

Quality Assessment of sequencing data



- Why QC?
 - Bad data = bad assembly
 - Partial / missing data?
 - Is there enough data?
 - Did I get what I expect?
 - Can I assemble it?
 - Do I need to change my analysis workflow?
 - Is it the correct type?
 - Are there biases?
 - Is there contamination?
 - Most checks can be made before assembly and assembly validation
- Focus:
 - Illumina data (PE + MP)
 - Pacbio data
 - Nanopore data

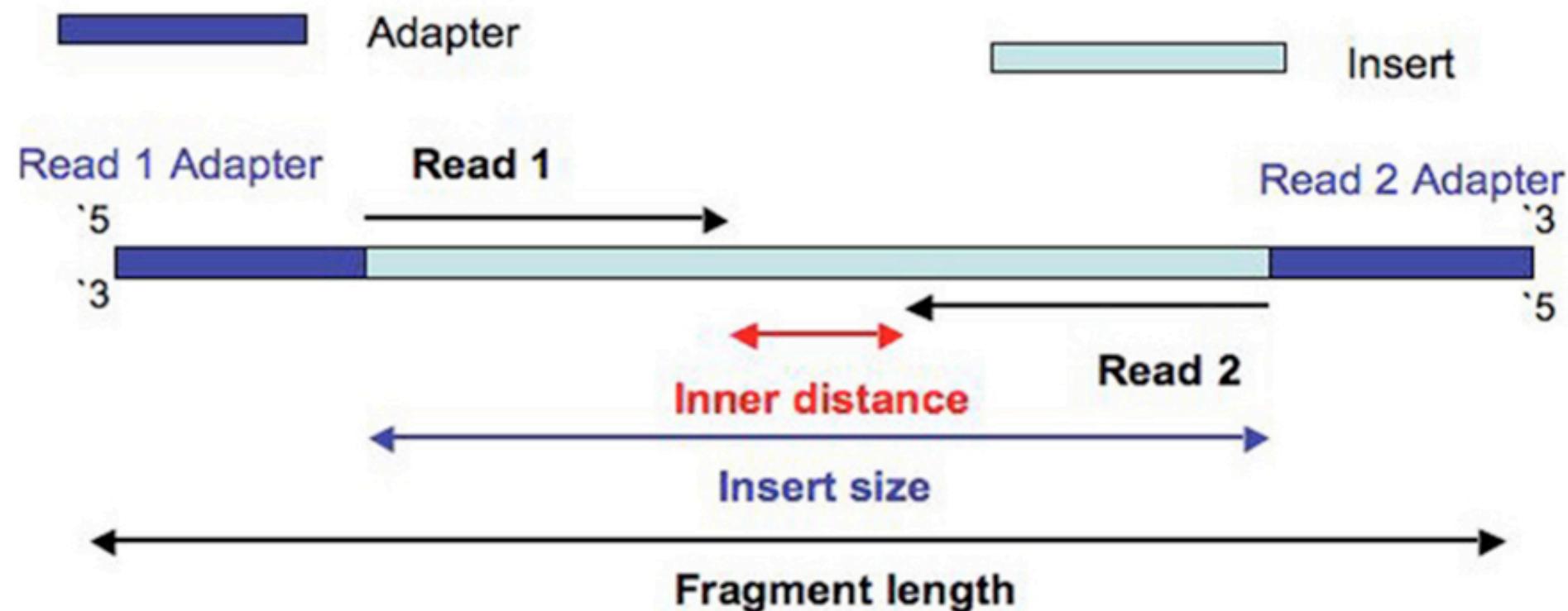
- Make sure your data is whole.
 - File checksums ensure data integrity
 - MD5
 - ```
$ md5sum file1.fastq.gz # before
```

823fc8b0ca72c6e9bd8c5dcb0a66ce9b file1.fastq.gz
      - ```
$ md5sum -c checksums.md5 # after
```

file1.fastq.gz: OK
file2.fastq.gz: OK
file3.fastq.gz: FAILED
md5sum: WARNING: 1 of 3 computed checksums did NOT match
 - Calculate file checksums before transfer.
 - Validate checksums against the transferred files after the transfer.

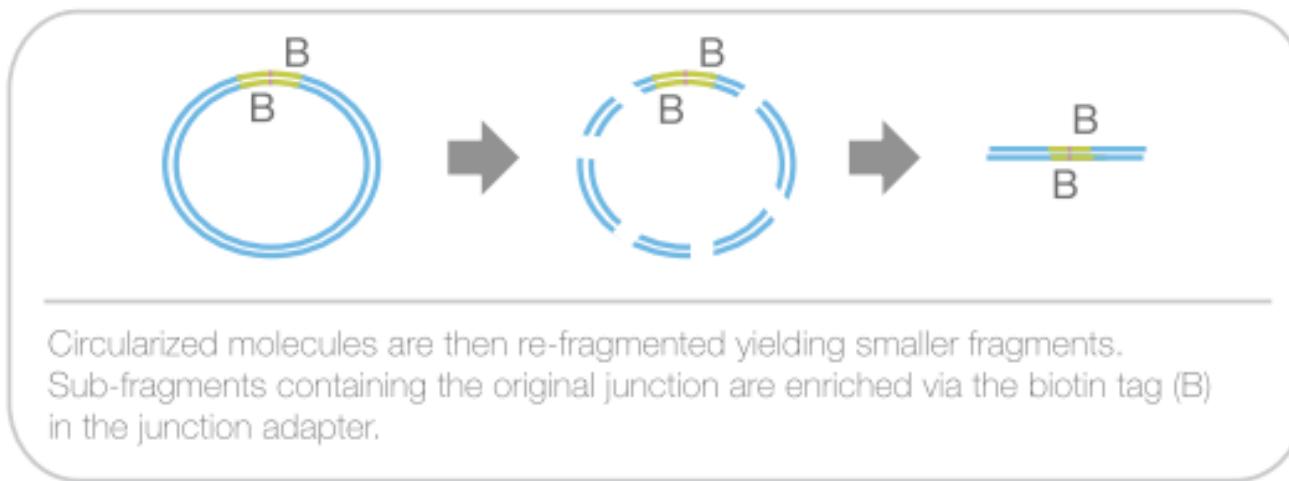
Illumina Sequencing

- Paired end Illumina library



Illumina Sequencing

- Mate pair Illumina library



Format Check

- Check the format

- ```
$ zcat file1.fastq.gz | head
```

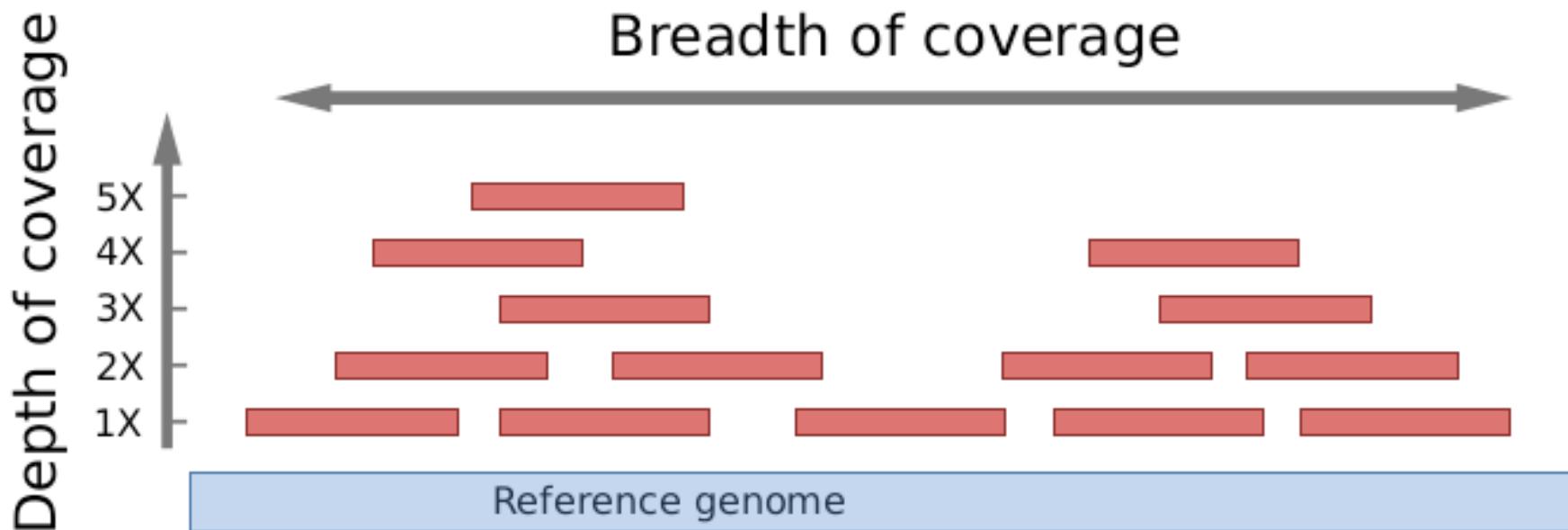
```
@HWI-ST486:212:D0C8BACXX:6:1101:2365:1998 1:N:0:ATTCCT
CTTATCGGATCGATCCCAGTTGGGCTTGTAAACGGTGAATCCTCAAAGACCACCAATGTTG
+
CCCFEEEEHHHHJJJJHIIJIIJGGJGFEGIGHIBFGHJIJIICHIIIDHGGIGIGHEFG
@HWI-ST486:212:D0C8BACXX:6:1101:2365:1998 2:N:0:ATTCCT
TAACCGAGCAAACAAAAGTTGGTTGTACAAATTGTAATGACCTGATTAAACTGATTTTT
+
CCCFEEEEHHHHJIIIJHIJJHIIJJ
```

```
@EAS139:136:FC706VJ:2:2104:15343:197393 1:Y:18:ATCACG
```

|                |                                                                            |
|----------------|----------------------------------------------------------------------------|
| <b>EAS139</b>  | the unique instrument name                                                 |
| <b>136</b>     | the run id                                                                 |
| <b>FC706VJ</b> | the flowcell id                                                            |
| <b>2</b>       | flowcell lane                                                              |
| <b>2104</b>    | tile number within the flowcell lane                                       |
| <b>15343</b>   | 'x'-coordinate of the cluster within the tile                              |
| <b>197393</b>  | 'y'-coordinate of the cluster within the tile                              |
| <b>1</b>       | the member of a pair, 1 or 2 ( <i>paired-end or mate-pair reads only</i> ) |
| <b>Y</b>       | Y if the read is filtered, N otherwise                                     |
| <b>18</b>      | 0 when none of the control bits are on, otherwise it is an even number     |
| <b>ATCACG</b>  | index sequence                                                             |

# Do I have enough data?

- What is my expected genome size?
- What depth of coverage should I expect?
  - Illumina:
    - 100x coverage in total
- Coverage = Number of bases sequenced / Estimated genome size



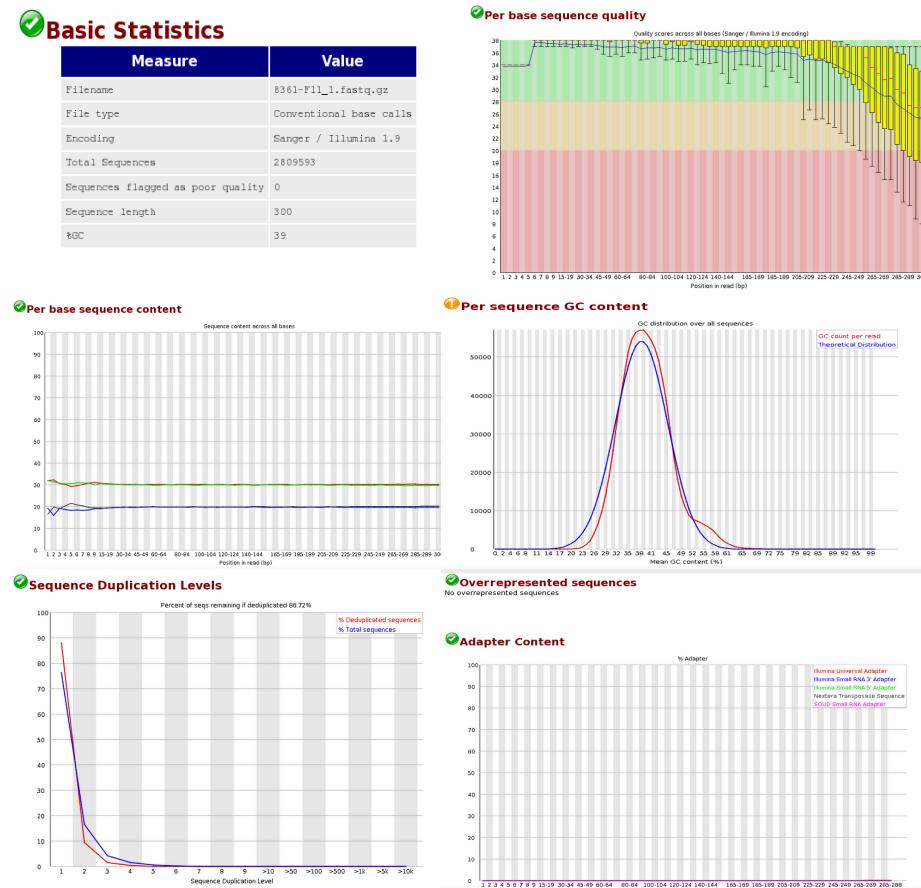
# Calculating data quantity

- FastQC / MultiQC summary reports
- Third party scripts
- Command line calculation (my favourite way)
  - Can use Seqtk to convert files to fasta
  - `zcat *.fastq.gz | seqtk seq -A - | grep -v "^>" | tr -dc "ACGTNacgtn" | wc -m`
    - zcat ( concatenates the compressed fastq files into one stream )
    - seqtk ( converts to fasta format and drops reads less than 10k )
    - grep ( -v excludes lines starting with ">", i.e. fasta headers )
    - tr ( -dc removes any characters not in set "ACGTNacgtn" )
    - wc ( -m counts characters )
  - `parallel 'seqtk seq -A {} | grep -v "^>" | tr -dc "ACGTNacgtn" | wc -m' :::: *.fastq.gz | paste -sd+ | bc -l`

# Calculating data quantity

- How much data is too much data?
  - Greater than 200X coverage is considered extreme.
- Why is too much data bad?
