Course 2: Tokenization

What is tokenization?

Turning text...

```
I love playing soccer!
```

...into *tokens*

```
['I', 'love', 'play', 'ing', 'soccer', '!']
```

Historical Notions

Tokenization Origins

The word token comes from linguistics

> “ *non-empty contiguous sequence of graphemes or phonemes in a document* ”

$\approx$

Split text on blanks

Tokenization Origins

```
old_tokenize("I love playing soccer!") = ['I', 'love', 'playing', 'soccer!']
```

- Different from *word-forms* ⚠️
  - *damélo* → *da/ mé/ lo* (=*give/ me/ it*)

Tokenization Origins

Natural language is split into...

- Sentences, utterances, documents... (*macroscopical*) that are split into...
  - Tokens, word-forms... (*microscopical*)

→ Used for linguistic tasks (POS tagging, syntax parsing,...)

Tokenization & ML

ML-based NLP (usually) relies on **sub-word** tokenization:

- Gives better performance
- **Fixed-size vocabulary** often required
    - Out-Of-Vocabulary (OOV) issue

Tokenization & ML

Evolution of modeling complexity w.r.t. the sequence length n

Model Type	Year	Complexity
Tf-Idf	1972	$O(1)$
RNNs	~1985	$O(n)$
Transformers	2017	$O(n^2)$

→ Long sequences (e.g. character-level) are prohibitive

Modern framework

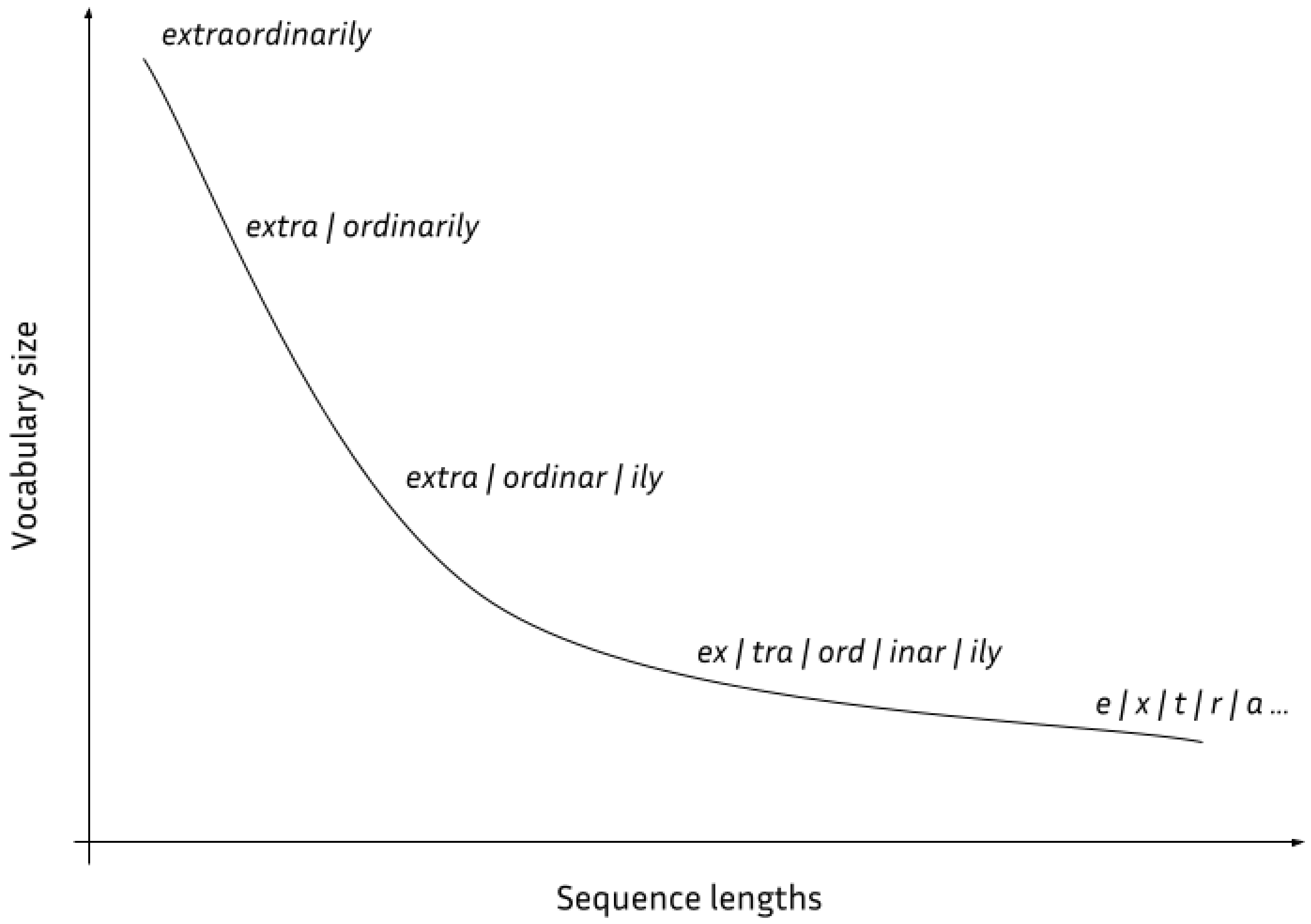
- **Pre-tokenization**
  `"I'm lovin' it!" -> ["i", "am", "loving", "it", "!"]`
  - Normalization
    - Rules around punctuation (`_:_`, `_!`, ...)
    - Spelling correction (`"imo" -> "in my opinion"`)
    - Named entities (`"covid" -> "COVID-19"`)
    - ...
  - Rule-based segmentation
    - Blanks, punctuation, ...

