

# Operating Systems

(Good notes)

1) Operating system → It is an interface between user and computer hardware.

## Types of operating system →

D) Batch OS →

A set of similar jobs are stored in main memory for execution. A job gets assigned to CPU, only when execution of previous job completes.

2) Multiprogramming OS →

The main memory consists of jobs waiting for CPU time. The OS selects one of the process & assigns it to CPU. Whenever executing process needs to wait for any other operation (like I/O), the OS selects another process from job queue and assigns it to CPU. This way, the CPU is never kept idle and user gets flavour of getting multiple tasks done at once. (Non-preemptive)

3) Multitasking OS →

Multitasking OS combines the benefits of Multiprogramming OS and CPU scheduling to perform quick switches between jobs. The switch is so quick that user can interact with each program as it runs. (preemptive)

a) Time sharing OS →

Time sharing systems require interaction with user to instruct the OS to perform various tasks. The OS responds with output. The instructions are usually given through input device like keyboard.

Real Time OS +  
are usually built for dedicated systems to accomplish  
a specific set of tasks within deadlines.

## Threads

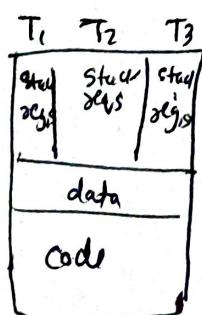
A thread is a lightweight process & forms a basic unit of CPU utilization. A process can perform more than one task at same time by including multiple threads.

- 1) A thread has its own program counter, register set & stack
- 2) A thread shares resources with other threads of same process like code section, the data, signal files & signals

## 2 types of thread

### 1) User thread

- implemented by user
- context switch time is less
- If one user level thread is blocked the entire process will be blocked



### 2) Kernel thread

- implemented by OS
- context switch time is more
- if one kernel thread performs blocking operation then another thread can continue execution

→ threads share data, code etc  
but to perform multitasking  
use different stack, registers

→ context switch → switching betw T1, & T2 etc

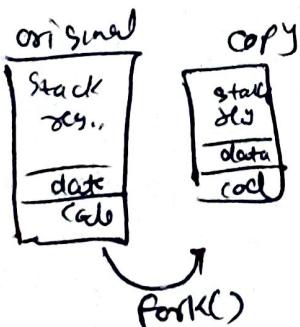
→ if like T1 wants input before working so it performs blocking operation, thus if T1 blocked all process stop in

# Process

A process is program under execution. The value of program counter (PC) indicates the address of next instruction of process being executed. Each process is represented by a Process control Block (PCB)

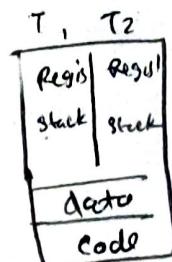
## Process

- system calls involved in process  
(system call decreases speed)
- OS treats different process differently
- Different process have different copies of Data files, code
- context switching is slower
- Independent



## Threads

- (User level), here
  - no system call involved
  - All user level threads treated as single task for OS.
  - thread share same copy of code and data
  - context switching is faster
  - Interdependent



A process with  $n$  `fork()` system calls generate  $2^n - 1$  child process.

• basically thread is segment of process.

## Process Scheduling

Important times with respect to process

- 1) Arrival time  $\rightarrow$  Times at which process arrives in ready queue.
- 2) completion time  $\rightarrow$  Time at which process completes its execution.
- 3) Burst time  $\rightarrow$  Time required by a process for CPU execution.
- 4) Turn Around time  $\rightarrow$  Time difference between completion time and arrival time.

$$\text{Turnaround time} = \text{Completion Time} - \text{Arrival Time}$$

- 5) Waiting Time (WT) = Time difference between turn around time and burst time,

$$\text{Waiting Time} = \text{Turn around time} - \text{Burst time}$$

$\rightarrow$  Why do we need scheduling?

A typical process involves both I/O time & CPU time.  
 In a uniprogramming system like MS-DOS time spent waiting for I/O is wasted and CPU is free during this time.  
 In multiprogramming systems, one process can use CPU while another process is waiting for I/O, This is possible only with process scheduling.

# OBJECTIVE of Process Scheduling Algorithm

- 1) MAX CPU utilization
- 2) Fair allocation of CPU
- 3) MAX throughput (no. of process that complete their execution per time unit)
- 4) Min turnaround time (time taken by process to finish execution)
- 5) Min waiting time (time for which process waits in ready queue)
- 6) min response time (time when process produces first response)

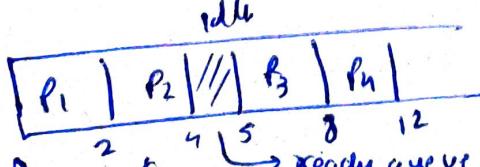
## Different Scheduling Algorithms

① (FCFS) first come first serve :  
Simplest scheduling algorithm that schedules according to arrival times of process.

is non-preemptive (scheduling is not interrupted in middle of execution) means it switch to other process or terminates process only after completion of older process)

| eg | Process        | Arrival time | Burst time | Completion time | Turnaround |     |
|----|----------------|--------------|------------|-----------------|------------|-----|
|    |                |              |            |                 | WT         | TAT |
|    | P <sub>1</sub> | 0            | 2          | 2               | 2 (2-0)    | 0   |
|    | P <sub>2</sub> | 1            | 2          | 4               | 3 (4-1)    | 1   |
|    | P <sub>3</sub> | 5            | 3          | 8               | 3 (8-5)    | 0   |
|    | P <sub>4</sub> | 6            | 4          | 12              | 6 (12-6)   | 2   |

Gantt chart



ready queue is empty  
only P<sub>2</sub> in ready queue

\* waiting time (WT)  
Turnaround = Burst time

## 2) Shortest Job first (SJF)

Process which have shortest burst time are scheduled.

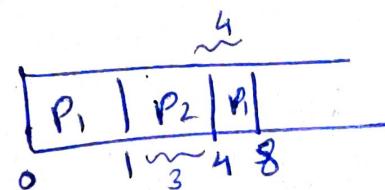
Mode  $\rightarrow$  non-preemptive (cannot be stopped in betw.)

- from ready queue select one with lowest burst time

## 3) Shortest remaining Time First (SRTF)

It is preemptive (can be interrupted) mode of SJF algorithm in which jobs are scheduled according to shortest remaining time.

| eg | P <sub>1</sub> | Arrived 0 | Burst time 5 |
|----|----------------|-----------|--------------|
|    | P <sub>2</sub> | 1         | 3            |



P<sub>1</sub> → P<sub>2</sub>  $\Rightarrow$  start P<sub>2</sub>  
4      3  
Burst time

## 4) Round Robin (RR) scheduling

Each process is assigned a fix time in cyclic way.

Criterial = Time quantum      Mode  $\rightarrow$  Preemptive

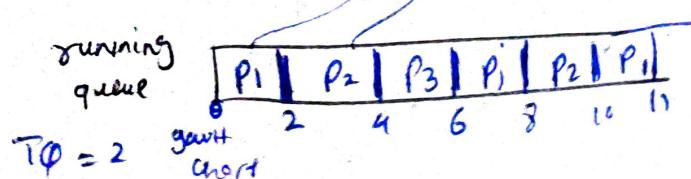
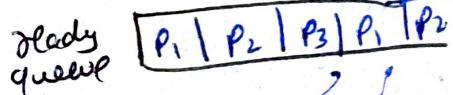
eg Let TQ = 2



after 2 unit switch  
respective of Burst time

|                | Arrived | Burst                 |
|----------------|---------|-----------------------|
| P <sub>1</sub> | 0       | 5 $\rightarrow$ 3   1 |
| P <sub>2</sub> | 1       | 4   2                 |
| P <sub>3</sub> | 2       | 2   0                 |

according to arrival time



## 5) Priority Based Scheduling

(Non preemptive)

In this scheduling, processes are scheduled according to priorities i.e highest priority process is scheduled first. If priorities of two process match, then scheduling is according to arrival time.

