

# Deterministic Finite Automata

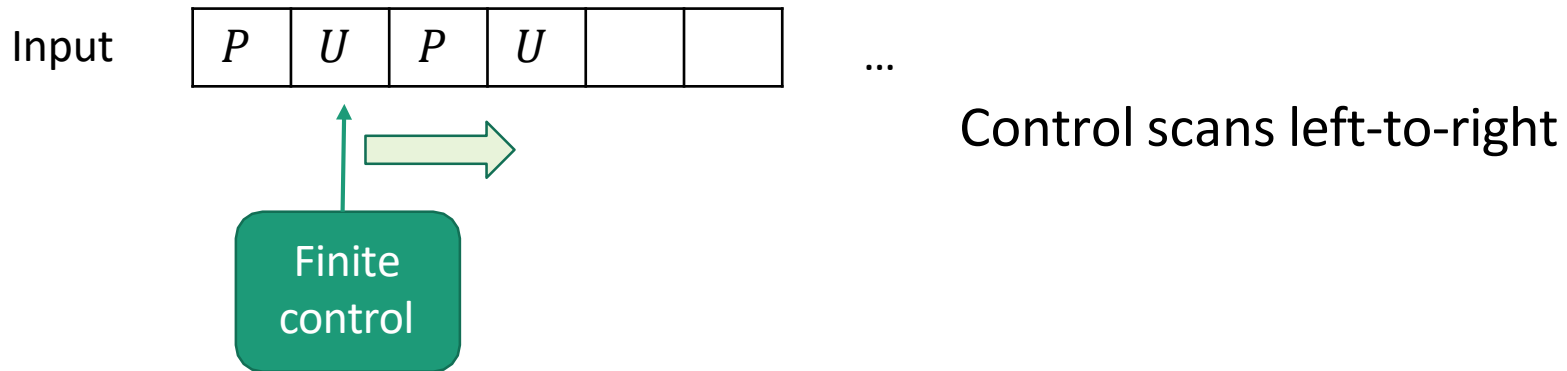
# A (Real-Life?) Example

- **Example:** Kitchen scale
- $P$  = Power button (ON/ OFF)
- $U$  = Units button (cycles through g / oz / lb)  
Only works when scale is ON, but units remembered when scale is OFF
- Starts OFF in g mode
- **A computational problem:** Does a sequence of button presses in  $\{P, U\}^*$  leave the scale ON in oz mode?



# Machine Models

- Finite Automata (FAs): Machine with a finite amount of unstructured memory



# A DFA for the Kitchen Scale Problem

# A DFA Recognizing Parity

The **language** recognized by a DFA is the set of inputs on which it ends in an “accept” state

