

# Minimum Spanning Tree

Reading: CLS Chapter 23; Erikson Chapter 7

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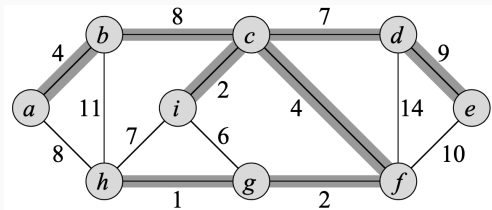
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ECS 122A Algorithm Design and Analysis – Winter 2021

# Minimum Spanning Tree (MST)

- Undirected connected weight graph  $G = (V, E, w)$
- **Spanning tree**  $T \subseteq E$ : a tree  $T$  that connects all vertices; “spans” the graph
- **Minimum spanning tree (MST)**  $T \subseteq E$ :  
 $w(T) = \sum_{(u,v) \in T} w(u, v)$  is minimized

Example:  $w(T) = 37$



# Distinct Edge Weight(s)

- MST is not necessarily unique.
- Special case: Consider a graph in which every edge has weight 1. Then every spanning tree is a MST with weight  $|V| - 1$ .
- If all edge weights in a connected graph  $G$  are distinct, then  $G$  has a unique MST.
- For simplicity in theory, **assume all edge weights distinct**, and therefore, has a unique MST.

# Generic MST Algorithm

- Greedy algorithm: grows the MST  $A$  one edge at a time – safe edge
- Maintain an invariant:  $A$  is a subset of some MST
- **Safe edge**: an edge of minimum-weight that can be added to  $A$  without violating the invariant

GENERIC-MST( $G, w$ )

```
1   $A = \emptyset$ 
2  while  $A$  does not form a spanning tree
3      find an edge  $(u, v)$  that is safe for  $A$ 
4       $A = A \cup \{(u, v)\}$ 
5  return  $A$ 
```

# Proving the Two Properties of a Greedy Algorithm

## 1. Suboptimality: A MST contains MSTs.

Let  $T$  be a MST of  $G = (V, E, w)$ . Removing  $(u, v)$  of  $T$  partitions  $T$  into two trees  $T_1$  and  $T_2$ . Then  $T_1$  is a MST of  $G_1 = (V_1, E_1)$  and  $T_2$  is a MST of  $G_2 = (V_2, E_2)$ .

*Sketch of Proof:*

$$w(T) = w(T_1) + w(u, v) + w(T_2)$$

There cannot be a better subtree than  $T_1$  or  $T_2$ , otherwise  $T$  would not be optimal.

# Proving the Two Properties of a Greedy Algorithm

## 2. Greedy choice

Let  $T$  be a MST of  $G = (V, E, w)$ ,  $A \subseteq T$  a subtree of  $T$ , and  $(u, v)$  a minimum-weight edge in  $G$  connecting the subgraphs induced by  $A$  and  $T - A$ . Then  $(u, v) \in T$ .

*Sketch of Proof:*

If  $(u, v) \notin T$ , then

- $(u, v) \cup T$  forms a cycle;
- replacing one of the edges of  $T$  by  $(u, v)$  forms a new tree  $T'$ ;
- this is contradiction to  $T$  being a MST.

# Prim's Algorithm

- Starts from an arbitrary root vertex  $r$  and grows until the tree  $A$  spans all the vertices
- $v.key$ : min weight of any edge connecting  $v$  to a vertex in  $A$
- $v.\pi$ : the parent of  $v$  in  $A$
- Data structure: priority queue

# Prim's Algorithm

MST-PRIM( $G, w, r$ )

```
1  for each  $u \in G.V$ 
2       $u.key = \infty$ 
3       $u.\pi = \text{NIL}$ 
4   $r.key = 0$ 
5   $Q = G.V$ 
6  while  $Q \neq \emptyset$ 
7       $u = \text{EXTRACT-MIN}(Q)$ 
8      for each  $v \in G.Adj[u]$ 
9          if  $v \in Q$  and  $w(u, v) < v.key$ 
10              $v.\pi = u$ 
11              $v.key = w(u, v)$ 
```



# Prim's Algorithm: Example

