# Algebraic Topology

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Michaelmas 2020

Syllabus

Algebraic Topology Contents

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Algebraic Topology 0 Introduction

# 0 Introduction

#### 0.1 Connectedness

Algebraic topology concerns the connectivity properties of topological spaces.

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**Definition.** A space X is **path-connected** if for  $p, q \in X$ , there exists  $\gamma : [0, 1] \to X$  continuous with  $\gamma(0) = p$  and  $\gamma(1) = q$ .

**Example.**  $\mathbb{R}$  is path-connected, and  $\mathbb{R} \setminus \{0\}$  is not.

**Corollary 0.1** (Intermediate value theorem). If  $f : \mathbb{R} \to \mathbb{R}$  is continuous and x < y satisfy f(x) > 0 and f(y) > 0 then f takes the value zero on [x, y].

*Proof.* Otherwise  $f^{-1}(-\infty,0) \cup f^{-1}(0,\infty)$  disconnect [x,y], a contradiction.

**Definition.** Let X and Y be topological spaces. Maps  $f_0, f_1 : Y \to X$  are **homotopic** if there exists  $F: Y \times [0,1] \to X$  continuous such that  $F|_{Y \times \{0\}} = f_0$  and  $F|_{Y \times \{1\}} = f_1$ . Write  $f_0 \simeq f_1$ , or  $f_0 \simeq_F f_1$ .

**Exercise.**  $\simeq$  is an equivalence relation on the set of maps from Y to X.

Note that X is **path-connected** if and only if every two maps  $\{\text{point}\} \to X$  are homotopic. Let

$$S^n = \{ x \in \mathbb{R}^{n+1} \mid ||x|| = 1 \},$$

so  $S^1 = \{ z \in \mathbb{C} \mid |z| = 1 \}.$ 

**Definition.** X is simply-connected if every two maps  $S^1 \to X$  are homotopic.

**Example.**  $\mathbb{R}^2$  is simply-connected, and  $\mathbb{R}^2 \setminus \{0\}$  is not. From complex analysis you know  $\gamma : S^1 \to \mathbb{R}^2 \setminus \{0\}$  has a **winding number** or **degree** deg  $\gamma \in \mathbb{Z}$ , for which

- if  $\gamma_n(t) = e^{2\pi i nt}$  then deg  $\gamma_n = n$ , and
- $\deg \gamma_1 = \deg \gamma_2$  if  $\gamma_1 \simeq \gamma_2$ .

For differentiable  $\gamma$ , deg  $\gamma = \frac{1}{2\pi i} \int_{\gamma} \frac{1}{z} dz$ .

Corollary 0.2 (Fundamental theorem of algebra). Every non-constant complex polynomial has a root.

*Proof.* Let  $f(z) = z^n + a_1 z^{n-1} + \cdots + a_n$  be non-constant, and without loss of generality monic. Suppose  $f(z) \neq 0$  for all  $z \in \mathbb{C}$ . Let

$$\gamma_R(t) = f\left(Re^{2\pi it}\right),\,$$

so  $\gamma_R: S^1 \to \mathbb{R}^2 \setminus \{0\}$ . Since  $\gamma_0$  is constant,  $\deg \gamma_0 = 0$ , so  $\deg \gamma_R = 0$  for all R. But take  $R \gg \sum_i |a_i|$ . Let

$$f_s(z) = z^n + s(a_1 z^{n-1} + \dots + a_n), \quad 0 \le s \le 1.$$

On the circle |z|=R,  $f_s(z)\neq 0$  for all s. So if

$$\gamma_{R,s}\left(t\right) = f_s\left(Re^{2\pi it}\right),$$

then  $\gamma_{R,1} = \gamma_R$ , which has degree zero from before, and  $\gamma_{R,0} : t \mapsto R^n e^{2\pi i n t}$ , which has degree  $n \neq 0$ , a contradiction.

**Definition.** X is k-connected if every two maps  $S^i \to X$  are homotopic whenever  $i \le k$ .

**Example.**  $\mathbb{R}^n$  is (n-1)-connected, and  $\mathbb{R}^n \setminus \{0\}$  is not. Maps  $S^{n-1} \to \mathbb{R}^n \setminus \{0\}$  have a homotopy invariant degree in  $\mathbb{Z}$ , where the degree of the inclusion is one and the degree of the constant map is zero. You may well not have seen this, and we will prove it later.

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**Corollary 0.3** (Brouwer's theorem). Any map  $f : \overline{B^n} = \{x \in \mathbb{R}^n \mid ||x|| \le 1\} \to \overline{B^n}$  has a fixed point.

*Proof.* Suppose f has no fixed point. Let

$$\gamma_R(v) = Rv - f(Rv), \qquad 0 \le R \le 1, \qquad v \in S^{n-1} = \partial \overline{B^n}.$$

Since f has no fixed point,  $\gamma_R$  takes values in  $\mathbb{R}^n \setminus \{0\}$ . Since  $\gamma_0$  is constant,  $\deg \gamma_0 = 0$ , so  $\deg \gamma_1 = 0$  by homotopy invariance. Let

$$\gamma_{1,s}(v) = v - sf(v), \quad 0 \le s \le 1.$$

Then  $\gamma_{1,1} = \gamma_1$ , and im  $\gamma_{1,s} \subseteq \mathbb{R}^n \setminus \{0\}$  as ||v|| = 1 and ||sf(v)|| = |s|||f(v)|| < 1 if s < 1, so deg  $\gamma_{1,0} = \deg \gamma_{1,1}$ . The inclusion has deg  $\gamma_{1,0} = 1$  and deg  $\gamma_{1,1} = 0$  from above, a contradiction.

# 0.2 Homotopy

**Definition.**  $f: X \to Y$  is a **homotopy equivalence** if there exists  $g: Y \to X$  such that  $f \circ g \simeq \mathrm{id}_Y$  and  $g \circ f \simeq \mathrm{id}_X$ . Then g is a **homotopy inverse** for f, and  $\simeq$  is an equivalence relation on spaces.

**Example.** If X and Y are homeomorphic they are trivially homotopy equivalent, by taking  $g = f^{-1}$ .

**Example.**  $\mathbb{R}^n \setminus \{0\} \simeq \mathbb{S}^{n-1}$ . Let

$$\begin{array}{cccc} f & : & \mathbb{R}^n \setminus \{0\} & \longrightarrow & \mathbf{S}^{n-1} \\ & v & \longmapsto & \frac{v}{\|v\|} \end{array},$$

and let  $g: S^{n-1} \hookrightarrow \mathbb{R}^n \setminus \{0\}$  be the inclusion. Then  $f \circ g = \mathrm{id}_{S^{n-1}}$  and  $g \circ f \simeq_F \mathrm{id}_{\mathbb{R}^n \setminus \{0\}}$  via the homotopy

$$F(t,v) = tv + (1-t)\frac{v}{\|v\|}.$$

**Example.**  $\{0\} \simeq \mathbb{R}^n$  is a homotopy equivalence. <sup>1</sup> If  $X \simeq \{\text{point}\}$  we say X is **contractible**.

Algebraic topology is the study of topological spaces up to homotopy equivalence. The idea is that homeomorphism is too delicate a relation. Homotopy equivalence keeps track of essential topological information. More precisely, we assign

$$\{\text{spaces}\} \to \{\text{groups}\}, \quad \{\text{maps of spaces}\} \to \{\text{homomorphism of groups}\},$$

so we get algebraic invariants. They are defined for all spaces, but have more structure and use or interest for nicer spaces. The classical first attempt is homotopy theory. One can concatenate loops  $\gamma$  and  $\tau$  by

$$(\gamma * \tau)(t) = \begin{cases} \gamma(2t) & t \leq \frac{1}{2} \\ \tau(1 - 2t) & t \geq \frac{1}{2} \end{cases}.$$

This is a well-defined operation on the **fundamental group** 

$$\pi_1(X, x_0) = \{\text{maps } \gamma : S^1 \to X \mid \gamma(0) = x_0 \text{ fixed} \} / (\simeq \text{ preserving } x_0).$$

Similarly, the *n***-th homotopy group** is

$$\pi_n(X, x_0) = \{ \text{based maps } S^n \to X \text{ at } x_0 \} / \simeq .$$

The issue is that they are very hard to compute, such as  $\pi_n(S^2, x_0)$  not known for all n. There is no simply-connected **manifold**, a Hausdorff second countable space X locally homeomorphic to  $\mathbb{R}^n$ , of dimension greater than zero, with  $\pi_n(X)$  known for all n. So we will do something else, homology and cohomology. It is algebraically harder to set up, but the computational gain is worth it. Note that computing cohomology of harder spaces, such as the space of diffeomorphisms of some manifold or the space of embeddings of one manifold into another, is still very hard.

#### Remark.

- Algebraic topology is all about being able to compute. It is important to do lots of examples.
- Our nice spaces are manifolds and indeed smooth manifolds. There is some overlap with differential geometry which will be useful, not essential but advised.

<sup>&</sup>lt;sup>1</sup>Exercise: check

# 1 Singular homology and cohomology

We will define invariants of spaces in two stages.

- ullet Associate to X a chain or cochain complex.
- Take the homology or cohomology of that complex.

# 1.1 Chain and cochain complexes

**Definition.** A chain complex  $(C_{\bullet}, \partial)$  is a sequence of abelian groups and homomorphisms

$$\cdots \to C_{n+1} \xrightarrow{\partial_{n+1}} C_n \xrightarrow{\partial_n} C_{n-1} \to \ldots,$$

such that  $\partial_n \circ \partial_{n+1} = 0$  for all n. We write  $\partial^2 = 0$ , and  $\partial$  is the **differential** or **boundary map**. The **homology groups**  $H_{\bullet}(C_{\bullet}, \partial)$  are the graded groups

$$H_n(C_{\bullet}) = \ker \partial_n / \operatorname{im} \partial_{n+1}.$$

**Definition.** A cochain complex  $(C^{\bullet}, \partial)$  is a sequence of abelian groups and homomorphisms

$$\cdots \to C^{n-1} \xrightarrow{\partial^{n-1}} C^n \xrightarrow{\partial^n} C^{n+1} \to \ldots,$$

such that  $\partial^n \circ \partial^{n-1} = 0$  for all n. We write  $\partial^2 = 0$ , and  $\partial$  is still the **differential** or **boundary map**. The **cohomology groups**  $H^{\bullet}(C^{\bullet}, \partial)$  are

$$H^n(C^{\bullet}) = \ker \partial^n / \operatorname{im} \partial^{n-1}.$$

Elements of  $\ker(\partial: C_n \to C_{n-1})$  are **cycles**. Elements of  $\operatorname{im}(\partial: C_{n+1} \to C_n)$  are **boundaries**. Elements of  $\ker(\partial: C^n \to C^{n+1})$  are **cocycles**. Elements of  $\operatorname{im}(\partial: C^{n-1} \to C^n)$  are **coboundaries**. Write all  $\partial_i$  and  $\partial^i$  as  $\partial$ , or occasionally  $\partial_{\bullet}$  and  $\partial^{\bullet}$ . Elements of  $\operatorname{H}_{\bullet}(C_{\bullet})$  are **homology classes** and of  $\operatorname{H}^{\bullet}(C^{\bullet})$  are **cohomology classes**.

**Definition.** A chain map between chain complexes  $(C_{\bullet}, \partial)$  and  $(D_{\bullet}, \partial)$  is a sequence of homomorphisms  $f_n : C_n \to D_n$  such that for all n the diagram

$$\begin{array}{ccc}
& \cdots & \longrightarrow C_n & \xrightarrow{\partial} & C_{n-1} & \longrightarrow & \cdots \\
& & \downarrow f_{n-1} & & \downarrow f_{n-1} & & \cdots \\
& \cdots & \longrightarrow D_n & \xrightarrow{\partial} & D_{n-1} & \longrightarrow & \cdots
\end{array}$$

commutes. That is,  $f_{n-1} \circ \partial_n^{C_{\bullet}} = \partial_n^{D_{\bullet}} \circ f_n$ .

Exercise. Define a cochain map of cochain complexes.

**Lemma 1.1.** A chain map  $f: C_{\bullet} \to D_{\bullet}$  induces homomorphisms  $(f_*)_n: H_n(C_{\bullet}) \to H_n(D_{\bullet})$  for each n.

Proof. Let  $[a] \in H_n(C_{\bullet})$ , so a is represented by a cycle  $\alpha \in C_n$ , where  $\partial(\alpha) = 0$ . Then  $\partial(f_n(\alpha)) = f_{n-1}(\partial(\alpha)) = 0$ , so  $f_n(\alpha)$  is a cycle. Define  $(f_*)_n([a]) = [f_n(\alpha)] \in H_n(D_{\bullet})$ . We made a choice of representing the cycle  $\alpha$ . But if [a] is represented by  $\alpha$  and  $\alpha'$ , then  $\alpha - \alpha' \in \operatorname{im}(\partial_{n+1} : C_{n+1} \to C_n)$ . Say  $\alpha - \alpha' = \partial(\tau)$ . Then  $f_n(\alpha) - f_n(\alpha') = f_n(\alpha - \alpha') = f_n(\partial(\tau)) = \partial(f_{n+1}(\tau))$ , so  $[f_n(\alpha)] = [f_n(\alpha') + \partial(f_{n+1}(\tau))] = [f_n(\alpha')]$  as  $[\operatorname{im} \partial] = 0$  in  $H_n(D_{\bullet})$ . So  $(f_*)_n$  is well-defined, and it is easy to see it is a homomorphism.  $\square$ 

**Exercise.** If  $C_{\bullet}, D_{\bullet}, E_{\bullet}$  are chain complexes and  $f: C_{\bullet} \to D_{\bullet}$  and  $g: D_{\bullet} \to E_{\bullet}$  are chain maps then  $\{g_n \circ f_n: C_n \to E_n\}_n$  defines a chain map. Also

$$(g \circ f)_* = g_* \circ f_*, \qquad (\mathrm{id}_{C_{\bullet}})_* = \mathrm{id}_{\mathrm{H}_{\bullet}(C_{\bullet})}$$

$$\tag{1}$$

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Lecture 2

Monday

The goal is to associate to a space X chain complexes  $C_{\bullet}(X)$  and cochain complexes  $C^{\bullet}(X)$  such that a map  $f: X \to Y$  yields chain maps  $f: C_{\bullet}(X) \to C_{\bullet}(Y)$  and cochain maps  $f: C^{\bullet}(Y) \to C^{\bullet}(X)$ . Then (1) will say we have a functor

$$\begin{array}{ccc} \mathbf{Top} & \longrightarrow & \mathbf{Ab} \\ X & \longmapsto & \mathrm{H}_{\bullet}\left(X\right) \end{array},$$

from the category of topological spaces and continuous maps to the category of abelian groups and homomorphisms. Our complexes  $C_{\bullet}$  and  $C^{\bullet}$  will have the benefit that they are intrinsic but will be huge and unwieldy. We will

- prove structure theorems to help compute, and
- find smaller complexes later for nice spaces, such as CW-complexes.

# 1.2 Singular homology and cohomology

**Definition.** The standard simplex is

$$\Delta^{n} = \left\{ (t_0, \dots, t_n) \in \mathbb{R}^{n+1} \mid \forall i, \ t_i \ge 0, \ \sum_{i} t_i = 1 \right\}.$$

The *i*-th face of  $\Delta^n$  is

$$\Delta_i^n = \{ \underline{t} \in \Delta^n \mid t_i = 0 \} .$$

Note that there exists a canonical homeomorphism

$$\delta_i : \Delta^{n-1} \longrightarrow \Delta_i^n \subseteq \Delta^n (t_0, \dots, t_{n-1}) \longmapsto (t_0, \dots, t_{i-1}, 0, t_i, \dots, t_{n-1})$$

**Definition.** If X is a space, a singular n-simplex in X is a map  $\sigma: \Delta^n \to X$ . The singular chain complex  $(C_{\bullet}(X), \partial)$  has

$$C_n(X) = \left\{ \sum_{i=1}^N n_i \sigma_i \mid N < \infty, \ n_i \in \mathbb{Z}, \ \sigma_i : \Delta^n \to X \right\},$$

the free abelian group on the singular n-simplices in X, and

$$\begin{array}{cccc} \partial & : & \mathrm{C}_n\left(X\right) & \longrightarrow & \mathrm{C}_{n-1}\left(X\right) \\ & \sigma & \longmapsto & \sum_{i=0}^n \left(-1\right)^i \left(\sigma \circ \delta_i\right) \end{array},$$

extended linearly.

**Example.**  $\Delta^0$  is a point,  $\Delta^1$  is a line,  $\Delta^2$  is a triangle, and  $\Delta^3$  is a tetrahedron.

Note that n+1 ordered points  $\{v_i\}_{0 \le i \le n} \subseteq \mathbb{R}^{n+1}$  determine an n-simplex if  $\{v_i - v_0 \mid 1 \le i \le n\}$  are linearly independent, by taking their convex hull, and

We orient the edges  $v_i \to v_j$  if i < j. Write  $[v_0, \ldots, v_n]$  for this n-simplex, then

$$\partial\left(\sigma\right) = \sum_{i=0}^{n} \left(-1\right)^{i} \left.\sigma\right|_{\left[v_{0}, \dots, \widehat{v_{i}}, \dots, v_{n}\right]},$$

where the index  $\hat{v}_i$  is omitted.

**Lemma 1.2.**  $\partial^2 = 0$ .

Proof.

$$\partial\left(\partial\left(\sigma\right)\right) = \sum_{j < i} \left(-1\right)^{i} \left(-1\right)^{j} \sigma|_{\left[v_{0}, \ldots, \widehat{v_{j}}, \ldots, \widehat{v_{i}}, \ldots, v_{n}\right]} + \sum_{j > i} \left(-1\right)^{i} \left(-1\right)^{j-1} \sigma|_{\left[v_{0}, \ldots, \widehat{v_{i}}, \ldots, \widehat{v_{j}}, \ldots, v_{n}\right]}.$$

Exchange i and j and the two terms cancel.

**Definition.** The singular homology of X is

$$H_{\bullet}(X) = H_{\bullet}(X; \mathbb{Z}) = H_{\bullet}(C_{\bullet}(X), \partial).$$

Trivially this is a homeomorphism invariant of X, since we only used the notion of continuous maps to X to define it.

**Definition.** The singular cochain complex  $(C^{\bullet}(X), \partial^*)$  has

$$C^{n}(X) = \operatorname{Hom}(C_{n}(X), \mathbb{Z}),$$

and

$$\begin{array}{cccc} \partial^{*} & : & \mathbf{C}^{n}\left(X\right) & \longrightarrow & \mathbf{C}^{n+1}\left(X\right) \\ & \psi & \longmapsto & \left(\sigma \mapsto \psi\left(\partial\left(\sigma\right)\right)\right) \end{array}, \qquad \sigma \in \mathbf{C}_{n+1}\left(X\right), \end{array}$$

which is adjoint to  $\partial$ .

Then  $\partial^* (\partial^* (\psi)) (\sigma) = \partial^* (\psi) (\partial (\sigma)) = \psi (\partial (\partial (\sigma))) = 0$ , so  $(\partial^*)^2 = 0$  and this is a cochain complex.

**Definition.** The singular cohomology of X is

$$H^{\bullet}(X; \mathbb{Z}) = H^{\bullet}(C^{\bullet}(X), \partial^{*}).$$

The following is the rough idea.

- $\partial^2 = 0$  implies that the boundary of the boundary vanishes.
- $H_i(X)$  will probe *i*-dimensional holes or regions in X.
- $H^{i}(X)$  will be a rule associating an integer to an *i*-dimensional region of X.

Note that  $H^{\bullet}(X; \mathbb{Z}) \ncong Hom(H_{\bullet}(X), \mathbb{Z})$  in general.