Modern framework

- **Tokenization** `-> ["i", "am", "lov", "##ing", "it", "!"]`
  - Split units at subword level
  - Fixed vocabulary
  - **Trained** on text samples
  - Used in inference mode at *pre-processing* time

Sub-word Tokenization

Granularity



Granularity

→ Trade-off between short sequences and reasonable vocabulary size

Fertility

For a string sequence S:

$$\text{fertility}(S) = \frac{\#\ \text{tokens}}{\#\ \text{words}}$$

Algorithms

Byte-Pair Encoding (BPE)

Let's encode "*aaabdaaabac*" in an optimized way:

- Observed pairs: {*aa*, *ab*, *bd*, *da*, *ba*, *ac*}
- Observed **occurences**: {***aa*: 4**, *ab*: 2, *bd*: 1, *da*: 1, *ba*: 1, *ac*: 1}
- Set $X = aa$
- Encode *aaabdaaabac* → *XabdXabac*
- Start again from *XabdXabac*

Byte-Pair Encoding (BPE)

(current rules: $aa \rightarrow X$)

Let's encode "*XabdXabac*" in an optimized way:

- Observed pairs: {*Xa*, *ab*, *bd*, *dX*, *ba*, *ac*}
- Observed **occurences**: {***Xa*: 2**, ***ab*: 2**, *bd*: 1, *dX*: 1, *ba*: 1, *ac*: 1}
- Set $Y = ab$
- Encode $XabdXabac \rightarrow XYdXYac$
- Start again from *XYdXYac*

Byte-Pair Encoding (BPE)

(current rules: $aa \rightarrow X$, $ab \rightarrow Y$)

Let's encode "$XYdXYac$" in an optimized way:

- Observed pairs: $\{XY, Yd, dX, Ya, ac\}$
- Observed occurences: $\{$***XY*: 2**, Yd: 1, dX: 1, Ya: 1, ac: 1$\}$
- Set $Z = XY$
- Encode $XYdXYac \rightarrow ZdZac$
- Start again from $ZdZac$

Byte-Pair Encoding (BPE)

(current rules: $aa \rightarrow X$, $ab \rightarrow Y$, $XY \rightarrow Z$)

Let's encode "*ZdZac*" in an optimized way:

- Observed pairs: {*Zd*, *dZ*, *Za*, *ac*}
- Observed occurences: {*Zd*: 1, *dZ*: 1, *Za*: 1, *ac*: 1}
- **All pairs are unique => END**

Byte-Pair Encoding (BPE)

Final encoding: $aaabdaaabac \rightarrow ZdZac$

with **merge rules**:

1. $aa \rightarrow X$
2. $ab \rightarrow Y$
3. $XY \rightarrow Z$

Decoding: follow merge rules in opposite order

BPE Training - pre-tokenization

```
training_sentences = [
    "Education is very important!",
    "A cat and a dog live on an island",
    "We'll be landing in Cabo Verde",
]
```

=>

```
pretokenized = ["education_", "is_", "very_", "important_", "!_", "a_",
         "cat_", "and_", "a_", "dog_", "live_", "on_", "an_", "island_",
         "we", "'", "ll_", "be_", "landing_", "in_", "cabo_" "Verde_"
]
```

BPE Training - iteration 1

```
tokenized = [
    ['e', 'd', 'u', 'c', 'a', 't', 'i', 'o', 'n', '_'], ..., ['i', 'm', 'p', 'o', 'r', 't', 'a', 'n', 't', '_'], ['!', '_'],
    ['a', '_'], ['c', 'a', 't', '_'], ['a', 'n', 'd', '_'],  ..., ['o', 'n', '_'], ['a', 'n', '_'], ['i', 's', 'l', 'a', 'n', 'd', '_'],
    ['w', 'e'], ["'"], ['l', 'l', '_'], ['b', 'e', '_'], ['l', 'a', 'n', 'd', 'i', 'n', 'g', '_'], ..., ['v', 'e', 'r', 'd', 'e', '_']
]
```

