# 50.004 2D Submission / Group No. 30

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Implementation Details and Algorithm Analysis

- 1 Parse a 2-SAT file in Conjunctive Normal Form
- 2 Construct the Implication Graph
- 3 Find Strongly Connected Components
- 4 Check Satisfiability
- 5 Get a Satisfiable Solution

Summary

Bonus: Random 2-SAT Solver

**Runtime Comparison** 

Our 2-SAT Solver is fully implemented in Python. Source code available on GitHub.

# **Implementation Details and Algorithm Analysis**

Our Algorithm solves the 2-SAT problem in linear time, which mainly consists of the following 5 steps:

- 1. Parse a 2-SAT file in Conjunctive Normal Form
- 2. Construct the Implication Graph
- 3. Find the Strongly Connected Components (Kosaraju's algorithm)
- 4. Check the Satisfiability
- 5. Get a satisfiable solution

Each of these steps will be explained in detail in the following sections.

# 1 - Parse a 2-SAT file in Conjunctive Normal Form

### Representation of 2-SAT problem in Python:

```
Literal = int
Clause = Tuple[Literal]
Formula = List[Clause]
```

#### Literals:

- Integer x represents a variable
- Positive Integer -> Positive Literal
- Negative Integer -> Negation of Literal

#### Clause:

• Since every Clause will always has a length of 2, we use the immutable Tuple containing only 2 Integers to represent each Clause.

#### Formula:

• Formula is simply a list of Clauses.

### A sample of CNF file input:

```
1 c sample cnf
2 c 2-sat
3 p cnf 4 6
4 1 4 0
5 1 -2 0
6 -1 2 0
7 2 3 0
8 4 2 0
9 2 1 0
10 -1 3 0
```

- Comment line starting with c will be ignored during parsing.
- Problem description line starting with p will also be ignored.
- Any Integer excluding zero represents a Literal.
- 0 represents the termination of a Clause.

A parsed CNF problem looks like this:

```
1 >>> parse_cnf_to_list(CNF_FILE_PATH)
2 [(1, 4), (1, -2), (-1, 2), (2, 3), (4, 2), (2, 1), (-1, 3)]
```

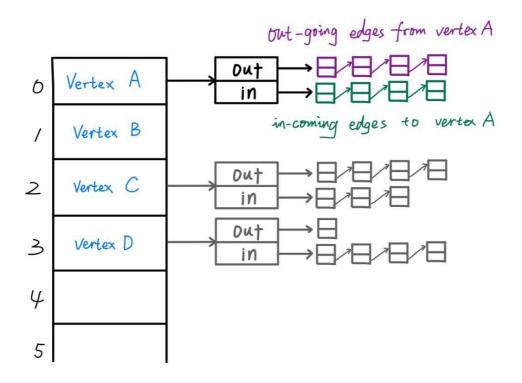
### **Time Complexity:**

The parsing of CNF file takes linear time: O(n)

# 2 - Construct the Implication Graph

### **Implementation of Directed Graph**

The outermost data structure we used to store a Directed Graph is a hash table. We can visualize the data structure as follows:



We implement the following methods for the Directed Graph:

- add\_edge(vertex\_a, vertex\_b)
  - adds vertex\_a and vertex\_b into the Directed Graph if they are not in the graph already
    - Cost: 0(n) while n is the number of vertices already in the graph
  - adds a new edge (pointing from vertex\_a to vertex\_b) to the Directed Graph
    - Cost: O(n) while n is the number of outgoing edges of vertex\_a
  - especially, add a new incoming edge for vertex\_b
    - we do this for Kosaraju's algorithm to use later
    - Cost: O(n) while n is the number of outgoing edges of vertex\_b
- all\_vertices()
  - returns a list of vertices in the graph
  - o Cost: 0(1)
- out\_neighbors(vertex)
  - returns the list of out-neighbors (vertices pointed by the out-going edges from the given vertex)
  - o Cost: 0(1)
- in\_neighbors(vertex)
  - returns the list of in-neighbors (vertices that has an edge pointing to the given vertex)
  - o Cost: 0(1)
- topological\_sort()
  - returns a list of vertices in the graph in topological order.
  - the implementation is based on Depth-First Search, each edge and vertex is visited once, the algorithm runs in linear time.
  - Cost: O(n) while n is the number of edges

#### **Construction of Implication Graph from a 2-SAT CNF Formula**

When we have a Clause like (A, B) which is representing A or B. The relationship between A and B implies that:

- In order for the Clause to be True, if the negation of A is True, B must be True.
- In order for the Clause to be True, if the negation of B is True, A must be True.

