# MA2101S - Linear Algebra II (S) Suggested Solutions

(Semester 1, AY2022/2023)

Written by: Sarji Elijah Bona Audited by: Ariana Goh Zhi Hui

# Question 1

Given a linear operator  $\beta$  on a vector space U, define  $T_{\beta}(U)$  as follows:

$$T_{\beta}(U) := \{ u \in U \mid \dim(\langle u \rangle_{\beta}) < \infty \}.$$

You may assume without proof that  $\dim(\langle u \rangle_{\beta}) < \infty$  if and only if  $f(\beta)(u) = 0_U$  for some nonzero  $f(x) \in F[x]$ .

Let  $\alpha$  be a linear operator on a vector space V.

- (a) Show that  $T_{\alpha}(V)$  is a vector subspace of V.
- (b) Show that  $T_{\alpha}(V)$  is  $\alpha$ -invariant.
- (c) Let  $\widetilde{V} = V/T_{\alpha}(V)$ , and let  $\widetilde{\alpha}$  be the linear operator on  $\widetilde{V}$  defined by

$$\widetilde{\alpha}(v + T_{\alpha}(V)) = \alpha(v) + T_{\alpha}(V)$$
 for all  $v \in V$ .

Prove that

$$T_{\widetilde{\alpha}}(\widetilde{V}) = \{0_{\widetilde{V}}\}.$$

#### **Solution:**

- (a) Note that  $\dim(\langle 0_V \rangle_{\alpha}) = \dim(\{0_V\}) = 0 < \infty$ , so  $0_V \in T_{\alpha}(V)$ , so  $T_{\alpha}(V)$  is non-empty. Let  $v_1, v_2 \in T_{\alpha}(V)$ , then there exist  $f(x), g(x) \in F[x]$  such that  $f(\alpha)(v_1) = 0_V$  and  $g(\alpha)(v_2) = 0_V$ . Then  $(fg)(\alpha)(v_1 + v_2) = f(\alpha)(g(\alpha)(v_1 + v_2)) = f(\alpha)(g(\alpha)(v_1)) = g(\alpha)(f(\alpha)(v_1)) = g(\alpha)(0_V) = 0_V$ , implying  $v_1 + v_2 \in T_{\alpha}(U)$ .
  - Now, let  $\lambda \in F$  and  $v \in T_{\alpha}(V)$ , if  $\lambda \neq 0$ , then  $\langle \lambda v \rangle_{\alpha} = \langle v \rangle_{\alpha}$ , so  $\lambda v \in T_{\alpha}(V)$ . If  $\lambda = 0$ ,  $\lambda v = 0_V \in T_{\alpha}(V)$ . Therefore,  $T_{\alpha}(V)$  is a vector subspace of V.
- (b) Let  $v \in T_{\alpha}(V)$ . Then there exists  $f(x) \in F[x]$  such that  $f(\alpha)(v) = 0_V$ . So  $f(\alpha)(\alpha(v)) = (\alpha \circ f(\alpha))(v) = \alpha(0_V) = 0_V$ . Hence,  $\dim(\langle \alpha(v) \rangle_{\alpha}) < \infty$  and  $\alpha(v) \in T_{\alpha}(V)$ .
- (c) Obviously,  $0_{\widetilde{V}} \in T_{\widetilde{\alpha}}(\widetilde{V})$ . Assume for some  $v \in V$  that  $v + T_{\alpha}(V) \in T_{\widetilde{\alpha}}(\widetilde{V})$ . So there exists  $f(x) \in F[x]$  such that  $f(\widetilde{\alpha})(v + T_{\alpha}(V)) = 0_{\widetilde{V}} = T_{\alpha}(V)$ . Note that  $f(\widetilde{\alpha})(v + T_{\alpha}(V)) = f(\alpha)(v) + T_{\alpha}(V)$ , so this implies  $f(\alpha)(v) \in T_{\alpha}(v)$ . There exists  $g(x) \in F[x]$  such that  $g(\alpha)(f(\alpha)(v)) = (g \circ f)(\alpha)(v) = 0$ . Thus,  $v \in T_{\alpha}(V)$ , implying  $T_{\widetilde{\alpha}}(\widetilde{V}) \subseteq \{T_{\alpha}(V)\} = \{0_{\widetilde{V}}\}$ . Therefore,  $T_{\widetilde{\alpha}}(\widetilde{V}) = \{0_{\widetilde{V}}\}$ .

### Question 2

Let  $\alpha$  be a linear operator on a vector space V, and let U be an  $\alpha$ -invariant vector subspace of V. Let  $\widetilde{\alpha}$  be the linear operator on V/U defined by  $\widetilde{\alpha}(v+U)=\alpha(v)+U$  for all  $v\in V$ . Suppose that

$$V/U = \bigoplus_{i \in I} \langle v_i + U \rangle_{\widetilde{\alpha}},$$

where for each  $i \in I$ ,  $\langle v_i + U \rangle_{\tilde{\alpha}}$  is infinite-dimensional. (Note: I may be an infinite set.)

