

Algorithmic Methods for Singularities

Talk 3: Elimination and Normalization as computational tasks

Anne Frühbis-Krüger and Matthias Zach

Institut für Mathematik
Universität Oldenburg

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Finding equations for parametrized varieties

Normalization

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Equations for (the closure of) the $\text{Im}(f)$?

$$\begin{aligned} f : \mathbb{C}^m &\longrightarrow \mathbb{C}^n \\ (t_1, \dots, t_m) &\longmapsto (f_1(\underline{t}), \dots, f_n(\underline{t})) \end{aligned}$$

Parametrized/Implicit

Grauert's
Normalization
Algorithm

Invariants via
Normalization

Serre's Normality
Criterion

Finding equations for parametrized varieties

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on the algebraic side:

$$\begin{aligned} f^\# : \mathbb{C}[x_1, \dots, x_n] &\longrightarrow \mathbb{C}[t_1, \dots, t_m] \\ x_i &\longmapsto f_i(\underline{t}) \end{aligned}$$

Equivalent task: Compute $\ker(f^\#)$

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Normalization
Algorithm

Invariants via
Normalization

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Equivalent task: Compute $\ker(f^\#)$

Solution: Elimination of variables!

Elimination = Intersection with subring

Normalization

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Fact:

G SB of $I \subset \mathbb{C}[\underline{t}, \underline{x}]$ w.r.t elimination ordering for \underline{t}

$\implies \{g \in G \mid LT(g) \in \mathbb{C}[\underline{x}]\}$ standard basis of $I \cap \mathbb{C}[\underline{x}]$

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key point: elimination ordering for \underline{t} on $\mathbb{C}[\underline{t}, \underline{x}]$

$$f \in \mathbb{C}[\underline{x}] \iff LT(f) \in \mathbb{C}[\underline{x}]$$

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Normalization
Algorithm

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Normalization

Serre's Normality
Criterion

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Normalization
Algorithm

Invariants via
Normalization

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key point: elimination ordering for \underline{t} on $\mathbb{C}[\underline{t}, \underline{x}]$

$$f \in \mathbb{C}[\underline{x}] \iff LT(f) \in \mathbb{C}[\underline{x}]$$

conditions on ordering:

- ▶ first comparison only on the \underline{t}
- ▶ $1 < t_i \ \forall i$

Computing a kernel by elimination

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Algorithm

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Back to our map:

$$\begin{aligned} f : \mathbb{C}[x_1, \dots, x_n] &\longrightarrow \mathbb{C}[t_1, \dots, t_m] \\ x_i &\longmapsto f_i(\underline{t}) \end{aligned}$$

Denote by $\langle g_1, \dots, g_n \rangle$ the ideal of the graph of f .

Computing a kernel by elimination

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Normalization
Algorithm

Invariants via
Normalization

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Back to our map:

$$\begin{aligned} f : \mathbb{C}[x_1, \dots, x_n] &\longrightarrow \mathbb{C}[t_1, \dots, t_m] \\ x_i &\longmapsto f_i(\underline{t}) \end{aligned}$$

Denote by $\langle g_1, \dots, g_n \rangle$ the ideal of the graph of f .

More explicitly: $g_i = x_i - f_i(\underline{t})$

Then:

$$\ker(f) = \langle g_1, \dots, g_n \rangle \cap \mathbb{C}[\underline{x}]$$

Example 1

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Find the ideal of the curve C with parametrization
 $f(t) = (t^4, t^5, t^6)$!

Step 1: Choose elimination ordering
e.g. lex on t followed by deglex on x, y, z

Step 2: Setup ideal of the graph
 $I = \langle x - t^4, y - t^5, z - t^6 \rangle$

Step 3: Compute standard basis G of I

Step 4: Drop all elements of G involving some t

Result: $I_C = \langle x^3 - z^2, xz - y^2 \rangle$

another example: $(s, t) \mapsto (s^3, s^2t, st^2, t^3)$
(see exercises on Wednesday)

Worksheet 3, Block 1

Finding a Parametrization of a Curve?