→ Most common pair: `"an"`

```
tokenized = [
    ['e', 'd', 'u', 'c', 'a', 't', 'i', 'o', 'n', '_'], ..., ['i', 'm', 'p', 'o', 'r', 't', 'an', 't', '_'], ['!', '_'],
    ['a', '_'], ['c', 'a', 't', '_'], ['an', 'd', '_'],  ..., ['o', 'n', '_'], ['an', '_'], ['i', 's', 'l', 'an', 'd', '_'],
    ['w', 'e'], ["'"], ['l', 'l', '_'], ['b', 'e', '_'], ['l', 'an', 'd', 'i', 'n', 'g', '_'], ..., ['v', 'e', 'r', 'd', 'e', '_']
]
```

BPE Training - iteration 2

```
tokenized = [
    ['e', 'd', 'u', 'c', 'a', 't', 'i', 'o', 'n', '_'], ..., ['i', 'm', 'p', 'o', 'r', 't', 'an', 't', '_'], ['!', '_'],
    ['a', '_'], ['c', 'a', 't', '_'], ['an', 'd', '_'],  ..., ['o', 'n', '_'], ['an', '_'], ['i', 's', 'l', 'an', 'd', '_'],
    ['w', 'e'], ["'"], ['l', 'l', '_'], ['b', 'e', '_'], ['l', 'an', 'd', 'i', 'n', 'g', '_'], ..., ['v', 'e', 'r', 'd', 'e', '_']
]
```

→ Most common pair: `"ca"`

```
tokenized = [
    ['e', 'd', 'u', 'ca', 't', 'i', 'o', 'n', '_'], ..., ['i', 'm', 'p', 'o', 'r', 't', 'an', 't', '_'], ['!', '_'],
    ['a', '_'], ['ca', 't', '_'], ['an', 'd', '_'],  ..., ['o', 'n', '_'], ['an', '_'], ['i', 's', 'l', 'an', 'd', '_'],
    ['w', 'e'], ["'"], ['l', 'l', '_'], ['b', 'e', '_'], ['l', 'an', 'd', 'i', 'n', 'g', '_'], ..., ['v', 'e', 'r', 'd', 'e', '_']
]
```

BPE Training - iteration 14 (final)

```
tokenized = [
    ['e', 'd', 'u', 'cat', 'i', 'on_'], ['is', '_'], ['ver', 'y', '_'], ['i', 'm', 'p', 'o', 'r', 't', 'an', 't', '_'], ['!', '_'],
    ['a_'], ['cat', '_'], ['and_'], ['a_'], ..., ['on_'], ['an', '_'], ['is', 'l', 'and_'],
    ['w', 'e'], ["'"], ..., ['l', 'and', 'i', 'n', 'g_'], ['i', 'n_'], ['ca', 'b', 'o', '_'], ['ver', 'd', 'e_']
]
```

