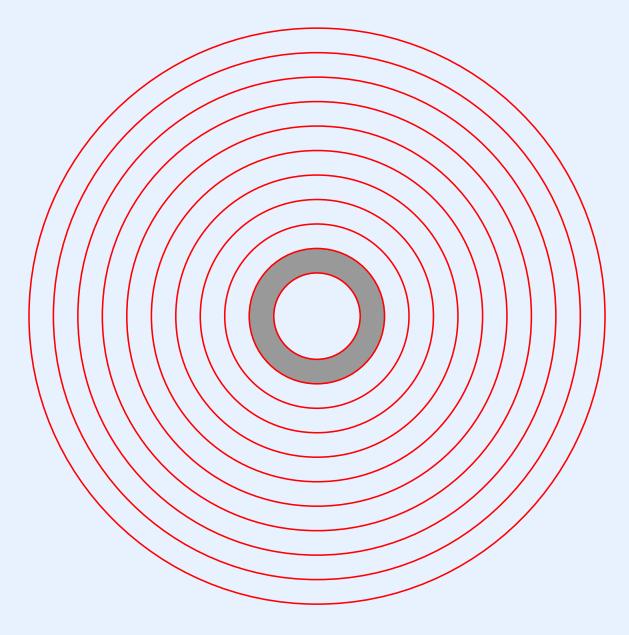
#### **Module V**

# **Low-Level Memory Management Process Creation And Termination**

# Low-Level Memory Management

# **Location Of Low-Level Memory Management In The Hierarchy**



# The Apparent Impossibility Of A Hierarchical OS Design

- A process manager uses the memory manager to allocate space for a process
- A memory manager uses the device manager to page or swap to disk
- A device manager uses the process manager to block and restart processes when they request I/O
- Solution: divide the memory manager into two parts

# The Two Types Of Memory Management

- Low-level memory manager
  - Manages memory within the kernel address space
  - Used to allocate address spaces for processes
  - Treats memory as a single, exhaustible resource
  - Positioned in the hierarchy below process manager
- High-level memory manager
  - Manages pages within a process's address space
  - Positioned in the hierarchy above the device manager
  - Divides memory into abstract resources

# Conceptual Uses Of A Low-Level Memory Manager

- Allocate stack space for a process
  - Performed by the process manager when a process is created
  - The memory manager must include functions to allocate and free stacks
- Allocation of heap storage
  - Performed by the device manager (buffers) and other system facilities
  - The memory manager must include functions to allocate and free heap space

# The Xinu Low-Level Memory Manager

Two functions control allocation of stack storage

```
addr = getstk(numbytes);
freestk(addr, numbytes);
```

• Two functions control allocation of heap storage

```
addr = getmem(numbytes);
freemem(addr, numbytes);
```

- Memory is allocated until none remains
- Only *getmem/freemem* are intended for use by application processes; *getstk/freestk* are restricted to the OS

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# **Well-Known Memory Allocation Strategies**

- Stack and heap can be
  - Allocated from the same free area
  - Allocated from separate free areas
- The memory manager can use a single free list and follow a paradigm of
  - First-fit
  - Best-fit
  - The free list can be circular with a roving pointer
- The memory manager can maintain multiple free lists
  - By exact size (static / dynamic)
  - By range

# Well-Known Memory Allocation Strategies (continued)

- The free list can be kept in a hierarchical data structure (e.g., a tree)
  - Binary sizes of nodes can be used
  - Other sequences of sizes are also possible (e.g., Fibonacci)
- To handle repeated requests for the same size blocks, a cache can be combined with any of the above methods

#### **Practical Considerations**

#### Sharing

- A stack can never be shared
- Multiple processes may share access to a given block allocated from the heap
- Persistence
  - A stack is associated with one process, and is freed when the process exists
  - An item allocated from a heap may persist longer than the process that created it
- Stacks tend to be one size, but heap requests vary in size
- Fragmentation can occur

