# Package 'HeritSeq'

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Description This package provides a statistical framework to analyze heritability of gene expression based on next-generation sequencing data and simulating sequencing reads. Variance partition coefficients (VPC) are computed using linear mixed effects and generalized linear mixed effects models. Compound Poisson and negative binomial models are included.
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computeVPC.CP

Calculate the compound Poisson (CP) variance partition coefficient (VPC) for one or more features.

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### Description

Calculate the CP VPC for one or more features following the model fitting function fit.CP().

### Usage

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```
computeVPC.CP(para)
```

#### **Arguments**

para

A  $G \times 4$  matrix of CP fit parameters for G features,  $G \ge 1$ . The column order is intercept  $\alpha_g$ , random effect  $\sigma_g^2(\sigma_g^2 \ge 0)$ , tweedie parameter  $p_g(1 < p_g < 2)$ , and dispersion  $\phi(\phi > 0)$ .

#### Value

A  $G \times 1$  matrix consisting of VPC for G features based on compound Poisson mixed models. Column name is "CP-fit"; row names are the feature names.

```
## Compute VPC for each feature under compound Poisson mixed models.
vpc.cp <- computeVPC.CP(para_cp)

## Visulize the distribution of the VPCs.
hist(vpc.cp, breaks = 50, col = "cyan")

## Plot sorted VPCs.
plot(sort(vpc.cp), ylab = "Heritability (h2)", ylim = c(0,1), main = "Sorted CP VPC scores")
abline(h = 0.9, lty = 2, col = "red")
text(50, 0.92, "h2 = 0.9", col = "red")</pre>
```

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computeVPC.NB	Calculate the negative binomial (NB) variance partition coefficient (VPC) for one or more features.
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### Description

Calculate the NB VPC for one or more features following the model fitting function fit.NB().

#### Usage

```
computeVPC.NB(para)
```

### **Arguments**

para

A  $G \times 3$  matrix of negative binomial fit parameters for G features,  $G \ge 1$ . The column order is intercept  $\alpha_g$ , random effect  $\sigma_g^2(\sigma_g^2 \ge 0)$ , and dispersion  $\phi(\phi > 0)$ .

#### Value

A  $G \times 1$  matrix consisting of VPC for G features based on negative binomial mixed model. Column name is "NB-fit"; row names are the feature names.

#### **Examples**

```
## Compute VPC for each feature under negative binomial mixed model.
vpc.nb <- computeVPC.NB(para_nb)

## Visulize the distribution of the VPCs.
hist(vpc.nb, breaks = 50, col = "cyan")

## Plot sorted VPCs.
plot(sort(vpc.nb), ylab = "Heritability (h2)", ylim = c(0,1),
main = "Sorted NB VPC scores")
abline(h = 0.9, lty = 2, col = "red")
text(50, 0.92, "h2 = 0.9", col = "red")</pre>
```

fit.CP

Fit compound Poisson mixed effect models (CPMM) for one or more features.

#### **Description**

Fit a CPMM for one or more features and output the fit parameters. It is used before the function computeVPC.CP(). This function also allows to test the presence of heritability via random effect variance of the model.

#### Usage

```
fit.CP(CountMatrix, Strains, test = FALSE, optimizer = "nlminb")
```

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#### **Arguments**

CountMatrix Sequencing count matrix for one or more features. Each row is for one feature,

and the columns are for samples.

Strains Strain labels for the samples.

test TRUE or FALSE (default). Test the presence of heritability through examining

the random effect variance  $\sigma_q^2 = 0$ .

optimizer A character string that determines which optimization routine is to be used. Pos-

sible choices are "nlminb" (default), "L-BFGS-B", and "bobyqa".

#### Value

A list with two objects. The first object is a  $G \times 4$  matrix indicating the fitted parameters for each feature. The columns are ordered by intercept  $\alpha_g$ , tweedie parameter  $p_g$ , random effect variance  $\sigma_g^2$ , and dispersion  $\phi_g$ . Row names are feature names. If the argument test is set to be true, the second object of the list consists of p-values for testing the hypothesis that random effects  $\sigma_a^2 = 0$ ; otherwise, the second object is NULL.

#### **Examples**

```
## Fit CPMM for the first two features and test the presence of
## heritability.
result.cp <- fit.CP(simData[1:2, ], strains, test = TRUE)
## Extract parameters
para.cp <- result.cp[[1]]
## Extract p-values
pval.cp <- result.cp[[2]]</pre>
```

fit.NB

Fit negative binomial mixed models (NBMM) for one or more features.

#### **Description**

Fit NBMM for one or more features and output the fit parameters. It is used before the function computeVPC.NB(). This function also allows to test the presence of heritability via random effect variance of the model.

### Usage