## 6) Highest Response Ratio Next (HRRN)

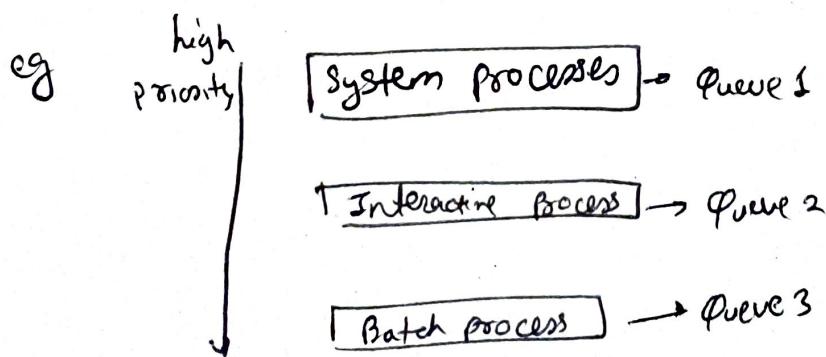
Non preemptive

process with highest response ratio is scheduled. This algorithm avoids starvation

$$\text{Response Ratio} = \frac{\text{Waiting Time} + \text{Burst time}}{\text{Burst time}}$$

## 7) Multilevel Queue Scheduling (MLQ)

According to priority of process, processes are placed in different queue, Generally high priority processes are placed in top level queue. Only after completion of processes from top level queue, lower level queued process are scheduled



All three different type of processes have their own queue. Each queue have its own scheduling algorithm.

eg queue 1 & queue 2 → Round Robin

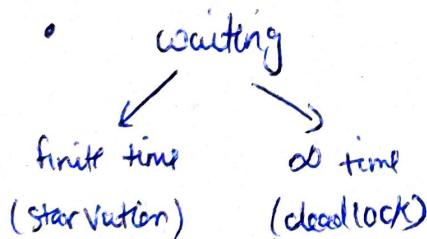
queue 3 FCFS

## 8) Multi Level Feedback Queue (MLFQ) scheduling

It allows process to move in between queues. The idea is to separate processes according to characteristics of CPU bursts. If process uses too much time, It is moved to lower priority queue.

### Important points

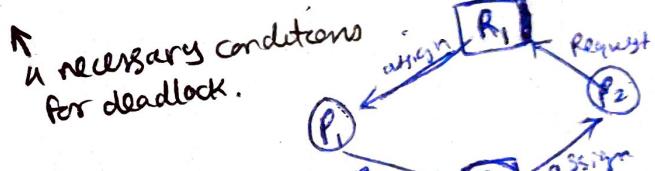
- FCFS can cause long waiting time, especially when first job takes too much CPU time.
- Both SJF and shortest remaining time first algorithms may cause Starvation.
- If time quantum for Round Robin scheduling is very large then it behaves same as FCFS scheduling.
- SJF is optimal in terms of average waiting time for given set of process. SJF gives min avg time but problem is how to predict time of next job



# Deadlock

a situation where set of process are blocked because each process is holding a resource & waiting for another resource acquired by some other process. Deadlock can arise if following conditions hold simultaneously.

1. Mutual exclusion  $\rightarrow$  One or more than one resource are non-shareable
2. Hold & wait  $\rightarrow$  A process is holding at least one resource and waiting for resources.
3. No preemption  $\rightarrow$  A resource can not be taken from a process unless process releases the resource.
4. Circular wait  $\rightarrow$  set of processes are waiting for each other in circular form.



## Method of handling deadlock

- 1) Deadlock prevention & avoidance  $\rightarrow$  the idea is to not let system into deadlock state.
- 2) Deadlock detection & recovery  $\rightarrow$  Let deadlock occur, then do preemption to handle it once occur. or kill the process.
- 3) Ignore the problem all together  $\rightarrow$  If deadlock is very rare, then let it happen & reboot the system. This is approach that both windows & UNIX take.

e.g. of Deadlock  $\rightarrow$  Process 1 is holding Resource 1 & waiting for resource 2 which is acquired by process 2 & process 2 is waiting for resource 1.

## Bakers algorithm

(12)

is resource allocation & deadlock avoidance algorithm that tests for safety by simulating the allocation for pre-determining maximum possible amounts of all resources.

(deadlock avoidance) & (deadlock detection)

Eg  $\rightarrow A = 10, B = 5, C = 7 \leftarrow$  (resources) say it is safe or unsafe  
 (deadlock occurs)

| Process        | Already Allocated |   |   | Max need |    |   | Available       |                |                | Remaining need |   |   | (max-allocated)  |
|----------------|-------------------|---|---|----------|----|---|-----------------|----------------|----------------|----------------|---|---|------------------|
|                | A                 | B | C | A        | B  | C | A <sub>10</sub> | B <sub>5</sub> | C <sub>7</sub> | A              | B | C |                  |
| P <sub>1</sub> | 0                 | 1 | 0 | 7        | 5  | 3 | 3               | 3              | 2              | 7              | 4 | 3 | P <sub>1</sub> ✓ |
| P <sub>2</sub> | 2                 | 0 | 0 | 3        | 2  | 2 | 5               | 3              | 2              | 1              | 2 | 2 | P <sub>2</sub> ✓ |
| P <sub>3</sub> | 3                 | 0 | 2 | 9        | 0  | 2 | 7               | 4              | 3              | 6              | 0 | 0 | P <sub>3</sub> ✓ |
| P <sub>4</sub> | 2                 | 1 | 1 | 4        | 2  | 2 | 7               | 4              | 5              | 2              | 1 | 1 | P <sub>4</sub> ✓ |
| P <sub>5</sub> | 0                 | 0 | 2 | 5        | 3  | 3 | 7               | 5              | 5              | 5              | 3 | 1 | P <sub>5</sub> ✗ |
|                | 7                 | 2 | 5 |          | 10 | 5 | 7               |                |                |                |   |   | Initial = final  |

process

(no deadlock)

Safe sequence  $\rightarrow$  order in which sequence occurs.

- Eg available 332 & one process with less than this is P<sub>2</sub>  
 So first P<sub>2</sub> is executed after execution it leaves its resources (2+0)  
 So now available becomes (3+2) (3+0) (2+0)  $\rightarrow$  5 32  
 with 532 P<sub>4</sub> can occur & release 211 so new available  $\rightarrow$  743  
 ( Remaining need < Available ).

Safe sequence  $\rightarrow$  P<sub>2</sub>, P<sub>4</sub>, P<sub>5</sub>, P<sub>1</sub>, P<sub>3</sub>  $\rightarrow$  **safe**.

works in line after P<sub>4</sub> can do all P<sub>1</sub> P<sub>3</sub> P<sub>5</sub>  
 but after P<sub>4</sub> P<sub>5</sub> comes so write it.  
 but all sequence are allowed.

Q A system is having 3 process each require 2 units of resources 'R'. The minimum no. of units of 'R' such that no deadlock will occur. (13)

- a) 3    b) 5    c) 6    d) 4.

eg 3 process P<sub>1</sub> P<sub>2</sub> P<sub>3</sub>

let if 2 units of R. 1 - 1

so deadlock. P<sub>1</sub> need 1 more & P<sub>3</sub> need 3.

- If R=2



P<sub>1</sub> P<sub>2</sub> P<sub>3</sub>

1 1 → this we can control → not this  
runs then

deallocate but here a case P<sub>2</sub> P<sub>3</sub> → deadlock

(we should have all situations deadlock free).

- If R=3.

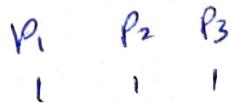


P<sub>1</sub> P<sub>2</sub> P<sub>3</sub>

1 1 runs & free  
resource

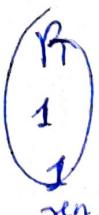


but for case



→ deadlock

- If R=4.



P<sub>1</sub> P<sub>2</sub> P<sub>3</sub>

1 1 runs

P<sub>1</sub> P<sub>2</sub> P<sub>3</sub>

1 1

both run

(all case deadlock free)  
so no deadlock.

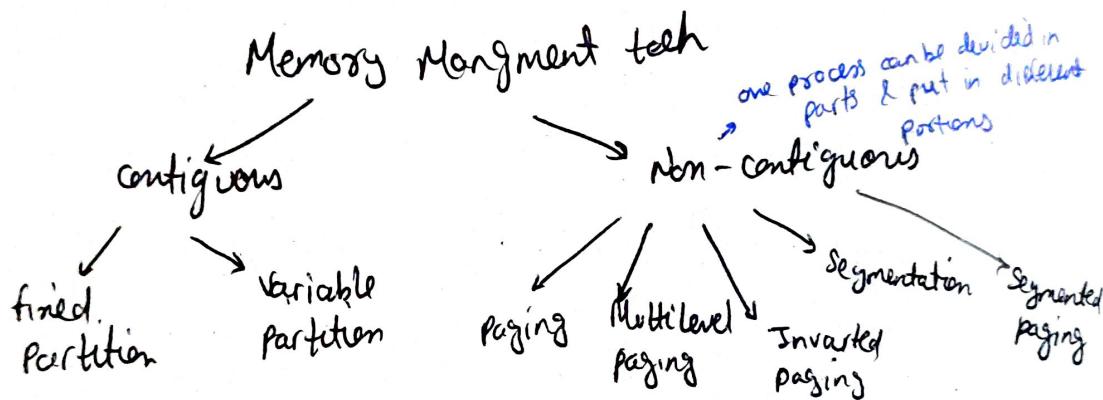
# Memory Management Techniques :-

here memory = primary memory

Intro :-

These techniques allow memory to be shared among multiple processes.

