

# Application Design Using Java

Lecture 16

# White Space in XML

- Characters
  - Carriage return (\r or \u000d)
  - Newline (\n or \u000a)
  - Tab (\t or \u0009)
  - Space (' ' or \u0020)
- Types
  - Significant
  - Insignificant

# What Is an XML Vocabulary?

- Problem: two XML sources cannot be easily combined

```
<Inventory>
  <Product SKU="1">Goo</Product>
</Inventory>
```

+

```
<StockListing>
  <Item Number="2">Glob</Item>
</StockListing>
```

= ?

- Solution: each XML source shares the same structure, naming, and case

```
<Inventory>
  <Product SKU="1">Goo</Product>
</Inventory>
```

+

```
<Inventory>
  <Product SKU="2">Glob</Product>
</Inventory>
```

=

```
<Inventory>
  <Product SKU="1">Goo</Product>
  <Product SKU="2">Glob</Product>
</Inventory>
```

# Guidelines for Creating Vocabularies

- Determine if a vocabulary already exists
- Make your XML easy to read and understand
- Use title case for elements and attribute names
- Avoid abbreviations

```
<docprops>  
  <create>2012-07-24T22:39:55Z</create>  
  <lsavd>2012-08-10T16:13:07Z</lsavd>  
  <comp>Rensselaer Polytechnic Institute</comp>  
  <ver>10.2625</ver>  
</docprops>
```

<!-- Which of these makes better sense? -->

```
<DocumentProperties>  
  <Created>2012-07-24T22:39:55Z</Created>  
  <LastSaved>2012-08-10T16:13:07Z</LastSaved>  
  <Company>Rensselaer Polytechnic Institute</Company>  
  <Version>10.2625</Version>  
</DocumentProperties>
```

# What Is a Namespace?

- A namespace is a collection of element names identified by a unique reference
- Namespaces prevent confusion when combining data from multiple XML sources

*What is the problem here?*

```
<Order>
  <Employee>
    <Name>Jane Doe</Name>
    <Title>Developer</Title>
  </Employee>
  <Product>
    <Title>The Joshua Tree</Title>
    <Artist>U2</Artist>
  </Product>
</Order>
```

# How to Use Default Namespaces

- A default namespace associates a URI to an element and all child elements

```
<ElementName xmlns="URI">
```

- Default namespaces allow you to combine XML fragments from separate sources without changing the XML structure

```
<Order>
  <Employee xmlns="http://hrweb">
    <Name>Jane Doe</Name>
    <Title>Developer</Title>
  </Employee>
  <Product xmlns="http://market">
    <Title>The Joshua Tree</Title>
    <Artist>U2</Artist>
  </Product>
</Order>
```

These names belong to the <http://hrweb> namespace.

These belong to the <http://market> namespace.

# How to Use Explicit Namespaces

- An explicit namespace associates a prefix with a URI
- You can then use that prefix to mark specific elements as belonging to that namespace

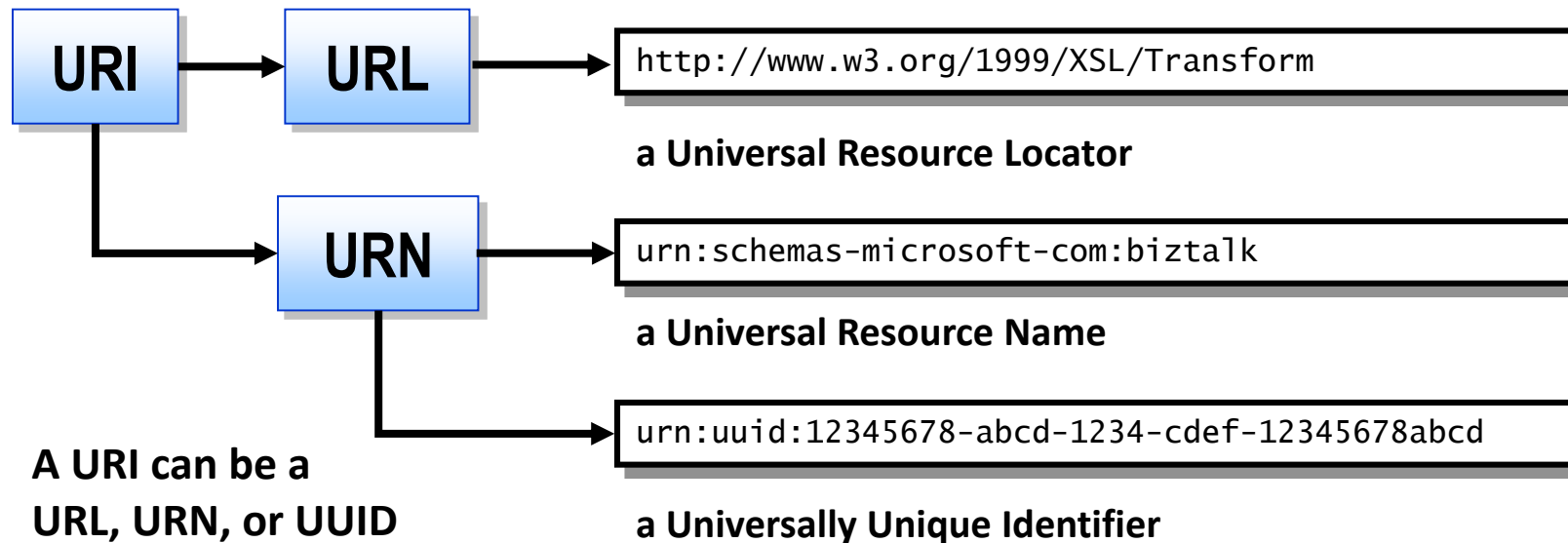
```
<ElementName xmlns:Prefix="http://contoso.msft/namespace_for_examples">  
  <Prefix:AnyElement>Some Data</Prefix:AnyElement>  
  <AnotherElement>More Data</AnotherElement>
```

