# CSE 435 - Pattern Recognition

Vision Application & CNN Architectures

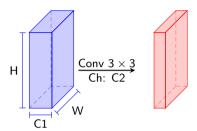
Tanvir Azhar

Lecturer, EDU

#### Outline

- Image Classification
  - AlexNet
  - VGGNet
  - GoogLeNet
  - ResNet
- 2 Object Detection & Segmentation

#### Last Week: Pooling & Convolutions

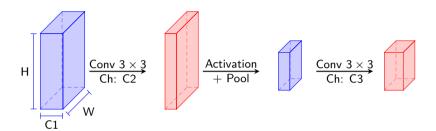


Convolutions can be combined with pooling to construct a chain of layers.

- Feature extraction usually happens locally sparse connectivity.
- In feature extraction the same operation is applied at different locations parameter sharing.
- Pooling help reduce redundant information and provide some level of invariance to translations.



#### Last Week: Pooling & Convolutions

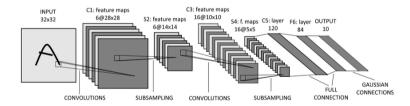


Convolutions can be combined with pooling to construct a chain of layers.

- Feature extraction usually happens locally sparse connectivity.
- In feature extraction the same operation is applied at different locations parameter sharing.
- Pooling help reduce redundant information and provide some level of invariance to translations.

#### Last Week: LeNet Architecture

"LeNet is a classic example of convolutional neural network to successfully predict handwritten digits." [LeNet]



### How Can We Design the Architecture?

There are so many hyper parameter to choose in CNN:

- Number of convolutional layers, filter size, number of filters, stride, initialization . . .
- Pooling size, Number of pooling layers . . .
- Number of FC layers, units, ...
- optimization type, lerning rate, . . .
- ...

### How Can We Design the Architecture?

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- Number of FC layers, units, ...
- optimization type, lerning rate, . . .
- . . .

Use classic networks like LeNet, AlexNet, VGG-16, VGG-19, ResNet etc. as inspiration (follow the trend used in those architectures).

#### Objectives of the Lecture

- Identify how deep networks are developed via case study: Image classification (IMAGENET).
- Understand the main trends in classic architectures and why they work.
- Identify the classic network architectures used for common computer vision problems:
  - Image Classification
  - Object Detection
  - Image Segmentation

#### Outline

- Image Classification
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  - VGGNet
  - GoogLeNet
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#### Image Classification

The ImageNet Large Scale Visual Recognition Challenge (ILSVRC) is an annual competition helped between 2010 and 2017.

The datasets comprised approximately 1 million images and 1,000 object classes.

The annual challenge focuses on multiple tasks for image classification.

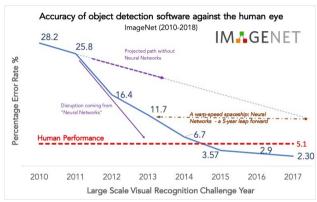


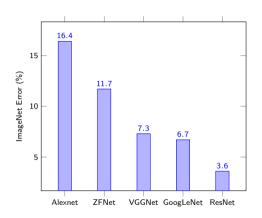
Image source: ImageNet

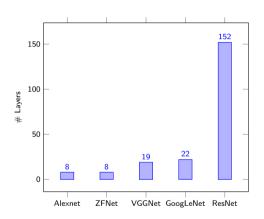
Alex Krizhevsky, et al. "ImageNet Classification with Deep Convolutional Neural Networks" developed a convolutional neural network that achieved top results on the ILSVRC-2010 and ILSVRC-2012 image classification tasks.

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## CNN Architecture Evolution: Image Classification





Supervised learning based image classification.

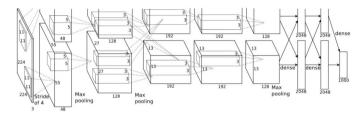


Image: ImageNet Classification with Deep Convolutional Neural Networks

Input:  $227 \times 227 \times 3$ 

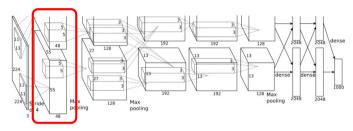


Image: ImageNet Classification with Deep Convolutional Neural Networks

Input:  $227 \times 227 \times 3$ 

**Layer 1**: 2D Convolution with 96,  $[11 \times 11]$  filters, with stride of 4. 'ReLU' activation.

Output Shape?