**Remark.** Let  $f: X \to Y$  be continuous. If  $\sigma: \Delta^n \to X$  then  $f \circ \sigma: \Delta^n \to Y$ , so f gives a homomorphism  $(f_{\#})_n: C_n(X) \to C_n(Y)$ . Also  $f \circ \left(\sigma|_{\Delta^n_i}\right) \equiv (f \circ \sigma)|_{\Delta^n_i}$ , since  $f \circ (\sigma \circ \delta_i) = (f \circ \sigma) \circ \delta_i$ . Thus

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$$\begin{array}{cccc} f_{\#} & : & \mathrm{C}_{\bullet}\left(X\right) & \longrightarrow & \mathrm{C}_{\bullet}\left(Y\right) \\ \sigma & \longmapsto & f \circ \sigma \end{array}$$

is a chain map such that

$$C_{n}(X) \xrightarrow{\partial} C_{n-1}(X)$$

$$(f_{\#})_{n} \downarrow \qquad \qquad \downarrow (f_{\#})_{n-1},$$

$$C_{n}(Y) \xrightarrow{\partial} C_{n-1}(Y)$$

which gives homomorphisms

$$f_*: \mathcal{H}_{\bullet}(X) \to \mathcal{H}_{\bullet}(Y)$$
,

that is  $(f_*)_n : H_n(X) \to H_n(Y)$  for each n. By the exercise,

$$\left(\left(f\circ g\right)_{*}\right)_{n}=\left(f_{*}\right)_{n}\circ\left(g_{*}\right)_{n},\qquad\left(\left(\mathrm{id}_{\mathrm{C}_{\bullet}(X)}\right)_{*}\right)_{n}=\mathrm{id}_{\mathrm{H}_{n}(X)}.$$

Note that  $f: X \to Y$  induces a cochain map

$$f^{\#}: C^{\bullet}(Y) \longrightarrow C^{\bullet}(X)$$
  
 $\psi \longmapsto (\sigma \mapsto \psi(f \circ \sigma))$ ,

and homomorphisms

$$f^*: H^{\bullet}(Y) \to H^{\bullet}(X)$$
,

so cohomology is contravariant.

# 1.3 Basic examples

What can we compute?

Lemma 1.3. Let X be a point. Then

$$\mathbf{H}_{i}\left(\{point\}\right) = \begin{cases} \mathbb{Z} & i = 0\\ 0 & otherwise \end{cases}.$$

*Proof.* For each  $n \geq 0$ , there exists a unique n-simplex  $\sigma_n : \Delta^n \to \{\text{point}\}\$ in X, the constant map. Then  $\partial (\sigma_1) = \sigma_1 \circ \delta_0 - \sigma_1 \circ \delta_1 = \sigma_0 - \sigma_0 = 0$  and  $\partial (\sigma_2) = \sigma_2 \circ \delta_0 - \sigma_2 \circ \delta_1 + \sigma_2 \circ \delta_2 = \sigma_1 - \sigma_1 + \sigma_1 = \sigma_1$ , and

$$\partial \left(\sigma_n\right) = \begin{cases} \sigma_{n-1} & n \text{ even} \\ 0 & n \text{ odd} \end{cases}.$$

So  $C_{\bullet}$  ({point}) is

Now check the result.

Exercise.

$$\mathbf{H}^{i}\left(\{\text{point}\}\right) \cong \begin{cases} \mathbb{Z} & i = 0\\ 0 & \text{otherwise} \end{cases}.$$

There is basically only one other computation we can do from the definitions.

**Lemma 1.4.** If  $X = \bigsqcup_{\alpha \in I} X_{\alpha}$  is a disjoint union of path-components,

$$H_i(X) \cong \bigoplus_{\alpha \in I} H_i(X_\alpha).$$

*Proof.* Any continuous map  $\sigma: \Delta^i \to X$  has image in one  $X_\alpha$  and then all the faces of  $\sigma$  lie in the same  $X_\alpha$ , so

$$C_{\bullet}(X) = \bigoplus_{\alpha} C_{\bullet}(X_{\alpha}),$$

compatibly with the differential.

**Lemma 1.5.** If X is path-connected and non-empty,

$$H_0(X) \cong \mathbb{Z}$$
.

We sometimes write  $\pi_0(X)$  for the set of path-components of X.

*Proof.* Define the **augmentation** 

where  $\sigma_i: \{\text{point}\} \to X$  are 0-simplices in X. Since  $X \neq \emptyset$ ,  $\epsilon$  is onto. If  $\tau = [v_0, v_1]: \Delta^1 \to X$ , then  $\epsilon(\partial(\tau)) = \epsilon(v_1 - v_0) = 0$ . So im  $(\partial: C_1(X) \to C_0(X)) \subseteq \ker \epsilon$ , so  $\epsilon$  defines  $H_0(X) = C_0(X) / \operatorname{im} \partial \to \mathbb{Z}$ . So far we did not use path-connectivity. But suppose  $\sum_i n_i \sigma_i \in \ker \epsilon$ . Fix a basepoint  $p \in X$ . For all i pick

$$\tau_{i} : \Delta^{i} \cong [0,1] \longrightarrow X$$

$$1 \longmapsto \sigma_{i} .$$

$$0 \longmapsto p$$

Then  $\partial \left(\sum_{i} n_{i} \tau_{i}\right) = \sum_{i} n_{i} \sigma_{i} - \left(\sum_{i} n_{i}\right) p = \sum_{i} n_{i} \sigma_{i}$ , as  $\sum_{i} n_{i} \sigma_{i} \in \ker \epsilon$ , so  $\ker \epsilon \subseteq \operatorname{im} \partial$  and  $\epsilon : \operatorname{H}_{0}\left(X\right) \xrightarrow{\sim} \mathbb{Z}$ .

## 1.4 Structural theorems

The following is an informal picture. Let X be an annulus, and let  $\sigma: \Delta^1 \to X$  be a 1-simplex, which happens to be a closed loop  $[0,1] \to X$  going around the inner circle. Recall that  $\sigma$  has  $\partial(\sigma) = \sigma(1) - \sigma(0) = 0$ , so  $\sigma$  defines  $[\sigma] \in \mathcal{H}_1(X)$ . We would hope this is non-zero, as we cannot see a way to fill in  $\sigma$  with 2-simplices, in contrast to a 1-simplex  $\tau: \Delta^1 \cong [0,1] \to X$  away from the inner circle. But  $C_i(X)$  is uncountably generated for all i and very hard to control. A question is how do we rule out all configurations of 2-simplices, or other representatives for  $[\sigma] \in \mathcal{H}_i(X)$ ? Informally, in the realm of nice spaces, there is nothing else you can compute from the definition. Homology and cohomology are rendered useful by a collection of structural theorems. We will state these, and see how to use them, and then return to prove them later.

**Theorem 1.6** (Homotopy invariance). If  $f: X \to Y$  and  $g: X \to Y$  are homotopic, then

$$f_* = g_* : \mathcal{H}_{\bullet}(Y) \to \mathcal{H}_{\bullet}(Y), \qquad f^* = g^* : \mathcal{H}^{\bullet}(Y) \to \mathcal{H}^{\bullet}(Y).$$

Corollary 1.7. If  $X \simeq Y$  then  $H_{\bullet}(X) \cong H_{\bullet}(Y)$  and  $H^{\bullet}(X) \cong H^{\bullet}(Y)$ .

*Proof.* There exist  $f: X \to Y$  and  $g: Y \to X$  such that  $g \circ f \simeq \mathrm{id}_X$  and  $f \circ g \simeq \mathrm{id}_Y$ , so  $(f_*)^{-1} = g_*$  are isomorphisms.

Thus homology and cohomology are insensitive to inessential deformations of a space.

Corollary 1.8. For every n,

$$\mathbf{H}_{\bullet}\left(\mathbb{R}^{n}\right) = \begin{cases} \mathbb{Z} & \bullet = 0\\ 0 & otherwise \end{cases},$$

and similarly for  $H^{\bullet}(\mathbb{R}^n)$ .

**Definition.** An **exact sequence** is a chain or cochain complex with vanishing homology or cohomology, so

$$\cdots \to C_{n+1} \xrightarrow{\partial_{n+1}} C_n \xrightarrow{\partial_n} C_{n-1} \to \ldots,$$

such that  $\ker \partial_n = \operatorname{im} \partial_{n+1}$  for all n.

• Given homomorphisms

$$A \xrightarrow{f} B \xrightarrow{g} C$$

say this is **exact at** B if  $\ker g = \operatorname{im} f$ .

If

$$0 \to A \xrightarrow{f} B \to 0$$

is exact,  $A \cong_f B$ .

• A short exact sequence is one of shape

$$0 \to A \xrightarrow{f} B \xrightarrow{g} C \to 0.$$

Example. If

$$0 \to \mathbb{Z} \to A \to \mathbb{Z}/n \to 0$$
,

possibly  $A = \mathbb{Z} \oplus \mathbb{Z}/n$ , and

$$0 \to \mathbb{Z} \xrightarrow{1 \mapsto (1,0)} \mathbb{Z} \oplus \mathbb{Z}/n \xrightarrow{(0,1) \mapsto 1} \mathbb{Z}/n \to 0$$

or  $A = \mathbb{Z}$ , and

$$0 \to \mathbb{Z} \xrightarrow{\cdot n} \mathbb{Z} \xrightarrow{p \mapsto p \mod n} \mathbb{Z}/n \to 0.$$

See question sheet 1.

**Theorem 1.9** (Mayer-Vietoris). If  $X = A \cup B$  with A and B open, there are **Mayer-Vietoris boundary** homomorphisms  $\partial_{MV} : H_{i+1}(X) \to H_i(A \cap B)$ , yielding a long exact sequence

$$\cdots \to \mathrm{H}_{i+1}\left(X\right) \xrightarrow{\partial_{\mathrm{MV}}} \mathrm{H}_{i}\left(A \cap B\right) \xrightarrow{\left(\left(i_{A}\right)_{*},\left(i_{B}\right)_{*}\right)} \mathrm{H}_{i}\left(A\right) \oplus \mathrm{H}_{i}\left(B\right) \xrightarrow{\left(j_{A}\right)_{*}-\left(j_{B}\right)_{*}} \mathrm{H}_{i}\left(X\right) \to \ldots,$$

where

$$A \cap B \stackrel{\iota_{A}}{\longrightarrow} A$$

$$i_{B} \downarrow \qquad \qquad \downarrow_{j_{A}} .$$

$$B \stackrel{\iota_{B}}{\longleftarrow} X$$

The Mayer-Vietoris boundary homomorphism is defined algebraically and is not associated to a map of spaces.

**Remark.** Suppose  $\sigma \in C_{i+1}(X)$  is a cycle, so  $\partial(\sigma) = 0$ , and  $\sigma = \alpha + \beta$  for chains  $\alpha \in C_{i+1}(A)$  and  $\beta \in C_{i+1}(B)$ . Then  $\partial(\alpha) = -\partial(\beta)$  and  $\partial_{MV}([\sigma]) = [\partial(\alpha)]$ , since  $\partial(\alpha) \in A \cap B$ .

**Remark.** The Mayer-Vietoris sequence is natural, so if  $X = A \cup B$  and  $Y = C \cup D$  and  $f : X \to Y$  has  $f(A) \subseteq C$  and  $f(B) \subseteq D$  then there are homomorphisms of exact sequences

$$\dots \longrightarrow \operatorname{H}_{i+1}(X) \xrightarrow{\partial_{\operatorname{MV}}} \operatorname{H}_{i}(A \cap B) \longrightarrow \operatorname{H}_{i}(A) \oplus \operatorname{H}_{i}(B) \longrightarrow \operatorname{H}_{i}(X) \longrightarrow \dots$$

$$\downarrow f_{*} \qquad \qquad \downarrow f_{*} \qquad \qquad \downarrow f_{*} \qquad \qquad \downarrow f_{*} \qquad ,$$

$$\dots \longrightarrow \operatorname{H}_{i+1}(Y) \xrightarrow{\partial_{\operatorname{MV}}} \operatorname{H}_{i}(C \cap D) \longrightarrow \operatorname{H}_{i}(C) \oplus \operatorname{H}_{i}(D) \longrightarrow \operatorname{H}_{i}(Y) \longrightarrow \dots$$

such that all squares commute.

**Remark.** There is a Mayer-Vietoris sequence in cohomology, which is also natural. There are  $\partial_{MV}^*$ :  $H^i(A \cap B) \to H^{i+1}(X)$  such that

$$\cdots \to \mathrm{H}^{i}\left(X\right) \xrightarrow{(j_{A}^{*}, j_{B}^{*})} \mathrm{H}^{i}\left(A\right) \oplus \mathrm{H}^{i}\left(B\right) \xrightarrow{i_{A}^{*} - i_{B}^{*}} \mathrm{H}^{i}\left(A \cap B\right) \xrightarrow{\partial_{\mathrm{MV}}} \mathrm{H}^{i+1}\left(X\right) \to \ldots$$

is exact, where

$$\begin{array}{ccc} A \cap B & \stackrel{i_A}{\longleftarrow} & A \\ i_B & & & \int_{j_A} \\ B & \stackrel{j_B}{\longleftarrow} & X \end{array}$$

## 1.5 The sphere

Proposition 1.10.

$$\mathbf{H}_{i}\left(\mathbf{S}^{1}\right)\cong\begin{cases}\mathbb{Z} & i=0,1\\ 0 & otherwise\end{cases}, \qquad \mathbf{H}^{i}\left(\mathbf{S}^{1}\right)\cong\begin{cases}\mathbb{Z} & i=0,1\\ 0 & otherwise\end{cases}.$$

*Proof.* Let  $S^1 = X = A \cup B$  where A and B are open intervals such that  $A \cap B$  are two disjoint open intervals, so  $A \simeq \{\text{point}\} \simeq B$  and  $A \cap B \simeq \{\text{point}\} \sqcup \{\text{point}\} = \{p\} \sqcup \{q\}$ . By homotopy invariance,

$$H_{\bullet}\left(\mathbb{R}\right) = \begin{cases} \mathbb{Z} & \bullet = 0\\ 0 & \text{otherwise} \end{cases},$$

so we know  $H_{\bullet}(A)$ ,  $H_{\bullet}(B)$ , and  $H_{\bullet}(A \cap B)$ . Mayer-Vietoris for  $i \geq 2$  gives

$$\begin{array}{ccc}
\mathbf{H}_{i}\left(A\right) \oplus \mathbf{H}_{i}\left(B\right) & \longrightarrow & \mathbf{H}_{i}\left(\mathbf{S}^{1}\right) & \longrightarrow & \mathbf{H}_{i-1}\left(A \cap B\right) \\
\mathbb{R} & & \mathbb{R} \\
0 & & 0
\end{array}$$

Check that  $H_i(S^1) = 0$ . Mayer-Vietoris for i = 0, 1 gives

Recall that  $H_0(Z)$  is free abelian on  $\pi_0(Z)$ , the set of path-components, and indeed is generated by  $\sigma$ :  $\{\text{point}\} \to Z$ , for any choice of point in each component. So

$$\alpha = ((i_A)_*, (i_B)_*) : \mathbb{Z} \langle p \rangle \oplus \mathbb{Z} \langle q \rangle \longrightarrow \mathbb{Z} \oplus \mathbb{Z} (a, b) \longmapsto (a + b, a + b) ,$$

and

$$\beta = (j_A)_* - (j_B)_* : \mathbb{Z} \oplus \mathbb{Z} \longrightarrow \mathbb{Z}$$
  
 $(u, v) \longmapsto u - v$ .

By exactness,  $H_1\left(S^1\right)\cong\ker\alpha\cong\mathbb{Z}$ , generated by  $(1,-1)\equiv(p,-q)\in H_0\left(A\right)\oplus H_0\left(B\right)$ .

The same method as for computing  $H_{\bullet}(S^1)$  shows the following.

# Lecture 4 Friday 16/10/20

# Proposition 1.11.

$$\mathbf{H}_{j}\left(\mathbf{S}^{n}\right)\cong\begin{cases}\mathbb{Z}&j=0,n\\0&otherwise\end{cases},\qquad \mathbf{H}^{j}\left(\mathbf{S}^{n}\right)\cong\begin{cases}\mathbb{Z}&j=0,n\\0&otherwise\end{cases}.$$

This time let us do the cohomology computation.

*Proof.* Let  $S^n = A \cup B$  where  $A \cong B \cong \mathbb{R}^n$  and  $A \cap B \cong S^{n-1} \times (0,1) \simeq S^{n-1}$ . By homotopy invariance and induction, we know  $H^{\bullet}(A)$ ,  $H^{\bullet}(B)$ , and  $H^{\bullet}(A \cap B)$ . Mayer-Vietoris now gives

so  $H^{i}\left(\mathbf{S}^{n-1}\right) \xrightarrow{\sim} H^{i+1}\left(\mathbf{S}^{n}\right)$  for all i > 0. For i = 0, 1,

$$H^{0}\left(\mathbf{S}^{n}\right) \longrightarrow H^{0}\left(\mathbb{R}^{n}\right) \oplus H^{0}\left(\mathbb{R}^{n}\right) \longrightarrow H^{0}\left(\mathbf{S}^{n-1}\right) \longrightarrow H^{1}\left(\mathbf{S}^{n}\right) \longrightarrow H^{1}\left(\mathbb{R}^{n}\right) \oplus H^{1}\left(\mathbb{R}^{n}\right)$$

We showed before that for path-connected X,  $H_0(X) \cong \mathbb{Z}$  is generated by  $\sigma : \{\text{point}\} \to X \in C_0(X)$ . By question sheet 1,  $H^0(X) \cong \mathbb{Z}$  is generated by

$$\psi$$
:  $C_0(X) \longrightarrow \mathbb{Z}$   
 $\sigma \longmapsto 1$ ,  $\sigma : \{point\} \to X$ .

If n > 1, then  $S^{n-1}$  is connected. So

where  $\alpha(p,q) = p + q$  is onto, so  $H^1(S^n) = 0$ , and now we have computed enough to complete the induction.

Corollary 1.12.  $\mathbb{R}^m \cong \mathbb{R}^n$  if and only if m = n.

*Proof.* If 
$$\mathbb{R}^m \cong \mathbb{R}^n$$
, then  $S^{m-1} \simeq \mathbb{R}^m \setminus \{0\} \cong \mathbb{R}^n \setminus \{0\} \simeq S^{n-1}$ , so  $S^{m-1} \simeq S^{n-1}$ . Thus  $H_{\bullet}(S^{m-1}) \cong H_{\bullet}(S^{n-1})$ , so  $m = n$ .

This homeomorphism invariance of dimension was an early success of the subject. Recall there are space-filling curves  $\phi: [0,1] \to [0,1]^2$  that are continuous and surjective.

 $<sup>^2{\</sup>rm Exercise}$ 

# 1.6 Degrees

**Lemma 1.13.** Assume n > 0. A map  $f: S^n \to S^n$  has a **degree**  $\deg f \in \mathbb{Z}$  and if  $g \simeq f$ , then  $\deg g = \deg f$ .

*Proof.* f induces  $(f_*)_n : H_n(S^n) \cong \mathbb{Z} \to H_n(S^n) \cong \mathbb{Z}$ , which is multiplication by an integer. This defines deg f. If  $g \simeq f$ , then  $g_* = f_*$ . A caveat is to use the same isomorphism on both sides and make sure deg f is defined and not just up to sign.

**Exercise.** Check that  $\deg(f \circ g) = \deg f \cdot \deg g$ .

**Example.** deg id = 1, so if f is a homeomorphism, deg  $f \in \{\pm 1\}$ .

Example. The degree of the constant map is zero, since the constant map

$$\begin{array}{cccc} f & \colon & \mathbf{S}^n & \longrightarrow & \mathbf{S}^n \\ & x & \longmapsto & p \end{array}$$

factorises as  $S^n \to \{\text{point}\} \to S^n$ , so

$$H_n(S^n) \longrightarrow H_n(\{point\}) \longrightarrow H_n(S^n)$$

$$\mathbb{Z} \xrightarrow{|\mathbb{R}} 0 \xrightarrow{\mathbb{R}} \mathbb{Z}$$

factorises through the zero group.

Note that combining with  $S^{n-1} \simeq \mathbb{R}^n \setminus \{0\}$ , this fills in details, modulo homotopy invariance and Mayer-Vietoris, for results from the first lecture on Brouwer's theorem.

**Lemma 1.14.** Let  $O(k) = \{A \in \operatorname{Mat}_k \mathbb{R} \mid AA^{\mathsf{T}} = I\}$ . A matrix  $A \in O(n+1)$ , which acts on  $S^n \subseteq \mathbb{R}^{n+1}$ , acts on  $H_n(S^n)$  by multiplication by  $\det A$ .

*Proof.* O (n+1) has two path-connected components, so by homotopy invariance of degree, it suffices to show reflection in a hyperplane has degree -1. Let  $H = S^{n-1}$  be a hyperplane, let L be an invariant hemisphere, and let  $H' = \partial L \cap H$ . Note that a reflection  $r_H : S^n \to S^n$  in H induces a reflection  $r_{H'} : \partial L = S^{n-1} \to \partial L = S^{n-1}$  in H'. We computed  $H_{\bullet}(S^n)$  by Mayer-Vietoris, using the decomposition which is  $r_H$ -invariant. By the naturality of Mayer-Vietoris,

$$0 \longrightarrow \operatorname{H}_{n}\left(\mathbf{S}^{n}\right) \stackrel{\sim}{\longrightarrow} \operatorname{H}_{n-1}\left(\mathbf{S}^{n-1}\right) \longrightarrow 0$$

$$\downarrow^{\operatorname{r}_{H}} \qquad \qquad \downarrow^{\operatorname{r}_{H'}} \qquad ,$$

$$0 \longrightarrow \operatorname{H}_{n}\left(\mathbf{S}^{n}\right) \stackrel{\sim}{\longrightarrow} \operatorname{H}_{n-1}\left(\mathbf{S}^{n-1}\right) \longrightarrow 0$$

so inductively, it suffices to treat the case n = 1. So consider a circle  $S^1 = A \cup B$  where  $p, q \in A \cap B$ . Our former Mayer-Vietoris computation of  $H_{\bullet}(S^1)$  gave

and  $H_1(S^1) = \ker \alpha \cong \mathbb{Z}((1,-1))$  is generated by p-q. So as  $r_H$  exchanges p and q it acts on  $H_1(S^1)$  by -1.