- Explicit namespaces allow you to combine XML fragments into a new XML structure

```
<Order xmlns:hr="http://hrweb" xmlns:mkt="http://market">  
  <hr:Name>Jane Doe</hr:Name>  
  <hr:Title>Developer</hr:Title>  
  <mkt:Title>The Joshua Tree</mkt:Title>  
  <mkt:Artist>U2</mkt:Artist>  
</Order>
```

# Namespace URIs

- The Uniform Resource Identifier (URI) uniquely identifies the namespace
- The XML processor does not verify the uniqueness or independent existence of a URI

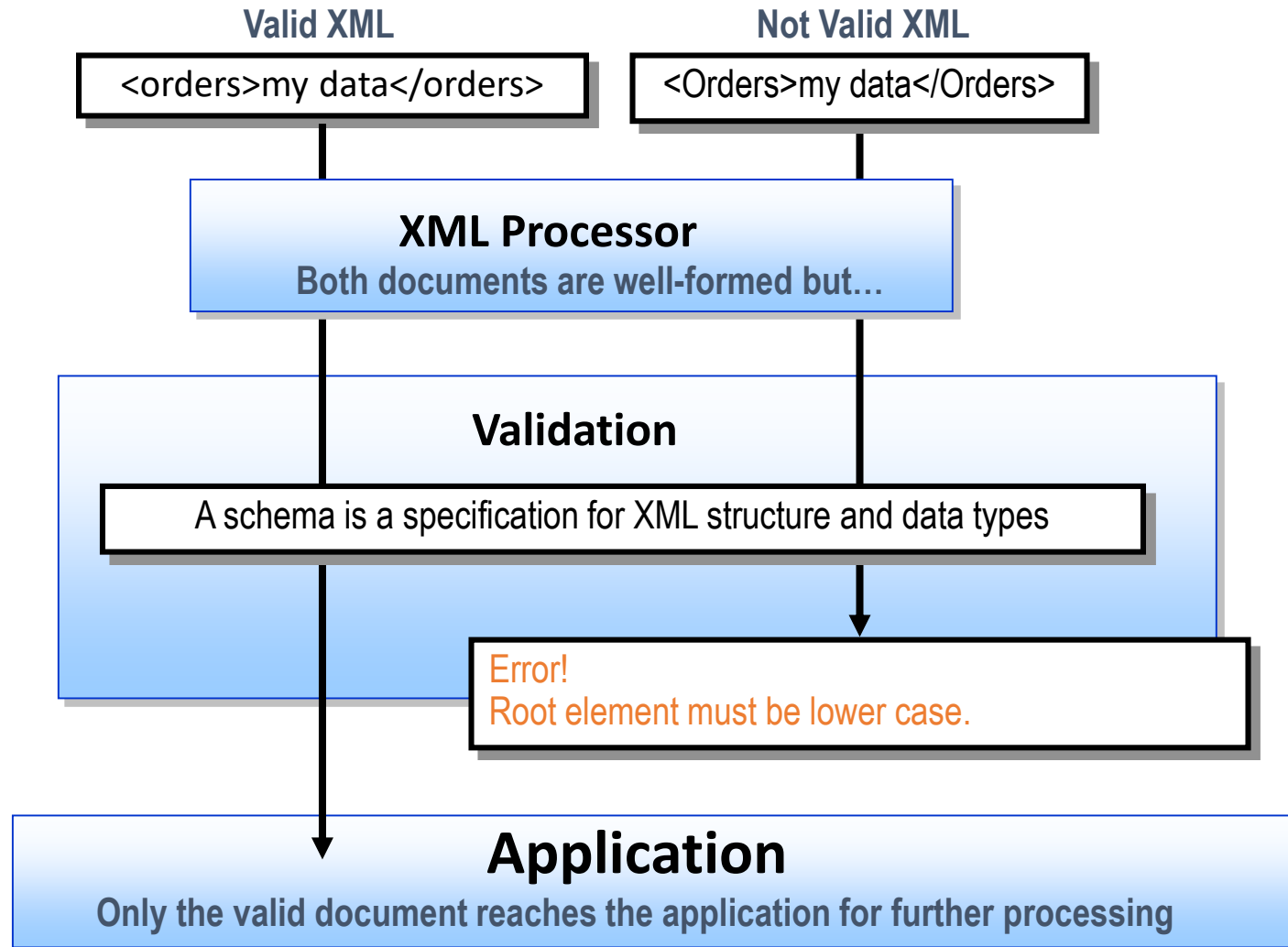




# Guidelines for Choosing a Namespace URI

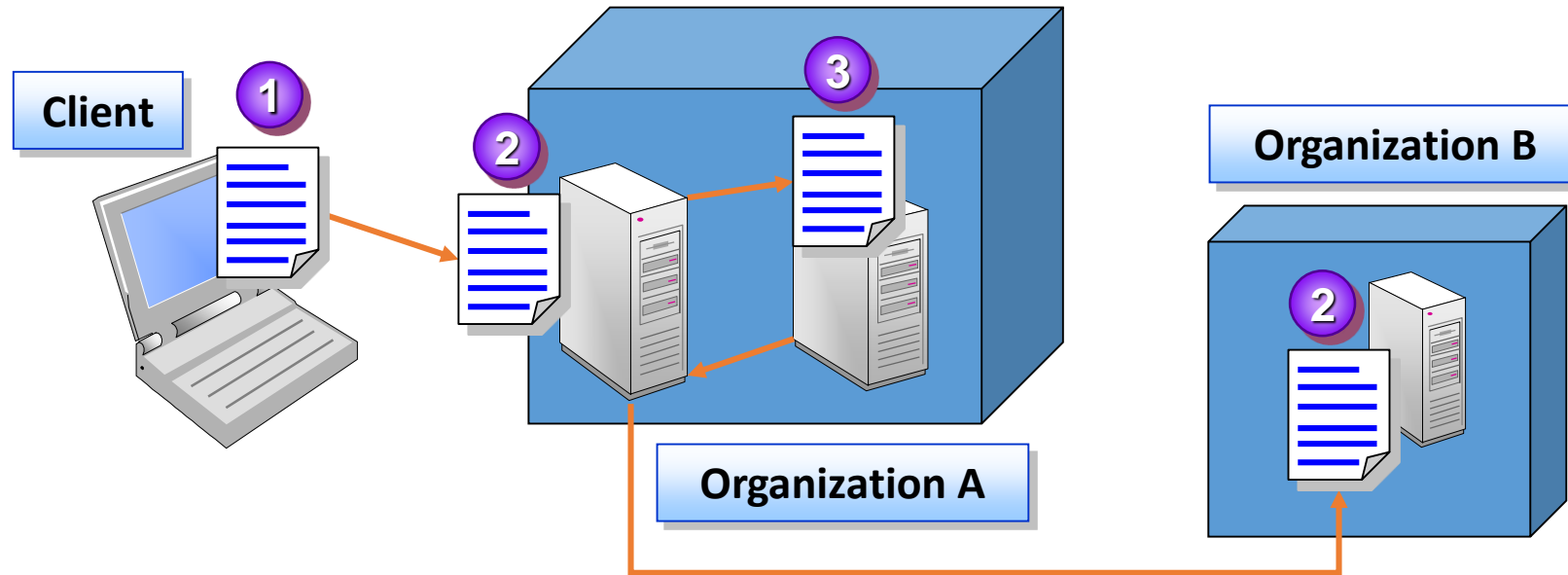
- Use URIs that you control
- Use URIs that are persistent
- Use URIs that consistently point to the same location
- Identify and describe the URI in the documentation for your XML vocabulary

# What Is Valid XML?



# Validation Scenarios

- Data transferred between systems requires validation



1. Validate user input prior to uploading.
2. Validate incoming XML against a required vocabulary.
3. Validate details against business logic prior to processing.

# How to Recognize a Document Type Definition

- DTDs are superceded by XSD schemas, but you might still need to work around them