```
fit.NB(CountMatrix, Strains, test = FALSE)
```

### **Arguments**

CountMatrix Sequencing count matrix for a list of features. Each row is for one feature, and

the columns are for samples.

Strains Strain labels for the samples.

test TRUE or FALSE (default). Test the presence of heritability through examining

the random effect variance  $\sigma_g^2 = 0$ .

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#### Value

A list with two objects. The first object is a  $G \times 3$  matrix indicating the fitted parameters for each feature. The columns are ordered by  $\alpha_g, \sigma_g^2, \phi_g$ . Row names are feature names. If the argument test is set to be true, the second object of the list consists of p-values for testing the hypothesis that random effects  $\sigma_a^2 = 0$ ; otherwise, the second object is NULL.

#### **Examples**

```
## Compute vpc for each feature under NBMM. This will take a while on the
## entire dataset. For the purpose of illustration, here we only fit on
## the first 2 features.
result.nb <- fit.NB(simData[1:2, ], strains)</pre>
```

fitComputeVPC.lmer

Fit linear mixed models (LMM) and compute the VPC values for one or more features.

#### **Description**

Fit the Gaussian-like data to LMM and compute the VPC values for one or more features.

#### Usage

```
fitComputeVPC.lmer(CountMatrix, Strains, PriorWeights = NULL, test = FALSE,
    VPCname = "LMM")
```

#### Arguments

CountMatrix Sequencing count matrix for one or more features. Each row is for one feature,

and the columns are for samples.

Strains Strain labels for the samples.

PriorWeights Weights used in the lmer function in the package lme4. It is an optional vector

used in the fitting process.

test TRUE or FALSE (default). Test the presence of heritability through examining

the random effect variance  $\sigma_q^2 = 0$ .

VPCname Name of the VPC result, default = "LMM".

#### Value

A list with two objects. The first object is a  $1 \times G$  vector indicating the variance partition coefficients (VPC). If the argument test is set to be true, the second object of the list consists of p-values for testing the hypothesis that random effects  $\sigma_a^2 = 0$ ; otherwise, the second object is NULL.

```
## Compute VPC for each feature under linear mixed models for Gaussian-like datasets.
## Provide normalized data and include hypothesis testing on presence of
## heritability:
result.vst <- fitComputeVPC.lmer(simData_vst, strains, test = TRUE)
## Extract parameters</pre>
```

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```
vpc.vst <- result.vst[[1]]
## Extract p-values
pval.vst <- result.vst[[2]]
## Visulize the distribution of p-values.
hist(pval.vst, breaks = 30, col = "cyan")</pre>
```

getBootCI

Compute variance partition coefficition (VPC) confidence intervals (CI) for one or more features.

#### **Description**

Compute VPC CI based on parametric bootstrap for one or more features.

#### Usage

```
getBootCI(CountMatrix, Strains, which.features, num.boot, method = "NB-fit",
   alpha = 0.05, optimizer = "nlminb")
```

#### **Arguments**

CountMatrix A  $G \times N$  count matrix. G is the number of features; N is the total number of

samples.

Strains A  $1 \times N$  vector of strain labels corresponding to each sample.

which features A  $1 \times k$  vector of select feature numbers for which CI is desired.  $k \leq G$ .

num.boot Number of bootstraps.

method Which method should be used, "CP-fit", "NB-fit" (default), or "VST". "VST"

method bootstraps data under negative binomial mixed models.

alpha A numerical value between 0 and 1, indicating the significance level of the CI.

The CI will be  $100 * (1 - \alpha)$  percent CI. Default value is 0.05.

optimizer A character string that determines which optimization routine is to be used. It

is only used for method = "CP-fit". Possible choices are "nlminb" (default),

"L-BFGS-B", and "bobyqa".

#### Value

A list of two objects. The first object is a  $k \times 2$  matrix containing the CI. The second object consists of a  $k \times$ num.boot matrix of all bootsrapped VPC values.

```
## Compute CI based on 100 bootstrap samples for the first feature
## under NBMM. It takes a few minutes.

NBboot <- getBootCI(simData, strains, 1, 100)
## Extract CI
NBboot.ci <- NBboot[[1]]
## Extract vpcs
NBboot.vpc <- NBboot[[2]]</pre>
```

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```
## Compute CI based on 100 bootstrap samples for the first feature
## under vst.
VSTboot <- getBootCI(simData, strains, 1, 100, method = "VST")</pre>
```

getReadMatrix.CP

Simulate a read matrix from compound Poisson mixed effect models (CPMM).

#### Description

Simulate a (possibly unbalanced) read matrix from CPMM. For a compound Poisson (CP) random variable  $Y_{gsr}$  with mean  $\mu_{gs}$ , its variance can be expressed as  $\phi_g \mu_{gs}^{pg}$ , for some  $1 < p_g < 2$ . Under the CPMM, with a log-link, the regression on the mean has the form:

```
\log(\mu_{gs}) = \alpha_g + b_{gs}, \ b_{gs} \sim N(0, \sigma_q^2).
```

#### **Usage**

