

Database Management Systems


Chapter 1

Instructor: Walid G. Aref

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
CS44800 Versus CS34800

- ❖ Consider the difference between the following two courses:
 - How to program using Java?
 - How to implement a Java compiler?
- ❖ CS34800:
 - How to program using databases?
- ❖ CS44800:
 - How to implement the engine for a database management system?

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CS44800 Versus CS34800 (Cont'd)

- ❖ **CS34800: Information Systems**
 - From a developer's/database programmer point of view
- ❖ **CS44800: Introduction to Relational Database Management Systems**
 - Under-the-hood stuff
 - How to build your own SQL Server system, Oracle, or IBM DB2



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CS 34800: Information Systems (Developer/Programmer)



- ❖ The Relational Model and its Underlying Algebra
- ❖ SQL and Other Relational Languages
- ❖ Database Modeling and Design
- ❖ Integrity, Privacy, and Security in Relational Data Systems
- ❖ Normalization Theory
- ❖ Object-Relational, XML, and Semantic Web Databases
- ❖ Transaction Management, the ACID Properties, and Concurrency Control
- ❖ Data Analysis and Mining, and Information Retrieval
- ❖ Graph Databases

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CS 44800: Introduction to Relational Database Management Systems (Under-the-Hood)



- ❖ Big project component:
 - Building your own mini-relational DBMS
- ❖ The Relational Model and SQL Relational Algebra and Calculus
- ❖ Disk and Buffer Management and Realizing a Vanilla Relation
- ❖ Disk-based Indexing Techniques (B+-trees and Hash tables)
- ❖ Query Evaluation Pipelines and Query Processing Techniques
- ❖ Query Optimization
- ❖ Concurrency Control Techniques
- ❖ Crash Recovery and Transaction Abortion Techniques
- ❖ Parallel and Distributed Query Processing Algorithms



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CS44800



- ❖ Will focus on the implementation techniques for relational database engines
- ❖ Let us study the course web site:
 - <http://www.cs.purdue.edu/homes/aref/Spring2020CS448/coursehome.html>

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What Is a DBMS?



- ❖ A very large, integrated collection of data.
- ❖ Models real-world enterprise.
 - Entities (e.g., students, courses)
 - Relationships (e.g., Madonna is taking CS564)
- ❖ A Database Management System (DBMS) is a software package designed to store and manage databases.

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Files vs. DBMS



- ❖ Application must stage large datasets between main memory and secondary storage (e.g., buffering, page-oriented access, 64-bit addressing, etc.)
- ❖ Special code for different queries
- ❖ Must protect data from inconsistency due to multiple concurrent users
- ❖ Crash recovery
- ❖ Security and access control

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Why Use a DBMS?



- ❖ Data independence and efficient access
- ❖ Reduced application development time
- ❖ Data integrity and security
- ❖ Uniform data administration
- ❖ Concurrent access, recovery from crashes

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data independence: data structure will not
effect application

Data Models

- ❖ A data model is a collection of concepts for describing data.
- ❖ A schema is a description of a particular collection of data, using the a given data model.
- ❖ The relational model of data is the most widely used model today.
 - Main concept: relation, basically a table with rows and columns.
 - Every relation has a schema, which describes the columns, or fields.

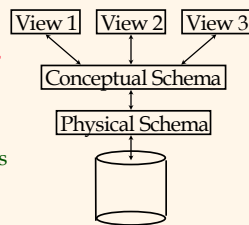
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Levels of Abstraction

- ❖ Many views, single conceptual (logical) schema and physical schema.

- Views describe how users see the data.
- Conceptual schema defines logical structure
- Physical schema describes the files and indexes used.

➤ Schemas are defined using DDL; data is modified/queried using DML.



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Example: University Database

- ❖ Conceptual schema:
 - *Students*(sid: string, name: string, login: string, age: integer, gpa: real)
 - *Courses*(cid: string, cname: string, credits: integer)
 - *Enrolled*(sid: string, cid: string, grade: string)
- ❖ Physical schema:
 - Relations stored as unordered files.
 - Index on first column of Students.
- ❖ External Schema (View):
 - *Course_info*(cid: string, enrollment: integer)

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Data Independence *



- ❖ Applications insulated from how data is structured and stored.
- ❖ Logical data independence: Protection from changes in *logical* structure of data.
- ❖ Physical data independence: Protection from changes in *physical* structure of data.

