

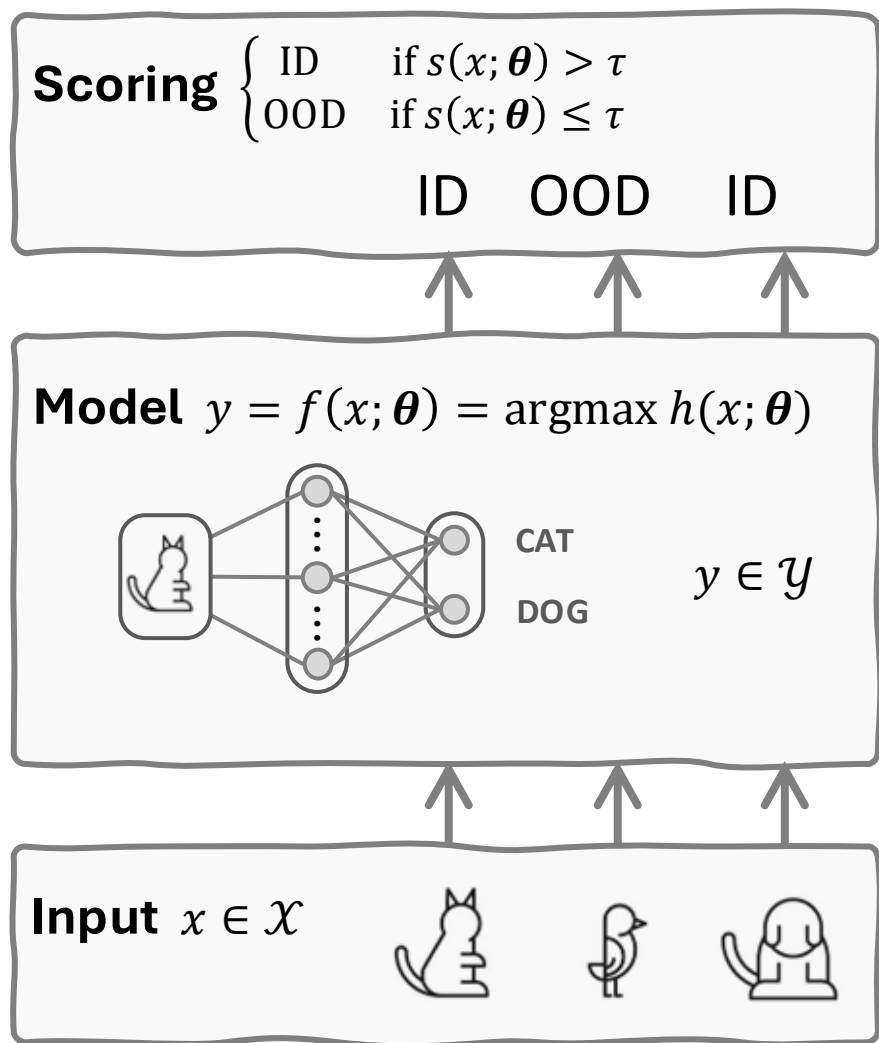
# On the Insights and Strategies for OOD Detection Learning

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RIKEN AIP

<https://qizhouwang.github.io/homepage>



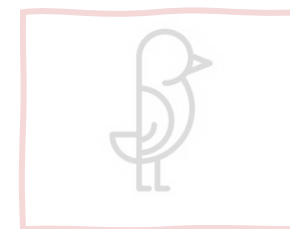
# Post-hoc OOD Detection: Review



**Semantic Shift:** semantic relationship between inputs and labels changes.



ID

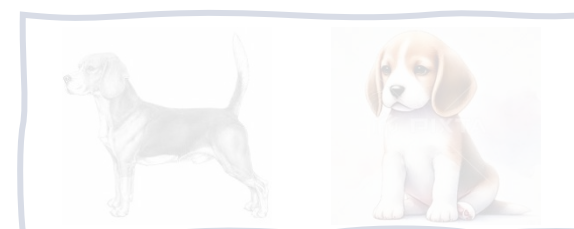


OOD

**Covariate Shift:** feature distribution changes while labels stay within the label space.



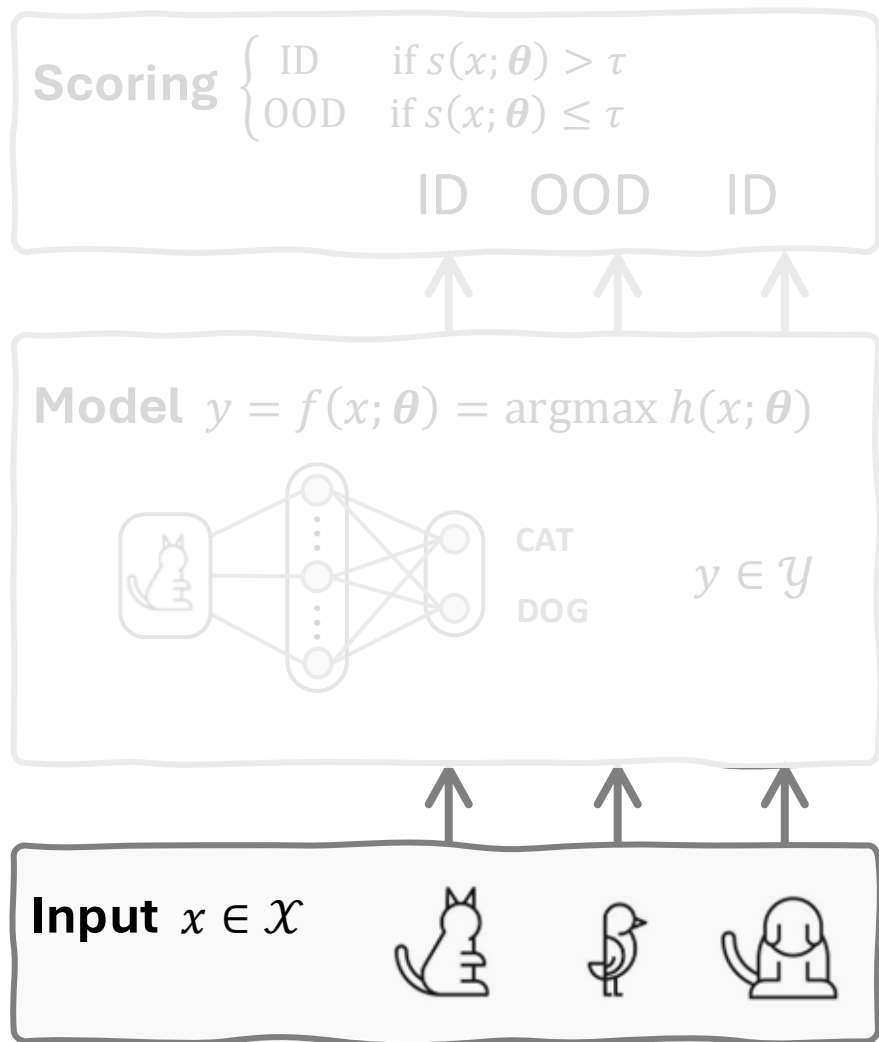
ID



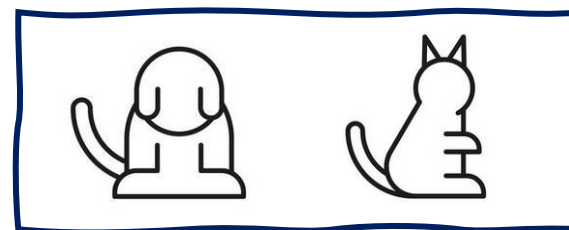
OOD

# Post-hoc OOD Detection: Review

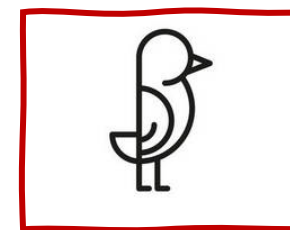
## Interests of OOD detection



**Semantic Shift:** semantic relationship between inputs and labels changes.

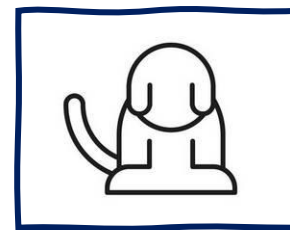


ID

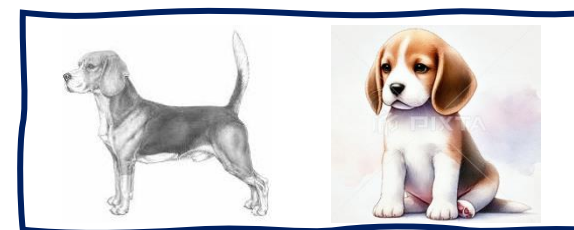


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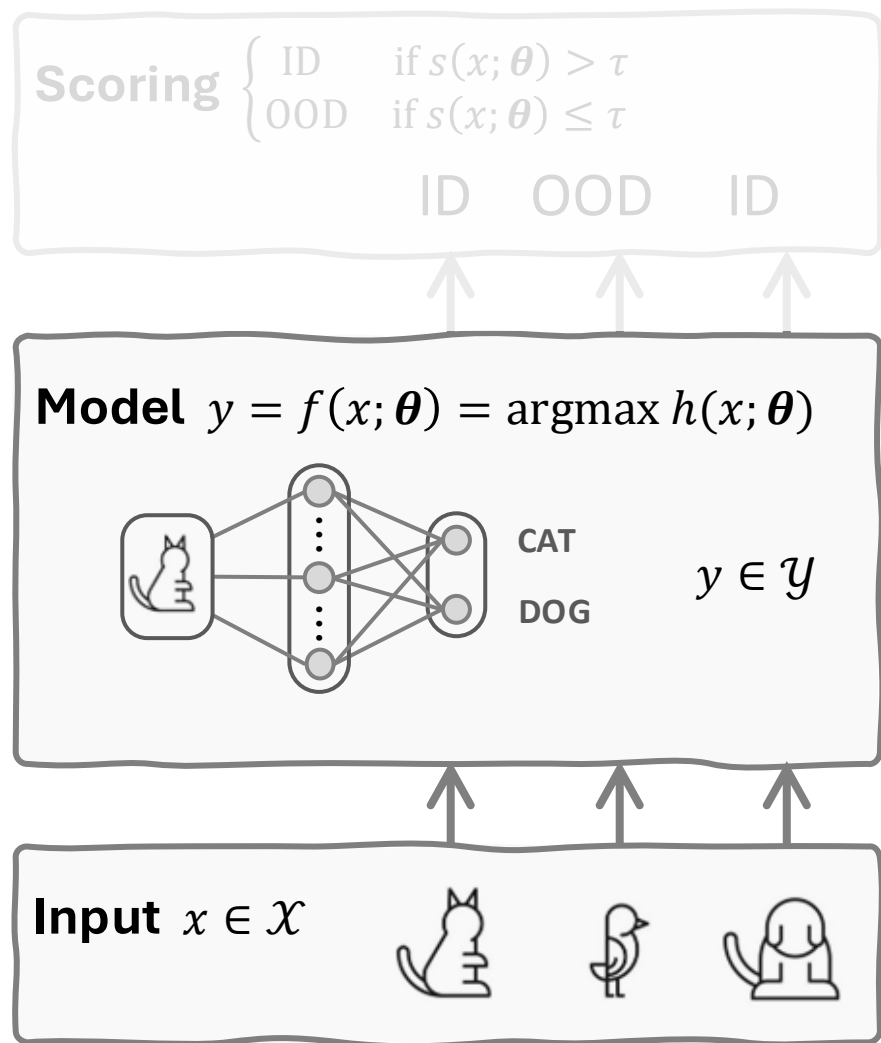


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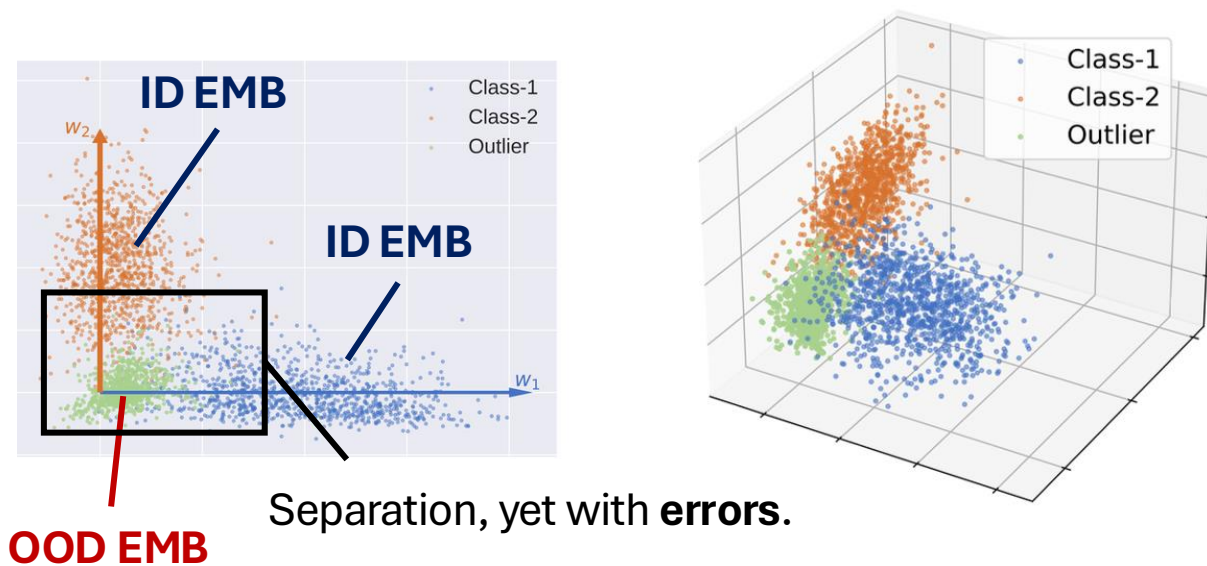


OOD

# Post-hoc OOD Detection: Review

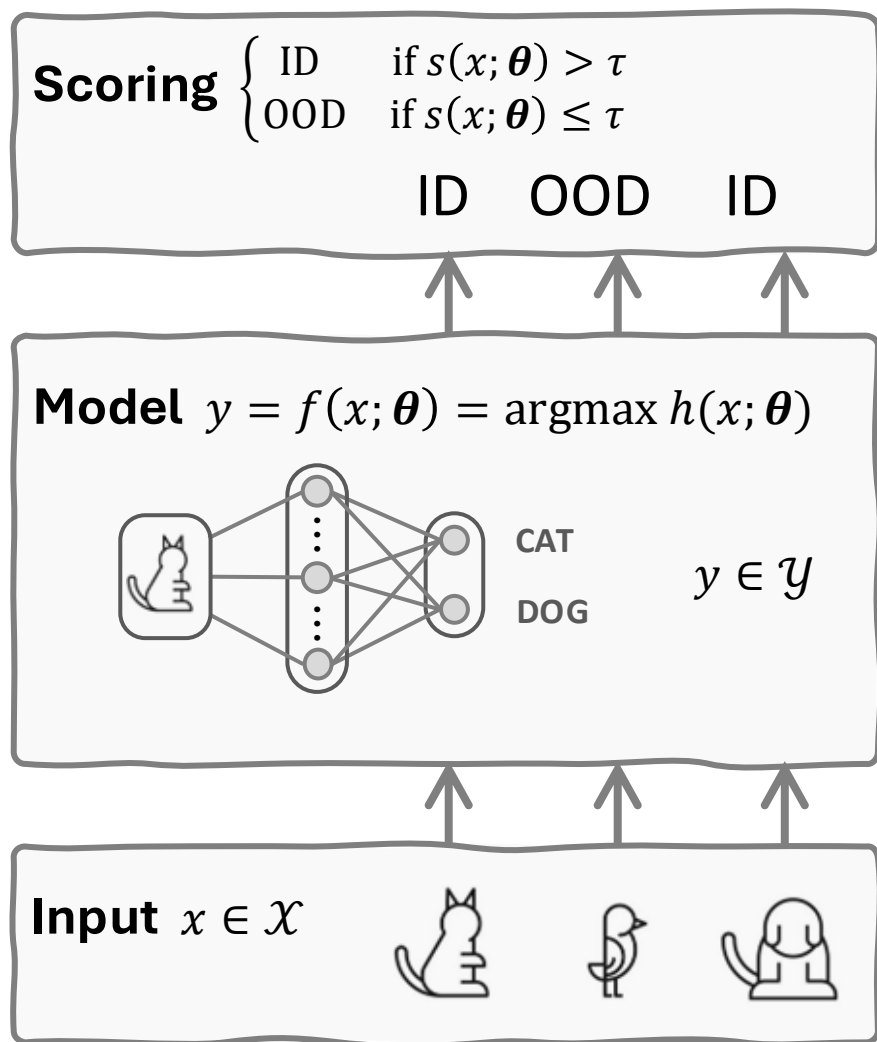


Pre-trained models can **separate ID and OOD data in embedding space** to some extent.



