

# **PHYS415**

# GENERAL RELATIVITY

Lecture Notes

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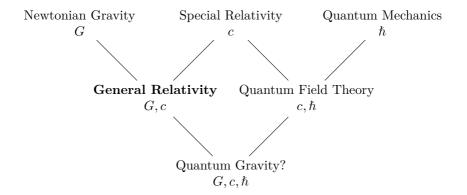
## 0 Physical Overview of General Relativity

IN GENERAL RELATIVITY, gravity is no longer a "force"...

Space tells matter how to move;

Matter tells space how to curve.

— Misner, Thorne and Wheeler



In Newtonian gravity, escape velocity is given by  $\frac{1}{2}mv^2 = \frac{GMm}{R}$ . Gravity is significant if  $\frac{v^2}{2} \sim \frac{GM}{R}$ . Special relativity is significant when  $v^2 \sim c^2$ . Hence, general relativity is significant when  $\frac{c^2}{2} \sim \frac{GM}{R} \iff R \sim \frac{2GM}{c^2}$ ; this is the Schwarzschild radius.

When escape velocity  $\sim$  light velocity, the existence of a black hole if implied.

# I Review of Special Relativity

Background —

See (?, ch 1) and (?, ch 5, 12, 13).

#### Assumptions of Special Relativity:

1. The world is described by a 4-dimensional continuum<sup>1</sup>, **spacetime**, or **Minkowski space**  $\mathcal{M}^4$ , which is the set of all **events**  $x^{\mu}$ ,

$$x^{\mu} \equiv \boldsymbol{x} = \left(x^{0}, x^{i}\right) \equiv \left(x^{0}, \vec{x}\right) = \underbrace{\left(ct, \vec{x}\right)}_{\text{time, space}}.$$

Notation -

Greek indices,  $\mu, \nu$  run over *spacetime* index values;  $\{0, 1, 2, 3\}$ . Latin indices (mid-alphabet), i, j, k run over *spatial* index values;  $\{1, 2, 3\}$ . x is a 4-vector (twiddle);  $\vec{x}$  is a 3-vector (under bar).

2. There exist **inertial frames**; namely, frames in which the measured values of time t and position  $x^i$  of events result in *linear* equations of motion for *free* particles.

#### Postulates of Special Relativity:

- 1. PRINCIPLE OF RELATIVITY: The laws of physics are invariant under transformations  $x^{\nu} \to x^{\bar{\mu}}(x^{\nu})$  from one inertial frame to another (and such transformations form a group).
- 2. Constancy of the Speed of Light: There exists an invariant upper bound on all velocities

$$\left| \frac{\mathrm{d}\vec{x}}{\mathrm{d}t} \right| \le \left| \frac{\mathrm{d}\vec{x}}{\mathrm{d}t} \right|_{\mathrm{max}} = c \quad \text{(speed of light)}$$

and this value is the same in all inertial frames.

<sup>&</sup>lt;sup>1</sup>Mathematically, a Lorentzian manifold...

For photons,

$$c = \left| \frac{\mathrm{d}\vec{x}}{\mathrm{d}t} \right| = \left| \frac{\mathrm{d}\vec{x}'}{\mathrm{d}t} \right|. \tag{I.1}$$

Rewriting (I.1) we see that, for photons,

$$(\mathrm{d} x)^2 + (\mathrm{d} y)^2 + (\mathrm{d} z)^2 - c^2 (\mathrm{d} t)^2 = (\mathrm{d} x')^2 + (\mathrm{d} y')^2 + (\mathrm{d} z')^2 - c^2 (\mathrm{d} t')^2 = 0.$$

This suggests the definition of the **spacetime interval** between any neighbouring events  $x^{\mu}$  and  $x^{\mu} + dx^{\mu}$  as

$$\begin{split} \mathrm{d}s^2 &\equiv -c^2 \mathrm{d}t^2 + \mathrm{d}x^2 + \mathrm{d}y^2 + \mathrm{d}z^2 \\ &= \eta_{\mu\nu} \mathrm{d}x^\mu \mathrm{d}x^\nu & ...pseudo-Riemannian\ structure \end{split}$$

where  $x^{\mu} = (x^0, x^i) = (ct, x, y, z)$  and where

$$\eta_{\mu\nu} = \begin{pmatrix} -1 \\ +1 \\ +1 \\ +1 \end{pmatrix} \equiv \operatorname{diag}\left(-1, +1, +1, +1\right) \equiv -1 \oplus \mathbb{1}_3.$$