- (a) Prove that for each  $i \in I$ ,  $\langle v_i \rangle_{\alpha}$  is infinite-dimensional.
- (b) Show further that

$$V = U \oplus \bigoplus_{i \in I} \langle v_i \rangle_{\alpha}.$$

(You may assume without proof that  $\langle v \rangle_{\alpha}$  is infinite-dimensional if and only if  $f(\alpha)(v) \neq 0_V$  for all nonzero  $f(x) \in F[x]$ .)

### **Solution:**

- (a) Assume for some  $i \in I$ ,  $\langle v_i \rangle_{\alpha}$  is finite-dimensional, i.e., there exists  $f(x) \in F[x]$  such that  $f(\alpha)(v_i) = 0_V$ . Then  $f(\widetilde{\alpha})(v_i + U) = f(\alpha)(v_i) + U = 0_V + U = U = 0_{V/U}$ , which contradicts  $\langle v_i + U \rangle_{\widetilde{\alpha}}$  being infinite-dimensional. Thus, for each  $i \in I$ ,  $\langle v_i \rangle_{\alpha}$  is infinite-dimensional.
- (b) Let  $q: V \to V/U$  such that q(v) = v + U for all  $v \in V$ . Then  $q(f(\alpha)(v)) = f(\alpha)(v) + U = f(\widetilde{\alpha})(v + U)$  for any  $f(x) \in F[x], v \in V$ . We see for any  $v \in V, v + U = \sum_{i \in I} f_i(\widetilde{\alpha})(v_i + U) = \sum_{i \in I} q(f_i(\alpha)(v_i)) = \sum_{i \in I} f_i(\alpha)(v_i) + U$ , so  $v \sum_{i \in I} f_i(\alpha)(v_i) = u \in U$ , implying  $v = u + \sum_{i \in I} f_i(\alpha)(v_i) \in U + \sum_{i \in I} \langle v_i \rangle_{\alpha}$ . Hence,  $V = U + \sum_{i \in I} \langle v_i \rangle_{\alpha}$ . To show the sum is direct, we show  $U \cap \sum_{i \in I} \langle v_i \rangle_{\alpha} = \{0_V\}$ . Suppose  $u \in U \cap \sum_{i \in I} \langle v_i \rangle_{\alpha}$ . Then  $u = \sum_{j \in J} f_j(\alpha)(v_j)$  for some finite  $J \subseteq I$ . So  $q(u) = q(\sum_{j \in J} f_j(\alpha)(v_j)) = \sum_{j \in J} q(f_j(\alpha)(v_j)) \Rightarrow 0_{V/U} = \sum_{j \in J} f_j(\widetilde{\alpha})(v_j + U)$ . Since  $f_j(\widetilde{\alpha})(v_j + U) \in \langle v_j + U \rangle_{\widetilde{\alpha}}$  for some  $j \in I$ , we have  $f_j(\widetilde{\alpha})(v_j + U) = 0_{V/U}$  for all  $j \in J$  by the directness of  $V/U = \bigoplus_{i \in I} \langle v_i + U \rangle_{\widetilde{\alpha}}$ . But for each  $i \in I$ , since  $\langle v_i + U \rangle_{\widetilde{\alpha}}$  is infinite-dimensional,  $f_j$  must be 0 for all  $j \in J$ . Hence,  $u = \sum_{j \in J} f_j(\alpha)(v_j) = 0_V$  and  $U \cap \sum_{i \in I} \langle v_i \rangle_{\alpha} = \{0_V\}$ . Therefore,  $V = U \oplus \bigoplus_{i \in I} \langle v_i \rangle_{\alpha}$ .

### Question 3

Let  $\alpha$  be a linear operator on a vector space V, and suppose that  $V = \bigoplus_{j=1}^{n} \langle v_j \rangle_{\alpha}$  for some  $v_1, \ldots, v_n \in V$  with  $\dim(\langle v_j \rangle_{\alpha}) = \infty$  for all j.

(a) Prove that  $\langle v \rangle_{\alpha}$  is infinite-dimensional for all  $v \in V \setminus \{0_V\}$ .

Let W be an  $\alpha$ -invariant vector subspace of V, and let

$$V' = \bigoplus_{i=2}^{n} \langle v_i \rangle_{\alpha}.$$

(b) By considering the set  $\Sigma := \{ f(x) \in F[x] \mid f(\alpha)(v_1) \in W + V' \}$ , or otherwise, show that there exists  $f(x) \in F[x]$  such that

$$\{w + V' \mid w \in W\} = \langle f(\alpha)(v_1) + V' \rangle_{\widetilde{\alpha}_{V'}}.$$

Here,  $\widetilde{\alpha}_{V'}: V/V' \to V/V'$  is defined by  $\widetilde{\alpha}_{V'}(v+V') = \alpha(v) + V'$  for all  $v \in V$ .

