

01. Asymptotic Analysis

Asymptotic Notations

Definition	If $\exists c, c_1, c_2, n_0 > 0$ s.t. $\forall n \geq n_0$
$f(n) \in O(g(n))$	$0 \leq f(n) \leq c \cdot g(n)$
$f(n) \in \Omega(g(n))$	$0 \leq c \cdot g(n) \leq f(n)$
$f(n) \in \Theta(g(n))$	$0 \leq c_1 \cdot g(n) \leq f(n) \leq c_2 \cdot g(n)$

- $f(n) \in O(g(n)) \rightarrow g$ is an **upper** bound on f
- $f(n) \in \Omega(g(n)) \rightarrow g$ is an **lower** bound on f
- $f(n) \in \Theta(g(n)) \rightarrow g$ is a **tight** bound on f

Definition	For all $c > 0, \exists n_0 > 0$ such that $\forall n \geq n_0$
$f(n) \in o(g(n))$	$0 \leq f(n) < c \cdot g(n)$
$f(n) \in \omega(g(n))$	$0 < c \cdot g(n) < f(n)$

- $f(n) \in o(g(n)) \rightarrow g$ is a **strict upper** bound on f
- $f(n) \in \omega(g(n)) \rightarrow g$ is a **strict lower** bound on f

Limits

- $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 0 \Rightarrow f(n) \in o(g(n))$
- $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} < \infty \Rightarrow f(n) \in O(g(n))$
- $0 < \lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} < \infty \Rightarrow f(n) \in \Theta(g(n))$
- $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} > 0 \Rightarrow f(n) \in \Omega(g(n))$
- $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \infty \Rightarrow f(n) \in \omega(g(n))$

Properties

$\star \Theta(g(n)) = O(g(n)) \cap \Omega(g(n))$

- transitivity:** applies for $O, \Theta, \Omega, o, \omega$
 $f(n) = O(g(n)) \wedge g(n) = O(h(n)) \Rightarrow f(n) \in O(h(n))$
- reflexivity:** for $O, \Theta, \Omega, f(n) \in O(f(n))$
- symmetry:** $f(n) \in \Theta(g(n)) \Leftrightarrow g(n) \in \Theta(f(n))$
- complementarity:**
 - $f(n) \in O(g(n))$ iff $g(n) \in \Omega(f(n))$
 - $f(n) \in o(g(n))$ iff $g(n) \in \omega(f(n))$
- misc**
 - if $f(n) \in \omega(g(n))$ then $f(n) \in \Omega(g(n))$
 - if $f(n) \in o(g(n))$ then $f(n) \in O(g(n))$
 - insertion sort: $O(n^2)$ with worst case $\Theta(n^2)$

$\log \log n < \log n < (\log n)^k < n^k < k^n$

02. Recurrences

for a sub-problems of size $\frac{n}{b}$ where $f(n)$ is the time to divide/combine

$T(n) = aT(\frac{n}{b}) + f(n)$

Remarks: e.g. Merge sort: $T(n) = 2T(\frac{n}{2}) + \Theta(n)$
 \star If $f(n) \in O(n), \exists 2$ constants $c > 0, n_0 > 0$ s.t. $f(n) \leq cn$ if $n \geq n_0$.
For upper bound calculation, we can replace $\Theta(n)$ with cn . \star Similarly for lower bound calculations if $f(n) \in \Omega(n)$, s.t. $f(n) \leq cn$ replace $\Theta(n)$ with cn

Telescoping

- Goal:** Solve the recurrence $T(n) = \begin{cases} \Theta(1) & \text{if } n \leq 1 \\ 2T(\frac{n}{2}) + n & \text{if } n > 1 \end{cases}$

$$\frac{T(n)}{n} = \frac{2T(\frac{n}{2}) + n}{n}$$
$$\frac{T(n)}{n} = \frac{2}{n} \cdot \frac{T(\frac{n}{2})}{\frac{n}{2}} + 1$$
$$\frac{T(n)}{n} = \frac{T(\frac{n}{2})}{\frac{n}{2}} + 1$$
$$\vdots$$
$$\frac{T(2)}{2} = \frac{T(1)}{1} + 1$$

$$\frac{T(n)}{n} = \frac{T(1)}{1} + 1 + 1 + \dots + 1 \quad \log n \text{ times}$$
$$\frac{T(n)}{n} = \frac{T(1)}{1} + \log n$$
$$T(n) \in \Theta(n \log n)$$

Substitution

- guess that $T(n) = O(f(n))$.
- verify by induction:
 - to show that for $n \geq n_0, T(n) \leq c \cdot f(n)$
 - set $c = \max\{2, q\}$ and $n_0 = 1$
 - verify base case(s): $T(n_0) = q$
 - recursive case ($n > n_0$):
 - by strong induction, assume $T(k) \leq c \cdot f(k)$ for $n > k \geq n_0$
 - $T(n) = \text{(recurrence)} \dots \leq c \cdot f(n)$
 - hence $T(n) = O(f(n))$.

Example

$Proof \ T(n) = \begin{cases} c & \text{if } n \leq 1 \\ 4T(\lfloor \frac{n}{2} \rfloor) + n & \text{if } n > 1 \end{cases}$

Induction Hypothesis: $T(n) \leq (c+1)n^2 - n$
Base case: $n = 1$
 \cdot If $n = 1$, then $T(n) = c = (c+1)n^2 - n$
Inductive step: $n \geq 2$
 $T(n) = 4T(\lfloor \frac{n}{2} \rfloor) + n$
 $\leq 4(c+1)\lfloor \frac{n}{2} \rfloor^2 - 4\lfloor \frac{n}{2} \rfloor + n$
 $\leq 4(c+1)(\frac{n}{2})^2 - 4(\frac{n}{2}) + n$
 $= (c+1)n^2 + n$
 $\therefore T(n) \in O(n^2)$

Recursion Tree

total = height \times number of leaves

- each node represents cost of single subproblem
- height of tree = longest path from root to leaf

Master Theorem

$a \geq 1, b > 1$, and f is asymptotically positive
 $T(n) = aT(\frac{n}{b}) + f(n) = \begin{cases} \Theta(n^{\log_b a}) & \text{if } f(n) < n^{\log_b a} \text{ polynomially} \\ \Theta(n^{\log_b a} \log^{k+1} n) & \text{if } f(n) = n^{\log_b a} \\ \Theta(f(n)) & \text{if } f(n) > n^{\log_b a} \text{ polynomially} \end{cases}$

three common cases

- If $f(n) \in O(n^{\log_b a - \epsilon})$ for some constant $\epsilon > 0$,
 - $f(n)$ grows polynomially slower than $n^{\log_b a}$ by n^ϵ factor.
 - then $T(n) = \Theta(n^{\log_b a})$.
- If $f(n) \in \Theta(n^{\log_b a} \log^k n)$ for some $k \geq 0$,
 - $f(n)$ and $n^{\log_b a}$ grow at similar rates.
 - then $T(n) = \Theta(n^{\log_b a} \log^{k+1} n)$
- If $f(n) \in \Omega(n^{\log_b a + \epsilon})$ for some constant $\epsilon > 0$,
 - and $f(n)$ satisfies the **regularity condition**
 - $af(n/b) \leq cf(n)$ for some constant $c < 1$ and all sufficiently large n
 - this guarantees that the sum of subproblems is smaller than $f(n)$.
 - $f(n)$ grows polynomially faster than $n^{\log_b a}$ by n^ϵ factor
 - then $T(n) = \Theta(f(n))$.

03. Iteration Recursion Divide and Conquer

Iterative Algorithms

- iterative** \rightarrow loop(s), sequentially processing input elements
- loop invariant** implies correctness if
 - initialisation** - true before the first iteration of the loop
 - maintenance** - if true before an iteration, it remains true at the beginning of the next iteration
 - termination** - true when the algorithm terminates

examples

- insertionSort:** with loop variable as $j, A[1..j-1]$ is sorted.
- selectionSort:** with loop variable as j , the array $A[1..j-1]$ is sorted and contains the $j-1$ smallest elements of A .

Divide-and-Conquer

powering a number

problem: compute $f(n, m) = a^n \pmod m$ for all $n, m \in \mathbb{Z}$

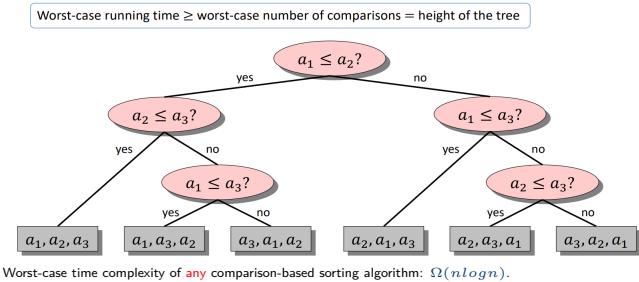
- observation:** $f(x+y, m) = f(x, m) * f(y, m) \pmod m$
- naive solution:** recursively compute and combine $f(n-1, m) * f(1, m) \pmod m$
 - $T(n) = T(n-1) + T(1) + \Theta(1) \Rightarrow T(n) = \Theta(n)$
- better solution:** divide and conquer
 - divide: trivial
 - conquer: recursively compute $f(\lfloor n/2 \rfloor, m)$
 - combine:
 - $* f(n, m) = f(\lfloor n/2 \rfloor, m)^2 \pmod m$ if n is even
 - $* f(n, m) = f(1, m) * f(\lfloor n/2 \rfloor, m)^2 \pmod m$ if odd
 - $T(n) = T(n/2) + \Theta(1) \Rightarrow \Theta(\log n)$

04. Comparison-Based Sorting

Comparison-based algorithms, elements can only be compared with one another: $<, \leq, =, >, \geq$. No other information can be used.