For photons,  $ds^2 = 0$ . Using the two postulates, one may show that the interval  $ds^2$  is invariant with respect to coordinates based in any inertial frame (?, §1.6).

$$ds^2 = \eta_{\mu\nu} dx^{\mu} dx^{\nu} = \eta_{\bar{\mu}\bar{\nu}} dx^{\bar{\mu}} dx^{\bar{\nu}}.$$
 (I.2)

Note

- The symbol  $ds^2$  for the interval is purely notational convention, since we may have  $ds^2 < 0$  is some cases.
- The first postulate alone implies either S.R. or its  $c \to \infty$  limit (a.k.a. Galilean relativity).
- Formally,  $\mathrm{d} x^{\mu} \mathrm{d} x^{\nu}$  is shorthand for the tensor product  $\mathrm{d} x^{\mu} \otimes \mathrm{d} x^{\nu}$ , and you will see that  $\mathrm{d} s^2 = \eta$  is really the metric tensor...

#### I.1 Lorentz Transformations

In an inertial frame K, the equations of motion of a free particle are linear;

$$\frac{\mathrm{d}^2 x^{\mu}}{\mathrm{d}\lambda^2} = 0 \qquad \iff \qquad x^{\mu} = x_0^{\mu} + u^{\mu}\lambda,$$

where  $x_0^{\mu}$ ,  $u^{\mu}$  are constant and  $\lambda$  is a parameter. Similarly, in any other inertial frame K,

$$\frac{\mathrm{d}^2 x^{\bar{\mu}}}{\mathrm{d}\lambda^2} = 0 \qquad \iff \qquad x^{\bar{\mu}} = x_0^{\bar{\mu}} + u^{\bar{\mu}}\lambda.$$

Now,

$$\frac{\mathrm{d}x^{\bar{\mu}}}{\mathrm{d}\lambda} = \frac{\partial x^{\bar{\mu}}}{\partial x^{\nu}} \frac{\mathrm{d}x^{\nu}}{\mathrm{d}\lambda} = \frac{\partial x^{\bar{\mu}}}{\partial x^{\nu}} u^{\nu} \qquad \dots chain rule$$

$$\implies 0 = \frac{\mathrm{d}^2 x^{\bar{\mu}}}{\mathrm{d}\lambda^2} = \frac{\partial}{\partial x^{\alpha}} \left( \frac{\partial x^{\bar{\mu}}}{\partial x^{\nu}} u^{\nu} \right) u^{\alpha} = \frac{\partial^2 x^{\bar{\mu}}}{\partial x^{\alpha} \partial x^{\nu}} u^{\nu} u^{\alpha} \qquad \therefore u^{\nu} \ constant$$

$$\implies 0 = \frac{\partial^2 x^{\bar{\mu}}}{\partial x^{\alpha} \partial x^{\nu}}. \qquad \dots since \ true \ \forall u^{\alpha}$$

So the required transformation between inertial frames is *linear*;

$$x^{\bar{\mu}} = L^{\bar{\mu}}_{\ \nu} x^{\nu} + a^{\bar{\mu}},$$
 (I.3)

where  $a^{\bar{\mu}}$  and  $L^{\bar{\mu}}{}_{\nu} \equiv \frac{\partial x^{\bar{\mu}}}{\partial x^n u}$  are constants. Differentiate (I.3) and substitute into (I.2) to give  $\eta_{\mu\nu} \mathrm{d}x^{\mu} \mathrm{d}x^{\nu} = \eta_{\bar{\mu}\bar{\nu}} L^{\bar{\mu}}{}_{\mu} L^{\bar{\nu}}{}_{\nu} \mathrm{d}x^{\mu} \mathrm{d}x^{\nu}$ . Since this is true  $\forall \, \mathrm{d}x^{\mu}$ ,

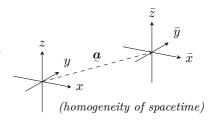
$$\eta_{\bar{\mu}\bar{\nu}}L^{\bar{\mu}}{}_{\mu}L^{\bar{\nu}}{}_{\nu} = \eta_{\mu\nu}.$$
 (I.4)

In matrix form, (I.3) and (I.4) are

$$\bar{x} = Lx + a, 
L^{\top} \eta L = \eta.$$
(I.3a)
(I.4a)

Transformations  $\underline{x} \mapsto \underline{x}$  defined by (I.3), (I.4) are the *inhomogeneous* Lorentz transformations, or **Poincaré transformations**, and form the Poincaré group IO(1,3) (pronounced Inhomogeneous Orthogonal group).