- (c) Let  $U = W \cap V'$ . Show that the following statements are equivalent:
  - (i)  $f(x) = 0_{F[x]}$ ;
  - (ii)  $W \subseteq V'$ ;
  - (iii) U = W.
- (d) Assume first that  $W \nsubseteq V'$ . Let  $w_1 \in W$  such that  $f(\alpha)(v_1) + V' = w_1 + V'$ . Show that:
  - (i)  $w_1 \neq 0_V$ ;
  - (ii)  $W/U = \langle w_1 + U \rangle_{\widetilde{\alpha}_U}$  (here  $\widetilde{\alpha}_U : V/U \to V/U$  is defined by  $\widetilde{\alpha}_U(v+U) = \alpha(v) + U$  for all  $v \in V$ );
  - (iii)  $W = U \oplus \langle w_1 \rangle_{\alpha}$ . (Hint: Use Question 2.)
- (e) Now, disregard the assumption in (d) (so W may or may not be a subset of V'). Prove by induction on n, or otherwise, that

$$W = \bigoplus_{j=1}^{m} \langle w_j \rangle_{\alpha}$$

for some nonzero  $w_1, \ldots, w_m \in W$  with  $m \leq n$ .

### **Solution:**

(a) Assume there exists nonzero  $v \in V$  such that  $\langle v \rangle_{\alpha}$  is finite-dimensional. So there exists nonzero  $f(x) \in F[x]$  satisfying  $f(\alpha)(v) = 0_V$ . Note that  $v = \sum_{j=1}^n w_j$  where  $w_j \in \langle v_j \rangle_{\alpha}$  for each  $j \in \{1, 2, ..., n\}$ . So  $0_V = f(\alpha)(v) = f(\alpha)\left(\sum_{j=1}^n w_j\right) = \sum_{j=1}^n f(\alpha)(w_j)$ . Since

 $\bigoplus_{j=1}^{n} \langle v_j \rangle_{\alpha} \text{ is direct, } f(\alpha)(w_j) = 0_V \text{ for all } j \in \{1, 2, \dots, n\}. \text{ Also } v \neq 0_V, \text{ so there must } \text{ exist } k \in \{1, 2, \dots, n\} \text{ such that } w_k \neq 0_V. \text{ Then there exists } p(x) \in F[x] \text{ such that } p(\alpha)(v_k) = w_k \neq 0_V, \text{ which implies } p(x) \neq 0. \text{ Hence, } (f \circ p)(\alpha)(v_k) = f(\alpha)(w_k) = 0_V. \text{ Since } (f \circ p)(x) \neq 0, \text{ this contradicts } \langle v_k \rangle_{\alpha} \text{ being infinite-dimensional. Therefore, } \langle v \rangle_{\alpha} \text{ is infinite-dimensional for all } V \setminus \{0_V\}.$ 

(b) Consider the set  $\Sigma := \{ f(x) \in F[x] \mid f(\alpha)(v_1) \in W + V' \}$ . Note that  $0_{F[x]} \in \Sigma$  because  $0_V \in W + V'$ , so  $\Sigma$  is non-empty.

Now let  $f(x) \in \Sigma$  with the least degree. Then  $f(\alpha)(v_1) \in W + V'$  and since W + V' is  $\alpha$ -invariant, we have  $h(\alpha)(f(\alpha)(v_1)) \in W + V'$  for all  $h(x) \in F[x]$ . Hence,

$$\langle f(\alpha)(v_1) + V' \rangle_{\widetilde{\alpha}_{V'}} = \{ h(\widetilde{\alpha}_{V'})(f(\alpha)(v_1) + V') \mid h(x) \in F[x] \}$$
  
=  $\{ h(\alpha)(f(\alpha)(v_1)) + V' \mid h(x) \in F[x] \} \subseteq \{ w + V' \mid w \in W \}.$ 

On the other hand, if  $w \in W$ , then  $w = \sum_{i=1}^{n} g_i(\alpha)(v_1)$  where  $g_1(\alpha)(v_1) \in W + V'$ , so  $g_1(x) \in \Sigma$ . Then  $g_1(x) = q(x)f(x) + r(x)$  where  $\deg r < \deg f$  or r(x) = 0. So  $r(\alpha)(v_1) = (g_1(\alpha) - q(\alpha)f(\alpha))(v_1) \in W + V'$  since  $g_1(x), f(x) \in \Sigma$  and W + V' is  $\alpha$ -invariant. Hence,  $r(x) \in \Sigma$ , and by the minimality of f(x), we must have r(x) = 0. Thus,  $g_1(x) = q(x)f(x)$ , and therefore,

$$w + V' = g_1(\alpha)(v_1) + V' = q(\alpha)(f(\alpha)(v_1)) + V'$$
  
=  $q(\widetilde{\alpha}_{V'})(f(\alpha)(v_1) + V') \in \langle f(\alpha)(v_1) + V' \rangle_{\widetilde{\alpha}_{V'}}.$ 

