## Preserving Privacy in the Age of Large Language Models

Slides for PhD Course on Generative Al

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## Introduction

### How LLMs are used?

Large Language Models (LLMs) are nowadays widely used by society:







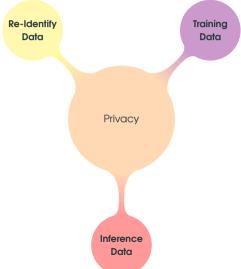
Search Assistant



Healthcare

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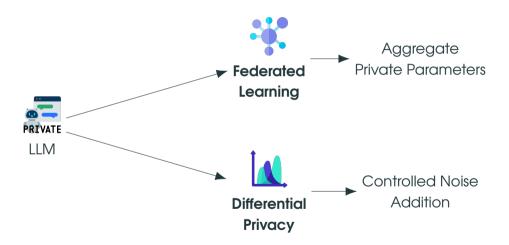
## The "Y" for Privacy in LLMs



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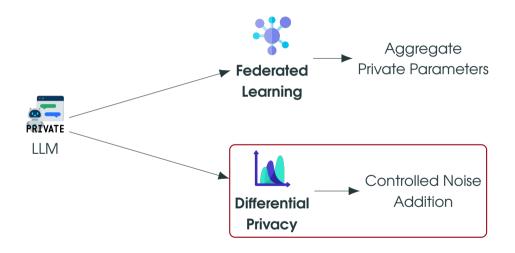
**Related Works & Background** 

## How to provide Privacy



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## How to provide Privacy



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## Differential Privacy (DP)

## $(arepsilon,\delta)$ - DP [5]

A mechanism  $\mathcal{M}$  provides  $(\varepsilon, \delta)$ -**DP** if for all datasets D and D' differing on at most one element, considering the privacy budget  $\varepsilon, \delta \geq 0$ , it holds:

$$\Pr[\mathcal{M}(D) \in S] \le e^{\varepsilon} \Pr[\mathcal{M}(D') \in S] + \delta \quad \forall S \subseteq \text{Range}(\mathcal{M})$$

## $(\varepsilon, \delta)$ - Metric DP [3]

A mechanism  $\mathcal{M}$  provides  $(\varepsilon, \delta)$ -metric **DP** if for all datasets D and D' at a distance d(D, D'), considering the privacy budget  $\varepsilon, \delta \geq 0$ , it holds:

$$\Pr[\mathcal{M}(D) \in S] \le e^{\varepsilon d(D,D')} \Pr[\mathcal{M}(D') \in S] + \delta \quad \forall S \subseteq \mathsf{Range}(\mathcal{M})$$

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#### **DP & LLMs**

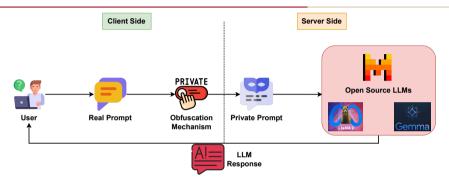
In LLMs privacy when can have three different scenarios on where to use ( $\varepsilon, \delta$ )-DP:

- User input: Obfuscate the real user prompt
- LLM training & fine-tuning: DP-SGD [1, 2] or data sanitization [7]
- LLM **output**: Text generation is "noisy" [9] or sanitized [7]

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Methodology & Setup

## **Prompt Obfuscation: Pipeline & Methods**



DP for Natural Texts Obfuscation  $\Rightarrow$  add noise x to the embeddings  $w_i$ :

- Spherical (CMP) [6]:  $\hat{w}_i = w_i + x$  with  $p_x(z) \propto \exp(-\varepsilon \cdot ||z||_2)$
- Elliptical (Mahalanobis) [10]:  $\hat{w}_i = w_i + x$  with  $p_x(z) \propto \exp(-\varepsilon \cdot ||z||_M)$  where  $z \sim \mathcal{N}(\mathbf{0}, \mathbb{I}_p)$ .

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## **Experimental Setup**

#### Datasets:

- BoolQ Dataset [4]  $\sim$  10k tuples:  $\langle question, title, answer, passage \rangle$
- ullet For the experiment  $\longrightarrow$  40 tuples ( \$\$\$ and time limitations).

#### LLMs:

```
Groq Free API

• LLaMA2-70b-chat
• Mixtral-8x7b-Instruct-v0.1
• Gemma-7b-it
```

#### Obfuscation:

- GloVe Wiki 300d embs.
- $\bullet \ \varepsilon \in \{5, 10, 20, 30, 40, 50\}$

#### Task:

Provide a private prompt to the LLM and evaluate the model's accuracy<sup>1</sup>.

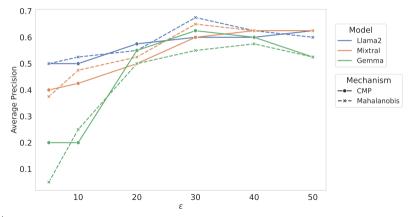
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Code available at https://github.com/Kekkodf/GenAl

# Results Discussion

## **Results - Precision vs Privacy**

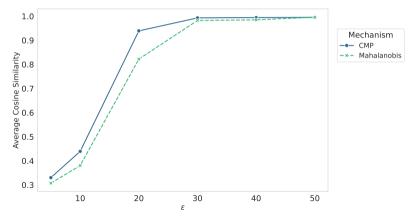
• Measuring the precision in answering possible True/False questions varying the Privacy Budget  $\varepsilon$ . The higher, the better.



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## **Results - Measuring Privacy**

• Used Sentence-BERT [8] to compute the cosine similarity between the original prompt and the obfuscated one. The lower, the better.



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# Future Works

#### **Future Works**

Providing Privacy in LLMs remains an *open problem*!

- Privacy & Hallucinations: A Possible Cocktail?
- Can a LLM Learn the Concept of Privacy?
- Is DP Enough to Preserve Privacy in the Age of LLMs?

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## Thanks for the attention!

Question time:)



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## **Backup Slides**

Privacy & LLMs - Slides for PhD Course on Generative Al

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## Backup 1 - Privacy Related Attacks



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## Backup 2 - Federated Learning in LLMs

## **Privacy in Federated Learning**

Federated Learning preserves data privacy by design as the data remains on users' devices and only model updates are shared with the server.

#### Important works:

- "Federated Large Language Model: A Position Paper", Chen et al., 2023.
- "FATE-LLM: A Industrial Grade Federated Learning Framework for Large Language Models", Fan et al., 2023.
- "Federated Learning of Large Language Models with Parameter-Efficient Prompt Tuning and Adaptive Optimization", Che et al., 2024.