```
getReadMatrix.CP(vec.num.rep, alphas, sigma2s, ps, phis)
```

#### **Arguments**

vec.num.rep A vector of replicate numbers for each strain. 
alphas Intercept vector  $\alpha_g$ 's,  $1 \times$  num.features. 
sigma2s Random effect variance vector  $\sigma_g^2$ 's,  $1 \times$  num.features. 
ps Tweedie parameter in CP models,  $p_g$ 's, a  $1 \times$  num.features vector. 
phis Dispersion parameter in CP models,  $\phi_g$ 's, a  $1 \times$  num.features vector.

#### Value

A  $G \times N$  matrix with CP reads. N is the total number of samples; G is the number of features. Column names are sample names of the form "Ss\_r", where S stands for sample, s is the strain number, r is the replicate number within the strain. Row names are the feature names of the form "Gene g", where g is the feature index.

```
## Generate a sequencing dataset with 5 features and 6 strains.
## Assign parameter values.
rep.num <- c(3, 5, 2, 3, 4, 2)
a0s <- c(-1, 1, 2, 5, 10)
sig2s <- c(10, 0.2, 0.1, 0.03, 0.01)
ps <- rep(1.5, 5)
phis <- c(1.5, 1, 0.5, 0.1, 0.1)

set.seed(1234)
## Generate reads:
cpData <- getReadMatrix.CP(rep.num, a0s, sig2s, ps, phis)
## Generate strain names:
str <- sapply(1:length(rep.num), function(x){
    str.x <- paste0("S", x)</pre>
```

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```
return(rep(str.x, rep.num[x]))
})
str <- do.call(c, str)</pre>
```

getReadMatrix.NB

Simulate a count matrix from negative binomial mixed effect models (NBMM).

#### **Description**

Simulate a (possibly unbalanced) count matrix from NBMM. Under NBMM, an observed number of reads aligned to feature/gene g,  $Y_{gsr}$ , follows a negative binomial (NB) distribution with mean  $\mu_{gs}$  and variance  $\mu_{gs} + \phi_g \mu_{gs}^2$ , where  $\phi_g$  is the dispersion parameter, shared across strains. The generalized linear model uses a log-link:

```
\log(\mu_{gs}) = \alpha_g + b_{gs}, \quad b_{gs} \sim N(0, \sigma_g^2).
```

### Usage

```
getReadMatrix.NB(vec.num.rep, alphas, sigma2s, phis)
```

#### **Arguments**

vec.num.rep A vector of replicate numbers for each strain. 
alphas Intercept vector  $\alpha_g$ 's,  $1 \times$  num.features. 
sigma2s Random effect variance vector  $\sigma_g^2$ 's,  $1 \times$  num.features. 
phis Dispersion parameter in NB models,  $\phi_g$ 's, a  $1 \times$  num.features vector.

#### Value

A  $G \times N$  matrix with NB reads. N is the total number of samples; G is the number of features. Column names are sample names of the form "Ss\_r", where S stands for sample, s is the strain number, r is the replicate number within the strain. Row names are the feature names of the form "Gene g", where g is the feature index.

```
## Generate a sequencing dataset with 5 features and 6 strains.
## Assign parameter values.
rep.num <- c(3, 5, 2, 3, 4, 2)
a0s <- c(-1, 1, 2, 5, 10)
sig2s <- c(10, 0.2, 0.1, 0.03, 0.01)
phis <- c(0.5, 1, 0.05, 0.01, 0.1)

set.seed(1234)
## Generate reads:
nbData <- getReadMatrix.NB(rep.num, a0s, sig2s, phis)</pre>
```

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para\_cp

Parameter matrix obtained from simData by fitting CPMM.

#### **Description**

Parameter matrix obtained from simData by fitting CPMM.

### Usage

para\_cp

#### **Format**

An object of class matrix with 881 rows and 4 columns.

para\_nb

Parameter matrix obtained from simData by fitting NBMM.

### Description

Parameter matrix obtained from simData by fitting NBMM.

### Usage

para\_nb

### **Format**

An object of class matrix with 881 rows and 3 columns.

simData

A simulated sequencing dataset.

### Description

A matrix containing simulated counts for 881 features (rows) and 175 samples (columns)

#### Usage

simData

#### **Format**

A matrix with 881 rows and 175 columns

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simData\_voom

Voom transformed version of simData.

### Description

Voom transformed version of simData.

## Usage

simData\_voom

#### **Format**

An object of class matrix with 881 rows and 175 columns.

simData\_vst

 ${\it Variance\ stabilize\ transformed\ version\ of\ simData}.$ 

### Description

Variance stabilize transformed version of simData.

### Usage

simData\_vst

### **Format**

An object of class matrix with 881 rows and 175 columns.

strains

List of strain names for the samples.

### Description

List of strain names for the samples.

### Usage

strains

### Format

An object of class character of length 175.

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 $weights\_voom$ 

Weights used in the voom transformation.

## Description

Weights used in the voom transformation.

## Usage

weights\_voom

### **Format**

An object of class matrix with 881 rows and 175 columns.

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