

Profinite Groups and Group Cohomology

Lectured by Dr Gareth Wilkes
Typed by David Kurniadi Angdinata

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Syllabus

Contents

0	Introduction	3
1	Inverse limits	4
1.1	Categories and limits	4
1.2	Inverse limits and profinite groups	7
1.3	Change of inverse system	10
2	Profinite groups	12
2.1	The p -adic integers	12
2.2	The profinite completion of the integers	13
2.3	Profinite matrix groups	14
2.4	Subgroups, quotients, and homomorphisms	15
2.5	Generators of profinite groups	17
3	Profinite completions	19
3.1	Residual finiteness	19
3.2	Finite quotients of free groups	25
4	Pro-p groups	30
4.1	Generators of pro- p groups	30
4.2	Nilpotent groups	32
4.3	Invariance of topology	32
4.4	Hensel's lemma and p -adic arithmetic	34
5	Cohomology of groups	36
5.1	Group rings and chain complexes	36
5.2	Different projective resolutions	41

0 Introduction

Lecture 1
Thursday
21/01/21

A question is, when are things different?

- \mathbb{Z} is in bijection with \mathbb{Q} , by writing down a bijection.
- \mathbb{Q} is not in bijection with \mathbb{R} , by diagonalisation.

A solution is to try to find an invariant, which is

- easier to compute,
- computable, and
- preserved under isomorphism.

Example 0.0.1.

- Cardinality of a set.
- Dimension and base field of a vector space, which is complete.
- For an algebraic field extension K over \mathbb{Q} , the degree $[K : \mathbb{Q}]$ and the Galois group $\text{Gal}(K/\mathbb{Q})$.
- For a topological space X , compactness, connectedness, simplicial homology groups $H_\bullet(X)$, and the fundamental group $\pi_1(X)$.

Theorem 0.0.2. *There is no algorithm that decides whether a finite presentation represents the trivial group.*

Finite groups we can decide.

- List all the finite quotients of a group.
- If you have two such lists, you can compare.
- If two groups have different sets of finite quotients, they are not isomorphic.

How often does this work?

- Combine all the finite quotients into one object to study, the **profinite completion**, which is a limit of the finite groups.
- More generally, a limit of finite groups is called a **profinite group**.

Example 0.0.3.

- In Galois theory, let $K = \bigcup_{N \in \mathbb{N}} K_N$ be the extension of \mathbb{Q} adjoining all p^N -th roots of unity for p a fixed prime and $N \in \mathbb{N}$, which gives a short exact sequence of Galois groups

$$\text{Gal}(K/K_N) \rightarrow \text{Gal}(K/\mathbb{Q}) \twoheadrightarrow \text{Gal}(K_N/\mathbb{Q}).$$

Then $\text{Gal}(K_N/\mathbb{Q}) = (\mathbb{Z}/p^N\mathbb{Z})^\times$ and $\text{Gal}(K/\mathbb{Q}) = \varprojlim_N (\mathbb{Z}/p^N\mathbb{Z})^\times = \mathbb{Z}_p^\times$.

- In algebraic geometry, étale fundamental groups are profinite groups.

The second part of the course is **group cohomology**, which is another invariant, with the following applications.

- Can tell if a group is free for some profinite groups.
- Given a group G and an abelian group A , group cohomology tells us how many groups E exist such that $A \triangleleft E$ and $E/A = G$.

1 Inverse limits

1.1 Categories and limits

Let A and B be sets. How to combine into one thing? The disjoint union $A \sqcup B$ has inclusion maps $i_A : A \hookrightarrow A \sqcup B$ and $i_B : B \hookrightarrow A \sqcup B$, and for any other set Z , with functions $j_A : A \rightarrow Z$ and $j_B : B \rightarrow Z$ there is a unique function defined by

$$\begin{aligned} f : A \sqcup B &\longrightarrow Z \\ a &\longmapsto j_A(a) , \\ b &\longmapsto j_B(b) \end{aligned}$$

such that $f \circ i_A = j_A$ and $f \circ i_B = j_B$, so

$$\begin{array}{ccccc} A & \xrightarrow{i_A} & A \sqcup B & \xleftarrow{i_B} & B \\ & \searrow j_A & \downarrow \exists! f & \swarrow j_B & \\ & & Z & & \end{array} .$$

The product $A \times B$ comes with $p_A : A \times B \rightarrow A$ and $p_B : A \times B \rightarrow B$ such that

$$\begin{array}{ccccc} A & \xleftarrow{p_A} & A \times B & \xrightarrow{p_B} & B \\ & \swarrow q_A & \uparrow \exists! f & \searrow q_B & \\ & & Z & & \end{array} ,$$

where $f(z) = (q_A(z), q_B(z))$. Reversed all arrows, so there is a duality, and disjoint union is a coproduct. What about groups, and group homomorphisms? The product still works, but the disjoint union is not a group. The coproduct is the free product $A * B$ such that

$$\begin{array}{ccccc} A & \longrightarrow & A * B & \longleftarrow & B \\ & \searrow & \downarrow & \swarrow & \\ & & Z & & \end{array} .$$

More generally is the pushout. Given groups A, B , and C , and homomorphisms $\phi_A : C \rightarrow A$ and $\phi_B : C \rightarrow B$, the **pushout** $A \sqcup_C B$ is

$$\begin{array}{ccccc} C & \xrightarrow{\phi_A} & A & & \\ \phi_B \downarrow & & \downarrow i_A & \searrow j_A & \\ B & \xrightarrow{i_B} & A \sqcup_C B & \xrightarrow{\exists! f} & Z \\ & \searrow j_B & & & \end{array} .$$

Definition 1.1.1. A **category** \mathcal{C} consists of

- a collection of **objects** $\text{Obj } \mathcal{C}$,
- a collection of **morphisms** or **arrows** $\text{Mor } \mathcal{C}$, such that each $f \in \text{Mor } \mathcal{C}$ has a **domain** $X \in \text{Obj } \mathcal{C}$ and a **codomain** $Y \in \text{Obj } \mathcal{C}$ written as $f : X \rightarrow Y$,
- for all objects $X \in \text{Obj } \mathcal{C}$, you have $\text{id}_X : X \rightarrow X$, and
- if $f : X \rightarrow Y$ and $g : Y \rightarrow Z$, we have a defined composition $g \circ f : X \rightarrow Z$,

such that

- if $f : X \rightarrow Y$, then $\text{id}_Y \circ f = f = f \circ \text{id}_X$, and
- if $f : W \rightarrow X$, $g : X \rightarrow Y$, and $h : Y \rightarrow Z$, then $h \circ (g \circ f) = (h \circ g) \circ f$.

Example 1.1.2.

- In **Set**, objects are sets and morphisms are functions.
- In **Grp**, objects are groups and morphisms are group homomorphisms.
- In **Grp_{fin}**, objects are finite groups.
- In **Grp_{inj}**, morphisms are injective group homomorphisms.

Definition 1.1.3. A **partial ordering** on a set J is a binary relation \leq such that

- $i \leq i$,
- if $i \leq j$ and $j \leq i$, then $i = j$, and
- if $i \leq j$ and $j \leq k$, then $i \leq k$.

A **poset** is a pair (J, \leq) , which is a **total ordering** if for all $i, j \in J$ either $i \leq j$ or $j \leq i$. The **poset category** \mathcal{J} has objects $\text{Obj } \mathcal{J} = J$ and morphisms $\text{Mor } \mathcal{J} = \{i \rightarrow j \mid i \leq j\}$.

Definition 1.1.4. Let \mathcal{C} be a category. A **product** of $A, B \in \text{Obj } \mathcal{C}$ is an object P , equipped with morphisms $p_A : P \rightarrow A$ and $p_B : P \rightarrow B$, such that for all $Z \in \text{Obj } \mathcal{C}$ and for all $q_A : Z \rightarrow A$ and $q_B : Z \rightarrow B$, there exists a unique $f : Z \rightarrow P$ such that $p_A \circ f = q_A$ and $p_B \circ f = q_B$, so

$$\begin{array}{ccc} & Z & \\ q_A \swarrow & \downarrow \exists! f & \searrow q_B \\ A & \xleftarrow{p_A} P \xrightarrow{p_B} & B \end{array} .$$

Definition 1.1.5. Objects A and B in a category \mathcal{C} are **isomorphic** if there exist $f : A \rightarrow B$ and $g : B \rightarrow A$ such that $g \circ f = \text{id}_A$ and $f \circ g = \text{id}_B$.

Proposition 1.1.6. If a product of A and B in \mathcal{C} exists, then it is unique up to a unique isomorphism.

Proof. Let (P, p_A, p_B) and (P', p'_A, p'_B) be products. Then

$$\begin{array}{ccccc} & & P' & & \\ & p'_A \swarrow & & \searrow p'_B & \\ A & & & & B \\ & \nwarrow p_A & & \nearrow p_B & \\ & & P & & \end{array} .$$

(Note: The diagram shows dashed arrows $\exists! f : P' \rightarrow P$ and $\exists! g : P \rightarrow P'$ connecting the two product diagrams.)

Consider $f \circ g : P \rightarrow P$. Then $p_A \circ f \circ g = p'_A \circ g = p_A$ and $p_B \circ f \circ g = p'_B \circ g = p_B$. By uniqueness, $f \circ g = \text{id}_P$. Similarly, $g \circ f = \text{id}_{P'}$. \square

Notation 1.1.7. Define $P = A \times B$.

Definition 1.1.8. Let \mathcal{C} be a category and $A, B \in \text{Obj } \mathcal{C}$. Then a **coproduct** is an object $A \sqcup B$, together with maps $i_A : A \rightarrow A \sqcup B$ and $i_B : B \rightarrow A \sqcup B$, with the universal property

$$\begin{array}{ccccc} A & \xrightarrow{i_A} & A \sqcup B & \xleftarrow{i_B} & B \\ & \searrow j_A & \downarrow \exists! f & \swarrow j_B & \\ & & Z & & \end{array} .$$

Products are examples of limits and coproducts are examples of colimits.

Lecture 2
Saturday
23/01/21

Definition 1.1.9. Let \mathcal{C} and \mathcal{D} be categories. A **functor** $F : \mathcal{C} \rightarrow \mathcal{D}$ associates an object $F(X) \in \text{Obj } \mathcal{D}$ to each $X \in \text{Obj } \mathcal{C}$, and a morphism $F(f) : F(X) \rightarrow F(Y)$ for each $f : X \rightarrow Y$ in \mathcal{C} , such that

- $F(\text{id}_X) = \text{id}_{F(X)}$, and
- $F(g \circ f) = F(g) \circ F(f)$.

Definition 1.1.10. Let \mathcal{J} and \mathcal{C} be categories. A **diagram of shape \mathcal{J} in \mathcal{C}** is a functor $X : \mathcal{J} \rightarrow \mathcal{C}$. Often write $X(j) = X_j$, for $j \in \text{Obj } \mathcal{J}$.

Very often, \mathcal{J} is a poset category. In that case, if $i \leq j$, there exists a unique arrow $f : i \rightarrow j$ and then denote $X(f) = \phi_{ij}$.

Definition 1.1.11. A **cone** on a diagram $X : \mathcal{J} \rightarrow \mathcal{C}$ is an object $Z \in \text{Obj } \mathcal{C}$, together with maps $p_j : Z \rightarrow X_j = X(j)$ for all $j \in \text{Obj } \mathcal{J}$ such that for all $f : i \rightarrow j$, $X(f) \circ p_i = p_j$, so

$$\begin{array}{ccc} & Z & \\ p_i \swarrow & & \searrow p_j \\ X_i & \xrightarrow{X(f)} & X_j \end{array} .$$

A **limit** of a diagram $X : \mathcal{J} \rightarrow \mathcal{C}$ is a cone L , with morphisms p_j , such that for any cone Z , with morphisms q_j , there is a unique $g : Z \rightarrow L$ such that $p_j \circ f = q_j$, for all $j \in \text{Obj } \mathcal{J}$, so

$$\begin{array}{ccc} & Z & \\ q_i \swarrow & \downarrow \exists! g & \searrow q_j \\ & L & \\ p_i \swarrow & & \searrow p_j \\ X_i & \xrightarrow{X(f)} & X_j \end{array} ,$$

for $f : i \rightarrow j$. **Colimits** are as limits, but arrows are reversed.

Example 1.1.12.

- If \mathcal{J} is the category

$$\bullet \quad \bullet,$$

then a diagram of shape \mathcal{J} is a pair of objects. The limit is the product and the colimit is the coproduct.

- If \mathcal{J} is the category

$$\begin{array}{c} \bullet \longrightarrow \bullet \\ \downarrow \\ \bullet \end{array} ,$$

then a diagram of shape \mathcal{J} in **Grp** would be

$$\begin{array}{ccc} C & \xrightarrow{\phi_{CA}} & A \\ \phi_{CB} \downarrow & & \\ B & & \end{array} .$$

The colimit is the pushout.

Proposition 1.1.13. *Limits and colimits are unique up to unique isomorphism.*

1.2 Inverse limits and profinite groups

Let G be a group. Let \mathcal{N} be the poset category whose objects are $\{N \triangleleft_f G\}$, where $N \triangleleft_f G$ are finite index, with ordering $N_1 \leq N_2$ if and only if $N_1 \subseteq N_2$. There is a diagram of shape \mathcal{N} in **Grp**,

$$\begin{array}{ccc} X & : & \mathcal{N} \longrightarrow \mathbf{Grp} \\ & & N \longmapsto X_N = G/N \end{array}$$

If $N_1 \leq N_2$, then $X(N_1 \rightarrow N_2)$ is the quotient map $\phi_{N_1 N_2} : G/N_1 \rightarrow G/N_2$, the transition maps.

Definition 1.2.1. Let G be a group. The **profinite completion** of G is the limit of this diagram, denoted \widehat{G} . Then G comes with **projections** $p_N : \widehat{G} \rightarrow G/N$ for all $N \triangleleft_f G$ such that

- if $N_1 \subseteq N_2$, then $\phi_{N_1 N_2} \circ p_{N_1} = p_{N_2}$, and
- if Z is a group, with $q_N : Z \rightarrow G/N$ such that $\phi_{N_1 N_2} \circ q_{N_1} = q_{N_2}$, there exists a unique $f : Z \rightarrow \widehat{G}$ such that $p_N \circ f = q_N$ for all N .

Thus

$$\begin{array}{ccc} & Z & \\ & \downarrow \exists! f & \\ & \widehat{G} & \\ \swarrow & & \searrow \\ G/N_1 & \xrightarrow{\quad} & G/N_2 \end{array}$$

In particular, $Z = G$ works, so there is a unique morphism $\iota_G : G \rightarrow \widehat{G}$, the **canonical morphism**, such that the diagrams commute.

Definition 1.2.2. A poset (J, \leq) is an **inverse system** if for all $i, j \in J$ there exists $k \in J$ such that $k \leq i$ and $k \leq j$. An **inverse system of groups** consists of an inverse system (J, \leq) and a diagram of shape \mathcal{J} in **Grp**, so $G : \mathcal{J} \rightarrow \mathbf{Grp}$. Thus an inverse system is a group G_j for all $j \in J$ and transition maps $\phi_{ij} : G_i \rightarrow G_j$ if $i \leq j$ such that $\phi_{ii} = \text{id}$ and $\phi_{jk} \circ \phi_{ij} = \phi_{ik}$ for all $i \leq j \leq k$. The **inverse limit** of this inverse system of groups G_j is the limit of this diagram, denoted $\varprojlim_j G_j$.

Definition 1.2.3. A **profinite group** is the inverse limit of an inverse system of groups, all of which are finite.

Proposition 1.2.4. Let $(G_j)_{j \in J}$ be an inverse system of groups. Then the inverse limit exists, and is given by the explicit description

$$\varprojlim_j G_j = \left\{ (g_j)_{j \in J} \in \prod_{j \in J} G_j \mid \forall i \leq j, \phi_{ij}(g_i) = g_j \right\}.$$

Proof. This is a group. We have $p_j : \varprojlim_j G_j \rightarrow G_j$, restricted from $\prod_{j \in J} G_j \rightarrow G_j$. Take a cone Z on the system. Define

$$\begin{array}{ccc} f & : & Z \longrightarrow \varprojlim_j G_j \\ z & \longmapsto & (q_j(z))_{j \in J} \end{array}$$

Then $\phi_{ij}(q_i(z)) = q_j(z)$, so

$$\begin{array}{ccc} & Z & \\ & \downarrow \exists! f & \\ & \varprojlim_j G_j & \\ \swarrow & & \searrow \\ G_i & \xrightarrow{\quad} & G_j \end{array}$$

$\begin{array}{cc} q_i & q_j \\ p_i & p_j \end{array}$

□

Definition 1.2.5. Let $(G_j)_{j \in J}$ be an inverse system of finite groups. Give each G_j the discrete topology. Give $\prod_j G_j$ the product topology. Then $\varprojlim_j G_j \subseteq \prod_j G_j$ gets the subspace topology.

Proposition 1.2.6. $\varprojlim_j G_j$ is compact Hausdorff.

Proof. $\prod_j G_j$ is Hausdorff and compact, by Tychonoff's theorem. Each condition $\phi_{ij}(g_i) = g_j$ is a closed condition, since $\prod_{j \in J} G_j \rightarrow G_i \times G_j$, so $\varprojlim_j G_j$ is closed in $\prod_j G_j$. \square

Proposition 1.2.7. Let $(X_j)_{j \in J}$ be an inverse system of non-empty finite sets. Then $\varprojlim_j X_j$ is non-empty.

Proof. Use the finite intersection property. Let $I_1 \subseteq J$ be a finite subset. Define

$$Y_{I_1} = \left\{ (x_j) \in \prod_j X_j \mid \forall i, j \in I_1, \forall i \leq j, \phi_{ij}(x_i) = x_j \right\} \subseteq \prod_j X_j,$$

a closed subset of the product. Since J is an inverse system and I_1 is finite, there exists $k \in J$ such that $k \leq i$ for all $i \in I_1$. Choose $x_k \in X_k \neq \emptyset$. Define $x_j = \phi_{kj}(x_k)$ for all $j \geq k$. Choose x_j arbitrarily elsewhere. This gives $x = (x_j) \in \prod_{j \in J} X_j$, which lies in Y_{I_1} , since if $i, j \in I_1$ such that $i \leq j$ then

$$x_j = \phi_{kj}(x_k) = \phi_{ij}(\phi_{ki}(x_k)) = \phi_{ij}(x_i).$$

So Y_{I_1} is non-empty. Then $Y_{I_1} \cap \dots \cap Y_{I_n} \supseteq Y_{I_1 \cup \dots \cup I_n} \neq \emptyset$. By the finite intersection property, since $\prod_j X_j$ is compact, $\bigcap_{I_1} Y_{I_1} = \varprojlim_j X_j$ is non-empty. \square

Proposition 1.2.8. Let J be a countable set and let $(X_j)_{j \in J}$ be a family of finite sets. Then $X = \prod_{j \in J} X_j$ is **metrisable**, so the metric topology equals to the other topology.

Proof. Without loss of generality $J = \mathbb{N}$. Give each X_n the discrete metric d_n , where

$$d_n(x_n, y_n) = \begin{cases} 0 & x_n = y_n \\ 1 & x_n \neq y_n \end{cases}, \quad x_n, y_n \in X_n.$$

Define

$$d((x_n), (y_n)) = \sum_{n=1}^{\infty} \frac{1}{3^n} d_n(x_n, y_n), \quad (x_n), (y_n) \in \prod_n X_n.$$

We need to show this gives the product topology. Let $f : (X, \tau_{\text{product}}) \rightarrow (X, d)$ be the identity function. A basis for the metric topology are open balls $B(x, 1/3^n)$ for $x \in X$ and $n \in \mathbb{N}$. Then $d((x_n), (y_n)) < 1/3^m$ if and only if $x_n = y_n$ for all $n \leq m$, and

$$f^{-1} \left(B \left((x_n), \frac{1}{3^m} \right) \right) = \{(y_n) \mid \forall n \leq m, y_n = x_n\} = \bigcap_{n=1}^m p_n^{-1}(\{x_n\}), \quad p_n : \prod_n X_n \rightarrow X_n$$

is open in the product topology. So f is continuous, so a homeomorphism. \square

Proposition 1.2.9. A continuous bijection from a compact space to a Hausdorff space is a homeomorphism.

Lemma 1.2.10. Let G be a finitely generated group. For each $n \in \mathbb{N}$, there are only finitely many subgroups of index n .

Proof. For a subgroup $H \leq G$ of index n , we get a homomorphism $G \rightarrow \text{Sym } n$, since by labelling cosets $H, \dots, g_n H$ by symbols $1, \dots, n$, G permutes these right cosets by $g \cdot g_i H = (gg_i) H$ and H is recovered from this as $\text{Stab } 1$. So there are at most as many subgroups H as homomorphisms to $\text{Sym } n$, and there are only finitely many. \square

Corollary 1.2.11. If G is finitely generated, the inverse system $\mathcal{N} = \{N \triangleleft_f G\}$ is countable.

Proposition 1.2.12. *Let G be a profinite group. Then G is a **topological group**, so*

$$\begin{array}{ccc} \text{m} : G \times G & \longrightarrow & G \\ (g, h) & \longmapsto & gh \end{array}, \quad \begin{array}{ccc} \text{i} : G & \longrightarrow & G \\ g & \longmapsto & g^{-1} \end{array}$$

are continuous.

Definition 1.2.13. Let G and H be topological groups. We say G and H are **isomorphic as topological groups** if and only if there exists $f : G \rightarrow H$ which is both an isomorphism of groups and a homeomorphism.

Recall that if G and H are profinite, this is the same as there exists f a continuous isomorphism.

