

## CS3004D Software Engineering

SDLC – Software Development Life Cycle

#### Introduction

- Software applications can be simple or complex
- Our aim is to build successful software products
- Large software projects are challenging
- Ad hoc software development can result in failures
- Engineering approach is essential

### **Engineering Approach for Software**

- Estimate the cost and effort involved
- Should plan and schedule the work.
- Should involve users in defining requirements, what exactly is expected from the software.
- Should identify the stages in the development.
- Should define clear milestones

#### **Software Process**

- Process defines a set of steps
- These steps need to be carried out in a particular order
- Different types of processes in a software domain
  - process for software development
  - Process for managing the project
  - Process for change and configuration management
  - Process for managing the above processes

#### Step in a Software Process

- Well defined objective
- Well defined inputs and outputs
- Entry and Exit criteria

### Software Development Process

- As Software Development Life Cycle.
- So SDLC is the process which helps to develop good quality software products
- SDLC is composed of a number of clearly defined and distinct work steps or phases
- A number of SDLC models or process models have been created such as Waterfall, Spiral etc.

### Software Development Life Cycle

- Problem Definition
- Feasibility Study
- Requirements Analysis
- Design
- Implementation
- Testing
- Maintenance
- Archival

#### **Problem Definition**

#### "What is the problem "

- Ensure there exists a problem to be solved
- Define goal
- Usually short and quick
- Categorizing problem
- Avoid misunderstanding
- Identifying cause of the problem
- Checking cost-effectiveness

#### Problem Definition Document

- Problem statement
- Project objective
- Preliminary Ideas
- Time and Cost for Feasibility Study

### Next Phase --- Feasibility Study

Understanding of problem and reasons

#### Try to answer:

- Are there feasible solutions?
- Is the problem worth solving?

Look at cost-benefit analysis; efforts
Thorough review on report
Best time to stop the project

## Types of Feasibility Study

- Economical
- Technical
- Operational

#### Cost – Benefit Analysis

- Types of costs
- Types of benefits
- Estimation in early stage; challenging

### Feasibility Report

- A brief statement of the problem; System environment
- Important findings and recommendations
- Alternatives
- System description
- Cost-benefit analysis
- Evaluation of technical risk
- Legal consequences

#### Requirements Analysis

- Knowing user's requirement in detail
- Objective is to determine what he system must do to solve the problem (without describing how)
- Produces SRS document
- Incorrect, incomplete, inconsistent, ambiguous SRS often results in project failure

### Requirement Analysis

#### Challenging

- Users may not know exactly what is needed
- Users may change their mind over time
- Users may have conflicting demands
- Analyst has no or limited domain knowledge
- Client may be different from the user
- Users may not be capable to differentiate
   between what is possible and what is impractical

### Thank You



## CS3004D Software Engineering

SDLC – Software Development Life Cycle

#### Summary

- Need an engineering approach
- Software development life cycle
- Problem definition
- Feasibility Study

### Software Development Life Cycle

- Problem Definition
- Feasibility Study
- Requirements Analysis
- Design
- Implementation
- Testing
- Maintenance
- Retirement

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#### **SRS**

- First and most important baseline
- What the system will be able to do
- Basis for validation and final acceptance
- Cost increases rapidly after this step
- Should be reviewed in detail by user and other analyst
- Should be adequately detailed
- It identifies all functional and performance requirements

### Requirements Analysis Process

- Interviewing clients
- Studying existing things
- Long process should be organized systematically
- Identifies users and business entities
- Get functional or domain knowledge
- Often goes outside in

#### Organizing Findings

- Massive amount of information through study
- Need to be organized, recorded and classified
- Ensure consistency and completeness
- Prepare SRS
- Get it reviewed

#### Design

- Deals with "How "
- Consider several technical alternatives
- Input is the SRS
- Prepare for technical management review
- Finally delivers design document

#### Design Goals

- Processing component
- Data component
- Different design paradigms
- System structure
  - Decomposes the complex system
  - Defines the subsystems or modules