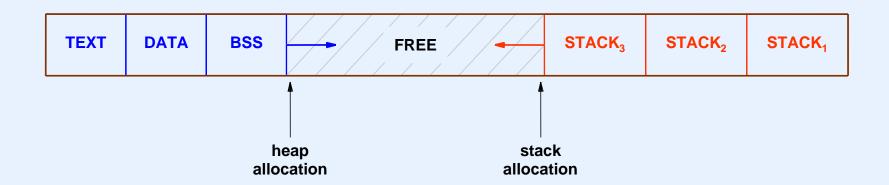
# **Memory Fragmentation**

- Can occur if processes allocate and then free arbitrary-size blocks
- Symptom: after many requests to allocate and free blocks of memory, small blocks of allocated memory exist between blocks of free memory
- The problem: although much of the memory is free, each block on the free list is small
- Example
  - Assume a free memory consists of 1 Gigabyte total
  - A process allocates 1024 blocks of one Megabyte each (1 Gigabyte)
  - The process then frees every other block
  - Although 512 Megabytes of free memory are available, the largest free block is only 1 Megabyte

#### The Xinu Low-Level Allocation Scheme

- All free memory is treated as one resource
- A single free list is used for both heap and stack allocation
- The free list is
  - Ordered by increasing address
  - Singly-linked
  - Initialized at system startup to contain all free memory
- The Xinu allocation policies
  - Heap allocation uses the first-fit approach
  - Stack allocation uses the last-fit approach
  - The design results in two conceptual pools of memory

# **Consequence Of The Xinu Allocation Policy**



- The first-fit policy means heap storage is allocates from lowest part of free memory
- The last-fit policy means stack storage is allocated from the highest part of free memory
- Note: because stacks tend to be uniform size, there is higher probability of reuse and lower probability of fragmentation

# **Protecting Against Stack Overflow**

- Note that the stack for a process can grow downward into the stack for another
- Some memory management hardware supports protection
  - The memory for a process stack is assigned the process's protection key
  - When a context switch occurs the processor protection key is set
  - If a process overflows its stack, hardware will raise an exception
- If no hardware protection is available
  - Mark the top of each stack with a reserved value
  - Check the value when scheduling
  - The approach provides a little protection against overflow

# **Memory Allocation Granularity**

- Facts
  - Memory is byte addressable
  - Some hardware requires alignment
    - \* For process stack
    - \* For I/O buffers
    - \* For pointers
  - Free memory blocks are kept on free list
  - One cannot allocate / free individual bytes
- Solution: choose a minimum granularity and round all requests to the minimum

# **Example Code To Round Memory Requests**

- Note the efficient implementation
  - The size of *memblk* is chosen to be a power of 2
  - The code implements rounding and truncation with bit manipulation

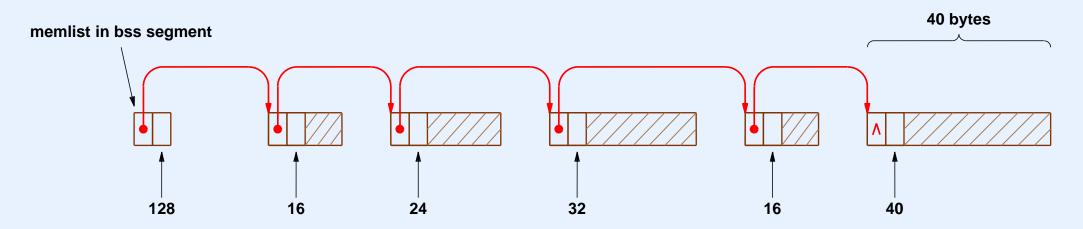
#### The Xinu Free List

- Employs a well-known trick: to link together a list of free blocks, place all pointers in the blocks themselves
- Each block on the list contains
  - A pointer to the next block
  - An integer giving the size of the block
- A fixed location (*memlist* contains a pointer to the first block on the list
- Look again at the definitions in memory.h

#### **Declarations For The Free List**

- Struct *memblk* defines the two items stored in every block
- Variable *memlist* is the head of the free list
- Making the head of the list have the same structure as other nodes reduces special cases in the code

#### **Illustration Of Xinu Free List**



- Free memory blocks are used to store list pointers
- Items on the list are ordered by increasing address
- All allocations rounded to size of struct *memblk*
- The length in *memlist* counts total free memory bytes

# **Allocation Technique**

- Round up the request to a multiple of memory blocks
- Walk the free memory list
- Choose either
  - First free block that is large enough (getmem)
  - Last free block that is large enough (getstk)
- If a free block is larger than the request, extract a piece for the request and leave the part that is left over on the free list

# When Searching The Free List

- Use two pointers that point to two successive nodes on the list
- An invariant is used during the search
  - Pointer curr points to a node on the free list (or NULL)
  - Pointer prev points to the previous node (or memlist)
- The invariant is established initially by making *prev* point to *memblk* and making *curr* point to the item to which *memblk* points
- The invariant must be maintained each time pointers move along the list