Proposition 1.2.14. *Let H be a topological group and $G = \varprojlim_j G_j$ be an inverse limit of finite groups. Let $p_j : G \rightarrow G_j$ be the projection maps. A homomorphism $f : H \rightarrow G$ is continuous if and only if each map $f_j = p_j \circ f$ is continuous.*

Proof. $f : H \rightarrow G \leq \prod_j G_j$. This is continuous if and only if all f_j are continuous, by definition of the product topology. \square

Proposition 1.2.15. *Let $f : H \rightarrow G_j$ be a homomorphism from a topological group to a finite group, with the discrete topology. Then f is continuous if and only if $\ker f$ is open in H .*

Proof. If f is continuous then $\ker f = f^{-1}(\{1\})$ is open. Assume $f^{-1}(\{1\})$ is open. Then $f^{-1}(\{g\})$ is open for all $g \in G$, since multiplication is continuous and $f^{-1}(\{g\}) = hf^{-1}(\{1\})$ for some $h \in H$. Taking unions, the preimage of any set in G_j is open in H , so f is continuous. \square

Proposition 1.2.16. *Let G be a compact topological group. A subgroup of G is open if and only if it is closed and of finite index.*

Proposition 1.2.17. *Let $(G_j)_{j \in J}$ be an inverse system of finite groups. If $G = \varprojlim_j G_j$, then the open subgroups $U_j = \ker(p_j : G \rightarrow G_j)$ form a **basis of open neighbourhoods** of the identity $1 \in G$, so if $V \subseteq G$ is any open set with $1 \in V$, then there exists j such that $U_j \subseteq V$.*

Proof. Let $V \ni 1$ be open. By definition of the product topology,

$$V \supseteq p_{j_1}^{-1}(X_{j_1}) \cap \cdots \cap p_{j_n}^{-1}(X_{j_n}) \supseteq p_{j_1}^{-1}(\{1\}) \cap \cdots \cap p_{j_n}^{-1}(\{1\}) = U_{j_1} \cap \cdots \cap U_{j_n}.$$

for $X_{j_i} \subseteq G_{j_i}$. There exists k such that $k \leq j_i$. Since $p_{j_i} = \phi_{kj_i} \circ p_k$, $\ker p_k = U_k \subseteq U_{p_{j_i}} = \ker p_{j_i}$ for all i . Thus $V \supseteq U_k$. \square

Corollary 1.2.18. *If $g = (g_j)_{j \in J} \in G$, then the open cosets $gU_j = p_j^{-1}(\{g_j\})$ form a neighbourhood base at g , so for all open set $V \ni g$, there exists $j \in J$ such that $gU_j \subseteq V$.*

Proof. Continuity of multiplication. \square

Corollary 1.2.19. *A subset $X \subseteq G$ is dense if and only if $p_j(X) = p_j(G)$ for all $j \in J$.*

Proof. Suppose X is not dense. There exists a non-empty open set V such that $V \cap X = \emptyset$. Pick $g \in V$. There exists $j \in J$ such that $p_j^{-1}(\{g_j\}) = gU_j \subseteq V$, where $g_j = p_j(g)$. Then $g_j \in p_j(G)$. But for any $x \in X$, $p_j(x) \neq g_j$, otherwise $x \in p_j^{-1}(\{g_j\}) = gU_j \subseteq V$, so $p_j(X) \neq p_j(G)$. Assume X is dense. Then $p_j(X) \subseteq p_j(G)$ is obvious. If $g_j \in p_j(G)$, then $p_j^{-1}(\{g_j\})$ is a non-empty open set, so there exists $x \in X \cap p_j^{-1}(\{g_j\})$, then $p_j(x) = g_j$. So $g_j \in p_j(X)$, so $p_j(X) = p_j(G)$. \square

Corollary 1.2.20. *Let Y be a compact topological space and let $f : Y \rightarrow G$ be a continuous function. Then f is surjective if and only if $p_j(f(Y)) = p_j(G)$ for all $j \in J$.*

Proof. $p_j(f(Y)) = p_j(G)$ if and only if $f(Y)$ is dense, if and only if $f(Y) = G$, since $f(Y)$ is closed. \square

Lecture 4
Thursday
28/01/21

Proposition 1.2.21. *Let G be a profinite group and $X \subseteq G$ be a subset. Then the closure of X is*

$$\overline{X} = \bigcap_{N \leq_o G} XN,$$

where $N \leq_o G$ are open subgroups.

Proof. XN is a union of cosets, hence it is open and closed in G . So $\overline{X} \subseteq XN$ for all $N \leq_o G$, so $\overline{X} \subseteq \bigcap_{N \leq_o G} XN$. Take $g \notin \overline{X}$. There exists an open $V \subseteq G$ such that $g \in V$ but $X \cap V = \emptyset$. Then there exists $j \in J$ such that $V \supseteq gU_j$ for $N = U_j = \ker p_j$. Then $g \notin XN$, since if $g = xn$ for $x \in X$ and $n \in N = U_j$ then $x = gn^{-1} \in gN = gU_j \subseteq V$, a contradiction. Thus $g \notin \bigcap_N XN$, so $\bigcap_N XN \subseteq \overline{X}$. \square

Proposition 1.2.22. *Let G be a profinite group and let \mathcal{U} be a collection of open normal subgroups which form a neighbourhood base at the identity. Then*

$$G \cong \varprojlim_{U \in \mathcal{U}} G/U,$$

as topological groups, where G/U are finite groups.

Proof. The quotient maps $G \rightarrow G/U$ are a cone on the inverse system, so we get a well-defined homomorphism $f : G \rightarrow \varprojlim_U G/U$. Then

- f is continuous, since compositions with projection maps are continuous,
- f is surjective, since $G \rightarrow G/U$ are surjective, and
- f is injective, since if $g \in G \setminus \{1\}$, there exists an open subset V such that $1 \in V$ and $g \notin V$ and there exists $U \in \mathcal{U}$ such that $1 \in U \subseteq V$, then $g \notin \ker(G \rightarrow G/U)$, so $g \notin \ker f$.

\square

1.3 Change of inverse system

Definition 1.3.1. Let (J, \leq) be an inverse system. A **cofinal subsystem** of J is a subset $I \subseteq J$ such that for all $j \in J$ there exists $i \in I$ such that $i \leq j$.

Then I is an inverse system.

Example 1.3.2. If $k \in J$, then the set

$$J_{\leq k} = \{j \in J \mid j \leq k\},$$

the **principal cofinal subsystem**, is cofinal in J .

Proposition 1.3.3. *Let $(G_j)_{j \in J}$ be an inverse system of finite groups, and let $I \subseteq J$ be cofinal. Then $H = \varprojlim_{i \in I} G_i$ is topologically isomorphic to $G = \varprojlim_{j \in J} G_j$.*

Proof. The projection map $\prod_{j \in J} G_j \rightarrow \prod_{i \in I} G_i$ is a continuous homomorphism, and it restricts to $f : G \rightarrow H$. Check that f is bijective.

- Injective. Take $g = (g_j)_{j \in J} \in G$. Assume $f(g) = 1$, so $g_i = p_i(f(g)) = 1$ for all $i \in I$. For any $j \in J$, there exists $i \in I$ such that $i \leq j$. Then $g_j = \phi_{ij}(g_i) = \phi_{ij}(1) = 1$. So $g = 1$.
- Surjective. Let $h = (h_i)_{i \in I} \in H$ for $h_i \in G_i$. Define $g = (g_j) \in \prod_{j \in J} G_j$ by setting $g_j = \phi_{ij}(h_i)$ for some $i \in I$ such that $i \leq j$. If $i_1 \leq j$ and $i_2 \leq j$, there exists $i_0 \in I$ such that $i_0 \leq i_1$ and $i_0 \leq i_2$, then

$$\phi_{i_1 j}(h_{i_1}) = \phi_{i_1 j}(\phi_{i_0 i_1}(h_{i_0})) = \phi_{i_0 j}(h_{i_0}) = \phi_{i_2 j}(\phi_{i_0 i_2}(h_{i_0})) = \phi_{i_2 j}(h_{i_2}).$$

It also follows that $g \in G$, since if $j_1 \leq j_2$, choose $i \in I$ such that $i \leq j_1$, then

$$g_{j_2} = \phi_{ij_2}(h_i) = \phi_{j_1 j_2}(\phi_{ij_1}(h_i)) = \phi_{j_1 j_2}(g_{j_1}).$$

Finally, $f(g) = h$, since $g_i = \phi_{ii}(h_i) = h_i$ for all $i \in I$.

\square

Definition 1.3.4. An inverse system of groups is **surjective** if all transition maps are surjective.

Proposition 1.3.5. Let $(X_j)_{j \in J}$ be an inverse system of finite sets where all transition maps are surjective. Then the projection maps $p_j : \varprojlim_j X_j \rightarrow X_j$ are surjective.

Proposition 1.3.6. Let $(G_j)_{j \in J}$ be an inverse system of finite groups. Then there exists an inverse system $(G'_j)_{j \in J}$ such that $G'_j \leq G_j$, with surjective transition maps, such that $\varprojlim_j G_j = \varprojlim_j G'_j$.

Proof. Let $p_j : G = \varprojlim_j G_j \rightarrow G_j$ be the projection. Define $G'_j = p_j(G)$. Since $\phi_{ij} \circ p_i = p_j$, (G'_j) is an inverse system with $\phi_{ij}|_{G'_i} : G'_i \rightarrow G'_j$, and $\phi_{ij}|_{G'_i}$ is surjective. If $g = (g_j) \in G$ then $g_j = p_j(g) \in G'_j$, so $g \in \varprojlim_j G'_j \leq G \leq \prod_j G_j$. Thus $\varprojlim_j G'_j = G$. \square

Definition 1.3.7. An inverse system (J, \leq) is **linearly ordered** if there exists a bijection $f : J \rightarrow \mathbb{N}$ such that $i \leq j$ if and only if $f(i) \geq f(j)$, the **wrong-way ordering** on \mathbb{N} .

Thus cofinal if and only if increasing subsequence.

Proposition 1.3.8. If J is a countable inverse system, with no **global minimum**, so there does not exist $m \in J$ such that $m \leq j$ for all j , then J has a linearly ordered cofinal subsystem.

2 Profinite groups

2.1 The p -adic integers

Let p be a prime. Consider

$$\cdots \rightarrow \mathbb{Z}/p^2\mathbb{Z} \rightarrow \mathbb{Z}/p\mathbb{Z} \rightarrow 1.$$

The **ring of p -adic integers** is

$$\mathbb{Z}_p = \varprojlim_{n \in \mathbb{N}} \mathbb{Z}/p^n\mathbb{Z}.$$

Thus $\alpha \in \mathbb{Z}_p$ is a sequence $(a_n)_{n \in \mathbb{N}}$ of integers modulo p^n for $a_n \in \mathbb{Z}/p^n\mathbb{Z}$ such that $a_n \equiv a_m \pmod{p^m}$ whenever $n \geq m$, since $\mathbb{Z}/p^n\mathbb{Z} \rightarrow \mathbb{Z}/p^m\mathbb{Z}$, and

$$\begin{array}{ccc} p_n & : & \mathbb{Z}_p \longrightarrow \mathbb{Z}/p^n\mathbb{Z} \\ \alpha & \longmapsto & a_n = \alpha \pmod{p^n} \end{array}.$$

Given $a \in \mathbb{Z}$, setting $a_n = a \pmod{p^n}$ gives an element $\iota(a) \in \mathbb{Z}_p$ for $\iota : \mathbb{Z} \rightarrow \mathbb{Z}_p$. Then ι is injective, since if $a \in \mathbb{Z}$, and $p^n > |a|$ then $a \not\equiv 0 \pmod{p^n}$, so $\iota(a) \neq 0$ in \mathbb{Z}_p . Often $\mathbb{Z} \leq \mathbb{Z}_p$.

Definition 2.1.1. Let $\alpha = (a_n), \beta = (b_n) \in \mathbb{Z}_p$. If $\alpha = \beta$ then $d(\alpha, \beta) = 0$. If $\alpha \neq \beta$, take the smallest n such that $a_n \neq b_n$, and set $d(\alpha, \beta) = p^{-n}$, the **p -adic metric on \mathbb{Z}_p** . The restriction of d to $\iota(\mathbb{Z})$ is the **p -adic metric on \mathbb{Z}** .

Thus α and β are close if (a_n) and (b_n) agree modulo p^n for all but large n . Since

$$B(0, r) = \{\alpha = (a_n) \mid \forall n \leq -\log_p r, a_n = 0\} = \ker \left(\mathbb{Z}_p \rightarrow \mathbb{Z}/p^{\lfloor -\log_p r \rfloor} \mathbb{Z} \right),$$

open balls are the subgroups $p^n \mathbb{Z}_p \leq \mathbb{Z}_p$.

- $\iota(\mathbb{Z})$ is dense in this metric. Let $\alpha = (a_n) \in \mathbb{Z}_p$ and $\epsilon > 0$. Take $n > -\log_p \epsilon$, and choose $a \in \mathbb{Z}$ such that $a \equiv a_n \pmod{p^n}$. Then $d(\alpha, \iota(a)) \leq p^{-n} < \epsilon$.
- The p -adic metric on \mathbb{Z} is not complete, since $a_n = 1 + \cdots + p^n$ does not converge in \mathbb{Z} , but does converge in \mathbb{Z}_p .
- The p -adic metric on \mathbb{Z}_p is complete. Let $\alpha^{(k)} = (a_n^{(k)})_{n \in \mathbb{N}}$ be a Cauchy sequence in \mathbb{Z}_p . For all n there exists K_n such that for all $k, l \geq K_n$, we have $d(\alpha^{(k)}, \alpha^{(l)}) \leq p^{-n}$, so $a_n^{(k)} = a_n^{(l)}$ for all $k, l \geq K_n$ so for fixed n , $a_n^{(k)}$ is eventually a constant b_n . Then $\beta = (b_n) \in \mathbb{Z}_p$, and $\alpha^{(k)} \rightarrow \beta$ in \mathbb{Z}_p .

Thus \mathbb{Z}_p is a completion of \mathbb{Z} , but is not the profinite completion of \mathbb{Z} .

Definition 2.1.2. Let p be a prime. A **p -group** is a finite group of order p^n for $n \geq 0$. A **pro- p group** is an inverse limit of p -groups.

Definition 2.1.3. Let G be a group and p prime. The set of normal subgroups $N \triangleleft G$ such that $[G : N] = p^n$ for some n form an inverse system \mathcal{N}_p . Since $G/N_1 \times G/N_2$ are p -groups, $N_1 \cap N_2 = \ker(G \rightarrow G/N_1 \times G/N_2)$ is a p -group. The **pro- p completion** is

$$\widehat{G}_{(p)} = \varprojlim_{N \in \mathcal{N}_p} G/N,$$

where $G/N_1 \rightarrow G/N_2$ if $N_1 \leq N_2$.

Proposition 2.1.4. The additive group \mathbb{Z}_p is abelian and torsionfree.

Proof. $\mathbb{Z}_p \leq \prod_{n \in \mathbb{N}} \mathbb{Z}/p^n\mathbb{Z}$ is abelian. Let $\alpha = (a_n) \in \mathbb{Z}_p \setminus \{0\}$. Suppose $m\alpha = 0$ for $m \in \mathbb{Z}$. We want $m = 0$. Assume $m = p^r s$ for s coprime to p . Then $\alpha \neq 0$, so there exists n such that $a_n \neq 0$. Consider a_{n+r} . Then $0 \equiv ma_{n+r} \equiv p^r a_{n+r} s \pmod{p^{n+r}}$, so $p^n \mid a_{n+r} s$. Thus $p^n \mid a_{n+r}$, so $a_n \equiv a_{n+r} \equiv 0 \pmod{p^n}$, a contradiction. \square

Proposition 2.1.5. *The ring \mathbb{Z}_p has no zero-divisors.*

Proof. Exercise. ¹ □

2.2 The profinite completion of the integers

The **profinite completion of the integers** is

$$\widehat{\mathbb{Z}} = \varprojlim_n \mathbb{Z}/n\mathbb{Z},$$

where $\mathbb{Z}/n\mathbb{Z} \rightarrow \mathbb{Z}/m\mathbb{Z}$ whenever $n\mathbb{Z} \leq m\mathbb{Z}$, which is if and only if $m \mid n$, so $n = mr$.

Theorem 2.2.1 (Chinese remainder theorem). *There is an isomorphism of topological rings*

$$\widehat{\mathbb{Z}} \cong \prod_{p \text{ prime}} \mathbb{Z}_p.$$

Proof. Each natural number n is written as a product of prime powers $n = \prod_{p \text{ prime}} p^{e_p(n)}$. The classical CRT gives natural isomorphisms

$$\begin{aligned} f_n : \mathbb{Z}/n\mathbb{Z} &\longrightarrow \prod_{p \text{ prime}} \mathbb{Z}/p^{e_p(n)}\mathbb{Z} \\ 1 &\longmapsto (1, \dots, 1) \end{aligned},$$

and commutative diagrams

$$\begin{array}{ccc} \mathbb{Z}/mn\mathbb{Z} & \xrightarrow[\sim]{f_{mn}} & \prod_p \mathbb{Z}/p^{e_p(mn)}\mathbb{Z} \\ \downarrow & & \downarrow \\ \mathbb{Z}/n\mathbb{Z} & \xrightarrow[\sim]{f_n} & \prod_p \mathbb{Z}/p^{e_p(n)}\mathbb{Z} \end{array}.$$

Passing to inverse limits,

$$\begin{aligned} \widehat{\mathbb{Z}} = \varprojlim_n \mathbb{Z}/n\mathbb{Z} &\xrightarrow{\sim} \varprojlim_n \prod_p \mathbb{Z}/p^{e_p(n)}\mathbb{Z} \\ \cap & & \cap \\ \prod_n \mathbb{Z}/n\mathbb{Z} &\xrightarrow{\sim} \prod_n \prod_p \mathbb{Z}/p^{e_p(n)}\mathbb{Z} \end{aligned}.$$

The natural continuous surjections

$$\prod_p \mathbb{Z}_p \twoheadrightarrow \prod_p \mathbb{Z}/p^{e_p(n)}\mathbb{Z}$$

form a cone on the inverse system $\left\{ \prod_p \mathbb{Z}/p^{e_p(n)}\mathbb{Z} \right\}$, so there exists

$$f : \prod_p \mathbb{Z}_p \twoheadrightarrow \varprojlim_n \prod_p \mathbb{Z}/p^{e_p(n)}\mathbb{Z},$$

which is continuous by Proposition 1.2.14, surjective by Corollary 1.2.20, and injective since every non-trivial element of $\prod_p \mathbb{Z}_p$ is non-trivial in some quotient $\mathbb{Z}/p^e\mathbb{Z}$. So f is a topological isomorphism as required. □

Corollary 2.2.2. *The abelian group $\widehat{\mathbb{Z}}$ is torsionfree abelian.*

Corollary 2.2.3. *The ring $\widehat{\mathbb{Z}}$ is not an integral domain.*

Proof. Any product of non-trivial rings $R_1 \times R_2$ has zero-divisors, since $(r_1, 0) \cdot (0, r_2) = (0, 0)$. An element of $\widehat{\mathbb{Z}}$ is a zero-divisor if and only if it is zero in some \mathbb{Z}_p -factor. □

Elements of $\iota(\mathbb{Z})$ are not zero divisors in $\widehat{\mathbb{Z}}$.

¹Exercise

2.3 Profinite matrix groups

For a commutative ring R , we have

$$\text{Mat}_{N \times M} R = \{N \times M \text{ matrices with elements in } R\}.$$

If $N = M$, we have a ring structure, where addition and multiplication are given by the usual formula. There exists a determinant function $\det : \text{Mat}_{N \times N} R \rightarrow R$. Then

$$\mathbb{Z}_p^{NM} \cong \text{Mat}_{N \times M} \mathbb{Z}_p = \varprojlim_{n \in \mathbb{N}} \text{Mat}_{N \times M} \mathbb{Z}/p^n \mathbb{Z}.$$

By continuity of ring operations on \mathbb{Z}_p , addition and multiplication on matrices are continuous, and $\det : \text{Mat}_{N \times N} \mathbb{Z}_p \rightarrow \mathbb{Z}_p$ is continuous. Since \mathbb{Z}_p is an integral domain, it has a field of fractions \mathbb{Q}_p , so you can do linear algebra over \mathbb{Q}_p . A matrix over \mathbb{Q}_p has an inverse over \mathbb{Q}_p if and only if its determinant is non-zero, and a matrix over \mathbb{Z}_p has an inverse over \mathbb{Z}_p if and only if its determinant and its inverse are in \mathbb{Z}_p^\times . Define

$$\text{GL}_N \mathbb{Z}_p = \{A \in \text{Mat}_{N \times N} \mathbb{Z}_p \mid \det A \in \mathbb{Z}_p^\times\}, \quad \text{SL}_N \mathbb{Z}_p = \{A \in \text{Mat}_{N \times N} \mathbb{Z}_p \mid \det A = 1\}.$$

Both are profinite groups.

Lemma 2.3.1. *For all $N \geq 1$ and p prime,*

$$\text{GL}_N \mathbb{Z}_p = \varprojlim_n \text{GL}_N (\mathbb{Z}/p^n \mathbb{Z}), \quad \text{SL}_N \mathbb{Z}_p = \varprojlim_n \text{SL}_N (\mathbb{Z}/p^n \mathbb{Z}).$$

Proof. The diagrams

$$\begin{array}{ccc} \text{Mat}_{N \times N} \mathbb{Z}_p & \longrightarrow & \text{Mat}_{N \times N} \mathbb{Z}/p^n \mathbb{Z} \\ \det \downarrow & & \downarrow \det \\ \mathbb{Z}_p & \longrightarrow & \mathbb{Z}/p^n \mathbb{Z} \end{array}$$

commute.