#### **Implementation**

- Coding are done
- Translating design specification into the source code
- Source code along with internal documentation
- To reduce the cost of later phases
- Making the program more readable
- General coding standards

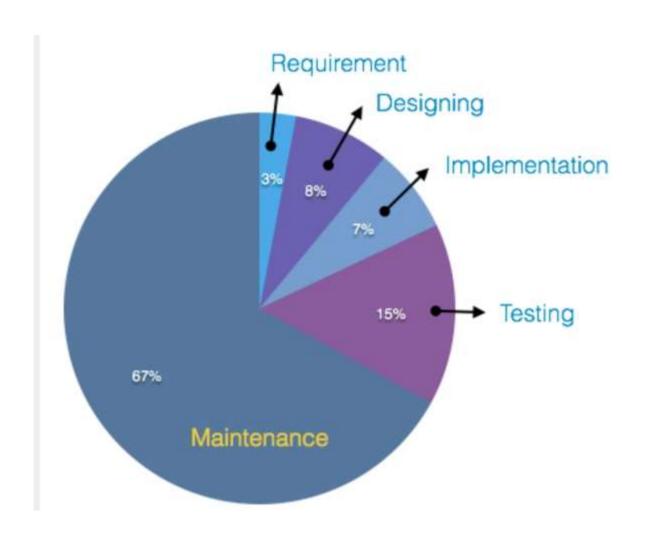
### **Testing**

- Testing is important because software bugs could be expensive or even dangerous.
- Process of evaluating whether the current software product meets the requirements or not.
- Checks for missing requirements, bugs or errors, security, reliability and performance

#### Maintenance

- Goal is to modify and update software after delivery
  - Correcting errors
  - Improving performance or capabilities
  - Deletion of obsolete features
  - Optimization
- Types of Software Maintenance
  - Corrective
  - Adaptive
  - Preventive
  - Perfective

### Cost Comparison over Phases



#### Software Retirement Process

- Application Decommission or Application sunsetting
- Final stage of life cycle
- Shutting down
- Reasons
  - Replaced
  - Release no longer supported
  - Redundant
  - Obsolete

### Thank You

# **Developing Requirements**

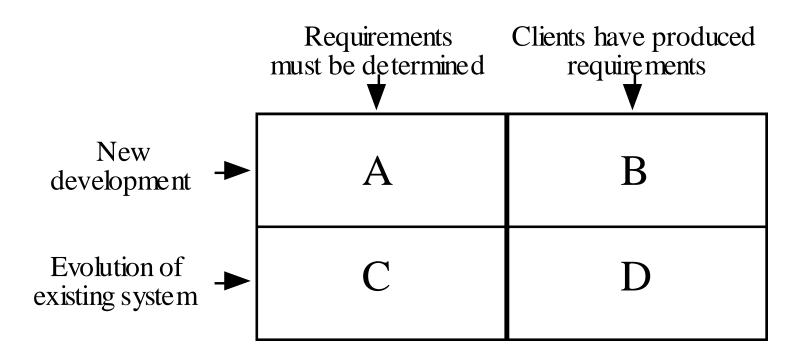
# **Domain Analysis**

- The process by which a software engineer learns about the domain to better understand the problem:
  - The *domain* is the general field of business or technology in which the clients will use the software
  - A domain expert is a person who has a deep knowledge of the domain
- Benefits of performing domain analysis:
  - Faster development
  - Better system
  - Anticipation of extensions
- It is useful to write a summary of the information found during domain analysis. This is called *Domain Analysis Document*.