"Inhomogeneous" refers to the inclusion of spacetime translations  $x^{\nu} \mapsto x^{\bar{\mu}} = \delta^{\bar{\mu}}_{\nu} x^{\nu} + a^{\bar{\mu}}$ , which form a subgroup T<sup>4</sup> of the Poincaré group. If we set  $a^{\bar{\mu}} = 0$  in (I.3), we are left with homogeneous transformations, called simply the **Lorentz** transformations.



In G.R., our task is to generalise these ideas to general coordinate frames for which  $L^{\bar{\mu}}_{\ \nu}$  are not necessarily constant.

### I.1.1 Examples of Lorentz Transformations

A transformation belonging to the (homogeneous) Lorentz group O(1,3) can be represented as a matrix acting on coordinates  $x^{\mu}$  when they are *viewed as vectors*.

$$x^{\mu} \cong \mathbf{x} = \begin{pmatrix} x^0 \\ x^1 \\ x^2 \\ x^3 \end{pmatrix} = \begin{pmatrix} t \\ x \\ y \\ z \end{pmatrix}$$

Note

Spacetime events (i.e., *points* in spacetime) are not themselves vectors—neither addition nor scalar multiplication of events makes physical sense (i.e., spacetime itself is not a *vector space*). However, in S.R. we may represent events by their associated displacement vector relative to a chosen orthogonal inertial frame.

The Lorentz group O(1,3) consists of (combinations of) the following:

• Rotations, e.g., about the z-axis (in the xy-plane) by an angle  $\theta$ ;

$$L_R(\theta) = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos \theta & -\sin \theta & 0 \\ 0 & \sin \theta & \cos \theta & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}.$$

$$z, z$$

$$\bar{y}$$

$$\bar{x}$$

$$x$$
(isotropy of spacetime)

• Boosts, e.g., by a velocity  $\vec{v}$  in the x-direction;

$$L_B(\alpha) = \begin{pmatrix} \cosh \alpha & -\sinh \alpha & 0 & 0 \\ -\sinh \alpha & \cosh \alpha & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} = \begin{pmatrix} \cos i\alpha & i\sin i\alpha & 0 & 0 \\ i\sin i\alpha & \cos i\alpha & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix},$$

where  $\alpha = \tanh^{-1} \frac{v}{c}$  is the *rapidity parameter*. In terms of the velocity  $\beta \equiv \frac{v}{c}$ , one has  $\cosh \alpha = \frac{1}{\sqrt{1-\beta^2}} \equiv \gamma$  and  $\sinh \alpha = \frac{\beta}{\sqrt{1-\beta^2}} \equiv \beta \gamma$ .

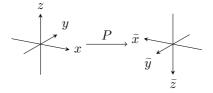
-- Note

Boosts appear similar to rotations, but differ as a consequence of the indefiniteness of the metric. Formally, an x-boost of rapidity  $\alpha$  is equivalent to a rotation by an 'imaginary angle'  $\alpha'=i\alpha$  through the  $\tau x$ -plane, where  $\tau=it$  is 'imaginary time'... though this is not a good picture physically!

An important difference between rotations and boosts is that, where  $0 \le \theta < 2\pi$  for rotations, we have  $-\infty < \alpha < \infty$  for boosts, i.e., rotations form a  $compact^2$  subgroup of the Lorentz (or Poincaré) group, whereas boosts are non-compact—and in fact do not form a subgroup (because, in general, the composition of two boosts forms a combination of a rotation and a boost).

Both rotations and boosts depend on continuous parameters ( $\theta$  or  $\alpha$ ). However, the Lorentz group O(1,3) also contains *discrete* transformations...

• Parity inversion; 
$$P = \operatorname{diag}(1, -\mathbb{1}_3) \equiv \begin{pmatrix} 1 & & \\ & -1 & & \\ & & -1 & \\ & & -1 \end{pmatrix}$$
.