- **overlays** :- The memory (RAM here) should contain only those instructions and that data which is required at given time.
- **Swapping** :- In multiprogramming, the instructions that have used the slice are swapped out of memory.



⇒ **Single partition Allocation scheme**

The memory is divided into 2 parts, One is kept to be used by OS and other is kept to be used by users.

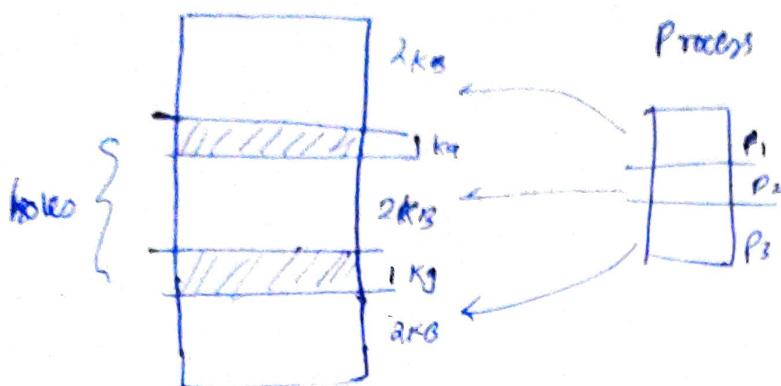
⇒ **Multiple Partition Scheme**

- 1) Fixed Partition → Memory is divided into fixed size partition
- 2) Variable Partition → Memory is divided into variable size partition

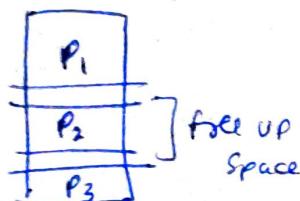
**Variable partition allocation schemes:-**

1. **First fit** → arriving process is allotted first hole of memory in which it fits completely.
2. **Best fit** → arriving process is allotted the hole of memory in which it fits the best by leaving minimum memory empty.
3. **Worst fit** → The arriving process is allotted the hole of memory in which it leaves the maximum gap.

- In non-contiguous process is divided into different portions while allocation

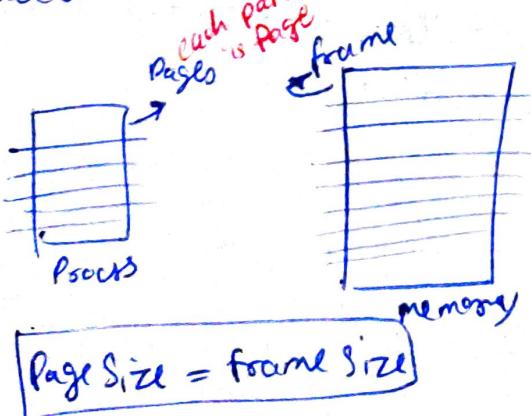


But now holes are dynamically allotted & after again & again let holes becomes empty now



To solve this external fragmentation we do Paging

here we divided Process in equal size and also to memory (RAM) in equal size before allocation



New process coming also, divided into pages of same size

here occurs external fragmentation

- The unused spaces formed between non-contiguous memory fragments are too small to serve a new process request. This is called external fragmentation.
- It refers to unused memory blocks that are too small to handle a request

### Internal fragmentation

- The difference b/w memory allocated & the required memory is called internal fragmentation
- It occurs when main memory is divided into fixed-size blocks regardless of size of process.
- It refers to unused space in partition which resides within an allocated region, hence the name

## Need of Paging →

The cause of external fragmentation is the condition in fixed partitioning and variable partitioning saying the entire process should be allocated in contiguous memory location. Therefore paging is used to remove external fragmentation.

### 1. Paging →

Physical memory is divided into equal sized frames. The main memory is divided into fixed size pages. The size of physical memory frame is equal to size of virtual memory frame.

### 2. Segmentation →

Segmentation is implemented to give users view of memory. The logical address space is a collection of segments. Segmentation is implemented with or without the use of paging.

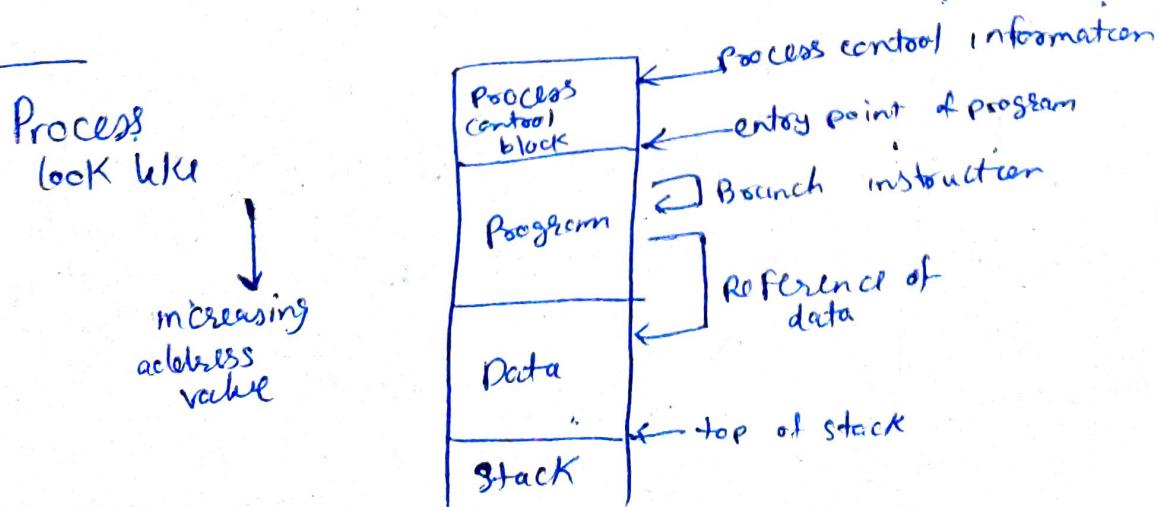
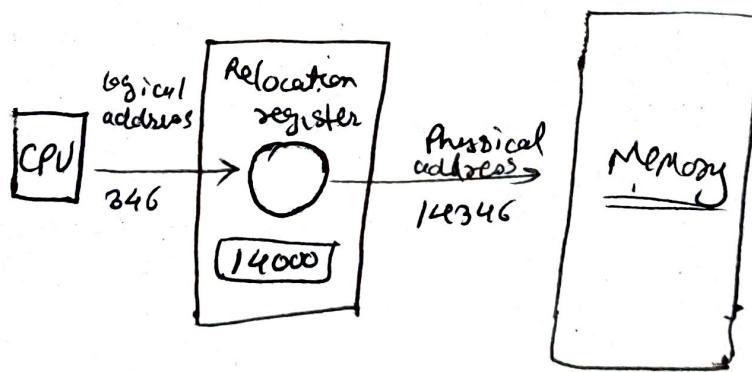
## Non Contiguous Allocation

Paging & segmentation are 2 ways which allow a process's physical address space to be non-contiguous. It has advantage of reducing memory wastage but it increase overheads due to address translation. It slows the execution of memory because time is consumed in address translation.

## Logical & Physical address

1) Logical / virtual address → logical address is generated by CPU while a program is running. So logical address is virtual address as it does not exist physically. This address is used as reference to access the physical memory location by CPU. User can only view logical address of program. The hardware device called Memory-Management Unit is used for mapping logical address to its corresponding physical address.

2) Physical Address → identifies physical location of required data in memory. User never directly deals with physical address but can access by its corresponding logical address. Logical address space must be mapped to physical address by MMU before they are used.



# How paging works?

Paging is memory management scheme that eliminates need for contiguous allocation of physical memory. This scheme permits physical address space of process to be non-contiguous.