  - Increased computation time and resources
  - Errors begin to compound and start to look like real data.
  - Assemblies become more fragmented and inaccurate.
- How should I subsample?
  - Use a random fraction of the reads maintaining read pairing.
    - E.g. Use the same seed (-s) and give the fraction (0.1) in Seqtk.  
`seqtk sample -s100 read1.fq 0.1 > sub1.fq`  
`seqtk sample -s100 read2.fq 0.1 > sub2.fq`
  - Normalize uneven coverage (e.g. bbnorm)

- What does it tell you?
  - Total read pairs
  - Sequence length
  - Quality Score Encoding
  - Average GC%
  - Base quality along the read
  - Nucleotide % along the read
  - Sequence GC content
  - Duplication %
  - Adapter content
- Look at MultiQC for multiple samples



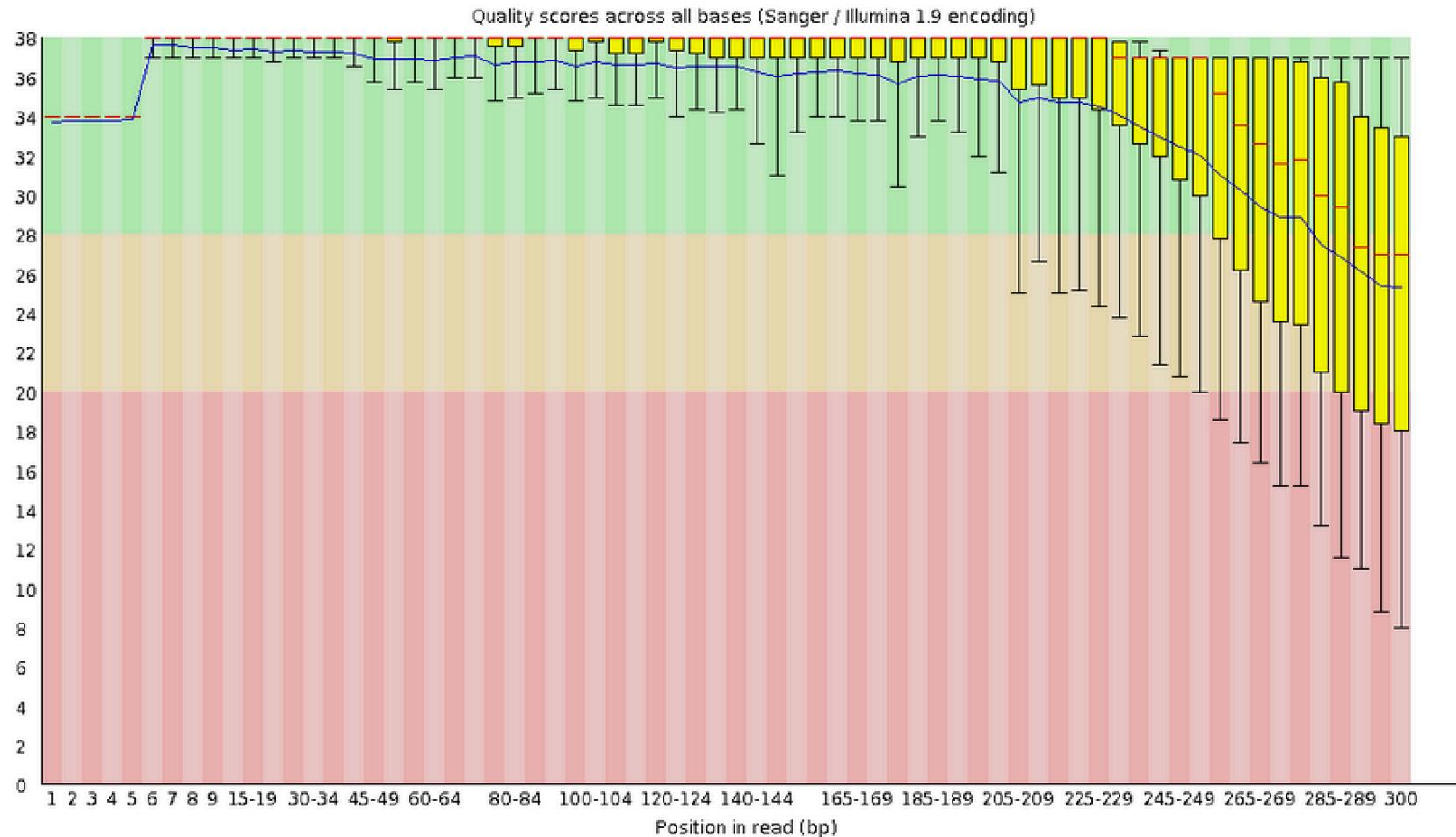


## Basic Statistics

| Measure                           | Value                   |
|-----------------------------------|-------------------------|
| Filename                          | 8361-F11_1.fastq.gz     |
| File type                         | Conventional base calls |
