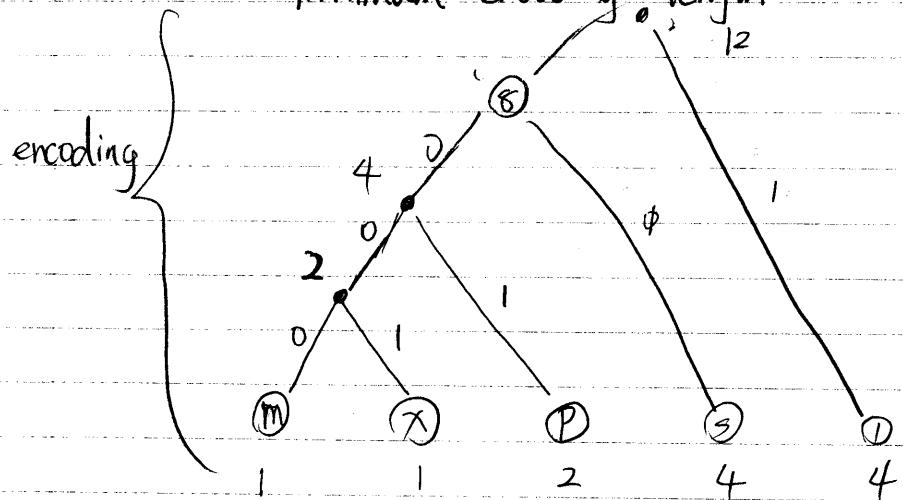


2018.3.27 Lecture 16 5.2 Huffman code

Huffman code:

optimal prefix-free code  
 ↓ minimum encoding length



$M = 0000$	$1 \times 4 = 4$
$X = 0001$	<del>4</del>
$P = 001$	6
$S = 01$	8
$i = 1$	4

encoding:  
 m i s s i s s i p i x  
 ↓  
 0000 1 01 01 ...

$$\sum_{\text{encoding}} f_x l_x \quad (\text{frequency} \times \text{length}) \quad m = 1 \times 4 = 4$$

$x = 1 \times 4 = 4$

$$\sum_{\text{length / cost}} f_x l_x \quad p = 2 \times 3 = 6$$

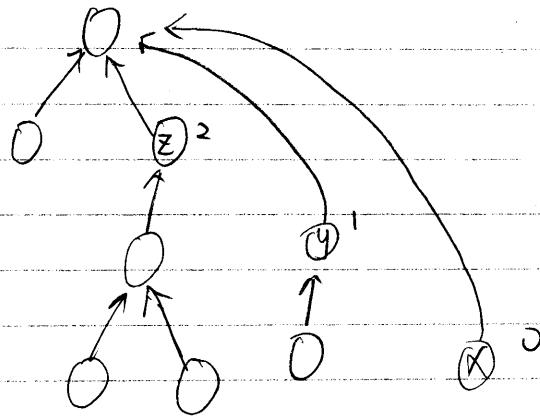
$$s = 2 \times 4 = 8$$

$$i = 4 \times 1 = 4$$

fixed code length vs Huffman code  
 36 bit.

26 bit.

2018.3.27 Lecture 16 5.1 Minimum spanning tree  
 Trick: path compression



find ( $x$ ):

we also change the parent pointer for all node on the path to point to the root!

amortized analysis

1. find  $\rightarrow O(\log |V|)$  in worst case  
 subsequent operations are  $O(1)$   
 overall amortized cost  
 $O(\log^* |V|)$

# of repeats application of  
 before we ~~get~~ the value 1

$$|V| = 2^{65536}$$

- 1)  $\log_2 |V| = 65536 = 2^{16}$
- 2)  $\log_2 2^{16} = 16 = 2^4$
- 3)  $\log_2 2^4 = 4 = 2^2$
- 4)  $\log_2 2^2 = 2 = 2^1$
- 5)

$$\log^* 2^{65536} = 5$$

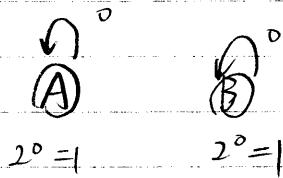
$2 \times |E|$  find operation  
 $= O(|E| \cdot \log^* |V|) \approx O(S|E|)$