Hence, for each Clause in the Formula, we add two directed edges that represents these two implied logic. The implementation of this part is straightforward

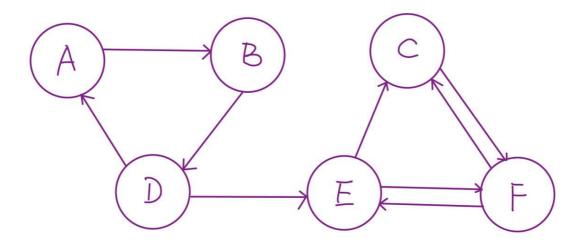
- We are using the DirectedGraph class we defined earlier for the Implication Graph.
- The negation of Literal is simply done by flipping the sign of the Integer, because we are using Integer representing Literal.

```
def construct_implication_graph(formula) -> DirectedGraph:
1
2
       formula: List[Clause]
3
       implication_graph = DirectedGraph()
                                                 # Θ(1)
       for clause in formula:
                                                # O(number of clauses)
4
5
           A, B = clause
                                               # O(1)
           implication_graph.add_edge(-A, B) \# \Omega(1), O(VE)
6
           implication_graph.add_edge(-B, A) # \Omega(1), O(VE)
7
       return implication_graph
8
```

# 3 - Find Strongly Connected Components

To find the Strongly Connected Components inside the Implication Graph, we choose to implement the <u>Kosaraju's algorithm</u> which does Depth-First Search twice. We use a simplified sample Directed Graph to illustrate the algorithm.

Let's say we have a Directed Graph containing 6 vertices namely from A to F as shown below:

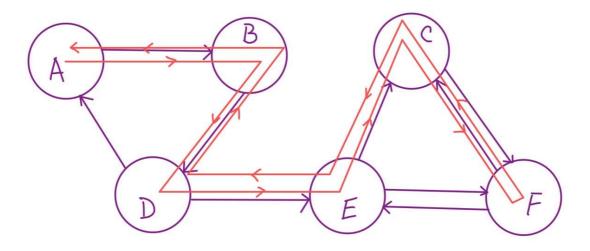


#### **First DFS**

we do a depth-first recursive visit and record down the sequence of last exit time for each vertex.

```
visited = []
2
    L: List = []
3
4 def visit(graph: DirectedGraph, vertex, visited, L):
        if vertex not in visited:
5
6
            visited.append(vertex)
 7
            for i in graph.out_neighbors(vertex):
                visit(graph, i, visited, L)
8
9
            L.insert(0, vertex)
10
11
    for vertex in graph.all_vertices():
        visit(graph, vertex, visited, L)
```

The red path below shows the order of the visit:



During the first DFS, the running list L would grow like this:

```
1 L: [F]
2 L: [C, F]
3 L: [E, C, F]
4 L: [D, E, C, F]
5 L: [B, D, E, C, F]
6 L: [A, B, D, E, C, F]
```

#### **Second DFS**

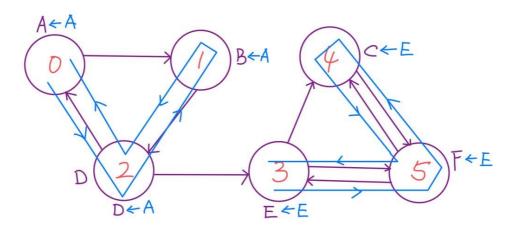
This time, we traverse through the list L, and do a depth-first visit and a strongly-connected-component assignment for each unvisited vertex.

- each Strongly Connected Component is simply represented by a root vertex from that component.
- we are using the <code>in\_neighbors(vertex)</code> function we defined earlier to visit each vertex in the graph reversely.

```
def assign(graph: DirectedGraph, scc_assignment: dict, vertex, root):
2
            if vertex not in scc_assignment:
                # assign vertex to the root of a strongly_connected_component
3
4
                scc_assignment[vertex] = root
5
                for in_neighbor in graph.in_neighbors(vertex):
6
7
                    assign(graph, scc_assignment, in_neighbor, root)
8
9
    scc_assignment: Dict[Vertex, Vertex] = {}
10
    for vertex in L:
11
        assign(graph, scc_assignment, vertex, vertex)
```

The blue path below show the order of the visit:

- It starts from vertex A because it has index 0 in the list L.
- It goes to vertex D because it is an in-neighbor of vertex A.
- It goes to vertex B because it is an in-neighbor of vertex D.
- It backtracks to vertex D because vertex B has no more unvisited in-neighbor.
- It backtracks to vertex A because vertex D has no more unvisited in-neighbor.
- It continues from vertex E because it has index 3 in the list L and the lower indexed vertices have been visited.
- so on and so forth...