# Domain Analysis document

- A. Introduction
- **B.** Glossary
- C. General knowledge about the domain
- D. Customers and users
- E. The environment
- F. Tasks and procedures currently performed
- **G.** Competing software
- H. Similarities to other domains

## The Starting Point for Software Projects



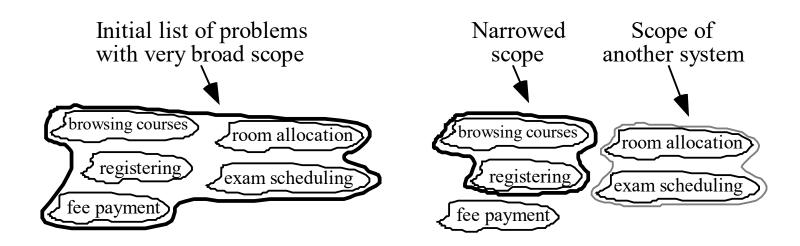
# Defining the Problem and the Scope

- A problem can be expressed as:
  - A difficulty the users or customers are facing,
  - Or as an *opportunity* that will result in some benefit such as improved productivity or sales.

- The solution to the problem normally will entail developing software
- A good problem statement is short and succinct

# **Defining the Scope**

- Narrow the *scope* by defining a more precise problem
  - List all the things you might imagine the system doing
    - Exclude some of these things if too broad
    - Determine high-level goals if too narrow
- Example: A university registration system



# **Types of Requirements**

# What is a Requirement?

- It is a statement describing either
  - -1) an aspect of what the proposed system must do,
  - or 2) a constraint on the system's development.
  - In either case it must contribute in some way towards adequately solving the customer's problem;
  - The set of requirements as a whole represents a negotiated agreement among the stakeholders.
- A collection of requirements is a *requirements* document.

# **Requirement Engineering**

- The process of establishing the services that the customer requires from a system and the constraints under which it operates and is developed.
- The requirements themselves are the descriptions of the system services and the constraints that are generated during the requirements engineering process.

# **Types of Requirements**

#### Functional requirements

- Describe what the system should do
- Statements of services the system should provide, how the system should react to particular inputs and how the system should react in particular situation.

#### Non-functional requirements

- Constraints that must be adhered to during development
- Constraint on the services or functions offered by the system such as timing constraints, platform constraints , constraints on development etc.

# **Functional Requirements**

- What *inputs* the system should accept
- What *outputs* the system should produce
- What data the system should store that other systems might use
- What *computations* the system should perform
- The *timing and synchronization* of the above

## Non-functional requirements

- All must be verifiable
- Three main types

#### 1. Quality Requirements

Categories reflecting: usability, efficiency, reliability, maintainability and reusability

- Response time
- Throughput
- Resource usage
- Reliability
- Availability
- Recovery from failure
- Allowances for maintainability and enhancement
- Allowances for reusability

# Non-functional requirements...

#### 2. Platform Requirements

Categories constraining the *environment and technology* of the system.

- Platform
- Technology to be used

#### 3. Process Requirements

Categories constraining the *project plan and development methods* 

- Development process (methodology) to be used
- Cost and delivery date
  - Often put in contract or project plan instead

# Some Techniques for Gathering and Analysing Requirements

- Observation
- Interviewing
- Brainstorming
- Prototyping

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## Gathering and Analysing Requirements

Observation

- May help to find details, that user may miss to tell
- Shadowing important potential users as they do their work
  - x ask the user to explain everything he or she is doing
- Session videotaping
- Consumes time, best for large projects

## Gathering and Analysing Requirements

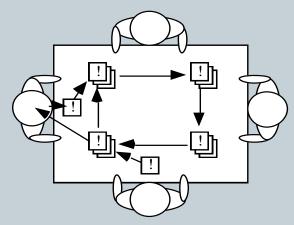
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- Interviewing
  - Conduct a series of interviews
    - Ask about specific details
    - × Ask about the stakeholder's vision for the future
    - Ask if they have alternative ideas
    - Ask minimum acceptable solution; shelf ware
    - × Ask for other sources of information
    - Ask them to draw diagrams
  - Listening skill and empathy
  - Make clear about interviewer knowledge
  - Don't make promises

## Gathering and Analysing Requirements...

#### Brainstorming

- Appoint an experienced moderator
- Arrange the attendees around a table
- o Decide on a 'trigger question'
- Ask each participant to write an answer and pass the paper to its neighbour