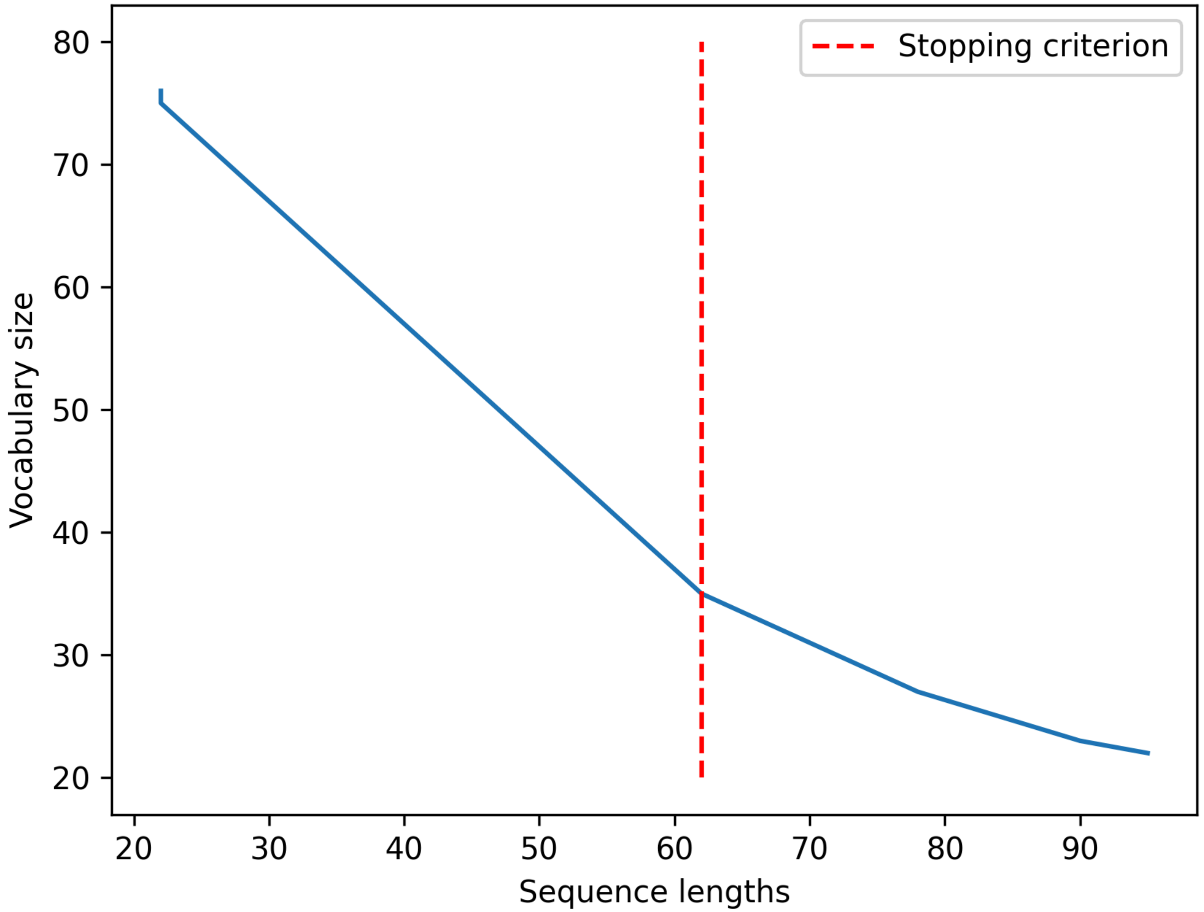
"Created" tokens:

```
['an', 'ca', 'n_', 've', 'and', 'cat', 'on_', 'is', 'ver', 'a_', 'and_', 'g_', 'e_']
```

→ English common words (a, and, on, is, ...)

→ `and` vs `and_`

BPE - Granularity



WordPiece

- Based on merge rules too
- Initial processing is different:

BPE:

```
["education", "is"] => [["e", "d", "u", ..., "n", "_"], ["i", "s", "_"]]
```

WordPiece:

```
["education", "is"] => [["e", "##d", "##u", "##c",...], ["i", "##s"]]
```

WordPiece

- Pairs are scored using this score function:

$$S((t_1, t_2)) = \frac{freq(t_1 t_2)}{freq(t_1)freq(t2)}$$

- if t_1 and t_2 are common, less likely to merge
  - ex: *dream/##ing* → not merged
- if t_1 and t_2 are rare but $t_1 t_2$ is common, more likely to merge
  - ex: *pulv/##erise* → *pulverise*

Unigram

Unigram is working in the opposite direction:

- Start from a (too) big subword vocabulary
- Gradually eliminate tokens that won't be missed 👋
- **Score** all possible segmentations and take max:
  - Ex: *brew*
    - S(*b*/ *r*/ *e*/ *w*) → P(*b*) x P(*r*) x P(*e*) x P(*w*) = 0.024
    - S(*br*/ *e*/ *w*) → P(*br*) x P(*e*) x P(*w*) = 0.031

    ...

Unigram - Inference

⚠️ A string of length n has $O(2^n)$ possible segmentations ⚠️

→ Unigram is using the Viterbi algorithm:

- Observation:
  - for all i and j indexes:
    - if the optimal segmentation $S^*(w_{:i})$ is known...
    - ... then all segmentations of type $S(w_{:i}) + w_{i:j}$ where $S(w_{:i}) \neq S^*(w_{:i})$ are suboptimal

Unigram - Inference

Example: *email*

- Starting from letter *e*
  - For all ending letters, what is the best segmentation if last token starts from *e*?
    - S(*e*) = 0.15
    - S(*em*) = 0.02

      ...
    - S(*email*) = 0.001

Unigram - Inference

Example: *email*

- Starting from letter *m*
  - For all ending letters, what is the best segmentation if last token starts from *m*?
    - S(*e* / *m*) = 0.1

      ...
    - S(*e* / *mail*) = 0.2
- Remark: we've seen S(*em*) and S(*e* / *m*) → we know the best segmentation that ends at *m* !

Unigram - Inference

Example: *email*

- Starting from letter *a*
  - For all ending letters, what is the best segmentation if last token starts from *a*? **(hence after *e* / *m*)**
    - S(*e* / *m* / *a*) = 0.023

      ...