- A reference to an external DTD

```
<?xml version='1.0'?><!DOCTYPE bookstore  
    SYSTEM "books.dtd">
```

- An external DTD

```
<!ELEMENT bookstore (book)*>  
<!ELEMENT book (title,author*,price)>  
<!ATTLIST book genre CDATA #REQUIRED>  
<!ELEMENT title (#PCDATA)>  
<!ELEMENT author (name | (first-name,last-name))>  
<!ELEMENT price (#PCDATA)>  
<!ELEMENT name (#PCDATA)>  
<!ELEMENT first-name (#PCDATA)>  
<!ELEMENT last-name (#PCDATA)>
```

- An inline DTD

```
<?xml version='1.0'?>  
<!DOCTYPE bookstore [  
<!ELEMENT bookstore (book)*>
```

# How to Recognize an XDR Schema

- External XDR schema

```
<Schema name="MySchema" xmlns="urn:schemas-microsoft-com:xml-data"
  xmlns:dt="urn:schemas-microsoft-com:datatypes">
```

- Inline XDR schema

```
<root xmlns:data="x-schema:#inLineSchema">
  <Schema name="inLineSchema" xmlns="urn:schemas-microsoft-com:xml-data">
    <ElementType name="myelement"/>
  </Schema>
  <data:myelement>inline example</data:myelement>
</root>
```

- Reference to an XDR schema in a source document

```
<ElementName xmlns="x-schema:yourschema.xml"> </ElementName>
```