#### Corollary 1.15.

1. The antipodal map

$$\mathbf{a}_n : \mathbf{S}^n \longrightarrow \mathbf{S}^n$$

$$x \longmapsto -x$$

has degree  $(-1)^{n+1}$ .

- 2. If  $f: S^n \to S^n$  has no fixed point, then  $f \simeq a_n$ .
- 3. If G acts freely on  $S^{2k}$ , then  $G \leq \mathbb{Z}/2$ .

Proof.

- 1.  $a_n: S^n \to S^n$  is a composition of n+1 reflections  $\mathbb{R}^{n+1} \to \mathbb{R}^{n+1}$ .
- 2. We will show if  $f(x) \neq g(x)$  for all x, then  $f \simeq a_n \circ g$ . Consider

$$\phi_t : x \mapsto \frac{tf(x) - (1 - t)g(x)}{\|tf(x) - (1 - t)g(x)\|}, \quad 0 \le t \le 1.$$

Note that  $tf(x) + (1-t)g(x) \neq 0$  or  $t = \frac{1}{2}$  and f(x) = g(x), a contradiction. So  $f = \phi_1 \simeq \phi_0 = a_n \circ g$ .

3. Question sheet 1.

We borrow a concept from differential topology. A vector field on  $S^n$  is a map  $v: S^n \to \mathbb{R}^{n+1}$  such that for all  $x \in \mathbb{S}^n$ , the Euclidean inner product on  $\mathbb{R}^{n+1}$  has  $\langle x, v(x) \rangle = 0$ . Note that this is a global section of the tangent bundle  $TS^n \to S^n$ .

**Proposition 1.16** (Hairy ball theorem).  $S^n$  has a nowhere-vanishing vector field if and only if n is odd.

*Proof.* If n = 2k - 1, set

$$v(x_1, y_1, \dots, x_k, y_k) = (-y_1, x_1, \dots, -y_k, x_k).$$

Suppose n is even, and for contradiction that such v exists. So  $v/\|v\|: S^n \to S^n$ . Consider

$$v_t(x) = (\cos t) x + (\sin t) \frac{v}{\|v\|}(x).$$

Then  $|v_t(x)| = 1$  for all t, and  $v_0 = \mathrm{id}$  and  $v_\pi = -\mathrm{id} = \mathrm{a}_n$ , so  $\mathrm{id}_{\mathrm{S}^n} \simeq \mathrm{a}_n$ . Thus  $\mathrm{deg}\,\mathrm{id} = \mathrm{deg}\,\mathrm{a}_n$ , so  $1 = (-1)^{n+1}.$ 

#### 1.7 The Klein bottle

Lecture 5

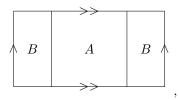
We should do one computation which involves knowing the maps, not just on  $H_0(X)$ , in an exact sequence, and not just that the sequence is exact. The **Klein bottle** K is obtained from gluing two Möbius bands together.

Monday 19/10/20

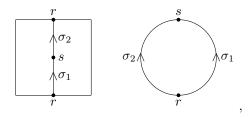
Lemma 1.17.

$$\mathbf{H}_{j}\left(K;\mathbb{Z}\right)\cong\begin{cases}\mathbb{Z} & j=0\\ \mathbb{Z}\oplus\mathbb{Z}/2 & j=1\\ 0 & otherwise\end{cases}.$$

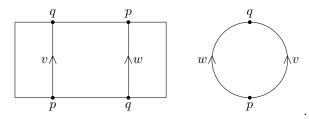
*Proof.* Apply Mayer-Vietoris to K



where  $A \simeq S^1$  is a Möbius band



and  $B \simeq S^1$  is a similar Möbius band, such that  $A \cap B \simeq S^1$  is



The essential part of the long exact sequence is

$$0 \longrightarrow \mathrm{H}_{2}\left(K\right) \longrightarrow \mathrm{H}_{1}\left(A \cap B\right) \stackrel{\psi}{\longrightarrow} \mathrm{H}_{1}\left(A\right) \oplus \mathrm{H}_{1}\left(B\right) \longrightarrow \mathrm{H}_{1}\left(K\right) \stackrel{0}{\longrightarrow} \mathrm{H}_{0}\left(A \cap B\right) \longrightarrow \mathrm{H}_{0}\left(A\right) \oplus \mathrm{H}_{0}\left(B\right)$$

$$\mathbb{Z} \xrightarrow{\mathbb{Z}} \mathbb{Z} \oplus \mathbb{Z}$$

$$\mathbb{Z} \oplus \mathbb{Z}$$

By exactness,  $H_1(K) = (\mathbb{Z} \oplus \mathbb{Z}) / \operatorname{im} \psi$  and  $H_2(K) \cong \ker \psi$ . The key claim is that  $\psi(1) = (2, 2)$  and note  $(\mathbb{Z} \oplus \mathbb{Z}) / \langle 2, 2 \rangle \cong \mathbb{Z} \oplus \mathbb{Z}/2$ . For this,  $A \cap B$  is homotopy equivalent to the boundary circle of the central Möbius band, so  $H_1(A \cap B) = \mathbb{Z} \langle v + w \rangle$ , and A is homotopy equivalent to the core circle of the central Möbius band, so  $H_1(A) = \mathbb{Z} \langle \sigma_1 + \sigma_2 \rangle$ . Thus  $\psi : v \mapsto \sigma_1 + \sigma_2$  and  $\psi : w \mapsto \sigma_1 + \sigma_2$ .

Remark. We could define

$$C_k(X;G) = \left\{ \sum_i a_i \sigma_i \mid a_i \in G, \ \sigma_i : \Delta^k \to X \right\},$$

for any abelian group G, with the same differential  $\partial$ , which gives  $H_{\bullet}(X; G)$ , the **singular homology with** coefficients in G.

Example.

$$\mathrm{H}_{j}\left(\mathrm{S}^{1};\mathbb{Z}/2\right)\cong \begin{cases} \mathbb{Z}/2 & j=0,1\\ 0 & \text{otherwise} \end{cases}, \qquad \mathrm{H}_{i}\left(\left\{\mathrm{point}\right\};\mathbb{Z}/2\right)\cong \begin{cases} \mathbb{Z}/2 & i=0\\ 0 & \text{otherwise} \end{cases}.$$

In the previous sequence, if we compute  $H_{\bullet}(K; \mathbb{Z}/2)$ , get

$$0 \longrightarrow \operatorname{H}_{2}\left(K; \mathbb{Z}/2\right) \longrightarrow \operatorname{H}_{1}\left(A \cap B; \mathbb{Z}/2\right) \stackrel{\psi}{\longrightarrow} \operatorname{H}_{1}\left(A; \mathbb{Z}/2\right) \oplus \operatorname{H}_{1}\left(B; \mathbb{Z}/2\right) \\ \mathbb{Z}/2 \xrightarrow[1 \mapsto (2,2) \equiv (0,0)]{} \mathbb{Z}/2 \oplus \mathbb{Z}/2$$

so  $\psi$  vanishes for  $H_{\bullet}(-; \mathbb{Z}/2)$  and

$$\mathbf{H}_{i}\left(K;\mathbb{Z}/2\right) \cong \begin{cases} \mathbb{Z}/2 & i = 0\\ \mathbb{Z}/2 \oplus \mathbb{Z}/2 & i = 1\\ \mathbb{Z}/2 & i = 2\\ 0 & \text{otherwise} \end{cases}.$$

It is also instructive to think about cohomology in this example, where  $K = A \cup B$  for  $A, B \simeq S^1$  and  $A \cap B \simeq S^1$  as before. So the interesting parts of the cohomology Mayer-Vietoris sequences look like

$$\begin{array}{c} \mathrm{H}^{1}\left(K\right) \stackrel{\left(j_{A}^{*}, j_{B}^{*}\right)}{\longrightarrow} \mathrm{H}^{1}\left(A\right) \oplus \mathrm{H}^{1}\left(B\right) \stackrel{i_{A}^{*} - i_{B}^{*}}{\longrightarrow} \mathrm{H}^{1}\left(A \cap B\right) \longrightarrow \mathrm{H}^{2}\left(K\right) \longrightarrow 0 \\ \mathbb{Z} \oplus \mathbb{Z} \stackrel{\mid \mathbb{Z}}{\longleftarrow} \mathbb{Z} \end{array} .$$

Check that this  $\psi$  is  $(a,b) \mapsto 2(a-b)$ . <sup>3</sup> So H<sup>2</sup>(K)  $\cong \mathbb{Z}/2$ . For contrast, H<sub>2</sub>(K) = 0 if we use  $\mathbb{Z}$  coefficients.

**Remark.** There were many ways we could have cut up K. In some cases, some decompositions will give easier algebra than others.

 $<sup>^3</sup>$ Exercise

# 2 Structural theorems

Now we should pay some debts.

# 2.1 Chain homotopy

Let  $C_{\bullet}$  and  $D_{\bullet}$  be chain complexes.

**Definition.** Chain maps  $f: C_{\bullet} \to D_{\bullet}$  and  $g: C_{\bullet} \to D_{\bullet}$  are **chain homotopic** if there exist  $P_n: C_n \to D_{n+1}$  such that

$$P_{n-1} \circ \partial_n^{C_{\bullet}} \pm \partial_{n+1}^{D_{\bullet}} \circ P_n = f_n - g_n,$$

so

**Lemma 2.1.** If  $f: C_{\bullet} \to D_{\bullet}$  and  $g: C_{\bullet} \to D_{\bullet}$  are chain homotopic, then

$$(f_*)_i = (g_*)_i : H_i(C_{\bullet}, \partial) \to H_i(D_{\bullet}, \partial),$$

for all i, that is chain homotopic maps induce the same map on homology.

Recall we are trying to prove if  $f \simeq g: X \to Y$ , then  $f_* = g_*: H_{\bullet}(X) \to H_{\bullet}(Y)$ . So it will be sufficient to show  $f_{\#}, g_{\#}: C_{\bullet}(X) \to C_{\bullet}(Y)$  are chain homotopic.

Proof. Let

$$\begin{array}{c|c} C_n & \xrightarrow{\partial} C_{n-1} \\ & \downarrow & & \\ P_n & \downarrow & & \\ D_{n+1} & \xrightarrow{\partial} D_n & & \end{array},$$

such that  $P_{n-1} \circ \partial \pm \partial \circ P_n = f_n - g_n$ . Let  $\alpha \in C_n$  be a cycle, so  $\partial(\alpha) = 0$ . So  $\partial(f_n(\alpha)) = f_{n-1}(\partial(\alpha)) = 0$ , so  $(f_*)_n([\alpha]) = [f_n(\alpha)]$ . So

$$f_n(\alpha) - g_n(\alpha) = (f_n - g_n)(\alpha) = P_{n-1}(\partial(\alpha)) \pm \partial(P_n(\alpha)) = \partial(P_n(\alpha)) \in \operatorname{im} \partial,$$

so 
$$[f_n(\alpha)] = [g_n(\alpha)] \in H_n(D_{\bullet}).$$

Exercise. Chain homotopy is an equivalence relation on chain complexes and chain maps.

## 2.2 Proof of homotopy invariance

**Theorem 2.2** (Homotopy invariance, version 2). If  $f \simeq g : X \to Y$  then

$$f_{\#} \simeq g_{\#} : (\mathcal{C}_{\bullet}(X), \partial) \to (\mathcal{C}_{\bullet}(Y), \partial)$$

are chain homotopic.

*Proof.* If  $f \simeq g$ , then there exists  $F: X \times [0,1] \to Y$  such that  $F|_{X \times \{0\}} = f$  and  $F|_{X \times \{1\}} = g$ . So if

then  $f = F \circ \iota_0$  and  $g = F \circ \iota_1$ , so  $f_\# = g_\#$  if  $(\iota_0)_\# = (\iota_1)_\#$  and it suffices to prove that  $(\iota_0)_\# \simeq (\iota_1)_\#$ :  $C_{\bullet}(X) \to C_{\bullet}(X \times [0,1])$ , so Y is out of the picture. So want  $P_n : C_n(X) \to C_{n+1}(X \times [0,1])$ . The idea is that  $P_n$  is a **prism operator** 

$$\begin{array}{ccc} \mathbf{C}_{n}\left(X\right) & \longrightarrow & \mathbf{C}_{n+1}\left(X\times[0,1]\right) \\ \sigma:\Delta^{n}\to X & \longmapsto & \text{linear combination of simplices for } \sigma\times\mathrm{id}:\Delta^{n}\times[0,1]\to X\times[0,1] \end{array}.$$

It gives an universal way of cutting up  $\Delta^n \times [0,1]$  into (n+1)-simplices. The equation

$$\partial \circ P \pm P \circ \partial = (\iota_1)_{\#} - (\iota_0)_{\#}$$

says that the boundary of the prism is the prism on the boundary plus the top minus the bottom. The details of the proof are not very illuminating, so we will be quite terse. Label the base of the prism by  $[v_0, \ldots, v_n]$  and the top  $[w_0, \ldots, w_n]$ . Claim that  $\sigma_{n+1}^i = [v_0, \ldots, v_i, w_i, \ldots, w_n]$  is an (n+1)-simplex, and

$$\Delta^n \times [0,1] = \bigcup_{i=0}^n \sigma^i_{n+1}.$$

We will not prove this, so see Hatcher. Define

$$P_{n} : C_{n}(X) \longrightarrow C_{n+1}(X \times [0,1])$$

$$\sigma \longmapsto \sum_{i=0}^{n} (-1)^{i} (\sigma \times id)|_{[v_{0},\dots,v_{i},w_{i},\dots,w_{n}]} = \sum_{i=0}^{n} (-1)^{i} ((\sigma \times id) \circ \sigma_{n+1}^{i}) .$$

Claim that  $\partial \circ P + P \circ \partial = (\iota_1)_{\#} - (\iota_0)_{\#}$ . Well,

$$\partial (P_{n}(\sigma)) = \sum_{j \leq i} (-1)^{i} (-1)^{j} (\sigma \times id)|_{[v_{0},...,\widehat{v_{j}},...,v_{i},w_{i},...,w_{n}]}$$

$$+ \sum_{j \geq i} (-1)^{i} (-1)^{j+1} (\sigma \times id)|_{[v_{0},...,v_{i},w_{i},...,\widehat{w_{j}},...,w_{n}]}$$

$$= (\sigma \times id)|_{[\widehat{v_{0}},w_{0},...,w_{n}]} - (\sigma \times id)|_{[v_{0},...,v_{i},\widehat{w_{i}},...,\widehat{w_{n}}]}$$

$$+ \sum_{j < i} (-1)^{i} (-1)^{j} (\sigma \times id)|_{[v_{0},...,\widehat{v_{j}},...,v_{i},w_{i},...,w_{n}]}$$

$$+ \sum_{j < i} (-1)^{i} (-1)^{j+1} (\sigma \times id)|_{[v_{0},...,v_{i},w_{i},...,\widehat{w_{j}},...,w_{n}]},$$

since the i=j terms cancel in pairs except for i=j=0, the top, and i=j=n, the bottom. Check that the latter sums are  $-P_n\left(\partial\left(\sigma\right)\right)$ , <sup>4</sup> which is routine but unenlightening.

**Remark.** If  $C^{\bullet}$  and  $D^{\bullet}$  are cochain complexes, then  $f \simeq g$  are **cochain homotopic** if there exist  $P^i : C^i \to D^{i-1}$  such that

$$\partial^* \circ P \pm P \circ \partial^* = f - a$$

SO

Check that <sup>5</sup>

$$f^* = g^* : H^{\bullet}(C^{\bullet}) \to H^{\bullet}(D^{\bullet}).$$

Then  $P_n: C_n(X) \to C_{n+1}(X \times [0,1])$  has dual

$$P^{n}: \operatorname{Hom}(C_{n+1}(X \times [0,1]), \mathbb{Z}) = C^{n+1}(X \times [0,1]) \to \operatorname{Hom}(C_{n}(X), \mathbb{Z}) = C^{n}(X),$$

and  $\partial \circ P + P \circ \partial = (\iota_1)_{\#} - (\iota_0)_{\#}$  implies that

$$\partial^* \circ P + P \circ \partial^* = \iota_1^\# - \iota_0^\#,$$

so cohomology is also homotopy invariant.

<sup>&</sup>lt;sup>4</sup>Exercise

 $<sup>^5 {\</sup>it Exercise}$ 

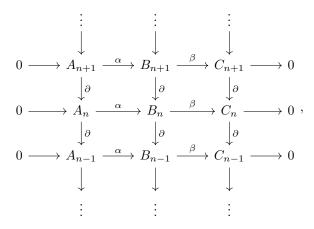
# 2.3 The long exact sequence

We have made various computations using homotopy invariance, which we have proved, and Mayer-Vietoris, which we have not. Before addressing that, we need some more algebra. Recall that a short exact sequence is an exact sequence of the shape

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$$0 \to A \xrightarrow{\alpha} B \xrightarrow{\beta} C \to 0$$
,  $\operatorname{im} \alpha = \ker \beta$ .

Definition. A short exact sequence of chain complexes is a diagram



such that all squares commute, and the columns are chain complexes and the rows are exact, so im  $\alpha = \ker \beta$  and  $\partial^2 = 0$ . Write

$$0 \to A_{\bullet} \to B_{\bullet} \to C_{\bullet} \to 0.$$

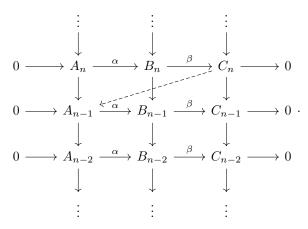
# Proposition 2.3. If

$$0 \to A_{\bullet} \to B_{\bullet} \to C_{\bullet} \to 0$$

is a short exact sequence of chain complexes, there is a boundary map  $\delta: H_n(C_{\bullet}) \to H_{n-1}(A_{\bullet})$  fitting into a long exact sequence on homology

$$\cdots \to \operatorname{H}_{n}\left(A_{\bullet}\right) \xrightarrow{\left(\alpha_{*}\right)_{n}} \operatorname{H}_{n}\left(B_{\bullet}\right) \xrightarrow{\left(\beta_{*}\right)_{n}} \operatorname{H}_{n}\left(C_{\bullet}\right) \xrightarrow{\delta} \operatorname{H}_{n-1}\left(A_{\bullet}\right) \to \cdots$$

*Proof.* By diagram chasing, we will construct  $\delta$ , and the proof of exactness is relegated to question sheet 1. Let



Let  $c_n \in C_n$  be a cycle, so  $\partial(c_n) = 0$ , representing  $[c_n] \in H_n(C_{\bullet})$ . Since  $\beta$  is onto, there exists  $b_n \in B_n$  such that  $\beta(b_n) = c_n$ . Since the top right square commutes,  $\beta(\partial(b_n)) = \partial(\beta(b_n)) = \partial(c_n) = 0$ . Since the middle sequence is exact,  $\partial(b_n) \in \ker \beta = \operatorname{im} \alpha$ , so  $\partial(b_n) = \alpha(a_{n-1})$ . Since the bottom left square commutes,  $\alpha(\partial(a_{n-1})) = \partial(\alpha(a_{n-1})) = \partial^2(b_n) = 0$ . Then  $\alpha$  is one-to-one, so  $\alpha(\partial(a_{n-1})) = 0$  implies that  $\partial(a_{n-1}) = 0$ , and set

$$\delta\left(\left[c_{n}\right]\right)=\left[a_{n-1}\right].$$

Check  $\delta$  is well-defined.

- Given  $c_n$ , we chose  $b_n$ . If  $\beta(b'_n) = c_n$ , then  $b_n b'_n \in \ker \beta = \operatorname{im} \alpha$ , so  $b'_n = b_n + \alpha(a_n)$  for some  $a_n \in A_n$ , and  $\partial(b'_n) = \partial(b_n) + \partial(\alpha(a_n)) = \alpha(a_{n-1} + \partial(a_n))$ , so  $[a_{n-1}] \in H_{n-1}(A_{\bullet})$  is unchanged.
- If  $[c_n] = [c'_n]$ , then  $c_n c'_n \in \operatorname{im} \partial$ , say  $c'_n = c_n + \partial (c_{n+1})$ . Pick  $b_{n+1}$  such that  $\beta(b_{n+1}) = c_{n+1}$  and then  $b_n \mapsto b_n + \partial (b_{n+1})$  and  $\partial (b_n)$  is unchanged, so get the same  $a_{n-1}$ .