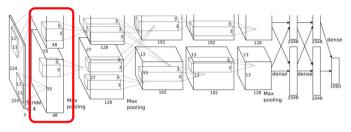


Image: ImageNet Classification with Deep Convolutional Neural Networks

Input:  $227 \times 227 \times 3$ 

**Layer 1**: 2D Convolution with 96,  $[11 \times 11]$  filters, with stride of 4. 'ReLU' activation. Output Shape  $(W-F+2P)/S+1=(227-11+2*0)/4+1=55 \rightarrow [?,55,55,96]$  Parameters ?

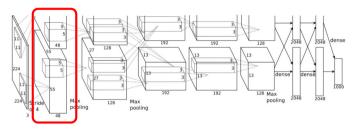


Image: ImageNet Classification with Deep Convolutional Neural Networks

Input:  $227 \times 227 \times 3$ 

**Layer 1**: 2D Convolution with 96,  $[11 \times 11]$  filters, with stride of 4. 'ReLU' activation. Output Shape  $(W - F + 2P)/S + 1 = (227 - 11 + 2 * 0)/4 + 1 = 55 \rightarrow [?, 55, 55, 96]$  Parameters  $11 \times 11 \times 3 \times 96 + 96$ 

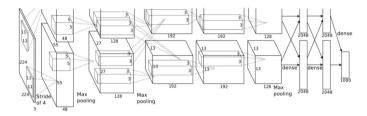


Image: ImageNet Classification with Deep Convolutional Neural Networks

Input:  $227 \times 227 \times 3 \rightarrow$  After Conv1:  $55 \times 55 \times 96$ 

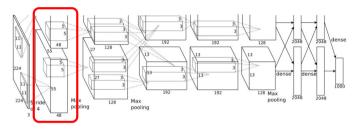


Image: ImageNet Classification with Deep Convolutional Neural Networks

Input:  $227 \times 227 \times 3 \rightarrow$  After Conv1:  $55 \times 55 \times 96$ 

**Layer 2**: Max Pooling with, [3  $\times$  3], with stride of 2.

Output Shape?

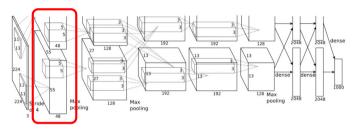


Image: ImageNet Classification with Deep Convolutional Neural Networks

Input:  $227 \times 227 \times 3 \rightarrow$  After Conv1:  $55 \times 55 \times 96$ 

**Layer 2**: Max Pooling with,  $[3 \times 3]$ , with stride of 2.

Output Shape  $(W-F+2P)/S+1=(55-3+2*0)/2+1=27 \rightarrow \cite{[r,27,27,96]}$ 

Parameters ?

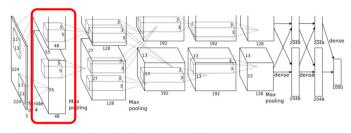


Image: ImageNet Classification with Deep Convolutional Neural Networks

Input:  $227 \times 227 \times 3 \rightarrow$  After Conv1:  $55 \times 55 \times 96$ 

**Layer 2**: Max Pooling with,  $[3 \times 3]$ , with stride of 2.

Output Shape 
$$(W - F + 2P)/S + 1 = (55 - 3 + 2 * 0)/2 + 1 = 27 \rightarrow [?, 27, 27, 96]$$

Parameters 0

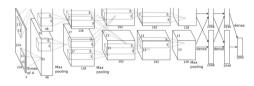


Image: Krizhevsky et al. 2012

```
227×227×3 Input
 55×55×96 Conv1: 96 11×11 filters at stride 4. pad 0
 27x27x96 Max-pool1: 3x3 filters at stride 2
 27×27×96 Norm1: Normalization layer
27x27x256 Conv2: 256 5x5 filters at stride 1, pad 2
13×13×256 Max-pool2: 3×3 filters at stride 2
13×13×256 Norm2: Normalization layer
13x13x384 Conv3: 384 3x3 filters at stride 1, pad 1
13×13×384 Conv4: 384 3×3 filters at stride 1, pad 1
13×13×256 Conv5: 256 3×3 filters at stride 1, pad 1
  6x6x256 Max-pool3: 3x3 filters at stride 2
     4096 FC6: 4096 neurons
     4096 FC7: 4096 neurons
     1000 FC8: 1000 neurons (class scores)
```

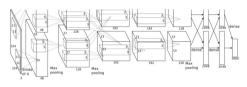
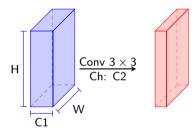


Image: Krizhevsky et al. 2012

What happens if we change the input tensor height & width to conv layer?



