

# WebSphere Application Server Troubleshooting and Performance Lab

## WebSphere Performance and Troubleshooting Lab

- Author: Kevin Grigorenko
- Version: V19 (March 20, 2023)
- Source: <https://github.com/IBM/webspherelab>

## Table of Contents

- Introduction
- Core Concepts
- Installation
  - With podman
  - With Docker Desktop
- Starting the lab
  - Start with podman
  - Start with Docker Desktop
- Linux CPU and Memory Usage
  - liperf Theory
  - liperf Lab
- IBM Java and OpenJ9 Thread Dumps
  - Thread Dumps Theory
  - Thread Dumps Lab
- Garbage Collection
  - Garbage Collection Theory
  - Garbage Collection Lab
- Methodology
  - The Scientific Method
  - Organizing an Investigation
  - Performance Tuning Tips
- Heap Dumps
  - Heap Dump Theory
  - Heap Dump Lab
- Health Center
  - Health Center Theory
  - Health Center Lab
- Crashes
  - Crashes Theory
  - Crash Lab
- Native Memory Leaks
  - Native Memory Theory
  - Native Memory Leak Lab

- WebSphere Liberty
  - Liberty Bikes
  - Server Configuration (server.xml)
  - Java Arguments
  - Liberty Log Files
  - Admin Center
  - Request Timing
  - HTTP NCSA Access Log
  - MXBean Monitoring
  - Server Dumps
  - Event Logging
  - Diagnostic Trace
  - Binary Logging
  - Liberty Timed Operations
  - MicroServices
- WAS traditional
  - Diagnostic Plans
- IBM HTTP Server
- Appendix
  - Windows Remote Desktop Client
  - Manually accessing/testing Liberty and tWAS

## Introduction

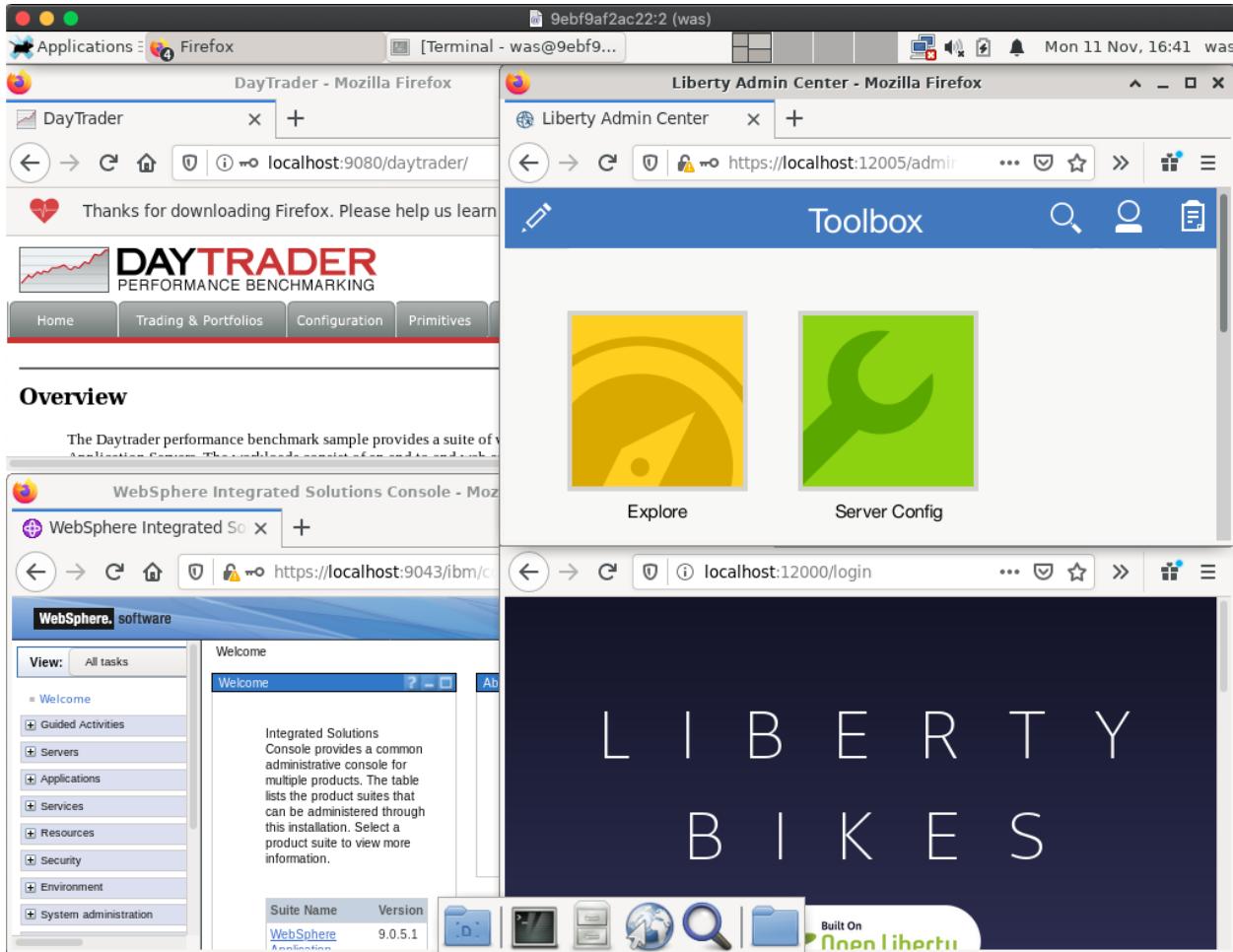
IBM® WebSphere® Application Server (WAS) is a platform for serving Java™-based applications. WAS comes in two major product forms:

1. WAS traditional (colloquially, tWAS): Released in 1998 and still fully supported and used by many.
2. WebSphere Liberty: Released in 2012 and designed for fast startup, composability, and the cloud. The commercial WebSphere Liberty product is built on top of the open source OpenLiberty. The colloquial term ‘Liberty’ may refer to WebSphere Liberty, OpenLiberty, or both.

WAS traditional and Liberty share some source code but differ in significant ways.

## Lab Screenshots





## Lab

### What's in the lab?

This lab covers the major tools and techniques for troubleshooting and performance tuning for both WAS traditional and WebSphere Liberty, in addition to specific tools for each. There is significant overlap because a lot of troubleshooting and tuning occurs at the operating system and Java levels, largely independent of WAS.

This lab container image come with WAS traditional and WebSphere Liberty pre-installed so installation and configuration steps are skipped.

The way we are using these container images is to run multiple services in the same container (e.g. VNC, Remote Desktop, WAS traditional, WebSphere Liberty, a full GUI server, etc.) and although this approach is valid and supported, it is generally not recommended for real-world application deployment usage. For labs that demonstrate how to use WAS in production, see WebSphere Application Server and Docker Tutorials.

## Operating System

This lab is built on top of Linux (specifically, Fedora Linux, which is the open source foundation of RHEL/CentOS). The concepts and techniques apply generally to other supported operating systems although details of other operating systems may vary significantly and are covered elsewhere.

## **Java**

WAS traditional ships with a packaged IBM Java 8 on Linux, AIX, Windows, z/OS, and IBM i.

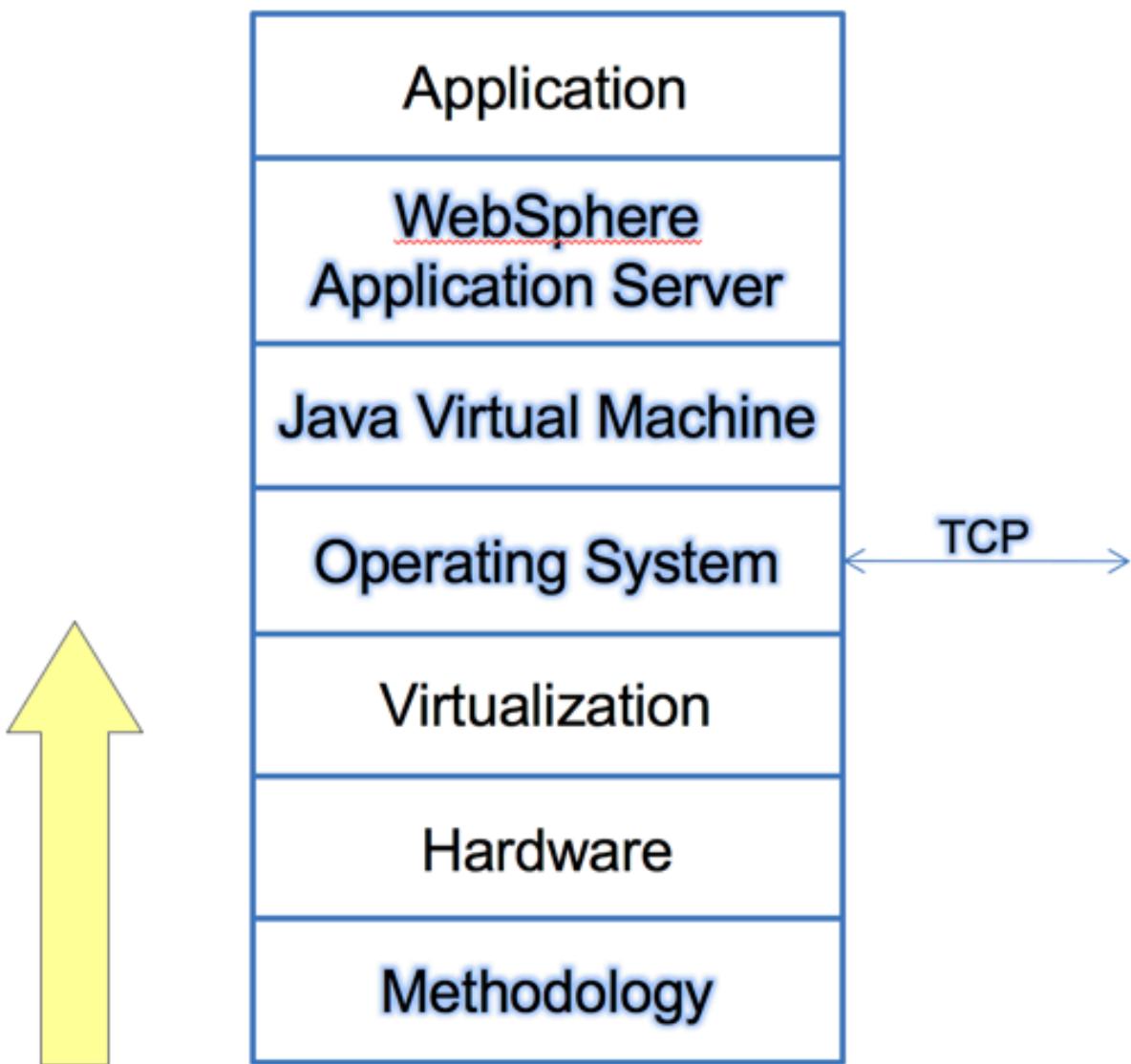
WebSphere Liberty supports any Java 8, 11, or 17 edition.

This lab uses IBM Java 8 for both WAS traditional and WebSphere Liberty. The concepts and techniques apply generally to other Java runtimes although details of other Java runtimes (e.g. HotSpot) vary significantly and are covered elsewhere.

The IBM Java virtual machine (named J9) has become largely open sourced into the OpenJ9 project. OpenJ9 ships with OpenJDK through the IBM Semeru offering. OpenJDK is somewhat different than the JDK that IBM Java uses. WebSphere Liberty supports running with newer versions of OpenJDK+OpenJ9, although some IBM Java tooling such as HealthCenter is not yet available in OpenJ9, so the focus of this lab continues to be IBM Java 8.

## **Core Concepts**

Problem determination and performance tuning are best done with all layers of the stack in mind. This lab will focus on the layers in bold below:



## Lab environment

### Installation

The lab image is about 20GB. If you plan to run this in a classroom setting, perform the installation steps beforehand which includes downloading the image.

This lab assumes the installation and use of `podman` or Docker Desktop to run the lab. Choose one or the other:

- Installing `podman`
- Installing Docker Desktop

### Installing podman

If you are using `podman` instead of Docker Desktop, perform the following steps to install `podman` and then perform the `podman` post-installation steps. If you are using Docker Desktop, skip down to Installing Docker

Desktop.

`podman` installation instructions:

- Windows: <https://podman.io/getting-started/installation#windows>
- macOS: <https://podman.io/getting-started/installation#macos>
- For a Linux host, simply install `podman`

### **podman post-installation steps**

#### 1. On macOS and Windows:

1. Create the `podman` virtual machine with sufficient memory (at least 4GB and, ideally, at least 8GB), CPU, and disk. For example (memory is in MB): `podman machine init --memory 10240 --cpus 4 --disk-size 100` If you already have a `podman` machine and you'd like to resize it to make it bigger, it's usually simplest to just delete it using `podman machine rm` and then re-create it using the above command.
2. Start the `podman` virtual machine: `podman machine start`
3. Switch to a “root” `podman`: `podman system connection default podman-machine-default-root`
4. Run the following command to allow producing core dumps within the container: `podman machine ssh "sh -c 'mkdir -p /etc/sysctl.d/; ln -sf /dev/null /etc/sysctl.d/50-coredump.conf && sysctl -w kernel.core_pattern=core'"`

#### 2. Download the image:

```
podman pull quay.io/ibm/webspherelab
```

This command may not show any output for a long time while the download completes.

The following section on Docker Desktop should be skipped since you are using `podman`. The next section for `podman` is Start with `podman`.

### **Installing Docker Desktop**

If you are using Docker Desktop instead of `podman`, perform the following steps to install Docker Desktop and then perform the Docker Desktop post-installation steps:

- Windows (“Requires Microsoft Windows 10 Professional or Enterprise 64-bit.”)
  - Download: <https://hub.docker.com/editions/community/docker-ce-desktop-windows>
  - For details, see <https://docs.docker.com/desktop/windows/install/>
- macOS (“must be version 10.15 or newer”)
  - Download: <https://hub.docker.com/editions/community/docker-ce-desktop-mac>
  - For details, see <https://docs.docker.com/desktop/mac/install/>
- For a Linux host, simply install and start Docker (e.g. `sudo systemctl start docker`):
  - For an example, see <https://docs.docker.com/engine/install/fedora/>

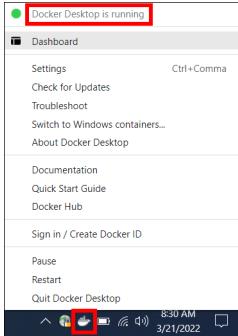
### **Docker Desktop post-installation steps**

#### 1. Ensure that Docker is started. For example, start Docker Desktop and ensure it is running:

macOS:



Windows:

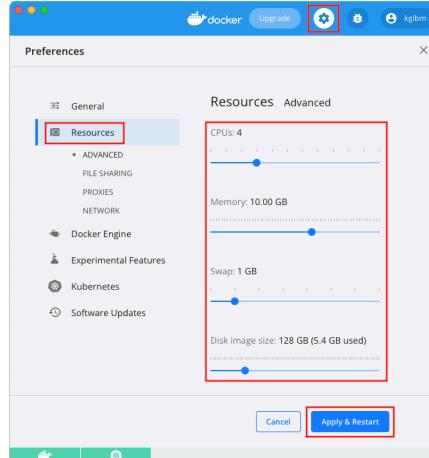


2. Ensure that Docker receives sufficient resources, particularly memory (at least 4GB and, ideally, at least 8GB), CPU, and disk:

1. macOS:

1. Click the Docker Desktop icon and select **Dashboard**
2. Click the **Settings** gear icon in the top right, then click **Resources** on the left.
3. Configure sufficient memory (at least 4GB and, ideally, at least 8GB), CPU, and disk.
4. Click **Apply & Restart**

macOS:



2. Windows uses the WSL2 backend which defaults to 50% of RAM or 8GB, whichever is less, and the same number of CPUs as the host. This may be overridden with a %UserProfile%\wslconfig file with, for example:

```
[ws12]
memory=10GB
```

```
processors=4
```

3. Open a terminal or command prompt and download the image: `docker pull quay.io/ibm/webspherelab`

## Start the container

Depending on whether you installed `podman` or Docker Desktop, start the container:

- Start with `podman`
- Start with Docker Desktop

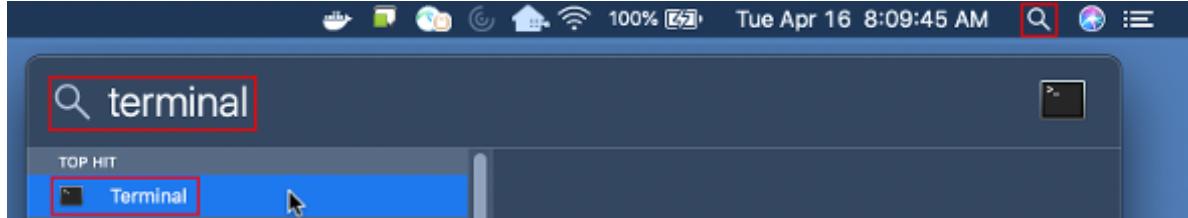
### Start with `podman`

The following section is the start of the lab. If you were only preparing for the lab by installing and downloading the lab before the lab begins, then you may stop at this point until the instructor provides further direction.

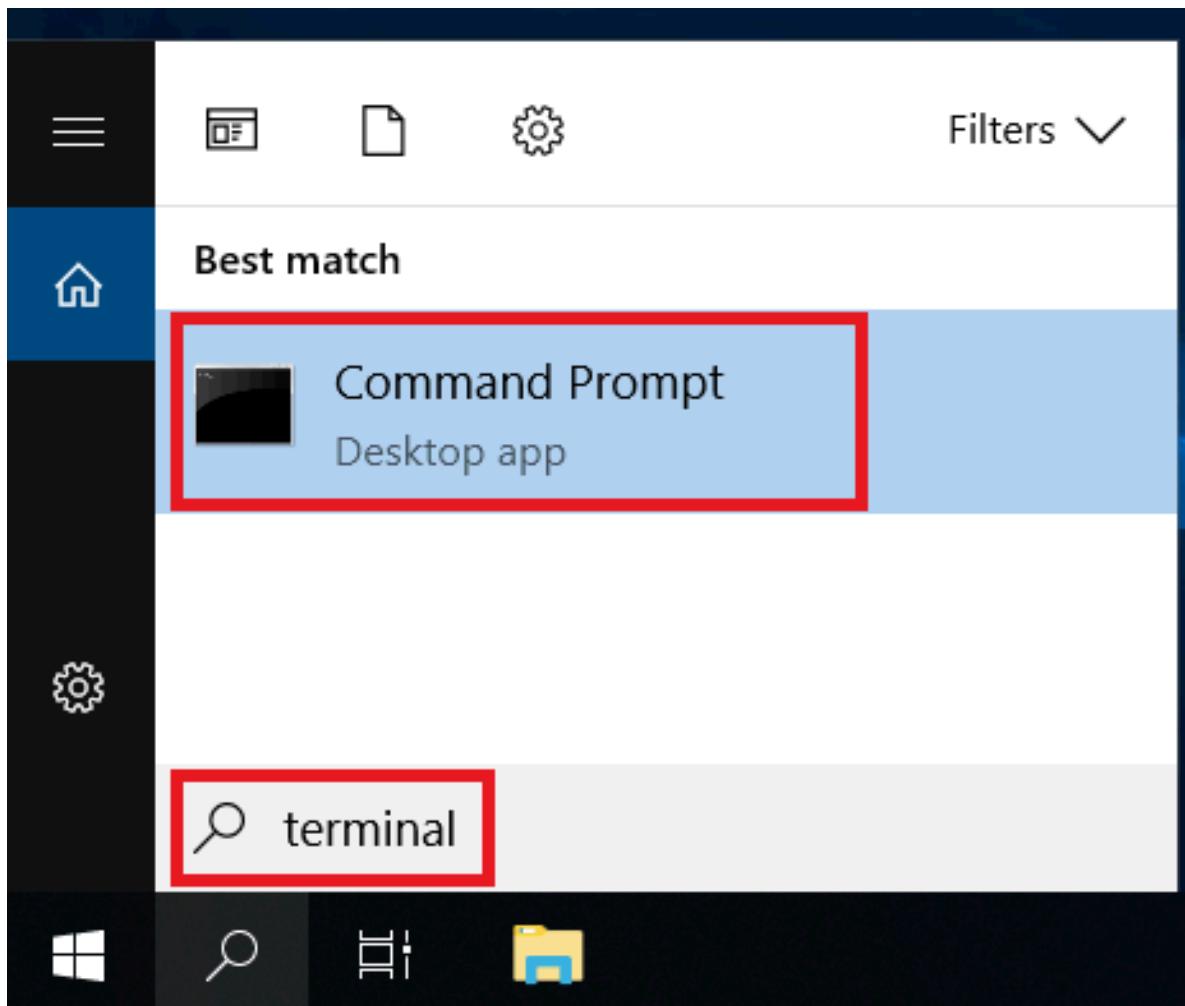
If you are using `podman` for this lab instead of Docker Desktop, then perform the following steps. If you are using Docker Desktop, skip down to Start with Docker Desktop.

1. Open a terminal or command prompt:

macOS:



Windows:



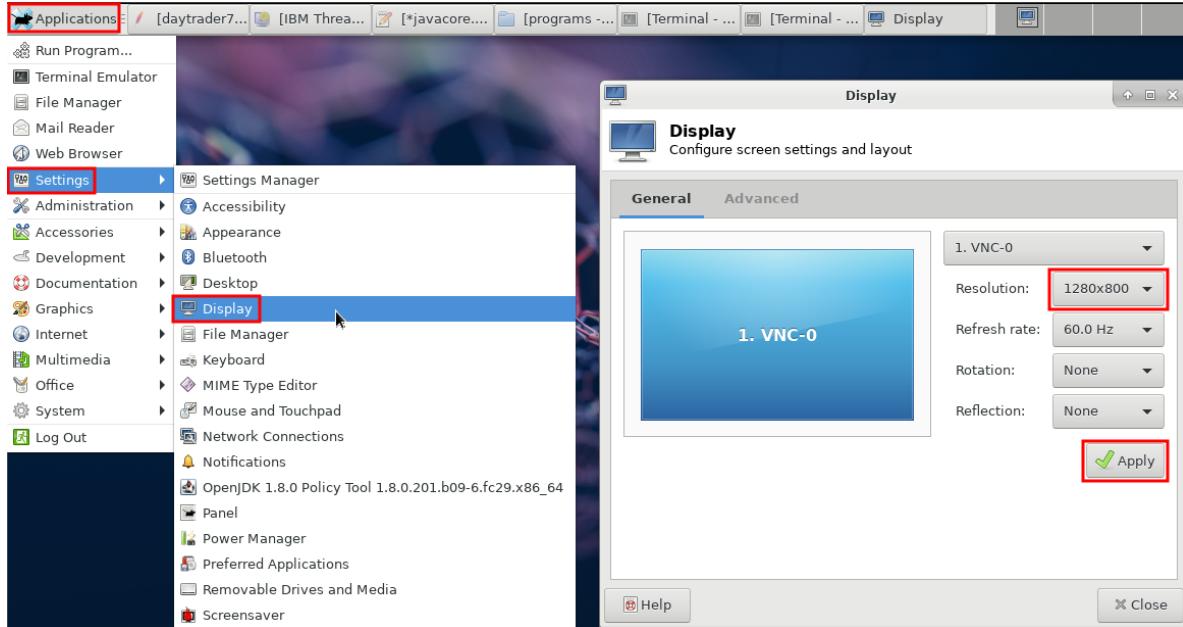
2. Start the lab: `podman run --privileged --rm -p 5901:5901 -p 5902:5902 -p 3390:3389 -it quay.io/ibm/webspherelab`
3. Wait about 2 minutes until you see the following in the output (if not seen, review any errors):

```
=====
= READY =
=====
```

4. VNC or Remote Desktop into the container:

1. macOS built-in VNC client:
  1. Open another tab in the terminal and run:
    1. `open vnc://localhost:5902`
    2. Password: **websphere**
  2. Linux VNC client:
    1. Open another tab in the terminal and run:
      1. `vncviewer localhost:5902`
        - You may need to install `vncviewer` first.
      2. Password: **websphere**

3. Windows Remote Desktop client:
  - i. Windows requires a few steps to make Remote Desktop work with a Docker container. See Appendix: Windows Remote Desktop Client for instructions.
  
4. Windows 3rd party VNC client:
  - i. If you are able to install and use a 3rd party VNC client (there are a few free options online), then connect to **localhost** on port **5902** with password **websphere**.
  
5. When using VNC, you may change the display resolution from within the container and the VNC client will automatically adapt. For example:



The following section on Docker Desktop should be skipped since you are using `podman`. The next section for `podman` is Apache JMeter.

## Start with Docker Desktop

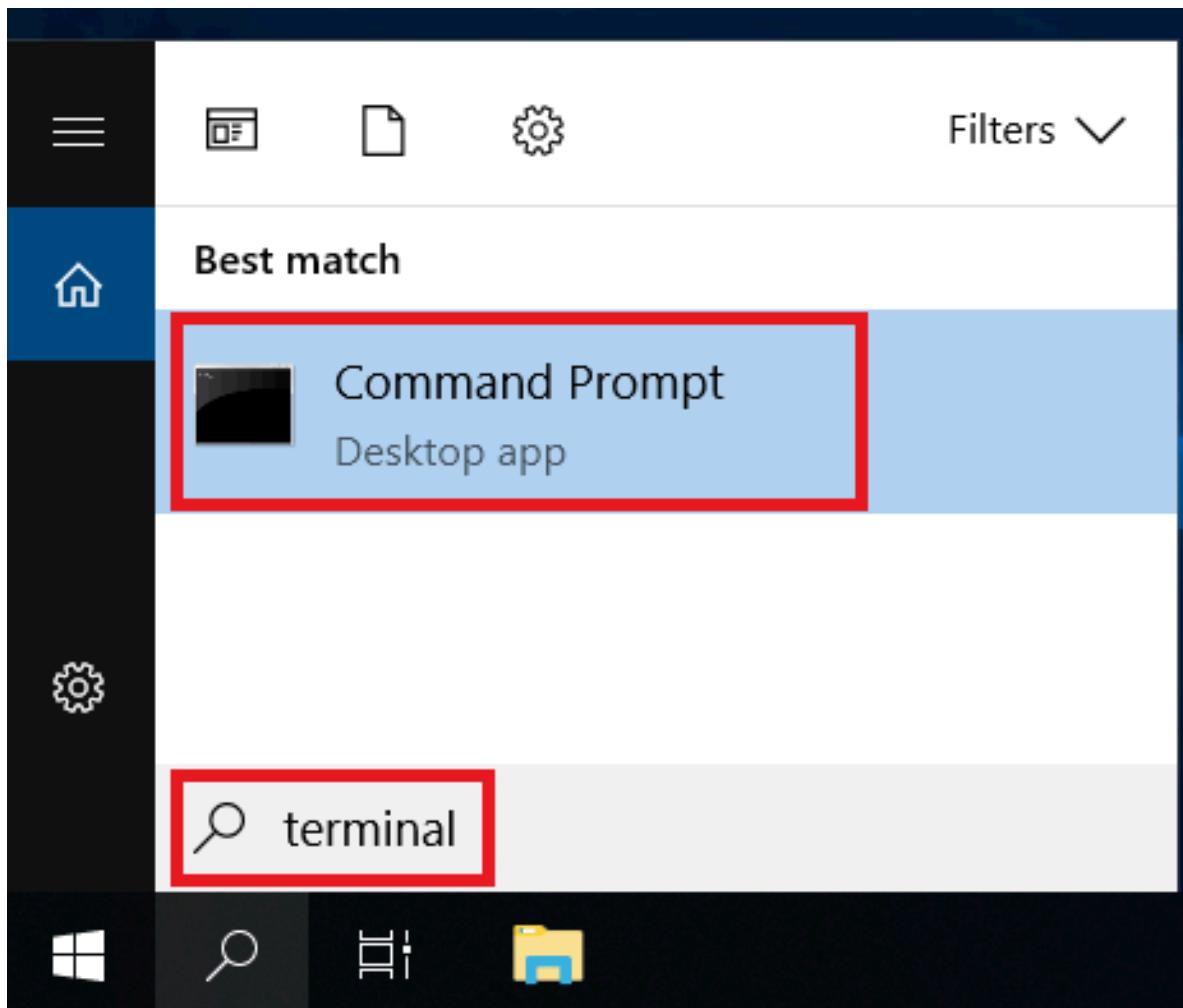
The following section is the start of the lab. If you were only preparing for the lab by installing and downloading the lab before the lab begins, then you may stop at this point until the instructor provides further direction.

If you are using Docker Desktop for this lab instead of `podman`:

1. Open a terminal or command prompt:



Windows:



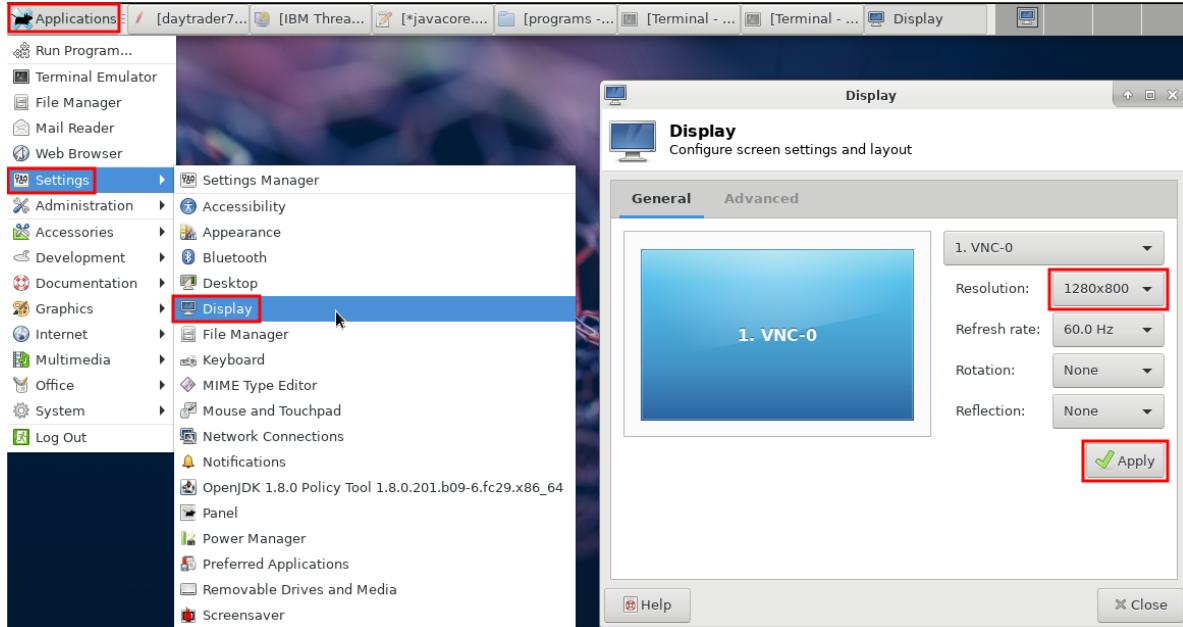
2. Start the lab by starting the Docker container from the command line: `docker run --privileged --rm -p 5901:5901 -p 5902:5902 -p 3390:3389 -it quay.io/ibm/webspherelab`
3. Wait about 2 minutes until you see the following in the output (if not seen, review any errors):

```
=====
= READY =
=====
```

4. VNC or Remote Desktop into the container:

1. macOS built-in VNC client:
  1. Open another tab in the terminal and run:
    1. `open vnc://localhost:5902`
    2. Password: **websphere**
  2. Linux VNC client:
    1. Open another tab in the terminal and run:
      1. `vncviewer localhost:5902`
        - You may need to install `vncviewer` first.
      2. Password: **websphere**

3. Windows Remote Desktop client:
  - i. Windows requires a few steps to make Remote Desktop work with a Docker container. See Appendix: Windows Remote Desktop Client for instructions.
  
4. Windows 3rd party VNC client:
  - i. If you are able to install and use a 3rd party VNC client (there are a few free options online), then connect to **localhost** on port **5902** with password **websphere**.
  
5. When using VNC, you may change the display resolution from within the container and the VNC client will automatically adapt. For example:

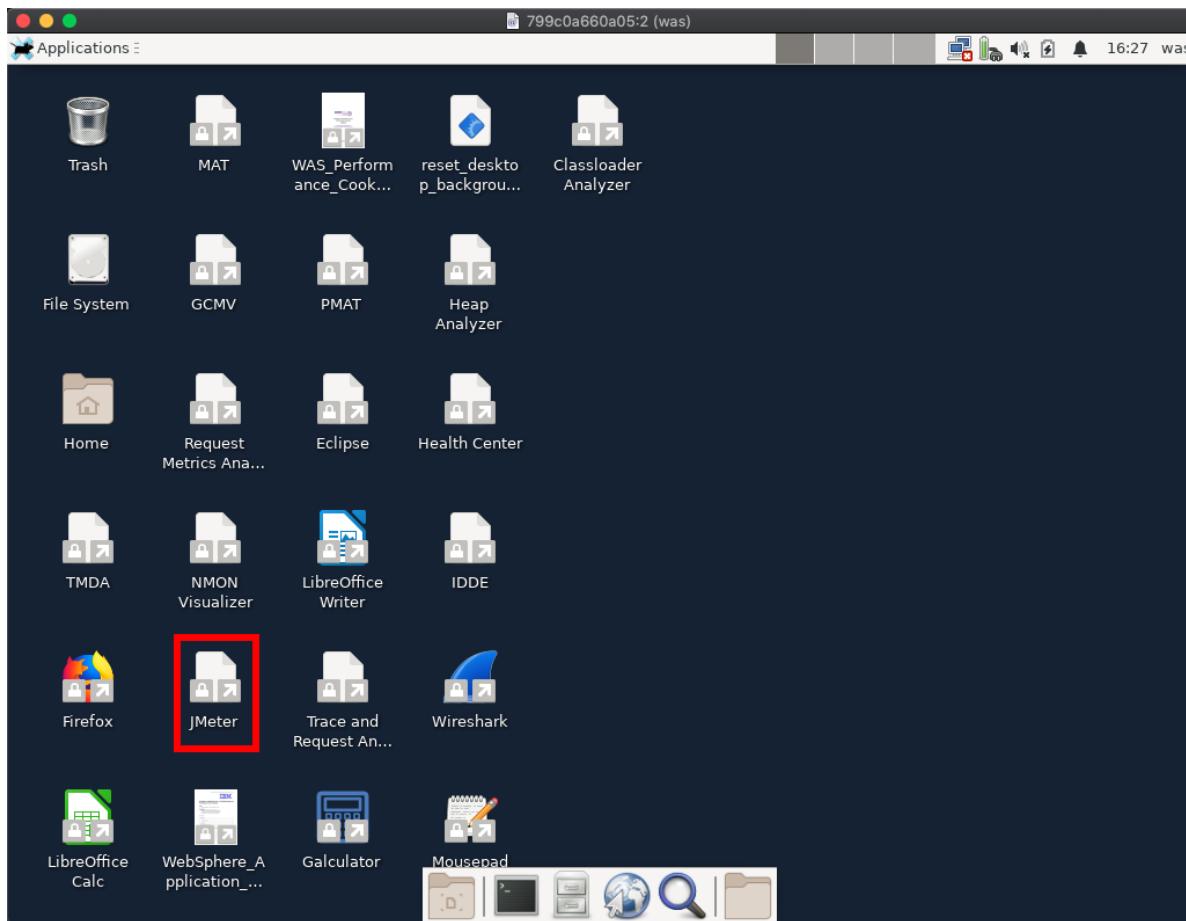


## Apache Jmeter

Apache JMeter is a free tool that drives artificial, concurrent user load on a website. The tool is pre-installed in the lab image and we'll be using it to simulate website traffic to the DayTrader7 sample application pre-installed in the lab image.

### Start JMeter

1. Double click on JMeter on the desktop:



2. Click **File → Open** and select:
  1. If learning Liberty: `/opt/daytrader7/jmeter_files/daytrader7_liberty.jmx`
  2. If learning WAS traditional: `/opt/daytrader7/jmeter_files/daytrader7_twash.jmx`

3. By default, the script will execute 4 concurrent users. You may change this if you want (e.g. based on the number of CPUs available):

The screenshot shows the JMeter interface with a test plan named 'DayTrader7'. On the left, there is a tree view with a 'Thread Group' node selected, indicated by a red box around its icon. The right panel displays the 'Thread Group' configuration. It includes fields for 'Name: Thread Group', 'Comments:', and 'Action to be taken after a Sampler error' (with 'Continue' selected). In the 'Thread Properties' section, the 'Number of Threads (users):' field contains the expression `_${__P(THREADS,4)}`, which is also highlighted with a red box. The 'Ramp-Up Period (in seconds):' field contains the expression `_${__P(RAMP,0)}`.

4. Click the green run button to start the stress test and click the **Aggregate Report** item to see the real-time results.

The screenshot shows the JMeter interface with the 'Aggregate Report' dialog open. The 'Run' button at the top right is highlighted with a red box. The 'TOTAL' row in the report table is also highlighted with a red box around its 'Error %' value of 0.0%.

5. It will take some time for the responses to start coming back and for all of the pages to be exercised.
6. Ensure that the **Error %** value for the **TOTAL** row at the bottom is always 0%.

The screenshot shows the JMeter interface with the 'Aggregate Report' dialog open, displaying detailed test results. The 'TOTAL' row in the report table is highlighted with a red box around its 'Error %' value of 0.0%.

Label	# Sam...	Average	Median	90% Line	95% Line	99% Line	Min	Maximum	Error %	Throu...	Receive...	Sent K...
Quote...	51	16	9	40	55	60	3	73	0.00%	1.1/sec	5.46	0.45
BuyJSF	50	53	36	100	113	388	12	388	0.00%	1.1/sec	6.69	0.73
WS1 o...	178	11	9	19	24	46	4	48	0.00%	3.8/sec	5.19	0.09
Updat...	45	35	21	90	114	176	7	176	0.00%	59.0/min	9.34	0.88
Portfolio...	48	26	18	59	94	102	4	102	0.00%	1.1/sec	9.79	0.44
Regist...	22	10	4	28	49	81	2	81	0.00%	30.3/min	2.66	0.21
Regist...	22	39	26	107	115	116	8	116	0.00%	30.4/min	2.73	0.44
<b>TOTAL</b>	25517	8	3	18	31	76	0	1077	<b>0.00%</b>	463.8/...	4298.81	194.61

1. If there are any errors, review the WAS logs:

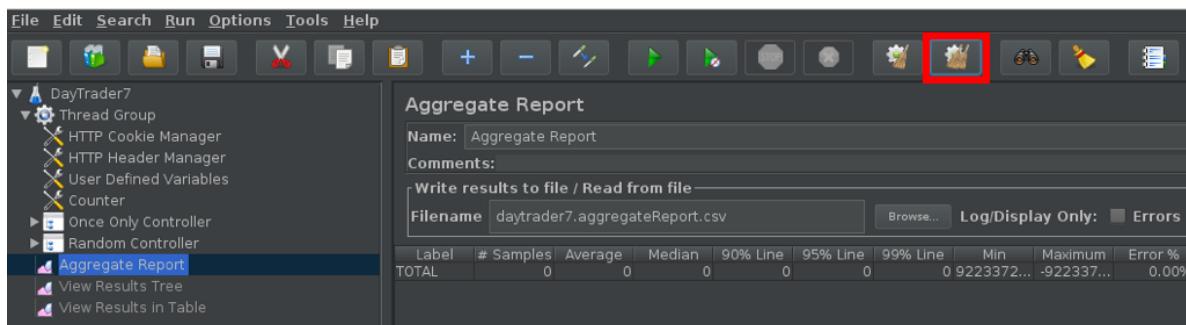
1. If learning Liberty: `/logs/messages.log`
2. If learning WAS traditional: `/opt/IBM/WebSphere/AppServer/profiles/AppSrv01/logs/server1/System.log`

## Stop JMeter

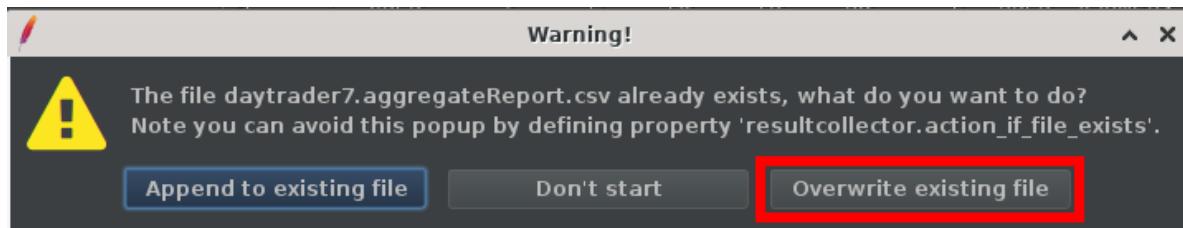
1. You may stop a JMeter test by clicking the STOP button:

The screenshot shows the JMeter interface with the 'Aggregate Report' dialog open. The 'STOP' button at the top right is highlighted with a red box. The 'TOTAL' row in the report table is also highlighted with a red box around its 'Error %' value of 0.0%.

2. You may click the broom button to clear the results in preparation for the next test:



3. If it asks what to do with the JMeter log files from the previous test, you may just click **Overwrite existing file**:



## Basics

First, we'll start with the three basics that should be checked for most problems and performance issues:

1. Operating system CPU and memory usage
2. Thread dumps
3. Garbage Collection

## Linux CPU and Memory Usage

IBM WebSphere Support provides a script called **linperf.sh** as part of the document, “MustGather: Performance, hang, or high CPU issues with WebSphere Application Server on Linux” (similar scripts exist for other operating systems). This script should be pre-installed on all machines where you run WAS and it should be run when you have performance or hang issues and the resulting files should be uploaded if you open such a support case with IBM.

The linperf.sh script is pre-installed in the lab image at **/opt/linperf/linperf.sh**. In this exercise, you will run this script and analyze the output. The script demonstrates key Linux performance tools that are generally useful whether you decide to run this tool or use the commands individually.

### linperf Theory

First, let's discuss what this script does at a high level:

1. The script is executed with a set of process IDs (PIDs) of the suspect WAS processes.
2. The script gathers the output of the **netstat** command. This produces a snapshot of all active TCP and UDP network sockets.
3. The script gathers the output of the **top** command for the duration of the script (default 4 minutes). This produces periodic snapshots of a summary of system resources (CPU, memory, etc.) and the CPU usage details of the top *processes* using CPU.

4. The script gathers the output of the **top -H** command for each specified PID for the duration of the script. This produces periodic snapshots of a summary of system resources and the CPU usage details of the top *threads* using CPU in each PID.
5. The script gathers the output of the **vmstat** command for the duration of the script. This produces periodic snapshots of a summary of system resources. This is similar to the top command.
6. The script periodically requests a thread dump for each specified PID (default every 30 seconds). This produces detailed information on the Java process such as the threads and what they're doing.
7. The script gathers the output of the **ps** command for each specified PID on the same interval as the thread dumps. This produces detailed information on the command line of each PID and other resource utilization details. This is similar to the top command.

## linperf Lab

Now, let's run the script:

Note: You may skip the data collection steps and use example data packaged at /opt/webspherelab/supplemental/examp...

1. Start JMeter
2. Open a terminal on the lab image.
3. First, we'll need to find the PID(s) of WAS. There are a few ways to do this, and you only need to choose one method:
  1. Show all processes (**ps -elf**), search for the process using something unique in its command line (**grep defaultServer**), exclude the search command itself (**grep -v grep**), and then select the fourth column (in bold below):

If learning Liberty (the name is **defaultServer**):

If learning WAS traditional (the name is server1 and we search for DefaultNode01 as well because there are some tail commands in the background that have **server1** in them):

2. Search for the process using something unique in its command line using **pgrep -f**:

If learning Liberty:

If learning WAS traditional:

4. Execute the **linperf.sh** command and pass the PID gathered above (replace 1567 with your PID from the output above):

```
$ /opt/linperf/linperf.sh 1567
Tue Apr 23 19:29:26 UTC 2019 MustGather>> linperf.sh script starting [...]
```

5. Wait for 4 minutes for the script to finish:

6. As mentioned at the end of the script output above, the resulting **linperf\_RESULTS.tar.gz** does not include the thread dumps from WAS. Move them over to the current directory:

If learning Liberty:

```
mv /opt/ibm/wlp/output/defaultServer/javacore.* .
```

If learning WAS traditional:

```
mv /opt/IBM/WebSphere/AppServer/profiles/AppSrv01/javacore.* .
```

7. At this point, if you were creating a support case, you would upload **linperf\_RESULTS.tar.gz**, **javacore\***, and all the WAS logs; however, instead, we will analyze the results to learn about these basic Linux performance tools.