*Figures of dimensional-reduced embeddings [a].*

# Post-hoc OOD Detection: Review



**Model responses** can be used to craft OOD scoring functions.

## ❖ Output Level, MSP [a]

maximal softmax prediction

$$s_{\text{MSP}}(x; \theta) = \max_k \text{softmax}_k h(x; \theta)$$

## ❖ Embedding Level, KNN [b]

k-th nearest neighbor

$$s_{\text{KNN}}(x; \theta) = \|h(x; \theta) - z_{(k)}\|_2$$

uniform distribution

## ❖ Gradient Level, GradNorm [c]

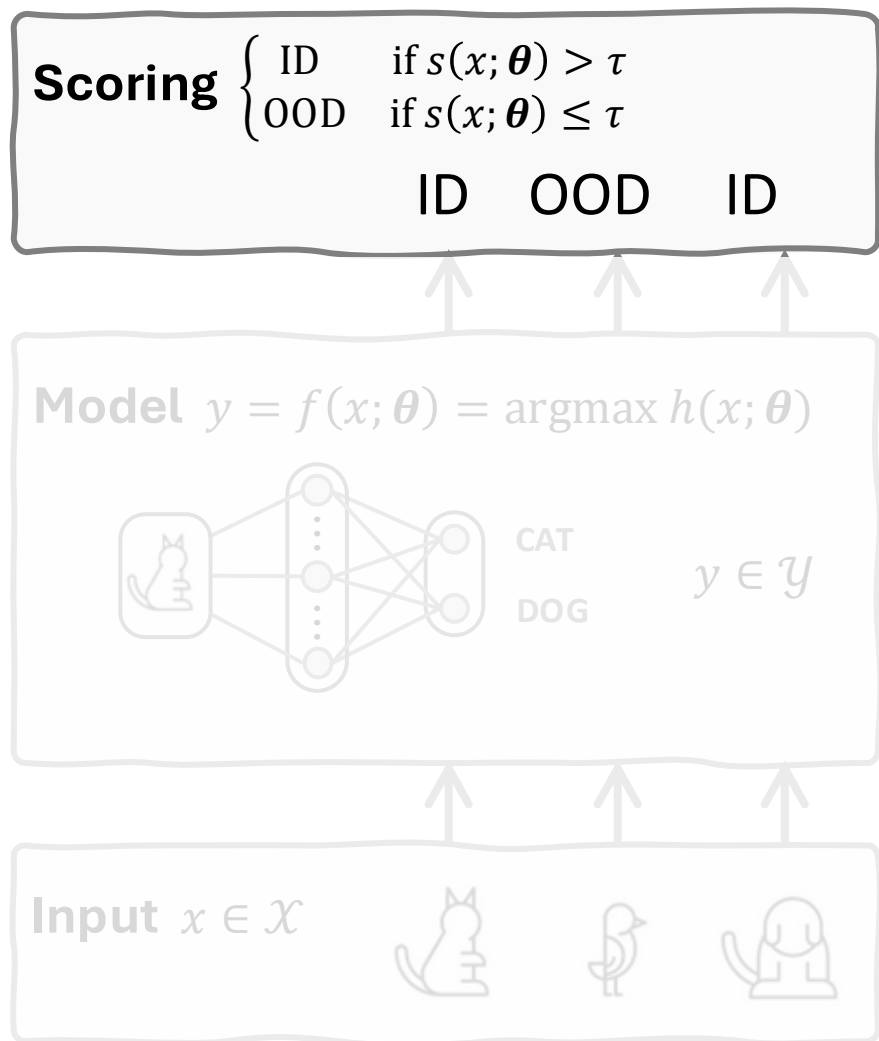
$$s_{\text{GN}}(x; \theta) = \|\nabla_{\theta} \text{KL}(u || \text{softmax}(h(x; \theta)))\|_2$$

[a] Hendrycks et al. A Baseline for Detecting Misclassified and Out-of-Distribution Examples in Neural Networks. In ICLR, 2017.

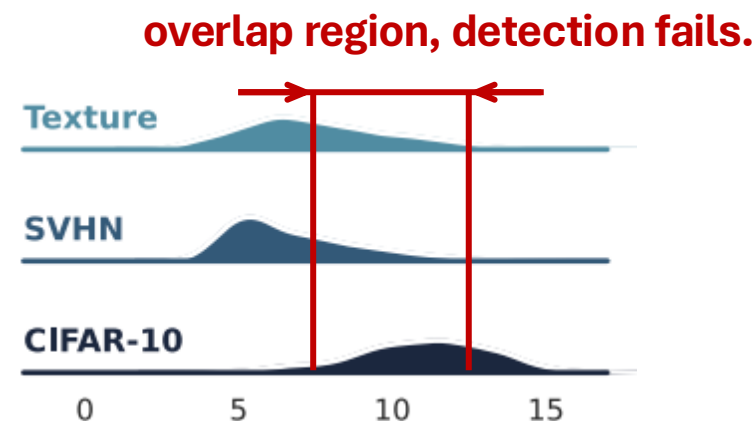
[b] Sun et al. Out-of-Distribution Detection with Deep Nearest Neighbors. In ICML, 2022.

[c] Huang et al. On the Importance of Gradients for Detecting Distributional Shifts in the Wild. In NeurIPS, 2021.

# Post-hoc OOD Detection: Challenges



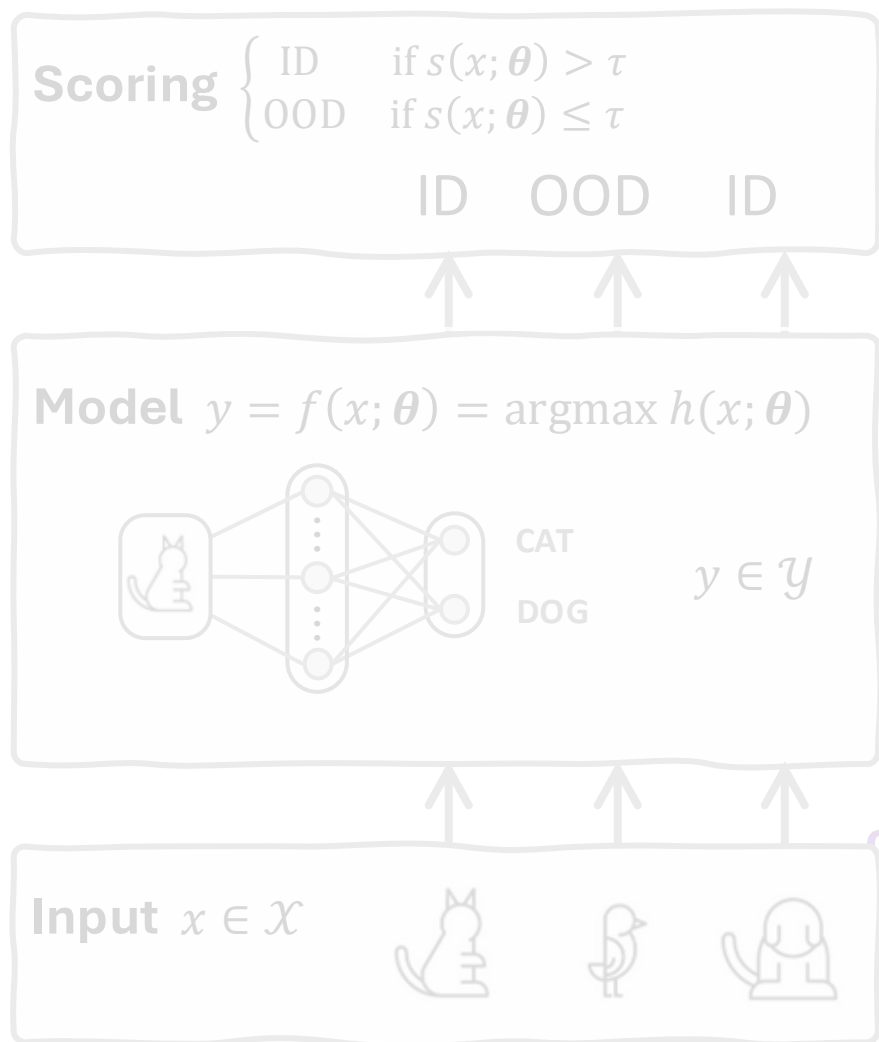
Post-hoc OOD detection often **makes mistakes**, failing to discern many ID and OOD patterns.



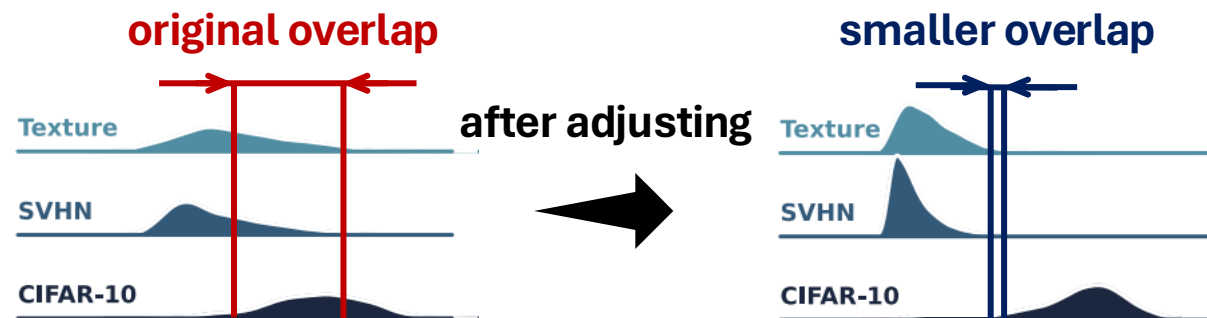
Figures of ID & OOD scoring distributions [a].

**Explanations:** For conventional-trained models, 1) their **representations** are not good enough, b) their **calibration** is inherently poor, and c) they cannot fully **classify** ID and OOD patterns.

# OOD Detection Learning

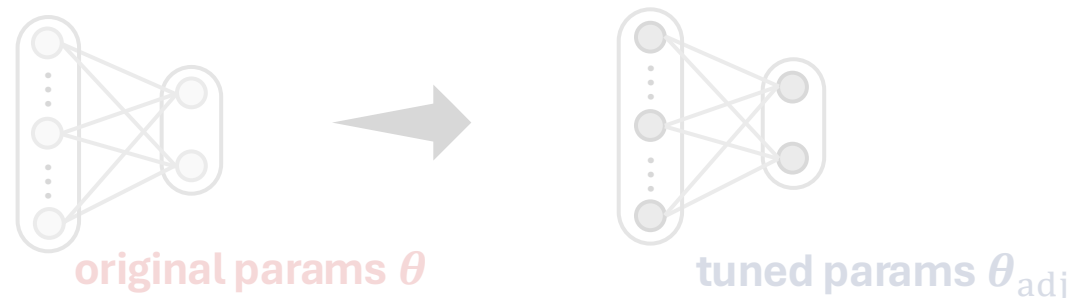


**Adjust** the system to improve OOD detection.

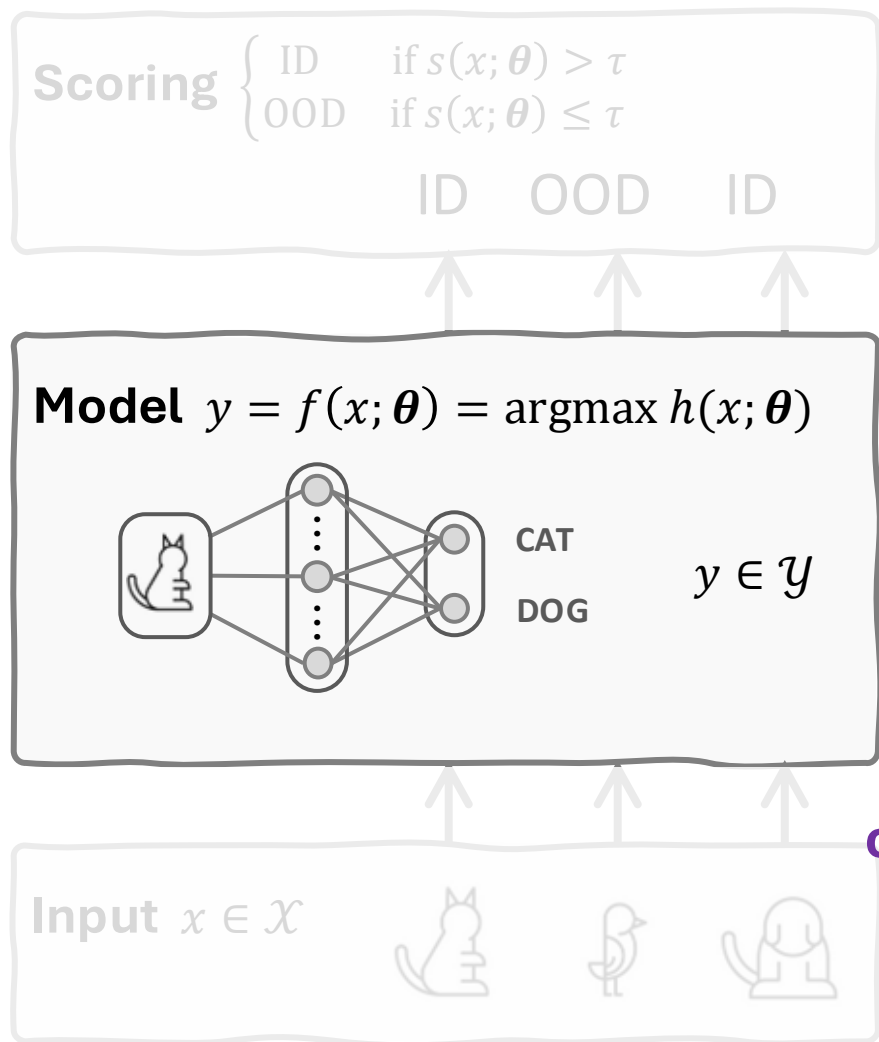


❖ **Model Level**, most works  $s(x; \theta) \rightarrow s(x; \theta_{\text{adj}})$

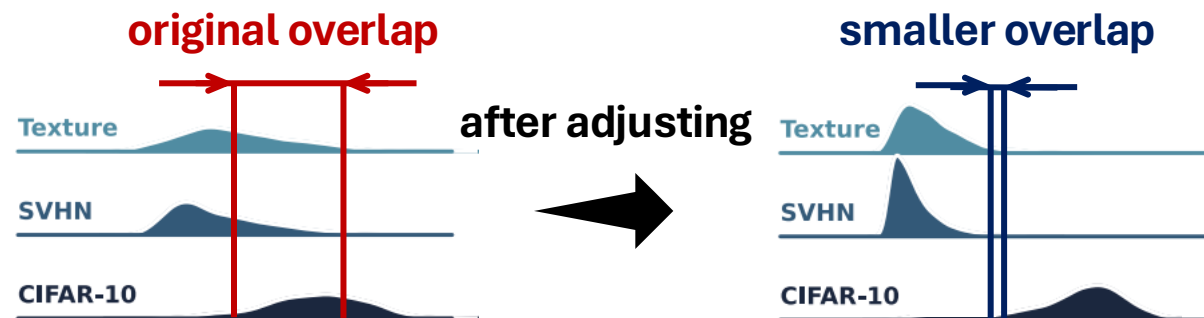
common choice



# OOD Detection Learning: Where to Adjust?

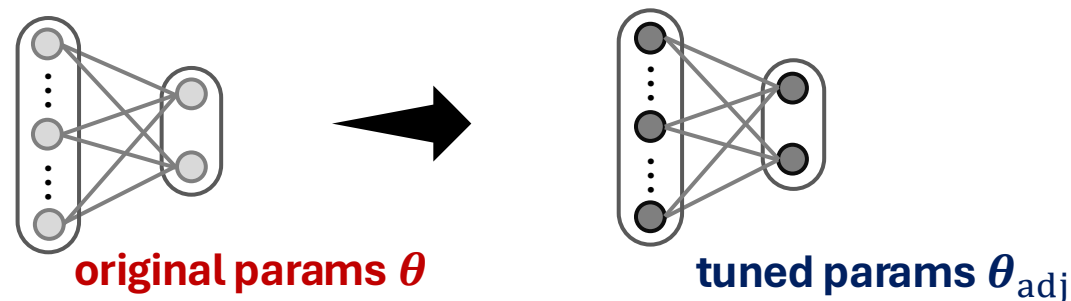


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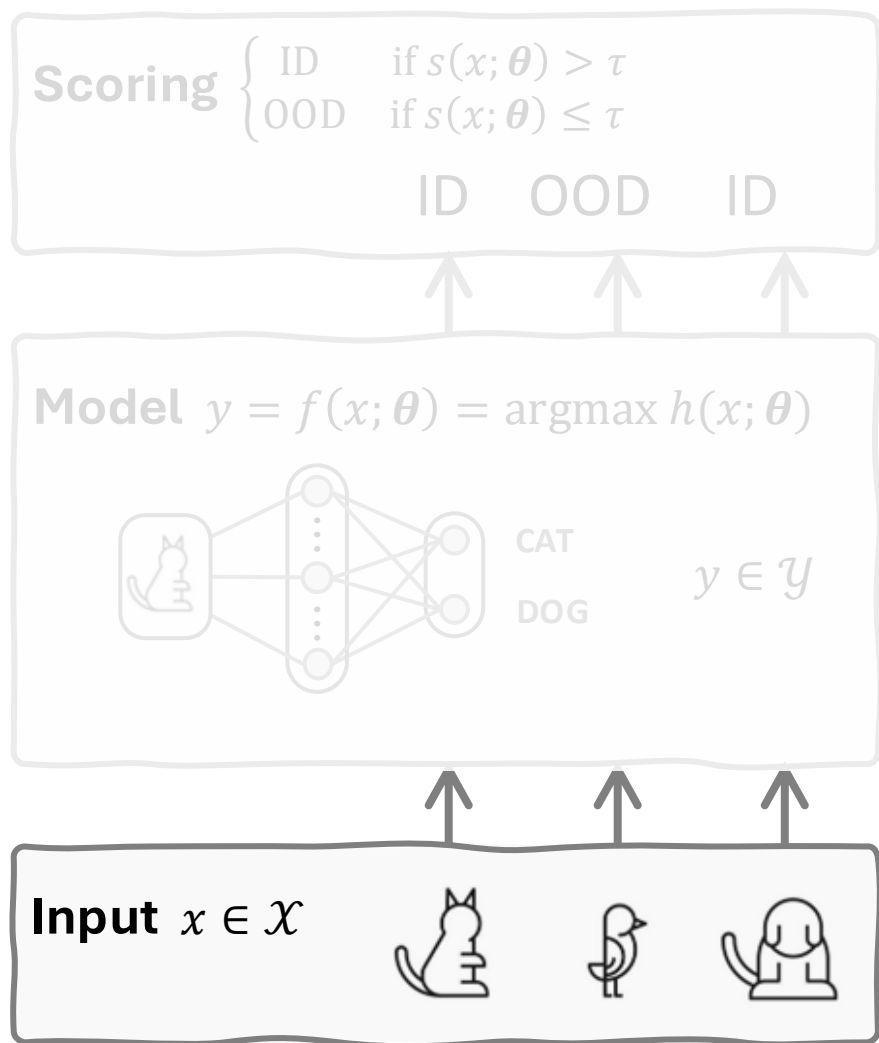
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**common choice**

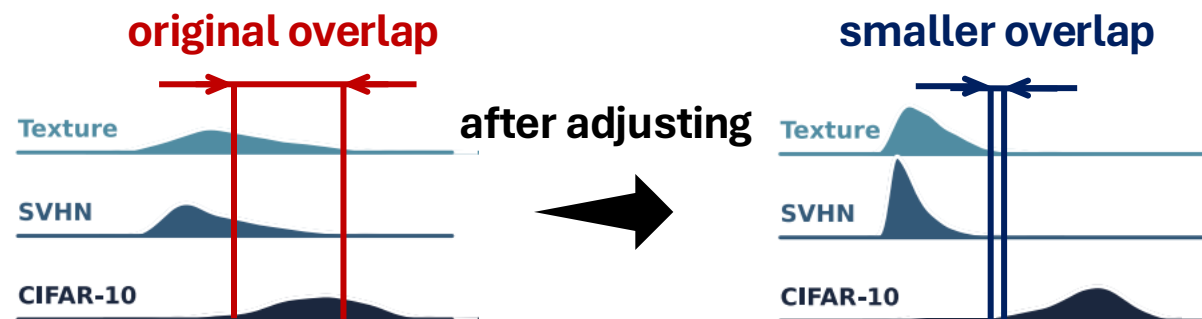




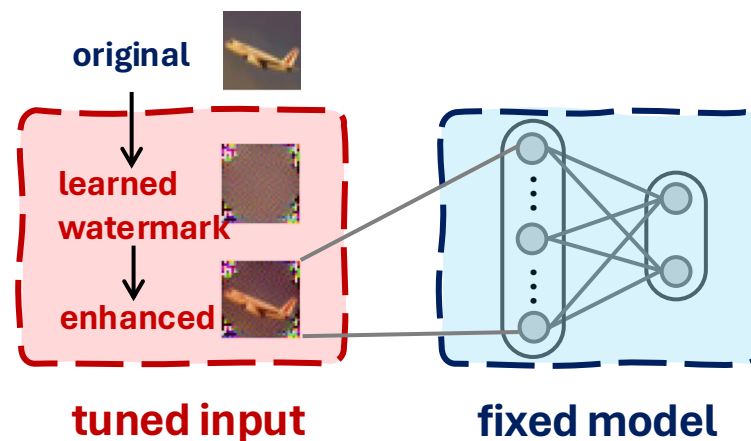
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**Adjust** the system to improve OOD detection.