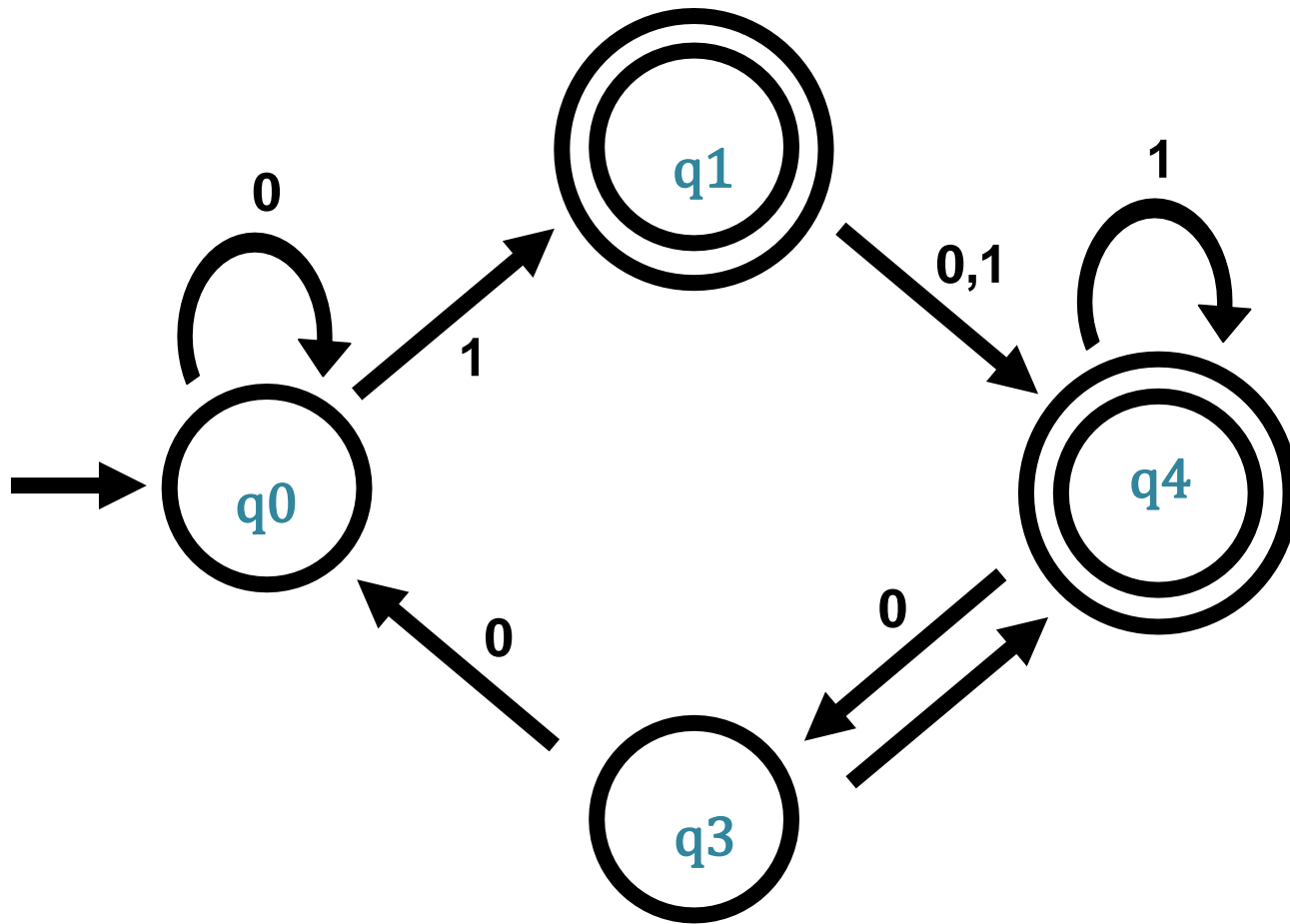
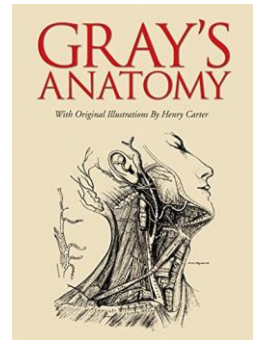
**Parity:** Given a string consisting of a's and b's, does it contain an even number of *a*'s?

$\Sigma = \{a, b\}$        $L = \{w \mid w \text{ contains an even number of } a\text{'s}\}$

Which state is reached by the parity DFA on input aabab?

- a) “even”
- b) “odd”