8. Extract **linperf\_RESULTS.tar.gz**:

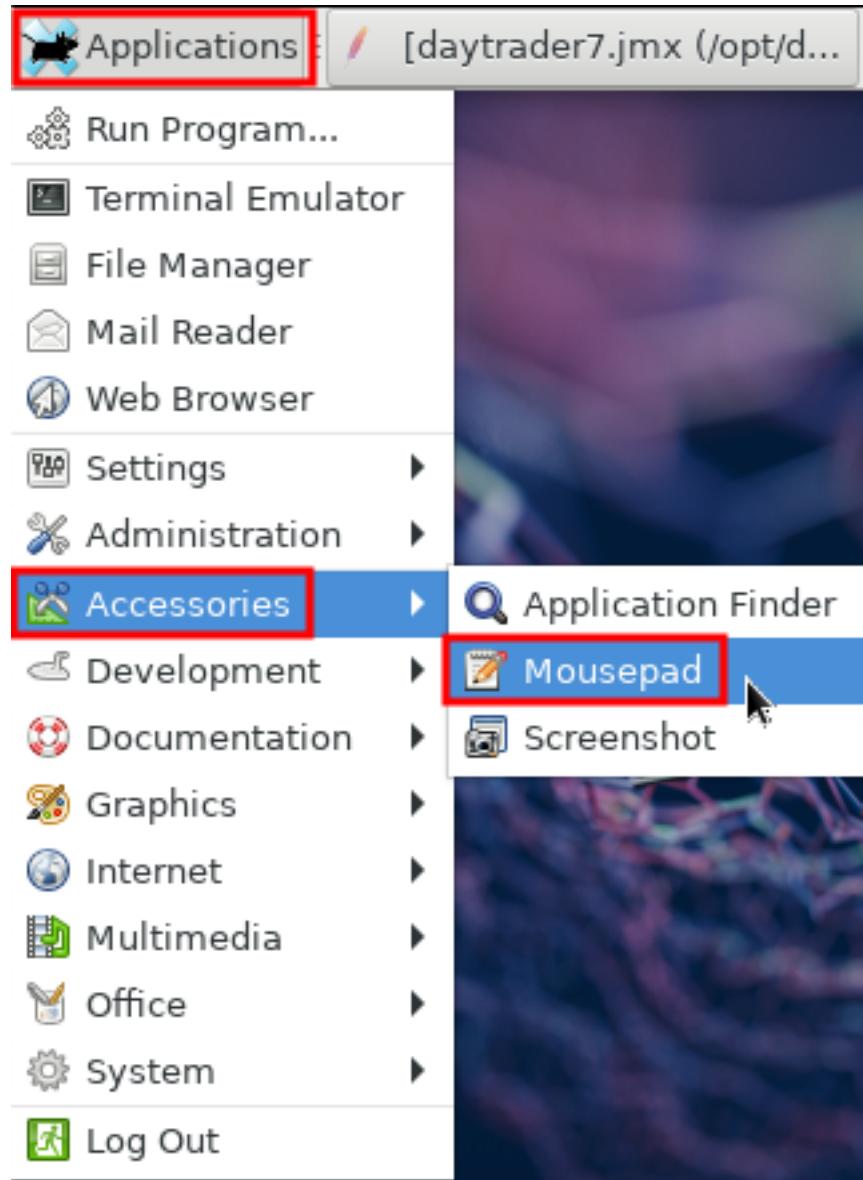
```
tar xzf linperf_RESULTS.tar.gz
```

9. This will produce various **\*.out** files from the various Linux utilities.
10. Stop JMeter

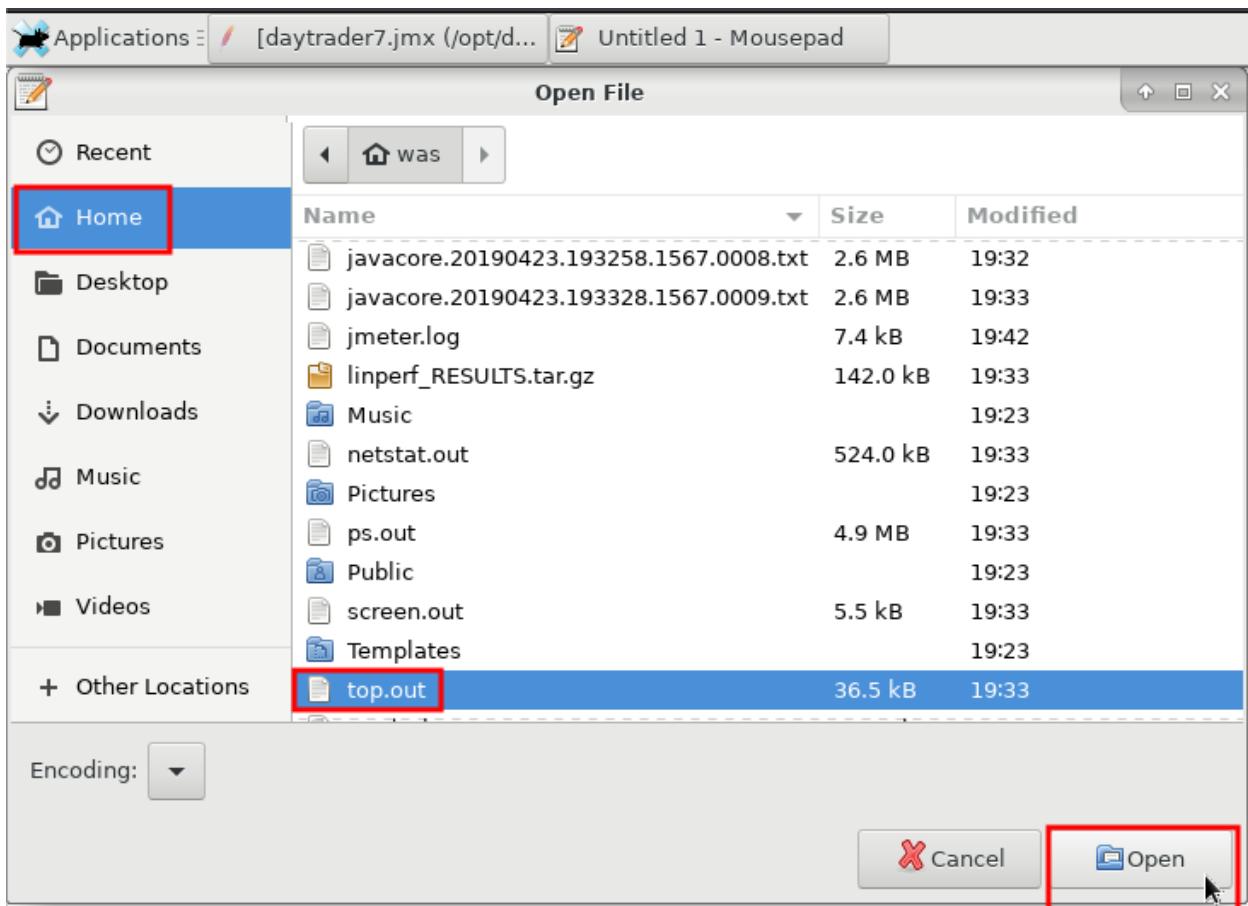
### Linux top

**top** is one of the most basic Linux performance tools. Open **top.out** to review the output.

If you would like to open text files in the Linux container using a GUI tool, you may use a program such as **mousepad**:



Then click **File > Open**, and find the file where you ran **linperf.sh** such as in the Home directory:



There will be multiple sections of output, each prefixed with a timestamp which represents the previous interval (**liperf.sh** uses a default interval of 60 seconds). In the following example, the data represents CPU usage between 19:28:27 - 19:29:27. Review all intervals to understand CPU usage over time. For example, here is one interval:

One place to start is to check the server's RAM:

The values may be in bytes, KB, MB, or other formats depending on various settings.

The two values in bold are the important values:

1. The first bold value on the first line shows the total amount of RAM; in this example, about 11.9GB.
2. The second bold value on the second line shows the approximate amount of RAM that is available for applications if they need it (including readily reclaimable page cache and memory slabs); in this example, about 9.8GB. Notice that the actual amount of free RAM (first line, second column, in *italics*) is only about 395MB. Linux, like most other modern operating systems, is aggressive in using RAM for various caches, primarily the file cache, to improve disk I/O speeds; however, most of this memory is reclaimable if applications demand it. Note that Linux is particularly aggressive with its default **swappiness** value and in some cases it will prefer to page out application pages instead of reclaiming file cache pages. Consider setting `vm.swappiness=0` for production workloads that perform little file I/O and require most of the RAM.

Next, review the server's overall CPU usage:

The value in bold is the important value. **id** represents the percent of time during the interval that all CPUs were idle. It is better to look at **idle%** instead of **user%**, **system%**, etc. because this ensures that you quickly capture all potential users of CPU (including I/O wait, **niced** processes [**nice** and **renice** are

commands to change the relative scheduling priorities of processes. nice% reflects non-default, positively niced processes' CPU utilization], and hypervisor stealing [In a virtualized environment, the percent of time this host wanted CPU but waited for the hypervisor. This may mean CPU overcommit and should be reviewed]). Subtract the **id** number from 100 to get the approximate total CPU usage; in this example,  $(100 - 20.9) \approx 79.1\%$ .

Next, top prints a sorted list of the highest CPU-using processes:

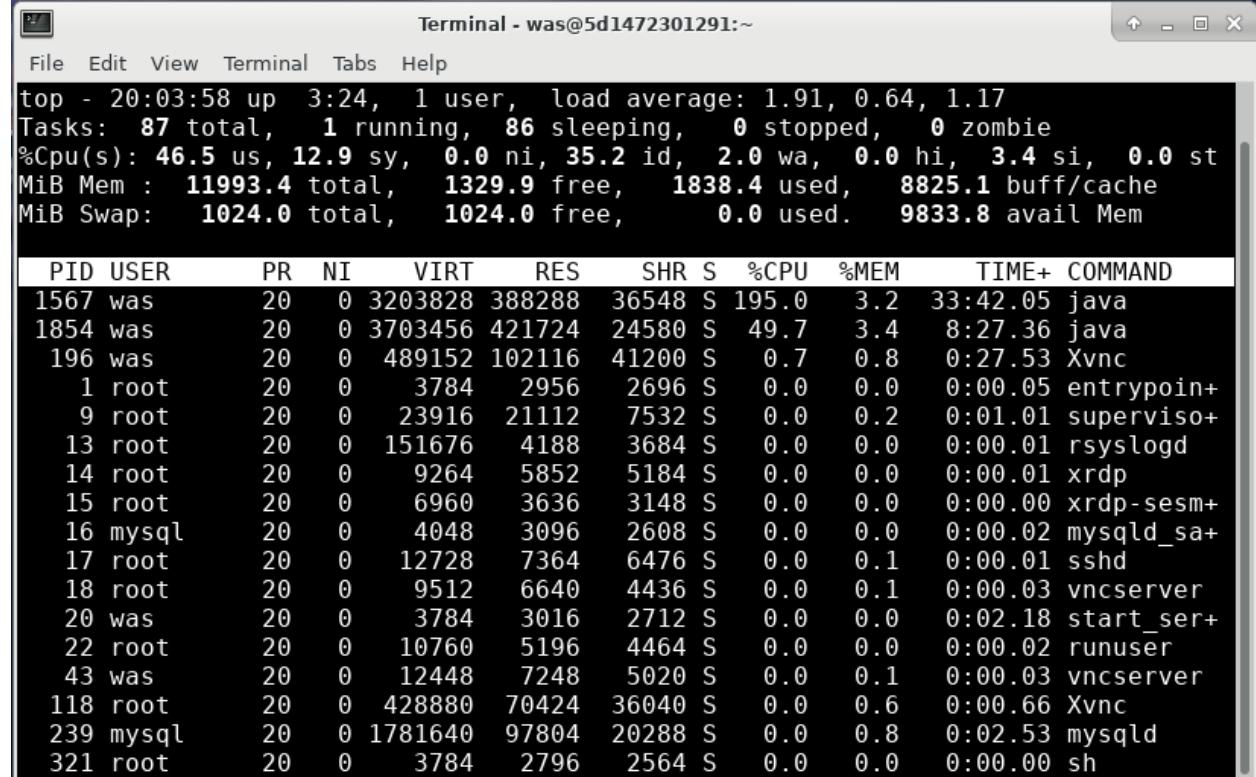
The two columns in bold are the important values:

1. The first bold column is the PID of each process which is useful for running more detailed commands.
2. The second bold column is the percent of CPU used by that PID for the interval as a percentage of one CPU. For example, PID 1567 consumed about 181.2% of one CPU which means that approximately the equivalent of 1.8 CPU threads were used. In this example, the container had 4 CPU threads available (see **/proc/cpuinfo** on your system), so PID 1567 consumed about  $(1.812 / 4) * 100 \approx 45.3\%$  of total CPU.

The **top** command may be run in interactive mode by simply running the **top** command. This is a useful place to start when you begin investigating a system. The command will dynamically update every few seconds (this interval may be specified with the **-d S** options where **S** is in fractional seconds). Press **q** to quit top.



```
[was@5d1472301291 ~]$ top
```



```
top - 20:03:58 up 3:24, 1 user, load average: 1.91, 0.64, 1.17
Tasks: 87 total, 1 running, 86 sleeping, 0 stopped, 0 zombie
%Cpu(s): 46.5 us, 12.9 sy, 0.0 ni, 35.2 id, 2.0 wa, 0.0 hi, 3.4 si, 0.0 st
MiB Mem : 11993.4 total, 1329.9 free, 1838.4 used, 8825.1 buff/cache
MiB Swap: 1024.0 total, 1024.0 free, 0.0 used. 9833.8 avail Mem

PID USER      PR  NI    VIRT    RES    SHR S %CPU %MEM TIME+ COMMAND
1567 was        20   0 3203828 388288 36548 S 195.0  3.2 33:42.05 java
1854 was        20   0 3703456 421724 24580 S 49.7   3.4 8:27.36 java
196 was         20   0 489152 102116 41200 S  0.7   0.8 0:27.53 Xvnc
  1 root        20   0   3784   2956  2696 S  0.0   0.0 0:00.05 entrypoint
  9 root        20   0   23916   21112  7532 S  0.0   0.2 0:01.01 supervisor
 13 root        20   0  151676   4188  3684 S  0.0   0.0 0:00.01 rsyslogd
 14 root        20   0   9264   5852  5184 S  0.0   0.0 0:00.01 xrdp
 15 root        20   0   6960   3636  3148 S  0.0   0.0 0:00.00 xrdp-sesm+
 16 mysql       20   0   4048   3096  2608 S  0.0   0.0 0:00.02 mysqld_s+
 17 root        20   0  12728   7364  6476 S  0.0   0.1 0:00.01 sshd
 18 root        20   0   9512   6640  4436 S  0.0   0.1 0:00.03 vncserver
 20 was         20   0   3784   3016  2712 S  0.0   0.0 0:02.18 start_ser+
 22 root        20   0  10760   5196  4464 S  0.0   0.0 0:00.02 runuser
 43 was         20   0  12448   7248  5020 S  0.0   0.1 0:00.03 vncserver
118 root        20   0  428880  70424 36040 S  0.0   0.6 0:00.66 Xvnc
239 mysql       20   0  1781640  97804 20288 S  0.0   0.8 0:02.53 mysqld
321 root        20   0   3784   2796  2564 S  0.0   0.0 0:00.00 sh
```

## Linux top -H

**top -H** is similar to top except that the **-H** flag shows the top CPU usage by thread instead of by PID. Open **topdashH\*.out** to review the output. Again, this file shows multiple intervals, so it's important to review all intervals to understand CPU usage over time. Here is an example interval from Liberty (on WAS traditional, the main difference will be **WebContai+** threads instead of **Default E+**):

The three columns in bold are the important values:

1. The first bold column is the thread ID (TID) of each thread (the column is still called "PID" because Linux treats threads as "lightweight processes") which is useful for running more detailed commands. This value may be converted to hexadecimal and searched for in a matching thread dump.
2. The second bold column is the percent of CPU used by that TID for the interval as a percentage of one CPU (similar to the previous top output, except it's for the TID instead of the PID).
3. On recent versions of Linux, the third bold column is the name of the thread. This is incredibly useful to get a quick understanding of what threads in the Java process are consuming most of the CPU. For example:
  1. **Default Executor** threads are generally application threads processing HTTP and other user work on Liberty,
  2. **WebContainer** threads are application threads processing HTTP work on WAS traditional,
  3. **Inbound...** threads are Liberty threads processing new inbound user requests,
  4. **GC Slave** threads are JVM threads processing garbage collection,
  5. **JIT Comp...** threads are JVM threads processing Just-in-Time (JIT) compilation,
  6. etc.

In the above example, the top threads are mostly **Default Executor** threads, each using about (0.125 / 4) \* 100 ~= 3.125% of total CPU which means that most of the CPU usage is application threads handling user work, spread about evenly across threads.

As in the case of top, the **top -H** command may be run in interactive mode and could be considered an even better place to start when you begin investigating a system; however, note that **top -H** is much more expensive than top (especially if you don't provide a particular PID with **-p**) because it must traverse the data for all PIDs and all TIDs. Therefore, if you want to use **top -H** in interactive mode, consider using a large interval such as 10 seconds or more:



A screenshot of a Linux terminal window titled "Terminal - was@5d1472301291:~". The window has a standard title bar with icons for minimize, maximize, and close. The menu bar includes "File", "Edit", "View", "Terminal", "Tabs", and "Help". The command line at the bottom shows the user has typed "[was@5d1472301291 ~]\$ top -H -d 10" and is awaiting further output.

The terminal window displays system statistics and a process listing. The top section shows:

```
top - 20:51:20 up 4:11, 1 user, load average: 2.73, 0.71, 0.60
Threads: 489 total, 6 running, 483 sleeping, 0 stopped, 0 zombie
%Cpu(s): 50.4 us, 16.5 sy, 0.0 ni, 26.8 id, 2.3 wa, 0.0 hi, 4.0 si, 0.0 st
MiB Mem : 11993.4 total, 1285.6 free, 1852.3 used, 8855.5 buff/cache
MiB Swap: 1024.0 total, 1024.0 free, 0.0 used. 9819.9 avail Mem
```

The bottom section is a table of processes:

PID	USER	PR	NI	VIRT	RES	SHR	S	%CPU	%MEM	TIME+	COMMAND
20784	was	20	0	3203864	389728	36548	S	25.5	3.2	0:08.86	Default E+
<b>20874</b>	<b>was</b>	<b>20</b>	<b>0</b>	<b>3203864</b>	<b>389728</b>	<b>36548</b>	<b>R</b>	<b>25.0</b>	<b>3.2</b>	<b>0:04.28</b>	<b>Default E+</b>
20839	was	20	0	3203864	389728	36548	S	24.8	3.2	0:06.44	Default E+
<b>20873</b>	<b>was</b>	<b>20</b>	<b>0</b>	<b>3203864</b>	<b>389728</b>	<b>36548</b>	<b>R</b>	<b>24.3</b>	<b>3.2</b>	<b>0:04.19</b>	<b>Default E+</b>
<b>20806</b>	<b>was</b>	<b>20</b>	<b>0</b>	<b>3203864</b>	<b>389728</b>	<b>36548</b>	<b>R</b>	<b>24.1</b>	<b>3.2</b>	<b>0:08.45</b>	<b>Default E+</b>
<b>20807</b>	<b>was</b>	<b>20</b>	<b>0</b>	<b>3203864</b>	<b>389728</b>	<b>36548</b>	<b>R</b>	<b>24.0</b>	<b>3.2</b>	<b>0:08.37</b>	<b>Default E+</b>
20781	was	20	0	3203864	389728	36548	S	23.7	3.2	0:08.29	Default E+
20871	was	20	0	3203864	389728	36548	S	23.4	3.2	0:04.13	Default E+
20802	was	20	0	3703456	422456	24580	S	14.6	3.4	0:05.27	Thread Gr+
20803	was	20	0	3703456	422456	24580	S	14.2	3.4	0:05.31	Thread Gr+
20801	was	20	0	3703456	422456	24580	S	13.9	3.4	0:05.26	Thread Gr+
<b>20800</b>	<b>was</b>	<b>20</b>	<b>0</b>	<b>3703456</b>	<b>422456</b>	<b>24580</b>	<b>R</b>	<b>13.5</b>	<b>3.4</b>	<b>0:05.24</b>	<b>Thread Gr+</b>
1638	was	20	0	3203864	389728	36548	S	10.2	3.2	2:58.15	Inbound R+
1639	was	20	0	3203864	389728	36548	S	2.4	3.2	0:32.98	Inbound W+
1738	was	20	0	3203864	389728	36548	S	1.1	3.2	0:18.64	derby.raw+
196	was	20	0	489152	102116	41200	S	0.8	0.8	0:34.42	Xvnc
1591	was	20	0	3203864	389728	36548	S	0.8	3.2	0:38.55	JIT IProf+

## IBM Java and OpenJ9 Thread Dumps

Thread dumps are snapshots of process activity, including the thread stacks that show what each thread is doing. Thread dumps are one of the best places to start to investigate problems. If a lot of threads are in similar stacks, then that behavior might be an issue or a symptom of an issue.

For IBM Java or OpenJ9, a thread dump is also called a `javacore` or `javadump`. HotSpot-based thread dumps are covered elsewhere.

This exercise will demonstrate how to review thread dumps in the free IBM Thread and Monitor Dump Analyzer (TMDA) tool.

### Thread Dumps Theory

An IBM Java or OpenJ9 thread dump is generated in a `javacore*.txt` in the working directory of the process with a snapshot of process activity, including:

- Each Java thread and its stack.
- A list of all Java synchronization monitors, which thread owns each monitor, and which threads are waiting for the lock on a monitor.
- Environment information, including Java command line arguments and operating system ulimits.
- Java heap usage and information about the last few garbage collections.
- Detailed native memory and classloader information.

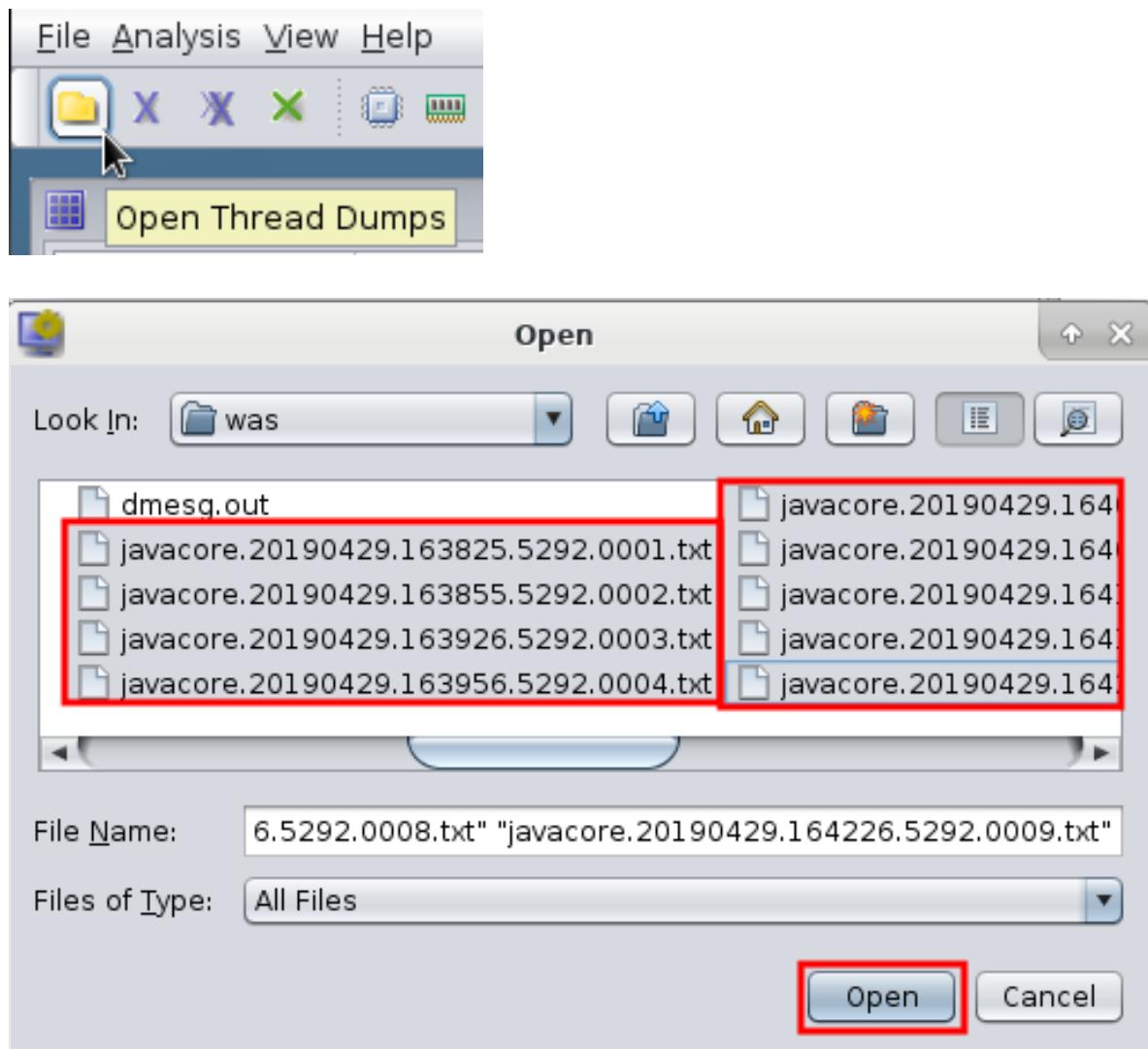
Thread dumps generally do not contain sensitive information about user requests, but they may contain sensitive information about the application or environment, so they should be treated sensitively.

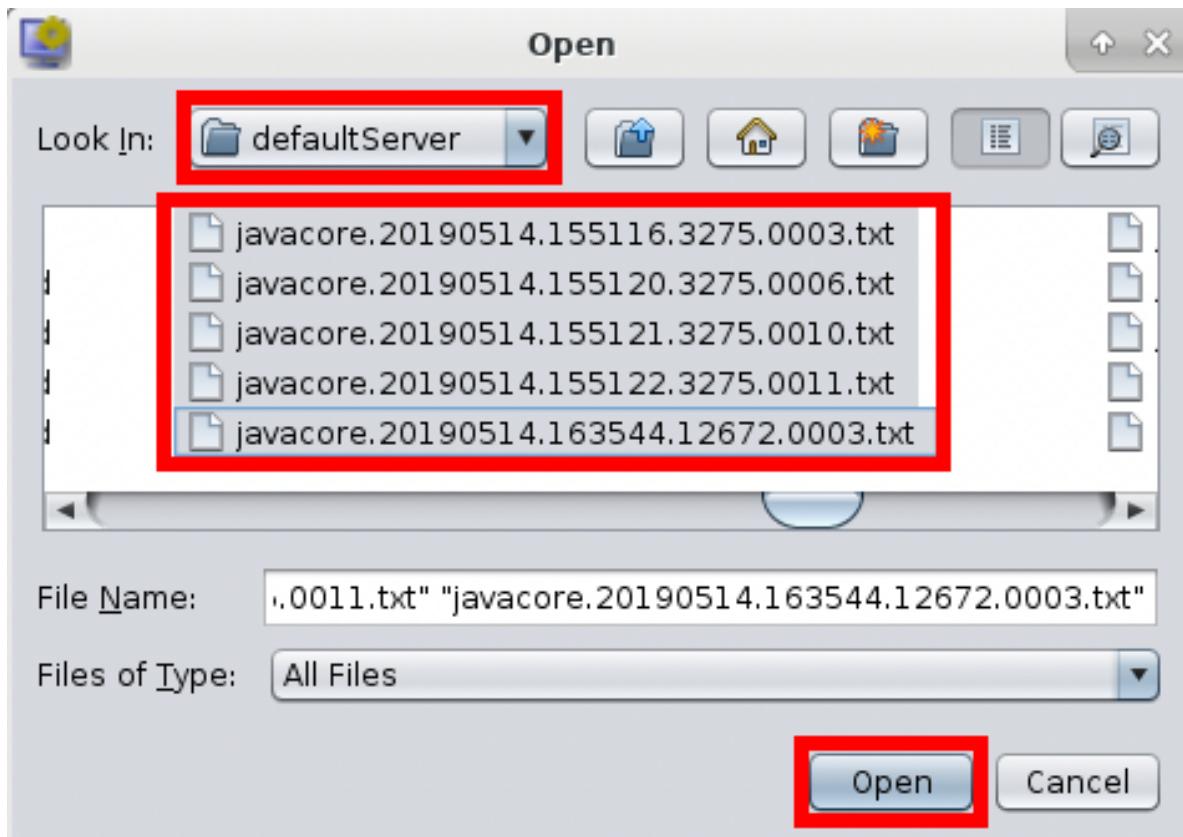
## Thread Dumps Lab

We will review the thread dumps gathered by linperf.sh above:

Note: You may skip the data collection steps and use example data packaged at /opt/webspherelab/supplemental/examp

1. Complete the *linperf.sh Lab* above which includes producing thread dumps.
2. From the desktop, double click on **TMDA**.
3. Click Open Thread Dumps and select all of the **javacore\*.txt** files using the Shift key. These may be in your home directory (**/home/was**) if you moved them in the previous exercise; otherwise, they're in the default working directory (Liberty: **/opt/ibm/wlp/output/defaultServer** ; WAS traditional: **/opt/IBM/WebSphere/AppServer/profiles/AppSrv01/**):





4. Select a thread dump and click the **Thread Detail** button:

Name	Timestamp	Runnable/Total...	Free/Allocated...	AF(SC)/GC Cou...	Monitor Conte...
javacore.2019...	Apr 29 16:38:...	31/121	32,969,368/1...	None	1
javacore.2019...	Apr 29 16:38:...	33/129	42,913,472/1...	None	2
javacore.2019...	Apr 29 16:39:...	29/120	38,791,496/1...	None	None
javacore.2019...	Apr 29 16:39:...	32/116	39,169,632/1...	None	1
javacore.2019...	Apr 29 16:40:...	28/122	21,146,002/1...	None	1

5. Click on the **Stack Depth** column to sort by thread stack depth in ascending order.
6. Click on the **Stack Depth** column again to sort again in descending order:

**Thread Dump**

Name	State	NativeID	Method	Stack...
Default E... ● Runn...	Runn...	0x1938	sun/misc...	99
main → Waiti...	Waiti...	0x14ae	java/lang...	14
Thread-26 ● Runn...	Runn...	0x1519	java/ne...	13
Schedul... ● Runn...	Runn...	0x14ee	sun/misc...	9
RMI Sche... X Parked	Parked	0x14fd	sun/misc...	9
pool-2-th... X Parked	Parked	0x1575	sun/misc...	9
pool-2-th... X Parked	Parked	0x17c9	sun/misc...	9
pool-2-th... X Parked	Parked	0x1618	sun/misc...	9

7. Generally, the threads of interest are those with stack depths greater than ~20. Select any such rows and review the stack on the right (if you don't see any, then close this thread dump and select another from the list):

**Thread Detail : javacore.20190429.163825.5292.0001.txt**

Name	State	NativeID	Method	Stack...
Default E... ● Runn...	Runn...	0x1938	sun/misc...	99
main → Waiti...	Waiti...	0x14ae	java/lang...	14
Thread-26 ● Runn...	Runn...	0x1519	java/ne...	13
Schedul... ● Runn...	Runn...	0x14ee	sun/misc...	9
RMI Sche... X Parked	Parked	0x14fd	sun/misc...	9
pool-2-th... X Parked	Parked	0x1575	sun/misc...	9
pool-2-th... X Parked	Parked	0x17c9	sun/misc...	9
pool-2-th... X Parked	Parked	0x1618	sun/misc...	9
pool-2-th... X Parked	Parked	0x166b	sun/misc...	9
pool-2-th... X Parked	Parked	0x1832	sun/misc...	9
pool-2-th... X Parked	Parked	0x15ca	sun/misc...	9
pool-2-th... X Parked	Parked	0x1574	sun/misc...	9
pool-2-th... X Parked	Parked	0x1713	sun/misc...	9
pool-2-th... X Parked	Parked	0x16b8	sun/misc...	9
pool-2-th... X Parked	Parked	0x1817	sun/misc...	9
Active Th... X Parked	Parked	0x14df	sun/misc...	9
Default E... → Waiti...	Waiti...	0x196f	java/lang...	8
Default E... ● Runn...	Runn...	0x1935	java/lang...	8
Default E... ● Runn...	Runn...	0x1921	java/lang...	8
Default E... → Waiti...	Waiti...	0x191e	java/lang...	8
Default E... → Waiti...	Waiti...	0x18a3	java/lang...	8
Default E... → Waiti...	Waiti...	0x1882	java/lang...	8
Default E... → Waiti...	Waiti...	0x188f	java/lang...	8
Default E... → Waiti...	Waiti...	0x1850	java/lang...	8
Default E... → Waiti...	Waiti...	0x16a1	java/lang...	8
RMI TCP ... ● Runn...	Runn...	0x14fe	java/lang...	8
Default E... → Waiti...	Waiti...	0x1925	java/lang...	8
Default E... → Waiti...	Waiti...	0x195c	java/lang...	8
Default E... → Waiti...	Waiti...	0x194d	java/lang...	8
Default E... → Waiti...	Waiti...	0x16bf	java/lang...	8

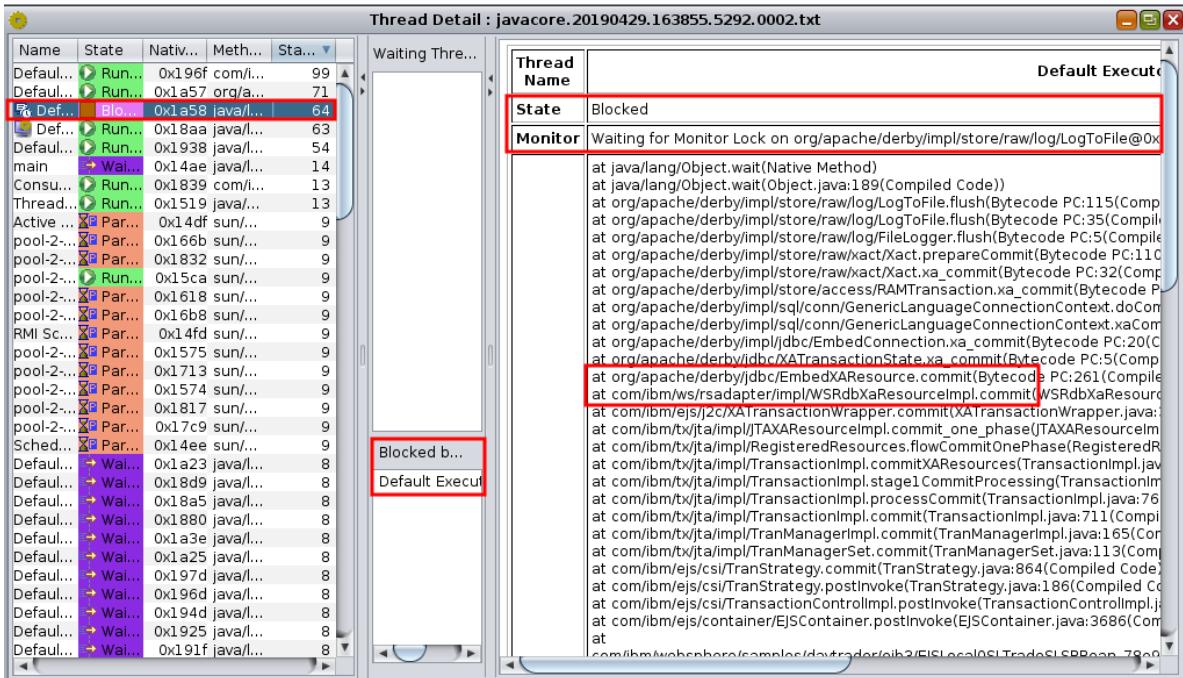
Thread Name	Default Executor-thread
State	Runnable

```

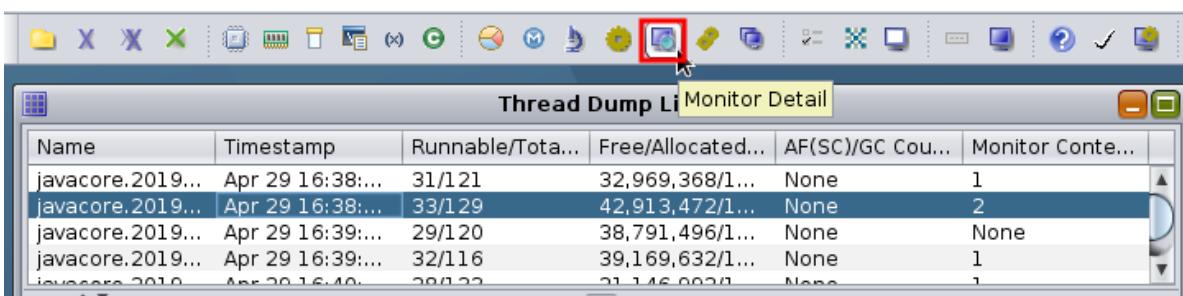
at sun/misc/Unsafe.park(Native Method)
at java/util/concurrent/locks/LockSupport.park(LockSupport.java:186(Compiled Code)
at java/util/concurrent/locks/AbstractQueuedSynchronizer.parkAndCheckInterruptAt(java/util/concurrent/locks/AbstractQueuedSynchronizer.java:800(Compiled Code))
at java/util/concurrent/locks/AbstractQueuedSynchronizer.acquireQueued(AbstractQueuedSynchronizer.java:320(Compiled Code))
at java/util/concurrent/locks/AbstractQueuedSynchronizer.acquire(AbstractQueuedSynchronizer.java:294(Compiled Code))
at java/util/concurrent/locks/ReentrantLock$NonfairSync.lock(ReentrantLock.java:227(Compiled Code))
at java/util/concurrent/locks/ReentrantLock.lock(ReentrantLock.java:296(Compiled Code))
at java/util/concurrent/ScheduledThreadPoolExecutor$DelayedWorkQueue.remove(ScheduledThreadPoolExecutor.java:390(Compiled Code))
at java/util/concurrent/ScheduledThreadPoolExecutor$DelayedWorkQueue$Iterator.remove(ScheduledThreadPoolExecutor.java:407(Compiled Code))
at java/util/concurrent/ThreadPoolExecutor.purge(ThreadPoolExecutor.java:1799(Compiled Code))
at com/ibm/tc/jta/util/Alarm$AlarmImpl.cancel(AlarmImpl.java:33(Compiled Code))
at com/ibm/tc/jta/impl/TimeoutManager$TimeoutInfo.cancelAlarm(TimeoutManager.java:107(Compiled Code))
at com/ibm/tc/jta/embeddable/impl/EmbeddableTimeoutManager.setTimeout(EmbeddableTimeoutManager.java:110(Compiled Code))
at com/ibm/tc/jta/embeddable/impl/EmbeddableTransactionImpl.cancelAlarms(EmbeddableTransactionImpl.java:113(Compiled Code))
at com/ibm/tc/jta/impl/TransactionImpl.prePrepare(TransactionImpl.java:1392(Compiled Code))
at com/ibm/tc/jta/impl/TransactionImpl.stage1CommitProcessing(TransactionImpl.java:768(Compiled Code))
at com/ibm/tc/jta/impl/TransactionImpl.processCommit(TransactionImpl.java:768(Compiled Code))
at com/ibm/tc/jta/impl/TransactionImpl.commit(TransactionImpl.java:711(Compiled Code))
at com/ibm/tc/jta/impl/TranManagerImpl.commit(TranManagerImpl.java:165(Compiled Code))
at com/ibm/tc/jta/impl/TranManagerSet.commit(TranManagerSet.java:113(Compiled Code))
at com/ibm/ejs/csi/TranStrategy.commit(TranStrategy.java:864(Compiled Code))
at com/ibm/ejs/csi/TranStrategy.postInvoke(TranStrategy.java:186(Compiled Code))
at com/ibm/ejs/csi/TransactionControlImpl.postInvoke(TransactionControlImpl.java:48(Compiled Code))
at com/ibm/ejs/container/EJSContainer.postInvoke(EJSContainer.java:3686(Compiled Code))
at com/ibm/websphere/samples/daytrader/TradeAction.getQuote(TradeAction.java:48(Compiled Code))
at com/ibm/websphere/samples/daytrader/TradeAction.getQuote(TradeAction.java:48(Compiled Code))

```

- Generally, to understand which code is driving the thread, skip any non-application stack frames. In the above example, the first application stack frame is TradeAction.getQuote.
- Thread dumps are simply snapshots of activity, so just because you capture threads in some stack does not mean there is necessarily a problem. However, if you have a large number of thread dumps, and an application stack frame appears with high frequency, then this may be a problem or an area of optimization. You may send the stack to the developer of that component for further research.
- In some cases, you may see that one thread is blocked on another thread. For example:

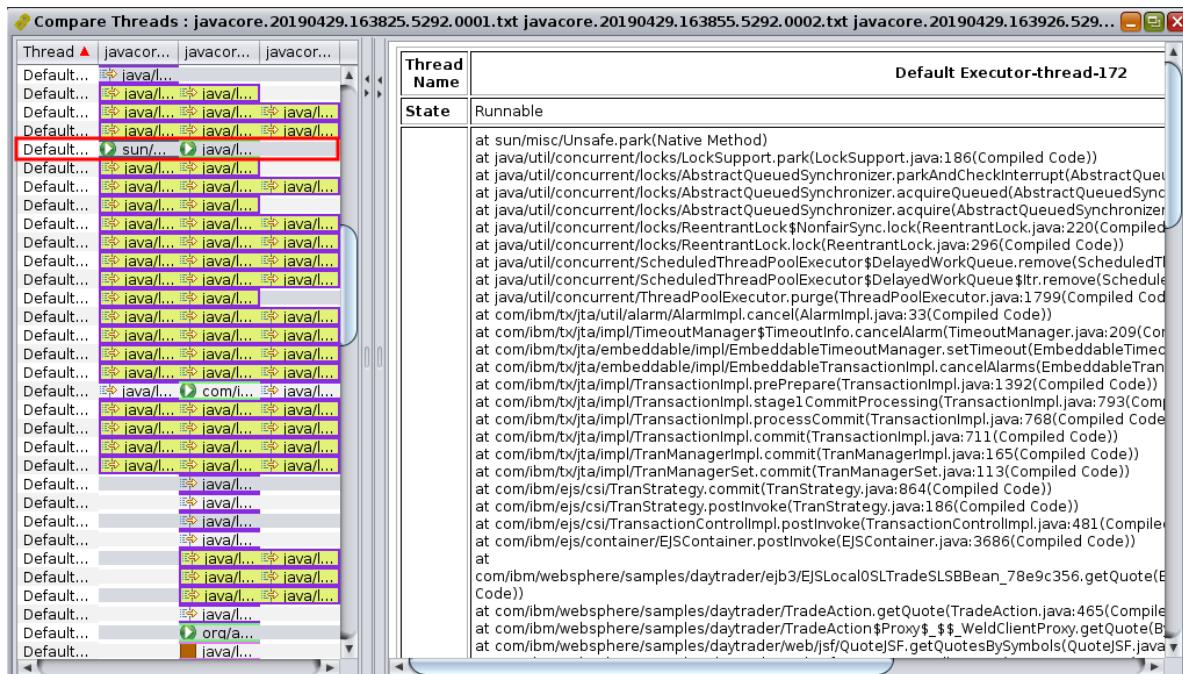
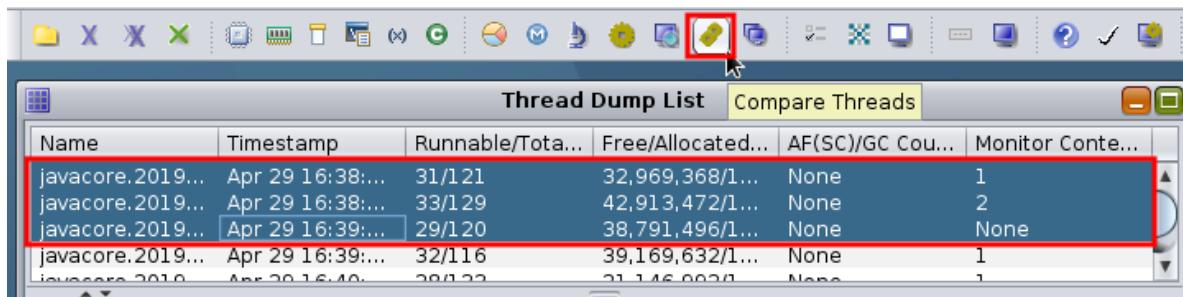


1. The **Monitor** line shows which monitor this thread is waiting for, and the stack shows the path to the request for the monitor. In this example, the application is trying to commit a database transaction. This lab uses the Apache Derby database engine which is not a very scalable database. In this example, optimizing this bottleneck may not be easy and may require deep Apache Derby expertise.
2. You may click on the thread name in the **Blocked by** view to quickly see the thread stack of the other thread that owns the monitor.
3. Lock contention is a common cause of performance issues and may manifest with poor performance and low CPU usage.
9. An alternative way to review lock contention is by selecting a thread dump and clicking **Monitor Detail**:





1. This shows a tree view of the monitor contention which makes it easier to explore the relationships and number of threads contending on monitors. In the above example, **Default Executor-thread-153** owns the monitor and **Default Executor-thread-202** is waiting for the monitor.
10. You may also select multiple thread dumps and click the **Compare Threads** button to see thread movement over time:



1. Each column is a thread dump and shows the state of each thread (if it exists in that thread dump) over time. Generally, you're interested in threads that are runnable (Green Arrow) or blocked or otherwise in the same concerning top stack frame. Click on each cell in that row and review the thread dump on the right. If the thread dump is always in the same stack, this is a potential issue. If the thread stack is changing a lot, then this is usually normal behavior.