#### **Xinu Getmem (Part 1)**

```
/* getmem.c - getmem */
#include <xinu.h>
* getmem - Allocate heap storage, returning lowest word address
* /
char
   *getmem(
             nbytes /* Size of memory requested
      uint32
      intmask mask;
                      /* Saved interrupt mask
                                                      * /
      struct memblk *prev, *curr, *leftover;
     mask = disable();
      if (nbytes == 0) {
           restore(mask);
           return (char *)SYSERR;
```

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#### Xinu Getmem (Part 2)

```
prev = &memlist;
curr = memlist.mnext;
                                       /* Search free list */
while (curr != NULL) {
        if (curr->mlength == nbytes) { /* Block is exact match */
                prev->mnext = curr->mnext;
                memlist.mlength -= nbytes;
                restore(mask);
                return (char *)(curr);
        } else if (curr->mlength > nbytes) { /* Split big block */
                leftover = (struct memblk *)((uint32) curr +
                                nbytes);
                prev->mnext = leftover;
                leftover->mnext = curr->mnext;
                leftover->mlength = curr->mlength - nbytes;
                memlist.mlength -= nbytes;
                restore(mask);
                return (char *)(curr);
        } else
                                        /* Move to next block */
                prev = curr;
                curr = curr->mnext;
restore(mask);
return (char *)SYSERR;
```

# **Splitting A Block**

- Occurs when *getmem* chooses a block that is larger then the requested size
- Getmem performs three steps
  - Compute the address of the piece that will be left over (i.e., the right-hand side of the block)
  - Link the leftover piece into the free list
  - Return the original block to the caller
- Note: the address of the leftover piece is curr + nbytes (the addition must be performed using unsigned arithmetic because the high-order bit may be on)

# **Deallocation Technique**

- Round up the specified size to a multiple of memory blocks (allows the user to specify the same value during deallocation that was used during allocation)
- Walk the free list, using *next* to point to a block on the free list, and *prev* to point to the previous block (or *memlist*)
- Stop when the address of the block being freed lies between *prev* and *next*
- Either: insert the block into the list or handle coalescing

# **Coalescing Blocks**

- The term *coalescing* refers to the opposite of splitting
- Coalescing occurs when a block being freed is adjacent to an existing free block
- Technique: instead of adding the new block to the list, combine the new and existing block into one larger block
- Note: the code must check for coalescing with the preceding block, the following block, or both

#### **Xinu Freemem (Part 1)**

```
/* freemem.c - freemem */
#include <xinu.h>
* freemem - Free a memory block, returning the block to the free list
syscall freemem(
        char
                 *blkaddr, /* Pointer to memory block
       uint32 nbytes /* Size of block in bytes
      intmask mask;
                        /* Saved interrupt mask
                                                          * /
      struct memblk *next, *prev, *block;
      uint32 top;
      mask = disable();
      if ((nbytes == 0) | ((uint32) blkaddr < (uint32) minheap)
                      ((uint32) blkaddr > (uint32) maxheap)) {
            restore(mask);
            return SYSERR;
      block = (struct memblk *)blkaddr;
```

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#### **Xinu Freemem (Part 2)**

```
prev = &memlist;
                                   /* Walk along free list */
next = memlist.mnext;
while ((next != NULL) && (next < block)) {
      prev = next;
       next = next->mnext;
top = (uint32) NULL;
} else {
       top = (uint32) prev + prev->mlength;
/* Ensure new block does not overlap previous or next blocks
if (((prev != &memlist) && (uint32) block < top)</pre>
     ((next != NULL) && (uint32) block+nbytes>(uint32)next)) {
       restore(mask);
       return SYSERR;
memlist.mlength += nbytes;
```

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#### Xinu Freemem (Part 3)

```
/* Either coalesce with previous block or add to free list */
if (top == (uint32) block) { /* Coalesce with previous block */
        prev->mlength += nbytes;
        block = prev;
                                /* Link into list as new node */
} else
        block->mnext = next;
        block->mlength = nbytes;
        prev->mnext = block;
/* Coalesce with next block if adjacent */
if (((uint32) block + block->mlength) == (uint32) next) {
        block->mlength += next->mlength;
       block->mnext = next->mnext;
restore(mask);
return OK;
```