Notice that P is *not* equivalent to a rotation; it transforms a right-handed frame into a left and vice versa, since det P = -1.

<sup>&</sup>lt;sup>2</sup>A compact set is one for which any infinite sequence of elements contains a convergent subsequence. E.g.,  $[0, 2\pi)$  is compact, but  $\mathbb{R}$  is not (consider the sequence  $\{1, 2, 3, ...\} \subset \mathbb{R}$ ).

Note.

In even spatial dimensions, the transformation  $R = \mathrm{diag}(1, -\mathbb{1}_{2n})$  is not a parity transformation; it is a rotation by  $\pi$  and  $\det R = 1$ . In these cases, inversions  $x_i \mapsto -x_i$  of a single spatial coordinate are parity transformations.

• Time reversal;  $T = \text{diag}(-1, \mathbb{1}_3)$ .

The Lorentz matrix condition (I.4) implies that  $(\det L)^2 = 1 \iff \det L = \pm 1$  for any Lorentz transformation  $L \in \mathrm{O}(1,3)$ . Those with  $\det L = +1$  and those with  $\det L = -1$  form two disconnected pieces of  $\mathrm{O}(1,3)$ , but only the first piece contains the identity transformation  $\delta^{\bar{\mu}}_{\nu}$ .

Inspecting the  $\bar{\mu}\nu = \bar{0}0$  component of (I.4) gives

$$\left(L^{\bar{0}}{}_{0}\right)^{2} - \sum_{\bar{k}=1}^{3} \left(L^{\bar{k}}{}_{0}\right)^{2} = 1 \implies \left(L^{\bar{0}}{}_{0}\right)^{2} \geq 1,$$

which shows that there exists two disconnected classes of Lorentz transformation with  $L^{\bar{0}}_{0} \geq 1$  and  $L^{\bar{0}}_{0} \leq -1$ . Those with  $L^{\bar{0}}_{0} \geq 1$  are called **orthochronous**.

#### I.1.2 The Restricted Lorentz Group

We define the subgroup of restricted Lorentz transformations by adding two conditions to the Lorentz matrix condition (I.4); that they be 1) orthochronous and 2) have determinant unity.

$$\mathrm{SO}^+(1,3) \equiv \left\{ \boldsymbol{\varLambda} \; \middle| \; \boldsymbol{\varLambda}^\top \boldsymbol{\eta} \boldsymbol{\varLambda} = \boldsymbol{\eta}, \boldsymbol{\varLambda}^{\bar{0}}{}_0 \geq 1, \det \boldsymbol{\varLambda} = 1 \right\}$$

We have removed the discrete transformations involving P and T, so that  $\mathrm{SO}^+(1,3)$  is continuous and connected, unlike  $\mathrm{O}(1,3)$ .

Notation

The S in  $SO^+(1,3)$  refers to the condition  $\det \Lambda=1$ , and the  $^+$  refers to orthochronality. Sometimes  $SO^+(1,3)$  is simply written as SO(1,3).

Groups whose elements may be continuously parametrised are **Lie groups**. The translation group  $T^4$  (parametrised continuously by  $\Delta x^{\mu}$ ) and the rotation group SO(3) (parametrised continuously by three angles) are examples of *connected* Lie groups.

Reintroducing translations to the restricted Lorentz group gives the restricted Poincaré group  $\mathrm{ISO}^+(1,3)$ —also a connected Lie group. Unrestricted groups may be reconstructed by reintroducing the discrete transformations;

$$\mathcal{O}(1,3) = \left\{ \boldsymbol{\varLambda}, \boldsymbol{\varLambda}\boldsymbol{P}, \boldsymbol{\varLambda}\boldsymbol{T}, \boldsymbol{\varLambda}\boldsymbol{P}\boldsymbol{T} \;\middle|\; \boldsymbol{\varLambda} \in \mathcal{SO}^+(1,3) \right\}.$$

# I.2 The Scalar Product in Minkowski Spacetime

We define a scalar product

$$\mathrm{d}s^2 = \eta_{\mu\nu} \mathrm{d}x^{\mu} \mathrm{d}x^{\nu} = \mathrm{d}x_{\mu} \mathrm{d}x^{\mu} \equiv \mathrm{d}\boldsymbol{x} \cdot \mathrm{d}\boldsymbol{x},$$

where

$${\rm d}x_{\mu} = \eta_{\mu\nu} {\rm d}x^{\nu} = \left( -{\rm d}x^0, {\rm d}x^1, {\rm d}x^2, {\rm d}x^3 \right) \tag{I.5}$$

is the dual component form.