Thus,  $\{w + V' \mid w \in W\} = \langle f(\alpha)(v_1) + V' \rangle_{\widetilde{\alpha}_{V'}}.$ 

- (c) If (i) is true,  $\{w+V'\mid w\in W\}=\langle 0_{V/V'}\rangle_{\widetilde{\alpha}_{V'}}=\{0_{V/V'}\}$ . For all  $w\in W, w\in V'$ , hence,  $W\subseteq V'$  and (ii) is true. If (ii) is true, then if  $f(x)\neq 0_{F[x]}$ , then  $\langle w_1+V'\rangle_{\widetilde{\alpha}_{V'}}=\{0_{V/V'}\}$  for some non-zero  $w_1\in \langle v_1\rangle_{\alpha}$ . Since  $V=\langle v_1\rangle_{\alpha}\oplus V'$ , we have  $w_1\notin V'$ , hence,  $w_1+V'\neq 0_{V/V'}$ , contradiction. Thus,  $f(x)=0_{F[x]}$ , and (i) is true. Hence, (i)  $\Leftrightarrow$  (ii). Obviously,  $W\subseteq V'\Leftrightarrow W\cap V'=W$ , so (ii)  $\Leftrightarrow$  (iii). Therefore, (i)  $\Leftrightarrow$  (ii)  $\Leftrightarrow$  (iii).
- (d) (i) If  $w_1 = 0_V$ , then  $f(\alpha)(v_1) \in V'$ . Since  $f(\alpha)(v_1) \in \langle v_1 \rangle_{\alpha}$  and  $V = \langle v_1 \rangle_{\alpha} \oplus V'$ , we have  $f(\alpha)(v_1) = 0$ . Since  $\langle v_1 \rangle_{\alpha}$  is infinite-dimensional, we must have f(x) = 0. But part (c) showed this implies  $W \subseteq V'$ , contradiction. Thus,  $w_1 \neq 0_V$ .
  - (ii) Note that for all  $g(x) \in F[x]$ ,  $g(\widetilde{\alpha}_U)(w_1 + U) = g(\alpha)(w_1) + U \in W/U$  since  $w_1 \in W$  and W is  $\alpha$ -invariant, so  $\langle w_1 + U \rangle_{\widetilde{\alpha}_U} \subseteq W/U$ . Now, for any  $w \in W$ , from part (b), there exists  $h(x) \in F[x]$  such that  $w + V' = h(\widetilde{\alpha}_{V'})(f(\alpha)(v_1) + V') = h(\widetilde{\alpha}_{V'})(w_1 + V') = h(\alpha)(w_1) + V'$ . Then  $h(\alpha)(w_1) w \in V'$  and  $h(\alpha)(w_1) w \in W$ , hence,  $h(\alpha)(w_1) w \in U$ . Thus,  $w + U = h(\alpha)(w_1) + U$ , implying  $W/U \subseteq \langle w_1 + U \rangle_{\widetilde{\alpha}_U}$  and  $W/U = \langle w_1 + U \rangle_{\widetilde{\alpha}_U}$ .
  - (iii) If there exists  $g(x) \in F[x]$  such that  $g(\widetilde{\alpha}_U)(w_1 + U) = 0_{V/U}$ , then  $g(\alpha)(w_1) \in U \subseteq V'$ . So  $g(\alpha)(f(\alpha)(v_1)) + V' = g(\widetilde{\alpha}_{V'})(f(\alpha)(v_1) + V') = g(\widetilde{\alpha}_{V'})(w_1 + V') = g(\alpha)(w_1) + V' = 0_{V/U}$ , implying g(x)f(x) = 0. Since  $f(x) \neq 0_{F[x]}$  from  $W \nsubseteq V'$  and part (c), we have  $g(x) = 0_{F[x]}$ . Therefore,  $\langle w_1 + U \rangle_{\widetilde{\alpha}_{V'}}$  is infinite-dimensional, and by Question 2, we have  $W = U \oplus \langle w_1 \rangle_{\alpha}$ .

(e) We induct on n.

Base case: n = 1.

We have  $V = \langle v_1 \rangle_{\alpha}$  for non-zero  $v_1$ . Let  $W \subseteq V$  be  $\alpha$ -invariant. If  $W = \{0_V\}$ , then it is a direct sum of 0  $\alpha$ -cyclic subspaces.

If  $W \neq \{0_V\}$ , then  $V' = \{0_V\}$  and by part (b), there exists  $f(x) \in F[x]$  such that  $\langle f(\alpha)(v_1) + V' \rangle_{\widetilde{\alpha}_{V'}} = \{w + V' \mid w \in W\}$ . If we let  $w_1 = f(\alpha)(v_1)$ , then there exists  $w \in W$  such that  $w_1 + V' = w + V' \Leftrightarrow w_1 = w$ , so  $w_1 \in W$  and  $\langle w_1 \rangle_{\alpha} \subseteq W$ .