↑ practical size

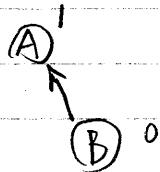
2018.3.27 Lecture 16 5.1 Minimum spanning tree

★ proof by induction

base  $r=0$



base  $r=1$



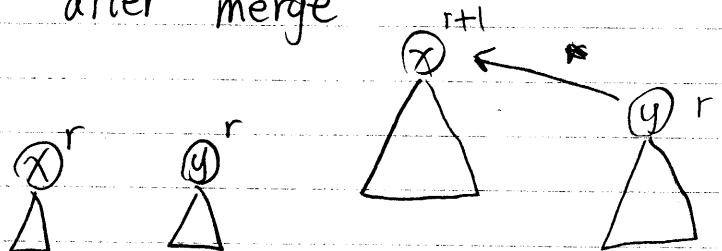
$\geq 2^1 = 2$   
node in that component

Induction: 2 rank  $r$  node

$x, y$   
 $|Cx| \geq 2^r$

$|Cy| \geq 2^r$

after merge



$$\geq 2^r$$

$$\geq 2^r$$

$$\geq 2^r + 2^r \geq 2^{r+1}$$

$n \geq 2^r$  rank of final component

$$\log(n) \geq r$$

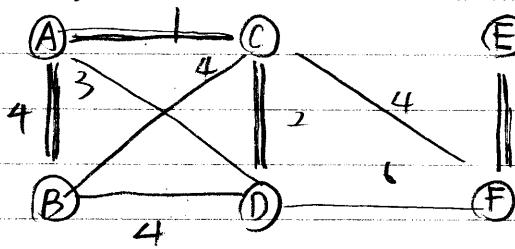
$\Rightarrow$  largest rank to  $\log n = \log |V|$

