

# Category Theory

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# Preface

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# Chapter 1

## Categories

### §1 Categories

**Definition 1.1** (Category). A *category*  $\mathcal{C}$  consists of three ingredients:

1. A *class*  $\text{obj}(\mathcal{C})$ , called the *objects*;
2. For any  $A, B \in \text{obj}(\mathcal{C})$ , a set of *morphisms*  $\text{Hom}(A, B)$ ;
3. A function  $\text{Hom}(A, B) \times \text{Hom}(B, C) \rightarrow \text{Hom}(A, C)$ , called the *composition*, for any  $A, B, C \in \text{obj}(\mathcal{C})$ , denoted as  $(f, g) \mapsto gf$ ,

and they follow the following axioms:

- (i) If  $(A, B) \neq (A', B')$ , then  $\text{Hom}(A, B) \cap \text{Hom}(A', B') = \emptyset$ ;
- (ii) *Associativity*: the composition is associative, i.e.  $h(gf) = (hg)f$ ;
- (iii) *Identity*: For any  $A \in \text{obj}(\mathcal{C})$ , there is an identity morphism  $\text{id}_A \in \text{Hom}(A, A)$ , such that  $f \text{id}_A = f = \text{id}_A f$ , for any  $B \in \text{obj}(\mathcal{C})$  and  $f \in \text{Hom}(A, B)$ .

A morphism can be shown by:

$$A \xrightarrow{f} B$$

Examples of categories: **Set**, **Grp**, **Ab**, **Top**, **Ord**, **Ring**, **Mod**, ...

If  $\text{obj}(\mathcal{C})$  is a set, then  $\mathcal{C}$  is called a **small category**.

If  $(X, \leq)$  is a preorder set, then  $\forall x, y \in X$ ,

$$\text{Hom}(x, y) = \begin{cases} \emptyset & x > y, \\ \{(x, y)\} & x \leq y, \end{cases} \quad (1-1)$$

and  $(y, z)(x, y) = (x, z)$ . With this we can say that  $X$  is a category. The morphism  $(x, y)$  is also denoted by  $i_y^x$ .

**Definition 1.2** (Isomorphism). Let  $\mathcal{C}$  be a category and  $A, B \in \text{obj}(\mathcal{C})$ ,  $f \in \text{Hom}(A, B)$ . If  $\exists g \in \text{Hom}(B, A)$  s.t.  $gf = \text{id}_B$  and  $fg = \text{id}_A$ , then  $f$  is called an **isomorphism** from  $A$  to  $B$ .  $g$  is called the **inverse** of  $f$ .

**Definition 1.3** (Subcategory). We say  $\mathcal{S}$  a **subcategory** of  $\mathcal{C}$ , if

- (i)  $\text{obj}(\mathcal{S}) \subseteq \text{obj}(\mathcal{C})$ ;
- (ii)  $\forall A, B \in \text{obj}(\mathcal{S}), \text{Hom}_{\mathcal{S}}(A, B) \subseteq \text{Hom}_{\mathcal{C}}(A, B)$ ;
- (iii)  $\forall A, B, C \in \text{obj}(\mathcal{S})$ ,

$$\begin{array}{ccccc} A & \xrightarrow{f} & B & \xrightarrow{g} & C \\ & \searrow & & \nearrow & \\ & & gf & & \end{array}$$

then  $gf$  is the same in both  $\text{Hom}_{\mathcal{S}}(A, C)$  and  $\text{Hom}_{\mathcal{C}}(A, C)$ ,

- (iv)  $\forall A \in \text{obj}(\mathcal{S}), \text{id}_A \in \text{Hom}_{\mathcal{S}}(A, A)$  is the same in  $\text{Hom}_{\mathcal{C}}(A, A)$ .

**Definition 1.4** (Full subcategory). Let  $\mathcal{S}$  be a subcategory of  $\mathcal{C}$ . If  $\forall A, B \in \text{obj}(\mathcal{S}), \text{Hom}_{\mathcal{S}}(A, B) = \text{Hom}_{\mathcal{C}}(A, B)$ , then  $\mathcal{S}$  is called a **full subcategory** of  $\mathcal{C}$ .

**Definition 1.5** (Generated full subcategory). For any subclass  $S \subseteq \text{obj}(\mathcal{C})$ , one can find a full subcategory  $\mathcal{S}$  of  $\mathcal{C}$  s.t.  $\text{obj}(\mathcal{S}) = S$ , which is called the full subcategory generated by  $S$ .

$\text{Top}_2$  is the full subcategory of  $\text{Top}$  that is generated by the class of all Hausdorff spaces.

