

Algebraic Number Theory

Lectured by Dr Anthony Scholl
Typed by David Kurniadi Angdinata

Lent 2020

Syllabus

Contents

1	Absolute values and places	3
1.1	Absolute values	3
1.2	Places	4
1.3	Extensions of places	5
2	Number fields	6
2.1	Dedekind domains	6
2.2	Places of number fields	8
2.3	Extensions of places of number fields	9
3	Different and discriminant	10
3.1	Discriminant	10
3.2	Different	12
4	Examples	14
4.1	Quadratic fields	14
4.2	Cyclotomic fields	14
4.3	Frobenius elements	16
4.4	Quadratic reciprocity	16
5	Ideles and adeles	17
5.1	Ring of adeles	17

1 Absolute values and places

1.1 Absolute values

Lecture 1
Thursday
21/01/21

Let K be a field. Recall that an **absolute value (AV)** on K is a function $|\cdot| : K \rightarrow \mathbb{R}_{\geq 0}$ such that for all $x, y \in K$,

1. $|x| = 0$ if and only if $x = 0$,
2. $|xy| = |x| \cdot |y|$, and
3. $|x + y| \leq |x| + |y|$.

Also assume

4. there exists $x \in K$ such that $|x| \neq 0, 1$.

This excludes the trivial AV

$$|x| = \begin{cases} 0 & x = 0 \\ 1 & x \neq 0 \end{cases}.$$

An AV is a **non-archimedean** if

$$3^{\text{NA}}. |x + y| \leq \max(|x|, |y|),$$

and **archimedean** otherwise. An AV determines a metric $d(x, y) = |x - y|$ which makes K a **topological field**, so $+$, \times , and $(\cdot)^{-1}$ are continuous.

Remark. It is convenient to weaken 3 to

$$3'. \text{ there exists } \alpha > 0 \text{ such that for all } x \text{ and } y, |x + y|^\alpha \leq |x|^\alpha + |y|^\alpha.$$

For non-archimedean AV, makes no difference. Does mean that if $|\cdot|$ is an AV, then so is $|\cdot|^\alpha$ for any $\alpha > 0$. The point is that we want the function $z \mapsto z\bar{z}$ on \mathbb{C} to be an AV. Explain why later.

Let us suppose $|\cdot|$ is a non-archimedean AV. Then

$$R = \{x \in K \mid |x| \leq 1\}$$

is a subring of K . It is a **local ring** with maximal ideal

$$\mathfrak{m}_R = \{x \in R \mid |x| < 1\}.$$

It is a **valuation ring** of K , so if $x \in K \setminus R$ then $x^{-1} \in R$.

Lemma 1.1. R is a maximal subring of K .

Proof. Let $x \in K \setminus R$. Then $|x| > 1$. Then if $y \in R$, there exists $n \geq 0$ such that $|yx^{-n}| = |y|/|x|^n \leq 1$, that is $y \in x^n R$ for $n \gg 0$. So $R[x] = K$, hence R is maximal. \square

Remark. There is a general notion of valuation, not necessarily \mathbb{R} -valued, seen in algebraic geometry. The valuations we are considering here are rank one valuations, and they have this maximality property.

AVs $|\cdot|$ and $|\cdot|'$ are **equivalent** if there exists $\alpha > 0$ such that $|\cdot|' = |\cdot|^\alpha$.

Proposition 1.2. *The following are equivalent.*

- $|\cdot|$ and $|\cdot|'$ are equivalent.
- for all $x, y \in K$, $|x| \leq |y|$ if and only if $|x|' \leq |y|'$.
- for all $x, y \in K$, $|x| < |y|$ if and only if $|x|' < |y|'$.

Proof. See local fields. \square

A corollary is if $|\cdot|$ and $|\cdot|'$ are non-archimedean AVs with valuation rings R and R' , then $|\cdot|$ and $|\cdot|'$ are equivalent if and only if $R = R'$, if and only if $R \subset R'$, by 1.1.

Equivalent AVs define equivalent metrics on K , hence the completion of K with respect to $|\cdot|$ depends only on the equivalence class of $|\cdot|$. Inequivalent AVs determine independent topologies, in the following sense.

Proposition 1.3 (Weak approximation). *Let $|\cdot|_i$ for $1 \leq i \leq n$ be pairwise inequivalent AVs on K , let $a_1, \dots, a_n \in K$, and let $\delta > 0$. Then there exists $x \in K$ such that for all i , $|x - a_i|_i < \delta$.*

Proof. Suppose $z_j \in K$ such that $|z_j|_j > 1$ and $|z_j|_i < 1$ for all $i \neq j$. Then $|z_j^N / (z_j^N + 1)|_i \rightarrow 0$ as $N \rightarrow \infty$ if $i \neq j$ but $|z_j^N / (z_j^N + 1)|_j = |1 / (z_j^N + 1)|_j \rightarrow 0$. So

$$x = \sum_j a_j \frac{z_j^N}{z_j^N + 1}$$

works if N is sufficiently large. So it is enough to find z_j , and by symmetry take $j = 1$. Induction on n .

$n = 1$. Trivial.

$n > 1$. Suppose have y with $|y|_1 > 1$ and $|y|_2, \dots, |y|_{n-1} < 1$. If $|y|_n < 1$, finished. Otherwise, pick $w \in K$ with $|w|_1 > 1 > |w|_n$, such as by 1.2. If $|y|_n = 1$, then $z = y^N w$ works, for N sufficiently large. If $|y|_n > 1$, then $z = y^N w / (y^N + 1)$ works, for N sufficiently large. \square

Remark. If $K = \mathbb{Q}$ and $|\cdot|_1, \dots, |\cdot|_n$ are p_i -adic AVs for distinct primes p_i , and $a_i \in \mathbb{Z}$, then weak approximation says that for all $n_i \geq 1$, there exists $x \in \mathbb{Q}$, which is a p_i -adic integer for all $i \in \{1, \dots, n\}$ and $x \equiv a_i \pmod{p_i^{n_i}}$. This of course follows from CRT, which guarantees there exists $x \in \mathbb{Z}$ satisfying this.

1.2 Places

Definition. A **place** of K is an equivalence class of AVs on K .

Example. If $K = \mathbb{Q}$, by Ostrowski's theorem, every AV on \mathbb{Q} is equivalent to one of

- a p -adic AV $|\cdot|_p$ for p prime, or
- a Euclidean AV $|\cdot|_\infty$.

So places of \mathbb{Q} are in bijection with $\{\text{primes}\} \cup \{\infty\}$. We will usually simply denote the places of \mathbb{Q} by $\{2, 3, \dots, \infty\} = \{p \leq \infty\}$.

Notation. Let

- V_K be the places of K ,
- $V_{K,\infty}$ be the places given by archimedean AVs, the **infinite places**, and
- $V_{K,f}$ be the places given by non-archimedean AVs, the **finite places**.

Often use letters v and w , decorated suitably, to denote places. If $v \in V_K$, then K_v will denote the completion. If $v : K^\times \rightarrow \mathbb{R}$ is a valuation, will also use v to denote the corresponding place, that is the class of AVs $x \mapsto r^{-v(x)}$ for $r > 1$.

Can restate weak approximation in terms of places.

Proposition 1.4. *Let v_1, \dots, v_n be distinct places of K . Then the image of the diagonal inclusion*

$$K \hookrightarrow \prod_{1 \leq i \leq n} K_{v_i}$$

is dense, for the product topology.

1.3 Extensions of places

Let L/K be finite separable, and let v and w be places of K and L respectively. Say w **lies over**, or **divides**, v , denoted $w \mid v$, if $v = w|_K$ is the restriction of w to K . Then there exists a unique continuous $K_v \hookrightarrow L_w$ extending $K \hookrightarrow L$.

Proposition 1.5. *There is a unique isomorphism of topological rings mapping*

$$\begin{aligned} L \otimes_K K_v &\longrightarrow \prod_{w \in \mathbb{V}_L, w|v} L_w \\ x \otimes y &\longmapsto (xy)_w \end{aligned}$$

In the local fields course, proved this for finite places of number fields.

Proof. Let $L = K(a)$, and let $f \in K[T]$ be the minimal polynomial, which is separable. Factor $f = \prod_i g_i$ for $g_i \in K_v[T]$ irreducible and distinct. Let $L_i = K_v[T]/\langle g_i \rangle$. Then $L \otimes_K K_v = K_v[T]/\langle f \rangle \xrightarrow{\sim} \prod_i L_i$ by CRT. Let $w \mid v$, inducing $\iota_w : L \hookrightarrow L_w$. Let $g_w \in K_v[T]$ be the minimal polynomial of $\iota_w(a)$ over K_v . Then $g_w \mid f$ so $g_w \in \{g_i\}$ and $L_w = K_v(\iota_w(a))$ is some L_i . Conversely, K_v is complete and L_i/K_v is finite, so there exists a unique extension of v to L_i , so there is a bijection $\{g_i\} \leftrightarrow \{w \mid v\}$, and thus

$$L \otimes_K K_v \cong \prod_w L_w.$$

Use that both sides are finite-dimensional normed K_v -spaces. For the left hand side, choose a basis of L/K for $L \otimes_K K_v \cong K_v^{[L:K]}$ with norm $\|(x_i)\| = \sup_i |x_i|_v$, where $|\cdot|_v$ is an AV in class of v satisfying triangle inequality. For the right hand side, $\|(y_w)\| = \sup_w |y_w|_w$, where $|\cdot|_w$ is the AV in class of w extending $|\cdot|_v$. A fact is that any two norms on a finite-dimensional vector space over a field complete with respect to an AV are equivalent. For local fields, exactly the same proof as for \mathbb{R} , and in general not much harder. See Cassels and Fröhlich chapter II, section 8. \square

Corollary 1.6.