# Anatomy of a DFA



# Some Tips for Thinking about DFAs

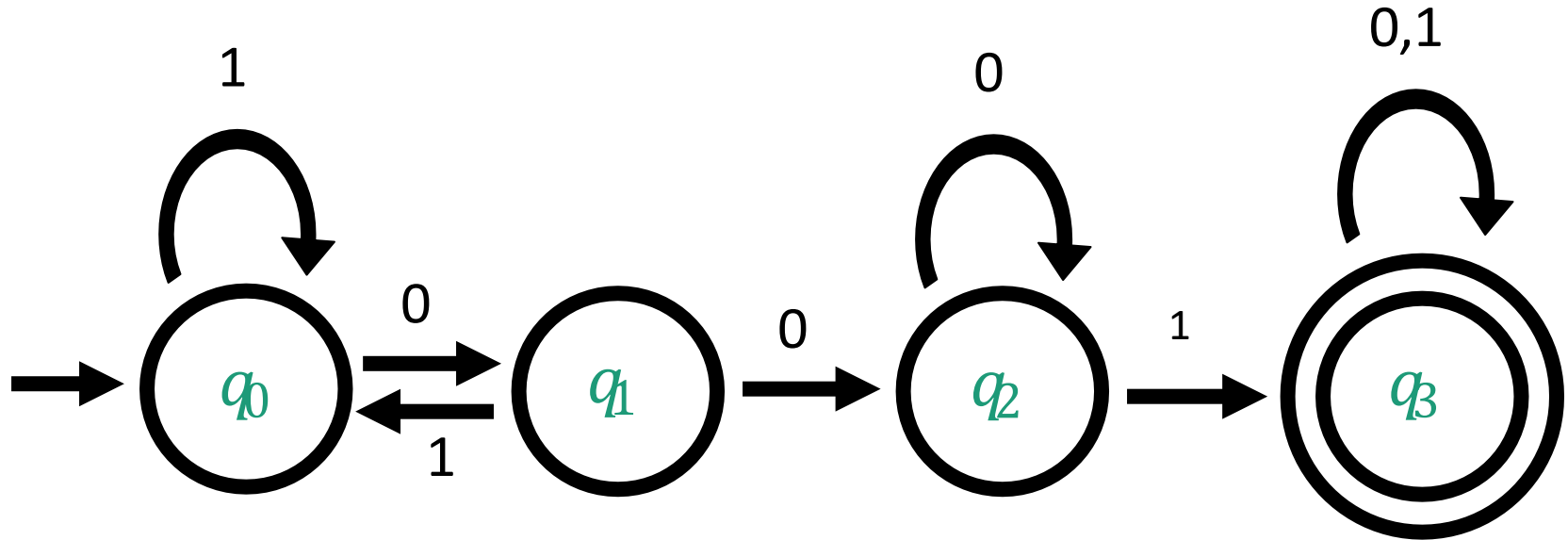
## Given a DFA, what language does it recognize?

- Try experimenting with it on short strings. Do you notice any patterns?
- What kinds of inputs cause the DFA to get trapped in a state?

## Given a language, construct a DFA recognizing it

- Imagine you are a machine, reading one symbol at a time, always prepared with an answer
- What is the essential information that you need to remember? Determines set of states.

What language does this DFA recognize?





# Practice!

- Lots of worked out examples in Sipser
- [Automata Tutor: https://automata-tutor.model.in.tum.de/](https://automata-tutor.model.in.tum.de/)