## Gathering and Analysing Requirements...



#### Brainstorming – Advantages

- Spontaneous new ideas
- Anonymity ensured
- Ideas created in parallel
- No need to wait for turn

## Gathering and Analysing Requirements...

## 6

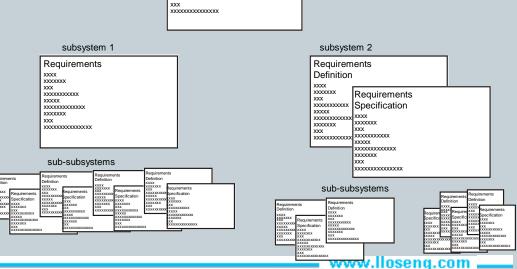
#### Prototyping

- The simplest kind: paper prototype.
  - x a set of pictures of the system that are shown to users in sequence to explain what would happen
- The most common: a mock-up of the system's UI
  - Written in a rapid prototyping language
  - Does not normally perform any computations, access any databases or interact with any other systems
  - Only a requirement gathering tool

## Types of Requirements Document

#### Two extremes:

 Requirements documents for large systems are normally arranged in a hierarchy



Requirements

### Level of detail required in a requirements document

8

- How much detail should be provided depends on:
  - ▼ The size of the system
  - The need to interface to other systems
  - x The readership
  - ▼ The stage in requirements gathering
  - ▼ The level of experience with the domain and the technology
  - The cost that would be incurred if the requirements were faulty

## **Reviewing Requirements**



#### Each individual requirement should

- Have benefits that outweigh the costs of development
- Be **important** for the solution of the current problem
- Be expressed using a clear and consistent notation
- Re **unambiguous**
- Be logically consistent
- Lead to a system of sufficient quality
- Be **realistic** with available resources
- × Be **verifiable**
- Be uniquely identifiable
- Does not over-constrain the design of the system

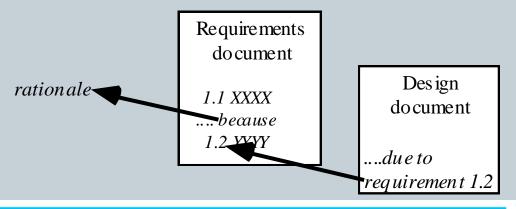
## Requirements documents...

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#### • The document should be:

- sufficiently complete
- well organized
- clear
- agreed to by all the stakeholders

#### Traceability:



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## Requirements document...

(11)

- A. Problem
- B. Background information
- C. Environment and system models
- D. Functional Requirements
  - Non-functional requirements

## Managing Changing Requirements

- Requirements change because:
  - Business process changes
  - Technology changes
  - The problem becomes better understood
- Requirements analysis never stops
  - Continue to interact with the clients and users
  - The benefits of changes must outweigh the costs.
    - Certain small changes (e.g. look and feel of the UI) are usually quick and easy to make at relatively little cost.
    - × Larger-scale changes have to be carefully assessed
      - Forcing unexpected changes into a partially built system will probably result in a poor design and late delivery
  - Some changes are enhancements in disguise
    - \* Avoid making the system *bigger*, only make it *better*

# MODULE 2

Principles of Software Design

# The Process of Design

- Definition:
  - *Design* is a problem-solving process whose objective is to find and describe a way:
    - To implement the system's functional requirements...
    - While respecting the constraints imposed by the *quality*, *platform and* process requirements...
      - including the budget and deadlines
    - And while adhering to general principles of good quality

# Design as a series of decisions

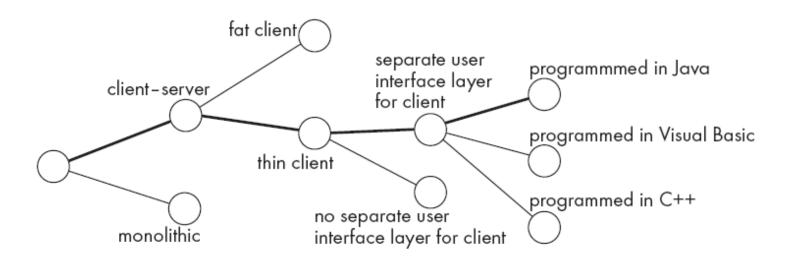
- A designer is faced with a series of design issues
  - These are sub-problems of the overall design problem.
  - Each issue normally has several alternative solutions:
    - design options.
  - The designer makes a design decision to resolve each issue.
    - This process involves choosing the best option from among the alternatives.