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#### Xinu Getstk (Part 1)

```
/* getstk.c - getstk */
#include <xinu.h>
* getstk - Allocate stack memory, returning highest word address
* /
char
     *getstk(
      uint32 nbytes /* Size of memory requested
                                                           * /
                         /* Saved interrupt mask
      intmask mask;
      struct memblk *prev, *curr; /* Walk through memory list
      struct memblk *fits, *fitsprev; /* Record block that fits
      mask = disable();
      if (nbytes == 0) {
            restore(mask);
            return (char *)SYSERR;
      prev = &memlist;
      curr = memlist.mnext;
      fits = NULL;
```

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#### Xinu Getstk (Part 2)

```
while (curr != NULL) {
                         /* Scan entire list
       if (curr->mlength >= nbytes) { /* Record block address */
               fits = curr;
                                     /* when request fits */
               fitsprev = prev;
       prev = curr;
       curr = curr->mnext;
if (fits == NULL) {
                                      /* No block was found
       restore(mask);
       return (char *)SYSERR;
if (nbytes == fits->mlength) {
                                     /* Block is exact match */
       fitsprev->mnext = fits->mnext;
                                      /* Remove top section */
} else {
       fits->mlength -= nbytes;
       fits = (struct memblk *)((uint32)fits + fits->mlength);
memlist.mlength -= nbytes;
restore(mask);
return (char *)((uint32) fits + nbytes - sizeof(uint32));
```

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#### Xinu Freestk

- Implemented as an inline function
- Technique: convert address from the highest address in block being freed to the lowest address in the block, and call *freemem*

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# **Process Creation And Termination**

#### **Process Creation**

- Process creation and termination use the memory manager
- Creation
  - Allocates a stack for the process being created
  - Fills in process table entry
  - Fills in the process's stack to have a valid frame
- Two design decisions
  - Choose an initial state for the process
  - Choose an action for the case where a process "returns" from the top-level function

# The Xinu Design

- The initial state of a new process
  - A process is created in the suspended state
  - Consequence: execution can only begin after the process is resumed
- Return from top-level function
  - Causes the process to exit (similar to Unix)
  - Implementation: place a "pseudo call" on the stack (make it appear that the top-level function in the process was called)
  - Initialize the return address in the pseudo call to INITRET
- Note: *INITRET* is defined to be function *userret*
- Function *userret* causes the current process to exit

#### **Xinu Function Userret**

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#### The Pseudo Call On An Initial Stack

- Seems straightforward
- Is actually extremely tricky
- The trick: arrange the stack as if the new process was stopped in a call to *ctxsw*
- Several details make it difficult
  - Ctxsw runs with interrupts disabled, but a new process should start with interrupts enabled
  - We must store arguments for the new process so that the top-level function receives them
- We will examine code for process creation after looking at process termination

## **Killing A Process**

- Formally known as *process termination*
- The action taken depends on the state of the process
  - If a process is on a list, it must be removed
  - If a process is waiting on a semaphore, the semaphore count must be adjusted
- In Xinu, function *kill* implements process termination

## **Xinu Implementation Of Kill (Part 1)**

```
/* kill.c - kill */
#include <xinu.h>
* kill - Kill a process and remove it from the system
syscall kill(
       pid32 pid /* ID of process to kill
      intmask mask;
                /* Saved interrupt mask
      struct procent *prptr; /* Ptr to process' table entry */
                            /* Index into descriptors
      int32 i;
      mask = disable();
      if (isbadpid(pid) | (pid == NULLPROC)
         | ((prptr = &proctab[pid])->prstate) == PR_FREE) {
           restore(mask);
            return SYSERR;
      xdone();
```

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## **Xinu Implementation Of Kill (Part 2)**

```
send(prptr->prparent, pid);
freestk(prptr->prstkbase, prptr->prstklen);
switch (prptr->prstate) {
case PR CURR:
       resched();
case PR SLEEP:
case PR RECTIM:
       unsleep(pid);
       prptr->prstate = PR FREE;
       break;
case PR WAIT:
       semtab[prptr->prsem].scount++;
       /* Fall through */
case PR READY:
       getitem(pid);
                            /* Remove from queue */
       /* Fall through */
default:
       prptr->prstate = PR_FREE;
restore(mask);
return OK;
```

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## **Killing The Current Process**

- Look carefully at the code
  - Step 1: free the process's stack
  - Step 2: perform other actions
- Consider what happens when a current process kills itself: the call to *resched* occurs after the process's stack has been freed
- Why does it work?
- Answer: because in Xinu, even after stack has been freed, the memory is still available to the process