| Encoding                          | Sanger / Illumina 1.9   |
| Total Sequences                   | 2809593                 |
| Sequences flagged as poor quality | 0                       |
| Sequence length                   | 300                     |
| %GC                               | 39                      |



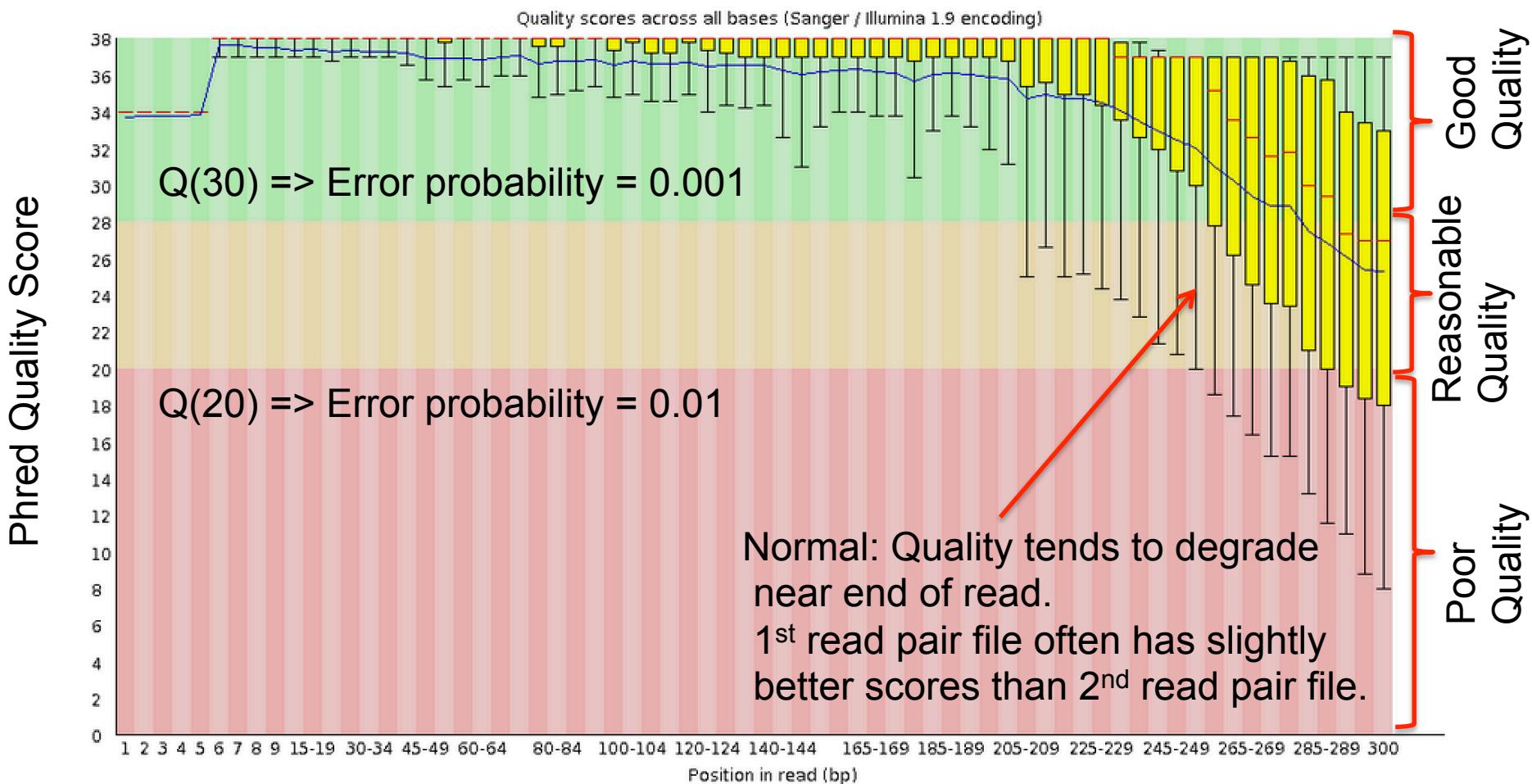
## Per base sequence quality



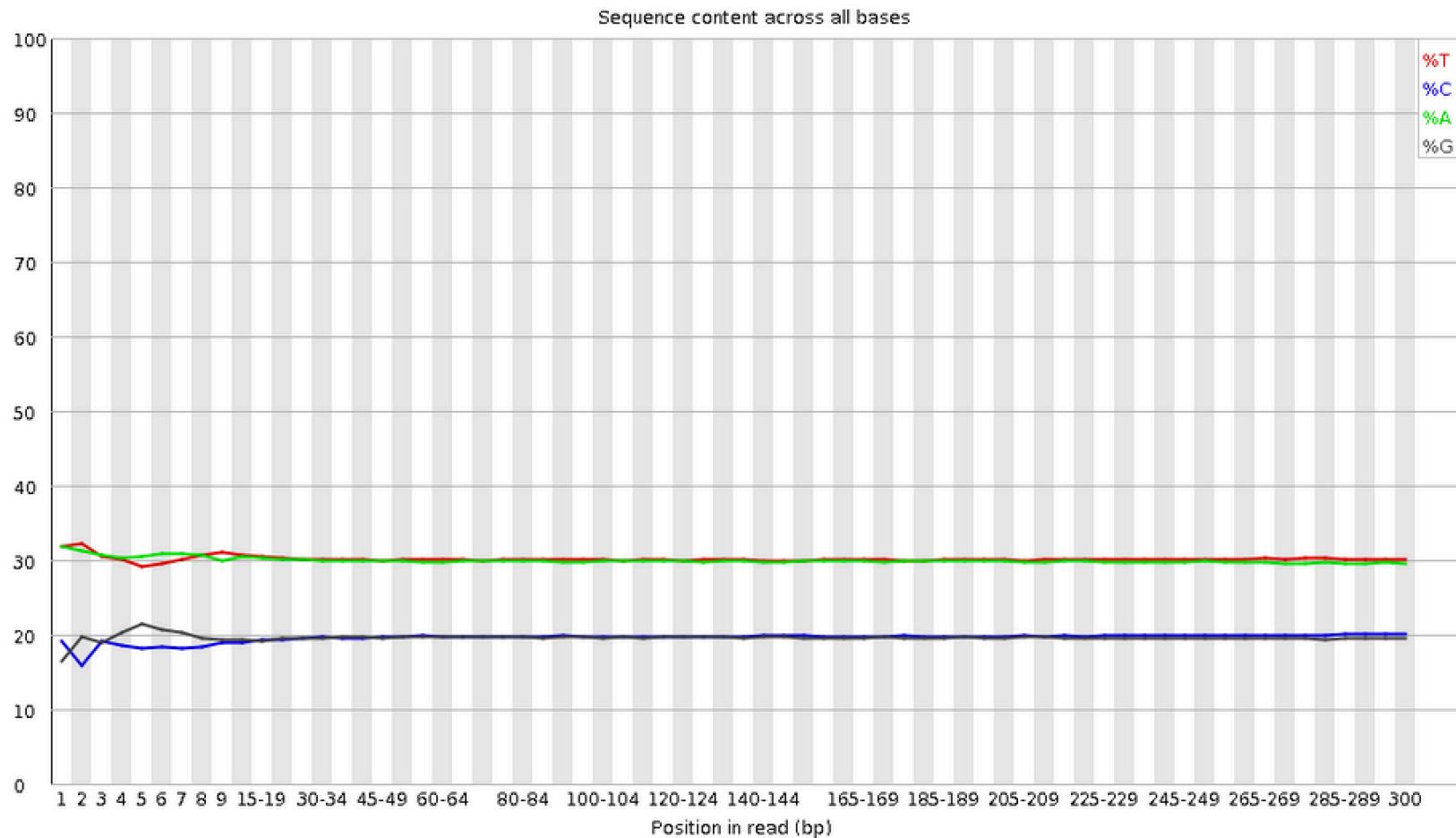
$Q(40) \Rightarrow \text{Error probability} = 0.0001$

## Per base sequence quality

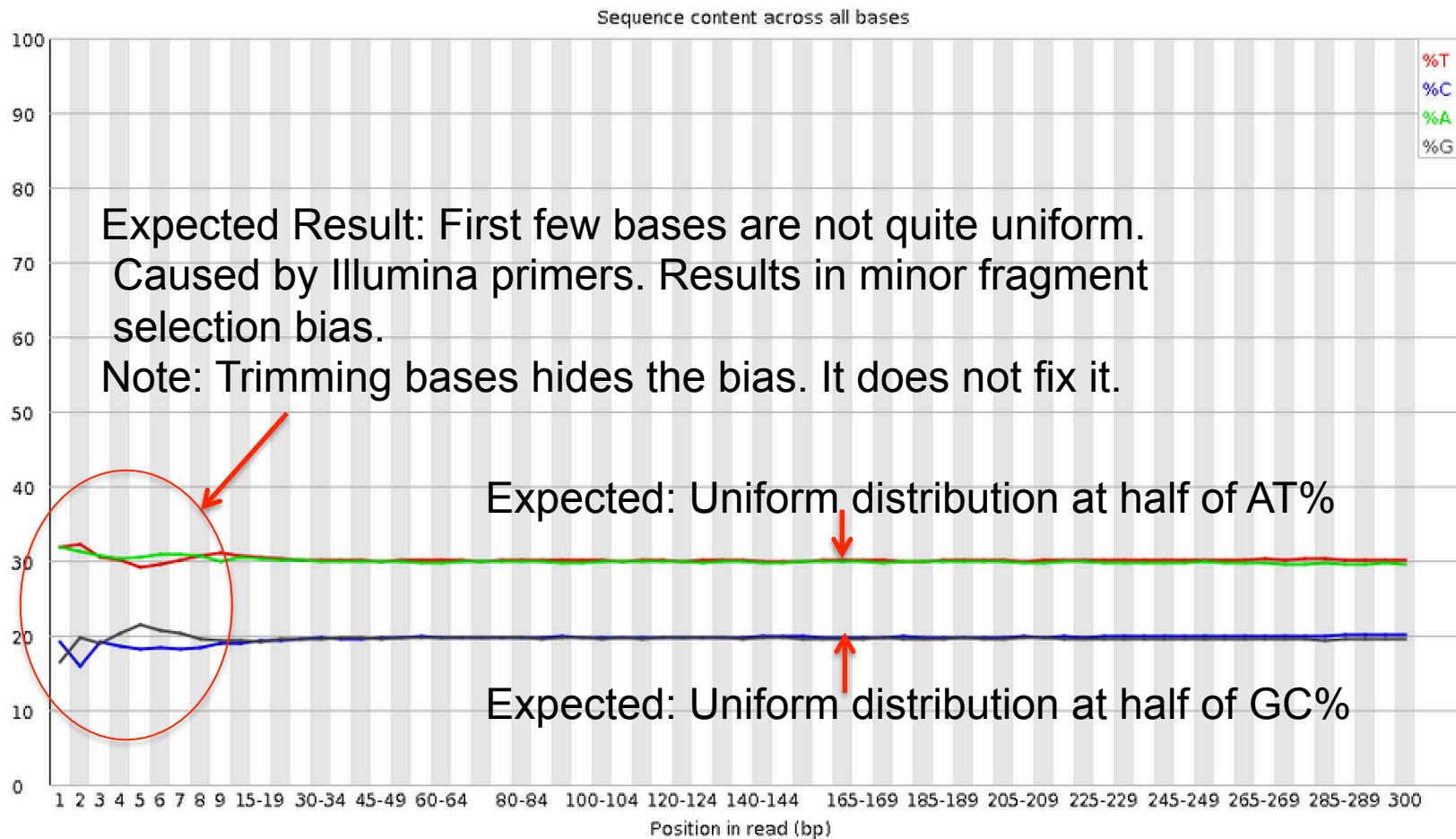
Blue line = mean quality



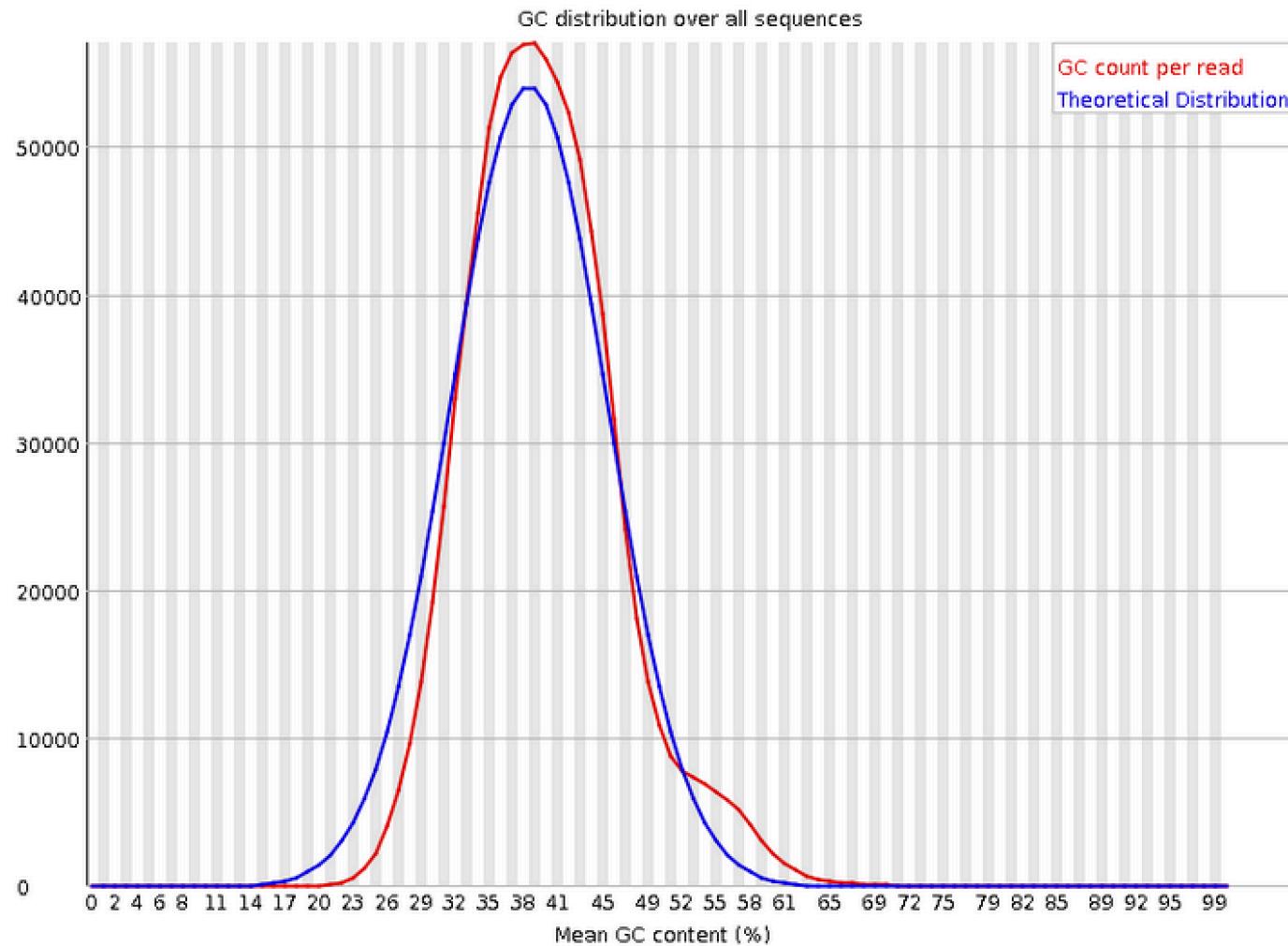
## ✓ Per base sequence content



## ✓ Per base sequence content



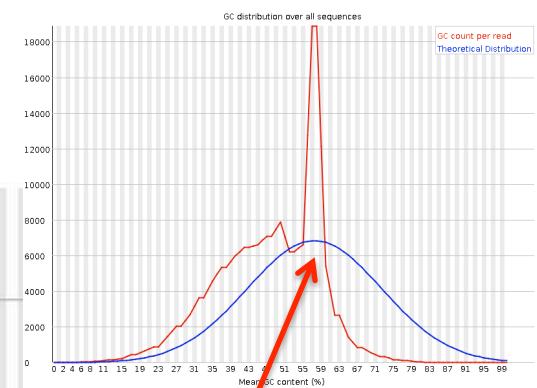
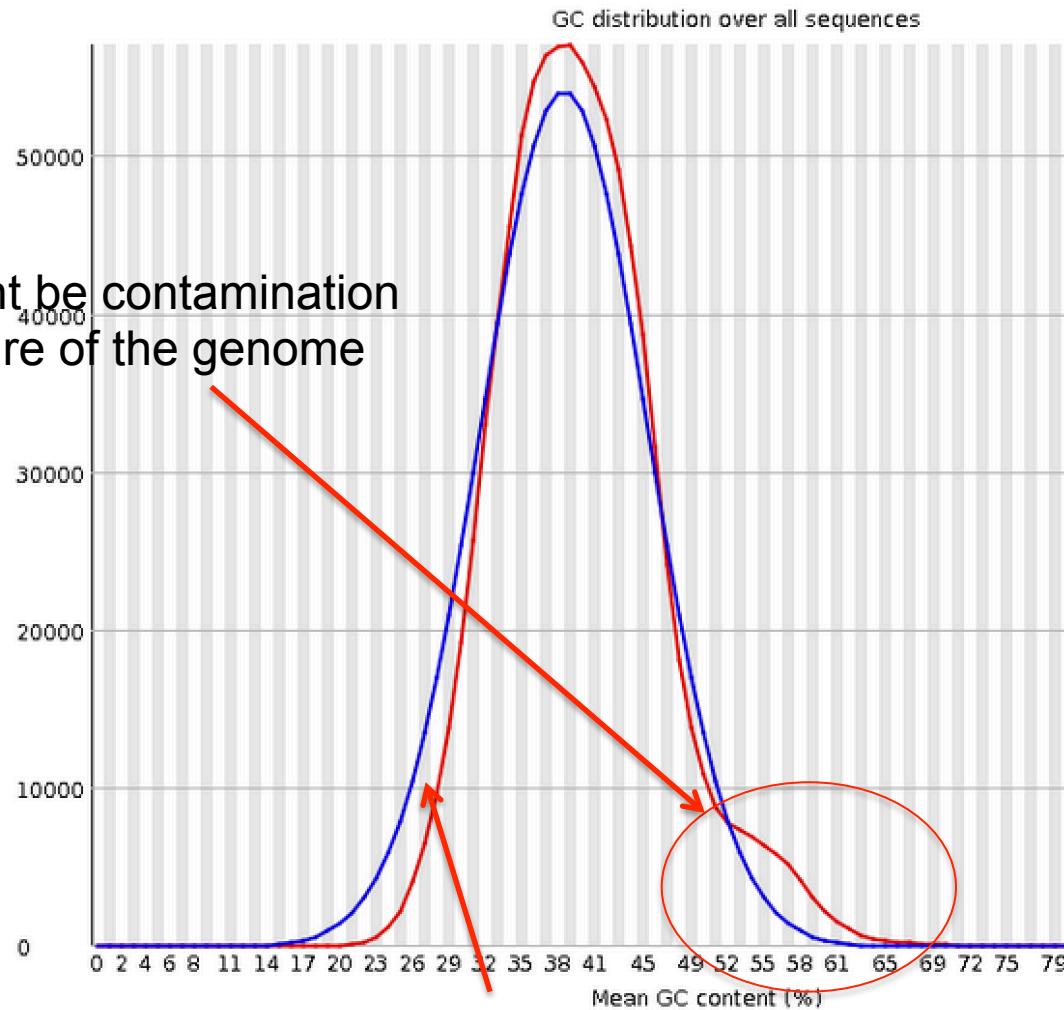
## ⚠ Per sequence GC content



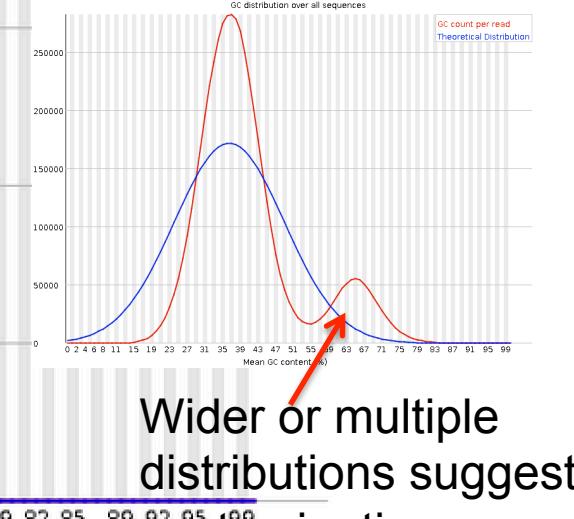


## Per sequence GC content

This might be contamination or a feature of the genome

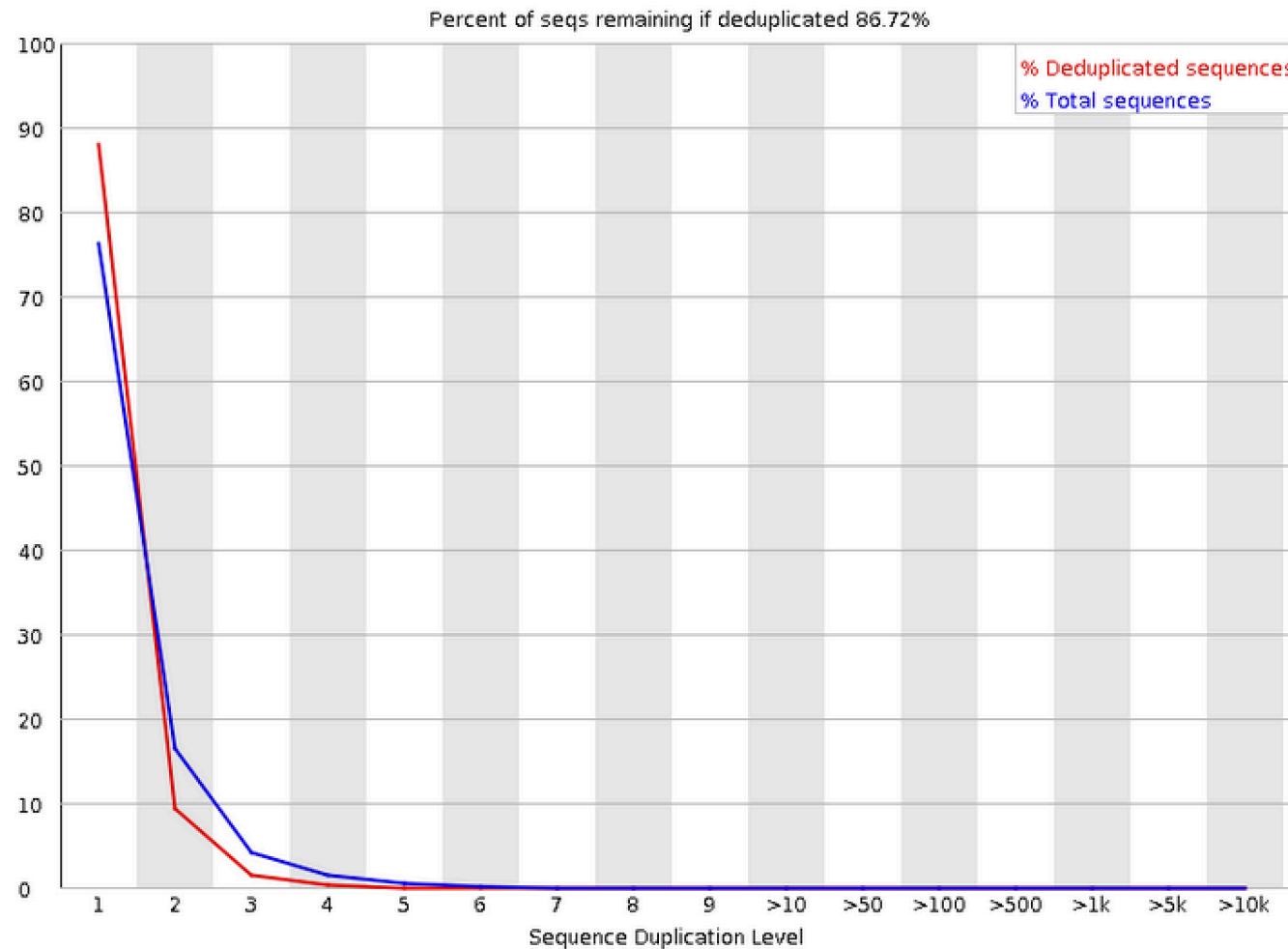


Sharp peak indicates specific motif. Adapters are the usual suspect.

