

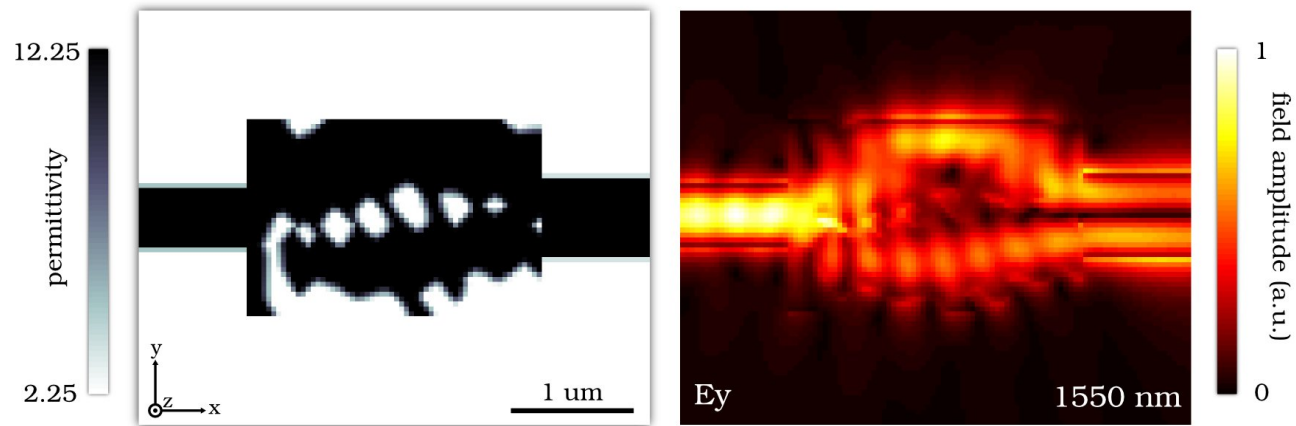
Nanophotonic Computational Design

Jesse Lu

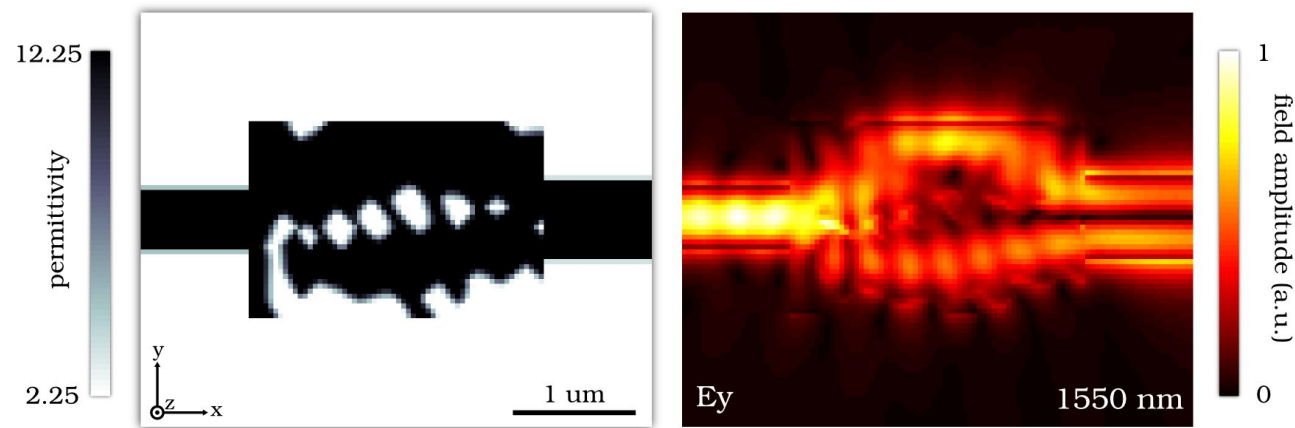
February 25, 2013

Jesse Lu PhD defense, Jelena Vuckovic group, Stanford University

Goal: Show you how to design *any* linear nanophotonic device



Goal: Show you how to design *any* linear nanophotonic device



- Device properties:
 - Full 3D
 - Compact
 - Efficient
 - Multi-mode
 - Multi-functional