#### The Xdone Function

- Function *xdone* is called when the count of user processes reaches zero
- Nothing further will happen only the null process remains running
- The function prints a warning message for the user

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# **The Steps For Process Creation**

- Allocate a process table entry
- Allocate a stack
- Place values on the stack as if the top-level function was called (pseudo-call)
- Arrange the saved state on the stack so context switch can switch to the process
- Details depend on
  - The hardware and calling conventions
  - The way context switch is written
- Consider example code for ARM and x86 processors

## **Process Creation On ARM (Part 1)**

```
/* create.c - create, newpid */
#include <xinu.h>
local int newpid();
#define roundew(x) ((x+3)& \sim 0x3)
 * create - create a process to start running a procedure
pid32
    create(
       void *procaddr, /* procedure address
       uint32 ssize, /* stack size in bytes
                priority, /* process priority > 0
       pri16
                             /* name (for debugging)
/* number of args that follow
       char
                 *name,
       uint32
                  nargs,
                mask; /* interrupt mask
      intmask
                             /* stores new process id
      pid32
                  pid;
                             /* pointer to proc. table entry */
      struct procent *prptr;
      int32
                  i;
      uint32
                             /* points to list of args
                  *a;
      uint32
                  *saddr;
                              /* stack address
```

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#### **Process Creation On ARM (Part 2)**

```
mask = disable();
if (ssize < MINSTK)
        ssize = MINSTK;
ssize = (uint32) roundew(ssize);
if (((saddr = (uint32 *)getstk(ssize)) ==
    (uint32 *)SYSERR ) ||
    (pid=newpid()) == SYSERR | priority < 1 ) {</pre>
        restore(mask);
        return SYSERR;
prcount++;
prptr = &proctab[pid];
/* initialize process table entry for new process */
prptr->prstate = PR SUSP; /* initial state is suspended
prptr->prprio = priority;
prptr->prstkbase = (char *)saddr;
prptr->prstklen = ssize;
prptr->prname[PNMLEN-1] = NULLCH;
for (i=0; i<PNMLEN-1 && (prptr->prname[i]=name[i])!=NULLCH; i++)
prptr->prsem = -1;
prptr->prparent = (pid32)getpid();
prptr->prhasmsq = FALSE;
```

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#### **Process Creation On ARM (Part 3)**

```
/* set up initial device descriptors for the shell
prptr->prdesc[0] = CONSOLE;  /* stdin is CONSOLE device
prptr->prdesc[1] = CONSOLE;  /* stdout is CONSOLE device
prptr->prdesc[2] = CONSOLE; /* stderr is CONSOLE device
/* Initialize stack as if the process was called
                                                                * /
*saddr = STACKMAGIC;
/* push arguments */
a = (uint32 *)(\&nargs + 1); /* start of args
a += nargs -1; /* last argument
for (; nargs > 4; nargs--) /* machine dependent; copy args */
        *--saddr = *a--; /* onto created process's stack */
*--saddr = (long)procaddr;
for(i = 11; i >= 4; i--)
        *--saddr = 0;
for(i = 4; i > 0; i--) {
        if(i <= narqs)
                *--saddr = *a--;
        else
                *--saddr = 0;
*--saddr = (long)INITRET; /* push on return address
*--saddr = (long)0x00000053; /* CPSR F bit set,
                                /* Supervisor mode
prptr->prstkptr = (char *)saddr;
restore(mask);
return pid;
```

#### **Process Creation On ARM (Part 4)**

```
* newpid - Obtain a new (free) process ID
local pid32 newpid(void)
                           /* iterate through all processes*/
       uint32 i;
       static pid32 nextpid = 1; /* position in table to try or */
                                    /* one beyond end of table */
       /* check all NPROC slots */
       for (i = 0; i < NPROC; i++) {
              nextpid %= NPROC; /* wrap around to beginning */
              if (proctab[nextpid].prstate == PR_FREE) {
                      return nextpid++;
               } else {
                     nextpid++;
       return (pid32) SYSERR;
```