# Making decisions

- To make each design decision, the software engineer uses:
  - Knowledge of
    - the requirements
    - the design as created so far
    - the technology available
    - software design principles and 'best practices'
    - what has worked well in the past

# Design space

- The space of possible designs that could be achieved by choosing different sets of alternatives is often called the *design space* 
  - For example:



# Parts of a system: subsystems, components and modules

#### Component

- Any piece of software or hardware that has a clear role.
  - A component can be isolated, allowing you to replace it with a different component that has equivalent functionality.
  - Many components are designed to be reusable.
  - Conversely, others perform special-purpose functions.

### Module

- A component that is defined at the programming language level
  - For example, methods, classes and packages are modules in Java.

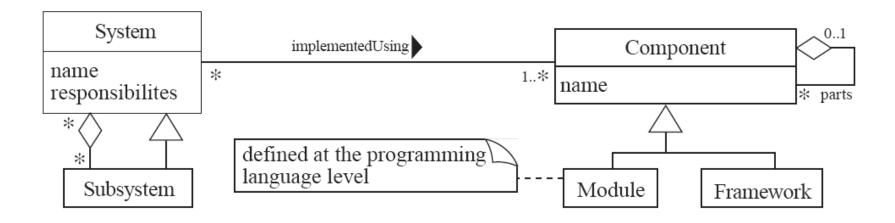
# System

- A logical entity, having a set of definable responsibilities or objectives, and consisting of hardware, software or both.
  - A system can have a specification which is then implemented by a collection of components.
  - A system continues to exist, even if its components are changed or replaced.
  - The goal of requirements analysis is to determine the responsibilities of a system.

#### • Subsystem:

• A system that is part of a larger system, and which has a definite interface

# UML diagram of system parts



# Top-down and bottom-up design

- Top-down design
  - First design the very high level structure of the system.
  - Then gradually work down to detailed decisions about low-level constructs.
  - Finally arrive at detailed decisions such as:
    - the format of particular data items;
    - the individual algorithms that will be used.

# Top-down and bottom-up design

- Bottom-up design
  - Make decisions about reusable low-level utilities.
  - Then decide how these will be put together to create high-level constructs.
- A mix of top-down and bottom-up approaches are normally used:
  - Top-down design is almost always needed to give the system a good structure.
  - Bottom-up design is normally useful so that reusable components can be created.

# Different aspects of design

- Architecture design:
  - The division into subsystems and components,
    - How these will be connected.
    - How they will interact.
    - Their interfaces.
- Class design:
  - The various features of classes.
- User interface design
- Algorithm design:
  - The design of computational mechanisms.
- Protocol design:
  - The design of communications protocol.

# Principles Leading to Good Design

- Overall *goals* of good design:
  - Increasing profit by reducing cost and increasing revenue
  - Ensuring that we actually conform with the requirements
  - Accelerating development
  - Increasing qualities such as
    - Usability
    - Efficiency
    - Reliability
    - Maintainability
    - Reusability

## Design Principle 1: Divide and conquer

- Trying to deal with something big all at once is normally much harder than dealing with a series of smaller things
  - Separate people can work on each part.
  - An individual software engineer can specialize.
  - Each individual component is smaller, and therefore easier to understand.
  - Parts can be replaced or changed without having to replace or extensively change other parts.