- Developed by
 - applying (convex) optimization techniques (math)
 - to the area of nanophotonics (physics)
 - and implementing in software (programming)

- Developed by
 - applying (convex) optimization techniques (math)
 - to the area of nanophotonics (physics)
 - and implementing in software (programming)
- Physics Advisory:

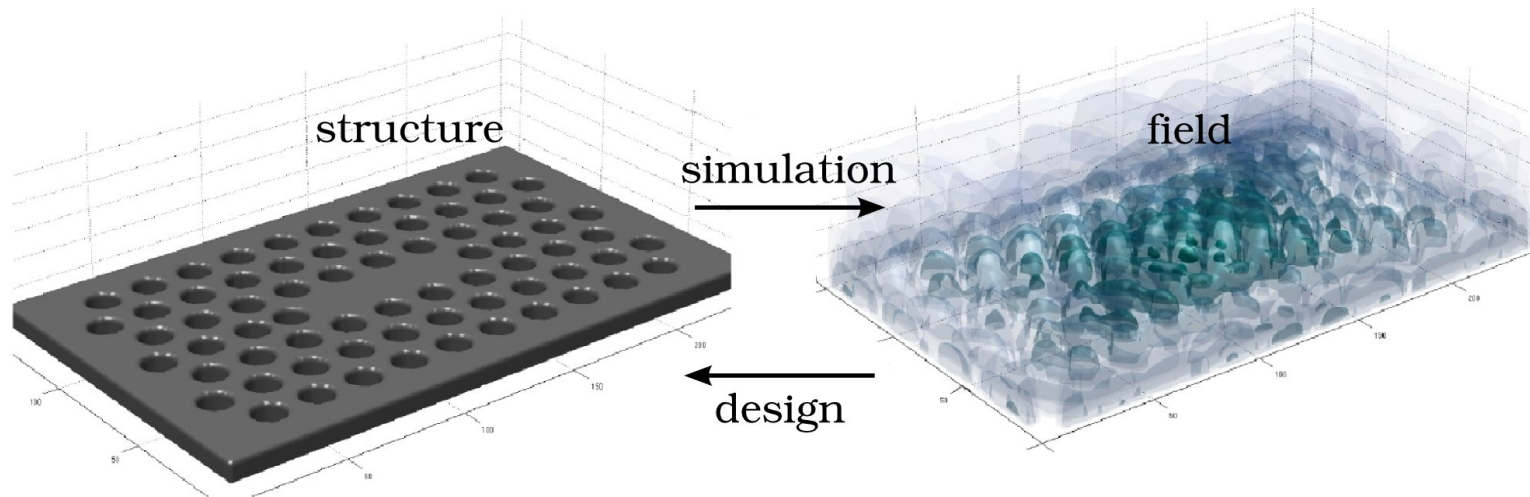
CONTAINS INVOLVED MATHEMATICAL CONTENT

- Developed by
 - applying (convex) optimization techniques (math)
 - to the area of nanophotonics (physics)
 - and implementing in software (programming)
- Physics Advisory:

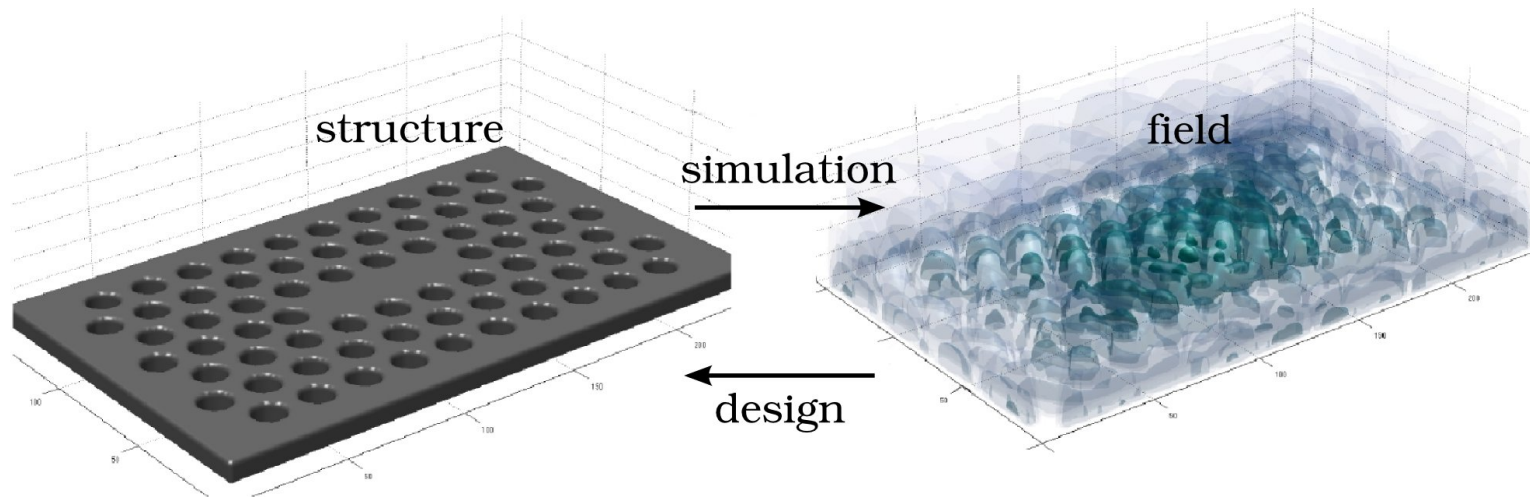
CONTAINS INVOLVED MATHEMATICAL CONTENT
- Math Advisory:

CONTAINS INVOLVED NANOPHOTONIC CONTENT

Given a field, can we find its structure?



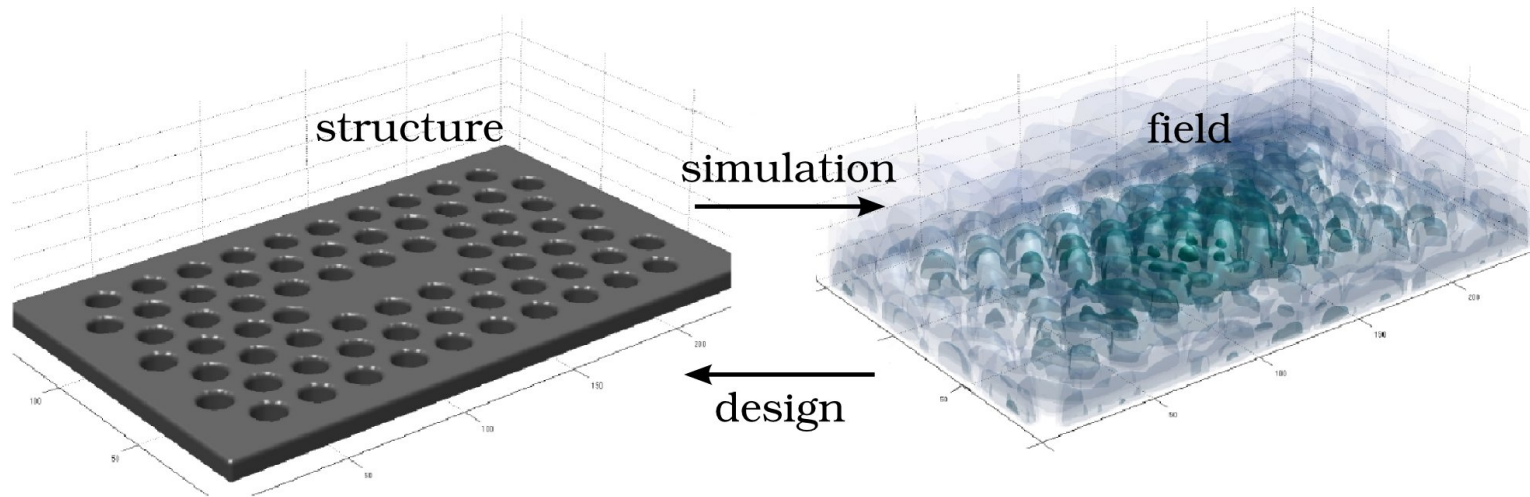
Given a field, can we find its structure?