2. In general, focus on the main application thread pools such as DefaultExecutor, WebContainer, etc.

Next, let's simulate a hung thread situation and analyze the problem with thread dumps:

Note: You may skip the data collection steps and use example data packaged at /opt/webspherelab/supplemental/examp

1. Open a browser to:

1. If learning Liberty: http://localhost:9080/swat/
2. If learning WAS traditional: http://localhost:9081/swat/

2. Scroll down and click on Deadlocker:

Deadlocker (Dining Philosophers)	<b>Deadlocker</b>	None	Attempt to create a deadlock with an algorithm that emulates the <a href="#">Dining Philosophers problem</a> . You will know a deadlock has occurred if messages stop being written to the HTML output. To confirm if a deadlock has occurred, take a javadump and search for "deadlock." It is possible, based on CPU availability, OS timing, and thread dispatching that a true deadlock will not occur.
----------------------------------	-------------------	------	---

3. Wait until the continuous browser output stops writing new lines of "Socrates [...]" which signifies that the threads have become deadlocked and then gather a thread dump of the WAS process by sending it the **SIGQUIT (3)** signal. Although the name of the signal includes the word "QUIT", the signal is captured by the JVM, the JVM pauses for a few hundred milliseconds to produce the thread dump, and then the JVM continues. This same command is performed by **linperf.sh**. It is a quick and cheap way to quickly understand what your JVM is doing:

If learning Liberty:

```
kill -3 $(pgrep -f defaultServer)
```

If learning WAS traditional:

```
kill -3 $(pgrep -f "DefaultNode01 server1")
```

1. Note that here we are using a sub-shell to send the output of the pgrep command (which finds the PID of WAS) as the argument for the kill command.

2. This can be simplified even further with the **pkill** command which combines **pgrep** functionality:

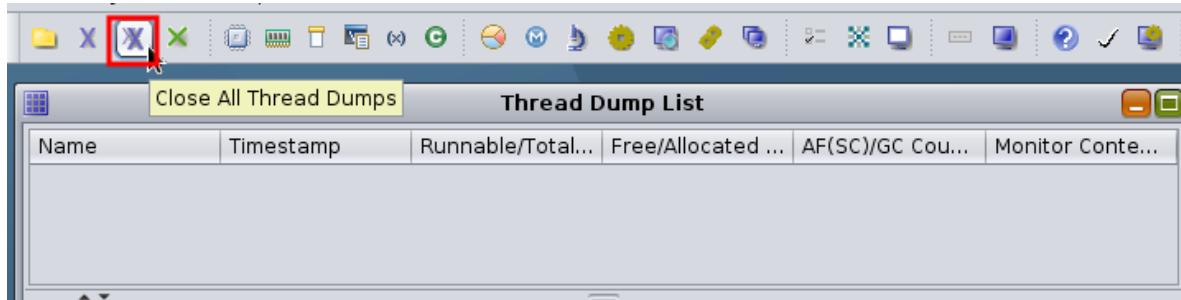
If learning Liberty:

```
pkill -3 -f defaultServer
```

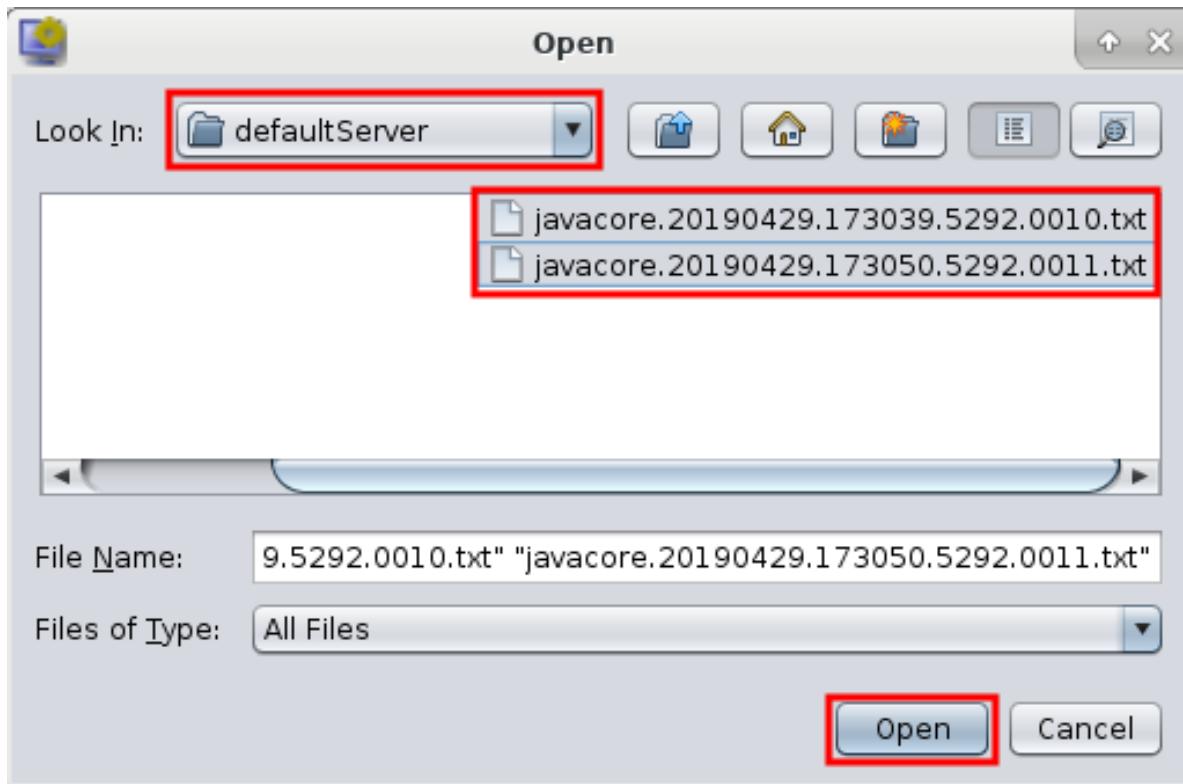
If learning WAS traditional:

```
pkill -3 -f "DefaultNode01 server1"
```

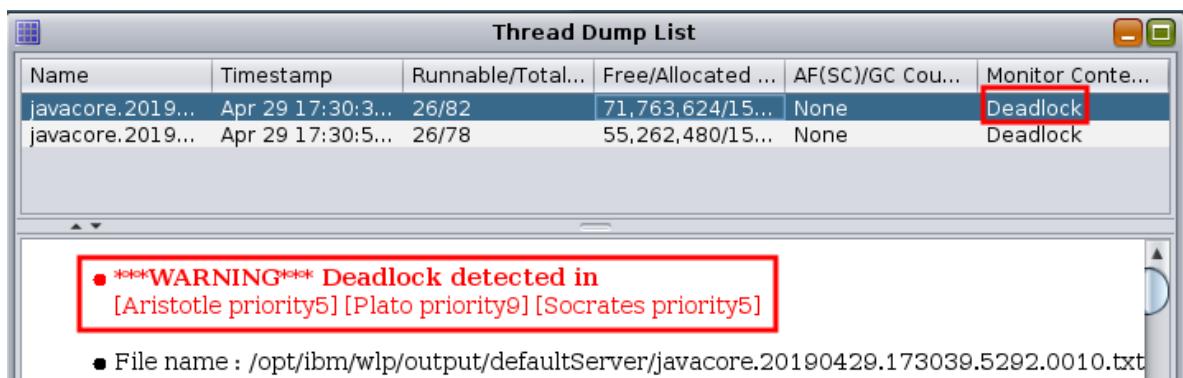
4. In the TMDA tool, clear the previous list of thread dumps:



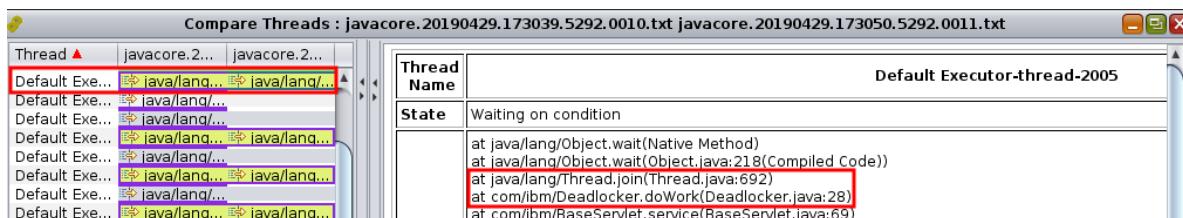
5. Click **File > Open Thread Dumps** and navigate to (Liberty: /opt/ibm/wlp/output/defaultServer ; WAS traditional: /opt/IBM/WebSphere/AppServer/profiles/AppSrv01/) and select both new thread dumps and click **Open**:



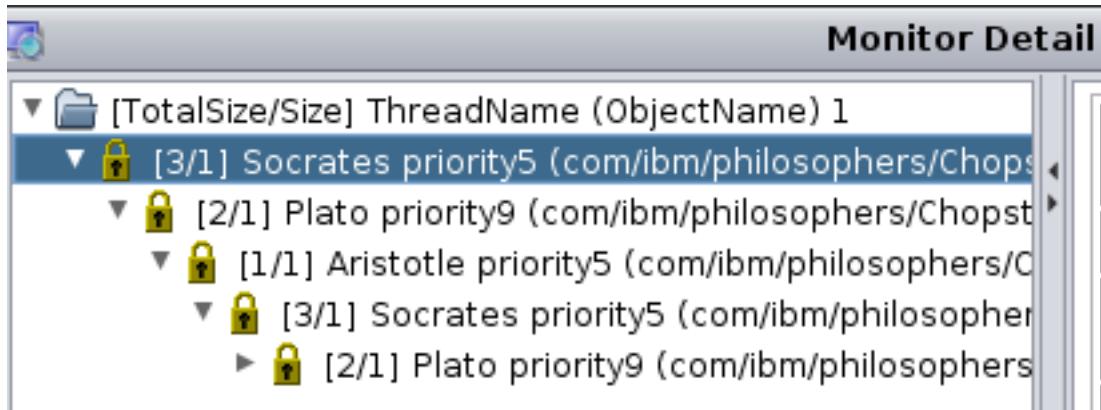
6. When you select the first thread dump, TMDA will warn you that a deadlock has been detected:



1. Deadlocks are not common and mean that there is a bug in the application or product.
7. Use the same procedure as above to review the **Monitor Details** and **Compare Threads** to find the thread that is stuck. In this example, the **DefaultExecutor** application thread actually spawns threads and waits for them to finish, so the application thread is just in a Thread.join:



- The actual spawned threads are named differently and show the blocking:



Next, let's combine what we've learned about the **top -H** command and thread dumps to simulate a thread that is using a lot of CPU:

Note: You may skip the data collection steps and use example data packaged at /opt/webspherelab/supplemental/examples.

- Go to:
  - If learning Liberty: <http://localhost:9080/swat/>
  - If learning WAS traditional: <http://localhost:9081/swat/>
- Scroll down and click on InfiniteLoop:

Infinite Loop Servlet	<b>InfiniteLoop</b>	• threshold: After threshold/2 iterations, break. Maximum value=2147483647	Simply loops infinitely on a servlet thread in a while loop with some trivial math operations. <b>WARNING:</b> You will lose this thread until the JVM is restarted and it will consume 100% of 1 CPU/core!
-----------------------	---------------------	--	---

- Go to the container terminal and start **top -H** with a 10 second interval:

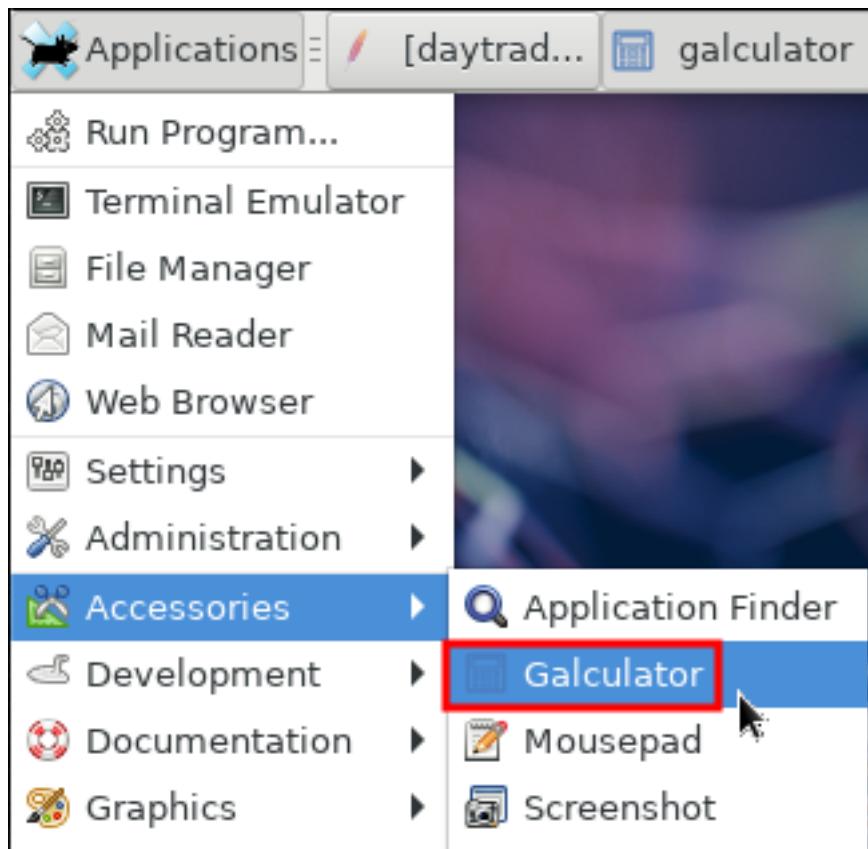
```
top -H -d 10
```

```
top - 17:48:13 up 2:34, 0 users, load average: 0.92, 0.42, 0.28
Threads: 485 total, 2 running, 483 sleeping, 0 stopped, 0 zombie
%Cpu(s): 25.6 us, 0.3 sy, 0.0 ni, 74.2 id, 0.0 wa, 0.0 hi, 0.0 si, 0.0 st
MiB Mem : 11993.4 total, 1454.5 free, 1774.4 used, 8764.5 buff/cache
MiB Swap: 1024.0 total, 1024.0 free, 0.0 used. 9896.9 avail Mem

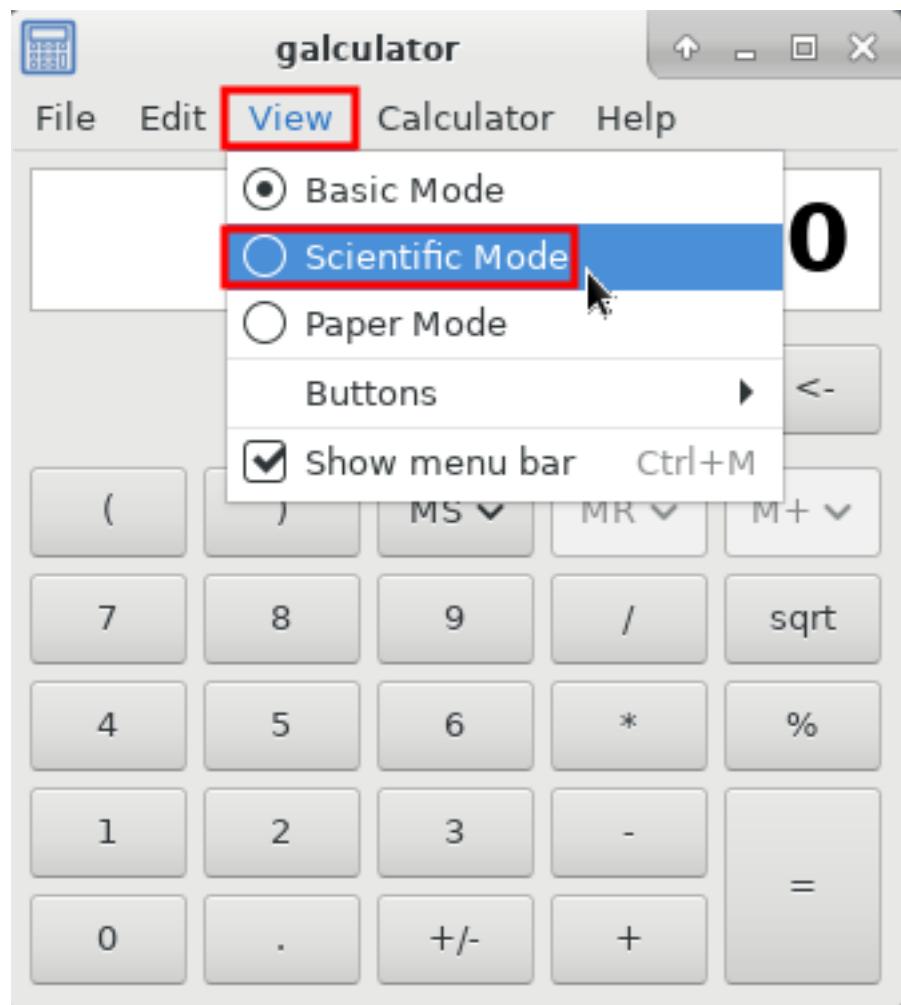
PID USER PR NI VIRT RES SHR S %CPU %MEM TIME+ COMMAND
22129 was 20 0 3183240 243412 34792 R 99.9 2.0 1:41.74 Default E+
22007 was 20 0 3183240 243412 34792 S 0.6 2.0 0:00.64 JIT Sampl+
161 was 20 0 494588 106308 40600 S 0.3 0.9 0:34.47 Xvnc
```

- Notice that a single thread is consistently consuming ~100% of a single CPU thread.
- Convert the PID to hexadecimal. In the example above, **22129 = 0x5671**.

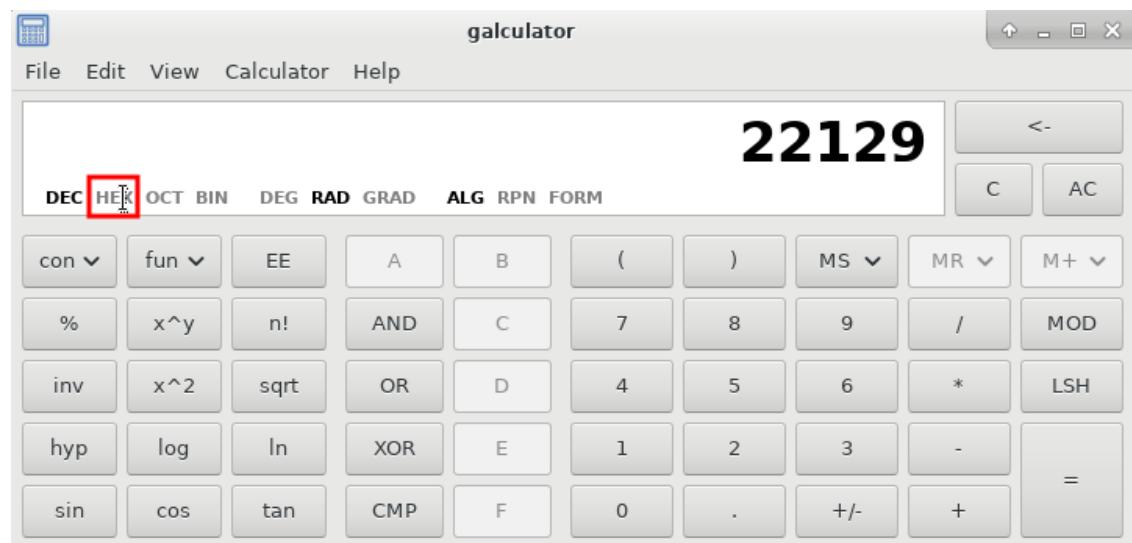
- In the container, open Calculator:



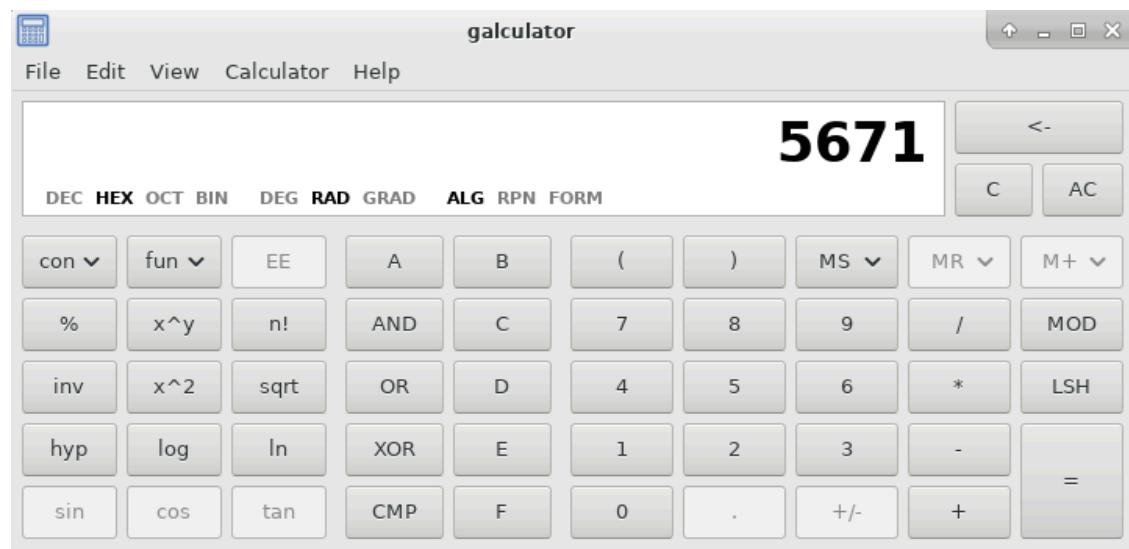
2. Click View > Scientific Mode:



3. Enter the decimal number (in this example, **22129**), and then click on **HEX**:



4. The result is **0x5671**:



- Take a thread dump of the parent process:

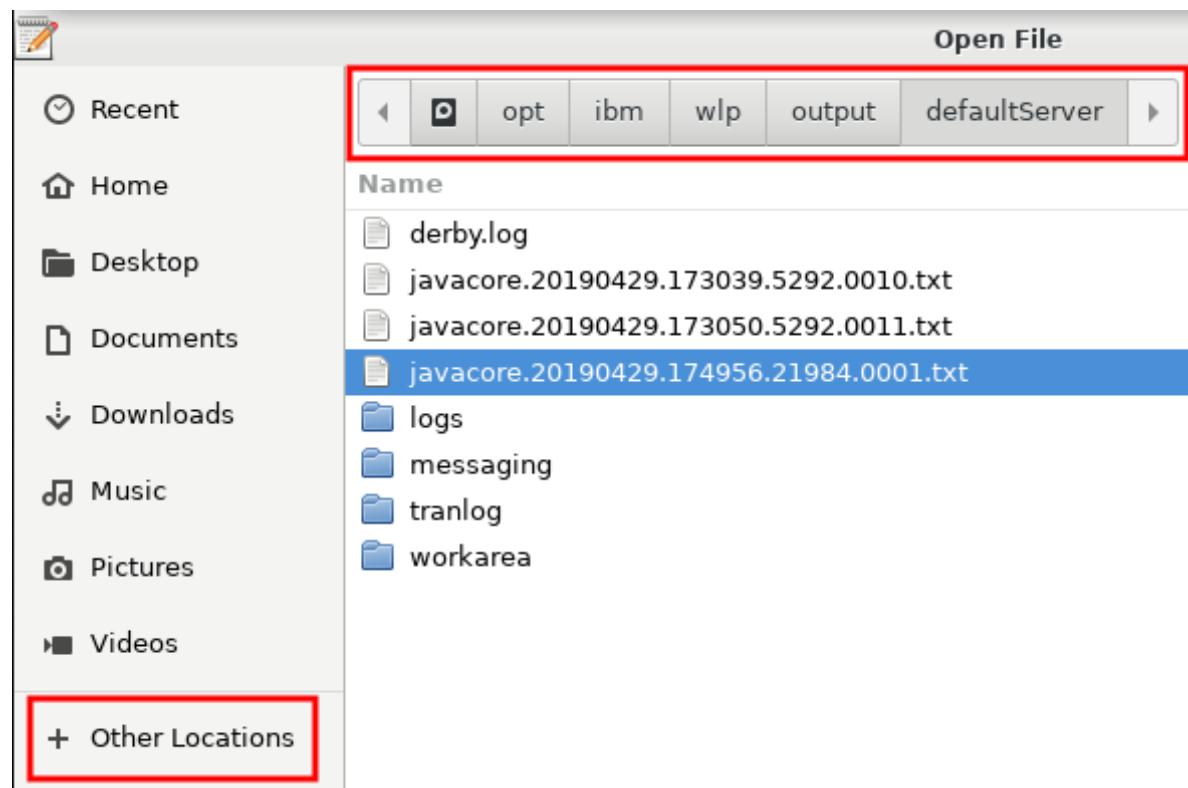
If learning Liberty:

```
pkill -3 -f defaultServer
```

If learning WAS traditional:

```
pkill -3 -f "DefaultNode01 server1"
```

- Open the most recent thread dump from `/opt/ibm/wlp/output/defaultServer/` in a text editor such as **mousepad**:



- Search for the native thread ID in hex (in this example, 0x5671) to find the stack trace consuming the CPU (if captured during the thread dump):

```

3XMTHREADINFO      "Default Executor-thread-16" J9VMThread:0x000000001D87000, omrthread_t:0x00007F8518037A60, java/lang/Thread:
3XMJAVATHREAD     (java/lang/Thread_getId:0x5C, isDaemon:true)
3XMTHREADINFO01   (native thread ID:0x5671, native priority:0x5, native policy:UNKNOWN, vmstate:CW, vm thread flags:0x00
3XMTHREADINFO02   (native stack address range from:0x00007F858C73B000, to:0x00007F858C77B000, size:0x40000)
3XMCPUTIME        CPU usage total: 205.198890739 secs, current category="Application"
3XMHEAPALLOC      Heap bytes allocated since last GC cycle=0 (0x0)
3XMTHREADINFO03   Java callstack:
4XESTACKTRACE    at com/ibm/InfiniteLoop.dowork InfiniteLoop.java:27(Compiled Code)
4XESTACKTRACE    at com/ibm/BaseServlet.service(BaseServlet.java:69)
4XESTACKTRACE    at javax/servlet/http/HttpServlet.service(HttpServletRequest.java:790)
4XESTACKTRACE    at com/ibm/ws/webcontainer/servlet/ServletWrapper.service(ServletWrapper.java:1255)

```

- Finally, kill the server destructively (**kill -9**) because trying to stop it gracefully will not work due to the infinitely looping request:

If learning Liberty:

```
pskill -9 -f defaultServer
```

If learning WAS traditional:

```
pskill -9 -f "DefaultNode01 server1"
```

## Garbage Collection

Garbage collection (GC) automatically frees unused objects. Healthy garbage collection is one of the most important aspects of Java programs. The proportion of time spent in garbage collection versus application time should be less than 10% and ideally less than 1%.

This lab will demonstrate how to enable verbose garbage collection in WAS for the sample DayTrader application, exercise the application using Apache JMeter, and review verbose garbage collection data in the free IBM Garbage Collection and Memory Visualizer (GCMV) tool.

### Garbage Collection Theory

All major Java Virtual Machines (JVMs) are designed to work with a maximum Java heap size. When the Java heap is full (or various sub-heaps), an allocation failure occurs and the garbage collector will run to try to find space. Verbose garbage collection (verbosegc) prints detailed information about each one of these allocation failures.

Always enable verbose garbage collection, including in production (benchmarks show an overhead of ~0.13% for IBM Java), using the options to rotate the verbosegc logs. For IBM Java - 5 historical files of roughly 20MB each:

```
-Xverbosegclog:verbosegc.%seq.log,5,50000
```

### Garbage Collection Lab

Add the verbosegc option to the jvm.options file:

Note: You may skip the data collection steps and use example data packaged at /opt/webspherelab/supplemental/examp...

- Stop JMeter if it is started.
- If learning Liberty:

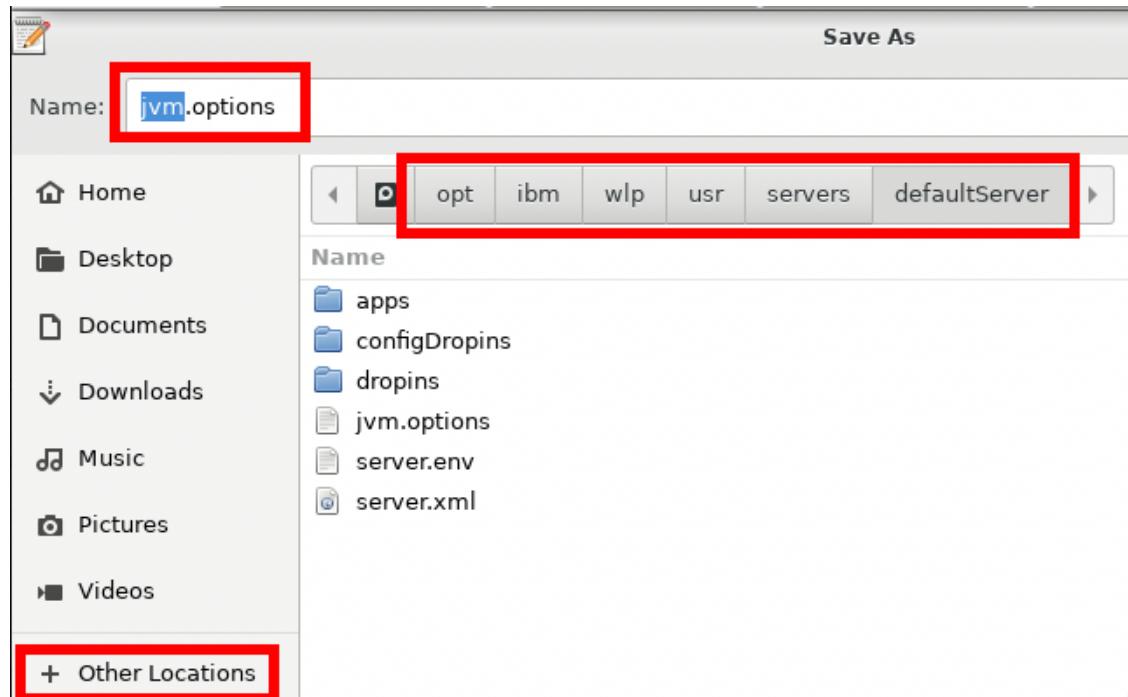
- Stop the Liberty server.

```
/opt/ibm/wlp/bin/server stop defaultServer
```

- Open a text editor such as mousepad and add the following line to it:

-Xverbosegclog:logs/verbosegc.%seq.log,5,50000

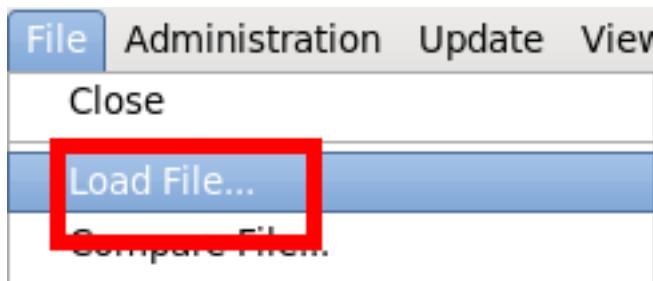
- Save the file to `/opt/ibm/wlp/usr/servers/defaultServer/jvm.options`



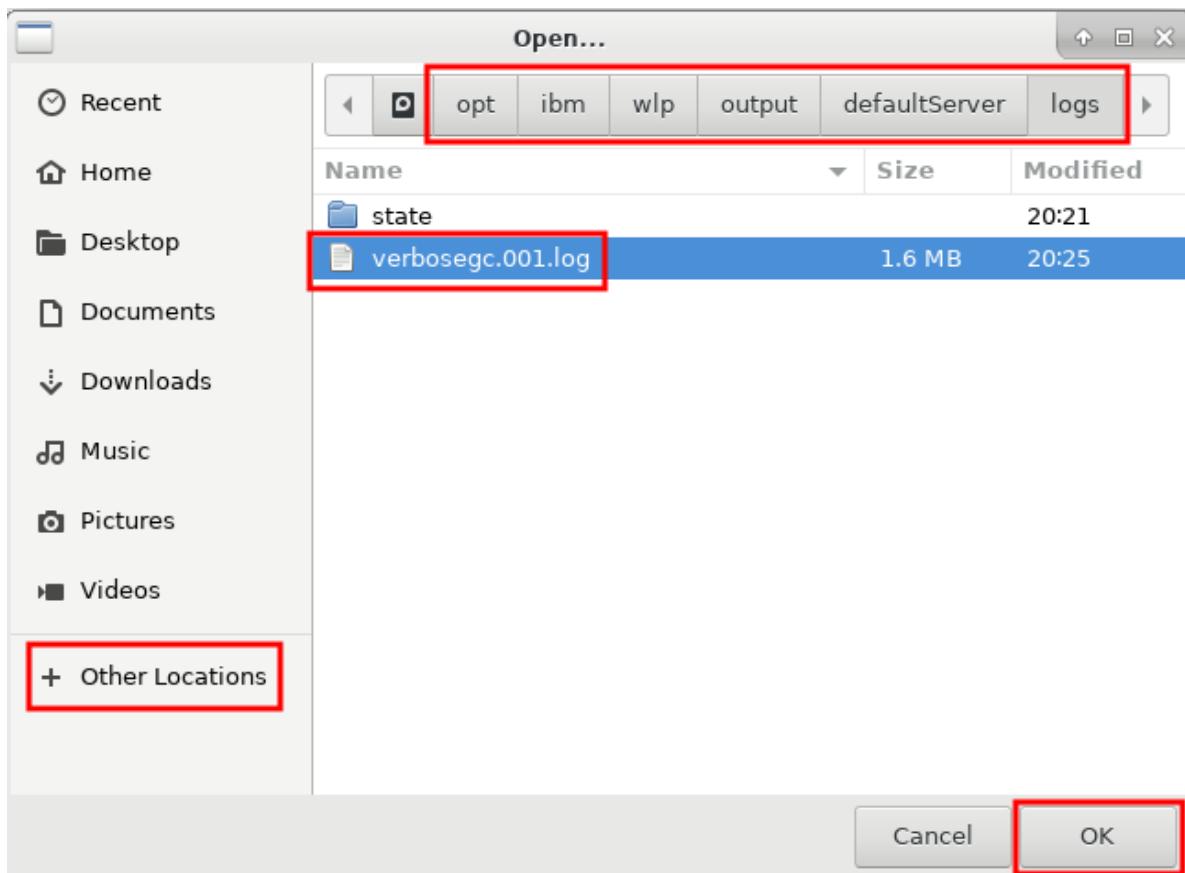
- Start the Liberty server

```
/opt/ibm/wlp/bin/server start defaultServer
```

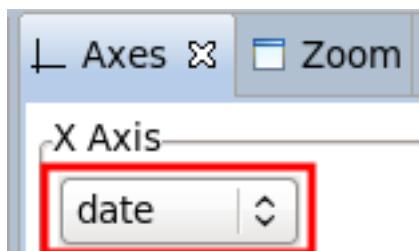
- If learning WAS traditional, verbosegc is enabled by default so you don't need to do anything.
- Start JMeter
- Run the test for about 5 minutes.
- Stop JMeter
- From the desktop, double click on GCMV:
- Click **File > Load File...** and select the **verbosegc.001.log** file. For example:



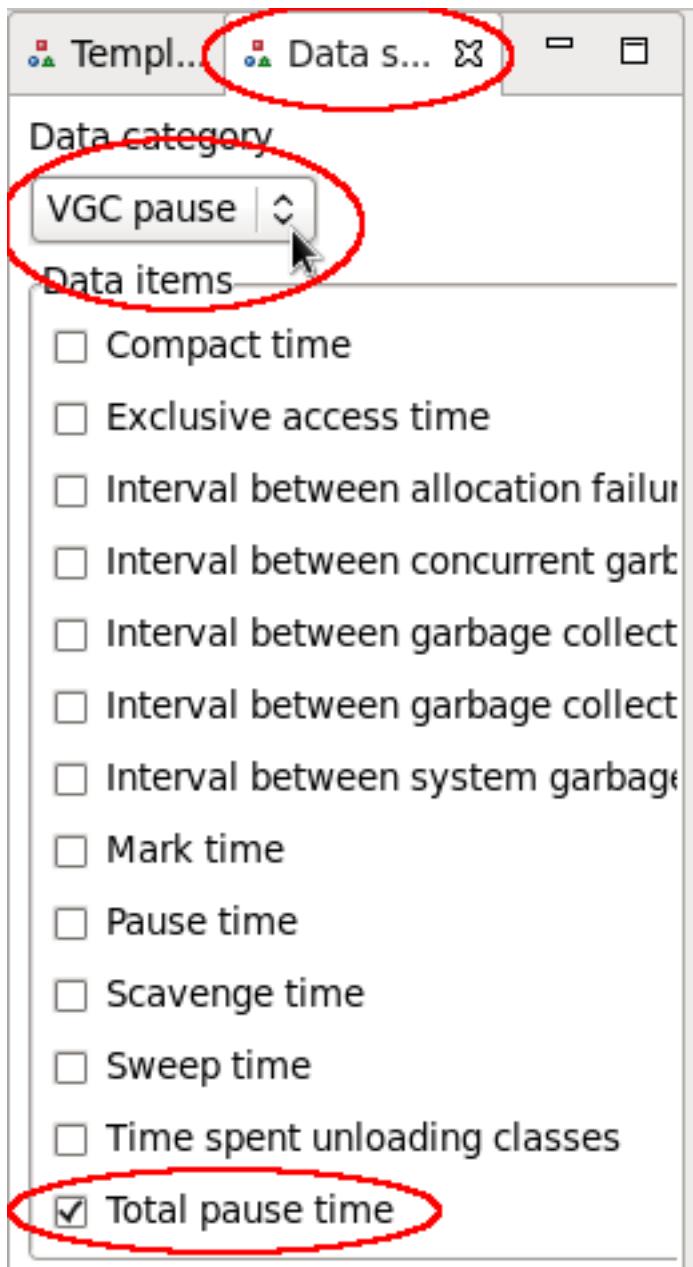
- Select `/opt/ibm/wlp/output/defaultServer/logs/verbosegc.001.log`



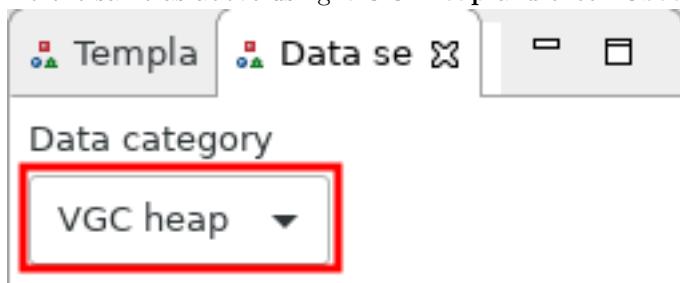
- Once the file is loaded, you will see the default line plot view. It is common to change the **X-axis** to **date** to see absolute timestamps:

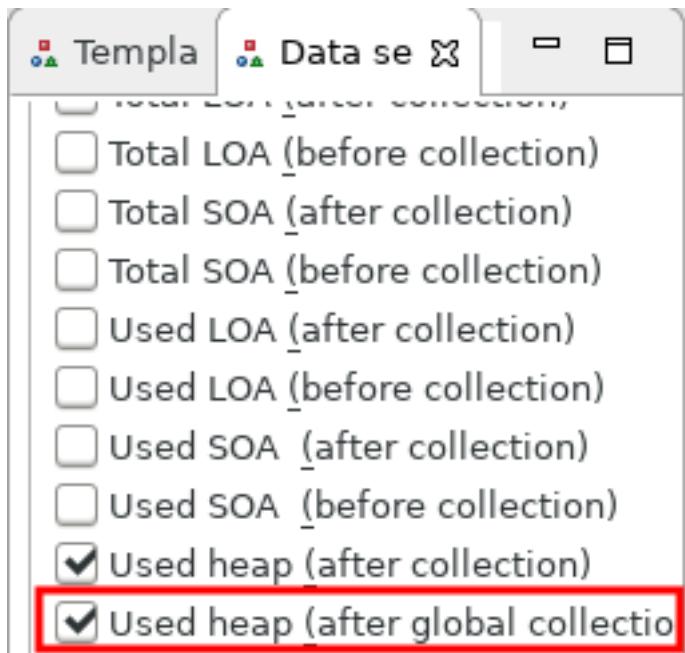


- Click the **Data Selector** tab in the top left, choose **VGC Pause** and check **Total pause time** to add the total garbage collection pause time plot to the graph:

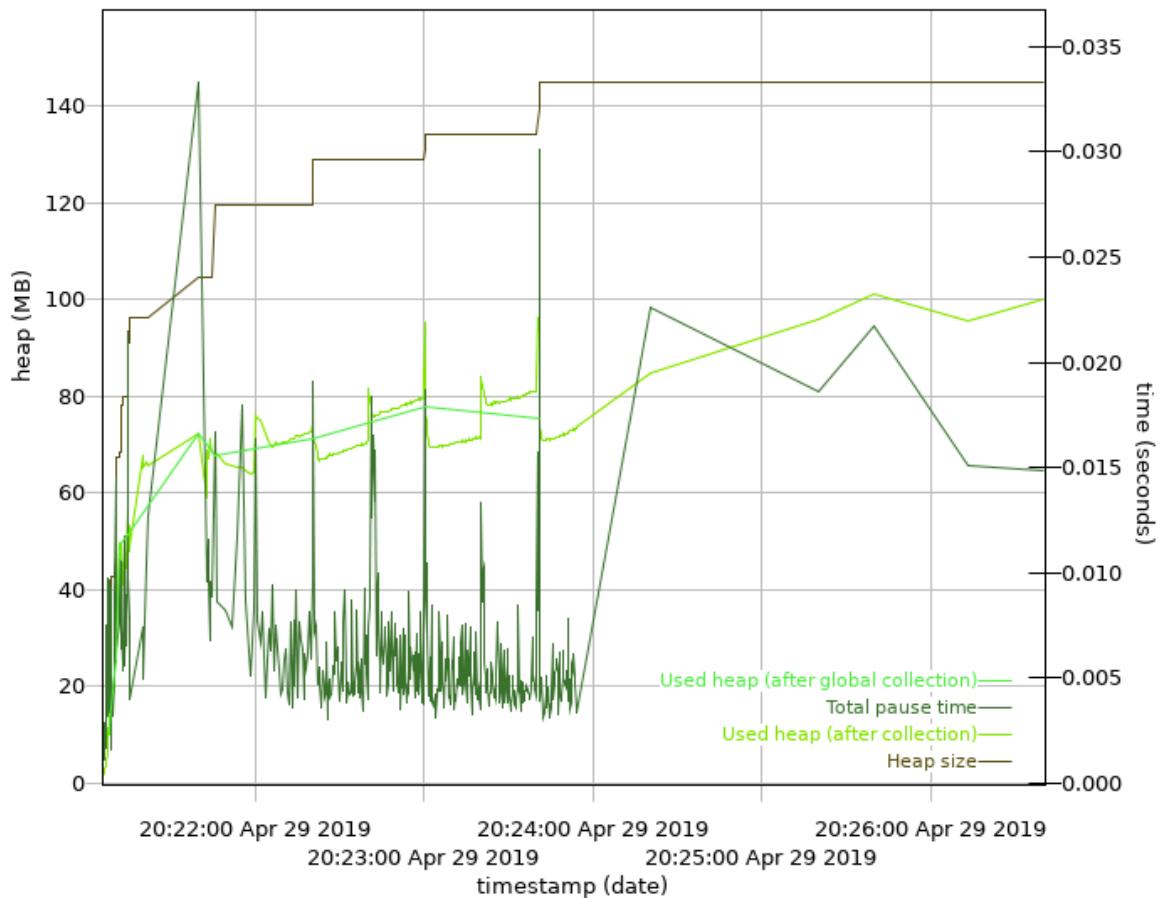


12. Do the same as above using **VGC Heap** and check **Used heap (after global collection)**:





13. Observe the heap usage and pause time magnitude and frequency over time. For example:



1. This shows that the heap size reaches 145MB and the heap usage (after global collection) reached

~80MB.

14. More importantly, we want to know the proportion of time spent in GC. Click the **Report** tab and review the **Proportion of time spent in garbage collection pauses (%)**:

Data set 1	
<a href="#">Tuning recommendation</a>	<a href="#">Summary</a>
<a href="#">Summary</a>	Concurrent collection count 12
<a href="#">Heap size</a>	Forced collection count 0
<a href="#">Total pause time</a>	GC Mode gencon
<a href="#">Used heap (after global collection)</a>	Global collections - Mean garbage collection pause (ms) 14.0
<a href="#">Used heap (after collection)</a>	Global collections - Mean interval between collections (ms) 10307
	Global collections - Number of collections 15
	Global collections - Total amount tenured (MB) 630
	Largest memory request (bytes) 131080
	Number of collections triggered by allocation failure 487
	Nursery collections - Mean garbage collection pause (ms) 4.61
	Nursery collections - Mean interval between collections (ms) 735
	Nursery collections - Number of collections 452
	Nursery collections - Total amount flipped (MB) 843
	Nursery collections - Total amount tenured (MB) 119
	Proportion of time spent in garbage collection pauses (%) 0.91
	Proportion of time spent unpause (%) 99.09
	Rate of garbage collection (MB/minutes) 3269

## Heap size

<a href="#">Report</a>	<a href="#">Table data</a>	<a href="#">Line plot</a>	<a href="#">Structured data</a>	verbosegc.001.log
------------------------	----------------------------	---------------------------	---------------------------------	-------------------

1. If this number is less than 1%, then this is very healthy. If it's less than 5% then it's okay. If it's less than 10%, then there is significant room for improvement. If it's greater than 10%, then this is concerning.