Can we change the input to AlexNet? No, This will change the dimensions of the input tensor to FC layers.

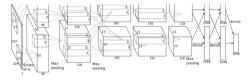


Image: Krizhevsky et al. 2012

- Number of Parameters: Overall, AlexNet has about 61M parameters.
- Use of ReLU
- Norm layers not common anymore
- Data augmentation
- Dropout 0.5 in FC layers.
- Batch size 128
- SGD Momentum 0.9
- Initial learning rate 1e-2, reduced by 10x manually when val accuracy plateaus.
- RegularizationL2 weight decay 5e-4
- 7 CNN ensemble: 18.2% to 15.4%

### ZFNet [Zeiler and Fergus, 2013]

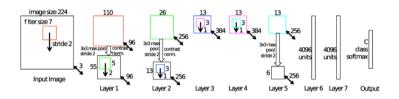


Image: Visualizing and Understanding Convolutional Networks

#### Similer to AlexNet:

- Conv1: Change from 11x11 stride 4 to 7x7 stride 2.
- Conv3,4,5: Change from 384, 384, 256 filters to 512, 1024, 512.
- ImageNet top 5 error improved from 16.4% to 11.7%

#### Outline

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	VGG-16	VGG-19
	FC 1000	FC 1000
	FC 4096	FC 4096
	FC 4096	FC 4096
	Pool	Pool
		512-Conv 3x3
	512-Conv 3x3	512-Conv 3x3
	512-Conv 3x3	512-Conv 3x3
	512-Conv 3x3	512-Conv 3x3
	Pool	Pool
		512-Conv 3x3
	512-Conv 3x3	512-Conv 3x3
	512-Conv 3x3	512-Conv 3x3
$Ale \times Net$	512-Conv 3x3	512-Conv 3x3
FC 1000	Pool	Pool
FC 4096		256-Conv 3x3
FC 4096	256-Conv 3x3	256-Conv 3x3
Pool	256-Conv 3x3	256-Conv 3x3
56-Conv 3x3	256-Conv 3x3	256-Conv 3x3
34-Conv 3x3	Pool	Pool
34-Conv 3x3	128-Conv 3x3	128-Conv 3x3
Pool	128-Conv 3x3	128-Conv 3x3
56-Conv 5x5	Pool	Pool
Pool	64-Conv 3x3	64-Conv 3x3
-Conv 11×11	64-Conv 3x3	64-Conv 3x3
Input	Input	Input

FC 100 FC 409 FC 409 Pool 256-Conv 384-Conv 384-Conv Pool 256-Conv Pool 96-Conv 1 **Convolution layers**: 3x3, stride 1, pad 1. Pooling layers: 2x2 max-pool stride 2.

VGG-16	VGG-19
FC 1000	FC 1000
FC 4096	FC 4096
FC 4096	FC 4096
Pool	Pool
	512-Conv 3x3
512-Conv 3x3	512-Conv 3x3
512-Conv 3x3	512-Conv 3x3
512-Conv 3x3	512-Conv 3x3
Pool	Pool
	512-Conv 3x3
512-Conv 3x3	512-Conv 3x3
512-Conv 3x3	512-Conv 3x3
512-Conv 3x3	512-Conv 3x3
Pool	Pool
	256-Conv 3x3
256-Conv 3x3	256-Conv 3x3
256-Conv 3x3	256-Conv 3x3
256-Conv 3x3	256-Conv 3x3
Pool	Pool
128-Conv 3x3	128-Conv 3x3
128-Conv 3x3	128-Conv 3x3
Pool	Pool
64-Conv 3x3	64-Conv 3x3
64-Conv 3x3	64-Conv 3x3
Input	Input

AlexNet
FC 1000
FC 4096
FC 4096
Pool
256-Conv 3x3
384-Conv 3x3
Pool
256-Conv 5x5
Pool

96-Conv 11x11 Input

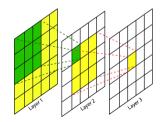






Images: from Unsplash

What will happen when objects are at different scales?