- Equivalently, find ϵ (structure) given E (field)

$$\nabla \times \mu_0^{-1} \nabla \times E - \omega^2 \epsilon E = -i\omega J$$

Given a field, can we find its structure?



- Equivalently, find ϵ (structure) given E (field)

$$\nabla \times \mu_0^{-1} \nabla \times E - \omega^2 \epsilon E = -i\omega J$$

- If possible, we can design *any* nanophotonic/optical component!

- Answer: Yes, given E we *can* solve for ϵ (trivial!)

$$\nabla \times \mu_0^{-1} \nabla \times E - \omega^2 \epsilon E = -i\omega J$$

- Answer: Yes, given E we *can* solve for ϵ (trivial!)

$$\nabla \times \mu_0^{-1} \nabla \times E - \omega^2 \epsilon E = -i\omega J$$

$$\omega^2 \epsilon E = \nabla \times \mu_0^{-1} \nabla \times E + i\omega J$$

- Answer: Yes, given E we *can* solve for ϵ (trivial!)

$$\nabla \times \mu_0^{-1} \nabla \times E - \omega^2 \epsilon E = -i\omega J$$

$$\omega^2 \epsilon E = \nabla \times \mu_0^{-1} \nabla \times E + i\omega J$$

$$\omega^2 E \epsilon = \nabla \times \mu_0^{-1} \nabla \times E + i\omega J$$

- Answer: Yes, given E we *can* solve for ϵ (trivial!)

$$\nabla \times \mu_0^{-1} \nabla \times E - \omega^2 \epsilon E = -i\omega J$$

$$\omega^2 \epsilon E = \nabla \times \mu_0^{-1} \nabla \times E + i\omega J$$

$$\omega^2 E \epsilon = \nabla \times \mu_0^{-1} \nabla \times E + i\omega J$$

$$\epsilon = (\nabla \times \mu_0^{-1} \nabla \times E + i\omega J) / \omega^2 E$$

- Solving for ϵ actually way faster than simulation (solving for E)!

- Obvious and well-known from a mathematical perspective
 - Pre-requisite (200-level) class in optimization curriculum
 - Not yet taught (I think) in optics/photonics at Stanford

- Obvious and well-known from a mathematical perspective
 - Pre-requisite (200-level) class in optimization curriculum
 - Not yet taught (I think) in optics/photonics at Stanford

$$\underbrace{\nabla \times \mu_0^{-1} \nabla \times E - \omega^2 \epsilon E = -i\omega J}_{\text{physics}} \longrightarrow \underbrace{A(z)x = b}_{\text{linear algebra}}$$

- Obvious and well-known from a mathematical perspective
 - Pre-requisite (200-level) class in optimization curriculum
 - Not yet taught (I think) in optics/photonics at Stanford

$$\underbrace{\nabla \times \mu_0^{-1} \nabla \times E - \omega^2 \epsilon E = -i\omega J}_{\text{physics}} \longrightarrow \underbrace{A(z)x = b}_{\text{linear algebra}}$$

$$E \rightarrow x$$

$$\epsilon \rightarrow z$$

$$\nabla \times \mu_0^{-1} \nabla \times -\omega^2 \epsilon \rightarrow A(z)$$

$$-i\omega J \rightarrow b$$

- Obvious and well-known from a mathematical perspective
 - Pre-requisite (200-level) class in optimization curriculum
 - Not yet taught (I think) in optics/photonics at Stanford

$$\underbrace{\nabla \times \mu_0^{-1} \nabla \times E - \omega^2 \epsilon E = -i\omega J}_{\text{physics}} \longrightarrow \underbrace{A(z)x = b}_{\text{linear algebra}}$$