For any  $w \in W$ , there exists  $g(x) \in F[x]$  such that  $w + V' = g(\alpha)(w_1) + V' \Leftrightarrow w = g(\alpha)(w_1) \in \langle w_1 \rangle_{\alpha}$ . Therefore,  $W = \langle w_1 \rangle_{\alpha}$  for non-zero  $w_1$ . We can express W as a direct sum of  $m \leq n$   $\alpha$ -cyclic subspaces.

**Induction step:** Assume it is true for n = k.

Let  $V = \bigoplus_{j=1}^{k+1} \langle v_j \rangle_{\alpha}$  and  $V' = \bigoplus_{j=2}^{k+1} \langle v_j \rangle_{\alpha}$ . If  $W \subseteq V'$ , then by the induction hypothesis, we can express W as the direct sum of at most k  $\alpha$ -cyclic subspaces.

If  $W \nsubseteq V'$ , then by part (d), there exists non-zero  $w_1 \in W$  such that  $W = \langle w_1 \rangle_{\alpha} \oplus U$  where  $U = W \cap V'$ . Since U is an  $\alpha$ -invariant vector subspace of V', by the induction hypothesis, we can express U as the direct sum of at most k  $\alpha$ -cyclic subspaces.

Thus, W can be expressed as the direct sum of at most k+1  $\alpha$ -cyclic subspaces.

Therefore by induction, for all n, W can be expressed as the direct sum of at most n  $\alpha$ -cyclic subspaces.

### Question 4

Let V be a vector space, and denote the vector space of linear operators on V by L(V, V). Let  $\mathcal{A}$  be a vector subspace of L(V, V), and let  $f : \mathcal{A} \to F$  be a function. Suppose that

$$U := \{ v \in V \mid \alpha(v) = f(\alpha)v \ \forall \alpha \in \mathcal{A} \} \neq \{0_V\}.$$

- (a) Prove that:
  - (i) U is a vector subspace of V, and is  $\alpha$ -invariant for all  $\alpha \in \mathcal{A}$ ;
  - (ii) f is linear.

Let  $\beta$  be a linear operator on V, and assume that  $\alpha \circ \beta - \beta \circ \alpha \in \mathcal{A}$  (but possibly  $\alpha \circ \beta$ ,  $\beta \circ \alpha \notin \mathcal{A}$ ) for all  $\alpha \in \mathcal{A}$ .

- (b) Let  $w \in U$  and assume that  $\dim(\langle w \rangle_{\beta}) = k \in \mathbb{Z}^+$ . Let  $\mathcal{B}_0 = \emptyset$ , and for each  $1 \leq i \leq k$ , let  $\mathcal{B}_i = \{w, \beta(w), \dots, \beta^{i-1}(w)\}$ . You may assume without proof that  $\mathcal{B}_k$  is a basis for  $\langle w \rangle_{\beta}$ .
  - (i) Show, by induction on i or otherwise, that

$$\alpha(\beta^{i}(w)) - f(\alpha)\beta^{i}(w) \in \operatorname{span}(\mathcal{B}_{i})$$

for all  $\alpha \in \mathcal{A}$  and  $i \in \{0, 1, \dots, k-1\}$ .

- (ii) Deduce that, for each  $\alpha \in \mathcal{A}$ ,  $\langle w \rangle_{\beta}$  is  $\alpha$ -invariant, and write down all the information you can infer from (i) about the matrix representing the restricted linear operator  $\alpha|_{\langle w \rangle_{\beta}}$  with respect to  $\mathcal{B}_k$ .
- (iii) Hence, or otherwise, show that  $f(\alpha \circ \beta \beta \circ \alpha) = 0_F$  for all  $\alpha \in \mathcal{A}$  when the characteristic of F does not divide k.

  (Hint:  $\alpha \circ \beta \beta \circ \alpha \in \mathcal{A}$ , and  $\gamma \circ \delta \delta \circ \gamma$  has zero trace whenever  $\gamma$  and  $\delta$  are linear operators acting on the same finite-dimensional space.)
- (c) Using (b)(iii), or otherwise, show that U is  $\beta$ -invariant when V is finite-dimensional and F has characteristic zero.