- Insertion sort: $O(n^2)$
- Selection sort: $O(n^2)$
- Merge sort: $O(n \log n)$
- Heap sort: $O(n \log n)$
- Quick sort: $O(n^2)$

Decision Trees



- Modelled as decision tree, each permutation = possible answer
- $n!$ leaves, height of binary tree is $\geq \log(n!)$
- Stirlings' approximation:
 $\log(n!) \in n \log n - n \log e + O(\log n) \subseteq \Omega(n \log n)$

Quick Sort Average Case Analysis

Worst case $T(j-1) + T(n-j)$ time if **pivot** is the j^{th} smallest element

- Goal:** $T(n) \leq \max_{j \in [n]} \{T(j-1) + T(n-j) + cn\} \triangleright T(n) \in \Theta(n^2)$
- Guess $T(r) \leq c_1 r^2$ and prove by induction
- Base case:** $T(0) = 0$
- Inductive step:** $n \geq 1$
 - $T(n) \leq \max_{j \in [n]} \{T(j-1) + T(n-j) + cn\}$
 - $T(n) \leq \max_{j \in [n]} \{j^2 - 2j + 1 + n^2 + 2nj + j^2 + cn\}$
 - $T(n) \leq \max_{j \in [n]} \{c_1(n^2 + 1 - 2j(n+1-j)) + cn\}$
 - $T(n) \leq c_1(n^2 - 2n + 1) + cn = c_1 n^2 + cn - c_1(2n-1) \leq c_1 n^2$

Average-case analysis

Observation: $A(n)$ is **also** the expected running time when the permutation π is chosen uniformly at random.

$A(n) = \sum_{\pi} \frac{1}{n!} \cdot (\text{running time of quick sort on } \pi)$

The summation is over all permutations π of (a_1, a_2, \dots, a_n) .

The execution of the quick sort algorithm:

- It depends only on π .
- It is independent of the actual values of (a_1, a_2, \dots, a_n) .

Uniformity

Suppose input permutation π of a_1, a_2, \dots, a_n is uniformly random.

- Observation 1:** **pivot** is selected uniformly at random
 - $\forall (j \in [n]), \Pr(\text{pivot} = a_j) = \frac{1}{n}$
- Observation 2:** Permutations for both recursive calls are uniformly random

- Recursive call on A_S : Each permutation of $(a_1, a_2, \dots, a_{j-1})$ appears with equal probability
- Recursive call on A_L : Each permutation of $(a_{j+1}, a_{j+2}, \dots, a_n)$ appears with equal probability
- Reason:** if **pivot** = a_j then partition algorithm never compares any 2 elements $(a_1, a_2, \dots, a_{j-1})$
- At start, input π restricted to $(a_1, a_2, \dots, a_{j-1})$ is uniformly random \triangleright at the end permutation of $(a_1, a_2, \dots, a_{j-1})$ is still uniformly random

Recurrence

$$A(n) = \frac{1}{n} \cdot \sum_{j=1}^n [A(j-1) + A(n-j) + cn] = cn + \frac{2}{n} \cdot \sum_{j=0}^{n-1} A(j)$$

$$\downarrow \begin{aligned} A(n) &\rightarrow n \cdot A(n) = cn^2 + 2\sum_{j=0}^{n-1} A(j) \\ (n-1) \cdot A(n-1) &= c(n-1)^2 + 2\sum_{j=0}^{n-2} A(j) \end{aligned}$$

$$\downarrow \begin{aligned} n \cdot A(n) - (n-1) \cdot A(n-1) &= c(2n-1) + 2A(n-1) \\ n \cdot A(n) - (n+1) \cdot A(n-1) &= \\ (n \cdot A(n) - (n-1) \cdot A(n-1)) - 2A(n-1) &= c(2n-1) \end{aligned}$$

$$\downarrow \text{dividing by } n(n+1): \frac{A(n)}{n+1} - \frac{A(n-1)}{n} = \frac{c(2n-1)}{n(n+1)} < \frac{c(2n+2)}{n(n+1)} = \frac{2c}{n}$$

Can also be solved by taking area under integration $\approx O(n \log n)$

- after telescoping: $\frac{A(n)}{n+1} < 2c \cdot (\frac{1}{n} + \frac{1}{n-1} + \dots + \frac{1}{2}) + \frac{A(1)}{2}$
- $\therefore A(n) \in O(n \log n)$

Types of sorting

- Stable:** element of equal values, original order preserved (insertion)
- In-place:** uses *little extra memory* besides input array

5. Randomized Algorithms

Utilize randomization to develop algorithms that are more efficient or simpler than deterministic counterparts \rightarrow cost of allowing **small error probability**

Freivald's Algorithm

- Choose $v = \begin{pmatrix} v_1 \\ \vdots \\ v_n \end{pmatrix}$ to be a uniformly random column vector from $0, 1^n$
- If $ABc = Cv$ then output $AB = C$ otherwise they are not equal

Freivald's Algorithm — when $AB \neq C$

$$C^* = \begin{pmatrix} c_{1,1}^* & \dots & c_{1,n}^* \\ \vdots & \ddots & \vdots \\ c_{n,1}^* & \dots & c_{n,n}^* \end{pmatrix} \quad u = \begin{pmatrix} u_1 \\ \vdots \\ u_n \end{pmatrix} = C^* v$$

- Since $AB \neq C$, there exists (i, j) s.t. $c_{i,j}^* \neq 0$
- $u_1 = c_{1,1}^* v_1 + c_{1,2}^* v_2 + \dots + c_{1,i}^* v_i + \dots + c_{1,n}^* v_n = (\dots) + c_{1,i}^* v_j$
- Reveal random numbers $\{v_1, v_2, \dots, v_n\} \setminus \{v_j\}$, this term is **fixed**
- After fixing this term, there is **exactly one choice** of v_j that makes $u_i = 0$
- $\therefore \Pr[u_i \neq 0] \geq \frac{1}{2} \Rightarrow \Pr[\text{successful algorithm}] \geq \frac{1}{2}$

Principle of deferred decision

- If we can show that $\Pr[\epsilon | X = x] \geq p$ for **every** x , then $\Pr[\epsilon] \geq p$
- $\Pr[\epsilon] = \sum_x \Pr[\epsilon | X = x] \cdot \Pr[X = x] \geq p \cdot \sum_x \Pr[X = x] = p$

Success Probability Amplification

We only show that Freivalds' algorithm is **incorrect** with probability $\leq \frac{1}{2}$

- Claim:** error probability can be reduced to **at most f** by repeating the algorithm for $t = \lceil \log \frac{1}{f} \rceil$ times
 - If all t outputs are $AB = C$ return $AB = C$, else return $AB \neq C$
 - If $AB = C$, Freivalds' algorithm always answers $AB = C$ correctly
 - If $AB \neq C$ probability Freivald's algorithm answers $AB = C$ for all $t = \lceil \log \frac{1}{f} \rceil$ iterations is at most $\frac{1}{2^t} \leq f$

Balls and Bins

Throw m balls into n bins randomly + independently, what is probability every bin contains ≥ 1 ball. \rightarrow Pr. that bin contains zero balls is $(1 - \frac{1}{n})^m \leq e^{-\frac{mn}{n}}$

- Union bound:** Pr. ≥ 1 bin contains 0 balls is **at most** $(1 - \frac{1}{n})^m \leq ne^{-\frac{m}{n}}$
- Useful inequality:** $1 + x \leq e^x$ — Pr. is at most $\frac{1}{n}$ if $m \geq 2n \lceil \ln n \rceil$

n balls uniformly and independently to n bins

- Expected fraction of bins with exactly 3 balls converge to as $n \rightarrow \infty$
- $\binom{n}{c} (\frac{1}{n})^3 (\frac{n-1}{n})^{n-3} = \frac{n(n-2)}{6(n-1)^2} \cdot (1 - \frac{1}{n})^n$
- $\lim_{n \rightarrow \infty} \frac{n(n-2)}{6(n-1)^2} = \frac{1}{6} \& \lim_{n \rightarrow \infty} (1 - \frac{1}{n})^2 = \frac{1}{e}$

Coupon Collector Problem

n types of coupon put into a box, randomly drawn with replacement. What is the expected number of draws needed to collect ≥ 1 of each type of coupon?