$$E \rightarrow x$$

$$\epsilon \rightarrow z$$

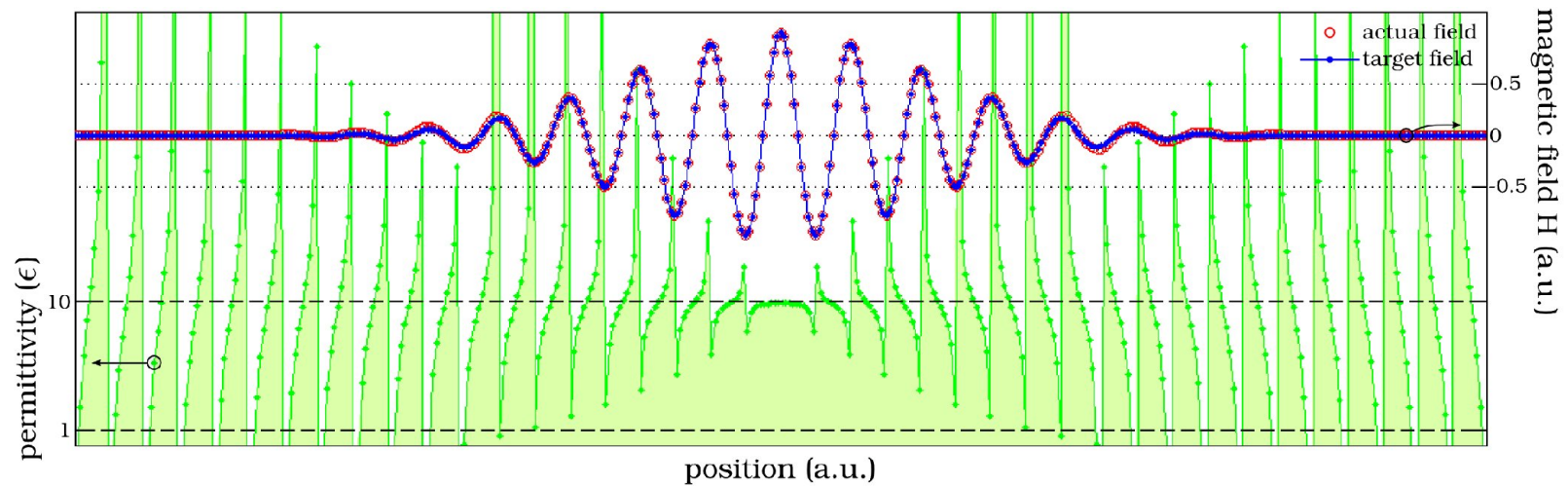
$$\nabla \times \mu_0^{-1} \nabla \times -\omega^2 \epsilon \rightarrow A(z)$$

$$-i\omega J \rightarrow b$$

- Key: If $A(z)$ is linear in z then $A(z)x = b$ is as well!