Trick: path compression

2018. 3. 27

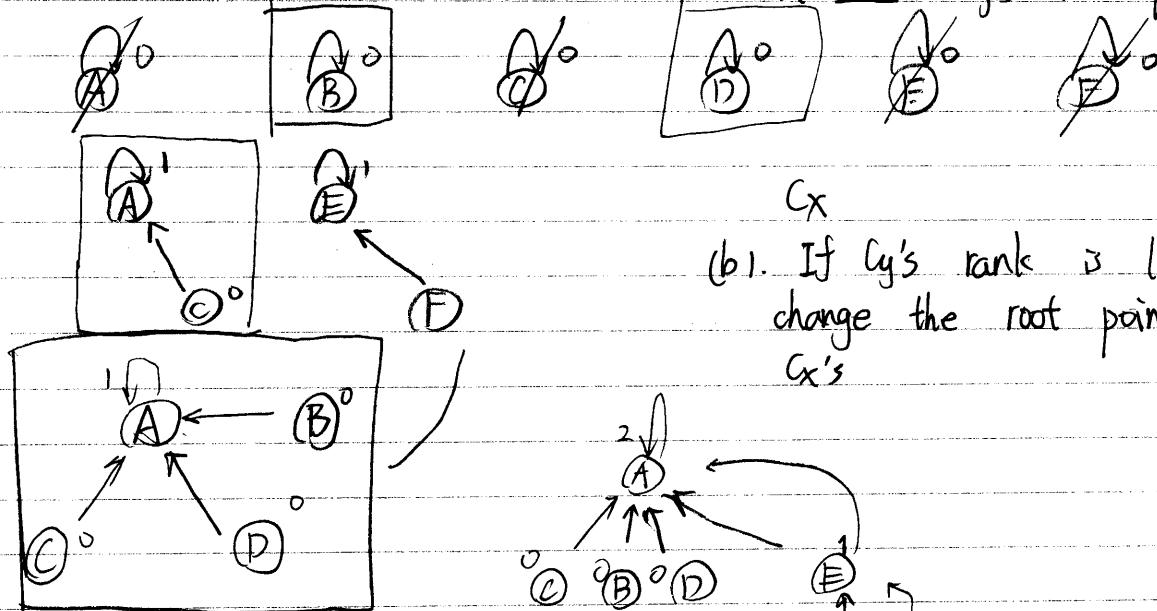
## Lecture 16

### 5.1 Minimum spanning tree



union: only look at roots of the two components

- (a). If  $C_x$  &  $C_y$ 's roots have the same rank, increase  $C_x$ 's rank  
&  $C_y$ 's root point to



$C_x$

- (b). If  $C_y$ 's rank is lower, just change the root pointer to  $C_x$ 's

$\text{find}(x)$ :

while ( $x \neq \pi(x)$ )

cost of  $\text{find}(x)$ ?

$x = \pi(x)$

$O(\log |V|)$

return  $x$

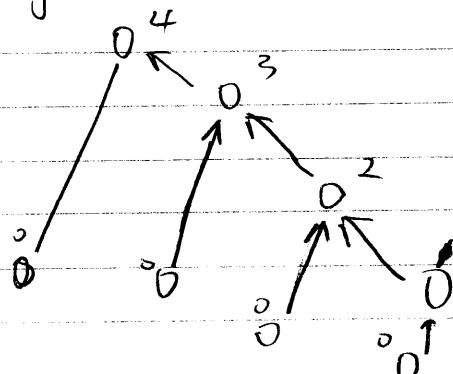
rank of a component determine the running time

$\text{find}(x) = O(r)$  time

where  $r$  is the rank of  $C_x$

(at most  $r$  steps for finding  $(x)$ )

goal: show that  $r = O(\log |V|)$



Observation: If rank of a component is  $r$  then, there are at least  $2^r$  nodes in that component.

## 2018.3.27 Lecture 16 5.1 Minimum Spanning tree.

MST: Minimum Spanning tree

Kruskal's Algorithm

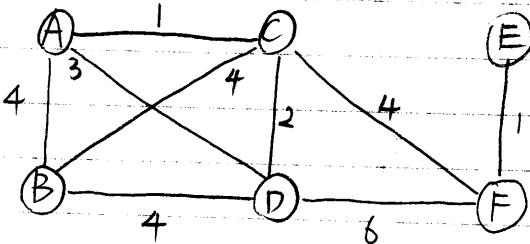
1. sort the edge in increasing order
2. add edge as long as there are no cycle in the partial MST

$O(|E| \cdot |V|)$

union-find data structure

Kruskal's maintain a set of connected components

partial tree,  
forest  $\leftarrow$  MST



$O(|E| \log |E|)$  1) Sort the edge in increase order

2). Create the union-find data structure on V

$O(M) \left\{ \begin{array}{l} \text{rank of } A^0 \\ \text{rank of } B^0 \\ \text{rank of } C^0 \\ \text{rank of } D^0 \\ \text{rank of } E^0 \\ \text{rank of } F^0 \end{array} \right. \text{ the node}$

$\pi(x)$ : parent pointer for  $x$

$r(x)$ : rank of  $x$

use rank to calculate the tree

$x$  is a root iff  $x = \pi(x)$

3). For each edge  $e = (x, y)$ : in sorted order

if  $\text{find}(x) \neq \text{find}(y)$ :  $\left\{ \rightarrow \text{looking at roots, } x, y \text{ belong to different components} \right.$