Next, let's simulate a memory issue.

Note: You may skip the data collection steps and use example data packaged at /opt/webspherelab/supplemental/examp

1. Stop JMeter if it is started.

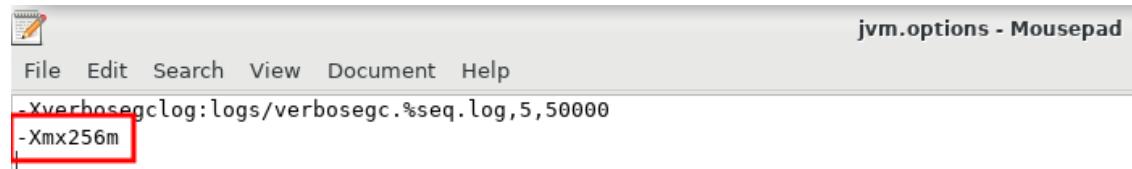
2. If learning Liberty:

1. Stop Liberty:

```
/opt/ibm/wlp/bin/server stop defaultServer
```

2. Edit /opt/ibm/wlp/usr/servers/defaultServer/jvm.options, add an explicit maximum heap size of 256MB on a new line and save the file:

-Xmx256m



3. Start Liberty

```
/opt/ibm/wlp/bin/server start defaultServer
```

3. If learning WAS traditional:

1. Open the Administrative Console at <https://localhost:9043/ibm/console>
2. Login with user **wsadmin** and password **websphere**
3. Click **Servers > Server Types > WebSphere application server > server1**

A screenshot of the WebSphere Administrative Console. The left sidebar shows navigation categories like Welcome, Guided Activities, Servers, Applications, Services, and Resources. Under Servers, the "Server Types" section is expanded, and "WebSphere application servers" is selected, highlighted with a red box. The main panel is titled "Application servers" and displays a table of servers. One row in the table is highlighted with a red box and shows the server name "server1", which is also highlighted with a red box in the table header.

Name	Node
server1	DefaultNode01

You can administer the following resources:  
Total 1

4. Click Java and Process Management > Process Definition:

Cell=DefaultCell01, Profile=AppSrv01

**Application servers**

**Application servers > server1**

Use this page to configure an application server. An application server is a server that provides services required to run enterprise applications.

**Runtime** Configuration

**General Properties**

Name: server1  
Node name: DefaultNode01  
 Run in development mode  
 Parallel start  
 Start components as needed  
Access to internal server classes: Allow

**Container Settings**

- Session management
- SIP Container Settings
- Web Container Settings
- Portlet Container Settings
- EJB Container Settings
- Container Services
- Business Process Services

**Applications**

- Installed applications

**Server messaging**

- Messaging engines
- Messaging engine inbound transports
- WebSphere MQ link inbound transports
- SIB service

**Server Infrastructure**

- Java and Process Management
  - Class loader
  - Process definition **(highlighted)**
  - Process execution

Apply OK Reset Cancel

5. Click Java Virtual Machine:

Cell=DefaultCell01, Profile=AppSrv01

**Application servers**

**Application servers > server1 > Process definition**

Use this page to configure a process definition. A process definition defines the command line information necessary to start or initialize a process.

Configuration

**General Properties**

Executable name:  
Executable arguments:

**Additional Properties**

- Java Virtual Machine **(highlighted)**
- Environment Entries
- Process execution
- Process Logs
- Logging and tracing

6. Set -Xmx to 256MB:

**Application servers**

[Application servers](#) > [server1](#) > [Process definition](#) > **Java Virtual Machine**

Use this page to configure advanced Java(TM) virtual machine settings.

[Configuration](#)    [Runtime](#)

---

**General Properties**

Classpath

Boot Classpath

Verbose class loading

Verbose garbage collection

Verbose JNI

Initial heap size  
[ ] MB

Maximum heap size  
[ 256 ] MB

7. Scroll down and click OK:

Apply **OK** Reset Cancel

8. Click Save:

Cell=DefaultCell01, Profile=AppSrv01

**Application servers**

**Messages**

- ⚠ Changes have been made to your local configuration. You can:
  - [Save](#) directly to the master configuration.
  - [Review](#) changes before saving or discarding.
- ⚠ The server may need to be restarted for these changes to take effect.

[Application servers > server1 > Process definition](#)

9. Stop the server.

```
/opt/IBM/WebSphere/AppServer/profiles/AppSrv01/bin/stopServer.sh server1 -username wsadmin -password websphere
```

10. Start the server

```
/opt/IBM/WebSphere/AppServer/profiles/AppSrv01/bin/startServer.sh server1
```

4. Start JMeter

5. Let the JMeter test run for about 5 minutes.

6. Do not stop the JMeter test but leave it running as you continue to the next step.

7. Open your browser to the following page:

1. If learning Liberty: <http://localhost:9080/swat/AllocateObject?size=1048576&iterations=300&waittime=1000&retainData=true>
2. If learning WAS traditional: <http://localhost:9081/swat/AllocateObject?size=1048576&iterations=300&waittime=1000&retainData=true>
3. This will allocate three hundred 1MB objects with a delay of 1 second between each allocation, and hold on to all of them to simulate a leak.
4. This will take about 5 minutes to run and you can watch your browser output for progress.
5. You can run **top -H** while this is running. As memory pressure builds, you'll start to see **GC Slave** threads consuming most of the CPUs instead of application threads (garbage collection also happens on the thread where the allocation failure occurs, so you may also see a single application thread consuming a similar amount of CPU as the GC Slave threads):

```
top -H -p $(pgrep -f defaultServer) -d 5
```

Terminal - was@lcee4616f9b4:/output

File Edit View Terminal Tabs Help

```
top - 19:33:23 up 38 min, 0 users, load average: 3.53, 3.21, 1.91
Threads: 86 total, 4 running, 82 sleeping, 0 stopped, 0 zombie
%Cpu(s): 69.4 us, 2.5 sy, 0.0 ni, 28.1 id, 0.0 wa, 0.0 hi, 0.0 si, 0.0 st
MiB Mem : 11993.4 total, 6988.6 free, 2036.4 used, 2968.4 buff/cache
MiB Swap: 1024.0 total, 1024.0 free, 0.0 used. 9650.1 avail Mem
```

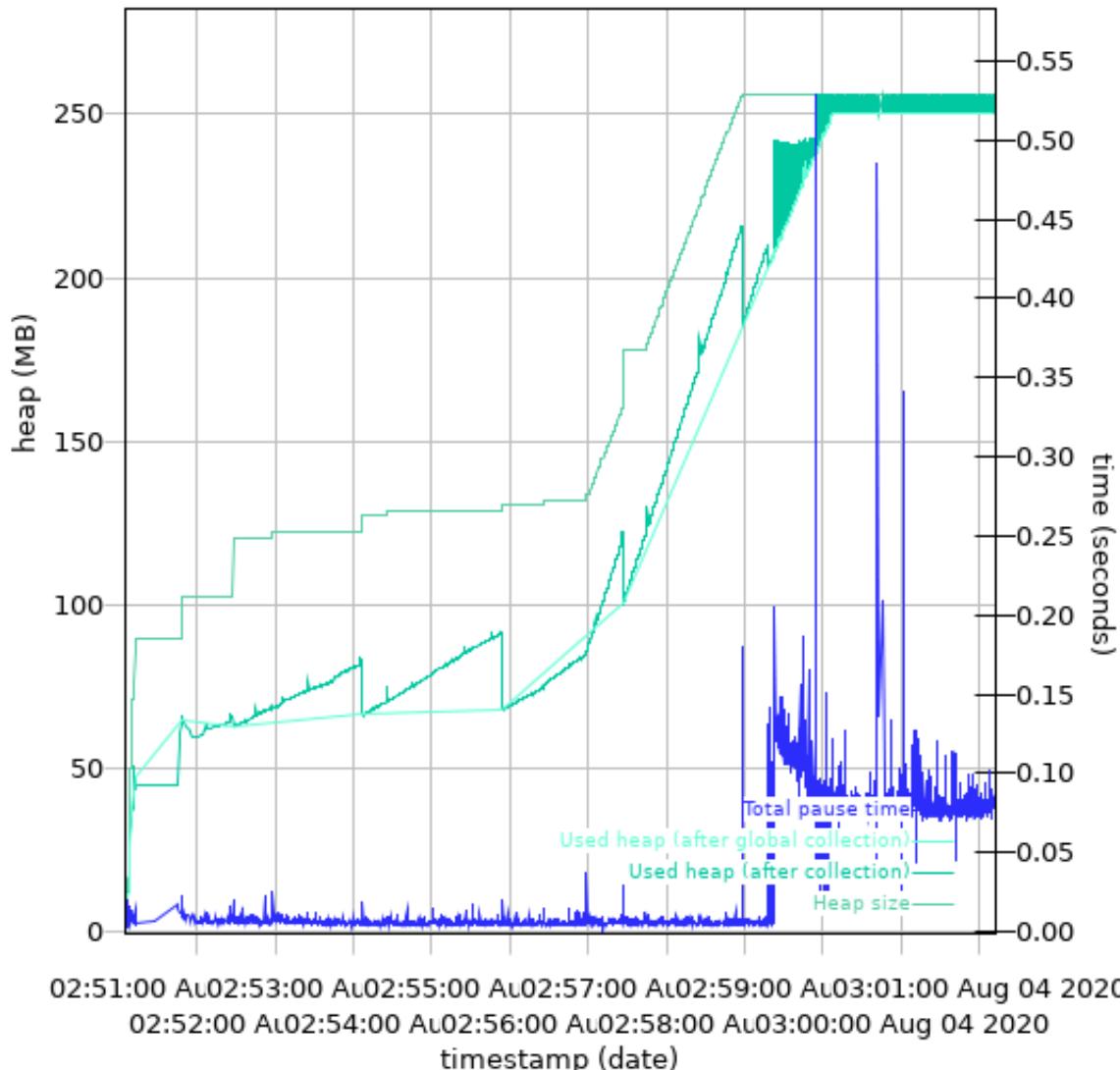
PID	USER	PR	NI	VIRT	RES	SHR	S	%CPU	%MEM	TIME+	COMMAND
9079	was	20	0	2932440	509056	37660	R	69.3	4.1	0:46.80	GC Slave
9080	was	20	0	2932440	509056	37660	R	65.7	4.1	0:45.99	GC Slave
9081	was	20	0	2932440	509056	37660	R	65.3	4.1	0:46.66	GC Slave
10386	was	20	0	2932440	509056	37660	S	12.7	4.1	0:01.15	Default Executo
10172	was	20	0	2932440	509056	37660	S	9.3	4.1	0:05.95	Default Executo
9103	was	20	0	2932440	509056	37660	S	9.0	4.1	0:03.07	Scheduled Executo
10240	was	20	0	2932440	509056	37660	S	9.0	4.1	0:04.15	Default Executo

6. At some point, browser output will stop because the JVM has thrown an OutOfMemoryError.

8. Stop JMeter
9. Forcefully kill the JVM because an OutOfMemoryError does not stop the JVM; it will continue garbage collection thrashing and consume all of your CPU.
  1. If learning Liberty:  

```
pkill -9 -f defaultServer
```
  2. If learning WAS traditional:  

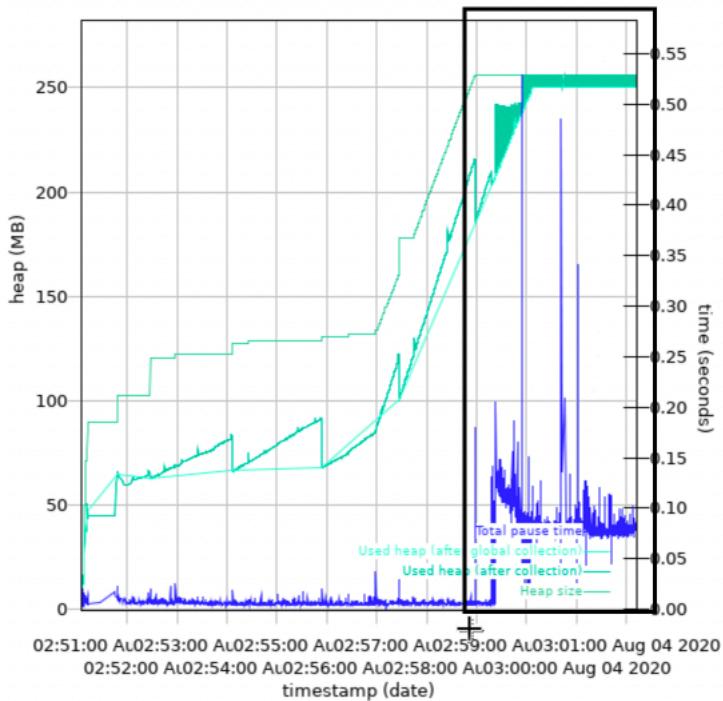
```
pkill -9 -f "DefaultNode01 server1"
```
10. Close and re-open the **verbosegc\*log** file in GCMV:



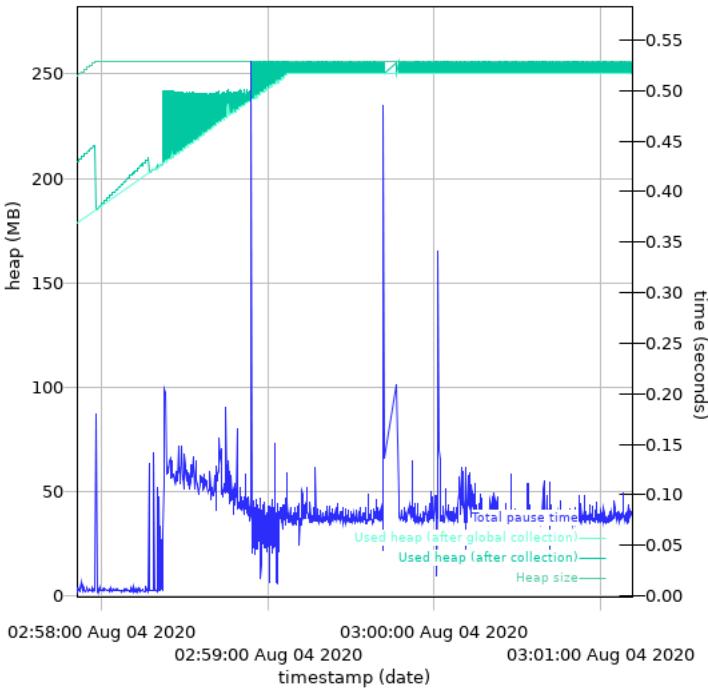
1. We can quickly see how the heap usage reaches 256MB and the pause time magnitude and durations increase significantly.
11. Click on the **Report** tab and review the **Proportion of time spent in garbage collection pauses (%)**:

Proportion of time spent in garbage collection pauses (%)	24.38
---	-------

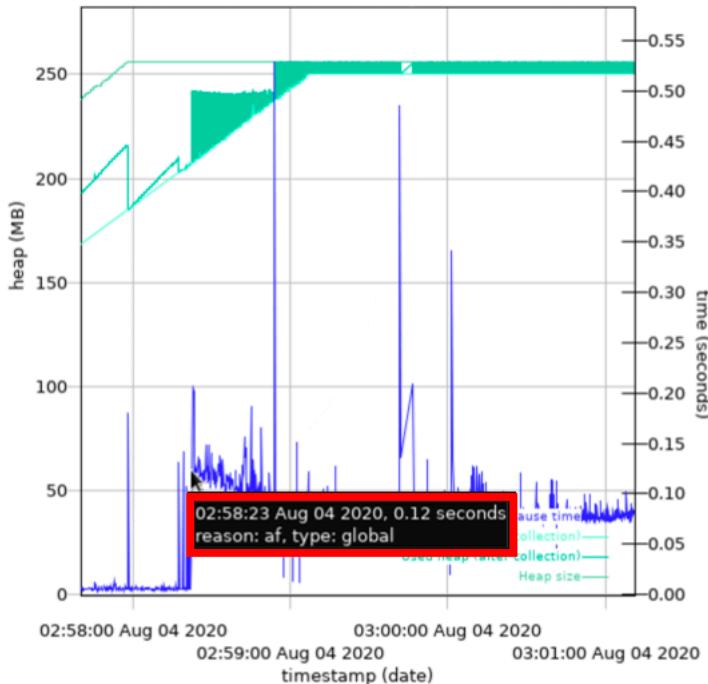
12. 24% seems pretty bad but not terrible and doesn't line up with what we know about what happened. This is because, by default, the GCMV Report tab shows statistics for the entire duration of the verbosegc log file. Since we had run the JMeter test for 5 minutes and it was healthy, the average proportion of time in GC is lower for the whole duration.
13. Click on the **Line plot** tab and zoom in to the area of high pause times by using your mouse button to draw a box around those times:



14. This will zoom the view to that bounding box:

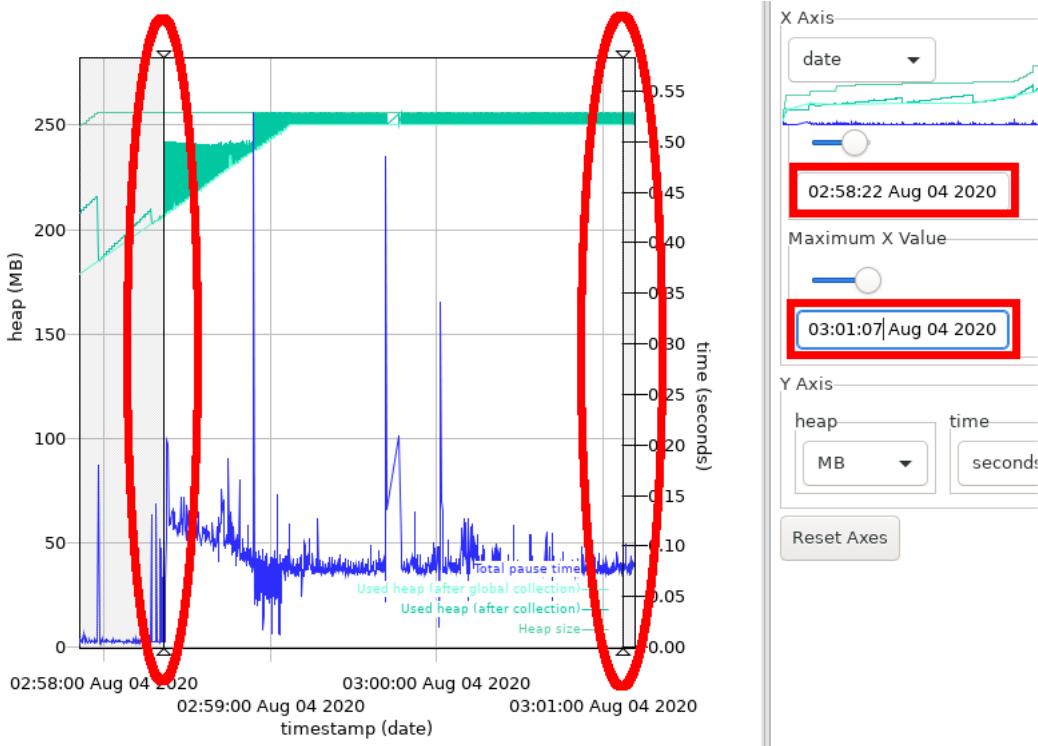


15. However, zooming in is just a visual aid. To change the report statistics, we need to match the X-axis to the period of interest.
16. Hover your mouse over the approximate start and end points of the section of concern (frequent pause time spikes) and note the times of those points (in terms of your selected X Axis type):



17. Enter each of the values in the minimum and maximum input boxes and press **Enter** on your keyboard in each one to apply the value. The tool will show vertical lines with triangles showing the area of the

graph that you've cropped to.



- Click on the **Report** tab at the bottom and observe the proportion of time spent in garbage collection for this period is very high (in this example, ~87%).

Proportion of time spent in garbage collection pauses (%)	87.01
---	-------

- This means that the application is doing very little work and is very unhealthy. In general, there are a few, non-exclusive ways to resolve this problem:
  - Increase the maximum heap size.
  - Decrease the object allocation rate of the application.
  - Resolve memory leaks through heapdump analysis.
  - Decrease the maximum thread pool size.

## Other Topics

The above three sections – operating system CPU and memory, thread dumps, and garbage collection – are the three key elements that should be reviewed for all problems and performance issues. The rest of the lab will review other problem types and performance tuning and other types of tools.

## Performance Tuning Tips

- Performance tuning is usually about focusing on a few key variables. We will highlight the most common tuning knobs that can often improve the speed of the average application by 200% or more relative to the default configuration. The first step, however, should be to use and be guided by the tools and methodologies. Gather data, analyze it and create hypotheses: then test your hypotheses.

Rinse and repeat. As Donald Knuth says: "Programmers waste enormous amounts of time thinking about, or worrying about, the speed of noncritical parts of their programs, and these attempts at efficiency actually have a strong negative impact when debugging and maintenance are considered. We should forget about small efficiencies, say about 97% of the time [...]. Yet we should not pass up our opportunities in that critical 3%. A good programmer will not be lulled into complacency by such reasoning, he will be wise to look carefully at the critical code; but only after that code has been identified. It is often a mistake to make a priori judgments about what parts of a program are really critical, since the universal experience of programmers who have been using measurement tools has been that their intuitive guesses fail." (Donald Knuth, Structured Programming with go to Statements, Stanford University, 1974, Association for Computing Machinery)

2. There is a seemingly daunting number of tuning knobs. Unless you are trying to squeeze out every last drop of performance, we do not recommend a close study of every tuning option.
3. In general, we advocate a bottom-up approach. For example, with a typical WebSphere Application Server application, start with the operating system, then Java, then WAS, then the application, etc. Ideally, investigate these at the same time. The main goal of a performance tuning exercise is to iteratively determine the bottleneck restricting response times and throughput. For example, investigate operating system CPU and memory usage, followed by Java garbage collection usage and/or thread dumps/sampling profilers, followed by WAS PMI, etc.
4. One of the most difficult aspects of performance tuning is understanding whether or not the architecture of the system, or even the test itself, is valid and/or optimal.
5. Meticulously describe and track the problem, each test and its results.
6. Use basic statistics (minimums, maximums, averages, medians, and standard deviations) instead of spot observations.
7. When benchmarking, use a repeatable test that accurately models production behavior, and avoid short term benchmarks which may not have time to warm up.
8. Take the time to automate as much as possible: not just the testing itself, but also data gathering and analysis. This will help you iterate and test more hypotheses.
9. Make sure you are using the latest version of every product because there are often performance or tooling improvements available.
10. When researching problems, you can either analyze or isolate them. Analyzing means taking particular symptoms and generating hypotheses on how to change those symptoms. Isolating means eliminating issues singly until you've discovered important facts. In general, we have found through experience that analysis is preferable to isolation.
11. Review the full end-to-end architecture. Certain internal or external products, devices, content delivery networks, etc. may artificially limit throughput (e.g. Denial of Service protection), periodically mark services down (e.g. network load balancers, WAS plugin, etc.), or become saturated themselves (e.g. CPU on load balancers, etc.).

## Heap Dumps

Heap dumps are snapshots of Java objects in a process. On IBM Java and OpenJ9, the two heapdump formats are Portable Heapdump (\*.phd) and System Dump (core\*.dmp).

This lab will demonstrate how to exercise the sample DayTrader application using Apache JMeter, request a heap dump of WebSphere Application Server, and review the heapdump file in the free IBM Memory Analyzer Tool (MAT).

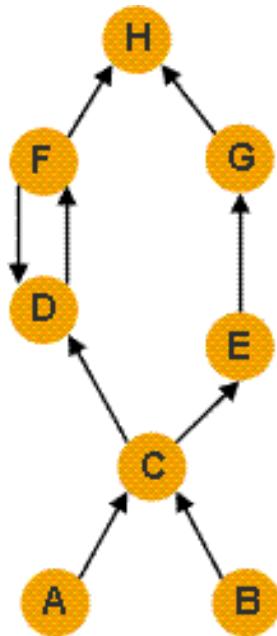
## Heap Dump Theory

Heap dumps are used for investigating the causes of OutOfMemoryErrors, sizing applications, and reviewing memory contents under various conditions. Recent versions of IBM Java and OpenJ9 automatically produce PHDs on the first four OutOfMemoryErrors thrown by a process, and a system dump on the first OutOfMemoryError thrown by a process (assuming the operating system has been configured to allow system dumps).

A significant difference between PHDs and system dumps is that PHDs only have the object relationships so they do not contain sensitive user information (although they do contain class names which may be considered sensitive by some), whereas system dumps contain all the memory at the time of the dump which may include user information. System dumps should be treated with very high sensitivity and encrypted if necessary using a tool such as gpg. The general recommendation is to always use system dumps, and if security is a concern, extract a PHD file from the system dump using jdmpview for normal usage, and save the system dump in an encrypted format in case it is needed for advanced analysis.

A few key definitions:

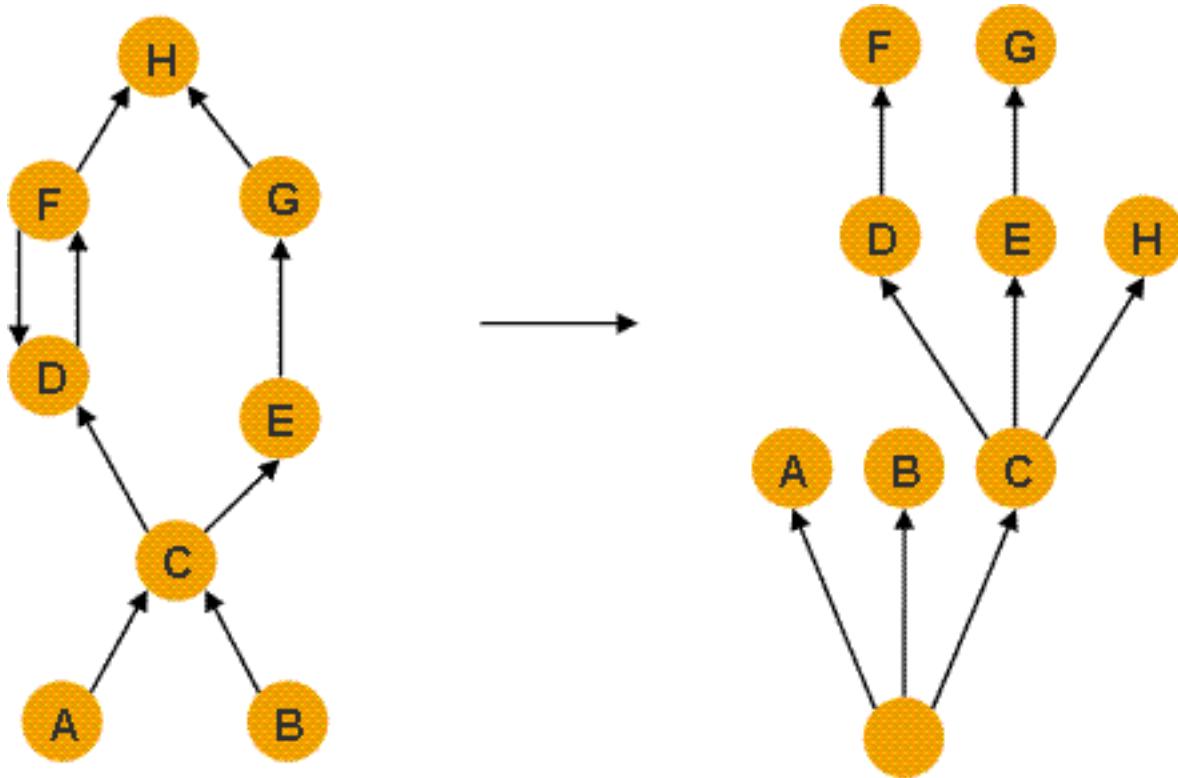
- The retained set of X is the set of objects which would be removed by the garbage collector when X is garbage collected.



**A and B are garbage collection roots**, e.g. method parameters, locally created objects, objects used for `wait()`, `notify()` or `synchronized()`, etc.

Leading Set	Retained Set
E	E,G
C	C,D,E,F,G,H
A,B	A,B,C,D,E,F,G,H

- The dominator tree is a transformation of the graph which creates a spanning tree, removes cycles, and models the keep-alive dependencies.

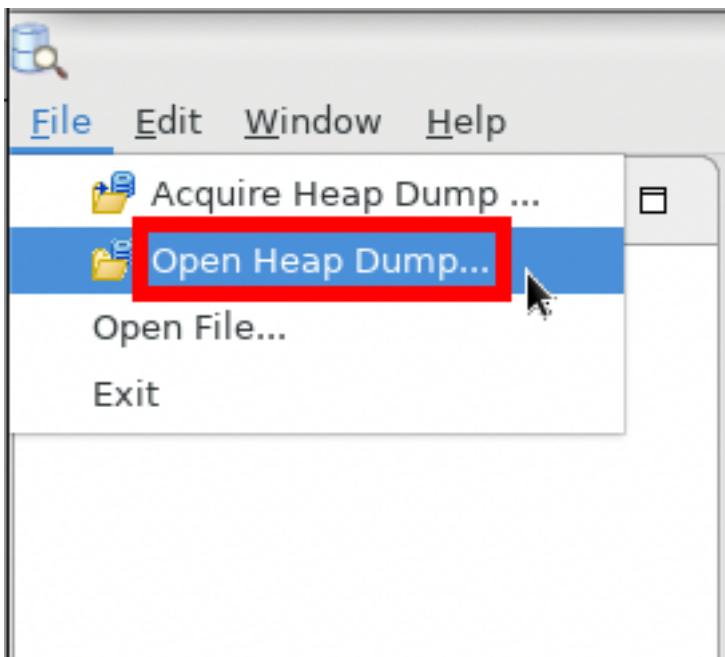


Do not confuse system dumps which are usually named **core\*.dmp** with thread dumps/java dumps which are usually named **javacore\*.txt**. Also note that a system dump sounds like it is a dump of the entire system but actually it is just a dump of a single process (a dump of an entire system is usually called a kernel dump).

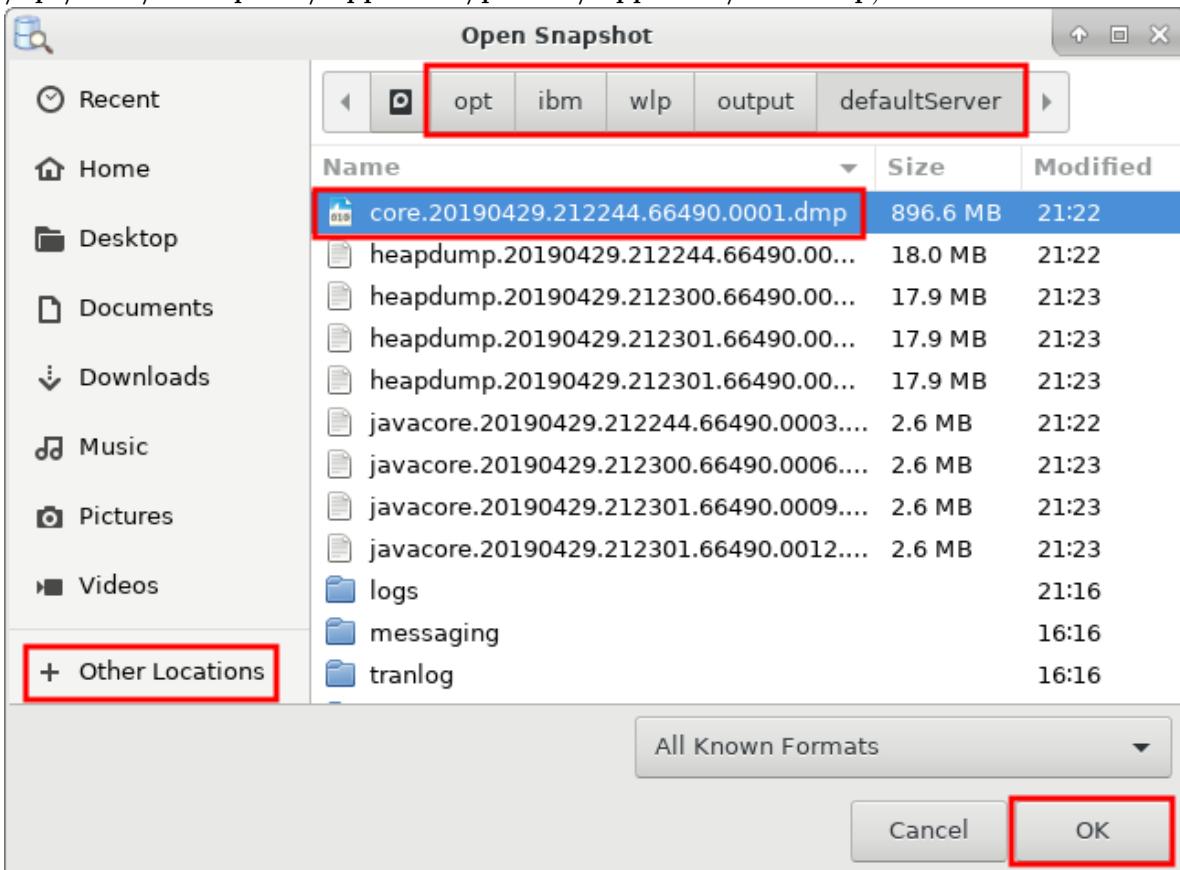
## Heap Dump Lab

Note: You may skip the data collection steps and use example data packaged at /opt/webspherelab/supplemental/examples/heapdump.

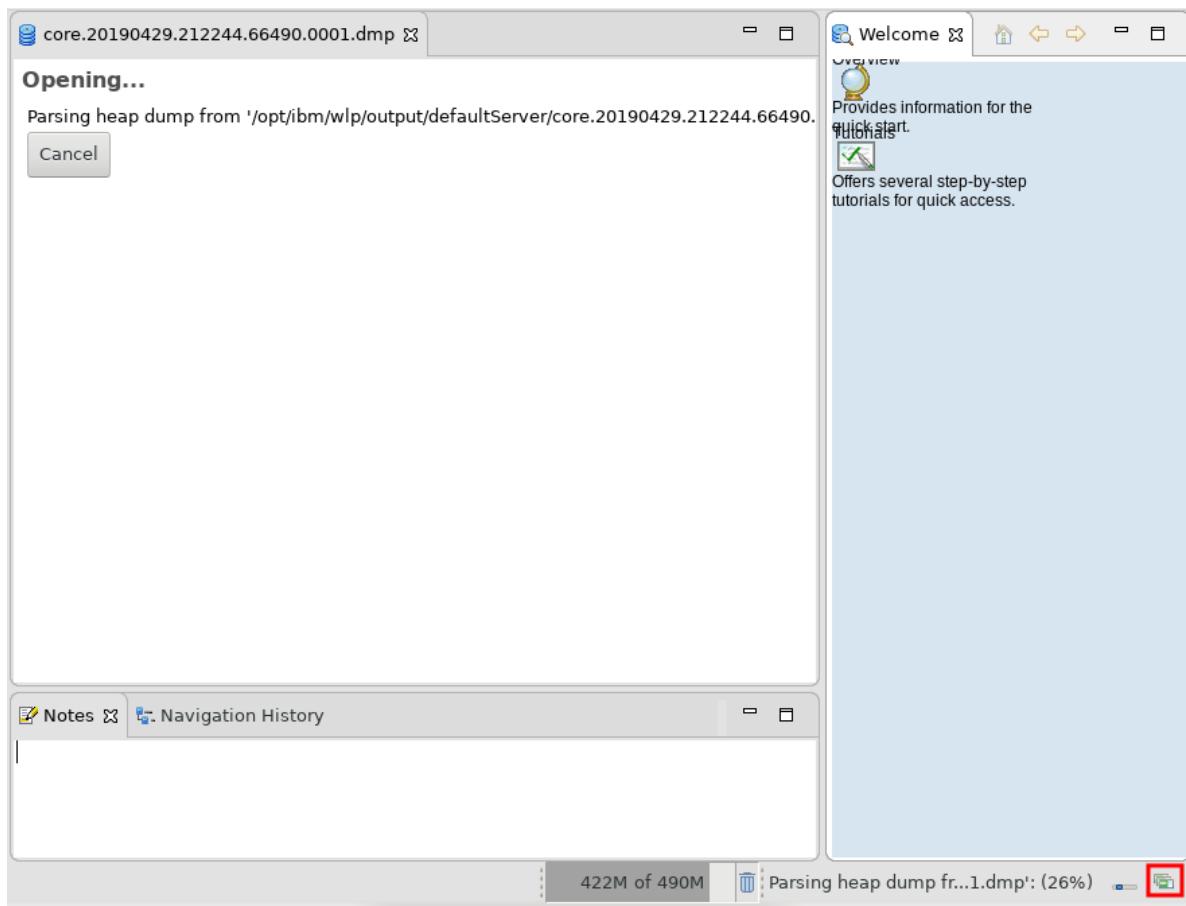
1. Complete the *Garbage Collection Lab* above which will have caused an OutOfMemoryError and produced a heapdump.
2. From the desktop, double click on **MAT**.
3. Click **File > Open Heap Dump...**



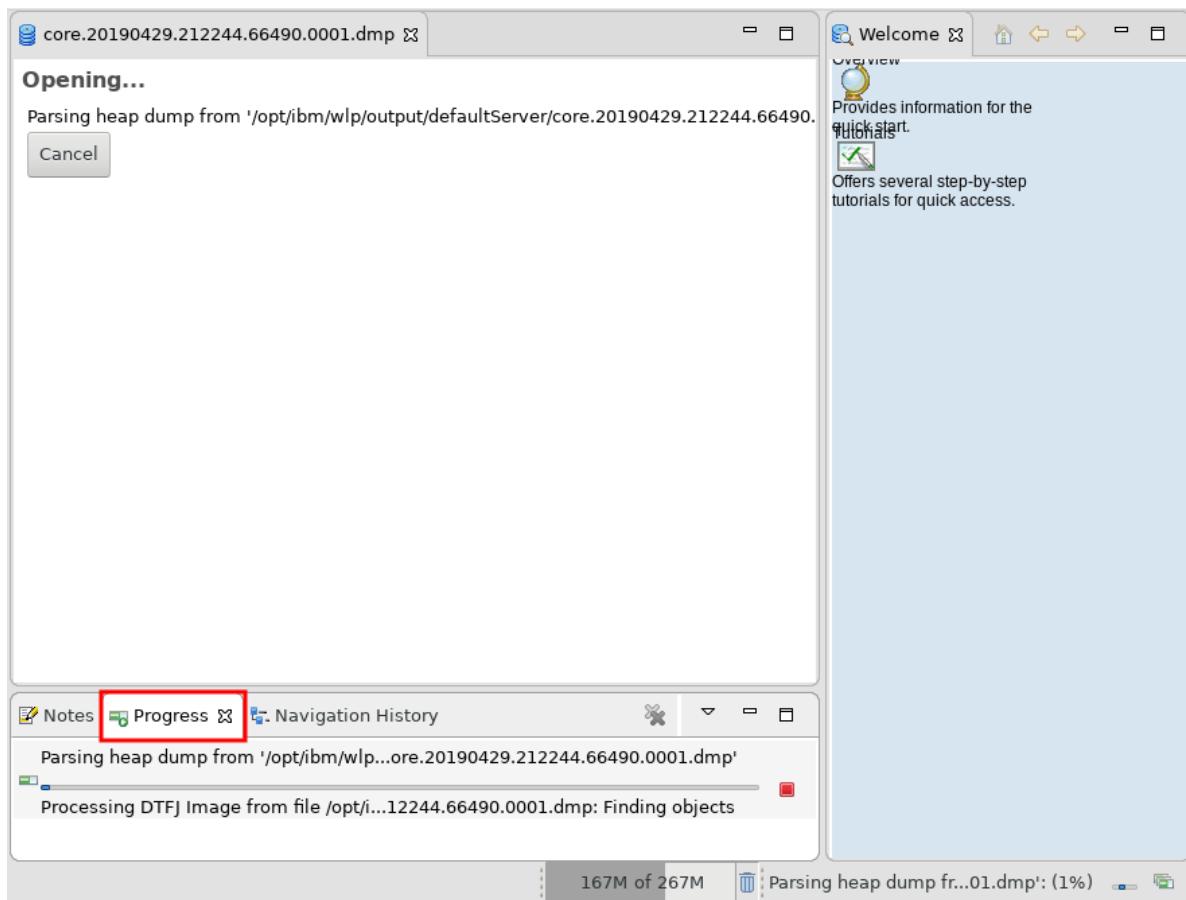
4. Select the `core.*.dmp` file produced in the previous garbage collection lab (if learning Liberty: `/opt/ibm/wlp/output/defaultServer/core*dmp` ; if learning WAS traditional: `/opt/IBM/WebSphere/AppServer/profiles/AppSrv01/core*dmp`):



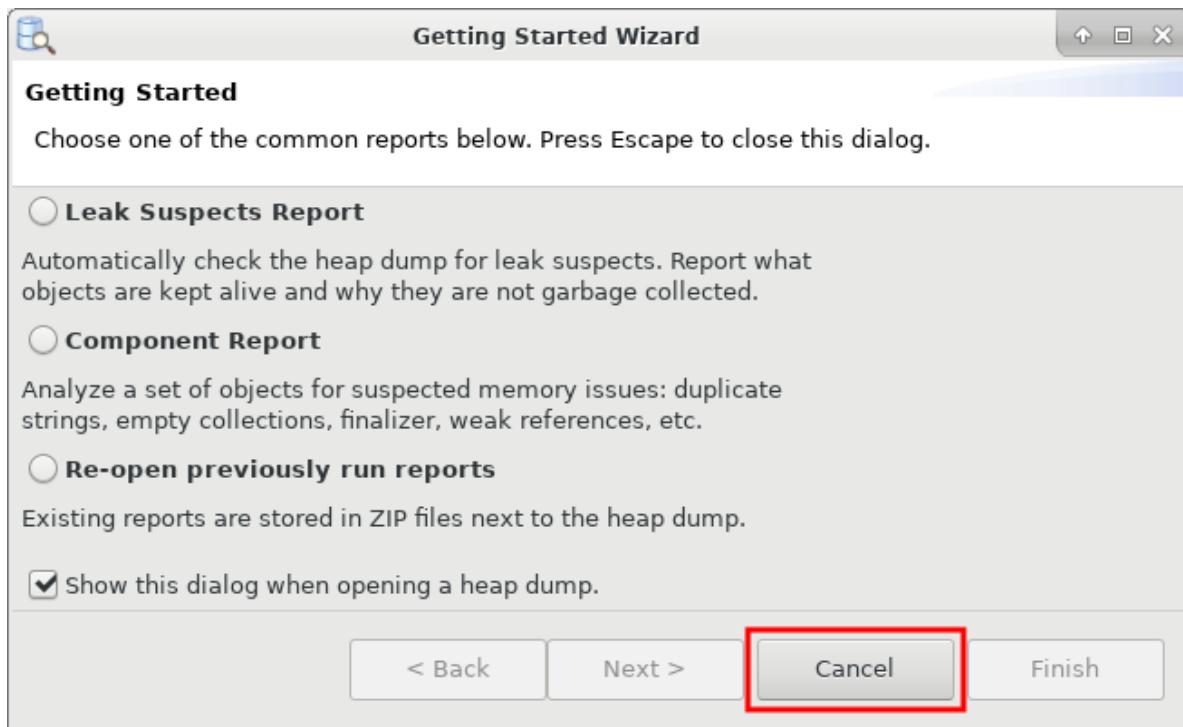
5. Click on the progress icon in the bottom right corner to get a detailed view of the progress:



6. Now the **Progress** view is opened:



7. After the dump finishes loading, a pop-up will appear with suggested actions such as running the leak suspect report. Just click **Cancel**:

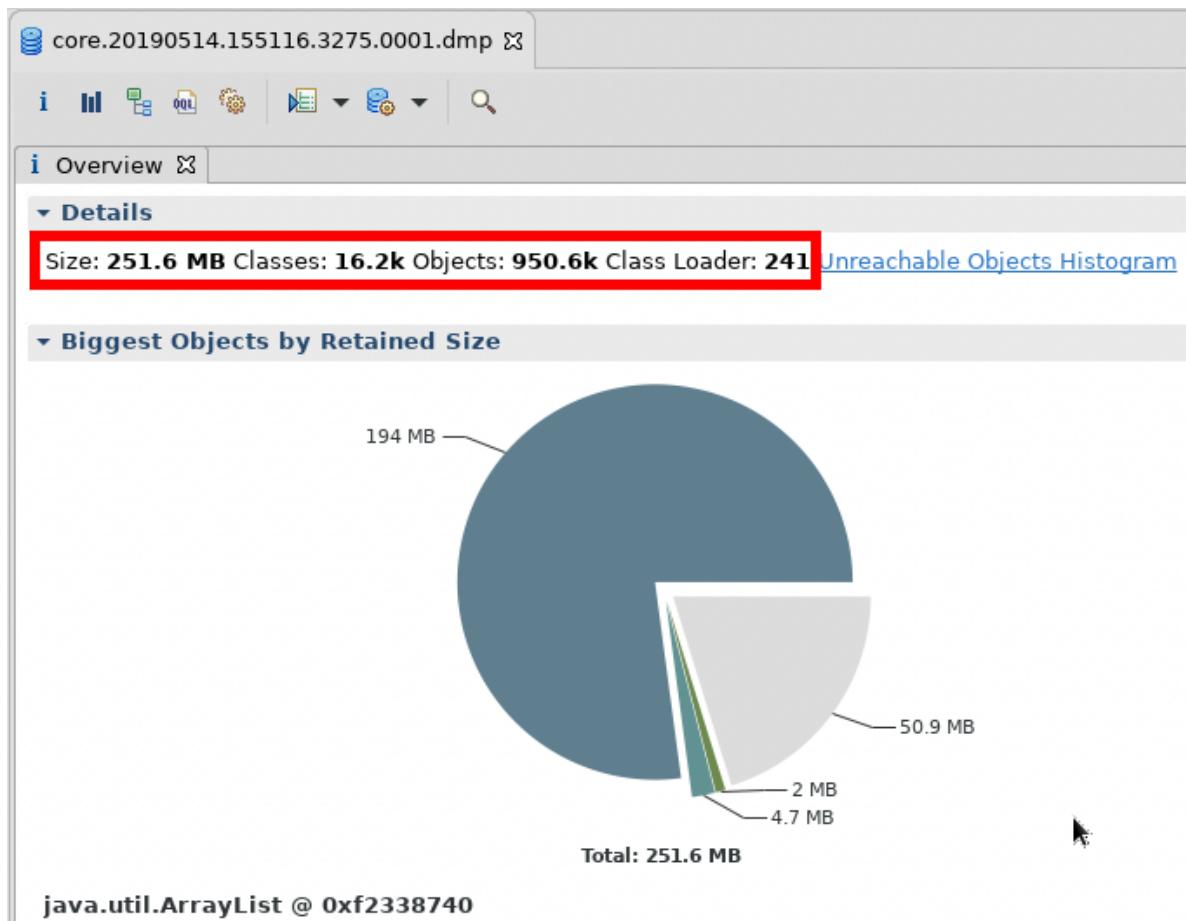


8. The first thing to check is to see whether there were any errors processing the dump. Review the **Error Log** and check for any warnings or errors:

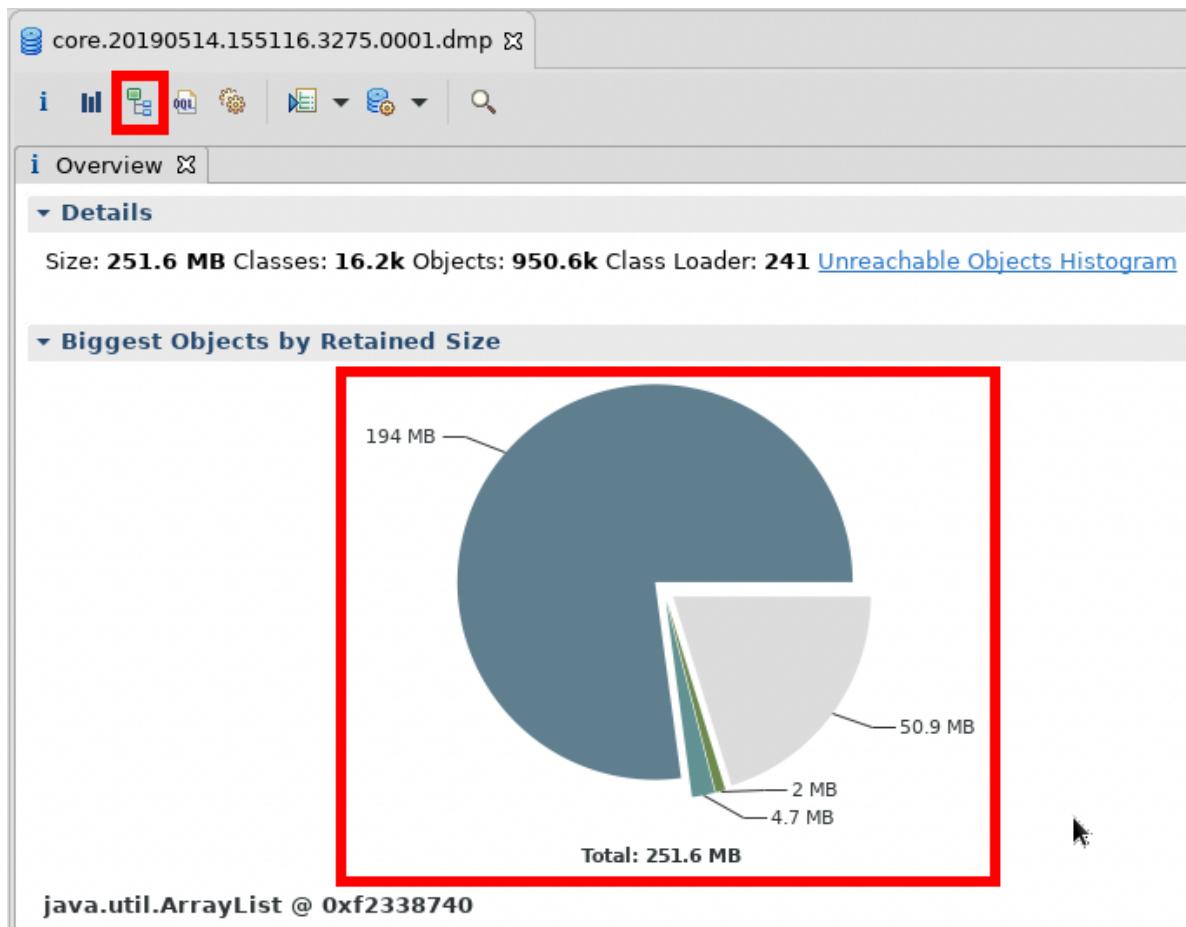
The screenshot shows the Eclipse IDE's Error Log view. The tab bar at the top has several tabs, with the 'Error Log' tab being the active one and highlighted with a red rectangle. Below the tabs is a 'Workspace Log' section with a 'type filter text' input field. The main area is titled 'Message' and contains a table with four columns: 'Message', 'Plug-in', 'Date', and 'Severity'. The table shows the following log entries:

Message	Plug-in	Date	Severity
i Removed 96,361 unreachable objects using 2,819,856 bytes	org.eclipse.mat.ui	4/29/19, 9:53 P	Information
i Took 29,465ms to parse the DTFJ image file /opt/ibm/wlp/output/defaultServer/core.20190429.2122	org.eclipse.mat.ui	4/29/19, 9:53 P	Information
i Using DTFJ root support with 4,241 roots	org.eclipse.mat.ui	4/29/19, 9:53 P	Information
i No finalizable objects marked as extra GC roots	org.eclipse.mat.ui	4/29/19, 9:53 P	Information

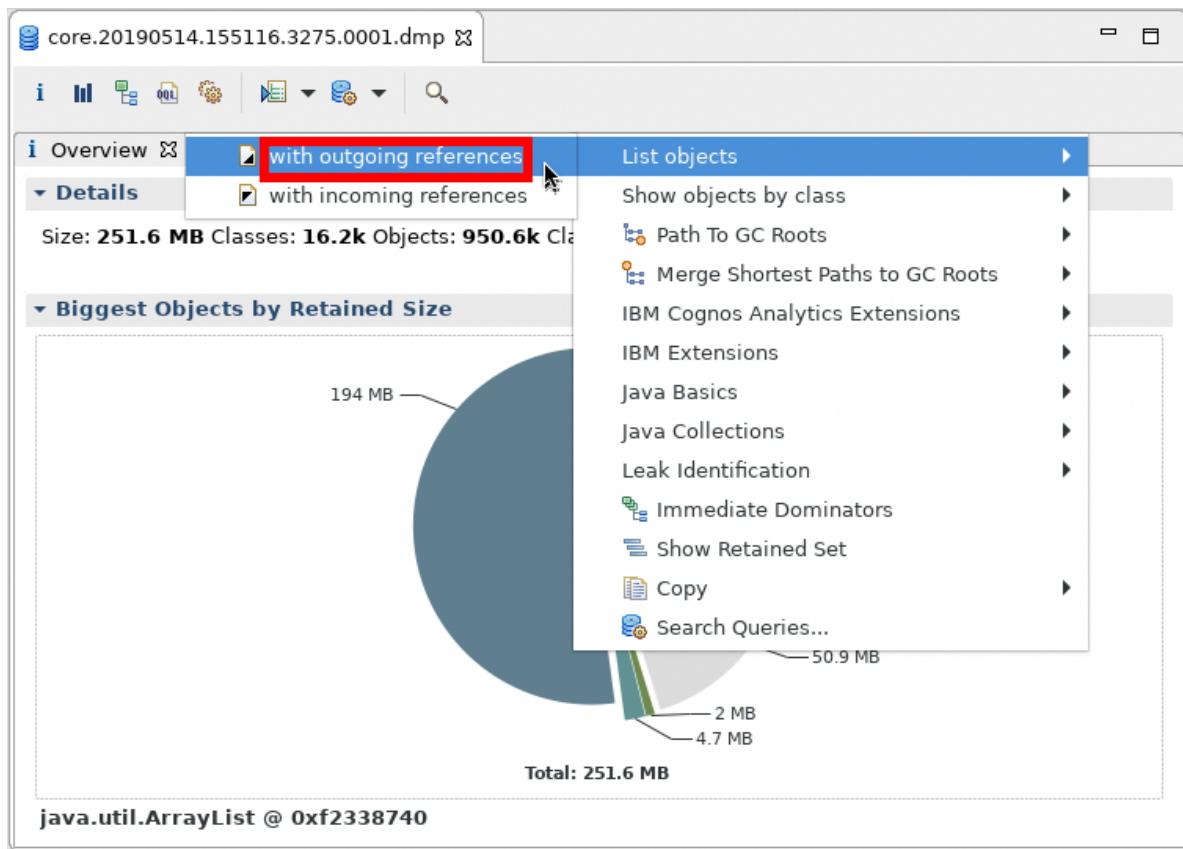
1. It is possible to have a few warnings without too many problems. If you believe the warnings are limiting your analysis, consider opening an IBM Support case to investigate the issue with the IBM Java support team.
9. The overview tab shows the total live Java heap usage and the number of live classes, classloaders, and objects:



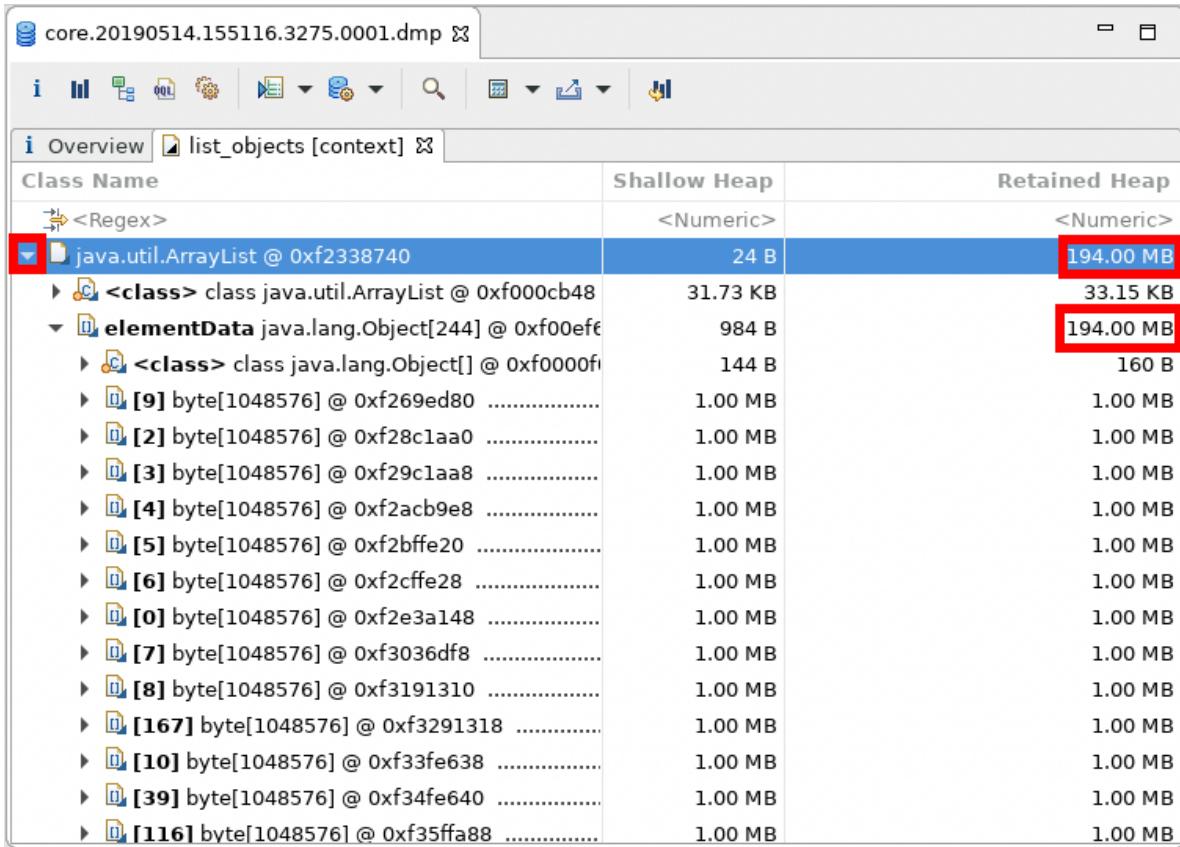
1. By default, MAT performs a full “garbage collection” when it loads the dump so everything you see is only pertaining to live Java objects. You can click on the **Unreachable Objects Histogram** link to see a histogram of any objects that are trash.
10. The pie chart on the **Overview** tab shows the largest dominator objects so it’s a subset of the **Dominator Tree** button:



11. You may left click on a pie slice and select **List objects > with outgoing references** to review the object graph of the large dominator:



12. Expand the outgoing references tree and walk down the path with the largest **Retained Heap** values; in this example, there is an `ArrayList` that retains 194MB. Continue walking down the tree and you will find an Object array with hundreds of elements, each of about 1MB, which matches what we executed to create the `OutOfMemoryError`:



13. In this case, we want to find out what references this ArrayList, so right click on it and select **List objects > with incoming references**:

The screenshot shows the RMA interface with the file 'core.20190514.155116.3275.0001.dmp' loaded. In the 'list\_objects [context]' view, a context menu is open over an 'ArrayList @ 0xf2338740' object. The 'with incoming references' option is highlighted with a red box.

Class Name	Shallow Heap	Retained Heap
<Regex>	<Numeric>	<Numeric>
<b>java.util.ArrayList @ 0xf2338740</b>	<b>24 B</b>	<b>194.00 MB</b>
with outgoing references		
<b>with incoming references</b>		
<class> class java.lang.Object@		
[9] byte[1048576] @ 0xf269ed80		
[2] byte[1048576] @ 0xf28c1aa0		
[3] byte[1048576] @ 0xf29c1aa8		
[4] byte[1048576] @ 0xf2acb9e8		
[5] byte[1048576] @ 0xf2bffe20		
[6] byte[1048576] @ 0xf2cffee8		
[0] byte[1048576] @ 0xf2e3a148		
[7] byte[1048576] @ 0xf3036df8		
[8] byte[1048576] @ 0xf3191310		
[167] byte[1048576] @ 0xf32913		
[10] byte[1048576] @ 0xf33fe63		
[39] byte[1048576] @ 0xf34fe64		
[116] byte[1048576] @ 0xf35ffa		

Context menu options:

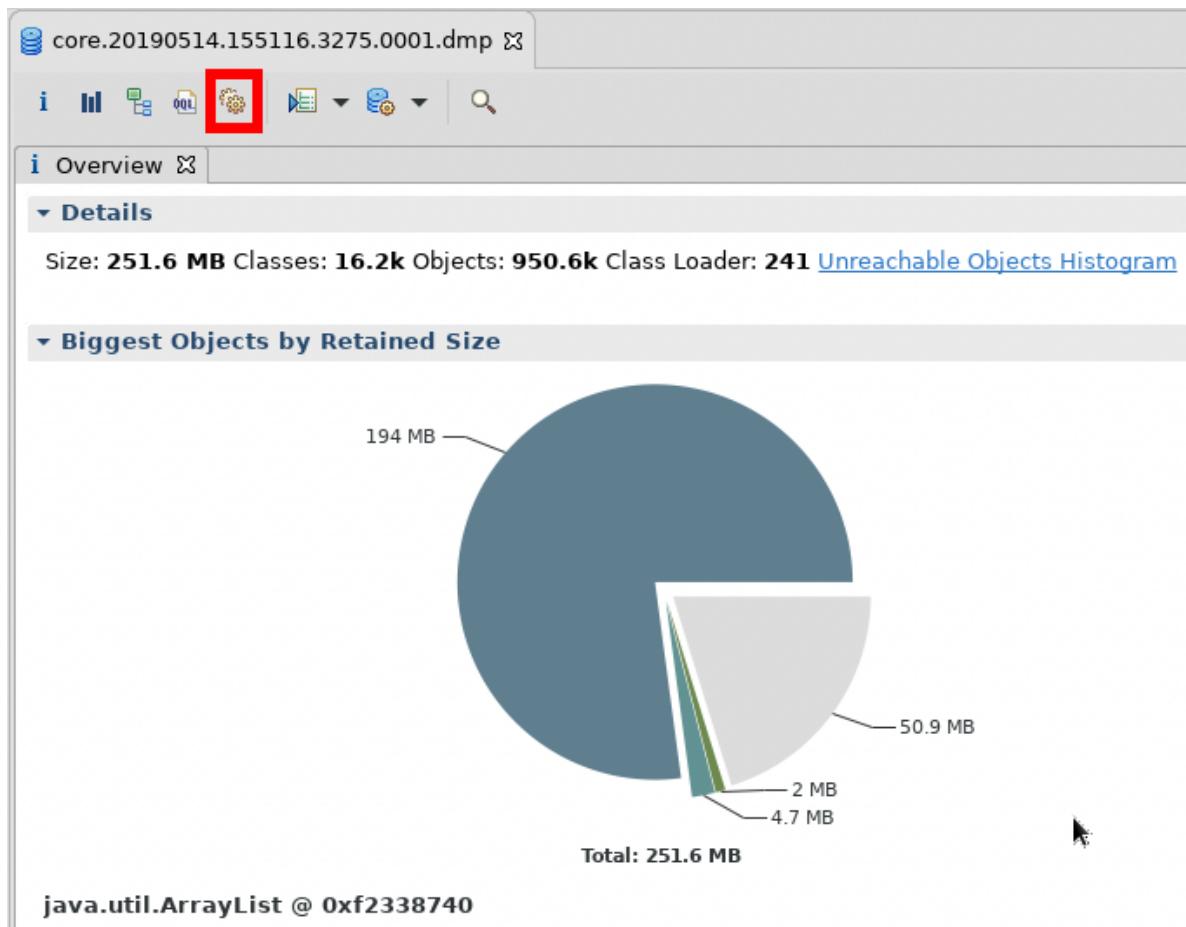
- List objects
- Show objects by class
- Path To GC Roots
- Merge Shortest Paths to GC Roots
- IBM Cognos Analytics Extensions
- IBM Extensions
- Java Basics
- Java Collections
- Leak Identification
- Immediate Dominators
- Show Retained Set
- Copy
- Search Queries...
- Calculate Minimum Retained Size (quick approx.)
- Calculate Precise Retained Size

14. This results in the following view:

The screenshot shows the RMA interface with the same core dump file loaded. In the 'list\_objects [selection of 'ArrayList @ 0xf2338740'] -inbound' view, the 'holder' reference to the ArrayList is highlighted with a red box.

Class Name	Retained Heap
<Regex>	<Numeric>
<b>java.util.ArrayList @ 0xf2338740</b>	<b>194.00 MB</b>
<b>holder</b> class com.ibm.AllocateObject @ 0xf13dcba8	13.65 KB
<java Local> java.lang.Thread @ 0xf28b9330 Default Executor-thread-169	8.95 KB
<b>Σ Total: 2 entries</b>	

- In this example, there are two references to the ArrayList. The first is that the class com.ibm.AllocateObject has a static field called holder which references the ArrayList. We know it is static because of the word **class** in front of the class name. The second is the thread **Default Executor-thread-169**.
- From the above analysis, we know there is what appears to be a leak into a static ArrayList and there is a thread that has a reference to it, so naturally we want to see what that thread is doing. Open the **Thread Overview** query:



16. This will list every thread, the thread name, the retained heap of the thread, other thread details, and the stack frame along with stack frame locals:

core.20190514.155116.3275.0001.dmp

The screenshot shows the VisualVM interface with the 'thread\_overview' tab selected. A table lists threads along with their names and the amount of heap memory they are retaining.

Object / Stack Frame	Name	Retained Heap
> <Regex>	<Regex>	<Numeric>
▶ org.eclipse.osgi.framework.eventmgr.E	Start Level: Equinox Container: 298be79e-0bb8-4	30.54 KB
▶ java.lang.Thread @ 0xf28b9330	Default Executor-thread-169	8.95 KB
▶ com.ibm.ws.kernel.launch.internal.Serv	kernel-command-listener	4.57 KB
▶ java.lang.Thread @ 0xf0027998	main	2.81 KB
▶ java.lang.Thread @ 0xf16328f0	ClassLoaderMapProcessingThread-28	1.53 KB
▶ java.lang.Thread @ 0x00c1ed8	Default Executor-thread-275	1.51 KB
▶ java.lang.Thread @ 0xffffb0980	Default Executor-thread-302	1.12 KB
▶ java.lang.Thread @ 0xfeeb0130	Default Executor-thread-298	1.12 KB
▶ java.lang.Thread @ 0xfc0c8ae20	Inbound Read Selector.1	1.01 KB
▶ java.util.TimerThread @ 0xf08c1630	Executor Service Control Timer	1,016 B
▶ java.lang.Thread @ 0xf08e4978	Scheduled Executor-thread-1	872 B
▶ java.lang.Thread @ 0xf1629310	zip file reaper	856 B
▶ java.lang.Thread @ 0xf0c1ccc0	Shared TCPChannel NonBlocking Accept Thread	848 B
▶ java.lang.Thread @ 0xf13e09d0	Thread-26	760 B
▶ java.lang.Thread @ 0xf15cc6c8	RMI TCP Accept-0	696 B
<b>Total: 15 of 87 entries; 72 more</b>		<b>85.38 KB</b>

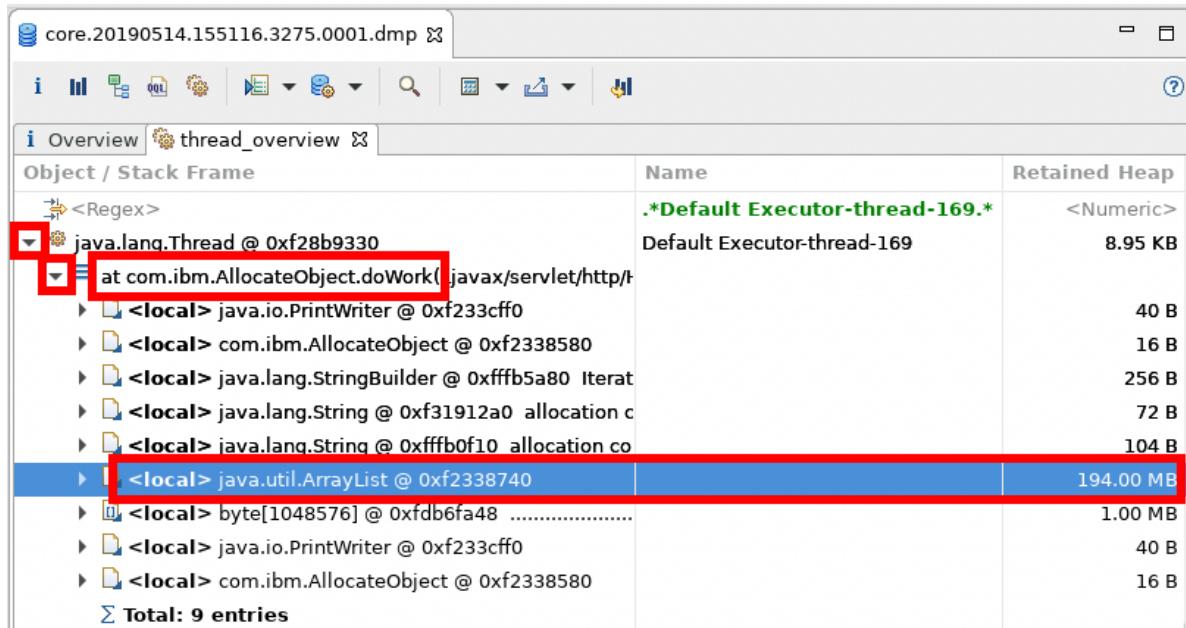
17. We know from above that the thread that references the ArrayList is named **Default Executor-thread-169**. In your case, the thread may be named differently. You may enter this thread name into the Name column's <Regex> input:

core.20190514.155116.3275.0001.dmp

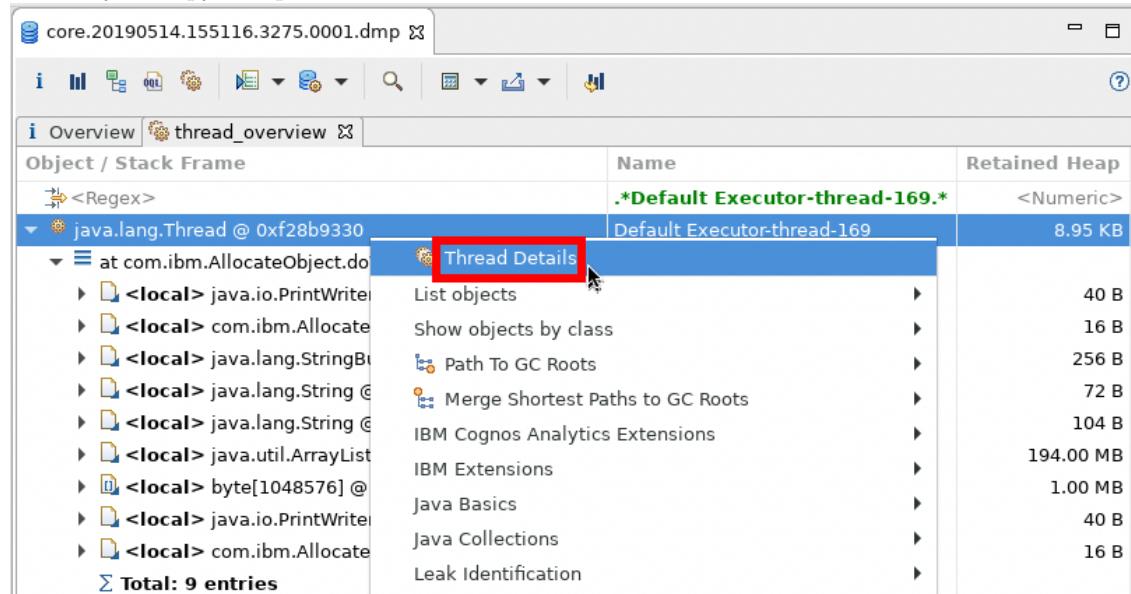
The screenshot shows the VisualVM interface with the 'thread\_overview' tab selected. A table lists threads, with the 'Name' column containing the value 'Default Executor-thread-169' highlighted by a red box.

Object / Stack Frame	Name	Retained Heap
> <Regex>	Default Executor-thread-169	<Numeric>
▶ org.eclipse.osgi.framework.eventmgr.E	Start Level: Equinox Container: 298be79e-0bb8-4	30.54 KB
▶ java.lang.Thread @ 0xf28b9330	Default Executor-thread-169	8.95 KB
▶ com.ibm.ws.kernel.launch.internal.Serv	kernel-command-listener	4.57 KB
▶ java.lang.Thread @ 0xf0027998	main	2.81 KB

18. Press Enter to filter the results, expand the thread stack and find the servlet that caused the leak:



1. Note that you can see the actual objects on each stack frame. In this case, we can clearly see the servlet has a reference to the AllocateObject class and the ArrayList which is retaining most of the heap. This stack usually makes it much easier for the application developer to understand what happened. Right click on the thread and select **Thread Details** to get a full thread stack that may be copy-and-pasted:



2. Scroll down to see the full stack:

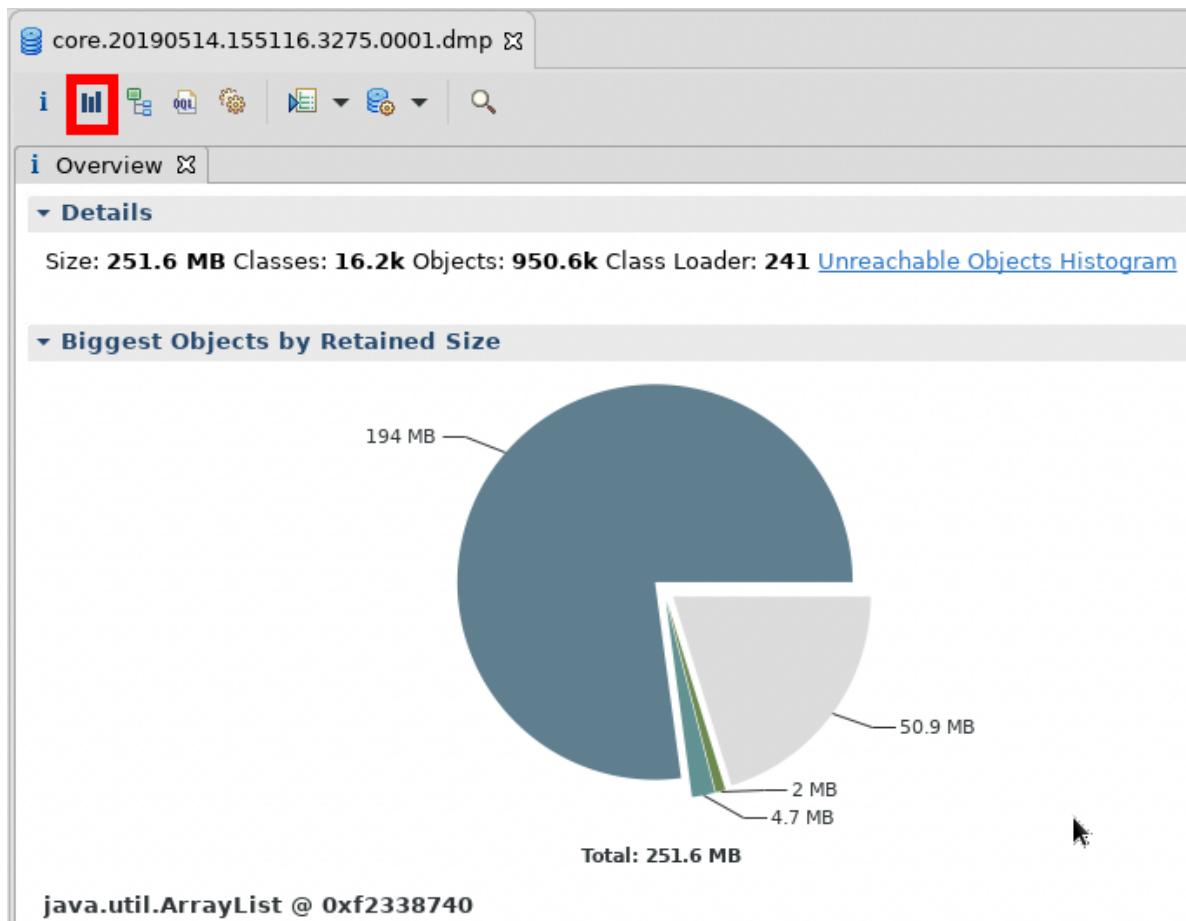
The screenshot shows the VisualVM interface with a core dump file named "core.20190514.155116.3275.0001.dmp" loaded. The main window displays the "thread\_overview" tab, which contains a table of thread details. The table includes columns for Name, Shallow Heap, Retained Heap, Context Class Loader, Is Daemon, JNIEnv, Priority, State, State value, and Native id. The "Name" column shows "Default Executor-thread-169". The "Shallow Heap" and "Retained Heap" values are 120 B and 8.95 KB respectively. The "Context Class Loader" is listed as "com.ibm.ws.classloading.internal.ThreadContextClassLoader @ 0xf16acd98". The "Is Daemon" column shows "true". The "JNIEnv" column shows "0x3916400". The "Priority" column shows "5". The "State" column shows "[alive, runnable]". The "State value" column shows "0x5". The "Native id" column shows "4259". A summary row at the bottom indicates "Σ Total: 11 entries". Below this table, a section titled "Thread Stack" is expanded, showing a stack trace for the thread. The stack trace starts with "Default Executor-thread-169" and continues with "at com.ibm.AllocateObject.doWork Ljavax/servlet/http/HttpServletRequest;I".

Name	Default Executor-thread-169
Shallow Heap	120 B
Retained Heap	8.95 KB
Context Class Loader	com.ibm.ws.classloading.internal.ThreadContextClassLoader @ 0xf16acd98
Is Daemon	true
JNIEnv	0x3916400
Priority	5
State	[alive, runnable]
State value	0x5
Native id	4259
Σ Total: 11 entries	

▼ Thread Stack

```
Default Executor-thread-169
at com.ibm.AllocateObject.doWork Ljavax/servlet/http/HttpServletRequest;I
```

19. Another common view to explore is the **Histogram**:



- Click on the calculator button and select **Calculate Minimum Retained Size (quick approx.)** to populate the **Retained Heap** column for each class:

**core.20190514.155116.3275.0001.dmp**

**i Overview** **Histogram**

**Class Name**

	<Numeric>	<Numeric>	<Numeric>
<Regex>	4,077	197.89 MB	
byte[]	16,238	15.45 MB	
java.lang.Class	120,323	10.94 MB	
char[]	92,429	2.12 MB	
java.util.HashMap\$Node	1	2.00 MB	
com.ibm.ws.sib.msgstore.cache.links.AbstractItemLink[]	128,775	1.96 MB	
java.lang.String			

- This fills in the retained heap column which then you can click to sort descending:

core.20190514.155116.3275.0001.dmp

Class Name	Objects	Shallow Heap	Retained Heap
<Regex>	<Numeric>	<Numeric>	<Numeric>
byte[]	4,077	197.89 MB	>= 197.89 MB
java.lang.Object[]	26,401	1.03 MB	>= 197.38 MB
java.util.ArrayList	22,186	519.98 KB	>= 196.93 MB
java.lang.Class	16,238	15.45 MB	>= 24.17 MB
java.util.HashMap	28,253	1.08 MB	>= 14.41 MB
java.util.HashMap\$Node[]	20,964	1.35 MB	>= 13.74 MB
java.util.HashMap\$Node	92,429	2.12 MB	>= 12.26 MB
java.lang.String	128,775	1.96 MB	>= 12.16 MB
char[]	120,323	10.94 MB	>= 10.94 MB
com.ibm.websphere.samples.daytrader.ejb3.MarketSummarySi	1	16 B	>= 4.74 MB
com.ibm.ejs.container.SingletonBeanO	1	104 B	>= 4.72 MB
com.ibm.ejs.container.SessionHome	1	176 B	>= 4.72 MB
com.ibm.websphere.samples.daytrader.beans.MarketSummaryI	1	40 B	>= 4.72 MB
org.eclipse.persistence.internal.sessions.RepeatableWriteUnitOf	1	440 B	>= 4.72 MB
org.eclipse.persistence.internal.identitymaps.IdentityMapManag	3	144 B	>= 4.65 MB
<b>Total: 15 of 16,238 entries; 16,223 more</b>	<b>950,581</b>	<b>251.60 MB</b>	

22. You may click on a row with a large retained heap size, right click and select outgoing references. For example:

core.20190514.155116.3275.0001.dmp

Class Name	Objects	Shallow Heap	Retained Heap
<Regex>	<Numeric>	<Numeric>	<Numeric>
byte[]	4,077	197.89 MB	>= 197.89 MB
java.lang.Object[]	26,401	1.03 MB	>= 197.38 MB
java.util.ArrayList	22,186	519.98 KB	>= 196.93 MB
java.util.List objects			>= 24.17 MB
java.util>Show objects by class			>= 14.41 MB
java.util>Merge Shortest Paths to GC Roots			>= 13.74 MB
java.utilIBM Extensions			>= 12.26 MB
java.utilJava Basics			>= 12.16 MB
java.utilJava Collections			>= 10.94 MB
com.ibm.leak.Leak Identification			>= 4.74 MB
com.ibm.leakImmediate Dominators			>= 4.72 MB
com.ibm.leakShow Retained Set			>= 4.72 MB
com.ibm.Copy			>= 4.72 MB
com.ibm.Search Queries...			>= 4.65 MB
<b>Total: 15 of 16,238 entries; 16,223 more</b>	<b>950,581</b>	<b>251.60 MB</b>	

23. Then sort by **Retained Heap** and again you will find the large object:

Class Name	Shallow Heap	Retained Heap
<Regex>	<Numeric>	<Numeric>
java.util.ArrayList @ 0xf2338740	24 B	194.00 MB
java.util.ArrayList @ 0xf05fea30	24 B	204.41 KB
java.util.ArrayList @ 0xf054f308	24 B	192.54 KB
java.util.ArrayList @ 0xf084a990	24 B	126.54 KB
java.util.ArrayList @ 0xf1e9c278	24 B	99.27 KB
java.util.ArrayList @ 0xf23bd510	24 B	82.38 KB
java.util.ArrayList @ 0xf057e828	24 B	78.26 KB
java.util.ArrayList @ 0xf056bd00	24 B	69.59 KB
java.util.ArrayList @ 0xf0920be8	24 B	54.70 KB
java.util.ArrayList @ 0xf1d43090	24 B	33.03 KB
java.util.ArrayList @ 0xf04a5828	24 B	26.98 KB
java.util.ArrayList @ 0xf16b5448	24 B	23.94 KB
java.util.ArrayList @ 0xf16b54e8	24 B	23.42 KB
java.util.ArrayList @ 0xf04a30c8	24 B	22.83 KB
java.util.ArrayList @ 0xf070dec0	24 B	22.56 KB
<b>Total: 15 of 22,186 entries; 22,171 more</b>		

24. The next common view to explore is the **Leak Suspects** view. On the **Overview** tab, scroll down and click on **Leak Suspects**:

**Actions**

- Histogram**: Lists number of instances per class
- Dominator Tree**: List the **biggest objects** and what they keep alive.
- Top Consumers**: Print the most **expensive objects** grouped by class and by package.
- Duplicate Classes**: Detect classes loaded by multiple class loaders.

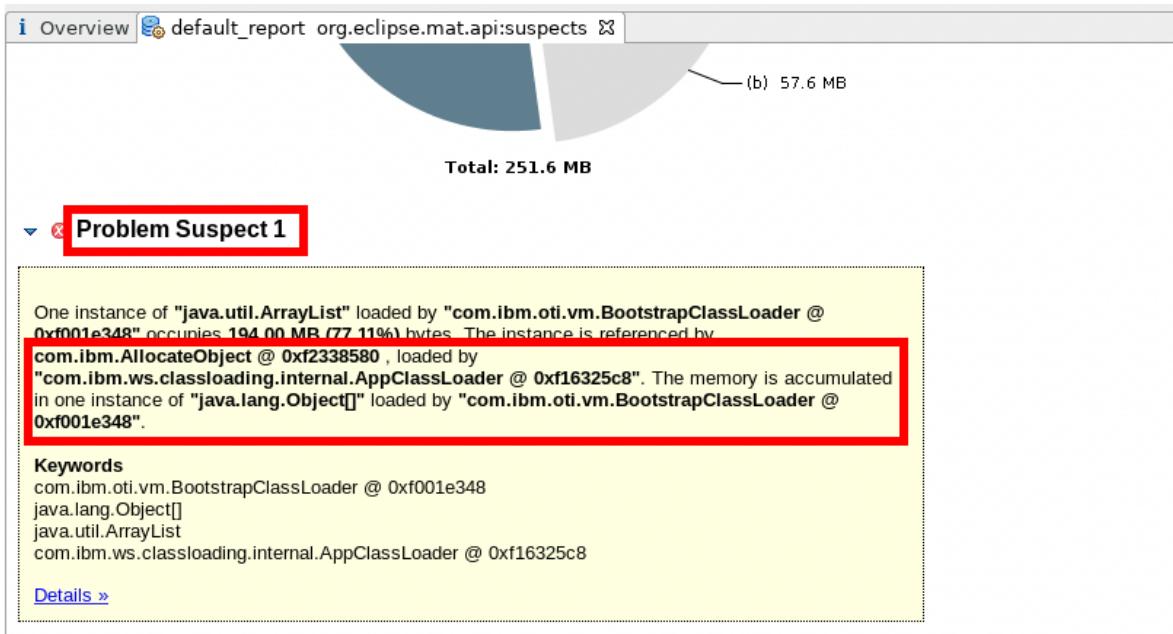
**Reports**

- Leak Suspects**: includes leak suspects and a system overview
- Top Components**: list reports for components bigger than 1 percent of the total heap.

**Step By Step**

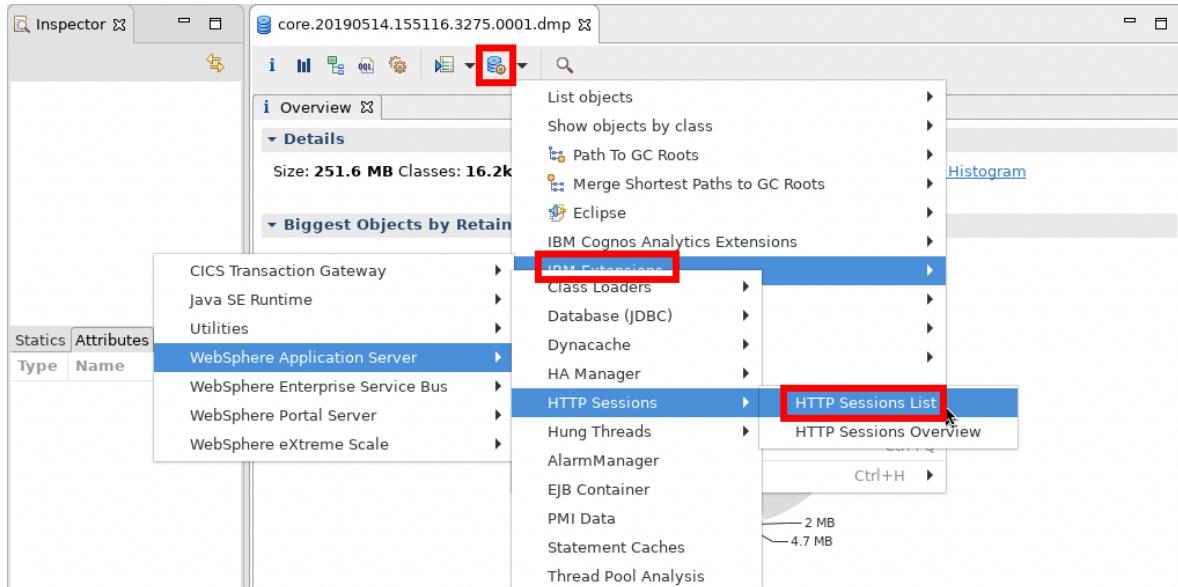
- Component Report**: Analyze objects which belong to a **common root package** or **class loader**.

25. The report will list leak suspects in the order of their size. The following example shows the same leaking **Object[]** inside the **ArrayList**:



The IBM Extensions for Memory Analyzer (IEMA) provide additional extensions on top of MAT with WAS, Java, and other related queries.

- As one example, you can see a list of all HTTP sessions and their attributes with: **Open Query Browser > IBM Extensions > WebSphere Application Server > HTTP Sessions > HTTP Sessions List:**



- Each HTTP session is listed, as well as how much Java heap it retains, which application it's associated with, and other details, including all of the attribute names and values:

Class Name	Retained Heap	AppName	SessionID
	<Numeric>	<Regex>	<Regex>
com.ibm.ws.session.store.memory.MemorySession @ 0xf3b15e10	16.55 KB	default_host/daytrader	tPJ3DPT-3EuY
↳ Key=sessionCreationDate,Value=java.util.Date @ 0xf3b28fe8 Tu	152 B		
↳ Key=javax.faces.request.charset,Value=UTF-8	24 B		
↳ Key=closedOrders,Value=java.util.Vector @ 0xf3b29000	13.27 KB		
↳ Key=org.jboss.weld.context.ConversationContext.conversations,	128 B		
↳ Key=WELD_S_HASH,Value=java.lang.Integer @ 0xf269e010 -165	24 B		
↳ Key=org.jboss.weld.context.beanstore.http.LockStore,Value=org.	152 B		
↳ Key=symbols,Value=s:6008	2.12 KB		
↳ All Outgoing References of the Session Object	0 B		
Σ Total: 8 entries			
com.ibm.ws.session.store.memory.MemorySession @ 0xf3b0c230	14.45 KB	default_host/daytrader	a91e72oTLpi
com.ibm.ws.session.store.memory.MemorySession @ 0xf2e49b88	1.20 KB	default_host/daytrader	zU0nkqQk4a

3. You may explore the other extensions under IBM Extensions. Some only apply to WAS traditional, some only to Liberty, and some to both. Unlike MAT, IEMA is not officially supported but we try to fix and enhance it as time permits.

## Health Center

IBM Monitoring and Diagnostics for Java - Health Center is free and shipped with IBM Java 8. Among other things, Health Center includes a statistical CPU profiler that samples Java stacks that are using CPU at a very high rate to determine what Java methods are using CPU. Health Center generally has an overhead of less than 1% and is suitable for production use. In recent versions, it may also be enabled dynamically without restarting the JVM.

This lab will demonstrate how to enable Java Health Center, exercise the sample DayTrader application using Apache JMeter, and review the Health Center file in the IBM Java Health Center Client Tool.