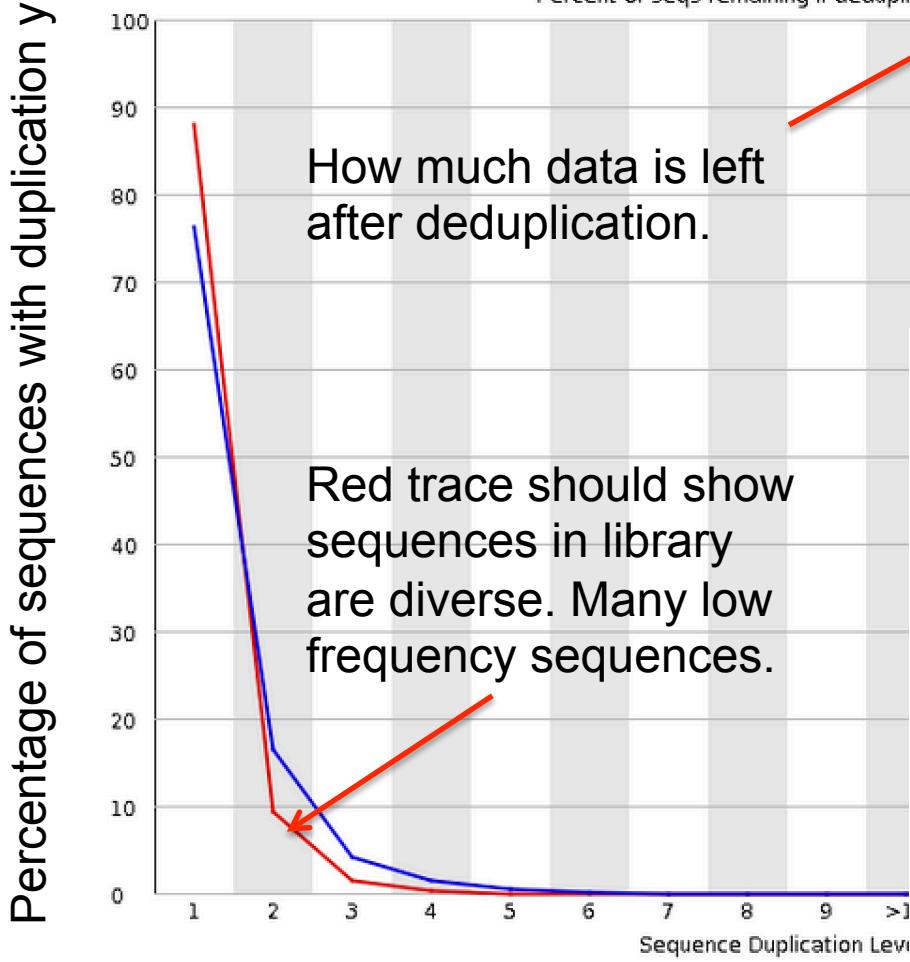


Expected: Normal/Gaussian Distribution

## Sequence Duplication Levels



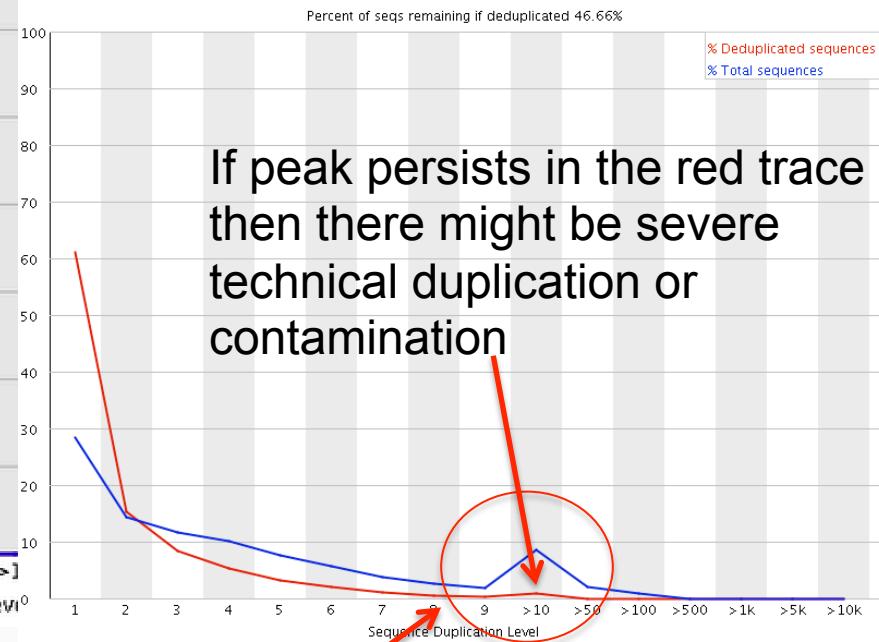
## Sequence Duplication Levels



First 100,000 sequences tracked until end of file

Exact sequence match

Sequences over 75bp are truncated to 50bp

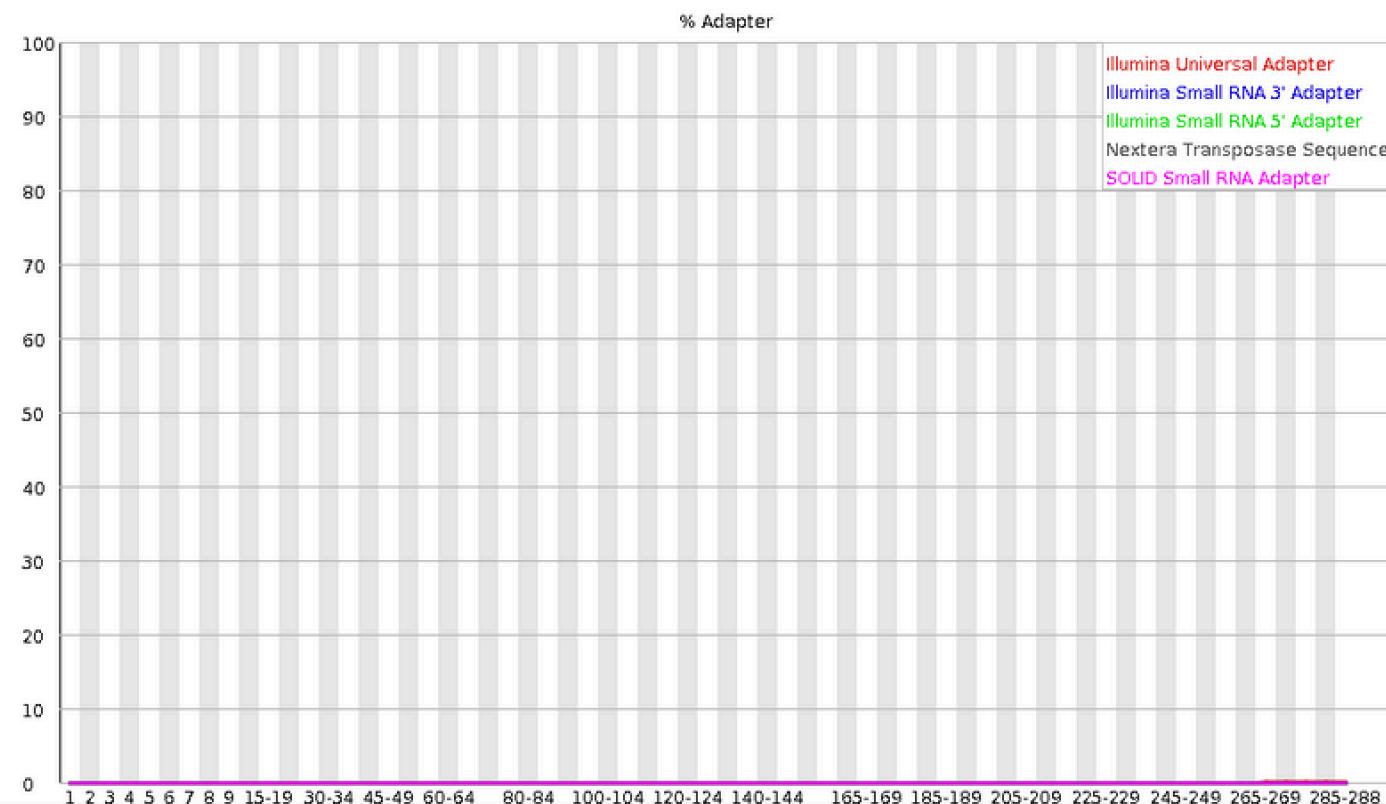


Peak shows 10%+ sequences with high duplication levels

## Overrepresented sequences

No overrepresented sequences

## Adapter Content



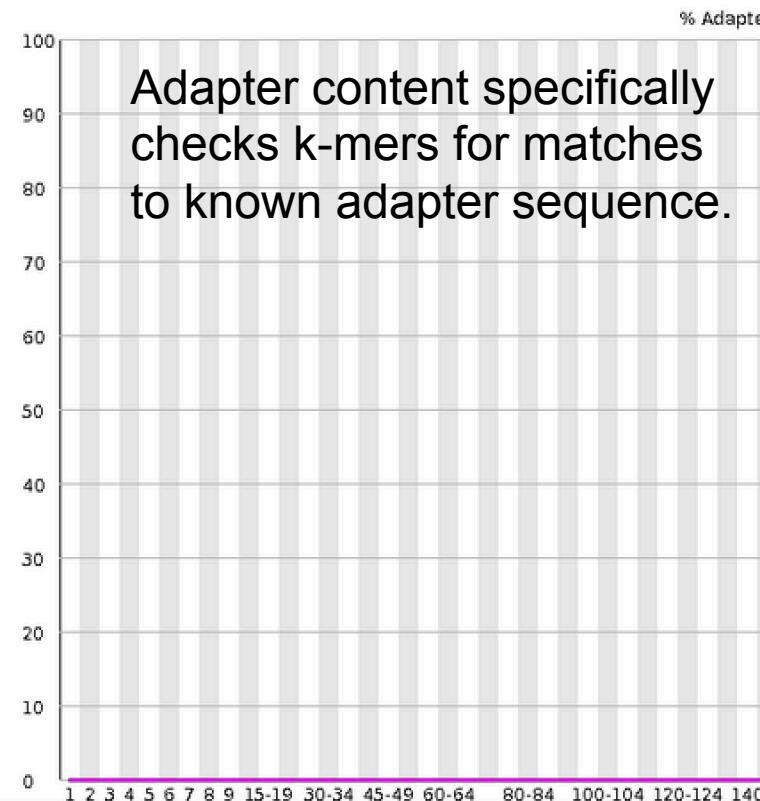
## ✓ Overrepresented sequences

No overrepresented sequences

Lists sequence that is more than 0.1%

First 100,000 sequences tracked until end of file

## ✓ Adapter Content



Adapter content specifically checks k-mers for matches to known adapter sequence.

Illumina Universal Adapter  
Illumina Small RNA 3' Adapter  
Illumina Small RNA 5' Adapter  
Nextera Transposase Sequence  
SOLID Small RNA Adapter

Overrepresented sequences are matched against known contaminants.

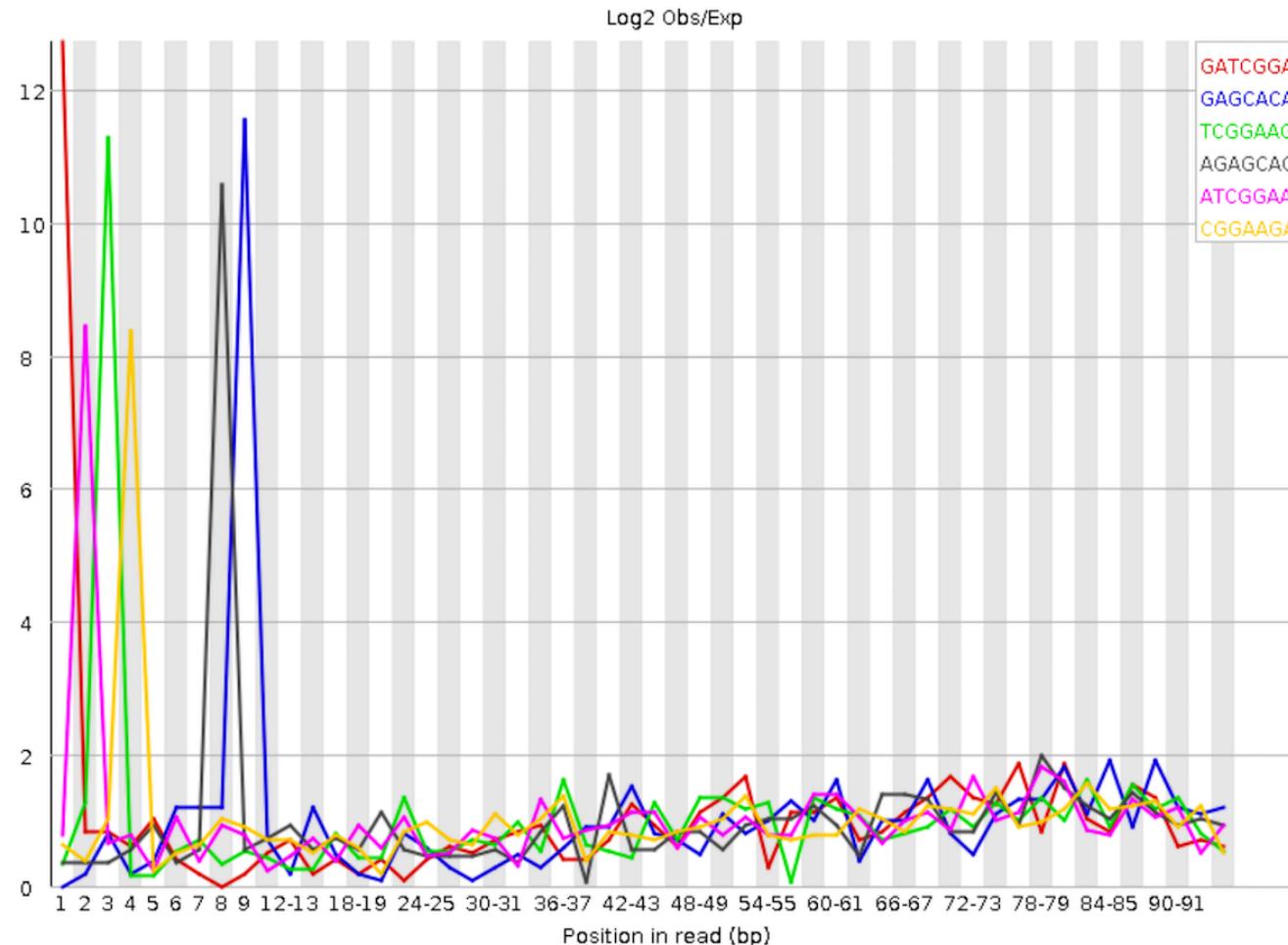
Match hits are not conclusive, but indicative.

