infty & \infty \\ \infty & \infty & \infty & 13 \\ \infty & \infty & \infty & 0 \\ 8 & 0 & \infty & \infty \end{bmatrix}$$

reduced matrix

$$\begin{aligned} \text{cost of node 3} &= \text{cost of node 1} + \text{reduction cost} \\ &\quad + \text{cost}[A, c] \\ &= 18 + 0 + 7 = 25. \end{aligned}$$

~~Step 2:~~  
→ choose vertex D [node 4] path  $[A \rightarrow D]$ , From the reduced matrix of step '1' cost of  $[A, D]$  is 3

2. Set all elements of row A and column D to  $\infty$
3. Set cost  $[D, A] = \infty$

$$\text{4. Resulting cost matrix is } \begin{bmatrix} \infty & \infty & \infty & \infty \\ 0 & \infty & \infty & \infty \\ 5 & \infty & \infty & \infty \\ \infty & 0 & \infty & \infty \end{bmatrix}$$



row reduction

$$\begin{bmatrix} \infty & \infty & \infty & \infty \\ 0 & \infty & \infty & \infty \\ 5 & \infty & \infty & \infty \\ \infty & 0 & 0 & \infty \end{bmatrix} \Rightarrow \begin{bmatrix} \infty & \infty & \infty & \infty \\ 0 & \infty & \infty & \infty \\ 0 & \infty & \infty & \infty \\ \infty & 0 & 0 & \infty \end{bmatrix}$$

This matrix is already column reduced.

Cost of node 4 = cost of node 1 + reduction cost +

Cost of [A, D]

$$= 18 + 5 + 3 = 26.$$

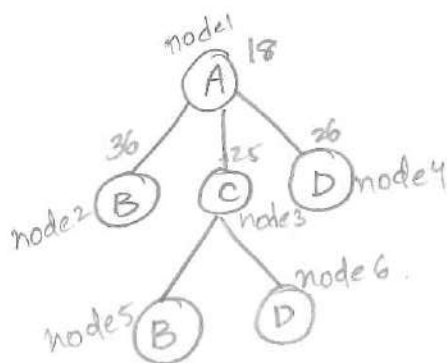
Cost of node 2 = 36 [A → B]

cost of node 3 = 25 [A → C]

cost of node 4 = 26 [A → D]

→ we have to choose the node with least cost

Therefore we choose node 3.



Step-3:

Note that we have to use cost matrix of node 3

(i.e.)

$$\begin{bmatrix} \infty & \infty & \infty & \infty \\ 0 & \infty & \infty & 13 \\ \infty & \infty & \infty & 0 \\ 8 & 0 & \infty & \infty \end{bmatrix}$$

→ choose vertex B to visit from vertex C  $[A \rightarrow C \rightarrow B]$   
is path.

1. From reduced matrix of step 2 cost of  $[C, B] = \infty$
2. Set all elements of row C and column B to infinite.
3. Set cost of  $[B, A]$  to infinite.
4. Now resulting cost matrix is:

$$\begin{bmatrix} \infty & \infty & \infty & \infty \\ \infty & \infty & \infty & 13 \\ \infty & \infty & \infty & \infty \\ 8 & \infty & \infty & \infty \end{bmatrix}$$

row reduction

$$\begin{bmatrix} \infty & \infty & \infty & \infty \\ \infty & \infty & \infty & 13 \\ \infty & \infty & \infty & \infty \\ 8 & \infty & \infty & \infty \end{bmatrix} \Rightarrow \begin{bmatrix} \infty & \infty & \infty & \infty \\ \infty & \infty & \infty & 0 \\ \infty & \infty & \infty & \infty \\ 0 & \infty & \infty & \infty \end{bmatrix}$$

This matrix is already column reduced.

$$\begin{aligned} \text{cost of node 5} &= \text{cost of node 3} + \text{reduction cost} + \text{cost}(C, B) \\ &= 25 + 21 + \infty \\ &= \infty. \end{aligned}$$

→ choose vertex D (node 6) to visit  $(A \rightarrow C \rightarrow D)$

1. From reduced matrix of step 2 cost of  $[C, D] = 0$
2. Set all elements of row C and column D to infinite.
3. Set cost of  $[D, A] = \infty$
4. The resulting cost matrix is

$$\begin{bmatrix} \infty & \infty & \infty & \infty \\ 0 & \infty & \infty & \infty \\ \infty & \infty & \infty & \infty \\ \infty & 0 & \infty & \infty \end{bmatrix}$$