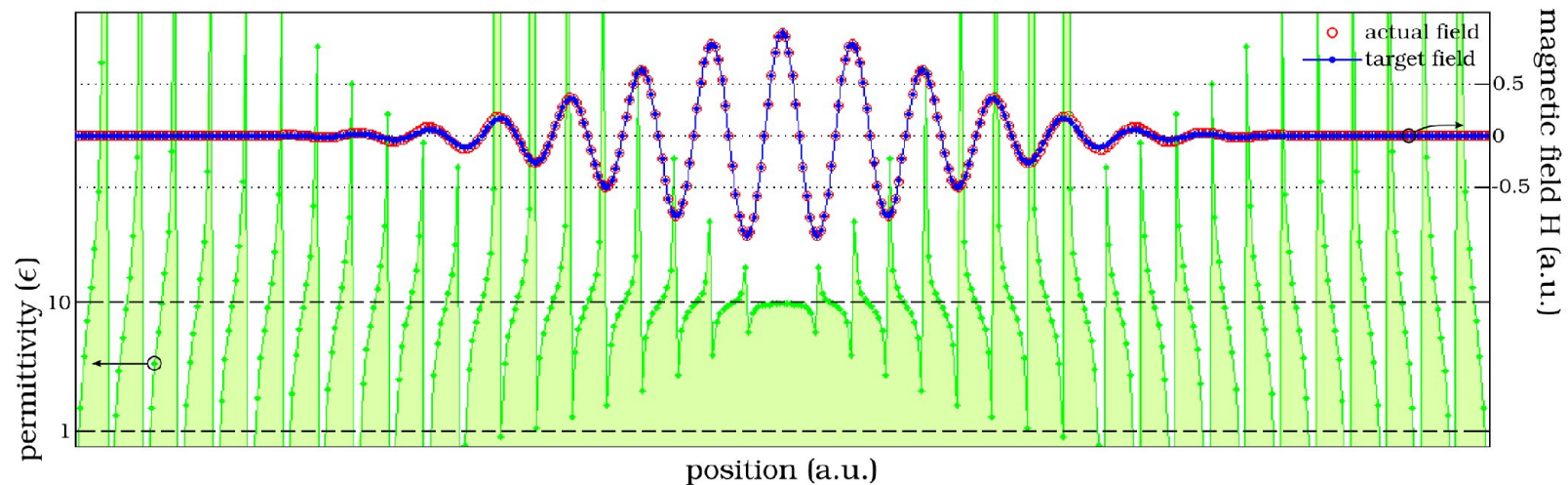
Direct design of structure in 1D

- Chose x (field) and solved for z (structure)