- $\{w \mid v\}$ is finite, non-empty, and

$$\sum_{w|v} [L_w : K_v] = [L : K].$$

- For all $x \in L$,

$$N_{L/K}(x) = \prod_{w|v} N_{L_w/K_v}(x), \quad \text{Tr}_{L/K}(x) = \sum_{w|v} \text{Tr}_{L_w/K_v}(x).$$

Let L/K be a finite Galois extension with $G = \text{Gal}(L/K)$. Then G acts on places w of L lying over a given place v of K . If $|\cdot|$ is an AV on L , then for all $g \in G$, the map $x \mapsto |g^{-1}(x)|$ is an AV on L , agreeing with $|\cdot|$ on K . So this defines a left action of G on $\{w \mid v\}$ by $g(w) = w \circ g^{-1}$. If $w = v_{\mathfrak{p}}$ for a prime \mathfrak{p} in a Dedekind domain, then $g(w) = v_{g(\mathfrak{p})}$.

Definition. Define the **decomposition group** D_w or G_w to be the stabiliser of w in G .

If $g \in G_w$, then it is continuous for the topology induced by w on L , so extends to an automorphism of L_w , the completion. Then $G_w \hookrightarrow \text{Aut}(L_w/K_v)$, by continuity, so $\#G_w \leq [L_w : K_v]$, and

$$\#G = (G : G_w) \#G_w \leq (G : G_w) [L_w : K_v] = \sum_{g \in G/G_w} [L_{g(w)} : K_v] \leq \sum_{w'|v} [L_{w'} : K_v] = [L : K] = \#G,$$

by 1.6. So have equality, hence $[L_w : K_v] = \#G_w$, and so L_w/K_v is Galois with group $\text{Gal}(L_w/K_v) \xrightarrow{\sim} G_w \subset G$, and G acts transitively on places over v .

Notation. Suppose v is discrete valuation of L , so a finite place, and the valuation ring is a DVR. Then so is any $w \mid v$, and define $f(w \mid v) = f_{L_w/K_v}$ to be the degree of residue class extension and $e(w \mid v)$ to be the ramification degree, and

$$[L_w : K_v] = e(w \mid v) f(w \mid v).$$

Lecture 2
Saturday
23/01/21

2 Number fields

Remark. A lot of theory applies to other global fields, that is **function fields** $K/\mathbb{F}_p(t)$ that are finite extensions. These are less interesting, at least to number theorists, since there are no infinite places.

Let K be a **number field**, a finite extension of \mathbb{Q} , with **ring of integers** \mathcal{O}_K , the integral closure of \mathbb{Z} in K . A basic property is that \mathcal{O}_K is a Dedekind domain, that is

1. Noetherian, in fact, by finiteness of integral closure, \mathcal{O}_K is a finitely generated \mathbb{Z} -module,
2. integrally closed in K , by definition, and
3. every non-zero prime ideal is maximal, so Krull dimension at most one.

2.1 Dedekind domains

The following are basic results about Dedekind domains.

Theorem 2.1.

1. A local domain is Dedekind if and only if it is a DVR.
2. For a domain R , the following are equivalent.
 - (a) R is Dedekind.
 - (b) R is Noetherian and for all non-zero prime $\mathfrak{p} \subset R$, $R_{\mathfrak{p}}$ is a DVR.
 - (c) Every fractional ideal of R is invertible.
3. A Dedekind domain with only finitely many prime ideals, so **semi-local**, is a PID.

A **fractional ideal** of R is a non-zero R -submodule $I \subset K$ such that for some $0 \neq x \in R$, $xI \subset R$ is an ideal, and I is **invertible** if there exists a fractional ideal I^{-1} such that $II^{-1} = R$.

Proof.

1. A DVR is a local PID. Proved in local fields. The forward direction is the hardest part.
 2. Let $K = \text{Frac } R$.
- (a) \implies (b). Enough to check ¹ that properties 1 to 3 are preserved under localisation, then use part 1.
- (b) \implies (c). To prove (c), may assume $I \subset R$ is an ideal. Let

$$I^{-1} = \{x \in K \mid xI \subset R\}.$$

If $0 \neq y \in I$, then $R \subset I^{-1} \subset y^{-1}R$, so I^{-1} is a fractional ideal and $I^{-1}I \subset R$. Let $\mathfrak{p} \subset R$ be prime, so $R_{\mathfrak{p}}$ is a DVR. It suffices to prove $I^{-1}I \not\subset \mathfrak{p}$. Let $I = \langle a_1, \dots, a_n \rangle$ for $a_i \in R$. Without loss of generality, $v_{\mathfrak{p}}(a_1) \leq v_{\mathfrak{p}}(a_i)$ for all i . Then $IR_{\mathfrak{p}} = a_1R_{\mathfrak{p}}$, so for all i , $a_i/a_1 = x_i/y_i \in R_{\mathfrak{p}}$ for $x_i \in R$ and $y_i \in R \setminus \mathfrak{p}$. Then $y = \prod_i y_i \notin \mathfrak{p}$ as \mathfrak{p} is prime, and $ya_i/a_1 \in R$ for all i , so $y/a_1 \in I^{-1}$. Thus $y \in II^{-1} \setminus \mathfrak{p}$.

(c) \implies (a). Check the following.

- R is Noetherian. Let $I \subset R$ be an ideal. Then $II^{-1} = R$, so $1 = \sum_{i=1}^n a_i b_i$ for $a_i \in I$ and $b_i \in I^{-1}$. Let $I' = \langle a_1, \dots, a_n \rangle \subset I$. Then $I'I^{-1} = R = II^{-1}$, so $I' = I$. So I is finitely generated.
- R is integrally closed. Let $x \in K$, integral over R . Then $I = R[x] = \sum_{0 \leq i < d} Rx^i \subset K$, where d is the degree of the polynomial of integral independence, is a fractional ideal. Obviously $I^2 = I$, so $I = I^2 I^{-1} = II^{-1} = R$, that is $x \in R$.
- Every non-zero prime is maximal. Let $\{0\} \neq \mathfrak{q} \subset \mathfrak{p} \subsetneq R$ for \mathfrak{p} and \mathfrak{q} prime. Then $R \subsetneq \mathfrak{p}^{-1} \subset \mathfrak{q}^{-1}$, so $\mathfrak{q} \subsetneq \mathfrak{p}^{-1}\mathfrak{q} \subset R$, and $\mathfrak{p}(\mathfrak{p}^{-1}\mathfrak{q}) = \mathfrak{q}$, so as \mathfrak{q} is prime and $\mathfrak{p}^{-1}\mathfrak{q} \not\subset \mathfrak{q}$, so $\mathfrak{p} \subset \mathfrak{q}$, that is $\mathfrak{p} = \mathfrak{q}$.

¹Exercise

3. Let R be semi-local Dedekind with non-zero primes $\mathfrak{p}_1, \dots, \mathfrak{p}_n$. Choose $x \in R$ with $x \in \mathfrak{p}_1 \setminus \mathfrak{p}_1^2$ and $x \notin \mathfrak{p}_2, \dots, \mathfrak{p}_n$. Then $\mathfrak{p}_1 = \langle x \rangle$, and every ideal is a product of powers of $\{\mathfrak{p}_i\}$, by below, so R is a PID. \square

Theorem 2.2. *Let R be Dedekind. Then*

1. *the group of fractional ideals is freely generated by the non-zero prime ideals, and*

$$I = \prod_{\mathfrak{p}} \mathfrak{p}^{v_{\mathfrak{p}}(I)}, \quad v_{\mathfrak{p}}(I) = \inf \{v_{\mathfrak{p}}(x) \mid x \in I\},$$

2. *if $(R : I) < \infty$ for all $I \neq \{0\}$, then for all I and J ,*

$$(R : IJ) = (R : I)(R : J).$$

Proof.

1. If $I \neq R$, then $I \subset \mathfrak{p}$ for some prime ideal \mathfrak{p} . Then $I = \mathfrak{p}I'$ where $I' = I\mathfrak{p}^{-1} \supsetneq I$ then by Noetherian induction, using the ascending chain condition on ideals, I is a product of powers of prime ideals, $I = \prod_{\mathfrak{p}} \mathfrak{p}^{a_{\mathfrak{p}}}$. Then get the same for fractional ideals $J = x^{-1}I$. Consider the homomorphisms

$$\begin{array}{ccc} \{\text{fractional ideals of } R\} & \longrightarrow & \{\text{fractional ideals of } R_{\mathfrak{p}}\} \\ I & \longmapsto & IR_{\mathfrak{p}} \end{array}, \quad \begin{array}{ccc} \{\text{fractional ideals of } R_{\mathfrak{p}}\} & \longrightarrow & \mathbb{Z} \\ \langle \pi^n \rangle & \longmapsto & n \end{array}.$$

The composition is $I \mapsto v_{\mathfrak{p}}(I)$, and if $\mathfrak{q} \neq \mathfrak{p}$ then $v_{\mathfrak{p}}(\mathfrak{q}) = 0$. So

$$\begin{array}{ccc} (v_{\mathfrak{p}})_{\mathfrak{p}} : \{\text{fractional ideals of } R\} & \longrightarrow & \bigoplus_{\mathfrak{p}} \mathbb{Z} \\ \prod_{\mathfrak{p}} \mathfrak{p}^{a_{\mathfrak{p}}} & \longmapsto & (a_{\mathfrak{p}})_{\mathfrak{p}} \end{array}.$$

So $a_{\mathfrak{p}}$ are unique and $(v_{\mathfrak{p}})_{\mathfrak{p}}$ is an isomorphism.

