# MA2101S - Linear Algebra II (S) Suggested Solutions

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# Question 1

Let F be a field, and let V and W be F-vector spaces (not necessarily finite dimensional). Let  $T:V\to W$  be an F-linear map, and let  $T^*:W^*\to V^*$  denote the transpose (or dual) of T, obtained by setting  $T^*(g):=g\circ T$  for any  $g\in W^*$ .

- (a) Show that T is surjective if and only if  $T^*$  is injective.
- (b) Show that T is injective if and only if  $T^*$  is surjective.

#### Solution:

(a) If T is surjective, let  $f, g \in W^*$  such that  $T^*(f) = T^*(g)$ . Then for all  $v \in V$ , f(T(v)) = g(T(v)). Since (f - g)(T(v)) = 0 for all  $v \in V$  and T is surjective, (f - g)(w) = 0 for all  $w \in W$ , implying f = g and  $T^*$  is injective.

If T is not surjective, then  $\text{Im}(T) \subsetneq W$ . Extend a basis  $\beta$  of Im(T) to a basis  $\beta \cup \beta'$  of W. Let  $f \in W^*$  satisfying  $f(w_i) = 0 \ \forall w_i \in \beta$  and  $f(w_j) = 1 \ \forall w_j \in \beta'$ . Note that  $T^*(f) = 0$ , but  $f \neq 0$ , so  $T^*$  is not injective.

(b) If T is injective, note that there exists a linear map  $S: \operatorname{Im}(T) \to V$  such that  $S \circ T = \operatorname{id}_V$ . Let U be a subspace of W such that  $W = \operatorname{Im}(T) \oplus U$ . Fix  $f \in V^*$ , and let  $g \in W^*$  satisfying  $g(u) = 0 \ \forall u \in U$  and  $g(w) = f(S(w)) \ \forall w \in \operatorname{Im}(T)$ . Then  $(T^*(g))(v) = (g \circ T)(v) = g(T(v)) = f(S(T(v))) = f(v) \ \forall v \in V \Rightarrow T^*(g) = f$ , hence,  $T^*$  is surjective.

If  $T^*$  is surjective, then  $\text{Im}(T^*) = V^*$ . For any  $f \in V^*$ , there exists  $g \in W^*$  such that  $f = T^*(g) = g \circ T$ . So for all  $v \in \text{Ker}(T)$ , f(v) = 0. Assume that there exists nonzero  $v \in \text{Ker}(T)$ , then we can construct  $f \in V^*$  such that f(v) = 1, contradiction. Thus,  $\text{Ker}(T) = \{0\}$ , implying that T is injective.

Let F be a field, let V be a finite dimensional F-vector space, and let  $T \in \mathcal{L}(V)$  be an F-linear operator on V. Show that there exists a vector  $v \in V$  with the following property: for any polynomial  $f \in F[t]$ , if f(T)v = 0 for in V, then f(T) = 0 in  $\mathcal{L}(V)$ .

#### **Solution:**

Let

$$p_T(t) = (\phi_1(t))^{m_1} (\phi_2(t))^{m_2} \dots (\phi_k(t))^{m_k}$$

be the minimal polynomial of T where  $\phi_1(t), \phi_2(t), \dots, \phi_k(t)$  are distinct irreducible monic polynomials and  $m_1, m_2, \dots, m_k$  are positive integers.

Then by the Primary Decomposition Theorem, we have

$$V = \bigoplus_{i=1}^{k} W_i,$$

where  $W_i = \text{Ker}(\phi_i(t)^{m_i})$  for all  $i \in \{1, 2, ..., k\}$ , each of them being T-invariant.

For each 
$$i \in \{1, 2, \dots, k\}$$
, let  $u_i \in W_i \setminus \operatorname{Ker}(\phi_i(t)^{m_i-1})$ , and let  $v = \sum_{i=1}^k u_i$ .

Let f be any polynomial such that f(T)v = 0. Then  $\sum_{i=1}^{k} f(T)u_i = 0$ , implying  $f(T)u_i = 0$ 

for all  $i \in \{1, 2, ..., k\}$ . Note that for all  $i \in \{1, 2, ..., k\}$ , the T-annihilator of  $u_i$  is  $\phi_i(t)^{m_i}$ , so  $\phi_i(t)^{m_i} \mid f(t)$ . Thus,  $p_T(t) \mid f(t)$ , implying that f(T) = 0.

