

RDA: Reciprocal Distribution Alignment for Robust Semi-supervised Learning

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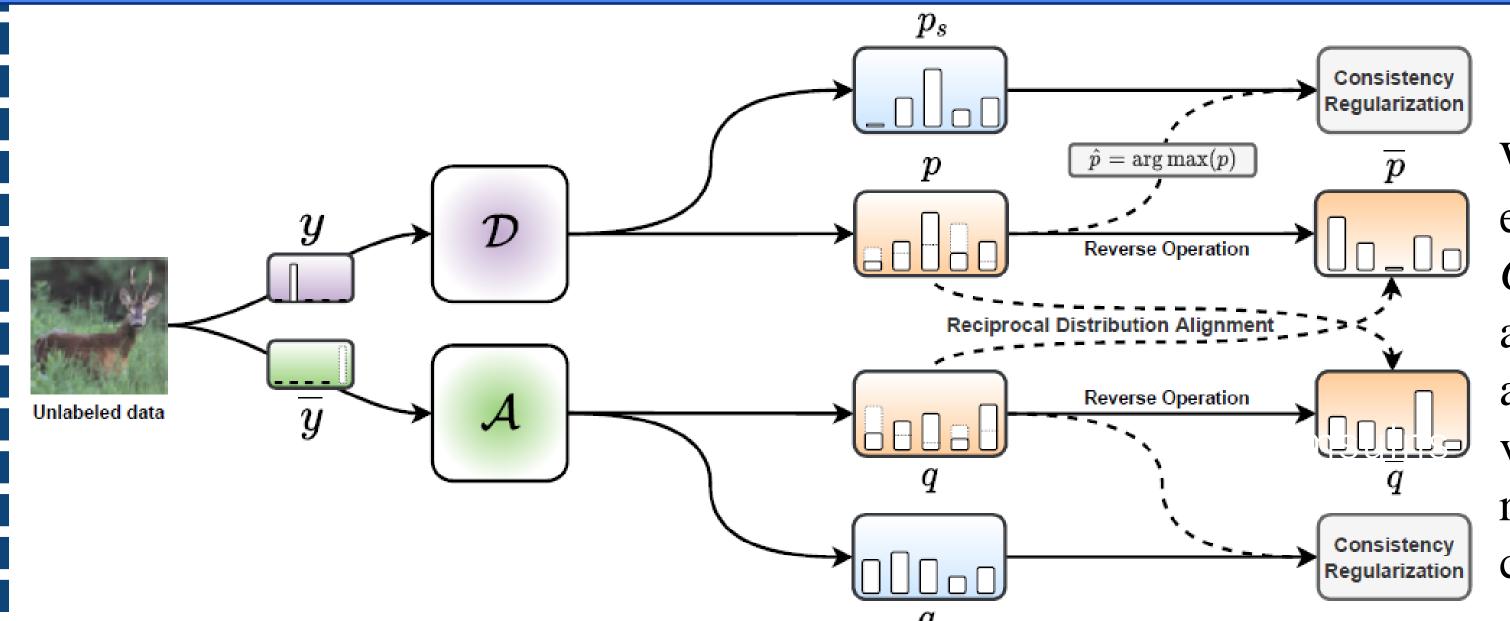
Introduction

Lately, confidence-based pseudo-labeling [1,2] and distribution alignment [2,3,4] have been introduced to SSL, boosting the performance to a new height. FixMatch [1] utilizes the confidence-based threshold to select more accurate pseudo-labels and proves the superiority of this technique. Despite this threshold preventing the model from risk of noisy pseudo-labels, since the learning difficulties of different classes are different, a fixed threshold is not a "silver bullet" for all scenarios of SSL. We try to ask — is the confidence-based threshold really necessary for pseudo-labeling? Motivated by this, we rethink pseudo-labeling in a hyperparameter-free way while noticing that **distribution alignment (DA)** has been introduced to SSL [2,4]. We consider only using DA to improve the pseudo-labels without additional hyperparameters, i.e., DA is enough for pseudo-labeling. However, original DA is based on a strong assumption: "labeled data and unlabeled data share the same distribution". Thus, we have the following motivations:

Motivation

- **◆**Improve pseudo-label quality by using only distribution alignment without introducing confidence threshold.
- ◆Distribution alignment fails in mismatched distribution scenarios.
- **◆**Explore a more general distribution alignment to meet the challenge of mismatched distributions.

Method



Reciprocal Distribution Alignment (RDA)

We use ground-truth label y and complementary label \overline{y} (selected randomly from classes excluding ground-truth label) of labeled data to train Default Classifier \mathcal{D} and Auxiliary Classifier \mathcal{A} , respectively. Given an unlabeled sample u, \mathcal{D} predicts pseudo-label p and \mathcal{A} predicts complementary label q for its weakly-augmented version. \mathbf{RDA} is applied on p and q by reciprocally scaling each other to the distributions of their reversed versions obtained by Reverse Operation (Proposition 1). We then enforce consistency regularization on the aligned pseudo-label and complementary label against corresponding predictions for strongly-augmented u, i.e., p_s (from \mathcal{D}) and q_s (from \mathcal{A}).