$C_{xy} = \text{union}(C_x, C_y)$

remove  $C_x, C_y$

$\cdot$  proportional to the height of tree

$\cdot$  make short tree point to high tree

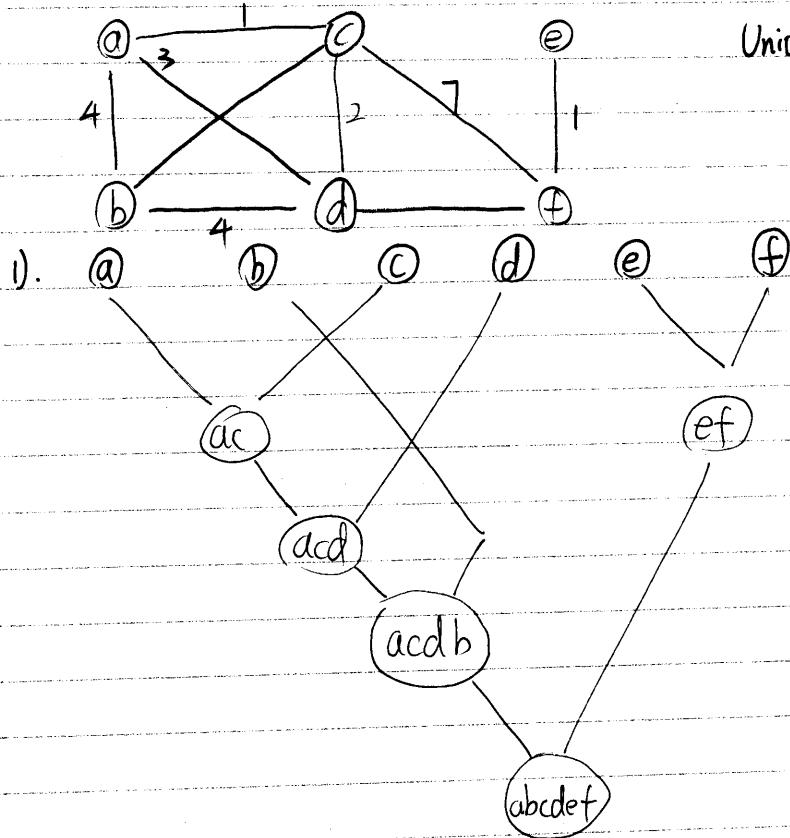
$O(1)$

total:  $O(|E| \log |V|)$

$\rightarrow O(|E| \log |V|)$

$\rightarrow O(5|E|)$

## 2017.3.23 Lecture 15 5.1 Minimum spanning tree



Union-find data structure

cycle can only be with a component

a-d

find operation: are they part of the same component?

$O(\log |V|)$  time

union operation:  $O(1)$  merge 2 component into 1

$E \cdot \log |V| + O(|E| \cdot \log |V|)$

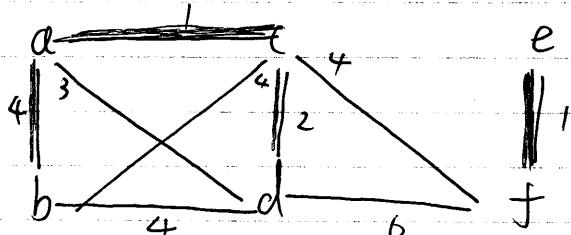
sort for each edge find operation

$O(|E| \cdot \log |V|) \leftarrow$  Kruskal's time

2017-3-23 Lecture 15 5.1 Minimum Spanning tree.

Kruskal's algorithm

Maintain a "forest" [Pick set of edge in increasing order of weights  
current "tree" can be disconnected, but there are no cycles]



a). Sort:

ac	ef	cd	ad	ab	bc	bd	cf	df
1	1	2	3	4	4	4	4	6
✓	✓	✓	✗	✓	✗	✗	✓	

b). keeping an adding ~~order~~ edges in sorted order

1). check for cycle each time ] DFS on the forest  
in the forest

2). stop where we have a single tree & all vertices have been checked

Step a: sorting  $O(|E| \log |E|)$   $|E| = O(|V|^2)$

$$= O(|E| \log |V|) \quad \log |E| = \log |V|^2$$

Step b(1):  $O(|E|) \leftarrow$  outer for loop  $= 2 \log |V|$

$O(|E| \cdot |V|)$  { → detect cycle for each edge  
in the forest  
DFS:  $O(|V| + |E'|)$   
 $= O(|V| + |V|)$   
 $= O(|V|)$

final MST has exactly  
 $|V|-1$  edge &  $|V|$  vertices

Cost:  $|E| \log |V| + |E| \cdot |V|$

Correctness: cut property [given a cut that respects the forest, adding the least edge that crosses the cut, always lead to some MST]