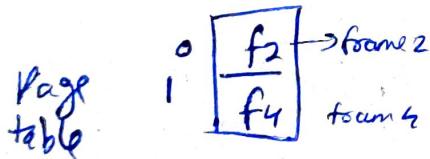
- The physical address space is divided into number of fixed size blocks called frames.
- Logical address space is also splitted into fixed-size blocks called pages.

$$\text{Page-size} = \text{Frame-size}$$

e.g. Process

$$\text{process size} = 4 \\ = 2^2$$

So to connect we use page table (each process has its page table)



Memory (Physical addresses)

|          |       |         |
|----------|-------|---------|
| frame no | 0     | 1 0 / 1 |
| 1        | 2 3   | / 2 / 3 |
| 2        | 4 5   | 4 5     |
| 3        | 6 7   | / 6 / 7 |
| 4        | 8 9   | 8 9     |
| 5        | 10 11 | 10 11   |
| 6        | 12 13 | 12 13   |
| 7        | 14 15 | 14 15   |

Page address (4 bits  $2^2$ , 2 bits)

size → 1 | 1

page no. ↑ page offset  
(by pagesize)

$$\text{page size } 2^1 = ①$$

now

(let want location of 3)

$$3 = 11$$

↪ 1 | 1 0  
register pa

→ page no 1  
= f<sub>4</sub>      f<sub>4</sub> = 100

100 | ①

Let frame size = 2  
= Block size

$$\text{No of frames} = \frac{16}{2} = 8 (2^3)$$

→ Physical address (16 bit)

size → 3 | 1 1

frame no.  
8 frame (2<sup>3</sup>)

Frame offset  
size = a<sup>1</sup>  
= 1

1001 → 9  
3 is at 9.

Q Given Logical address space = 4GB, Physical address space = 64MB  
 Page size = 4KB, No. of pages = ? =  $2^{10}$   
 No. of frames = ? =  $2^4$   
 No. of entries in page ?  $2^{20}$   
 Size of page table = ?

Note

$$1K = 2^{10}$$

$$1M = 2^{20}$$

$$1G = 2^{30}$$

$$1T = 2^{40}$$

Note :- Memory is byte addressable  
 So convert space to byte not bit

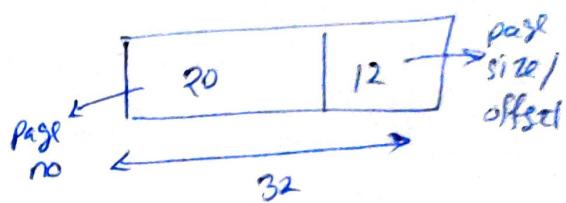
① Logical address space (excluding page)  $\rightarrow$  logical address

$$4GB \rightarrow 2^2 \times 2^{30} \text{ Byte} = 2^{32}$$

$$\text{Page size} = 4KB = 2^2 \times 2^{10} \text{ Byte}$$

$$= 2^{12} \text{ Byte}$$

no. of pages



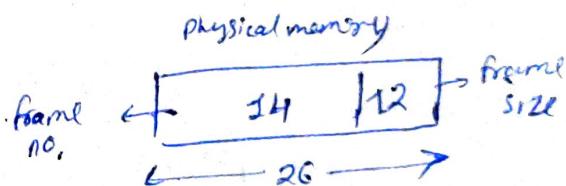
② So no. of pages =  $2^{20}$

$$= 2^{20}$$

③ Physical address space 64MB =  $2^6 \times 2^{20}$  Byte =  $2^{26}$  Byte

$$\text{frame size} = \text{page size} = 12$$

④ No. of frame =  $2^{14}$

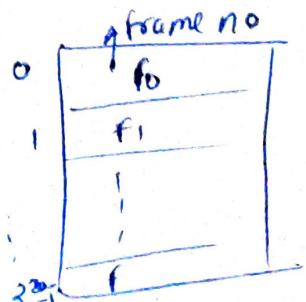


⑤ No. of entries in page = no. of pages in process

$$= 2^{20}$$

⑥ Size of page table = No. of pages X size of frame as in page table

$$= 2^{20} \times 14 \text{ bits}$$



## Segmentation vs Paging

### Paging

- In paging, program is divided into fixed size pages.
- For this OS is accountable.
- Page size is determined by hardware.
- Is faster.
- Paging could result in internal fragmentation.
- Logical address is split into page number & page offset.
- Paging is invisible to user.

### Segmentation

- In this, program is divided into variable size sections.
- For this compiler is accountable.
- Section size is given by user.
- Segmentation is slow.
- Segmentation could result in external fragmentation.
- Logical address is split into section number & section offset.
- Segmentation is visible to user.

### Segmentation

Segmentation is another non-contiguous memory allocation scheme like paging. Like paging in segmentation, process isn't divided into fixed size pages. It is variable size partitioning theme. Like paging, in segmentation, secondary & main memory are not divided into partitions of equal size. The partition of secondary memory area unit known as segments.

# THRASHING

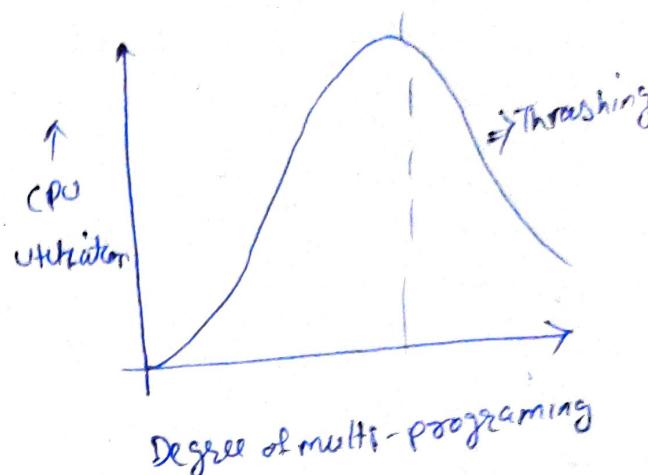
- Page fault  $\rightarrow$  page of a process is not in RAM.
- Degree of multi programming :-  
No. of processes in RAM.
- Full process is not placed in RAM but a part of it called page is put in RAM.

for max degree of multi programming we place Page1 ( $P_1$ ) of all 50 process

| process | pages |
|---------|-------|
| $P_1$   | $P_1$ |
| $P_2$   | $P_1$ |
| $P_3$   | $P_1$ |

$\rightarrow$  but let our CPU wants page2 of  $P_1$  so it generates page fault.

If similarly other pages of the process are called, so many page fault occurs & to solve them CPU utilization decreases. This is called thrashing.



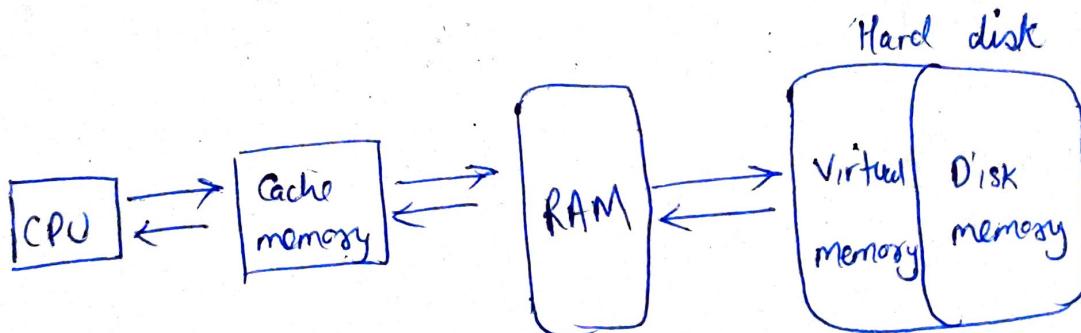
if Page fault occurs, page fault service takes time.

# Virtual Memory

Virtual memory is a technique that allows the execution of process that may not be completely in memory. In this only a portion of virtual address space of resident process may actually be loaded into physical memory. As a consequence, sum of virtual address spaces of active processes in a virtual-memory system can exceed the capacity of physical memory provided that the physical memory is large enough to hold a minimum amount of address space of each active process.

for ex. 1M program can run on a 256 K machine by choosing which 256 K to keep in memory at each instance, with pieces of program being swapped between disk & memory as needed.

- virtual memory is just a extension of main memory (hard disk)



Virtual memory solves problem of insufficient memory by converting a part of disk memory into virtual address thereby creating a large size of RAM to accomodate the increased demand for memory requirement.