So  $\delta$  is well-defined and it is easy to see it is a homomorphism. In the resulting

$$\cdots \to \operatorname{H}_{n}(A_{\bullet}) \xrightarrow{(\alpha_{*})_{n}} \operatorname{H}_{n}(B_{\bullet}) \xrightarrow{(\beta_{*})_{n}} \operatorname{H}_{n}(C_{\bullet}) \xrightarrow{\delta} \operatorname{H}_{n-1}(A_{\bullet}) \to \ldots,$$

should check exactness at all three kinds of terms, that is  $\operatorname{im} \beta_* \subseteq \ker \delta$  and  $\ker \delta \subseteq \operatorname{im} \beta_*$ , etc, so six inclusions in total. <sup>6</sup>

For this piece of algebra to be useful, we need a source of short exact sequences of chain complexes.

**Example.** Recall if G is an abelian group,

$$C_k(X;G) = \left\{ \sum_i a_i \sigma_i \mid a_i \in G, \ \sigma_i : \Delta^k \to X \right\},$$

which gives  $H_{\bullet}(X;G)$ , the singular homology with coefficients in G. Note that if

$$0 \rightarrow G_1 \rightarrow G_2 \rightarrow G_3 \rightarrow 0$$

is a short exact sequence of groups,

$$0 \to \mathrm{C}_{\bullet}(X; G_1) \to \mathrm{C}_{\bullet}(X; G_2) \to \mathrm{C}_{\bullet}(X; G_3) \to 0$$

is a short exact sequence of chain complexes. The resulting  $\delta: H_n(X; G_3) \to H_{n-1}(X; G_1)$  is a **Bockstein homomorphism**. For example,

$$0 \to \mathbb{Z} \xrightarrow{\cdot n} \mathbb{Z} \xrightarrow{p \mapsto p \mod n} \mathbb{Z}/n \to 0, \qquad 0 \to \mathbb{Z}/n \xrightarrow{\cdot n} \mathbb{Z}/n^2 \xrightarrow{p \mapsto p \mod n} \mathbb{Z}/n \to 0$$

give the classical Bockstein homomorphisms

$$H_p(X; \mathbb{Z}/n) \to H_{p-1}(X; \mathbb{Z}), \qquad H_p(X; \mathbb{Z}/n) \to H_{p-1}(X; \mathbb{Z}/n).$$

We will revisit these later, probably.

#### 2.4 Relative homology

**Example.** Let  $A \subseteq X$  be a subspace. We have an inclusion  $C_{\bullet}(A) \hookrightarrow C_{\bullet}(X)$  compatible with boundary maps, since if  $\sigma : \Delta^i \to A \subseteq X$ , then  $\sigma \circ \delta_i : \Delta^{i-1} \to A$  too. Define

$$C_{\bullet}(X, A) = C_{\bullet}(X) / C_{\bullet}(A)$$

so

$$0 \to C_{\bullet}(A) \to C_{\bullet}(X) \to C_{\bullet}(X,A) \to 0$$

is a short exact sequence of chain complexes.

**Definition.**  $H_{\bullet}(C_{\bullet}(X,A),\partial)$  is denoted  $H_{\bullet}(X,A)$ , or  $H_{\bullet}(X,A;G)$ , the relative homology of (X,A).

<sup>&</sup>lt;sup>6</sup>Exercise: do this

**Lemma 2.4.** If  $f:(X,A) \to (Y,B)$  is a map of pairs, that is  $f:X \to Y$  satisfies  $f(A) \subseteq B$ , then f induces  $(f_*)_i: H_i(X,A) \to H_i(Y,B)$  for all i.

*Proof.* Elementary. 
$$\Box$$

The long exact sequence

$$\cdots \rightarrow H_i(A) \rightarrow H_i(X) \rightarrow H_i(X,A) \rightarrow H_{i-1}(A) \rightarrow \cdots$$

is called the long exact sequence of the pair (X, A).

#### Remark.

- Cycles in  $C_{\bullet}(X, A)$  are chains in X whose boundary lies in A.
- You might expect that things in A do not matter for  $C_{\bullet}(X, A)$ , as we quotient all simplices in A. A precise version of that intuition is excision.

**Theorem 2.5** (Excision). Let X be a space,  $A \subseteq X$  a subspace, and Z a subspace such that  $\overline{Z} \subseteq \mathring{A}$ . Then the inclusion  $\iota : (X \setminus Z, A \setminus Z) \hookrightarrow (X, A)$  is an isomorphism on relative homology, so for all n,

$$(\iota_*)_n: H_n(X\setminus Z, A\setminus Z) \xrightarrow{\sim} H_n(X, A)$$
.

We will prove excision and Mayer-Vietoris together next time. For now, let us see how this helps us understand relative homology.

**Remark.** Naturality under maps, homotopy invariance, the relative homology long exact sequence, and excision are the key tools of homology and cohomology. Much of what we will do will be built from these.

Lemma 2.6 (5-lemma). Suppose

is a commuting diagram of abelian groups with exact rows. If  $\alpha, \beta, \delta, \epsilon$  are isomorphisms, then so is  $\gamma$ .

*Proof.* More diagram chasing. We will show  $\gamma$  is one-to-one, and you check it is onto. <sup>7</sup> Let  $c \in C$  have  $\gamma(c) = 0$ . Then  $\delta(\partial(c)) = \partial'(\gamma(c)) = 0$  so  $\partial(c) \in \ker \delta$ , and  $\delta$  is an isomorphism so  $\partial(c) = 0$ . Since the rows are exact,  $c \in \ker \partial = \operatorname{im} \partial$ , so  $c = \partial(b)$  for  $b \in B$ . Then  $\partial'(\beta(b)) = \gamma(\partial(b)) = \gamma(c) = 0$ , so  $\beta(b) \in \ker \partial' = \operatorname{im} \partial'$ , and  $\beta(b) = \partial'(a')$ . Since  $\alpha$  is an isomorphism, there exists  $a \in A$  such that  $\alpha(a) = a'$ . Now  $\beta(\partial(a)) = \partial'(\alpha(a)) = \partial'(a') = \beta(b)$  so  $\partial(a) - b \in \ker \beta$ , and  $\beta$  is an isomorphism so  $b = \partial(a)$ . Thus  $c = \partial(b) = \partial^2(a) = 0$  and  $c \in A$  is one-to-one.

Corollary 2.7. If  $f:(X,A)\to (Y,B)$  is a map of pairs, and any two of the induced homomorphisms

$$H_{\bullet}(X) \to H_{\bullet}(Y)$$
,  $H_{\bullet}(A) \to H_{\bullet}(B)$ ,  $H_{\bullet}(X,A) \to H_{\bullet}(Y,B)$ 

are isomorphisms, then so is the third.

*Proof.* Apply the 5-lemma to

$$\dots \longrightarrow \operatorname{H}_{i}(A) \longrightarrow \operatorname{H}_{i}(X) \longrightarrow \operatorname{H}_{i}(X,A) \longrightarrow \operatorname{H}_{i-1}(A) \longrightarrow \operatorname{H}_{i-1}(X) \longrightarrow \dots$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow \qquad \qquad \cdot$$

$$\dots \longrightarrow \operatorname{H}_{i}(B) \longrightarrow \operatorname{H}_{i}(Y) \longrightarrow \operatorname{H}_{i}(Y,B) \longrightarrow \operatorname{H}_{i-1}(B) \longrightarrow \operatorname{H}_{i-1}(Y) \longrightarrow \dots$$

 $<sup>^7{\</sup>rm Exercise}$ 

# 2.5 Reduced homology and good pairs

We need two definitions to proceed. The first looks a bit odd, but be patient.

**Definition.** If X is a space, and  $x_0 \in X$  is a basepoint, the **reduced homology** is

$$\widetilde{\mathrm{H}_{i}}(X) = \mathrm{H}_{i}(X, x_{0}).$$

**Exercise.** The long exact sequence of a pair shows

$$\widetilde{\mathrm{H}_{0}}(X) \oplus \mathbb{Z} \cong \mathrm{H}_{0}(X), \qquad \widetilde{\mathrm{H}_{i}}(X) \cong \mathrm{H}_{i}(X), \qquad i > 0.$$

**Definition.** A pair (X, A) is **good** if  $A \subseteq X$  is closed and is a deformation retract of an open neighbourhood  $A \subseteq U \subseteq X$ , that is there exists  $H : [0, 1] \times U \to U$  such that

- $H|_{\{0\}\times U}=\mathrm{id}$  and  $H|_{\{1\}\times U}$  has image in A, and
- H is fixed on A, so for all  $t \in [0,1]$  and  $a \in A$ , H(t,a) = a.

So you can squeeze U back onto A without moving A. If X, and hence U, is Hausdorff, then A is automatically closed.

**Proposition 2.8.** If (X, A) is good, the natural map  $(X, A) \to (X/A, A/A)$  induces isomorphisms

$$H_{\bullet}(X,A) \xrightarrow{\sim} \widetilde{H_{\bullet}}(X/A)$$
.

Proof. Note that homotopy invariance and the 5-lemma show inclusion defines isomorphisms

$$H_{\bullet}(A) \xrightarrow{\sim} H_{\bullet}(U)$$
,  $H_{\bullet}(X,A) \xrightarrow{\sim} H_{\bullet}(X,U)$ .

The inclusion  $A/A = \{\text{point}\} \hookrightarrow U/A$  is a deformation retract and in particular a homotopy equivalence, so

$$H_{\bullet}(X/A, A/A) \xrightarrow{\sim} H_{\bullet}(X/A, U/A)$$

is also an isomorphism by the 5-lemma. Consider

where the vertical maps collapse A. Then the right vertical map is a homeomorphism of pairs, since  $X \setminus A \cong (X/A) \setminus (A/A)$ . So the right vertical map is an isomorphism and hence the left vertical map is an isomorphism.

**Remark.** The **tubular neighbourhood theorem** of differential topology, which we will discuss more later, implies that if X is a smooth manifold and  $A \subseteq X$  is a compact smooth submanifold, (X, A) is a good pair.

Example.

$$\mathrm{H}_{j}\left(\mathrm{D}^{n},\partial\mathrm{D}^{n}\right)\cong\widetilde{\mathrm{H}_{j}}\left(\mathrm{D}^{n}/\partial\mathrm{D}^{n}\right)=\widetilde{\mathrm{H}_{j}}\left(\mathrm{S}^{n}\right)=\begin{cases}\mathbb{Z} & j=n\\ 0 & \text{otherwise}\end{cases}.$$

**Example.** Let  $S^1$  be the equator. Then

$$\mathrm{H}_{j}\left(\mathrm{S}^{2},\mathrm{S}^{1}\right)\cong\widetilde{\mathrm{H}_{j}}\left(\mathrm{S}^{2}\vee\mathrm{S}^{2}\right)\cong\begin{cases}\mathbb{Z}\oplus\mathbb{Z} & j=2\\0 & \text{otherwise}\end{cases}.$$

**Remark.** If M is a manifold and  $x \in M$ , by excision with  $Z = M \setminus \{\text{open disc neighbourhood of } x\}$  and homotopy invariance or directly from the long exact sequence of a pair,

$$H_j(M, M \setminus \{x\}) \cong H_j(\mathbb{R}^n, \mathbb{R}^n \setminus \{0\}) \cong H_j(D^n, \partial D^n) \cong \begin{cases} \mathbb{Z} & j = n = \dim_{\mathbb{R}} M \\ 0 & \text{otherwise} \end{cases}$$
.

# 2.6 Proof of Mayer-Vietoris and excision

We have stated two major properties of homology and cohomology without proof, Mayer-Vietoris and excision. Recall that we also saw if

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$$0 \to A_{\bullet} \to B_{\bullet} \to C_{\bullet} \to 0$$

is a short exact sequence of chain complexes, then there exists a long exact sequence in homology

$$\cdots \rightarrow H_i(A_{\bullet}) \rightarrow H_i(B_{\bullet}) \rightarrow H_i(C_{\bullet}) \rightarrow H_{i-1}(A_{\bullet}) \rightarrow \cdots$$

Mayer-Vietoris will be a consequence of this.

**Definition.** Let  $\mathcal{U} = \{U_{\alpha}\}_{{\alpha} \in I}$  be a collection of subsets of X with the property that  $X = \bigcup_{{\alpha} \in I} \mathring{U_{\alpha}}$ , such as an open cover. Then

$$C_{j}^{\mathcal{U}}\left(X\right) = \left\{ \sum_{i} a_{i} \sigma_{i} \mid a_{i} \in \mathbb{Z}, \ \sigma_{i} : \Delta^{j} \to X, \ \exists \alpha \left(i\right) \in I, \ \operatorname{im} \sigma_{i} \subseteq U_{\alpha\left(i\right)} \right\}$$

is the **subcomplex** of  $(C_{\bullet}(X), \partial)$  generated by simplices each of which lie wholly inside some set in  $\mathcal{U}$ .

Note that

$$C_{\bullet}(X) \longrightarrow C_{\bullet-1}(X)$$

$$\cup \qquad \qquad \cup$$

$$C^{\mathcal{U}}_{\bullet}(X) \longrightarrow C^{\mathcal{U}}_{\bullet-1}(X)$$

since  $C^{\mathcal{U}}_{\bullet}(X)$  is preserved by  $\partial$  so is a subcomplex.

**Proposition 2.9** (Small simplices theorem). The inclusion  $C^{\mathcal{U}}_{\bullet}(X) \hookrightarrow C_{\bullet}(X)$  induces an isomorphism on homology.

**Remark.** Suppose  $f: X \to Y$  sends each element of  $\mathcal{U}$  into some element of  $\mathcal{V}$ , the corresponding cover of Y. Then f induces  $f_{\#}: \mathcal{C}^{\mathcal{U}}_{\bullet}(X) \to \mathcal{C}^{\mathcal{V}}_{\bullet}(Y)$ .

**Example** (Mayer-Vietoris). Let  $\mathcal{U} = \{A, B\}$  for  $A, B \subseteq X$  open. Then there is an obvious short exact sequence of chain complexes

$$0 \to \mathrm{C}_{\bullet}\left(A \cap B\right) \xrightarrow{\sigma \mapsto (\sigma,\sigma)} \mathrm{C}_{\bullet}\left(A\right) \oplus \mathrm{C}_{\bullet}\left(B\right) \xrightarrow{(u,v) \mapsto u - v} \mathrm{C}_{\bullet}^{\mathcal{U}}\left(X\right) \to 0,$$

which is onto since  $C^{\mathcal{U}}_{\bullet}(X)$  only contains simplices lying in A or B. The associated long exact sequence is the Mayer-Vietoris sequence, using small simplices to identify  $H_{\bullet}\left(C^{\mathcal{U}}_{\bullet}(X)\right) \xrightarrow{\sim} H_{\bullet}\left(C_{\bullet}(X)\right)$ . Note also the construction of the  $\partial$  map in the long exact sequence associated to a short exact sequence of complexes does reproduce our earlier description of  $\partial_{MV}$ . Also the naturality of Mayer-Vietoris under maps  $f: X \to Y$  such that  $f(A) \subseteq C$  and  $f(B) \subseteq D$  is just the naturality of  $C^{\mathcal{U}}_{\bullet}(X) \to C^{\mathcal{V}}_{\bullet}(Y)$ .

**Example** (Excision). Recall we have  $Z, A \subseteq X$  and  $\overline{Z} \subseteq \mathring{A}$ . Let  $B = X \setminus Z$  and let  $\mathcal{U} = \{A, B\}$ , so the interiors of A and B do cover X. Note that

$$C_n^{\mathcal{U}}(X)/C_n(A) \cong C_n(B)/C_n(A \cap B)$$

is the free abelian group on simplices in B not wholly contained in A. The short exact sequences of chain complexes

$$0 \longrightarrow C_{\bullet}(A) \longrightarrow C_{\bullet}^{\mathcal{U}}(X) \longrightarrow C_{\bullet}^{\mathcal{U}}(X)/C_{\bullet}(A) \longrightarrow 0$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow,$$

$$0 \longrightarrow C_{\bullet}(A) \longrightarrow C_{\bullet}(X) \longrightarrow C_{\bullet}(X)/C_{\bullet}(A) \longrightarrow 0$$

and the natural map of short exact sequences give a map of long exact sequences

$$\dots \to \operatorname{H}_{i}(A) \to \operatorname{H}_{i}\left(\operatorname{C}^{\mathcal{U}}_{\bullet}(X)\right) \to \operatorname{H}_{i}\left(\operatorname{C}^{\mathcal{U}}_{\bullet}(X)/\operatorname{C}_{\bullet}(A)\right) \to \operatorname{H}_{i-1}(A) \to \operatorname{H}_{i-1}\left(\operatorname{C}^{\mathcal{U}}_{\bullet}(X)\right) \to \dots$$

$$\downarrow = \qquad \downarrow \operatorname{ss} \qquad \qquad \downarrow \varphi \qquad \qquad \downarrow \operatorname{ss} \qquad \qquad \downarrow =$$

$$\dots \to \operatorname{H}_{i}(A) \longrightarrow \operatorname{H}_{i}(X) \longrightarrow \operatorname{H}_{i}(X, A) \longrightarrow \operatorname{H}_{i-1}(A) \longrightarrow \operatorname{H}_{i-1}(X) \longrightarrow \dots$$

So by the 5-lemma,  $\phi$  is an isomorphism, so

$$C^{\mathcal{U}}_{\bullet}(X)/C_{\bullet}(A) \hookrightarrow C_{\bullet}(X)/C_{\bullet}(A)$$

is an isomorphism on homology. So

$$H_{\bullet}(X, A) = H_{\bullet}(C_{\bullet}(X) / C_{\bullet}(A)) \cong H_{\bullet}(C_{\bullet}^{\mathcal{U}}(X) / C_{\bullet}(A))$$
  
$$\cong H_{\bullet}(C_{\bullet}(B) / C_{\bullet}(A \cap B)) = H_{\bullet}(B, A \cap B) = H_{\bullet}(X \setminus Z, A \setminus Z),$$

proving excision.

# 2.7 Proof of small simplices theorem

So it just remains to prove the small simplices theorem that  $C^{\mathcal{U}}_{\bullet}(X) \hookrightarrow C_{\bullet}(X)$  is an isomorphism on homology. The key geometric ingredient is to divide simplices into smaller simplices.

**Definition.** The barycentre, or centre of mass, of  $\Delta^n$  is

$$\mathbf{b}_n = \frac{(1, \dots, 1)}{n+1}.$$

A barycentric subdivision is the following three-step procedure.

- Subdivide the boundary.
- Add the barycentre.
- Cone off from the barycentre to the subdivided boundary.

**Definition.** If  $\sigma: \Delta^i \to \Delta^n \in C_i(\Delta^n)$ ,

$$\operatorname{Cone}_{i}^{\Delta^{n}}(\sigma) : \Delta^{i+1} \longrightarrow \Delta^{n}$$

$$(t_{0}, \dots, t_{i+1}) \longmapsto t_{0} \operatorname{b}_{n} + (1 - t_{0}) \sigma \left(\frac{(t_{1}, \dots, t_{i+1})}{1 - t_{0}}\right) .$$

So, extended linearly,  $\operatorname{Cone}_{i}^{\Delta^{n}}: \operatorname{C}_{i}(\Delta^{n}) \to \operatorname{C}_{i+1}(\Delta^{n})$ .

Exercise.

$$\partial\left(\mathrm{Cone}_{i}^{\Delta^{n}}\left(\sigma\right)\right) = \begin{cases} \sigma - \mathrm{Cone}_{i-1}^{\Delta^{n}}\left(\partial\left(\sigma\right)\right) & i > 0\\ \sigma - \epsilon\left(\sigma\right)\mathbf{b}_{n} & i = 0 \end{cases},$$

where

$$\epsilon : C_0(\Delta^n) \longrightarrow \mathbb{Z} \\
\sum_i n_i p_i \longmapsto \sum_i n_i$$

is the augmentation.

**Definition.** Define

Then

$$\partial \circ \operatorname{Cone}^{\Delta^n} + \operatorname{Cone}^{\Delta^n} \circ \partial = \operatorname{id}_{C_{\bullet}(\Delta^n)} - c.$$

**Definition.** A collection of chain maps  $\phi^X : C_{\bullet}(X) \to C_{\bullet}(X)$ , defined for all spaces X, is **natural** if for all  $f : X \to Y$ ,

$$f_{\#} \circ \phi^X = \phi^Y \circ f_{\#}.$$

Similarly for a collection  $P: C_{\bullet}(X) \to C_{\bullet+1}(X)$  of chain homotopies between natural  $\phi^X$  and  $\psi^X$ .