# Parts of an XSD Schema

- XSDs reference the W3C XML Schema namespace

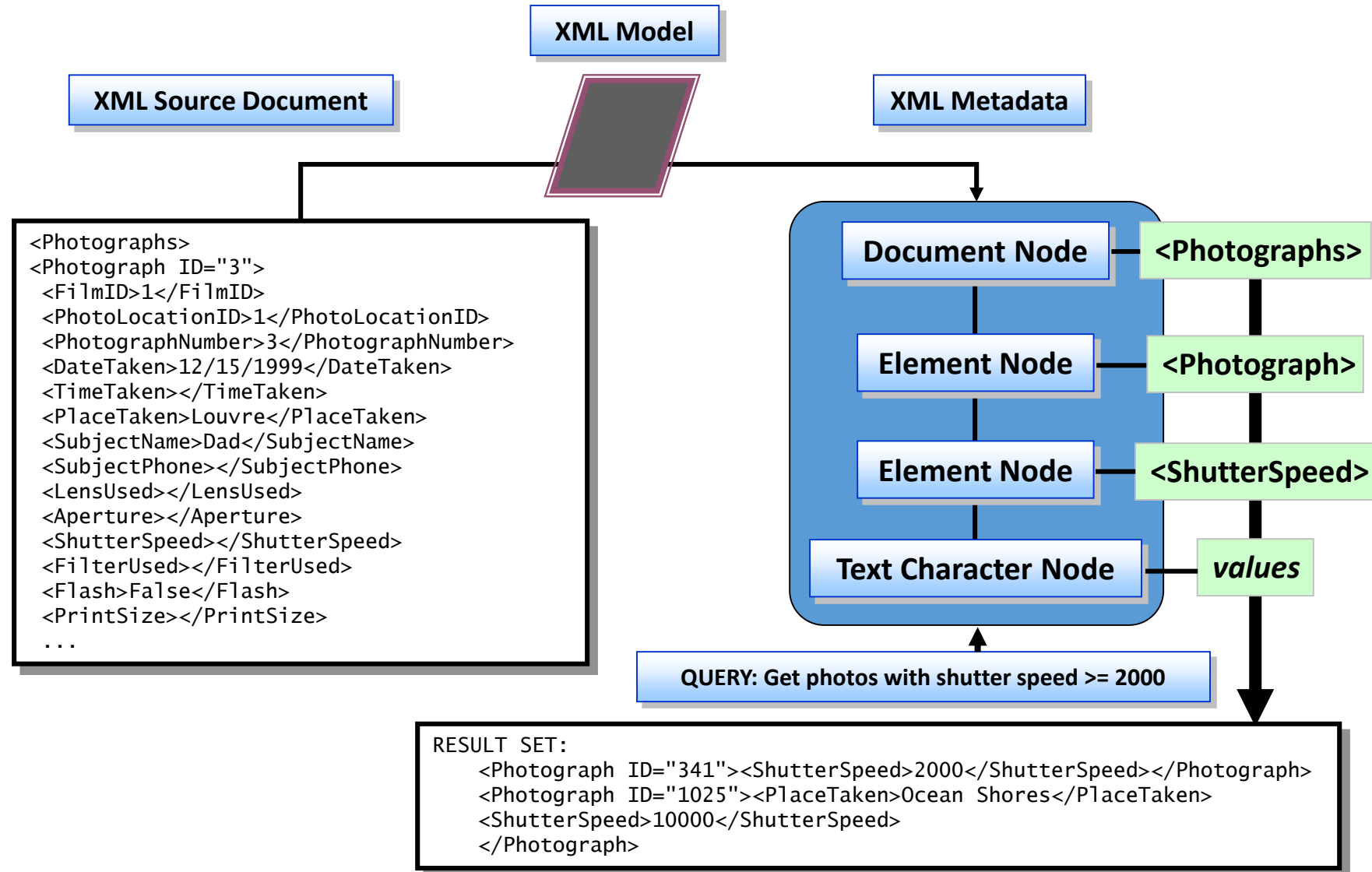
```
<xsd:schema  
  xmlns:xsd="http://www.w3.org/2001/XMLSchema">
```

- XSD names are prefixed with xsd:
- Element and attribute declarations
- Data types definitions
  - Use simple type for Boolean, integer, string, and so on
  - Use complex type for element relationships

# What Is XML Metadata?

- Metadata is data about data
- Humans use lexical metadata to understand the markup
  - Consists of the meaning of the element and attribute names
- Software uses structural metadata
  - Consists of the element hierarchy and the presence of attributes, comments, or CDATA sections
- DOM and XPath model structural metadata as a tree