Virtual memory can be done with paging or with segmentation

## Demand paging

The basic idea behind demand paging is that when a process is swapped in, its pages are not swapped all at once. Rather they are swapped in only when process needs them (on demand). This is termed as lazy swapper.

### • effective access time.

Let normal memory access requires 200 nanoseconds, & serving a page fault takes 8 milliseconds (8,000,000 nanoseconds). with page fault rate of  $p$  (a tos value) effective access time (EAT) is

$$\begin{aligned} EAT &= (1-p)^* (200) + p^* 8000000 \\ &= 200 + 7999800^* p. \end{aligned}$$

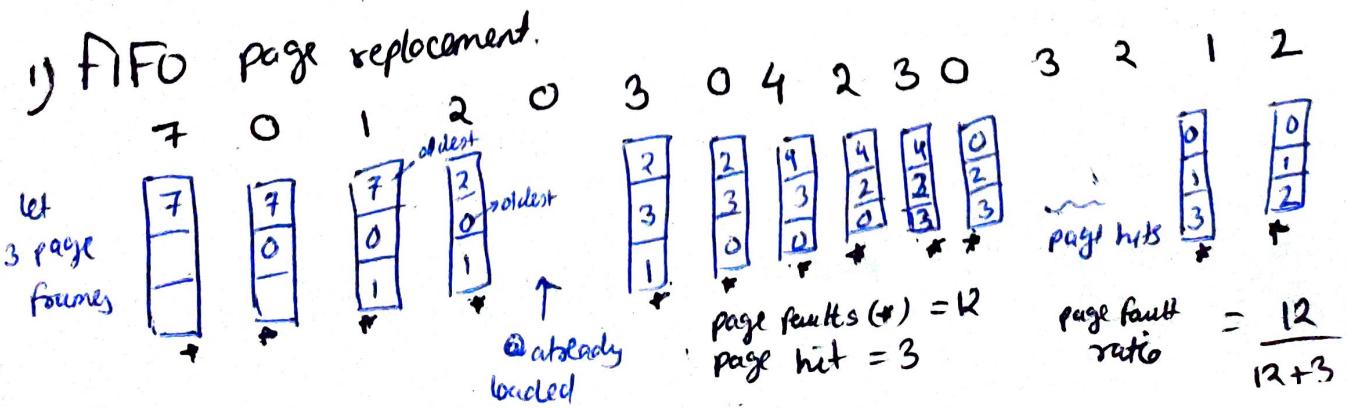
## Copy on write (cow)

Idea behind copy on write fork is that pages for a parent process do not have to be actually copied for child until one or other of the processes changes the page. They can be simply shared b/w the two process in meantime.

## Page replacement

In order to make most use of virtual memory, we load several processes into memory at same time. Since we only load pages that are actually needed by process at any given time, there is room to load many more processes than if we had loaded in entire process.

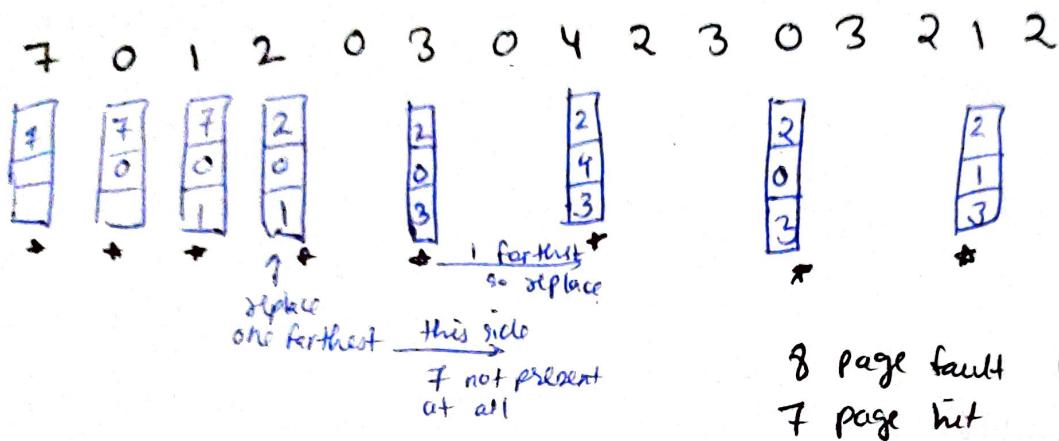
### i) FIFO page replacement.



- An interesting effect that can occur in FIFO is Belady's anomaly in which increasing number of frames available can actually increase the number of page fault that occur.

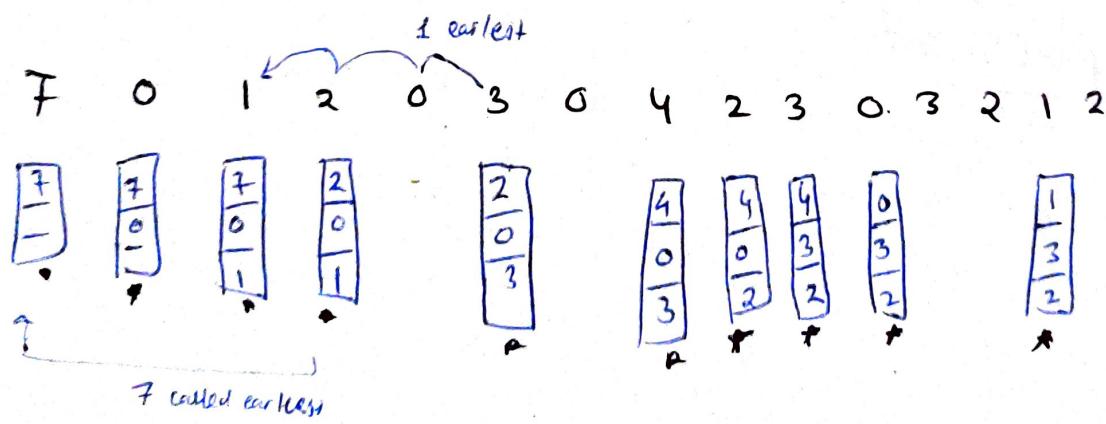
## 2) Optimal Page Replacement

- Replace the page that will not be used for longest time in future → seen in future so not practical, but has lowest page fault ratio.



## 3) LRU page Replacement

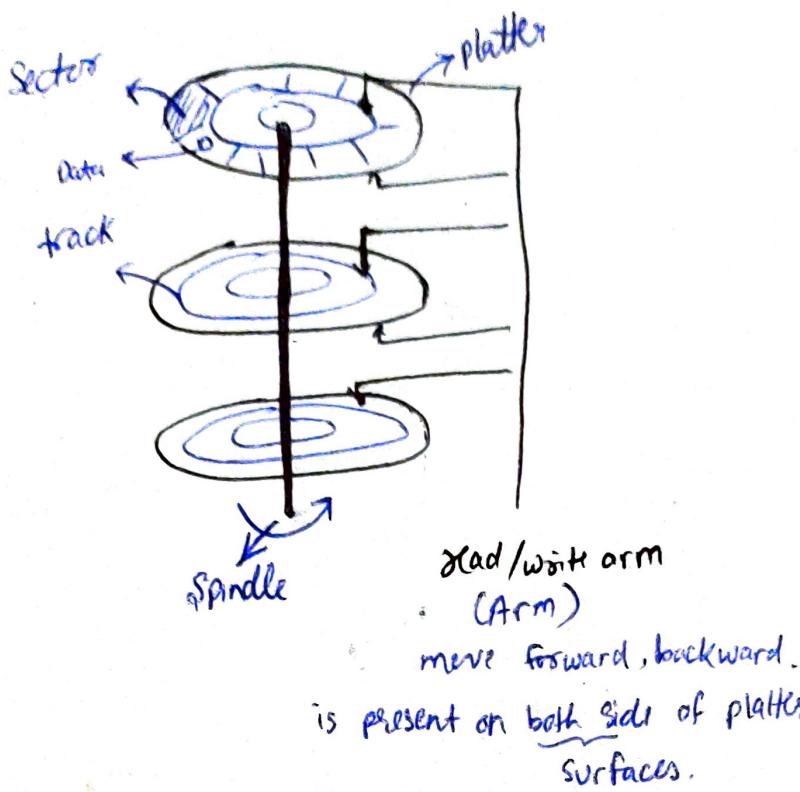
- Least recently used
- See in page, replace one which is called earliest. (replace oldest seen one)



$$\text{page fault ratio} = \frac{10}{15}$$

Best  $\rightarrow$  Optimal page replacement > LRU > FIFO

## Disk Architecture



Platter → surface → Track → sector → Data  
(2 surfaces in platter)

$$\begin{aligned}1K &= 2^{10} \\1M &= 2^{20} \\1G &= 2^{30} \\1T &= 2^{40}\end{aligned}$$

$$\text{Disk Size} = \text{Platter} \times \text{Surface} \times \text{Track} \times \text{Sector} \times \text{Data.}$$