2. By unique factorisation of ideals in 1,

$$\prod_{\mathfrak{p}} \mathfrak{p}^{a_{\mathfrak{p}}} \cap \prod_{\mathfrak{p}} \mathfrak{p}^{b_{\mathfrak{p}}} = \prod_{\mathfrak{p}} \mathfrak{p}^{\max(a_{\mathfrak{p}}, b_{\mathfrak{p}})},$$

so if $I + J = R$, then $IJ = I \cap J$, so by CRT, $R/IJ \cong R/I \times R/J$ so the result holds if $I + J = R$. So reduced to showing that $(R : \mathfrak{p}^{n+1}) = (R : \mathfrak{p})(R : \mathfrak{p}^n)$. Now $R/\mathfrak{p}^n \cong R_{\mathfrak{p}}/\mathfrak{p}^n R_{\mathfrak{p}}$, so without loss of generality, R is local, so a DVR, $\mathfrak{p} = \langle \pi \rangle$, and

$$\cdot \pi : R/\langle \pi^n \rangle \xrightarrow{\sim} \langle \pi \rangle / \langle \pi^{n+1} \rangle,$$

hence $(R : \mathfrak{p}^{n+1}) = (R : \mathfrak{p})(\mathfrak{p} : \mathfrak{p}^{n+1}) = (R : \mathfrak{p})(R : \mathfrak{p}^n)$. \square

The quotient group

$$\text{Cl } R = \{\text{fractional ideals of } R\} / \{\text{principal fractional ideals } aR \text{ for } a \in K^{\times}\}$$

is the **class group** of R , or the **Picard group** $\text{Pic } R$. If K is a number field, write $\text{Cl } K = \text{Cl } \mathcal{O}_K$, the **ideal class group** of K .

Fact. For a number field K , $\text{Cl } K$ is finite.

2.2 Places of number fields

Recall that $V_{\mathbb{Q}} = \{p \mid p \text{ prime}\} \cup \{\infty\}$. Let K be a number field. Let $\mathfrak{p} \subset \mathcal{O}_K$ be non-zero prime. Then \mathfrak{p} determines a discrete valuation $v_{\mathfrak{p}}$ of K and so a non-archimedean AV $|x|_{\mathfrak{p}} = r^{-v_{\mathfrak{p}}(x)}$ for $r > 1$.

Theorem 2.3. *This gives a bijection*

$$\{\text{non-zero primes of } \mathcal{O}_K\} \xrightarrow{\sim} V_{K,f}.$$

Proof. Let $\mathfrak{p} \neq \mathfrak{q}$. Then there exists $x \in \mathfrak{p} \setminus \mathfrak{q}$, and then $|x|_{\mathfrak{p}} < 1 = |x|_{\mathfrak{q}}$, so $|\cdot|_{\mathfrak{p}}$ and $|\cdot|_{\mathfrak{q}}$ are inequivalent, so the map is injective. Let $|\cdot|$ be a non-archimedean AV on K , with valuation ring $R = \{x \in K \mid |x| \leq 1\}$. As $|\cdot|$ is non-archimedean, $\mathbb{Z} \subset R$, hence $R \supset \mathcal{O}_K$, as R is integrally closed, and so $R \supset \mathcal{O}_{K,\mathfrak{p}}$ for some prime $\mathfrak{p} = \mathfrak{m}_R \cap \mathcal{O}_K$. Thus $R = \mathcal{O}_{K,\mathfrak{p}}$, since by 1.1 $\mathcal{O}_{K,\mathfrak{p}}$ is a maximal subring of K , so $|\cdot|$ and $|\cdot|_{\mathfrak{p}}$ are equivalent. \square

Notation. If $v \in V_{K,f}$, then

- \mathfrak{p}_v is the corresponding prime ideal of \mathcal{O}_K ,
- K_v is a complete discretely valued field, the completion of K ,
- $\mathcal{O}_v = \mathcal{O}_{K_v} \subset K_v$ is the valuation ring, not to be confused with $\mathcal{O}_{K,\mathfrak{p}_v}$,
- $\pi_v \in \mathcal{O}_v$ is any generator of the maximal ideal, the **uniformiser**, often assuming $\pi_v \in K$,
- $v : K^\times \rightarrow \mathbb{Z}$ is the **normalised discrete valuation** such that $v(\pi_v) = 1$,
- $\kappa_v = \mathcal{O}_K/\mathfrak{p}_v \cong \mathcal{O}_v/\langle \pi_v \rangle$ is finite of order $q_v = p^{f_v}$ for a prime p such that $v \mid p$, and
- $|x|_v = q_v^{-v(x)}$ is the **normalised AV**, so $|\pi_v|_v = 1/q_v$.

Recall that if L/K is a finite separable field extension and v is a place of K , then $L \otimes_K K_v \cong \prod_{w|v} L_w$. There is a unique infinite place ∞ of \mathbb{Q} and $\mathbb{Q}_\infty = \mathbb{R}$. So

$$K \otimes_{\mathbb{Q}} \mathbb{R} \xrightarrow{\sim} \prod_{v \in V_{K,\infty}} K_v.$$

Each K_v is a finite extension of \mathbb{R} , so either $K_v = \mathbb{R}$, and v is **real**, or $K_v \cong \mathbb{C}$, and v is **complex**. In the second case, as $K \subset K_v$ is dense, $K \not\subset \mathbb{R}$. On the other hand, by Galois theory, $\Sigma_K = \{\text{homomorphisms } \sigma : K \hookrightarrow \mathbb{C}\}$ has order $n = [K : \mathbb{Q}]$ and there is an isomorphism

$$\begin{aligned} K \otimes_{\mathbb{Q}} \mathbb{C} &\longrightarrow \prod_{\sigma \in \Sigma_K} \mathbb{C} \\ x \otimes z &\longmapsto (\sigma(x)z)_{\sigma} \end{aligned} \quad (1)$$

Complex conjugation acts on both sides by $x \otimes z \mapsto x \otimes \bar{z}$ and $(z_{\sigma})_{\sigma} \mapsto (\overline{z_{\sigma}})_{\sigma}$. Let

$$\sigma_1, \dots, \sigma_{r_1} : K \hookrightarrow \mathbb{R}, \quad \sigma_{r_1+1} = \overline{\sigma_{r_1+r_2+1}}, \dots, \sigma_{r_1+r_2} = \overline{\sigma_{r_1+2r_2}} : K \hookrightarrow \mathbb{C}, \quad r_1 + 2r_2 = n.$$

Then taking fixed points under complex conjugation of (1),

$$K \otimes_{\mathbb{Q}} \mathbb{R} \xrightarrow{\sim} \prod_{\sigma \text{ real}} \mathbb{R} \times \prod_{(\sigma, \bar{\sigma}), \sigma \neq \bar{\sigma}} \{(z, \bar{z}) \in \mathbb{C} \times \mathbb{C}\} \cong \mathbb{R}^{r_1} \times \mathbb{C}^{r_2}.$$

Therefore the following holds.

Theorem 2.4. *There is a bijection*

$$\begin{aligned} \Sigma_K / (\sigma \sim \bar{\sigma}) &\longrightarrow V_{K,\infty} \\ \sigma &\longmapsto \text{class of AV } |\sigma(\cdot)| \text{ in } \mathbb{R} \text{ or } \mathbb{C} \end{aligned}$$

Notation. Define

$$K_\infty = K \otimes_{\mathbb{Q}} \mathbb{R} \cong \prod_{v \in V_{K,\infty}} K_v \cong \mathbb{R}^{\{\text{real } v\}} \times \mathbb{C}^{\{\text{complex } v\}},$$

where for v complex, $K_v \cong \mathbb{C}$ is well-defined up to complex conjugation. For normalised AVs,

- v real corresponds to $\sigma : K \hookrightarrow \mathbb{R}$ and $|x|_v = |\sigma(x)|_\infty$ is the Euclidean AV, and
- v complex corresponds to $\sigma \neq \bar{\sigma} : K \hookrightarrow \mathbb{C}$ and $|x|_v = \sigma(x) \bar{\sigma}(x) = |\sigma(x)|_\infty^2$ is the square of modulus.