### Health Center Theory

The Health Center agent gathers sampled CPU profiling data, along with other information:

- Classes: Information about classes being loaded
- Environment: Details of the configuration and system of the monitored application
- Garbage collection: Information about the Java heap and pause times
- I/O: Information about I/O activities that take place.
- Locking: Information about contention on inflated locks
- Memory: Information about the native memory usage
- Profiling: Provides a sampling profile of Java methods including call paths

The Health Center agent can be enabled in two ways:

1. At startup by adding **-Xhealthcenter:level=headless** to the JVM arguments
2. At runtime, by running **`\${IBM\_JAVA}`/bin/java -jar `\${IBM\_JAVA}`/jre/lib/ext/healthcenter.jar **ID=\${PID}** level=headless**

Note: For both items, you may add the following arguments to limit and roll the total file usage of Health Center data:

The key to produce the final Health Center HCD file is that the JVM should be gracefully stopped (there are alternatives to this by packaging the temporary files but this isn't generally recommended).

Consider always enabling HealthCenter in headless mode for post-mortem debugging of issues.

## Health Center Lab

Note: You may skip the data collection steps and use example data packaged at /opt/webspherelab/supplemental/examp

1. Stop JMeter if it is started.

2. Add Health Center arguments to the JVM:

1. If learning Liberty, add the following line to **/opt/ibm/wlp/usr/servers/defaultServer/jvm.options:**  
**-Xhealthcenter:level=headless**

2. If learning WAS traditional, go to the same place where you entered the maximum heap size and add a space and **-Xhealthcenter:level=headless** to **Generic JVM arguments:**

**Generic JVM arguments**

**-Duser.country=US -Duser.language=en -Xhealthcenter:level=headless**

1. Then click OK and Save.

3. Stop the server:

1. If learning Liberty:

`/opt/ibm/wlp/bin/server stop defaultServer`

2. If learning WAS traditional:

`/opt/IBM/WebSphere/AppServer/profiles/AppSrv01/bin/stopServer.sh server1 -username wsadmin -password websphere`

4. Start the server

1. If learning Liberty:

`/opt/ibm/wlp/bin/server start defaultServer`

2. If learning WAS traditional:

`/opt/IBM/WebSphere/AppServer/profiles/AppSrv01/bin/startServer.sh server1`

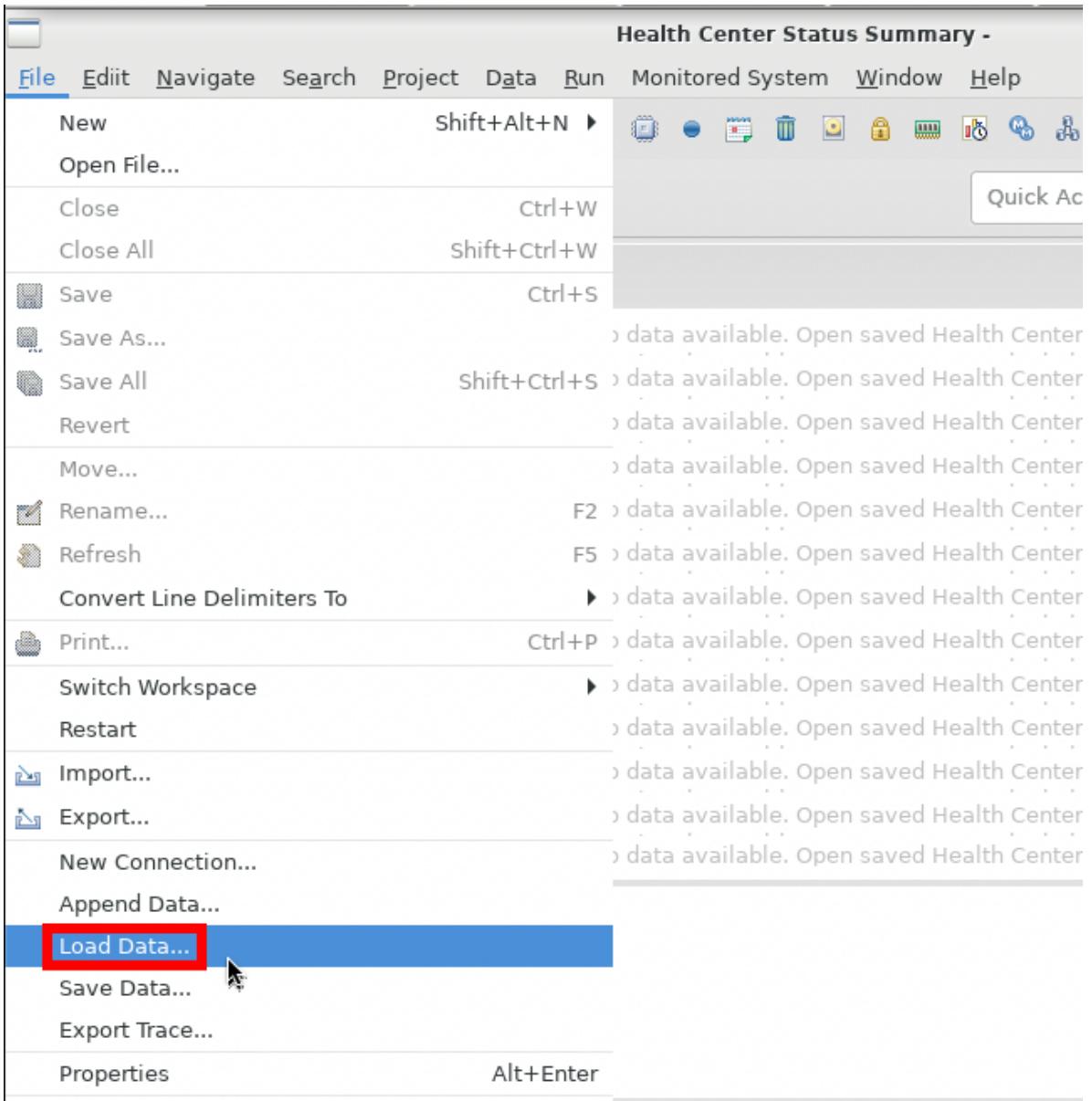
5. Start JMeter and run it for 5 minutes.

6. Stop JMeter

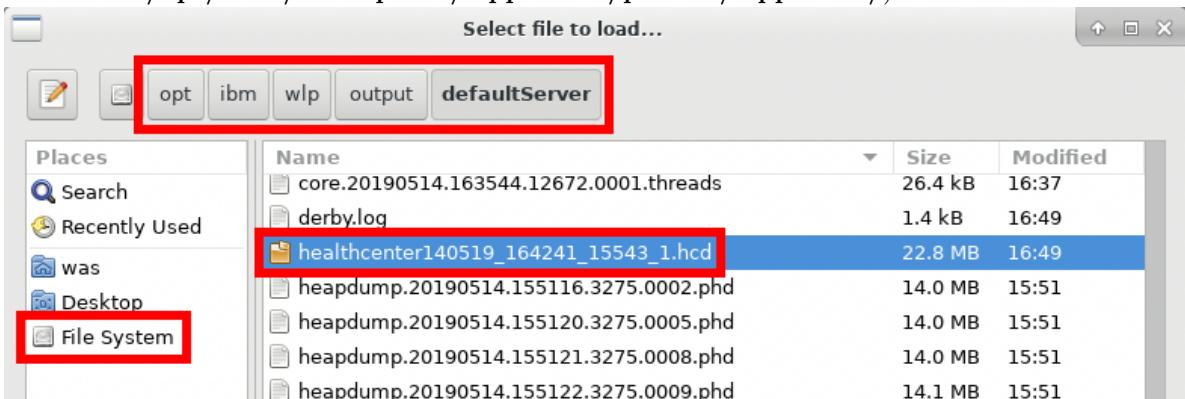
7. Stop WAS as in step 3 above.

8. From the desktop, double click on **HealthCenter**.

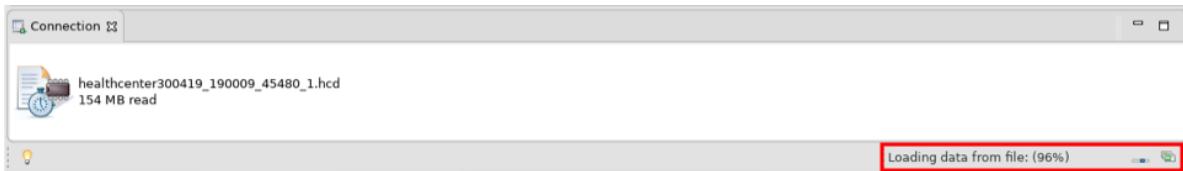
9. Click **File > Load Data...** (note that it's towards the bottom of the **File** menu; **Open File** does not work):



10. Select the **healthcenter\*.hcd** file from (Liberty: `/opt/ibm/wlp/output/defaultServer` ; WAS traditional: `/opt/IBM/WebSphere/AppServer/profiles/AppSrv01/`):



11. Wait for the data to complete loading:

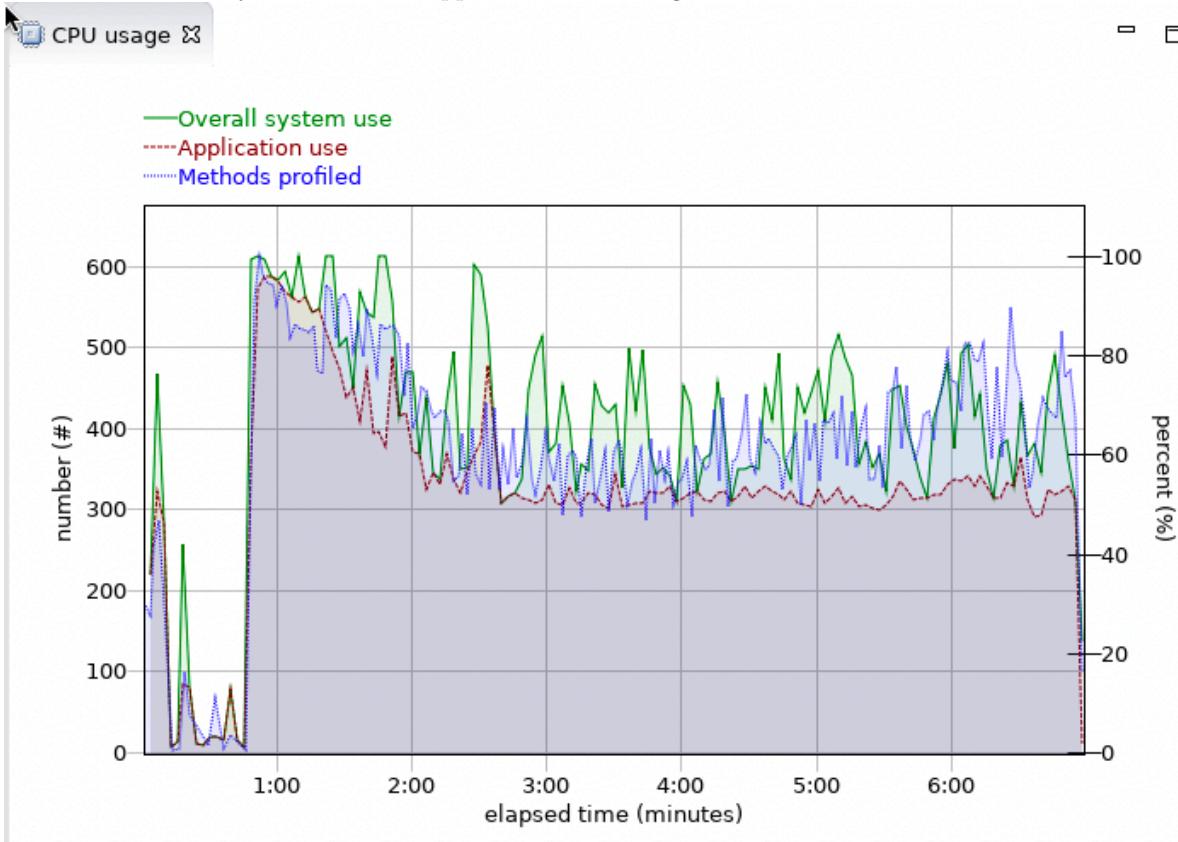


12. Click on CPU:

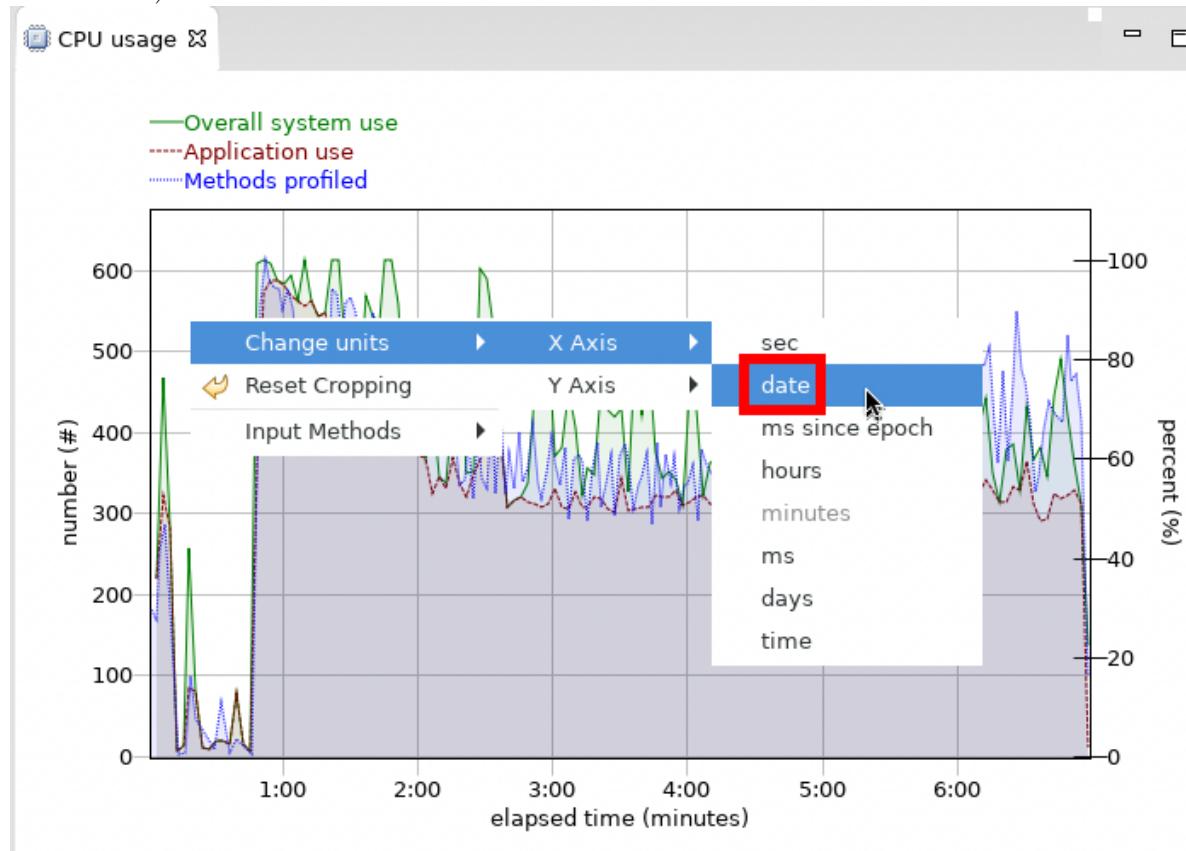
A screenshot of a software window titled "Status". On the left is a sidebar with various tabs: CPU (which is selected and highlighted with a red box), Classes, Environment, Events, Garbage Collection, I/O, Locking, Method Profiling, Method Trace, Native Memory, Network, Threads, and WebSphere Real Time. To the right of the sidebar, there's a detailed status report for the CPU tab.

The average cpu use for the runtime process is 52.83% with a maximum of 96.03%.  
Your application has loaded 16,956 classes and unloaded 55 classes.  
No configuration problems were detected.  
No data available  
The mean occupancy in the nursery is 14%. This is low, so the gencon policy is probably an optimal policy for this workload.  
No problems detected  
45 monitors could be affecting performance.  
Execution time was relatively evenly balanced between methods. No obvious candidates for optimization were found.  
No data available  
No problems detected  
Your application has 93 threads  
This view is available only when you are connected to a WebSphere Real Time

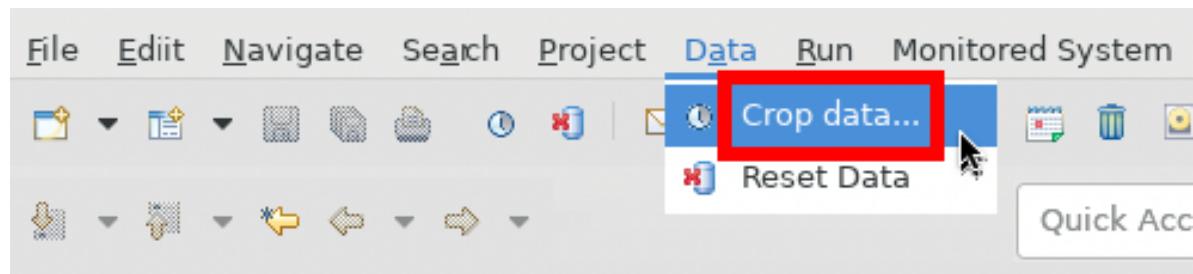
13. Review the overall system and Java application CPU usage:



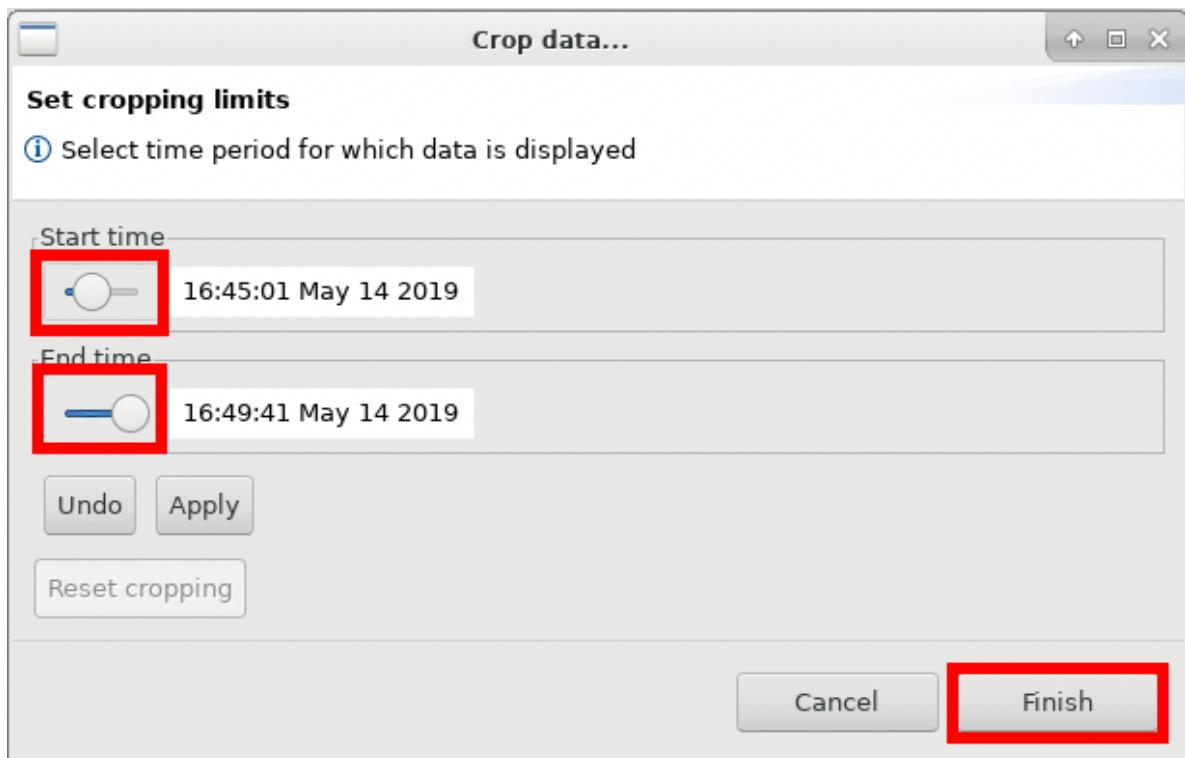
14. Right click anywhere in the graph and change the **X-axis** to **date** (which changes all other views to **date** as well):



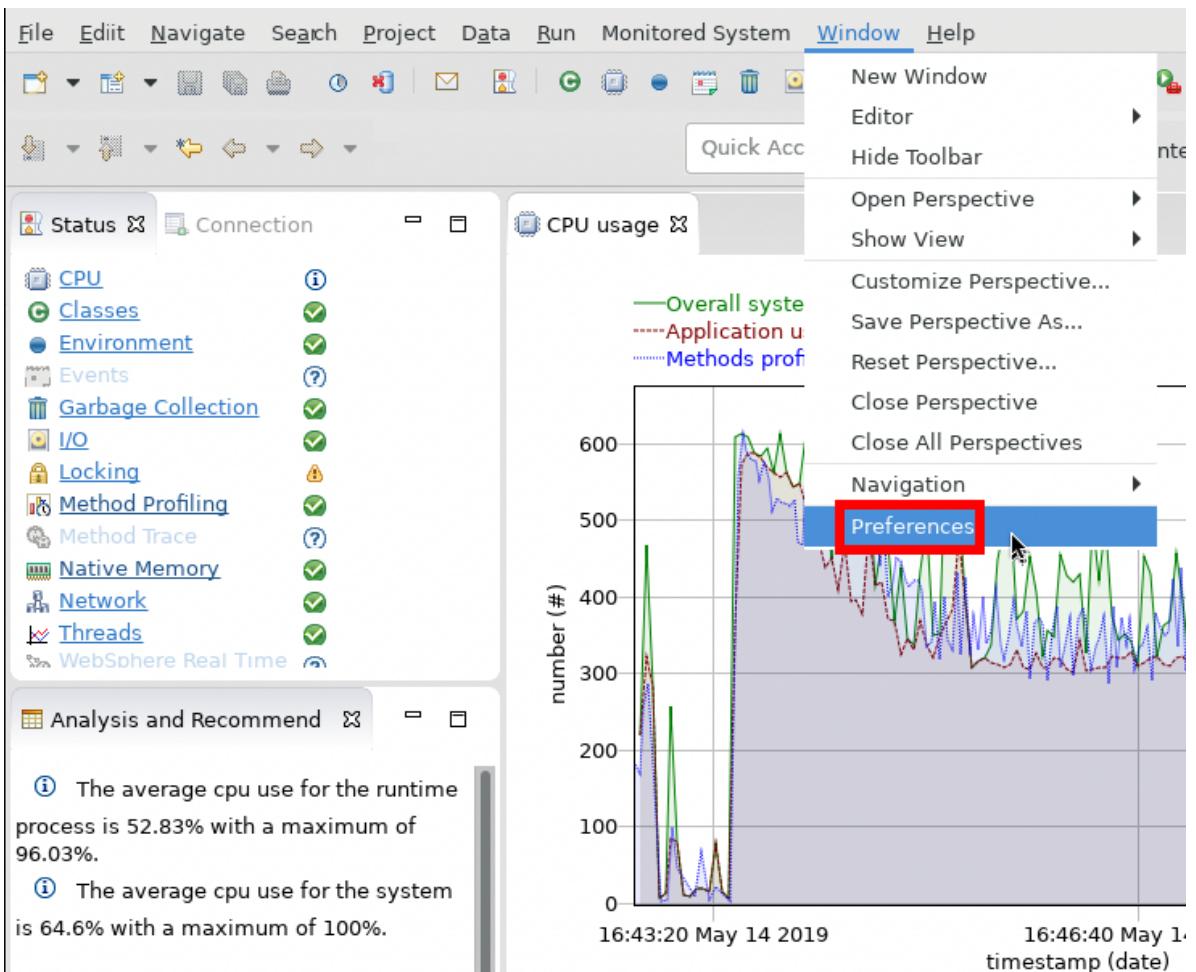
1. For large Health Center captures, this may take significant time to change and there is no obvious indication when it's complete. The best way to know is when the CPU usage of Health Center drops to a low amount.
15. Click Data > Crop data...



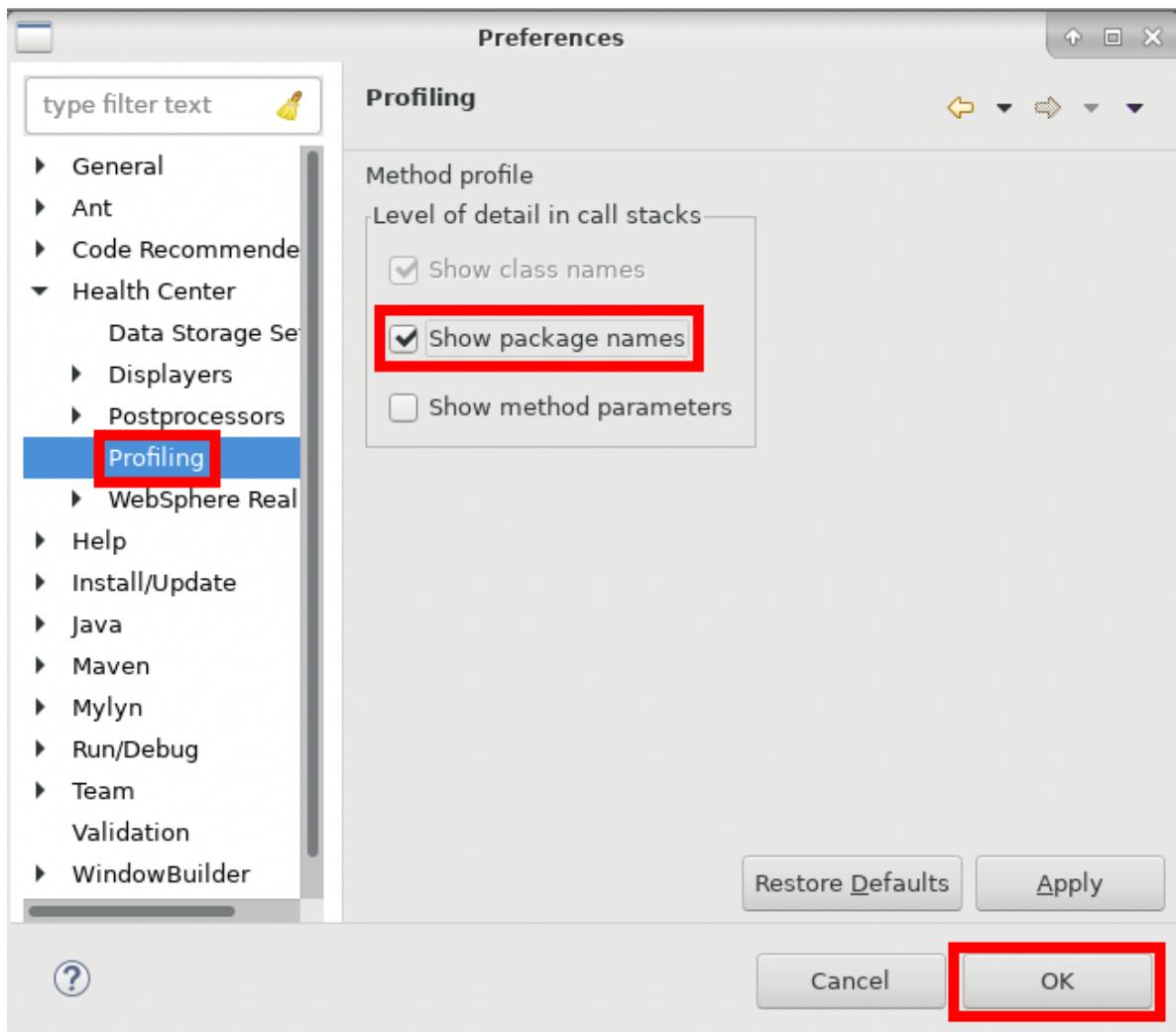
16. Change the **Start time** and **End time** to match the period of interest. For example, usually you want to exclude the start-up time of the process and only focus on user activity:



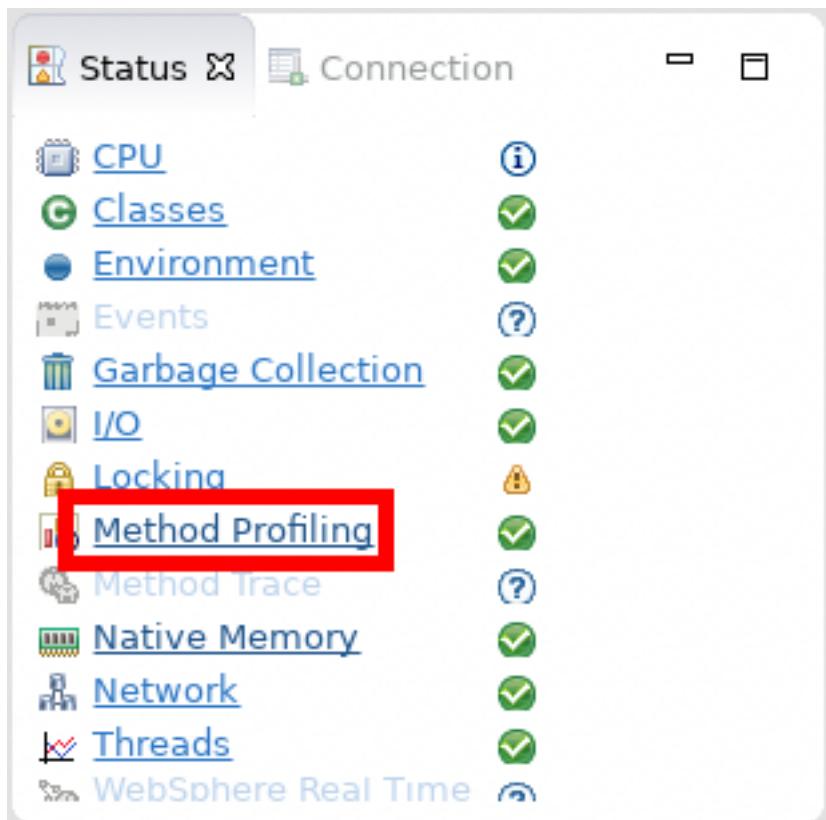
17. Click Window > Preferences:



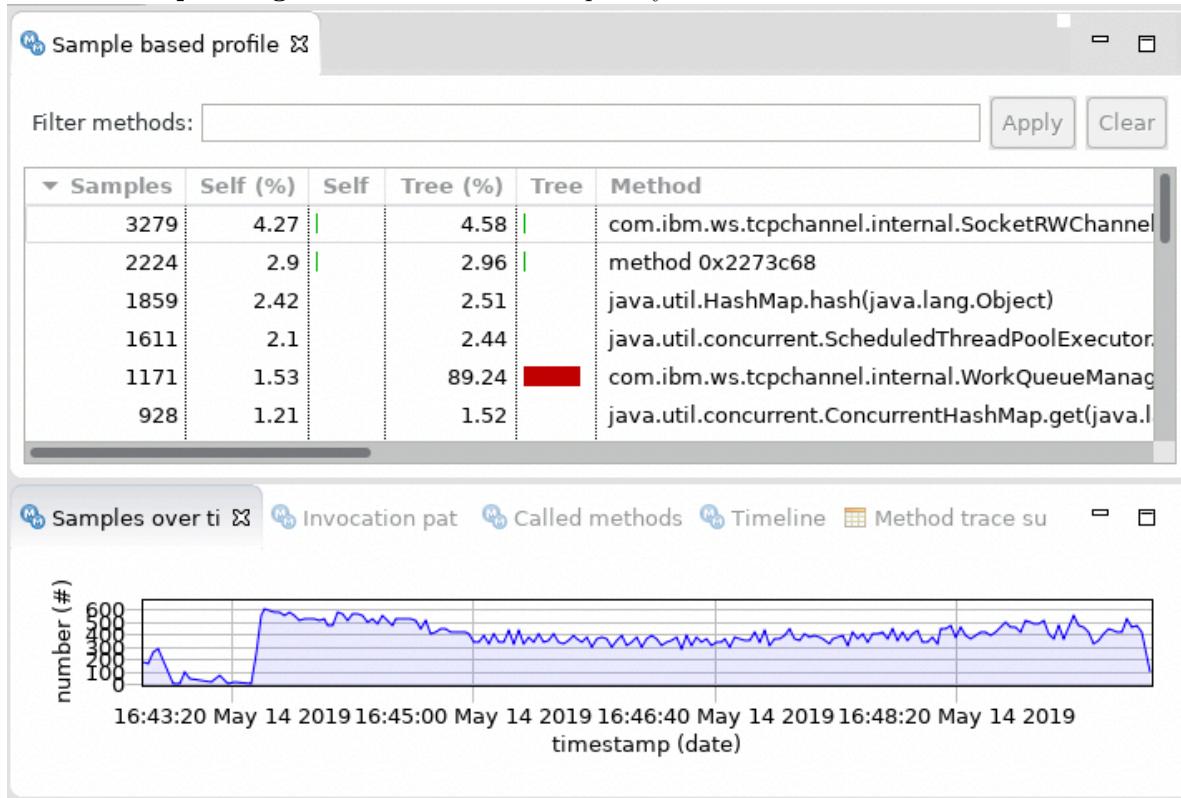
18. Check the **Show package names** box under **Health Center > Profiling** and press **OK** so that we can see more details in the profiling view:



19. Click on **Method profiling** to review the CPU sampling data:



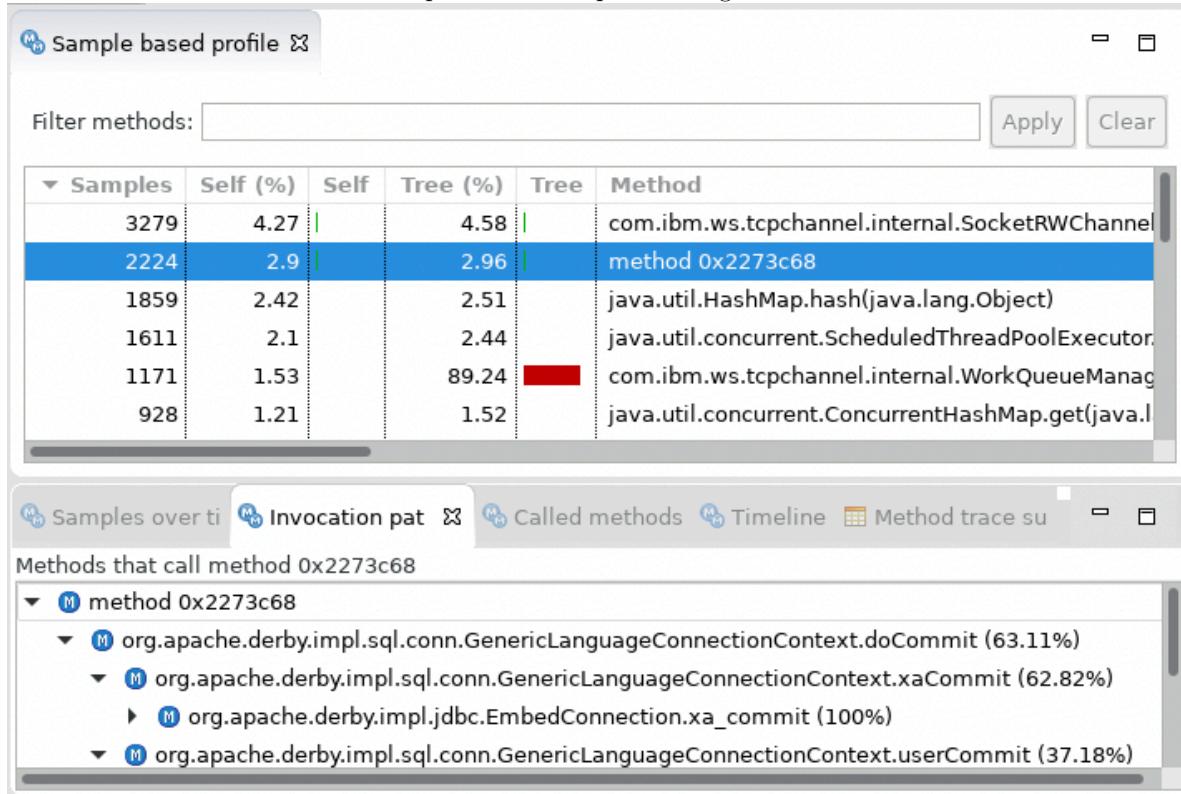
20. The **Method profiling** view will show CPU samples by method:



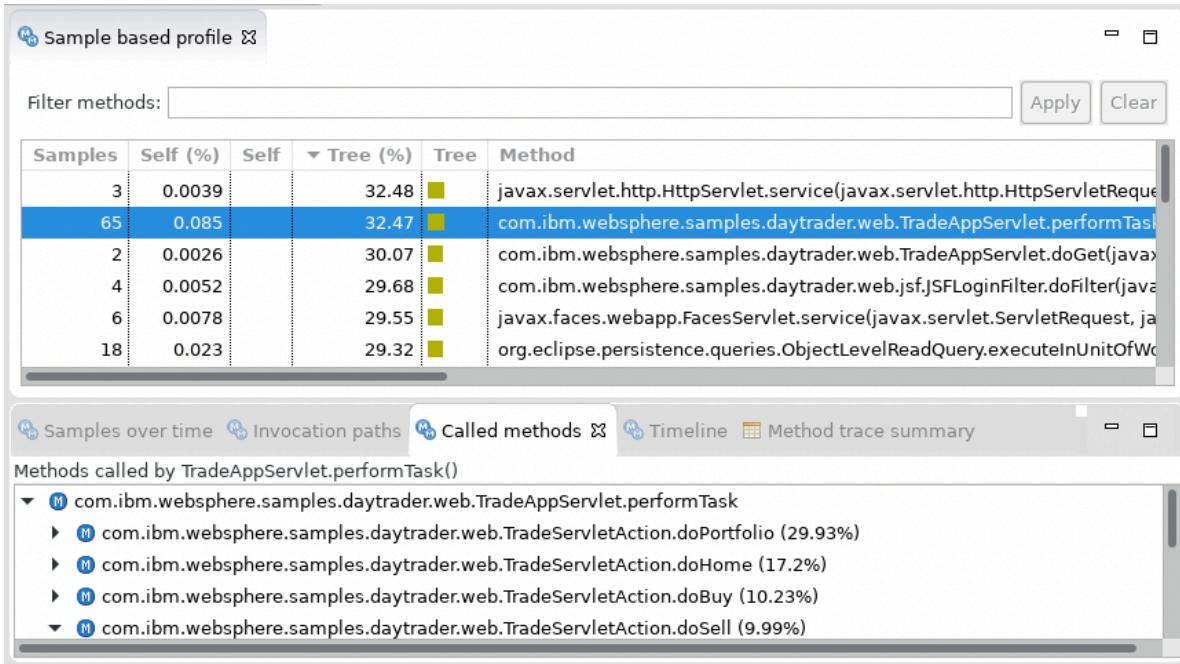
21. The **Self (%)** column reports the percent of samples where a method was at the top of the stack. The

**Tree (%)** column reports the percent of samples where a method was somewhere else in the stack. Make sure to check that the **Samples** column is at least in the hundreds or thousands; otherwise, the CPU usage is likely not that high or a problem did not occur. The **Self** and **Tree** percentages are a percent of samples, not of total CPU.

22. Any methods over ~1% are worthy of considering how to optimize or to avoid. For example, ~2% of samples were in method 0x2273c68 (for various reasons, some methods may not resolve but you can usually figure things out from the invocation paths). Selecting that row and switching to the **Invocation Paths** view shows the percent of samples leading to those calls:



1. In the above example, 63.11% of samples (i.e. of 2.9% of total samples) were invoked by org.apache.derby.impl.sql.conn.GenericLanguageConnectionContext.doCommit.
23. If you sort by **Tree %**, skip the framework methods from Java and WAS, and find the first application method. In this example, about 32% of total samples was consumed by com.ibm.websphere.samples.daytrader.web.TradeAppServlet.performTask and all of the methods it called. The **Called Methods** view may be further reviewed to investigate the details of this usage; in this example, doPortfolio drove most of the CPU samples.



## Methodology

First, let's review some general tips about problem determination and performance methodology:

### The Scientific Method

Troubleshooting is the act of understanding problems and then changing systems to resolve those problems. The best approach to troubleshooting is the scientific method which is basically as follows:

1. Observe and measure evidence of the problem. For example: "Users are receiving HTTP 500 errors when visiting the website."
2. Create prioritized hypotheses about the causes of the problem. For example: "I found exceptions in the logs. I hypothesize that the exceptions are creating the HTTP 500 errors."
3. Research ways to test the hypotheses using experiments. For example: "I searched the documentation and previous problem reports and the exceptions may be caused by a default setting configuration. I predict that changing this setting will resolve the problem if this hypothesis is true."
4. Run experiments to test hypotheses. For example: "Please change this setting and see if the user errors are resolved."
5. Observe and measure experimental evidence. If the problem is not resolved, repeat the steps above; otherwise, create a theory about the cause of the problem.

### Organizing an Investigation

Keep track of a summary of the situation, a list of problems, hypotheses, and experiments/tests. Use numbered items so that people can easily reference things in phone calls or emails. The summary should be restricted to a single sentence for problems, resolution criteria, statuses, and next steps. Any details are in the subsequent tables. The summary is a difficult skill to learn, so try to constrain yourself to a single (short!) sentence. For example:

## Summary

1. Problems: 1) Average website response time of 5000ms and 2) website error rate > 10%.
2. Resolution criteria: 1) Average response time of 300ms and 2) error rate of <= 1%.
3. Statuses: 1) Reduced average response time to 2000ms and 2) error rate to 5%.
4. Next steps: 1) Investigate database response times and 2) gather diagnostic trace.

## Problems

#	Problem	Case		Next Steps
		#	Status	
1	Average response time greater than 300ms	TS001234567	Reduced average response time to 2000ms by increasing heap size	Investigate database response times
2	Website error rate greater than 1%	TS001234568	Reduced website error rate to 5% by fixing an application bug.	Run diagnostic trace for remaining errors

## Hypotheses for Problem #1

#	Hypothesis	Evidence	Status
1	High proportion of time in garbage collection leading to reduced performance	Verbosegc showed proportion of time in GC of 20%	Increased Java maximum heap size to -Xmx1g and proportion of time in GC went down to 5%
2	Slow database response times	Thread stacks showed many threads waiting on the database	Gather database re-sponse times

## Hypotheses for Problem #2

#	Hypothesis	Evidence	Status
1	NullPointerException in com.application.foo is causing errors	NullPointerExceptions in the logs correlate with HTTP 500 response codes	Application fixed the NullPointerException and error rates were halved
2	ConcurrentModificationException in com.websphere.bar is causing errors	ConcurrentModificationException correlate with HTTP 500 response codes	Gather WAS diagnostic trace capturing some exceptions

## Experiments/Tests

#	Experiment/Test	Start	End	Environment	Changes	Results
1	Baseline	2019-01-01 09:00:00 UTC	2019-01-01 17:00:00 UTC	Production server1	None	Average response time 5000ms; Website error rate 10%
2	Reproduce in a test environment	2019-01-02 11:00:00 UTC	2019-01-01 12:00:00 UTC	Test server1	None	Average response time 8000ms; Website error rate 15%

#	Experiment/Test	Start	End	Environment	Changes	Results
3	Test problem #1 - hypothesis #1	2019-01-03 12:30:00 UTC	2019-01-01 14:00:00 UTC	Test server1	Increase Java heap size to 1g	Average response time 4000ms; Website error rate 15%
4	Test problem #1 - hypothesis #1	2019-01-04 09:00:00 UTC	2019-01-01 17:00:00 UTC	Production server1	Increase Java heap size to 1g	Average response time 2000ms; Website error rate 10%

## Crashes

Crashes are operating system events that destroy the Java process. By default, IBM Java and OpenJ9 capture most crash signals from the operating system and produce helpful diagnostics before the process terminates.

### Crashes Theory

Unlike Java exceptions like OutOfMemoryErrors, crashes are events that, in general, cannot be recovered. Crashes occur at a native code level either inside the JVM itself, inside JNI libraries, or inside operating system libraries.