- $A \in \text{GL}_N \mathbb{Z}_p$ if and only if $\det A \in \mathbb{Z}_p^\times$, if and only if $\det A_n \in (\mathbb{Z}/p^n \mathbb{Z})^\times$ for all n , if and only if $A_n \in \text{GL}_N (\mathbb{Z}/p^n \mathbb{Z})$ for all n .
- $A \in \text{SL}_N \mathbb{Z}_p$ if and only if $\det A = 1$, if and only if $\det A_n = 1$ for all n , if and only if $A_n \in \text{SL}_N (\mathbb{Z}/p^n \mathbb{Z})$ for all n .

□

Also have matrices over $\widehat{\mathbb{Z}}$. A warning is that $\widehat{\mathbb{Z}}$ is not an integral domain. Analogously,

$$\begin{aligned} \text{GL}_N \widehat{\mathbb{Z}} &= \left\{ A \in \text{Mat}_{N \times N} \widehat{\mathbb{Z}} \mid \det A \in \widehat{\mathbb{Z}}^\times \right\} = \varprojlim_n \text{GL}_N (\mathbb{Z}/n\mathbb{Z}) = \prod_p \text{GL}_N \mathbb{Z}_p, \\ \text{SL}_N \widehat{\mathbb{Z}} &= \left\{ A \in \text{Mat}_{N \times N} \widehat{\mathbb{Z}} \mid \det A = 1 \right\} = \varprojlim_n \text{SL}_N (\mathbb{Z}/n\mathbb{Z}) = \prod_p \text{SL}_N \mathbb{Z}_p, \end{aligned}$$

since $\text{Mat}_{N \times N} \widehat{\mathbb{Z}} = \prod_p \text{Mat}_{N \times N} \mathbb{Z}_p$, and

$$\text{SL}_N \mathbb{Z} \leq \text{SL}_N \mathbb{Z}_p, \quad \text{SL}_N \mathbb{Z} \leq \text{SL}_N \widehat{\mathbb{Z}} = \varprojlim_n \text{SL}_N (\mathbb{Z}/n\mathbb{Z})$$

are dense. See problem sheet 2.

Example 2.3.2. $\begin{pmatrix} 7 & 9 \\ 4 & 9 \end{pmatrix} \in \text{SL}_2 (\mathbb{Z}/13\mathbb{Z})$ is in the image of $\text{SL}_2 \mathbb{Z}$.

2.4 Subgroups, quotients, and homomorphisms

Proposition 2.4.1. *A closed subgroup of a profinite group is a profinite group.*

Proof. Let $G = \varprojlim_{j \in J} G_j$ be a profinite group for G_j finite. Take a closed subgroup $H \leq_c G$ of G . Define $H_j = p_j(H) \leq G_j$. Then H_j , with transition maps $\phi_{ij}|_{H_i} : H_i \rightarrow H_j$, are an inverse system of finite groups. Define

$$H' = \varprojlim_j H_j = \left\{ (g_j) \in \prod_{j \in J} G_j \mid \forall i \leq j, \phi_{ij}(g_i) = g_j, g_j \in H_j \right\}.$$

Show that $H = H'$. If $h = (h_j) \in H$, by definition $h_j = p_j(h) \in H_j$, so $H \leq H'$. Suppose $g = (g_j) \notin H$. Since H is closed, $G \setminus H$ is open, so there exists a basic open set containing g , which does not intersect H . There exists $j \in J$ such that $gU_j = p_j^{-1}(\{g_j\}) \leq G \setminus H$. Therefore for all $h \in H$, $p_j(h) \neq g_j$, since then $h \in H \cap p_j^{-1}(\{g_j\})$, so $g_j \notin H_j$, so $g \notin H'$. So $H = H'$. \square

Remark 2.4.2.

- The two topologies on H agree by $\text{id} : (H, \tau_{\text{profinite}}) \rightarrow (H, \tau_{\text{subspace}})$, which is continuous by Proposition 1.2.14.
- A better name for H' is \overline{H} , the closure. Actually proved that $H' = \overline{H} = H$.

Proposition 2.4.3. *Let $G = \varprojlim_j G_j$ and $H \leq G$. Set $H_j = p_j(H) \leq G_j$. Then the closure of H is*

$$\overline{H} = \varprojlim_j H_j.$$

Lemma 2.4.4. *Let $f : G_1 \rightarrow G_2$ be a surjective homomorphism and $H \leq G_1$. Then $[G_1 : H] \geq [G_2 : f(H)]$.*

Proposition 2.4.5. *Let $G = \varprojlim_j G_j$ for (G_j) a surjective inverse system, so $G \twoheadrightarrow G_j$. Let $H \leq_c G$ and set $H_j = p_j(H) \leq G_j$. Then H is finite index if and only if $[G_j : H_j]$ is constant on a cofinal subsystem, if and only if $[G_j : H_j]$ is bounded for all j . If this is true, then $[G : H] = [G_i : H_i]$ for $i \in I$.*

Proof. $p_j : G \rightarrow G_j$ are surjective, so $[G : H] \geq [G_j : H_j]$. Suppose $[G : H] \geq N$. There exist distinct cosets g_1H, \dots, g_NH of H in G , if and only if $g_n^{-1}g_m \notin H$ if $n \neq m$, so there exists $j_{n,m} \in J$ such that $p_{j_{n,m}}(g_n^{-1}g_m) \notin H_{j_{n,m}}$. Take $k \leq j_{n,m}$ for all n and m . Then $p_k(g_n^{-1}g_m) \notin H_k$ for all $n \neq m$, so $p_k(g_n)H_k$ are distinct cosets of H_k in G_k , so $[G_k : H_k] \geq N$. For any i in the cofinal subsystem $J_{\leq k}$, it follows $[G_i : H_i] \geq N$ for all $i \leq k$. If $[G : H] = N$ is finite, take k as above and $I = J_{\leq k}$. Then $[G : H] \geq [G_i : H_i] \geq N = [G : H]$ for all $i \in I$. If $[G : H]$ is infinite, assume I is cofinal and $[G_i : H_i] = N$ for all $i \in I$. Then there exists k such that $[G_k : H_k] \geq N + 1$. But there exists $i \in I$ such that $i \leq k$, then $[G_i : H_i] \geq [G_k : H_k] \geq N + 1 > N = [G_i : H_i]$, a contradiction. \square

Proposition 2.4.6. *Let G be a profinite group and N a closed normal subgroup. Then G/N , with the quotient topology, is a profinite group.*

Proof. Take $G = \varprojlim_j G_j$ for (G_j) a surjective inverse system. Let $N_j = p_j(N) \triangleleft G_j = p_j(G)$. Recall $N = \varprojlim_j N_j$. Define $Q_j = G_j/N_j$. Since $\phi_{ij}(N_i) \leq N_j$, we get quotient homomorphisms $\psi_{ij} : Q_i \rightarrow Q_j$, which are transition maps for the Q_j . Set $Q = \varprojlim_j Q_j$. The map $\prod_h G_j \rightarrow \prod_j Q_j$ is continuous, so there is a continuous surjective group homomorphism $f : G \rightarrow Q$. The kernel of this map is N , since $f(g) = 1$ if and only if $q_j(f(g)) = 1$ for all j , if and only if $g_j \in N_j$ for all j , if and only if $g \in \varprojlim_j N_j = N$. By the first isomorphism theorem for groups,

$$\begin{array}{ccc} G & & \\ \downarrow & \searrow & \\ G/N & \xrightarrow{\overline{f}} & Q \end{array}.$$

Since $G \rightarrow Q$ is continuous and $G \rightarrow G/N$ is the quotient map, \overline{f} is continuous. Since G/N is compact and Q is Hausdorff, \overline{f} is a homeomorphism. \square

This is the first isomorphism theorem for profinite groups.

Definition 2.4.7. Let $(G_j)_{j \in J}$ and $(H_j)_{j \in J}$ be inverse systems of finite groups, over the same poset J . A **morphism of inverse systems** (f_j) is a family of homomorphisms $f_j : G_j \rightarrow H_j$, such that for all $i \leq j$,

$$\begin{array}{ccc} G_i & \xrightarrow{f_i} & H_i \\ \phi_{ij}^G \downarrow & & \downarrow \phi_{ij}^H \\ G_j & \xrightarrow{f_j} & H_j \end{array}$$

commutes, so $\phi_{ij}^H \circ f_i = f_j \circ \phi_{ij}^G$.

Proposition 2.4.8. Let $(f_j) : (G_j) \rightarrow (H_j)$ be a morphism of inverse systems. Then there is a unique continuous homomorphism $f : G = \varprojlim_j G_j \rightarrow H = \varprojlim_j H_j$ such that

$$\begin{array}{ccc} G & \xrightarrow{f} & H \\ p_j^G \downarrow & & \downarrow p_j^H \\ G_j & \xrightarrow{f_j} & H_j \end{array},$$

so $p_j^H \circ f = f_j \circ p_j^G$ for all $j \in J$.

Proof. The maps $f_j \circ p_j^G : G \rightarrow H_j$ form a cone on the inverse system (H_j) ,

$$\begin{array}{ccc} & G & \\ f_i \circ p_i^G \swarrow & & \searrow f_j \circ p_j^G \\ H_i & \xrightarrow{\phi_{ij}^H} & H_j \end{array},$$

since

$$\phi_{ij}^H \circ f_i \circ p_i^G = f_j \circ \phi_{ij}^G \circ p_i^G = f_j \circ p_j^G.$$

So by definition of limits, there exists a unique $f : G \rightarrow H = \varprojlim_j H_j$ such that $p_j^H \circ f = f_j \circ p_j^G$. \square

Thus f is **induced** by the f_j by passing to an inverse limit.

Proposition 2.4.9. Let $G = \varprojlim_{j \in J} G_j$ and $H = \varprojlim_{i \in I} H_i$ be inverse limits of finite groups, where I and J are countable inverse systems with no minimal element. Let $f : G \rightarrow H$ be a continuous homomorphism. Then there exist cofinal subsystems $J' \subseteq J$ and $I' \subseteq I$, an order-preserving bijection $J' \cong I'$, and a morphism of inverse systems $(f_j) : (G_j)_{j \in J'} \rightarrow (H_i)_{i \in I'}$ inducing f .

Proof. Without loss of generality, use Proposition 1.3.8 to assume J and I are linearly ordered. Without loss of generality both are \mathbb{N} , with the wrong-way ordering. Construct an increasing sequence (k_n) of natural numbers as follows. Each map $p_n^H \circ f : G \rightarrow H \rightarrow H_{k_n}$ is a continuous homomorphism, so its kernel is open in G . By Proposition 1.2.17 there exists k_n such that $\ker(G \rightarrow G_{k_n}) \leq \ker(G \rightarrow H_{k_n})$, which means there is a quotient homomorphism

$$\begin{array}{ccc} G & \xrightarrow{f} & H \\ p_{k_n}^G \downarrow & \searrow & \downarrow p_{k_n}^H \\ G_{k_n} & \xrightarrow{f_n} & H_{k_n} \end{array}.$$

Then $\ker(G \rightarrow G_{k_{n+1}}) \leq \ker(G \rightarrow G_{k_n})$, so without loss of generality $k_n > k_{n-1}$. Now $J' = \{k_n\}_{n \in \mathbb{N}}$ give a cofinal subsystem of $J = \mathbb{N}$, and the f_n are the required morphisms of inverse systems. \square

2.5 Generators of profinite groups

Definition 2.5.1. Let G be a topological group, and let S be a subset of G . Then S is a **topological generating set** for G if the subgroup $\langle S \rangle$ is dense in G , and G is **topologically finitely generated** if it has some finite topological generating set S .

Definition 2.5.2. Let G be a topological group and $S \subseteq G$. The **closed subgroup of G topologically generated by S** is the smallest closed subgroup of G which contains S . Denoted $\overline{\langle S \rangle}$.

Proposition 2.5.3. Let G be a topological group and H a subgroup of G . Then \overline{H} is a subgroup of G . Hence for $S \subseteq G$, the closed subgroup of G generated by S is equal to the closure of $\langle S \rangle$.

Proof. Exercise. ² □

Lemma 2.5.4. A finite index subgroup of a finitely generated group is finitely generated.

Proposition 2.5.5. If a profinite group G is topologically finitely generated and U is an open subgroup of G then U is topologically finitely generated.

Proof. Let S be a finite set such that $\langle S \rangle$ is dense in G . Then $\Gamma = U \cap \langle S \rangle$ is finite index in $\langle S \rangle$, hence Γ is finitely generated, so $\Gamma = \langle S' \rangle$ for S' finite. Since U is open, and $\langle S \rangle$ is dense, $\langle S' \rangle = U \cap \langle S \rangle$ is dense in U . So U is topologically finitely generated. □

Proposition 2.5.6. Let (G_j) be a surjective inverse system of finite groups with $G = \varprojlim_j G_j$. Let $S \subseteq G$. Then S is a topological generating set for G if and only if $p_j(S)$ generates G_j for all j .

Proof. By Corollary 1.2.19, $\langle S \rangle$ is dense in G if and only if $G_j = p_j(\langle S \rangle) = \langle p_j(S) \rangle$ for all j . □

Lemma 2.5.7. Let G be a topologically finitely generated profinite group. Then G may be written as the inverse limit of a countable inverse system of finite groups.

Proof. A continuous homomorphism from G to a finite group is determined by the image of a topological generating set S , since a function on S determines all of a homomorphism from $\langle S \rangle$ and continuity gives the behaviour on all of G . So there are only countably many continuous homomorphisms from G to $\text{Sym } n$ for $n \in \mathbb{N}$. Every open normal subgroup of G is the kernel of such a continuous homomorphism. So there are only countably many open normal subgroups of G . Then $\mathcal{U} = \{U \triangleleft_o G\}$ is a neighbourhood base of the identity, so by Proposition 1.2.22, $G = \varprojlim_{U \in \mathcal{U}} G/U$. □

Example 2.5.8. Let G be a topologically finitely generated profinite group. Then there are only finitely many open subgroups of G of index at most n . See Lemma 1.2.10. Define

$$G_n = \bigcap \{U \mid U \triangleleft_o G, [G : U] \leq n\}.$$

Then $G_n \triangleleft G$, and G_n is open in G . And $\{G_n\}$ is a neighbourhood base of the identity. So

$$G = \varprojlim_{n \in \mathbb{N}} G/G_n.$$

Proposition 2.5.9. Let \mathbb{Z}_p^\times be the set of elements α of \mathbb{Z}_p which topologically generate \mathbb{Z}_p . Then $\alpha \in \mathbb{Z}_p^\times$ if and only if $\alpha \not\equiv 0 \pmod{p}$. Hence \mathbb{Z}_p^\times is a closed uncountable subset of \mathbb{Z}_p . For every n , and every generator $a_n \in (\mathbb{Z}/p^n\mathbb{Z})^\times$ there is some $\alpha \in \mathbb{Z}_p^\times$ such that $\alpha \equiv a_n \pmod{p^n}$.

Proof. For the last part, a_n is the image of α , since it is a surjective inverse system, and if a_n generates $\mathbb{Z}/p^n\mathbb{Z}$, it is coprime to p . If $\alpha = (a_n)$ such that $a_1 \neq 0$, then $p \nmid a_n$ for any n . Hence a_n is coprime to p , and so generates $\mathbb{Z}/p^n\mathbb{Z}$ for all n . So $\langle \alpha \rangle$ is dense in \mathbb{Z}_p by an earlier result. □

Remark 2.5.10. \mathbb{Z}_p^\times is the set of units in the ring \mathbb{Z}_p .

\Leftarrow If α is a unit, then $\alpha \pmod{p^n}$ is a unit in $\mathbb{Z}/p^n\mathbb{Z}$, so generates $\mathbb{Z}/p^n\mathbb{Z}$. Then α topologically generates \mathbb{Z}_p .

²Exercise

\Rightarrow Consider the group homomorphism

$$\begin{aligned} f &: \mathbb{Z}_p \longrightarrow \mathbb{Z}_p \\ x &\longmapsto \alpha x \end{aligned}$$

which is continuous as multiplication in a ring is continuous. So $\text{im } f$ is a closed subgroup of \mathbb{Z}_p , containing α . Then α generates \mathbb{Z}_p , so the only closed subgroup containing α is \mathbb{Z}_p itself. So $1 \in \text{im } f$, so there exists β such that $\alpha\beta = 1$.

Thus α is a unit if and only if $\{\alpha\}$ is a topological generating set for \mathbb{Z}_p .

Example 2.5.11. If $p \neq 2$, then 2 is invertible in \mathbb{Z}_p , so 2^{-1} exists. If $p = 3$, then $2^{-1} = (\dots, 5, 2) \in \mathbb{Z}_3 \leq \prod_{n \in \mathbb{N}} \mathbb{Z}/3^n \mathbb{Z}$.

Proposition 2.5.12. $\alpha \in \widehat{\mathbb{Z}}^\times$ if and only if $\alpha \bmod n \in (\mathbb{Z}/n\mathbb{Z})^\times$ for all n . For any n , and every $k \in (\mathbb{Z}/n\mathbb{Z})^\times$ there exists a generator $\alpha \in \widehat{\mathbb{Z}}^\times$ such that $\alpha \equiv k \bmod n$.

Proof. Follows from Proposition 2.5.9 via the CRT, since $\widehat{\mathbb{Z}} = \prod_p \mathbb{Z}_p$. \square

Theorem 2.5.13 (Gaschutz's lemma for finite groups). *Let $f : G \twoheadrightarrow H$ be a surjective homomorphism of finite groups. Suppose G has some generating set of size d . For any generating set $\{z_1, \dots, z_d\} \subseteq H$, there exists a generating set $\{x_1, \dots, x_d\} \subseteq G$ such that $f(x_i) = z_i$ for all i .*

Really, talking about generating vectors $\underline{x} = (x_1, \dots, x_d) \in G^d$. Extend f to $f : G^d \rightarrow H^d$.

Proof. We will prove, by induction on $|G|$, for H fixed, the following statement. The number

$$N_G(\underline{y}) = |\{\text{generating vectors } \underline{x} \text{ of } G \mid f(\underline{x}) = \underline{y}\}|,$$

where $\underline{y} \in H^d$ is a generating vector of H , is independent of \underline{y} . Want to show $N_G(\underline{z}) > 0$, and G has some generating vector $\underline{x}' \in G^d$ so $N_G(\underline{z}) = N_G(f(\underline{x}')) > 0$. Let $\underline{y} \in H^d$ be a generating vector. Let

$$\mathcal{C} = \{d\text{-generator proper subgroups of } G\}.$$

Every $\underline{x} \in G^d$ such that $f(\underline{x}) = \underline{y}$ either generates G or generates some $C \in \mathcal{C}$. Therefore

$$N_G(\underline{y}) + \sum_{C \in \mathcal{C}} N_C(\underline{y}) = |\{\underline{x} : f(\underline{x}) = \underline{y}\}| = |\ker f|^d.$$

Thus $N_G(\underline{y}) = |\ker f|^d - \sum_{C \in \mathcal{C}} N_C(\underline{y})$, which is independent of \underline{y} by induction. \square

Theorem 2.5.14 (Gaschutz's lemma for profinite groups). *Let $f : G \rightarrow H$ be a continuous surjective homomorphism of profinite groups. Suppose G has a topological generating set of size d . Then for any topological generating set $\{z_1, \dots, z_d\}$ of H , there is a topological generating set $\{x_1, \dots, x_d\}$ of G such that $f(x_i) = z_i$ for all i .*

Proof. By Proposition 1.3.6 and Proposition 2.4.9 we may assume and write $G = \varprojlim_{j \in J} G_j$ and $H = \varprojlim_{j \in H} H_j$, surjective inverse systems of finite groups, with a morphism of inverse systems $(f_j) : (G_j) \rightarrow (H_j)$ such that $f = \varprojlim_j f_j$. It is forced that f_j is surjective, since

$$\begin{array}{ccc} G & \xrightarrow{f} & H \\ p_j^G \downarrow & & \downarrow p_j^H \\ G_j & \xrightarrow{f_j} & H_j \end{array}$$

Let \underline{z} be the given topological generating set of H . Set \underline{z}_j for $j \in J$ to be the image of \underline{z} in H_j , so $\underline{z}_j = p_j^H(\underline{z})$ is a generating vector of H_j . Consider the finite sets

$$X_j = \{\text{generating vectors } \underline{x}_j \in G_j^d \mid f_j(\underline{x}_j) = \underline{z}_j\} \neq \emptyset,$$

by Gaschutz. The X_j form an inverse system, since $\phi_{ij}(X_i) \subseteq X_j$. Therefore $\varprojlim_j X_j$ is non-empty. If $\underline{x} \in \varprojlim_j X_j \subseteq G^d$ such that $p_j^G(\underline{x}) \in X_j$, then \underline{x} is a topological generating set of G and $p_j^H(f(\underline{x})) = \underline{z}_j$ for all j , so $f(\underline{x}) = \underline{z}$. \square

3 Profinite completions

3.1 Residual finiteness

Notation 3.1.1. Discrete abstract groups will be Greek letters and profinite groups will be Roman letters.

Given an abstract group Γ and an inverse system $\mathcal{N} = \{N \triangleleft_f \Gamma\}$, there is an inverse system of finite groups Γ/N . Then $\widehat{\Gamma} = \varprojlim_{N \in \mathcal{N}} \Gamma/N$, where $\Gamma/N_1 \rightarrow \Gamma/N_2$ if $N_1 \leq N_2$. Also had a canonical morphism $\iota_\Gamma = \iota : \Gamma \rightarrow \widehat{\Gamma}$. The image of ι is dense by Corollary 1.2.19. Also implies for any finite generating set $S \subseteq \Gamma$, $\iota(S)$ is a topological generating set of $\widehat{\Gamma}$, so if Γ is finitely generated, then $\widehat{\Gamma}$ is topologically finitely generated.