2.3 Extensions of places of number fields

Let L/K be an extension of number fields, and let $w \mid v$. If v is finite, L_w/K_v is a finite extension of non-archimedean local fields and $[L_w : K_v] = e(w \mid v) f(w \mid v)$. If v is infinite,

$$L_w/K_v \cong \begin{cases} \mathbb{R}/\mathbb{R} & f = e = 1 \\ \mathbb{C}/\mathbb{C} & f = e = 1 \\ \mathbb{C}/\mathbb{R} & e = 2, f = 1 \end{cases}.$$

Proposition 2.5. Let $x \in L$ and $v \in V_K$. Then

$$|N_{L/K}(x)|_v = \prod_{w \mid v} |x|_w.$$

Proof. $N_{L/K}(x) = \prod_{w \mid v} N_{L_w/K_v}(x)$ so it is enough to show $|N_{L_w/K_v}(x)|_v = |x|_w$. If v is finite, it is enough to take $x = \pi_w \in L$, and

$$|N_{L_w/K_v}(\pi_w)|_v = \left| u \pi_v^{f(w \mid v)} \right|_v = q_v^{-f(w \mid v)} = q_w^{-1} = |\pi_w|_w, \quad u \in \mathcal{O}_K^\times.$$

If v is infinite, need only consider $L_w/K_v \cong \mathbb{C}/\mathbb{R}$ and $N_{\mathbb{C}/\mathbb{R}}(z) = z\bar{z}$. □

Theorem 2.6 (Product formula). Let $x \in K^\times$. Then $|x|_v = 1$ for all but finitely many v and

$$\prod_{v \in V_K} |x|_v = 1.$$

Proof. Let $x = a/b$ for $a, b \in \mathcal{O}_K \setminus \{0\}$. Then

$$\{v \in V_K \mid |x|_v \neq 1\} \subset V_{K,\infty} \cup \{v \in V_{K,f} \mid v(a) > 0 \text{ or } v(b) > 0\}$$

is a finite set. Now

$$\prod_{v \in V_K} |x|_v = \prod_{p \leq \infty} \prod_{v \mid p} |x|_v = \prod_{p \leq \infty} |N_{K/\mathbb{Q}}(x)|_p.$$

So it is enough to prove for $K = \mathbb{Q}$, and by multiplicativity, reduce to

- $x = q$ prime, where

$$|q|_p = \begin{cases} \frac{1}{q} & p = q \\ 1 & p \neq q, \infty \\ q & p = \infty \end{cases},$$

- $x = -1$, where $|-1|_p = 1$ for all $p \leq \infty$. □

Remark.

- \mathbb{R} , with standard measure dx , transforms under $a \in \mathbb{R}^\times$ by $d(ax) = |a| dx$.
- \mathbb{C} , with standard measure $dx dy$, transforms under $a \in \mathbb{C}^\times$ by $d(ax) d(ay) = |a|^2 dx dy$, with the normalised AV on \mathbb{C} .

Fact. On K_v , for any v , there is a translation-invariant measure, the Haar measure, $d_v(x)$, and for all $a \in K_v^\times$, $d_v(ax) = |a|_v d_v(x)$ where $|\cdot|_v$ is the normalised AV.

3 Different and discriminant

3.1 Discriminant

Let $R \subset S$ be rings, commutative with unity, such that S is a free R -module of finite rank $n \geq 1$. Then we have a trace map given by

$$\begin{aligned} \mathrm{Tr}_{S/R} : S &\longrightarrow R \\ x &\longmapsto \mathrm{Tr}(y \mapsto xy) \end{aligned} ,$$

the trace of the R -linear map $S \rightarrow S \cong R^n$. If $x_1, \dots, x_n \in S$, define

$$\mathrm{disc}_{S/R}(x_i) = \mathrm{disc}(x_i) = \det(\mathrm{Tr}_{S/R}(x_i x_j)) \in R.$$

If $y_i = \sum_{j=1}^n r_{ji} x_j$ for $r_{ji} \in R$, then $\mathrm{Tr}_{S/R}(y_i y_j) = \sum_{k,l} r_{ki} r_{lj} \mathrm{Tr}_{S/R}(x_k x_l)$, so

$$\mathrm{disc}(y_i) = \det(r_{ij})^2 \mathrm{disc}(x_i). \quad (2)$$

Definition. Let $S = \bigoplus_{i=1}^n R e_i$. Then the **discriminant**

$$\mathrm{disc}(S/R) = \mathrm{disc}_{S/R}(e_i) R \subset R$$

is an ideal of R , independent of the basis by (2).

The following are obvious properties.

- If $S = S_1 \times S_2$ for S_i free over R , then

$$\mathrm{disc}(S/R) = \mathrm{disc}(S_1/R) \mathrm{disc}(S_2/R).$$

- If $f : R \rightarrow R'$ is a ring homomorphism, then

$$\mathrm{disc}(S \otimes_R R'/R') = f(\mathrm{disc}(S/R)) R'.$$

- If R is a field, then $\mathrm{disc}(S/R) = R$ or $\mathrm{disc}(S/R) = \{0\}$ and $\mathrm{disc}(S/R) = R$ if and only if the R -bilinear form

$$\begin{aligned} S \times S &\longrightarrow R \\ (x, y) &\longmapsto \mathrm{Tr}_{S/R}(xy) \end{aligned}$$

is non-degenerate, that is there is a duality of the R -vector space S with itself.

By field theory, if L/K is a finite field extension, then $\mathrm{disc}(L/K) = K$ if and only if the trace form is non-degenerate, if and only if there exists $x \in L$ with $\mathrm{Tr}_{L/K}(x) \neq 0$, if and only if L/K is separable. More generally is the following.

Theorem 3.1. *Let k be a field, and let A be a finite-dimensional k -algebra. Then $\mathrm{disc}(A/k) \neq 0$, so $\mathrm{disc}(A/k) = k$, if and only if $A = \prod_i K_i$ for K_i/k a finite separable field extension.*

Proof. Write $A = \prod_{i=1}^m A_i$ where A_i are indecomposable k -algebras, so A_i is local. So may assume A is local with maximal ideal \mathfrak{m} . If $\mathfrak{m} = 0$, that is A is a field, reduced to the previous statement. If not, then every element of \mathfrak{m} is nilpotent, since $\dim_k A < \infty$. So there exists $x \in \mathfrak{m} \setminus \{0\}$ nilpotent. So the endomorphism $y \mapsto xy$ of A is nilpotent and for all $r \in A$, so is $y \mapsto (rx)y$, so for all $r \in A$, $\mathrm{Tr}_{A/k}(rx) = 0$. So the trace form is degenerate, and the discriminant is zero. See Atiyah-Macdonald chapter on Artinian rings for an explanation of $A = \prod_i A_i$. \square

Let R be a Dedekind domain, let $K = \mathrm{Frac} R$, let L/K be finite separable, and let S be the integral closure of R in L . Say S/R is an **extension of Dedekind domains**. Then S is a finitely generated R -module, but need not be free.

Proposition 3.2. *S is **locally free** R -module of rank $n = [L : K]$, that is for all $\mathfrak{p} \subset R$, $S_{\mathfrak{p}} \cong R_{\mathfrak{p}}^n$.*

Proof. $S \subset L$ so S is torsion-free, hence so is $S_{\mathfrak{p}}$, and $R_{\mathfrak{p}}$ is a PID, so $S_{\mathfrak{p}}$ is free, clearly of rank $\dim_K L = n$. \square

Lecture 5
Saturday
30/01/21

Lemma 3.3. *If $x \in S$, then $\text{Tr}_{L/K}(x) \in R$.*

Proof. If R is local, then S is a free R -module so $\text{Tr}_{L/K}(x) = \text{Tr}_{S \otimes_R K/K}(x \otimes 1) = \text{Tr}_{S/R}(x) \in R$. So in general, for all $0 \neq \mathfrak{p} \subset R$, $y = \text{Tr}_{L/K}(x) \in R_{\mathfrak{p}}$ and

$$\bigcap_{\mathfrak{p}} R_{\mathfrak{p}} = \{x \in K \mid \forall \mathfrak{p}, v_{\mathfrak{p}}(x) \geq 0\} = R.$$

□

Then there are two equivalent definitions of $\text{disc}(S/R)$.

Definition. $\text{disc}(S/R)$ is defined to be the ideal of R generated by

$$\{\text{disc}_{L/K}(x_1, \dots, x_n) \mid x_1, \dots, x_n \in S\}.$$

If S/R is free, this gives the previous definition. As $S \otimes_R K = L$ is separable over K , $\text{disc}(L/K) = K \neq 0$ and so $\text{disc}(S/R) \neq 0$. This is how we prove that S/R is finitely generated.

Proposition 3.4. $\text{disc}(S/R)R_{\mathfrak{p}} = \text{disc}(S_{\mathfrak{p}}/R_{\mathfrak{p}})$ for all \mathfrak{p} .