# Formal Definition of a DFA

A **finite automaton** is a 5-tuple  $M = (Q, \Sigma, \delta, q_0, F)$

$Q$  is the set of states

$\Sigma$  is the alphabet

$\delta: Q \times \Sigma \rightarrow Q$  is the transition function

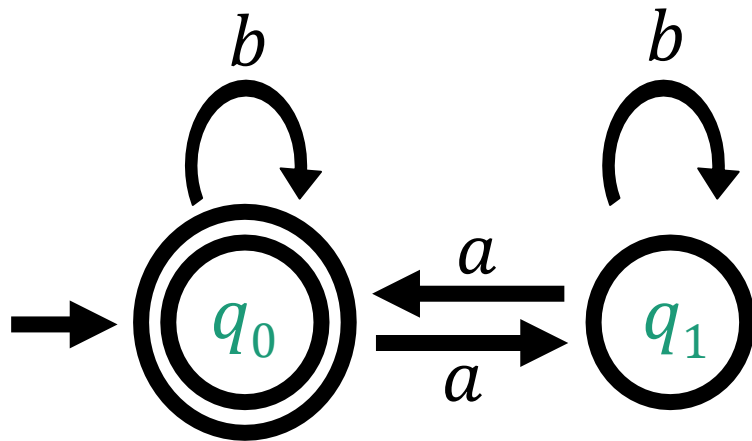
$q_0 \in Q$  is the start state

$F \subseteq Q$  is the set of accept states

# A DFA for Parity

**Parity:** Given a string consisting of  $a$ 's and  $b$ 's, does it contain an even number of  $a$ 's?

$\Sigma = \{a, b\}$        $L = \{w \mid w \text{ contains an even number of } a\text{'s}\}$



State set  $Q =$

Alphabet  $\Sigma =$

Transition function  $\delta$

$\delta$	$a$	$b$
$q_0$		
$q_1$		

Start state  $q_0$

Set of accept states  $F =$

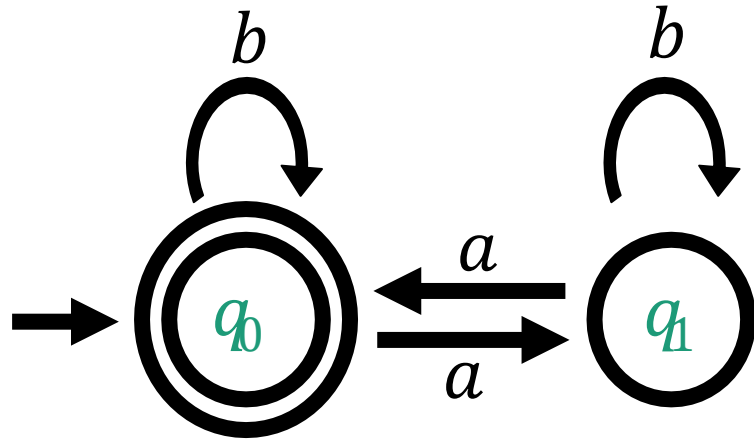
# Formal Definition of DFA Computation

A DFA  $M = (Q, \Sigma, \delta, q_0, F)$  **accepts** a string  $w = w_1 w_2 \cdots w_n \in \Sigma^*$  (where each  $w_i \in \Sigma$ ) if there exist  $r_0, \dots, r_n \in Q$  such that

- 1  $r_0 = q_0$
- 2  $\delta(r_i, w_{i+1}) = r_{i+1}$  for each  $i = 0, \dots, n-1$ , and
- 3  $r_n \in F$

$L(M)$  = the **language** of machine  $M$   
= set of all strings machine  $M$  accepts  
 $M$  **recognizes** the language  $L(M)$

# Example: Computing with the Parity DFA



Let  $w = abba$   
Does  $M$  accept  $w$ ?

What is  $\delta(r_2, w_3)$ ?  
a)  $q_0$   
b)  $q_1$

A DFA  $M = (Q, \Sigma, \delta, q_0, F)$  **accepts** a string  $w = w_1, w_2 \cdots w_n \in \Sigma^*$  (where each  $w_i \in \Sigma$ ) if there exist  $r_0, \dots, r_n \in Q$  such that

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# Regular Languages

**Definition:** A language is **regular** if it is recognized by a DFA

$L = \{ w \in \{a,b\}^* \mid w \text{ has an even number of } a\text{'s} \}$  is regular