Proposition 3.1.2. *Let $f : \Delta \rightarrow \Gamma$ be a group homomorphism. Then there exists a unique continuous group homomorphism $\widehat{f} : \widehat{\Delta} \rightarrow \widehat{\Gamma}$ such that $\widehat{f} \circ \iota_\Delta = \iota_\Gamma \circ f$, so*

$$\begin{array}{ccc} \Delta & \xrightarrow{f} & \Gamma \\ \iota_\Delta \downarrow & & \downarrow \iota_\Gamma \\ \widehat{\Delta} & \xrightarrow{\widehat{f}} & \widehat{\Gamma} \end{array}$$

Proof. Uniqueness will follow from the density of $\iota_\Delta(\Delta)$ in $\widehat{\Delta}$. Take two \widehat{f}_1 and \widehat{f}_2 satisfying Proposition 3.1.2. Consider

$$S = \left\{ \delta \in \widehat{\Delta} \mid \widehat{f}_1(\delta) = \widehat{f}_2(\delta) \right\}.$$

Then S is closed, since it is the preimage of the diagonal in $\widehat{\Gamma} \times \widehat{\Gamma}$ under $(\widehat{f}_1, \widehat{f}_2) : \widehat{\Delta} \rightarrow \widehat{\Gamma} \times \widehat{\Gamma}$, and S contains $\iota_\Delta(\Delta)$, which is dense. So $S = \widehat{\Delta}$.

Case 1. Γ is finite, so $\Gamma = \widehat{\Gamma}$. Then $\ker f$ is a finite index normal subgroup M of Δ , so there exists a projection map $p_M : \widehat{\Delta} \rightarrow \Delta/M$. So we get a composition

$$\begin{array}{ccc} \Delta & \xrightarrow{\iota_\Delta} & \widehat{\Delta} \\ & \searrow f & \swarrow p_M \\ & \Delta/M & \\ & \downarrow & \swarrow \widehat{f} \\ & \Gamma & \end{array}$$

Case 2. General case. Take some $N \triangleleft_f \Gamma$. There exists a unique $q_N : \widehat{\Delta} \rightarrow \Gamma/N$ such that $q_N \circ \iota_\Delta = p_N \circ \iota_\Gamma \circ f$. Then (q_N) form a cone on the inverse system, since

$$\phi_{N_1 N_2}^\Gamma \circ q_{N_1} \circ \iota_\Delta = \phi_{N_1 N_2}^\Gamma \circ p_{N_1} \circ \iota_\Gamma \circ f = p_{N_2} \circ \iota_\Gamma \circ f = q_{N_2} \circ \iota_\Delta.$$

Thus there exists a unique $\widehat{f} : \widehat{\Delta} \rightarrow \widehat{\Gamma}$ such that $p_N \circ \widehat{f} = q_N$ for all N , so

$$\begin{array}{ccc} \Delta & \xrightarrow{\iota_\Delta} & \widehat{\Delta} \\ f \downarrow & & \swarrow \widehat{f} \\ \Gamma & \xrightarrow{\iota_\Gamma} & \widehat{\Gamma} \\ & \searrow p_N & \swarrow q_N \\ & \Gamma/N & \end{array},$$

and

$$p_N \circ \widehat{f} \circ \iota_\Delta = q_N \circ \iota_\Delta = p_N \circ \iota_\Gamma \circ f.$$

□

Corollary 3.1.3. $\widehat{\cdot}$ is a functor.

Definition 3.1.4. Let Γ be an abstract group. Then Γ is **residually finite** if for every $\gamma \in \Gamma \setminus \{1\}$, there exists $N \triangleleft_f \Gamma$ such that $\gamma \notin N$, if and only if $\gamma N \neq 1$ in Γ/N , if and only if there exists $\phi : \Gamma \rightarrow G$ finite such that $\phi(\gamma) \neq 1$.

Proposition 3.1.5. Γ is residually finite if and only if $\iota : \Gamma \rightarrow \widehat{\Gamma}$ is injective.

Proof.

$$\begin{aligned} \iota : \Gamma &\longrightarrow \widehat{\Gamma} \leq \prod_N \Gamma/N \\ \gamma &\longmapsto (\gamma N) \end{aligned}.$$

□

Proposition 3.1.6. Any subgroup of a residually finite group is residually finite.

Proposition 3.1.7. Let Γ be an abstract group, and let $\Delta \leq \Gamma$ be finite index. If Δ is residually finite, then Γ is residually finite.

Proof. Let $\gamma \in \Gamma \setminus \{1\}$.

Case 1. If $\gamma \notin \Delta$, consider

$$\gamma \notin N = \text{Core}_\Gamma \Delta = \bigcap_{g \in \Gamma} g \Delta g^{-1} \triangleleft_f \Gamma,$$

which has finitely many distinct terms, since if $g \Delta = g' \Delta$ then $g = g' \delta$ so $g \Delta g^{-1} = g' \delta \Delta \delta^{-1} g'^{-1} = g' \Delta g'^{-1}$.

Case 2. If $\gamma \in \Delta$, there exists $N \triangleleft_f \Delta$ such that $\gamma \notin N$. Now $\gamma \notin \text{Core}_\Gamma N \triangleleft_f \Gamma$.

□

Proposition 3.1.8. Finitely generated abelian groups are residually finite.

Proof. Exercise. ³

□

Proposition 3.1.9. The groups $\text{SL}_N \mathbb{Z} \leq_f \text{GL}_N \mathbb{Z}$ are residually finite for all N .

Proof. For $A \in \text{GL}_N \mathbb{Z} \setminus \{I\}$. Take a prime p larger than the absolute value of all entries of A . Then we have the homomorphism

$$\begin{aligned} \text{GL}_N \mathbb{Z} &\longrightarrow \text{GL}_N (\mathbb{Z}/p\mathbb{Z}) \\ A &\longmapsto A_p \neq I \end{aligned}.$$

□

These linear groups have as subgroups many important groups, such as free groups in $\text{SL}_2 \mathbb{Z}$.

Theorem 3.1.10 (Malcev's theorem). Let Γ be a finitely generated subgroup of $\text{GL}_N K$ where K is a field. Then Γ is residually finite.

Proof. The entries of a generating set of Γ generate a finitely generated subring R of K . Commutative algebra says that R has many maximal ideals $\mathfrak{p} \subseteq R$, such that R/\mathfrak{p} is a finite field. Use maps $\text{GL}_N R \rightarrow \text{GL}_N (R/\mathfrak{p})$ to show residual finiteness. □

Proposition 3.1.11. The fundamental group of a surface is residually finite.

Proof. Surface groups, via geometry, are subgroups of $\text{Isom } \mathbb{H}^2 \cong \text{PSL}_2 \mathbb{R}$. □

³Exercise: classification of finitely generated abelian groups

Lemma 3.1.12. *Let Γ be an abstract group. The open subgroups of $\widehat{\Gamma}$ are exactly $\overline{\iota(\Delta)}$ for $\Delta \leq_f \Gamma$.*

Proof. If $\Delta \leq_f \Gamma$ is finite index, take a finite set of coset representatives $\{\gamma_i\}$ of Δ in Γ , so $\Gamma = \bigcup_i \gamma_i \Delta$. Then

$$\widehat{\Gamma} = \overline{\iota(\Gamma)} = \overline{\bigcup_i \iota(\gamma_i \Delta)} = \bigcup_i \overline{\iota(\gamma_i) \iota(\Delta)},$$

so $\overline{\iota(\Delta)}$ is closed, and finite index, if and only if open. If $U \leq_o \widehat{\Gamma}$, then $\iota(\Gamma)$ is dense, so $U = \overline{\iota(\Gamma) \cap U}$. Set $\Delta = \iota^{-1}(U) \leq_f \Gamma$, and $\iota(\Delta) = \iota(\Gamma) \cap U$. Thus $U = \overline{\iota(\Delta)}$. \square

Theorem 3.1.13. *Let G and H be topologically finitely generated profinite groups. Suppose the sets of isomorphism types of continuous finite quotients of G and H are equal. Then G and H are isomorphic profinite groups.*

Topologically finitely generated is necessary since $(\mathbb{Z}/2\mathbb{Z})^{\mathbb{N}} \not\cong (\mathbb{Z}/2\mathbb{Z})^{\mathbb{R}}$. Continuous is not actually necessary by a hard theorem by Nikolov and Segal.

Proof. Let G_n be the intersection of all open subgroups of G of index at most n . Similarly, H_n . By Example 2.5.8, $G = \varprojlim_n G/G_n$ and $H = \varprojlim_n H/H_n$. By assumption there exists $V \triangleleft_o H$, such that $G/G_n \cong H/V$. The intersection of index at most n subgroups of G/G_n is trivial, and the intersection of index at most n subgroups of H/V is trivial. Taking preimages, there exist index at most n open subgroups of H whose intersection is contained in V . Then $H_n \leq V$, so $|G/G_n| = |H/V| \leq |H/H_n|$. By symmetry, $|G/G_n| \geq |H/H_n|$, so equality holds and $V = H_n$. So $G/G_n \cong H/H_n$ for all n . We want a morphism of inverse systems, so commuting diagrams

$$\begin{array}{ccc} G/G_n & \longrightarrow & H/H_n \\ \downarrow & & \downarrow \\ G/G_{n-1} & \longrightarrow & H/H_{n-1} \end{array}.$$

Let

$$S_n = \{\text{isomorphisms } f_n : G/G_n \rightarrow H/H_n\} \neq \emptyset.$$

If $f_n \in S_n$, then f_n takes an index at most $n-1$ subgroup of G/G_n to an index at most $n-1$ subgroup of H/H_n . The intersection of such subgroups is G_{n-1}/G_n . So f_n maps G_{n-1}/G_n to H_{n-1}/H_n . So there is a well-defined quotient map such that the diagram

$$\begin{array}{ccc} G/G_{n-1} & \xrightarrow[\sim]{\phi_{n,n-1}(f_n)} & H/H_{n-1} \\ \uparrow & & \uparrow \\ G/G_n & \xrightarrow[\sim]{f_n} & H/H_n \end{array}$$

commutes. The $\phi_{n,n-1} : S_n \rightarrow S_{n-1}$ make (S_n) into an inverse system. Then $\varprojlim_n S_n$ is non-empty, and an element of $\varprojlim_n S_n \leq \prod_n S_n$ is a sequence of f_n such that all diagrams commute. Thus there is an isomorphism of inverse systems, so $G \cong H$. \square

Theorem 3.1.14. *Let Γ and Δ be finitely generated abstract groups. Suppose the sets of isomorphism types of finite quotients of Γ and Δ are equal. Then $\widehat{\Gamma} \cong \widehat{\Delta}$.*

Definition 3.1.15. A property \mathcal{P} of groups is a **profinite invariant** if, whenever two finitely generated residually finite groups G and H have $\widehat{G} \cong \widehat{H}$, G has \mathcal{P} if and only if H has \mathcal{P} .

Proposition 3.1.16. *Being abelian is a profinite invariant.*

Proof. Let G and H be finitely generated residually finite groups such that $\widehat{G} \cong \widehat{H}$, with H abelian. Every quotient group of H is abelian, so every finite quotient of G is abelian. Suppose G is not abelian. There exist $g_1, g_2 \in G$ such that $[g_1, g_2] \neq 1$. Since G is residually finite, there exists a finite quotient Q of G and $\phi : G \twoheadrightarrow Q$, such that $[\phi(g_1), \phi(g_2)] = \phi([g_1, g_2]) \neq 1$. But Q is abelian, a contradiction. \square

Lecture 10
Thursday
11/02/21

Proposition 3.1.17. *Let G and H be finitely generated groups with $\widehat{G} \cong \widehat{H}$. Then the abelianisations $G_{\text{ab}} = G/[G, G]$ and $H_{\text{ab}} = H/[H, H]$ are isomorphic.*

Proof. Suppose $\widehat{G} \cong \widehat{H}$. We claim $\widehat{G_{\text{ab}}} \cong \widehat{H_{\text{ab}}}$. Since G and H have the same finite quotients they have the same abelian finite quotients, which are the finite quotients of G_{ab} and H_{ab} , since

$$\begin{array}{ccc} G & \longrightarrow & G/[G, G] \\ & \searrow & \swarrow \\ & A & \end{array}.$$

It remains to show, if A and A' are finitely generated abelian groups with $\widehat{A} \cong \widehat{A'}$ then $A \cong A'$. By the classification, $A = \mathbb{Z}^r \times T$ and $A' = \mathbb{Z}^s \times T'$ for $r, s \in \mathbb{N}$ and T and T' finite. We can see r and T from finite quotients, since

$$r = \max \left\{ k \mid \forall n, A \twoheadrightarrow (\mathbb{Z}/n\mathbb{Z})^k \right\} = \max \left\{ k \mid \forall n, A' \twoheadrightarrow (\mathbb{Z}/n\mathbb{Z})^k \right\} = s.$$

Having found r , T is the largest finite group such that $A \twoheadrightarrow (\mathbb{Z}/n\mathbb{Z})^r \times T$ for all n , which is T' . □

Corollary 3.1.18. *If G is abelian, the property of being isomorphic to G is a profinite invariant.*

Example 3.1.19. Let

$$\begin{array}{ccc} \phi & : & \mathcal{C}_{25} \longrightarrow \mathcal{C}_{25} \\ & & t \longmapsto t^6 \end{array}$$

be an automorphism, where $\mathcal{C}_{25} = \mathbb{Z}/25\mathbb{Z} = \langle t \rangle$. Form semidirect products

$$G_1 = \mathcal{C}_{25} \rtimes_{\phi} \mathbb{Z}, \quad (t^a, s^b) *_1 (t^c, s^d) = (t^a \phi^b(t^c), s^{b+d}),$$

$$G_2 = \mathcal{C}_{25} \rtimes_{\phi^2} \mathbb{Z}, \quad (t^a, s^b) *_2 (t^c, s^d) = (t^a \phi^{2b}(t^c), s^{b+d}),$$

where $\mathbb{Z} = \langle s \rangle$. Note that ϕ is of order five, so $\phi^5 = \text{id}$ and $\phi^k = \phi^l$ if and only if $k \equiv l \pmod{5}$.

- Claim that G_1 is not isomorphic to G_2 . Suppose $\Phi : G_2 \rightarrow G_1$ is an isomorphism. Each G_i has a unique order 25 subgroup. So $\Phi(\mathcal{C}_{25}) = \mathcal{C}_{25}$ and $\Phi(t, 1) = (t^a, 1)$ for some a coprime to 25. Set $\Phi(1, s) = (t^b, s^c)$, and s^c generates \mathbb{Z} , so $c = \pm 1$. A contradiction comes from the computation of

$$\begin{aligned} (\phi^2(t)^a, 1) &= \Phi(\phi^2(t), 1) = \Phi((1, s) *_2 (t, 1) *_2 (1, s^{-1})) = \Phi(1, s) *_1 \Phi(t, 1) *_1 \Phi(1, s^{-1}) \\ &= (t^b, s^c) *_1 (t^a, 1) *_1 (\phi^{-c}(t^{-b}), s^{-c}) = (\phi^c(t^a), 1), \end{aligned}$$

and since $\phi^2(t^a) = \phi^c(t^a)$, $\phi^2 = \phi^c$, so $c \equiv 2 \pmod{5}$.

- Consider finite quotients of G_1 . Let $f : G_1 \rightarrow Q$ be a finite quotient map. If $\text{im}(\mathbb{Z} \rightarrow G_1 \rightarrow Q)$ has order m , then $\ker f \geq 5m\mathbb{Z}$. Then f factors through the quotient $\mathcal{C}_{25} \rtimes_{\phi} \mathbb{Z}/5m\mathbb{Z}$, which is cofinal, so

$$\widehat{G_1} = \varprojlim_m \mathcal{C}_{25} \rtimes_{\phi} \mathbb{Z}/5m\mathbb{Z} = \mathcal{C}_{25} \rtimes_{\phi} \widehat{\mathbb{Z}}.$$

By Gaschutz lemma, there exists $\kappa \in \widehat{\mathbb{Z}}^{\times}$ such that $\kappa \equiv 2 \pmod{5}$. We may now build an isomorphism defined by

$$\Omega : \begin{array}{ccc} \widehat{G_2} & \longrightarrow & \widehat{G_1} \\ (t^b, s^{\lambda}) & \longmapsto & (t^b, s^{\lambda\kappa}) \end{array}.$$

This is a continuous bijection, and can compute it is a group homomorphism.

Question 3.1.20 (Remeslennikov's question). Let F be a finitely generated free group, and G a finitely generated residually finite group. Is it true that $\widehat{F} \cong \widehat{G}$ implies that $F \cong G$?

Question 3.1.21. Does there exist G a finitely generated residually finite group, other than a free group, and an integer n such that a finite group Q is a quotient of G if and only if Q has a generating set with n elements?

Proposition 3.1.22. *Let F and F' be finitely generated free groups. If $\widehat{F} \cong \widehat{F'}$ then $F \cong F'$.*

Proof. From earlier, if $\widehat{F} \cong \widehat{F'}$ then $\mathbb{Z}^{\text{rk } F} = F_{\text{ab}} \cong F'_{\text{ab}} = \mathbb{Z}^{\text{rk } F'}$. Thus $\text{rk } F = \text{rk } F'$, so $F \cong F'$. \square

How about surface groups? If S_g is the fundamental group of an orientable surface of genus g , then

$$S_g = \langle a_1, b_1, \dots, a_g, b_g \mid [a_1, b_1] \dots [a_g, b_g] = 1 \rangle.$$

Then the abelianisation of S_g is \mathbb{Z}^{2g} . Hence $\widehat{S}_g \not\cong \widehat{F}_r$, unless possibly $r = 2g$.

Theorem 3.1.23 (Basic correspondence). *Let G_1 and G_2 be finitely generated residually finite groups, and suppose $\phi : \widehat{G}_1 \cong \widehat{G}_2$. Then there is a bijection*

$$\psi : \{\text{finite index subgroups of } G_1\} \rightarrow \{\text{finite index subgroups of } G_2\},$$

such that, if $K \leq_f H \leq_f G_1$, then

- $\psi(K) \leq \psi(H)$ and $[H : K] = [\psi(H) : \psi(K)]$,
- $K \triangleleft H$ if and only if $\psi(K) \triangleleft \psi(H)$,
- if $K \triangleleft H$, then $H/K \cong \psi(H)/\psi(K)$, and
- $\widehat{H} \cong \widehat{\psi(H)}$.

By the Nielsen-Schreier theorem, F_{2g} has an index two subgroup, which is free of rank $4g - 1$, so has abelianisation odd rank. Any finite index subgroup of a surface group is a surface group, so it has even rank abelianisation, contradicting the basic correspondence, so $\widehat{F}_{2g} \not\cong \widehat{S}_g$.

Remark 3.1.24.

- Residually finite is not actually necessary, by replacing G_1 by $G_1/\ker \iota_{G_1}$ for $\iota : G_1 \rightarrow \widehat{G}_1$.
- ϕ and ψ do not depend on any homomorphism $G_1 \rightarrow G_2$.

Proposition 3.1.25. *Let G be a finitely generated residually finite group. Let ψ be the function*

$$\begin{array}{ccc} \psi & : & \{\text{finite index subgroups } H \leq G\} \longrightarrow \{\text{open subgroups of } \widehat{G}\} \\ & & H \longmapsto \overline{H} \end{array}.$$

Then, if $K \leq_f H \leq_f G$,

1. ψ is a bijection,
2. $[H : K] = [\overline{H} : \overline{K}]$,
3. $K \triangleleft H$ if and only if $\overline{K} \triangleleft \overline{H}$,
4. if $K \triangleleft H$, then $H/K \cong \overline{H}/\overline{K}$, and
5. $\overline{H} \cong \widehat{H}$.

Proof.

1. Let $H \leq_f G$ and take coset representatives $\{g_i\}$ of H in G . Since $\widehat{G} = \overline{\bigcup_i g_i H} = \bigcup_i g_i \overline{H}$, \overline{H} is finite index, so open. Conversely, if $U \leq_o \widehat{G}$ then $U = \overline{G \cap U}$, since G is dense and U is open and closed, so let $H = G \cap U$. So ψ is surjective. To show ψ is injective, we show $\overline{H} \cap G = H$. Considering the action of G on G/H , gives a continuous homomorphism

$$\begin{array}{ccc} G & \longrightarrow & \text{Sym}(G/H) \\ \cap & \nearrow & \\ \widehat{G} & & \end{array}.$$

Then H fixes the coset $1H$. By continuity of the action, \overline{H} fixes $1H$. But if $g \in G \setminus H$, then $g \cdot 1H = gH \neq 1H$, so $g \notin \overline{H}$. So $\overline{H} \cap G = H$.

Lecture 11
Saturday
13/02/21

2. Let $\{g_i\}$ be a set of coset representatives. We know that the $g_i\overline{H}$ cover \widehat{G} . They are distinct cosets, since if $g_i\overline{H} = g_j\overline{H}$, then $g_i^{-1}g_j \in \overline{H} \cap G = H$. So $g_iH = g_jH$, so $g_i = g_j$, so $[\widehat{G} : \overline{H}] = [G : H]$. Also, there is a natural bijection of coset spaces $G/H \rightarrow \widehat{G}/\overline{H}$.
3. If $\overline{K} \triangleleft \overline{H}$ then $K = \overline{K} \cap G \triangleleft \overline{H} \cap G = H$. Conversely, if $K \triangleleft H$, consider the action of \overline{H} on $\text{Sym}(\overline{H}/\overline{K}) = \text{Sym}(H/K) \leq \text{Sym}(G/K)$. Then $K \triangleleft H$ if and only if K acts trivially on H/K , since $k \cdot hK = hK$ if and only if $h^{-1}kh \in K$. By continuity of the action, \overline{K} acts trivially, so $\overline{K} \triangleleft \overline{H}$.
4. If $K \triangleleft H$, we already have our bijection $H/K \rightarrow \overline{H}/\overline{K}$, and this is an isomorphism of groups.
5. \overline{H} maps onto all finite quotients H/K in a natural way, so we get a continuous homomorphism $\overline{H} \rightarrow \widehat{H}$. This is surjective because H is dense in \widehat{H} . For injectivity, if $h \in \overline{H} \setminus \{1\}$, then there is $U \triangleleft_o \widehat{G}$ such that $h \notin U$, and the map

$$\begin{array}{ccc} \overline{H} & \xrightarrow{\quad} & H/(U \cap H) \\ & \searrow & \nearrow \\ & \widehat{H} & \end{array}$$

shows that $h \not\mapsto 1 \in \widehat{H}$.