➡ One of the most important benefits of using a DBMS!

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Concurrency Control



- ❖ Concurrent execution of user programs is essential for good DBMS performance.
 - Because disk accesses are frequent, and relatively slow, it is important to keep the cpu humming by working on several user programs concurrently.
- ❖ Interleaving actions of different user programs can lead to inconsistency: e.g., check is cleared while account balance is being computed.
- ❖ DBMS ensures such problems don't arise: users can pretend they are using a single-user system.

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Transaction: An Execution of a DB Program



- ❖ Key concept is transaction, which is an atomic in one step, either done or not sequence of database actions (reads/writes).
- ❖ Each transaction, executed completely, must leave the DB in a consistent state if DB is consistent when the transaction begins.
 - Users can specify some simple integrity constraints on the data, and the DBMS will enforce these constraints.
 - Beyond this, the DBMS does not really understand the semantics of the data. (e.g., it does not understand how the interest on a bank account is computed).
 - Thus, ensuring that a transaction (run alone) preserves consistency is ultimately the user's responsibility!

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Scheduling Concurrent Transactions



- ❖ DBMS ensures that execution of $\{T_1, \dots, T_n\}$ is equivalent to some serial execution $T_1' \dots T_n'$.
 - Before reading/writing an object, a transaction requests a lock on the object, and waits till the DBMS gives it the lock. All locks are released at the end of the transaction. (Strict 2PL locking protocol.)
 - **Idea:** If an action of T_i (say, writing X) affects T_j (which perhaps reads X), one of them, say T_i , will obtain the lock on X first and T_j is forced to wait until T_i completes; this effectively orders the transactions.
 - What if T_j already has a lock on Y and T_i later requests a lock on Y ? (**Deadlock!**) T_i or T_j is aborted and restarted!

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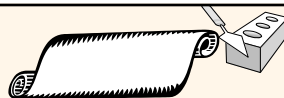
Ensuring Atomicity



- ❖ DBMS ensures atomicity (all-or-nothing property) even if system crashes in the middle of a Xact.
- ❖ **Idea:** Keep a log (history) of all actions carried out by the DBMS while executing a set of Xacts:
 - **Before** a change is made to the database, the corresponding log entry is forced to a safe location. (WAL protocol; OS support for this is often inadequate.)
 - After a crash, the effects of partially executed transactions are undone using the log. (Thanks to WAL, if log entry wasn't saved before the crash, corresponding change was not applied to database!)

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The Log




- ❖ The following actions are recorded in the log:
 - **T_i writes an object:** The old value and the new value.
 - Log record must go to disk before the changed page!
 - **T_i commits/aborts:** A log record indicating this action.
- ❖ Log records chained together by Xact id, so it's easy to undo a specific Xact (e.g., to resolve a deadlock).
- ❖ Log is often *duplexed* and *archived* on "stable" storage.
- ❖ All log related activities (and in fact, all CC related activities such as lock/unlock, dealing with deadlocks etc.) are handled transparently by the DBMS.

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Databases make these folks happy ...

- ❖ End users and DBMS vendors
- ❖ DB application programmers
 - E.g., smart webmasters
- ❖ Database administrator (DBA)
 - Designs logical / physical schemas
 - Handles security and authorization
 - Data availability, crash recovery
 - Database tuning as needs evolve

Must understand how a DBMS works!

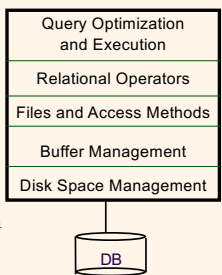


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Structure of a DBMS

- ❖ A typical DBMS has a layered architecture.
- ❖ The figure does not show the concurrency control and recovery components.
- ❖ This is one of several possible architectures; each system has its own variations.




These layers must consider concurrency control and recovery

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Summary

- ❖ DBMS used to maintain, query large datasets.
- ❖ Benefits include recovery from system crashes, concurrent access, quick application development, data integrity and security.
- ❖ Levels of abstraction give data independence.
- ❖ A DBMS typically has a layered architecture.
- ❖ DBAs hold responsible jobs and are **well-paid!** 😊
- ❖ DBMS R&D is one of the broadest, most exciting areas in CS.



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