    - S(*e* / *m* / *ail*) = ∞ (*ail* is not in vocab)
- Remark: we've seen S(*ema*), ..., S(*e* / *m*/ *a*) → we know the best segmentation that ends at *a* ! (here: *e* / *ma* is best)

Unigram - Inference

Example: *email*

- Starting from letter *i*
  - For all ending letters, what is the best segmentation if last token starts from *i*? (hence after *e* / *ma*)
    - S(*e* / *ma* / *i*) = 0.004
    - S(*e* / *ma* / *il*) = 0.03
- Remark: we only have 2 candidates left! (here: *ema* / *i* is best)

Unigram - Inference

Example: *email*

- Starting from letter *l*
  - For all ending letters, what is the best segmentation if last token starts from *l*? (hence after *ema* / *i*)
    - S(*ema* / *i* / *l*) = 0.002

Takeaway: At each *start* position, we know what the best segmentation up to *start* is => we just need to explore after *start*

Unigram - Training (≈)

- Start from a very big vocabulary
- Infer on all pre-tokenized units $w \in W$ and get total score as:

Unigram - Training (≈)

- Start from a very big vocabulary
- Infer on all pre-tokenized units $w \in W$ and get total score as:

$$score(V, W) = \sum_{w=(t_1 \ldots t_n) \in W} -\log(P_V(t_1) \times \ldots \times P_V(t_n))$$

- For all token t, compute $score(V - \{t\}, W)$
- Get rid of the 20% tokens that **least decrease** the score when removed
- Iterate 🔁 (until you have desired vocabulary size)

Limits & Alternatives

Limits

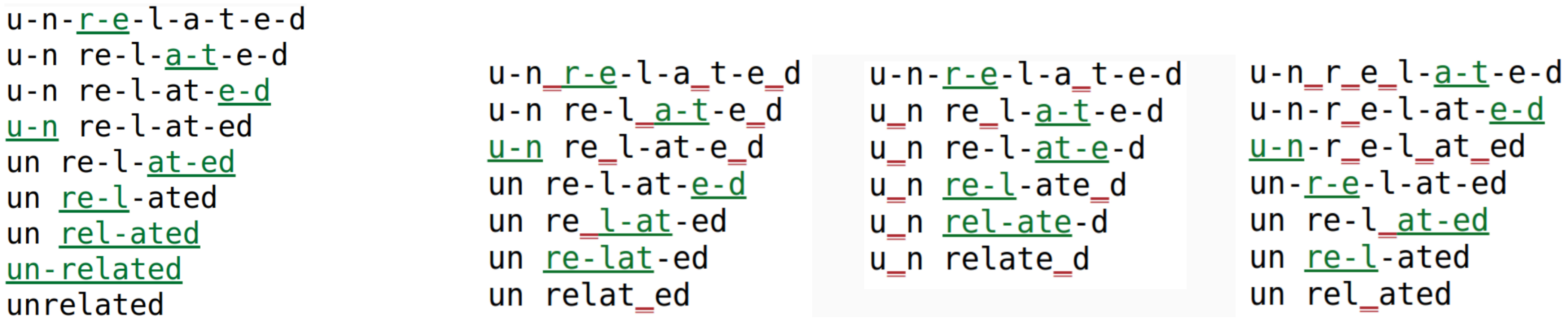
- Fixed vocabulary...
  - ... leads to OOV (out-of-vocabulary)
  - ... scales poorly to 100+ languages (and scripts)
  - ... can cause over-segmentation
  - ... is not robust to misspellings

```
bpe("artificial intelligence is real") => 'artificial', 'intelligence', 'is', 'real'
```

```
bpe("aritificial inteligense is reaal") =>
'ari', '##ti', '##fi', '##cial', 'intel', '##igen', '##se', 'is', 're', '##aa', '##l'
```

Alternatives - BPE dropout (Provilkov et al.)