# How to Use XML Metadata



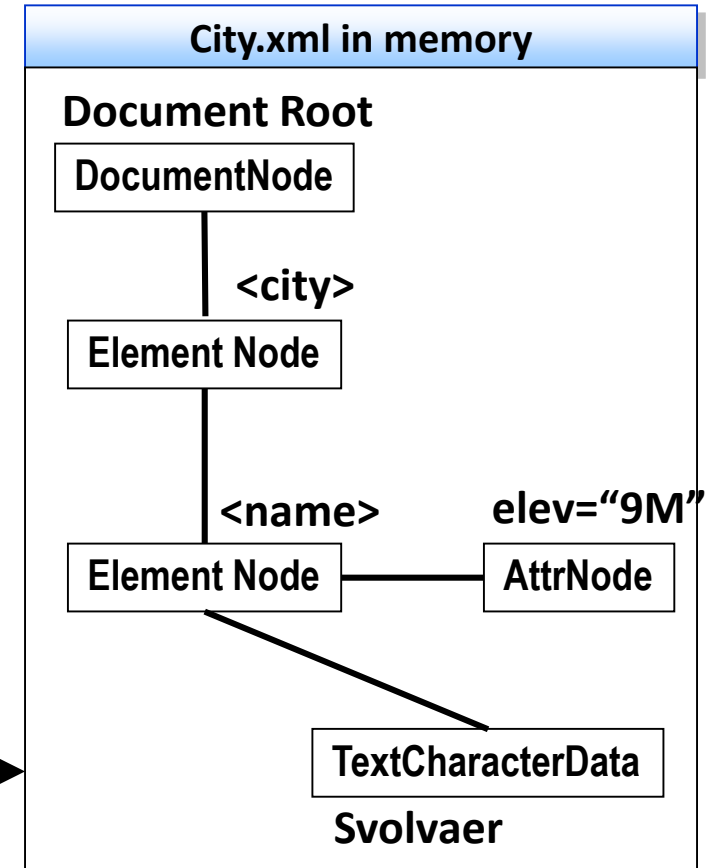


# What Is the XML Document Object Model?

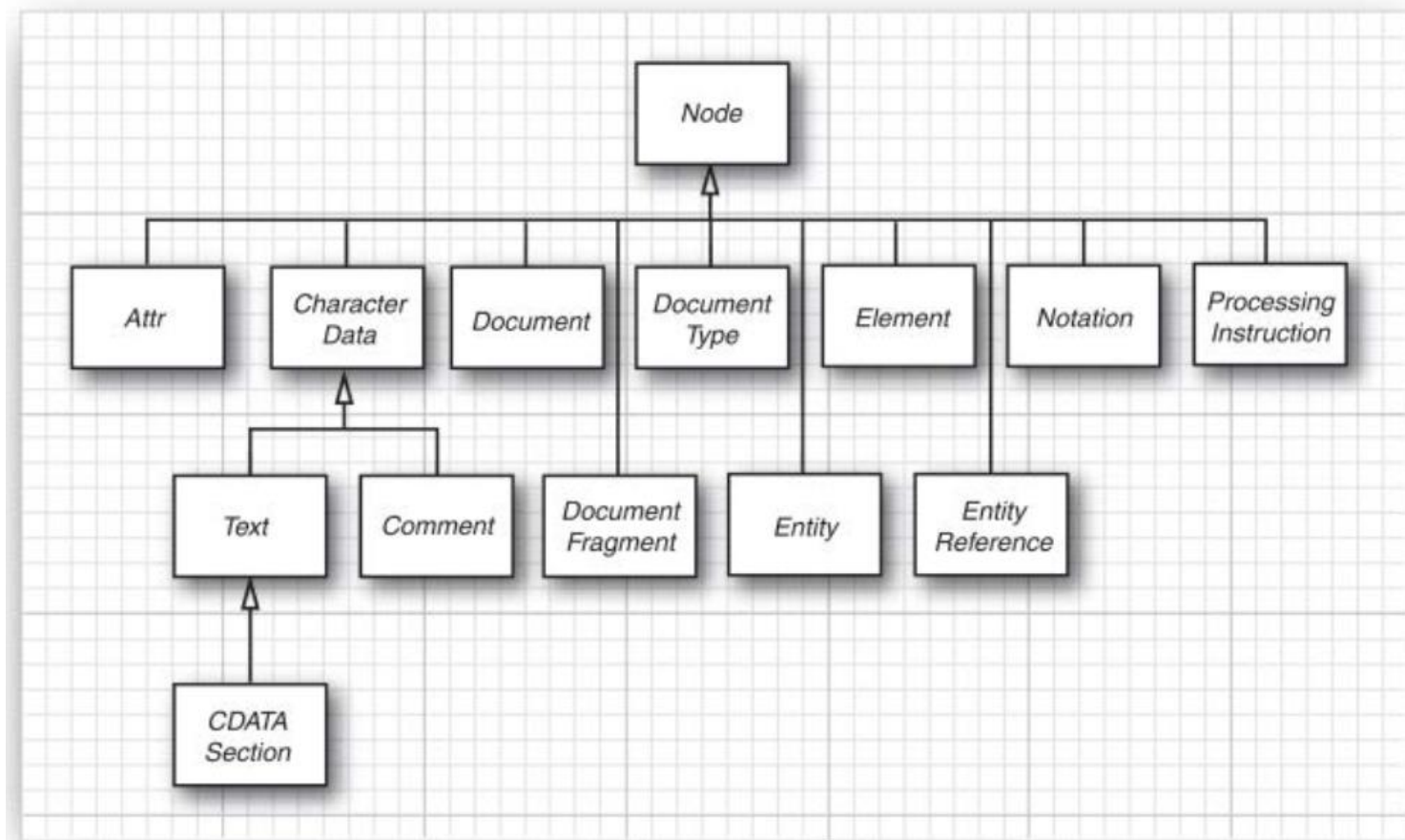
- DOM is the W3C programming interface for XML
- DOM models an XML source as a tree of nodes in memory
- DOM parser reads an entire XML document into a tree structure
- You can use DOM to:
  - Navigate and search
  - Add and delete content

**City.xml**

```
<city>
<name
elev="9M">Svolvær</name>
</city>
```



# DOM using Java



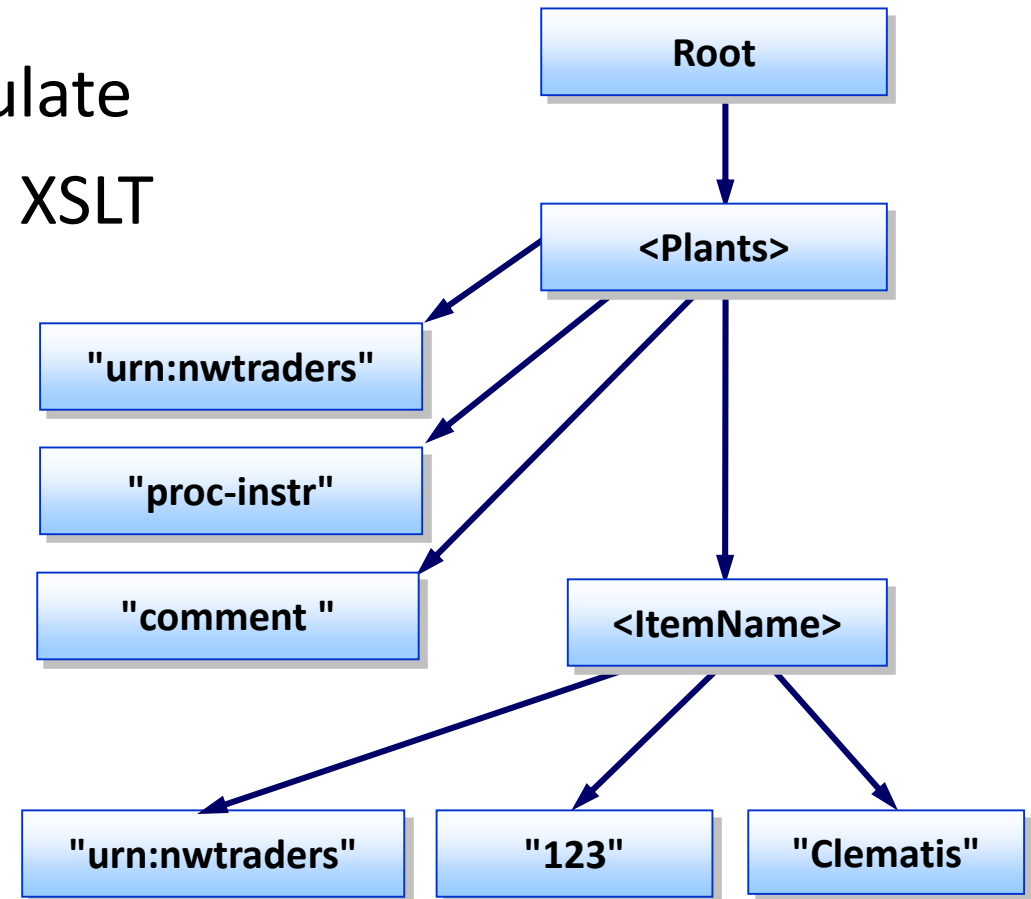
# Simple API for XML (SAX)