2018. 3. 23. Lecture 15 5.1 Minimum spanning tree.

proof: Given  $G$ , and given a partial MST,  $T$

cut -

property

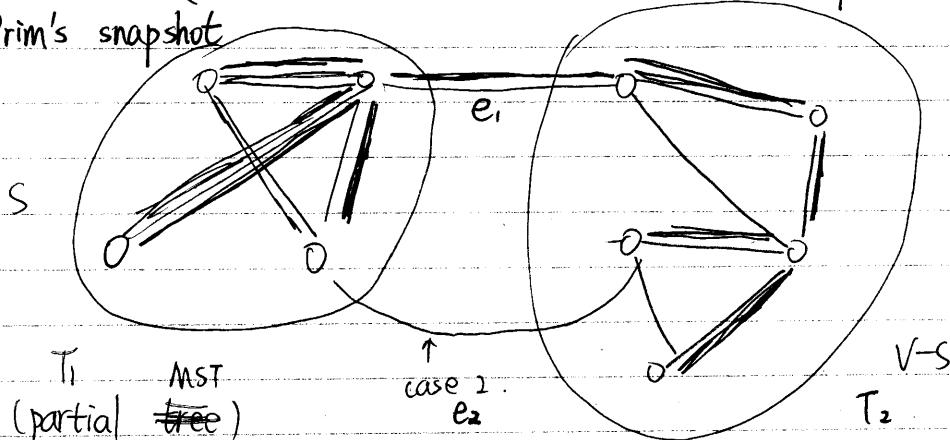
{ select a cut that respects  $T$

pick the smallest weighted  $e$  and add to  $T$

$$T' = T \cup \{e\}$$

we will show that  $T'$  is part of some MST

Prim's snapshot



Assume  $w(e_1) \leq w(e_2)$

Case 1: there is only one edge cross the ~~tree~~ cut

$e_1$  is the only one, the smallest as well,  $e_1$  has to be selected

Case 2:

Two trees connected by  $e_2$ , final MST

If there are ~~too~~ more edges crossing the ~~tree~~ cut

show that selecting the least cost

cost of ~~that~~  $T$ :  $\text{cost}(T_1) + \text{cost}(T_2) + w(e_2)$

$\exists T'$  that includes  $e_1$

$$T' = T_1 \cup \{e_1\} \cup T_2$$

$$\text{cost}(T') = \text{cost}(T_1) + \text{cost}(T_2) + w(e_1)$$

$$\text{cost}(T') \leq \text{cost}(T) \text{ because } w(e_1) \leq w(e_2)$$

But  $T$  is a MST, So it least cost

$$\text{cost}(T') = \text{cost}(T)$$

2018.3.23 Lecture 15

## 5.1 Minimum spanning tree

while  $PQ$  is not empty

$u = \text{deletemin}(PQ)$

for all neighbors  $x$  of  $u$

if  $\text{cost}(x) > \cancel{\text{cost}(u)}$   $\text{cost}(u, x)$

$\text{cost}(x) = w(u, x)$

$\text{decreasekey}(x, \text{cost}(x))$

$O(|V|)$  for  $\text{deletemin}$  | binary tree  $PQ$

$O(|E|)$  decrease key

$PQ:$

A - 0

\* -  $\infty$



B - 4

~~C~~

D -  $\infty$

\* -  $\infty$



B - 4

D - 2

F - 4

\* -  $\infty$

Why does greedy work for MST

cut - property

If we have a cut, then we can always choose the smallest edge that cross the cut.