Matches must be >20bp and only 1 mismatch.

## ✗ Overrepresented sequences

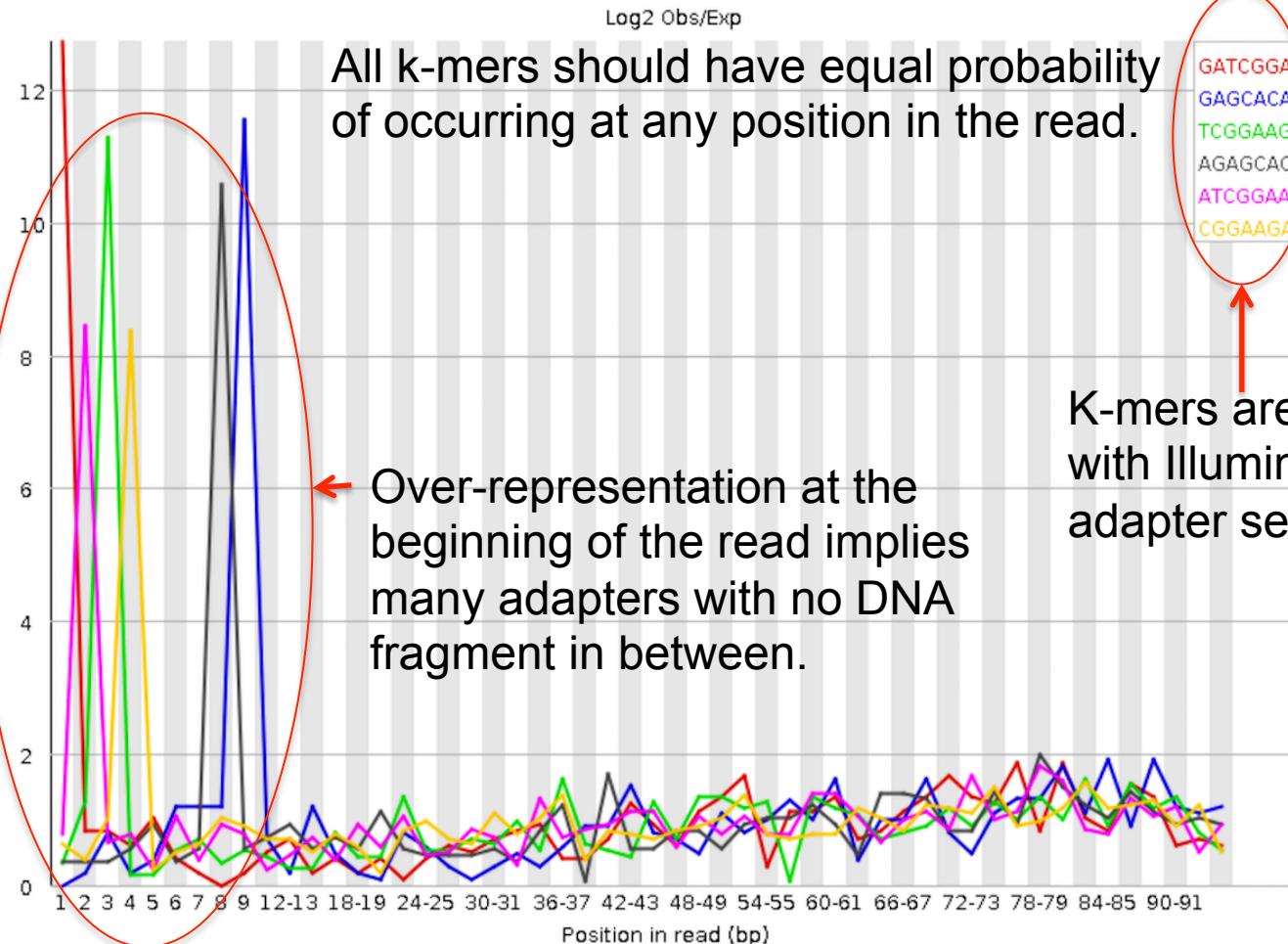
| Sequence                                    | Count | Percentage          | Possible Source                                   |
|---------------------------------------------|-------|---------------------|---------------------------------------------------|
| GATCGGAAGACGGGTTTACAGCAAGGAATGCCGAGACCGATCT | 8122  | 8.122               | Illumina Paired End PCR Primer 2 (100% over 40bp) |
| GATCGGAAGACGGGTTTACAGCAAGGAATGCCGAGATCGGAG  | 5086  | 5.086               | Illumina Paired End PCR Primer 2 (97% over 36bp)  |
| AAATGATACGGGACACCCGAGATTCACACTCTTCCCCCTAC   | 1085  | 1.085               | Illumina Single End PCR Primer 1 (100% over 40bp) |
| GATCGGAAGACGGGTTTACAGCAGGAATGCCGAGACCGGAAG  | 508   | 0.508               | Illumina Paired End PCR Primer 2 (97% over 36bp)  |
| AAATTATACGGGACACCCGAGATTCACACTCTTCCCCCTAC   | 242   | 0.242               | Illumina Single End PCR Primer 1 (97% over 40bp)  |
| GATCGGAAGACGGGTTTACAGCAGGAATGCCGAGATCGGAA   | 235   | 0.23500000000000002 | Illumina Paired End Adapter 2 (96% over 31bp)     |
| GATCGGAAGACGGGTTTACAGCAGGAATGCCGAGATCGGAAA  | 228   | 0.22799999999999998 | Illumina Paired End Adapter 2 (96% over 28bp)     |
| GATCGGAAGACGGGTTTACAGCAGGAATGCCGAGACCGGACG  | 205   | 0.20500000000000002 | Illumina Paired End PCR Primer 2 (97% over 36bp)  |
| GATCGGAAGACGGGTTTACAGCAGGAATGCCGAGATCGGAA   | 183   | 0.183               | Illumina Paired End Adapter 2 (100% over 32bp)    |
| GATCGGAAGACGGGTTTACAGCAGGAATGCCGAGACCGGAAG  | 183   | 0.183               | Illumina Paired End Adapter 2 (100% over 32bp)    |
| GATCGGAAGACGGGTTTACAGCAGGAATGCCGAGACCGGAAC  | 164   | 0.164               | Illumina Paired End PCR Primer 2 (97% over 40bp)  |
| GATCGGAAGACGGGTTTACAGCAGGAATGCCGAGACCGGTT   | 129   | 0.129               | Illumina Paired End PCR Primer 2 (97% over 40bp)  |
| AAATTATACTTCTACCCACTTATATCTACACTCTTCCCCCTAC | 123   | 0.123               | No Hit                                            |
| GATCGGAAGACGGGTTTACAGCAGGAATGCCGAGACCGGACT  | 122   | 0.122               | Illumina Paired End PCR Primer 2 (97% over 36bp)  |
| CGGTTCAAGCAAGGAATGCCGAGATCGGAAGACGGGTTACG   | 113   | 0.11299999999999999 | Illumina Paired End PCR Primer 2 (96% over 25bp)  |

## Kmer Content

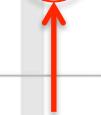


## ✖ Kmer Content

Is a k-mer over-represented along the length of a read?

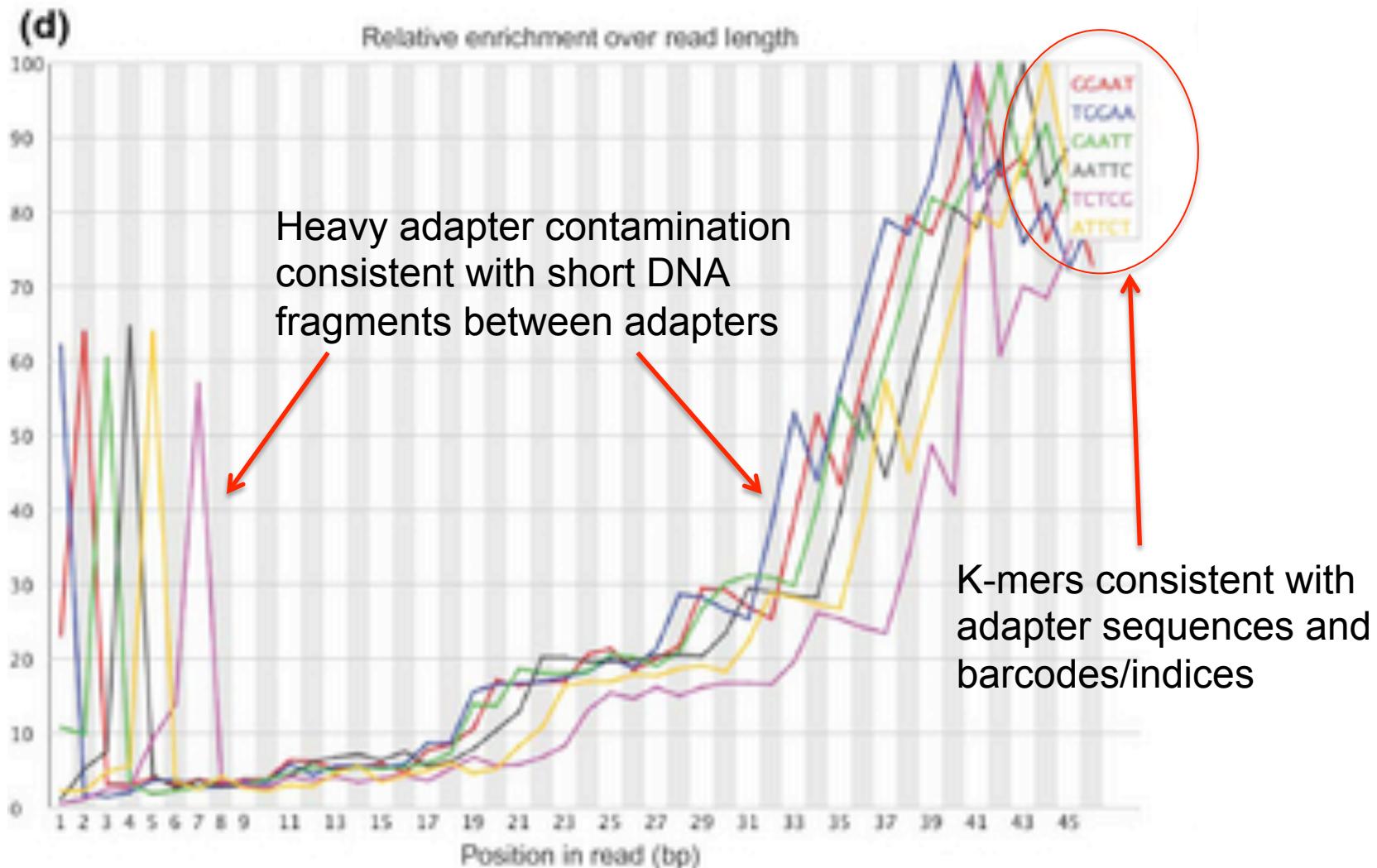


GATCGGA  
GAGCACA  
TCGGAAG  
AGAGCAC  
ATCGGAA  
CGGAAGA



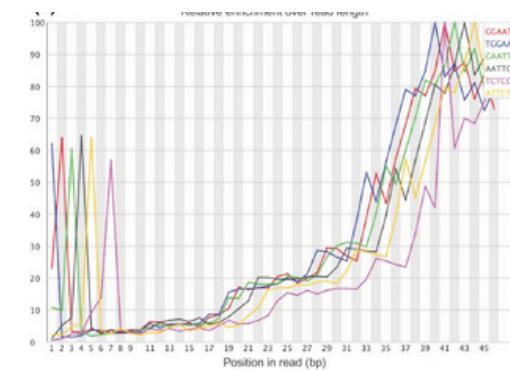
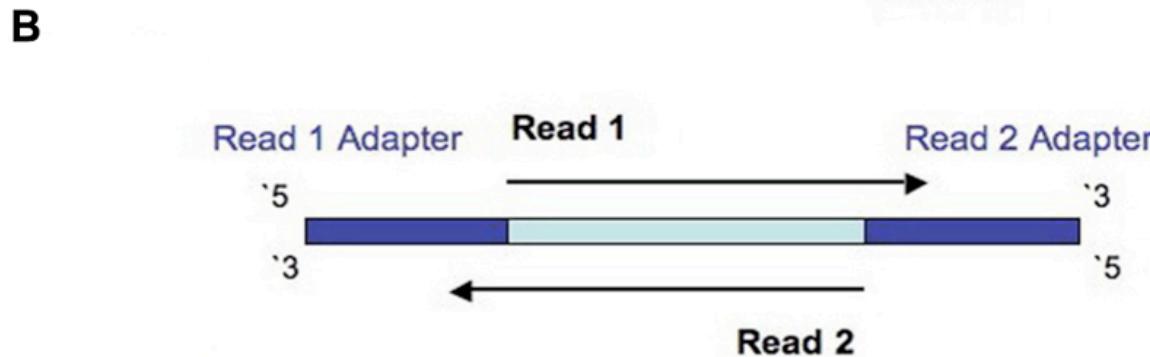
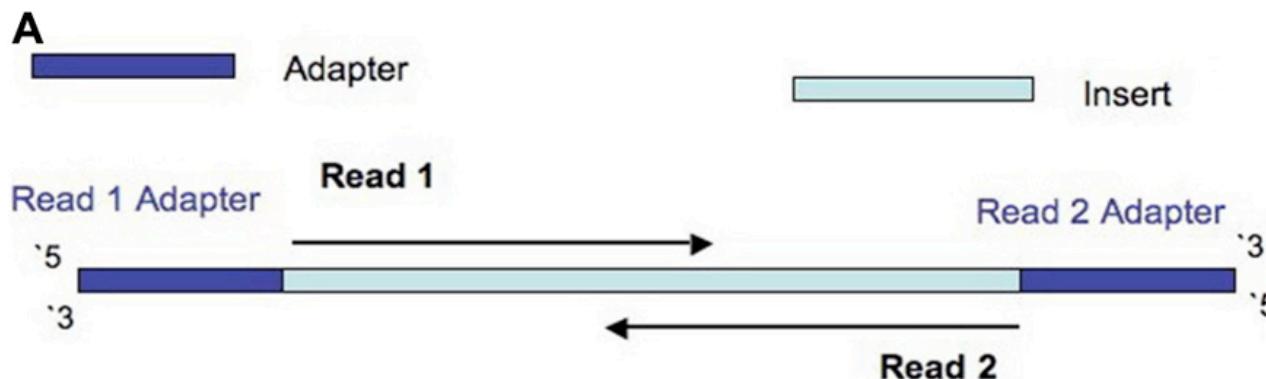
K-mers are consistent with Illumina TruSeq adapter sequence.