(2)      (Tracks in  
a Surface)

- Disk Access time

- Disk Access time

  - 1) Seek time : Time taken by R/w head to reach desired track.  
(Avg value)
  - 2) Rotation time : Time taken for one full rotation (360)
  - 3) Rotational latency : Time taken to reach to desired sector (half of rotational time)
  - 4) Transfer time :  $\frac{\text{Data to be transferred}}{\text{Transfer rate.}}$

Transfer rate : 
$$\left( \begin{array}{l} \text{No. of Heads} \times \text{Capacity of one track} \\ \times \text{No. of rotations in 1 sec} \end{array} \right)$$

  - Disk Time = seek time + Rotation time + Transfer time + CT  
+  $\frac{QT}{\text{options}}$  (queue time wait)  
-  $\frac{CT}{\text{given}}$  (controller time if given)

# Disk Scheduling algorithm

Goal : To minimize seek time

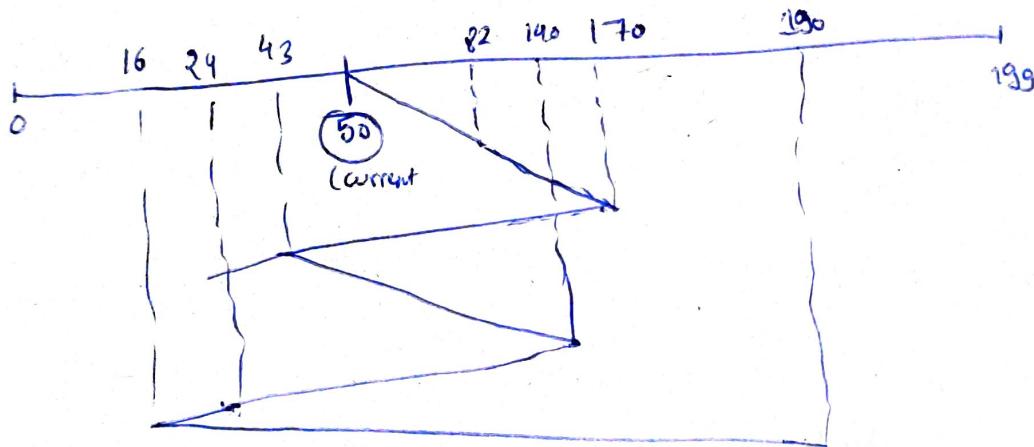
- 1) FCFS      2) SSTF (shortest seek time first)      3) SCAN
- 4) LOOK      5) CSCAN (circular scan)      6) CLOOK (circular look)

## 1) FCFS

Q A disk contains 208 tracks (0-199). Request queue contains track no. 82, 170, 43, 140, 24, 16, 190 respectively. Current position of R/w head = 50. Calculate total no of tracks movement by R/w head.

$\uparrow \approx$  seek time

Let visualize circular track as



$$\begin{aligned}
 \text{movement} &= (82-50) + (170-82) + (170-43) + (140-43) + (140-24) + \\
 &\quad (24-16) + (190-16) \\
 &= \underline{642}
 \end{aligned}$$

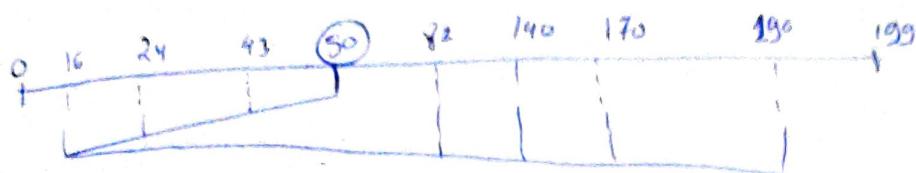
(here there is no starvation)

but performance is less,

## 2) SSTF

Same question with Shortest seek time first

also → if R/W head takes 1ns to move from one track to another  
the total time taken — ?



$$\text{Time taken} = (50 - 16) + (190 - 16) \\ = 208$$

- Response time is less

- have starvation

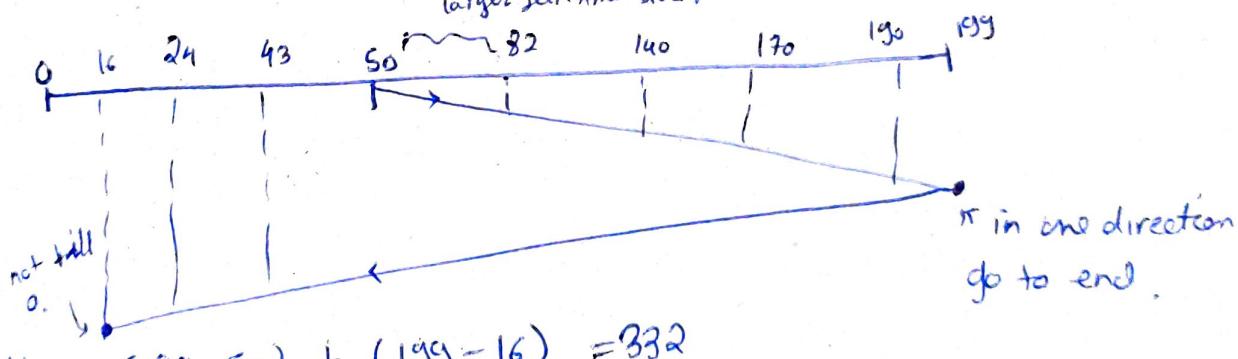
e.g. 40, 61, 30, 20, 10 (starvation)

- generate overhead (to know all requests)

## 3) SCAN

Same question with SCAN.

- from current move to one with larger gap, then cover all at that direction. but it goes till end of that side. e.g. not till 190 but 199 (larger seektime side).

