

Fundamental Theorem of Galois Theory(1)

October 2, 2020

Definition 1. Let E and F be extension fields of a field K . A nonzero map $\sigma : E \rightarrow F$ which is both a field homomorphism and a K -module homomorphism is called a **K – homomorphism**. Similarly, if an isomorphism $\sigma \in \text{Aut} F$ is also a K -module homomorphism, then σ is called a **K – automorphism** of F . The group of all K -automorphism is called the **Galois group** of F over K , which is denoted by $\text{Aut}_K F$

REMARK. If $\sigma \in \text{Aut}_K F$, then for any $k \in K, u \in F^*$ we have:

$$\sigma(ku) = \sigma(k)\sigma(u)\sigma(ku) = k\sigma(u)$$

as a result of σ is both K -module automorphism but also a field automorphism. Hence we have $\sigma(k) = k, \forall k \in K$ as $\sigma(u)$ has inverse in F . In contrast, if $\sigma \in \text{Aut} F$ with $\sigma(k) = k, \forall k \in K$, then we have $\sigma(ku) = \sigma(k)\sigma(u) = k\sigma(u)$, which means σ is a K -module isomorphism, hence a K -automorphism.

Theorem 1. Let F be an extension field of K , $f(x) \in \mathbf{K}[x]$. If $u \in F$ is a root of $f(x)$ and $\sigma \in \text{Aut}_K F$ then $\sigma(u)$ is also a root of $f(x)$.

Proof. Let $f(x) = \sum_{i=0}^n f_i x^i$, then

$$f(\sigma(u)) = \sum_{i=0}^n f_i \sigma(u)^i = \sum_{i=0}^n f_i \sigma(u^i) = \sigma\left(\sum_{i=0}^n f_i u^i\right) = \sigma(0) = 0$$

which shows $\sigma(u)$ is also a root of $f(x)$

With Theorem 1, we have the following results: Let $u \in F$ is algebraic over K with $f(x)$ the minimal polynomial of u , if $f(x)$ has m distinct roots over K , then $|\text{Aut}_K K(u)| \leq m$. It's easy to see that $\forall \sigma, \delta \in \text{Aut}_K K(u)$, if $\sigma \neq \delta$, then $\sigma(u) \neq \delta(u)$, otherwise σ and δ has the same effect on $\{1, u, u^2, \dots, u^{n-1}\}$, which is a basis of $K(u)$, hence σ and δ has the same effect on all elements of $K(u)$, which contradicts the fact that $\sigma \neq \delta$. By **Theorem 1** we know that $\sigma(u)$ and $\delta(u)$ are distinct roots of $f(x)$, so there are at most m distinct K -automorphism as there are at most m distinct roots.

Definition 2. Let F be an extension field of K , E an intermediate field and H a subgroup of $\text{Aut}_K F$ Then:

1. $H' = \{v \in F \mid \sigma(v) = v, \forall \sigma \in H\}$
2. $E' = \{\sigma \in \text{Aut}_K F \mid \sigma(u) = u, \forall u \in E\}$

REMARK. In other words, H' is the set of all those elements in F such that these elements contains itself under the isomorphism effect, it's also easy to see that H' is an intermediate field of K , hence H' is called the **fixed field of H** .

E' contains all those K -automorphism such that they remains identity maps on E . By the corollary we mentioned earlier, we know that $E' = \text{Aut}_E F$. Specifically, we have:

$$F' = \text{Aut}_F F = \{1_F\}, K' = \text{Aut}_K F$$

On the other hand, we have $\{1_F\} < \text{Aut}_K F$ and $\{1_F\}' = F$. This reminds us to think about the relationships between the sets of all subgroups of $\text{Aut}_K F$ and the sets of intermediate fields of F

Definition 3. Let F be an extension field of K , $\text{Aut}_K F$ the Galois group of F over K , if the fixed field of $\text{Aut}_K F$ is K , then F is said to be a **Galois extension** of K or **be Galois over K**

