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## Effectiveness of Various Recurrent Architectures on Music Generation

#### 1 Introduction

As technology develops, more and more computationally expensive tasks can be utilized. One growing field exemplifying this is the category of deep learning algorithms, that can model very complex data. Recurrent networks specialize in this task, being able to model time series, such as speech. This paper will discuss the effectiveness of using different types of deep learning algorithms to model another type of time series, music. The model will then be utilized to generate music, to see how well it is able to model music based on other samples of music. The model will also be trained using unsupervised learning techniques, to see the relative abilities of each model to remember sequences, or compress the music.

### 2 Models

The models that will be tested and evaluated will be different variations of state of the art recurrent neural networks, and their effectiveness will be judged by the time they take to minimize the mean squared error of the music file that they are trying to model.

Although the models may have different structures, in general all the listed models, have some cost function that they are trying to minimize. Typically, and in this paper, the cost function is assumed to be minimized with gradient descent. As further elaborated by Sebastian Ruder, a Ph.D student in natural language processing, gradient descent is an algorithm in which the gradient of a function with respect to some parameter of the function is multiplied by some factor, and then the parameter is updated through subtracting the calculated product of the gradient and factor [20]

#### 2.1 Logistic Regression Model

To understand learning algorithms, the logistic regression model is notable, as it can be thought of a building block for larger models. As described by Andrew Ng's online course on machine learning, logistic regression models are classification models [16].

This means that they are typically used to predict the probability of a situation or event, based on data. One example used Ng's course describing these models is the problem of determining how likely someone is to develop cancer based on the data relating to the medical history of the patient [16].

One method in which they could be used, as described in Ng's machine learning course, is through first converting the existing data, such as medical records, into a vector of numbers [17]. Then, continuing off of the description from the course, the model multiplies a weight value to each input number, and sums up the products of weight value with input numbers [17]. The weight can be thought of as the significance of each input, and the sign being whether or not the input value is positively or negatively correlated to the data. After summing the product of weight values with input values, the sum is passed into the sigmoid function, which changes the sum into a value between 0 and 1 as Ng describes [17]. This new value, between 0 and 1 is the probability of an event happening, and is compared against the correct value, being whether or not the event, such as cancer, did or did not happen based on the data [17].

These models, however, have limitations as to how complex the data in which they can model. For example, as they are classification models, they are not very applicable for the task of music generation, which requires predicting integer values, as opposed to true or false labels.

#### 2.2 Neural Networks

To understand the other models, one must first understand what a neural network is. As explained in Michael Nielsen's online book, neural networks are a type of model, that attempts

to try to learn patterns within data through a method of modeling neurons in the brain with sigmoid functions [14]. A logistic regression model predicts a value between 0 and 1 given some data as previously mentioned [17]. To stack logistic regression classifiers means to put the output of one set of classifiers as the input as the next set of classifiers. Through this, a neural network is created. As implied by Nielsen's description of neural networks in his book, the neural network was inspired by biological systems, since the outputs of each classifier can be thought of as activations for synapses in a brain [14]. Because neural network models have more weights and layers than logistic regression classifiers, intuitively it seems that neural networks are more powerful in what types of data they can represent. Thus, as music is complex, a more powerful model should be used.

#### 2.3 Vanilla Recurrent Neural Networks

According to Orr's class website at Willamette University, recurrent networks are a variation of neural networks that can model time series data, which is as a result, can be used in natural language processing tasks [?]. As Orr elaborates, vanilla recurrent neural networks accomplish this task through being a neural network, except with some connections going through time [?]. In this paper, the activation of a hidden node can be considered as

$$a_t = W \cdot a_{t-1} + W \cdot I_t + b_a$$

where  $a_t$  is the activation at time t, W is the corresponding weight matrix,  $a_{t-1}$  is the activation of the node at one time step earlier, and  $I_t$  is the input at that time. The activation goes through a nonlinearity, such as a sigmoid function before being passed to the next node [?]. These models theoretically can model time series, and some examples given by Orr's class are speech recognition and learning the grammar of languages, but in practice they do not have much memory, as compared to other models, such as LSTM's [?][8]. As the length of the time series increases, these models become prone to "forgetting" earlier samples in the

input data, as demonstrated by Horchreiter 's paper, as recurrent nets were outperformed on tasks requiring long term memory by LSTM's [8]. Thus, other more complicated models should probably be used for the task of music generation, as intuitively, music seems to have long term dependencies.