**Definition 1.6** (Opposite category). Let  $\mathcal{C}$  be a category. Then  $\mathcal{C}^{\text{op}}$  is the category that:

1.  $\text{obj}(\mathcal{C}^{\text{op}}) = \text{obj}(\mathcal{C})$ ;
2.  $\forall A, B \in \text{obj}(\mathcal{C})$ ,

$$\text{Hom}_{\mathcal{C}}(A, B) = \text{Hom}_{\mathcal{C}^{\text{op}}}(B, A). \quad (1-2)$$

## §2 Definitions of Different Categories

# Chapter 2

## Functors

### §3 Functors

**Definition 3.1** (Functor). Let  $\mathcal{C}$  and  $\mathcal{D}$  be categories. A **functor**  $F : \mathcal{C} \rightarrow \mathcal{D}$  is a function that satisfies the following axioms:

- (i)  $\forall A \in \text{obj}(\mathcal{C}), F(A) \in \text{obj}(\mathcal{D});$
- (ii)  $\forall A, B \in \text{obj}(\mathcal{C}), \forall f \in \text{Hom}_{\mathcal{C}}(A, B), F(f) \in \text{Hom}_{\mathcal{D}}(F(A), F(B));$
- (iii)  $\forall A, B, C \in \text{obj}(\mathcal{C}),$

$$\begin{array}{ccccc} A & \xrightarrow{f} & B & \xrightarrow{g} & C \\ & \searrow & & \nearrow & \\ & & gf & & \end{array}$$

then  $F(gf) = F(g)F(f)$ .

- (iv)  $\forall A \in \text{obj}(\mathcal{C}), F(\text{id}_A) = \text{id}_{F(A)}.$

We can restate some definition using functors



**Theorem 3.1** (Subcategory, in language of functors). *Let  $\mathcal{C}$  and  $\mathcal{S}$  be two categories,  $\mathcal{S} \subseteq \mathcal{C}$ . If the inclusion  $I: \mathcal{S} \rightarrow \mathcal{C}$  is a functor, then  $\mathcal{S}$  is a subcategory of  $\mathcal{C}$ .*

The **identity functor** from  $\mathcal{C}$  to itself is  $1_{\mathcal{C}}: \mathcal{C} \rightarrow \mathcal{C}$  s.t.  $\forall C, D \in \mathcal{C}, \forall f \in \text{Hom}(C, D)$ ,

$$1_{\mathcal{C}}(C) = C, \quad 1_{\mathcal{C}}(f) = f. \quad (3-1)$$

**Theorem 3.2.** *Let  $\mathcal{C}$  and  $\mathcal{D}$  be two categories.  $F: \mathcal{C} \rightarrow \mathcal{D}$  is a functor.  $\forall A, B \in \text{obj}(\mathcal{C})$ , if  $f \in \text{Hom}_{\mathcal{C}}(A, B)$  is an isomorphism, then  $F(f)$  is an isomorphism.*

**Definition 3.2** (Hom). Let  $\mathcal{C}$  be a category and  $A \in \text{obj}(\mathcal{C})$ . The **Hom functor**  $F_A: \mathcal{C} \rightarrow \text{Set}$  is defined as

$$\begin{aligned} F_A(B) &= \text{Hom}(A, B), \\ F_A(f): \text{Hom}(A, B) &\rightarrow \text{Hom}(A, C); \quad h \mapsto fh. \end{aligned} \quad (3-2)$$

The Hom functor is also denoted by  $\text{Hom}(A, -)$ . We call the  $F_A(f) =: \text{Hom}(A, f)$  the **induced map**, and denote it by  $f_*$

$$f_*h = fh. \quad (3-3)$$

**Definition 3.3** (Faithful functor). Let  $\mathcal{C}$  and  $\mathcal{D}$  be two categories. A **faithful functor**  $F: \mathcal{C} \rightarrow \mathcal{D}$  is a functor that satisfies  $\forall A, B \in \text{obj}(\mathcal{C})$ ,

$$i: \text{Hom}_{\mathcal{C}}(A, B) \rightarrow \text{Hom}_{\mathcal{D}}(F(A), F(B)); \quad f \mapsto F(f) \quad (3-4)$$

is injective.

**Definition 3.4** (Concrete category). Let  $\mathcal{C}$  be a category.  $\mathcal{C}$  is called a **concrete category** if there exists a faithful functor  $F: \mathcal{C} \rightarrow \text{Set}$ .