Theorem 2. Let F be an extension field of K , $K_0 = \text{Aut}_K F'$. Then $\text{Aut}_{K_0} F = \text{Aut}_K F$, therefore F is Galois over K_0

Proof. For any $k \in K$, we know that $\sigma(k) = k, \forall \sigma \in \text{Aut}_K F$, hence $k \in K_0$, therefore $K \subset K_0$. Then $\forall \sigma \in \text{Aut}_{K_0} F$, σ maps all elements in K_0 to itself, of cause maps every element in K to itself as $K \subset K_0$. Hence $\sigma \in \text{Aut}_K F$ and $\text{Aut}_{K_0} F < \text{Aut}_K F$. For any $\sigma \in \text{Aut}_K F$, by the definition of K_0 , $\sigma(k_0) = k_0, \forall k_0 \in K_0$, hence $\sigma \in \text{Aut}_{K_0} F$ and $\text{Aut}_K F < \text{Aut}_{K_0} F$. These two results show that $\text{Aut}_K F = \text{Aut}_{K_0} F$. And we have $\text{Aut}_{K_0} F' = \text{Aut}_K F' = K_0$. Therefore F is Galois over K_0

In the rest section, we will prepare and prove the fundamental theorem of Galois theory, which demonstrates a **one-to-one correspondence** between the sets of all intermediate fields of the extension F over K and the sets of all subgroups of the Galois group $\text{Aut}_K F$. But there are some rather lengthy preliminaries to do.

Lemma 3. Let F be an extension field of K with intermediate field L and M . Let H and J be subgroups of $G = \text{Aut}_K F$. Then:

1. $F' = 1$ and $K' = G$
2. $1' = F$
3. $L \subset M \Rightarrow M' < L'$
4. $H < J \Rightarrow J' \subset H'$
5. $L \subset L''$ and $H < H''$ where $L'' = (L')'$ and $H'' = (H)'$
6. $L' = L'''$ and $H' = H'''$

Proof. 1,2 are direct results of the definition. Consider 3: If $L \subset M$, then for any F -automorphism that fix M , it must fix L , therefore $M' \subset L'$. the 4th one is the same: every element in J' must be fixed for under every isomorphism of J , therefore fixed by every isomorphism of H , and belongs to H' .

As for (5), consider any $l \in L$, according to the definition of L' , L' consists of those isomorphisms that fix every element of L , therefore every isomorphism fix l , which shows that $l \in L''$ by definition. Therefore we have $L \subset L''$. The second part could be proved in the same way.

For (6), we first notice that $L' \subset (L')'' = L'''$ by the second part of (5). And $L \subset L'' \Rightarrow (L'')' \subset L'$ by (5) and (3). Therefore we have $L' = L'''$. The second part follows in the same way.

REMARK. F is galois over K iff $(\text{Aut}_K F)' = K$, which means $K'' = K$. Therefore we have: F is galoic over any intermediate field E iff $E = E''$.

Let X be an intermediate field or subgroup of the Galois group. X is called **closed** if $X'' = X$. And we have F is Galois over K iff K is closed.

Theorem 4. If F is an extension field of K , then there is a one-to-one correspondence between the closed intermediate fields of the extension and the closed subgroups of the Galois group, given by $E \mapsto E' = \text{Aut}_E F$.

Proof. Let A be the set of all closed intermediate fields of F and B be the set of all closed subgroups of Galois group. Define f as follows:

$$f : A \rightarrow B, E \mapsto E'$$

Notice that for any map image E' , we have $E''' = E'$, which means E' is closed. Therefore this map is well-defined.

Let g be defined as follows:

$$g : B \rightarrow A, H \mapsto H'$$

Then for any $E \in A$, we have: $gf(E) = g(E') = E'' = E$ as E is closed, thus $gf = 1_A$. Similarly, we have $fg = 1_B$, which means f and g are bijective, it's done.