**Definition.** Define

$$\phi_0^X = \mathrm{id}_{\mathrm{C}_0(X)}, \qquad \begin{array}{ccc} \phi_n^X & : & \mathrm{C}_n\left(X\right) & \longrightarrow & \mathrm{C}_n\left(X\right) \\ \sigma & \longmapsto & \sigma_\# \left(\mathrm{Cone}_{n-1}^{\Delta^n} \left(\phi_{n-1}^{\Delta^n} \left(\partial \left(\iota_n\right)\right)\right)\right) \end{array},$$

where  $\iota_n: \Delta^n \to \Delta^n \in C_n(\Delta^n)$  is the identity, so  $\partial(\iota_n) \in C_{n-1}(\Delta^n)$ .

Since  $\sigma: \Delta^n \to X$  is  $\sigma \circ \iota_n: \Delta^n \to \Delta^n \to X$ , this is natural, since

$$\phi_n^X(\sigma) = \phi_n^X(\sigma_\#(\iota_n)) = \sigma_\#(\phi_n^{\Delta^n}(\iota_n)).$$

The idea is that we know how to subdivide  $\Delta^n$ , so know how to subdivide any simplex in X.

**Definition.** Similarly, define

$$P_{n}^{X} : C_{n}(X) \longrightarrow C_{n+1}(X)$$

$$\sigma \longmapsto \sigma_{\#} \left( \operatorname{Cone}_{n}^{\Delta^{n}} \left( \phi_{n}^{\Delta^{n}} \left( \iota_{n} \right) - \iota_{n} - P_{n-1}^{\Delta^{n}} \left( \partial \left( \iota_{n} \right) \right) \right) \right) .$$

This decomposes the prism  $\Delta^n \times [0,1]$  by joining  $\Delta^n \times \{0\}$  and  $\Delta^n \times \{1\}$  to the barycentre of  $\Delta^n \times \{1\}$ .

**Fact.**  $\phi^X : C_{\bullet}(X) \to C_{\bullet}(X)$  is a natural chain map, and  $P^X : C_{\bullet}(X) \to C_{\bullet+1}(X)$  is a natural chain homotopy from  $\phi^X$  to the identity, that is

$$\partial \circ P_n^X + P_{n-1}^X \circ \partial = \phi_n^X - \mathrm{id}_{C_n(X)}$$
.

We will not prove this.

Ok, now we know how to divide simplices.

**Lemma 2.10.** If  $[v_0, \ldots, v_n] \subseteq \mathbb{R}^{n+1}$  is a simplex, then each simplex of its barycentric division has Euclidean diameter at most n/(n+1) the Euclidean diameter of  $[v_0, \ldots, v_n]$ .

#### Corollary 2.11.

- 1. If  $\sigma \in C_n^{\mathcal{U}}(X)$ , then  $\phi_n^X(\sigma) \in C_n^{\mathcal{U}}(X)$ .
- 2. If  $\sigma \in C_n(X)$ , there exists  $k \gg 0$  such that  $(\phi_n^X)^k(\sigma) \in C_n^{\mathcal{U}}(X)$ .

Proof.

- 1. Obvious.
- 2.  $\sigma$  is a finite sum of simplices, so it suffices to prove the result for one  $\sigma: \Delta^n \to X$ . Let  $\mathcal{U} = \{U_\alpha\}_{\alpha \in I}$ . Now  $\{\sigma^{-1} \left(\mathring{U_\alpha}\right)\}_{\alpha \in I}$  is an open cover of  $\Delta^n$ , so has a Lebesgue number, that is there exists  $\epsilon > 0$  such that any open  $\epsilon$ -ball in  $\Delta^n$  lies in some  $\sigma^{-1}(U_\alpha)$ . Now pick  $k \gg 0$  such that  $(n/(n+1))^k \ll \epsilon$ .

Proof of Proposition 2.9. Let  $U: H_{\bullet}(C^{\mathcal{U}}_{\bullet}(X)) \to H_{\bullet}(X)$  be the natural map.

- If  $[c] \in H_n(X)$ , there exists k such that  $(\phi_n^X)^k(c) \in C_n^{\mathcal{U}}(X)$ . Since  $\phi^X \simeq \mathrm{id}$ ,  $(\phi^X)^k \simeq \mathrm{id}$ , so there exists F such that  $\partial \circ F + F \circ \partial = (\phi^X)^k \mathrm{id}$ . Then  $(\phi^X)^k(c) = c + \mathrm{im}\,\partial$ , so U is onto.
- If U([c]) = 0 for  $[c] \in H_n(C^{\mathcal{U}}_{\bullet}(X))$  and  $z \in C_{n+1}(X)$  has  $\partial(z) = c$ , there exists k such that  $(\phi^X_{n+1})^k(z) \in C^{\mathcal{U}}_{n+1}(X)$  and  $(\phi^X_{n+1})^k(z) z = (\partial \circ F + F \circ \partial)(z)$ , so

$$c = \partial(z) = \partial\left(\left(\phi_{n+1}^{X}\right)^{k}(z)\right) - \partial\left(F\left(\partial(z)\right)\right) \in \mathcal{C}_{n+1}^{\mathcal{U}}(X),$$

since  $\partial\left(z\right)\in\mathcal{C}_{n}^{\mathcal{U}}\left(X\right)$  and F is natural. Then  $c\in\operatorname{im}\left(\partial:\mathcal{C}_{n+1}^{\mathcal{U}}\left(X\right)\to\mathcal{C}_{n}^{\mathcal{U}}\left(X\right)\right)$ , so [c]=0 and U is one-to-one.

# 3 Cellular homology and cohomology

Singular homology and cohomology are defined for all topological spaces, but we are mostly interested in nice spaces. In particular, we have seen  $H_{\bullet}(S^n)$ ,  $H_{\bullet}(Klein)$ ,  $H_{\bullet}(\Sigma_g)$ , and  $H^{\bullet}(\mathbb{CP}^n)$  are all finite rank, even though  $C_{\bullet}(X)$  is vast in each case. Our next goal is to develop a computational shortcut which makes this manifest.

Lecture 8 Monday 26/10/20

# 3.1 Cell complexes

**Definition.** A **cell complex**, or **CW complex**, is a space obtained inductively as follows.

- $X_0$  is a discrete set, such as a finite set.
- Given  $X_{k-1}$ ,

$$X_k = X_{k-1} \cup \bigcup_{i \in I_k} \mathcal{D}_i^k,$$

for  $I_k$  an indexing set and  $D_i^k = \{x \in \mathbb{R}^k \mid ||x|| \le 1\}$  a closed disc, called k-cells, attached via  $\partial D_i^k = S^{k-1} \to X_{k-1}$ , so  $X_{k-1} \sqcup \bigsqcup_{i \in I_k} D_i^k \twoheadrightarrow X_k$  is the quotient map identifying  $\partial D_i^k$  and its image.

•  $X = \bigcup_{k \geq 0} X_k$  with the **weak** topology, where  $U \subseteq X$  is open if and only if  $U \cap X_k$  is open in  $X_k$  for all k.

### Example.

- $S^n = \{\text{point}\} \cup D^n \text{ attached via the constant map } \partial D^n \to \{\text{point}\}.$
- $S^n = \{\text{point}\} \cup \{\text{point}\} \cup D^1_{\alpha_1} \cup D^1_{\alpha_2} \cup D^2_{\beta_1} \cup D^2_{\beta_2}$ .
- T<sup>2</sup> has one 0-cell, two 1-cells, and one 2-cell.
- $\Sigma_2$  has one 0-cell, four 1-cells, and one 2-cell.
- The wedge product. If X and Y are cell complexes, then  $X \vee Y = \langle X \sqcup Y \rangle / x_0 \sim y_0$  where  $x_0 \in X_0$  and  $y_0 \in Y_0$ .

**Notation.** Let X be a cell complex. The  $D_i^k$  are k-cells.

- $X_k$  is the k-skeleton of X.
- If there exists N such that  $X = X_N$ , then X is a **finite-dimensional** cell complex.
- If  $X = X_N$  and  $I_j < \infty$  for all j, then X is a **finite** cell complex. Then X is compact.
- $X = \bigsqcup_{k>0} D_{\alpha}^{k}$  is the disjoint union of its open cells  $C_{\alpha}^{k}$  as attaching maps take  $\partial D_{\alpha}^{k}$  to  $X_{k-1}$ .
- A subcomplex  $A \subseteq X$  is a closed subspace which is a union of cells of X. Note that given a cell complex X, you cannot throw out a random bunch of cells to get a subcomplex. There may be later cells that try to attach to things you are throwing out.

#### 3.2 Point-set digression

Let  $X = \bigcup_{n \ge 0} X_n$  be a cell complex.

**Exercise.**  $A \subseteq X$  is open, or closed, if and only if  $(\phi_{\alpha}^n)^{-1}(A) \subseteq D_{\alpha}^n$  is open, or closed, for all  $\alpha$ , where

$$\phi_{\alpha}^{n}: \mathcal{D}_{\alpha}^{n} \hookrightarrow X_{n-1} \sqcup \bigsqcup_{\alpha} \mathcal{D}_{\alpha}^{n} \twoheadrightarrow X_{n} \hookrightarrow X$$

is the **characteristic** map of the cell, so  $\phi_{\alpha}^{n}|_{\partial \mathbb{D}_{\alpha}^{n}}$  is the attaching map.

Let  $A \subseteq X$ . We build an open neighbourhood  $N_{\epsilon}(A)$  of A inductively. Let  $N_{\epsilon}^{0}(A) = A \cap X_{0}$ . Given  $N_{\epsilon}^{n}(A) \subseteq X_{n}$  an open neighbourhood of  $A \cap X_{n}$ , define  $N_{\epsilon}^{n+1}(A)$  by specifying

$$\begin{split} \left(\phi_{\alpha}^{n+1}\right)^{-1}\left(\mathbf{N}_{\epsilon}^{n+1}\left(A\right)\right) &= \left(\text{open }\epsilon\text{-neighbourhood of }\left(\phi_{\alpha}^{n}\right)^{-1}\left(A\right) \setminus \partial \mathbf{D}_{\alpha}^{n+1} \subseteq \mathbf{D}_{\alpha}^{n+1} \setminus \partial \mathbf{D}_{\alpha}^{n+1}\right) \\ &\quad \cup \left(\left(1-\epsilon,1\right] \times \left(\phi_{\alpha}^{n}\right)^{-1}\left(\mathbf{N}_{\epsilon}^{n}\left(A\right)\right)\right), \end{split}$$

where  $\epsilon$  depends on  $\alpha$ , and  $(1 - \epsilon, 1]$  is the radial spherical coordinate on  $\mathcal{D}_{\alpha}^{n+1}$  and  $(\phi_{\alpha}^{n})^{-1}(\mathcal{N}_{\epsilon}^{n}(A))$  is the angular coordinate on  $\partial \mathcal{D}_{\alpha}^{n+1}$ . Then  $\mathcal{N}_{\epsilon}(A) = \bigcup_{n \geq 0} \mathcal{N}_{\epsilon}^{n}(A)$  is open, as it is open in every cell.

**Proposition 3.1.** Cell complexes are Hausdorff and locally contractible. So connected if and only if path-connected.

*Proof.* For a proof, see Hatcher, appendix A.

**Fact.** A compact smooth manifold, perhaps with boundary, is homotopy equivalent to a finite cell complex. And given  $N \subseteq M$  a properly embedded submanifold, there exists a cell structure on M making N a subcomplex. Can drop smoothness, but there are nice proofs using Morse theory if you have it.

**Lemma 3.2.** If X is a cell complex and  $A \subseteq X$  is a subcomplex, then (X, A) is a good pair.

Proof. See Hatcher. Again, point-set rather than algebraic topology.

Corollary 3.3.  $H_{\bullet}(X, A) \cong \widetilde{H_{\bullet}}(X/A)$ .

Corollary 3.4. If  $X = \bigcup_{k>0} X_k$  is a cell complex,

$$H_{i}\left(X_{k},X_{k-1}\right) = \begin{cases} \bigoplus_{\alpha \in I_{k}} \mathbb{Z} & i = k \\ 0 & otherwise \end{cases}$$

is free abelian on the set of k-cells in X.

*Proof.*  $X_{k-1} \subseteq X_k$  is a subcomplex, so

$$\mathrm{H}_{\bullet}\left(X_{k},X_{k-1}\right)\cong\widetilde{\mathrm{H}_{\bullet}}\left(X_{k}/X_{k-1}\right)\cong\widetilde{\mathrm{H}_{\bullet}}\left(\bigvee_{\alpha\in I}\;\mathrm{S}_{\alpha}^{k}\right),$$

as  $\partial D_{\alpha}^{k} \to X_{k-1}$  for all k-cells and  $X_{k-1}$  is collapsed to a point. Choose  $x_{\alpha} \in S_{\alpha}^{k}$  for all  $\alpha$ . Then  $\left(\bigsqcup_{\alpha} S_{\alpha}^{k}, \bigsqcup_{\alpha} \left\{x_{\alpha}\right\}\right)$  is a good pair and  $\bigsqcup_{\alpha} S_{\alpha}^{k} / \bigsqcup_{\alpha} \left\{x_{\alpha}\right\} = \bigvee_{\alpha} S_{\alpha}^{k}$ , so

$$\mathbf{H}_{\bullet}\left(X_{k},X_{k-1}\right)\cong\mathbf{H}_{\bullet}\left(\bigsqcup_{\alpha}\mathbf{S}_{\alpha}^{k},\bigsqcup_{\alpha}\left\{x_{\alpha}\right\}\right)=\bigoplus_{\alpha}\mathbf{H}_{\bullet}\left(\mathbf{S}_{\alpha}^{k},\left\{x_{\alpha}\right\}\right)=\bigoplus\widetilde{\mathbf{H}_{\bullet}}\left(\mathbf{S}_{\alpha}^{k}\right).$$

**Proposition 3.5.** If  $Z \subseteq X$  is compact, there exists N such that  $Z \subseteq X_N$ .

Proof. We will show Z meets only finitely many cells of X. Suppose for contradiction there exists  $S = \{x_0, x_1, \dots\} \subseteq Z$  such that  $x_i \in e_i$  and the cells  $\{e_i\}$  are pairwise distinct. Claim that S is closed in X. Well,  $S \cap X_0$  is closed in  $X_0$ , a discrete space. Inductively, if  $S \cap X_{n-1}$  is closed in  $X_{n-1}$  and  $\phi_{\alpha}^n : D_{\alpha}^n \to X_n$  is an n-cell,  $\left(\phi_{\alpha}^n|_{\partial D_{\alpha}^n}\right)^{-1}(S) \subseteq S_{\alpha}^{n-1}$  is closed. Then

$$\left(\phi_{\alpha}^{n}\right)^{-1}(S) = \left(\left.\phi_{\alpha}^{n}\right|_{\partial \mathcal{D}_{\alpha}^{n}}\right)^{-1}(S) \cup \{\text{at most one point}\} \subseteq \mathcal{D}_{\alpha}^{n}$$

is closed, since X is the disjoint union of interiors of cells, so S meets each cell of  $X_n$  in a closed set, so  $S \subseteq X_n$  is closed. Same for  $S' \subseteq S$ , so S is discrete. Thus S is finite.

**Proposition 3.6.** Let  $X = \bigcup_{k>0} X_k$  be a cell complex.

- 1.  $H_k(X_n) = 0 \text{ for all } k > n$ .
- 2. The inclusion  $X_n \hookrightarrow X$  induces an isomorphism  $H_j(X_n) \xrightarrow{\sim} H_j(X)$  for all j < n.

Proof.

1. If k > n, the long exact sequence of a pair  $(X_n, X_{n-1})$  gives

so  $H_k(X_n) \cong ... \cong H_k(X_0) = 0$ , since  $X_0$  is a discrete set.

2. The same sequence as before

$$H_{k+1}\left(X_{n},X_{n-1}\right) \to H_{k}\left(X_{n-1}\right) \to H_{k}\left(X_{n}\right) \to H_{k}\left(X_{n},X_{n-1}\right)$$

with k < n-1 shows  $H_k(X_{n-1}) \cong \ldots \cong H_k(X_N)$  for all N > n-1. If X is finite-dimensional, we are done. In general, if  $\alpha \in H_k(X)$ , then  $\alpha$  is represented by a finite union of simplices, which is compact. If  $Z \subseteq X$  is compact, there exists N such that  $Z \subseteq X_N$ . So  $\alpha \in \operatorname{im}(\phi_N : H_k(X_N) \to H_k(X))$  for all  $N \gg 0$ . Similarly, if a cycle  $\alpha = \sum_i a_i \sigma_i \in H_k(X)$  bounds a (k+1)-chain in X, that (k+1)-chain lives in some  $X_{N'}$  for  $N' \gg 0$ , so  $[\alpha] = 0 \in H_k(X_{N'})$ , that is  $\phi_N$  is one-to-one for  $N \gg 0$ .

Corollary 3.7. Let X be a finite-dimensional cell complex of dimension n. Then

$$H_j(X) = 0, \qquad j > n.$$

#### 3.3 Cellular homology

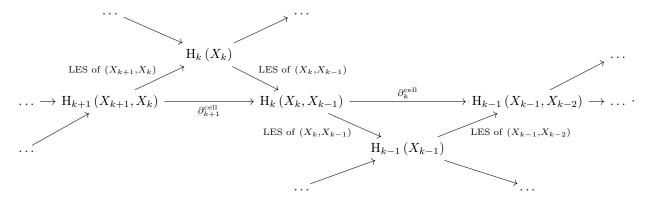
We are still computing from the huge chain groups  $C_{\bullet}(X)$ . The goal is to describe a model for  $H_{\bullet}(X)$  starting from a much smaller chain complex.

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**Definition.** Let  $X = \bigcup_{n>0} X_n$  be a cell complex. Let

$$C_k^{\text{cell}}(X) = H_k(X_k, X_{k-1}).$$

This is free abelian on the k-cells. Then

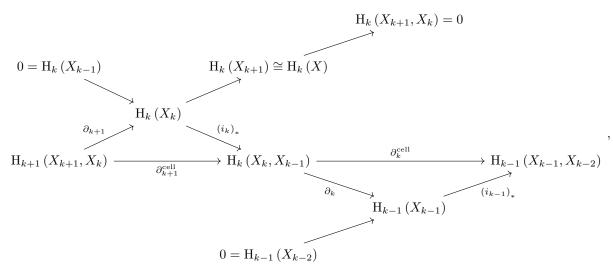


Observe that  $\partial_k^{\text{cell}} \circ \partial_{k+1}^{\text{cell}} = 0$  since we have two consecutive maps from one long exact sequence. This is the **cellular chain complex** of  $X = \bigcup_{n \geq 0} X_n$ . Note that it depends on the chosen cell structure. Write  $H^{\text{cell}}_{\bullet}(X)$  for  $H_{\bullet}\left(\mathbb{C}^{\text{cell}}_{\bullet}(X), \partial^{\text{cell}}\right)$ .

### Proposition 3.8.

$$\mathrm{H}_{\bullet}^{\mathrm{cell}}\left(X\right)\cong\mathrm{H}_{\bullet}\left(X\right).$$

*Proof.* Recall that  $H_j(X_k) = 0$  if j > k and  $X_k \hookrightarrow X$  is an isomorphism on homology for j < k. Then



so

$$\begin{split} \operatorname{H}_{k}\left(X\right) &\cong \operatorname{H}_{k}\left(X_{k+1}\right) \cong \operatorname{H}_{k}\left(X_{k}\right) / \operatorname{im} \partial_{k+1} \cong \operatorname{im}\left(i_{k}\right)_{*} / \operatorname{im}\left(\left(i_{k}\right)_{*} \circ \partial_{k+1}\right) & \text{since } (i_{k})_{*} \text{ is injective} \\ &\cong \ker \partial_{k} / \operatorname{im} \partial_{k+1}^{\operatorname{cell}} \cong \ker \left(\left(i_{k-1}\right)_{*} \circ \partial_{k}\right) / \operatorname{im} \partial_{k+1}^{\operatorname{cell}} & \text{since } (i_{k-1})_{*} \text{ is injective} \\ &= \ker \partial_{k}^{\operatorname{cell}} / \operatorname{im} \partial_{k+1}^{\operatorname{cell}} = \operatorname{H}_{k}^{\operatorname{cell}}\left(X\right). \end{split}$$

**Remark.** If X and Y are cell complexes and  $f: X \to Y$  is a map, in general f does not induce maps  $C^{\text{cell}}_{\bullet}(X) \to C^{\text{cell}}_{\bullet}(Y)$ . Ok if f is **cellular**, so f takes a k-skeleton  $X_k$  into a k-skeleton  $Y_k$ , for all k. The following are immediate.