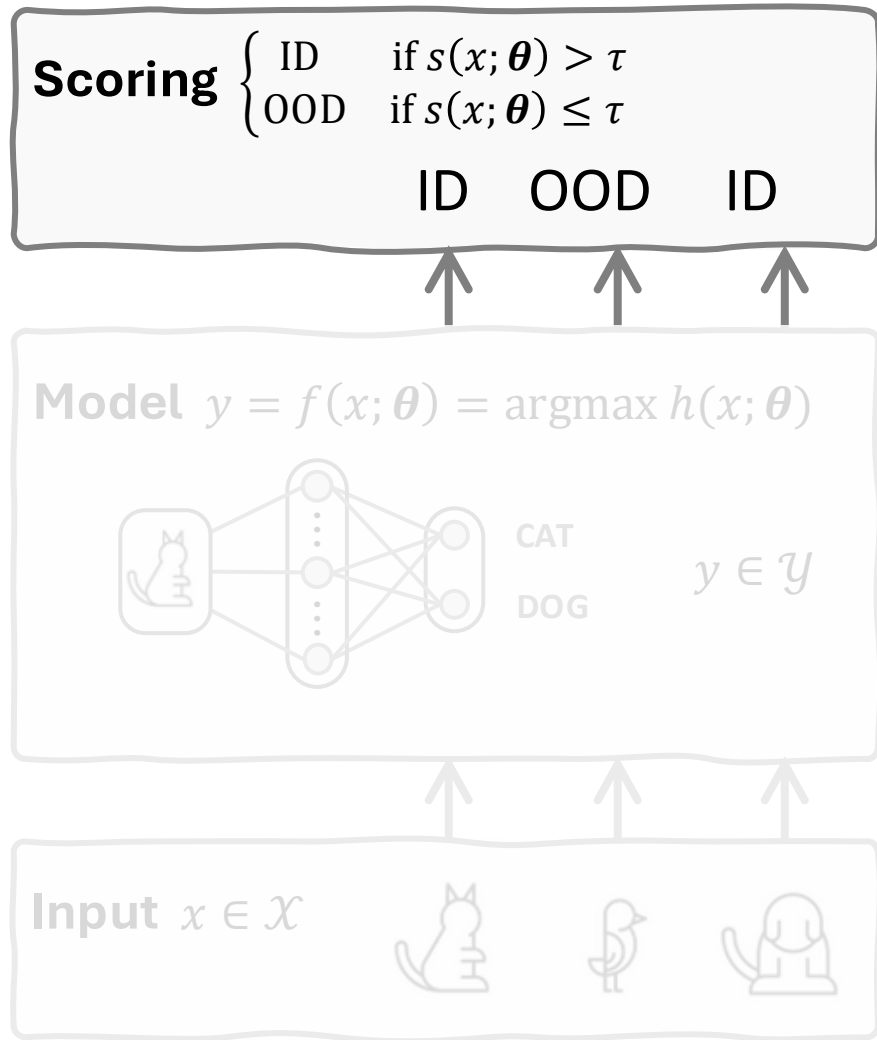


❖ **Input Level, WM** [a]  $s(x; \theta) \rightarrow s(x + w; \theta)$

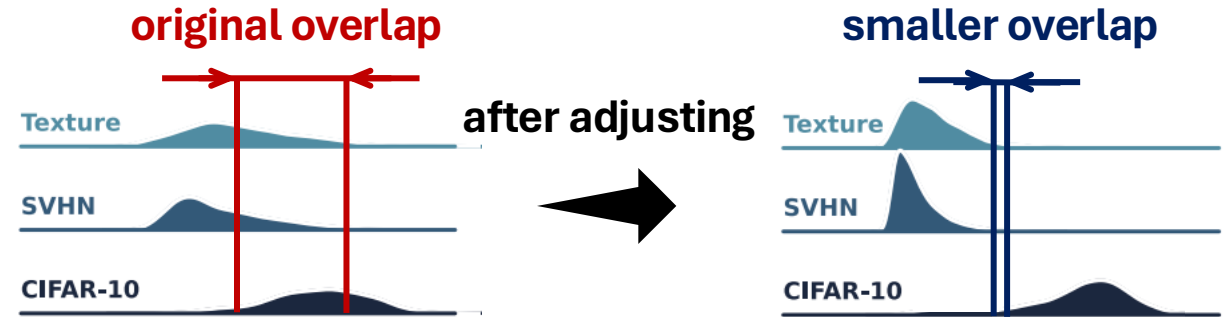


- ❖ *Watermark is static, **tuned** to enhance OOD detection.*
- ❖ *The pre-trained model remains **fixed**.*

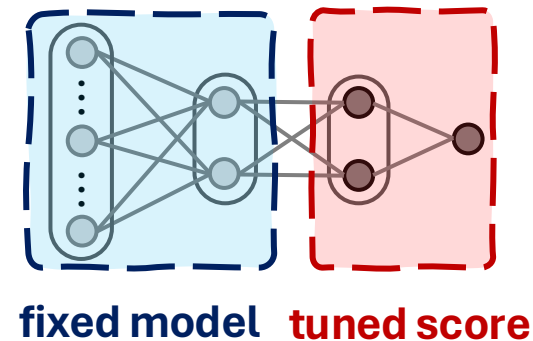
# OOD Detection Learning: Where to Adjust?



**Adjust** the system to improve OOD detection.



❖ **Score Level, VOS [a]**  $s(x; \theta) \rightarrow s(h(x; \theta), \mathbf{w})$

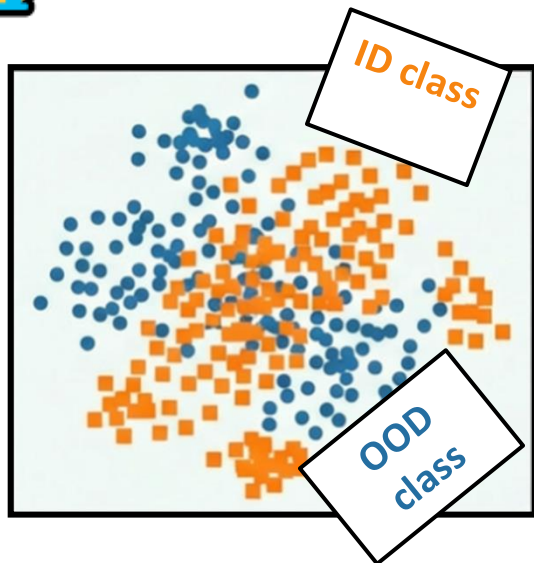


- ❖ The scoring function introduces exact params to be **tuned**.
- ❖ The pre-trained model remains **fixed**.

# OOD Detection Learning: How to Adjust?

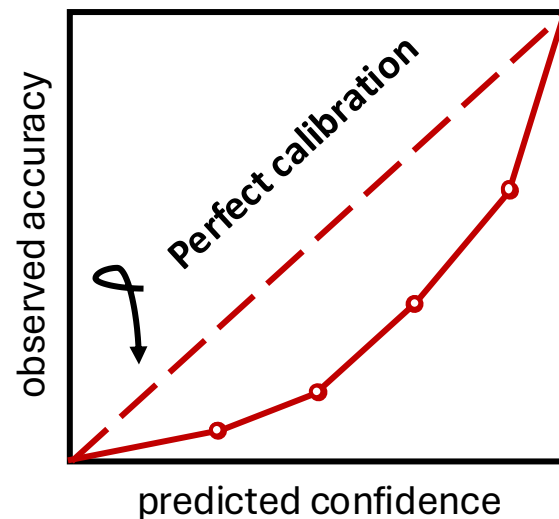
Let's recall **why does post-hoc OOD detection often fail**: For conventional-trained models, they have

## Poor Representation



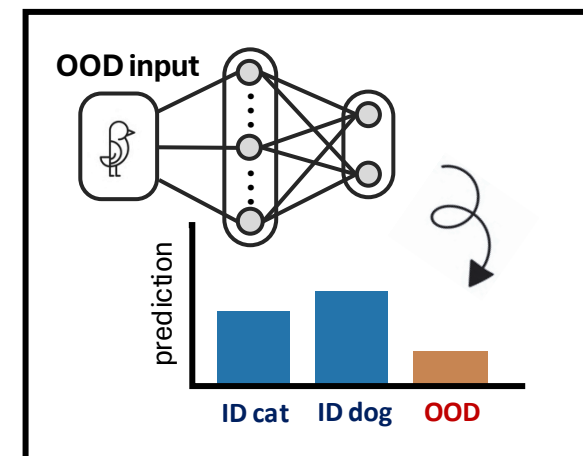
Data with **different semantics** may **not be perfectly separated** in the embedding space.

## Poor Calibration



**High model confidence** does not correspond to **high model accuracy**.

## Poor Classification

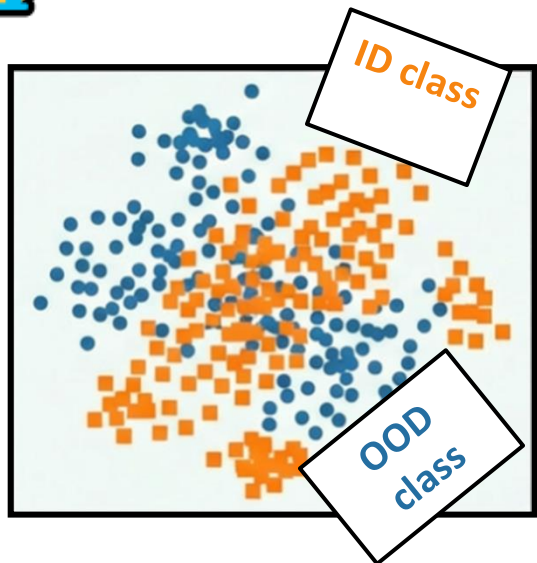


The model **predicts the wrong class**, despite the true class of being either ID or OOD.

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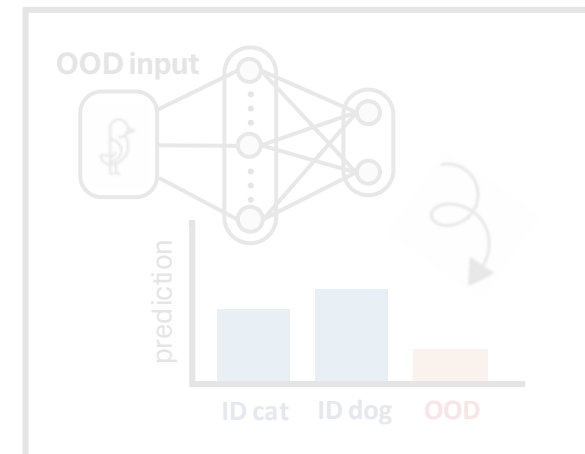


Data with **different semantics** may **not be perfectly separated** in the embedding space.

## Poor Calibration

- ❖ **What happens?**  
ID and OOD examples are **entangled** in the embedding space.
- ❖ **Why breaks detection?**  
Many methods (e.g., k-nearest neighbors and Mahalanobis) **assume that OOD lies away from ID**.  
Observed accuracy does not correspond to high model accuracy.

## Poor Classification

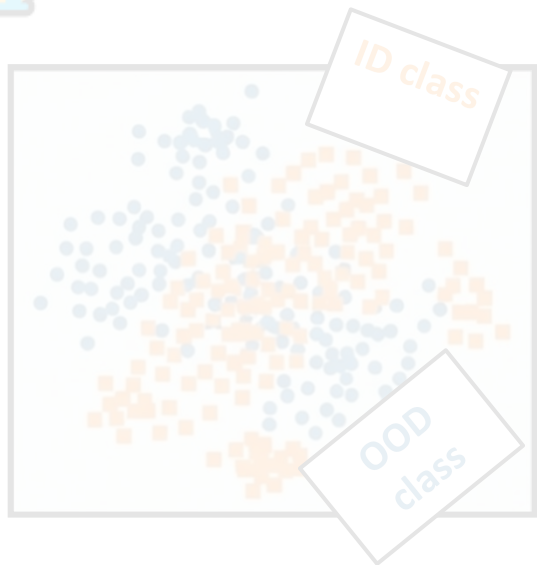


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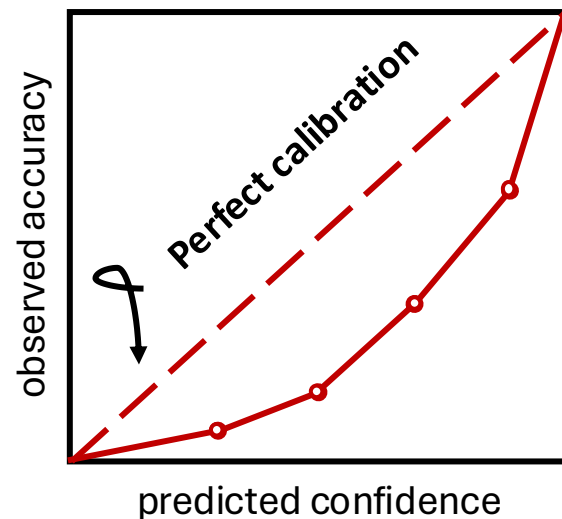
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## Poor Representation



Data with **different semantics** may **not be perfectly separated** in the embedding space.

## Poor Calibration



**High model confidence** does not correspond to **high model accuracy**.

## Poor Classification

- ❖ **What happens?**  
Models produce **high confidence** for **wrong predictions**.
- ❖ **Why breaks detection?**  
Many methods (e.g., MSP) use **confidence-like scores**.

The model **predicts the wrong class**, despite the true class of being either ID or OOD.

# OOD Detection Learning: How to Adjust?

Let's recall why does post-hoc OOD detection often fail: For conventional-trained models, they have

## Poor Representation



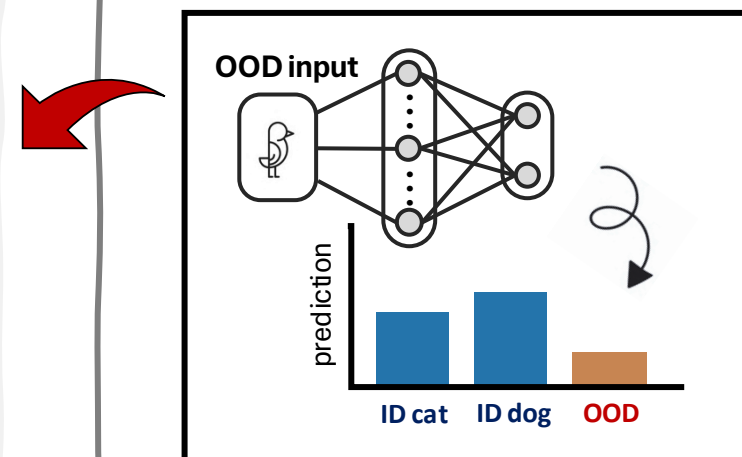
Data with **different semantics** may **not be perfectly separated** in the embedding space.

## Poor Calibration

- ❖ **What happens?**  
**Decision boundaries** does not align with true ID/OOD classes.
- ❖ **Why breaks detection?**  
Taking as an **extra classification task**, this classifier is not accurate.

