

**[Arxiv 2020] Pre-training Graph Neural Networks for Cold-Start Users and Items Representation paper [paper][code]**

**Node/Graph Tasks:**

Node tasks - obtaining node embeddings of high quality and making better recommendations for the cold-start users

**Training Type:**

Pre-training each model consecutively and joint training all models together

**Pretext task data:** graph topology (user-item interactions)

The paper proposes a pre-training GNN model to reconstruct the cold-start user/item embeddings in the meta-learning setting. Firstly, the ground-truth embedding for each user/item is learned from the observed interactions (graph topology) by Neural Collaborative Filtering (NCF). Then  $K$  neighbors for each user/item are randomly sampled to mimic the cold-start setting where GNN models are further applied to predict the embedding of each user/item by the neighbors' embeddings. The models are trained by maximizing the similarity between the embedding learnt in the cold-start setting and the ground-truth embedding learnt by NCF.

**Brief Summary:**

Current recommendation systems fail to learn high-quality embeddings for cold-start users/items due to sparse interactions, which can be addressed by 1) incorporating extra information such as content features or knowledge graphs and 2) GNNs which capture the high-order collaborative signal. However, both of these two methods fail to thoroughly solve the cold-start problem. Extra information is not always available and GNNs does not specially deal with the cold-start neighbors. Therefore, this paper proposes a pre-training GNN model as the pretext task to enhance the embeddings of the cold-start users/items.

To simulate the scenario typically encountered by cold-start users/items, we remove neighbors of each user/item and create a new bipartite graph with less interactions between users and items. A GNN model is applied on this new bipartite graph to create the embeddings, the similarity of which to the ground-truth embeddings is further calculated by cosine similarity to train the GNN model. Notably, the ground-truth embeddings are output by NCF utilizing the original graph with full interactions between users/items. Moreover, to address the problems of aggregating inaccurate embeddings of the cold-start neighbors, a meta aggregator and an adaptive neighbor sampler are further incorporated into the above pre-training GNN model.

The embeddings of a cold-start user/item may suffer from the poorly embeddings of its neighborhoods that might also be cold-start items/users during feature aggregation in GNNs. Thus, the paper trains another self-attention encoder  $g$  before training the GNN encoder  $f$  to learn an additional embedding for each node  $u$  only based on its  $K$  first-order neighbors that are sampled. The embeddings  $\mathbf{h}'_u$  produced by  $g$  is combined with the original embedding  $\mathbf{h}_u^{l-1}$  and the aggregated neighbor-

hood embeddings  $\mathbf{h}_{\mathcal{N}(u)}$  at each convolution in  $f$  as Eq. (8)-(11):

$$\mathbf{h}_u^l = \sigma(\mathbf{W}^l \cdot \text{CONCAT}(\mathbf{h}_u^{l-1}, \mathbf{h}_{\mathcal{N}(u)}^l)), \quad (8)$$

$$\mathbf{h}_{\mathcal{N}(u)}^l = \text{AGGREGATE}(\{\mathbf{h}_i^{l-1}, \forall i \in \mathcal{N}(u)\}), \quad (9)$$

$$\{\mathbf{h}_1, \dots, \mathbf{h}_K\} \leftarrow \text{SELF\_ATTENTION}(\{\mathbf{h}_1^0, \dots, \mathbf{h}_K^0\}), \quad (10)$$

$$\mathbf{h}_u^l = \text{AVERAGE}(\{\mathbf{h}_1, \dots, \mathbf{h}_K\}). \quad (11)$$

Then the randomly sampling strategy of K-neighborhood nodes is replaced by an adaptive sampler which selects nodes probabilistically guided by the feedbacks from the pre-training GNN model. The improved sampler is modeled as a hierarchical Markov Decision Process and sampling  $t$ -th node from the  $l$ -order neighbors is modeled as a bernoulli experiment where the successful probability is calculated by feeding the state feature  $s_t^l$  of the node to be sampled into a MLP. The state feature  $s_t^l$  is a high-dimensional vector and each entry measures the cosine similarity between its initial embedding and the target user  $u$ 's initial embedding, the initial embedding of each formerly selected neighbor by the  $l-1$ -th subtask and the average embedding of all the formerly selected neighbors respectively. The reward is the similarity gain between the ground-truth embeddings and the predicted embeddings with/without the improved sampler as Eq. ??.

The paper first pre-train the meta learner  $g$  only based on first-order neighbors, then fix parameters of  $g$  and train the GNN encoder  $f$  incorporating features output by  $g$  in each graph convolution step, and next pre-train the neighbor sampler  $s$  with feedbacks from the GNN encoder  $f$ . Finally all three models  $g, f, s$  are jointly trained. To ensure a stable update during joint training, parameters are updated by a linear combination of its old version and the new version.

### Bibtex:

@articlehao2020pre, title=Pre-Training Graph Neural Networks for Cold-Start Users and Items Representation, author=Hao, Bowen and Zhang, Jing and Yin, Hongzhi and Li, Cuiping and Chen, Hong, journal=arXiv preprint arXiv:2012.07064, year=2020