Stack of three  $3 \times 3$  convolution (stride 1) layers has same effective receptive field

as one 7x7 convolution layer.

VGG-16	VGG-19
FC 1000	FC 1000
FC 4096	FC 4096
FC 4096	FC 4096
Pool	Pool
	512-Conv 3x3
512-Conv 3x3	512-Conv 3x3
512-Conv 3x3	512-Conv 3x3
512-Conv 3x3	512-Conv 3x3
Pool	Pool
	512-Conv 3x3
512-Conv 3x3	512-Conv 3x3
512-Conv 3x3	512-Conv 3x3
512-Conv 3x3	512-Conv 3x3
Pool	Pool
	256-Conv 3x3
256-Conv 3x3	256-Conv 3x3
256-Conv 3x3	256-Conv 3x3
256-Conv 3x3	256-Conv 3x3
Pool	Pool
128-Conv 3x3	128-Conv 3x3
128-Conv 3x3	128-Conv 3x3
Pool	Pool
64-Conv 3x3	64-Conv 3x3
64-Conv 3x3	64-Conv 3x3
Input	Input

AlexNet

FC 4096 FC 4096

Pool 256-Conv 3x3

384-Conv 3x3 384-Conv 3x3

Pool 256-Conv 5x5 Pool 96-Conv 11x11

**Convolution layers**: 3x3, stride 1, pad 1. **Pooling layers**: 2x2 max-pool stride 2.

Stack of three 3x3 convolution (stride 1) layers has same effective receptive field as one 7x7 convolution layer.

Deeper structure allows more non-linearities.

Still have fewer parameters:  $3x(3x3xC_ixC_o)$  Vs.  $(7x7xC_ixC_o)$ 

VGG-16	VGG-19
FC 1000	FC 1000
FC 4096	FC 4096
FC 4096	FC 4096
Pool	Pool
	512-Conv 3x3
512-Conv 3x3	512-Conv 3x3
512-Conv 3x3	512-Conv 3x3
512-Conv 3x3	512-Conv 3x3
Pool	Pool
	512-Conv 3x3
512-Conv 3x3	512-Conv 3x3
512-Conv 3x3	512-Conv 3x3
512-Conv 3x3	512-Conv 3x3
Pool	Pool
	256-Conv 3x3
256-Conv 3x3	256-Conv 3x3
256-Conv 3x3	256-Conv 3x3
256-Conv 3x3	256-Conv 3x3
Pool	Pool
128-Conv 3x3	128-Conv 3x3
128-Conv 3x3	128-Conv 3x3
Pool	Pool
64-Conv 3x3	64-Conv 3x3
64-Conv 3x3	64-Conv 3x3
Input	Input

**Memory**: 96MB per image (forward pass)

Number of Parameters: 138M parameters

- Similar training procedure as AlexNet.
- Use ensembles for best results.
- FC7 features generalize well to other tasks.
- Large amount of parameters at the last FC layers (80%).

Main idea: Smaller filters and deeper networks.

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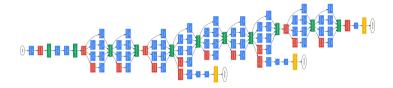


Image: Going deeper with convolutions

#### Computationally efficient deeper network:

- Number of parameters: 5M (12x less that AlexNet, 27x less than VGG-16)
- No fully connected layers at the end. Average pooling across channels.
- •

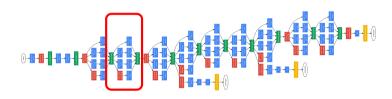


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#### Computationally efficient deeper network:

- Number of parameters: 5M (12x less that AlexNet, 27x less than VGG-16)
- No fully connected layers at the end. Average pooling across channels.
- 22 layers (with efficient "Inception module")



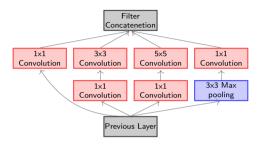




Images: from Unsplash

#### Inception module:

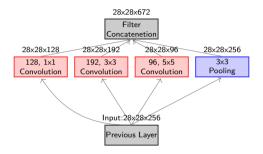
- Design a good local network topology
- Apply filters with different size receptive fields to the input form previous layer (1x1, 3x3, 5x5, 3x3 pooling). Then Concatenate all filter outputs together in channel diminution.
- 'ReLU' activation or convolution modules.
- Why use 1x1 convolutions?