**High model confidence** does not correspond to **high model accuracy**.

## Poor Classification

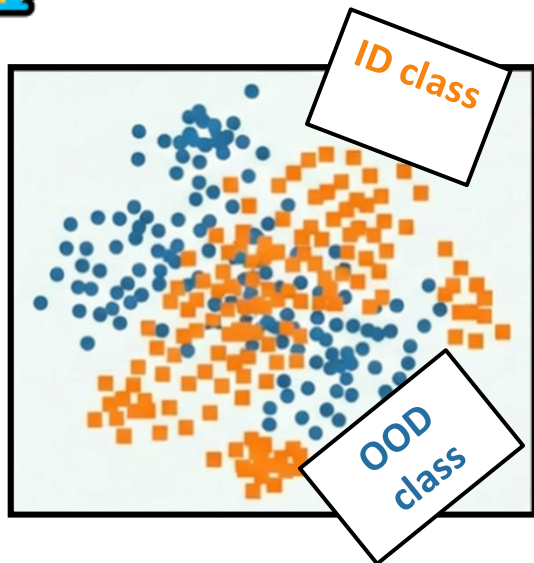


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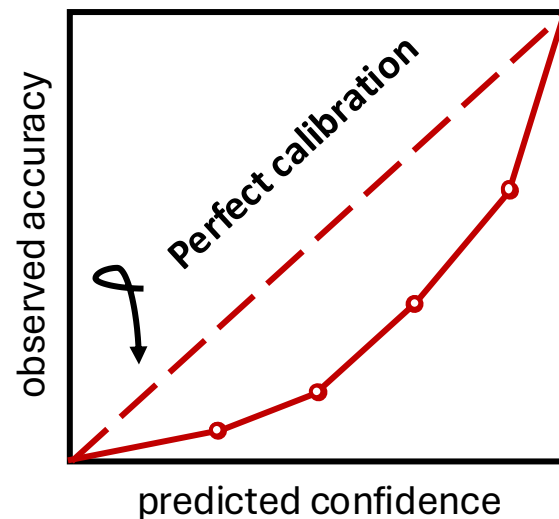
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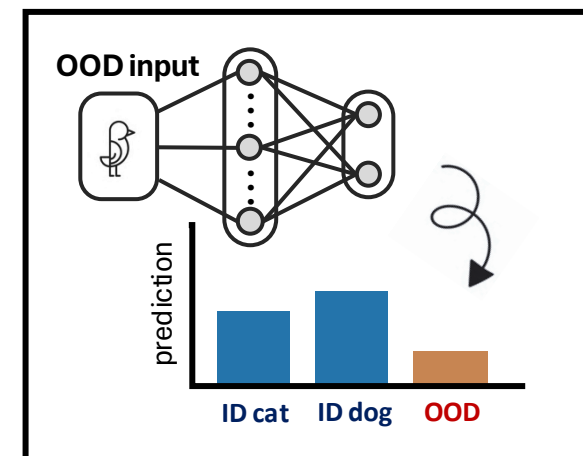
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## Poor Classification



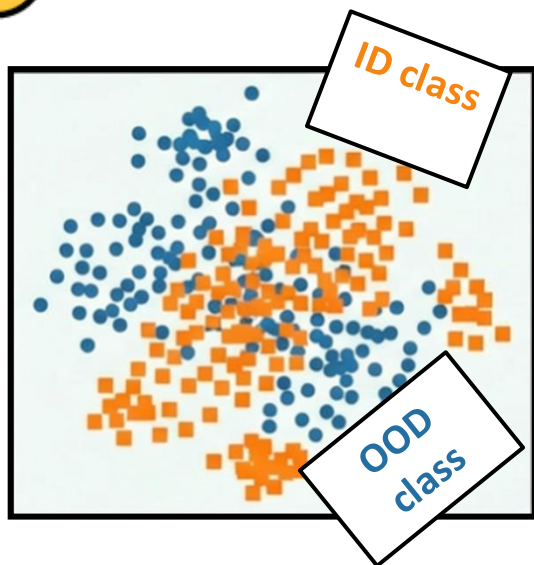
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# OOD Detection Learning: How to Adjust?

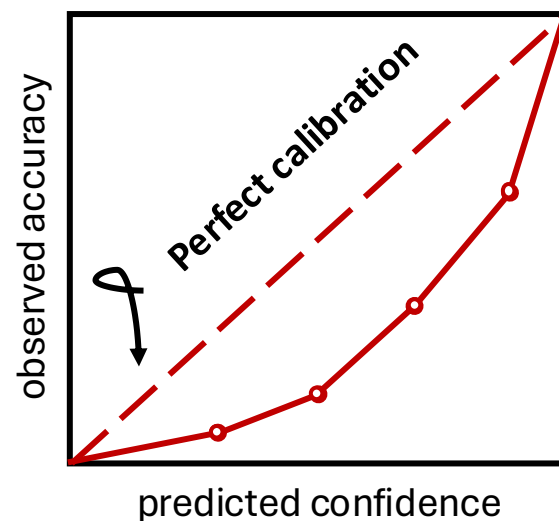
To **address the drawbacks** of post-hoc OOD detection, OOD detection learning can

## Improve Representation



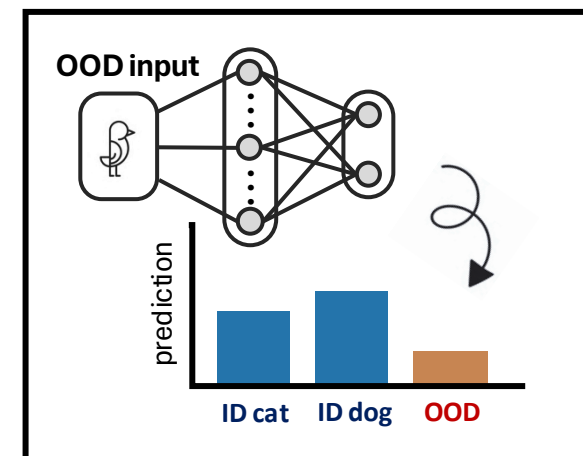
- ❖ **contrastive learning,**
- ❖ **reconstruction learning,**
- ❖ **pre-training,** et al.

## Improve Calibration



- ❖ **calibration techniques,**
- ❖ **density regularization,**
- ❖ **distribution modelling,** et al.

## Improve Classification

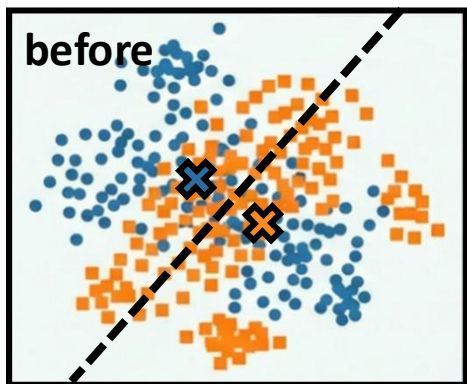


- ❖ **outlier exposure,**
- ❖ **data augmentation,**
- ❖ **sample selection,** et al.



# Representation: Overview

## Conventional-trained Classifiers



**A simple detection strategy:**  
Nearest distances to K-means clustering.

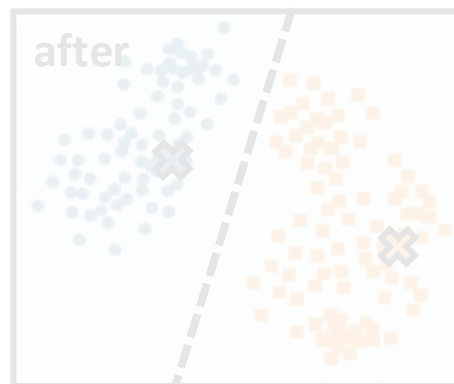
$$s_{\text{KM}}(x; \theta) = \|h(x; \theta) - \mu_{(x)}\|_2$$

nearest centroid (ID/OOD)

ID/OOD boundary

- ❖ mainly learn patterns among training classes as a **shortcut to learn classification**.
- ❖ make **no assumptions about the form of OOD data** will take or the type of downstream task.

## Representation-based OOD Learning



**Representations with different semantics are better separated.**

- ❖ low intra-class variance
- ❖ high inter-class variance

### Pretext tasks

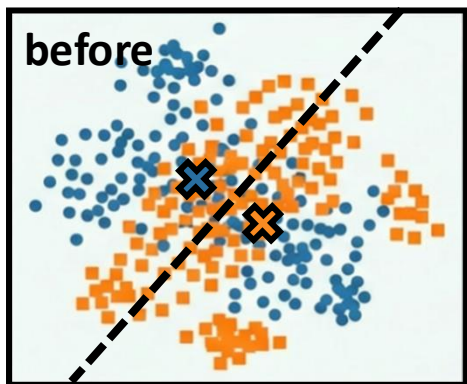
- ❖ **Contrastive Learning:** CSI, SSD
- ❖ **Reconstruction Learning:** MOOD
- ❖ **Pre-training:** CLIP

# Representation: Overview

## Conventional-trained Classifiers



## Representation-based OOD Learning



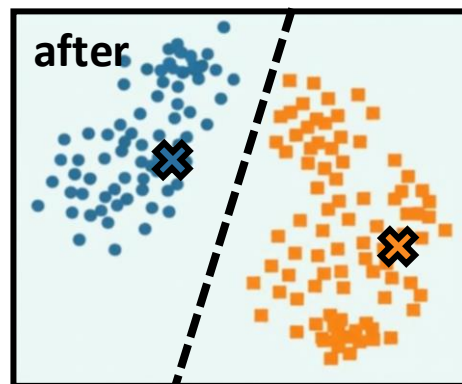
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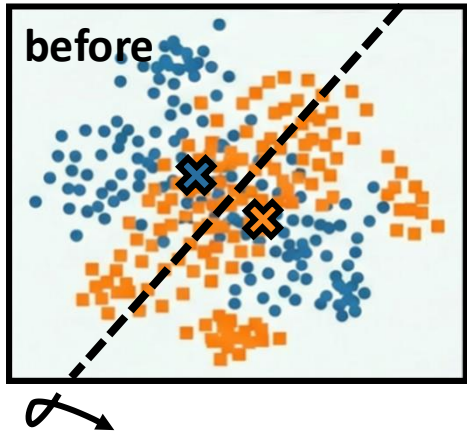
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# Representation: Overview

## Conventional-trained Classifiers



## Representation-based OOD Learning

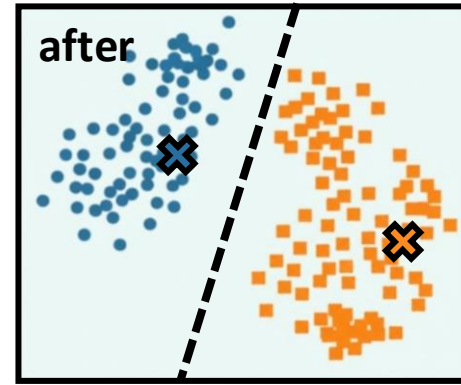


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nearest centroid (ID/OOD)



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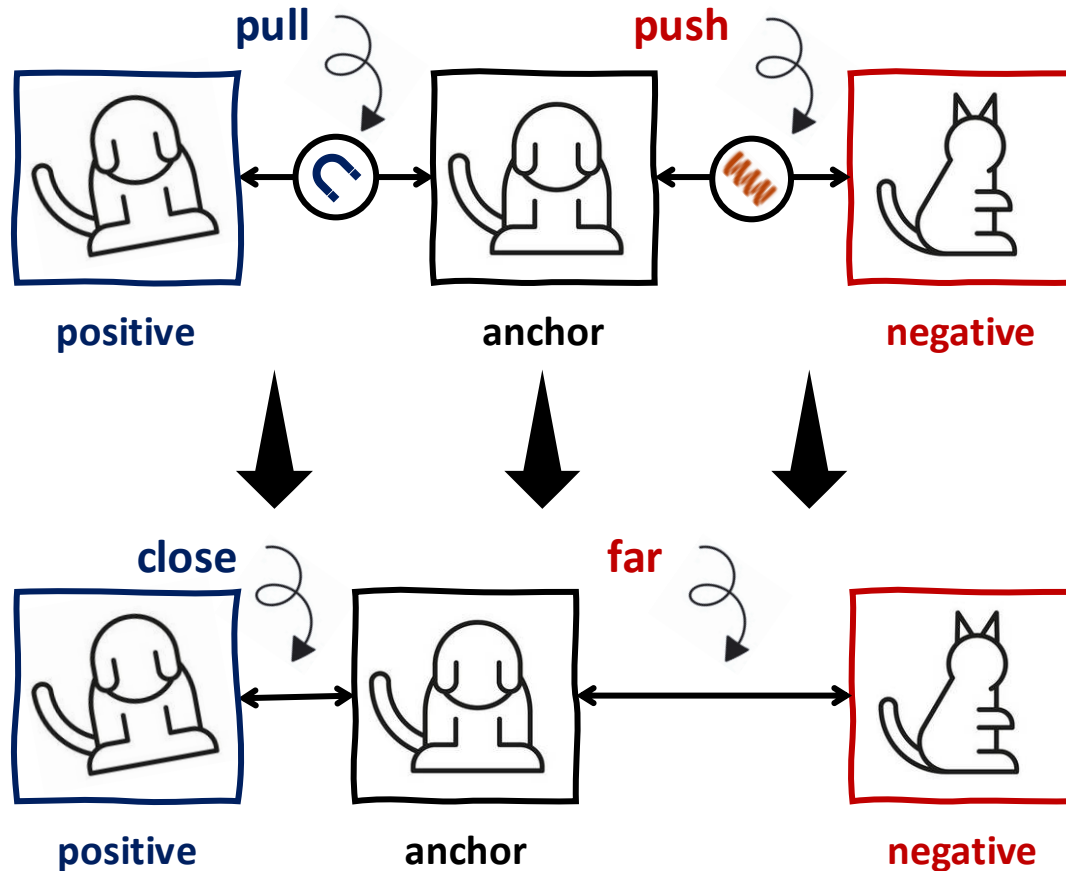
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### Pretext tasks

- ❖ **Contrastive Learning:** CSI, SSD
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# Representation: Contrastive Learning

Contrastive learning **improves the semantic structure of the embedding space** by **pulling** semantically similar samples together and **pushing** dissimilar ones apart.



## Contrastive Learning

$$\mathcal{L}_{\text{con}}(x, \{x_+\}, \{x_-\}) = -\frac{1}{|\{x_+\}|} \log \frac{\sum_{x' \in \{x_+\}} \exp\{\text{sim}(z(x), z(x'))\}}{\sum_{x' \in \{x_+\} \cup \{x_-\}} \exp(\text{sim}(z(x), z(x'))))$$

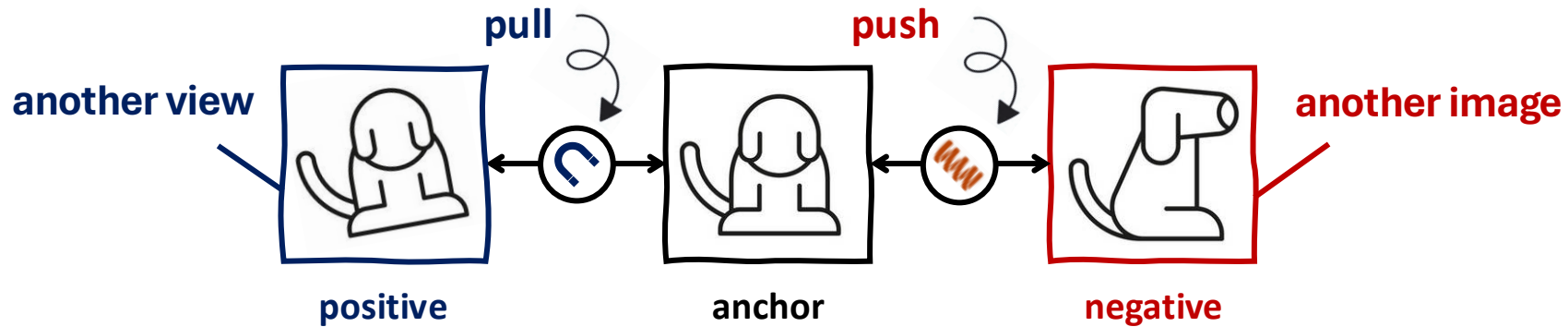
The diagram highlights the components of the equation: 'positive' points to the set  $\{x_+\}$ , 'anchor' points to the term  $z(x)$ , and 'negative' points to the set  $\{x_-\}$ .

*Increasing similarity* between the anchor and positive samples, while *decreasing similarity* to negative samples.

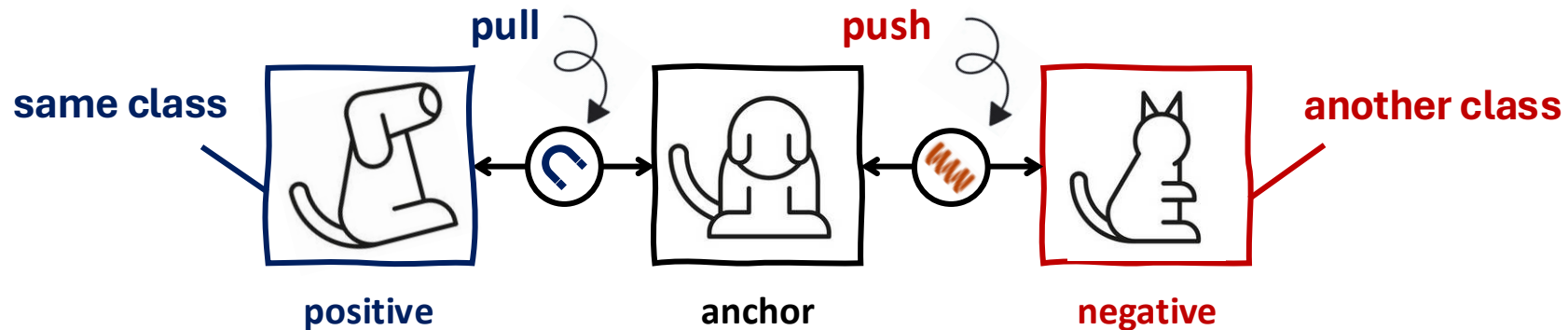
# Representation: Contrastive Learning | SSD

## SSD [a] Training Objective.

❖ **SSD**, discriminating between **individuals** (label-agnostic).



❖ **SSD+**, discriminating between **classes** (label-aware).