□

Remark 3.1.26. $\overline{H} \cap G = H$ and $\overline{H} \cong \widehat{H}$ are not always true if H is not of finite index.

Definition 3.1.27. A topological group G is **Hopfian**, or **has the Hopf property**, if every continuous surjection from G to itself is an isomorphism of topological groups.

Example 3.1.28. Finite groups, by the pigeonhole principle.

Proposition 3.1.29. Let G be a topologically finitely generated profinite group. Let $f : G \rightarrow G$ be a continuous surjection. Then f is an isomorphism.

Proof. Let G_n be the intersection of open subgroups of G of index at most n . Then $G_n \triangleleft_o G$, and $G \cong \varprojlim_n G/G_n$. Since f is a surjection, $[G : f^{-1}(U)] = [G : U]$ for all $U \leq_o G$. If U has index at most n , then $f^{-1}(U)$ has index at most n , so $f^{-1}(U) \geq G_n$, so $f^{-1}(G_n) \geq G_n$, so $f(G_n) \leq G_n$. So we have a quotient map $f_n : G/G_n \rightarrow G/G_n$, which are surjections, hence isomorphisms. So (f_n) are a morphism of inverse systems giving f , so $f = \varprojlim_n f_n$ is an isomorphism. Or, if $g \in G \setminus \{1\}$, then $g \notin G_n$ for some n and then $p_n(f(g)) = f_n(p_n(g)) \neq 1$ so $g \notin \ker f$. □

Corollary 3.1.30. Finitely generated residually finite groups are Hopfian.

Proof. Let $f : G \rightarrow G$ be a surjection where G is finitely generated residually finite. By Proposition 3.1.2, we get an induced map

$$\begin{array}{ccc} \widehat{G} & \xrightarrow{\widehat{f}} & \widehat{G} \\ \uparrow & & \uparrow \\ G & \xrightarrow{f} & G \end{array}$$

Then \widehat{f} is surjective, so it is an isomorphism. Thus f is injective. □

Proposition 3.1.31. Let G be a Hopfian topological group and let H be a topological group. Suppose there exist continuous surjections $f : G \rightarrow H$ and $f' : H \rightarrow G$. Then f and f' are isomorphisms of topological groups.

Proof. $f' \circ f : G \rightarrow G$ is a surjection, hence an isomorphism, and a homeomorphism. So f is injective and f' is injective, because f is a surjection, so isomorphisms. Also $f^{-1} = (f' \circ f)^{-1} \circ f'$ and $f'^{-1} = f \circ (f' \circ f)^{-1}$ are continuous. □

Let d be the minimal size of a generating set.

Proposition 3.1.32. *Let G be a finitely generated residually finite group. Assume there is a finite quotient Q of G such that $d(Q) = d(G)$. If \widehat{G} is isomorphic to \widehat{F} for F a free group, then $G \cong F$.*

Proof. Assume $\widehat{G} \cong \widehat{F}$. Then Q is a quotient of F , so $d(F) \geq d(Q) = d(G)$. So there is a surjection $f : F \rightarrow G$. This induces $\widehat{f} : \widehat{F} \rightarrow \widehat{G}$. Then \widehat{f} is surjective, so by the Hopf property, since $\widehat{F} \cong \widehat{G}$, \widehat{f} is an isomorphism. Thus f is an isomorphism, since

$$\begin{array}{ccc} F & \xrightarrow{f} & G \\ \downarrow & & \downarrow \\ \widehat{F} & \xrightarrow{\sim} & \widehat{G} \end{array}.$$

□

Corollary 3.1.33. $\widehat{S_g} \not\cong \widehat{F_{2g}}$.

Proof. S_g has rank $2g$, and maps onto $Q = (\mathbb{Z}/2\mathbb{Z})^{2g}$.

□

Example 3.1.34. Let n and m be coprime integers such that $|n|, |m| > 1$. Define

$$\text{BS}(n, m) = \langle a, t \mid ta^nt^{-1} = a^m \rangle,$$

a HNN extension. Define

$$\begin{array}{ccccc} f : \text{BS}(n, m) & \longrightarrow & \text{BS}(n, m) \\ & t \longmapsto & t \\ & a \longmapsto & a^n \end{array}.$$

This is well-defined, since

$$f : ta^nt^{-1}a^{-m} \mapsto ta^{n^2}t^{-1}a^{mn} = (ta^nt^{-1})^n a^{-mn} = a^{mn}a^{-mn} = 1.$$

- f is surjective. Since $\text{im } f \ni a^n, t$, $\text{im } f \ni ta^nt^{-1} = a^m$, and so $\text{im } f \ni a$, since there exist r and s such that $nr + ms = 1$ so $a = (a^n)^r (a^m)^s$.
- But f is not injective. By Britton's lemma, ta^nt^{-1} does not commute with a , so $[ta^nt^{-1}, a] \neq 1$. But $f([ta^nt^{-1}, a]) = [ta^{n^2}t^{-1}, a^n] = [a^{mn}, a^n] = 1$.

So $\text{BS}(n, m)$ is not Hopfian, hence not residually finite.

3.2 Finite quotients of free groups

Theorem 3.2.1. *Free groups are residually finite.*

Previously, $F_2 \hookrightarrow \text{SL}_2 \mathbb{Z} \rightarrow \text{SL}_2(\mathbb{Z}/n\mathbb{Z})$.

Remark 3.2.2. This is true for infinitely generated free groups. If $F = \langle a_i \rangle_{i \in I}$, take some $g \in F \setminus \{1\}$. Then g can be written as a finite product of $a_i^{\pm 1}$, so you need only finitely many a_i . Factoring out the others gives $F \twoheadrightarrow F' \twoheadrightarrow Q$, where F' is a finitely generated free group in which g is mapped to a non-trivial element.

Residual finiteness if and only if $\iota : G \hookrightarrow \widehat{G}$. Residual p -finiteness, stronger than residual finiteness, is $\iota : G \hookrightarrow \widehat{G}_{(p)}$, if and only if for all $g \in G \setminus \{1\}$, there exists $\phi : G \rightarrow Q$ where $|Q| = p^m$ such that $\phi(g) \neq 1$.

Proof 1. Let p be a prime. Let X be a wedge of k circles, and $F = \pi_1(X)$. Construct $F_n \triangleleft F$ inductively, by

$$F_1 = F, \quad F_{n+1} = \bigcap \{ \ker f \mid f : F_n \rightarrow \mathbb{Z}/p\mathbb{Z} \} = \ker \left(F_n \rightarrow \prod_f \mathbb{Z}/p\mathbb{Z} \right).$$

Then F_n are characteristic subgroups, so normal, and $[F : F_n]$ is a power of p , by induction. Let $X_n \rightarrow X$ be the cover corresponding to $F_n \triangleleft F$. Claim that $\text{girth } X_{n+1} > \text{girth } X_n$, so $\text{girth } X_n \geq n$. Let l be any loop in X_n of minimal length, $\text{girth } X_n$. We show l does not lift to X_{n+1} . Because l is minimal length, there exists an edge e which it crosses once exactly. Collapsing everything except e ,

$$\begin{array}{ccc} F_n = \pi_1(X_n) & \longrightarrow & \pi_1(S^1) = \mathbb{Z} \\ [l] & \longmapsto & 1 \end{array}.$$

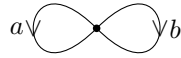
So we have a homomorphism

$$\begin{array}{ccc} F_n & \longrightarrow & \mathbb{Z}/p\mathbb{Z} \\ [l] & \longmapsto & 1 \neq 0 \end{array},$$

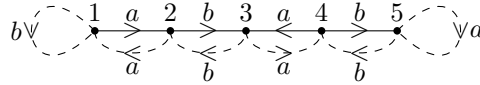
so $[l] \notin F_{n+1}$, hence l does not lift to X_{n+1} . Let $g \in F \setminus \{1\}$. Write g as a loop in X . Let n be the number of edges of l . Then l cannot lift to X_{n+1} , with girth at least $n+1$. So $g \notin F_{n+1}$. \square

Proof 2. Let $F = \langle a_1, \dots, a_k \rangle$ be a free group. Let X be a bouquet of k circles with $\pi_1(X) = F$. Let $g \in F \setminus \{1\}$. Write g as a product $g = s_1 \dots s_m$ where s_i is $a_j^{\pm 1}$. Let Y be a line segment labelled $s_1 \dots s_m$. We add edges to Y to make it a covering space of X . This covering space \tilde{X} does not lift g , so $g \notin \pi_1(\tilde{X})$. \square

Example 3.2.3. Let $F = \langle a, b \rangle$, and let X be



If $g = aba^{-1}b$, then \tilde{X} is



We get a homomorphism

$$\begin{array}{ccc} \phi : F & \longrightarrow & \text{Sym } 5 \\ a & \longmapsto & (12)(34)(5) \text{ ,} \\ b & \longmapsto & (1)(23)(45) \end{array}$$

acting on the right. Then

$$\phi(g) : \quad 1 \mapsto 5, \quad 2 \mapsto 3, \quad 3 \mapsto 4, \quad 4 \mapsto 1, \quad 5 \mapsto 2,$$

so $\phi(g) = (15234)$.

We can also answer stronger questions.

- Given $S \subseteq F$, does S generate F ? Given $g \in F \setminus \{1\}$, does $g \in \langle S \rangle$?
- Does $\{abcb^2cb^{-1}c^{-1}b^{-1}a^{-1}, bc^{-1}b^{-1}abc, bcb^{-1}\}$ or $\{abcb^2cb^{-1}c^{-1}b^{-1}a^{-1}, bc^{-1}b^{-1}a^{-1}bc, bcb^{-1}\}$ generate $\langle a, b, c \rangle$?

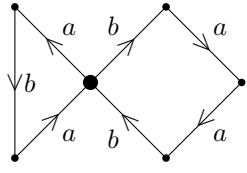
Theorem 3.2.4 (Marshall Hall's theorem). *Let S be a finite subset of a finitely generated free group F . Let $y \notin \langle S \rangle$. Then there exists a finite group Q and $f : F \rightarrow Q$ such that $f(y) \notin f(\langle S \rangle)$.*

Corollary 3.2.5. *A finite subset $S \subset F$ generates F if and only if S topologically generates \hat{F} .*

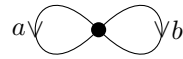
Proof. If S generates F , it generates \hat{F} topologically since $\langle S \rangle = F$ is dense in \hat{F} . If $\langle S \rangle \neq F$, there exists $y \notin \langle S \rangle$. Take a finite group Q and $f : F \rightarrow Q$ as in Theorem 3.2.4. Then $f(y) \notin f(\langle S \rangle)$, so $f(\langle S \rangle) \neq f(F)$. Thus $\langle S \rangle$ is not dense in \hat{F} . \square

Marshall Hall's theorem says there exists $H \leq_f F$ such that $H = \langle S \rangle * H'$.

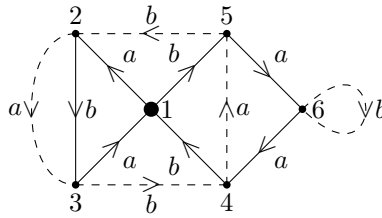
Example 3.2.6. Let $F = \langle a, b \rangle$, and let $S = \{aba, ba^2b\}$. We will show $\langle S \rangle \neq F$. Start by writing the elements of S as loops



and call it Y . We have a natural continuous map $Y \rightarrow X$, where X is



Then $\pi_1(Y) \rightarrow \langle S \rangle \leq \pi_1(X)$. Now add edges to make a covering space

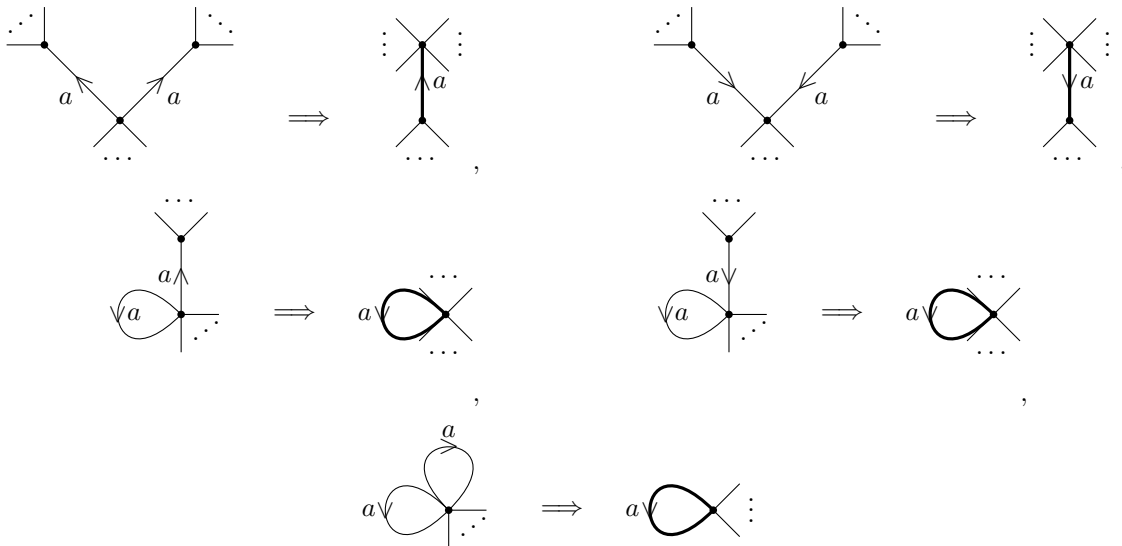


The explicit homomorphism to a finite group is

$$\begin{aligned} \phi : F &\longrightarrow \text{Sym } 6 \\ a &\longmapsto (123)(456) \\ b &\longmapsto (15234)(6) \end{aligned}$$

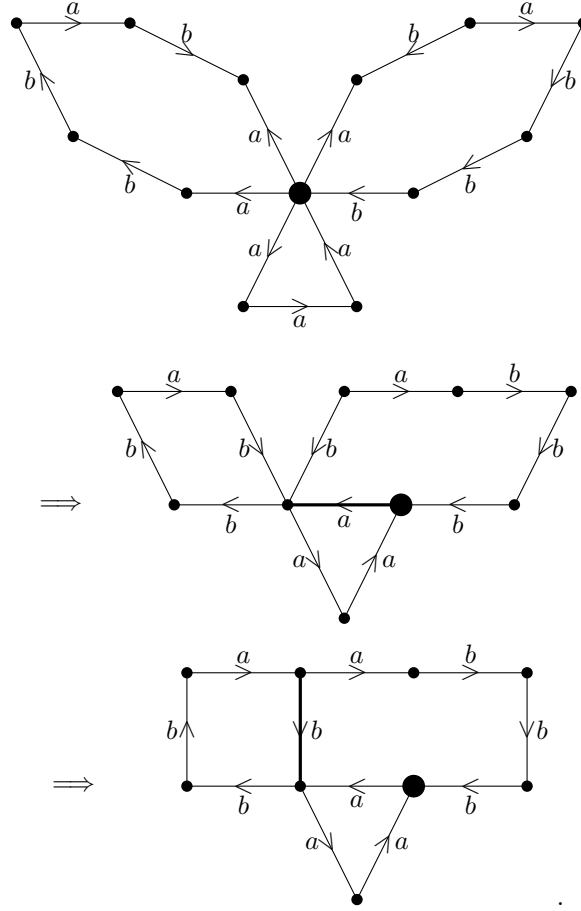
Note that $\phi(\langle S \rangle) \leq \text{Stab } 1$ and $\phi(a) \notin \text{Stab } 1$.

A **Stallings fold** is an operation on oriented, labelled graphs such that

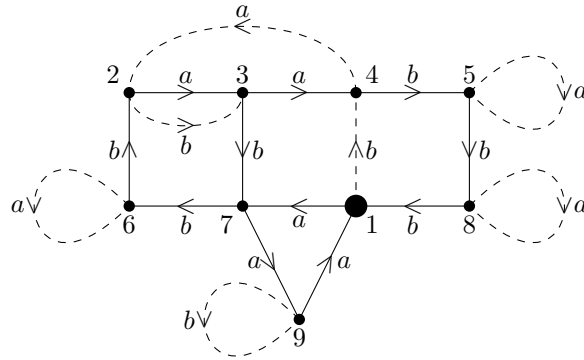


Fact 3.2.7. Folding Y gives a new graph Y' such that the image of $\pi_1(Y) \rightarrow \pi_1(Y') \rightarrow \pi_1(X)$ is still $\langle S \rangle$.

Example 3.2.8. Let $F = \langle a, b \rangle$, and let $S = \{a^3, ab^2aba^{-1}, ab^{-1}ab^3\}$. Folding,



Now can add edges to make a covering



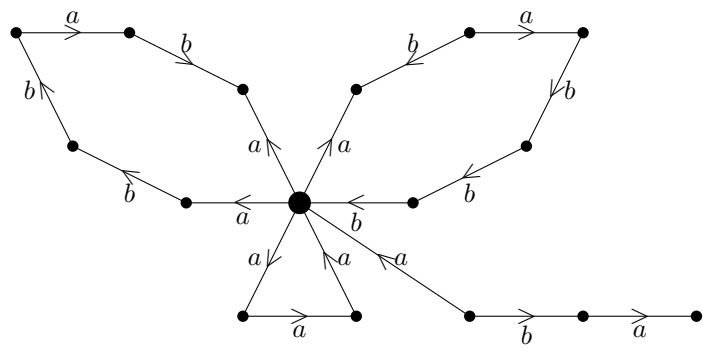
The homomorphism is

$$\begin{aligned} \phi : F &\longrightarrow \text{Sym } 9 \\ a &\longmapsto (179)(234)(5)(6)(8) \\ b &\longmapsto (1458)(2376)(9) \end{aligned}$$

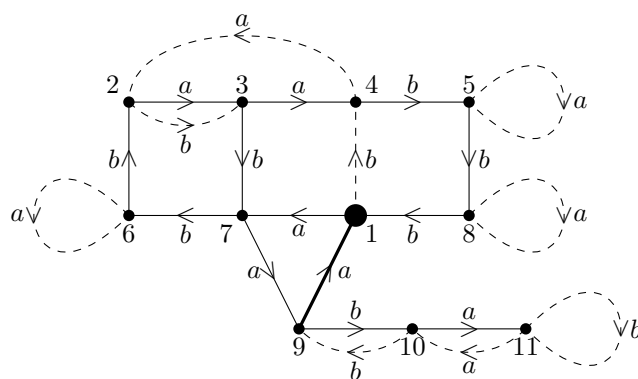
Then $\phi(\langle S \rangle) \leq \text{Stab } 1$ and $\phi(a) \notin \text{Stab } 1$, so $\phi(\langle S \rangle) \neq \phi(F)$. Thus $\langle S \rangle \neq F$. The other case is that folding gives a one-vertex graph, then $\langle S \rangle$ is generated by some standard generators of F .

What if we want to know if a specific y lies in $\langle S \rangle$? Add y into the starting graph as a line.

Example 3.2.9. Let $y = a^{-1}ba$. Fold



and make a covering space



Thus $\phi(\langle S \rangle) \leq \text{Stab } 1$ and $\phi(y) = (1 \mapsto 11) \notin \text{Stab } 1$. The other option is that y gets folded into being a loop, then $y \in \langle S \rangle$.

4 Pro- p groups

Recall that a pro- p group is an inverse limit of finite p -groups, groups of order p^n for p a fixed prime. For example, the pro- p completion of a group such as $\mathbb{Z}_p = \widehat{\mathbb{Z}_{(p)}}$.

4.1 Generators of pro- p groups

Definition 4.1.1. Let G be a finite group. The **Fratini subgroup** of G , denoted $\Phi(G)$, is

$$\Phi(G) = \bigcap \{M \mid M \text{ is a maximal proper subgroup of } G\},$$

such that if $M \leq H \leq G$ then $M = H$ or $H = G$.

Importantly, if G is finite, then every proper subgroup is contained in a maximal proper subgroup.

Proposition 4.1.2. For G a finite group and $S \subseteq G$, the following are equivalent.

1. S generates G .
2. $S\Phi(G)$ generates G , so $\Phi(G)$ are non-generators.
3. The image of S in $G/\Phi(G)$ generates $G/\Phi(G)$.

Proof.

1 \implies 2. Trivial.

2 \implies 3. Trivial.

3 \implies 1. Suppose S does not generate G . Then $\langle S \rangle$ is a proper subgroup, so, since G is finite, $\langle S \rangle$ is contained in a maximal proper subgroup M of G . Since $\Phi = \Phi(G) \leq M$, $M/\Phi \neq G/\Phi$, so $S\Phi/\Phi \leq M/\Phi \neq G/\Phi$, so $S\Phi/\Phi$ does not generate G/Φ .

□

Proposition 4.1.3. Let $f : G \rightarrow H$ be a surjection of finite groups. Then $f(\Phi(G)) \leq \Phi(H)$. Hence, $\Phi(G)$ is a characteristic subgroup of G .