- let T_i be time to collect i -th coupon after $i-1$ coupon has been collected.
 - Probability of collecting a new coupon, $p_i = \frac{(n-(i-1))}{n}$
 - T_i has a **geometric distribution**, $E[T_i] = 1/p_i$
- total number of draws, $T = \sum_{i=1}^n T_i$, $E[T] = E[\sum_{i=1}^n T_i] = \sum_{i=1}^n E[T_i]$ by

$$\text{linearity of expectation} = \sum_{i=1}^n \frac{n}{n-(i-1)} = n \cdot \sum_{i=1}^n \frac{1}{i} = \Theta(n \lg n)$$

Techniques

- Markov Inequality:** X is a non-negative random variable and $a > 0$ then $\Pr[X \geq a \cdot \mathbb{E}[X]] \leq \frac{1}{a}$
- Linearity of Expectation:** if $A + B$ then $\mathbb{E}[X] = \mathbb{E}[A] + \mathbb{E}[B]$ generally — if $X = \sum_{i=1}^n X_i$ then $\mathbb{E}[X] = \sum_{i=1}^n \mathbb{E}[X_i]$
- Indicator random variables:** Let ϵ be event, indicator that $\mathbb{1}_\epsilon$ for ϵ defined:
 - $\mathbb{1}_\epsilon = \begin{cases} 1, & \text{if } \epsilon \text{ occurs,} \\ 0, & \text{otherwise.} \end{cases}$

Hashing

Hash table A is an array of length n , with h as a mapping from some universe U to indices in array $\{1, 2, \dots, n\}$

- insert(v) if v not in $A[h(v)]$, store v in $A[h(v)]$ — search(v) check if v is in $A[h(v)]$ — delete(v) if v is in $A[h(v)]$ remove v from $A[h(v)]$

Randomised quicksort

Before a number in a_i, \dots, a_j is selected as a pivot, number in a_i, \dots, a_j must belong to the same array. First number chosen as a pivot in a_i, \dots, a_j

- | | | |
|-------------------------------|---|--|
| 1. a_i or a_j : algorithm | 2. Not a_i or a_j : a_i and a_j belong to different arrays in recursive calls $\rightarrow \epsilon_{i,j}$ does not occur | 3. A comparison is made between a_i and a_j iff first number chosen as pivot (a_i, \dots, a_j) is a_i or a_j |
|-------------------------------|---|--|

- Claim: For any $1 \leq i \leq j \leq n$, $\Pr[\epsilon_{i,j}] = \frac{2}{j-i+1}$
- where $\epsilon_{i,j}$ is a comparison that is made between a_i and a_j
- $\mathbb{E}[\text{number of comparisons}] = \sum_{1 \leq i < j \leq n} \mathbb{E}[X_{i,j}] = \sum_{1 \leq i < j \leq n} \frac{2}{j-i+1} = 2 \sum_{i=1}^n \sum_{j=i+1}^n \frac{1}{j-i+1} = 2 \sum_{i=1}^n (\frac{1}{2} + \frac{1}{3} + \dots + \frac{1}{n-i+1}) \in O(n \log n)$
- By applying *Markov inequality*, the expected running time can be concluded that the randomised quicksort finishes in $P(n \log n)$ time with $\Pr \geq 0.99$

Types of Randomised Algorithms

- | | |
|---|--|
| <ul style="list-style-type: none"> randomised Las Vegas algorithms <ul style="list-style-type: none"> output is always correct runtime is a <i>random variable</i> e.g. randomised quicksort/select | <ul style="list-style-type: none"> randomised Monte Carlo algorithms <ul style="list-style-type: none"> output may be incorrect with some small probability runtime is <i>deterministic</i> |
|---|--|

06. Dynamic Programming

- cut-and-paste proof** proof by contradiction - suppose you have an optimal solution. Replacing ("cut") subproblem solutions with this subproblem solution ("paste" in) should improve the solution. If the solution doesn't improve, then it's not optimal (contradiction)
- overlapping subproblems** - recursive solution contains a small number of distinct subproblems repeated many times

Longest Common Subsequence

- for sequence $A : a_1, a_2, \dots, a_n$ stored in array
- C is a **subsequence** of $A \rightarrow$ if we can obtain C by removing zero or more elements from A

problem: given two sequences $A[1..n]$ and $B[1..m]$, compute the *longest* sequence C such that C is a subsequence of A and B .

Brute force solution

- check *all* possible subsequences of A to see if it is also a subsequence of B , then output the longest one — analysis: $O(m2^n)$
 - checking each subsequence takes $O(m) \rightarrow 2^n$ possible subsequences

Recursive solution

let $LCS(i, j)$: longest common subsequence of $A[1..i]$ and $B[1..j]$

- base case: $LCS(i, 0) = \emptyset$ for all i , $LCS(0, j) = \emptyset$ for all j
- general case:
 - if last characters of A, B are $a_n = b_m$, then $LCS(n, m)$ must terminate with $a_n = b_m$
 - * the optimal solution will match a_n with b_m
 - if $a_n \neq b_m$, then either a_n or b_m is not the last symbol
- optimal substructure:** (general case)
 - if $a_n = b_m$, $LCS(n, m) = LCS(n-1, m-1) :: a_n$
 - if $a_n \neq b_m$, $LCS(n, m) = LCS(n-1, m) \parallel LCS(n, m-1)$
- simplified problem:**
 - $L(n, m) = 0$ if $n = 0$ or $m = 0$
 - if $a_n = b_m$, then $L(n, m) = L(n-1, m-1) + 1$
 - if $a_n \neq b_m$, then $L(n, m) = \max(L(n, m-1), L(n-1, m))$

analysis

- number of distinct subproblems = $(n+1) \times (m+1)$
- to use $O(\min\{m, n\})$ space: bottom-up approach, column by column
- memoize for DP \Rightarrow makes it $O(mn)$ instead of exponential time

Knapsack Problem

- input: $(w_1, v_1), (w_2, v_2), \dots, (w_n, v_n)$ and capacity W
- output: subset $S \subseteq \{1, 2, \dots, n\}$ that maximises $\sum_{i \in S} v_i$ such that $\sum_{i \in S} w_i \leq W$
- 2^n subsets \Rightarrow naive algorithm is costly
- recursive solution:**
 - let $m[i, j]$ be the maximum value that can be obtained using a subset of items $\{1, 2, \dots, i\}$ with total weight no more than j .

$$m[i, j] = \begin{cases} 0, & \text{if } i = 0 \text{ or } j = 0 \\ \max\{m[i-1, j-w_i] + v_i, m[i-1, j]\}, & \text{if } w_i \leq j \\ m[i-1, j], & \text{otherwise} \end{cases}$$
- analysis:** $O(nW)$
 - $O(nW)$ is **not** a polynomial time algorithm
 - not polynomial in input bitsize
 - * W can be represented in $O(\lg W)$ bits
 - * n can be represented in $O(\lg n)$ bits
 - polynomial time is strictly in terms of the number of bits for the input

Changing Coins

problem: use the fewest number of coins to make up n cents using denominations d_1, d_2, \dots, d_n . Let $M[j]$ be the fewest number of coins needed to change j cents.

- optimal substructure:**

$$M[j] = \begin{cases} 1 + \min_{i \in [k]} M[j - d_i], & j > 0 \\ 0, & j = 0 \\ \infty, & j < 0 \end{cases}$$

Proof. Suppose $M[j] = t$, meaning $j = d_{i_1} + d_{i_2} + \dots + d_{i_t}$ for some $i_1, \dots, i_t \in \{1, \dots, k\}$. Then, if $j' = d_{i_1} + d_{i_2} + \dots + d_{i_{t-1}}$, $M[j'] = t-1$, because otherwise if $M[j'] < t-1$, by **cut-and-paste** argument, $M[j] < t$.

- runtime: $O(nk)$ for n cents, k denominations

07. GREEDY ALGORITHMS

- solve only one subproblem at each step
- beats DP and divide-and-conquer when it works
- greedy-choice property** \rightarrow a locally optimal choice is globally optimal

Fractional Knapsack — $O(n \log n)$

- greedy-choice property:** let j^* be item with *maximum* value/kg, v_j/w_i , then \exists an optimal knapsack containing $\min(w_{j^*}, W)$ kg of item j^* .
- optimal substructure:** if we remove w kg of item j from the optimal knapsack, then the remaining load must be the optimal knapsack weighing at most $W - w$ kgs that one can take from $n - 1$ original items and $w_j - w$ kg of item j .

Proof. cut-and-paste argument

Suppose the remaining load after removing w kgs of item j was *not* the optimal knapsack weighing ...

Then there is a knapsack of value $> X - v_j \cdot \frac{w}{w_j}$ with weight ...

Combining this knapsack with w kg of item j gives a knapsack of value $> X \Rightarrow$ contradiction!

Minimum Spanning Trees

for a connected, undirected graph $G = (V, E)$, find a spanning tree T that connects all vertices with minimum weight. Weight of spanning tree T ,

$$w(T) = \sum_{(u,v) \in T} w(u,v).$$

- optimal substructure:** let T be a MST. remove any edge $(u,v) \in T$. then T is partitioned into T_1, T_2 which are MSTs of $G_1 = (V_1, E_1)$ and $G_2 = (V_2, E_2)$.

Proof. cut-and-paste: $w(T) = w(u,v) + w(T_1) + w(T_2)$

if $w(T'_1) < w(T_1)$ for G_1 , then $T' = \{(u,v)\} \cup T'_1 \cup T_2$ would be a lower-weight spanning tree than T for G .

\Rightarrow contradiction, T is the MST

- Prim's algorithm** - at each step, add the least-weight edge from the tree to some vertex outside the tree
- Kruskal's algorithm** - at each step, add the least-weight edge that does *not* cause a cycle to form

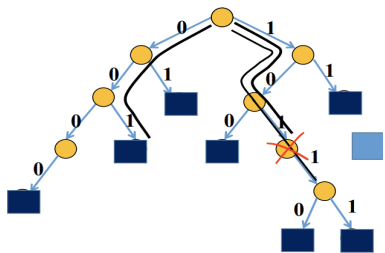
Binary Coding

Given an alphabet set $A : \{a_1, a_2, \dots, a_n\}$ and a text file F (sequence of alphabets), how many bits are needed to encode a text file with m characters?