#### 2.4 Long Short Term Memory Networks

A model that, developed by researches in the Technical Institute of Berlin, attempts to overcome the short term memory of vanilla neural networks and solve the vanishing gradient problem is the long short term memory network [8]. As described in Horchreiter's paper, these networks, through using cells and vectors that can be modified by the network, can store long term information [8]. They do this through generating "memories", through the function:

$$M = \tanh(W \cdot C + W \cdot I_t + b_M)$$

where C denotes the cell state of the network, as originally envisioned by Horchreiter [8]. However, these networks are more computationally expensive, due to the significantly increased complexity of the model, as the model has more weights and calculations required involving the memory cell, as found in my experiments. As a result, tasks such as computing the gradient, the error, or predicting something with these models takes more time than a traditional recurrent neural network, as the LSTM model took significantly longer on those tasks than the traditional networks.

However, the accuracy of the LSTM can be improved if it is allowed to reset it's memory state. As originally suggested by Gers, if a LSTM's memory is multiplied by a "forget gate", which is a value between 0 and 1, the LSTM can predict even longer sequences [4]. Through forget gates, the memory of LSTM's are restrained from growing too large, which would cause the memory cells to be useless [4]. Also, this addition of a forget gate intuitively, as useless memory should be discarded. In practice, what this might mean for music generation

is that the theme of a song may completely shift, as the LSTM could have "forgotten" the structure of the song earlier. The LSTM's in this paper will be assumed to have forget gates.

The LSTM accuracy can also be further improved through adding "peephole connections" [5]. These are simply a connection between the memory cell of the LSTM to the forget gates and remember gates. According to Gers' paper, through these peep hole connections, the LSTM can model short term changes and fluctuations more accurately [5].

During training of LSTM's, a notable technique that will be used it large initialization of the forget gate bias, which if not proper initialized, will cause problems with the gradient flow of the LSTM [11]. Through initializing a large forget gate, Jozefowicz and others have demonstrated that this enables LSTM's to learn long term dependencies better, which will also be used within this paper [11]. In this manner, the LSTM mentioned in this paper will be trained faster.

#### 2.5 Gated Recurrent Units

Another variation off of recurrent networks are gated recurrent units, which follow a similar approach to LSTMs. As defined in Cho et al., instead of completely reassigning the models hidden state each timestep, it instead updates its hidden state through the equation:

$$h_t = (1-z) * h_t + h_t'$$

z is a unit that the model calculates, and is bounded between 0 and 1 with the sigmoid activation function [3]. As a result, this type of network also has been found to have long term memories, and has been found to be comparable to the performance of LSTM's according to the researchers at the University of Montreal [3]. These models also seem to be faster to compute than LSTM's, perhaps due to their lower number of weights in my implementation. Thus, this model will also be tested against LSTM's in its performance.

#### 2.6 Clockwork Recurrent Neural Network

Another attempt to increase the memory of a vanilla recurrent neural network, is the clockwork recurrent neural network. Originally developed by Koutnik and his colleagues, these networks are identical to vanilla networks, except their hidden layer is partitioned [12]. Each partition of hidden units is calculated for only some of the input, and thus is not updated at every time step [12]. As a result, the partitions that are updated less often serve the purpose of being the memory for the network, and enable the model to "remember" better than normal recurrent networks [12]. Also, these networks are less computationally expensive than long short term memory networks, resulting in faster compile and training times [12]. In its original paper, it was concluded that it has significantly more representational power than LSTM's and GRU's with the same number of parameters, suggesting that this network is the most efficient network of them all [12].

### 3 Training Techniques

Because of the aforementioned gradient problems, normal methods of minimizing the error of these models are extremely slow. Thus, new techniques will be investigated, although they will not all be tested.

### 3.1 Rprop Trainer

The initial training method that was considered was RPROP, originally devised by Reidmiller and Braun [?]. This training method utilizes only the sign of the gradient, and has a stored matrix representing the magnitude in which the algorithm will update the parameters [?]. The reason this method will be considered is because this algorithm is not affected by the vanishing gradient problem, or the exploding gradient problem, as the algorithm is only dependent on the sign of the gradient, not the magnitude of the gradient, which may be unreasonably large or small [?]. Furthermore, the algorithm approaches the minimum for

the error function exponentially, as it will increase the magnitude of the update if the previous update was in the same direction as the current update. For example, if the first update added 1 to a parameter, and the second gradient was also positive, the second update would add 1 multiplied with some constant, being 1.2 in this case. As a result, the updates can potentially increase exponentially, and will find the gradient with less iterations.