Remark 4.1.4. Surjection is necessary. For example, let $\mathbb{Z}/4\mathbb{Z} = \mathcal{C}_4 \hookrightarrow \text{Sym } 5$. Then $\Phi(\mathbb{Z}/4\mathbb{Z}) = 2\mathbb{Z}/4\mathbb{Z} = \langle 2 \rangle$ and $\Phi(\text{Sym } 5) = 1$, since \mathcal{A}_5 is ruled out by $\text{Stab } 1$, a maximal proper subgroup not containing \mathcal{A}_5 .

Proof. Let M be a maximal proper subgroup of H . We claim $f^{-1}(M)$ is a maximal proper subgroup of G . Properness follows from surjectivity. If $\ker f \leq f^{-1}(M) < G' \leq G$, then $M < f(G') \leq H = f(G)$. Since M is maximal, $f(G') = H$. Then $G' = G$, since if $g \in G$, then $f(g) = f(g') \in H$, for some $g' \in G'$, then $gg'^{-1} \in \ker f$, so $g \in g' \ker f \leq G'$. Thus $\Phi(G) \leq f^{-1}(M)$, so $f(\Phi(G)) \leq M$, so $f(\Phi(G)) \leq \Phi(H)$. □

Definition 4.1.5. Let G be a group and $H, K \leq G$. Let m be an integer. Define

$$[H, K] = \langle \{[h, k] \mid h \in H, k \in K\} \rangle, \quad H^m = \langle \{h^m \mid h \in H\} \rangle, \quad HK = \{hk \mid h \in H, k \in K\}.$$

If $H \triangleleft G$ then HK is a subgroup and H^m is normal. If $H \triangleleft G$ and $K \triangleleft G$ then $HK \triangleleft G$ and $H \cap K \geq [H, K] \triangleleft G$.

Proposition 4.1.6. Let G be a finite p -group. Then

$$\Phi(G) = [G, G]G^p = \langle \{[g_1, g_2]g_3^p \mid g_1, g_2, g_3 \in G\} \rangle = \ker(G \rightarrow G_{\text{ab}} \rightarrow G_{\text{ab}}/pG_{\text{ab}}),$$

where $H_1(G, \mathbb{F}_p) = G_{\text{ab}}/pG_{\text{ab}}$ is a vector space $\mathbb{F}_p^{\text{d}(G)}$ over \mathbb{F}_p .

Proof. On example sheet 3. □

Lecture 14
Saturday
20/02/21

Definition 4.1.7. Let G be a profinite group. Define the **Frattini subgroup**

$$\Phi(G) = \bigcap \{M \mid M \text{ is a maximal proper closed subgroup of } G\},$$

which is closed, where if $M \leq_c H \leq_c G$ then $H = M$ or $H = G$.

Proposition 4.1.8. Any proper closed subgroup of a profinite group G is contained in a proper open subgroup. Hence a maximal proper closed subgroup is open, and any closed subgroup is contained in a maximal proper closed subgroup.

Proof. Let $H \leq_c G$ such that $H \neq G$. Then by Corollary 1.2.19, there exists $p : G \rightarrow Q$ for Q finite such that $p(H) \neq p(G)$. Then $p^{-1}(p(H))$ is open and proper, and contains H . Open subgroups have finite index, so maximal if and only if smallest index. \square

Proposition 4.1.9. Let $f : G \rightarrow H$ be a surjective continuous homomorphism of profinite groups. Then $f(\Phi(G)) \leq \Phi(H)$.

Proposition 4.1.10. Let G be profinite and $S \subseteq G$. Then the following are equivalent.

- S topologically generates G .
- $S\Phi(G)$ topologically generates G .
- $S\Phi(G)/\Phi(G)$ topologically generates $G/\Phi(G)$.

Proposition 4.1.11. Let $(G_j)_{j \in J}$ be a surjective inverse system of finite groups and $G = \varprojlim_j G_j$. Then

$$\Phi(G) = \varprojlim_j \Phi(G_j).$$

Proof. $\Phi(G) = \varprojlim_j p_j(\Phi(G)) \leq \varprojlim_j \Phi(G_j)$. Let M be a maximal proper closed subgroup of G . Since M is open, there exists $i \in J$ such that $\ker p_i \leq M$. This implies $\ker p_j \leq M$ for $j \leq i$. Then $p_j(M)$ is a maximal proper subgroup of G_j for all $j \leq i$, so $\Phi(G_j) \leq p_j(M)$ for all $j \leq i$. Pass to the cofinal subsystem $\{j \leq i\}$. Now $\varprojlim_j \Phi(G_j) \leq \varprojlim_j p_j(M) = M$. So $\varprojlim_{j \in J} \Phi(G_j) \leq M$ for all M , so $\varprojlim_{j \in J} \Phi(G_j) \leq \Phi(G)$. \square

Proposition 4.1.12. Let G be a topologically finitely generated pro- p group. Then

$$\Phi(G) = \overline{[G, G]G^p} = H_1(G, \mathbb{F}_p), \quad G/\Phi(G) \cong \mathbb{F}_p^d,$$

where $d = d(G)$ is the minimal size of a topological generating set of G .

Proof. Write $G = \varprojlim_j G_j$ as a surjective inverse system of finite p -groups. We know $\Phi(G) = \varprojlim_j [G_j, G_j]G_j^p$. For any $[g_1, g_2]g_3^p$ for $g_1, g_2, g_3 \in G$ we have $p_j([g_1, g_2]g_3^p) = [p_j(g_1), p_j(g_2)]p_j(g_3)^p \in [G_j, G_j]G_j^p$, so $\overline{[G, G]G^p} \leq \varprojlim_j [G_j, G_j]G_j^p = \Phi(G)$. Since $G/\overline{[G, G]G^p}$ is topologically finitely generated, abelian, and every element has order p , it is finite and equal to \mathbb{F}_p^d for some d . But $\Phi(\mathbb{F}_p^d) = \{0\}$, so $\Phi(G) \leq \overline{[G, G]G^p}$. \square

Example 4.1.13. Generation of $\widehat{F_{(p)}}$ is easy. Let $F = \langle a, b \rangle$. Then

$$\begin{array}{ccc} \widehat{F_{(p)}} & \longrightarrow & \widehat{F_{(p)}}/\Phi = \mathbb{F}_p^2 \\ a & \longmapsto & (1, 0) \\ b & \longmapsto & (0, 1) \end{array}.$$

Corollary 4.1.14. Let $f : G \rightarrow H$ be a continuous homomorphism of topologically finitely generated pro- p groups. Then $f(\Phi(G)) \leq \Phi(H)$. So f induces a map

$$f_* : G/\Phi(G) \rightarrow H/\Phi(H),$$

and f is surjective if and only if f_* is surjective.

Proof. $f([g_1, g_2]g_3^p) = [f(g_1), f(g_2)]f(g_3)^p \in \Phi(H)$ for all $g_1, g_2, g_3 \in G$. Then $f(\overline{[G, G]G^p}) \leq \Phi(H)$, so $f(\Phi(G)) = f(\overline{[G, G]G^p}) \leq \Phi(H)$. If f_* is surjective, then the image of $f(G)$ in $H/\Phi(H)$ generates $H/\Phi(H)$, so $f(G)$ topologically generates H . So $f(G) = H$. \square

4.2 Nilpotent groups

Definition 4.2.1. The **lower central series** of a group G to be the sequence $G_n = \gamma_n(G)$ defined by

$$G_1 = G, \quad G_{n+1} = [G, G_n], \quad G_{n+1} \leq G_n.$$

Then G is **nilpotent of class** c if $\gamma_{c+1}(G) = 1$ but $\gamma_c(G) \neq 1$.

The following are properties.

Proposition 4.2.2. $\gamma_n(G)$ is **fully characteristic**, so if $f : G \rightarrow H$ then $f(\gamma_n(G)) \leq \gamma_n(H)$. If f is surjective, we have equality.

Proposition 4.2.3. Subgroups and quotients of nilpotent groups are nilpotent.

Proposition 4.2.4. Finite p -groups G are nilpotent.

Proof. Proof by induction on $|G|$.

Base case. $\gamma_2(\mathbb{F}_p) = 1$.

Inductive step. There exists $z \in Z(G) \setminus \{1\}$. Then $G/\langle z \rangle$ is nilpotent, so $\gamma_{c+1}(G/\langle z \rangle) = 1$ for some c . Thus $\gamma_{c+1}(G) \leq \langle z \rangle$, so $\gamma_{c+2}(G) = [G, \gamma_{c+1}(G)] = 1$.

□

The following is a variant. For pro- p groups, the **lower central p -series** is

$$\gamma_1^{(p)}(G) = G, \quad \gamma_{n+1}^{(p)}(G) = \overline{[G, \gamma_n^{(p)}(G)] \left(\gamma_n^{(p)}(G) \right)^p},$$

so $\gamma_2^{(p)}(G) = \Phi(G)$. Then $\gamma_n^{(p)}(G)$ is open for topologically finitely generated pro- p groups, since by induction, $\gamma_{n+1}^{(p)}(G) \geq \Phi\left(\gamma_n^{(p)}(G)\right)$.

Proposition 4.2.5. Let G be a p -group. Then $\gamma_n^{(p)}(G) = 1$ for some n .

Proposition 4.2.6. Let G be a topologically finitely generated pro- p group, then $\{\gamma_n^{(p)}(G)\}$ are a basis of open normal subgroups of G .

Proof. If $N \triangleleft_o G$, then G/N is a p -group, so $\gamma_n^{(p)}(G/N) = 1$. Thus $N \geq \gamma_n^{(p)}(G)$.

□

4.3 Invariance of topology

Theorem 4.3.1 (Serre). Let G be a topologically finitely generated pro- p group. Then all finite index subgroups are open.

Thus

- every homomorphism to a finite group is continuous,
- by Proposition 1.2.14 every homomorphism to a profinite group is continuous, and
- no other topology on G makes it a profinite group, by applying Theorem 4.3.1 to $\text{id} : G \rightarrow G$.

Proposition 4.3.2. Let G be a pro- p group and let $K \leq_f G$. Then $[G : K]$ is a power of p .

Proof. Without loss of generality K is normal. Let $[G : K] = m = p^r m'$ for m' coprime to p . Let

$$X = G^{\{m\}} = \{g^m \mid g \in G\} \subseteq K.$$

Then X is closed, since it is the image of G under $g \mapsto g^m$. Thus $X = \overline{X} = \bigcap_{N \triangleleft_o G} XN$, by Proposition 1.2.21. Let $g \in G$. We will show $g^{p^r} \in K$ for all $g \in G$. This implies the result by Cauchy's theorem. Let $N \triangleleft_o G$. Let $[G : N] = p^s$. Let $t = \max(r, s)$. Then $g^{p^t} \in N$ and $\gcd(p^t, m) = p^r$. So there exist $a, b \in \mathbb{Z}$ such that $p^r = ma + p^t b$. Then $g^{p^r} = (g^a)^m \left(g^{p^t}\right)^b \in XN$.

□

Lemma 4.3.3. *Let G be a nilpotent group with a finite generating set a_1, \dots, a_d . Then every $g \in [G, G]$ may be written*

$$g = [a_1, x_1] \dots [a_d, x_d], \quad x_1, \dots, x_d \in G.$$

Proof. We induct on the nilpotency class c of G .

Base case. If $c = 1$, then $1 = \gamma_2(G) = [G, G]$, so G is abelian, which is trivial.

Inductive step. The result is true for $G/\gamma_c(G)$. So there exist $x_1, \dots, x_d \in G$ and $u \in \gamma_c(G) = [G, \gamma_{c-1}(G)]$ such that

$$g = [a_1, x_1] \dots [a_d, x_d] u.$$

Seek a nice form of u . There are commutator relations

$$[xy, z] = [x, z]^y [y, z], \quad [x, yz] = [x, z] [x, y]^z.$$

For any $v \in \gamma_{c-1}(G)$, these imply that

$$[a_i a_j, v] = [a_i, v] [a_j, v], \quad [a_i, v]^2 = [a_i, v^2],$$

$$[a_i^{-1}, v] = [a_i, v]^{-1} = [a_i, v^{-1}], \quad [a_i, v] [a_i, w] = [a_i, vw],$$

since $[\cdot, v] \in \gamma_c(G)$ is central in G . We can write u in the form

$$u = [a_1, v_1] \dots [a_d, v_d], \quad v_i \in \gamma_{c-1}(G).$$

Finally,

$$g = [a_1, x_1] \dots [a_d, x_d] [a_1, v_1] \dots [a_d, v_d] = [a_1, x_1 v_1] \dots [a_d, x_d v_d].$$

□

Proposition 4.3.4. *If G is a topologically finitely generated pro- p group, then $[G, G] G^p$ is open and closed, and equals $\Phi(G)$.*

Proof. Let

$$G^{\{p\}} = \{g^p \mid g \in G\} \subseteq G^p.$$

Then $G/[G, G]$ is abelian, and in abelian groups we have $g^p h^p = (gh)^p$, so $g^p h^p (gh)^{-p} \in [G, G]$, so $[G, G] G^p = [G, G] G^{\{p\}}$. Claim that $[G, G]$ is closed. Let a_1, \dots, a_d be a topological generating set of G . Let

$$X = \{[a_1, x_1] \dots [a_d, x_d] \mid x_1, \dots, x_d \in G\}.$$

Then X is closed, since it is the image of a continuous map $G^d \rightarrow G$. So $X = \overline{X} = \bigcap_{N \triangleleft_o G} XN$. We show $X = [G, G]$. Let $g \in [G, G]$. For any $N \triangleleft_o G$, $gN \in [G/N, G/N]$. Since G/N is nilpotent,

$$gN = [a_1 N, x_1 N] \dots [a_d N, x_d N], \quad x_i N \in G/N.$$

Then $g \in XN$ for all $N \triangleleft_o G$, so $g \in \bigcap_N XN = \overline{X} = X$. Thus $[G, G] G^{\{p\}}$ is the image of $[G, G] \times G$ under the continuous function

$$\begin{aligned} [G, G] \times G &\longrightarrow G \\ (x, g) &\longmapsto x g^p, \end{aligned}$$

so $[G, G] G^{\{p\}}$ is closed. □

Proof of Theorem 4.3.1. Proof by contradiction. Suppose G is topologically finitely generated pro- p and K is finite index but not open, such that $[G : K]$ is as small as possible. Without loss of generality K is normal. Consider

$$M = \Phi(G) K = [G, G] G^p K.$$

Then G/K is a non-trivial p -group. So the image of M is $\Phi(G/K) = [G/K, G/K] (G/K)^p < G/K$. So M is proper in G , so $M = K$, otherwise $K <_o M <_o G$. Hence $\Phi(G) \leq K$ is open, so K is open. □

4.4 Hensel's lemma and p -adic arithmetic

Previously, there exists x such that $\alpha x = 1$ if and only if $\alpha \not\equiv 0 \pmod{p}$.

Lemma 4.4.1. *Let $f(X)$ be a polynomial with coefficients in \mathbb{Z}_p . Then f has a root in \mathbb{Z}_p if and only if f has a root modulo p^k for all k .*

Example 4.4.2. Hensel lifting. Let $p = 7$. Then $3^2 = 9 \equiv 2 \pmod{7}$, so $X^2 - 2$ has a root modulo 7. To get a root modulo 49, consider $3 + 7a$ for $0 \leq a \leq p - 1 = 6$. Then

$$(3 + 7a)^2 = 3^2 + 7(6a) + 49a^2 \equiv 2 + 7(1 + 6a) \pmod{49}.$$

Choose the unique a such that $1 + 6a \equiv 0 \pmod{7}$, so $a = 1$. Then $3 + 7(1) = 10$ is a root of $X^2 - 2 \pmod{49}$, and $10^2 = 49(2) + 2$. Now we can repeat. To solve modulo 7^3 ,

$$(10 + 49a)^2 = 10^2 + 49(20a) + 49^2a^2 \equiv 2 + 49(2 + 20a) \pmod{7^3},$$

and solve for $a = 2$.

Proposition 4.4.3 (Hensel's lemma for square roots). *Let $p \neq 2$ be prime. Suppose $\lambda \in \mathbb{Z}_p$ is congruent to a non-zero square $r_1^2 \pmod{p}$, where $r_1 \in \mathbb{Z}$. Then there is a unique $\rho \in \mathbb{Z}_p$ such that $\rho^2 = \lambda$ and $\rho \equiv r_1 \pmod{p}$.*

Proof. Construct elements $r_k \in \mathbb{Z}$, unique modulo p^k , such that $r_k^2 \equiv \lambda \pmod{p^k}$ and $r_{k+1} \equiv r_k \pmod{p^k}$. Then (r_k) is Cauchy, so there exists $\rho \in \mathbb{Z}_p$ such that $r_k \rightarrow \rho$ and $\rho^2 = \lambda$.

- r_1 is given.
- Suppose we have r_k . Consider $r_k + p^k a$ for $0 \leq a \leq p - 1$. We have $r_k^2 = \lambda + p^k b_k$ for $b_k \in \mathbb{Z}_p$. Then

$$(r_k + p^k a)^2 = r_k^2 + 2r_k a p^k + p^{2k} a^2 \equiv \lambda + (b_k + 2r_k a) p^k \pmod{p^{k+1}}.$$

Now $2r_k \equiv 2r_1 \not\equiv 0 \pmod{p}$, so we can solve $b_k + 2r_k a \equiv 0 \pmod{p}$ and find a_k such that $(r_k + p^k a_k)^2 \equiv \lambda \pmod{p^{k+1}}$. Set $r_{k+1} = r_k + p^k a_k$.

□

Proposition 4.4.4 (Hensel's lemma). *Let $f(x)$ be a polynomial with coefficients in \mathbb{Z}_p . Let $r \in \mathbb{Z}_p$ such that $f(r) \equiv 0 \pmod{p^k}$ for some k and $f'(r) \not\equiv 0 \pmod{p}$, where $f' : \sum_n a_n x^n \mapsto \sum_n n a_n x^{n-1}$ is the formal derivative, and $f'(r)$ only depends on $r \pmod{p}$. Then there exists a unique $\rho \in \mathbb{Z}_p$ such that $f(\rho) = 0$ and $\rho \equiv r \pmod{p^k}$.*

Lemma 4.4.5. *For $r, a \in \mathbb{Z}_p$ and $k \geq 1$ we have*

$$f(r + p^k a) \equiv f(r) + p^k a f'(r) \pmod{p^{k+1}}.$$

Proof. It suffices to do $f(x) = x^r$. Then

$$(r + p^k a)^n = r^n + n r^{n-1} p^k a + \sum_{i=2}^n \binom{n}{i} p^{ki} a^i r^{n-i},$$

and $p^{k+1} \mid p^{2k} \mid p^{ki}$.

□

Proof of Proposition 4.4.4. Construct r_k for $k \geq K$, such that $f(r_k) \equiv 0 \pmod{p^k}$ and $r_{k+1} \equiv r_k \pmod{p^k}$, and r_{k+1} will be unique modulo p^{k+1} with these properties. Then (r_k) is Cauchy and $r_k \rightarrow \rho$, so $f(\rho) = 0$.

- r_K is given.
- If r_k is constructed, consider $r_k + p^k a$ for $0 \leq a \leq p - 1$. We have $f(r_k) = b_k p^k$ for some $b_k \in \mathbb{Z}_p$. Now

$$f(r_k + p^k a) \equiv f(r_k) + p^k a f'(r_k) \equiv p^k (b_k + a f'(r_k)) \pmod{p^{k+1}}.$$

Can solve $b_k + a f'(r_k) \equiv 0 \pmod{p}$ since $f'(r_k) \equiv f'(r) \not\equiv 0 \pmod{p}$ is invertible modulo p . So set a_k such that $b_k + a f'(r_k) \equiv 0 \pmod{p}$ and set $r_{k+1} = r_k + p^k a_k$.

□

We can also do Hensel-type things in $\mathrm{GL}_N \mathbb{Z}_p$.

Definition 4.4.6. Let

$$\mathrm{GL}_N^{(k)} \mathbb{Z}_p = \ker (\mathrm{GL}_N \mathbb{Z}_p \rightarrow \mathrm{GL}_N (\mathbb{Z}/p^k \mathbb{Z})) = \{I + p^k A \mid A \in \mathrm{Mat}_{N \times N} \mathbb{Z}_p\},$$

$$\mathrm{SL}_N^{(k)} \mathbb{Z}_p = \ker (\mathrm{SL}_N \mathbb{Z}_p \rightarrow \mathrm{SL}_N (\mathbb{Z}/p^k \mathbb{Z})).$$

Proposition 4.4.7. $\mathrm{GL}_N^{(1)} \mathbb{Z}_p$ and $\mathrm{SL}_N^{(1)} \mathbb{Z}_p$ are pro- p groups.

Proof. $|\mathrm{GL}_N^{(1)} (\mathbb{Z}/p^m \mathbb{Z})| = p^{(m-1)N^2}$, and

$$\mathrm{SL}_N^{(1)} \mathbb{Z}_p \leq \mathrm{GL}_N^{(1)} \mathbb{Z}_p = \varprojlim_m \mathrm{GL}_N^{(1)} (\mathbb{Z}/p^m \mathbb{Z}).$$

□

Remark 4.4.8. $\mathrm{GL}_N \mathbb{Z}_p$ and $\mathrm{SL}_N \mathbb{Z}_p$ are not pro- p groups, since $\mathrm{SL}_N (\mathbb{Z}/p\mathbb{Z})$ is not a p -group.