- fixed length encoding:** $m \cdot \lceil \log_2 n \rceil$
 - encode each alphabet to unique binary string of length $\lceil \log_2 n \rceil$
 - total bits needed for m characters = $m \cdot \lceil \log_2 n \rceil$
- variable length encoding**
 - different characters occur with different frequency \Rightarrow use fewer bits for *more frequent* alphabets
 - average bit length, $ABL(\gamma) = \sum_{x \in A} f(x) \cdot |\gamma(x)|$
 - BUT overlapping prefixes cause indistinguishable characters

Prefix encoding

- a coding $\gamma(A)$ is a **prefix coding** if $\exists x, y \in A$ s.t. $\gamma(x)$ is a prefix of $\gamma(y)$.
- labelled binary tree:** $\gamma(A)$ = label of path from root

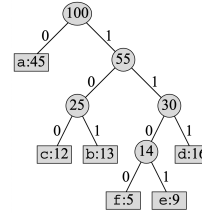


- for each prefix code A of n alphabets, there exists a binary tree T on n leaves s.t. there is a **bijective mapping** between the alphabets and the leaves
- $OPT_{ABL}(A) = OPT_{ABL}(A') + f(a_1) + f(a_2)$
- $ABL(\gamma) = \sum_{x \in A} f(x) \cdot |\gamma(x)| = \sum_{x \in A} f(x) \cdot \text{depth}_T(x)$
- binary tree which is an *optimal* prefix coding must be **full binary tree**.
 - every internal node has degree exactly 2

- multiple possible optimal trees - most optimal depends on alphabet frequencies
- accounting for alphabet **frequencies**:
 - let a_1, a_2, \dots, a_n be alphabets of A in non-decreasing order of freq.
 - a_1 must be a leaf node; a_2 can be a sibling of a_1
 - there exists an optimal prefix coding in which a_1 and a_2 are siblings
- derivation of optimal prefix coding: **Huffman's algorithm**
 - keep merging the two least frequent items to create a new alphabet a'
 - remove a_1, a_2 and replace with a'

Huffman(C):

```
Q = new PriorityQueue(C)
while Q:
    allocate a new node z
    z.left = x = extractMin(Q)
    z.right = y = extractMin(Q)
    z.val = x.val + y.val
    Q.add(z)
return extractMin(Q) // root
```



08. Amortized Analysis

- amortized analysis** \rightarrow guarantees the *average* performance of each operation in the *worst case*.
- total amortized cost provides an *upper bound* on the total true cost
- For a sequence of n operations o_1, o_2, \dots, o_n ,
 - let $t(i)$ be the time complexity of the i -th operation o_i
 - let $f(n)$ be the *worst-case* time complexity for *any* of the n operations
 - let $T(n)$ be the time complexity of all n operations

$$T(n) = \sum_{i=1}^n t(i) = n f(n)$$

Types of Amortized Analysis

Aggregate method

- look at the whole sequence, sum up the cost of operations and take the average - simpler but less precise
- e.g. binary counter - amortized $O(1)$
- e.g. queues (with INSERT and EMPTY) - amortized $O(1)$

Accounting method

- charge the i -th operation a fictitious amortized cost $c(i)$
 - amortized cost** $c(i)$ is a fixed cost for each operation
 - true cost** $t(i)$ depends on when the operation is called
- amortized cost $c(i)$ must satisfy:

$$\sum_{i=1}^n t(i) \leq \sum_{i=1}^n c(i) \text{ for all } n$$

- take the extra amount for cheap operations early on as "credit" paid in advance for expensive operations
 - invariant:** bank balance never drops below 0
- the total amortized cost provides an **upper bound** on the total true cost

Potential method

- ϕ : potential function associated with the algo/DS
- $\phi(i)$: potential at the end of the i -th operation
- c_i : amortized cost of the i -th operation
- t_i : true cost of the i -th operation

$$\sum_{i=1}^n c_i = \phi(n) - \phi(0) + \sum_{i=1}^n t_i$$

- hence as long as $\phi(n) \geq 0$, then amortized cost is an upper bound of the true cost. Generally in **expensive operation**, we want to see if there is a quantity *decreasing* during this operation

$$\sum_{i=1}^n c_i \geq \sum_{i=1}^n t_i$$

- usually take $\phi(0) = 0$
- e.g. for queue:
 - let $\phi(i) = \#$ of elements in queue after the i -th operation
 - amortized cost for insert: $c_i = t_i + \phi(i) - \phi(i-1) = 1 + 1 = 2$
 - amortized cost for empty (for k elements): $c_i = t_i + \phi(i) - \phi(i-1) = k + 0 - k = 0$
- try to keep $c(i)$ small: using $c(i) = t(i) + \Delta\phi_i$
 - if $t(i)$ is small, we want $\Delta\phi_i$ to be positive and small
 - if $t(i)$ is large, we want $\Delta\phi_i$ to be negative and large

e.g. Dynamic Table (insertion only)

Aggregate method

$$\text{cost of } n \text{ insertions} = \sum_{i=1}^n t(i) \leq n + \sum_{j=1}^{\lfloor \log(n-1) \rfloor} 2^j \leq 3n$$

i	1	2	3	4	5	6	7	8	9	10
size_i	1	2	4	4	8	8	8	8	16	16
$t(i)$	1	1	1	1	1	1	1	1	1	1
		1	2	4					8	

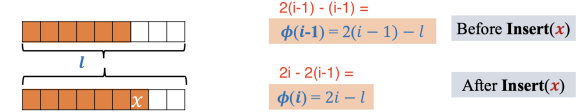
Cost for i th insert
Cost for copying due to overflow

Accounting method

- charge \$3 per insertion
 - \$1 for insertion itself
 - \$1 for moving itself when the table expands
 - \$1 for moving one of the existing items when the table expands

Potential method

$$\text{let } \phi(i) = 2i - \text{size}(T)$$



Operation	Insert(x)	Actual Cost	$\Delta\phi_i$	Amortized Cost
Case 1: when table is not full		1	2	3
Case 2: when table is already full		i	$3 - i$	3

$$\text{Amortized cost of } n \text{ insertions} = 3n = O(n)$$

$$\text{Actual cost of } n \text{ insertions} = O(n)$$

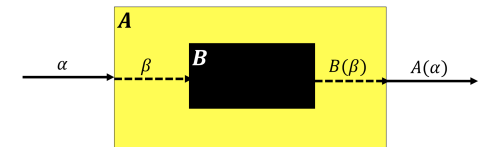
- show that SUM of amortized cost \geq SUM of actual cost
- conclude that sum of amortized cost is $O(f(n)) \Rightarrow$ sum of actual cost is $O(f(n))$

9. REDUCTIONS & INTRACTABILITY

Reduction

Consider two problems A and B , A can be solved as follows:

- convert instance α of A to an instance of β in B
- solve β to obtain a solution
- based on the solution of β , obtain the solution of α .
- \Rightarrow then we say A **reduces** B .



instance \rightarrow another word for input

e.g. Matrix Multiplication & Squaring

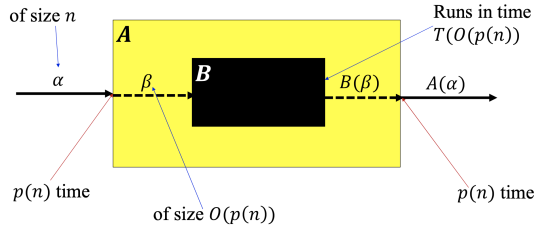
- MAT-MULTI: matrix multiplication
 - input:* two $N \times N$ matrices A and B .
 - output:* $A \times B$
- MAT-SQR: matrix squaring
 - input:* one $N \times N$ matrix C . *output:* $C \times C$
- MAT-SQR can be reduced to MAT-MULTI
 - Proof.* Given input matrix C for MAT-SQR, let $A = C$ and $B = C$ be inputs for MAT-MULTI. Then $AB = C^2$.
- MAT-MULTI can also be reduced to MAT-SQR!
 - Proof.* let $C = \begin{bmatrix} 0 & A \\ B & 0 \end{bmatrix} \Rightarrow C^2 = \begin{bmatrix} 0 & A \\ B & 0 \end{bmatrix} \begin{bmatrix} 0 & A \\ B & 0 \end{bmatrix} = \begin{bmatrix} AB & 0 \\ 0 & BA \end{bmatrix}$

T-Sum

- 0-SUM: given array A , output $i, j \in (1, n)$ such that $A[i] + A[j] = 0$
- T-SUM: given array B , output $i, j \in (1, n)$ such that $B[i] + B[j] = T$
- reduce T-Sum to 0-Sum:
 - given array B , define array A s.t. $A[i] = B[i] - T/2$.
 - if i, j satisfy $A[i] + A[j] = 0$, then $B[i] + B[j] = T$.