During this second DFS, each vertex get assigned to a Strongly Connected Component, the root vertex changes only when the traversal jumps back to the list L. For this example, We end up with two Strongly Connected Components and their root vertices are A and E.

### **Complexity Analysis:**

- For the Strongly-Connected-Component assignment, we used a hash table so that each assignment and checking whether a vertex has been assigned to a group is done in constant time.
- ullet Overall, the complexity is O(V+E), we basically done linear-time DFS twice, so we visit each vertices and edges in the graph twice.

# 4 - Check Satisfiability

At this point, we have got the Strongly Connected Components, and within each component, every vertex can reach any vertex inside the component. Since the Directed Graph is a Implication Graph that we build earlier to represent the implied relationship between literals if they were to make the clauses True. All the vertices or Literals within a same Strongly Connected Component must share the same truth assignment, whether it is True or False. Hence, we can use this property to find contradictions where a Literal and it negation belongs to the same Strongly Connected Component. If the contradiction is found, the Formula is simply not satisfiable.

```
1
    for vertex in scc_assignment.keys():
2
            root = scc_assignment[vertex]
            if root in scc:
3
                scc[root].append(vertex)
5
            else:
6
                scc[root] = [vertex]
7
            if -vertex in scc[root]:
8
                print("UNSATISFIABLE")
9
                          # the program halts if it not satisfiable
10
                return
```

This operation costs O(n) time where n is the number of vertices or Literals.

### 5 - Get a Satisfiable Solution

At this point, we can confirm that that there is a satisfiable solution, we just need to find one.

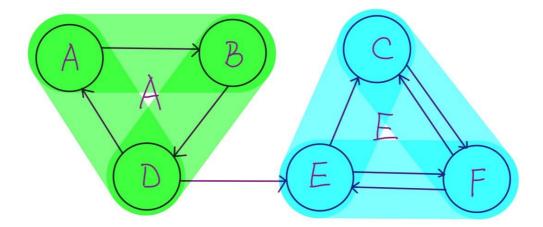
Construct a condensation graph ( $G^{SCC}$ ) based on Strongly Connected Components (SCC)

To simplify the original Implication Graph into a condensation graph which is left with only the root of each Strongly Connected Components and the connections between components.

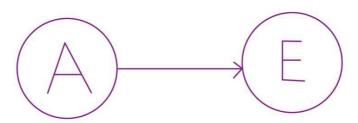
```
condensed_graph = DirectedGraph()
1
2
       for vertex in scc_assignment.keys():
3
           self_root = scc_assignment[vertex]
4
           for out_neighbor in graph.out_neighbors(vertex):
5
               out_root = scc_assignment[out_neighbor]
6
               if self_root == out_root:
7
                   continue
8
               condensed_graph.add_edge(self_root, out_root)
```

This operation costs O(n) time where n is the number of vertices or Literals.

If we continue with the example, we have two Strongly Connected Components highlighted below:



The resulting condensation graph ( $G^{SCC}$ ) should and must be a Directed Acyclic Graph (DAG) because there is no both-way connection between the two Strongly Connected Components.



### Topological Ordering on the condensation graph ( $G^{SCC}$ )

We simply call the  $topological\_sort$  method we defined for this condensation graph ( $G^{SCC}$ ), we get a list of topologically ordered vertices that are the root vertex for each Strongly Connected Components, and we reverse it.

This  $topological\_sort$  method is essentially a DFS which takes O(n) time where n is the number of Strongly Connected Components.

1 reversed\_topological\_order: List = condensed\_graph.topological\_sort()[::-1]

### **Create Truth Assignments for Literals**

As discussed above, we just need to force assign all the Literals within a same Strongly Connected Component to True, and all the negation of the Literals to False, in a reversed topological order.

```
truth_assignment = {}
for root in reversed_topological_order:
    if root not in truth_assignment:
        for literal in scc[root]:
            truth_assignment[literal] = 1
            truth_assignment[-literal] = 0

solution = [
    truth_assignment[i] for i in sorted(list(truth_assignment.keys())) if i
    > 0

10 ]
```

This operation costs O(n) time where n is the number of Literals.