Proposition 4.4.9. Let $p \neq 2$. The continuous function

$$\begin{array}{ccc} \mathrm{GL}_N^{(k)} \mathbb{Z}_p & \longrightarrow & \mathrm{GL}_N^{(k+1)} \mathbb{Z}_p \\ A & \longmapsto & A^p \end{array}$$

maps surjectively for $k \geq 1$. Also for $\mathrm{SL}_N^{(k)} \mathbb{Z}_p \rightarrow \mathrm{SL}_N^{(k+1)} \mathbb{Z}_p$.

Proof. For $r \geq 1$ and A a matrix over \mathbb{Z}_p , we have

$$(I + p^r A)^p = I + p^{r+1} A + \sum_{l=2}^p p^{rl} \binom{p}{p-l} A^l = I + p^{r+1} A + p^{r+2} B,$$

for some B which commutes with A , unless $p = 2$, $l = 2$, and $r = 1$. Let $I + p^{k+1} A \in \mathrm{GL}_N^{(k+1)} \mathbb{Z}_p$. We show the following inductive statement for $n \geq 1$. There exist B_n and E_n , which are polynomials in A , hence commute with A and each other, such that

$$B_{n+1} \equiv B_n \pmod{p^n}, \quad (I + p^k B_n)^p = I + p^{k+1} A + p^{k+n+1} E_n.$$

Then (B_n) is Cauchy so $B_n \rightarrow B_\infty \in \mathrm{Mat}_{N \times N} \mathbb{Z}_p$, and $(I + p^k B_\infty)^p = I + p^{k+1} A$.

- Start with $B_1 = A$. Then

$$(I + p^k A)^p = I + p^{k+1} A + p^{k+2} E_1.$$

- Assume B_n and E_n are given. Set $B_{n+1} = B_n - p^n E_n$. Then

$$\begin{aligned} (I + p^k B_{n+1})^p &= (I + p^k B_n - p^{k+n} E_n)^p = (I + p^k B_n)^p - p (I + p^k B_n)^{p-1} p^{k+n} E_n + \dots \\ &= I + p^{k+1} A + p^{k+n+1} E_n - p^{k+n+1} E_n + \dots = I + p^{k+1} A + p^{k+n+2} E_{n+1}. \end{aligned}$$

□

Proposition 4.4.10.

$$\Phi (\mathrm{GL}_N^{(k)} \mathbb{Z}_p) = \mathrm{GL}_N^{(k+1)} \mathbb{Z}_p, \quad \mathrm{GL}_N^{(k)} \mathbb{Z}_p / \mathrm{GL}_N^{(k+1)} \mathbb{Z}_p \cong \mathbb{F}_p^{N^2},$$

a uniform pro- p group, with isomorphisms

$$\begin{array}{ccc} \mathrm{GL}_N^{(k)} \mathbb{Z}_p / \mathrm{GL}_N^{(k+1)} \mathbb{Z}_p & \longrightarrow & \mathrm{GL}_N^{(k+1)} \mathbb{Z}_p / \mathrm{GL}_N^{(k+2)} \mathbb{Z}_p \\ x & \longmapsto & x^p \end{array}.$$

Theorem 4.4.11. Let H be any closed subgroup of $\mathrm{GL}_N^{(1)} \mathbb{Z}_p$. Then $d(H) \leq N^2$.

Compare to a free group as a subgroup of $\mathrm{SL}_2 \mathbb{Z}$.

Theorem 4.4.12. If G is a pro- p group, such that $d(H) \leq R$ for all $H \leq_c G$, then

$$G / \mathbb{Z}_p^a \hookrightarrow \mathrm{GL}_R \mathbb{Z}_p \times F,$$

where F is finite.

5 Cohomology of groups

In the homology of spaces, a simplicial complex X gives a family of abelian groups $H_n(X)$ with \mathbb{Z} coefficients. In the cohomology of groups, a group G gives a family of abelian groups $H^n(G, M)$ with M coefficients.

Lecture 17
Saturday
27/02/21

5.1 Group rings and chain complexes

Let G be an abstract group.

Definition 5.1.1. The **group ring** of G is a ring $\mathbb{Z}G$ defined as follows. The additive group of $\mathbb{Z}G$ is the free abelian group with basis $\{g \mid g \in G\}$, so an element is a finite formal sum $\sum_{g \in G} n_g g$ for $n_g \in \mathbb{Z}$. The ring multiplication is defined on the basis by $g \cdot h = gh$ and extended bilinearly.

Thus $\mathbb{Z}G$ is non-commutative unless G is abelian, and has an identity e , the multiplicative identity in $\mathbb{Z}[G]$, usually called 1.

Example 5.1.2. If e is the identity of G , then $(e + g)(e - 2h) = e + g - 2h - 2gh$.

Definition 5.1.3. A **left G -module**, or **$\mathbb{Z}G$ -module**, is an abelian group M equipped with a G -action, a function

$$\begin{aligned} \mathbb{Z}G \times M &\longrightarrow M \\ (r, m) &\longmapsto r \cdot m \end{aligned}$$

such that for all $r_1, r_2 \in \mathbb{Z}G$ and for all $m_1, m_2 \in M$,

$$r_1 \cdot (m_1 + m_2) = r_1 \cdot m_1 + r_1 \cdot m_2, \quad (r_1 + r_2) \cdot m_1 = r_1 \cdot m_1 + r_2 \cdot m_1, \quad (r_1 r_2) \cdot m_1 = r_1 \cdot (r_2 \cdot m_1).$$

A **trivial module**, or a **module with trivial G -action**, is a module such that $g \cdot m = m$ for all $g \in G$ and for all $m \in M$.

Definition 5.1.4. Let M_1 and M_2 be G -modules. A **morphism of G -modules**, or **G -linear map**, is an abelian group homomorphism $\alpha : M_1 \rightarrow M_2$ such that $\alpha(r \cdot m) = r \cdot \alpha(m)$ for all $r \in \mathbb{Z}G$ and $m \in M$.

Note that only need to check this for basis elements $r = g$.

Definition 5.1.5. Let M and N be G -modules. Define the **Hom-group**

$$\text{Hom}_G(M, N) = \{G\text{-linear maps } \alpha : M \rightarrow N\},$$

with abelian group structure $(\alpha + \beta)(m) = \alpha(m) + \beta(m)$. If $\text{Hom}(M, N)$, this means $\text{Hom}_1(M, N)$, the abelian group homomorphisms.

Definition 5.1.6. If $f : M_1 \rightarrow M_2$ is a morphism of G -modules then we have a **dual map**

$$\begin{aligned} f^* : \text{Hom}_G(M_2, N) &\longrightarrow \text{Hom}_G(M_1, N) \\ \alpha &\longmapsto \alpha \circ f \end{aligned}$$

Also, we have an **induced map**

$$\begin{aligned} f_* : \text{Hom}_G(N, M_1) &\longrightarrow \text{Hom}_G(N, M_2) \\ \beta &\longmapsto f \circ \beta \end{aligned}$$

Thus

$$\begin{array}{ccc} & N & \\ \beta \swarrow & & \searrow f_* \beta \\ M_1 & \xrightarrow{f} & M_2 \\ f^* \alpha \swarrow & & \searrow \alpha \\ & N & \end{array}$$

Submodules and quotients are the obvious things.

Definition 5.1.7. Let M be a G -module. Then a **G -submodule** is a subgroup $N \leq M$ such that $g \cdot n \in N$ for all $g \in G$ and $n \in N$. If N is a submodule, we have a **quotient module** M/N , the abelian group M/N , with the G -action $g \cdot (m + N) = g \cdot m + N$.

Definition 5.1.8. A **chain complex of G -modules** $(M_n) = (M_n, d_n)_{t \leq n \leq s}$ is a sequence of G -modules

$$M_s \xrightarrow{d_s} M_{s-1} \rightarrow \cdots \rightarrow M_{t+1} \xrightarrow{d_{t+1}} M_t,$$

where $s = \infty$ or $t = -\infty$ are possible, such that $d_n \circ d_{n+1} = 0$, so $\text{im } d_{n+1} \leq \ker d_n$. The complex is **exact at** M_n if $\text{im } d_{n+1} = \ker d_n$. The complex is **exact** if it is exact at M_n for all $t < n < s$. The **homology** of the chain complex is the family of G -modules

$$H_n(M_\bullet) = \begin{cases} \ker d_s & n = s \\ \ker d_n / \text{im } d_{n+1} & t < n < s \\ M_t / \text{im } d_{t+1} & n = t \end{cases}.$$

Example 5.1.9.

- The complex

$$0 \rightarrow M_1 \xrightarrow{\alpha} M_2$$

is exact if and only if α is injective.

- The complex

$$M_1 \xrightarrow{\alpha} M_2 \rightarrow 0$$

is exact if and only if α is surjective.

- A **short exact sequence** is an exact sequence

$$0 \rightarrow M_1 \xrightarrow{\alpha} M_2 \xrightarrow{\beta} M_3 \rightarrow 0,$$

that is α is injective, β is surjective, and $\ker \beta = \text{im } \alpha$, such as

$$0 \rightarrow N \rightarrow M \rightarrow M/N \rightarrow 0.$$

Definition 5.1.10. Given a set X , the **free $\mathbb{Z}G$ -module on X** , denoted $\mathbb{Z}G\{X\}$, is set of finite formal sums $\sum_{x \in X} r_x x$ for $r_x \in \mathbb{Z}G$. The G -action is the obvious one $g \cdot (\sum_x r_x x) = \sum_x (gr_x) x$.

If X is finite, $\mathbb{Z}G\{X\} \cong (\mathbb{Z}G)^{|X|}$.

Definition 5.1.11. A G -module P is **projective** if, for every surjective G -linear map $\alpha : M_1 \twoheadrightarrow M_2$ and every G -linear $\beta : P \rightarrow M_2$ there exists a G -linear $\bar{\beta} : P \rightarrow M_1$ such that $\alpha \circ \bar{\beta} = \beta$, so

$$\begin{array}{ccc} & P & \\ \swarrow \bar{\beta} & \downarrow \beta & \\ M_1 & \xrightarrow{\alpha} M_2 & \longrightarrow 0 \end{array}.$$

Proposition 5.1.12. *Free modules are projective.*

Proof. Let $\mathbb{Z}G\{X\}$ be a free module and take $\alpha : M_1 \twoheadrightarrow M_2$ and $\beta : \mathbb{Z}G\{X\} \rightarrow M_2$. For each $x \in X$ choose $m_x \in M_1$ such that $\alpha(m_x) = \beta(x)$, since α is surjective. Define

$$\begin{array}{ccc} \bar{\beta} : \mathbb{Z}G\{X\} & \longrightarrow & M_1 \\ x & \longmapsto & m_x \end{array},$$

and extend linearly, so $\bar{\beta}(\sum_{x \in X} r_x x) = \sum_{x \in X} r_x m_x$. □

Definition 5.1.13. A **projective resolution of \mathbb{Z} by $\mathbb{Z}G$ -modules** is an exact sequence

$$\cdots \xrightarrow{d_2} F_1 \xrightarrow{d_1} F_0 \xrightarrow{d_0} \mathbb{Z} \rightarrow 0,$$

where each F_n is projective, and \mathbb{Z} has trivial G -action.

Definition 5.1.14. Take a projective resolution as above. Let M be a G -module. Apply the functor $\text{Hom}_G(-, M)$ to get a sequence

$$\dots \xleftarrow{d_2^*} \text{Hom}_G(F_1, M) \xleftarrow{d_1^*} \text{Hom}_G(F_0, M),$$

where $d^n = d_n^*$ is the dual map, so $C_n = \text{Hom}_G(F_{-n}, M)$ for $n \leq 0$ is a chain complex. The **n -th cohomology group of G with coefficients in M** is

$$H^n(G, M) = \begin{cases} \ker d^1 & n = 0 \\ \ker d^{n+1} / \text{im } d^n & n > 0 \end{cases}.$$

Elements of $\ker d^{n+1}$ are called **n -cocycles**. Elements of $\text{im } d^n$ are called **n -coboundaries**.

Where do these come from? From topology. Consider a connected simplicial complex X whose universal cover \tilde{X} is contractible, with $\pi_1(X) = G$. Let X_n be the set of n -simplices of X . Then G acts on \tilde{X} with quotient X , and without fixing any points. Therefore the n -simplices of \tilde{X} are in bijection with $G \times X_n$. The simplicial chain complex of \tilde{X} is of the form

$$\dots \xrightarrow{d_2} \mathbb{Z}G\{X_1\} \xrightarrow{d_1} \mathbb{Z}G\{X_0\} \rightarrow \mathbb{Z} \rightarrow 0.$$

Since \tilde{X} is contractible, $H_n(\tilde{X}) = 0$ for $n > 0$, so exact at $\mathbb{Z}G\{X_n\}$ for $n > 0$, and $H_0(\tilde{X}) \cong \mathbb{Z}$. So we get a free resolution of \mathbb{Z} . Applying $\text{Hom}_G(-, M)$ gives $\text{Hom}_G(\mathbb{Z}G\{X_n\}, M)$. Take the case $M = \mathbb{Z}$. Then $\text{Hom}_G(\mathbb{Z}G\{X_n\}, \mathbb{Z}) \cong \text{Hom}(\mathbb{Z}\{X_n\}, \mathbb{Z})$, so

$$\dots \leftarrow \text{Hom}(\mathbb{Z}\{X_1\}, \mathbb{Z}) \leftarrow \text{Hom}(\mathbb{Z}\{X_0\}, \mathbb{Z}),$$

which gives $H^n(G, \mathbb{Z})$. The dual is

$$\dots \rightarrow \mathbb{Z}\{X_1\} \rightarrow \mathbb{Z}\{X_0\},$$

which gives $H_n(X)$.

Example 5.1.15. Let $G = \mathbb{Z} = \langle t \rangle$. Consider the sequence

$$0 \rightarrow \mathbb{Z}G \xrightarrow{d_1} \mathbb{Z}G \xrightarrow{\epsilon} \mathbb{Z} \rightarrow 0,$$

where $d_1(x) = x(t-1)$ and

$$\epsilon\left(\sum_{g \in G} n_g g\right) = \sum_{g \in G} n_g$$

is the **augmentation map**. Claim that this is a free resolution of \mathbb{Z} .

- ϵ is obviously surjective.
- $\ker \epsilon \geq \text{im } d_1$. If $x = \sum_g n_g g$, then

$$\epsilon(d_1(x)) = \epsilon(x(t-1)) = \epsilon(xt) - \epsilon(x) = \epsilon\left(\sum_g n_g(gt)\right) - \epsilon\left(\sum_g n_g g\right) = \sum_g n_g - \sum_g n_g = 0.$$

- $\ker \epsilon \leq \text{im } d_1$. Let $x = \sum_g n_g g$ such that $\sum_g n_g = 0$. Relabel each $g = t^k$ for some k , so rewriting,

$$\begin{aligned} x &= \sum_k n_k t^k = n_L t^L + \dots + n_K t^K \\ &= n_L t^{L-1}(t-1) + \dots + (n_L + \dots + n_{K-2}) t^{K-1}(t-1) + (n_L + \dots + n_K) t^K \\ &= (n_L t^{L-1} + \dots + (n_L + \dots + n_{K-2}) t^{K-1})(t-1) \in \text{im } d_1. \end{aligned}$$

- d_1 is injective. Let $x = \sum_k n_k t^k = n_L t^L + \dots$ for $n_L \neq 0$. Then $x(t-1)$ has highest coefficient $n_L t^{L+1} \neq 0$.

Lecture 18
Tuesday
02/03/21

Let M be a G -module. Then

$$\begin{array}{ccc} 0 & \longleftarrow & \text{Hom}_G(\mathbb{Z}G, M) \xleftarrow{d^1} \text{Hom}_G(\mathbb{Z}G, M) \\ & \downarrow \iota \sim & \sim \downarrow \iota \\ 0 & \longleftarrow & M \longleftarrow M \end{array},$$

where $\iota(\phi) = \phi(1)$. Let $m \in M$, and let $\phi \in \text{Hom}_G(\mathbb{Z}G, M)$ such that $\iota(\phi) = \phi(1) = m$. Then

$$\iota(d^1(\phi)) = d^1(\phi)(1) = \phi(d_1(1)) = \phi(t-1) = (t-1)\phi(1) = (t-1)m.$$

Thus

- $H^0(G, M) = \{m \in M \mid tm = m\} = M^G$ are the **invariants**, the elements on which G acts trivially,
- $H^1(G, M) = M/(t-1)M = M_G$ are the **coinvariants**, and
- $H^n(G, M) = 0$ for $n \geq 2$.

Let $\alpha : \mathbb{Z}G\{X\} \rightarrow \mathbb{Z}G\{Y\}$ be G -linear for $X = \{x_1, \dots, x_n\}$ and $Y = \{y_1, \dots, y_m\}$ finite, so $\mathbb{Z}G\{X\} \cong (\mathbb{Z}G)^n$ and $\mathbb{Z}G\{Y\} \cong (\mathbb{Z}G)^m$. Then α can be written as a matrix multiplication

$$\alpha(x_i) = \sum_j a_{ij} y_j, \quad a_{ij} \in \mathbb{Z}G.$$

If (r_1, \dots, r_n) is a row vector corresponding to $\sum_i r_i x_i$, then

$$\alpha(r_1, \dots, r_n) = \left(\sum_i r_i a_{i1}, \dots, \sum_i r_i a_{im} \right) = (r_1, \dots, r_n) \begin{pmatrix} a_{11} & \dots & a_{1m} \\ \vdots & \ddots & \vdots \\ a_{n1} & \dots & a_{nm} \end{pmatrix}.$$

Now if M is a G -module, we have

$$\begin{array}{ccc} \iota_X : \text{Hom}_G(\mathbb{Z}G\{X\}, M) & \longrightarrow & M^n \\ \psi & \longmapsto & \begin{pmatrix} \psi(x_1) \\ \vdots \\ \psi(x_n) \end{pmatrix}, \end{array} \quad \begin{array}{ccc} \iota_Y : \text{Hom}_G(\mathbb{Z}G\{Y\}, M) & \longrightarrow & M^m \\ \phi & \longmapsto & \begin{pmatrix} \phi(y_1) \\ \vdots \\ \phi(y_m) \end{pmatrix}. \end{array}$$

Then

$$\begin{array}{ccc} \text{Hom}_G(\mathbb{Z}G\{X\}, M) & \xleftarrow{\alpha^*} & \text{Hom}_G(\mathbb{Z}G\{Y\}, M) \\ \downarrow \iota_X \sim & & \sim \downarrow \iota_Y \\ M^n & \xleftarrow{\tilde{\alpha}} & M^m \end{array}.$$

Let $(b_1, \dots, b_m)^T \in M^m$, and let $\phi \in \text{Hom}_G(\mathbb{Z}G\{Y\}, M)$ such that $\iota_Y(\phi) = (b_1, \dots, b_m)^T$, so $\phi(y_i) = b_i$. Then

$$\begin{aligned} \tilde{\alpha}(b_1, \dots, b_m) &= \iota_X(\alpha^*(\phi)) = \begin{pmatrix} \alpha^*(\phi)(x_1) \\ \vdots \\ \alpha^*(\phi)(x_n) \end{pmatrix} = \begin{pmatrix} \phi(\alpha(x_1)) \\ \vdots \\ \phi(\alpha(x_n)) \end{pmatrix} = \begin{pmatrix} \phi\left(\sum_j a_{1j} y_j\right) \\ \vdots \\ \phi\left(\sum_j a_{nj} y_j\right) \end{pmatrix} \\ &= \begin{pmatrix} \sum_j a_{1j} \phi(y_j) \\ \vdots \\ \sum_j a_{nj} \phi(y_j) \end{pmatrix} = \begin{pmatrix} \sum_j a_{1j} b_j \\ \vdots \\ \sum_j a_{nj} b_j \end{pmatrix} = \begin{pmatrix} a_{11} & \dots & a_{1m} \\ \vdots & \ddots & \vdots \\ a_{n1} & \dots & a_{nm} \end{pmatrix} \begin{pmatrix} b_1 \\ \vdots \\ b_m \end{pmatrix}. \end{aligned}$$

Proposition 5.1.16. *Let G be a finitely generated free group. If $n \geq 2$ then $H^n(G, M) = 0$ for all G -modules M .*

Proof. Let X be a wedge of circles with $\pi_1(X) = G$. Then \tilde{X} is a tree, so contractible, which gives a free resolution of G

$$0 \rightarrow \mathbb{Z}G\{X_1\} \rightarrow \mathbb{Z}G\{X_0\} \rightarrow \mathbb{Z} \rightarrow 0,$$

where $|X_0| = 1$ and $|X_1| = \text{rk } G$. Thus $H^n(G, M) = 0$ for all $n \geq 2$. \square

Definition 5.1.17. A group G has **cohomological dimension** n if $H^m(G, M) = 0$ for all $m > n$ and all G -modules M but there exists M such that $H^n(G, M) \neq 0$. If no n exists then the cohomological dimension of G is infinity.

Free groups have cohomological dimension one. By Stallings, groups with cohomological dimension one are free.

Definition 5.1.18. Let (A_n, α_n) and (B_n, β_n) be chain complexes. A **chain map** (f_n) is a sequence of G -linear maps $f_n : A_n \rightarrow B_n$ such that $f_{n-1} \circ \alpha_n = \beta_n \circ f_n$, so

$$\begin{array}{ccc} A_n & \xrightarrow{\alpha_n} & A_{n-1} \\ f_n \downarrow & & \downarrow f_{n-1} \\ B_n & \xrightarrow{\beta_n} & B_{n-1} \end{array}$$

commutes.