# Ways of dividing a software system

- A distributed system is divided up into clients and servers
- A system is divided up into subsystems
- A subsystem can be divided up into one or more packages
- A package is divided up into classes
- A class is divided up into methods

# Design Principle 2: Increase cohesion where possible

- A subsystem or module has high cohesion if it keeps together things that are related to each other, and keeps out other things
- Cohesion is also called **Intra-Module Binding**.
  - This makes the system as a whole easier to understand and change
  - Type of cohesion:
    - Functional,
    - Layer
    - Communicational
    - Sequential
    - Procedural
    - Temporal
    - Utility

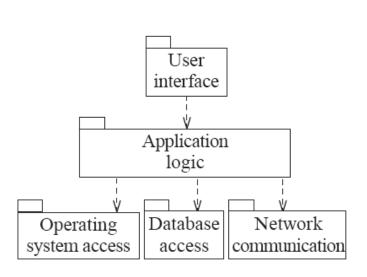
#### Functional cohesion

- This is achieved when *all the code that computes a particular result* is kept together and everything else is kept out
  - i.e. when a module only performs a *single* computation, and returns a result, *without having side-effects*.
  - Benefits to the system:
    - Easier to understand
    - More reusable
    - Easier to replace
  - Modules that update a database, create a new file or interact with the user are not functionally cohesive

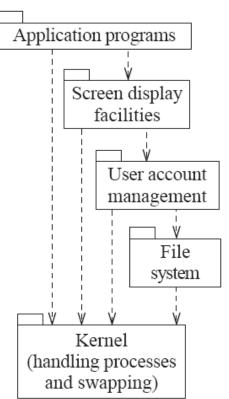
#### Layer cohesion

- All the facilities for providing or accessing a set of related services are kept together, and everything else is kept out
  - The layers should form a hierarchy
    - Higher layers can access services of lower layers,
    - Lower layers do not access higher layers
  - The set of procedures through which a layer provides its services is the application programming interface (API)
  - You can replace a layer without having any impact on the other layers
    - You just replicate the API

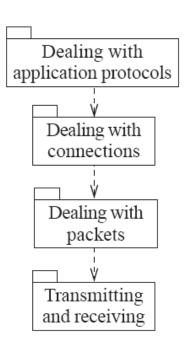
### Example of the use of layers



(a) Typical layers in an application program



(b) Typical layers in an operating system



(c) Simplified view of layers in a communication system

#### Communicational cohesion

- All the modules that access or manipulate certain data are kept together (e.g. in the same class) and everything else is kept out
  - A class would have good communicational cohesion
    - if all the system's facilities for storing and manipulating its data are contained in this class.
    - if the class does not do anything other than manage its data.
  - Main advantage: When you need to make changes to the data, you find all the code in one place

#### Sequential cohesion

- Procedures, in which one procedure provides input to the next, are kept together and everything else is kept out
  - You should achieve sequential cohesion, only once you have already achieved the preceding types of cohesion.

#### Procedural cohesion

- Procedures that are used one after another are kept together
  - Even if one does not necessarily provide input to the next.
  - Weaker than sequential cohesion.
- Example of Procedural Cohesion
  - module write read and edit something
    - use out record
    - write out record
    - read in record
    - pad numeric fields with zeros
    - return in record

#### **Temporal Cohesion**

- Elements are involved in activities that are related in time
- Operations that are performed during the same phase of the execution of the program are kept together, and everything else is kept out
  - For example, placing together the code used during system start-up or initialization.
  - Weaker than procedural cohesion

#### Utility cohesion

- When related utilities which cannot be logically placed in other cohesive units are kept together
  - A utility is a procedure or class that has wide applicability to many different subsystems and is designed to be reusable.
  - For example, the java.lang.Math class.