#### Inception module:

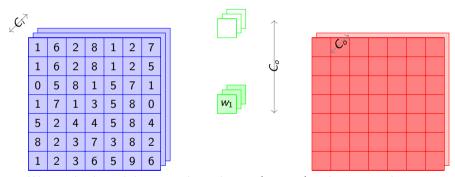
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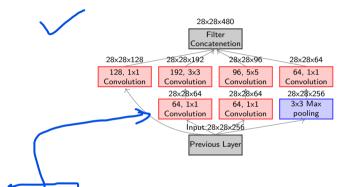


#### Inception module:

- The channel dimension grows with depth of the network.
- Very expensive to compute.
- $\bullet$  Path [3x3] has  $3\times3\times192\times256=442368$  parameters.



We can do channel dimensionality reduction (increase) with 1x1 convolutions.



- "Bottleneck" modules [1x1] reduce computational cost and output shape.
- Path [3x3] originally had  $3 \times 3 \times 192 \times 256 = 442,368$  parameters.
- Path [3x3] how has  $1 \times 1 \times 64 \times 256 + 3 \times 3 \times 192 \times 64 = 126,976$  parameters.

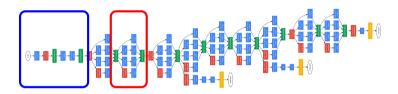


Image: Going deeper with convolutions

- Some convolution + max pooling at start.
- Stack "Inception module" on top of each other.
- Some intermediate stacking will have max-pooling.



Image: Going deeper with convolutions

 No FC layers at the end. The output of last inception module is subjected to global average pooling and then the final "softmax" layer with 1000 classes.

# Gobal Average Pooling (GAP)

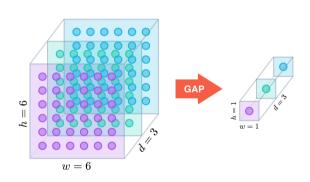


Image: Global Average Pooling Layers for Object Localization

- Normal pooling does each channel independently with 2D masks.
- In GAP, all the pixels in each channel is averaged (or max in global max-pooling) independently to produce a vector.
- If the input to GAP is [B, H, W, C] the output will be [B, 1, 1, C].
- Allows different input shapes at train and test times.
- First paper to use GAP-Network In Network



# Gobal Average Pooling (GAP)

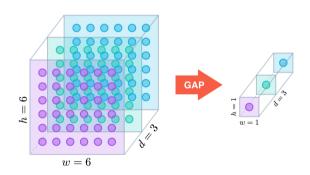
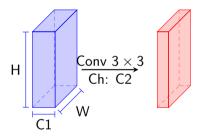


Image: Global Average Pooling Layers for Object Localization

What happens if we change the input tensor height & width to conv layer?



Can we change the input to AlexNet? No, This will change the dimensions of the input tensor to FC layers.

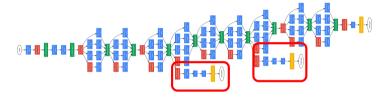


Image: Going deeper with convolutions

- Deep networks has the issue of vanishing gradients.
- Auxiliary classification outputs to inject additional gradient at lower layers.

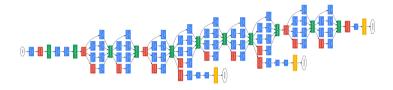


Image: Going deeper with convolutions

- Stochastic gradient descent with 0.9 momentum.
- $\bullet$  Fixed learning rate schedule: decreasing the learning rate by 4% every 8 epochs.
- Data Augmentation and dropout (last layer) for preventing over-fitting.



Image: Going deeper with convolutions

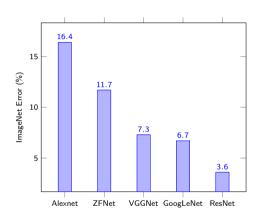
#### Main ideas:

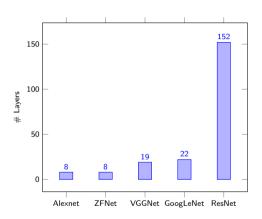
- Efficient "Inception" module integrates information at multiple receptive fields.
- No FC at the end (use GAP instead) which will reduce the number of parameters significantly.
- Auxiliary classification outputs to inject additional gradient at lower layers.
- ILSVRC 2014 classification winner with 6.7% top 5 error.