$p(n)$ -time Reduction

- $p(n)$ -time Reduction** \rightarrow if for any instance α of problem A of size n ,
 - an instance β for B can be constructed in $p(n)$ time
 - a solution to problem A for input α can be recovered from a solution to problem B for input β in time $p(n)$.
- n is in bits!
- if there is a $p(n)$ -time reduction from problem A to B and a $T(n)$ -time algorithm to solve problem B , then there is a $T(O(p(n))) + O(p(n))$ time algorithm to solve A .



- $A \leq_P B \rightarrow$ if there is a $p(n)$ -time reduction from A to B for some polynomial function $p(n) = O(n^c)$ for some constant c . ("A is a special case of B")
 - if B has a polynomial time algorithm, then so does A
 - "polynomial time" \approx reasonably efficient
- $A \leq_P B, B \leq_P C \Rightarrow A \leq_P C$

Polynomial Time

- polynomial time** \rightarrow runtime is polynomial in the **length of the encoding** of the problem instance
- "standard" encodings**
 - binary encoding of integers
 - list of parameters enclosed in braces (graphs/matrices)
- pseudo-polynomial algorithm** \rightarrow runs in time polynomial in the **numeric value** if the input but is **exponential** in the **length** of the input
 - e.g. DP algo for KNAPSACK since W is in numeric value
- KNAPSACK is NOT polynomial time: $O(nW \log M)$ but W is not the number of bits
- FRACTIONAL KNAPSACK is polynomial time: $O(n \log n \log W \log M)$

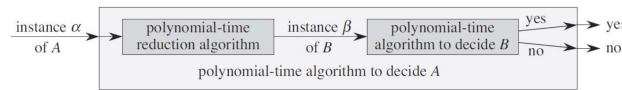
Decision Problems

- decision problem** \rightarrow a function that maps an instance space I to the solution set $\{YES, NO\}$
- decision vs optimisation problem:
 - decision problem:** given a directed graph G , is there a path from vertex u to v of length $\leq k$?
 - optimisation problem:** given ..., what is *length* of the shortest path ... ?
 - convert from **decision** \rightarrow **optimisation**: given an instance of the optimisation problem and a number k , is there a solution with value $\leq k$?
- the decision problem is *no harder than* the optimisation problem.
 - given the optimal solution, check that it is $\leq k$.
 - if we cannot solve the decision problem quickly \Rightarrow then we cannot solve the optimisation problem quickly
- decision \leq_P optimisation

Reductions between Decision Problems

Karp reduction: given two decision problems A and B , a polynomial-time reduction from A to B denoted $A \leq_P B$ is a **transformation** from instances α of A and β of B such that

- α is a YES-instance of $A \iff$ (iff) β is a YES-instance of B
- the transformation takes polynomial time in the size of α



Examples

- INDEPENDENT-SET: given a graph $G = (V, E)$ and an integer k , is there a subset of $\leq k$ vertices such that no 2 are adjacent?
- VERTEX-COVER: given a graph $G = (V, E)$ and an integer k , is there a subset of $\leq k$ vertices such that each edge is incident to *at least one* vertex in this subset?
- INDEPENDENT-SET \leq_P VERTEX-COVER
 - Reduction:** to check whether G has an independent set of size k , we check whether G has vertex cover of size $n - k$.

Proof. If INDEPENDENT-SET, then VERTEX-COVER.

Suppose (G, k) is a YES-instance of INDEP-SET. Then there is subset S of size $\geq k$ that is an independent set.

$V - S$ is a vertex cover of size $\leq n - k$. Proof: Let $(u, v) \in E$. Then $u \notin S$ or $v \notin S$.

So either u or v is in $V - S$, the vertex cover.

Proof. If VERTEX-COVER, then INDEPENDENT-SET.

Same as above, but flip IS and VC

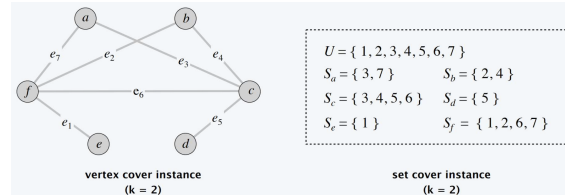
e.g. Set-Cover

Given integers k and n , and collection S of subsets of $\{1, \dots, n\}$, are there $\leq k$ of these subsets whose union equals $\{1, \dots, n\}$?

Claim: **Vertex-Cover \leq_P Set-Cover**

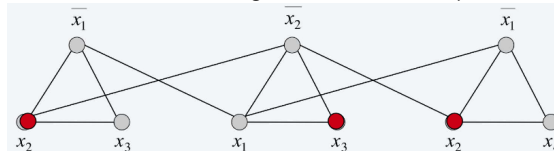
Reduction: given (G, k) instance of VERTEX-COVER, generate an instance (n, k', S) of SET-COVER.

Proof. For each node v in G , construct a set S_v containing all its outgoing edges. (Number each edge)



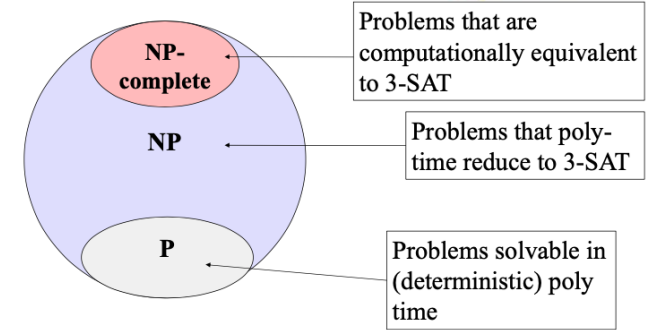
e.g. 3-SAT

- SAT:** given a CNF formula Φ , does it have a satisfying truth assignment?
 - literal: a boolean variable or its negation x, \bar{x}
 - clause: a disjunction (OR) of literals
 - conjunctive normal form (CNF): formula Φ that is a conjunction (AND) of clauses
- 3-SAT** \rightarrow SAT where each clause contains exactly 3 literals
- 3-SAT \leq_P Independent-Set**
 - Reduction:** Construct an instance (G, k) of INDEP-SET s.t. G has an independent set of size $k \iff \Phi$ is satisfiable
 - * node: each literal term
 - * edge: connect 3 literals in a clause in a triangle
 - * edge: connect literal to all its negations
 - * reduction runs in polynomial time
 - \Rightarrow for k clauses, connecting k vertices form an independent set in G .



10. NP-COMPLETENESS

- P** \rightarrow the class of *decision* problems solvable in (deterministic) polynomial time
- NP** \rightarrow the class of *decision* problems for which polynomial-time verifiable **certificates** of YES-instances exist.
 - aka *non-deterministic polynomial*
 - i.e. no poly-time algo, but verification can be poly-time
 - certificate** \rightarrow result that can be checked in poly-time to verify correctness
- $P \subseteq NP$: any problem in **P** is in **NP**.
 - if $P = NP$, then all these algos can be solved in poly time



NP-Hard and NP-Complete

- a problem A is said to be **NP-Hard** if for *every* problem $B \in NP$, $B \leq_P A$.
 - aka A is at least as hard as every problem in **NP**.
- a problem A is said to be **NP-Complete** if it is in **NP** and is also **NP-Hard**
 - aka the hardest problems in **NP**.
- Cook-Levin Theorem** \rightarrow every problem in NP-Hard can be poly-time reduced to 3-SAT. Hence, **3-SAT is NP-Hard and NP-Complete**.
- NP-Complete problems can still be approximated in poly-time! (e.g. greedy algorithm gives a 2-approximation for VERTEX-COVER)

showing NP-Completeness

- show that X is in NP. \Rightarrow a YES-instance has a certificate that can be verified in polynomial time
- show that X is NP-hard
 - by giving a poly-time reduction from another NP-hard problem A to X . $\Rightarrow X$ is at least as hard as A
 - reduction should *not* depend on whether the instance of A is a YES- or NO-instance
- show that the reduction is valid
 - reduction runs in poly time
 - if the instance of A is a YES-instance, then the instance of X is also a YES-instance
 - if the instance of A is a NO-instance, then the instance of X is also a NO-instance

```
def INDEPENDENT-SET(G, k) -> bool:
1. G', k' = reduction(G, k)
2. yes_or_no = bool = CLIQUE(G', k') # magically given
3. return yes_or_no
```

What to show for a **correct** reduction:

- (G, k) is YES-instance $\rightarrow (G', k')$ is also a YES-instance
- (G', k') is YES-instance $\rightarrow (G, k)$ is also a YES-instance
- The transformation takes polynomial time in the size of (G, k)

showing NP-HARD

- take any **NP-Complete** problem A
- show that $A \leq_P X$

11. Linear Time Sorting and Selection

Direct Addressing Table

```
1 C = [0]*(k+1) # create the frequency DAT [0..k], 0(k)
2 for Ai in A: # 0(n), at the end, C[i] = frequency of ←
3     i
4     C[Ai] += 1
5 for i in range(k+1): # 0(k+n)
6     print(*[i] * C[i], end=' ') # set format yourself
```

- No comparisons between element \rightarrow not comparison based sorting
- Trade-off *memory* (DAT) to break $\Omega(n \log n)$ lower bound
- If $k \in O(n)$ then $O(n+k)$ counting sort takes $O(n)$ time
- It requires **big memory** for the frequency counter DAT C. \Rightarrow If k is big, we may not be able to create a DAT C to cover $[0..k]$
- We want to set k big enough (vs the available working memory), but not too big that we cannot even run the algorithm.