G. graph

$S \subseteq V$ : subset of vertices

$(S, V-S)$  is a ~~set~~ cut (or 2-way partition) of  $G$

$S$

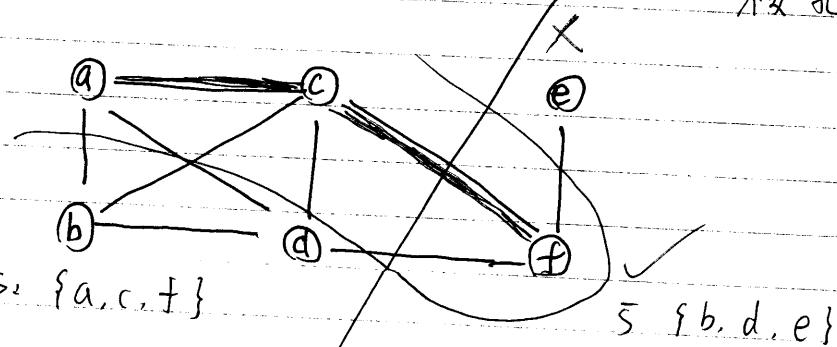
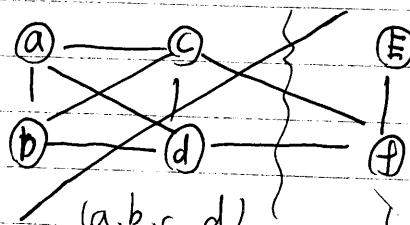
$\bar{S}$

subset complement

cut  $(S, V-S)$

~~represents~~  $T = (V, E')$

iff no edge in  $E'$  spans or cross from  $S$  to  $V-S$  or vice-verse  
不要把 MST 切断



## 2018.3.23 Lecture 15 5.1 Minimum Spanning tree

MST: Minimum spanning tree

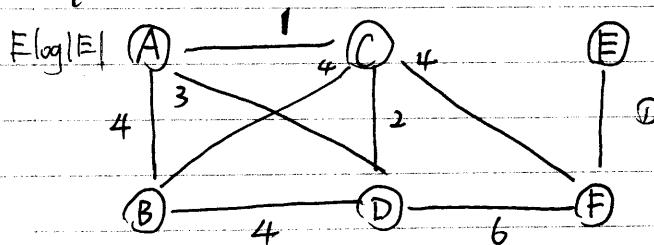
Given  $G = (V, E)$  with weights

Select a min cost tree,  $T = (V, E')$   $E' \subseteq E$ .

$$\text{cost}(T) = \sum_{e \in E'} w(e)$$

Prim's Algorithm

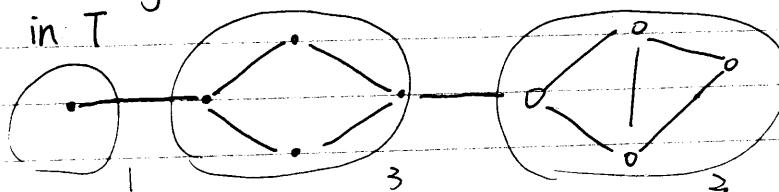
1). Sort edges of  $G$  in increasing order of weight



	AC	EF	CD	AD	AB, BD	CF	DF
	1	1	2	3	4	4	6

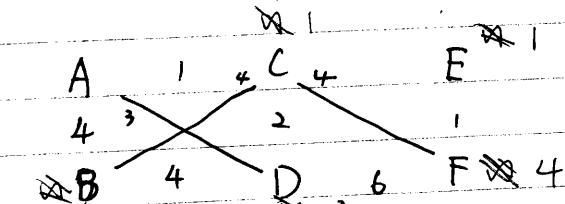
create cycle

2). Add edges in sorted order if exactly one end point is in  $T$



Prim's invariant:

The correct partial MST is always connected



Pick a start vertex  $u_0$

$\text{cost}(u_0) = 0$ , cost of all other vertex =  $\infty$

Insert all vertices into a PQ

4.