### Design Principle 3: Reduce coupling where possible

- Coupling occurs when there are interdependencies between one module and another
  - When interdependencies exist, changes in one place will require changes somewhere else.
  - A network of interdependencies makes it hard to see at a glance how some component works.
  - Type of coupling:
    - Content,
    - Common,
    - Control
    - Stamp
    - Data
    - Routine Call
    - Type use
    - Inclusion/Import
    - External

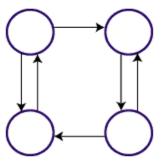
# Tightly coupled system and a loosely coupled system

#### **Module Coupling**

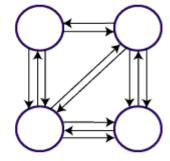




Uncoupled: no dependencies
(a)



Loosely Coupled: Some dependencies (b)



Highly Coupled: Many dependencies (c)

#### Content coupling:

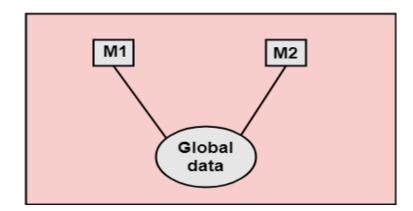
- Occurs when one component *surreptitiously* modifies data that is *internal* to another component .
  - To reduce content coupling you should therefore *encapsulate* all instance variables
    - declare them private
    - and provide get and set methods
  - This is the worst form of coupling and should be avoided.

#### Example....

```
// tight coupling :
public int sumValues(Calculator c){
    int result = c.getFirstNumber() + c.getSecondNumber();
    c.setResult(result);
    return c.getResult();
// loose coupling :
public int sumValues(Calculator c){
    c.sumAndUpdateResult();
    return c.getResult();
```

### Common coupling

- Occurs whenever you use a global variable
  - All the components using the global variable become coupled to each other
  - A weaker form of common coupling is when a variable can be accessed by a *subset* of the system's classes.
    - e.g. a Java package
- Encapsulation reduces the harm of global variables.
  - avoid having too many such encapsulated variables.



#### Control coupling

- Communication between modules occur by passing control information(or a module control the flow of another)
- Occurs when one procedure calls another using a 'flag' or 'command' that explicitly controls what the second procedure does
  - To make a change you have to change both the calling and called method
  - The use of **polymorphic operations** is normally the best way to avoid control coupling
  - One way to reduce the control coupling could be to have a look-up table
    - commands are then mapped to a method that should be called when that command is issued

### Example of control coupling

```
public routineX(String command)
{
   if (command.equals("drawCircle")
   {
      drawCircle();
   }
   else
   {
      drawRectangle();
   }
}
```

#### Stamp coupling:

- The complete data structure is passed from one module to another module
- Occurs whenever one of your application classes is declared as the *type* of a method argument
- In this case, this other class is tightly coupled to the first class, any change in the first class will affect the other class' function implementation
- Two ways to reduce stamp coupling,
  - using an interface as the argument type
  - passing simple variables

### Example of stamp coupling

```
public class Emailer
{
   public void sendEmail(Employee e, String text)
   {...}
   ...
}

Using simple data types to avoid it:
   public class Emailer
   {
     public void sendEmail(String name, String email, String text)
     {...}
   ...
}
```

#### Example of stamp coupling...

```
Using an interface to avoid it:

public interface Addressee
{
   public abstract String getName();
   public abstract String getEmail();
}

public class Employee implements Addressee {...}

public class Emailer
{
   public void sendEmail(Addressee e, String text)
   {...}
   ...
}
```

### Data coupling

- Two modules exhibit *data coupling* if one calls the other directly and they communicate using "parameters".
- Data passed using parameters.
- This coupling occurs when a function has got too many parameters.
- The downside of such coupling is that the callers of the function should pass all the arguments, even the ones that does not matter to them.
- The more arguments a method has, the higher the coupling
- You should reduce coupling by not giving methods unnecessary arguments
  - There is a trade-off between data coupling and stamp coupling
    - Increasing one often decreases the other

#### Routine call coupling

- Occurs when one routine (or method in an object oriented system) calls another
  - The routines are coupled because they depend on each other's behaviour
  - Routine call coupling is always present in any system.
  - If you repetitively use a sequence of two or more methods to compute something
    - then you can reduce routine call coupling by writing a single routine that encapsulates the sequence.

