

**Kadi Sarva Vishwavidyalaya**  
**End Term Exam MBA II, Semester-III**  
**Sub: Management of Financial Services (FS 323)**

**Date: January 1, 2014**

**Time: 2 to 4.30 PM**

**Marks: 40**

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Q. 1 (A) Write a short note on securitization. [4]

Q. 1 (B) Describe the various classifications of financial markets. [4]

**OR**

Q. 1 (B) Describe the Greenshoe Option used by underwriter. [4]

Q. 2 (A) Differentiate between Life Insurance and General Insurance. [4]

Q. 2 (B) Describe the contents of red herring prospectus of IPO. [4]

**OR**

Q. 2 (A) Discuss the functions of Registrar and Share Transfer Agent. [4]

Q. 2 (B) Write a shot note on Depositories. [4]

Q. 3 (A) Describe the different types of mutual fund schemes. [4]

Q. 3 (B) Differentiate between factoring and forfeiting. [4]

**OR**

Q. 3 (A) Write a short note on consumer finance. [4]

Q. 3 (B) Write a short note on merchant banker. [4]

Q. 4 (A) Explain the differences between factoring and bill discounting. [4]

Q. 4 (B) Write a short note on credit rating agency. [4]

**OR**

Q. 4 (A) Describe the different stages at which company requires venture capital finance. [4]

Q. 4 (B) Compare and contrast between stock market and mutual fund as investment option. [4]

Q. 5 Differentiate between Lease finance and Hire purchase finance. [8]

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Roll No. \_\_\_\_\_

Enrollment No. \_\_\_\_\_

KADI SARVA VISHWAVIDYALAYA, GANDHINAGAR

MBA, Semester- III Examination, April 2014

Management of Financial Services (FS-323)

Date: 03/05/2014

Total Marks: 40%

Duration: 2 Hours and 30 Minutes

Instructions:

1. Write precise answer with suitable examples.
2. Figure to the right indicates weightage.

- Q-1 (A) 'Merchant bankers play a very important role in issue management activity'. 4%  
Explain the major obligations and responsibilities of a merchant banker.
- (B) Explain the concept of financial services and their importance in Indian economy. 4%
- OR
- Q-1 (B) What is mutual fund? Discuss the various types of mutual funds. 4%
- Q-2 (A) Define and discuss in detail different types of lease. 4%
- (B) How credit rating is beneficial to the applicant? Discuss the credit rating process in detail. 4%
- OR
- Q-2 (A) Write a note on Stock Broking. 4%
- (B) Why were reforms undertaken in the banking system? How was the banking reforms initiated in India? 4%
- Q-3 (A) What is a green-shoe option? What are the advantages and disadvantages of exercising this option? 4%
- (B) "Charge it!" has become like a fashion statement and it is commonly heard in various service establishments like malls, multiplexes and hyper markets. People buying food or shopping for clothes using their Plastic cards has now become a trend. A credit card is supposed to be used for necessities and not as a luxury'- Do you agree with this statement? Why or Why not? 4%
- OR
- Q-3 (A) What is factoring? What are the functions of a factor? Explain briefly the mechanism of factoring. 4%
- (B) Define insurance. Discuss various types of life insurance policies. 4%
- Q-4 (A) Write a note on Depository system. 4%
- (B) Explain the meaning of 'capital market' and 'money market'. Compare and contrast these two markets. 4%
- OR
- Q-4 (A) What is money market? Explain different types of money market instruments. 4%
- (B) Is government securities are helpful in managing liquidity in the market? Justify. 4%
- Q-5 Explain the different stages in which a venture capital firm finances the requirements of the companies which approach it for financing. 8%

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**Kadi Sarva Vishwa Vidyalaya, Gandhinagar**  
**MBA – Semester – III – December 2014 Examination**  
**Management of Financial Services (FS323)**

Date: 29/12/2014

Weightage: 40%

Duration: 2½ Hours

**Instructions:**

1. Make assumptions wherever necessary and state them clearly
2. Figures to the right indicate weightage

- Q-1 (A) Discuss the Indian Financial System, giving the various components of the Financial System. 4%
- (B) Describe the venture capital financing and also describe the various stages of venture capital financing offered by the Indian venture capital companies. 4%
- OR
- (B) Explain the meaning of 'capital market' and 'money market'. Compare and contrast these two markets. 4%
- Q-2 (A) Discuss the phases of growth of Mutual Fund in India and the benefits of investing in a Mutual Fund. 4%
- (B) What is Book-building? Explain the briefly the process of issue of shares through book-building method. 4%
- OR
- Q-2 (A) Briefly describe the various debt instruments which are common in the financial markets. 4%
- (B) What is Merchant Banking? Discuss the role and important functions played by it. 4%
- Q-3 (A) Define Securitization. Discuss the benefits of securitization to various stakeholders and also point out its limitations. 4%
- (B) Explain Credit Rating and its benefits to investors, company and other stakeholders. 4%
- OR
- Q-3 (A) What are repos? State the different types of repos. How does the RBI use repos as a tool for managing liquidity in the money market? 4%
- (B) Describe an international factoring transaction with the help of a flowchart. 'An exporter can explore new markets with the help of international factoring'.—Discuss the statement giving the benefits of international factoring. 4%
- Q-4 (A) Explain fundamental principles of insurance. Discuss variety of Life and General Insurance products/policies of an insurance unit. 4%
- (B) Explain Leasing and Hire-Purchase. How is it different from installment payment and lease financing? 4%
- OR
- Q-4 (A) How does credit card differ from debit card? 4%
- (B) NBFCs are offering services which are complementary to banking activities'. Expand this statement with examples. 4%
- Q-5 Write in brief on following: 8%
1. NABARD
  2. Green Shoe Option
  3. Depository
  4. Short Selling

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