→ Randomly removes part of the vocabulary during training

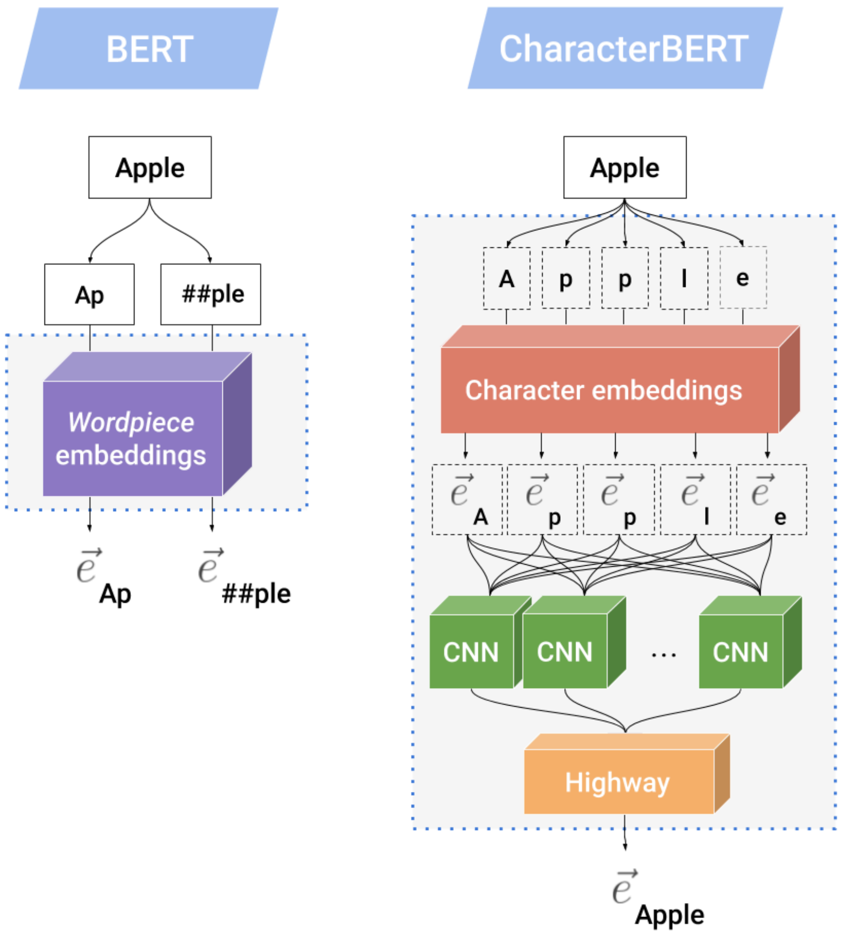


(a) (b)

Figure 1: Segmentation process of the word '*unrelated*' using (a) BPE, (b) *BPE-dropout*. Hyphens indicate possible merges (merges which are present in the merge table); merges performed at each iteration are shown in green, dropped – in red.

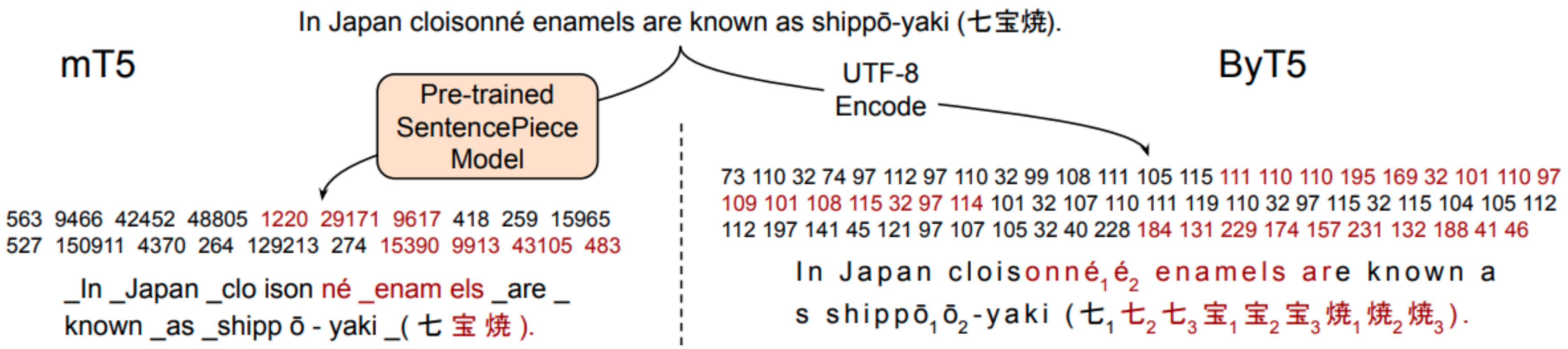
=> makes models more robust to misspellings

Alternatives - CharacterBERT (El Boukkouri et al.)



Alternatives - ByT5 (Xue et al.)

- Gives directly bytes (~characters) as inputs to the model



=> more robust and data efficient BUT ~10 times slower and more hardware consumption

Neural tokenization - CANINE (Clark et al.)