## **Summary**

- Parser: O(n)
- Solver: O(n)
  - Construct Implication Graph: O(n)
  - DFS Find Strongly Connected Components: O(n)
  - Check satisfiability: O(n)
    - Not satisfiable -> Return
    - Satisfiable -> Get a satisfiable solution
  - Get a satisfiable solution: O(n)
    - Construct a condensation graph: O(n)
    - DFS Topological Ordering: O(n)
    - Create Truth Assignments -> Return assignments: O(n)

This algorithm only works for 2-SAT but not 3-SAT, because we are unable to construct an Implication Graph for 3-SAT problem. Assuming one Literal to True does not imply the truth assignment for the other two Literals within the same Clause, thus, the implication cannot be established.

### **Bonus: Random 2-SAT Solver**

The implementation of Random Solver is quite straightforward:

- 1. Parse CNF file into a list of Clauses
- 2. Initialize arbitrary truth assignment for all variables. (we chose to assign all variable to False first.)
- 3. While number of steps less than  $100n^2$ :
  - Check if the assignment satisfiable
    - If satisfiable -> return truth assignment
    - If not satisfiable -> Flip assignment for one of the literals in the false Clause.

Implementation details refers to code below:

```
def check_assignment(formula: List[Tuple], truth_assignment: Dict):
```

```
2
        for clause in formula:
 3
            a, b = clause
            if not (truth_assignment[a] or truth_assignment[b]):
 4
 5
                 return random.choice(clause)
 6
        return
 7
 8
    def flip_assignment(truth_assignment: Dict, literal):
 9
        if truth_assignment[literal] == 0:
            truth_assignment[literal] = 1
10
11
            truth_assignment[-literal] = 0
        else:
12
            truth_assignment[literal] = 0
13
            truth_assignment[-literal] = 1
14
15
16
    def randomize_solve(cnf: str):
        number_of_variable = 0
17
        truth_assignment = {}
18
        formula: List[Tuple] = parse_cnf_to_list(cnf)
19
20
21
        # initialise truth assignment by setting all variable to false
22
        for clause in formula:
            for literal in clause:
23
                 if literal not in truth\_assignment and -literal not in
24
    truth_assignment:
25
                     number_of_variable += 1
26
                if literal not in truth_assignment:
27
                     if literal > 0:
                         truth_assignment[literal] = 0
28
29
                         truth_assignment[-literal] = 1
30
                     else:
31
                         truth_assignment[literal] = 1
                         truth_assignment[-literal] = 0
32
34
        max_steps = 100 * number_of_variable ** 2
35
        while max_steps >= 0:
37
            max_steps -= 1
38
            picked_literal = check_assignment(formula, truth_assignment)
            if picked_literal == None:
40
                 print("SATISFIABLE")
41
                 solution = [truth_assignment[i] for i in
    sorted(list(truth_assignment.keys())) if i > 0]
42
                 return solution
43
            else:
44
                 flip_assignment(truth_assignment, picked_literal)
45
        print("UNSATISFIABLE")
46
47
        return
```

# **Runtime Comparison**

We have created two 2-SAT CNF files for runtime comparison between these two implementation:

Sample CNF 1:

Number of Variables: 1000Number of Clauses: 1001

• Expected Result: Satisfiable

### Sample CNF 2:

Number of Variables: 1000Number of Clauses: 1002

• Expected Result: Not Satisfiable

Machine used for experiment: Intel Core i7-9700K (Desktop Ubuntu 18.04)

Experimental Runtime Result shown as follow:

```
~/CODE/SATSolver/2-SAT-Problem/src / master
→ python 2 SAT Solver.py
SATISFIABLE
====== Func 'solve' finished in 0.0360000000 secs ======

UNSATISFIABLE
======= Func 'solve' finished in 0.0290000000 secs ======

~/CODE/SATSolver/2-SAT-Problem/src / master
→ python Random Solver.py
SATISFIABLE
====== Func 'randomize_solve' finished in 4.8410000000 secs ======

UNSATISFIABLE
====== Func 'randomize_solve' finished in 44 mins, 13.49 secs ======
```

As the result clearly shown, the randomization solver is not practical for solving 2-SAT problem, especially when it comes to unsatisfiable 2-SAT problems.