# Representation: Contrastive Learning | SSD

## SSD OOD Detector.

❖ **Mahalanobis**, cluster-conditioned detection (**OOD-agnostic**).

$$s_{\text{mah}}(x; \theta) = \min_k (z(x) - \mu_k)^\top \Sigma_k^{-1} (z(x) - \mu_k)$$

k-th ID cluster centroid

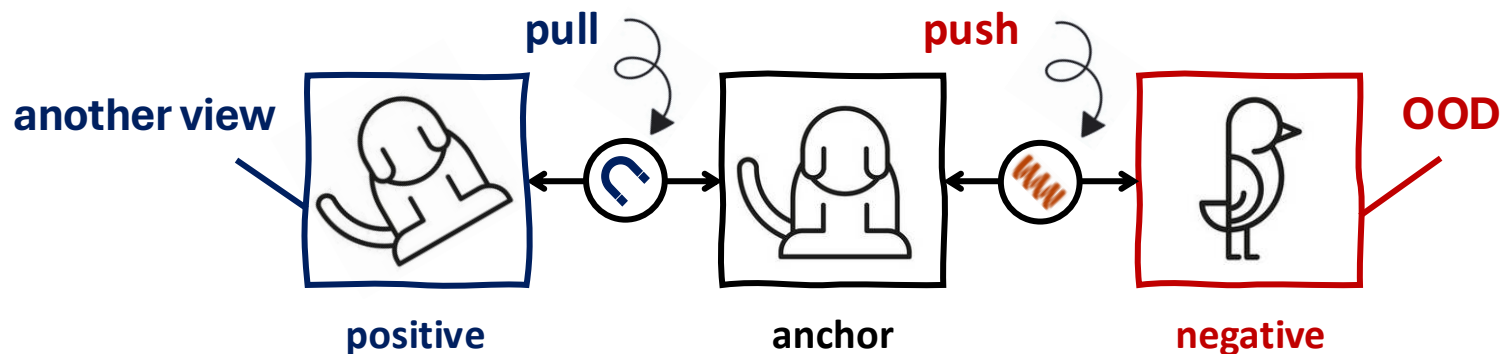
k-th ID cluster covariance, decorrelating features

❖ **Mahalanobis+**, a small OOD set is available before inference (**few-shot OOD**).

$$s_{\text{mah}+}(x; \theta) = \underbrace{(z(x) - \mu_{id})^\top \Sigma_{id}^{-1} (z(x) - \mu_{id})}_{\text{distance to overall ID centroid}} - \underbrace{(z(x) - \mu_{ood})^\top \Sigma_{ood}^{-1} (z(x) - \mu_{ood})}_{\text{distance to overall OOD centroid}}$$

# Representation: Contrastive Learning | CSI

**CSI [a]** further studies effective **negative transformation** to discriminate OOD samples.



**What if no OOD data are available?** Those transformations previously found **ineffective as positive transformations** can instead be used as negative transformations.

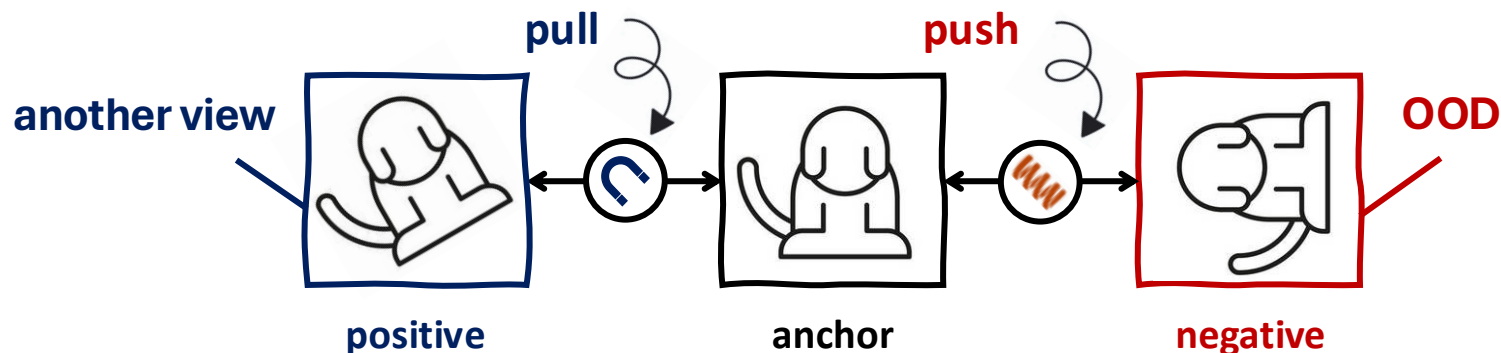


Figure from [a].



# Representation: Contrastive Learning | CSI

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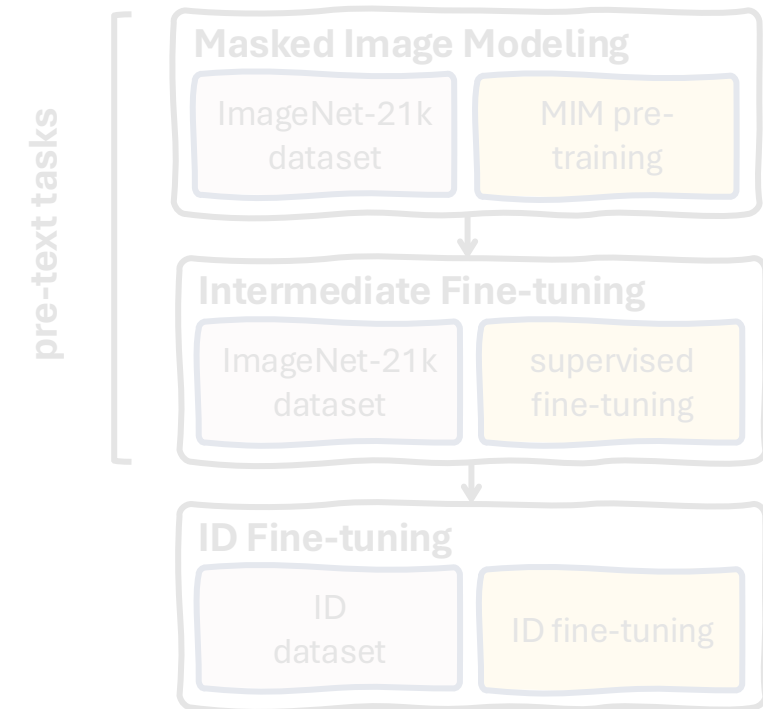
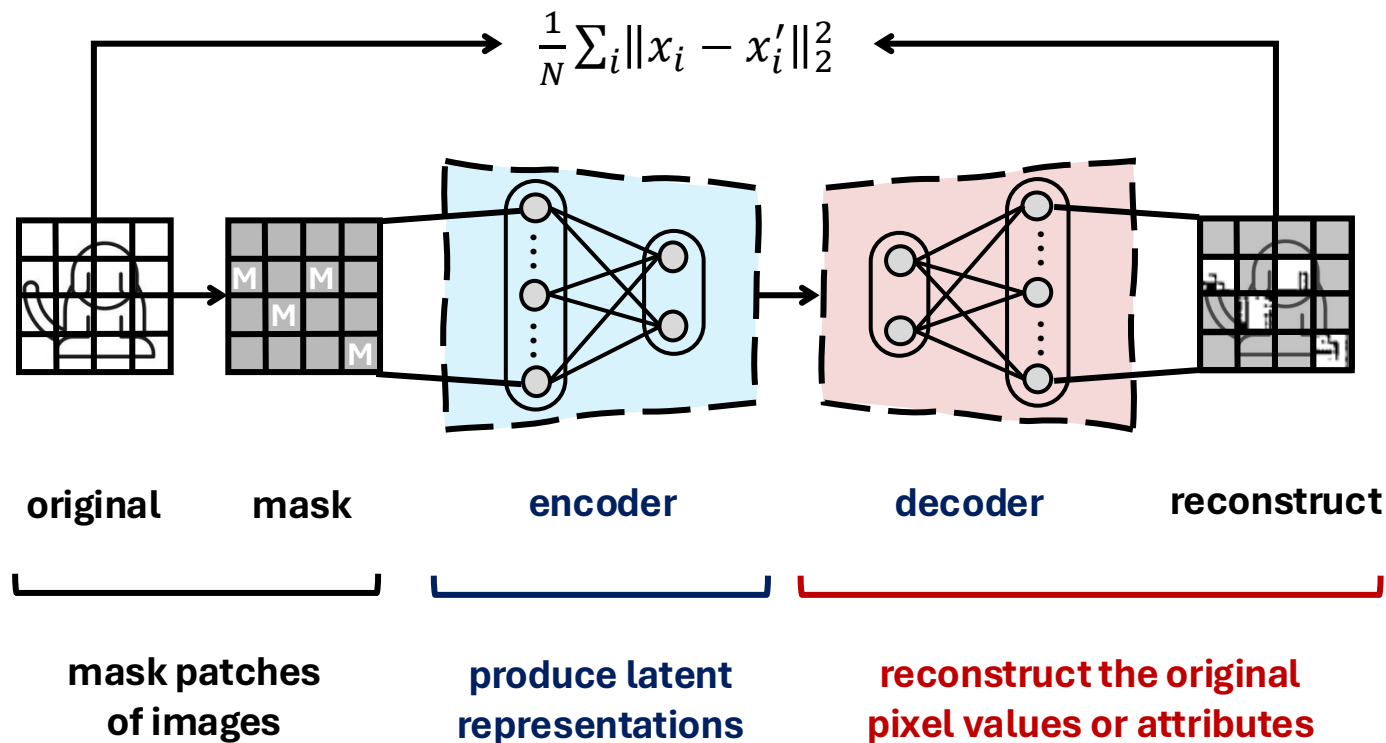
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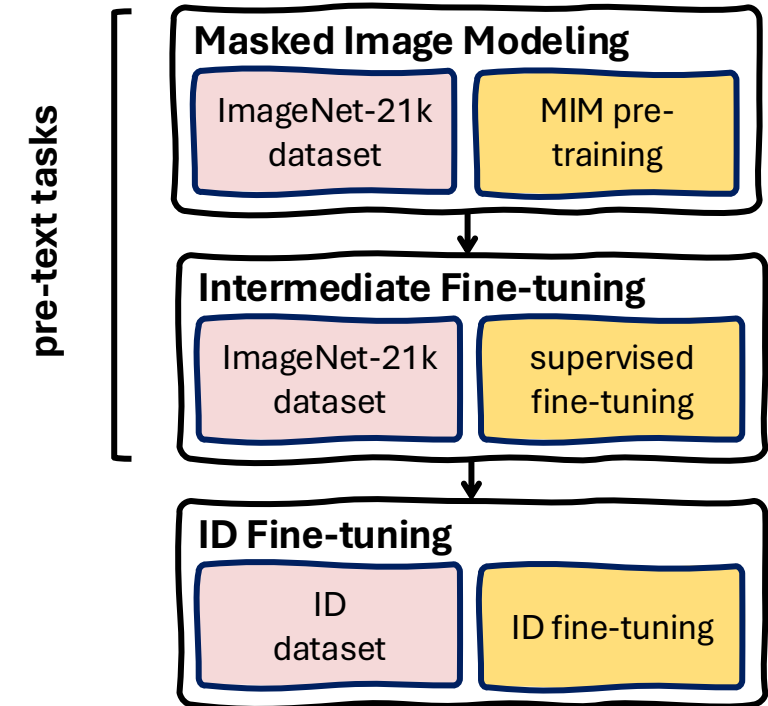
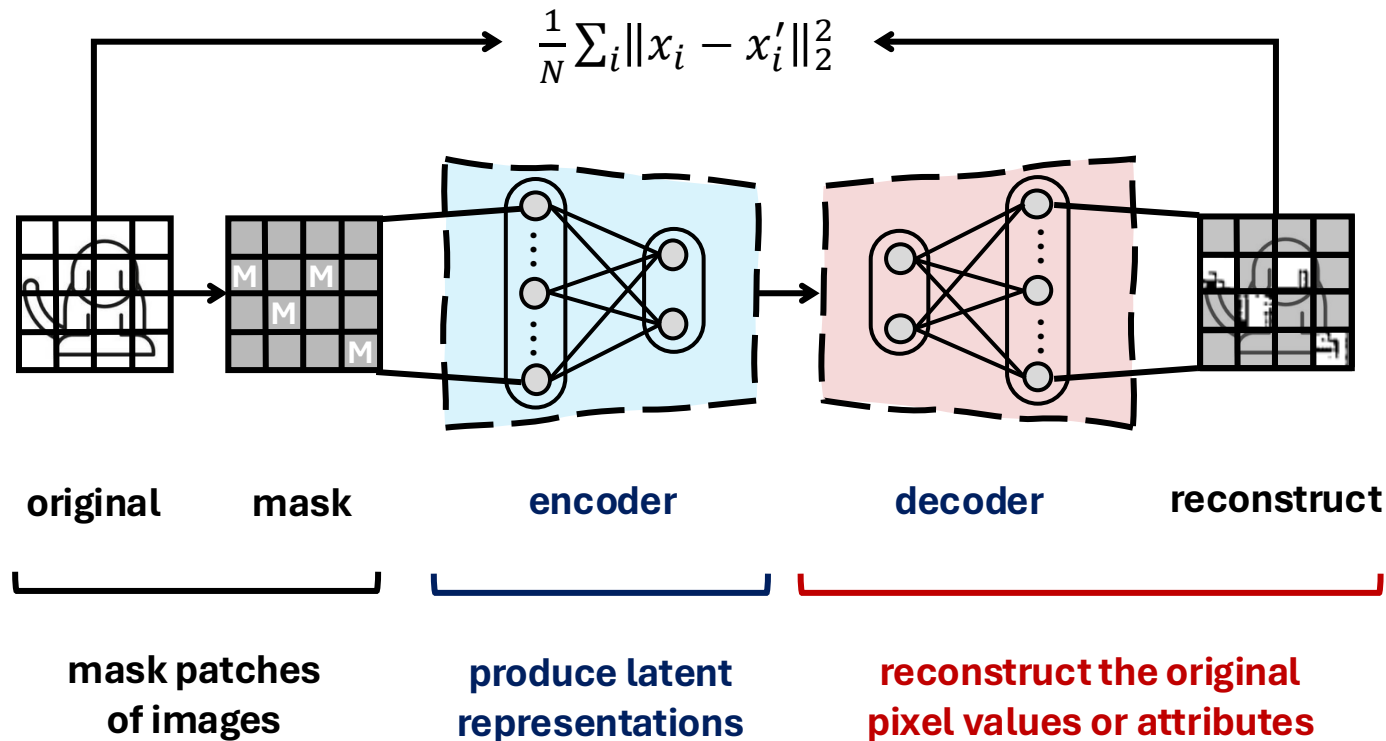
# Representation: Reconstruction Learning | MOOD

**MOOD [a]** forces the models to **encode finer-grained information needed to rebuild input**, which is far beyond semantics as in contrastive learning.



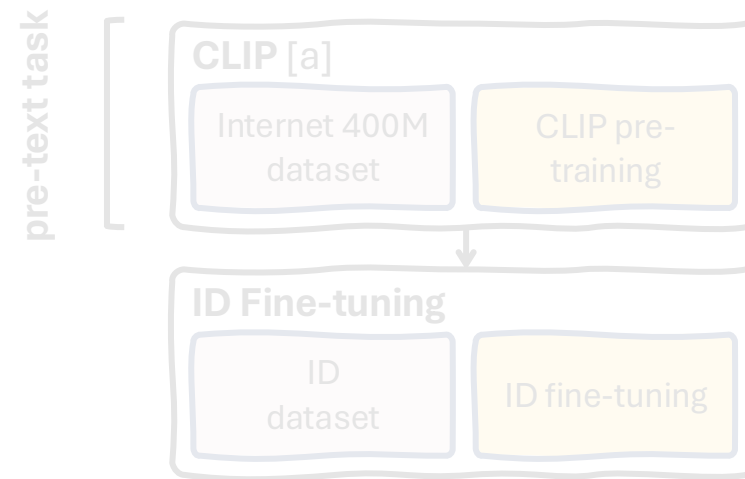
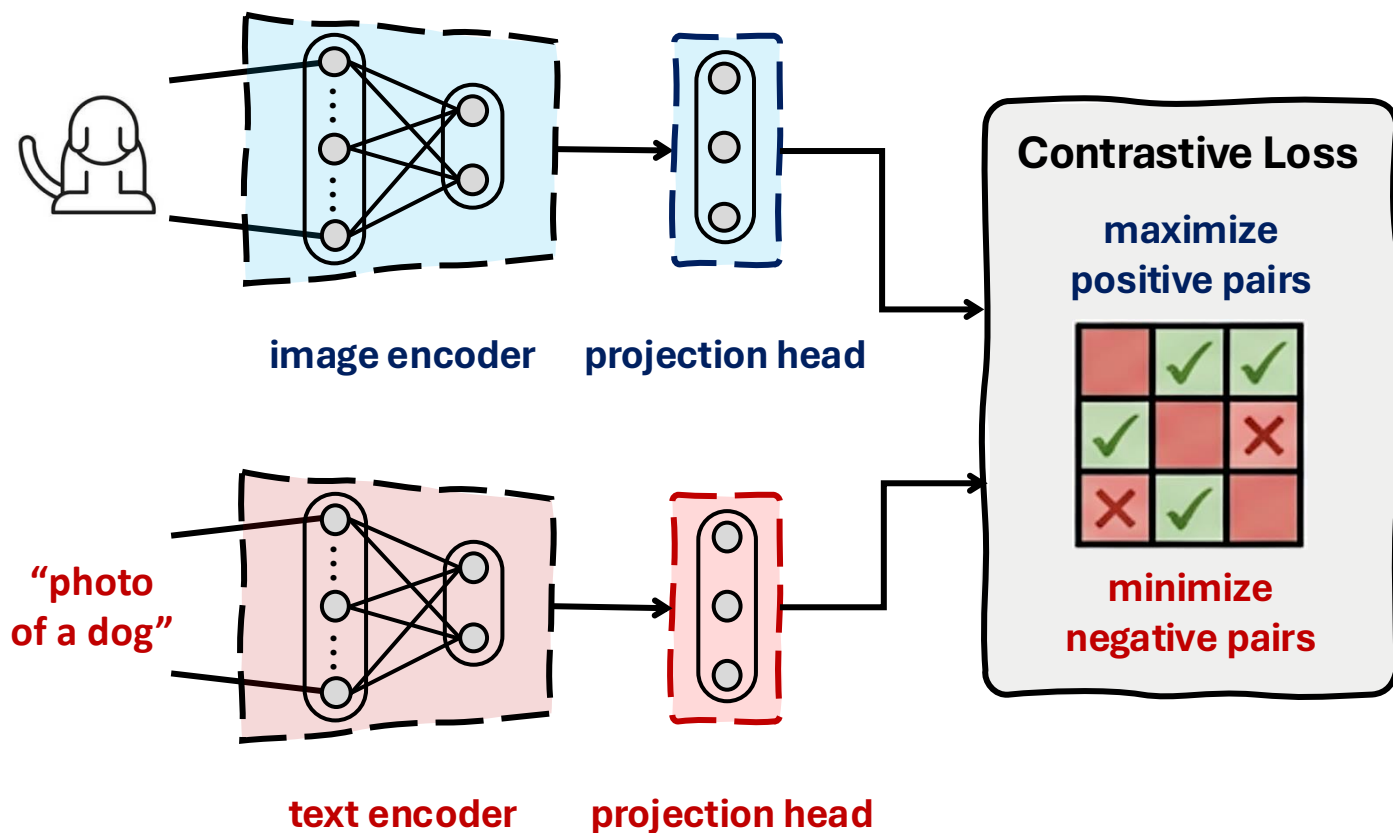
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# Representation: Pre-training | CLIP