We refer to  $\{dx^{\mu}\}$  as the *contravariant* components, and  $\{dx_{\mu}\}$  as the *covariant* components of the vector  $d\mathbf{x}$ . Thus the metric  $\eta_{\mu\nu}$  acts as an *index-lowering operator*<sup>3</sup>.

The identity operation (a Lorentz transformation of course) is the Kronecker delta  $\delta^{\mu}_{\ \nu}$  in indexed form.

Thus  $\delta^{\mu}_{\ \nu} = \left(\eta^{-1}\eta\right)^{\mu}_{\ \nu} = \left(\eta^{-1}\right)^{\mu\lambda}\eta_{\lambda\nu}$  by applying the rule that the indices of  $\eta$  are downstairs. As a matrix,  $\eta^{-1} = \eta = \mathrm{diag}\left(-1,\mathbbm{1}\right)$ , but we write  $\eta^{-1} = \left(\eta^{-1}\right)^{\mu\lambda} = \eta^{\mu\lambda}$  (with indices raised) so that levels of indices are consistent, i.e.

$$\eta^{\mu\lambda}\eta_{\lambda\nu} = \delta^{\mu}_{\ \nu}. \tag{I.6}$$

By (I.5) and (I.6) if follows that

$$\mathrm{d}x^{\mu} = \eta^{\mu\lambda} \mathrm{d}x_{\lambda},$$

i.e.  $\eta^{\mu\lambda}$  is an index-raising operator.

<sup>&</sup>lt;sup>3</sup>N.B.  $\{dx_{\mu}\}$  are *not* differentials of coordinates.

Under a Poincaré transformation  $x^{\mu} \to x^{\bar{\mu}}(x^{\mu})$ 

$$\mathrm{d} x^{\bar{\mu}} = L^{\bar{\mu}}{}_{\mu} \mathrm{d} x^{\mu}, \qquad \qquad \text{where } L^{\bar{\mu}}{}_{\mu} = \frac{\partial x^{\bar{\mu}}}{\partial x^{\mu}} \in O(1,3). \tag{I.7}$$

The inverse transformation taking  $x^{\bar{\mu}}$  back to  $x^{\mu}$  just swaps indices  $\bar{\mu} \leftrightarrow \mu$  in above. Thus the natural notation for the Lorentz matrix inverse to  $L^{\bar{\mu}}_{\ \mu}$  is  $(L^{-1})^{\mu}_{\ \bar{\mu}} \equiv L^{\mu}_{\ \bar{\mu}} = \frac{\partial x^{\mu}}{\partial x^{\bar{\mu}}}$ . By the chain rule:

$$L^{\bar{\mu}}{}_{\mu}L^{\mu}{}_{\bar{\nu}} = \delta^{\bar{\mu}}{}_{\bar{\nu}}, \qquad \qquad L^{\mu}{}_{\bar{\mu}}L^{\bar{\mu}}{}_{\nu} = \delta^{\mu}{}_{\nu}.$$

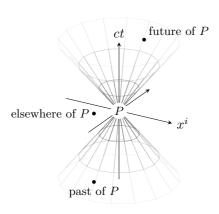
From (I.5), (I.7), and (I.4a) it follows that (exercise) under (I.7)

$$\mathrm{d}x_{\bar{\mu}} = L^{\mu}{}_{\bar{\mu}} \mathrm{d}x_{\mu}.$$

# I.3 The Causal Structure of Minkowski Spacetime

Because of the indefiniteness of the Minkowski metric (and hence of the Minkowski scalar product), 4-vectors can have positive, zero or negative norm.

A 4-vector 
$$\mathbf{V}$$
 is called 
$$\begin{cases} \text{timelike} \\ \text{null} \end{cases} \text{ if } \mathbf{V} \cdot \mathbf{V} = V_{\mu} V^{\mu} = \eta_{\mu\nu} V^{\mu} V^{\nu} \begin{cases} < 0 \\ = 0 \\ > 0 \end{cases}$$



One spatial dimension suppressed; light-cone in (3+1)-d spacetime is a continuum of spheres.