Counting Sort Stable Version

```
1 C = [0]*(k+1) # create the frequency DAT [0..k], 0(k)
2 for Ai in A: # 0(n), at the end, C[i] = freq. of i
3     C[Ai] += 1
4 for i in range(1, k+1): # 0(k), C[i] = num ints <= i
5     C[i] += C[i-1] # aka the 'prefix sum'
6 B = [0] * n # output (sorted) array
7 for i in range(n-1, -1, -1): # 0(n), from B2F
8     C[A[i]] -= 1 # decrease first (0-based indexing)
9     B[C[A[i]]] = A[i] # A[i] is placed at C[A[i]] in B
10 print(*B) # B is the sorted version of A, and stable
```

Radix Sort - Iterated Counting Sort

```
1 for i <- 1 to d # note: LSD is from right to left
2     sort by the i-th LSD #(using stable Counting Sort)
```

- We can use **proof by induction**: When we have sorted the i^{th} LSD, then the integers are sorted according to their values on the i^{th} LSD.
- $P(1)$ holds, as Radix Sort first pass will correctly sort the LSD (we are sure the stable Counting Sort sub-routine is correct).
- Suppose $P(i-1)$ holds, we can show $P(i)$ holds too:
 - The integers are sorted based on the i^{th} LSD on the i^{th} pass.
 - Now, due to the stable sort subroutine used, within the group of integers that have the same i^{th} LSD, the stable sort algorithm does not change their relative position! \Rightarrow Thus, these integers are correctly sorted!

Radix Sort - Analysis

- If we have d digits, Radix Sort runs d iterations of $O(n+k)$ Counting Sort, thus the overall time complexity in $O(d \cdot (n+k))$.
- Setting $k = 10$ (digit $[0..9]$, or base 10/Decimal), is often **not** the best setup.
- If b -bit word is broken in to $\frac{b}{r}$ groups of r -bit words:
 - There are only $d = \frac{b}{r}$ passes now
 - Each pass takes $O(n+2^r)$ as $k = 2^r$
 - Total time is thus $O(\frac{b}{r}(n+2^r))$, choose r to minimize $O(\frac{b}{r}(n+2^r))$
 - Optimal $r \approx \log_2 n$ so that $(n+2^r)$ balances
 - Total time is thus $O(\frac{b}{\log_2 n} \cdot (n+2^{\log_2 n})) = O(\frac{b \cdot n}{\log_2 n})$
 - Now if the integers are in the range of $[0..n^d]$, then $b = \log_2 n^d$ bits or $b = d \cdot \log_2 n$ bits
 - Thus Radix Sort runs in time $O(\frac{d \cdot \log_2 n \cdot n}{\log_2 n}) = O(d \cdot n)$ — linear time

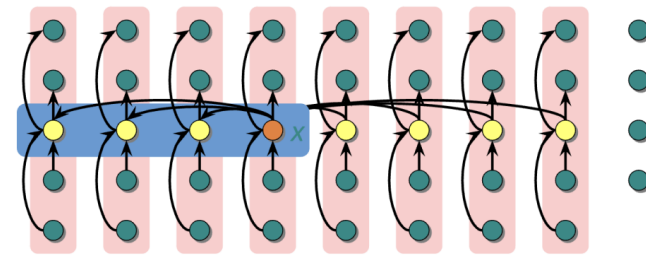
Quickselect - Pseudocode

```
1 function QuickSelect(A, l, r, i)
2     if l == r, return l
3     k = Randomized Partition(A, l, r) // random pivot
4     if i == k, then return k // found
5     else if i < k, then return QuickSelect(A, l, k-1, i ←
6         )
7     else, then return QuickSelect(A, k+1, r, i)
```

- Best case for QuickSelect is $O(n)$ i.e. one pass of Randomized_partition, we find that pivot has rank $k = i$
- If the participants sub-routine is *not randomized*, worst case for QuickSelect is similar to worst case of QuickSort $\rightarrow O(n^2)$

Worse-case Linear-Time Select - Pseudocode

```
1 function Select(A, n, i)
2     # step 1 - Theta(n)
3     # divide A into ceil(n/5) groups of 5 elements each
4     # find the median of each 5-elements group in O(1)
5     # step 2, T(n/5)
6     # Let B be ceil(n/5) medians of each groups
7     Select(B, |B|, |B|/2) # find median of medians
8     # step 3 - Theta(n)
9     k = Partition(A, x) # use this specific pivot x
10    # Let 'A / 'A be elements < x / > x, respectively
11    # step 4-5-6, similar as Quickselect - T(7n/10)
12    if i == k, then return k # found
13    else if i < k, then return Select('A, k-1, i)
14    else, return Select('A, n-k, i-k)
```



- Divide the n elements into groups of 5. There are $\lfloor \frac{n}{5} \rfloor$ such groups
- Find median of each group in $O(1) \rightarrow$ sort and get index 2, sorting 5 elements is $O(1)$, there are $\lfloor \frac{n}{5} \rfloor$ such medians
- Recursively Select the median x of the $\lfloor \frac{n}{5} \rfloor$ group medians. This x , the **median of medians**, will be the pivot.
- At least half of the group of 5 medians are $\leq x$, which is at least $\lfloor \frac{\lfloor \frac{n}{5} \rfloor}{2} \rfloor = \lfloor \frac{n}{10} \rfloor$ elements.
- $\therefore \geq 3 \cdot \lfloor \frac{n}{10} \rfloor$ elements are $\leq x$ and $\geq x$
- For large n , since at least $\lfloor \frac{n}{10} \rfloor$ elements are $\leq x$ (or $\geq x$), after partitioning A around x , the recursive call to Select (step 5-6) is executed recursively on at most $n - \frac{3n}{10} = \frac{7n}{10}$ elements.
- Thus, the recurrence for running time $T(n)$ takes time of not more than $T(n)$ takes time of not more than $T(\frac{n}{5}) + T(\frac{7n}{10}) + \Theta(n)$ in the worst case. For small n , we can compute $T(n) \in \Theta(1)$.

A. Misc. formulas

A1. Definition of limits

$$\lim_{n \rightarrow \infty} \left(\frac{f(n)}{g(n)} \right) = z \Rightarrow \forall \epsilon > 0, \exists n_0 > 0, \text{ s.t. } \forall n \geq n_0: \left| \frac{f(n)}{g(n)} - z \right| < \epsilon$$

A2. Logarithm/Exponential rules

- $\log(M \cdot N) = \log M + \log N \Rightarrow \log(M/N) = \log M - \log N$
- $\log(M^k) = k \cdot \log(M) \Rightarrow b^{\log_b(k)} = k$
- $\log(1) = 0 \parallel \log_b(b) = 1 \parallel \log_b(b^k) = k$

- $a^x \times a^y = a^{x+y} \Rightarrow a^x \div a^y = a^{x-y} \parallel (a^x)^y = a^{x \cdot y}$
- For any $a^b, a^b = e^{b \ln(a)}$

A3. Helpful approximations

1. stirling's approximation:

- $T(n) = \sum_{i=0}^n \log(n-i) = \log \prod_{i=0}^n (n-i) = \Theta(n \log n)$
- OR $n! \approx \left(\frac{n}{e} \right)^n \sqrt{2\pi n}$

2. harmonic number:

$$H_n = \sum_{k=1}^n \frac{1}{k} = \Theta(\lg n)$$

3. basel problem:

$$\sum_{n=1}^N \frac{1}{n^2} \leq 2 - \frac{1}{N} \xrightarrow{N \rightarrow \infty} 2 \quad \text{because } \sum_{n=1}^N \frac{1}{n^2} \leq 1 + \sum_{x=2}^{\log_3 n} \frac{1}{(x-1)x} = 1 + \sum_{n=2}^N \left(\frac{1}{n-1} - \frac{1}{n} \right) = 1 + 1 - \frac{1}{N} = 2 - \frac{1}{N}$$

4. number of primes in range: $\{1, \dots, K\}$ is $> \frac{K}{\ln K}$

5. L'Hôpital's rule: $\lim_{x \rightarrow c} \frac{f(x)}{g(x)} = \lim_{x \rightarrow c} \frac{f'(x)}{g'(x)}$

A4. Asymptotic bounds

$$1 < \log n < \sqrt{n} < n < n \log n < n^2 < n^3 < 2^n < 2^{2^n}$$

$$\log_a n < n^a < a^n < n^n < n!$$

$$\text{for any } a, b > 0, \quad \log_a n < n^b$$

A5. Multiple parameters

for two functions $f(m, n)$ and $g(m, n)$, we say that $f(m, n) = O(g(m, n))$ if there exists constants c, m_0, n_0 such that $0 \leq f(m, n) \leq c \cdot g(m, n)$ for all $m \geq m_0$ or $n \geq n_0$.

A6. Example proofs

Proof. that $2n^2 = O(n^3)$
 let $f(n) = 2n^2$. then $f(n) = 2n^2 \leq n^3$ when $n \geq 2$.
 set $c = 1$ and $n_0 = 2$.
 we have $f(n) = 2n^2 \leq c \cdot n^3$ for $n \geq n_0$.

Proof. $n = o(n^2)$

For any $c > 0$, use $n_0 = 2/c$.

Proof. $n^2 - n = \omega(n)$

For any $c > 0$, use $n_0 = 2(c+1)$.