- Downsamples characters into $4\times$ smaller sequences

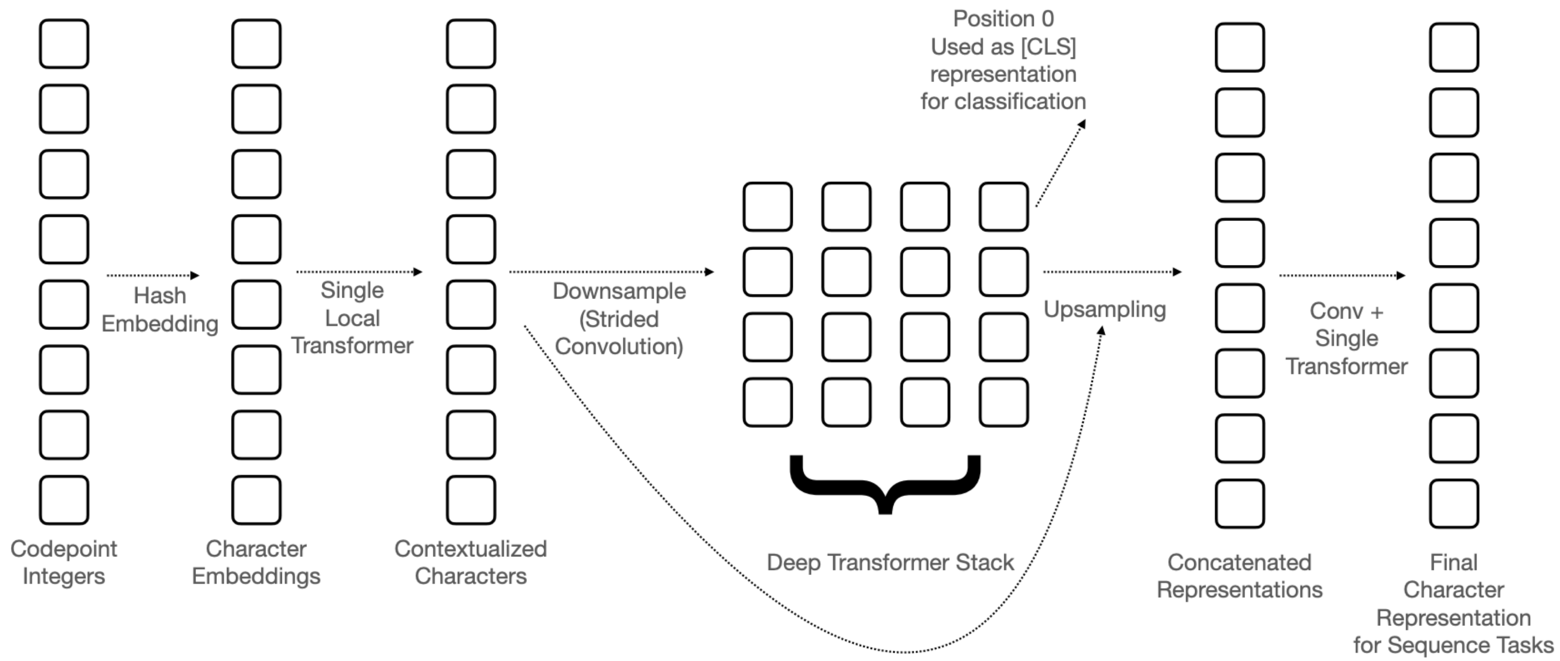
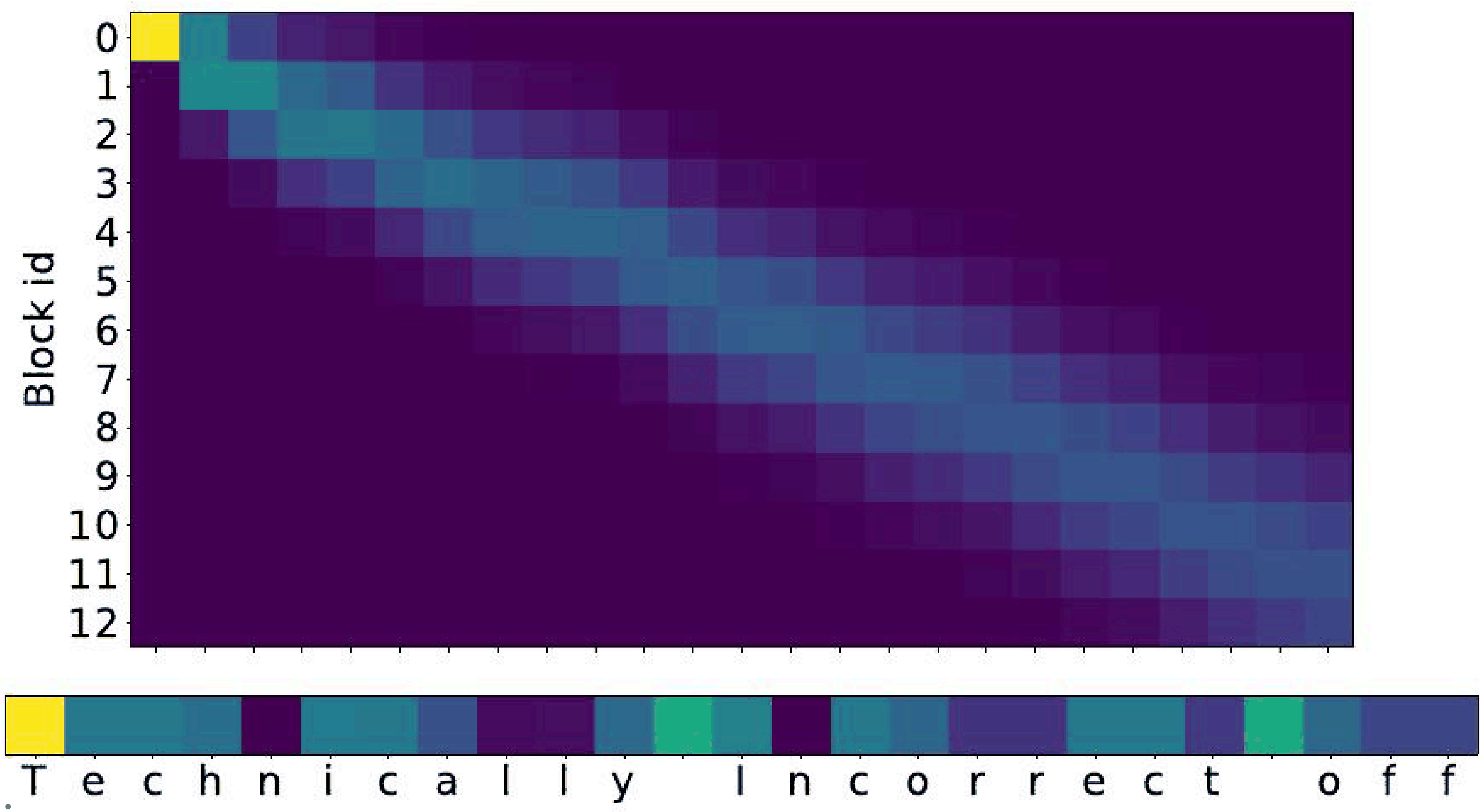


