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UNIVERSITY

DEPARTMENT OF ENGINEERING

Test of Distributed Systems

Lecture 2

Correctness and Concurrency:

Interleaving

Interference

Atomicity



07/04/14



Today's lecture

- Sequential programming
- Concurrent programming
- Interleaving
- Interference and non-determinism
- Atomicity
- Synchronization
- Blocking
- Semaphores



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Testing of a sequential program

- Specification
 - The integer logarithm program:
 $k = \log n$
if and only if
 $2^k \leq n \leq 2^{k+1}$
- Model and test the program in SPIN.
- Used assertions to state
 - Pre and post conditions
 - Expected properties of intermediate states



Skeleton of a program

- mostly C-syntax
- `int k = 0; /* global variable */`
- `active proctype P() { /* process */`
 ...
}



Conditional

- if
 :: branch1
 :: branch2
 ...
 :: else ...
fi



Loop

- do
 :: branch1
 :: branch2
 ...
 :: else ...
od



Expressions and Assertions

- expressions are statements
e.g., $x > 0$
- assertions are written
assert *expression*
e.g., assert $x > 0$



Concurrency vs distribution

- A ***concurrent*** program is composed of ***processes*** that may communicate by means of ***shared variables***
- Contrast: a ***distributed*** program is composed of ***processes*** that can only ***communicate*** by means of dedicated ***channels***



A simple concurrent program 1

```
byte n=3;
```

```
active proctype P() {  
    n=0;  
    printf("Process P, n = %d\n", n)  
}
```

```
active proctype Q() {  
    n=2;  
    printf("Process Q, n = %d\n", n)  
}
```

Add
pre- and post-
conditions
to P() and Q()



A simple concurrent program 2

byte n=3;

```
active proctype P() {  
    n=0;  
    printf("Process P, n = %d\n", n)  
}
```

```
active proctype Q() {  
    n=n+2;  
    printf("Process Q, n = %d\n", n)  
}
```

Add
pre- and post-
conditions
to P() and Q()

What is the
final value of
variable n?



A simple concurrent program 3

```
byte n=3;
```

```
active proctype P() {  
    n=0;  
    printf("Process P, n = %d\n", n)  
}
```

```
active proctype Q() {  
    n=n+1; n=n+1;  
    printf("Process Q, n = %d\n", n)  
}
```

Add
pre- and post-
conditions
to P() and Q()

What is the
final value of
variable n?



Interleaving of processes

- How are P() and Q() executed?
 - Execution of P() and Q() is alternated arbitrarily, for example looking at program 1:
P(): n=0
Q(): n=2
Q(): **printf**("Process Q, n = %d\n", n)
P(): **printf**("Process P, n = %d\n", n)
 - Six possible executions
 - The alternating execution is called ***interleaving***



Interleaving, more formally

- An **interleaved computation** of two processes P and Q is a sequence of states

$$(s_0, s_1, s_2, \dots)$$

where s_{j+1} follows s_j in the sequence

if s_{j+1} is obtained by executing in state s_j the statement at the location counter of P or Q



Interference

- ***What is the difference*** between program 1, program 2 and program 3?
 - Program 1 and program 2 yield the same result
 - Program 3 may yield additionally ``n=1''
 - In all cases the result whether 0,1 or 2 is computed **non-deterministically**:
it depends on the **execution order** in the interleaved computation sequence which is **arbitrary**
- Can we make program 3 behave similarly to programs 1 and 2?



Atomicity

- Interleaving is limited by the (smallest) units of a program that are executed atomically
- In Promela all ***statements are atomic***
 - Attention: expressions are statements in Promela!

if

:: a != 0 ->

c = b / a

:: else ->

c = b

fi



Introducing Atomicity

Program 2:

```
byte n=3;
```

```
active proctype P() {  
    n=0;  
    printf("Process P, n = %d\n", n)  
}
```

```
active proctype Q() {  
    n=n+2;  
    printf("Process Q, n = %d\n", n)  
}
```

Program 3:

```
byte n=3;
```

```
active proctype P() {  
    n=0;  
    printf("Process P, n = %d\n", n)  
}
```

```
active proctype Q() {  
    n=n+1; atomic { n=n+1; n=n+1; }  
    printf("Process Q, n = %d\n", n)  
}
```



More on Atomicity

- How to make “ $a \neq 0 \rightarrow c = b / a$ ” atomic?

if

:: atomic { $a \neq 0 \rightarrow$

$c = b / a$ **}**

:: else ->

$c = b$

fi



More on interference

```
byte n=0;
```

```
active proctype P() {  
    byte temp;  
    temp=n+1;  
    n = temp;  
    printf("Process P, n = %d\n", n)  
}
```

```
active proctype Q() {  
    byte temp;  
    temp=n+1;  
    n = temp;  
    printf("Process Q, n = %d\n", n)  
}
```

Add
pre- and post-
conditions
to P() and Q()

What is the
final value of
variable n?