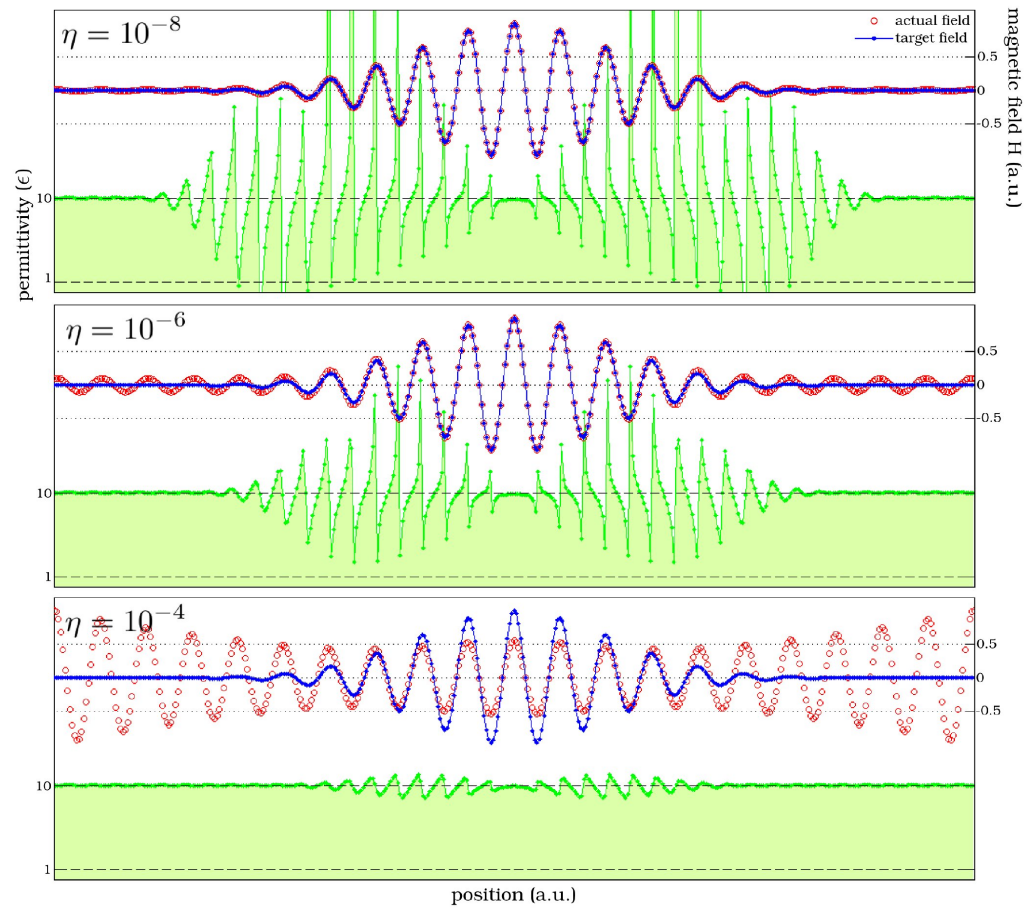


Direct design of structure in 1D

- Chose x (field) and solved for z (structure)



- Perfect performance but unmanufacturable structure

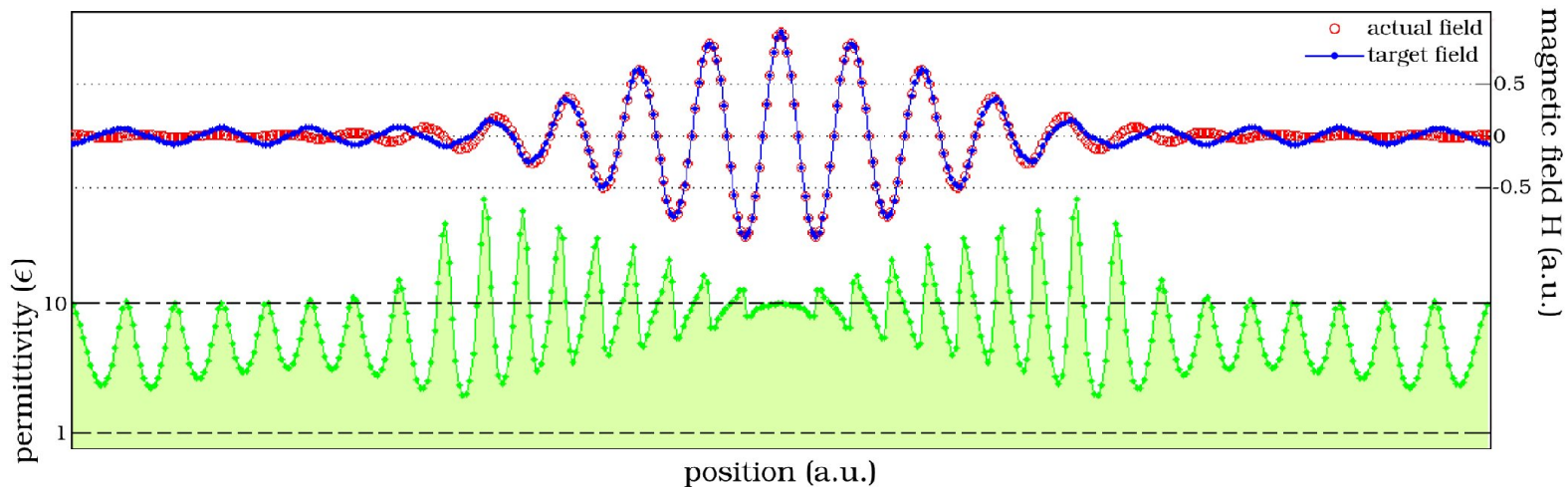


- Regularization on z decreases performance

- We know that there exist x which produce well-behaved z
- However, choosing such x is next to impossible
- Therefore, a practical design tool must include x -search

Iterative design of structure in 1D

-
- Insight: Solving for well-behaved z requires fortuitous selection of x
- Therefore, vary x in order to allow for well-behaved z



- Variation in x allows z to be better behaved

- Alternately solve for x and z :

$$\underset{z}{\text{minimize}} \quad \|A(z)x - b\|^2 + \eta_1 \|z - z_0\|^2$$

$$\underset{x}{\text{minimize}} \quad \|A(z)x - b\|^2 + \eta_0 \|x - x_0\|^2$$