This matrix is already reduced so that

cost of node 6 = cost of node 3 + reduction cost +

cost of  $[c, D]$

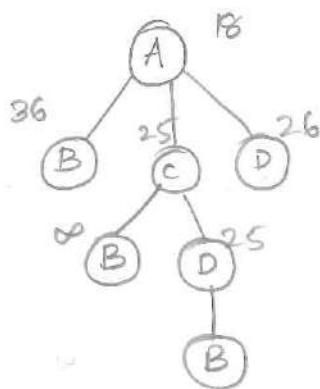
$$= 25 + 0 + 0$$

$$= 25$$

→ Thus we have  $\text{cost}(5) = \infty$ ,  $\text{cost}(6) = 25$

path  $[A \rightarrow c \rightarrow D]$

→ Now Lc node is 6 select this node as F-node to generate child nodes.



→ choose vertex B [node 7] path  $[A \rightarrow c \rightarrow D \rightarrow B]$

1. From reduced matrix of step-3 cost of  $[D, B] = 0$

2. Set all elements of row D and column B to infinity

3. Set cost of  $[B, A] = \infty$

4. The resultant matrix is



$$\begin{bmatrix} \infty & \infty & \infty & \infty \\ \infty & \infty & \infty & \infty \\ \infty & \infty & \infty & \infty \\ \infty & \infty & \infty & \infty \end{bmatrix}$$

→ This matrix is already reduced. So

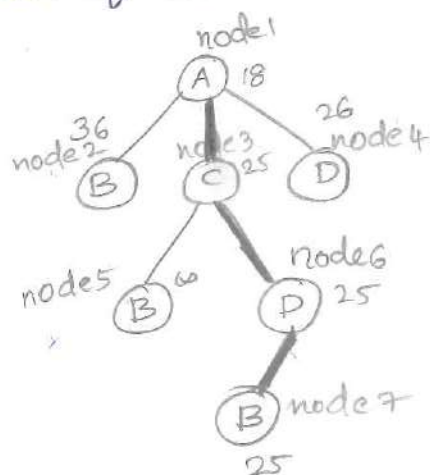
$$\text{cost of node 7} = \text{cost of node 6} + \text{reduction cost} + \text{cost}[D, B]$$

$$= 25 + 0 + 0$$

$$= 25$$

$$\therefore \text{cost of node 7} = 25$$

→ Thus optimal path is  $A \rightarrow C \rightarrow D \rightarrow B \rightarrow A$  with the cost of 25.



Solve travelling Salesperson problem using FIFO.



## NP HARD AND NP-COMPLETE PROBLEMS.

→ Various problems have different time complexities

Such as

Time complexity	Description
$O(1)$	Constant
$O(n)$	Linear
$O(n^2)$	Quadratic
$O(n^3)$	Cubic
$O(\log n)$	logarithm
$O(n \log n)$	$n \log n$
$O(2^n)$	exponent

Order of time complexity:

$$O(1) < O(\log n) < O(n) < O(n \log n) < O(n^2) < O(n^3) < O(2^n)$$

\* P-problems:

→ The problems that can be solvable in polynomial time using deterministic algorithm is called as P-problems.



→ Polynomial time can be expressed in big O notation that is  $O(n^k)$  where  $k=0, 1, 2, \dots$

Ex: Searching of elements, Sorting of elements.

\* NP-problems:

→ NP stands for non-deterministic polynomial time.

→ NP is set of all decision problems solvable by non-deterministic algorithms in polynomial time.

→ Note that deterministic algorithms are just a special case of non-deterministic algorithms so we conclude that  $P \subseteq NP$



→ NP problems categorised into two classes.

1. NP complete

2. NP hard.

1. NP complete:

→ A problem that is NP complete has the property that it can be solved in polynomial time if and only if all other NP-complete problems can also be solved in polynomial time.

Ex: travelling salesperson problem ( $O(n^2 2^n)$ ),  
knapsack problem ( $O(2^{n/2})$ ), Graph colouring,  
Sums of subsets, Hamiltonian cycle

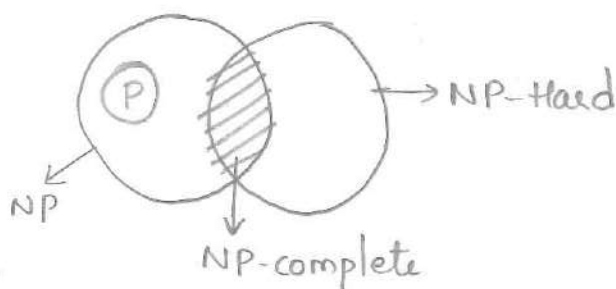
2. NP-Hard problem:

→ If any NP-Hard problem can be solved in polynomial time then all NP complete problems can be solved in polynomial time

→ Note that all NP-complete problems are NP-hard problems but not vice versa.