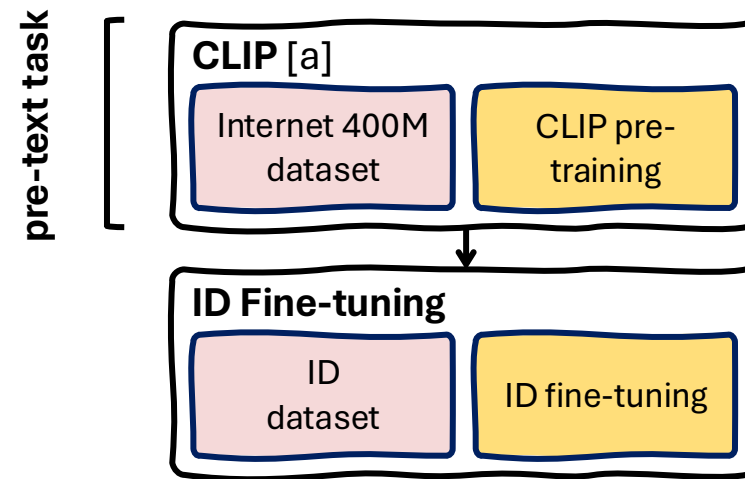
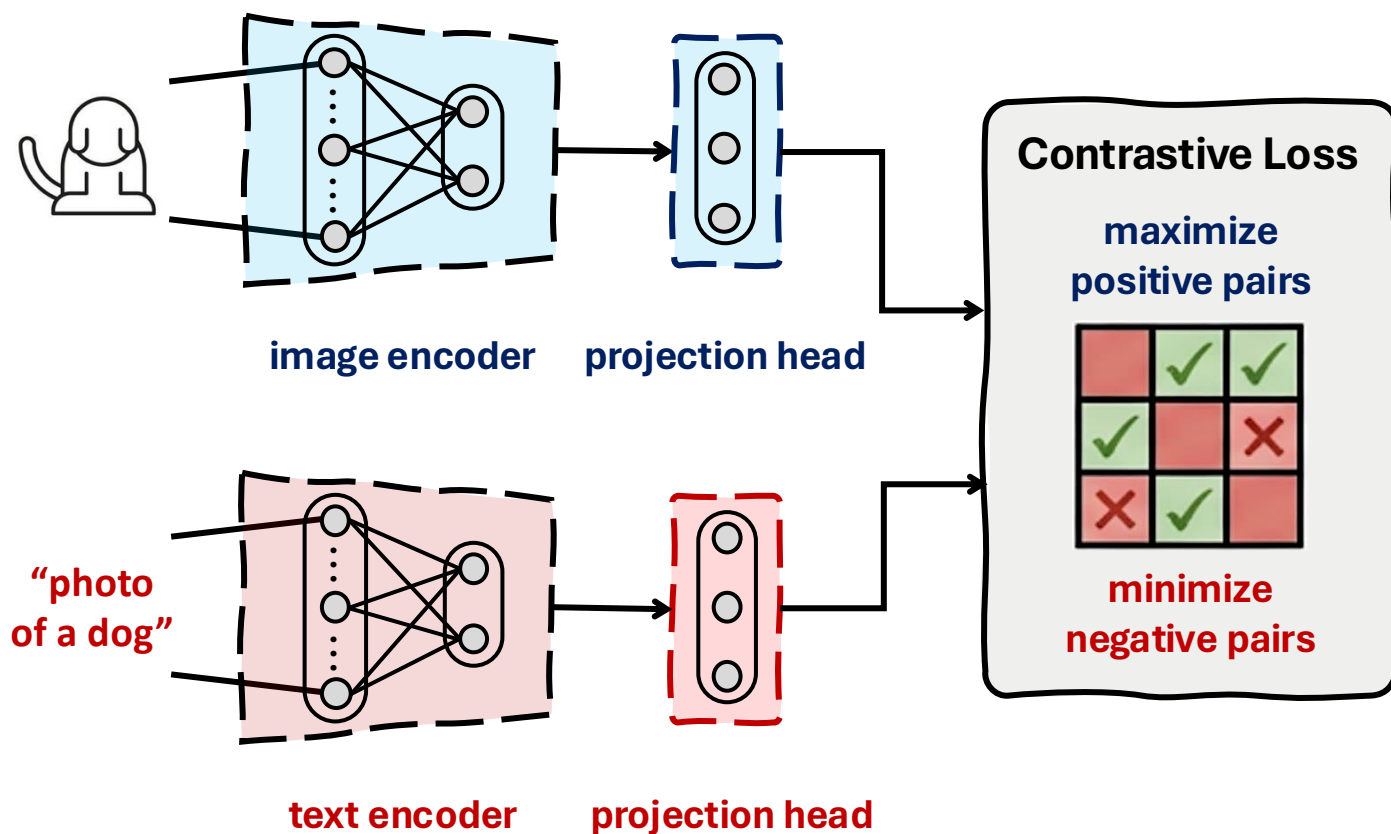
**Cross-modal alignment** reduces reliance on **the shortcut to learn classification**, encouraging more general, semantically meaningful features.



*Aligns images and text representations by maximizing similarity of correct pairs, meanwhile minimizing similarity of incorrect pairs.*

# Representation: Pre-training | CLIP

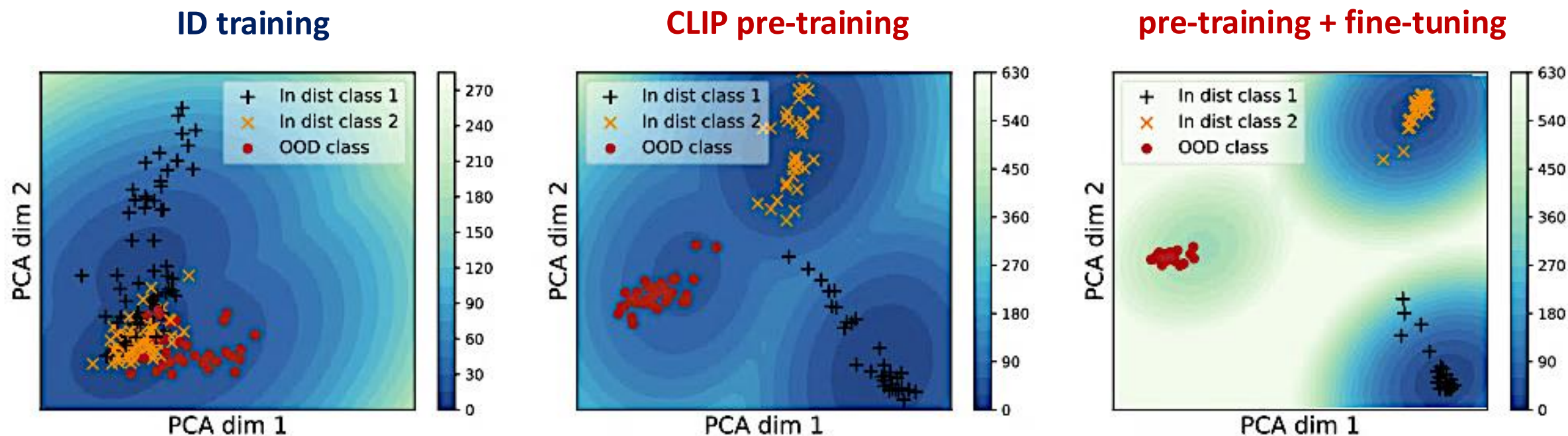
**Cross-modal alignment** reduces reliance on **the shortcut to learn classification**, encouraging more general, semantically meaningful features.



Aligns **images and text representations** by **maximizing similarity of correct pairs**, meanwhile **minimizing similarity of incorrect pairs**.

# Representation: Pre-training | CLIP

**Cross-modal alignment** reduces reliance on **the shortcut to learn classification**, encouraging more general, semantically meaningful features.



**lower intra-class variance**

**higher inter-class variance**

*Figures of dimensional-reduced embeddings [a].*

# Calibration: Overview

Let us review what we have learned from textbook [a].

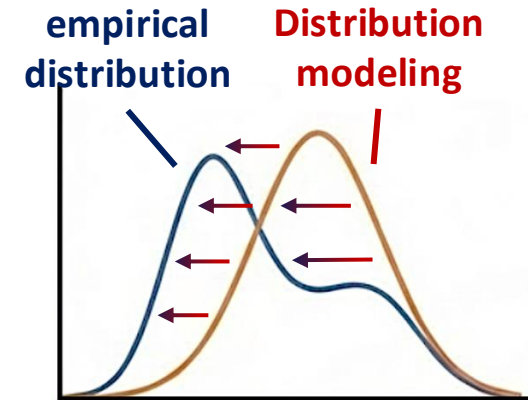
## ❖ Maximum Likelihood

MLE minimizes KL between the **distribution model** and **empirical data distribution**.

$$p^* = \underset{p \in \mathcal{P}}{\operatorname{argmax}} \sum_i \log p(x_i) = \underset{p \in \mathcal{P}}{\operatorname{argmin}} \operatorname{KL}(\hat{\mathcal{D}} || p)$$

**optimal solution**

**Condition 1.** proper distribution family      **Condition 2.** enough data



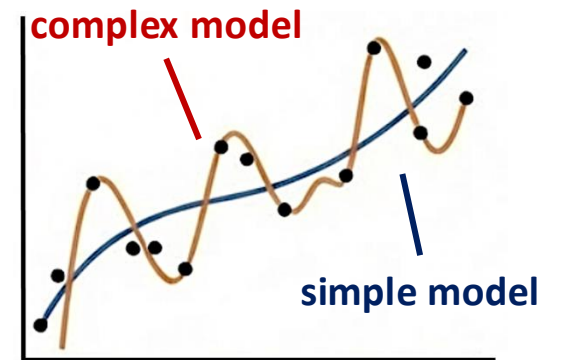
## ❖ Model Complexity

**Higher complexity increases estimation errors** for a fixed dataset size.

$$\left\| \mathbb{E}_{x \sim \mathcal{D}} [\Phi(x)] - \mathbb{E}_{x \sim \hat{\mathcal{D}}} [\Phi(x)] \right\|_{\infty}$$

**estimation error**

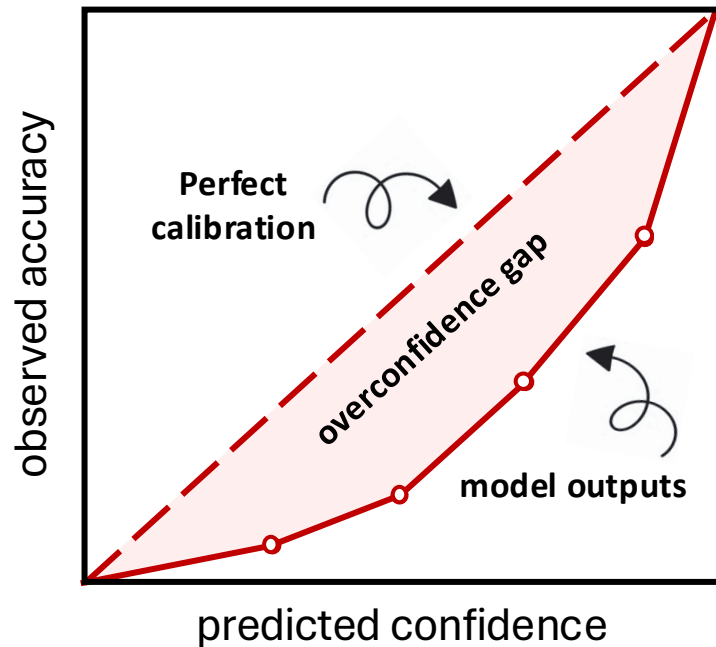
$$\leq \underbrace{2\mathfrak{R}_m(\mathcal{H})}_{\text{Condition 3. proper model complexity}} + r \sqrt{\frac{\log 2/\delta}{2m}}$$



# Calibration: Overview

**Conditions 1-3** may not be fully satisfied in practice, leading to calibration failures.

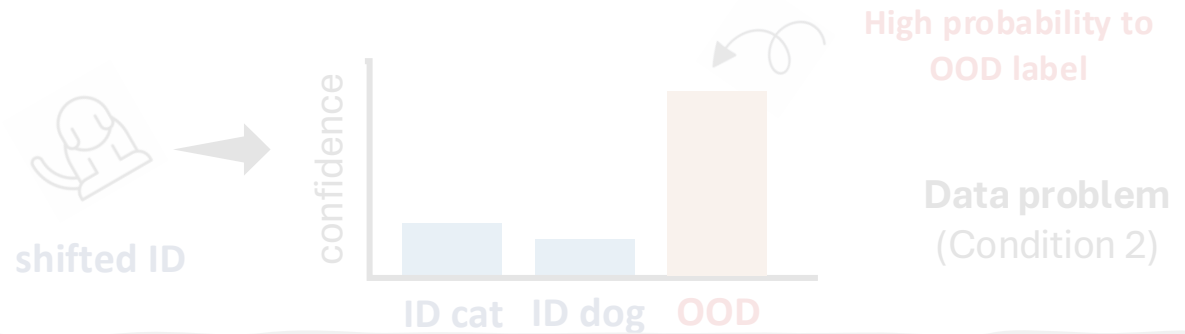
## The overconfidence problem



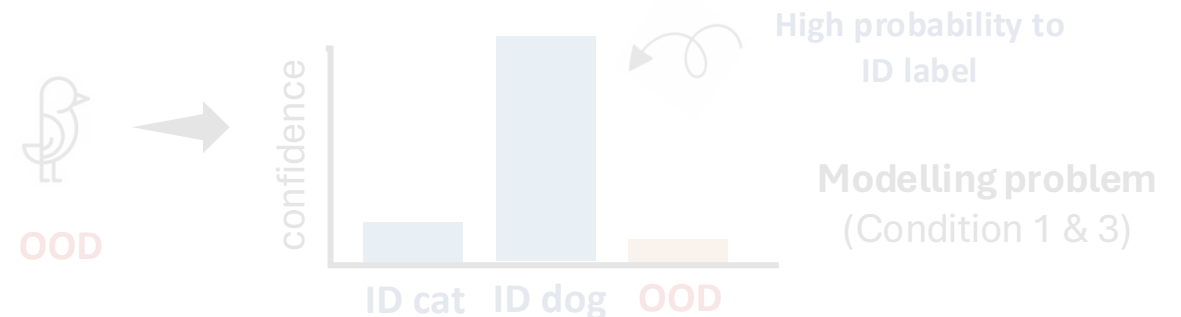
Overconfidence makes the model outputs **appear more reliable than they really are.**

## Consequences

### Mis-confidence on shifted ID



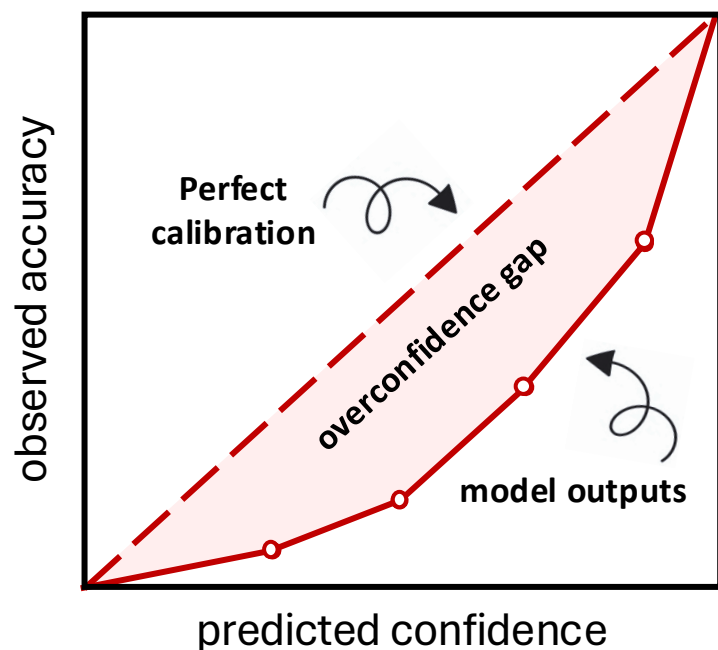
### Mis-confidence on OOD



# Calibration: Overview

**Conditions 1-3** may not be fully satisfied in practice, leading to calibration failures.

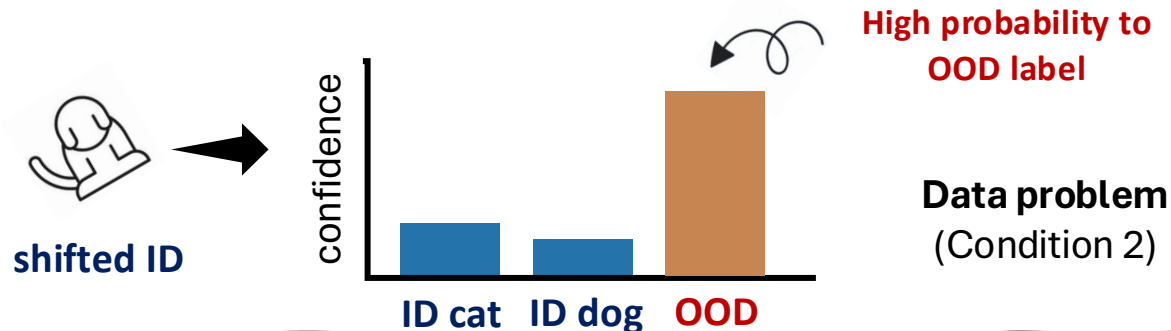
## The overconfidence problem



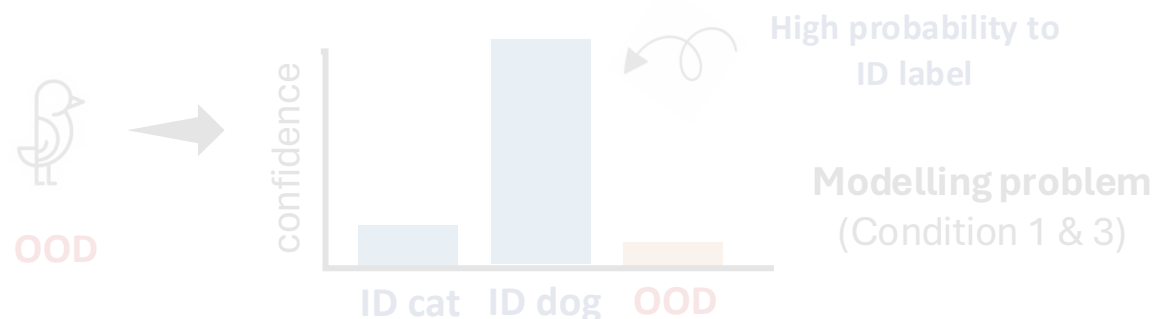
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## Consequences