Proposition 5.1.19. *If (f_n) is a chain map, then (f_n) gives induced maps*

$$(f_*)_n : H_n(A_\bullet) \rightarrow H_n(B_\bullet).$$

These maps are functorial, so if $(g_n) : (B_n) \rightarrow (C_n)$ then $(g_)_n \circ (f_*)_n = ((g \circ f)_*)_n : H_n(A_\bullet) \rightarrow H_n(C_\bullet)$.*

Proof. Take $x \in \ker \alpha_n$. Define

$$(f_*)_n([x]) = [f_n(x)], \quad [x] = x + \text{im } \alpha_{n+1} \in H_n(A_\bullet).$$

Then $\beta_n(f_n(x)) = f_{n-1}(\alpha_n(x)) = 0$, so $f_n(x) \in \ker \beta_n$. The choice of x does not matter, since if $x' = x + \alpha_{n+1}(y)$, then

$$f_n(x') + \text{im } \beta_{n+1} = f_n(x) + f_n(\alpha_{n+1}(y)) + \text{im } \beta_{n+1} = f_n(x) + \beta_{n+1}(f_{n+1}(y)) + \text{im } \beta_{n+1} = f_n(x) + \text{im } \beta_{n+1}.$$

\square

Corollary 5.1.20. *Let $f : M \rightarrow N$ be a map of G -modules. Then we get maps*

$$\begin{array}{ccc} (f_*)_n : \text{Hom}_G(F_n, M) & \longrightarrow & \text{Hom}_G(F_n, N) \\ \phi & \longmapsto & f \circ \phi \end{array}.$$

These are chain maps, so we have

$$(f_*)_n : H^n(G, M) \rightarrow H^n(G, N).$$

Lemma 5.1.21 (Snake lemma). *If*

$$0 \rightarrow A_\bullet \xrightarrow{f_\bullet} B_\bullet \xrightarrow{g_\bullet} C_\bullet \rightarrow 0$$

is a short exact sequence of chain complexes, where f_\bullet and g_\bullet are chain maps and each

$$0 \rightarrow A_n \rightarrow B_n \rightarrow C_n \rightarrow 0$$

is exact. Then there exists $\delta_n : H_{n+1}(C_\bullet) \rightarrow H_n(A_\bullet)$ such that

$$\cdots \rightarrow H_{n+1}(C_\bullet) \xrightarrow{\delta_n} H_n(A_\bullet) \xrightarrow{(f_*)_n} H_n(B_\bullet) \xrightarrow{(g_*)_n} H_n(C_\bullet) \rightarrow \cdots$$

Proof. See algebraic topology. \square

Proposition 5.1.22. *Let*

$$0 \rightarrow M_1 \xrightarrow{\alpha} M_2 \xrightarrow{\beta} M_3 \rightarrow 0$$

*be a short exact sequence of G -modules. There is a **long exact sequence***

$$\cdots \rightarrow H^n(G, M_1) \xrightarrow{(\alpha_*)_n} H^n(G, M_2) \xrightarrow{(\beta_*)_n} H^n(G, M_3) \xrightarrow{\delta} H^{n+1}(G, M_1) \rightarrow \cdots,$$

*where δ are **connecting homomorphisms**.*

Proof. Apply the snake lemma to

$$0 \rightarrow \text{Hom}_G(F_\bullet, M_1) \xrightarrow{(\alpha_*)_\bullet} \text{Hom}_G(F_\bullet, M_2) \xrightarrow{(\beta_*)_\bullet} \text{Hom}_G(F_\bullet, M_3) \rightarrow 0,$$

where F_\bullet is a projective resolution of \mathbb{Z} by G -modules. It remains to prove

$$0 \rightarrow \text{Hom}_G(F_n, M_1) \xrightarrow{(\alpha_*)_n} \text{Hom}_G(F_n, M_2) \xrightarrow{(\beta_*)_n} \text{Hom}_G(F_n, M_3) \rightarrow 0$$

is exact for each n .

- $\ker(\alpha_*)_n = 0$. Let $\phi : F_n \rightarrow M_1$. If $(\alpha_*)_n(\phi) = 0$ then $0 = \alpha \circ \phi$, so for all $x \in F_n$, $0 = \alpha(\phi(x))$, so $0 = \phi(x)$ for all x , so $\phi = 0$.
- $\ker(\beta_*)_n = \text{im}(\alpha_*)_n$. Let $\phi : F_n \rightarrow M_2$ be in the kernel of $(\beta_*)_n$. Then $\beta(\phi(x)) = 0$ for all $x \in F_n$, so $\phi(x) \in \ker \beta = \text{im} \alpha$, so there exists a unique $y_x \in M_1$ such that $\alpha(y_x) = \phi(x)$. Declare

$$\begin{array}{ccc} \psi & : & F_n \longrightarrow M_1 \\ & & x \longmapsto y_x \end{array}.$$

Then $(\alpha_*)_n(\psi) = \phi$, and ψ is G -linear follows from uniqueness of y_x , since $\alpha(gy_x) = g\alpha(y_x) = g\phi(x) = \phi(gx)$ implies that $gy_x = y_{gx}$.

- $(\beta_*)_n$ is surjective. Exactly the definition of F_n projective.

□

5.2 Different projective resolutions

Theorem 5.2.1. *The definition of $H^n(G, M)$ is independent of the choice of projective resolution.*

Proof. Take two projective resolutions (F_n, d_n) and (F'_n, d'_n) of \mathbb{Z} by G -modules. Suppose we construct chain maps

- $f_n : F_n \rightarrow F'_n$ such that $f_{n-1} \circ d_n = d'_n \circ f_n$,
- $g_n : F'_n \rightarrow F_n$ such that $g_{n-1} \circ d'_n = d_n \circ g_n$,
- $s_n : F_n \rightarrow F_{n+1}$ such that $d_{n+1} \circ s_n + s_{n-1} \circ d_n = g_n \circ f_n - \text{id}$, and
- $s'_n : F'_n \rightarrow F'_{n+1}$ such that $d'_{n+1} \circ s'_n + s'_{n-1} \circ d'_n = f_n \circ g_n - \text{id}$.

These maps prove Theorem 5.2.1. Take a G -module M . Chain maps (f_n) and (g_n) give homomorphisms $f_n^* : \text{Hom}_G(F'_n, M) \rightarrow \text{Hom}_G(F_n, M)$, which give homomorphisms $f_n^* : H_{F'_n}^n(G, M) \rightarrow H_{F_n}^n(G, M)$. Take $\phi : F_n \rightarrow M$ such that $\phi \in \ker d^{n+1}$. Then

$$\begin{aligned} f_n^*(g_n^*(\phi)) &= \phi \circ g_n \circ f_n = \phi \circ (\text{id} + d_{n+1} \circ s_n + s_{n-1} \circ d_n) = \phi + \phi \circ d_{n+1} \circ s_n + \phi \circ s_{n-1} \circ d_n \\ &= \phi + s_n^*(d^{n+1}(\phi)) + d^n(s_{n-1}^*(\phi)) = \phi + d^n(s_{n-1}^*(\phi)) \in \phi + \text{im } d^n. \end{aligned}$$

So $f_n^*(g_n^*(\phi + \text{im } d^n)) = \phi + \text{im } d^n$, that is $f_n^* \circ g_n^* = \text{id}$, on cohomology.

Construct f_n , inductively.

- Start with the identity $f_{-1} : \mathbb{Z} \rightarrow \mathbb{Z}$ and $f_{-2} : 0 \rightarrow 0$.
- Suppose we have f_n and f_{n-1} . Build f_{n+1} . Since $d'_n \circ f_n \circ d_{n+1} = f_{n-1} \circ d_n \circ d_{n+1} = 0$, there exists $f_{n+1} : F_{n+1} \rightarrow F'_{n+1}$ such that $d'_{n+1} \circ f_{n+1} = f_n \circ d_{n+1}$, so

$$\begin{array}{ccccccc}
 & & F_{n+1} & \xrightarrow{d_{n+1}} & F_n & \xrightarrow{d_n} & F_{n-1} \\
 & \swarrow f_{n+1} & \downarrow f_n \circ d_{n+1} & & \downarrow f_n & & \downarrow f_{n-1} \\
 F'_{n+1} & \xrightarrow[d'_{n+1}]{} & \ker d'_n = \operatorname{im} d'_{n+1} & \subset & F'_n & \xrightarrow{d'_n} & F'_{n-1}
 \end{array}$$

Construct s_n such that $d_{n+1} \circ s_n + s_{n-1} \circ d_n = g_n \circ f_n - \operatorname{id} = h_n$.

- Start with the zero map $s_{-1} : \mathbb{Z} \rightarrow F_0$.
- Assume s_{n-1} and s_{n-2} are constructed. Define $t_n = h_n - s_{n-1} \circ d_n$. Since

$$d_n \circ t_n = d_n \circ h_n - d_n \circ s_{n-1} \circ d_n = h_{n-1} \circ d_n - (-s_{n-2} \circ d_{n-1} + h_{n-1}) \circ d_n = s_{n-2} \circ d_{n-1} \circ d_n = 0,$$

there exists s_n such that $d_{n+1} \circ s_n = t_n = h_n - s_{n-1} \circ d_n$, so

$$\begin{array}{ccccccc}
 & & F_n & \xrightarrow{d_n} & F_{n-1} & \xrightarrow{d_{n-1}} & F_{n-2} \\
 & \swarrow s_n & \downarrow t_n & \searrow h_n & \swarrow s_{n-1} & \downarrow h_{n-1} & \swarrow s_{n-2} \\
 F_{n+1} & \xrightarrow[d_{n+1}]{} & \ker d_n = \operatorname{im} d_{n+1} & \subset & F_n & \xrightarrow{d_n} & F_{n-1} \xrightarrow{d_{n-1}} F_{n-2}
 \end{array}$$

□

Definition 5.2.2. Let

$$G^{(n)} = \{[g_1 \mid \cdots \mid g_n] : g_1, \dots, g_n \in G\}, \quad G^{(0)} = \{[\]\}.$$

The **bar resolution** is $F_n = \mathbb{Z}G\{G^{(n)}\}$, the free module with basis $G^{(n)}$, with

$$\begin{aligned}
 d_n : F_n &\longrightarrow F_{n-1} \\
 [g_1 \mid \cdots \mid g_n] &\longmapsto \begin{aligned} &g_1 [g_2 \mid \cdots \mid g_n] \\ &- [g_1 g_2 \mid \cdots \mid g_n] \\ &+ \cdots \\ &+ (-1)^{n-1} [g_1 \mid \cdots \mid g_{n-1} g_n] \\ &+ (-1)^n [g_1 \mid \cdots \mid g_{n-1}] \end{aligned},
 \end{aligned}$$

and the augmentation map

$$\begin{aligned}
 \epsilon : F_0 = \mathbb{Z}G\{[\]\} &\longrightarrow \mathbb{Z} \\
 g[\] &\longmapsto 1.
 \end{aligned}$$

Fact. This is a chain complex, so $d_{n-1} \circ d_n = 0$.

Proposition 5.2.3. *The bar resolution is exact.*

Proof. Forget the G -action. Then F_n is free abelian on the set $G \times G^{(n)} = \{g[g_1 \mid \cdots \mid g_n]\}$. Define abelian group homomorphisms

$$\begin{aligned}
 s_n : F_n &\longrightarrow F_{n+1} \\
 g[g_1 \mid \cdots \mid g_n] &\longmapsto [g \mid g_1 \mid \cdots \mid g_{n+1}].
 \end{aligned}$$

By a calculation, $d_{n+1} \circ s_n + s_{n-1} \circ d_n = \operatorname{id}_{F_n}$. If $x \in \ker d_n$, then

$$x = \operatorname{id}(x) = d_{n+1}(s_n(x)) + s_{n-1}(d_n(x)) = d_{n+1}(s_n(x)) \in \operatorname{im} d_{n+1},$$

so $\ker d_n = \operatorname{im} d_{n+1}$. □

Let M be a G -module. The **cochain group** is

$$C^n(G, M) = \{\text{functions } \phi : G^n \rightarrow M\} \cong \text{Hom}_G(F_n, M).$$

The dual of the d_n in the bar resolution is

$$d^n : C^{n-1}(G, M) \longrightarrow C^n(G, M)$$

$$\phi \longmapsto \left((g_1, \dots, g_n) \mapsto \begin{array}{l} g_1 \phi(g_2, \dots, g_n) \\ - \phi(g_1 g_2, \dots, g_n) \\ + \dots \\ + (-1)^{n-1} \phi(g_1, \dots, g_{n-1} g_n) \\ + (-1)^n \phi(g_1, \dots, g_{n-1}) \end{array} \right).$$

The **group of n -cocycles** is

$$Z^n(G, M) = \ker d^{n+1} \leq C^n(G, M).$$

The **group of n -coboundaries** is

$$B^n(G, M) = \text{im } d^n \leq C^n(G, M).$$

Then

$$H^n(G, M) = Z^n(G, M) / B^n(G, M).$$

Corollary 5.2.4. *Let G be a group and M a G -module. Then*

$$H^0(G, M) = Z^0(G, M) = \ker d^1 = \{m \in M \mid \forall g, gm = m\} = M^G,$$

*the invariants. A function $\phi : G \rightarrow M$ is a **crossed homomorphism** if $\phi(gh) = g\phi(h) + \phi(g)$, and a **principal crossed homomorphism** if $\phi(g) = gm - m$ for some $m \in M$. Then*

$$H^1(G, M) = \{\text{crossed homomorphisms}\} / \{\text{principal crossed homomorphisms}\},$$

which is $\text{Hom}(G, M)$ if M is trivial.

Proof. Take $\phi \in C^0(G, M)$ such that $\phi(1) = m$. Then

$$d^1(\phi)(g) = g\phi(1) - \phi(1) = gm - m.$$

Let $\phi \in C^1(G, M)$. Then

$$d^2(\phi)(g, h) = g\phi(h) - \phi(gh) + \phi(g),$$

so $\phi \in \ker d^2$ if and only if $\phi(gh) = g\phi(h) + \phi(g)$. If M has trivial G -action, ϕ is a homomorphism $G \rightarrow M$. \square

Proposition 5.2.5. *Let $\alpha : G_1 \rightarrow G_2$ be a group homomorphism. Let M be a G_2 -module and make M into a G_1 -module via*

$$g_1 \cdot m = \alpha(g_1)m, \quad g_1 \in G_1, \quad m \in M.$$

Then there is a natural homomorphism

$$\alpha^* : H^n(G_2, M) \rightarrow H^n(G_1, M).$$

If $\beta : G_0 \rightarrow G_1$ then $(\alpha \circ \beta)^ = \beta^* \circ \alpha^*$.*

Proof. Define maps by

$$\alpha^* : C^n(G_2, M) \longrightarrow C^n(G_1, M)$$

$$\phi \longmapsto ((g_1, \dots, g_n) \mapsto \phi(\alpha(g_1), \dots, \alpha(g_n))).$$

Then $d^n \circ \alpha^* = \alpha^* \circ d^n$, so

$$\begin{array}{ccc} C^n(G_2, M) & \xrightarrow{\alpha^*} & C^n(G_1, M) \\ d^n \downarrow & & \downarrow d^n \\ C^{n+1}(G_2, M) & \xrightarrow{\alpha^*} & C^{n+1}(G_1, M) \end{array}.$$

Thus α^* induce maps on cohomology. \square

We might like a sequence of groups

$$1 \rightarrow H \rightarrow G \rightarrow Q \rightarrow 1, \quad H \triangleleft G, \quad G/H = Q$$

to give a long exact sequence in cohomology. This is false.

Example 5.2.6. Let

$$1 \rightarrow \mathbb{Z} \rightarrow \mathbb{Z}^2 \rightarrow \mathbb{Z} \rightarrow 1.$$

Then

$$\begin{array}{ccccccc} \dots & \longrightarrow & H^2(\mathbb{Z}, M) & \longrightarrow & H^2(\mathbb{Z}^2, M) & \longrightarrow & H^2(\mathbb{Z}, M) \longrightarrow \dots \\ & & \text{\scriptsize \mathbb{R}} & & & & \text{\scriptsize \mathbb{R}} \\ & & 0 & & & & 0 \end{array},$$

and there exists M such that $H^2(\mathbb{Z}^2, M) \neq 0$.

Lemma 5.2.7. Let $H \triangleleft G$. Let M be a G -module. Let G act on $C^n(H, M)$ by

$$g \cdot \phi = ((h_1, \dots, h_n) \mapsto g\phi(g^{-1}h_1g, \dots, g^{-1}h_ng)).$$

Then this descends to an action of G on $H^n(H, M)$, and H acts trivially, so this is an action of G/H .

Proof. We want $g \cdot d^n(\phi) = d^n(g \cdot \phi)$, which holds by direct computation. So the action of G is by chain maps, so gives an action on cohomology. For H acts trivially, we will just do $n = 1$. Take $\phi \in Z^1(H, M)$ and let $\eta, h \in H$. Then

$$\begin{aligned} (\eta \cdot \phi)(h) - \phi(h) &= \eta\phi(\eta^{-1}h\eta) - \phi(h) = \eta(\eta^{-1}\phi(h\eta) + \phi(\eta^{-1})) - \phi(h) = \phi(h\eta) + \eta\phi(\eta^{-1}) - \phi(h) \\ &= h\phi(\eta) + \phi(h) + \eta\phi(\eta^{-1}) - \phi(h) = h\phi(\eta) + \eta\phi(\eta^{-1}) = h\phi(\eta) - \phi(\eta) = d^1(\phi(\eta))(h), \end{aligned}$$

since $\phi(1 \cdot 1) = 1\phi(1) + \phi(1)$ so $\phi(1) = 0$ and $0 = \phi(1) = \phi(\eta\eta^{-1}) = \eta\phi(\eta^{-1}) + \phi(\eta)$. \square

The useful case is $n = 1$. If $\phi : H \rightarrow M$ is a crossed homomorphism $(g \cdot \phi)(h) = g\phi(g^{-1}hg)$. If M is trivial, this reads $(g \cdot \phi)(h) = \phi(g^{-1}hg)$ so the homomorphism ϕ is G -invariant.

Theorem 5.2.8 (Five-term inflation-restriction exact sequence). Let $H \triangleleft G$ and $Q = G/H$ and let M be a G -module. There is exact sequence

$$0 \rightarrow H^1(Q, M^H) \rightarrow H^1(G, M) \rightarrow H^1(H, M)^Q \rightarrow H^2(Q, M^H) \rightarrow H^2(G, M).$$

Proof. Just define the maps.

- **Restriction maps**

$$\begin{aligned} \text{Res} : \quad H^k(G, M) &\longrightarrow H^k(H, M)^Q \\ (f : G^k \rightarrow M) &\longmapsto (\text{Res } f : H^k \leq G^k \xrightarrow{f} M) \end{aligned}.$$

- **Inflation maps**

$$\begin{aligned} \text{Inf} : \quad H^k(Q, M^H) &\longrightarrow H^k(G, M) \\ (f : Q^k \rightarrow M^H) &\longmapsto (\text{Inf } f : G^k \twoheadrightarrow Q^k \xrightarrow{f} M^H \leq M) \end{aligned}.$$

- **Transgression maps.** Let $s : Q \rightarrow G$ be a set-theoretic section, so $(Q \rightarrow G \rightarrow Q) = \text{id}_Q$, with $s(1) = 1$. Define

$$\begin{aligned} \rho : G &\longrightarrow H \\ g &\longmapsto gs(gH)^{-1}. \end{aligned}$$

If $f : H \rightarrow M$ represents a Q -invariant cohomology class define

$$\begin{aligned} \text{Tg} : H^1(H, M)^Q &\longrightarrow H^2(Q, M^H) \\ f &\longmapsto ((g_1, g_2) \mapsto f(\rho(g_1)\rho(g_2)) - f(\rho(g_1g_2))) \end{aligned}.$$

\square

If G is a free group, $H^2(G, M) = 0$ for all M .

Corollary 5.2.9 (Hopf's formula). *Let F be a free group and $R \triangleleft F$ and $Q = F/R$. Let A be an abelian group, viewed as a trivial module. Then*

$$H^2(Q, A) \cong \{F\text{-invariant homomorphisms } R \rightarrow A\} / \{\text{homomorphisms } F \rightarrow A\}.$$

Proof. There is an exact sequence

$$\text{Hom}(F, A) \rightarrow \text{Hom}(R, A)^F \rightarrow H^2(Q, A) \rightarrow 0.$$

□

If $Q = \langle x_1, \dots, x_d \mid r_1, \dots, r_m \rangle$ is a presentation, then $F = \langle x_1, \dots, x_d \rangle$ is free and $R = \langle \langle r_1, \dots, r_m \rangle \rangle$ is a normal subgroup generated by r_i . Then $d(H^1(Q, \mathbb{Z})) = d(\text{Hom}(Q, \mathbb{Z})) \leq d$. An F -invariant homomorphism $R \rightarrow \mathbb{Z}$ is determined by images of r_i , so $d(H^2(Q, \mathbb{Z})) \leq m$.

Example. Let $Q = \mathbb{Z}/3\mathbb{Z}$ and let Q act on $M = \mathbb{Z}^2$ via the order three matrix $A = \begin{pmatrix} -1 & 1 \\ -1 & 0 \end{pmatrix}$. Consider the short exact sequence of groups

$$\begin{array}{ccccccc} 1 & \longrightarrow & H & \longrightarrow & G & \longrightarrow & Q \longrightarrow 1 \\ & & \cong & & \cong & & \cong \\ & & \mathbb{Z} & & \mathbb{Z} & & \mathbb{Z}/3\mathbb{Z} \end{array}.$$

Since H acts trivially on M , $H^1(H, M) = \text{Hom}(H, M) \cong \mathbb{Z}^2$ by $f \mapsto f(1)$. Then $f \in H^1(H, M)^Q$ if and only if $f(1)$ is Q -invariant, if and only if $Af(1) = f(1)$. If $Ax = x$, then $x = 0$, so $H^1(H, M)^Q = 0$ and $H^2(G, M) = 0$. By the five-term exact sequence, $H^2(Q, M) = 0$.