a). Sort topologically  $v_1, v_2 \dots v_n$   
for  $i$  is  $n$  down to 1

$$r(v_i) = w(v_i)$$

for  $(v_i, u) \in E$ ,

if  $r(u) > r(v_i)$

$$r(v_i) = r(u)$$



b). Turn G into DAG of SCCs

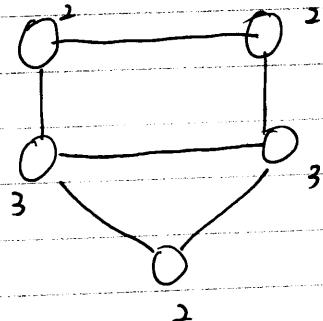
## hw 5 Review

2/

(b). Matrix  $\rightarrow O(|V|^2)$

For all vertices

3. i) DFS / BFS on G to find all degree  $\rightarrow O(|E| + |V|)$



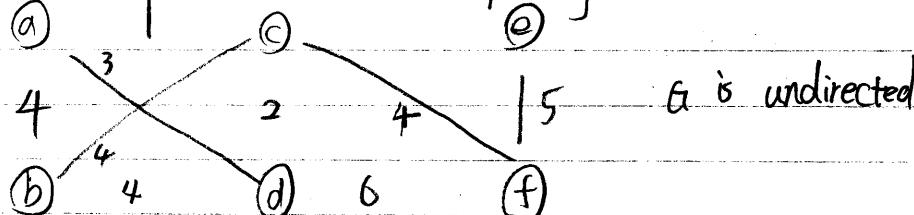
ii) For  $v \in V$

for  $u$  adjacent to  $v$ :  
add 1 to  $v$ 's node

$$\Rightarrow O(|V| + |E|)$$

## Lecture 14

2018.3.20 Chapter 5.1 Minimum spanning trees



- 1). ac, cd, ad, ab, bc, bd, cf, ef, df  
 $\begin{matrix} 1 & 2 & 3 & 4 & 4 & 4 & 4 & 5 & 6 \end{matrix}$

2).  $T$

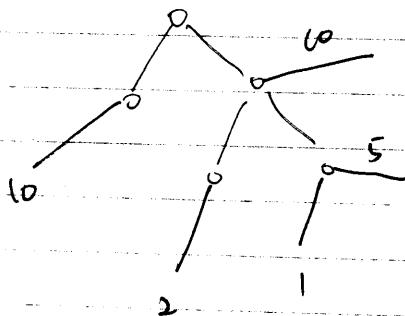
- $\{a, e\}$
- $\{a, c, d\}$
- $\{a, c, d, b\}$
- $\{a, c, d, b, f\}$
- $\{a, c, d, b, f, e\}$

$$\text{cost}(T) = 16$$

1). sort the edge

2).  $T = \{\text{smallest edge}\}$

Iteratively add the least weight edge with one end point not in tree



Greedy: add the least cost edge

# Chapter 5 Greedy Algorithm

2018.3.20

## 5.1 Minimum spanning tree.

### 1 Greedy Algorithm

↓ "local" information

→ pick the best available option

Build up solution piece by piece, always choose next piece that

Minimum ~~tree~~ spanning tree

offers most obvious and immediate benefit

Input  $G = (V, E)$ , with weights

Output: 1) Tree

2). spanning tree

span all the vertex

$T = (V, E')$

$$T \subseteq G$$



subgraph

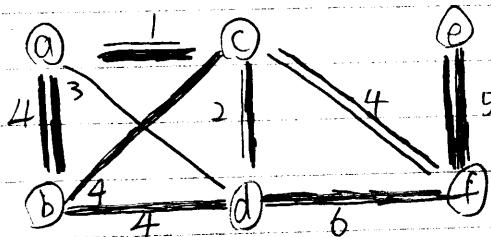
spanning tree : set of vertices in  $T$  includes all vertices in  $G$

$$E' \subseteq E$$

3). cost of  $T = (V, E')$

$$\text{cost}(T) = \sum_{e \in E'} w(e)$$

find a tree  $T$  with smallest positive cost ( $T$ )