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### Mis-confidence on OOD

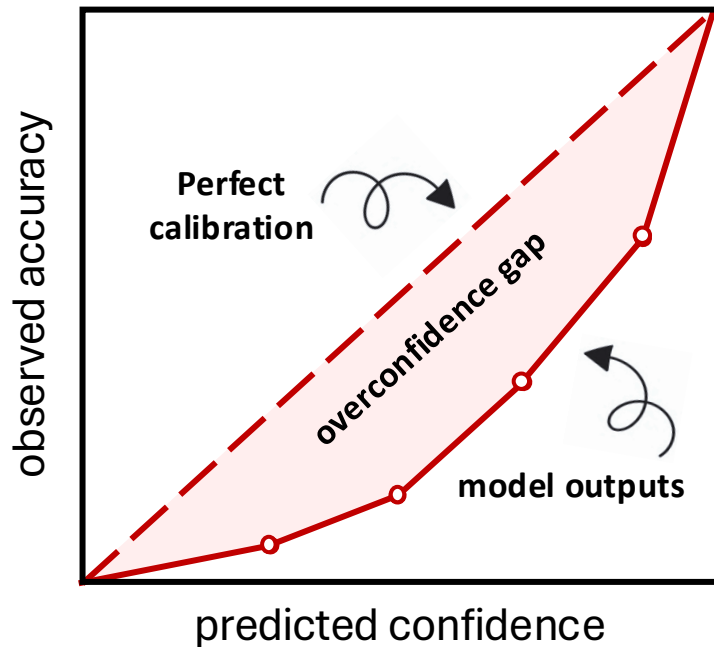




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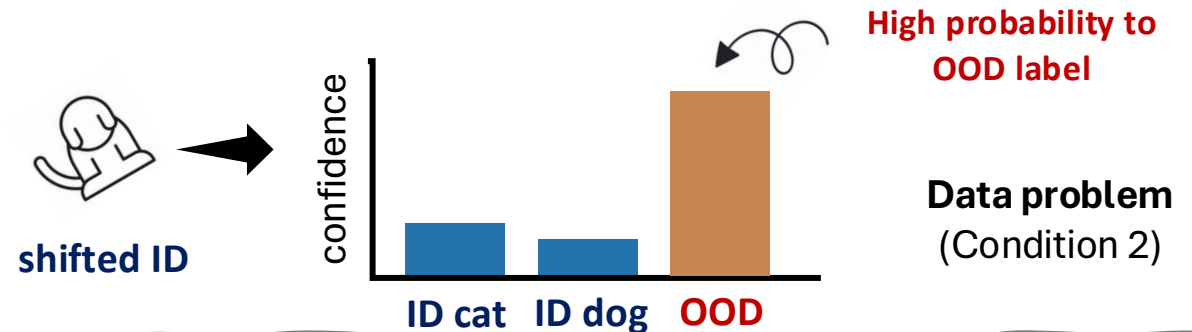
## The mis-confidence problem



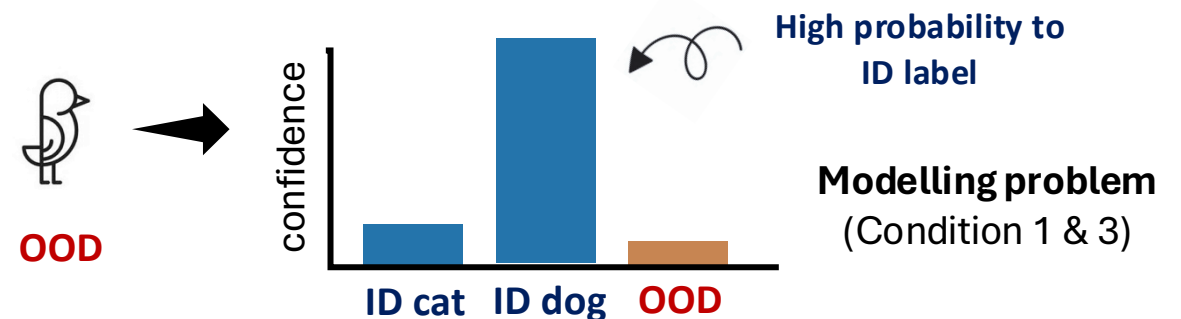
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### Mis-confidence on OOD



# Calibration: Overview

Conditions 1-3 may not be fully satisfied in practice, leading to calibration failures.

## The overconfidence problem

### ❖ Data-centric Solutions.

conventional calibration methods, such as label smoothing and mixup augmentations.

### ❖ Model-centric Solutions.

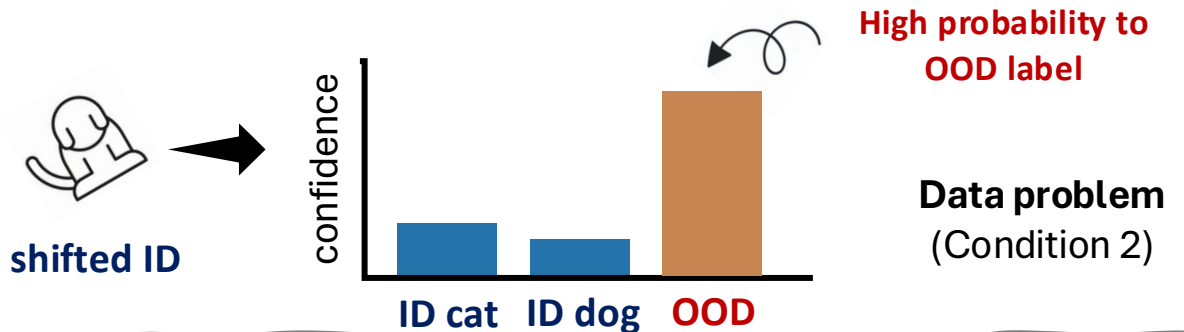
various regularization strategies.

### ❖ Distribution-centric Solutions.

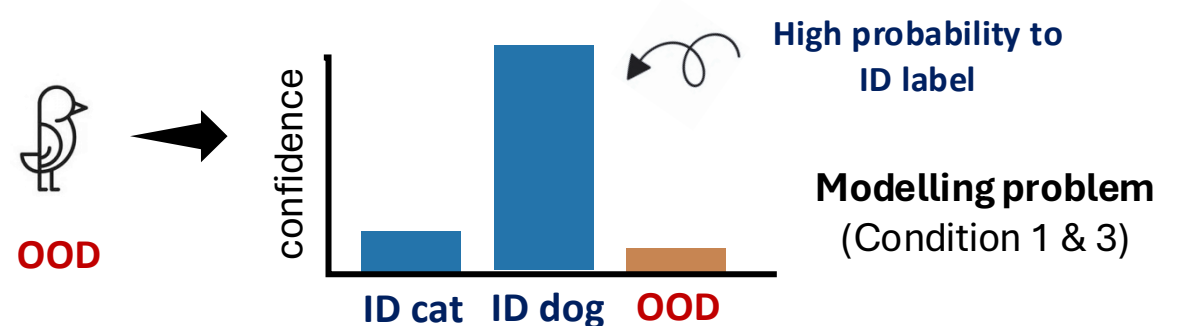
modelling beyond softmax.

## Consequences

### Mis-confidence on shifted ID



### Mis-confidence on OOD

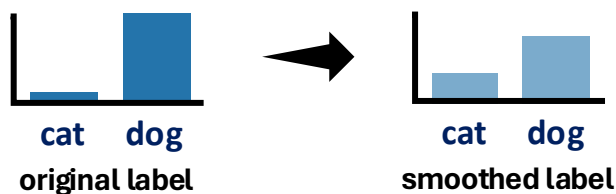


# Calibration: Data-centric Solutions

**Conventional calibration strategies** have been empirically shown to enhance OOD detection.

## ❖ Change Labels

label smoothing [a]

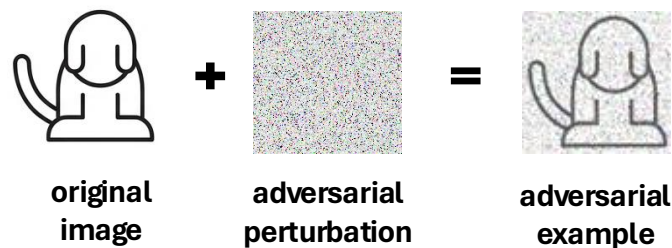


$$\tilde{y} = \underbrace{(1 - \alpha)}_{\text{original label}} y + \underbrace{\frac{\alpha}{c}}_{\text{uniform}}$$

replaces one-hot labels with **slightly softened targets**.

## ❖ Change Inputs

adversarial examples [b]



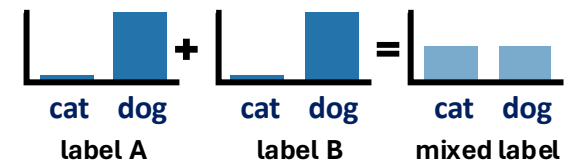
$$\max_{\delta \in \Delta} \mathcal{L}(x + \delta, y, \theta)$$

**fail model**      **perturb input**

perturbs inputs **imperceptibly** to fool the models and then train the model.

## ❖ Change Labels and Inputs

mixup [c]



$$\tilde{x} = \lambda x_A + (1 - \lambda) x_B$$

$$\tilde{y} = \lambda y_A + (1 - \lambda) y_B$$

creates virtual training examples that **interpolates** between data pairs.

[a] Li et al. Rethinking Out-of-distribution (OOD) Detection: Masked Image Modeling is All You Need. In CVPR, 2023.

[b] Botschen et al. Out-of-Distribution Detection with Adversarial Outlier Exposure. In CVPR, 2025.

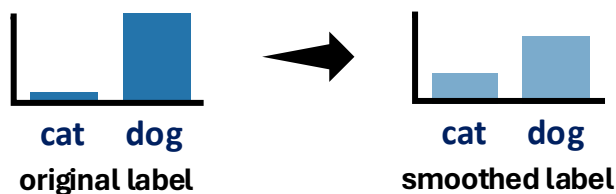
[c] Zhang et al. *mixup*: Beyond Empirical Risk Minimization. In ICLR, 2018.

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interpolation

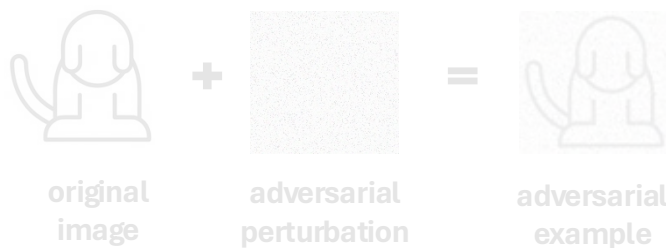
$$\tilde{y} = (1 - \alpha)y + \frac{\alpha}{c}$$

original label      uniform

replaces one-hot labels with **slightly softened targets**.

## ❖ Change Inputs

adversarial examples [b]



**discourages extreme 0/1 probabilities** and thus reduces overconfidence and improves calibrations.

perturbs inputs **imperceptibly** to fool the models and then train the model.

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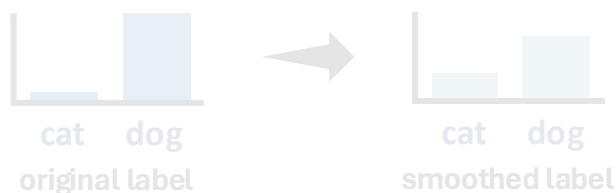
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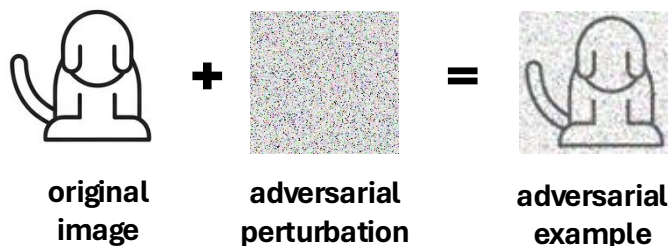
$$\tilde{y} = (1 - \alpha)y + \frac{\alpha}{c}$$

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## ❖ Change Inputs

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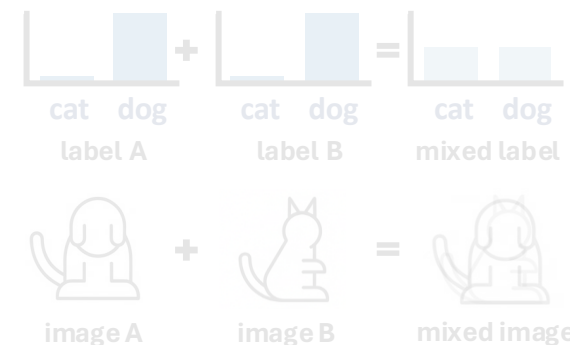
$$\max_{\delta \in \Delta} \mathcal{L}(x + \delta, y, \theta)$$

fail model      perturb input

perturbs inputs **imperceptibly** to fool the models and then train the model.

## ❖ Change Labels and Inputs

mixup [c]



encourages the model to **spread probability mass more cautiously** around decision boundaries.

creates virtual training examples that **interpolates** between data pairs.

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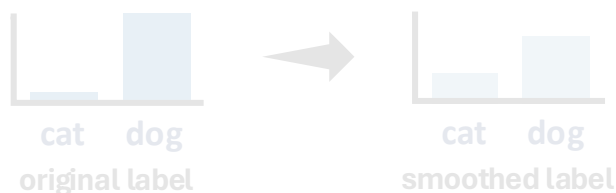
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adversarial examples [b]

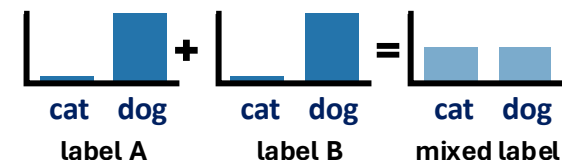


**interpolates empirical distribution** into a continuous one via a convex combinations of data and labels.

perturbs inputs **imperceptibly** to fool the models and then train the model.

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# Calibration: Model-centric Solutions

## Comparison between MSP and Free Energy

softmax prediction  $\rightarrow P(Y = y|X) = \frac{\exp\{h_y(X)\}}{\sum_{y'} \exp\{h_{y'}(X)\}}$


$\exp\{h_y(X)\} \propto P(Y, X)$

$\sum_{y'} \exp\{h_{y'}(X)\} \propto P(X), \text{ free energy}$

Free energy, which models  $P(X)$ , is more reliable for OOD detection than maximum softmax prediction. **Why?**

## A Bayesian View [a].

Considering the following two learning goals, which one is more suitable for OOD detection?


$$\mathbb{P}(y \neq \boxed{f(x)} | r(x) = 0) + c_0 \mathbb{P}(\boxed{r(x)} = 1)$$

classifier

rejector

Risk of accepting data that are misclassified

Risk of rejecting data with cost  $c_0$


$$\alpha \mathbb{P}_{\text{in}}(\boxed{r(x)} = 1) + \beta \mathbb{P}_{\text{ood}}(\boxed{r(x)} = 0)$$

ID distribution

OOD distribution

Risk of rejecting ID

Risk of accepting OOD

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$\propto P(Y, X)$  (blue arrow pointing to  $\exp\{h_y(X)\}$ )

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**ID distribution**

**OOD distribution**

Risk of rejecting ID

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Risk of accepting data that are misclassified

Risk of rejecting data with cost  $c_0$


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**classifier** (under  $f(x)$ )      **rejector** (under  $r(x)$ )

Risk of accepting data that are misclassified      Risk of rejecting data with cost  $c_0$


$$\alpha \mathbb{P}_{\text{in}}(r(x) = 1) + \beta \mathbb{P}_{\text{ood}}(r(x) = 0)$$

**ID distribution** (under  $\mathbb{P}_{\text{in}}$ )      **OOD distribution** (under  $\mathbb{P}_{\text{ood}}$ )

Risk of rejecting ID      Risk of accepting OOD

# Calibration: Model-centric Solutions

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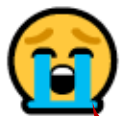
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used for **abstention-aware classification**.

$$r^*(x) = \llbracket \max_y \mathbb{P}(y|x) < 1 - c_0 \rrbracket$$

MSP



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used for **OOD detection**.

$$r^*(x) = \llbracket \frac{\mathbb{P}_{\text{in}}(x)}{\mathbb{P}_{\text{ood}}(x)} < \frac{\beta}{\alpha} \rrbracket \approx \llbracket \frac{\mathbb{P}_{\text{in}}(x)}{\tau} < \frac{\beta}{\alpha} \rrbracket$$

Free Energy

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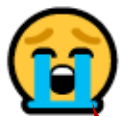
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Free energy, which models  $P(X)$ , is more reliable for OOD detection than maximum softmax prediction. However,  $P(X)$  is also not well-calibrated. So, **how to calibrate**  $P(X)$ ?

