

2IMW30 - FOUNDATION OF DATA MINING

Assignment 1a Report

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EXERCISE 1

In this assignment, we implement the nearest neighbor classifier using the cosine distance to recognize handwritten digits.

We try three approaches for this task. The first one is implementing everything from scratch, the second one is using Numpy library to compute the dot products and handle the math operations, and the third technique is using Scikit Learn library only for making comparison and validating our implementation.

It is worth noting that we changed the original code a little bit for running it in Python 3.5 as it was Python 2.7. The code is available in the Github repository <https://github.com/MazenAly/datamining>.

The dataset that we have contains 50,000 training data points and 10,000 testing data points.

The idea of our implementation is for every test data point, we loop on all the training points and find the one which has the smallest angle (min cosine distance) to that test point. Then we assign the label of the nearest neighbor to the test point.

We then build the confusion matrix of all the labels (digits from 0 to 9). The accuracy is measured by dividing the number of correct predictions by the total number of predictions, the precision is measured by dividing the true positives by the summation of true positives and false positives of each class, and the recall is measured by dividing the true positives by the summation of true positives and false negatives of each class. The detailed code of the nearest-neighbor module is shown in the code snippet below. The full version is accessible via the Github repository.

```
# Manual dot product
def dot_product(v1, v2):
    return sum(map(lambda x: x[0] * x[1], zip(v1, v2)))

def cosine_similarity(v1, v2):
    prod = np.dot(v1, v2)
    # len and len2 are the magnitudes of the 2 vectors
    len1 = np.sqrt(np.dot(v1, v1))
    len2 = np.sqrt(np.dot(v2, v2))
    return np.divide(prod, np.multiply(len1, len2))

def NNClassifier():
    #opening two files for writing the predictions and the true labels.
    f_predicted = open('predicted.txt', 'w')
    f_label = open('label.txt', 'w')
    #for each test entry, we will loop on every training entry and
    #check if it's the closest neighbor so far or not.
    for test_entry in test_data:
        test_features = test_entry[0]
        test_label = test_entry[1]
        # defining variables to save the closest neighbor
        max_sim = 0
        nearest_neighbor = 0
        for training_entry in training_data:
            training_entry_features = training_entry[0]
            #measuring the cosine similarity using scipy library
            sim = cosine_similarity(training_entry_features, test_features)
            # if this entry has the max similarity so far then
            # save this entry as nearest neighbor
            if sim > max_sim:
                max_sim = sim
                nearest_neighbor = training_entry
        # to know the predicted label we get the index of the 1 value,
        # as the label of the training data is an array of 10 values,
        # zeros for all and 1 for the label
        predicated_label = list(nearest_neighbor[1]).index(1)
        #writing the predicted and the actual labels in the files
        f_predicted.write(str(predicated_label) + '\n')
```

```
f_label.write(str(test_label) + '\n')
```

The resulting confusion matrix is shown in table 1. The rows are the predicted labels, and the columns are the true labels. The accuracy is thus computed by summing all the diagonal values (correctly classified) and dividing by the population.

Our implementation (from scratch) has the same accuracy as the Scikit Learn library, which is 0.9708 over all 10000 test points. The error is thus 0.0292. We can see that the diagonal values are extremely bigger than the other cells. In general, nearest-neighbor classifier is very good for classifying discrete hand digits.

Class “9” has the worst precision, 0.93. According to the confusion matrix, 32 instances of class “4” are mis-classified as “9”, which is the highest error among all cells. This can be explained that the shapes of “4” and “9” are more similar than other pairs of digits, especially on handwritten digits.

Class “5” has the worst recall, 0.94. According to the confusion matrix, 19 instances of class “5” are mis-classified as “3”. The previous explanation might still be possible for this case.

Regarding the runtime, when we use our own implementation of cosine similarity as shown in the code, it takes 120 seconds on average to process an instance, which results in an estimated runtime of 333 hours in total. It is too slow. That is why we try using the Numpy library to handle the math. The performance is much improved, when it takes only 0.3 seconds on average to handle an instance, which results in only an hour to classify the whole test set.