Corollary 3.9. Let X be a finite cell complex.

- $H_k(X)$  is a finitely generated abelian group of rank at most  $n_k$ , the number of k-cells.
- If  $H_k(X) \neq 0$ , every cell structure on X must have at least  $\operatorname{rk} H_k(X)$  distinct k-cells.
- If X admits a cell structure with only even-dimensional cells,  $H_{\bullet}(X) \cong C^{\mathrm{cell}}_{\bullet}(X)$  for this cell structure.
- $H_{\bullet}(X;\mathbb{F})$  is a finite-dimensional vector space over the field  $\mathbb{F}$ , such as  $\mathbb{Q}$ .

### Example. Let

$$\mathbb{CP}^{n} = \{ \text{lines in } \mathbb{C}^{n+1} \} = (\mathbb{C}^{n+1} \setminus \{0\}) / \mathbb{C}^{*} = S^{2n+1} / S^{1}$$

$$= \{ [z_{0} : \cdots : z_{n}] \mid (z_{0}, \dots, z_{n}) \in \mathbb{C}^{n+1} \setminus \{0\}, \ \forall \lambda \in \mathbb{C}^{*}, \ (z_{0}, \dots, z_{n}) \sim (\lambda z_{0}, \dots, \lambda z_{n}) \}$$

$$= \{ z_{0} = 0\} \cup \{ [1 : z_{1} : \cdots : z_{n}] \mid (z_{1}, \dots, z_{n}) \in \mathbb{C}^{n} \} \cong \mathbb{CP}^{n-1} \cup \mathbb{C}^{n},$$

where  $S^{2n+1} \subseteq \mathbb{C}^{n+1}$  is the unit sphere and  $S^1 : (z_1, \dots, z_{n+1}) \mapsto (\lambda z, \dots, \lambda z_{n+1})$  for  $\lambda \in S^1$ . The attaching map is

$$\begin{array}{ccc} \mathbf{S}^{2n+1} & \longrightarrow & \mathbb{CP}^n \\ (z_1, \dots, z_{n+1}) & \longmapsto & [z_1 : \dots : z_{n+1}] \end{array}.$$

So, inductively in n,  $\mathbb{CP}^n$  has a cell structure with one 2n-cell for all n, so

$$H_{\bullet}(\mathbb{CP}^n) \cong \begin{cases} \mathbb{Z} & \bullet = 0, 2, \dots, 2n - 2, 2n \\ 0 & \text{otherwise} \end{cases}$$
.

See example sheet 1.

#### Remark. Grassmannians

 $Gr(k; \mathbb{C}^n) = \{k\text{-dimensional linear subspaces of } \mathbb{C}^n\}$ 

also have cell structures with only even-dimensional cells.

**Exercise.**  $\mathbb{RP}^n = S^n \setminus \{\pm 1\} = \mathbb{RP}^{n-1} \cup D^n$  has a cell structure with one cell in each degree  $0 \le i \le n$ .

# 3.4 Degrees

How do we compute  $\partial_n^{\text{cell}}: \bigoplus_{\alpha \in I_n} \mathbb{Z} \to \bigoplus_{\beta \in I_{n-1}} \mathbb{Z}$ ? That is, want values  $d_{\alpha\beta} \in \mathbb{Z}$  such that

$$\partial_n^{\text{cell}}\left(e_{\alpha}^n\right) = \sum_{\beta} d_{\alpha\beta} e_{\beta}^{n-1}.$$

Note we have an attaching map  $\phi_{\alpha}^{n}: \partial\left(e_{\alpha}^{n}\right) = S^{n-1} \to X_{n-1}$ .

**Lemma 3.10.**  $d_{\alpha\beta}$  is the degree of

$$f_{\alpha\beta}: \mathbf{S}_{\alpha}^{n-1} \xrightarrow{\phi_{\alpha}^{n}} X_{n-1} \twoheadrightarrow X_{n-1}/X_{n-2} \cong \bigvee_{I_{n-1}} \mathbf{S}^{n-1} \xrightarrow{\operatorname{collapse}} \mathbf{S}_{\beta}^{n-1}.$$

**Remark.** For this degree to be well-defined, not just up to sign, need generators for  $H_{n-1}\left(S_{\alpha}^{n-1}\right)$  and  $H_{n-1}\left(S_{\beta}^{n-1}\right)$ , such as identifying  $S^{n-1} = \partial D^n \subseteq \mathbb{R}^n$ .

*Proof.* By chasing,

$$\begin{array}{c} \operatorname{H}_{n}\left(\operatorname{D}_{\alpha}^{n},\partial\operatorname{D}_{\alpha}^{n}\right) \xrightarrow{\operatorname{LES}} \operatorname{H}_{n-1}\left(\partial\operatorname{D}_{\alpha}^{n}\right) \xrightarrow{\operatorname{deg}f_{\alpha\beta}} \widetilde{\operatorname{H}_{n-1}}\left(\operatorname{S}_{\beta}^{n-1}\right) \\ (\phi_{\alpha}^{n})_{*} \downarrow & \downarrow \left(\phi_{\alpha}^{n}|_{\partial\operatorname{D}_{\alpha}}\right)_{*} & \uparrow \operatorname{collapse} \\ \operatorname{H}_{n}\left(X_{n},X_{n-1}\right) \xrightarrow{\partial_{n}} \operatorname{H}_{n-1}\left(X_{n-1}\right) & \widetilde{\operatorname{H}_{n-1}}\left(\bigvee_{\gamma}\operatorname{S}_{\gamma}^{n-1}\right) \\ \downarrow & \downarrow \\ \downarrow & \downarrow \\ \left(i_{n-1})_{*} & \downarrow \\ \operatorname{H}_{n-1}\left(X_{n-1},X_{n-2}\right) \xrightarrow{\sim} \widetilde{\operatorname{H}_{n-1}}\left(X_{n-1}/X_{n-2}\right) \end{array}$$

so  $d_{\alpha\beta} = \deg f_{\alpha\beta}$  as claimed.

For this to be useful, we need to be able to compute degrees. Let  $f: \mathbf{S}^n \to \mathbf{S}^n$ . Assume that there exists  $y \in \mathbf{S}^n$  such that  $f^{-1}(y) = \{x_1, \dots, x_m\}$  is finite. Pick neighbourhoods  $x_i \in U_i$  and  $y \in V$  homeomorphic to  $\mathbb{R}^n$  such that  $U_i \cap U_j = \emptyset$  if  $i \neq j$  and  $f|_{U_i}: U_i \to V \subseteq \mathbf{S}^n$ .

### **Definition.** The local degree is

$$\deg_{x_i} f = \mathrm{H}_n \left( U_i, U_i \setminus \{x_i\} \right) \cong \mathbb{Z} \to \mathrm{H}_n \left( V, V \setminus \{y\} \right) \cong \mathbb{Z}.$$

Note that by excision and the long exact sequence,

$$H_n(U_i, U_i \setminus \{x_i\}) \cong H_n(S^n, S^n \setminus \{x_i\}) \cong H_n(S^n) \cong \mathbb{Z}.$$

By fixing this,  $\deg_{x_i} f$  is well-defined.

Lemma 3.11. Under the assumption,

$$\deg f = \sum_{i=1}^{m} \deg_{x_i} f.$$

**Remark.** If  $f: S^n \to S^n$  is smooth, then  $f^{-1}(y)$  is finite if y is a regular value for f, and by Sard's theorem, almost all values, in particular a dense set, are regular.

Proof.

which implies the result.

**Example.** Let  $p(z) = z^k + a_{k-1}z^{k-1} + \cdots + a_0$  be a complex polynomial. Then p extends to a map  $\widehat{p}: \mathbb{C} \cup \{\infty\} = S^2 \to S^2$  of degree  $\deg \widehat{p} = k$ . As in lecture 1, show  $\widehat{p} \simeq (q: z \mapsto z^k)$ . Now  $q^{-1}(1) = \{\zeta_1, \ldots, \zeta_k\}$  and near each  $\zeta_i$ , q is a local homeomorphism. And the different local homeomorphisms differ by rotation, so the local degrees at  $\zeta_i$  are all equal.

**Exercise.** The Klein bottle K has

$$0 \longrightarrow C_2^{\operatorname{cell}}(K) \longrightarrow C_1^{\operatorname{cell}}(K) \longrightarrow C_0^{\operatorname{cell}}(K) \longrightarrow 0$$

$$\mathbb{Z} \xrightarrow[1 \mapsto (0,2)]{\mathbb{Z}} \mathbb{Z} \oplus \mathbb{Z} \xrightarrow[0]{\mathbb{Z}} \mathbb{Z}$$

so  $H_1(K; \mathbb{Z}) \cong \mathbb{Z} \oplus \mathbb{Z}/2$  and  $H_2(K; \mathbb{Z}) = 0$ , again.

**Example.** The real projective space  $\mathbb{RP}^n = D^n \cup \mathbb{RP}^{n-1} = D^n \cup \cdots \cup D^1 \cup \{\text{point}\}$  has one cell of each degree  $0 \le i \le n$ , so

$$0 \longrightarrow C_n^{\text{cell}}(\mathbb{RP}^n) \longrightarrow \dots \longrightarrow C_0^{\text{cell}}(\mathbb{RP}^n) \longrightarrow 0$$

$$\mathbb{Z} \longrightarrow \mathbb{Z}$$

Then

$$n: \partial \mathbb{D}^k \xrightarrow{\phi} \mathbb{RP}^{k-1} \twoheadrightarrow \mathbb{RP}^{k-1} / \mathbb{RP}^{k-2} \cong \mathbb{S}^{k-1}$$

is two-to-one and the local maps differ by the antipodal map, so  $\partial_k^{\text{cell}} = 1 + (-1)^k$ . Thus

$$C^{\text{cell}}_{\bullet}\left(\mathbb{RP}^{n}\right) = \begin{cases} \mathbb{Z} \xrightarrow{2} \mathbb{Z} \xrightarrow{0} \mathbb{Z} \to \cdots \to \mathbb{Z} \xrightarrow{2} \mathbb{Z} \xrightarrow{0} \mathbb{Z} & n \text{ even} \\ \mathbb{Z} \xrightarrow{0} \mathbb{Z} \xrightarrow{2} \mathbb{Z} \to \cdots \to \mathbb{Z} \xrightarrow{0} \mathbb{Z} \xrightarrow{2} \mathbb{Z} & n \text{ odd} \end{cases},$$

so

$$\mathbf{H}_{\bullet}\left(\mathbb{RP}^{n};\mathbb{Z}\right) = \begin{cases} \mathbb{Z} & \bullet = 0\\ \mathbb{Z}/2 & 0 < \bullet < n, \bullet \text{ odd}\\ \mathbb{Z} & \bullet = n, n \text{ odd}\\ 0 & \text{otherwise} \end{cases}.$$

**Exercise.** Let  $\Sigma_g$  be a 4g-gon with edge identifications  $a_1b_1a_1^{-1}b_1^{-1}\dots a_gb_ga_g^{-1}b_g^{-1}$ . Then

$$0 \longrightarrow C_2^{\operatorname{cell}}(\Sigma_g) \longrightarrow C_1^{\operatorname{cell}}(\Sigma_g) \longrightarrow C_0^{\operatorname{cell}}(\Sigma_g) \longrightarrow 0$$

$$\mathbb{Z} \xrightarrow{\mathbb{Z}} \mathbb{Z}^{2g} \xrightarrow{\mathbb{Z}} \mathbb{Z}$$

which vanishes as for Klein and as  $H_0(\Sigma_g) = \mathbb{Z}$ .

### 3.5 Cellular cohomology

There is also **cellular cohomology** 

$$\mathbf{C}_{\mathrm{cell}}^{i}\left(X\right) = \mathbf{H}^{i}\left(X_{i}, X_{i-1}\right), \qquad \partial_{\mathrm{cell}}^{*}: \mathbf{H}^{i}\left(X_{i}, X_{i-1}\right) \to \mathbf{H}^{i+1}\left(X_{i+1}, X_{i}\right),$$

and  $\mathrm{H}^{ullet}_{\mathrm{cell}}\left(X\right)\cong\mathrm{H}^{ullet}\left(X\right)$  by the exactly analogous argument as for homology.

Lecture 10 Friday 30/10/20 **Lemma 3.12.**  $C^{\bullet}_{\text{cell}}(X) = \text{Hom}\left(C^{\text{cell}}_{\bullet}(X), \mathbb{Z}\right)$  and  $\partial^{*}_{\text{cell}}$  is the adjoint of  $\partial^{\text{cell}}$ .

See question sheet 2 for related results, in particular the existence of the h-maps.

*Proof.* If  $(\cdot)^{\vee}$  is the linear dual, then

$$H^{i}\left(X_{i}, X_{i-1}\right) \xrightarrow{i^{*}} H^{i}\left(X_{i}\right) \xrightarrow{\partial^{*}} H^{i+1}\left(X_{i+1}, X_{i}\right)$$

$$\sim \downarrow_{h} \qquad \qquad \downarrow_{h} \qquad \qquad \sim \downarrow_{h} \qquad \qquad \downarrow_{h} \qquad \qquad \sim \downarrow_{h} \qquad \qquad .$$

$$\operatorname{Hom}\left(\operatorname{H}_{i}\left(X_{i}, X_{i-1}\right), \mathbb{Z}\right) \xrightarrow{i^{\vee}} \operatorname{Hom}\left(\operatorname{H}_{i}\left(X_{i}\right), \mathbb{Z}\right) \xrightarrow{\partial^{\vee}} \operatorname{Hom}\left(\operatorname{H}_{i+1}\left(X_{i+1}, X_{i}\right), \mathbb{Z}\right)$$

A direct check shows the outer h maps are isomorphisms, so just need the diagram to commute. The left hand square commutes by naturality of h and the right hand square commutes by naturality of homology and cohomology long exact sequences.

**Proposition 3.13.** Let X be a finite cell complex. Then

$$\mathrm{H}^{i}\left(X;\mathbb{Z}\right)\cong\left(\mathrm{H}_{i}\left(X;\mathbb{Z}\right)/\mathrm{Tors}\right)\oplus\mathrm{Tors}\,\mathrm{H}_{i-1}\left(X;\mathbb{Z}\right).$$

Note that for an abelian group G,

Tors 
$$G = \{\text{elements of finite order}\} = \{\text{all } \mathbb{Z}/k\text{-summands} \mid k \geq 2\} \leq G.$$

*Proof.* This is now just algebra. Let  $C_{\bullet}$  be a chain complex of free finitely generated abelian groups, and  $C^{\bullet} = \operatorname{Hom}(C_{\bullet}, \mathbb{Z})$  the dual complex. Then

$$\mathrm{H}^{i}\left(C^{\bullet}\right)=\left(\mathrm{H}_{i}\left(C_{\bullet}\right)/\mathrm{Tors}\right)\oplus\mathrm{Tors}\,\mathrm{H}_{i-1}\left(C_{\bullet}\right).$$

The key idea is to break  $C_{\bullet}$  into a collection of short exact sequences

$$0 \to Z_n \to C_n \to B_{n-1} \to 0, \qquad 0 \to B_n \to Z_n \to H_n\left(C_{\bullet}\right) \to 0,$$

where  $Z_i = \ker(\partial_i : C_i \to C_{i-1})$  and  $B_i = \operatorname{im}(\partial_{i+1} : C_{i+1} \to C_i)$ . In the former sequence, all the terms are free finitely generated abelian groups, so we can non-canonically split these and write  $C_n \cong Z_n \oplus B_{n-1}$  for all n, so there exists  $\alpha_n : B_{n-1} \to C_n$  such that  $\partial_n \circ \alpha_n = \operatorname{id}$ . Then  $C_{\bullet}$  becomes

$$\cdots \to Z_{n+1} \oplus B_n \xrightarrow{B_n \to Z_n} Z_n \oplus B_{n-1} \xrightarrow{B_{n-1} \to Z_{n-1}} Z_{n-1} \oplus B_{n-2} \to \ldots,$$
 (2)

that is  $C_{\bullet}$  breaks into a sum of complexes of the form

$$0 \to B_n \xrightarrow{A_n} Z_n \to 0.$$

By the Smith normal form, there exists a  $\mathbb{Z}$ -linear change of basis such that  $A_n$  has matrix

$$\begin{pmatrix} d_1 & & & & & & \\ & \ddots & & & & & \\ & & d_k & & & \\ & & 0 & & \\ & & & \ddots & \\ & & & 0 \end{pmatrix}, \qquad \begin{cases} d_1 \mid d_2 \\ \vdots & & \\ d_{k-1} \mid d_k \end{cases}$$

so (2) breaks into a direct sum of complexes of the form

$$0 \to \mathbb{Z} \xrightarrow{0} \mathbb{Z} \to 0, \qquad 0 \to \mathbb{Z} \xrightarrow{d_i} \mathbb{Z} \to 0.$$

Now the relation  $H^{i}(C^{\bullet}) = (H_{i}(C_{\bullet}) / Tors) \oplus Tors H_{i-1}(C_{\bullet})$  is obvious, by just checking in these two examples.

**Remark.** For abelian groups H and G set

$$\operatorname{Ext}^{1}(H,G) = \{ \operatorname{short exact sequences } 0 \to G \to J \to H \to 0 \} / \sim,$$

where two are equivalent if the obvious thing happens. That is, there exists  $\phi$  making the diagram

commute, so  $\phi$  is an isomorphism by the 5-lemma. The **universal coefficient theorem** says there are split exact sequences

$$0 \to \operatorname{Ext}^1(\operatorname{H}_{n-1}(X; \mathbb{Z}), G) \to \operatorname{H}^n(X; G) \to \operatorname{Hom}(\operatorname{H}_n(X; \mathbb{Z}), G) \to 0.$$

We will not prove this.

### 3.6 Euler characteristic

Recall that if X is a finite cell complex, we saw  $H_i(X)$  is finitely generated for all i, and  $H_i(X) = 0$  if  $i > \dim X$ . So  $\bigoplus_i H_i(X; \mathbb{Q})$  is a finite-dimensional graded  $\mathbb{Q}$ -vector space.

**Definition.** The Euler characteristic of a finite cell complex X is

$$\chi(X) = \sum_{k>0} (-1)^k \operatorname{rk}_{\mathbb{Z}} \operatorname{H}_k(X; \mathbb{Z}).$$

Lemma 3.14.

$$\chi(X) = \sum_{k>0} (-1)^k N_k,$$

where  $N_k$  is the number of k-cells in X.

*Proof.*  $N_k$  is the rank of  $C_k^{\text{cell}}(X)$  and we just observed we have short exact sequences

$$0 \to B_k \to Z_k \to H_k(X) \to 0, \qquad 0 \to Z_k \to C_k \to B_{k-1} \to 0.$$

Then

$$\operatorname{rk} \operatorname{H}_k (X) = \operatorname{rk} Z_k - \operatorname{rk} B_k = z_k - b_k, \qquad \operatorname{rk} C_k = \operatorname{rk} Z_k + \operatorname{rk} B_{k-1} = z_k + b_{k-1},$$

so

$$\sum_{k\geq 0} (-1)^k \operatorname{rk}_{\mathbb{Z}} \operatorname{H}_k (X; \mathbb{Z}) = \sum_{k\geq 0} (-1)^k (z_k - b_k) = \sum_{k\geq 1} (-1)^k (z_k - (N_{k+1} - z_{k+1}))$$
$$= \sum_{k\geq 0} (-1)^k N_{k+1} + z_0 = \sum_{k\geq 0} (-1)^k N_k.$$

**Remark.** If  $\mathbb{F}$  is a field,

$$\chi(X) = \sum_{k \ge 0} (-1)^k \dim_{\mathbb{F}} H_k(X; \mathbb{F}).$$

Example.

- $S^4 \ncong \mathbb{CP}^2$  as  $\chi(S^4) = 2$  and  $\chi(\mathbb{CP}^2) = 3$ , since  $S^4$  has one 0-cell and one 4-cell and  $\mathbb{CP}^2$  has one 4-cell, one 2-cell, and one 0-cell.
- $\chi(\Sigma_q) = 2 2g$ , since  $\Sigma_q$  has one 0-cell, 2g distinct 1-cells, and one 2-cell.
- If A and B are finite cell complexes, then  $A \times B$  has a product cell structure such that the open i-cells are of the form  $(j\text{-cell in }A) \times ((i-j)\text{-cell in }B)$ , so  $\chi(A \times B) = \chi(A) \chi(B)$ .
- If  $X = A \cup B$  is a union of two subcomplexes, then  $\chi(X) = \chi(A) + \chi(B) \chi(A \cap B)$ .