Default k is 7. K-mer size can be increased with option -k



# Trimming reads

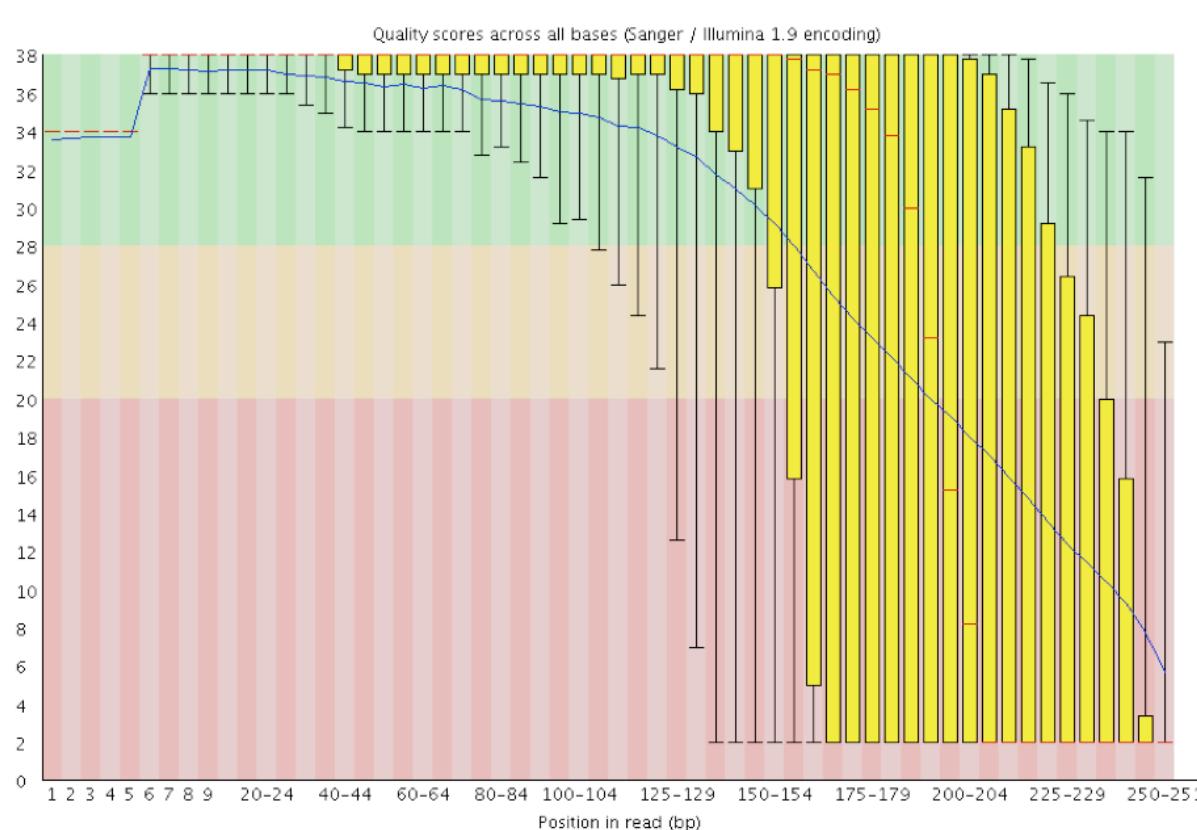
- Why trim reads?
    - Remove adapter read through.



- Update to date list of Illumina adapters:  
<https://support.illumina.com/downloads/illumina-customer-sequence-letter.html>

# Trimming reads

- Why trim reads?
  - Remove poor quality reads



# Trimming reads

- Many tools available
  - Trimmomatic
  - CutAdapt
  - AlienTrimmer
  - Sickle
  - Trim Galore
  - Scythe
  - Prinseq
  - ...
- **Warning:** Some assemblers expect untrimmed input
  - Allpaths-LG
  - Mira

# Trimming reads

- Trimmomatic:

```
java -jar trimmomatic-0.36.jar PE -phred33
input_forward.fq.gz input_reverse.fq.gz
output_forward_paired.fq.gz
output_forward_unpaired.fq.gz
output_reverse_paired.fq.gz
output_reverse_unpaired.fq.gz
ILLUMINACLIP:TruSeq3-PE.fa:2:30:10 LEADING:3
TRAILING:3 SLIDINGWINDOW:4:15 MINLEN:36
```

Library type

PE

Quality Score encoding

-phred33

Input file pair

Output

Fasta file of sequences to  
remove (adapters, linkers, etc)

How to trim and  
what to keep

- BBMerge can be used to discover adapters:

```
bbmerge.sh in=reads.fq outa=adapters.fa
```

# Duplication Removal

- Why do duplicates arise?
  - Optical duplicates (amplified cluster mistaken for multiple clusters)
  - PCR duplicates
- Why are duplicates bad?
  - Poor overlap information
  - Increased variance of coverage
  - Increased computation time and resources
- How to remove duplicates:
  - Prinseq
  - FastUniq
  - ParDRe
  - ...



**SMRTbell™ Template**



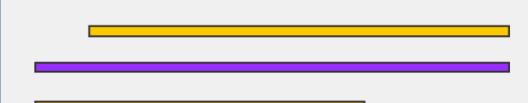
## Polymerase Read

### Definition:

- Sequence of nucleotides incorporated by polymerase while reading a template
- Includes adapters
- Often called “read”
- Includes adapters
- 1 molecule, 1 pol. read

### Purpose:

- QC of instrument run
- Benchmarking



## Subread

### Definition:

- Single pass of template
- Adapters removed
- 1 molecule,  $\geq 1$  subreads

### Unique data:

- Kinetic measurements
- Rich QVs

### Purpose:

- For subsequent analysis



## Read of Insert

### Definition:

- Represents highest-quality single-sequence for an insert, regardless of number of passes
- Generalizes CCS for <2 passes and RQ <0.9
- 1 or more passes
- 1 molecule, 1 read

### Purpose:

- For Library QC
- For subsequent analysis

```
m140415_143853_42175_c100635972550000001823121909121417_s1_p0/553/3100_11230
└1┘ └2──┘ └3──┘ └─────────4─────────┘ └5┘ └6┘ └7┘ └─────────8──┘
```

1. " m " = movie
2. Time of Run Start ( `yyymmdd_hhmmss` )
3. Instrument Serial Number
4. SMRT Cell Barcode
5. Set Number (a.k.a. "Look Number". Deprecated field, used in earlier version of RS)
6. Part Number (usually " p<sub>0</sub> ", " x<sub>0</sub> " when using expired reagents)
7. ZMW hole number †
8. Subread Region ( `start_stop` using polymerase read coordinates) †

† Note that Fields 7 and 8 are used as sequence IDs in FASTA|FASTQ files. They are not used in filenames.

```
@m150619_093250_42174_c100795682550000001823166309091510_s1_p0/109/0_4936 RQ=0.879
@m150619_093250_42174_c100795682550000001823166309091510_s1_p0/109/4981_9942 RQ=0.879
@m150619_093250_42174_c100795682550000001823166309091510_s1_p0/109/9988_10378 RQ=0.879
@m150619_093250_42174_c100795682550000001823166309091510_s1_p0/157/0_7588 RQ=0.871
@m150619_093250_42174_c100795682550000001823166309091510_s1_p0/157/7628_15139 RQ=0.871
@m150619_093250_42174_c100795682550000001823166309091510_s1_p0/157/15186_22778 RQ=0.871
@m150619_093250_42174_c100795682550000001823166309091510_s1_p0/157/22820_30464 RQ=0.871
@m150619_093250_42174_c100795682550000001823166309091510_s1_p0/157/30510_36641 RQ=0.871
```

- The subreads fastq file contains all the subreads from a SMRT movie.