- SAX parser generates events as it reads an XML document
- Does not store the document in any way
- Need a handler that defines the event actions
- StAX is a “pull parser”
  - Do not install event handlers
  - Iterate through the events

# What Is XPath?

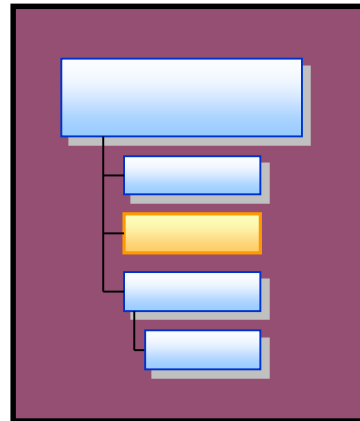
- XPath is the W3C XML Path Language
- Use XPath to navigate, search, manipulate
- XPath is used with DOM, XQuery, XSL, XSLT
- XPath models XML as a tree of nodes

```
<Plants  
  xmlns="urn:nwtraders">  
  
  <?proc instr?>  
  <!--comment-->  
  
  <ItemName code="123">  
    Clematis  
  </ItemName>  
  
</Plants>
```



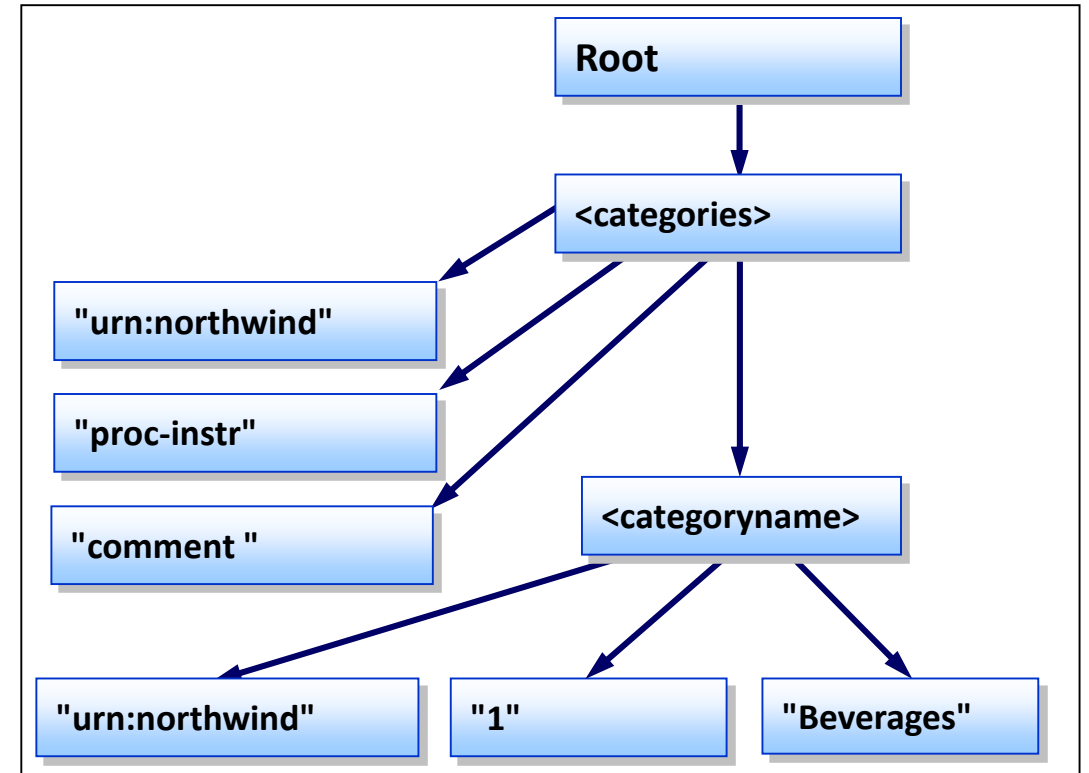
# Language for Document Addressing

- Use XPath to address parts of an XML source
- Use XPath to match patterns of content or structure
- XPath includes an object model for XML that maps XML element to a tree of node types



# What Are the Node Types in XPath?

- Root
- Element
- Attribute
- Namespace
- Processing Instruction
- Comment
- Text
- Whitespace



```
<categories xmlns="urn:northwind">  
<?proc instr?>  
  <!--comment-->  
  <categoryname id="1">Beverages</categoryname>  
</categories>
```

# What Is a Location Path?

- Composed of one or more location steps
- Read from left to right
- Location step syntax (unabbreviated): **axis::node-test[predicate]**

- Axis types:

<b>parent::</b>	<b>following::</b>
<b>child::</b>	<b>preceding::</b>
<b>ancestor::</b>	<b>ancestor-or-self::</b>
<b>self::</b>	<b>descendant-or-self::</b>
<b>descendant::</b>	<b>following-sibling::</b>
<b>namespace::</b>	<b>preceding-sibling::</b>
<b>attribute::</b>	