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# CNN Architecture Evolution: Image Classification





Make the network more and more deep to increase performance?

What happens if we increase the depth?

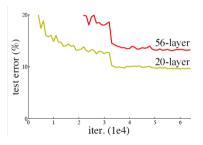
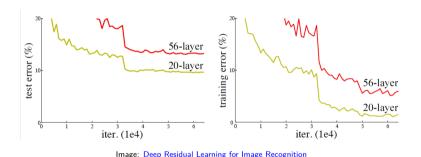


Image: Deep Residual Learning for Image Recognition

Deeper model performs worse than he shallow model. Maybe over-fitting?

What happens if we increase the depth?

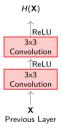


Deeper model performs worse than he shallow model. Maybe over-fitting?

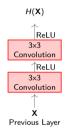
The training error of deeper model is also worse. Not over-fitting.

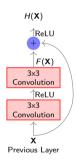
Hypothesis - Training (optimizing) deeper models is harder.

Learn a residual mapping at each layer, instead of trying to learn the underlying mapping.



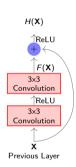
Learn a residual mapping at each layer, instead of trying to learn the underlying mapping.





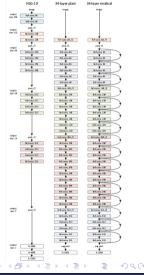
$$H(X) = F(X) + X$$

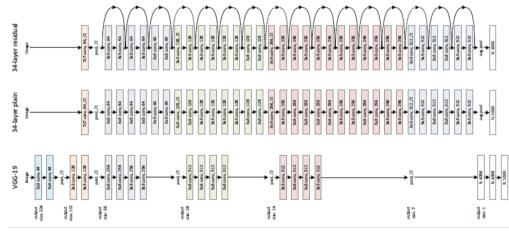
$$F(X) = H(X) - X 
ightharpoonup \text{Residual}$$



#### Full ResNet architecture:

- Stack residual blocks. Every residual block has two 3x3 conv layers.
- $\bullet$  Periodically, double # of filters and downsample spatially using stride 2 (/2 in each dimension)



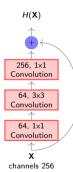


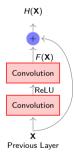
For very deep networks use "bottleneck" blocks to reduce computations.

If F(X) = 0 then only identify: H(X) = X.

Combined with weight decay we can get some layers to be identify.

Skip connections also provide a direct path for gradients to the bottom layer (close to input).





ILSVRC 2015 classification winner (3.6% top 5 error)

- Many layers: 152 layers on ImageNet, 1202 on Cifar.
- Additional conv layer at the beginning.
- No FC layers at the end (only FC 1000 to output classes)

Training ResNet in practice:

- Batch Normalization after every Convolution layer.
- He normal initialization from He et al.
- SGD + Momentum (0.9)
- Learning rate: 0.1, divided by 10 when validation error plateaus
- Mini-batch size 256
- Weight decay of 1e-5
- No dropout used

#### Improvements/Modifications to ResNet

- Improve residual block: Identity Mappings in Deep Residual Networks
- Wide residual blocks not only deep: Wide Residual Networks
- Residual blocks that share multi scale convolutions from GoogleLeNet: Aggregated Residual Transformations for Deep Neural Networks (ResNeXt)
- Dropout layers in residual block: Deep Networks with Stochastic Depth (dropout layers)
- All convolutions in a residual block also gets skip connections: Densely Connected Convolutional Networks.

# Comparing Different CNN Architectures for Image Classification

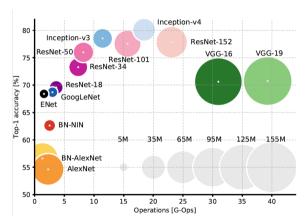


Image: An Analysis of Deep Neural Network Models for Practical Applications.

The size of the blobs is proportional to the number of network parameters

- AlexNet low accuracy, high computational cost.
- VGG Higest number of parameters.
- ResNet: Best accuracy, moderate complexity.