Theoretical Analysis

Proposition 1 (*Reverse Operation*). In the case of using \mathcal{A} to predict pseudo-labels, we have $\overline{q} = Norm(q)$, where Norm(x) is the normalized operation.

Theorem 1. For pseudo-label p and the reversed pseudo-label p obtained by *Reverse Operation*, we show that the entropy of \overline{p} is larger than that of $p: \mathcal{H}(\overline{p}) \geq \mathcal{H}(p)$, where $\mathcal{H}(\cdot)$ refers to the entropy.

Experiments

| Method | | CIFAR-10 | | | | | mini-ImageNet STL-10 | | |
|--|---------------------------------------|--|------------------------------------|----------------------------------|----|--------------------------------------|------------------------|--|--|
| | 20 labels | 40 labels | 80 labels | 100 labels | | 1000 labels | | 1000 labels | |
| MixMatch* | 27.84 ± 10.63 | 51.90 ± 11.76 | 80.79 ± 1.28 | - | | - | | 38.02 ± 8.29 | |
| $\frac{\text{AlphaMatch}^{\dagger}}{}$ | | 91.35 ± 3.38 | - | | Ι. | | | | |
| FixMatch CoMatch | 84.97 ± 10.37 88.43 ± 7.22 | $89.18 {\pm} 1.54 \\ 93.21 {\pm} 1.55$ | $91.99 \pm 0.71 \\ 94.08 \pm 0.31$ | 93.14 ± 0.76 94.55 ± 0.27 | | 39.03 ± 0.66 43.72 ± 0.58 | | $65.38 \pm 0.42^*$ $79.80 \pm 0.38^*$ | |
| RDA | $92.03{\pm}2.01$ | $94.13{\pm}1.22$ | $94.24 {\pm} 0.42$ | 94.35 ± 0.25 | | $46.91{\pm}1.16$ | | $82.63{\pm}0.54$ | |

Tab. 1 Accuracy (%) in the conventional matched SSL setting.

| | | | | | | ~ //// ~ | | |
|---|--|--|--|--|-------------------------|----------------------------------|--|--|
| $egin{array}{ccc} & & & & & \\ Method & & & & & \\ & & & & & \\ & & & & & \\ & & & &$ | CIFAR-10 | | | | | mini-ImageNet | | |
| | 40 labels, $N_0 = 10$ 100 labels, $N_0 = 40$ | | s, $N_0 = 40$ | | 1000 labels, $N_0 = 40$ | | | |
| | $\gamma = 2$ | 5 | 5 | 10 | | 10 | | |
| FixMatch RDA | 74.97 ± 5.80 88.58 ± 4.05 | $64.62{\pm}6.13$ 79.90 \pm 2.80 | 58.72 ± 3.61 79.33 ± 1.37 | 57.49 ± 4.56 70.93 ± 2.91 | | 21.40 ± 0.53 25.99 ± 0.19 | | |
| | | | | | | r | | |

Tab. 3 Accuracy (%) with mismatched and imbalanced C_x , C_u .

| | | CIFAR-10 | STL-10 $(\gamma_l \neq \gamma_u)$ | | | |
|----------|------------------|------------------------|-----------------------------------|------------------------|------------------|--------------------|
| Method | $\gamma_u = 1$ | 50 | 150 | 100 (reversed) | $\gamma_l = 10$ | 20 |
| FixMatch | | 73.90 ± 0.25 | 69.60 ± 0.60 | 65.50 ± 0.05 | 72.90 ± 0.09 | 63.40 ± 0.21 |
| DARP | 85.40 ± 0.55 | 77.30 ± 0.17 | 72.90 ± 0.24 | 74.90 ± 0.51 | 77.80 ± 0.33 | 69.90 ± 0.40 |
| RDA | $93.35{\pm}0.24$ | $\bf 79.77 {\pm} 0.06$ | $\bf 74.48 {\pm} 0.24$ | $\bf 79.25 {\pm} 0.52$ | 87.21 ± 0.44 | $83.21 {\pm} 0.52$ |

Tab. 4 Accuracy (%) under DARP's protocol.