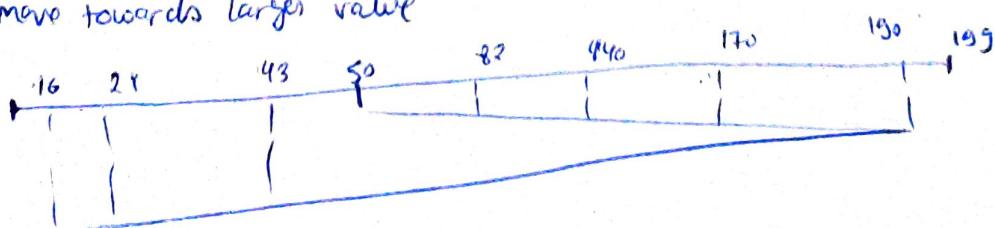


$$\text{time} = (199 - 50) + (199 - 16) = \underline{\underline{332}}$$

## 4) LOOK

like SCAN but not till end

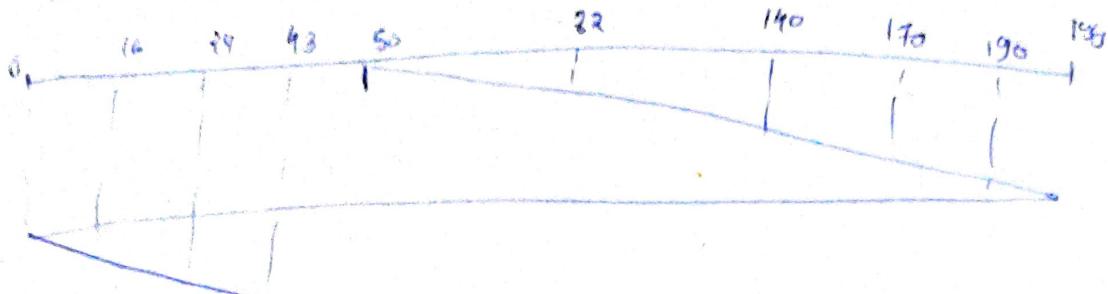
- move towards larger value



$$\text{time} = (190 - 50) + (190 - 16) \\ = \underline{\underline{314}}.$$

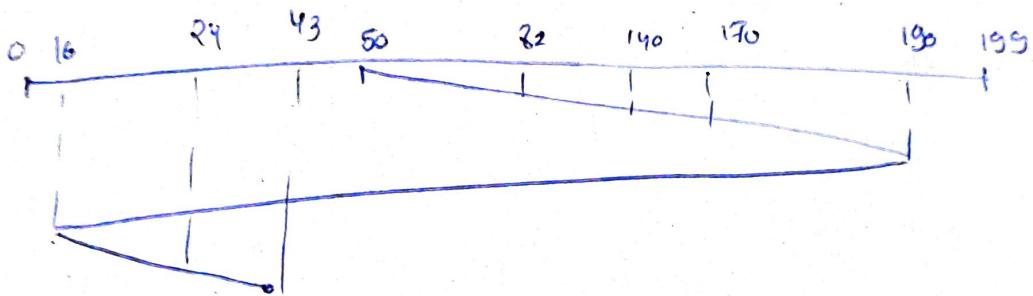
## 5) C-SCAN

i) move towards larger value



$$\text{time } (199 - 50) + (199 - 0) + (43 - 0) = 341$$

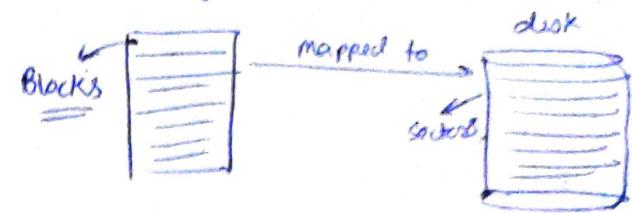
## 6) C-LOOK



$$(199 - 50) + (199 - 16) + (43 - 16) = 341$$

# FILE SYSTEM

user sees file → folder / directory → managed by filesystem



## Operations on files

- 1) Creating    2) Reading    3) Writing    4) Deleting
- 5) Truncating    6) Repositioning

## File attributes

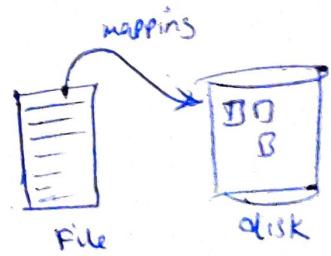
- 1) Name    2) Extension (Type)    3) Identifier (<sup>special number given</sup> to file)
- 4) Location    5) Size    6) Modified date, created date
- 7) Protection / Permission    8) Encryption, compression.

## file Allocation Methods

Contiguous

Non contiguous

↳ Linked list allocation  
↳ Indirect allocation

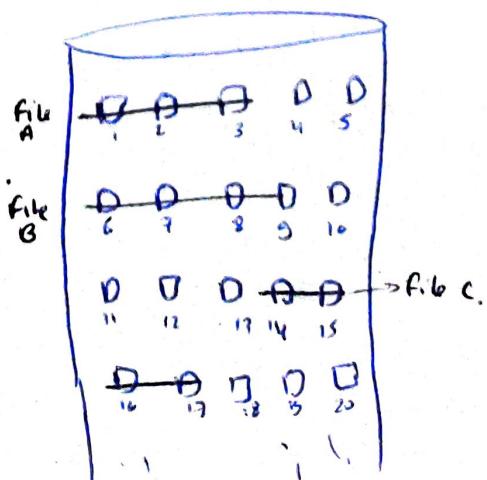
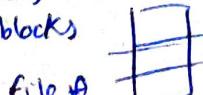


## 1) Contiguous Allocation

Directory

| File | Start | Length |
|------|-------|--------|
| A    | 0     | 3      |
| B    | 6     | 5      |
| C    | 14    | 4      |

= 3 blocks



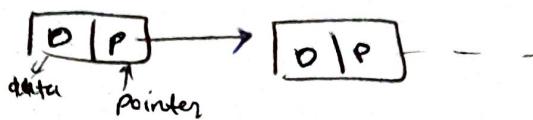
## 2) Non Contiguous Allocation

### • Linked list allocation

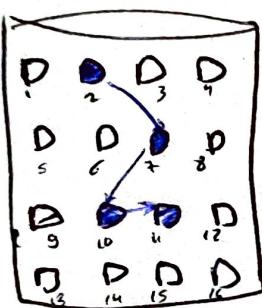
here in directory

| file | start |
|------|-------|
| A    | 2.    |

& in block it is saved as



so



so file is like

①  $2 \rightarrow 7 \rightarrow 10 \rightarrow 11$   
file A

- no external fragmentation
- file size can increase

Problem → large seek time  
→ overhead of pointers

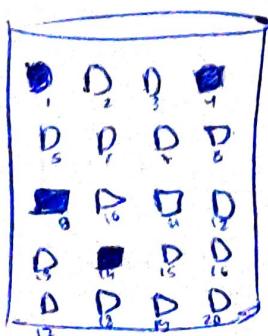
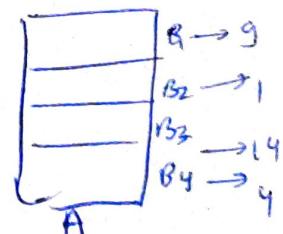
### • Indirect file Allocation

here a block is used as Indir block, which contains index of all block - eg

Directory

| file | Indir Block |
|------|-------------|
| A    | 6           |

data in 6

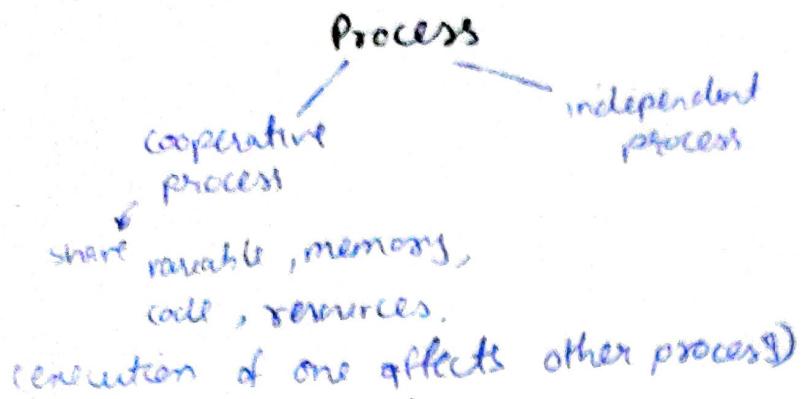


Disadvantage  
→ pointer overhead  
→ multilevel indir

Advantage  
→ Support direct access  
→ No external fragmentation

e.g. direct  
can get  
B3  
with B1

# Process Synchronization



## Printer - Spooler problem

Printer is slow. So whatever to be printed is first stored in Spooler directory.

Let see problem.

Code →

- I<sub>1</sub>. Load R<sub>i</sub>, M[in]
- I<sub>2</sub>. Store S0[R<sub>i</sub>] , "filename"
- I<sub>3</sub>. Increment R<sub>i</sub>
- I<sub>4</sub>. Store m[in], R<sub>i</sub>

Spooler Directory

|   |  |
|---|--|
| 0 | f <sub>1</sub> .doc                              |
| 1 | f <sub>2</sub> .doc                              |
| 2 | f <sub>3</sub> .doc                              |
| 3 | <del>f<sub>4</sub>.doc</del> f <sub>5</sub> .doc |
| : |  |

In [3]

kill file f<sub>3</sub>.doc

In [3] → place 3 is next.

now let 2 parallel process comes



now let P<sub>1</sub> runs first

P<sub>1</sub> I<sub>1</sub> I<sub>2</sub> I<sub>3</sub> | P<sub>2</sub> I<sub>1</sub> I<sub>2</sub> I<sub>3</sub> I<sub>4</sub>

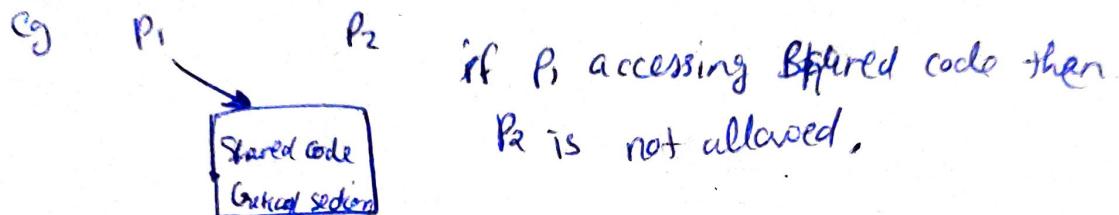
prompt.