Table 1: Confusion Matrix

pred-true	0	1	2	3	4	5	6	7	8	9	Total	Precision
0	978	0	8	0	0	1	3	1	4	9	1004	0.97
1	1	1128	0	0	3	0	3	11	2	6	1154	0.97
2	0	3	1005	1	1	0	0	5	2	1	1018	0.98
3	0	1	5	974	0	19	0	2	15	4	1020	0.95
4	0	1	0	1	937	1	2	1	2	9	954	0.98
5	0	1	0	14	0	847	3	0	4	2	871	0.97
6	0	1	1	0	6	11	947	0	5	1	972	0.97
7	1	0	10	4	2	1	0	997	5	9	1029	0.96
8	0	0	2	8	1	6	0	0	931	4	952	0.97
9	0	0	1	8	32	6	0	11	4	964	1026	0.93
Total	980	1135	1032	1010	982	892	958	1028	974	1009		
Recall	0.99	0.99	0.97	0.96	0.95	0.94	0.98	0.96	0.95	0.95		

EXERCISE 2

In this exercise, we are proving that if the Jaccard similarity of two sets is 0, then minhashing always gives a correct estimate of the Jaccard similarity.

If the Jaccard similarity of two sets is 0, then the intersection of the two set is empty. This means there are no rows which the elements are both “1” in the characteristic matrix. Hence, when performing minhashing, there cannot be any row with the same values in 2 cells. Thus $P(h(S_i) = h(S_j)) = 0$, which is the same as the Jaccard similarity.

EXERCISE 3

In this exercise, we show an example that the cyclic permutations are not enough to estimate the Jaccard similarity correctly.

Let’s take a look at the example in table 2. We will perform a cyclic permutation to estimate the Jaccard similarity.

In this example, we can see that the 2 sets have 2 common words, “b” and “e”. In general, $S1 \cap S2 = \{b, e\}$ and $S1 \cup S2 = \{a, b, d, e\}$. Thus the (correct) Jaccard similarity is $|S1 \cap S2| / |S1 \cup S2| = 1/2$.

Now we perform the cyclic permutation.

- a-b-c-d-e: The signature is 1 - 2.
- b-c-d-e-a: The signature is 1 - 1.

Table 2: Example

	S1	S2
a	1	0
b	1	1
c	0	0
d	0	1
e	1	1

- c-d-e-a-b: The signature is 3 - 2.
- d-e-a-b-c: The signature is 2 - 1.
- e-a-b-c-d: The signature is 1 - 1.

We have 2 rows which contain the same values on 2 cells, and 5 rows in total. So the estimated Jaccard similarity is $2/5$, which is not correct. The reason is the $0 - 0$ row, which makes the next rows to be counted twice in the intersection (while every row is counted only once in the total number of permutations).

EXERCISE 4

In this exercise, we prove the triangle inequality property of Jaccard distance by using the minhash property. That is, the Jaccard distance equals the probability that two sets do not minhash to the same value.

Let's A, B, C be our 3 sets. After constructing the signature matrix, it is inferred from the lecture that:

$$d_j(A, B) = P(\text{minhash}(A) \neq \text{minhash}(B)) = \frac{|\text{minhash}(A) \neq \text{minhash}(B)|}{|AllPermutations|}$$

$$d_j(A, C) = P(\text{minhash}(A) \neq \text{minhash}(C)) = \frac{|\text{minhash}(A) \neq \text{minhash}(C)|}{|AllPermutations|}$$

$$d_j(C, B) = P(\text{minhash}(C) \neq \text{minhash}(B)) = \frac{|\text{minhash}(C) \neq \text{minhash}(B)|}{|AllPermutations|}$$

It is obvious that $\text{minhash}(C)$ can be $\text{minhash}(A)$, $\text{minhash}(B)$, or another value. Then, when $\text{minhash}(A) \neq \text{minhash}(B)$, either $\text{minhash}(C) \neq \text{minhash}(A)$, or $\text{minhash}(C) \neq \text{minhash}(B)$, or $\text{minhash}(C) \neq \text{minhash}(A) \neq \text{minhash}(B)$ is true.

Thus, $|\text{minhash}(A) \neq \text{minhash}(B)| \leq |\text{minhash}(A) \neq \text{minhash}(C)| + |\text{minhash}(C) \neq \text{minhash}(B)|$, which gives:

$$\frac{|\text{minhash}(A) \neq \text{minhash}(B)|}{|AllPermutations|} \leq \frac{|\text{minhash}(A) \neq \text{minhash}(C)|}{|AllPermutations|} + \frac{|\text{minhash}(C) \neq \text{minhash}(B)|}{|AllPermutations|}$$

This is equivalent to:

$$P(\text{minhash}(A) \neq \text{minhash}(B)) \leq P(\text{minhash}(A) \neq \text{minhash}(C)) + P(\text{minhash}(C) \neq \text{minhash}(B))$$

Which completes the proof.