$T_1$  is a spanning tree

$$\text{cost}(T_1) = 23$$

$T_2$  is a spanning tree

$$\text{cost}(T_2) = 16$$

2. Prim's Algorithm (similar to Dijkstra's)

1) sort the edge in increasing order  $O(|E| \log |E|)$

2).  $T = \{ \text{1st edge in tree} \}$

for each edge in sorted order  $\leftarrow |E|$

add edge to  $T$  if one end point is in  $T$  & we do not create a cycle  $O(1)$  time

add if

and only

if one end

point is in  $T$

$$O(|E| \log |E| + |E|)$$

2018.3.20 Lecture 14 4.6 Shortest paths in the presence of negative edges ✓  
between  any each  source

choose min cost path exponential time method

how to detect cycle (negative)

run bellman Ford one more iteration, if we still find smaller  
path, so we can proof it negative cycle.  
 $O(|V| \cdot |E|)$

# Lecture 14

2018. 3. 20

## 4.6 Shortest paths in presence of negative edges

### 3. Bellman - Ford methods.

update the weights of all vertices in each iteration  
for vertex  $u \in V$

for all neighbours  $y$  of  $u$ :  
update weight:

$$\text{cost}(u) + w(u, y) \leq \text{cost}(y)$$

$$\text{cost}(y) = \text{cost}(u) + w(u, y)$$

$$x \rightarrow 0 \rightarrow 0 \rightarrow \dots \rightarrow 0$$

max # of edges in any path from  $x$   $\leq |V| - 1$

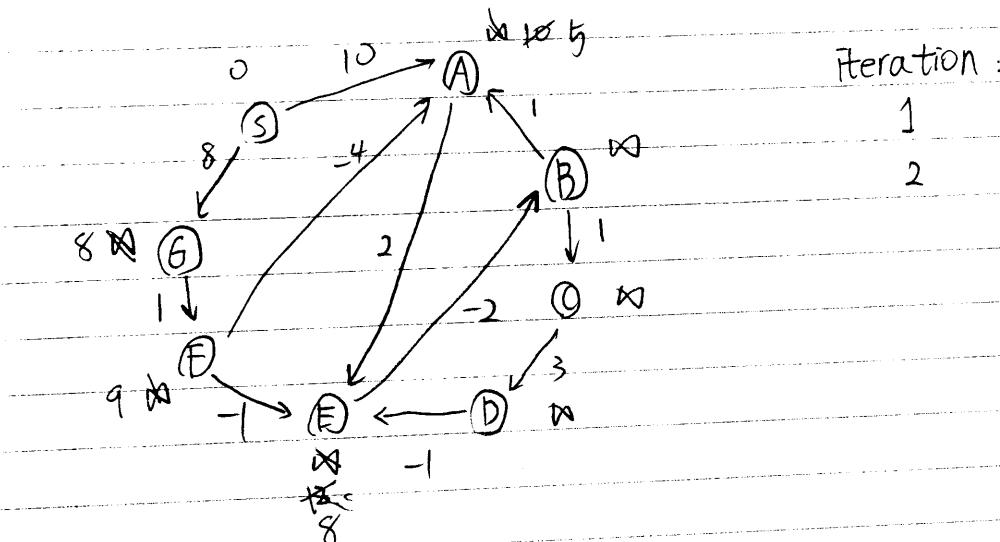
exactly  $|V| - 1$  iteration

In each iteration, we update all ~~all~~ cost

$$\text{total cost: } (|V| - 1) \times (|V| + |E|)$$

$$= O(|V|^2 + |V| \cdot |E|)$$

$$O(|V| \cdot |E|) \rightarrow \begin{array}{l} \text{sparse} \\ \downarrow \text{dense} \end{array} \quad O(|V|^2) \quad O(|V|^3)$$



- 1) ~~disallowed~~ negative cycles
- 2) shortest cost is ill-defined  
how to detect this

If we restrict to "paths" Bellman Ford will not work

- 1) find all possible paths