Proof. Claim there exist $x_1, \dots, x_n \in S$ which is an $R_{\mathfrak{p}}$ -basis for $S_{\mathfrak{p}}$. Certainly there exist $e_1, \dots, e_n \in S_{\mathfrak{p}}$ which is an $R_{\mathfrak{p}}$ -basis. Let

$$\mathcal{Q} = \{\text{primes } \mathfrak{q} \subset S \mid \exists i, v_{\mathfrak{q}}(e_i) < 0\}$$

be a finite set. By CRT, there exist $a_i \in S$ such that $v_{\mathfrak{q}}(a_i) + v_{\mathfrak{q}}(e_i) \geq 0$ for all $\mathfrak{q} \in \mathcal{Q}$ and $a_i - 1 \in \mathfrak{p}S$. Then $x_i = a_i e_i \in S$ and $x_i \equiv e_i \pmod{\mathfrak{p}S}$. So (x_i) is an $R/\mathfrak{p}S = S_{\mathfrak{p}}/\mathfrak{p}S_{\mathfrak{p}}$, so (x_i) is an $R_{\mathfrak{p}}$ -basis for $S_{\mathfrak{p}}$. Thus $\text{disc}(S_{\mathfrak{p}}/R_{\mathfrak{p}}) = \text{disc}(x_i)R_{\mathfrak{p}}$, and $\text{disc}(x_i) \in \text{disc}(S/R)$. So $\text{disc}(S_{\mathfrak{p}}/R_{\mathfrak{p}}) \subset \text{disc}(S/R)R_{\mathfrak{p}}$ and the other inclusion is obvious. □

There is an alternative definition of $\text{disc}(S/R)$. If $x_1, \dots, x_n \in S$ is a K -basis for L , then $\text{disc}_{L/K}(x_i) \neq 0$. Let

$$\mathcal{P} = \{\mathfrak{p} \subset R \mid v_{\mathfrak{p}}(\text{disc}_{L/K}(x_i)) > 0\}$$

be a finite set. So for all $\mathfrak{p} \notin \mathcal{P}$, $\text{disc}(S_{\mathfrak{p}}/R_{\mathfrak{p}}) = R_{\mathfrak{p}}$.

Definition. Define

$$\text{disc}(S/R) = \prod_{\mathfrak{p} \in \mathcal{P}} \mathfrak{p}^{v_{\mathfrak{p}}(\text{disc}(S_{\mathfrak{p}}/R_{\mathfrak{p}}))},$$

which is equivalent by 3.4 to the previous definition.

Theorem 3.5. $v_{\mathfrak{p}}(\text{disc}(S/R)) = 0$ if and only if \mathfrak{p} is unramified in S and for all $\mathfrak{q} \subset S$ over \mathfrak{p} , the residue field extension $(S/\mathfrak{q})/(R/\mathfrak{p})$ is separable.

Proof. May assume R is local, so S is free over R . Have $\mathfrak{p}S = \prod_{\mathfrak{q}} \mathfrak{q}^{e_{\mathfrak{q}}}$, so

$$S \otimes_R (R/\mathfrak{p}) \cong S/\mathfrak{p}S \cong \prod_{\mathfrak{q}} S/\mathfrak{q}^{e_{\mathfrak{q}}}.$$

So $v_{\mathfrak{p}}(\text{disc}(S/R)) = 0$ if and only if $\text{disc}((S/\mathfrak{p}S)/(R/\mathfrak{p})) = R/\mathfrak{p}$, if and only if each $S/\mathfrak{q}^{e_{\mathfrak{q}}}$ is a finite separable field extension of R/\mathfrak{p} by 3.1, if and only if for all \mathfrak{q} , $e_{\mathfrak{q}} = 1$ and $(S/\mathfrak{q})/(R/\mathfrak{p})$ is separable. □

Corollary 3.6. *In an extension S/R of Dedekind domains, only finitely many primes are ramified, just the \mathfrak{p} such that $v_{\mathfrak{p}}(\text{disc}(S/R)) > 0$.*

Proposition 3.7. *Let $\mathfrak{p} \subset R$. Then*

$$v_{\mathfrak{p}}(\text{disc}(S/R)) = \sum_{\mathfrak{q} \supset \mathfrak{p}} v_{\mathfrak{p}}\left(\text{disc}\left(\widehat{S_{\mathfrak{q}}}/\widehat{R_{\mathfrak{p}}}\right)\right).$$

Proof. By 3.4 may assume R is local, so S is a free R -module, and $S \otimes_R \widehat{R} \cong \prod_{\mathfrak{q} \subset S} \widehat{S_{\mathfrak{q}}}$ so

$$v_{\mathfrak{p}}(\text{disc}(S/R)) = v_{\mathfrak{p}}\left(\text{disc}\left(S \otimes_R \widehat{R}/\widehat{R}\right)\right) = \sum_{\mathfrak{q}} v_{\mathfrak{p}}\left(\text{disc}\left(\widehat{S_{\mathfrak{q}}}/\widehat{R}\right)\right).$$

□

3.2 Different

There is a finer invariant of ramification.

Definition. The **inverse different** $\mathcal{D}_{S/R}^{-1}$ of an extension S/R of Dedekind domains is

$$\mathcal{D}_{S/R}^{-1} = \{x \in L \mid \forall y \in S, \operatorname{Tr}_{L/K}(xy) \in R\}.$$

This is the dual of S with respect to the trace form $(x, y) \mapsto \operatorname{Tr}_{L/K}(xy)$, which is non-degenerate and clearly an S -submodule of L . If $\bigoplus_{i=1}^n Rx_i \subset S$, let (y_i) be the dual basis to (x_i) for the trace form, that is $\operatorname{Tr}_{L/K}(x_i y_j) = \delta_{ij}$. Then $S \subset \mathcal{D}_{S/R}^{-1} \subset \bigoplus_{i=1}^n Ry_i$, so $\mathcal{D}_{S/R}^{-1}$ is a fractional ideal, since it is finitely generated.

Definition. $\mathcal{D}_{S/R}$ is an ideal of S , the **different**.

Proposition 3.8.

1. If $\mathfrak{p} \subset R$, then $\mathcal{D}_{S_{\mathfrak{p}}/R_{\mathfrak{p}}} = \mathcal{D}_{S/R} S_{\mathfrak{p}}$.
2. $N_{L/K}(\mathcal{D}_{S/R}) = \operatorname{disc}(S/R)$.
3. Let $\mathfrak{q} \subset S$ lying over $\mathfrak{p} \subset R$. Then $v_{\mathfrak{q}}(\mathcal{D}_{S/R}) = v_{\mathfrak{q}}(\mathcal{D}_{\widehat{S_{\mathfrak{q}}}/\widehat{R_{\mathfrak{p}}}})$.

Proof.

1. Exercise. ²
2. By 1 and 3.4, can suppose R is local. Then S is a PID by 2.1.3. So $\mathcal{D}_{S/R}^{-1} = x^{-1}S$ for some $0 \neq x \in S$. Let (e_i) be a basis for S over R . Then there exists a basis (e'_i) for S over R such that $\operatorname{Tr}_{L/K}(e_i x^{-1} e'_j) = \delta_{ij}$. Let $x^{-1} e'_j = \sum_k b_{kj} e_k$ for $b_{kj} \in K$. Then

$$\langle 1 \rangle = \langle \det(\operatorname{Tr}_{L/K}(e_i x^{-1} e'_j)) \rangle = \langle \det(\operatorname{Tr}_{L/K}(e_i e_j)) \det(b_{ij}) \rangle = \det(b_{ij}) \operatorname{disc}(S/R).$$

But $N_{L/K}(x^{-1})$ is $\det(b_{ij})$ times some unit in R . So $\langle 1 \rangle = \langle N_{L/K}(x^{-1}) \rangle \operatorname{disc}(S/R)$.

3. Assume R is local and $\mathfrak{p} = \langle \pi_{\mathfrak{p}} \rangle$. Write $\widehat{K} = \operatorname{Frac} \widehat{R}$ and for $\mathfrak{q} = \langle \pi_{\mathfrak{q}} \rangle \subset S$ write $\widehat{L}_{\mathfrak{q}} = \operatorname{Frac} \widehat{S_{\mathfrak{q}}}$. So say

$$L \otimes_K \widehat{K} \supset S \otimes_R \widehat{R} \xrightarrow{\sim} \prod_{\mathfrak{q}} \widehat{S_{\mathfrak{q}}} \subset \prod_{\mathfrak{q}} \widehat{L}_{\mathfrak{q}},$$

and

$$\operatorname{Tr}_{L \otimes_K \widehat{K}/\widehat{K}}(x) = \sum_{\mathfrak{q}} \operatorname{Tr}_{\widehat{L}_{\mathfrak{q}}/\widehat{K}}(x). \quad (3)$$

Let $S = \bigoplus_{i=1}^n Rx_i$, and $\prod_{\mathfrak{q}} \pi_{\mathfrak{q}}^{-a_{\mathfrak{q}}} S = \mathcal{D}_{S/R}^{-1} = \bigoplus_{i=1}^n Ry_i$ for some $a_{\mathfrak{q}} \geq 0$ and $y_i \in L$, the dual basis to x_i . Then as $S \otimes_R \widehat{R} = \bigoplus_{i=1}^n \widehat{R}(x_i \otimes 1)$,

$$\begin{aligned} \mathcal{D}_{S \otimes_R \widehat{R}/\widehat{R}}^{-1} &= \left\{ x \in L \otimes_K \widehat{K} \mid \forall y \in S \otimes_R \widehat{R}, \operatorname{Tr}_{L \otimes_K \widehat{K}/\widehat{K}}(xy) \in \widehat{R} \right\} \\ &= \bigoplus_{i=1}^n \widehat{R}(y_i \otimes 1) = \mathcal{D}_{S/R}^{-1} (S \otimes_R \widehat{R}) = \prod_{\mathfrak{q}} \pi_{\mathfrak{q}}^{-a_{\mathfrak{q}}} (S \otimes_R \widehat{R}) \subset L \otimes_K \widehat{K}, \end{aligned}$$

since $\operatorname{Tr}_{L/K}(x_i y_j) = \delta_{ij}$ and trace commutes with base change. On the other hand, by (3) and the definitions

$$\mathcal{D}_{S \otimes_R \widehat{R}/\widehat{R}}^{-1} \cong \prod_{\mathfrak{q}} \mathcal{D}_{\widehat{S_{\mathfrak{q}}}/\widehat{R}}^{-1} \subset \prod_{\mathfrak{q}} \widehat{L}_{\mathfrak{q}},$$

so

$$\mathcal{D}_{\widehat{S_{\mathfrak{q}}}/\widehat{R}}^{-1} = \prod_{\mathfrak{q}'} \pi_{\mathfrak{q}'}^{-a_{\mathfrak{q}'}} \widehat{S_{\mathfrak{q}}} = \pi_{\mathfrak{q}}^{-a_{\mathfrak{q}}} \widehat{S_{\mathfrak{q}}},$$

as $v_{\mathfrak{q}}(\pi_{\mathfrak{q}'}) = 0$ if $\mathfrak{q}' \neq \mathfrak{q}$.