- The reads from a ZMW after adapter removal are oriented in the direction forward, reverse, forward, and so on.
- Read Quality (RQ) Assignment: A trained prediction of a read's mapped accuracy based on its pulse and base file characteristics (peak signal-to-noise ratio, average base QV, interpulse distance, and so on).
- Quality Value (QV): The total probability that the basecall is an insertion or substitution or is preceded by a deletion.  $QV = -10 * \log_{10}(p)$ .

# Do I have enough data?

- What is my expected genome size?
- What depth of coverage should I expect?
  - PacBio:
    - 70x coverage in total from subreads per allele
    - At least 30x coverage of reads >10kb per allele
- Coverage = Number of bases sequenced / estimated genome size

11/30/2015

Reports for Job pb\_251\_1\_subreads\_CTR

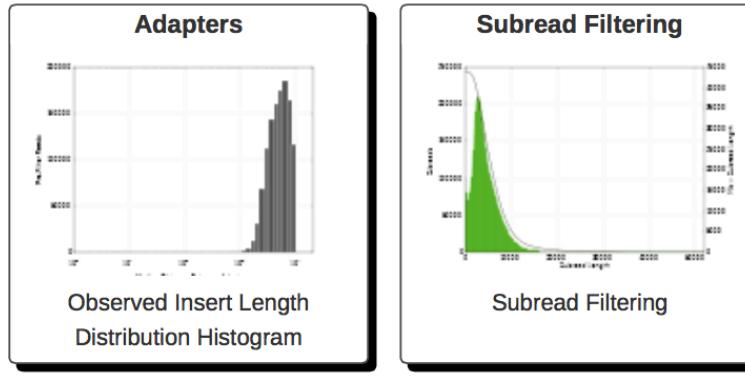
Reports for Job pb\_251\_1\_subreads\_CTR



SMRT Cells: 72      Movies: 72

## Overview

| Job Metric               | Value          |
|--------------------------|----------------|
| Adapter Dimers (0-10bp)  | 0.06%          |
| Short Inserts (11-100bp) | 0.01%          |
| Number of Bases          | 44,946,763,242 |
| Number of Reads          | 3,918,307      |
| N50 Read Length          | 24,367         |
| Mean Read Length         | 11,470         |
| Mean Read Score          | 0.85           |



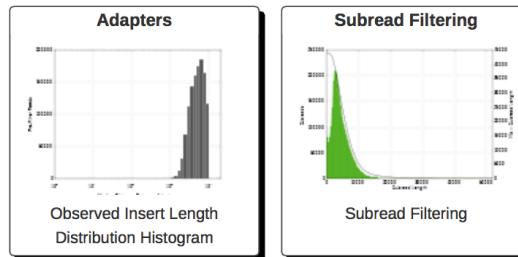
# Calculating data quantity

- Third party scripts
- Command line calculation (my favourite way)
  - Can use Seqtk to convert and filter on read length
  - `zcat *.fastq.gz | seqtk seq -A -L 10000 - | grep -v '^>' | tr -dc "ACGTNacgtn" | wc -m`
    - zcat ( concatenates the compressed fastq files into one stream )
    - seqtk ( converts to fasta format and drops reads less than 10k )
    - grep ( -v excludes lines starting with ">", i.e. fasta headers )
    - tr ( -dc removes any characters not in set "ACGTNacgtn" )
    - wc ( -m counts characters )
  - `parallel 'seqtk seq -A -L 10000 {} | grep -v '^>' | tr -dc "ACGTNacgtn" | wc -m' :::: *.fastq.gz | paste -sd+ | bc -l`

# SMRT Portal Report

SciLifeLab

| Job Metric               | Value          |
|--------------------------|----------------|
| Adapter Dimers (0-10bp)  | 0.06%          |
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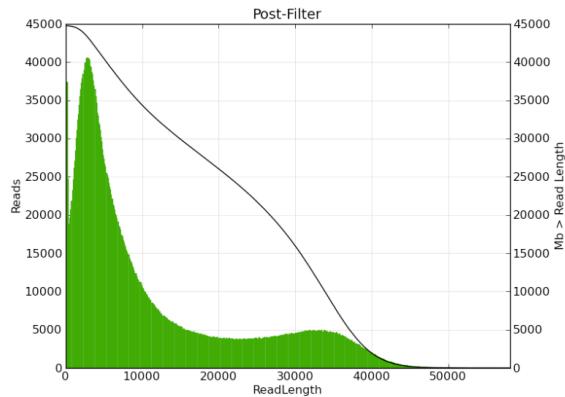


## Filtering

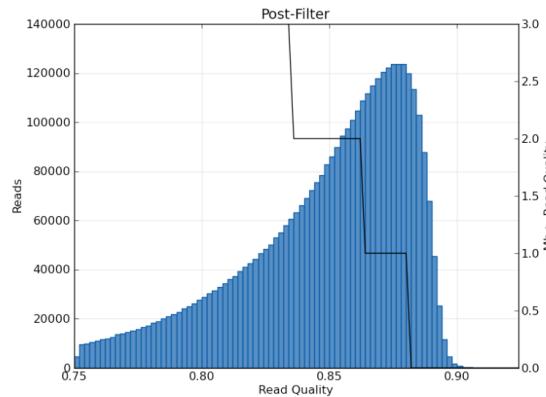
### Filtering

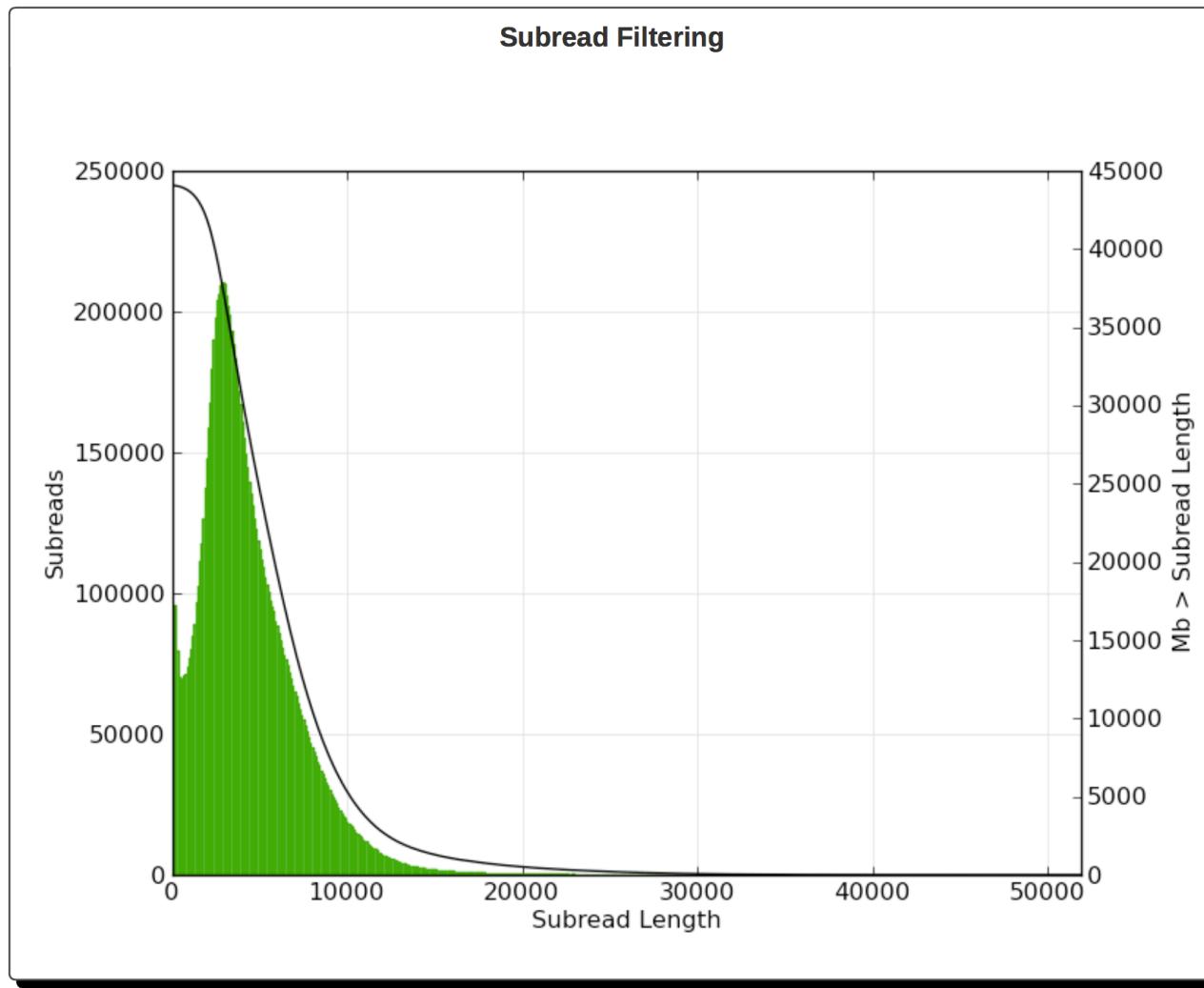
| Metrics                 | Pre-Filter  | Post-Filter |
|-------------------------|-------------|-------------|
| Polymerase Read Bases   | 49236076578 | 44946763242 |
| Polymerase Reads        | 10821024    | 3918307     |
| Polymerase Read N50     | 23758       | 24367       |
| Polymerase Read Length  | 4550        | 11470       |
| Polymerase Read Quality | 0.319       | 0.846       |

### Polymerase Read Length



### Polymerase Read Quality





### Adapters

|                          |       |
|--------------------------|-------|
| Adapter Dimers (0-10bp)  | 0.06% |
| Short Inserts (11-100bp) | 0.01% |

## Loading

| SMRT Cell ID                                            | Productive ZMWs | ZMW Loading For Productivity 0 | ZMW Loading For Productivity 1 | ZMW Loading For Productivity 2 |
|---------------------------------------------------------|-----------------|--------------------------------|--------------------------------|--------------------------------|
| m151122_235521_42203_c100927002550000001823210705121641 | 150,292         | 50.73%                         | 40.19%                         | 9.08%                          |
| m151124_195105_42237_c100966232550000001823205304301611 | 150,292         | 40.75%                         | 51.31%                         | 7.94%                          |