### **Solution:**

- (a) (i) Note that for any  $\alpha \in \mathcal{A}$ , we have  $\alpha(0_V) = 0_V = f(\alpha) \cdot 0_V$ , so  $0_V \in U$  and U is non-empty. For any  $v_1, v_2 \in U$ , we have  $\alpha(v_1) = f(\alpha)v_1$  and  $\alpha(v_2) = f(\alpha)v_2$  for all  $\alpha \in \mathcal{A}$ , which implies  $\alpha(v_1 + v_2) = \alpha(v_1) + \alpha(v_2) = f(\alpha)v_1 + f(\alpha)v_2 = f(\alpha)(v_1 + v_2)$  for all  $\alpha \in \mathcal{A}$ , hence,  $v_1 + v_2 \in U$ . For any  $v \in U$  and  $\lambda \in F$ , we have  $\alpha(\lambda v) = \lambda \alpha(v) = \lambda f(\alpha)v = f(\alpha) \cdot (\lambda v)$  for all  $\alpha \in \mathcal{A}$ , hence,  $\lambda v \in U$ . Thus, U is a vector subspace of V. For any  $\alpha \in \mathcal{A}$  and  $v \in U$ , we have  $\beta(\alpha(v)) = \beta(f(\alpha)v) = f(\alpha)\beta(v) = f(\alpha)f(\beta)v = f(\beta)\alpha(v)$  for all  $\beta \in \mathcal{A}$ , implying  $\alpha(v) \in U$ . Therefore, U is an  $\alpha$ -invariant vector subspace of V for all  $\alpha \in \mathcal{A}$ .
  - (ii) For any  $\alpha, \beta \in \mathcal{A}$ ,  $\lambda \in F$ , and  $v \in U \setminus \{0_V\}$ , we have  $f(\alpha + \beta)v = (\alpha + \beta)(v) = \alpha(v) + \beta(v) = f(\alpha)v + f(\beta)v \Rightarrow f(\alpha + \beta) = f(\alpha) + f(\beta)$ , and  $f(\lambda \alpha)v = (\lambda \alpha)(v) = \lambda \alpha(v) = \lambda f(\alpha)v \Rightarrow f(\lambda \alpha) = \lambda f(\alpha)$ . Thus, f is linear.
- (b) (i) **Base case:** i = 0.

For all  $\alpha \in \mathcal{A}$ ,  $\alpha(\beta^0(w)) - f(\alpha)\beta^0(w) = \alpha(w) - f(\alpha)w = 0_V \in \text{span}(\mathcal{B}_0)$  since  $w \in U$ .

**Induction step:** Assume for all  $\alpha \in \mathcal{A}$ ,  $\alpha(\beta^i(w)) - f(\alpha)\beta^i(w) \in \text{span}(\mathcal{B}_i)$  for some  $i \in \{0, 1, ..., k-2\}$ .

Then

$$\alpha(\beta^{i+1}(w)) = (\alpha \circ \beta)(\beta^{i}(w)) = (\beta \circ \alpha)(\beta^{i}(w)) + (\alpha \circ \beta - \beta \circ \alpha)(\beta^{i}(w))$$
$$= \beta(\alpha(\beta^{i}(w))) + f(\alpha \circ \beta - \beta \circ \alpha)\beta^{i}(w)$$

and

$$\alpha(\beta^{i+1}(w)) - f(\alpha)\beta^{i+1}(w) = \beta(\alpha(\beta^{i}(w)) - f(\alpha)\beta^{i}(w)) + f(\alpha \circ \beta - \beta \circ \alpha)\beta^{i}(w).$$
  
Since  $\alpha(\beta^{i}(w)) - f(\alpha)\beta^{i}(w) \in \text{span}(\mathcal{B}_{i})$ , we have  $\beta(\alpha(\beta^{i}(w)) - f(\alpha)\beta^{i}(w)) \in \text{span}(\mathcal{B}_{i+1})$ ; also,  $\beta^{i}(w) \in \mathcal{B}_{i+1}$ , thus,  $\alpha(\beta^{i+1}(w)) - f(\alpha)\beta^{i+1}(w) \in \text{span}(\mathcal{B}_{i+1})$ .  
Therefore by induction on  $i$ , we have  $\alpha(\beta^{i}(w)) - f(\alpha)\beta^{i}(w) \in \text{span}(\mathcal{B}_{i})$  for all  $\alpha \in \mathcal{A}$  and  $i \in \{0, 1, ..., k-1\}$ .

(ii) From part (i), for all  $\alpha \in \mathcal{A}$  and  $i \in \{0, 1, ..., k-1\}$ , we have  $\alpha(\beta^i(w)) \in \langle w \rangle_{\beta}$  since  $f(\alpha)\beta^i(w) \in \langle w \rangle_{\beta}$  and  $\operatorname{span}(\mathcal{B}_i) \subseteq \langle w \rangle_{\beta}$ . Since  $\langle w \rangle_{\beta} = \operatorname{span}(\mathcal{B}_k)$ , it is  $\alpha$ -invariant.

The matrix  $[\alpha|_{\langle w\rangle_{\beta}}]_{\mathcal{B}_k}$  is an upper-triangular  $k \times k$  matrix with all diagonal entries being  $f(\alpha)$ .