Let V be a 7-dimensional  $\mathbb{C}$ -vector space, and let  $T \in \mathcal{L}(V)$  be a linear operator on V, with Jordan canonical form

$$\begin{pmatrix} 2 & 1 & 0 & & & \\ 0 & 2 & 1 & & & \\ 0 & 0 & 2 & & & \\ & & & 2 & 1 & \\ & & & 0 & 2 & \\ & & & & 3 \\ & & & & 3 \end{pmatrix}.$$

For each eigenvalue  $\lambda$  of T, we let  $E_{\lambda}$  and  $K_{\lambda}$  denote respectively the  $\lambda$ -eigenspace and the  $\lambda$ -generalized eigenspace of T, and we let  $T|_{K_{\lambda}}$  denote the restriction of T to  $K_{\lambda}$ .

Determine, with as little computation as possible,

(a) the characteristic polynomial of T;

and for each eigenvalue  $\lambda$  of T:

- (b) the dimensions  $\dim(E_{\lambda})$  and  $\dim(K_{\lambda})$ ;
- (c) the smallest  $p \in \mathbb{Z}_{>0}$  such that  $K_{\lambda} = \operatorname{Ker}(T|_{K_{\lambda}} \lambda)^{p}$ ;
- (d) the dimensions dim  $\operatorname{Ker}(T|_{K_{\lambda}} \lambda)$ , dim  $\operatorname{Ker}(T|_{K_{\lambda}} \lambda)^2$ , and dim  $\operatorname{Ker}(T|_{K_{\lambda}} \lambda)^3$ .

#### **Solution:**

- (a) the characteristic polynomial of the JCF of  $T = (\mathbf{t} \mathbf{2})^{\mathbf{5}} (\mathbf{t} \mathbf{3})^{\mathbf{2}}$
- (b)  $\dim(E_2) = \text{number of Jordan blocks of eigenvalue } 2 = \mathbf{2}$   $\dim(E_3) = \text{number of Jordan blocks of eigenvalue } 3 = \mathbf{2}$   $\dim(K_2) = \text{number of times 2 appears in the diagonal of JCF} = \mathbf{5}$  $\dim(K_3) = \text{number of times 3 appears in the diagonal of JCF} = \mathbf{2}$
- (c) smallest p for  $K_2$  = the size of the largest Jordan block corresponding to value 2 = 3 smallest p for  $K_3$  = the size of the largest Jordan block corresponding to value 3 = 1
- (d)  $\dim \operatorname{Ker}(T|_{K_2} 2\operatorname{id}_V) = \dim(E_2) = \mathbf{2}$   $\dim \operatorname{Ker}(T|_{K_3} - 3\operatorname{id}_V) = \dim(K_3) = \mathbf{2}$ There are 2 Jordan blocks of at least size 2 and eigenvalue 2, so  $\dim \operatorname{Ker}(T|_{K_2} - 2\operatorname{id}_V)^2 = \dim(E_2) + 2 = \mathbf{4}$   $\dim \operatorname{Ker}(T|_{K_3} - 3\operatorname{id}_V)^2 = \dim(K_3) = \mathbf{2}$   $\dim \operatorname{Ker}(T|_{K_2} - 2\operatorname{id}_V)^3 = \dim(K_2) = \mathbf{5}$  $\dim \operatorname{Ker}(T|_{K_3} - 3\operatorname{id}_V)^3 = \dim(K_3) = \mathbf{2}$

Let  $A \in \mathbb{M}_5(\mathbb{C})$  denote the  $5 \times 5$  matrix

$$A := \begin{pmatrix} 2 & 1 & 1 & 1 & 1 \\ 0 & 2 & 0 & 0 & 1 \\ 0 & 0 & 2 & 0 & 1 \\ 0 & 0 & 0 & 2 & 1 \\ 0 & 0 & 0 & 0 & 2 \end{pmatrix}.$$

Determine a Jordan canonical form  $J \in \mathbb{M}_5(\mathbb{C})$  of A, as well as an invertible matrix  $Q \in \mathrm{GL}_5(\mathbb{C})$  such that  $Q^{-1}AQ = J$ .

**Solution:** Note that 2 is the only eigenvalue of A. We see that

Note that dim Ker(A - 2I) = 3, dim  $Ker(A - 2I)^2 = 4$ , and dim  $Ker(A - 2I)^3 = 5$ , so a JCF of A contains 1 Jordan block of size 3 and 2 Jordan blocks of size 1.