However, after implementing, it was discovered that because this algorithm can only be used for batch learning, it is significantly slower, as the entire training dataset must be loaded before the network can update any weights. Also, because the computer used does not have unlimited memory, it can only handle mini batch learning algorithms that do not require training on the entire data set at once anyways. As a result, this training method will not be used in this paper, although it seemed to works for smaller models.

#### 3.2 RMS Prop

A technique that was developed by Geoffrey Hinton, considered by some to be the father of machine learning, this technique is a modification to the standard gradient descent method that in addition, keeps a moving average of the gradients squared [7]. Unlike gradient descent, instead of updating each weight through its gradient, it divides the calculated gradient by the average square root of the sum of previous gradients squared [7]. As a result, if a weight constantly receives large gradients, the update will be divided by a larger value, resulting in the gradient update not being too large. Similarly, if a gradient for a weight is extremely small, the gradient will be divided by a smaller average gradient value, resulting in a larger update for the weights. Thus, the vanishing and exploding gradient problem can be remedied to a certain extent through this update mechanism, and furthermore, this mechanism can be used for mini batch learning as well as batch learning. Because of how powerful this method is, it will be used in the experiments.

#### 3.3 Back Propagation Through Time

The gradient for a recurrent neural network differs significantly from a neural network, in that the gradient is dependent on all the data given to the network before it, whereas the gradient for a neural network given a data sample, is dependent only on that data sample. This process of finding the gradient throughout the previous data samples was developed by Werbos, and is known as back propagation through time, as to find the gradient, one needs to back propagate through the previous data samples, which are assumed to have occurred earlier in time [21]. However, if the data sequence passed to a recurrent neural network is too long, then training the model will take a large amount of time. Since it was found that the sample of music, being around 3 min long, takes up significant amounts of memory and time to calculate the gradient of with respect to the network with back propagation through time, back propagation through time was considered as a solution that would take too long.

However, as described in Jaeger's summary of training recurrent networks, a modification to this algorithm can be made to speed up the training, through learning parts of the data sequence at a time [10]. Then, instead of calculating the gradient with respect to all of the data samples, the gradient with respect to only the last, perhaps, 200 data samples can be utilized as an approximation of the gradient, which is significantly faster. However, as a result, the model may not be able to learn long term patterns within the data, due to the gradient not being calculated with respect to all data samples.

## 4 Comparison

Thus, this paper will compare the effectiveness of the various models, being LSTMs, Vanilla Recurrent Networks, and Clockwork Recurrent Neural Networks. The error rates of each model, and the time they take to train on 5 epochs of the training song will be used to judge their effectiveness of music generation.

### 5 Implementational Details

Python and Theano are used to test these models. Python is a programming language, and Theano is a library for python [1]. Theano is considered to be fast amongst the machine learning community, which is why it is used. Also, a gpu will be utilized to increase the performance of all models. The music utilized for the model will have come from Youtube. Also, as it was found that generally electronic music yielded better results, electronic Youtube music is used for aesthetic purposes, and to get better errors to compare. Furthermore, to aid the model, the music will be put through a Fourier transform before having the model learn it. This is purely to produce nicer results, as when testing without the Fourier transform, the model took longer to train and produced more static, as opposed to the model trained on the Fourier transform.

The comparisons will be done with networks all having cell sizes, or memory sizes, or 2048, and with input sizes of 2048. This is because it was observed that with larger input sizes, the network learned the music better. Also, the cell sizes of each of the respective networks were kept constant, as I thought the cell sizes would directly impact the effectiveness of the network, and since I wanted to compare the network structure, and not the effect of cell size, I kept the cell sizes of all the networks constant.

However, this does not necessarily alleviate the problem as some networks may just naturally use their memory cells more effectively than others, because of more weight matrices. However, I did not have enough resources to test the relationship between the number of weights and modeling capability of the network.

Also, as mentioned in the section about training these networks, back propagation through time is used because it is the simplest and least computationally expensive algorithm available. The networks were trained for 5 epochs on the data, with a sequence of length 200 samples of size 2048 being fed into the network each time. The data was iterated over by 5 samples at a time.

The networks were then trained on the data with a GTX 960M, a GPU, to speed up

training. Also the reason why only 5 epochs were used was also to save time, as a large variation of models were being tested.

## 6 Data Collected

Model type:	Time model took to train 5 epochs
1 Layer GRU	215
2 Layer GRU	507
3 Layer GRU	761
1 Layer Clockwork RNN	393
2 Layer Clockwork RNN	892
3 Layer Clockwork RNN	1346
1 Layer LSTM	311
2 Layer LSTM	620
3 Layer LSTM	1868
1 Layer Vanilla RNN	79
2 Layer Vanilla RNN	169
3 Layer Vanilla RNN	254

Table 1: Time each tested model took to run 5 epochs

The graphs were all generated with an open source python plotting software, known as matplotlib [9].