## §4 Contravariant Functors

**Definition 4.1** (Contravariant functor). Let  $\mathcal{C}$  and  $\mathcal{D}$  be categories. A **contravariant functor**  $F: \mathcal{C} \rightarrow \mathcal{D}$  is a function that satisfies the following axioms:

- (i)  $\forall A \in \text{obj}(\mathcal{C}), F(A) \in \text{obj}(\mathcal{D});$
- (ii)  $\forall A, B \in \text{obj}(\mathcal{C}), \forall f \in \text{Hom}_{\mathcal{C}}(A, B), F(f) \in \text{Hom}_{\mathcal{D}}(F(B), F(A));$
- (iii)  $\forall A, B, C \in \text{obj}(\mathcal{C}),$

$$\begin{array}{ccccc} A & \xrightarrow{f} & B & \xrightarrow{g} & C \\ & \searrow & & \nearrow & \\ & & gf & & \end{array}$$

then  $F(gf) = F(f)F(g).$

- (iv)  $\forall A \in \text{obj}(\mathcal{C}), F(\text{id}_A) = \text{id}_{F(A)}.$

To distinguish functors from contravariant functors, we sometimes call the functors **covariant functors**.

$-^{\text{op}}: \mathcal{C} \rightarrow \mathcal{C}^{\text{op}}$  is a contravariant functor.

**Definition 4.2** (Contravariant Hom). Let  $\mathcal{C}$  be a category and  $A \in \text{obj}(\mathcal{C})$ . The **contravariant Hom functor**  $F_A: \mathcal{C} \rightarrow \text{Set}$  is defined as

$$\begin{aligned} F_A(B) &= \text{Hom}(B, A), \\ F_A(f): \text{Hom}(B, A) &\rightarrow \text{Hom}(C, A); h \mapsto hf. \end{aligned} \tag{4-1}$$

The contravariant Hom functor is also denoted by  $\text{Hom}(-, A)$ . We call the  $F_A(f) =: \text{Hom}(f, A)$  the **induced map**, and denote it by  $f^*$

$$f^*h = hf. \tag{4-2}$$

## §5 Diagrams

**Definition 5.1** (Diagram). A **diagram** in a category  $\mathcal{C}$  is a functor  $D: \mathcal{D} \rightarrow \mathcal{C}$  where  $\mathcal{D}$  is a small category.

We have already seemed drawn diagrams like

$$\begin{array}{ccc}
 A & \xrightarrow{f} & B \\
 & \searrow h & \downarrow g \\
 & & C \\
 & \nearrow h' & \\
 A & & C
 \end{array} \quad (5-1)$$

where  $A, B, C \in D(\text{obj}(\mathcal{D}))$ , and each arrow from one to another is a morphism in the image of morphism in  $\mathcal{D}$  under  $D$  e.g.  $\exists D_A, D_B \in \text{obj}(\mathcal{D})$  s.t.

$$f \in D(\text{Hom}_{\mathcal{D}}(D_A, D_B)) \subseteq \text{Hom}_{\mathcal{C}}(A, B). \quad (5-2)$$

**Definition 5.2** (Path). A **path** in a category  $\mathcal{C}$  is a functor  $P: n+1 \rightarrow \mathcal{C}$  where  $n+1$  is considered as a preorder with morphism defined in Eq. (1-1).

Conventionally we denote a path as:

$$P_0 \xrightarrow{f_0} P_1 \xrightarrow{f_1} P_2 \longrightarrow \cdots \longrightarrow P_{n-1} \xrightarrow{f_{n-1}} P_n \quad (5-3)$$

A **simple path** is a path such that  $\forall i, j \in n+1, P_i = P_j \rightarrow i = j$ .

A diagram  $D$  **commutes** iff  $A, B \in D(\text{obj } \mathcal{D})$ , the compositions of morphisms in any two simple paths from  $A$  to  $B$  are the same.

## §6 Natural transformations

**Definition 6.1** (Natural transformation). Let  $\mathcal{C}, \mathcal{D}$  be two categories and  $F, G: \mathcal{D} \rightarrow \mathcal{C}$  be functors. A **natural transformation**  $\alpha: F \rightarrow G$  is *one-parametre family of morphisms* in  $\mathcal{D}$ :

$$\alpha: \text{obj}(\mathcal{C}) \rightarrow \{\text{Hom}(F(A), G(A)) \mid A \in \text{obj}(\mathcal{C})\}; A \mapsto \alpha_A, \quad (6-1)$$

such that  $\forall A, B \in \text{obj}(\mathcal{C}), \forall f \in \text{Hom}(A, B)$ , the following diagram commutes:

$$\begin{array}{ccc} F(A) & \xrightarrow{\alpha_A} & G(A) \\ \downarrow F(f) & & \downarrow G(f) \\ F(B) & \xrightarrow{\alpha_B} & G(B) \end{array} \quad (6-2)$$

or,

$$\alpha_B F(f) = G(f) \alpha_A. \quad (6-3)$$

# Appendix A

# Appendix

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