Notation for sets of processes

- We can write the preceding more concisely

```
byte n=0;
```

```
active [2] proctype P() {  
    byte temp;  
    temp=n+1;  
    n = temp;  
    printf("Process P, n = %d\n", n)  
}
```



What is the final range of n?

```
byte n = 0;
```

```
proctype P() {
  byte temp;
  byte i;
  i = 1;
  do
    :: i > 10 -> break
    :: else ->
      temp = n+1;
      n = temp;
      i = i+1
  od
}
```

```
init {
  atomic {
    run P();
    run P()
  }

  (_nr_pr == 1) ->
    printf("The value is %d\n", n)
}
```

(0, 1, 2, 3, 4, 5, 6, 7, 8, 9, **1**, 2, 3, 4, 5, 6, 7, 8, 9, 10, **2**)



Correctness: critical sections

- Processes are divided in ***critical*** sections (CS) and ***noncritical*** sections (NS)
- A process may halt in its NS, but not in its CS
- ***Correctness properties*** to be verified:
 - **Mutual exclusion (ME)**: At most one process is executing its CS at any time
 - **Absence of deadlock (AD)**: Whenever some processes attempt to enter their CS, one of them succeeds
 - **Absence of starvation (AS)**: Any process attempting to enter its CS eventually succeeds



Example: critical sections

bool wantP = false, wantQ = false;

```
active proctype P() {  
  do  
  :: printf("Noncritical section P\n");  
    wantP = true;  
    printf("Critical section P\n");  
    wantP = false  
  od  
}
```

```
active proctype Q() {  
  do  
  :: printf("Noncritical section Q\n");  
    wantQ = true;  
    printf("Critical section Q\n");  
    wantQ = false  
  od  
}
```

How can we verify ME?



Answer: use ghost variables

```
bool wantP = false, wantQ = false;  
byte critical = 0;
```

```
active proctype P() {  
  do  
  :: printf("Noncritical section P\n");  
    wantP = true;  
    critical++;  
    printf("Critical section P\n");  
    assert (critical <= 1);  
    critical--;  
    wantP = false  
  od  
}
```

```
active proctype Q() {  
  do  
  :: printf("Noncritical section Q\n");  
    wantQ = true;  
    critical++;  
    printf("Critical section Q\n");  
    assert (critical <= 1);  
    critical--;  
    wantQ = false  
  od  
}
```




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- Semaphores



Critical section with *busy-waiting*

```
bool wantP = false, wantQ = false;
```

```
active proctype P() {  
  do  
    :: printf("Noncritical section P\n");  
    wantP = true;  
    do  
      :: !wantQ -> break  
      :: else -> skip  
    od;  
    printf("Critical section P\n");  
    wantP = false  
  od  
}
```

```
active proctype Q() {  
  do  
    :: printf("Noncritical section Q\n");  
    wantQ = true;  
    do  
      :: !wantP -> break  
      :: else -> skip  
    od;  
    printf("Critical section Q\n");  
    wantQ = false  
  od  
}
```



Critical section with *blocking*

```
bool wantP = false, wantQ = false;
```

```
active proctype P() {  
  do  
    :: printf("Noncritical section P\n");  
    wantP = true;  
    do  
      :: !wantQ -> break  
    od;  
    printf("Critical section P\n");  
    wantP = false  
  od  
}
```

```
active proctype Q() {  
  do  
    :: printf("Noncritical section Q\n");  
    wantQ = true;  
    do  
      :: !wantP -> break  
    od;  
    printf("Critical section Q\n");  
    wantQ = false  
  od  
}
```



Critical section with *blocking*

```
bool wantP = false, wantQ = false;
```

```
active proctype P() {  
  do  
    :: printf("Noncritical section P\n");  
    wantP = true;  
    !wantQ;  
    printf("Critical section P\n");  
    wantP = false  
  od  
}
```

```
active proctype Q() {  
  do  
    :: printf("Noncritical section Q\n");  
    wantQ = true;  
    !wantP;  
    printf("Critical section Q\n");  
    wantQ = false  
  od  
}
```



Critical section with *blocking*

```

1.  bool wantP = false, wantQ = false;
2.
3.  active proctype P() {
4.      do :: wantP = true;
5.          !wantQ;
6.          wantP = false
7.      od
8.  }
9.
10. active proctype Q() {
11.     do :: wantQ = true;
12.         !wantP;
13.         wantQ = false
14.     od
15. }
```

Explore reachable states, use the *state transition diagram*:

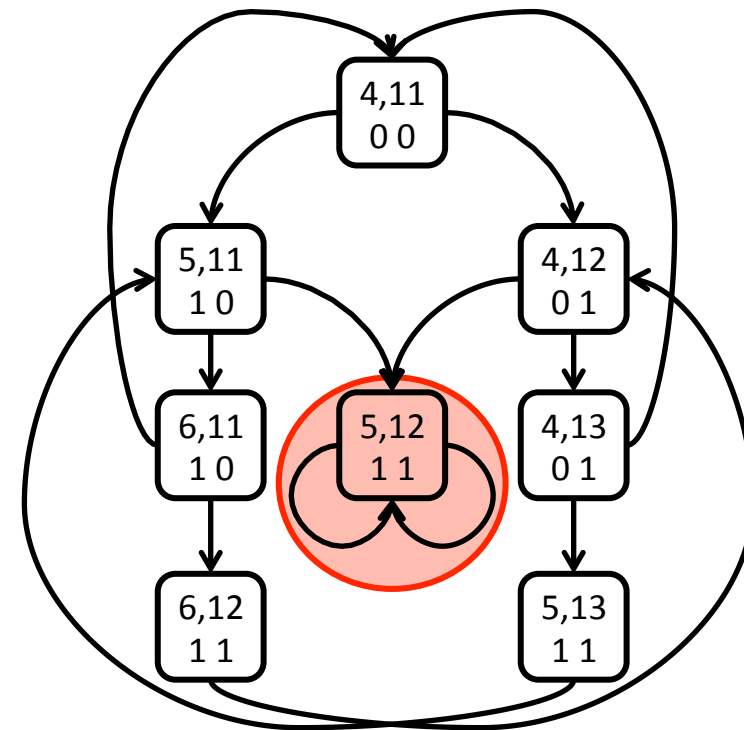
- ① Let $S = \{s_0\}$ where s_0 is the initial state; mark s_0 unexplored.
- ② For each unexplored state $s \in S$, let t be a state that results from executing an executable statement in state s ; if $t \notin S$, add t to S and mark it unexplored, mark s as explored.
- ③ Terminate when all states in S are marked explored.

Critical section with *blocking*

```

1.  bool wantP = false, wantQ = false;
2.
3.  active proctype P() {
4.    do :: wantP = true;
5.        !wantQ;
6.    ★ wantP = false
7.  od
8. }
9.
10. active proctype Q() {
11.  do :: wantQ = true;
12.      !wantP;
13.  ★ wantQ = false
14. od
15. }

```



Deadlock!



Critical section with *blocking*

```
1.  bool wantP = false, wantQ = false;
2.
3.  active proctype P() {
4.      do :: wantP = true;
5.          !wantQ;
6.          wantP = false
7.      od
8.  }
9.
10. active proctype Q() {
11.     do :: wantQ = true;
12.         !wantP;
13.         wantQ = false
14.     od
15. }
```

What can we do?

Use atomic sequence of statements.

Where?



Critical section with *blocking*

```
1.  bool wantP = false, wantQ = false;
2.
3.  active proctype P() {
4.      do :: atomic { !wantQ;
5.                  wantP = true };
6.          wantP = false
7.      od
8.  }
9.
10. active proctype Q() {
11.     do :: atomic { !wantP;
12.                 wantQ = true };
13.         wantQ = false
14.     od
15. }
```




Semaphores

- A ***semaphore*** is a construct for synchronizing concurrent programs
- It is a variable of type **byte** with two atomic operations:
 - wait(sem): *the operation is executable when the value of sem is positive; it decrements the value of sem.*
 - signal(sem): *the operation is always executable; it increments the value of sem.*



Critical section with *semaphore*

```
byte sem = 1;
```

```
active proctype P() {  
  do ::  
    printf("Noncritical section P\n");  
    atomic { /* wait(sem) */  
      sem > 0;  
      sem--  
    };  
    printf("Critical section P\n");  
    sem++ /* signal(sem) */  
  od  
}
```

```
active proctype Q() {  
  do ::  
    printf("Noncritical section Q\n");  
    atomic { /* wait(sem) */  
      sem > 0;  
      sem--  
    };  
    printf("Critical section Q\n");  
    sem++ /* signal(sem) */  
  od  
}
```



Analyse, improve and document:

```
bool turn, flag[2];
```

```
byte ncrit;
```

```
active [2] proctype user() {  
    assert _pid == 0 || _pid == 1;  
    again:  
    flag[_pid] = 1;  
    turn = _pid;  
    flag[1 - _pid] == 0 || turn == 1 - _pid;  
    ncrit++;  
    assert ncrit == 1;  
    ncrit--;  
    flag[_pid] = 0;  
    goto again  
}
```