Lemma 5. Let F be an extension field of K and L, M intermediate fields with $L \subset M$. If $[M : L]$ is finite, then $[L' : M'] \leq [M : L]$. In particular, if $[F : K]$ is finite, then $|\text{Aut}_K F| \leq [F : K]$.

Proof. We will prove this assertion by induction on $n = [M : L]$. When $n = 1$, it's done with $M = L$. Suppose for any $i < n$ this theorem is true, then choose one element $u \in M, u \notin L$. Since $[M : L]$ is finite, we have u is algebraic over L . Let $f(x) \in L[x]$ be the minimal polynomial of u , and k the degree of $f(x)$. Therefore we have: $[L(u) : L] = k$ and $[M : L(u)] = n/k$. If $k < n$, we have $[M : L(u)] > 1$ and $[L' : M'] = [L' : L(u)'] \times [L(u)' : M'] \leq k \times (n/k) = n$ by induction.

Otherwise if $k = n$, which means $M = L(u)$. To prove this, we will construct an injective map from the set of all left cosets of M' in L' to the set T of all distinct roots

of $f(x) \in L[x]$, whence $|S| \leq |T|$ and $|T| \leq n$.

Let $\tau M'$ be a left coset of M' in L' . We define g as follows:

$$g : S \rightarrow T, \tau M' \mapsto \tau(u)$$

We will show this map is well-defined. First, $\tau \in L'$, which means τ fix every element in L , therefore $\tau(u)$ is also a root of f by theorem 1. This means the map we defined maps the object to a right place. Second, if $\tau M' = \sigma M'$, then $\sigma^{-1}\tau \in M'$, notice that $u \in M$, we have: $\sigma^{-1}\tau(u) = u \Rightarrow \tau(u) = \sigma(u)$, which means $g(\tau M') = g(\sigma M')$. Therefore the image has no relationship with the representative object of the cosets, this map is also well defined.

In the last, we will show that g is also injective. If $g(\sigma M') = g(\tau M')$, then $\sigma(u) = \tau(u)$, and $\tau^{-1}\sigma(u) = u$, which means $\tau^{-1}\sigma$ fix u . Notice that $L(u)$ is generated by $1, u, \dots, u^{n-1}$. We also conclude that $\tau^{-1}\sigma$ fix this basis and further more, it fix $\mathbf{L}(u) = \mathbf{M}$. Therefore $\tau^{-1}\sigma \in M'$ and $\tau M' = \sigma M'$. This means g is injective, and $|S| \leq |T| \leq n$, which is $[L' : M'] \leq [M : L]$.

The following lemma is an analogue of **Lemma 5** for subgroups of the Galois group.

Lemma 6. Let F be an extension field of K and let H, J be subgroups of the Galois group $\text{Aut}_K F$ with $H < J$. If $[J : H]$ is finite, then $[H' : J'] \leq [J : H]$

Proof. Let $[J : H] = n$ and suppose that $[H' : J'] > n$. Then exist $u_1, u_2, \dots, u_{n+1} \in H'$ that are linearly independent over J' . Let $\{\tau_1, \tau_2, \dots, \tau_n\}$ be a complete set of representatives of the left cosets of H in J (that is, $J = \tau_1 H \cup \tau_2 H \cup \dots \cup \tau_n H$ and $\tau_i^{-1}\tau_j \in H$ iff $i = j$) and consider the system of n homogeneous linear equations in $n+1$ unknowns with coefficients $\tau_i(u_j)$ in the field F :

$$\tau_1(u_1)x_1 + \tau_1(u_2)x_2 + \dots + \tau_1(u_{n+1})x_{n+1} = 0$$

$$\tau_2(u_1)x_1 + \tau_2(u_2)x_2 + \dots + \tau_2(u_{n+1})x_{n+1} = 0$$

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$$\tau_n(u_1)x_1 + \tau_n(u_2)x_2 + \dots + \tau_n(u_{n+1})x_{n+1} = 0$$

And we label this system as (1). Such a system always has a nontrivial solution (that is, one different from the zero solution: $x_1 = x_2 = \dots = x_{n+1} = 0$). Among all such nontrivial solutions choose one, say $x_1 = a_1, \dots, x_{n+1} = a_{n+1}$ with **minimal number of nonzero $\mathbf{a_i}$** . We will assume that $x_1 = a_1, x_2 = a_2, \dots, x_r = a_r, x_{r+1} = \dots = x_{n+1} = 0$ by reindexing this solution. And we will assume that $x_1 = a_1 = 1_F$ by multiplying a_1^{-1} for each element.