### Crash Lab

Note: You may skip the data collection steps and use example data packaged at /opt/webspherelab/supplemental/examples/crashlab/

1. Open a browser to [http://localhost:9082/jni\\_web\\_hello\\_world/jniwrapper?str=test](http://localhost:9082/jni_web_hello_world/jniwrapper?str=test)
2. This will cause a crash of the Liberty "test" server process.
3. The first place to look for a potential crash is in the stderr of the process. For this Liberty server, the stdout and stderr are written to `/opt/ibm/wlp/usr/servers/test/logs/console.log`. Open this file in **Mousepad** or the terminal and find the output starting with **Unhandled exception**. For example:

```
Unhandled exception
Type=Segmentation error vmState=0x00040000
J9Generic_Signal_Number=00000004 Signal_Number=0000000b Error_Value=00000000 Signal_Code=00000001
Handler1=00007F1731B16B00 Handler2=00007F17313FCFB0 InaccessibleAddress=0000000000000000
RDI=00007F16A8079848 RSI=00007F172762B023 RAX=0000000000000000 RBX=0000000000000018
RCX=00000000FFD9FFF0 RDX=0000000000000000 R8=0000000000000000 R9=00007F1715552700
R10=00007F173344D170 R11=00007F1733171E40 R12=0000000000000000 R13=00007F1731BE39CC
R14=00007F171554F810 R15=0000000000000000
RIP=00007F172762A1CD GS=0000 RSP=00007F171554F510
EFlags=0000000000010246 CS=0033 RBP=00007F171554F540 ERR=0000000000000004
TRAPNO=000000000000000E OLDMASK=0000000000000000 CR2=0000000000000000
xmm0 ffffffff00000000 (f: 0.000000, d: -nan)
xmm1 7257650074736574 (f: 1953719680.000000, d: 6.239805e+242)
xmm2 ff00000000ff0000 (f: 16711680.000000, d: -5.486124e+303)
xmm3 0000000000000000 (f: 0.000000, d: 0.000000e+00)
xmm4 000000000000ff (f: 255.000000, d: 1.259867e-321)
xmm5 bcca000000000000 (f: 0.000000, d: -7.216450e-16)
xmm6 bc1c000000000000 (f: 0.000000, d: -3.794708e-19)
xmm7 0000000000000000 (f: 0.000000, d: 0.000000e+00)
xmm8 0074736574000a64 (f: 1946159744.000000, d: 1.820179e-306)
xmm9 0000000000000000 (f: 0.000000, d: 0.000000e+00)
```

```

xmm10 3fd4618bc21c5ec2 (f: 3256639232.000000, d: 3.184537e-01)
xmm11 4120a4d2906fa32a (f: 2423235328.000000, d: 5.453853e+05)
xmm12 000000003e23f24e (f: 1042543168.000000, d: 5.150848e-315)
xmm13 00000000467332ce (f: 1181954816.000000, d: 5.839632e-315)
xmm14 0000000000000000 (f: 0.000000, d: 0.000000e+00)
xmm15 3fc8a1142284508a (f: 579096704.000000, d: 1.924157e-01)
Module=/opt/jni_web_hello_world/target/libNativeWrapper.so
Module_base_address=00007F1727629000 Symbol=Java_com_example_NativeWrapper_testNativeMethod
Symbol_address=00007F172762A139
Target=2_90_20190306_411656 (Linux 4.9.125-linuxkit)
CPU=amd64 (4 logical CPUs) (0x2ed95f000 RAM)
----- Stack Backtrace -----
Java_com_example_NativeWrapper_testNativeMethod+0x94 (0x00007F172762A1CD [libNativeWrapper.so+0x11c])
(0x00007F1731BB62C4 [libj9vm29.so+0x13e2c4])
(0x00007F1731BB3A51 [libj9vm29.so+0x13ba51])
(0x00007F1731AA439E [libj9vm29.so+0x2c39e])
(0x00007F1731A91090 [libj9vm29.so+0x19090])
(0x00007F1731B50DA2 [libj9vm29.so+0xd8da2])
-----
JVMDUMP039I Processing dump event "gpf", detail "" at 2019/05/15 16:25:37 - please wait.
JVMDUMP032I JVM requested System dump using '/opt/ibm/wlp/usr/servers/test/core.20190515.162537.202'
JVMDUMP010I System dump written to /opt/ibm/wlp/usr/servers/test/core.20190515.162537.202.0001.dmp
JVMDUMP032I JVM requested Java dump using '/opt/ibm/wlp/usr/servers/test/javacore.20190515.162537.202.0001.jdp'
JVMDUMP010I Java dump written to /opt/ibm/wlp/usr/servers/test/javacore.20190515.162537.202.0001.trc
JVMDUMP032I JVM requested Snap dump using '/opt/ibm/wlp/usr/servers/test/Snap.20190515.162537.202.0001.Snap'
JVMDUMP010I Snap dump written to /opt/ibm/wlp/usr/servers/test/Snap.20190515.162537.202.0003.trc
JVMDUMP007I JVM Requesting JIT dump using '/opt/ibm/wlp/usr/servers/test/jitdump.20190515.162537.202.0004.jit'
JVMDUMP010I JIT dump written to /opt/ibm/wlp/usr/servers/test/jitdump.20190515.162537.202.0004.dmp
JVMDUMP013I Processed dump event "gpf", detail "".

```

- There are a few things to point out in the above output:

- Type=Segmentation error:** This shows the human readable cause of the crash.
- Signal\_Number=0000000b:** This shows the crash signal (which is what the human readable cause comes from). From the Linux terminal, run the “kill -l” command to list all signals and convert **Signal\_Number** from hexadecimal to decimal; in this example, 0xb = 11 = SIGSEGV:

```

$ kill -l
 1) SIGHUP      2) SIGINT      3) SIGQUIT      4) SIGILL      5) SIGTRAP
 6) SIGABRT     7) SIGBUS      8) SIGFPE       9) SIGKILL     10) SIGUSR1
 11) SIGSEGV    12) SIGUSR2     13) SIGPIPE     14) SIGALRM     15) SIGTERM
 16) SIGSTKFLT   17) SIGCHLD     18) SIGCONT     19) SIGSTOP     20) SIGTSTP
 21) SIGTTIN    22) SIGTTOU     23) SIGURG      24) SIGXCPU     25) SIGXFSZ
 26) SIGVTALRM   27) SIGPROF     28) SIGWINCH    29) SIGIO       30) SIGPWR
 31) SIGSYS     34) SIGRTMIN    35) SIGRTMIN+1  36) SIGRTMIN+2  37) SIGRTMIN+3
 38) SIGRTMIN+4  39) SIGRTMIN+5  40) SIGRTMIN+6  41) SIGRTMIN+7  42) SIGRTMIN+8
 43) SIGRTMIN+9  44) SIGRTMIN+10 45) SIGRTMIN+11 46) SIGRTMIN+12 47) SIGRTMIN+13
 48) SIGRTMIN+14 49) SIGRTMIN+15 50) SIGRTMAX-14 51) SIGRTMAX-13 52) SIGRTMAX-12
 53) SIGRTMAX-11 54) SIGRTMAX-10 55) SIGRTMAX-9  56) SIGRTMAX-8  57) SIGRTMAX-7
 58) SIGRTMAX-6  59) SIGRTMAX-5  60) SIGRTMAX-4  61) SIGRTMAX-3  62) SIGRTMAX-2
 63) SIGRTMAX-1  64) SIGRTMAX

```

- Module=/opt/jni\_web\_hello\_world/target/libNativeWrapper.so:** This tells you the failing shared library. This quickly shows the main suspect. In this case, we can see the crash is in a third party native library and not the JVM.

4. **Symbol=Java\_com\_example\_NativeWrapper\_testNativeMethod:** If the crash occurred in JNI code, this shows the native JNI method the crash occurred in. This can be helpful for the developer of the module or for quick internet searches.
  5. **JVMDUMP039I Processing dump event "gpf", detail "" at 2019/05/15 16:25:37 - please wait.:** This message shows that the JVM captured the crash (gpf = general protection fault; in other words, segmentation fault) and created various diagnostics.
5. The first thing to do is to open the javacore\*txt file that the crash produced:
1. **1TISIGINFO Dump Event "gpf" (00002000) received:** This shows that a crash was handled.
  2. **1XHEXCPCODE Signal\_Number: 0000000B:** This shows the hexadecimal signal number.
  3. **1XHEXCPMODULE Module: /opt/jni\_web\_hello\_world/target/libNativeWrapper.so:** This shows the crashing module.
  4. **1XHEXCPMODULE Symbol: Java\_com\_example\_NativeWrapper\_testNativeMethod:** This shows the crashing JNI method.
5. Search the file for **Current thread** to find the crashing thread stack:
- ```

1XMCURTHDINFO Current thread
3XMTHREADINFO      "Default Executor-thread-56" J9VMThread:0x0000000001B07B00, omrthread_t:0x0000000000000000
3XMJAVATHREAD      (java/lang/Thread getId:0x6C, isDaemon:true)
3XMTHREADINFO01    (native thread ID:0x54D, native priority:0x5, native policy:UNKNOWN,
3XMTHREADINFO02    (native stack address range from:0x00007F1715513000, to:0x00007F1715513000
3XMCPUETIME        CPU usage total: 0.268345952 secs, current category="Application"
3XMHEAPALLOC       Heap bytes allocated since last GC cycle=6634184 (0x653AC8)
3XMTHREADINFO03    Java callstack:
4XESTACKTRACE     at com/example/NativeWrapper.testNativeMethod(Native Method)
4XESTACKTRACE     at com/example/JNIWrapper.service(JNIWrapper.java:33)
4XESTACKTRACE     at javax/servlet/http/HttpServlet.service(HttpServletRequest.java:791)
4XESTACKTRACE     at com/ibm/ws/webcontainer/servlet/ServletWrapper.service(ServletWrapp...
4XESTACKTRACE     at com/ibm/ws/webcontainer/servlet/ServletWrapper.handleRequest(Servl...
4XESTACKTRACE     at com/ibm/ws/webcontainer/servlet/ServletWrapper.handleRequest(Servl...
4XESTACKTRACE     at com/ibm/ws/webcontainer/filter/WebAppFilterChain.invokeTarget(We...
4XESTACKTRACE     at com/ibm/ws/webcontainer/filter/WebAppFilterChain.doFilter(WebAp...
4XESTACKTRACE     at com/ibm/ws/security/jaspi/JaspiServletFilter.doFilter(JaspiServ...
4XESTACKTRACE     at com/ibm/ws/webcontainer/filter/FilterInstanceWrapper.doFilter(...
4XESTACKTRACE     at com/ibm/ws/webcontainer/filter/WebAppFilterChain.doFilter(WebAp...
4XESTACKTRACE     at com/ibm/ws/webcontainer/filter/WebAppFilterManager.doFilter(We...
4XESTACKTRACE     at com/ibm/ws/webcontainer/filter/WebAppFilterManager.invokeFilter...
4XESTACKTRACE     at com/ibm/ws/webcontainer/webapp/WebApp.handleRequest(WebApp.ja...
4XESTACKTRACE     at com/ibm/ws/webcontainer/osgi/DynamicVirtualHost$2.handleRequest...
4XESTACKTRACE     at com/ibm/ws/webcontainer/WebContainer.handleRequest(WebContain...
4XESTACKTRACE     at com/ibm/ws/webcontainer/osgi/DynamicVirtualHost$2.run(DynamicVi...
4XESTACKTRACE     at com/ibm/ws/http/dispatcher/internal/channel/HttpDispatcherLink...
4XESTACKTRACE     at com/ibm/ws/http/dispatcher/internal/channel/HttpDispatcherLink...
4XESTACKTRACE     at com/ibm/ws/http/dispatcher/internal/channel/HttpDispatcherLink...
4XESTACKTRACE     at com/ibm/ws/http/channel/internal/inbound/HttpInboundLink.hand...
4XESTACKTRACE     at com/ibm/ws/http/channel/internal/inbound/HttpInboundLink.hand...
4XESTACKTRACE     at com/ibm/ws/http/channel/internal/inbound/HttpInboundLink.proce...
4XESTACKTRACE     at com/ibm/ws/http/channel/internal/inbound/HttpInboundLink.ready...
4XESTACKTRACE     at com/ibm/ws/tcpchannel/internal/NewConnectionInitialReadCallba...
4XESTACKTRACE     at com/ibm/ws/tcpchannel/internal/NewConnectionInitialReadCallba...
4XESTACKTRACE     at com/ibm/ws/tcpchannel/internal/WorkQueueManager.requestComple...

```

6. This shows both the Java stack and the native stack which is very useful to understand what's driving the crash.
  6. Next, we'll want to look at the system dump in the Java dump viewer.

1. Execute **jdumpview**, passing the system dump from the messages above. For example:

```
$ jdmpview -core /opt/ibm/wlp/usr/servers/test/core.20190515.162537.202.0001.dmp
DTFJView version 4.29.5, using DTFJ version 1.12.29003
Loading image from DTFJ...
```

For a list of commands, type "help"; for how to use "help", type "help help"  
Available contexts (\* = currently selected context) :

Source : file:///opt/ibm/wlp/usr/servers/test/core.20190515.162537.202.0001.dmp  
\*0 : PID: 1576 : JRE 1.8.0 Linux amd64-64 (build 8.0.5.31 - pxa6480sr5fp31-20190311\_03(SR5 F)

2. Next, if you know it's a crash, run the `!gpinfo` command to get similar information to what we saw in `stderr`:

```
> !gpinfo
Failing Thread: !j9vmthread 0x1b07b00
Failing Thread ID: 0x54d (1357)
gpInfo:
J9Generic_Signal_Number=00000004 Signal_Number=0000000b Error_Value=00000000 Signal_Code=00000
Handler1=00007F1731B16B00 Handler2=00007F1731FCFB0 InaccessibleAddress=0000000000000000
RDI=00007F16A8079848 RSI=00007F172762B023 RAX=0000000000000000 RBX=000000000000000018
RCX=00000000FFD9FFF0 RDX=0000000000000000 R8=0000000000000000 R9=00007F1715552700
R10=00007F173344D170 R11=00007F1733171E40 R12=0000000000000000 R13=00007F1731BE39CC
R14=00007F171554F810 R15=0000000000000000
RIP=00007F172762A1CD GS=0000 FS=0000 RSP=00007F171554F510
EFlags=0000000000010246 CS=0033 RBP=00007F171554F540 ERR=0000000000000004
TRAPNO=000000000000000E OLDMASK=0000000000000000 CR2=0000000000000000
xmm0 ffffffff00000000 (f: 0.000000, d: -nan)
xmm1 7257650074736574 (f: 1953719680.000000, d: 6.239805e+242)
xmm2 ff00000000ff0000 (f: 16711680.000000, d: -5.486124e+303)
xmm3 0000000000000000 (f: 0.000000, d: 0.000000e+00)
xmm4 00000000000000ff (f: 255.000000, d: 1.259867e-321)
xmm5 bcca000000000000 (f: 0.000000, d: -7.216450e-16)
xmm6 bc1c000000000000 (f: 0.000000, d: -3.794708e-19)
xmm7 0000000000000000 (f: 0.000000, d: 0.000000e+00)
xmm8 0074736574000a64 (f: 1946159744.000000, d: 1.820179e-306)
xmm9 0000000000000000 (f: 0.000000, d: 0.000000e+00)
xmm10 3fd4618bc21c5ec2 (f: 3256639232.000000, d: 3.184537e-01)
xmm11 4120a4d2906fa32a (f: 2423235328.000000, d: 5.453853e+05)
xmm12 00000003e23f24e (f: 1042543168.000000, d: 5.150848e-315)
xmm13 0000000467332ce (f: 1181954816.000000, d: 5.839632e-315)
xmm14 0000000000000000 (f: 0.000000, d: 0.000000e+00)
xmm15 3fc8a1142284508a (f: 579096704.000000, d: 1.924157e-01)
Module=/opt/jni_web_hello_world/target/libNativeWrapper.so
Module_base_address=00007F1727629000 Symbol=Java_com_example_NativeWrapper_testNativeMethod
Symbol address=00007F172762A139
```

3. Finally, run **info thread** to see the crashing thread's Java stack and related stack frame locals:

```
> info thread  
process id: 2
```

```
thread id: 1357
registers:
native stack sections:
native stack frames:
properties:
associated Java thread:
  name:           Default Executor-thread-56
  Thread object: java/lang/Thread @ 0xff7804e0
  Daemon:        true
  ID:            108 (0x6c)
  Priority:      5
  Thread.State:  RUNNABLE
```

```

JVMTI state: ALIVE RUNNABLE
Java stack frames:
bp: 0x000000000024b5b40 method: String com/example/NativeWrapper.testNativeMethod(String)
    objects: 0xfe125fb0
bp: 0x000000000024b5b88 method: void com/example/JNIWrapper.service(javax.servlet.http.HttpServlet)
    objects: 0xfe1128e0 0xfe112cc8 [...]

```

7. Next, we'll want to look at the system dump in the operating system debugger. All we usually need is the native stack trace details which are provided to the module owner to review, although sometimes they also need the full system dump.

1. Load the Linux debugger, passing the executable that crashed and the path to the system dump from the messages above. For example:

```

$ gdb /opt/ibm/java/bin/java /opt/ibm/wlp/usr/servers/test/core.20190515.162537.202.0001.dmp
[...]
Program terminated with signal SIGSEGV, Segmentation fault.
#0  __pthread_kill (threadid=<optimized out>, signo=11)
    at ../sysdeps/unix/sysv/linux/pthread_kill.c:56
 56      return (INTERNAL_SYSCALL_ERROR_P (val, err)
Missing separate debuginfos, use: dnf debuginfo-install libgcc-8.3.1-2.fc29.x86_64 sssd-client

```

2. Next, type the **bt** command and press enter, and continue to press enter until the full stack is printed:

```

(gdb) bt
#0  __pthread_kill (threadid=<optimized out>, signo=11)
    at ../sysdeps/unix/sysv/linux/pthread_kill.c:56
#1  0x00007f173142aeed in omrdump_create ()
    from /opt/ibm/java/jre/lib/amd64/compressedrefs/libj9prt29.so
#2  0x00007f1730cac4e2 in doSystemDump ()
    from /opt/ibm/java/jre/lib/amd64/compressedrefs/libj9dmp29.so
#3  0x00007f1730ca8365 in protectedDumpFunction ()
    from /opt/ibm/java/jre/lib/amd64/compressedrefs/libj9dmp29.so
#4  0x00007f17313fdec8 in omrsig_protect ()
    from /opt/ibm/java/jre/lib/amd64/compressedrefs/libj9prt29.so
#5  0x00007f1730cab96b in runDumpFunction ()
    from /opt/ibm/java/jre/lib/amd64/compressedrefs/libj9dmp29.so
#6  0x00007f1730cabaec in runDumpAgent ()
    from /opt/ibm/java/jre/lib/amd64/compressedrefs/libj9dmp29.so
#7  0x00007f1730cc255b in triggerDumpAgents ()
    from /opt/ibm/java/jre/lib/amd64/compressedrefs/libj9dmp29.so
#8  0x00007f1731b166f2 in generateDiagnosticFiles ()
    from /opt/ibm/java/jre/lib/amd64/compressedrefs/libj9vm29.so
#9  0x00007f17313fdec8 in omrsig_protect ()
    from /opt/ibm/java/jre/lib/amd64/compressedrefs/libj9prt29.so
#10 0x00007f1731b168e6 in vmSignalHandler ()
    from /opt/ibm/java/jre/lib/amd64/compressedrefs/libj9vm29.so
#11 0x00007f17313fd14f in masterSynchSignalHandler ()
    from /opt/ibm/java/jre/lib/amd64/compressedrefs/libj9prt29.so
#12 <signal handler called>
#13 0x00007f172762a1cd in Java_com_example_NativeWrapper_testNativeMethod (env=0x1b07b00,
    c=0x19d7230, s=0x24b5b40) at com_example_NativeWrapper.c:12
#14 0x00007f1731bb62c4 in ffi_call_unix64 ()
    from /opt/ibm/java/jre/lib/amd64/compressedrefs/libj9vm29.so
#15 0x00007f1731bb3a51 in ffi_call () from /opt/ibm/java/jre/lib/amd64/compressedrefs/libj9vm29.so

```

```

#16 0x00007f1731aa439e in VM_BytecodeInterpreter::run(J9VMThread*) ()
    from /opt/ibm/java/jre/lib/amd64/compressedrefs/libj9vm29.so
--Type <RET> for more, q to quit, c to continue without paging--
#17 0x00007f1731a91090 in bytecodeLoop () from /opt/ibm/java/jre/lib/amd64/compressedrefs/libj9vm29.so
#18 0x00007f1731b50da2 in c_cInterpreter () from /opt/ibm/java/jre/lib/amd64/compressedrefs/libj9vm29.so
#19 0x00007f1731b0055a in runJavaThread () from /opt/ibm/java/jre/lib/amd64/compressedrefs/libj9vm29.so
#20 0x00007f1731b5072f in javaProtectedThreadProc () from /opt/ibm/java/jre/lib/amd64/compressedrefs/libj9vm29.so
#21 0x00007f17313fdec8 in omrsig_protect () from /opt/ibm/java/jre/lib/amd64/compressedrefs/libj9vm29.so
#22 0x00007f1731b4cb8a in javaThreadProc () from /opt/ibm/java/jre/lib/amd64/compressedrefs/libj9vm29.so
#23 0x00007f173186b2c6 in thread_wrapper () from /opt/ibm/java/jre/lib/amd64/compressedrefs/libj9vm29.so
#24 0x00007f173340058e in start_thread (arg=<optimized out>) at pthread_create.c:486
#25 0x00007f1733112683 in clone () at ../sysdeps/unix/sysv/linux/x86_64/clone.S:95

```

3. The above stack should be sent to the developer of the module for them to investigate the crash.

1. If you're curious, you can further investigate the crash if you can guess where to look. In the above example, we know the code is crashing in our JNI library and we can see the top method of that library is in frame #13, so switch to that frame:

```

(gdb) frame 13
#13 0x00007f172762a1cd in Java_com_example_NativeWrapper_testNativeMethod (env=0x1b07b00,
    c=0x19d7230, s=0x24b5b40) at com_example_NativeWrapper.c:12
12      printf("Printing nonsense value: %d", *p);

```

2. If the module is compiled with symbols (as it always should be on most operating systems), then you'll see the actual code that crashed.
3. In this example, we can further display the value of the pointer that's likely causing the crash:

```

(gdb) print p
$1 = (int *) 0x0

```

4. This shows the code tried to dereference a NULL pointer causing the SIGSEGV.

## Native Memory Leaks

Native memory leaks and native OutOfMemoryErrors (NOOMs) are one of the more complicated problem determination topics. This lab will simulate a native memory leak and show how to diagnose it.

### Native Memory Theory

A Java process is a native operating system process. The operating system provides each process a virtual address space depending on the processor architecture. For most 32-bit processes and CPUs, this is 0 – 4GB, and for most 64-bit processes and CPUs, this is 0 – 16EB (practically, 0 – 256TB). As a program runs, process virtual memory usage is converted to physical memory addresses in RAM.

Out of this virtual address space, Java carves out a chunk for the Java heap with a maximum size specified by -Xmx. However, Java also has various other native data structures outside of the Java heap that support the Java program, the JIT compiler, etc. In addition, any third party native libraries or OS libraries may consume additional native memory. In particular, each class and classloader has a corresponding native structure in the Java process virtual address space that is outside the Java heap. Each thread is also backed by native memory.

By default, 64-bit Java uses a performance optimization called compressed references. This requires that all classloader, thread, and monitor native backing data structures are allocated in the 0-4GB virtual address space range. Therefore, if there is a leak of classes, classloaders, threads, and/or monitors, if there is no available space in this range (e.g. due to the volume of those structures or other native libraries allocating into that space [e.g. DirectByteBuffers]), then a native OutOfMemoryError will be thrown. It is possible to

disable compressed references (often at a large performance cost); however, if the NOOM is caused by a leak, then ultimately this will not resolve the issue because at some point the address space usage will exhaust physical RAM and paging will cause a similar problem as the NOOM.

## Native Memory Leak Lab

This lab will leak classloaders which use native memory outside the Java heap and this will cause a NOOM. This will show to diagnose and analyze the native leak.

Note: You may skip the data collection steps and use example data packaged at /opt/webspherelab/supplemental/examp

1. Ensure that the Liberty **test** server is started.

```
$ /opt/ibm/wlp/bin/server status test  
Server test is running [...]
```

2. If the Liberty **test** server is not started, then start it:

```
$ /opt/ibm/wlp/bin/server start test
```

3. Open a browser to http://localhost:9082/jni\_web\_hello\_world/jniwrapper?str=nativemem

1. This simply consumes a large chunk of memory below 4GB to simulate the issue faster.
4. Use the **ab** program (Apache Bench; a utility that's part of the httpd package) to execute multiple calls to a servlet running in Liberty which will leak a classloader/thread each time:

```
$ ab -n 1000000 -c 4 http://localhost:9082/swat/ClassloaderLeak
```

5. In a separate tab, you can run the following command to watch the native memory of the process increasing:

```
$ watch ps -o vsz,rss,command -p $(pgrep -f test)
```

6. After about 5 minutes, the virtual address space below 4GB will become exhausted and a native OutOfMemoryError is thrown. You will see this in /opt/ibm/wlp/usr/servers/test/logs/console.log. For example:

```
JVMDUMP039I Processing dump event "systhrow", detail "java/lang/OutOfMemoryError" at 2019/05/20 20:  
JVMDUMP010I System dump written to /opt/ibm/wlp/usr/servers/test/core.20190520.202903.172.0001.dmp  
JVMDUMP010I Java dump written to /opt/ibm/wlp/usr/servers/test/javacore.20190520.202903.172.0003.txa
```

7. After the javacore has been written, you can dump some final information and then kill the JVM:

```
$ cat /proc/$(pgrep -f test)/smaps > smaps_$(hostname)_$(date +"%Y%m%d_%H%M%S_%N").txt  
$ pkill -9 -f test
```

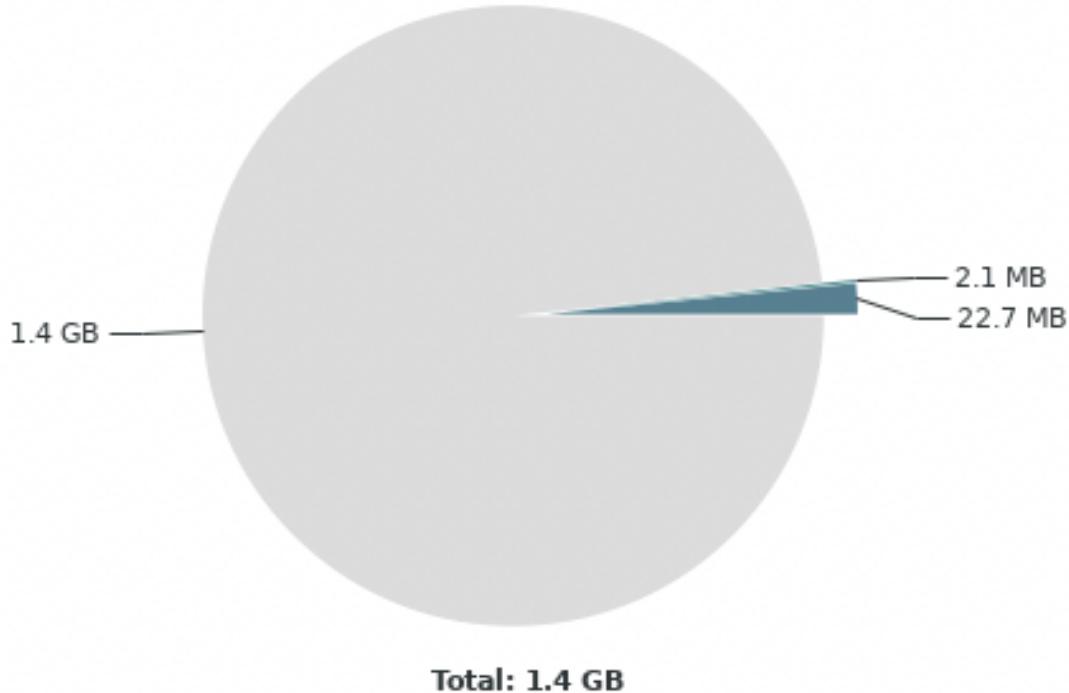
8. The first step is to open the **javacore\*txt** file:

1. Review the **1TISIGINFO** line. Note that, unlike the previous Java OutOfMemoryError exercise above, this time the detail of the OOM shows “**native memory exhausted**”:

2. Review the “Object Memory” section which shows where the parts of the Java heap are placed in virtual memory:

1. In this case, the Java heap (2GB) is completely within the 0-4GB virtual address space so it will compete for the 0-4GB space with class/thread/monitor native memory allocations. Place the Java heap below 4GB is a performance optimization for small Java heaps. If necessary, you may place the Java heap above 4GB with the option **-Xgc:preferredHeapBase=0x100000000** which places the Java heap to start at 4GB, although this will reduce the performance of the JVM by a few %. If the Java heap is large, the JVM automatically places it above 4GB.

3. Review the NATIVEMEMINFO section which lists the native memory allocations that the JVM is aware of (this does not include all native memory allocations in the process). The most common drivers of NOOMs are highlighted:
4. In this example, about 1.2GB of native memory (outside the Java heap) is consumed by classes and classloaders. This is the primary suspect for this NOOM.
9. Given that the primary suspects are classes/classloaders, next we'll analyze the heap dump. Open the Memory Analyzer Tool by double clicking the **MAT** icon on the desktop and load the **core\*dmp** file. This may take 20-30 minutes so while it's loading you can review the additional details in the next steps and return once the loading is complete.
  1. When you first load the system dump, you'll see that there is no large dominator so there are no large pie pieces:

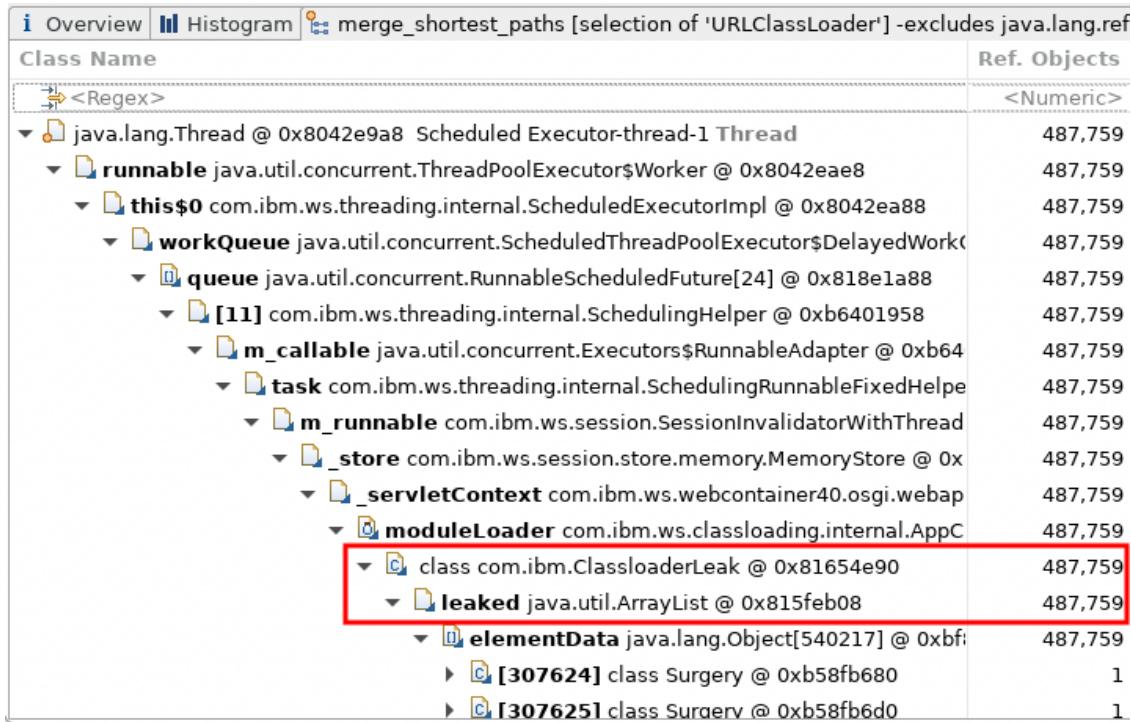


2. As in the Java OOM exercise, open the **Histogram**, click on the **Calculator**, select **Calculate minimum retained size (quick approx.)** and sort by **Retained Heap** descending. The top few items show a large number of **URLClassLoaders** retaining about 1GB (of Java heap):

| Class Name                       | Objects   | Shallow Heap | Retained Heap |
|----------------------------------|-----------|--------------|---------------|
| <Regex>                          | <Numeric> | <Numeric>    | <Numeric>     |
| java.net.URLClassLoader          | 487,759   | 48.38 MB     | = 971.35 MB   |
| sun.misc.URLClassPath            | 487,761   | 22.33 MB     | = 617.80 MB   |
| sun.misc.URLClassPath\$JarLoader | 487,787   | 26.05 MB     | = 368.47 MB   |
| java.util.HashMap                | 1,484,954 | 56.65 MB     | = 301.79 MB   |
| java.util.jar.JarFile            | 487,809   | 37.22 MB     | = 264.27 MB   |
| java.util.HashMap\$Node[]        | 1,482,746 | 101.56 MB    | = 245.36 MB   |

3. Right click on URLClassLoader and select **Merge Shortest Paths to GC Roots > excluding**

all phantom/weak/soft etc. references. Expand the path all the way down until you find the place where the classloaders are leaked. This shows that the `com.ibm.ClassloaderLeak` class has a static **leaked** `ArrayList` which is leaking the classloaders.



## WebSphere Liberty

WebSphere Liberty has many built-in troubleshooting and performance features, including:

- Request Timing
- HTTP NCSA access log
- Admin Center
- MXBean Monitoring
- Server Dumps
- Event Logging
- Diagnostic trace
- Binary logging
- Timed operations

## Request Timing

WebSphere Liberty's Slow and Hung Request Detection is optionally enabled with the `requestTiming-1.0` feature.

The slow request detection part of the feature monitors for HTTP requests that exceed a configured threshold and prints a tree of events breaking down the components of the slow request. The hung request detection part of the feature additionally gathers thread dumps after its threshold is exceeded.

### requestTiming Lab

1. Modify `/config/server.xml` to add the following before `</server>`:

```
<featureManager><feature>requestTiming-1.0</feature></featureManager>
<requestTiming slowRequestThreshold="60s" hungRequestThreshold="180s" sampleRate="1" />
```

2. Execute a request that takes more than one minute by opening a browser to http://localhost:9080/swat/Sleep?duration=60
3. After about a minute and the request completes, review the requestTiming warning in /logs/messages.log – for example:

```
[3/20/22 16:16:52:250 UTC] 0000007b com.ibm.ws.request.timing.manager.SlowRequestManager
    at java.lang.Thread.sleep(Native Method)
    at java.lang.Thread.sleep(Thread.java:956)
    at com.ibm.Sleep.doSleep(Sleep.java:35)
    at com.ibm.Sleep.doWork(Sleep.java:18)
    at com.ibm.BaseServlet.service(BaseServlet.java:73)
    at javax.servlet.http.HttpServlet.service(HttpServlet.java:790)
    [...]
```

The following table shows the events that have run during this request.

| Duration    | Operation                                                 |
|-------------|-----------------------------------------------------------|
| 60008.080ms | + websphere.servlet.service   swat   Sleep?duration=65000 |

- |             |                                                           |
|-------------|-----------------------------------------------------------|
| 60008.080ms | + websphere.servlet.service   swat   Sleep?duration=65000 |
|-------------|-----------------------------------------------------------|
1. The warning shows a stack at the time `requestTiming` notices the threshold is breached and it's followed be a tree of components of the request. The plus sign (+) indicates that an operation is still in progress. The indentation level indicates which events requested which other events.
  4. Execute a request that takes about three minutes by opening a browser to http://localhost:9080/swat/Sleep?duration=200
  5. After about five minutes, review the requestTiming warning in /logs/messages.log – in addition to the previous warning, multiple thread dumps are produced:

```
[3/20/22 16:22:23:565 UTC] 0000007d com.ibm.ws.kernel.launch.internal.FrameworkManager
[3/20/22 16:22:23:662 UTC] 0000007d com.ibm.ws.kernel.launch.internal.FrameworkManager
```

1. Thread dumps will be captured, one minute apart, after the threshold is breached.
6. Review the thread dumps using the TMDA tool and see if you can find the captured long-running request.

In general, it is a good practice to use `requestTiming`, even in production. Configure the thresholds to values that are at the upper end of acceptable times for the users and the business. Configure and test the `sampleRate` to ensure the overhead of `requestTiming` is acceptable in production.

When the `requestTiming` feature is enabled, the server dump command will include a snapshot of all the event trees for all requests thus giving a very nice and lightweight way to see active requests in the system at a detailed level (including URI, etc.), in a similar way that thread dumps do the same for thread stacks.

## HTTP NCSA Access Log

The Liberty HTTP access log is optionally enabled with the `httpEndpoint` `accessLogging` element. When enabled, a separate `access.log` file is produced with an NCSA standardized (i.e. httpd-style) line for each HTTP request, including items such as the URI and response time, useful for post-mortem corelation and performance analysis. For example,

### HTTP NCSA Access Log Lab

1. Modify `/config/server.xml` to change the following element:

```
<httpEndpoint id="defaultHttpEndpoint"
```

```

    host="*"
    httpPort="9080"
    httpsPort="9443" />

```

2. To the following (note that the <httpEndpoint> element must no longer be self-closing):

```

<httpEndpoint id="defaultHttpEndpoint"
    host="*"
    httpPort="9080"
    httpsPort="9443">
    <accessLogging filepath="${server.output.dir}/logs/access.log" maxFileSize="250" maxFiles="2" log=
</httpEndpoint>

```

3. Start JMeter
4. Run the test for a couple of minutes.
5. Stop JMeter
6. Review /opt/ibm/wlp/output/defaultServer/logs/access.log to see HTTP responses. For example:

```

127.0.0.1 - Admin1 [20/Mar/2022:16:28:23 +0000] "GET /daytrader/app?action=portfolio HTTP/1.1" 200
127.0.0.1 - Admin1 [20/Mar/2022:16:28:23 +0000] "GET /daytrader/app?action=quotes&symbol=s%3A6651 H
127.0.0.1 - Admin1 [20/Mar/2022:16:28:23 +0000] "GET /daytrader/app?action=quotes&symbol=s%3A9206 H

```

7. The second-to-last number is the response time in microseconds. In the example above, the first response time was 397.114 milliseconds. The last number is the time until the first byte of the response was sent back which may help investigate network slowdowns in front of WebSphere.

There are various scripts and tools available publicly (example) to post-process NCSA-style access logs to create statistics and graphs.

In general, it is a good practice to use `accessLogging`, even in production, if the performance overhead is acceptable.

## Liberty Bikes

For the following Liberty exercises, we will use the open source Liberty Bikes sample application:

1. Open a terminal and change directory to ~/liberty-bikes:

```
cd ~/liberty-bikes/
```

2. Your terminal might have LOG\_DIR set due to Docker configuration. If so, this will cause all four JVMs to write to the same log and cause errors, so make sure that's not set:

```
export LOG_DIR=""
```

3. Start the four Liberty servers:

```
./gradlew start -DsinglParty=true
```

4. This will take a few minutes to start. When the servers are ready, you will see the end display similar to:

```
Application externally available at: http://...:12000
BUILD SUCCESSFUL in 1m 18s
```

5. Open <http://localhost:12000/>

There are four Liberty servers that comprise the liberty-bikes application: frontendServer, auth-service, player-service, and game-service:

```
$ ls -l ~/liberty-bikes/build/wlp/usr/servers/
total 16
drwxr-x--- 8 was root 4096 Jun  4 20:50 auth-service
drwxr-x--- 7 was root 4096 Jun  4 20:51 frontendServer
drwxr-x--- 8 was root 4096 Jun  4 20:50 game-service
drwxr-x--- 8 was root 4096 Jun  4 20:50 player-service
```

## Server Configuration (`server.xml`)

In general, most Liberty configuration for a server is contained in its `server.xml` file and any configDropins XML files. By default, when you save changes to these files, a running Liberty server will periodically check for updates and reload any detected changes if possible.

For example, below is the server configuration of the `frontendServer`:

```
$ cat ~/liberty-bikes/build/wlp/usr/servers/frontendServer/server.xml
<server>
    <featureManager>
        <feature>servlet-4.0</feature>
    </featureManager>

    <httpEndpoint id="defaultHttpEndpoint" host="*" httpPort="${httpPort}" httpsPort="${httpsPort}" />

    <applicationManager autoExpand="true"/>

    <webApplication location="${application.name}" contextRoot="/" >
    </webApplication>
</server>
```

## Java Arguments

It is a common requirement to modify Java arguments for the Liberty process. These are most commonly modified in the `jvm.options` file. Updates to these files require a restart.

## Liberty Log Files

If you are having problems, one of the first things to do is to check the Liberty server log files. The log files are located under the server's logs directory. For example, for the `frontendServer`:

```
$ ls -l ~/liberty-bikes/build/wlp/usr/servers/frontendServer/logs/
total 16
-rw-r----- 1 was root 666 Jun  4 20:51 console.log
-rw-r----- 1 was root 3563 Jun  4 20:51 messages.log
drwxr-x--- 2 was root 4096 Jun  4 20:51 state
-rw-r----- 1 was root 483 Jun  4 20:51 stop.log
```

There are two main log files: `messages.log` and `console.log`. The two files share a lot of the same output (`System.out` & `System.err`), with a large difference being that `messages.log` has timestamps and `console.log` does not. In addition, `console.log` has `stdout` & `stderr` such as JVM messages. In general, you only need to look at `messages.log`; however, there are cases where `console.log` has additional information.

## MXBean Monitoring

Key performance indicator statistics gathering is optionally enabled with the monitor-1.0 feature. This data may be exposed with Java standard MXBeans through the localConnector-1.0 feature for local machine access, or through the restConnector-1.0 feature (with ssl-1.0) for remote machine access. MXBeans may

be viewed with Java's built-in JConsole tool, the Liberty adminCenter, or through any monitoring tool that supports MXBeans.

The following are the minimum recommended MXBeans statistics to monitor:

- JvmStats (e.g. WebSphere:type=JvmStats)
  - Heap: Current Heap Size
  - UsedMemory: Current Heap Usage
  - ProcessCPU: Average percentage of CPU used over the previous interval by this JVM process
- ServletStats (e.g. WebSphere:type=ServletStats,name=...)
  - RequestCount: The cumulative number of processed requests.
  - ResponseTime (ns): Average response time of the servlet over the previous interval.
- ThreadPoolStats (e.g. WebSphere:type=ThreadPoolStats,name=...)
  - ActiveThreads: The number of concurrent threads actively executing application-related work over the previous interval.
  - PoolSize: The current maximum size of the thread pool.
- SessionStats (e.g. WebSphere:type=SessionStats,name=...)
  - LiveCount: Total number of HTTP sessions cached in memory.
  - ActiveCount: The total number of concurrently active sessions. A session is active if Liberty is processing a request that uses that session.
- ConnectionPool (e.g. WebSphere:type=ConnectionPool,name=...)
  - ManagedConnectionCount: The number of ManagedConnection objects that are in use.
  - ConnectionHandleCount: The number of Connection objects that are in use.
  - FreeConnectionCount: The number of free connections in the pool.
  - WaitTime: The average waiting time in milliseconds until a connection is granted.