Since for P<sub>1</sub> I<sub>4</sub> not runs so In[3] & P<sub>2</sub> overwrites in that and hence we loose f<sub>4</sub>.doc

Critical section → it is part of the program where shared resources are accessed by various processes,  
cooperative

#### 4 condition for process synchronization

1) Mutual exclusion :- Primary rule. (must be followed)

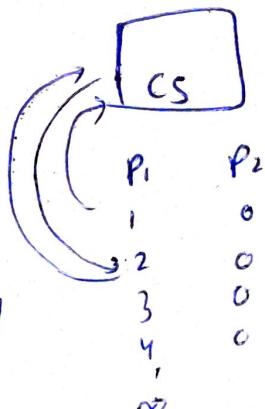


2) Progress :-  
Eg P<sub>1</sub> not running & also stopping P<sub>2</sub> to run. so there no progress happens. This is not allowed if you want process synchronization.

3) Bounded wait :- Eg

P<sub>1</sub> comes out & again enters.  
this happens again & again  
so P<sub>2</sub> starves.

so for synchronization wait should  
be less for all process.



4) No assumption related to hardware speed.

Eg condition like it work in 32 bit not 64 bit, this is not allowed.

Soln must be universal.

## Semaphores

Semaphore is an integer variable which is used in mutual exclusive manner by various concurrent cooperative processes in order to achieve synchronization.

can be

- 1) Counting (-∞ to +∞)
- 2) Binary (0, 1)

→ p(), Down, wait <sup>synonyms.</sup> (Entry code)

→ v(), up, signal, post, Release (Exit code)

Down (Semaphore S)

```

{
    S.value = S.value - 1,
    if (S.value < 0)
    {
        put Process (PCB) in
        suspended list, sleep();
    }
    else
        return;
}

```

Up (semaphore S)

```

{
    S.value = S.value + 1,
    if (S.value ≤ 0)
    {
        select a process from
        suspended list
        wake up(),
    }
}

```

Eg processes P, P<sub>2</sub>, P<sub>3</sub>

S initial value = 3.

So

|     |                |                   |                       |
|-----|----------------|-------------------|-----------------------|
| CS. | P <sub>1</sub> | $\rightarrow S=3$ | $\times$ in down code |
|     | P <sub>2</sub> | $\rightarrow S=2$ |                       |
|     | P <sub>3</sub> | $\rightarrow S=1$ |                       |

Now S = 0 &

No process can  
enter critical  
section

• If S = -1

So process P<sub>4</sub> goes in suspended list(). (Blocks)

• Now to run process from suspend list, use up code.  
here it goes to ready queue.

## Binary Semaphore

→ more used



### Down (semaphors)

```

if (S.value == 1) {
    S.value = 0;
    in critical section
} else {
    Block this process
    place in suspend list, sleep()
}
}

```

### UP (semaphore s)

```

if (Suspend list is empty)
    S.value = 1
else
    select a process from
    suspend list & wake up()
}
}

```

Each process  $P_i$  execute following code.

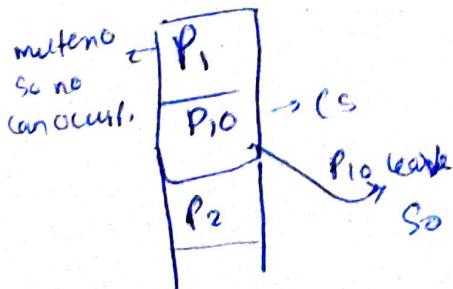
how many maximum no. of process that may present in CS at any point of time

repeat  $\downarrow P(1 \rightarrow 9)$

```

entry → P(muten) → decrease muten.
        [CS = ]
exit   → V(muten) → value up.

```



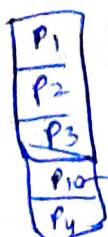
muten

X  
 $\sigma \rightarrow P_1$   
 $\lambda \rightarrow P_{10}$   
 $\sigma \rightarrow P_2$   
 $1 \rightarrow P_{10}$  leave  
 $\sigma \rightarrow P_3$  enter  
 $\lambda \rightarrow P_{10}$  enter  
 $:6 \rightarrow P_4$  enter

&  $P_{10}$  execute this

repeat  
 $\vee$  muten  
[CS]  
V(muten)

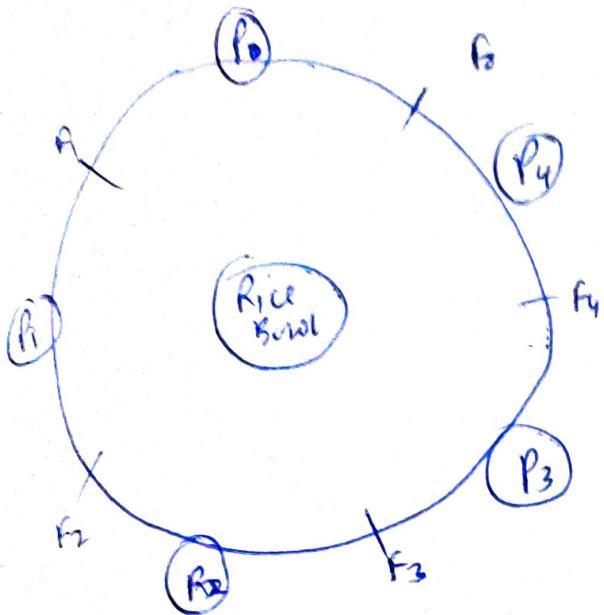
this occur when  
 $P_{10}$  leaves CS.



all can enter like this

So max = 10

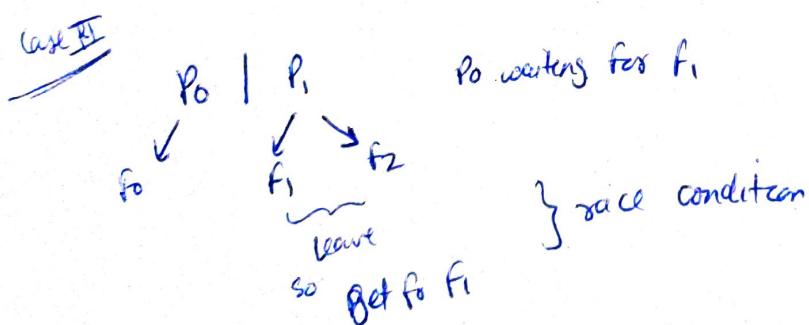
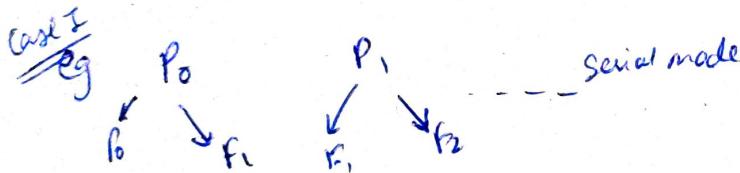
# Dining Philosophers Problem



's philosopher'

's fork'

Philosopher sometimes eat & sometimes think



to solve this we use binary semaphores.

| S <sub>0</sub> | S <sub>1</sub> | S <sub>2</sub> | S <sub>3</sub> | S <sub>4</sub> |
|----------------|----------------|----------------|----------------|----------------|
| ↓              | ↓              | ↑              | ↓              | ↓              |

| P <sub>0</sub> | S <sub>0</sub> | S <sub>1</sub> |
|----------------|----------------|----------------|
| P <sub>1</sub> | S <sub>1</sub> | S <sub>2</sub> |
| P <sub>2</sub> | S <sub>2</sub> | S <sub>3</sub> |
| P <sub>3</sub> | S <sub>3</sub> | S <sub>4</sub> |
| P <sub>4</sub> | S <sub>4</sub> | S <sub>0</sub> |

and code changes as

```
void philosopher(void)
{
    while (true)
    {
        thinking();
        wait ( take_fork ( si ) );
        wait ( take_fork ( S ( i + 1 ) . N ) );
        EAT ();
        UP ( put_fork ( i ) );
        UP ( put_fork ( ( i + 1 ) % N ) );
    }
}
```

here if  $P_0 \rightarrow S_0$

$P_1 \rightarrow S_1$

$P_2 \rightarrow S_2$

$P_3 \rightarrow S_3$  together

$P_4 \rightarrow S_4$

so deadlock  
happened