By the second postulate, all causally connected events relative to an event P lie in its future or past lightcone, because P cannot causally influence events outside the lightcone without transmitting superluminal data.

A particle's **worldline** is a curve  $x^{\mu} = x^{\mu}(\lambda)$  whose tangent V given by  $V^{\mu} = \frac{\mathrm{d}x^{\mu}}{\mathrm{d}\lambda}$  is everywhere timelike;  $V^{\mu}V_{\mu} < 0$ . This implies that, in an inertial frame, the particles velocity  $\vec{v}$  is subluminal  $|\vec{v}| < c$  (exercise).

Consider a freely moving particle whose (timelike) worldline unit tangent vector is V. There always

exists a Lorentz transformation which sends a timelike unit vector to  $V^{\mu} \mapsto V^{\bar{\mu}} = (1,0,0,0)$  (exercise). The **proper time interval**  $d\tau$  between two neighbouring events along the particle's worldline is defined as the interval of time measured in the particle's instantaneous rest frame.

$$c^2 d\tau^2 = -\eta_{\mu\nu} dx^{\mu} dx^{\nu} \tag{I.8}$$

Note

Since dx is timelike,  $\eta_{\mu\nu}dx^{\mu}dx^{\nu} < 0$ , so (I.8) contains a minus sign. Proper time is not defined for spacelike intervals, since this would yield an imaginary time. Instead, proper distance is defined by  $d\ell^2 = \eta_{\mu\nu}dx^{\mu}dx^{\nu}$ .

Proper time is the time *experienced* by the particle, i.e., the time that would be measured by a clock moving on the same worldline.

Suppose we have a worldline  $x^{\mu}(\lambda)$ . Integrate  $d\tau$  via (I.8) along the path to get the total proper time elapsed between events  $x^{\mu}(\lambda_i)$  and  $x^{\mu}(\lambda_f)$ .

$$\Delta \tau = \frac{1}{c} \int_{\lambda_{-}}^{\lambda_{f}} d\lambda \sqrt{-\eta_{\mu\nu} \frac{dx^{\mu}}{d\lambda} \frac{dx^{\nu}}{d\lambda}}$$
 (I.9)

Note

The proper time elapsed depends on the path taken between the two events. (See the Twin Paradox.)

Particle worldlines can be characterised by an action principle: variation of (I.9) with respect to the trajectories,  $\delta x^{\mu}(\lambda)$ , yields the equations of motion  $\frac{\partial^2 x^{\mu}}{\partial \lambda^2} = 0$  (holding  $\delta x^{\mu} = 0$  fixed at both ends) (exercise). In fact, the extremised trajectory minimises the proper time—freely falling particles take the path of maximum proper time.

By (I.8), 
$$c^2 d\tau^2 = -dt^2 + \sum_i (dx^i)^2 = dt^2 (-1 + \vec{v} \cdot \vec{v})$$
 where  $v^i \equiv \frac{dx^i}{dt}$  so

$$d\tau = \sqrt{1 - \frac{v^2}{c^2}} dt \equiv \gamma dt \tag{I.10}$$

is the proper time of a frame moving at velocity  $\vec{v}$  relative to a frame with coordinates  $(ct, x^i)$ .

#### I.3.1 4-velocity

Since proper time  $d\tau$  is an *invariant*, we are motivated to define the 4-vector

$$u^{\mu} \equiv \frac{\mathrm{d}x^{\mu}}{\mathrm{d}\tau} = \frac{\mathrm{d}t}{\mathrm{d}\tau} \left( c, \frac{\mathrm{d}\vec{x}}{\mathrm{d}t} \right) = \gamma(c, \vec{v}),$$

where  $\gamma$  is defined as in (I.10). In the particle's instantaneous rest frame,  $\|\vec{v}\|^2 = u^i u_i = 0$ , so that  $u^\mu u_\mu = u^0 u_0 = -c^2$ . However, since this is an invariant (it is a scalar, which does not transform under Poincaré transformations), we have

$$\|\underline{\boldsymbol{u}}\|^2 \equiv \underline{\boldsymbol{u}} \cdot \underline{\boldsymbol{u}} \equiv u^\mu u_\mu = -c^2$$

in all frames.