Figure 1: CANINE neural architecture.

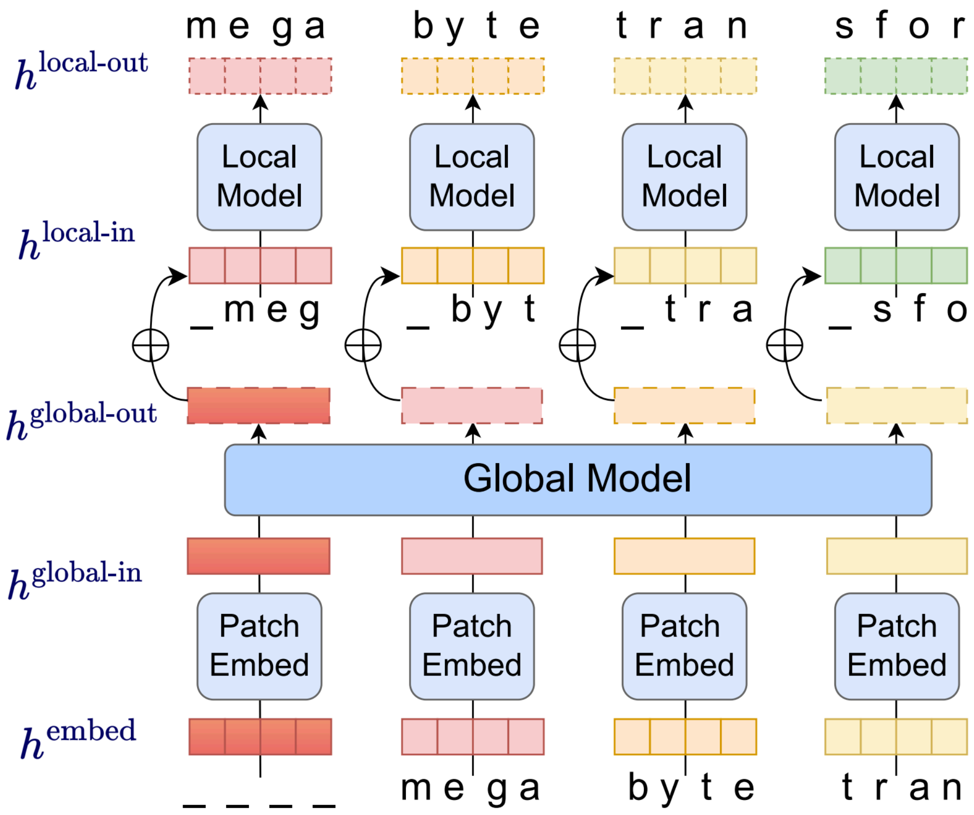
Neural tokenization - MANTa (Godey et al.)

- Allows the language model to learn its *own* mapping



Neural tokenization - MEGABYTE (Yu et al.)

- Encode and then decode autoregressively



Takeaways

- Tokenization: Art of splitting sentences/words into meaningful smaller units
- In ML: subword tokenization is (very) commonly used
- Three main algorithms
  - **BPE**: iteratively learn most frequent merges
  - **WordPiece**: BPE with adjusted frequency score
  - **Unigram**: Start big and remove tokens that won't be missed
- When facing noisy and/or complex text, alternatives exist