We shall show below that the hypothesis that $u_1, \dots, u_{n+1} \in H'$ are linearly independent over J' implies that there exists $\sigma \in J$ such that $x_1 = \sigma a_1, x_2 = \sigma a_2, \dots, x_r = \sigma a_r, x_{r+1} = \dots = x_{n+1} = 0$ is a solution of the system (1) and $\sigma a_2 \neq a_2$. Since the difference of two solutions is also a solution, let $x_1 = a_1 - \sigma a_1, x_2 = a_2 - \sigma a_2, \dots, x_r = a_r - \sigma a_r, x_{r+1} = \dots = x_{n+1} = 0$, is also a solution of system (1), but $x_1 = a_1 - \sigma a_1 = 1_F - 1_F = 0$ and $x_2 \neq 0$ as $a_2 \neq \sigma a_2$. This contradicts the minimality of the solution $x_1 = a_1, \dots, x_r = a_r, x_{r+1} = \dots = x_{n+1} = 0$. Therefore $[H' : J'] \leq n$ as desired.

Now we will prove such $\sigma \in J$ exist. Let $\tau_1 \in H$, then $\tau_1(u_i) = u_i, i = 1, \dots, n+1$ as $u_i \in H'$. And we change the first equation into:

$$u_1 a_1 + u_2 a_2 + \dots + u_r a_r = 0$$

The linear independence of the u_i over J' and the fact that all a_i are nonzero imply that some a_i , say a_2 is not in J' . Therefore there exists $\sigma \in J$ such that $\sigma a_2 \neq a_2$.

Next consider the system of equations:

$$\begin{aligned} \sigma \tau_1(u_1)x_1 + \sigma \tau_1(u_2) + \dots + \sigma \tau_1(u_{n+1})x_{n+1} &= 0 \\ \sigma \tau_2(u_1)x_1 + \sigma \tau_2(u_2) + \dots + \sigma \tau_2(u_{n+1})x_{n+1} &= 0 \\ &\vdots \\ &\vdots \\ &\vdots \\ \sigma \tau_n(u_1)x_1 + \sigma \tau_n(u_2) + \dots + \sigma \tau_n(u_{n+1})x_{n+1} &= 0 \end{aligned}$$

If we label this system as (2), it's obvious that $x_1 = \sigma(a_1), \dots, x_{n+1} = \sigma(a_{n+1})$ is a solution of system(2). We claim that system (2), except for the order of equations, is identical with system (1)(so that $x_1 = \sigma a_1, \dots, x_r = \sigma a_r, x_{r+1} = \dots = x_{n+1} = 0$ is a solution of (1)). To see this we have to first verify the following two facts:

(1) For any $\sigma \in J$, $\{\sigma \tau_1, \sigma \tau_2, \dots, \sigma \tau_n\} \subset J$ is a complete set of coset representatives of H in J .

(2) If ξ and θ are both elements in the same coset of H in J , then (since $u_i \in H'$) $\xi(u_i) = \theta(u_i)$ for $i=1,2,\dots,n+1$.

It follows from (1) that there is some reordering i_1, \dots, i_{n+1} of $1, 2, \dots, n+1$, so that for each $k = 1, 2, \dots, n+1$, $\sigma \tau_k$ and τ_{i_k} are in the same coset of H in J . By (2), the k th equation of system(2) is identical with the i_k th equation of system (1)