Example. let $f(n) = n$ and $g(n) = n^{1+\sin(n)}$.

Because of the oscillating behaviour of the sine function, there is no n_0 for which f dominates g or vice versa.

Hence, we cannot compare f and g using asymptotic notation.

Example. let $f(n) = n$ and $g(n) = n(2 + \sin(n))$.

Since $\frac{1}{3}g(n) \leq f(n) \leq g(n)$ for all $n \geq 0$, then $f(n) = \Theta(g(n))$.
 (note that limit rules will not work here)

A7. Formulas

Geometric Series

- $S_n = \frac{a(1-r^n)}{1-r} \rightarrow S_\infty = \frac{a}{1-r}$
 — where a is the first term, r is the common ratio, n is number of terms

Arithmetic Series

- $S_n = n \left(\frac{a_1 + a_n}{2} \right)$, n is number of terms, a_1 is first term, a_n is n^{th} term
- Ratio Test**
- $$L = \lim_{n \rightarrow \infty} \frac{a_{n+1}}{a_n} \quad \begin{cases} L < 1 & \text{absolutely convergent} \\ L > 1 \text{ or } L = \infty & \text{divergent} \\ L = 1 & \text{inconclusive} \end{cases}$$

B. Leetcode pseudo-code

B1. Coin Change I and II

- Fewest number of coins needed to make target amount

```
1 def coinChange(coins: List[int], amount: int):
2     dp = [0] + [float('inf')] * amount
3     for coin in coins:
4         for target in range(coin, amount + 1):
5             dp[target] = min(dp[target],
6                             1 + dp[target - coin])
7     if dp[-1] == float('inf'):
8         return -1
9     else:
10        return dp[-1]
```

- Number of combinations that make up target amount

```

1 def change(amount: int, coins: List[int]):
2     dp = [0] * (amount + 1)
3     dp[0] = 1
4     for coin in coins:
5         for target in range(coin, amount + 1):
6             dp[target] += dp[target - coin]
7     return dp[-1]

```

B2. Best time to buy sell stock

- No cooldown

```

1 def maxProfit(self, prices: List[int]) -> int:
2     if len(prices) < 2:
3         return 0
4     l, r = 0, 1
5     res = 0
6     while r < len(prices):
7         profit = prices[r] - prices[l]
8         if profit >= 0:
9             res = max(res, profit)
10        else:
11            l = r
12        r += 1
13    return res

```

- With cooldown

```

1 def maxProfit(self, prices: List[int]) -> int:
2     if not prices:
3         return 0
4     dp = [[0,0,0] for _ in range(len(prices))]
5     # state [buy, sell, cooldown]
6     dp[0][0] = -prices[0]
7     dp[0][1] = 0
8     dp[0][2] = 0
9     for i in range(1, len(prices)):
10        dp[i][0] = max(dp[i-1][0], dp[i-1][2]
11                        - prices[i])
12        dp[i][1] = dp[i-1][0] + prices[i]
13        dp[i][2] = max(dp[i-1][1], dp[i-1][2])
14    return max(dp[-1][1], dp[-1][2])

```

B3. Subsequence

- Longest [Increasing](#) subsequence

```

1 def lengthOfLIS(self, nums: List[int]) -> int:
2     n = len(nums)
3     dp = [1] * n
4     for i in range(n - 1, -1, -1):
5         for j in range(i + 1, n):
6             if nums[i] < nums[j]:
7                 dp[i] = max(dp[i], 1 + dp[j])
8     return max(dp)

```

- Longest [Common](#) subsequence

```

1 def longestCommonSubsequence(self, text1: str,
2                               text2: str) -> int:
3     dp = [[0] * (len(text2) + 1) for _ in range(len(text1) + 1)]
4     for i in range(len(text1) - 1, -1, -1):
5         for j in range(len(text2) - 1, -1, -1):
6             if text1[i] == text2[j]:
7                 dp[i][j] = 1 + dp[i+1][j+1]
8             else:
9                 dp[i][j] = max(dp[i+1][j], dp[i][j+1])
10    return dp[0][0]

```

B4. Distinct Subsequence

If subsequence has last element that is repeated with current element $x_j = x_i$, where $j < i$, there is an additional subsequence that is repeated. Otherwise, there can be 2 subsequences that end with either x_j or x_i .

```

1 def distinctSubseqII(self, S):
2     res, end = 0, collections.Counter()
3     for c in S:
4         res, end[c] = res * 2 + 1 - end[c], res + 1
5     return res

```

B5. Jump Game II

```

1 def jump(self, nums: List[int]) -> int:
2     if len(nums) <= 1: return 0
3     l, r = 0, nums[0]
4     res = 1
5     while r < len(nums) - 1:
6         res += 1
7         nxt = max(i + nums[i] for i in range(l, r + 1))
8         l, r = r, nxt
9     return res

```

B6. Container with most water

```

1 def maxArea(self, height: List[int]) -> int:
2     l, r = 0, len(height) - 1
3     res = 0
4     while l <= r:
5         width = r - l
6         length = min(height[l], height[r])
7         res = max(res, width * length)
8         if height[l] < height[r]:
9             l += 1
10        else:
11            r -= 1
12    return res

```

B7. Maximum subarray

```

1 def maxSubArray(self, nums: List[int]) -> int:
2     ans, curr = 0, 0
3     for i in range(len(nums)):
4         if curr < 0:
5             curr = 0
6         curr += nums[i]
7         ans = max(ans, curr)
8     return ans

```

B8. Gas Station

```

1 def canCompleteCircuit(gas: List[int], cost: List[int]) -> int:
2     if (sum(gas) - sum(cost) < 0):
3         return -1
4     gas_tank, start_index = 0, 0
5     for i in range(len(gas)):
6         gas_tank += gas[i] - cost[i]
7         if gas_tank < 0:
8             start_index = i + 1
9             gas_tank = 0
10    return start_index

```

C. Recurrences

C1. $T(n) = 9T(\frac{n}{3}) + n^2/\lg n$

$$T(n) = 9T(\frac{n}{3}) + \frac{n^2}{\lg n} \quad \therefore \frac{T(n)}{n^2} = \frac{1}{\lg n} + \frac{1}{\lg(\frac{n}{3})} + \dots + \frac{1}{\lg 3} + 9T(\frac{1}{3})$$

$$\frac{T(n)}{n^2} = \frac{T(\frac{n}{3})}{(\frac{n}{3})^2} + \frac{1}{\lg n}$$

$$\frac{T(n)}{n^2} \in \Theta(\frac{1}{\lg n})$$

$$\frac{T(n)}{n^2} \in \Theta(\frac{1}{\lg n}) \sim \frac{1}{\lg n} \Rightarrow \frac{1}{\lg 3} \Rightarrow \frac{1}{\lg 3}$$

$$\frac{T(n)}{n^2} = \frac{T(\frac{n}{3})}{(\frac{n}{3})^2} + \frac{1}{\lg n}$$

$$\frac{T(n)}{n^2} = \frac{T(\frac{n}{3})}{(\frac{n}{3})^2} + \frac{1}{\lg n}$$

$$\frac{T(n)}{n^2} = \frac{T(\frac{n}{3})}{(\frac{n}{3})^2} + \frac{1}{\lg n}$$

C2. $T(2^n) = T(2^{n-1}) + \dots + T(2^1) + T(2^0)$

- $T(2^n) - T(2^{n-1}) = T(2^{n-1}) + n^2 - (n-1)^2$
- $T(2^n) = 2T(2^{n-1}) + 2n-1 \mid 2^n = N \Rightarrow N = 2^n \Rightarrow \lg N = n \lg 2 \approx n$
- $T(N) = 2T(N/2) + \lg N + 1 \Rightarrow$ by M.T, $T(N) = N \Rightarrow T(2^n) = \Theta(2^n)$

D. Greedy Algorithms Proof:

D1. Scheduling

- Optimal Substructure:**
 - Suppose an optimal scheduling S contains activity a_j , let:
 - $Before_j = \{a_i : f_i \leq s_j\}$ (finish before activity a_j starts)
 - $After_j = \{a_i : s_i \geq f_j\}$ (start after activity a_j finishes)
 - $\therefore S$ contains optimal scheduling for $Before_j$ and $After_j$
 - Proof by contradiction
 - Assume S is an optimal schedule for the entire set of activities but does not contain optimal schedules for $Before_j$ or $After_j$
 - Replace the suboptimal schedule in S with the optimal scheduling for $Before_j$ or $After_j$
 - $\therefore S$ now has more activities, contradicting the assumption that S is optimal
 - Proving Greedy Choice Property:**
 - Let a^* be activity that finishes the earliest among all activities in the set
 - Assume S is an optimal schedule and a is the first activity in S
 - If $a = a^*$, the greedy choice is already part of the optimal solution
 - If $a \neq a^*$, replace a in S with a^*
 - Since a^* finishes earlier than a , it leaves at least as much room for subsequent activities
 - The new schedule remains compatible and contains the same number of activities, making it optimal
 - By induction, repeating this process ensures the greedy choice (selecting the activity that finishes earliest) always leads to an optimal solution

E. Reductions

P	... you can implement a polynomial time algorithm to solve this
NP	1. ... you can outline (NOT solve!) a certificate as a proof for YES 2. ... you can verify the certificate in polynomial time
NP-Hard	Option A: ... you can prove all NP problems can be reduced to this Option B: ... you can find an NP-Hard problem X , and prove $X \leq_p$ this
NP-Complete	1. ... you can prove that this problem is NP 2. ... you can prove that this problem is NP-Hard

E1. Reduce from HC \leq_q TSP

- Show the transformation algorithm.**
 - Let $G = (V, E)$ be an instance α of HC. We build an instance β of TSP as follows:
 - Create a complete graph G' on the same vertex set V .
 - For each pair $u, v \in V$:
 - If $u, v \in E$, then $w(u, v) = 1$.
 - Otherwise, $w(u, v) = 2$ (or any value greater than 1).
 - Theorem:** G has a Hamiltonian cycle iff. G' has a TSP tour of cost $\leq n$.
- Show the transformation algorithm runs in polynomial time.**
 - A complete graph G' on n vertices has $\binom{n}{2} = \frac{n(n-1)}{2}$ edges.
 - For each edge, we determine if $(u, v) \in E$ in $O(1)$ time (using an adjacency matrix or hash table).