□

²Exercise: the same idea as 3.4

Use this to prove the following.

Theorem 3.9. *Assume all extensions of residue fields are separable. Let $\mathfrak{p}S = \prod_{i=1}^g \mathfrak{q}_i^{e_i} \subset S$. Then*

1. $\mathfrak{q}_i \mid \mathcal{D}_{S/R}$ if and only if $e_i > 1$, and

2. $\mathfrak{q}_i^{e_i-1} \mid \mathcal{D}_{S/R}$.

Proof. First assume R is complete local and $\mathfrak{p} = \langle \pi_R \rangle$. Then S is also local, and complete, with unique prime $\mathfrak{q} = \langle \pi_S \rangle$, so $g = 1$.

1. So $\mathcal{D}_{S/R} = \langle \pi_S \rangle^d$ for $d \geq 0$. By 3.8.2, $\text{disc}(S/R) = \langle N_{L/K}(\pi_S)^d \rangle = \langle \pi_R \rangle^{\text{df}}$. So as $v_{\mathfrak{p}}(\text{disc}(S/R)) = 0$ if and only if \mathfrak{p} is unramified by 3.5, get the first statement.

2. Claim $\text{Tr}_{L/K}(\mathfrak{q}) \subset \mathfrak{p}$. Let $x \in \mathfrak{q}$. Then multiplication by x is a nilpotent endomorphism of $S \otimes_R (R/\mathfrak{p}) \cong S/\mathfrak{q}^e$, so $\text{Tr}_{S \otimes_R (R/\mathfrak{p})/(R/\mathfrak{p})}(x \otimes 1) = 0$, that is $\text{Tr}_{L/K}(x) = \text{Tr}_{S/R}(x) \in \mathfrak{p}$. Hence the claim. Therefore $\text{Tr}_{L/K}(\mathfrak{q}^{1-e}) = \text{Tr}_{L/K}(\pi_R^{-1} \mathfrak{q}) \subset R$, so $\mathfrak{q}^{1-e} \subset \mathcal{D}_{S/R}^{-1}$, that is $\mathfrak{q}^{e-1} \mid \mathcal{D}_{S/R}$.

For the general case, apply the above to $\widehat{S}_{\mathfrak{q}_i}/\widehat{R}_{\mathfrak{p}}$ and use 3.8.3. \square

Fact.

- If $\mathfrak{p} \nmid e_i$ then $v_{\mathfrak{q}_i}(\mathcal{D}_{S/R}) = e_i - 1$. If $\mathfrak{p} \mid e_i$ then $v_{\mathfrak{q}_i}(\mathcal{D}_{S/R}) \geq e_i$. More precisely, $v_{\mathfrak{q}_i}(\mathcal{D}_{S/R})$ is determined by the orders of the higher ramification groups, for a Galois closure of L/K . See for example Serre, Local fields, Chapter 4, Section 1, Proposition 4.
- If $S = R[x]$, and x has minimal polynomial $f \in R[T]$ then $\mathcal{D}_{S/R} = \langle f'(x) \rangle$ where f' is the derivative. See example sheet 1. This means that $\mathcal{D}_{S/R}$ is the annihilator of the cyclic S -module $\Omega_{S/R}$ of Kähler differentials, generated by dx .

For an extension L/K of number fields write

$$\mathcal{D}_{L/K} = \mathcal{D}_{\mathcal{O}_L/\mathcal{O}_K} \subset \mathcal{O}_L, \quad \delta_{L/K} = \text{disc}(\mathcal{O}_L/\mathcal{O}_K) \subset \mathcal{O}_K.$$

Remark. Let K/\mathbb{Q} , and let (e_i) be a \mathbb{Z} -basis for \mathcal{O}_K . Then $\delta_{K/\mathbb{Q}} \subset \mathbb{Z}$ is $\langle \text{disc}(e_i) \rangle$ and if (e'_i) is another basis such that $e'_i = \sum_{j,i} a_{ji} e_j$, then $\text{disc}(e'_i) = (\det(a_{ij}))^2 \text{disc}(e_i) = \text{disc}(e_i)$, since $\det(a_{ij}) = \pm 1$. So the integer $\text{disc}(e_i)$ is independent of the basis, not just the ideal it generates. This is called the **absolute discriminant** $d_K \in \mathbb{Z} \setminus \{0\}$ of K . The sign is significant.

Theorem 3.10 (Kummer-Dedekind criterion). *Let S/R be an extension of Dedekind domains, and let $x \in S$ such that $L = K(x)$. Suppose $\mathfrak{p} \subset R$ such that $S_{\mathfrak{p}} = R_{\mathfrak{p}}[x]$. Let $g \in R[T]$ be the minimal polynomial of x and $g = \prod_i \overline{g}_i^{e_i} \in (R/\mathfrak{p})[T]$ the factorisation of reduction of g into powers of distinct monic irreducibles \overline{g}_i . Let $g_i \in R[T]$ be any monic lifting of \overline{g}_i and $f_i = \deg g_i = \deg \overline{g}_i$. Then $\mathfrak{q}_i = \mathfrak{p}S + \langle g_i(x) \rangle \subset S$ is prime with*

$$[S/\mathfrak{q}_i : R/\mathfrak{p}] = f_i, \quad \forall i \neq j, \mathfrak{q}_i \neq \mathfrak{q}_j, \quad \mathfrak{p}S = \prod_i \mathfrak{q}_i^{e_i}.$$

Proof. Can assume R is local, so then $S = R[x]$. Set $\mathfrak{p} = \langle \pi \rangle$ and $R/\mathfrak{p} = \kappa$. Then \mathfrak{q}_i is prime with residue degree f_i , since $S/\mathfrak{q}_i \cong \kappa[T]/\langle \overline{g}_i \rangle$, and \overline{g}_i is irreducible of degree f_i . Claim that $\mathfrak{q}_i \neq \mathfrak{q}_j$. If $i \neq j$, there exist $a, b \in R[T]$ such that $a\overline{g}_i + b\overline{g}_j = 1 \in \kappa[T]$, so $1 = ag_i + bg_j + \pi c$ for some $c \in R[T]$, so $1 \in \langle \pi, g_i(x), g_j(x) \rangle = \mathfrak{q}_i + \mathfrak{q}_j$. Let $g = \prod_i g_i^{e_i} + \pi h$ for $h \in R[T]$. Then

$$\prod_i \mathfrak{q}_i^{e_i} = \prod_i \langle \pi, g_i(x) \rangle^{e_i} \subset \prod_i \langle \pi, g_i(x) \rangle^{e_i} \subset \left\langle \pi, \prod_i g_i(x)^{e_i} \right\rangle = \langle \pi, \pi h(x) \rangle \subset \mathfrak{p}S = \langle \pi \rangle.$$

Now $\dim_{\kappa}(S/\mathfrak{p}S) = n = [L : K]$, and

$$\dim_{\kappa}(S/\mathfrak{q}_i^{e_i}) = \sum_{j=0}^{e_i-1} \dim_{\kappa}(\mathfrak{q}_i^j/\mathfrak{q}_i^{j+1}) = e_i \dim_{\kappa}(S/\mathfrak{q}_i) = e_i f_i,$$

so $\prod_i \mathfrak{q}_i^{e_i} \subset \mathfrak{p}S$ gives $\sum_i e_i f_i \geq n$. As $\sum_i e_i f_i = \sum_i e_i \deg \overline{g}_i = \deg \overline{g} = n$, have equality. \square

4 Examples

4.1 Quadratic fields

Lecture 7
Thursday
04/02/21

Let $K = \mathbb{Q}(\sqrt{d})$ for $d \in \mathbb{Q}^\times$ not a square. Multiplying d by a square, can assume $d \in \mathbb{Z} \setminus \{0, 1\}$ is squarefree. Then $\mathcal{O}_K \supset \mathbb{Z}[\sqrt{d}] = \mathbb{Z} \oplus \mathbb{Z}\sqrt{d}$.