#### I.3.2 4-momentum

Relativistic 4-momentum is defined by

$$\mathbf{p} \equiv m\mathbf{u} = \left(\frac{E}{c}, \vec{p}\right), \qquad E = \gamma mc^2, \qquad \vec{p} = \gamma m\vec{v}.$$
(I.11)

This form of 4-momentum may be deduced from an action using the Hamiltonian approach. We want to extremise (I.2), but first multiply by  $mc^2$  to get the units right. The action is

$$S = -mc^2 \int_{x_i}^{x_f} \mathrm{d}\tau = \int_{t_i}^{t_f} \mathrm{d}t L$$

where the Lagrangian is

$$L = L(\vec{x}, \dot{\vec{x}}; t) = -mc^2 \sqrt{1 - \frac{v^2}{c^2}} = -mc^2 \sqrt{1 - \frac{\vec{x} \cdot \vec{x}}{c^2}}.$$

Then, the Hamiltonian (total energy) and conjugate momentum are

$$\begin{split} E &= \vec{p} \cdot \vec{x} - L = \gamma m v^2 + \frac{mc^2}{\gamma} = \gamma m c^2, \\ \vec{p} &= \frac{\partial L}{\partial \dot{\vec{x}}} = mc^2 \frac{1}{2} \frac{2\dot{\vec{x}}}{\sqrt{1 - v^2/c^2}} = \gamma m \vec{v}, \end{split}$$

matching the definition (I.11).

In all rest frames,

$$\boxed{\|\underline{\boldsymbol{p}}\|^2 = \underline{\boldsymbol{p}} \cdot \underline{\boldsymbol{p}} = p^{\mu} p_{\mu} = -m^2 c^2}$$

as can be shown by evaluating in the particle's instantaneous rest frame and using scalar invariance.

For photons with m=0, the 4-momentum  $\mathbf{p}$  is a null vector. Photons have no instantaneous rest frame—but we can always find a frame in which  $\mathbf{p} = \frac{E}{c}(1,0,0,1)$ .

### I.3.3 Angular momentum

The covariant orbital angular momentum of a particle about an event  $\mathbf{x}_0 = \{x_0^{\mu}\}$  is defined as

$$j^{\mu\nu} = (x^\mu - x^\mu_0) p^\nu - (x^\nu - x^\nu_0) p^\mu,$$

where  $x^{\mu}$  and  $p^{\mu}$  are the particle's position and momentum. This is an antisymmetric tensor,  $j^{\mu\nu}=-j^{\nu\mu}=j^{[\mu\nu]}$ , with 6 independent components:  $j^{0k}, j^{k\ell}, k < \ell$ .

- Example

About the origin  $x_0^\mu=0$ , we have  $j^{ij}=x^ip^j-x^jp^i$  which is equivalent to  $j^{ij}=\epsilon^{ijk}\ell_k$  where  $\vec{l}=\vec{x}\times\vec{p}$ .  $j^{0k}$  represents the motion of the centre of mass:  $j^{0k}=x^0p^k-x^kp^0=\gamma mc(v^kt-x^k)$ .

## I.4 Gauß's Theorem in Minkowski Spacetime

In three dimensional Euclidean space, Gauß's theorem is

$$\int_{R} d^{3}x \, \nabla \cdot \vec{V} = \oint_{\partial R} d\vec{S} \cdot \vec{V},$$

where  $\vec{V}$  is a 3-vector and  $R \subset \mathbb{R}^3$  is a region of Euclidean space with boundary  $\partial R$ . The surface element  $d\vec{S}$  is normal to the boundary  $\partial R$ . In index form,

$$\int_R \mathrm{d}^3x\,\partial_i V^{i\dots} = \oint_{\partial R} \mathrm{d}S_i V^{i\dots},$$

where dots denote possible free indices, which are not involved. The proof of Gauß's theorem does not require 3 dimensions or a +++ metric signature. In Minkowski spacetime  $\mathcal{M}^4$ , Gauß's theorem reads

$$\int_R \mathrm{d}^4 x\, \partial_\mu V^{\mu\dots} = \oint_{\partial R} \mathrm{d} \varSigma_\mu V^{\mu\dots},$$

where  $\partial R$  is now the boundary of a simply-connection region  $R\subset \mathcal{M}^4$  with normal surface element d $\Sigma$ .

# II The Equivalence Principle