- Assigning weights for all edges takes $O(n^2)$ total.
- **Show a YES answer to HC instance implies YES to TSP instance.**
 - **Theorem (\rightarrow):** If G has a Hamiltonian cycle, then G' has a TSP tour of cost at most n .
 - * Let C be a Hamiltonian cycle in G .
 - * G' is subgraph of complete graph G' , $\therefore C$ must also be present in G' .
 - * C is a tour since each vertex appears exactly once in C .
 - * Cost of each edge in C is 1, as each edge of C also present in G .
 - * Hence, the total cost of the tour C in G' is n .
 - Therefore, G' has a TSP tour of cost at most n .
- **Show a YES answer to TSP instance implies YES to HC instance.**
 - **Theorem (\leftarrow):** If G' has a TSP tour of cost $\leq n$, then G has a HC.
 - * Let C be a TSP tour of cost at most n in G' .
 - * The cost of each edge in G' is at least 1.
 - * There are n edges in C , so each edge of C must have weight exactly 1.
 - * Therefore, each edge of C is present in G as well.
 - * Since C visits each vertex exactly once, C is a Hamiltonian cycle.
 - Hence, G has a Hamiltonian cycle.

E2. Prove IS is NP-Complete

1. Show that $IS \in NP$
 - Certificate is in independent set
 - We can check if the size $\geq k$ in $O(1)$ hence polynomial time
 - We can also check all edges $(u, v) \in E$ so that at most u or v but not both are in the IS (e.g. by storing data in Hash Table). This check can be done in $O(E)$ which is also polynomial time.
2. Pick a problem A (3SAT) known to be NP-Complete, then show that $A(3SAT) \leq_p X(IS)$

Solution:

Let $\phi = C_1 \wedge C_2 \wedge \dots \wedge C_m$ be the input formula to a 3SAT problem, where each clause C_c has three literals chosen from $\{x_i, \bar{x}_i \mid 1 \leq i \leq n\}$. We construct a DONUT problem $\langle G, p, k \rangle$ as follows.

The vertices V of G are $\{v_{c,j} \mid 1 \leq c \leq m, 1 \leq j \leq 3\}$, where $v_{c,j}$ corresponds to literal j in clause C_c . We label each vertex $v_{c,j}$ with x_i or \bar{x}_i , whichever appears in position j of clause C_c . The edges E of G are of two types:

- For each clause C_c , an edge between each pair of vertices corresponding to literals in clause C_c , that is, between v_{c,j_1} and v_{c,j_2} for $j_1 \neq j_2$.
- For each i , an edge between each pair of vertices for which one is labeled by x_i and the other by \bar{x}_i .

The function p maps all vertices to 1. The threshold k is equal to m . We claim that ϕ is satisfiable iff the total profit in the DONUT problem $\langle G, p, k \rangle$ can be at least k .

First, suppose that ϕ is satisfiable. Then there is some truth assignment A mapping the variables to $\{true, false\}$. A must make at least one literal per clause true; for each clause, select the vertex corresponding to one such literal to be in the set U . Since there are m clauses, this yields exactly $m = k$ vertices, so the total profit is k . Moreover, we claim that U cannot contain two neighboring vertices in G . Suppose for contradiction that $u, v \in U$ and $(u, v) \in E$. Then the edge (u, v) must be of one of the two types above. But u and v cannot correspond to literals in the same clause because we selected only one vertex for each clause. And u and v cannot be labeled by x_i and \bar{x}_i for the same i , because A cannot make both a variable and its negation true. Since neither possibility can hold, U cannot contain two neighboring vertices. U achieves a total profit of k for the DONUT problem $\langle G, p, k \rangle$.

Conversely, suppose that there exists $U \subseteq V$, $|U| \geq k = m$ containing no two neighbors in G . Since U does not contain neighbors, it cannot contain two vertices from the same clause. Therefore, we must have $|U| = m$, with exactly one vertex from each clause. Now define a truth assignment A for the variables: $A(x_i) = true$ if some vertex with label x_i is in U , and $A(x_i) = false$ if some vertex with label \bar{x}_i is in U . For other variables the truth value can be arbitrary. Also since U does not contain neighbors, U cannot contain two vertices with contradictory labels, so assignment A is well-defined. A satisfies all clauses by making one literal corresponding to a vertex in U true in each clause. Therefore, A satisfies ϕ .

For the last question, suppose that there is a polynomial-time algorithm to solve the original problem, i.e., to output a subset U that maximizes the total profit. Then this algorithm can be easily adapted to a polynomial-time algorithm for DONUT: for any $\langle G, p, k \rangle$, simply run the assumed algorithm and obtain an optimal subset U . Then output $true$ if $k \leq |U|$, and $false$ otherwise. Since we have already shown that DONUT is NP-hard, this implies that $P=NP$.

E3. Red Blue Colouring

Show problem is in NP

The certificate would be an n -length sequence f_1, f_2, \dots, f_n where each $f_j \in \text{red, blue}$. A certifier algorithm should verify whether the above sequence is a valid *redblue* respectful coloring or not, which can easily be done by making a pass over color-preferences of each person. Clearly, the certificate is polynomial in size, and the verification can be done in polynomial time.

1. For providing the correct (or almost correct) polysize certificate + 1.5m
2. For providing justification on how to verify in polytime + 1.5m

Show problem is in NP-Hard

Consider a 3-SAT instance with n variables x_1, \dots, x_n and k clauses C_1, \dots, C_k . Now, create an instance of the Red-Blue Respectful Coloring problem as follows: For each variable x_j , consider a ball B_j , and for each clause C_i , consider a person P_i . The create color preference list for a person P_i as: If x_j appears in C_i as a positive literal, then set $c_{ij} = \text{blue}$, else if x_j appears in C_i as a negative literal then set $c_{ij} = \text{red}$, otherwise, set c_{ij} to be an arbitrary color from *green, yellow, pink*.

Clearly, the above reduction takes polynomial time. Next, we argue that the 3-SAT instance is satisfiable if and only if the reduced Red-Blue Respectful Coloring problem instance is a YES instance.

To show 3-SAT instance is satisfiable implies the reduced Red-Blue Respectful Coloring problem instance is a YES instance, consider a satisfying assignment σ and build a red-blue coloring by setting $f_j = \text{blue}$ if $x_j = 1$ in σ ; otherwise, $f_j = \text{red}$. Since σ satisfies each clause C_i , by the construction, for each P_i there exists a ball B_j such that $f_j = c_{ij}$.

For the converse, consider a valid *redblue* respectful coloring f_1, \dots, f_n . Then create an assignment α by setting $\alpha(x_j) = 1$ if $f_j = \text{blue}$; $\alpha(x_j) = 0$ otherwise. Again, by an argument similar to that in the last paragraph, α is a satisfying assignment.

1. For providing correct/almost correct transformation function from 3-SAT to respectful coloring +2 marks
2. For providing a clear proof on “if and only if” part of the reduction +4 marks
3. For providing some justification (but not a complete one, e.g. only one side) on ‘if and only if’ part of the reduction +2 marks

E4. All NP-Complete Problems

■ SAT Problems

- **C-SAT:** Determination if there is an input to a boolean circuit that makes the output true.
- **CNF-SAT:** Boolean satisfiability where the formula is in CNF (conjunctive normal form). Standardized way of expressing boolean formulas in logic where the formula is an AND of one or more clauses where a clause is an OR of literals. A literal is either a bool or its negation.
- **3-SAT:** CNF-SAT restricted to clauses of exactly 3 literals.

■ Graph Problems

- **Vertex Cover:** Smallest set of vertices that touches all edges in the graph.
- **Clique:** Largest complete subgraph.
- **Independent Set:** Largest set of vertices with no edges between them.
- **Hamiltonian Cycle:** A cycle that visits each vertex exactly once.
- **TSP:** Shortest tour visiting all cities.
- **DOM-Set:** Smallest subset of vertices where every vertex is either in the set or adjacent to a vertex in the set.

■ Subset and Partition Problems

- **Subset Sum:** Given a set of integers, determine if there is a subset whose sum equals a target.
- **Partition:** Determine if a set of integers can be split into two subsets with equal sums.

■ Miscellaneous Problems

- **3 Dimension Matching:** Match elements from three sets such that pairs form a complete matching.
- **PIT/Perfect Matching in Bipartite Graph:** Find a matching that covers every vertex exactly once.