- Since $\text{Tr}_{K/\mathbb{Q}}(1) = 2$ and $\text{Tr}_{K/\mathbb{Q}}(\sqrt{d}) = 0$, $\text{disc}(1, \sqrt{d}) = 4d$, so
 - either $d_K = 4d$, and $\mathcal{O}_K = \mathbb{Z}[\sqrt{d}]$,
 - or $d_K = d$, and $(\mathcal{O}_K : \mathbb{Z}[\sqrt{d}]) = 2$.

The latter holds if and only if there exist $m, n \in \mathbb{Z}$ not both even with $\frac{m+n\sqrt{d}}{2} \in \mathcal{O}_K$, if and only if $\frac{1+\sqrt{d}}{2} \in \mathcal{O}_K$ since obviously $\frac{1}{2}, \frac{\sqrt{d}}{2} \notin \mathcal{O}_K$, if and only if $d \equiv 1 \pmod{4}$ since the minimal polynomial of $\frac{1+\sqrt{d}}{2}$ is $(T - \frac{1}{2})^2 - \frac{d}{4} = T^2 - T - \frac{d-1}{4}$, in which case $\mathcal{O}_K = \mathbb{Z} \oplus \mathbb{Z}\frac{1+\sqrt{d}}{2} = \mathbb{Z}[\frac{1+\sqrt{d}}{2}]$.

- The dual basis of $(1, \sqrt{d})$ for the trace form is $(\frac{1}{2}, \frac{1}{2\sqrt{d}})$, so

$$\mathcal{D}_{K/\mathbb{Q}} = \begin{cases} \langle 2\sqrt{d} \rangle & d \not\equiv 1 \pmod{4} \\ \langle \sqrt{d} \rangle & d \equiv 1 \pmod{4} \end{cases}.$$

- Decomposition of $\langle p \rangle \subset \mathcal{O}_K$ by Kummer-Dedekind.
 - If $p \neq 2$ or $d \not\equiv 1 \pmod{4}$ then $p \nmid (\mathcal{O}_K : \mathbb{Z}[\sqrt{d}])$. So applying the criterion to $T^2 - d$, see that
 - * $\langle p \rangle = \mathfrak{p}^2$ is ramified if $p \mid d$, so $\mathfrak{p} = \langle p, \sqrt{d} \rangle$,
 - * $\langle p \rangle = \mathfrak{p}$ is inert if $(\frac{d}{p}) = -1$, and
 - * $\langle p \rangle = \mathfrak{p}\mathfrak{p}'$ is split if $(\frac{d}{p}) = 1$, so if $d \equiv a^2 \pmod{p}$ then $\mathfrak{p} = \langle p, \sqrt{d} - a \rangle \neq \langle p, \sqrt{d} + a \rangle = \mathfrak{p}'$.
 - The remaining case is $p = 2$ and $d \equiv 1 \pmod{4}$. Factoring $T^2 - T - \frac{d-1}{4}$ modulo two, get
 - * $\langle 2 \rangle$ is inert if $d \equiv 5 \pmod{8}$, and
 - * $\langle 2 \rangle = \mathfrak{p}\mathfrak{p}'$ is split if $d \equiv 1 \pmod{8}$ and $\mathfrak{p} = \langle 2, \frac{\sqrt{d}+1}{2} \rangle \neq \langle 2, \frac{\sqrt{d}-1}{2} \rangle = \mathfrak{p}'$.

Go through the calculations if you have not seen them before. ³

4.2 Cyclotomic fields

Recall some Galois theory. Let $n > 1$, and let K be a field of characteristic zero or characteristic $p \nmid n$. Suppose $L = K(\zeta_n)$, where $\zeta_n \in L$ is a primitive n -th root of unity, that is $\zeta_n^m \neq 1$ for all $1 \leq m < n$. Equivalently, ζ_n is a root of the n -th cyclotomic polynomial $\Phi_n \in \mathbb{Z}[T]$ of degree $\phi(n)$, defined recursively by

$$T^n - 1 = \prod_{d \mid n} \Phi_d(T).$$

Then L/K is Galois, with abelian Galois group, and

$$\begin{aligned} \text{Gal}(L/K) &\longrightarrow (\mathbb{Z}/n\mathbb{Z})^\times \\ g &\longmapsto \text{unique } a \pmod{n} \text{ such that } g(\zeta_n) = \zeta_n^a \end{aligned}$$

is an injective homomorphism.

³Exercise

Theorem 4.1. *Let $L = \mathbb{Q}(\zeta_n)$. Then*

1. $\text{Gal}(L/\mathbb{Q}) \xrightarrow{\sim} (\mathbb{Z}/n\mathbb{Z})^\times$,
2. p ramifies in L if and only if $p \mid n$, and
3. $\mathcal{O}_L = \mathbb{Z}[\zeta_n]$.

Remark. 1 if and only if Φ_n is irreducible over \mathbb{Q} , if and only if $[L : \mathbb{Q}] = \phi(n)$.

Proof. Let $n = p^r m$ for $r \geq 1$ and $p \nmid m$ prime. Let $\zeta_m = \zeta_n^{p^r}$ and $\zeta_{p^r} = \zeta_n^m$. Then there exist $a, b \in \mathbb{Z}$ such that $p^r a + mb = 1$, so $\zeta_n = \zeta_m^a \zeta_{p^r}^b$. Let $K = \mathbb{Q}(\zeta_m)$. Then $L = K(\zeta_{p^r})$. Will prove that

- Φ_{p^r} is irreducible over K ,
- if $v \in V_{K, \mathfrak{f}}$ and $v \nmid p$ then v is unramified in L/K ,
- if $v \mid p$ then v is totally ramified in L/K , and
- $\mathcal{O}_L = \mathcal{O}_K[\zeta_{p^r}]$.

This proves 4.1 by induction on n . For a place w of L , write $x_w \in L_w$ for the image of ζ_{p^r} under $L \hookrightarrow L_w$. Suppose $v \mid p$. By induction, p is unramified in K/\mathbb{Q} , so $v(p) = 1$. Then

$$\Phi_{p^r}(T+1) = \frac{(T+1)^{p^r} - 1}{(T+1)^{p^{r-1}} - 1}$$

is an Eisenstein polynomial in $\mathcal{O}_{K_v}[T]$. Indeed $\Phi_{p^r}(T+1) \equiv T^{p^{r-1}(p-1)} \pmod{p}$, and the constant coefficient is p , so has valuation one. Then from local fields,

- Φ_{p^r} is irreducible over K_v , hence over K ,
- L/K is totally ramified at v , and
- if w is the unique place of L over v , then $\mathcal{O}_{L_w} = \mathcal{O}_{K_v}[\pi_w]$ where $\pi_w = x_w - 1$ is the root of $\Phi_{p^r}(T+1)$ in L_w .

Now let $v \mid q \neq p$. Then Φ_{p^r} is separable modulo q . Have

$$K_v \otimes_K L \cong \prod_{w|v} L_w = \prod_{w|v} K_v(x_w).$$

Let $f_w \in \mathcal{O}_{K_v}[T]$ be the minimal polynomial of x_w over K_v . Then

- $\prod_{w|v} f_w = \Phi_{p^r}$, so the reduction of f_w at v is separable, hence L_w/K_v is unramified, and
- by local fields again, $\mathcal{O}_{L_w} = \mathcal{O}_{K_v}[x_w]$.

Thus for all $v \in V_{K, \mathfrak{f}}$,

$$\mathcal{O}_{K_v} \otimes_{\mathcal{O}_K} \mathcal{O}_K[\zeta_{p^r}] \cong \mathcal{O}_{K_v}[T] / \langle \Phi_{p^r} \rangle \cong \prod_{w|v} \mathcal{O}_{K_v}[T] / \langle f_w \rangle = \prod_{w|v} \mathcal{O}_{L_w} \cong \mathcal{O}_{K_v} \otimes_{\mathcal{O}_K} \mathcal{O}_L,$$

by CRT, so must have $\mathcal{O}_K[\zeta_{p^r}] = \mathcal{O}_L$. □

4.3 Frobenius elements

Recall Frobenius elements. Let L/K be a Galois extension of number fields, let $w \mid v$ be finite places, and let $G = \text{Gal}(L/W) \supset G_w \cong \text{Gal}(L_w/K_v)$ be the decomposition group of w . Then

$$1 \rightarrow I_w \rightarrow G_w \rightarrow \text{Gal}(\ell_w/\kappa_v) \rightarrow 1,$$

where I_w is the inertia subgroup. Suppose w is unramified in L/K , if and only if v is unramified in L/K . Then $I_w = \{1\}$.

Definition. Define the **Frobenius** at w to be the unique element $\sigma_w \in G_w$ mapping to the generator $x \mapsto x^{q_v}$ of $\text{Gal}(\ell_w/\kappa_v)$.

So $\text{ord } \sigma_w = f(w \mid v) = [\ell_w : \kappa_v] = [\ell_{w'} : \kappa_v]$ for any $w' \mid v$, as G acts transitively on $\{w'\}$. In particular, $\sigma_w = 1$ if and only if v splits completely in L/K , that is there exist $[L : K]$ places of L over v . Suppose G is abelian. Then G_w and σ_w are independent of w , so depends only on v .