| m151122_151707_42203_c100927102550000001823210705121617 | 150,292         | 57.69%                         | 33.55%                         | 8.75%                          |
| m151114_001837_42237_c100926912550000001823210705121673 | 150,292         | 56.6%                          | 31.53%                         | 11.87%                         |
| m151105_141536_42237_c100884702550000001823198604021655 | 150,292         | 35.48%                         | 55.12%                         | 9.4%                           |
| m151107_172533_42237_c100926842550000001823210705121675 | 150,292         | 40.2%                          | 46.18%                         | 13.63%                         |
| m151123_082023_42237_c100927112550000001823210705121606 | 150,292         | 61.16%                         | 31.51%                         | 7.34%                          |
| m151125_042931_42237_c100966232550000001823205304301613 | 150,292         | 44.14%                         | 47.93%                         | 7.93%                          |

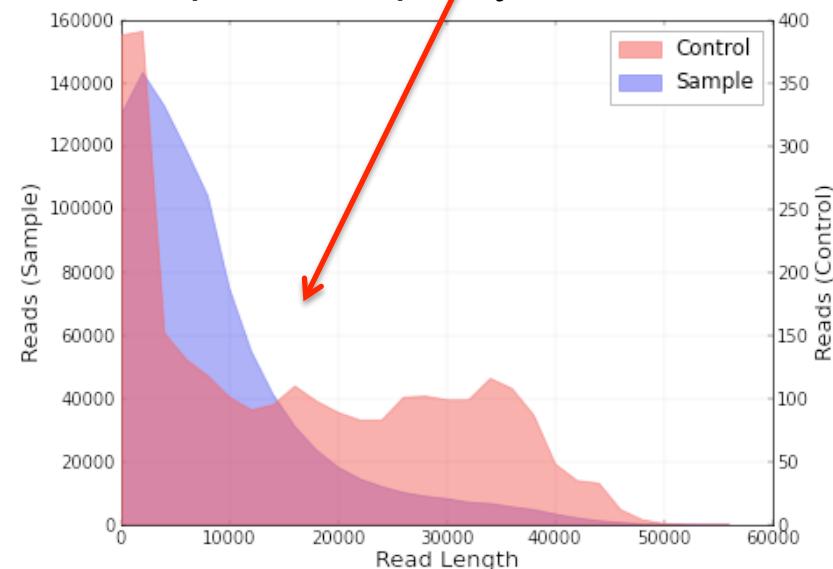
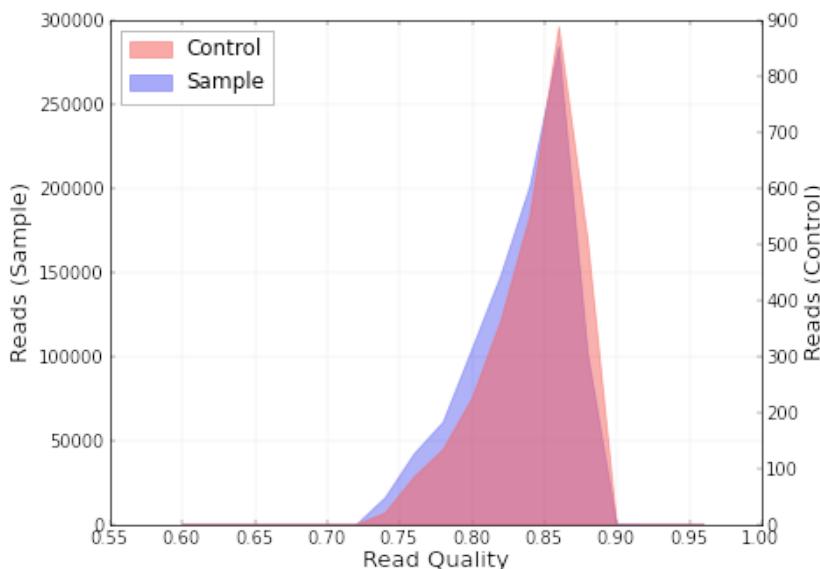
- SMRT cell loading
  - P0: % of ZMWs that are empty with no polymerase
  - P1: % of ZMWs that are productive and sequencing
  - P2: % of ZMWs that are not P0 or P1 (e.g. unbound polymerase, more than one molecule in a well (overloaded cell)).
  - Maximize P1 and minimize P0 + P2.
  - High P0 indicates underloading (too low concentration of molecules)
  - High P2 indicates overloading (too high concentration) or poor prep.

# Control reads

- SMRT portal does not filter out control reads unless the protocol is included.

|                                    |                       |                                    |                   |
|------------------------------------|-----------------------|------------------------------------|-------------------|
| Control Sequence                   | 2kb_Control           | Number of Control Reads            | 2775              |
| Fraction Control Reads             | 0.0028828862522167057 | Control Subread Accuracy           | 0.861804283567377 |
| Control Polymerase Read Length N50 | 29699.0               | Control Polymerase Read Length 95% | 39372             |
| Control Polymerase Read Length     | 14950                 |                                    |                   |

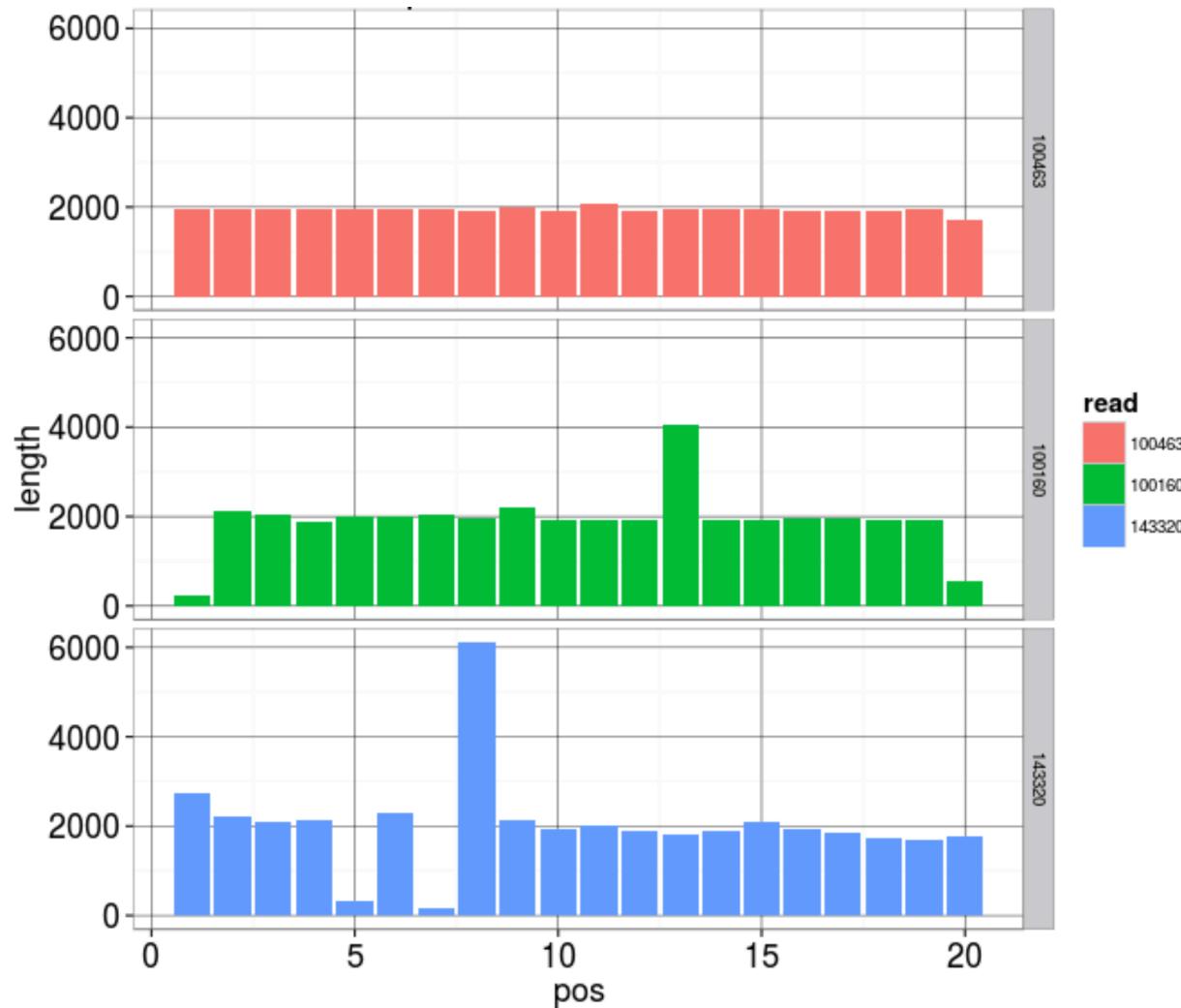
Control reads are longer than Sample reads indicating good sequencing but bad Sample DNA quality

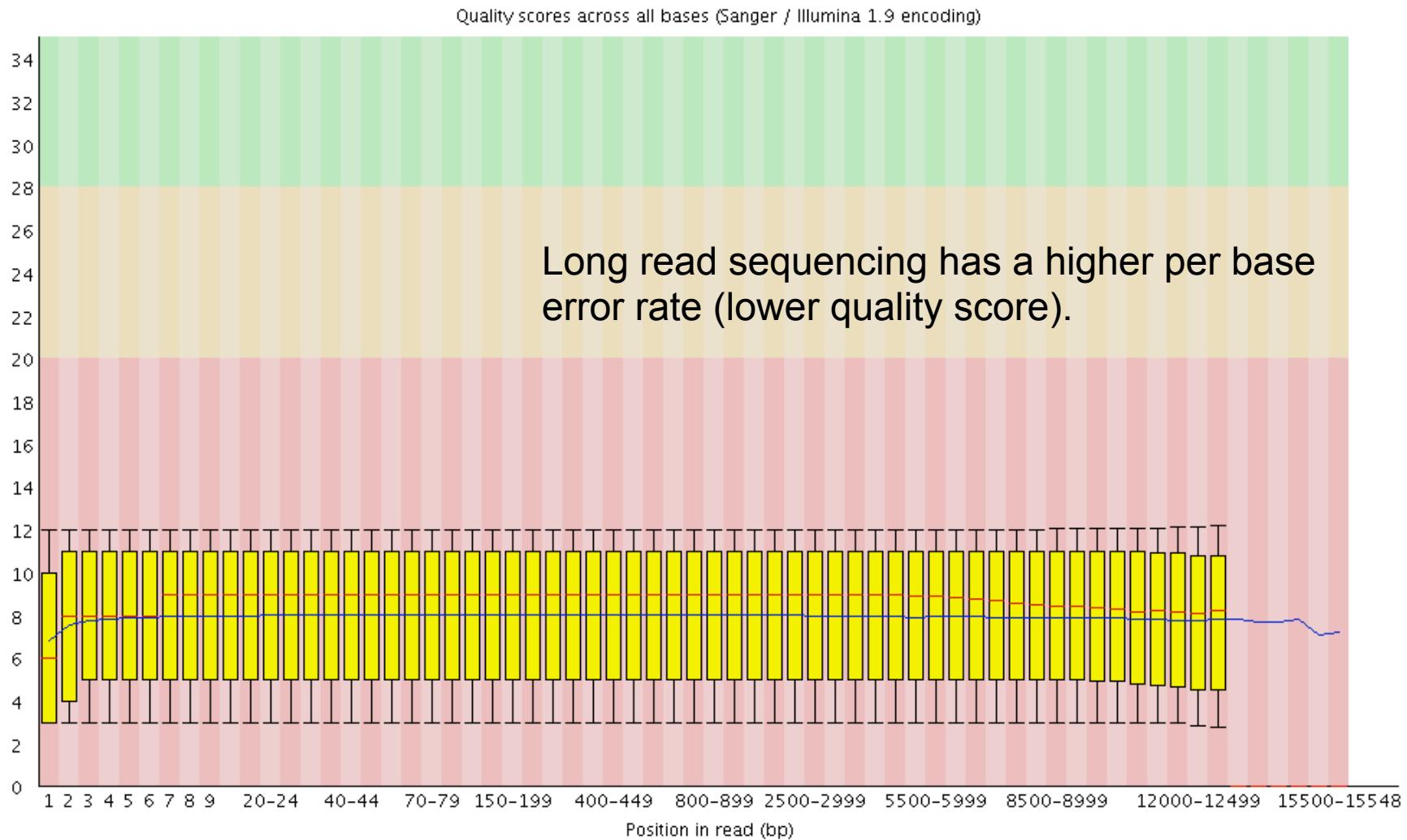


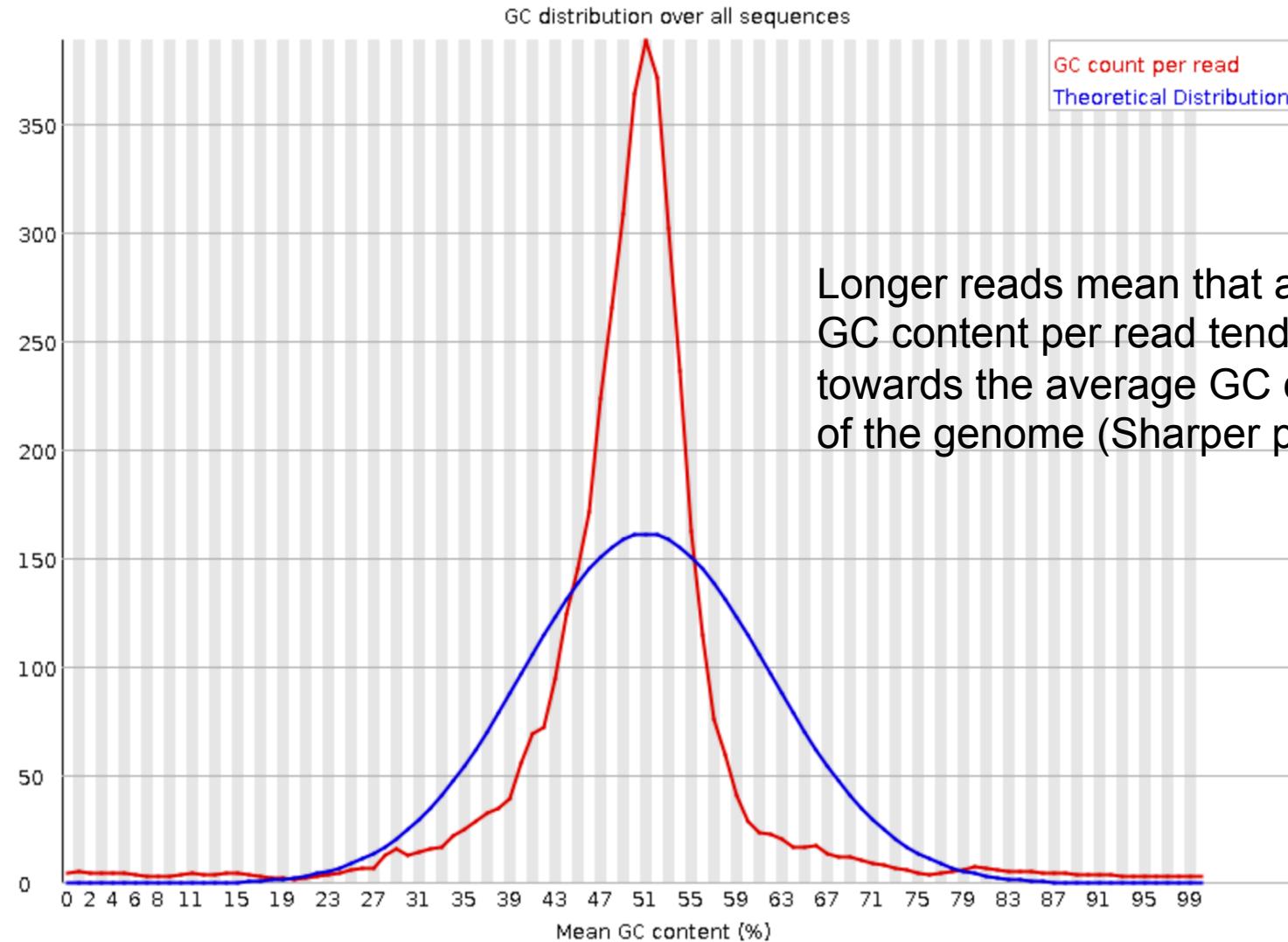
# Adapter Trimming

SMRTbell adapter:

ATCTCTCTTTCCCTCCTCCGTTGTTGTTGAGAGAGAT

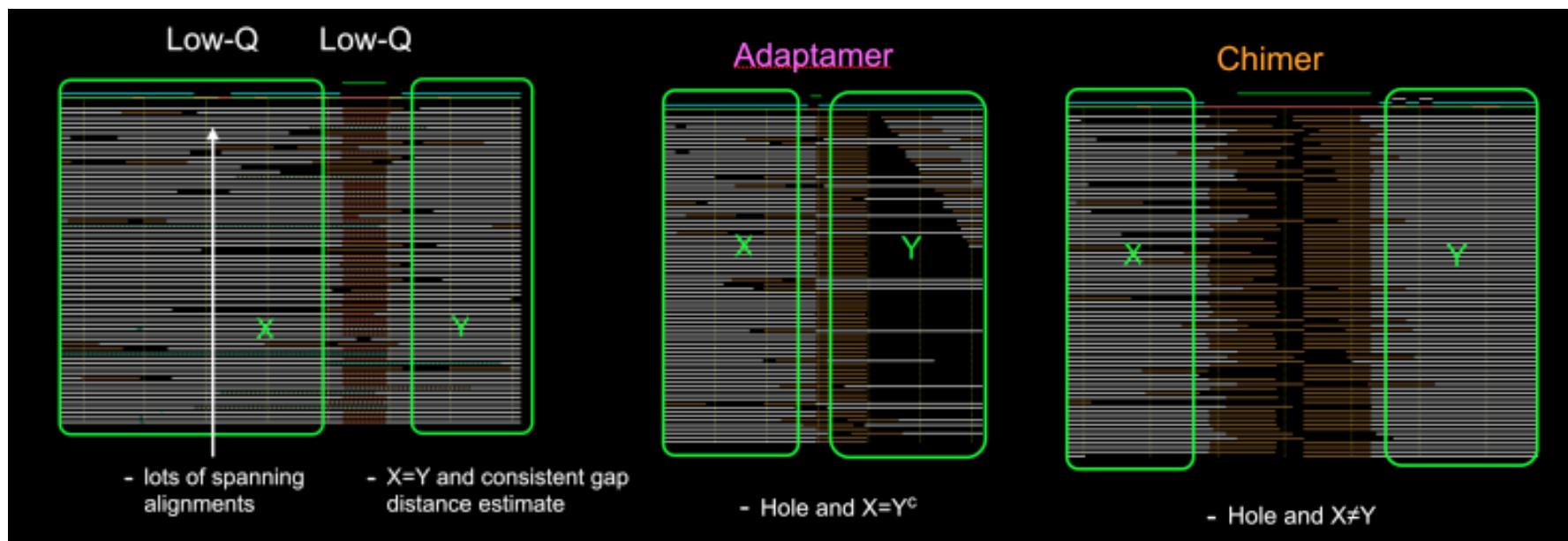
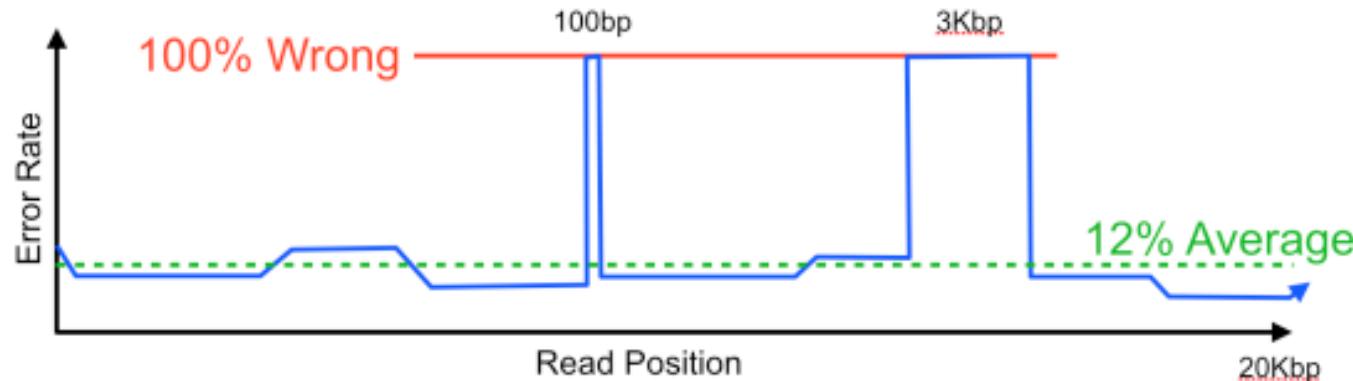






# Read Errors

Quality score is inferred from the light signal.  
Strong light signal does not imply correct base calls.

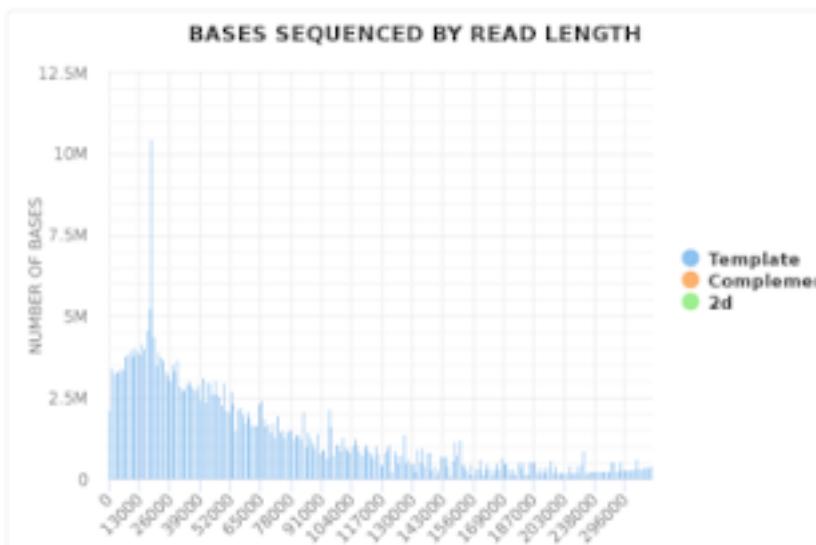


# Nanopore sequencing

- Single Molecule sequencing
- No fragmentation required, therefore read length is theoretically unlimited.  
(Pacbio has size selected library)



- Support is limited to the ONT community portal.
- Limited QC tools.
  - Poretools (output summary)
  - Porechop (adapters)



- Illumina
  - Md5sum
  - Format
  - Data Quantity
  - Quality Scores
  - GC Content
  - Nucleotide bias
  - Duplication
  - Adapter content
  - Fragment distribution
- PacBio / Nanopore
  - Md5sum
  - Format
  - Data Quantity
  - GC Content
  - Adapter & Control check
  - Subread distribution

# Information on sequence QC issues

- Sequencing Fail  
<https://sequencing.qcfail.com>

- SEQanswers  
<http://seqanswers.com>

- BioStar  
<https://www.biostars.org>

- Bioinformatics StackExchange  
<https://bioinformatics.stackexchange.com>