Note that 
$$\begin{pmatrix} 0 \\ 0 \\ 0 \\ 0 \\ 1 \end{pmatrix} \in \operatorname{Ker}(A-2I)^3 \setminus \operatorname{Ker}(A-2I)^2 \text{ and } (A-2I) \begin{pmatrix} 0 \\ 0 \\ 0 \\ 0 \\ 1 \end{pmatrix} = \begin{pmatrix} 1 \\ 1 \\ 1 \\ 0 \end{pmatrix}, \text{ and } \begin{pmatrix} 1 \\ 1 \\ 1 \\ 0 \end{pmatrix}$$

$$(A-2I)^2\begin{pmatrix}0\\0\\0\\0\\1\end{pmatrix}=\begin{pmatrix}3\\0\\0\\0\\0\end{pmatrix}, \text{ and we see that } \left\{\begin{pmatrix}3\\0\\0\\0\\0\end{pmatrix}, \begin{pmatrix}0\\1\\-1\\0\\0\end{pmatrix}, \begin{pmatrix}0\\0\\1\\-1\\0\end{pmatrix}\right\} \text{ is a basis for } \operatorname{Ker}(A-2I).$$

Hence, J is a JCF of A and  $Q^{-1}AQ = J$  where

$$J = \begin{pmatrix} 2 & 1 & 0 & 0 & 0 \\ 0 & 2 & 1 & 0 & 0 \\ 0 & 0 & 2 & 0 & 0 \\ 0 & 0 & 0 & 2 & 0 \\ 0 & 0 & 0 & 0 & 2 \end{pmatrix} \text{ and } Q = \begin{pmatrix} 3 & 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 1 & 0 \\ 0 & 1 & 0 & -1 & 1 \\ 0 & 1 & 0 & 0 & -1 \\ 0 & 0 & 1 & 0 & 0 \end{pmatrix}.$$

Determine a list of as many entries  $A \in M_8(\mathbb{R})$  as possible satisfying:

- (i) the characteristic polynomial of each matrix is  $(t-1)^4(t^2+3)^2$ ,
- (ii) each matrix A satisfies  $(A-1)^2(A^2+3)^2=0$  in  $\mathbb{M}_8(\mathbb{R})$ ,
- (iii) no two matrices in the list are similar to each other over  $\mathbb{R}$  (i.e. they are pairwise not  $GL_8(\mathbb{R})$ -conjugate to each other).

**Solution:** The minimal polynomial of A can only be  $(t-1)(t^2+3), (t-1)^2(t^2+3), (t-1)(t^2+3)^2$ , or  $(t-1)^2(t^2+3)^2$ . We list all rational canonical forms satisfying (i), (ii):

Case 1: The minimal polynomial of A is  $(t-1)(t^2+3)$ . The only possible RCF is that with invariant factors  $t-1 \mid t-1 \mid (t-1)(t^2+3) \mid (t-1)(t^2+3)$ .

Case 2: The minimal polynomial of A is  $(t-1)^2(t^2+3)$ . There are two possible RCFs, one with invariant factors  $(t-1)^2(t^2+3) \mid (t-1)^2(t^2+3)$  and another with invariant factors  $t-1 \mid (t-1)(t^2+3) \mid (t-1)^2(t^2+3)$ .

Case 3: The minimal polynomial of A is  $(t-1)(t^2+3)^2$ . The only possible RCF is that with invariant factors  $t-1 \mid t-1 \mid (t-1)(t^2+3)^2$ .

Case 4: The minimal polynomial of A is  $(t-1)^2(t^2+3)^2$ . There are two possible RCFs, one with invariant factors  $(t-1)^2 \mid (t-1)^2(t^2+3)^2$  and another with invariant factors  $t-1 \mid t-1 \mid (t-1)^2(t^2+3)^2$ .

These 6 RCFs give us the following list (the blank spaces are to be filled with 0s):

$$\begin{pmatrix} 0 & 0 & 0 & -9 \\ 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & -6 \\ 0 & 0 & 1 & 0 \\ & & & 0 & -1 \\ & & & 1 & 2 \\ & & & & 0 & -1 \\ & & & & 1 & 2 \end{pmatrix}, \begin{pmatrix} 0 & 0 & 0 & -9 \\ 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & -6 \\ 0 & 0 & 1 & 0 \\ & & & & 0 & -1 \\ & & & & 1 & 2 \\ & & & & & 1 \\ & & & & & 1 \end{pmatrix}.$$

Since two similar matrices must have the same RCF, this list satisfies condition (iii) and there can only be at most 6 entries in such a list.  $\Box$