# 4 Generalised homology theories

# 4.1 Eilenberg-Steenrod axioms

**Definition.** An assignment  $(X,A) \mapsto h_{\bullet}(X,A) = \bigoplus_{i \in \mathbb{Z}} h_i(X,A)$  of graded abelian groups to pairs of topological spaces and subspaces is called a **generalised homology theory** if it satisfies the following.

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• Functoriality. A map  $f:(X,A)\to (Y,B)$  induces a degree-preserving homomorphism

$$f_*: h_{\bullet}(X, A) \to h_{\bullet}(Y, B)$$
,

such that  $id_* = id$  and  $(f \circ g)_* = f_* \circ g_*$ .

- Homotopy invariance. If  $f \simeq g$ , through maps of pairs, then  $f_* = g_*$ .
- Long exact sequence. If  $h_i(X) = h_i(X, \emptyset)$ , then there exists  $\partial : h_i(X, A) \to h_{i-1}(A)$  such that

$$\cdots \rightarrow h_i(A) \xrightarrow{\iota_*} h_i(X) \xrightarrow{\iota_*} h_i(X,A) \xrightarrow{\partial} h_{i-1}(A) \rightarrow \cdots$$

is exact and natural.

• Excision. If  $\overline{Z} \subseteq \mathring{A}$ , then

$$\iota_*: h_{\bullet}(X \setminus Z, A \setminus Z) \xrightarrow{\sim} h_{\bullet}(X, A)$$
.

• Unions. If  $X = \bigsqcup_{\alpha} X_{\alpha}$ , then

$$\bigoplus (\iota_{\alpha})_{*} : \bigoplus_{\alpha} h_{\bullet} (X_{\alpha}) \xrightarrow{\sim} h_{\bullet} \left(\bigsqcup_{\alpha} X_{\alpha}\right).$$

These axioms are usually called the Eilenberg-Steenrod axioms.

One sometimes restricts attention to pairs (X, A) which are not too pathological so for example components and path-components agree. The axioms let us formalise the idea that homology of cell complexes is quite constrained or computable.

**Definition.** If  $h_{\bullet}$  and  $k_{\bullet}$  are generalised homotopy theories, a **natural transformation**  $\Phi: h_{\bullet} \to k_{\bullet}$  comprises homomorphisms  $\Phi_{X,A}: h_{\bullet}(X,A) \to k_{\bullet}(X,A)$  for all (X,A), which are compatible with all the structure.

**Example.** If  $f:(X,A)\to (Y,B)$  then

$$h_{\bullet}(X, A) \xrightarrow{f_{*}} h_{\bullet}(Y, B)$$

$$\Phi_{X, A} \downarrow \qquad \qquad \downarrow \Phi_{Y, B}$$

$$k_{\bullet}(X, A) \xrightarrow{f_{*}} k_{\bullet}(Y, B)$$

commutes, and similarly for maps of long exact sequence of pairs and excision or union isomorphisms.

# 4.2 Cellular example

**Proposition 4.1.** Let  $h_{\bullet}$  and  $k_{\bullet}$  be generalised homology theories defined on the class of pairs (X, A) where X is homotopy equivalent to a cell complex and  $A \subseteq X$  to a subcomplex. Suppose  $\Phi : h_{\bullet} \to k_{\bullet}$  is a natural transformation. If  $\Phi : h_{\bullet}(\{point\}) \xrightarrow{\sim} k_{\bullet}(\{point\})$  is an isomorphism for  $X = \{point\}$ , and  $A = \emptyset$ , then  $\Phi_{(X,A)} : h_{\bullet}(X, A) \xrightarrow{\sim} k_{\bullet}(X, A)$  for all finite-dimensional (X, A) in this class.

Then  $h_{\bullet}(\{\text{point}\})$  is called the **coefficient** of the generalised homology theory.

**Notation.** Call this class of (X, A) the **cellular pairs**.

*Proof.* Induct on dim X. If dim X = 0, then  $X = \{\text{discrete set}\} = X_0$ , so the result follows from unions. So inductively suppose  $\Phi_{(X,A)}$  is an isomorphism whenever dim  $X \leq n-1$ , and suppose  $X = X_n$  is n-dimensional. Consider

$$\dots \to h_{i+1}(X, X_{n-1}) \to h_i(X_{n-1}) \to h_i(X) \to h_i(X, X_{n-1}) \to h_{i-1}(X_{n-1}) \to \dots$$

$$\downarrow^{\Phi} \qquad \downarrow^{\Phi} \qquad \downarrow^{\Phi} \qquad \downarrow^{\Phi} \qquad \downarrow^{\Phi} \qquad \downarrow^{\Phi}$$

$$\dots \to k_{i+1}(X, X_{n-1}) \to k_i(X_{n-1}) \to k_i(X) \to k_i(X, X_{n-1}) \to k_{i-1}(X_{n-1}) \to \dots$$

By the 5-lemma, if  $\Phi_{(X,X_{n-1})}$  is an isomorphism for all i, then  $\Phi_{(X,\emptyset)}$  is an isomorphism for all i. Apply excision, by replacing  $X_{n-1}$  by a neighbourhood  $N_{\epsilon}(X_{n-1})$  which does not change  $h_{\bullet}(X,A)$  by homotopy invariance then excise  $X_{n-1}$ , to show

$$h_{\bullet}(X, X_{n-1}) = h_{\bullet}(X_n, X_{n-1}) \cong h_{\bullet}\left(\bigsqcup_{\alpha} \mathbb{D}_{\alpha}^n, \bigsqcup_{\alpha} \partial \mathbb{D}_{\alpha}^n\right),$$

where the union is over n-cells. By unions,

$$h_{\bullet}\left(\bigsqcup_{\alpha} \mathcal{D}_{\alpha}^{n}, \bigsqcup_{\alpha} \partial \mathcal{D}_{\alpha}^{n}\right) \cong \bigoplus_{\alpha} h_{\bullet}\left(\mathcal{D}_{\alpha}^{n}, \partial \mathcal{D}_{\alpha}^{n}\right),$$

and similarly for  $k_{\bullet}$ , so it suffices to prove  $\Phi_{(D_{\alpha}^n,\partial D_{\alpha}^n)}$  is an isomorphism. But now

$$\dots \to h_i(\partial \mathbf{D}^n) \to h_i(\mathbf{D}^n) \to h_i(\mathbf{D}^n, \partial \mathbf{D}^n) \to h_{i-1}(\partial \mathbf{D}^n) \to h_{i-1}(\mathbf{D}^n) \to \dots$$

$$\sim \downarrow_{\text{Induction}} \sim \downarrow_{\text{Homotopy}} \downarrow_{\phi} \qquad \sim \downarrow_{\text{Induction}} \sim \downarrow_{\text{Homotopy}} ,$$

$$\dots \to k_i(\partial \mathbf{D}^n) \to k_i(\mathbf{D}^n) \to k_i(\mathbf{D}^n) \to k_i(\mathbf{D}^n, \partial \mathbf{D}^n) \to k_{i-1}(\partial \mathbf{D}^n) \to k_{i-1}(\mathbf{D}^n) \to \dots$$

so by the 5-lemma,  $\phi$  is an isomorphism as required. Inductively this shows  $\Phi_{(X,\emptyset)}$  if dim X=n, and then the 5-lemma and the long exact sequence shows  $\Phi_{(X,A)}$  is an isomorphism if dim X=n. So we are done for finite-dimensional cellular pairs.

The result also holds for infinite-dimensional cellular pairs, but we will not need this.

**Example.** Note that for  $h_{\bullet}(X, A) = H_{\bullet}(X, A)$  we know  $H_{i}(X_{k}) \to H_{i}(X)$  is onto once k > i, so easy to reduce to the finite-dimensional case.

A warning is that the axioms do not determine  $h_{\bullet}(X, A)$  from  $h_{\bullet}(\{\text{point}\})$  formally, and it is rather that naturally related theories have the same indeterminacy.

### 4.3 Generalisations

**Remark.** A generalised cohomology theory  $(X,A) \mapsto h^{\bullet}(X,A)$  is similar, and has

• contravariant functoriality, so  $f:(X,A)\to (Y,B)$  induces

$$f^*: h^{\bullet}(Y, B) \to h^{\bullet}(X, A)$$
,

- homotopy invariance,
- ullet long exact sequence

$$\cdots \to h^{\bullet}(X, A) \to h^{\bullet}(X) \to h^{\bullet}(A) \xrightarrow{\partial} h^{\bullet+1}(X, A) \to \cdots,$$

- excision, and
- unions, which is a direct product

$$h^{\bullet}\left(\bigsqcup_{\alpha} X_{\alpha}\right) \cong \prod_{\alpha} h^{\bullet}\left(X_{\alpha}\right).$$

**Remark.** There are uninterestingly different generalised homology theories, such as  $(X, A) \mapsto H_{\bullet}(X, A) \otimes_{\mathbb{Z}} R$  for your favourite graded group R, but interestingly different ones are not obtained from chain complexes.

• In lecture 1 we briefly mentioned homotopy groups  $\pi_i(X)$ . If

$$\Sigma X = (X \times [0,1]) / \{(x,0) \sim \{\text{point}\}, (x,1) \sim \{\text{point}\} \mid x \in X\}$$

is the suspension, then there exist maps

$$\pi_i(X) \to \pi_{i+1}(\Sigma X) \to \dots$$

and these eventually become isomorphisms, so the stable homotopy group is

$$\pi_i^{\text{st}} = \lim_k \pi_{i+k} \left( \Sigma^k X \right).$$

Then  $\pi_{\bullet}^{\text{st}}$  ({point}) is unknown, and determining it is one of the major open problems of mathematics.

• In K-theory,  $K_{\bullet}(X)$  is another generalised homology theory, built out of vector bundles, which we will discuss. Probably developed in the homotopy theory course.

**Remark.** Different generalised homology theories do not come from chain complexes, but the existence of different chain complexes is still deep and important.

• Čech cochains. Fix a cover  $\mathcal{U} = \{U_{\alpha} \mid \alpha \in A\}$  of a space X. Let

$$S_k = \left\{ (a_0, \dots, a_k) \in A^{k+1} \mid U_{a_0} \cap \dots \cap U_{a_k} \neq \emptyset \right\},\,$$

let  $\check{\mathbf{C}}^k(X,\mathcal{U})$  be the maps from  $S_k$  to  $\mathbb{Z}$ , and let

$$\partial : \check{\mathbf{C}}^{k}(X,\mathcal{U}) \longrightarrow \check{\mathbf{C}}^{k+1}(X,\mathcal{U})$$

$$\psi \longmapsto \left( (a_{0},\ldots,a_{k+1}) \mapsto \sum_{i=0}^{k+1} \psi(a_{0},\ldots,\widehat{a_{i}},\ldots,a_{k+1}) \right) \cdot$$

Then  $\partial^2 = 0$ , by the same proof as singular cohomology, which gives  $\check{\mathbf{H}}^{\bullet}(X,\mathcal{U})$ . Now set

$$\check{\mathbf{H}}^{\bullet}\left(X\right) = \lim_{U} \check{\mathbf{H}}^{\bullet}\left(X, \mathcal{U}\right),$$

the limit over finer and finer covers.

• Morse cochains. Take M a compact  $C^{\infty}$ -manifold and  $f: M \to \mathbb{R}$  smooth with non-degenerate critical points, so if  $\mathrm{d} f|_x = 0$ , then  $\mathrm{d}^2 f|_x$  is non-degenerate. The index of x is the number of negative eigenvalues of  $\mathrm{d}^2 f|_x$ . Let

$$C_{\text{Morse}}^{k}(f) = \bigoplus_{x \text{ critical in } f \text{ of index } k} \mathbb{Z}.$$

There exists  $\partial_{\text{Morse}}$ , counting flow lines  $\dot{\gamma} = -\nabla f \circ \gamma$  for  $\gamma : \mathbb{R} \to M$ , such that  $H_{\text{Morse}}^{\bullet}(f) \cong H^{\bullet}(M)$ .

# 5 Cup-products

Up to now we developed homology and cohomology in parallel, and we will use Mayer-Vietoris, excision, etc freely for cohomology too. But there is a key difference, which will in some sense dominate the rest of the course. Cohomology is a ring.

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### 5.1 The cohomology ring

**Definition.** If  $\phi \in C^k(X)$  and  $\psi \in C^l(X)$ , their **cup-product**  $\phi \cup \psi \in C^{k+l}(X)$  is defined by

$$(\phi \cup \psi) ([v_0, \dots, v_{k+l}]) = \phi ([v_0, \dots, v_k]) \psi ([v_k, \dots, v_l]),$$

so feed the front face of the simplex to  $\phi$  and the back face to  $\psi$ .

**Notation.** We will typically just write  $\phi \cdot \psi$  rather than  $\phi \cup \psi$ , but still call it cup-product.

**Lemma 5.1.** If  $\partial^* : C^{\bullet}(X) \to C^{\bullet+1}(X)$  is the coboundary operator in  $C^{\bullet}(X)$ , then

$$\partial^{*}\left(\phi\cdot\psi\right)=\left(\partial^{*}\phi\right)\cdot\psi+\left(-1\right)^{k}\phi\cdot\left(\partial^{*}\psi\right),\qquad\phi\in\mathcal{C}^{k}\left(X\right),\qquad\psi\in\mathcal{C}^{l}\left(X\right).$$

Note that sometimes write

$$\partial^* (\phi \cdot \psi) = (\partial^* \phi) \cdot \psi + (-1)^{|\phi|} \phi \cdot (\partial^* \psi),$$

where  $|\phi|$  is the degree of  $\phi$ , assumed homogeneous.

*Proof.* Note that

$$((\partial^* \phi) \cdot \psi) ([v_0, \dots, v_{k+l+1}]) = \sum_{i=0}^{k+1} (-1)^i \phi ([v_0, \dots, \widehat{v_i}, \dots, v_{k+1}]) \psi ([v_{k+1}, \dots, v_{k+l+1}]),$$
(3)

$$\left( (-1)^{k} \phi \cdot (\partial^{*} \psi) \right) \left( [v_{0}, \dots, v_{k+l+1}] \right) = \phi \left( [v_{0}, \dots, v_{k}] \right) \sum_{i=k}^{k+l+1} (-1)^{i} \phi \left( [v_{k}, \dots, \widehat{v_{i}}, \dots, v_{k+l+1}] \right), \tag{4}$$

where  $(-1)^k$  on the left hand side is absorbed here. The last term of (3) and the first term of (4) cancel, since one has  $(-1)^{k+1}$  and one  $(-1)^k$ . The remaining terms give

$$(\phi \cdot \psi) \left( \sum_{i=0}^{k+l+1} (-1)^i [v_0, \dots, \widehat{v_i}, \dots, v_{k+l+1}] \right) = (\partial^* (\phi \cdot \psi)) ([v_0, \dots, v_{k+l+1}]).$$

Corollary 5.2. Cup-product descends to cohomology, that is it induces

$$H^{k}(X) \times H^{l}(X) \to H^{k+l}(X)$$
. (5)

This makes  $H^{\bullet}(X)$  a graded unital ring.

Proof. Let  $\phi \in C^k(X)$  and  $\psi \in C^l(X)$  be closed. Then  $\partial^*(\phi \cdot \psi) = (\partial^*\phi) \cdot \psi + (-1)^k \phi \cdot (\partial^*\psi) = 0$ , so set  $[\phi] \cup [\psi] = [\phi \cup \psi]$ , an element of  $H^{k+l}(X)$ . If we change  $\phi$  to  $\phi + \partial^*\alpha$  for  $\alpha \in C^{k-1}(X)$ , then  $(\phi + \partial^*\alpha) \cdot \psi = \phi \cdot \psi + (\partial^*\alpha) \cdot \psi = \phi \cdot \psi + \partial^*(\alpha \cdot \psi)$ , using  $\partial^*\psi = 0$ , so  $[\phi \cdot \psi]$  does not depend on the choice of cocycle representative for  $[\phi]$ , and changing the representative for  $[\psi]$  is similar. So (5) is well-defined, on cohomology. Let  $1 \in C^0(X)$  which is defined by  $1(p) = 1 \in \mathbb{Z}$  for all  $p \in X$ , the generators of  $C_0(X)$ . Then  $(\partial^*1)([v_0, v_1]) = 1(v_0) - 1(v_1) = 0$ , so  $\partial^*1 = 0$ . Thus  $[1] \in H^0(X)$ , and

$$\left(\phi\cdot 1\right)\left(\left[v_{0},\ldots,v_{k}\right]\right)=\phi\left(\left[v_{0},\ldots,v_{k}\right]\right)\cdot 1\left(v_{k}\right)=\phi\left(\left[v_{0},\ldots,v_{k}\right]\right),$$

$$(1 \cdot \psi)([v_0, \dots, v_l]) = 1(v_0) \cdot \psi([v_0, \dots, v_l]) = \psi([v_0, \dots, v_l]),$$

so [1] is a unit.  $\Box$ 

Recall that for an abelian group G,

$$C_{j}\left(X;G\right) = C_{j}\left(X;\mathbb{Z}\right) \otimes G = \left\{\sum_{i} a_{i}\sigma_{i} \middle| a_{i} \in G, \ \sigma_{i}:\Delta^{j} \to X\right\}, \qquad C^{j}\left(X;G\right) = \operatorname{Hom}_{\mathbb{Z}}\left(C_{j}\left(X;\mathbb{Z}\right),G\right),$$

so  $C^{\bullet}(X;R)$  is a ring whenever the coefficient group G=R is a ring. Then  $H^{\bullet}(X;R)$  is a ring if R is a ring and unital if R is unital.

#### Proposition 5.3.

• Cup-product is associative, at the chain level, and so on cohomology, so

$$\phi \cdot (\psi \cdot \tau) = (\phi \cdot \psi) \cdot \tau \in \mathcal{C}^{k+l+r}(X), \qquad \phi \in \mathcal{C}^{k}(X), \qquad \psi \in \mathcal{C}^{l}(X), \qquad \tau \in \mathcal{C}^{r}(X).$$

• If  $f: X \to Y$ , then  $f^{\#}: C^{\bullet}(Y) \to C^{\bullet}(X)$  satisfies

$$f^{\#} \left( \phi \cdot \psi \right) = \left( f^{\#} \phi \right) \cdot \left( f^{\#} \psi \right),$$

which is immediate from the definitions, so  $f^*: H^{\bullet}(Y) \to H^{\bullet}(X)$  is a unital ring homomorphism.

• Cross-product is

$$\begin{array}{cccc} \times & : & \mathrm{H}^{i}\left(Y\right) \times \mathrm{H}^{j}\left(Z\right) & \longrightarrow & \mathrm{H}^{i+j}\left(Y \times Z\right) \\ & \left(\phi, \psi\right) & \longmapsto & \mathrm{p}_{Y}^{*}\phi \cup \mathrm{p}_{Z}^{*}\psi \end{array}, \qquad Y \xleftarrow{\mathrm{p}_{Y}} Y \times Z \xrightarrow{\mathrm{p}_{Z}} Z.$$

If Y = Z = X and the diagonal is

$$\begin{array}{cccc} \Delta & : & X & \longrightarrow & X \times X \\ & x & \longmapsto & (x, x) \end{array},$$

cup-product is

$$\cup : \mathrm{H}^{k}\left(X\right) \times \mathrm{H}^{l}\left(X\right) \xrightarrow{\times} \mathrm{H}^{k+l}\left(X \times X\right) \xrightarrow{\Delta^{*}} \mathrm{H}^{k+l}\left(X\right),$$

so the existence of  $\Delta$  and the contravariance of cohomology are key.

Great, we have a product. But, as with original definition of homology and cohomology, there is little we can immediately compute.

**Example.**  $H^{\bullet}(\{\text{point}\}) \cong \mathbb{Z}$  in degree zero, with its usual ring structure, and

$$H^{\bullet}(S^n) \cong \begin{cases} \mathbb{Z} & \bullet = 0, n \\ 0 & \text{otherwise} \end{cases}$$

so  $H^{\bullet}(S^n) \cong \mathbb{Z}[x] / \langle x^2 \rangle$  for |x| = n.