Normalization

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Parametrized/Implicit

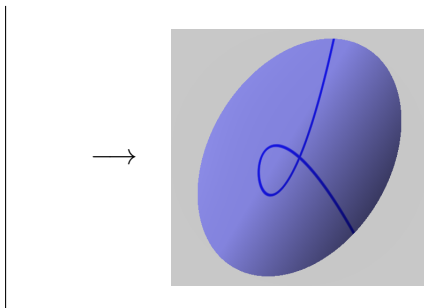
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The opposite question: Finding a parametrization

Possible for curves via normalization!



Integral closure and normal rings

Let $A \subset B$ be rings.

Recall:

$b \in B$ integral over A , if

$$\exists p \in A[t] \text{ monic} : p(b) = 0$$

integral closure of A in B

$$C(A, B) = \{b \in B \mid b \text{ integral over } A\}$$

Normalization

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Normalization
Algorithm

Invariants via
Normalization

Serre's Normality
Criterion

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A integrally closed or normal, if

$$A = C(A, \text{Quot}(A))$$

$\overline{A} = C(A, \text{Quot}(A))$ is called the normalization of A .

Idea of the algorithm

setting:

A noetherian reduced ring,

$J \subset A$ ideal, $x \in J$ non-zero-divisor for A

inclusion of rings:

$$A \subseteq \underbrace{\operatorname{Hom}_A(J, J) \cong \frac{1}{x}(xJ : J)}_{\phi \mapsto \frac{\phi(x)}{x}} \subseteq \overline{A}$$

Normalization

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Normalization
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inclusion of A -modules:

$$\operatorname{Hom}_A(J, J) \subseteq \operatorname{Hom}_A(J, A) \cap \overline{A} \subseteq \operatorname{Hom}_A(J, \sqrt{J})$$

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Normalization
Algorithm

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Normalization

Serre's Normality
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Idea of algorithm:

- ▶ $\operatorname{Hom}_A(J, J)$ computable
- ▶ $A \subsetneq \operatorname{Hom}_A(J, J)$, if $A \subsetneq \overline{A}$

Idea of the algorithm

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Idea of algorithm:

- ▶ $\operatorname{Hom}_A(J, J)$ computable
- ▶ $A \subsetneq \operatorname{Hom}_A(J, J)$, if $A \subsetneq \overline{A}$
- ▶ use a radical ideal J and iterate process

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Algorithm

Invariants via
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Serre's Normality
Criterion

A normality criterion

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Non-normal locus:

$$N(A) = \{P \in \operatorname{Spec}(A) \mid A_P \text{ not normal} \}$$

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Normalization
Algorithm

Invariants via
Normalization

Serre's Normality
Criterion

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Non-normal locus:

$$N(A) = \{P \in \operatorname{Spec}(A) \mid A_P \text{ not normal} \}$$

Normality Criterion:

A noetherian reduced ring, $J \subset A$ ideal such that

- ▶ $\exists x \in J$ non-zero-divisor for A
- ▶ $J = \sqrt{J}$
- ▶ $N(A) \subset V(J)$

Then

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Normalization
Algorithm

Invariants via
Normalization

Serre's Normality
Criterion

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- ▶ $N(A) \subset V(J)$

Then

$$A \text{ normal} \iff A = \operatorname{Hom}_A(J, J) \iff \langle x \rangle = (xJ : J)$$

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Algorithm

Invariants via
Normalization

Serre's Normality
Criterion

The non-normal locus

Normalization

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Setting:

- ▶ $I \subset K[\underline{x}]$ prime ideal
- ▶ J radical of ideal of singular locus of $V(I)$
- ▶ $x \in J$ (obviously non-zerodivisor for $K[\underline{x}]/I$)