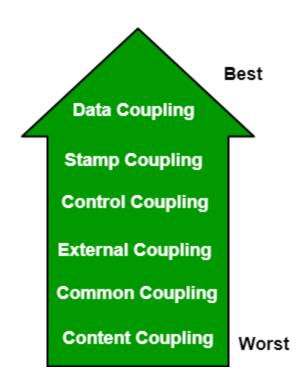
### Inclusion or import coupling

- Occurs when one component imports a package
  - (as in Java)
- or when one component includes another
  - (as in C++).
  - The including or importing component is now exposed to everything in the included or imported component.
  - If the included/imported component changes something or adds something.
    - This may raises a conflict with something in the includer, forcing the includer to change.
  - An item in an imported component might have the same name as something you have already defined.

#### External coupling

- When a module has a dependency on such things as the operating system, shared libraries or the hardware
  - It is best to reduce the number of places in the code where such dependencies exist.
  - The Façade design pattern can reduce external coupling

### Levels of Coupling



# Design Principle 4: Keep the level of abstraction as high as possible

- An abstraction is a tool that enables a designer to consider a component at an abstract level without bothering about the internal details of the implementation.
- Ensure that your designs allow you to hide or defer consideration of details, thus reducing complexity
  - A good abstraction is said to provide information hiding
  - Abstractions allow you to understand the essence of a subsystem without having to know unnecessary details

#### Abstraction and classes

- Classes are data abstractions that contain procedural abstractions
  - Abstraction is increased by defining all variables as private.
  - The fewer public methods in a class, the better the abstraction
  - Superclasses and interfaces increase the level of abstraction
  - Attributes and associations are also data abstractions.
  - Methods are procedural abstractions
    - Better abstractions are achieved by giving methods fewer parameters

# Design Principle 5: Increase reusability where possible

- Design the various aspects of your system so that they can be used again in other contexts
- strategies for increasing reusability are as follows:
  - Generalize your design as much as possible
  - Follow the preceding three design principles
  - Design your system to contain hooks
  - Simplify your design as much as possible

# Design Principle 6: Reuse existing designs and code where possible

- Design with reuse is complementary to design for reusability
  - Actively reusing designs or code allows you to take advantage of the investment you or others have made in reusable components
    - Cloning should not be seen as a form of reuse

#### Design Principle 7: Design for flexibility

- Also known as adaptability
- Actively anticipate changes that a design may have to undergo in the future, and prepare for them.
  - Reduce coupling and increase cohesion
  - Create abstractions
  - Do not hard-code anything
  - Leave all options open
    - Do not restrict the options of people who have to modify the system later
  - Use reusable code and make code reusable

### Design Principle 8: Anticipate obsolescence

- Plan for changes in the technology or environment so the software will continue to run or can be easily changed
- The following are some rules that designers can use to better anticipate obsolescence:
  - Avoid using early releases of technology
  - Avoid using software libraries that are specific to particular environments
  - Avoid using undocumented features or little-used features of software libraries
  - Avoid using software or special hardware from companies that are less likely to provide long-term support
  - Use standard languages and technologies that are supported by multiple vendors

# Design Principle 9: Design for Portability

- Have the software run on as many platforms as possible
  - Avoid the use of facilities that are specific to one particular environment
  - E.g. a library only available in Microsoft Windows

# Design Principle 10: Design for Testability

- Take steps to make testing easier
  - Design a program to automatically test the software
    - Ensure that all the functionality of the code can by driven by an external program, bypassing a graphical user interface
  - In Java, you can create a main() method in each class in order to exercise the other methods

#### Design Principle 11: Design defensively

- Never trust how others will try to use a component you are designing
  - Handle all cases where other code might attempt to use your component inappropriately
  - Check that all of the inputs to your component are valid: the *preconditions* 
    - Unfortunately, over-zealous defensive design can result in unnecessarily repetitive checking

#### Design by contract

- A technique that allows you to design defensively in an efficient and systematic way
  - Key idea
    - each method has an explicit *contract* with its callers
  - The contract has a set of assertions that state:
    - What *preconditions* the called method requires to be true when it starts executing
    - What *postconditions* the called method agrees to ensure are true when it finishes executing
    - What invariants the called method agrees will not change as it executes