## MXBean Monitoring Lab

The **monitor-1.0** feature must be installed first:

1. Modify `~/liberty-bikes/build/wlp/usr/servers/frontendServer/server.xml` to add:

```
<featureManager>
  <feature>monitor-1.0</feature>
</featureManager>

<monitor filter="" />
```

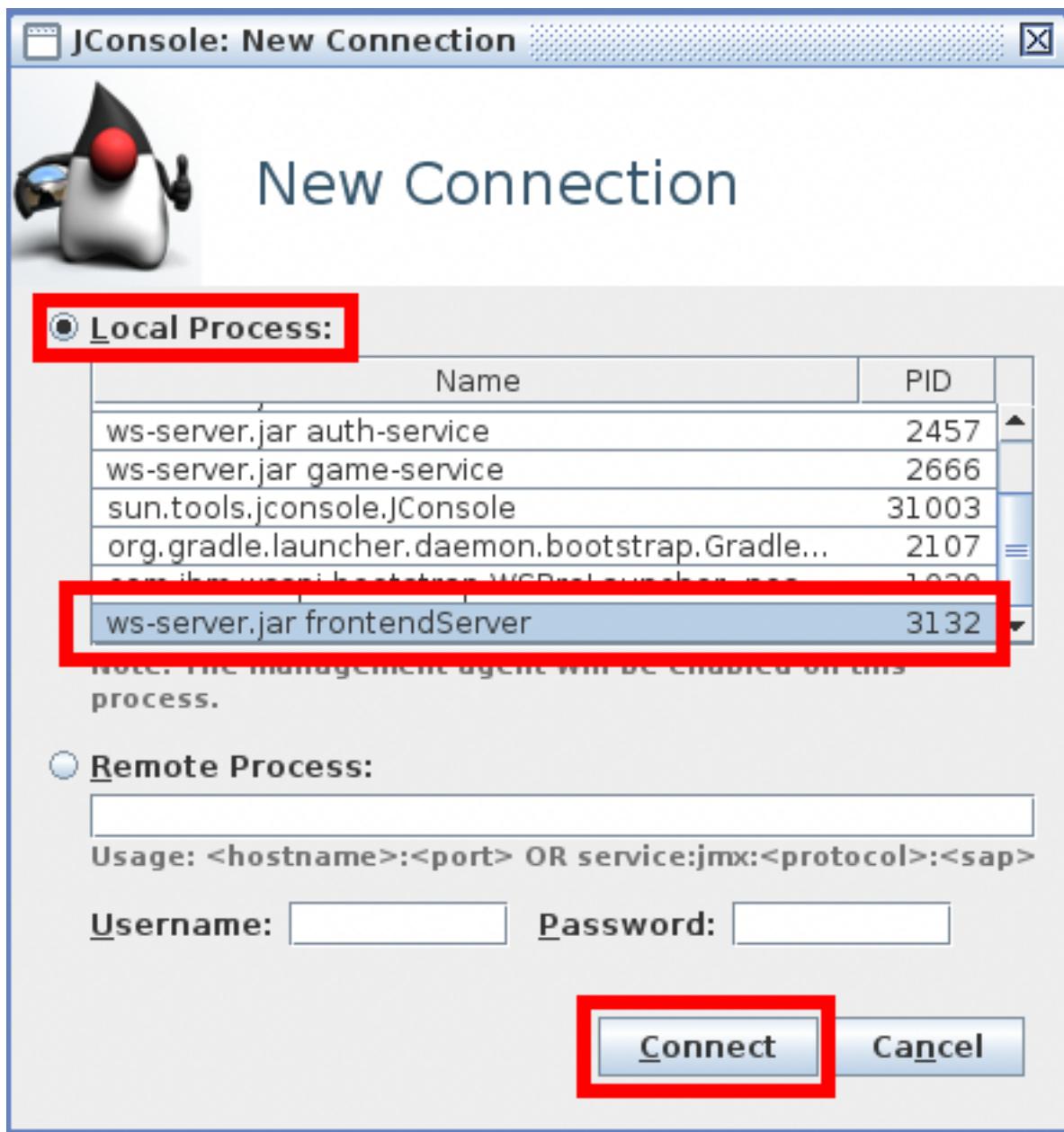
2. Use the **ab** program to execute some calls to the liberty-bikes servers:

```
$ ab -n 1000000000 -c 4 http://localhost:12000/
```

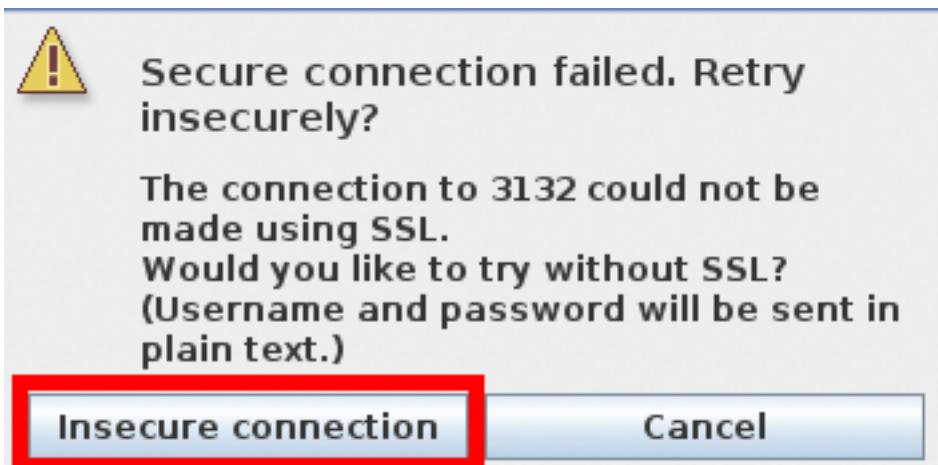
3. Run the **jconsole** tool from the terminal:

```
$ jconsole
```

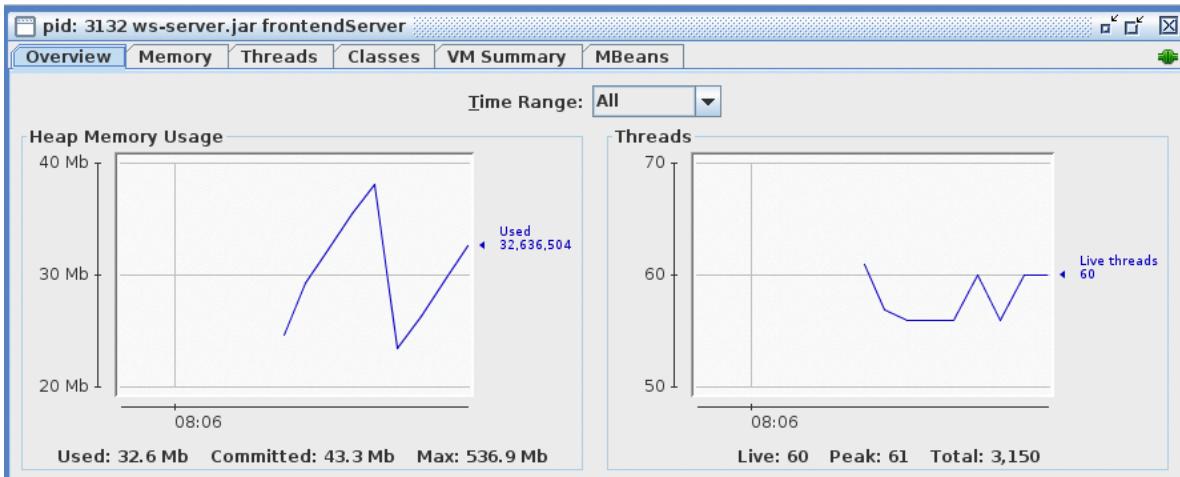
4. Select the **frontendServer** process and click **Connect**:



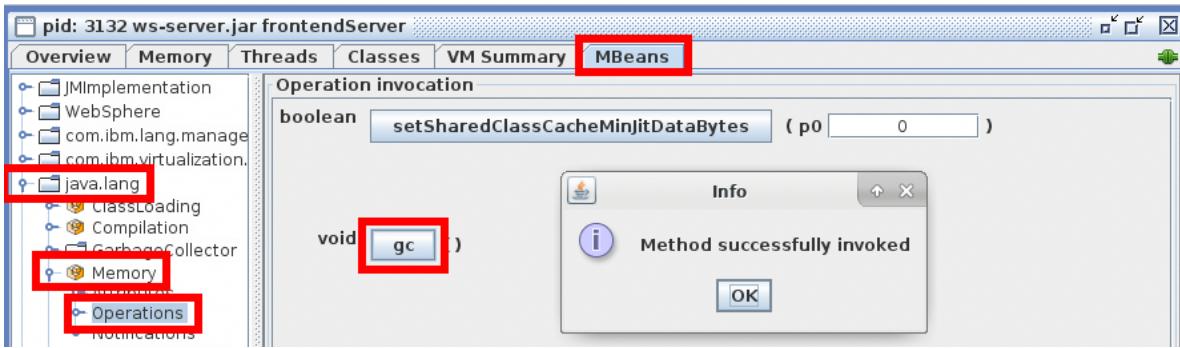
5. Choose **Insecure connection** when the prompt comes up:



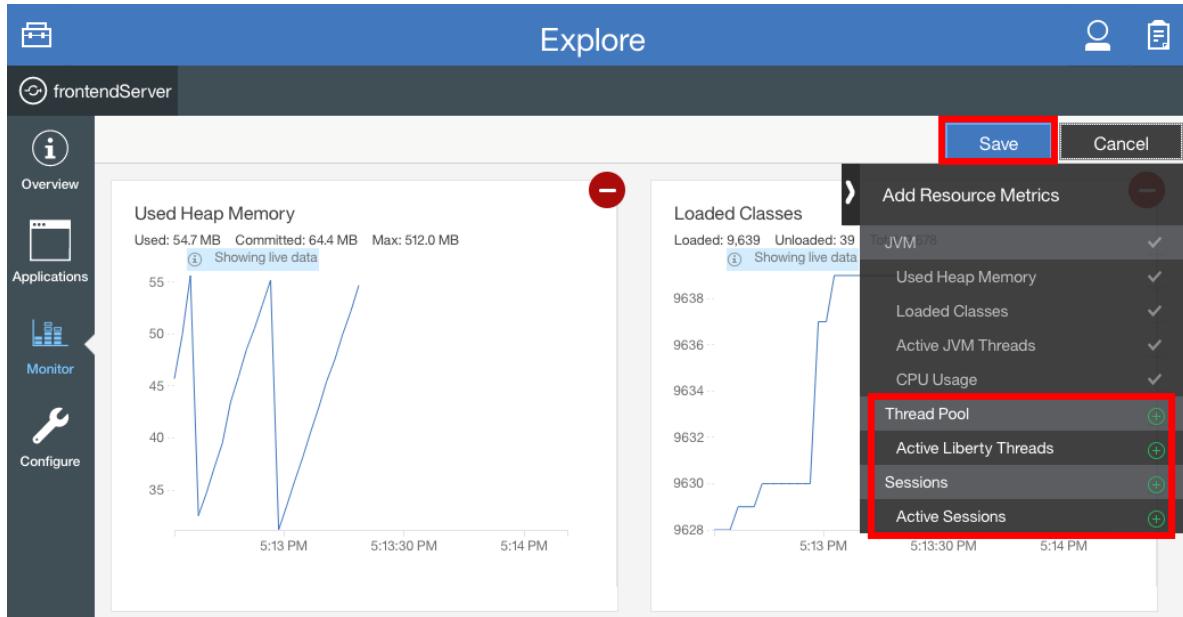
6. The initial view shows basic information about JVM memory usage and number of threads:



7. We can look at MXbean attributes or execute operations. For example, click on Mbeans, expand java.lang > Memory > Operations and click on gc which executes System.gc().



8. The **adminCenter** monitor page will now show additional available metrics:



JConsole does have some basic capabilities of writing statistics to a CSV, although this is limited to a handful of JVM statistics from the main JConsole tabs and is not available for the MXBean data.

If all MXBeans are enabled, IBM benchmarks show about a 4% overhead. This may be reduced by limiting the enabled MXBeans; for example:

```
<monitor filter="ServletStats,ConnectionPool,..." />
```

Unlike WAS traditional which has many thread pools, most work in Liberty occurs in a single thread pool named **Default Executor** (apart from application-created threads). All standard JEE services such as Web, EJB, executor, and JCA (with a few rare exceptions) run on a single **Default Executor** thread pool.

The `<executor />` element in server.xml may be used to configure the Default Executor; although, in general, unless there are observed problems with threading, it is not recommended to tune nor even specify this element as it is auto-tuned.

The **coreThreads** attribute specifies the minimum number of threads (although this number of threads is not pre-populated) and it defaults to a value based on the number of logical cores. The **maxThreads** attribute specifies the maximum number of threads and defaults to unlimited and is auto-tuned.

The **maxPoolSize** attribute of a **connectionManager** element specifies the maximum number of physical connections to a pool and defaults to 50. This metric is a key performance variable and must be monitored and tuned.

## Server Dumps

The server dump command provides some Java- and Liberty-centric state dumps of a running server, such as:

- State of each OSGi bundle in the server
- Wiring information for each OSGi bundle in the server
- Component list that is managed by the Service Component Runtime (SCR) environment
- Detailed information of each component from SCR
- Configuration administration data of each OSGi bundle
- Information about registered OSGi services

- Runtime environment settings such as Java™ virtual machine (JVM), heap size, operating system, thread information, and network status

You may run this from the **bin** directory. For example:

```
$ ~/liberty-bikes/build/wlp/bin/server dump frontendServer
Dumping server frontendServer.
```

```
Server frontendServer dump complete in /home/was/liberty-bikes/build/wlp/usr/servers/frontendServer/for
Server frontendServer dump complete in /home/was/liberty-bikes/build/wlp/usr/servers/frontendServer/for
```

The dump command works whether the server is started or not. Taking a dump in the latter case gathers less information but is still an easy way to gather up logs, configuration, and other potentially interesting information even if the server is stopped.

The output of the dump command is a great thing to upload when first opening any Liberty support case.

You may also specify a comma-separated list of Java diagnostic artifacts including **heap** for a PHD file, **system** for an operating system core dump, and **thread** for a thread dump. For example:

```
$ ~/liberty-bikes/build/wlp/bin/server dump frontendServer --include=system
```

## Event Logging

Event logging is optionally enabled with the **eventLogging-1.0** feature. Event logging is based on the same request probe framework as **requestTiming-1.0** but reports on individual events in an access-log style format.

### Event Logging Lab

The **eventLogging-1.0** feature must be installed first:

1. This lab require internet connectivity to install the **eventLogging-1.0** feature:

```
$ ~/liberty-bikes/build/wlp/bin/installUtility install --acceptLicense eventLogging-1.0
Establishing a connection to the configured repositories ...
This process might take several minutes to complete.
```

```
Successfully connected to all configured repositories.
```

```
Preparing assets for installation. This process might take several minutes to complete.
The --acceptLicense argument was found. This indicates that you have
accepted the terms of the license agreement.
```

```
Step 1 of 4: Downloading eventLogging-1.0 ...
Step 2 of 4: Installing eventLogging-1.0 ...
Step 3 of 4: Validating installed fixes ...
Step 4 of 4: Cleaning up temporary files ...
```

```
All assets were successfully installed.
```

```
Start product validation...
Product validation completed successfully.
```

2. Restart the **liberty-bikes** servers:

```
$ cd ~/liberty-bikes
$ ./gradlew stop
```

```
$ ./gradlew start -DsinglParty=true
```

3. Modify `~/liberty-bikes/build/wlp/usr/servers/frontendServer/server.xml` to add:

```
<featureManager>
  <feature>eventLogging-1.0</feature>
</featureManager>
```

```
<eventLogging eventTypes="websphere.servlet.service" minDuration="1000ms" logMode="exit" sampleRate
```

4. Execute a request that takes about 5 seconds by opening a browser tab.
  5. Example output from a triggering request in messages.log:

[6/10/19 8:36:04:967 UTC] 0000008b EventLogging

This is an easier way to see individual component response times exceeding some threshold. Ideally, it is best to enable both requestTiming and event logging with the proper threshold and sample rate.

For the reason why you want to enable both, consider the following case: You've set the requestTiming threshold to 10 seconds which will print a tree of events for any request taking more than 10 seconds. However, what if a request occurs which has three database queries of 1 second, 2 seconds, and 6 seconds. In this case, the total response time is 9 seconds, but the one query that took 6 seconds is presumably concerning, so event logging can granularly monitor for such events.

## Diagnostic Trace

Diagnostic trace is normally used by IBM support to investigate product defects. You may pre-populate the diagnostic trace log configuration in case it is ever needed. Set the level to \*=info so that the trace.log is not actually created until a more detailed trace is set. The benefit of this is that administrators save time in looking up the exact format of how to enable trace, and also ensures that a well-sized number and size of historical files is configured up-front:

```
<logging traceSpecification="*=info" maxFileSize="250" maxFiles="4" />
```

## Binary Logging

Binary logging is optionally enabled by modifying `bootstrap.properties` and requires a server restart. Binary logging is particularly useful to significantly reduce the performance overhead of diagnostic tracing by a large amount when investigating product issues.

When Liberty binary logging is enabled, the binary log contains all logs, trace, System.out and System.err content.

1. Modify `~/liberty-bikes/build/wlp/usr/servers/frontendServer/bootstrap.properties` to add:

websphere.log.provider=binaryLogging-1.0

2. Modify `~/liberty-bikes/build/wlp/usr/servers/frontendServer/server.xml` to add or change the `<logging />` element. In this example, log content is set to expire after 96 hours and the trace content is set to retain a maximum of 1024MB:

```
<logging>
  <binaryLog purgeMinTime="96" />
  <binaryTrace purgeMaxSize="1024" />
</logging>
```

- ### 3 Restart the liberty-bikes servers:

```
$ cd ~/liberty-bikes  
$ ./gradlew stop
```

```
$ ./gradlew start -DsingleParty=true
```

4. Use the **binaryLog** command to print the contents of the log:

```
$ ~/liberty-bikes/build/wlp/bin/binaryLog view frontendServer -monitor  
[...]
```

Use the **binaryLog** command to view messages; however, the console.log, which is not part of binary logging, will still have stdout, stderr, WAS messages (except trace) >= INFO, System.out and System.err just like the traditional messages.log (which will have become binary).

## Liberty Timed Operations

The **timedOperations-1.0** feature tracks JDBC requests and prints diagnostics if response times are greater than a few standard deviations over a rolling window. Timed operations was introduced before requestTiming and is largely superseded by requestTiming, although requestTiming only uses simple thresholds. Unless the more complex response time triggering is interesting, use requestTiming instead.

## MicroServices

Traditionally, Java Enterprise Edition (JEE) code would be packaged into a "Monolith" unit where all aspects of the business functionality are grouped into a single application made of interdependent components deployed as a single unit.

A MicroService is an alternative architectural style consisting of a collection of loosely-coupled services, each representing one unique business function which allows for a more modular approach and makes the application easier to develop, especially by small, autonomous teams that may develop, deploy, and scale their respective services independently.

Eclipse MicroProfile is a vendor-neutral MicroServices programming model, designed in the open at the Eclipse foundation. WebSphere Liberty is one implementor of the MicroProfile specifications.

The base of MicroProfile are three Java EE technologies: CDI, JAX-RS, and JSON-P. Additional MicroServices technologies layered on top are:

- MicroProfile Config: Standardized configuration mechanisms.
- MicroProfile RestClient: Type-safe JAX-RS client.
- MicroProfile OpenTracing: Standardized way to trace JAX-RS requests and responses.
- MicroProfile Metrics: Standardized way to expose telemetry data.
- MicroProfile OpenAPI: Standardized way to expose API documentation.
- MicroProfile Fault Tolerance: Standardized methods for fault tolerance.
- MicroProfile Health: Standardized application health check endpoint.

OpenLiberty publishes example guides on how to use each MicroProfile technology.

## WAS traditional

### Diagnostic Plans

tWAS diagnostic plans allows you to automatically perform certain actions such as thread dumps, heapdumps, or system dumps, or set, restore, or dump diagnostic trace when certain strings are printed in logs, trace, and/or FFDC, or at particular times in the day.

In this lab, we will demonstrate a simple diagnostic plan which watches for the application System.out message of `Invoking com.ibm.Sleep*30000` and reacts by sleeping for 5 seconds, requesting a thread dump, enabling WebContainer diagnostic trace, sleeping for 30 seconds, and resetting diagnostic trace.

- From a terminal, start wsadmin:

```
/opt/IBM/WebSphere/AppServer/profiles/AppSrv01/bin/wsadmin.sh -lang jython -username wsadmin -password websphere
```

- Run the following command (the \* in the MATCH TRACE is a wildcard, although it is not required either at the beginning nor at the end if you are doing a simple substring match; in this example, we want to match a particular duration):

```
AdminControl.invoke_jmx(AdminControl.makeObjectName(AdminControl.queryNames("WebSphere:type=DiagPlan", ["setDiagPlan", ["MATCH=TRACE:Invoking com.ibm.Sleep*30000,DELAY=5,JAVACORE,SET_TRACESPEC==info:com.java.lang.String"]]))
```

- List the diagnostic plan by running the following command:

```
print AdminControl.invoke_jmx(AdminControl.makeObjectName(AdminControl.queryNames("WebSphere:type=DiagnosticPlan", ["getDiagPlan", [], []]))
```

- Open your browser to <http://localhost:9081/swat/Sleep?duration=30000>

- tWAS logs should show output similar to the following:

```
[12/17/19 21:54:52:727 UTC] 000000cd SystemOut      O swat.ear: Invoking com.ibm.Sleep by anonymous
[12/17/19 21:54:58:733 UTC] 000000d8 DumpJavaCoreA I    TRAS1107I: JAVACORE action completed. The g
[12/17/19 21:54:58:776 UTC] 000000d8 ManagerAdmin   I    TRAS0018I: The trace state has changed. The
[12/17/19 21:55:22:747 UTC] 000000cd SystemOut      O SWAT EAR: Done com.ibm.Sleep
[12/17/19 21:55:28:791 UTC] 000000d8 ManagerAdmin   I    TRAS0018I: The trace state has changed. The
```

- Clear the diagnostic plan by running:

```
AdminControl.invoke_jmx(AdminControl.makeObjectName(AdminControl.queryNames("WebSphere:type=DiagnosticPlan", ["clearDiagPlan", [], []]))
```

- For additional options, see the DiagPlanManager MBean API.

## IBM HTTP Server

IBM HTTP Server is a reverse proxy HTTP server in this image which proxies to WAS traditional. It is installed at `/opt/IBM/HTTPServer` and may be accessed at <http://localhost:9083/>.

## Appendix

### Stopping the container

The `podman run` or `docker run` command in this lab does not use the `-d` (daemon) flag which means that it runs in the foreground. To stop such a container, use one of the following methods:

- Hold down the `Control/Ctrl` key on your keyboard and press `C` in the terminal window where the `run` command is running.
- In a separate terminal window, find the container ID with `podman ps` or `docker ps` command and then run `podman stop $ID` or `docker stop $ID`.

If you add `-d` to `podman run` or `docker run`, then to view the standard out logs, find the container ID with `podman ps` or `docker ps` and then run `podman logs $ID` or `docker logs $ID`. To stop, use `podman stop $ID` or `docker stop $ID`.

### Remote terminal into the container

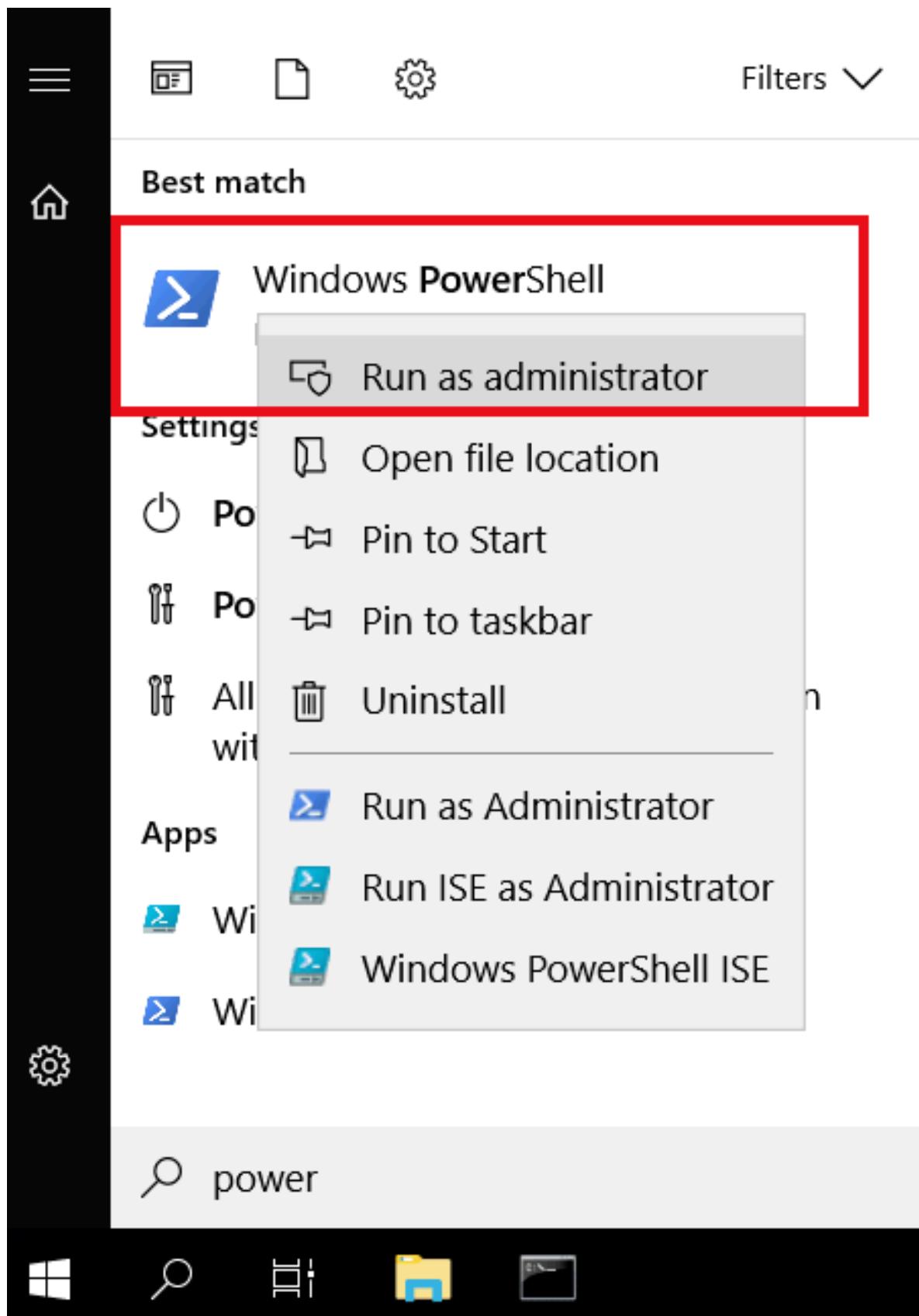
In a separate command prompt or terminal window, execute one of the following commands depending on whether you're using `podman` or Docker Desktop:

- `podman exec -u was -it $(podman ps -q) bash`
- `docker exec -u was -it $(podman ps -q) bash`

## Windows Remote Desktop Client

Windows requires extra steps to configure remote desktop to connect to a container:

1. Open **PowerShell** as Administrator:



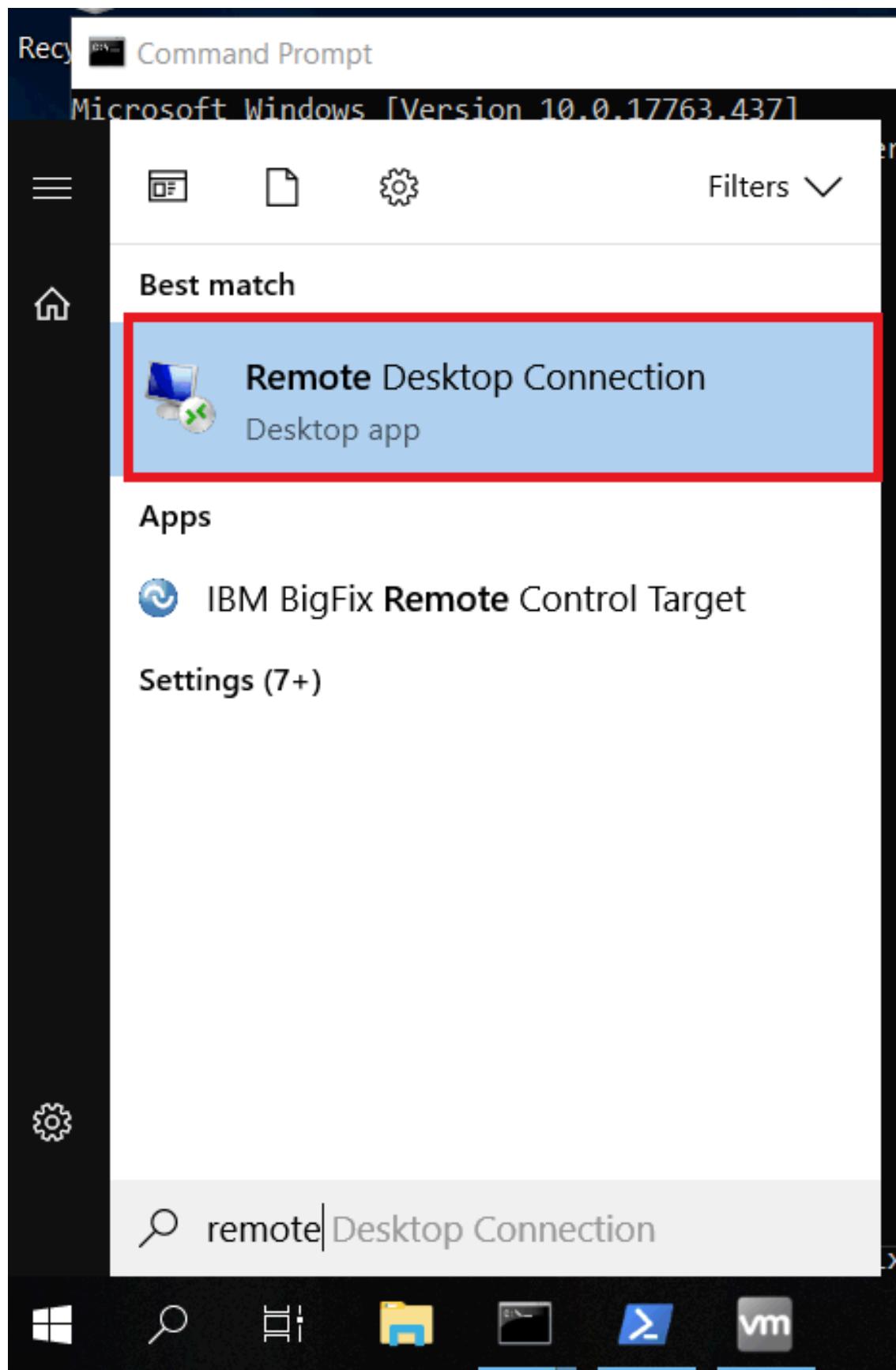
2. Run **ipconfig** and copy the **IPv4** address of the **WSL** adapter. For example, **172.24.0.1**:

```
Ethernet adapter vEthernet (WSL):  
  Connection-specific DNS Suffix . :  
  Link-local IPv6 Address . . . . . : fe80::457e:650b:7002:d26a%68  
  IPv4 Address . . . . . : 172.24.0.1  
    Subnet Mask . . . . . : 255.255.240.0  
  Default Gateway . . . . . :
```

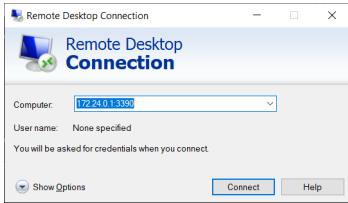
3. Run the following command in **PowerShell**:

```
New-NetFirewallRule -Name "myContainerRDP" -DisplayName "RDP Port for connecting to Container" -Protocol TCP -LocalPort @(3390) -Action Allow
```

4. Run **Remote Desktop**



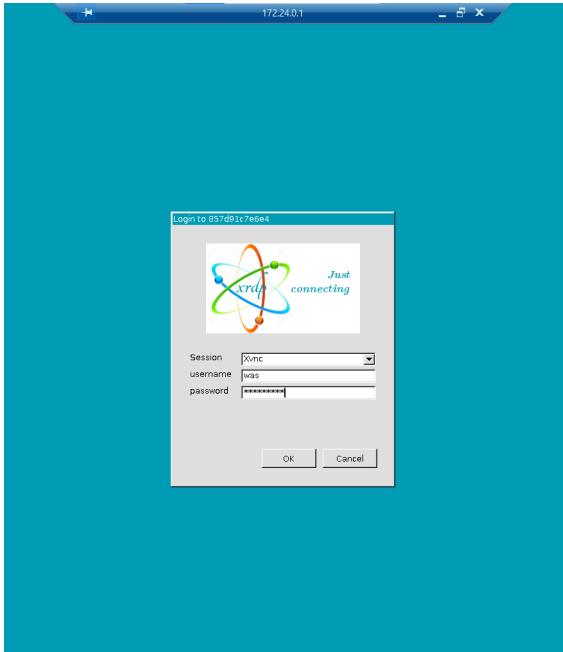
5. Enter the WSL IP address (for example, 172.24.0.1) followed by :3390 as **Computer** and click **Connect**:



6. You'll see a certificate warning because of the name mismatch. Click **Yes** to connect:



7. Type username = **was** and password = **websphere**

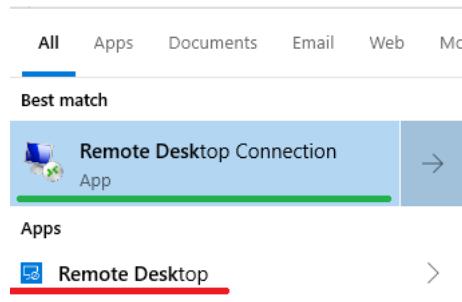


8. You should now be remote desktop'ed into the container:



#### 9. Notes:

1. In some cases, only the **Remote Desktop Connection** application worked, and **not Remote Desktop**:



2. Microsoft requires the above steps and the use of port 3390 instead of directly connecting to 3389.

### Manually accessing/testing Liberty and tWAS

1. Test Liberty by going to <http://localhost:9080/daytrader/> in your host browser or the remote desktop/VNC browser.

User = wsadmin, Password = websphere

2. Test WAS traditional by going to <http://localhost:9081/daytrader/> in your host browser or in the remote desktop/VNC browser.

User = wsadmin, Password = websphere

3. Test the WAS traditional Administrative Console by going to <https://localhost:9043/ibm/console> in your client browser or in the remote desktop/VNC browser.

User = wsadmin, Password = websphere

4. Test IBM HTTP Server and WAS traditional by going to <http://localhost:9083/daytrader/> in your host browser or in the remote desktop/VNC browser.

User = wsadmin, Password = websphere

### Sharing Files Between Host and Container with podman

By default, the podman container does not have access to the host filesystem and vice versa. To share files between the two:

1. Linux: Add `-v /:/host/` to the `docker run` command. For example:

```
podman run ... -v /:/host/ -it quay.io/ibm/webspherelab
```

## 2. Windows and macOS:

1. Re-create the podman machine with a shared folder; for example: `podman machine init --cpus 4 --memory 10240 --disk-size 100 -v $HOME:/mnt/host`
2. Run the podman run command as before but now mount the machine mount; for example: `podman run ... -v /mnt/host:/mnt/host -it quay.io/ibm/webspherelab`

## Sharing Files Between Host and Container with Docker

By default, the Docker container does not have access to the host filesystem and vice versa. To share files between the two:

- Linux: Add `-v /:/host/` to the docker run command. For example:

```
docker run ... -v /:/host/ -it quay.io/ibm/webspherelab
```

- Windows: Add `-v //c:/:/host/` to the docker run command. For example:

```
docker run ... -v //c:/:/host/ -it quay.io/ibm/webspherelab
```

- macOS: Add `-v /tmp:/:/hosttmp/` to the docker run command. Enable non-standard folders with File Sharing. For example:

```
docker run ... -v /tmp:/:/hosttmp/ -it quay.io/ibm/webspherelab
```

## Saving State

Saving state of a container would be useful for situations such as multi-day labs that cannot leave computers running overnight. Unfortunately, there is no easy way to do this. Here are a few ideas:

1. Hibernate the computer. This should save and restore the full in-memory state of everything.
2. Sleep the computer. This should only be done if the computers are plugged into power sources.
3. Commit the filesystem state to a new image and then launch a new container with that state. See the section below based on whether you're using Docker or podman:

### Saving State with podman

1. Find the container ID with podman ps:

```
$ podman ps -a
CONTAINER ID        IMAGE               COMMAND             CREATED            STATUS
0a041815fbc2      quay.io/ibm/webspherelab   "/entrypoint.sh"   9 seconds ago    Up 7 seconds
```

2. Commit the container:

```
$ podman commit 0a041815fbc2 fedorawasdebug:saved
sha256:c8ff7d9946cca20531f70c89b99f9148841dc4bdf074413f810eeb82e2bd6f77
```

3. Then, when you want to “restore” the container, perform the same podman run as before but with the new image you created above: `docker run -p 5901:5901 -p 5902:5902 -p 3390:3389 -it fedorawasdebug:saved`

4. Find the new running container ID:

```
$ podman ps -a
CONTAINER ID        IMAGE               COMMAND             CREATED            STATUS
b54e4412f98b      fedorawasdebug:20190819   "/entrypoint.sh"   2 minutes ago     Up 2 minutes
```

5. Shell into the container, replacing `b54e4412f98b` below with the container ID from the output of your command above:

```
$ podman exec -it b54e4412f98b bash
```

6. Remove temporary X-related files:

```
$ rm -rf /tmp/.X*
```

7. The VNC server should automatically restart after a few seconds.

8. Use the image as normal although you may need to restart some services.

## Saving State with Docker

1. Find the container ID with **docker ps**:

\$ docker ps -a				
CONTAINER ID	IMAGE	COMMAND	CREATED	STATUS
0a041815fbc2	quay.io/ibm/webspherelab	"/entrypoint.sh"	9 seconds ago	Up 7 seconds

2. Commit the container:

```
$ docker commit 0a041815fbc2 fedorawasdebug:saved
sha256:c8ff7d9946cca20531f70c89b99f9148841dc4bdf074413f810eeb82e2bd6f77
```

3. Then, when you want to "restore" the container, perform the same **docker run** but with the new image you created above: **docker run -p 5901:5901 -p 5902:5902 -p 3390:3389 -it fedorawasdebug:saved**

4. The VNC server will need to be manually restarted. Find the running container ID:

\$ docker ps -a				
CONTAINER ID	IMAGE	COMMAND	CREATED	STATUS
b54e4412f98b	fedorawasdebug:20190819	"/entrypoint.sh"	2 minutes ago	Up 2 minutes

5. Shell into the container, replacing **b54e4412f98b** below with the container ID from the output of your command above:

```
$ docker exec -it b54e4412f98b bash
```

6. Remove temporary X-related files:

```
$ rm -rf /tmp/.X*
```

7. Restart the VNC servers (use password **websphere**):

```
# supervisorctl
Server requires authentication
Username:root
Password:

debugsupervisord          EXITED    Aug 19 03:44 PM
finished                   EXITED    Aug 19 03:46 PM
liberty                     RUNNING   pid 20, uptime 0:04:54
liberty2                   EXITED    Aug 19 03:44 PM
mysql                      RUNNING   pid 16, uptime 0:04:54
rsyslog                    FATAL    Exited too quickly (process log may have details)
ssh                         RUNNING   pid 19, uptime 0:04:54
twas                        RUNNING   pid 21, uptime 0:04:54
vncserver1                 FATAL    Exited too quickly (process log may have details)
vncserver2                 FATAL    Exited too quickly (process log may have details)
xrdp                       RUNNING   pid 15, uptime 0:04:54
xrdp-sesman                RUNNING   pid 17, uptime 0:04:54
supervisor> start vncserver1
vncserver1: started
supervisor> start vncserver2
```

```
vncserver2: started  
supervisor> exit
```

8. Use the image as normal although you may need to restart some services.

## Changing Java

There are many different versions and types of Java in the image. To list them, run:

```
$ alternatives --display java | grep "^\-"  
/usr/lib/jvm/java-11-openjdk-11.0.14.1.1-5.fc35.x86_64/bin/java - family java-11-openjdk.x86_64 priority  
/usr/lib/jvm/java-1.8.0-openjdk-1.8.0.322.b06-6.fc35.x86_64/jre/bin/java - family java-1.8.0-openjdk.x8  
/opt/ibm/java/bin/java - family ibmjava priority 99999999  
/opt/openjdk8_hotspot/jdk/bin/java - family openjdk priority 89999999  
/opt/openjdk11_hotspot/jdk/bin/java - family openjdk priority 89999999  
/opt/openjdk17_hotspot/jdk/bin/java - family openjdk priority 89999999  
/opt/openjdk8_ibm/jdk/bin/java - family openjdk priority 89999999  
/opt/openjdk11_ibm/jdk/bin/java - family openjdk priority 89999999  
/opt/openjdk17_ibm/jdk/bin/java - family openjdk priority 89999999
```

To change the Java that is on the path, run the following command and enter the number of the Java that you wish to change to and press Enter. The directory name tells you the type of Java; for example, OpenJ9 or HotSpot. The directory name also tells you the version of Java (for example, openjdk17 is Java 17).

```
$ sudo alternatives --config java  
sudo: unable to send audit message: Operation not permitted
```

There are 9 programs which provide 'java'.

Selection	Command
1	java-11-openjdk.x86_64 (/usr/lib/jvm/java-11-openjdk-11.0.14.1.1-5.fc35.x86_64/bin/java)
2	java-1.8.0-openjdk.x86_64 (/usr/lib/jvm/java-1.8.0-openjdk-1.8.0.322.b06-6.fc35.x86_64/jre/bin/java)
** 3	ibmjava (/opt/ibm/java/bin/java)
4	openjdk (/opt/openjdk8_hotspot/jdk/bin/java)
5	openjdk (/opt/openjdk11_hotspot/jdk/bin/java)
6	openjdk (/opt/openjdk17_hotspot/jdk/bin/java)
7	openjdk (/opt/openjdk8_ibm/jdk/bin/java)
8	openjdk (/opt/openjdk11_ibm/jdk/bin/java)
9	openjdk (/opt/openjdk17_ibm/jdk/bin/java)

Enter to keep the current selection[+], or type selection number: 9

```
$ java -version  
openjdk version "17.0.2" 2022-01-18  
IBM Semeru Runtime Open Edition 17.0.2.0 (build 17.0.2+8)  
Eclipse OpenJ9 VM 17.0.2.0 (build openj9-0.30.0, JRE 17 Linux amd64-64-Bit Compressed References 20220118-0930)  
OpenJ9 - 9dccbe076  
OMR - dac962a28  
JCL - 64cd399ca28 based on jdk-17.0.2+8)
```

The `alternatives` command has the concept of groups of commands so when you change Java using the method above, other commands like `jar`, `javac`, etc. also change.

Any currently running Java programs will need to be restarted if you want them to use the different version of Java (WAS traditional is an exception because it uses a bundled version of Java).

## Common Issues

### VNC and the clipboard

The clipboard may not be shared when using VNC. There are two workarounds:

1. From within the VNC session, open the PDF of the lab from the desktop and use that instead. Then you can copy/paste within the lab.
2. For command line steps, start a new command prompt or terminal and execute one of the following commands depending on whether you're using `podman` or Docker Desktop:
  - `podman exec -u was -it $(podman ps -q) bash`
  - `docker exec -u was -it $(podman ps -q) bash`

### Cannot login after screen locked

This is a known issue that will be fixed on the next build of the lab. Until then, the workaround is:

1. Start a new command prompt or terminal and execute one of the following commands depending on whether you're using `podman` or Docker Desktop:
  - `podman exec -u root -it $(podman ps -q) bash`
  - `docker exec -u root -it $(podman ps -q) bash`
2. Then execute the following command:  
`sed -i '1 i\auth sufficient pam_succeed_if.so user = was' /etc/pam.d/xfce4-screensaver`
3. Try unlocking the screen again.

## Version History

- V19 (March 20, 2023):
  - Move to <https://github.com/IBM/webspherelab>
  - Upgarde all software
- V18 (March 14, 2022):
  - Add workaround for Liberty Issue 20082
  - Add Eclipse Mission Control 8.1
- V17 (February 21, 2022):
  - Add podman instructions in addition to Docker Desktop
  - Upgrade to tWAS 9.0.5.10
  - Upgrade to Liberty 22.0.0.1
  - Add IBM Semeru runtimes
  - Update MAT, GCMV, and HealthCenter
  - Update Request Metrics Analyzer Next
  - Update to Eclipse 2021-12
  - Update WAS Configuration Visualizer
  - Switch to IBM HTTP Server archive install
  - Update Performance Tuning Toolkit
  - Add IBM Service Integration Bus Destination Handler
- V16 (April 13, 2021):
  - Upgrade to Liberty 21.0.0.3
  - Update to TMDA 4.6.9
  - Add AdoptOpenJDK Java 16 (J9 and HotSpot)
  - Change Health Center to use Eclipse 2020-03 because Luna is no longer available. The known StackOverflowError on 2020-03 doesn't always happen.
  - Upgrade Request Metrics Analyzer to 2.0.20210111
  - Increase Eclipse 2020-03 max heap to 4g
- V15 (February 15, 2021):

- Upgrade to tWAS 9.0.5.6
- V14 (January 12, 2021): Fix issue tailing tWAS logs
- V13 (January 11, 2021): Refresh software:
  - Upgrade to Fedora 33
  - Upgrade to Liberty 20.0.0.12
  - Upgrade PTT to V1.0.20200908
  - Update to TMDA 4.6.8
- V12 (August 3, 2020): Refresh software:
  - Upgrade to Fedora 32
  - Upgrade to Liberty 20.0.0.8
  - Upgrade to tWAS 9.0.5.3
  - Upgrade to Eclipse 2020-03
  - Add OpenJDK 14
  - Upgrade to PTT V1.0.20200728
  - Upgrade Apache Ant
  - Upgrade Apache JMeter
  - Upgrade Gradle
  - Upgrade Eclipse MAT
  - Upgrade to TMDA 4.6.7
  - Increase HealthCenter -Xmx
  - Upgrade Eclipse SWT
  - Add libertymon
  - Upgrade Request Analyzer Next
  - Upgrade WebSphere Application Server Configuration Comparison Tool
  - Add PostgreSQL
  - Add -Xnoloa to tWAS as temporary workaround for crash issue
- V11 (December 16, 2019): Add Performance Tuning Toolkit and required 32-bit libraries and XUL-Runner. Fix intermittent issue where screen lock gets wrong timeout value. Upgrade Request Metrics Analyzer.
- V10 (November 27, 2019): Add tWAS SIBExplorer and SIBPerf tools. Disable Xfce desktop tooltips. Resolve rare VNC deadlock issue. Upgrade TMDA. Add IBM Channel Framework Analyzer. Add IBM Web Server Plug-in Analyzer for WebSphere Application Server (WSPA). Add Connection and Configuration Verification Tool for SSL/TLS. Add WebSphere Application Server Configuration Visualizer. Add Problem Diagnostics Lab Toolkit. Add Eclipse SWT.
- V9 (November 12, 2019): Fix being unable to unlock screensaver after idling 10 minutes. Change screensaver lock time to 30 minutes. Add Totem video player and VP9/webm codec.
- V8 (November 6, 2019): Fix errors re-launching Eclipse. Add example lab data.
- V7 (November 6, 2019): Minor fix for the crash lab test if the server is restarted in an unexpected way.
- V6 (November 5, 2019): Enable tWAS and Liberty Application Security for DayTrader to use local OpenLDAP. Increase recommended Docker disk space to >100GB. Enable OpenLDAP logging. Change DayTrader7 to runtimeMode=1 to avoid WebSocket security issues calling EJBs with application security enabled. Remove OpenJDK12. Upgrade to Fedora 31. Send tWAS traffic through IHS.
- V5 (October 23, 2019): Add OpenLDAP and integrate it into tWAS. Update the lab instructions to include tWAS. Add VS Code. Add JDKs to alternatives. Update Eclipse to 2019-06. Add OpenJ9 source. Add IHS connected to tWAS.
- V4 (August 14, 2019): Add tWAS DayTrader7.
- V3 (July 2, 2019): Updates based on customer feedback.
- V2 (May 20, 2019): Convert to Docker and modernize.
- V1 (December 14, 2016): First version on VMWare.

## Acknowledgments

Thank you to those that helped build and test this lab:

- Hiroko Takamiya
- Andrea Pichler
- Kazuma Tanabe
- Shinichi Kako