$L = \{ w \in \{0,1\}^* \mid w \text{ contains } 001 \}$  is regular

**Many interesting problems are captured by regular languages**

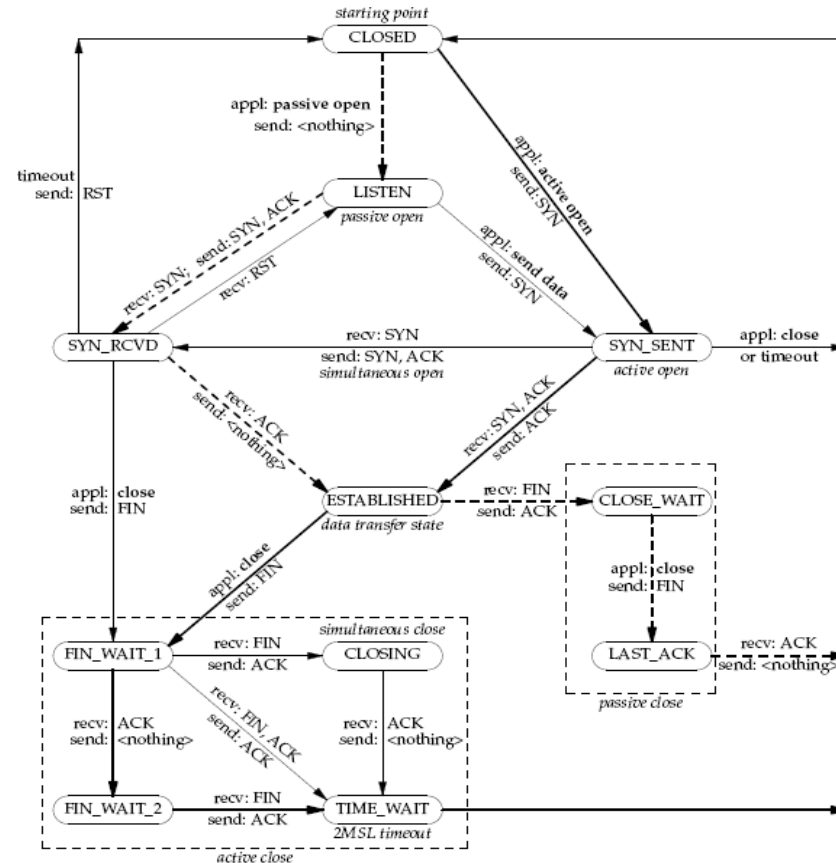
NETWORK PROTOCOLS

COMPILERS

GENETIC TESTING

ARITHMETIC

# Internet Transmission Control Protocol



Let  $TCPS = \{ w \mid w \text{ is a complete TCP Session} \}$

**Theorem.** TCPS is regular

# Compilers

## Comments :

Are delimited by `/* */`

Cannot have nested `/* */`

Must be closed by `*/`

`*/` is illegal outside a comment

**COMMENTS** = {strings over  $\{0,1, /, *\}$  with legal comments}

**Theorem.** **COMMENTS** is regular.



# Genetic Testing

**DNA sequences** are strings over the alphabet  $\{A, C, G, T\}$ .

A **gene**  $g$  is a special substring over this alphabet.

A **genetic test** searches a DNA sequence for a gene.

**GENETICTEST** $_g = \{\text{strings over } \{A, C, G, T\} \text{ containing } g \text{ as a substring}\}$

**Theorem.** GENETICTEST $_g$  is regular for every gene  $g$ .

# Arithmetic

$$\text{LET } \Sigma = \left\{ \begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \\ 1 \end{bmatrix}, \right. \\ \left. \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 1 \\ 0 \\ 1 \end{bmatrix}, \begin{bmatrix} 1 \\ 1 \\ 0 \end{bmatrix}, \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix} \right\}$$

- A string over  $\Sigma$  has three ROWS ( $\text{ROW}_1, \text{ROW}_2, \text{ROW}_3$ )
- Each ROW  $b_0 b_1 b_2 \dots b_N$  represents the integer
$$b_0 + 2b_1 + \dots + 2^N b_N.$$
- Let  $\text{ADD} = \{S \in \Sigma^* \mid \text{ROW}_1 + \text{ROW}_2 = \text{ROW}_3\}$

**Theorem.** ADD is regular.

# Readings

Sipser Ch 1.1-1.2