- (iii) Since  $\alpha \circ \beta \beta \circ \alpha \in \mathcal{A}$ , the matrix  $[\alpha \circ \beta \beta \circ \alpha|_{\langle w \rangle_{\beta}}]_{\mathcal{B}_k}$  is an upper-triangular  $k \times k$  matrix with all diagonal entries being  $f(\alpha \circ \beta \beta \circ \alpha)$ , therefore, the trace of  $\alpha \circ \beta \beta \circ \alpha$  restricted to  $\langle w \rangle_{\beta}$  is equal to  $k \cdot f(\alpha \circ \beta \beta \circ \alpha)$ . This must equal  $0_F$ , so we have  $f(\alpha \circ \beta \beta \circ \alpha) = 0_F$  since char F does not divide k.
- (c) If V is finite-dimensional and F has characteristic zero, then for all  $v \in U$  and  $\alpha \in \mathcal{A}$ ,  $(\alpha \circ \beta \beta \circ \alpha)(v) = f(\alpha \circ \beta \beta \circ \alpha)v = 0_V$ , hence,  $(\alpha \circ \beta)(v) = (\beta \circ \alpha)(v)$ . Then  $\alpha(\beta(v)) = \beta(\alpha(v)) = \beta(f(\alpha)v) = f(\alpha)\beta(v)$ . Thus,  $\beta(v) \in U$ , implying that U is  $\beta$ -invariant.

# Question 5

Let V be a vector space equipped with a nondegenerate symmetric bilinear form  $\phi$ .

(a) Let  $v \in V \setminus \{0_V\}$ . Show that there exists a vector subspace W of V with  $\dim(W) \leq 2$  such that  $v \in W$  and  $\phi|_{W \times W}$  is nondegenerate.

Now suppose that V is **infinite**-dimensional, and let U be a **finite**-dimensional vector subspace of V.

For any  $X \subseteq V$ , define  $X^{\perp} := \{v \in V \mid \phi(v, x) = 0_F \ \forall x \in X\}.$ 

- (b) Show by induction on  $\dim(U)$ , or otherwise, that there is a finite-dimensional vector subspace W of V with  $U \subseteq W$  such that  $\phi|_{W \times W}$  is nondegenerate.
  - (You may assume without proof that if X is a finite-dimensional vector subspace of V such that  $\phi|_{X\times X}$  is nondegenerate, then  $\phi|_{X^{\perp}\times X^{\perp}}$  is also nondegenerate.)
- (c) Show further that  $U^{\perp} = (U^{\perp} \cap W) \oplus W^{\perp}$ .
- (d) Hence, or otherwise, show that  $(U^{\perp})^{\perp} = (U^{\perp} \cap W)^{\perp} \cap W$ .
- (e) Deduce that  $(U^{\perp})^{\perp} = U$ .

#### Solution:

- (a) If  $\phi(v,v) \neq 0_F$ , then let  $W = \text{span}(\{v\})$ , which has dimension 1. If  $\phi(v,v) = 0_F$ , since  $\phi$  is nondegenerate, there exists  $u \in V \setminus \{0_V\}$  such that  $\phi(u,v) \neq 0_F$ . So we let  $W = \text{span}(\{v,u\})$ , which has dimension at most 2, and that  $\phi|_{W\times W}$  is nondegenerate.
- (b) We induct on  $\dim(U)$ .

Base case:  $\dim(U) = 0$ .

Then  $U = \{0_V\}$ . We can let W = U and  $\phi|_{W \times W}$  is trivially nondegenerate.

Inductive step: Assume the statement holds for  $\dim(U) \leq n$  where  $n \in \mathbb{N}$ . Let  $U \subseteq V$  have dimension n+1. Let U' be an n-dimensional subspace of U. By the induction hypothesis, there exists a finite-dimensional subspace  $W' \supseteq U$  such that  $\phi|_{W'\times W'}$  is nondegenerate. If  $U\subseteq W'$ , then we can let W=W'. Otherwise, there exists  $u\in U\setminus W'$ . Since  $V=W'\oplus W'^{\perp}$ ,  $u=w_0+w_1$  for some unique  $w_0\in W'$  and  $w_1 \in W'^{\perp}$ . Since  $\phi|_{W' \times W'}$  is nondegenerate,  $\phi|_{W'^{\perp} \times W'^{\perp}}$  must be nondegenerate. So by part (a), there must exist finite-dimensional X such that  $w_1 \in X \subseteq W'^{\perp}$  and  $\phi|_{X \times X}$  is nondegenerate. Now, let W = W' + X. Since  $W' \cap W'^{\perp} = \{0_V\}$  and  $X \subseteq W'^{\perp}$ , we have  $W = W' \oplus X$ . So  $u = w_0 + w_1 \in W' + X = W$  and  $U = U' + \operatorname{span}(\{u\}) \subseteq W' + X = W$ . Also, if there exists w = w' + x such that  $\phi(w,v) = 0_F$  for all  $v \in W$ , then  $\phi(w' + x,w'_1 + x_1) = 0_F$  for all  $w'_1 \in W'$ ,  $x_1 \in X$ , implying  $\phi(w',w'_1) + \phi(w',x_1) + \phi(x,w'_1) + \phi(x,x_1) = \phi(w',w'_1) + \phi(x,x_1) = 0_F$  for all  $w'_1 \in W'$ ,  $x_1 \in X$ . Hence,  $\phi(w',w'_1) = 0_F$  and  $\phi(x,x_1) = 0_F$  for all  $w'_1 \in W'$ ,  $x_1 \in X$ . But since  $\phi|_{W' \times W'}$  and  $\phi|_{X \times X}$  are both nondegenerate, this forces  $w' = 0_V$ ,  $x = 0_V$ , and  $w = 0_V$ . Thus,  $\phi|_{W \times W}$  is nondegenerate and W satisfies the conditions.