| | CIFAR-10 | | | | CIFA | R-100 | mini-ImageNet | | |
|----------------|------------------|------------------|------------------|------------------|------------------|-----------------------------|--------------------|------------------|--|
| Method | 40 labels | | 100 labels | | 400 labels | 1000 labels | 1000 labels | | |
| | $N_0 = 10$ | 20 | 40 | 80 | 40 | 80 | 40 | 80 | |
| FixMatch | 85.72 ± 0.93 | 76.53 ± 3.03 | 93.01 ± 0.72 | 71.57 ± 1.88 | 25.66 ± 0.46 | $\overline{40.22 \pm 1.00}$ | 36.20 ± 0.36 | 28.33 ± 0.41 | |
| FixMatch w. DA | 71.23 ± 1.25 | 47.85 ± 1.99 | 56.78 ± 1.28 | 34.18 ± 0.86 | 22.66 ± 1.53 | 31.06 ± 0.51 | 33.87 ± 0.40 | 23.53 ± 0.72 | |
| CoMatch | 60.27 ± 3.22 | 39.48 ± 2.20 | 52.82 ± 2.03 | 26.91 ± 0.75 | 23.97 ± 0.62 | 28.35 ± 1.20 | 30.24 ± 1.37 | 21.47 ± 0.86 | |
| RDA | $92.57{\pm}0.53$ | 81.78 ± 6.44 | $94.23{\pm}0.36$ | $79.00{\pm}2.67$ | 30.86 ± 0.78 | $\overline{41.29 \pm 0.43}$ | $ 42.73{\pm}0.84 $ | 36.73±1.0 | |

Tab. 2 Accuracy (%) in the mismatched scenario with imbalanced C_x and balanced C_y .

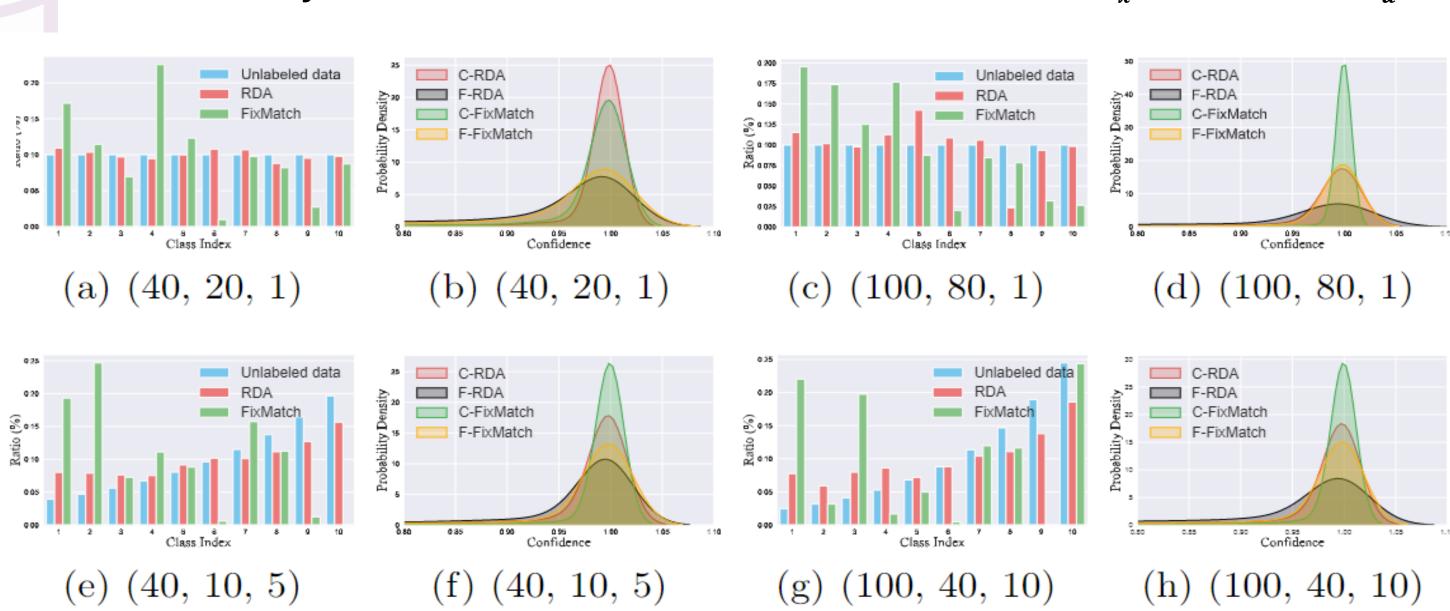


Fig. 1 (x, y, z): (labels, N_0, γ ,). (a), (c), (e) and (g): Distribution of labels. (b), (d), (f) and (h): Probability density of confidence scores.

Conclusion

First, we describe a scenario that has not been discussed extensively by recently-proposed SSL work: **mismatched distributions**. Second, we improve distribution alignment by proposed **RDA** so that this technique could be applied into mismatched scenario safely. Then we show *RDA results in a form of maximizing the input-out mutual information without any prior information*. Finally, we demonstrate that our method outperforms existing baselines significantly under various scenarios.

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