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Normalization
Algorithm

Invariants via
Normalization

Serre's Normality
Criterion

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Normalization
Algorithm

Invariants via
Normalization

Serre's Normality
Criterion

Then:

$$N(K[\underline{x}]/I) = V(\langle x \rangle : (xJ : J))$$

Example:

$$I = \langle z^2 - y^3 \rangle \subset K[y, z], J = \langle z, y \rangle, x = z$$

$$\begin{aligned} N(K[y, z]/I) &= (\langle z \rangle : (zJ : J)) \\ &= (\langle z \rangle : (z, y^2)) \\ &= \langle z, y \rangle \end{aligned}$$

Iteration step: ugly technical details

$\{u_0 = x, u_1, \dots, u_s\}$ generators for $(xJ : J)$ as A -module

Then

$$\operatorname{Hom}_A(J, J) \cong A[t_1, \dots, t_s] / (I_{\text{prod}} + I_{\text{syz}})$$

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Grauert's
Normalization
Algorithm

Invariants via
Normalization

Serre's Normality
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$$\operatorname{Hom}_A(J, J) \cong A[t_1, \dots, t_s] / (I_{\text{prod}} + I_{\text{syzy}})$$

where

► ring structure of $\operatorname{Hom}_A(J, J)$ implies: $u_i u_j \in (xJ : J)$

$$\implies \exists \xi_k^{ij} \in A : u_i u_j = \sum_{k=0}^s x \xi_k^{ij} u_k$$

due to A -module structure

$$I_{\text{prod}} = \langle t_i t_j - \sum_{k=0}^s \xi_k^{ij} t_k \mid 1 \leq i \leq j \leq s \rangle$$

where $t_0 = 1$

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Grauert's
Normalization
Algorithm

Invariants via
Normalization

Serre's Normality
Criterion

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Parametrized/Implic

Grauert's
Normalization
Algorithm

Invariants via
Normalization

Serre's Normality
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where $t_0 = 1$

- ▶ relations among the u_i exist, hence

$$I_{\text{syz}} = \langle \sum_{k=0}^s \eta_k t_k \mid \sum_{k=0}^s \eta_k u_k = 0 \rangle$$

(suffices to consider generators for $\operatorname{syz}(u_0, \dots, u_s)$)

in our example

$$u_0 = z, u_1 = y^2$$

$$u_1^2 = y^4 \equiv z^2 y = \underbrace{y}_{\xi_0^{11}} \cdot z \cdot u_0$$

$$\implies I_{\text{prod}} = \langle t_1^2 - y \rangle$$

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Gauert's
Normalization
Algorithm

Invariants via
Normalization

Serre's Normality
Criterion

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$$\implies I_{\text{prod}} = \langle t_1^2 - y \rangle$$

A -module of syzygies generated by $\langle zu_1 - y^2 u_0, yu_1 - zu_0 \rangle$

$$\implies I_{\text{syz}} = \langle zt_1 - y^2, yt_1 - z \rangle$$

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Normalization
Algorithm

Invariants via
Normalization

Serre's Normality
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A -module of syzygies generated by $\langle zu_1 - y^2 u_0, yu_1 - zu_0 \rangle$

$$\implies I_{\text{syz}} = \langle zt_1 - y^2, yt_1 - z \rangle$$

Hence

$$A_{\text{new}} := \text{Hom}_A(J, J) \cong K[t_1, y, z] / \langle t_1^2 - y, zt_1 - y^2, yt_1 - z \rangle$$

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Grauert's
Normalization
Algorithm

Invariants via
Normalization

Serre's Normality
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A -module of syzygies generated by $\langle zu_1 - y^2 u_0, yu_1 - zu_0 \rangle$

$$\implies I_{\text{syz}} = \langle zt_1 - y^2, yt_1 - z \rangle$$

Hence

$$A_{\text{new}} := \text{Hom}_A(J, J) \cong K[t_1, y, z] / \langle t_1^2 - y, zt_1 - y^2, yt_1 - z \rangle$$

In general: continue with a new J , x and A_{new} to determine $\text{Hom}_{A_{\text{new}}}(J_{\text{new}}, J_{\text{new}}) \dots$