#### **Process Creation On X86 (Part 1)**

```
/* create.c - create, newpid */
#include <xinu.h>
local int newpid();
* create - Create a process to start running a function on x86
pid32 create(
      void *funcaddr, /* Address of the function
      uint32 ssize, /* Stack size in bytes
      pril6 priority, /* Process priority > 0
              *name, /* Name (for debugging)
       char
                nargs, /* Number of args that follow
       uint32
     uint32 savsp, *pushsp;
                 mask; /* Interrupt mask
     intmask
                 pid; /* Stores new process id
     pid32
     struct procent *prptr; /* Pointer to proc. table entry */
     int32
                 i;
                *a; /* Points to list of args
     uint32
                *saddr; /* Stack address
     uint32
```

#### **Process Creation On X86 (Part 2)**

```
mask = disable();
if (ssize < MINSTK)
        ssize = MINSTK;
ssize = (uint32) roundmb(ssize);
if ( (priority < 1) || ((pid=newpid()) == SYSERR) ||</pre>
     ((saddr = (uint32 *)getstk(ssize)) == (uint32 *)SYSERR) ) {
        restore(mask);
        return SYSERR;
prcount++;
prptr = &proctab[pid];
/* Initialize process table entry for new process */
prptr->prstate = PR SUSP; /* Initial state is suspended
prptr->prprio = priority;
prptr->prstkbase = (char *)saddr;
prptr->prstklen = ssize;
prptr->prname[PNMLEN-1] = NULLCH;
for (i=0; i<PNMLEN-1 && (prptr->prname[i]=name[i])!=NULLCH; i++)
prptr->prsem = -1;
prptr->prparent = (pid32)getpid();
prptr->prhasmsq = FALSE;
```

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#### **Process Creation On X86 (Part 3)**

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#### **Process Creation On X86 (Part 4)**

```
/* The following entries on the stack must match what ctxsw
  expects a saved process state to contain: ret address,
    ebp, interrupt mask, flags, registers, and an old SP
                                                              * /
*--saddr = (long)funcaddr;
                               /* Make the stack look like it's*/
                               /* half-way through a call to */
                               /* ctxsw that "returns" to the */
                               /* new process
*--saddr = savsp;
                              /* This will be register ebp
                              /* for process exit
                              /* Start of frame for ctxsw
savsp = (uint32) saddr;
*--saddr = 0x00000200;
                              /* New process runs with
                               /* interrupts enabled
/* Basically, the following emulates an x86 "pushal" instruction*/
*--saddr = 0;
                               /* %eax */
*--saddr = 0;
                              /* %ecx */
*--saddr = 0;
                              /* %edx */
                             /* %ebx */
*--saddr = 0;
*--saddr = 0;
                            /* %esp; value filled in below
                           /* Remember this location
pushsp = saddr;
                            /* %ebp (while finishing ctxsw) */
*--saddr = savsp;
                            /* %esi */
*--saddr = 0;
*--saddr = 0;
                             /* %edi */
*pushsp = (unsigned long) (prptr->prstkptr = (char *)saddr);
restore(mask);
return pid;
```

#### **Process Creation On X86 (Part 5)**

```
* newpid - Obtain a new (free) process ID
local pid32 newpid(void)
                          /* Iterate through all processes*/
       uint32 i;
       static pid32 nextpid = 1; /* Position in table to try or */
                                    /* one beyond end of table */
       /* Check all NPROC slots */
       for (i = 0; i < NPROC; i++) {
              nextpid %= NPROC; /* Wrap around to beginning */
              if (proctab[nextpid].prstate == PR_FREE) {
                      return nextpid++;
               } else {
                     nextpid++;
       return (pid32) SYSERR;
```

#### **An Assessment Of Process Creation**

- Process creation code is among the most difficult code to understand
- One must know
  - The hardware architecture
  - The function calling conventions
  - The way *ctxsw* chooses to save state
  - How interrupts are handled
- As you struggle to understand it, imagine trying to write such code

# **Summary**

- To preserve a multi-level hierarchy, the memory manager is divided into two pieces
  - A low-level manager is used in kernel to allocate address spaces
  - A high-level manager is used to handle abstractions of virtual memory and paging within a process's address space
- The Xinu low-level manager offers two types of allocation
  - Memory for a process stack
  - Memory from the heap
- Stack requests tend to repeat the same size

# **Summary** (continued)

- The Xinu low-level memory manager
  - Places all free memory on a single list
  - Rounds all requests to multiples of struct memblk
  - Uses first-fit allocation for heap requests and last-fit allocation for stack requests
- Process creation and termination use the memory manager to allocate and free process stacks
- *Create* handcrafts an initial stack as if the top-level function had been called; the stack includes a return address given by constant *INITRET*