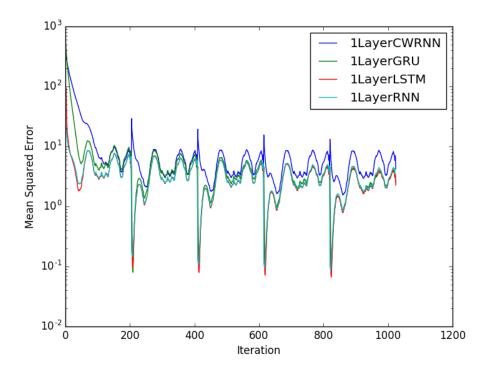


Figure 1: Graph comparing error rates of various 1 layer recurrent networks during training

Based on figure 1, the collected data reveals that with one layer, all the recurrent network types have similar errors. However, it should be noted that the scale is logarithmic, and also that the models do not seem to decrease their error significantly over the iterations suggesting that perhaps the impelementation of the training of the models was incorrect. However, the graph depicting the error rates of the 3 layered models is clearer.

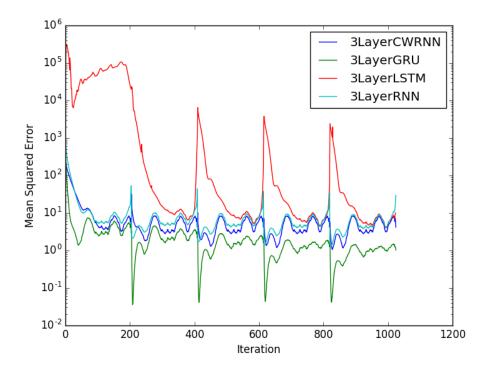


Figure 2: Graph comparing error rates of various 3 layer recurrent networks during training

After considering figure 2, it becomes obvious that the model requiring the least iterations to converge the quickest to a low error rate is the 3 layer GRU, followed by the 3 layer clockwork recurrent network. The LSTM error rate is significantly higher than the rest of the models, considering the LSTM had comparable performance on the first graph, suggesting that perhaps the model was implemented incorrectly.

### 7 Observations

Although the clockwork recurrent neural network was thought to be faster, it seems that the GRU and LSTM outperformed it in terms of speed. Thus, it seems in terms of time efficiency, the GRU is one of the faster one, with the standard vanilla recurrent network being the fastest by far. The vanilla recurrent neural network also had comparable errors to the other models, which makes it seem like the best choice in terms of music generation.

The error of the LSTM seems to be the highest at all times, suggesting that perhaps the

LSTM takes significantly longer to train or that the LSTM simply does not have as much modeling power as a simple vanilla recurrent network, which is difficult to believe, simply due to how much more complex the LSTM model is compared to the original recurrent network.

However, it should be noted that in these experiments, because of limits on computational power and limits on time, the recurrent nets tested were fairly small, so they did not generate anything that could be determined as music. However, I went back and using these results, trained a larger, 4 layer GRU, and was able to generate some audio that had a resemblence to some musical structure within it, but it was essentially a direct copy of a section of the song that it trained on.

### 8 Conclusion

Based on the benchmarks taken, it seems that in terms of speed, the vanilla recurrent network is the fastest recurrent network for music generations. However, in terms of the most accurate recurrent network model, the GRU is the most effective, as demonstrated by the lower error rates that the GRU obtained on the data in this experiment. However, as the error rates between all the networks seemed similar, perhaps the most important factor in the training of a recurrent network for music generation is speed, suggesting that perhaps the vanilla recurrent network is the best network for training to generate music.

Some implications of these experiments are that in order for recurrent networks to progress, the current method of training them must either be improved, or a new architecture needs to be developed, since the nets did not decrease their error rate to a low enough degress such that music could be heard, as my results demonstrated. Thus, it seems that recurrent nets are still a ways away from the task of music generation.

Furthermore, because the recurrent nets in this experiment were unable to generate music, their ability to generate other sequences seem questionable as well. Machine learning algorithms and technology may still need another couple of years to develop before the idea

of audio generation tasks in general become possible, as music can be compared to other forms of audio as well, and perhaps the primary algorithm for such audio generation will not be simple recurrent nets, due to their difficulty to train and generate interesting sequences.

# 9 Appendix

As the code is extremely lengthy, it is stored separately. To view the code, refer to the online repository at: https://github.com/Peachball/EE-DeepLearning.

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