Ex: Halting problem.

\* Relationship among P, NP, NP-complete and NP-Hard:



\* Decision problem:

→ Any problem for which the answer is either yes or no is called a decision problem.

\*Decision Algorithm:

→ The algorithm used for a decision problem is known as decision algorithm.

\*Optimization problem:

→ Any problem for which the solution is optimal [minimum or maximum]<sup>value</sup> is called optimization problem.

\*Optimization algorithm:

→ The algorithm used to solve a optimization problem is known as optimization algorithm.

\*Deterministic algorithm:

→ Deterministic algorithm has the property that the result of every operation is uniquely defined.

\*Non-deterministic algorithm:

→ The algorithm has the property that the result of every operation is not uniquely defined but are limited to specified sets of possibilities.

→ Non-deterministic algorithm consists of 3 functions

1. Choice(s):

• This function randomly chooses one of element of set 's'



2. Failure():

Signals and unsuccessful completion of algorithm.

3. Success():

Signals and successful completion of algorithm

Ex: 1. Searching of element using non-deterministic algorithm.

Algorithm search ( $A[], n, x$ )

{

$j := \text{choice}[i, n];$

  if ( $A[j] = x$ ) then

  {

    write( $j$ );

  Success();

  }

  else

  {

    write(0);

  failure();

  }

}

2. Sorting of elements using non-deterministic algorithm.

Algorithm Nsort ( $A, n$ )

{

  for  $i := 1$  to  $n$  do  $B[i] = 0;$

  for  $i := 1$  to  $n$  do

```

{
  j := choice(i, n);
  if (B[j] ≠ 0) then failure();
  B[j] = A[i];
}
for i := 1 to n-1 do
  if B[i] > B[i+1] then failure();
write (B[1:n]);
success();
}

```

3. knapsack problem algorithm using NDA:

Algorithm PKP ( $P, w, n, m, \sigma, \alpha$ )

```

{
  w = 0; p = 0;
  for i := 1 to n do
    {
      x[i] := choice(0, 1);
      w = w + x[i] · w[i];
      p = p + x[i] · P[i];
    }
    if ((w > m) or (p < σ)) then
      failure();
    else
      success();
  }
}

```

## \*Clique problem:

Consider undirected graph  $G=(V, E)$ .

→ The 'G'

Clique:

A subset of vertices in  $V$  all connected to each other by edges in  $E$  [i.e forming a complete graph]

Size of clique:

No. of vertices it contains

Max clique:

A maximal complete subgraph of graph ' $G$ ' is called a clique. whose size is no. of vertices in it.

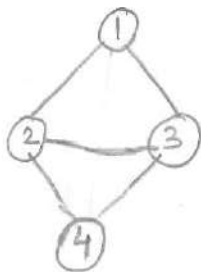
Optimization problem:

Find the maximal clique in a given graph ' $G$ '.

Decision problem:

Find whether graph ' $G$ ' has a clique of size atleast  $k$  for some given ' $k$ '.

Ex:



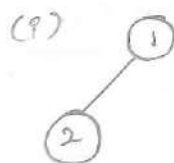
$$G = (V, E)$$

$$V = \{1, 2, 3, 4\}$$

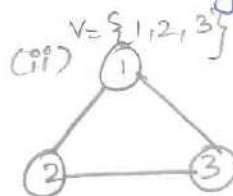
$$E = \{(1, 2), (1, 3), (2, 3), (2, 4), (3, 4)\}$$



→ The above graph consisting of two cliques

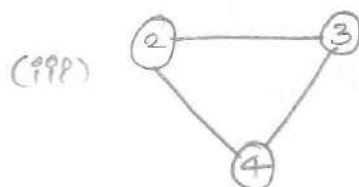


$\text{clique}(G, 2) = \text{Yes}$



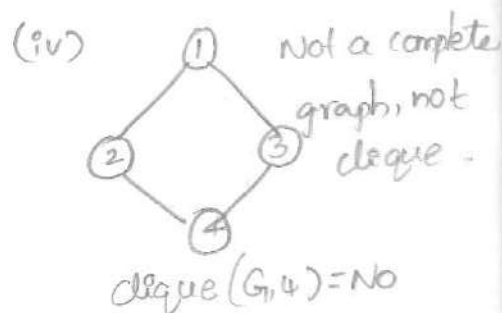
$\text{clique}(G, 3) = \text{Yes}$

It is complete graph and also max clique.