- **Node-test parameters:**

**by node name or by node type**

- **Predicate options:**

**filter by position**  
**filter by value**  
**filter by presence**

# Location Path Syntax

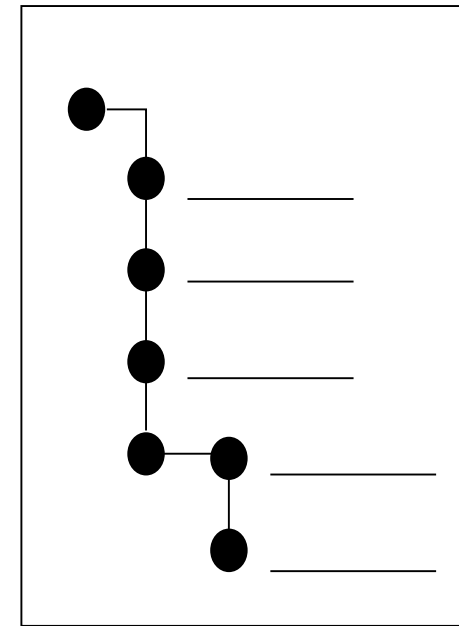
- Choose between unabbreviated and abbreviated syntax when specifying the axis

Unabbreviated syntax	Abbreviated syntax
<b>attribute::</b>	<b>@</b>
<b>/descendant-or-self::node()</b>	<b>//</b>
<b>self::node</b>	<b>.</b>
<b>parent::node</b>	<b>..</b>
<b>node tree root</b>	<b>/</b>
<b>ancestor-or-self::</b>	<b>not available</b>



# How to Construct a Location Path

1. Analyze the XML source to be used
2. Define your search criteria
3. Determine what to locate in the document:  
How deeply is the data nested?  
How will the source vary?  
Will the source be validated?
4. Build, test, and refine the location path



# How to Define the Axis

```
<employees>
  <employee empID="1">
    <fname>Nancy</fname>
  </employee>
  <employee empID="2">
    <fname>Andrew</fname>
    <salary>
      <amount>2500</amount>
    </salary>
  </employee>
  <employee empID="3">
    <fname>Janet</fname>
  </employee>
</employees>
```

self

child

parent

attribute

descendant

descendant-or-self

ancestor

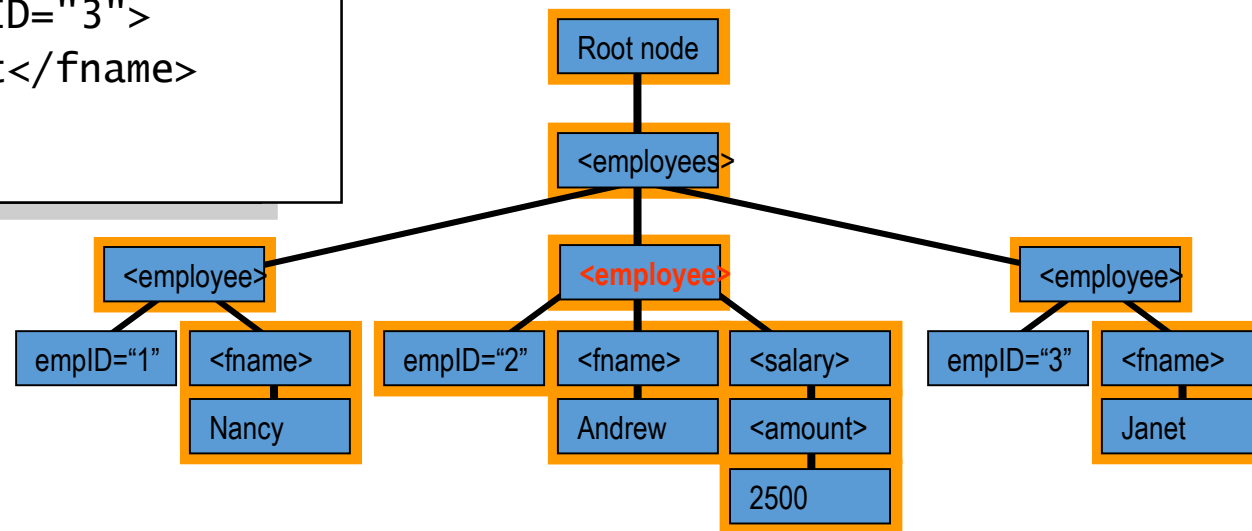
ancestor-or-self

following

following-sibling

preceding

preceding-sibling



# Operators and Functions

- Union operator (|) allows you to merge node-sets
- Node-set functions:

```
cars[position() = last()]  
count(car[@fueltank > 25])  
id("quidID")  
*[namespace-uri()= " www.litware.com"]
```

```
position  
last  
count  
id  
local-name  
namespace-uri  
name
```

# Boolean Operators and Functions

Boolean Operators	Boolean Functions
<b>= or !=</b>	<b>True</b>
<b>&gt;, &gt;=, &lt;, &lt;=</b>	<b>False</b>
<b>and</b>	<b>boolean</b>
<b>or</b>	<b>not</b>
	<b>lang</b>

# Numeric and String Operators and Functions

Number operators	Number functions	String functions	String functions
- (unary)	number	string	substring-before
+	floor	string-length	substring-after
- (subtraction)	ceiling	concat	normalize-space
*	round	starts-with	translate
div	sum	contains	
mod		substring	

# What Is XSL?

- Extensible Stylesheet Language (XSL) is a family of W3C recommendations for defining XML document transformation and presentation
- XSL has resulted in three language Recommendations:

**1**

**XSL-FO**  
**XSL Formatting Objects**

Formatting

**2**

**XSLT**  
**XSL Transforms**

Transforming and  
formatting

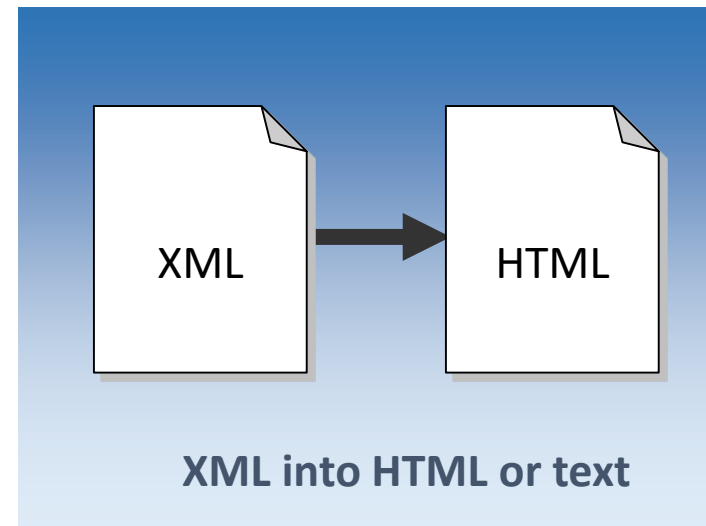
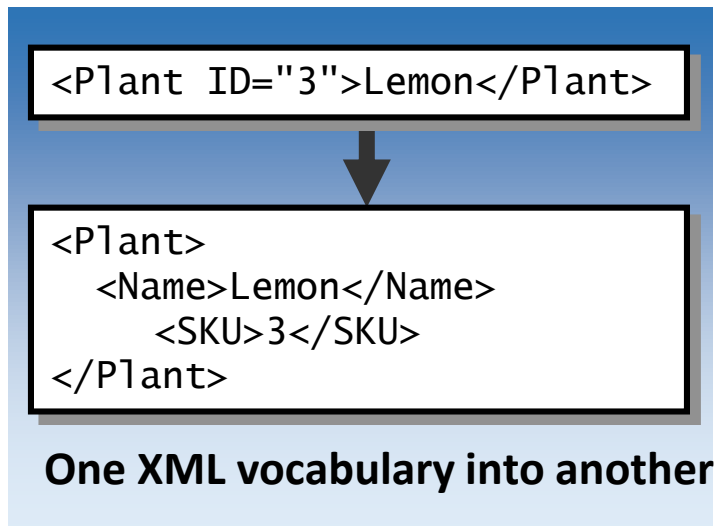
**3**

**XPath**  
**XML Path Language**

Addressing parts of  
XML

# What Is XSLT?

- XSLT is the W3C XSL Transformations language
- Used with XPath
- Use it to transform format and XML vocabularies



# How Are XSLT and XPath Related?

```
<xsl:stylesheet version="1.0"
  xmlns:xsl="http://www.w3.org/1999/XSL/Transform">

  <xsl:template match="/">
    <xsl:apply-templates
      select="employees/employee">
      <xsl:sort select="name" />
    </xsl:apply-templates>
  </xsl:template>

</xsl:stylesheet>
```

Starting at the document element...

List <employee> children of <employees>

Sort the list by content in <name>

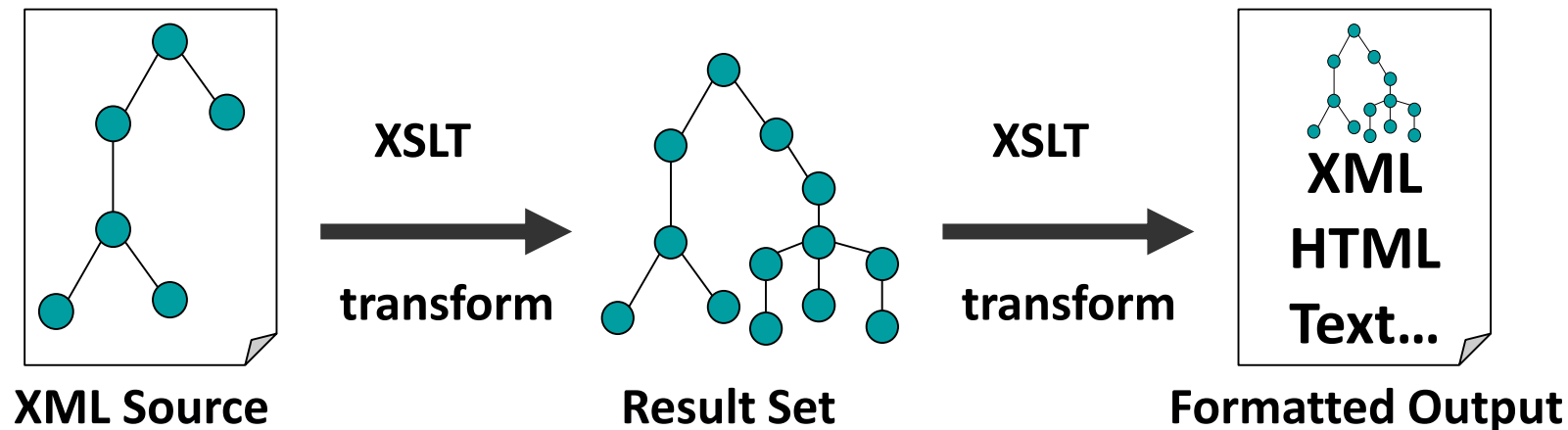
XPath .....

From the root node, create a list of employee names.



# What Is XSLT?

- XSLT uses templates to transform XML
- Templates define transformations
- XSLT uses XPath to fix locations and for conditional processing
- XSLT is a declarative language
- Transformations are applied recursively and independently of the sequence in which they appear in the style sheet



# Parts of an XSLT Style Sheet

- Identify this as an XSLT style sheet

```
<xsl:stylesheet xmlns:xsl="http://www.w3.org/1999/XSL/Transform"
  version="1.0">
```

- Match a pattern

```
<xsl:template match="">
```

- Apply a transformation

```
<xsl:apply-templates select="">
```

- Create output

```
<xsl:value-of select="">
```

```
<xsl:copy-of select="">
```

# What Can You Do with XSLT?

- Transforming XML
- Using templates and matching
- Filtering and sorting

# //TODO before next lecture:

- Homework 3 due on 3/23 at 11:59 pm EDT. Must be submitted on Submittity.
- Final Project proposal due on 3/26 at 11:59 pm EDT. Must be submitted on Submittity.
- Java puzzler (posted on Submittity Forum).