By induction, we are done.  $\Box$ 

- (c) If  $v \in W^{\perp}$ , then  $\phi(v, w) = 0$  for all  $w \in W$ . But  $U \subseteq W$ , so  $\phi(v, u) = 0$  for all  $u \in U$ . Hence,  $v \in U^{\perp}$ , implying  $W^{\perp} \subseteq U^{\perp}$ . Thus,  $U^{\perp} = V \cap U^{\perp} = (W^{\perp} \oplus W) \cap U^{\perp} = (W^{\perp} \cap U^{\perp}) \oplus (W \cap U^{\perp}) = W^{\perp} \oplus (W \cap U^{\perp})$ .
- (d) We prove the following claims:

Claim 1: For any subspaces A, B of V,  $(A+B)^{\perp} = A^{\perp} \cap B^{\perp}$ .

*Proof:* Let  $v \in (A+B)^{\perp}$ . Then for all  $a \in A, b \in B$ , we have  $\phi(v, a+b) = 0_F$ . But we can just set  $a = 0_V$  or  $b = 0_V$ , giving us  $\phi(v, a) = 0_F$  for all  $a \in A$  and  $\phi(v, b) = 0_F$  for all  $b \in B$ , implying  $v \in A^{\perp} \cap B^{\perp}$  and  $(A+B)^{\perp} \subseteq A^{\perp} \cap B^{\perp}$ .

Now let  $w \in A^{\perp} \cap B^{\perp}$ . Each element c of A+B can be expressed as c=a+b for some  $a \in A, b \in B$ . But  $\phi(w,a) = \phi(w,b) = 0_F$ , so  $\phi(w,c) = \phi(w,a) + \phi(w,b) = 0_F$ . Hence,  $w \in (A+B)^{\perp}$  and  $A^{\perp} \cap B^{\perp} \subseteq (A+B)^{\perp}$ . Therefore,  $(A+B)^{\perp} = A^{\perp} \cap B^{\perp}$  and the claim is proven.

Claim 2:  $(W^{\perp})^{\perp} = W$ .

*Proof:* Note  $\phi|_{W^{\perp}\times W^{\perp}}$  is nondegenerate and  $V=W\oplus W^{\perp}$ .

If  $w \in W$ , then for all  $u \in W^{\perp}$ , we must have  $\phi(w, u) = 0_F$ . Hence,  $w \in (W^{\perp})^{\perp}$ .

If  $w \in (W^{\perp})^{\perp}$ , then w = w' + u for some  $w' \in W$  and  $u \in W^{\perp}$ . It follows that  $\phi(w, u') = 0_F$  for all  $u' \in W^{\perp}$ , so  $\phi(w', u') + \phi(u, u') = 0_F$  for all  $u' \in W^{\perp}$ . But  $\phi(w', u') = 0_F$ , so  $\phi(u, u') = 0_F$  for all  $u' \in W^{\perp}$ . This forces  $u = 0_V$ , so  $w \in W$  and  $(W^{\perp})^{\perp} \subseteq W$ . Therefore,  $(W^{\perp})^{\perp} = W$  and the claim is proven.

Since  $U^{\perp} = (U^{\perp} \cap W) + W^{\perp}$  from part (c), by Claims 1 and 2, we have  $(U^{\perp})^{\perp} = (U^{\perp} \cap W)^{\perp} \cap (W^{\perp})^{\perp} = (U^{\perp} \cap W)^{\perp} \cap W$ .

(e) Note that W is a finite-dimensional vector space equipped with a nondegenerate symmetric bilinear form  $\psi = \phi|_{W \times W}$ . For any  $X \subseteq W$ , we have  $X^{\perp_{\psi}} = \{w \in W \mid \psi(w, x) = 0_F \, \forall x \in X\} = X^{\perp} \cap W$ . Then  $(U^{\perp_{\psi}})^{\perp_{\psi}} = (U^{\perp_{\psi}})^{\perp} \cap W = (U^{\perp} \cap W)^{\perp} \cap W = (U^{\perp})^{\perp}$ . Since  $(U^{\perp_{\psi}})^{\perp_{\psi}} = U$  from W being finite-dimensional, we have  $(U^{\perp})^{\perp} = U$ .