# Efficient Networks for Mobile Applications

- Mobilenets: MobileNets: Efficient Convolutional Neural Networks for Mobile Vision Applications.
- Squeeze Net: SqueezeNet: AlexNet-level accuracy with 50x fewer parameters and <0.5MB model size
- ShuffleNet: ShuffleNet: An Extremely Efficient Convolutional Neural Network for Mobile Devices

#### Outline

- Image Classification
  - AlexNet
  - VGGNet
  - GoogLeNet
  - ResNet
- 2 Object Detection & Segmentation



Image: SegNet: Road Scene Segmentation

Predict a category label (class) for each pixel of the image.

Crop patch and do classification of the center pixel using classification CNN?



Image: SegNet: Road Scene Segmentation

Predict a category label (class) for each pixel of the image.

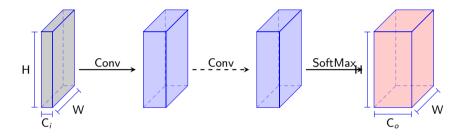
Crop patch and do classification of the center pixel using classification CNN?



Image: SegNet: Road Scene Segmentation

Predict a category label (class) for each pixel of the image.

Crop patch and do classification of the center pixel using classification CNN? Very expensive to do inference. Not feasible.



Design a network with only convolutional layers without downsampling operators to make predictions for pixels all at once.  $C_o$  is the number of classes in the classification problem. Very expensive, large memory requirement.

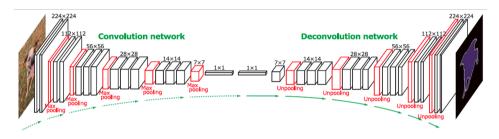


Image: SegNet: A Deep Convolutional Encoder-Decoder Architecture for Image Segmentation

Encoder decoder architecture for segmentation.

- Encoder First part of the network with convolution and pooling (strided convolutions).
- Decoder Second part with convolution and upsampling (transpose convolutions).

- Fully Convolutional Networks for Semantic Segmentation
- SegNet: A Deep Convolutional Encoder-Decoder Architecture for Image Segmentation
- Learning Deconvolution Network for Semantic Segmentation
- U-Net: Convolutional Networks for Biomedical Image Segmentation

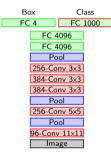
# Object Detection



Need to predict the location as well as the object class. The location is usually defined by a bounding box.

What if there is only one object?

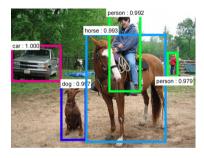
# Single Object Detection



Problem compose to two sub problems:

- Predict object class
- Object location (quantified by  $[B_x, B_y, H, W]$ )

Can use any CNN (discussed in classification section) and change the last layer to accommodate the two predictions.



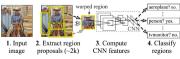
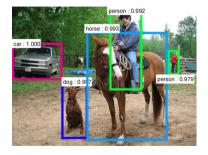


Image: RCNN

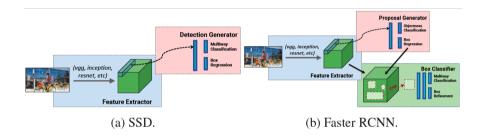
- Use a Region proposal algorithm (in traditional CV) to get initial bounding box proposals.
- Resize each proposed region to fixed size.
- Treat each proposal as a single object detection problem and follow the procedure in last slide.

Initial part subject to errors. Technical difficulties when objects overlap. Need non minimal suppression.



- Use a Region proposal algorithm (in traditional CV) to get initial bounding box proposals.
- Use a CNN based Region proposal algorithm.
- Treat each as a single object detection and follow the procedure in last slide.

End-to-End network for object detection



• Use a back-borne network for CNN based region proposal algorithms.

- Faster RCNN
- SSD: Single Shot MultiBox Detector
- You Only Look Once: Unified, Real-Time Object Detection (YOLO)
- Speed/accuracy trade-offs for modern convolutional object detectors

#### Summary

Famous networks for image classification, segmetation and object detection.

- AlexNet: showed that you can use CNNs to train Computer Vision models.
- VGG: shows that bigger networks with smaller conv work better.
- GoogLeNet: Focus on efficiency using 1x1 bottleneck convolutions and global avg pool instead of FC layers.
- ResNet: showed us how to train extremely deep networks.
- After ResNet: CNNs were better than the human metric and focus shifted to Efficient networks:
- Lots of tiny networks aimed at mobile devices: MobileNet, ShuffleNet
- ResNet is currently a good defaults to use.