**Example.** Let X and Y be cell complexes with basepoints  $x_0 \in X$  and  $y_0 \in Y$ , and let  $X \vee Y = (X \sqcup Y)/x_0 \sim y_0$ . Then

$$\widetilde{\mathrm{H}^{\bullet}}(X \vee Y) \cong \widetilde{\mathrm{H}^{\bullet}}(X) \oplus \widetilde{\mathrm{H}^{\bullet}}(Y)$$

is a ring isomorphism. Indeed,

$$X \stackrel{\mathrm{p}_X}{\longleftarrow} X \vee Y \stackrel{\mathrm{p}_Y}{\longrightarrow} Y, \qquad X \stackrel{\iota_X}{\longrightarrow} X \vee Y \stackrel{\iota_Y}{\longleftarrow} Y$$

induce ring homomorphisms

$$\mathrm{H}^{\bullet}\left(X\right) \xrightarrow{\mathrm{p}_{X}^{*}} \mathrm{H}^{\bullet}\left(X \vee Y\right) \xleftarrow{\mathrm{p}_{Y}^{*}} \mathrm{H}^{\bullet}\left(Y\right), \qquad \mathrm{H}^{\bullet}\left(X\right) \xleftarrow{\iota_{X}^{*}} \mathrm{H}^{\bullet}\left(X \vee Y\right) \xrightarrow{\iota_{Y}^{*}} \mathrm{H}^{\bullet}\left(Y\right),$$

and Mayer-Vietoris gives

$$\operatorname{H}^{\bullet}(X \vee Y) \xrightarrow{(\iota_X^*, \iota_Y^*)} \operatorname{H}^{\bullet}(X \vee Y) \to \operatorname{H}^{\bullet}(\{\text{point}\}),$$

which shows

$$\mathbf{p}_{X}^{*}\oplus\mathbf{p}_{Y}^{*}:\widetilde{\mathbf{H}^{\bullet}}\left(X\right)\oplus\widetilde{\mathbf{H}^{\bullet}}\left(Y\right)\rightarrow\widetilde{\mathbf{H}^{\bullet}}\left(X\vee Y\right)$$

is an isomorphism additively.

# 5.2 Key features

From the definitions, not sure we can do much else. We need two key features to get going. We will state them now and prove one of them later.

**Proposition 5.4** (Graded commutativity).  $H^{\bullet}(X)$  is graded commutative, or skew-commutative, so

$$\phi \cdot \psi = (-1)^{kl} \psi \cdot \phi, \qquad \phi \in \mathcal{H}^k(X), \qquad \psi \in \mathcal{H}^l(X).$$

Note that this is not true at chain level, only on cohomology.

Example. Suppose

$$\mathrm{H}^{\bullet}\left(X;\mathbb{Z}\right)\cong\begin{cases}\mathbb{Z}&\bullet=0,3,6\\0&\text{otherwise}\end{cases}.$$

For degree reasons, the only possible interesting product is  $H^3(X; \mathbb{Z}) \times H^3(X; \mathbb{Z}) \to H^6(X; \mathbb{Z})$ , but if  $H^3(X) = \mathbb{Z}\theta$ , then  $\theta \cdot \theta = -\theta \cdot \theta$  as  $(-1)^{|\theta|} = -1$ , so  $2\theta \cdot \theta = 0$ . Then  $\theta \cdot \theta = 0$ , since no 2-torsion in  $H^6(X; \mathbb{Z})$ . For example,  $S^3 \vee S^6$  is such a space.

Let A and B be abelian groups. Then  $A \otimes B$  is characterised by the universal property

$$\begin{array}{ccc} A \times B & \xrightarrow{\text{Bilinear}} C \\ & & & & \\ & & & & \\ A \otimes B & & & \end{array}$$

where C is an abelian group. Concretely, it is generated by symbols  $a \otimes b$  such that

$$(a+a')\otimes b=a\otimes b+a'\otimes b, \qquad a\otimes (b+b')=a\otimes b+a\otimes b'.$$

Example.

$$\mathbb{Z} \otimes A = A, \qquad \mathbb{Z}/n \otimes A = A/nA, \qquad (A \otimes B) \otimes C \cong A \otimes (B \otimes C), \qquad A \otimes B \cong B \otimes A,$$

$$\left(\bigoplus_{i} A_{i}\right) \otimes B \cong \bigoplus_{i} (A_{i} \otimes B), \qquad f: A \to A', \ g: B \to B' \implies f \otimes g: A \otimes A' \to B \otimes B'.$$

**Remark.** If A and B are modules over a commutative ring R,

$$A \otimes_B B = (A \otimes B) / \{ra \otimes b = a \otimes rb \mid a \in A, b \in B, r \in B\}.$$

**Theorem 5.5** (Künneth theorem). Let Y be a cell complex such that  $H^{i}(Y)$  is free for all i. Then cross-product

$$\bigoplus_{k+l=n} \mathrm{H}^{k}\left(X\right) \otimes \mathrm{H}^{l}\left(Y\right) \to \mathrm{H}^{n}\left(X \times Y\right)$$

is an isomorphism whenever X is a finite cell complex.

### Remark.

- Cross-product  $H^{i}(X) \times H^{j}(Y) \to H^{i+j}(X \times Y)$  is bilinear, but bilinear maps are rarely homomorphisms, so natural to pass to tensor product.
- For R a commutative ring, if  $H^{j}(Y;R)$  is a free R-module for all j, then

$$\bigoplus_{k+l=n} \mathrm{H}^{k}\left(X;R\right) \otimes_{R} \mathrm{H}^{l}\left(Y;R\right) \xrightarrow{\sim} \mathrm{H}^{n}\left(X \times Y;R\right).$$

Note that if R is a field,  $H^{j}(Y;R)$  is free.

• Write

$$\times \quad : \quad \mathrm{H}^{\bullet}\left(X;R\right) \otimes_{R} \mathrm{H}^{\bullet}\left(Y;R\right) \quad \longrightarrow \quad \mathrm{H}^{\bullet}\left(X \times Y;R\right) \\ a \otimes b \quad \longmapsto \quad a \times b$$

for Künneth. Note that this is a ring homomorphism where  $(a \otimes b)$   $(c \otimes d) = (-1)^{|b||c|} ac \otimes bd$ .

<sup>&</sup>lt;sup>8</sup>Exercise: check

**Example.** The exterior algebra  $\Lambda(x_i \mid x_i \in I)$  is free on generators  $\{x_i\}$  subject to skew-commutativity, so  $H^{\bullet}(S^1) \cong \mathbb{Z}[x]/\langle x^2 \rangle = \Lambda(x)$  for |x| = 1. By cellular cohomology,

$$H^{\bullet}\left(T^{2}\right) = \begin{cases} \mathbb{Z} & \bullet = 0, 2 \\ \mathbb{Z}^{2} & \bullet = 1 \\ 0 & \text{otherwise} \end{cases}, \qquad H^{0}\left(T^{2}\right) \cong H^{0}\left(S^{1}\right) \otimes H^{0}\left(S^{1}\right), \\ H^{1}\left(T^{2}\right) \cong H^{1}\left(S^{1}\right) \otimes H^{0}\left(S^{1}\right) \oplus H^{0}\left(S^{1}\right) \otimes H^{1}\left(S^{1}\right), \\ H^{2}\left(T^{2}\right) \cong H^{1}\left(S^{1}\right) \otimes H^{1}\left(S^{1}\right). \end{cases}$$

Let  $H^1(T^2)$  be generated by  $x_1 \otimes 1$  and  $1 \otimes x_2$ . For degree reasons, the only possible interesting product is

$$\begin{array}{ccc} \mathrm{H}^{1}\left(\mathrm{T}^{2}\right) \times \mathrm{H}^{1}\left(\mathrm{T}^{2}\right) & \longrightarrow & \mathrm{H}^{2}\left(\mathrm{T}^{2}\right) \\ \left(x_{1} \otimes 1, 1 \otimes x_{2}\right) & \longmapsto & x_{1}x_{2} = x_{1} \times x_{2} \end{array},$$

and  $x_1x_2 = -x_2x_1$  by skew-commutativity, so the only non-zero products are those of  $\Lambda(x_1, x_2)$ .

Corollary 5.6.  $H^{\bullet}(T^n) = \Lambda^{\bullet}H^1(T^n)$  is the exterior algebra on n degree one generators.

**Example.** Label  $1 \in H^0(\Sigma_q)$  for the unit and  $u \in H^2(\Sigma_q)$  for a generator in

$$\mathrm{H}^{\bullet}\left(\Sigma_{g}\right)\cong\begin{cases}\mathbb{Z}&\bullet=0,2\\\mathbb{Z}^{2g}&\bullet=1\end{cases}.$$

The ring structure is

$$\mathbb{Z}\langle x_1,\ldots,x_g,y_1,\ldots,y_g\mid x_ix_j=0=y_iy_j,\ x_iy_j=\delta_{ij}u\rangle,$$

and note  $y_i x_j = -x_j y_i$  by skew-commutativity. Consider

$$\Sigma_g \xrightarrow{\pi} \bigvee_{i=1}^g \mathrm{T}^2 \xleftarrow{\mathrm{p}} \bigsqcup_{i=1}^g \mathrm{T}^2.$$

Check that  $\pi^*$  and  $p^*$  are isomorphisms on degree one cohomology, so  $x_i$  and  $y_j$  define classes in  $H^1(\bigvee_i T^2)$  and  $H^1(\Sigma_q)$ .

$$\begin{array}{c} \mathbf{H}^{2}\left(\Sigma_{g}\right) \xleftarrow{\pi^{*}} \mathbf{H}^{2}\left(\bigvee_{i=1}^{g} \mathbf{T}^{2}\right) \xrightarrow{\mathbf{p}^{*}} \mathbf{H}^{2}\left(\bigsqcup_{i=1}^{g} \mathbf{T}^{2}\right) \\ \mathbb{Z} \xleftarrow{\vdots}_{i} q_{i} \longleftrightarrow (q_{1}, \ldots, q_{g}) \end{array}^{\mid \mathbb{R}} \mathbb{Z}^{g} \xrightarrow{\mathbb{Z}^{g}},$$

by symmetry on the  $T_i^2$ . Now the result follows. If  $i \neq j$ , then  $x_i$  and  $x_j$  come from disjoint copies of  $T^2$  so  $x_i x_j = 0$ , and similarly  $x_i y_j = 0$  and  $y_i y_j = 0$ . If i = j, then  $x_i x_i = 0$  and  $y_i y_i = 0$ , and  $x_i y_i = u$  is the fixed generator of  $H^2(T^2)$  for the *i*-th copy of  $T^2$ .

As  $H^n(S^n) \cong \mathbb{Z} \cong H^n(T^n)$  we can define the **degree** of maps  $S^n \to T^n$  or  $T^n \to S^n$  via induced maps on cohomology, well-defined up to sign.

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Corollary 5.7. There is no map  $f: S^n \to T^n$  of non-zero degree if n > 1.

*Proof.* Let  $x_1 ldots x_n$  be the generator of  $H^n(\mathbb{T}^n)$ . Then

$$\begin{array}{cccc} f^{*} & : & \mathrm{H}^{1}\left(\mathrm{T}^{n}\right) & \longrightarrow & \mathrm{H}^{1}\left(\mathrm{S}^{n}\right) = 0 \\ x_{i} & \longmapsto & 0 \end{array},$$

so 
$$f^*(x_1...x_n) = \prod_i f^*(x_i) = 0.$$

**Exercise.** In contrast, there exists  $f: \mathbb{T}^n \to \mathbb{S}^n$  of degree one.

<sup>&</sup>lt;sup>9</sup>Exercise

## 5.3 Proof of Kunneth theorem

Recall

$$C^{k}\left(X,A\right) = \left\{\phi \in C^{k}\left(X\right) \mid \forall \sigma \in C_{k}\left(A\right) \subseteq C_{k}\left(X\right), \ \phi\left(\sigma\right) = 0\right\}.$$

If  $\phi \in C^k(X, A)$  and  $\psi \in C^l(X)$  then  $\phi \cdot \psi \in C^{k+l}(X, A)$ , since

$$(\phi \cdot \psi)([v_0, \dots, v_{k+l}]) = \phi([v_0, \dots, v_k]) \psi([v_k, \dots, v_{k+l}]) = 0.$$

So there is a relative cup-product

$$H^{\bullet}(X, A) \otimes H^{\bullet}(X) \to H^{\bullet}(X, A)$$
,

and in particular  $H^{\bullet}(X, A)$  is a graded ring. Note that this is typically not unital. Analogously, cross-product defines  $C^{k}(X, A) \otimes C^{l}(Y) \to C^{k+l}(X \times Y, A \times Y)$  and a **relative cross-product** 

$$H^{\bullet}(X, A) \otimes H^{\bullet}(Y) \to H^{\bullet}(X \times Y, A \times Y)$$
.

We will use this to pay one of our debts.

Proof of Theorem 5.5. We consider the associations

$$(X,A) \mapsto h^{\bullet}(X,A) = H^{\bullet}(X,A) \otimes H^{\bullet}(Y), \qquad (X,A) \mapsto k^{\bullet}(X,A) = H^{\bullet}(X \times Y, A \times Y),$$

functors of (X, A), with Y fixed. Relative cross-product defines  $\Phi : h^{\bullet}(X, A) \to k^{\bullet}(X, A)$ , and

$$\Phi_{\{\text{point}\}}: h^{\bullet}\left(\{\text{point}\}\right) \otimes H^{\bullet}\left(Y\right) \to H^{\bullet}\left(\{\text{point}\} \times Y\right) = k^{\bullet}\left(\{\text{point}\}\right)$$

is an isomorphism. So by our discussion with axioms of how generalised cohomology theories behave for finite cell complexes, it suffices to prove

- 1.  $h^{\bullet}$  and  $k^{\bullet}$  are generalised cohomology theories, and
- 2.  $\Phi$  is a natural transformation, or entwines all the structure.

Then  $\Phi_{(X,A)}$  will be an isomorphism for all (X,A) and we will be done.

- 1. All generalised cohomology theory axioms are immediate for  $k^{\bullet}$  from properties of cohomology. For  $h^{\bullet}$ , they are clear except the long exact sequence of a pair and unions. For those, use two algebraic facts.
  - Tensoring with a free module preserves exactness.
  - $(\prod_{\alpha} M_{\alpha}) \otimes N = \prod_{\alpha} (M_{\alpha} \otimes N)$  if N is finitely generated and free.
- 2. So we need  $\Phi: H^{\bullet}(X, A) \otimes H^{\bullet}(Y) \to H^{\bullet}(X \times Y, A \times Y)$  to be compatible with homotopy invariance, long exact sequence, excision, etc. Well, cross-product is natural for maps of spaces, so homotopy invariance and excision are fine. So basically just need to check

$$\begin{array}{c} \mathbf{H}^{k}\left(A\right)\otimes\mathbf{H}^{l}\left(Y\right) \xrightarrow{\delta\otimes\mathrm{id}} \mathbf{H}^{k+1}\left(X,A\right)\otimes\mathbf{H}^{l}\left(Y\right) \\ \times \downarrow \qquad \qquad \downarrow \times \\ \mathbf{H}^{k+l}\left(A\times Y\right) \xrightarrow{\quad \delta} \mathbf{H}^{k+l+1}\left(X\times Y,A\times Y\right) \end{array}$$

commutes. To define  $\delta$ , for  $\phi \in C^k(A)$  a cocycle, so  $\partial^*(\phi) = 0$ , extend  $\phi$  to  $\widehat{\phi} \in C^k(X)$  a cochain, and set  $\delta(\phi) = \partial^*(\widehat{\phi})$ . Note that this does vanish on simplices in A. If  $\psi \in C^l(Y)$  is a cocycle, then  $\widehat{\phi} \times \psi$  does extend  $\phi \times \psi$  using  $\partial^*(\psi) = 0$ , and this is what we need.

# 5.4 Proof of graded commutativity

The other debt is graded commutativity. Since not true at chain level, can expect proof to be painful.

Proof.

Sketch 1. Let  $\epsilon_n = (-1)^{n(n+1)/2}$  and

$$\rho : C_n(X) \longrightarrow C_n(X) [v_0, \dots, v_n] \longmapsto \epsilon_n[v_n, \dots, v_0],$$

where  $\epsilon_n$  is the sign of the element of the *n*-th symmetric group needed to reorder vertices we indicated. Claim that  $\rho$  is a chain map, chain homotopic to the identity. Given this,

$$(\rho^*\phi \cdot \rho^*\psi)\left([v_0,\ldots,v_{k+l}]\right) = \phi\left(\epsilon_k\left[v_k,\ldots,v_0\right]\right)\psi\left(\epsilon_l\left[v_{k+l},\ldots,v_k\right]\right),$$

$$(\rho^* (\psi \cdot \phi)) ([v_0, \dots, v_{k+l}]) = \epsilon_{k+l} \psi ([v_k, \dots, v_0]) \phi ([v_k, \dots, v_0]),$$

so  $\epsilon_k \epsilon_l \rho^* \phi \cdot \rho^* \psi = \epsilon_{k+l} \rho^* (\psi \cdot \phi)$  and  $\epsilon_{k+l} = (-1)^{kl} \epsilon_k \epsilon_l$ . But  $\rho^* \simeq \mathrm{id}$ , so  $\rho^* = \mathrm{id}$  on cohomology, so  $[\phi] \cdot [\psi] = (-1)^{kl} [\psi] \cdot [\phi]$  on cohomology. So just need to claim that  $\rho$  is a chain map, chain homotopic to the identity. To see  $\rho$  is a chain map, compute directly

$$\partial \left(\rho\left(\left[v_{0},\ldots,v_{n}\right]\right)\right) = \epsilon_{n} \sum_{i} \left(-1\right)^{i} \left[v_{n},\ldots,\widehat{v_{n-i}},\ldots,v_{0}\right],$$

$$\rho\left(\partial\left(\left[v_{0},\ldots,v_{n}\right]\right)\right) = \rho\left(\sum_{i}\left(-1\right)^{i}\left[v_{0},\ldots,\widehat{v_{i}},\ldots,v_{n}\right]\right) = \epsilon_{n-1}\sum_{i}\left(-1\right)^{n-i}\left[v_{n},\ldots,\widehat{v_{n-i}},\ldots,v_{0}\right],$$

and  $\epsilon_n = (-1)^n \epsilon_{n-1}$ . To show  $\rho : C_n(X) \to C_n(X)$  is chain homotopic to the identity, use a twisted prism  $P : C_n(X) \to C_{n+1}(X)$  such that  $\partial \circ P + P \circ \partial = \rho$  id. See the prism operator from the proof of homotopy invariance, but reverse order of vertices on the top. If  $\pi : \Delta^n \times [0,1] \to \Delta^n$  is the projection,

$$P\left(\sigma\right) = \sum_{i} \left(-1\right)^{i} \epsilon_{n-i} \left. \left(\sigma \circ \pi\right) \right|_{\left[v_{0}, \ldots, v_{i}, w_{n}, \ldots, w_{i}\right]}.$$

Compare to the earlier prism operator. In fact, this does the job, since

$$\partial (P(\sigma)) + P(\partial (\sigma)) = \epsilon_n [w_n, \dots, w_0] - [v_0, \dots, v_n].$$

Sketch 2. Let

$$\Delta : C_{k+l}(X) \longrightarrow C_k(X) \otimes C_l(X)$$
$$[v_0, \dots, v_{k+l}] \longmapsto [v_0, \dots, v_k] \otimes [v_k, \dots, v_{k+l}]$$

and

$$\widetilde{\Delta} : C_{k+l}(X) \longrightarrow C_{k}(X) \otimes C_{l}(X)$$
$$[v_{0}, \dots, v_{k+l}] \longmapsto [v_{l}, \dots, v_{k+l}] \otimes [v_{0}, \dots, v_{l}] (-1)^{kl}$$

be chain maps. Then

$$\phi \cdot \psi = \cdot_{\mathbb{Z}} \circ (\phi \otimes \psi) \circ \Delta, \qquad (-1)^{kl} \psi \cdot \phi = \cdot_{\mathbb{Z}} \circ (\phi \otimes \psi) \circ \widetilde{\Delta}.$$

Claim that there is a unique natural chain map  $C_{\bullet}(X) \to C_{\bullet}(X) \otimes C_{\bullet}(X)$  up to chain homotopy equivalence, so  $\Delta$  and  $\widetilde{\Delta}$  agree on homology. By naturality, it suffices to prove this for  $\Delta^n$  itself. But  $C_{\bullet}(\Delta^n)$  and  $C_{\bullet}(\Delta^n) \otimes C_{\bullet}(\Delta^n)$  are free resolutions of  $\mathbb{Z}$ , by the technique of acyclic models, so

$$H_{\bullet}\left(\mathbb{C}_{\bullet}\left(\Delta^{n}\right)\right) = \begin{cases} \mathbb{Z} & \bullet = 0 \\ 0 & \text{otherwise} \end{cases}, \qquad H_{\bullet}\left(\mathbb{C}_{\bullet}\left(\Delta^{n}\right) \otimes \mathbb{C}_{\bullet}\left(\Delta^{n}\right)\right) = \begin{cases} \mathbb{Z} & \bullet = 0 \\ 0 & \text{otherwise} \end{cases}.$$

Any two free resolutions of  $\mathbb{Z}$  are chain homotopy equivalent.