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Grauert's
Normalization
Algorithm

Invariants via
Normalization

Serre's Normality
Criterion

Integral Closure of an Ideal

$A \subset B$ Ring extension, $I \subseteq A$ ideal

Recall:

$b \in B$ integral over I , if

$$\exists p = \sum_{i=0}^n a_{n-i} t^i \in A[t] : p(b) = 0$$

such that $a_0 = 1, a_1, \dots, a_n \in I$

$$C(I, B) := \{b \in B \mid b \text{ integral over } I\}$$

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Normalization
Algorithm

Invariants via
Normalization

Serre's Normality
Criterion

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Gauert's
Normalization
Algorithm

Invariants via
Normalization

Serre's Normality
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such that $a_0 = 1, a_1, \dots, a_n \in I$

$$C(I, B) := \{b \in B \mid b \text{ integral over } I\}$$

Fact:

$$IC(A, B) \subseteq C(I, B) = \sqrt{IC(A, B)}$$

radical computable, $C(A, \text{Quot}(A))$ computable

$$\implies C(I, \text{Quot}(A)) \subseteq C(A, \text{Quot}(A)) \text{ computable}$$

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Grauert's
Normalization
Algorithm

Invariants via
Normalization

Serre's Normality
Criterion

Worksheet 3, Block 1

Conductor and δ -invariant

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Gauert's
Normalization
Algorithm

Invariants via
Normalization

Serre's Normality
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For $A = K[\underline{x}]/P$, P prime ideal:

- ▶ $A \hookrightarrow \bar{A}$
- ▶ \bar{A} finite over A

Conductor and δ -invariant

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Grauert's
Normalization
Algorithm

Invariants via
Normalization

Serre's Normality
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For $A = K[\underline{x}]/P$, P prime ideal:

- ▶ $A \hookrightarrow \bar{A}$
- ▶ \bar{A} finite over A

Conductor of A in \bar{A} :

$$\mathcal{C} = \text{Ann}_A(\bar{A}/A) = \{a \in A \mid a\bar{A} \subseteq A\}$$

Conductor and δ -invariant

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Parametrized/Implic

Gauert's
Normalization
Algorithm

Invariants via
Normalization

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Conductor of A in \bar{A} :

$$\mathcal{C} = \text{Ann}_A(\bar{A}/A) = \{a \in A \mid a\bar{A} \subseteq A\}$$

and $N(A) = V(\mathcal{C})$.

Conductor and δ -invariant

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and $N(A) = V(\mathcal{C})$.

For Curves:

$$\delta = \dim_K(\bar{A}/A)$$

Example: A space curve

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Parametrized/Implicit

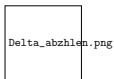
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Algorithm

Invariants via
Normalization

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Consider space curve $X = V(y^3 - x^2z, xy^2 - z^2, x^3 - yz)$

$$\mathbb{C}[x, y, z]/I_X \cong \mathbb{C}[t^4, t^5, t^7] \hookrightarrow \mathbb{C}[t]$$



$$\delta = 4 \quad C = \langle t^7 \rangle$$

The Serre criterion

Recall: $\text{ht}(P) = \dim(A_P)$ for prime ideals $P \subset A$

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Gauert's
Normalization
Algorithm

Invariants via
Normalization

Serre's Normality
Criterion

The Serre criterion

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Parametrized/Implic

Gauert's
Normalization
Algorithm

Invariants via
Normalization

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Recall: $\text{ht}(P) = \dim(A_P)$ for prime ideals $P \subset A$

Serre's Criterion:

reduced noetherian ring A normal



(R1) A_P regular local ring $\forall P \subset A$ prime of height 1

(S2) $\min \text{Ass}(\langle f \rangle) = \text{Ass}(\langle f \rangle)$ for every
non-zero-divisor $f \in A$

Warning: normal varieties may be singular!!

Example: $V(xy - z^2) \subset \mathbb{A}_{\mathbb{C}}^3$ is normal

Worksheet 3, last Block