Notation. $\sigma_v = \sigma_{L/K,v} = \sigma_w$ is the **arithmetic Frobenius** at v . There are other notations, such as $\phi_{L/K,v}$ or $(v, L/K)$, the **norm residue symbol**.

Remark. Let $L/F/K$ where L/K is abelian. Then $\sigma_{L/K}|_F = \sigma_{F/K}$ by definition.

4.4 Quadratic reciprocity

Let $L = \mathbb{Q}(\zeta_n)$, let $K = \mathbb{Q}$, and let $n > 2$. Have an isomorphism

$$\begin{aligned} \lambda : (\mathbb{Z}/n\mathbb{Z})^\times &\longrightarrow \text{Gal}(L/\mathbb{Q}) \\ a \pmod n &\longmapsto (\zeta_n \mapsto \zeta_n^a). \end{aligned}$$

Claim that

$$\sigma_p = \sigma_{L/\mathbb{Q},p} = \lambda(p \pmod n) = (\zeta_n \mapsto \zeta_n^p) \in \text{Gal}(L/\mathbb{Q}),$$

if $p \nmid n$. Indeed, σ_p is characterised by for all $v \mid p$, σ_p induces $x \mapsto x^p$ on the residue field $\mathbb{Z}[\zeta_n]/\mathfrak{p}_v$, whereas $\lambda(p)$ induces $x \mapsto x^p$ over $\mathbb{Z}[\zeta_n]/\langle p \rangle$.

Remark.

- These elements σ_p generate $\text{Gal}(L/\mathbb{Q})$, since every integer prime to n is a product of $p \nmid n$, so gives, with some thought, another proof that $\text{Gal}(L/\mathbb{Q}) \cong (\mathbb{Z}/n\mathbb{Z})^\times$.
- If $\sigma : L \hookrightarrow \mathbb{C}$ is any embedding, then $\overline{\sigma(\zeta_n)} = \sigma(\zeta_n^{-1})$. So $\lambda(-1 \pmod n)$ is complex conjugation, for any $\sigma : L \hookrightarrow \mathbb{C}$.

Specialise to the case $n = q > 2$ is prime. Then $\text{Gal}(L/\mathbb{Q}) = (\mathbb{Z}/q\mathbb{Z})^\times$ is cyclic of order $q - 1$, so has a unique index two subgroup $H \cong ((\mathbb{Z}/q\mathbb{Z})^\times)^2$. Let $K = L^H$ be a quadratic extension of \mathbb{Q} . Every $p \neq q$ is unramified in L , hence also in K . So $K = \mathbb{Q}(\sqrt{\pm q})$, and as $\langle 2 \rangle$ is unramified in K , must have

$$K = \mathbb{Q}(\sqrt{q^*}), \quad q^* = \begin{cases} q & q \equiv 1 \pmod 4 \\ -q & q \equiv 3 \pmod 4 \end{cases}, \quad d_K = q^*.$$

Now let $p \neq q$ be an odd prime. Then

$$\sigma_{K/\mathbb{Q},p} = 1 \iff \sigma_{L/\mathbb{Q},p} = \lambda(p) \in H \iff \left(\frac{p}{q}\right) = 1.$$

But

$$\sigma_{K/\mathbb{Q},p} = 1 \iff p \text{ splits completely in } K \iff \left(\frac{q^*}{p}\right) = 1.$$

That is, $\left(\frac{p}{q}\right) = \left(\frac{q^*}{p}\right)$. Combine with $\left(\frac{-1}{q}\right) = (-1)^{(q-1)/2}$ to get the quadratic reciprocity law. In algebraic number theory, quadratic reciprocity says that splitting of p in K/\mathbb{Q} depends only on the congruence class of p modulo something. Class field theory tells us that a similar thing holds for any abelian extension of number fields, since there is a law describing the decomposition of primes in an abelian extension which is just a congruence condition.

Lecture 8
Saturday
06/02/21

5 Ideles and adeles

To study congruences modulo p^n for $n \geq 1$ Hensel introduced \mathbb{Z}_p and \mathbb{Q}_p such that $\mathbb{Q} \hookrightarrow \mathbb{Z}_p$. For congruences to arbitrary moduli, or to study local-global problems in general, it would be nice to simultaneously embed $\mathbb{Q} \hookrightarrow \mathbb{Q}_p$ for all $p \leq \infty$, which are locally compact. The first guess is $\mathbb{Q} \hookrightarrow \prod_{p \leq \infty} \mathbb{Q}_p$, but this product is not nice, for example not locally compact. Better is to notice that if $x \in \mathbb{Q}$, then the image of x lies in \mathbb{Z}_p for all but finitely many p . So Chevalley introduced a small product with better properties, for any number field K , the ring of adeles or valuation vectors \mathbb{A}_K of K and the group of ideles $\mathbb{J}_K = \mathbb{A}_K^\times$ of K . These are topological rings and groups respectively. They are highly disconnected, that is have plenty of open subgroups. Open subgroups are closed, so if $H \subset G$ is an open subgroup, then G/H is discrete, that is $G = \bigsqcup_x xH$ is a topological disjoint union.

5.1 Ring of adeles

Definition. Let K be a number field, let $V_K = V_{K,\infty} \sqcup V_{K,f}$, and let K_v be its completions. If $v \in V_{K,f}$, have $\mathcal{O}_v = \{x \mid |x|_v \leq 1\} \subset K_v$. The **ring of adeles** is

$$\mathbb{A}_K = \left\{ (x_v) \in \prod_{v \in V_K} K_v \mid \text{for all but finitely many } v, x_v \in \mathcal{O}_v \right\} = \bigcup_{\text{finite } S \subset V_{K,f}} U_{K,S} \subset \prod_{v \in V_K} K_v,$$

where

$$U_{K,S} = \prod_{v \in V_{K,\infty}} K_v \times \prod_{v \in S} K_v \times \prod_{v \in V_{K,f} \setminus S} \mathcal{O}_v.$$

Notation. Let

$$K_\infty = \prod_{v \in V_{K,\infty}} K_v = K \otimes_{\mathbb{Q}} \mathbb{R} \cong \mathbb{R}^{r_1} \times \mathbb{C}^{r_2}.$$

Then \mathbb{A}_K is a ring. The topology on \mathbb{A}_K is generated by all open $V \subset U_{K,S}$ as S varies, and where $U_{K,S}$ has the product topology. This means in particular that every $U_{K,S} \subset \mathbb{A}_K$ is open, so $U_{K,\emptyset} = K_\infty \times \prod_{v \in V_{K,f}} \mathcal{O}_v$ is open and has the product topology. This completely determines the topology on \mathbb{A}_K . See example sheet 1 exercise 1(ii).

Example. Let $K = \mathbb{Q}$. Then

$$\mathbb{A}_{\mathbb{Q}} = \mathbb{R} \times \left\{ (x_p)_p \in \prod_{p < \infty} \mathbb{Q}_p \mid \text{for all but finitely many } p, x_p \in \mathbb{Z}_p \right\}.$$

So, letting $m \in \mathbb{Z}_{>0}$ be the product of the denominators p^i of x_p see that $m(x_p)_p \in \prod_{p < \infty} \mathbb{Z}_p = \widehat{\mathbb{Z}}$, that is $(x_p)_p \in (1/m)\widehat{\mathbb{Z}} \subset \prod_p \mathbb{Q}_p$. Let $\widehat{\mathbb{Q}} = \bigcup_{m \geq 1} (1/m)\widehat{\mathbb{Z}} \cong \widehat{\mathbb{Z}} \otimes_{\mathbb{Z}} \mathbb{Q}$.⁴ Then $\mathbb{A}_{\mathbb{Q}} = \mathbb{R} \times \widehat{\mathbb{Q}}$.

Proposition 5.1. \mathbb{A}_K is Hausdorff and locally compact, so every point has a compact neighbourhood.

Proof. If $\widehat{\mathcal{O}_K}$ is the profinite completion, then $U_{K,\emptyset} = K_\infty \times \prod_{v \nmid \infty} \mathcal{O}_v = K_\infty \times \widehat{\mathcal{O}_K}$ is Hausdorff, and is locally compact, since K_∞ is locally compact and $\widehat{\mathcal{O}_K}$ is compact, and it is an open neighbourhood of zero. So by translation, \mathbb{A}_K is Hausdorff and locally compact. \square

There is a diagonal embedding $K \hookrightarrow \mathbb{A}_K$.

Proposition 5.2. K is discrete in \mathbb{A}_K .

Proof. Find a neighbourhood of zero containing only $0 \in K$. Let

$$U = \left\{ x = (x_v) \in \mathbb{A}_K \mid \begin{array}{l} \forall v \in V_{K,f}, |x_v|_v \leq 1 \\ \forall v \in V_{K,\infty}, |x_v|_v < 1 \end{array} \right\}.$$

Then $U \subset \mathbb{A}_K$ is open. If $x \in K \cap U$, then $|x_v|_v \leq 1$ for all $v \nmid \infty$ implies that $x \in \mathcal{O}_K$, and $|x_v|_v < 1$ for all $v \mid \infty$ implies that $|N_{K/\mathbb{Q}}(x)| < 1$, that is $x = 0$. So zero is isolated in K . Thus K is discrete. \square

⁴Exercise: easy