$\text{clique}(G, 3) = \text{Yes}$

$V = \{2, 3, 4\}$



$\text{clique}(G, 4) = \text{No}$

Not a complete graph, not clique.

→ Complete graph is a graph in which every vertex is connected to remaining vertices.

\* Satisfiability:

→ The satisfiability problem is to determine whether the formula is true for some assignment of truth values to the variables

→ Let  $x_1, x_2, \dots, x_n$  denote boolean variables (their value is either true or false)

Ex: Conjunctive normal form (CNF), Disjunctive normal form (DNF)

\* Conjunctive normal form:

→ A formula is in CNF iff it is represented as

$\bigwedge_{i=1}^k C_i$  where  $C_i$  are clauses each represented as

$\bigwedge l_{ij}$  where  $l_{ij}$  are literals.

$$\text{Ex: 2-CNF} = (x_3 \vee \bar{x}_4) \wedge (x_1 \vee \bar{x}_2)$$

$$\text{3-CNF} = \underbrace{(x_1 \vee x_2 \vee x_4)}_{\text{clause-1}} \wedge \underbrace{(x_3 \vee \bar{x}_4 \vee x_5)}_{\text{clause-2}}$$

Here each clause consisting of three literals. So this formula is said to be 3CNF.

\* Disjunctive normal form (DNF):

A formula is in DNF iff it is represented as

$\bigvee_{i=1}^K C_i$  and clause  $C_i$  is represented as  $\bigwedge l_{ij}$  are literals.

$$\text{Ex: 2-DNF} = (x_3 \wedge \bar{x}_4) \vee (x_1 \wedge \bar{x}_2)$$

$$\text{3-DNF} = (x_1 \wedge x_2 \wedge x_4) \vee (x_3 \wedge \bar{x}_4 \wedge x_5)$$

Ex: 1. This formula is said to be ~~for~~ satisfiability

$$\begin{array}{l} \phi \wedge q \\ T \wedge T \\ T \end{array} \quad \text{where } \begin{array}{l} p = T \\ q = T \end{array}$$

$$\begin{array}{l} 2. \quad p \wedge \neg p \\ T \wedge F \\ F \end{array} \quad \begin{array}{l} P = T \end{array}$$

This formula is said to be not satisfiability.

\* Halting problem:

→ Best example of NP-hard decision problem is halting problem.

→ The halting problem is to determine for an arbitrary deterministic algorithm  $A$  and an input  $i$  whether algorithm  $A$  with input  $i$  ever terminates or enters an infinite loop.

→ This problem is undecidable. Hence there exists no algorithm to solve this problem. So it clearly cannot be NP.

→ To show satisfiability of halting problem simply construct an algorithm 'A' whose input is a propositional formula 'x'. If  $x$  has  $n$  variables then algorithm 'A' tries out all  $2^n$  possible truth assignments and verifies whether  $x$  is satisfiable.

→ If it is satisfiable then algorithm 'A' terminates successfully if it is not then algorithm 'A' enters infinite loop. Hence algorithm 'A' halts on input  $x$  iff  $x$  is satisfiable.



\* Cook's theorem:

→ Cook's theorem states that satisfiability is in P iff  $P = NP$ .

→ What is satisfiability:

The satisfiability problem is to determine whether a formula is true for some assignment of truth values to the variables.

For ex: 1.  $P \wedge Q$  is satisfiable if  $P=T, Q=T$

2.  $P \wedge \neg P$  is not satisfiable if  $P=T$  or  $P=F$

Theorem:

Satisfiability problem is NP-complete. The proof consists of two steps.

1. Convert the execution of polynomial time non-deterministic machine to a bunch of well-formed formula such that formula satisfies iff the machine accept input.
2. Show the ~~sum~~ length of formula is polynomial in the size of problem

Step 1: NP-Hard (L) - can polynomially reduce any NP problem to L.

Step 2: NP-complete -  $L \in NP$

Step-3:  $L \in NP \rightarrow$  Non deterministic machine for  $L$   
that runs in polynomial time.

- $\rightarrow$  A problem that is NP-complete has the property that it can be solved in polynomial time iff all other NP-complete problems can also be solved in polynomial time.
- $\rightarrow$  If an NP-Hard problem can be solved in polynomial time then all NP-complete problems can be solved in polynomial time.
- $\rightarrow$  Satisfiability problem  $SAT \in NP$ .  
(SAT)

Therefore if we can polynomially reduce an arbitrary polynomial non-deterministic machine to satisfiability problem [SAT]. It means we have proved that satisfiability problem is NP-complete.