Calibrating  $P(Y)$  (low dimension) offers a sufficient condition of calibrating  $P(X)$  (high dimension).

### Mathematical

$$P(Y = y) = \frac{1}{Z} \int \exp\{h_y(x)\} dvx,$$

where  $Z = \sum_y \int \exp\{h_y(x)\} dvx$

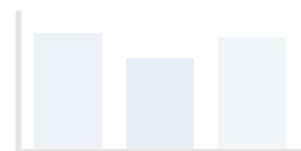
### Empirical

$$\hat{P}(Y = y) = n_y / N$$

Monte Carlo



Count



### Hypothesis Test

$$H_0: P(Y = y) = \hat{P}(Y = y)$$

versus

$$H_1: P(Y = y) \neq \hat{P}(Y = y)$$

# Calibration: Model-centric Solutions | DCR [a]

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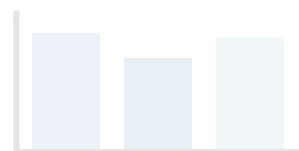
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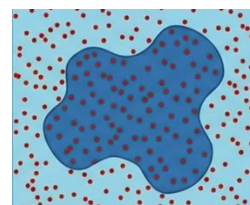
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Monte Carlo



### Hypothesis Test

$$H_0: P(Y = y) = \hat{P}(Y = y)$$

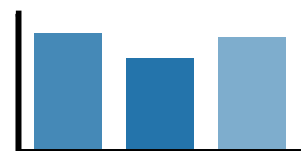
versus

$$H_1: P(Y = y) \neq \hat{P}(Y = y)$$

### Empirical

$$\hat{P}(Y = y) = n_y / N$$

Count





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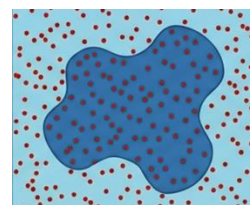
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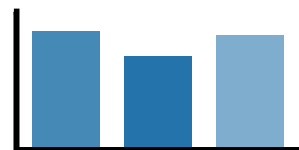
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$$H_1: P(Y = y) \neq \hat{P}(Y = y)$$

### Empirical

$$\hat{P}(Y = y) = n_y / N$$

Count



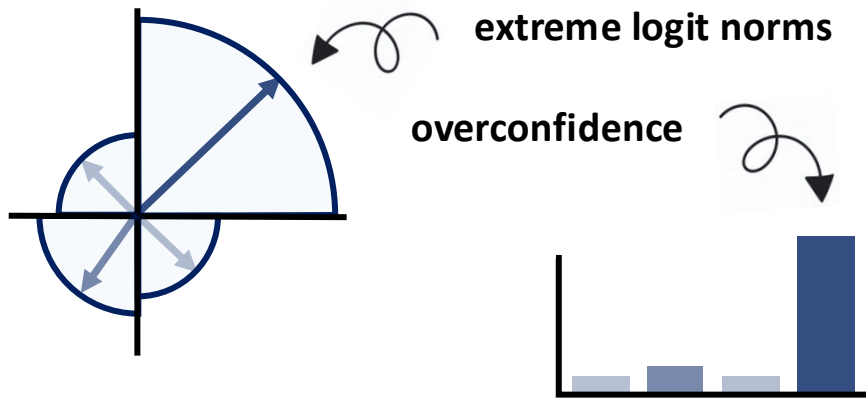
# Calibration: Distribution-centric Solutions | LogitNorm

We seek **distribution modelling beyond softmax** that are more proper for OOD detection.



## Why is softmax not sufficient?

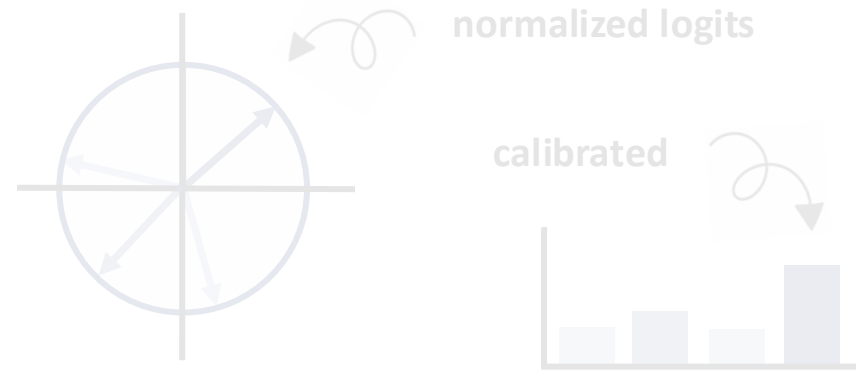
- ❖ **Scaling logits** increases confidence and decreases the risk.
- ❖ **Training pushes near-zero risk**, driving large confidence and inflating logits.



## Logit Norm [a]

- ❖ **normalizes logit vector** to a constant norm during training, following

$$-\log \frac{\exp\{f_y/(\tau\|f_y\|)\}}{\sum_i \exp\{f_i/(\tau\|f_i\|)\}} \quad \text{normalization}$$



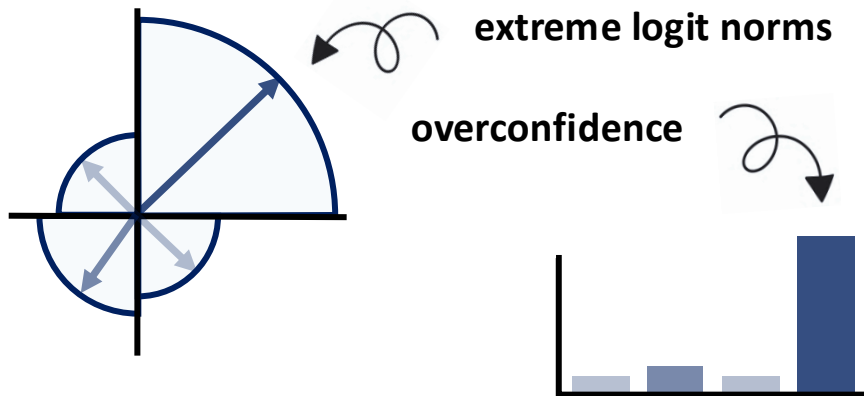
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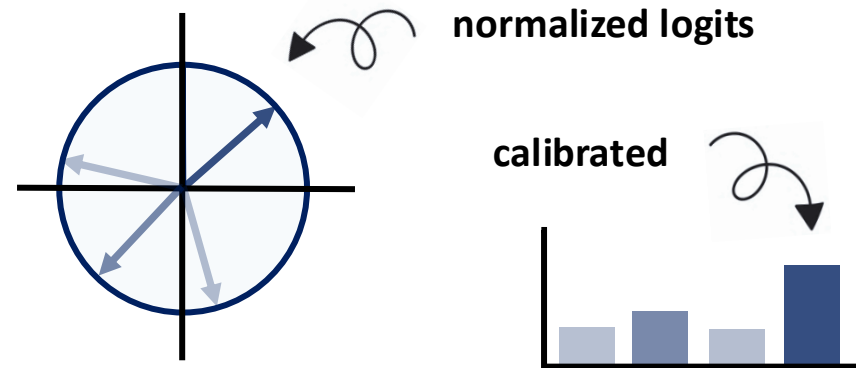


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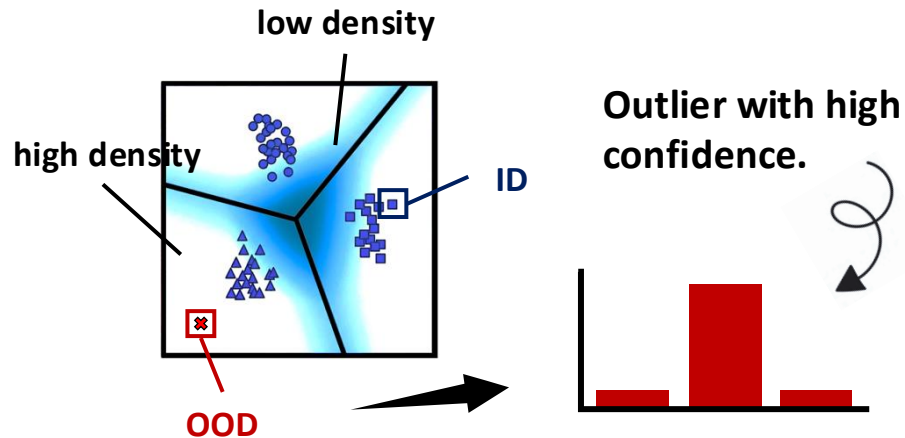
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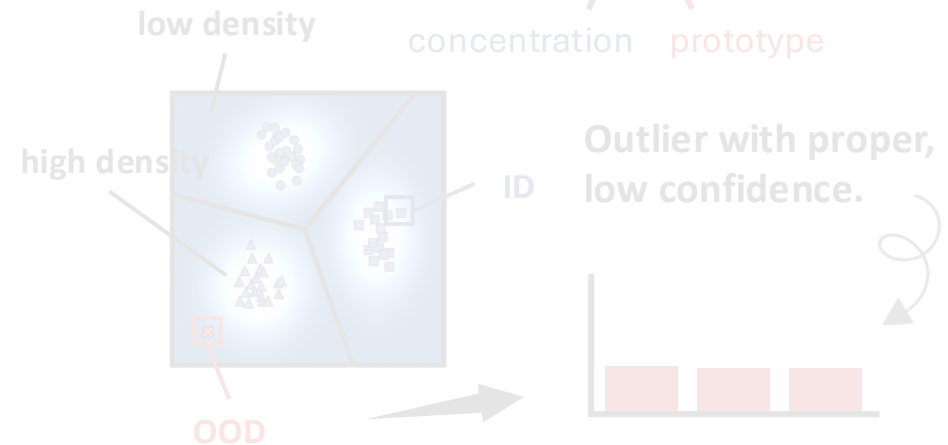
- ❖ The separation is **piecewise linear** in feature space.
- ❖ Regions that **contain no training points** can still be assigned confidently.



## SIREN [a]

- ❖ The density depends on **similarity to prototypes** and vMF likelihood, creating a **curved, cluster-shaped** regions.

$$P(Y = c|r) \propto \exp\{\kappa_c \mu_c^T r\}$$



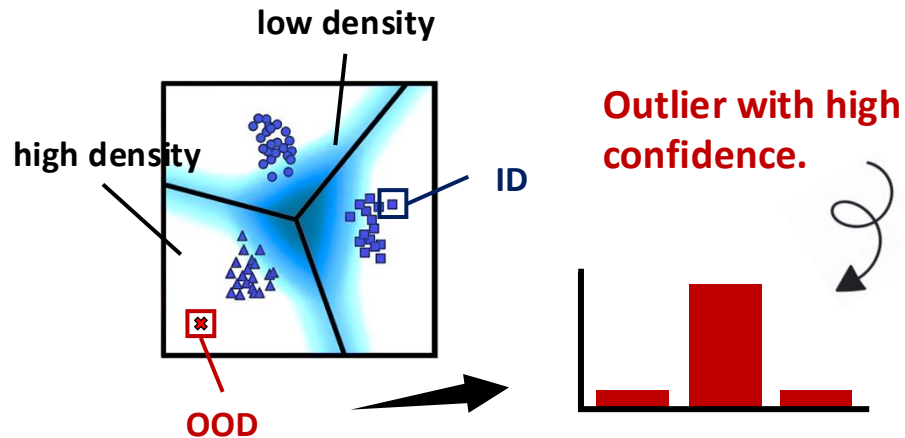
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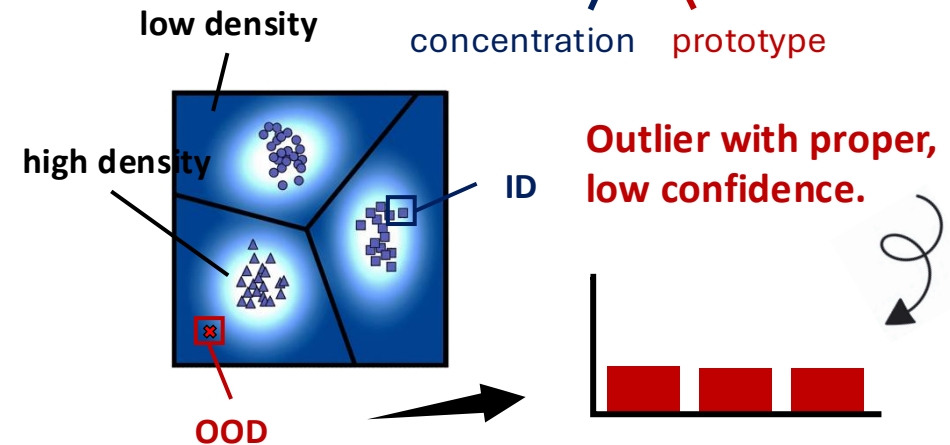


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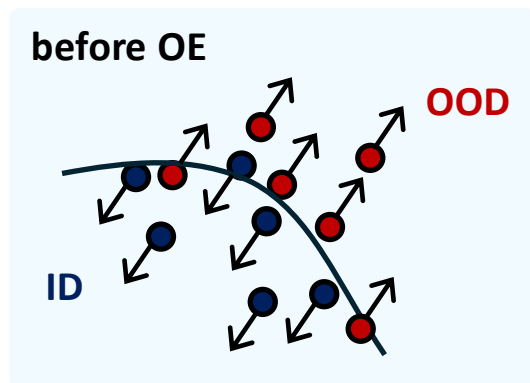
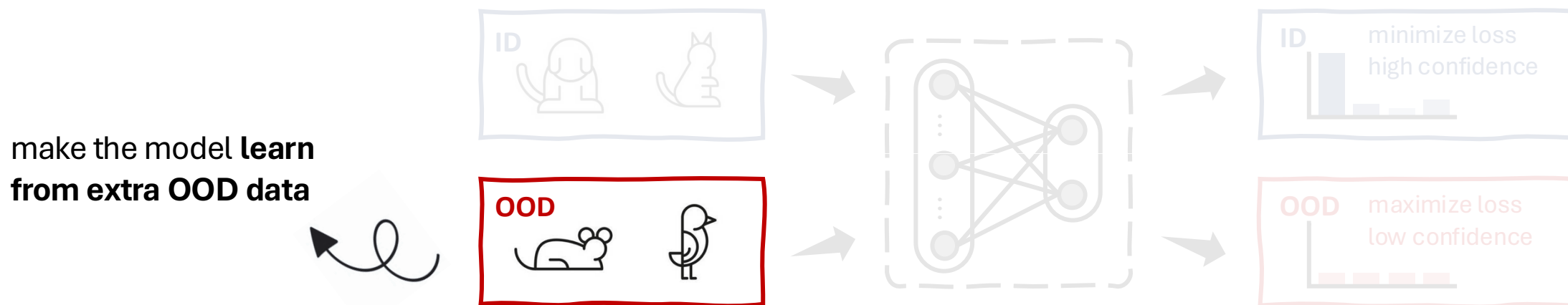
$$P(Y = c|r) \propto \exp\{\kappa_c \mu_c^T r\}$$

concentration      prototype



# Outlier Exposure: Overview

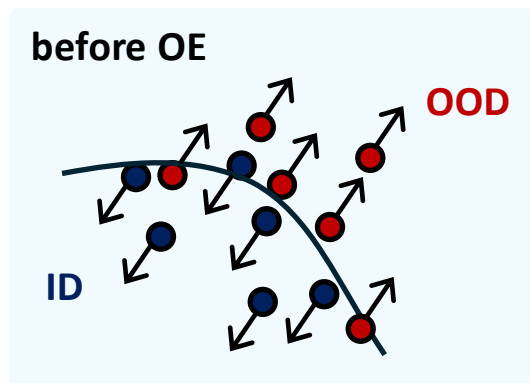
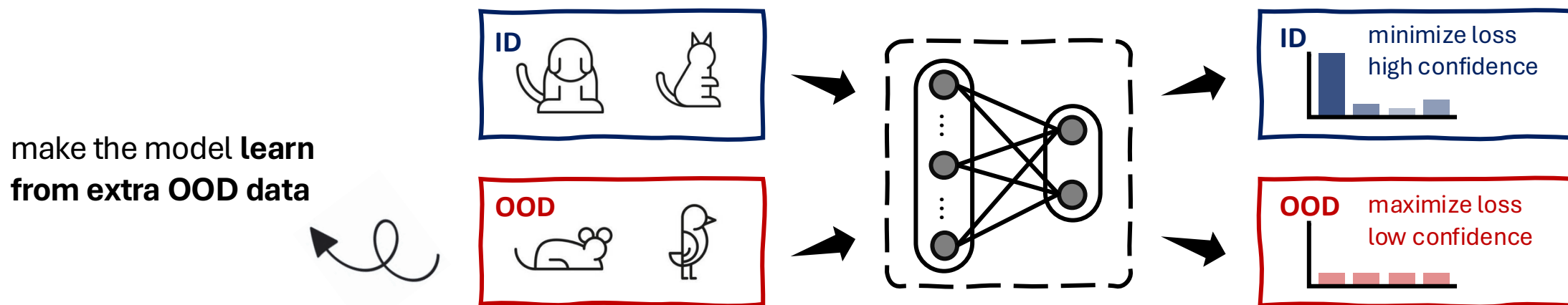
Outlier exposure [a] takes OOD detection as **an additional binary classification task**, enabling models to directly learn to distinguish ID from OOD patterns.



Decision boundary (measured by OOD scoring) **better separate ID and OOD.**

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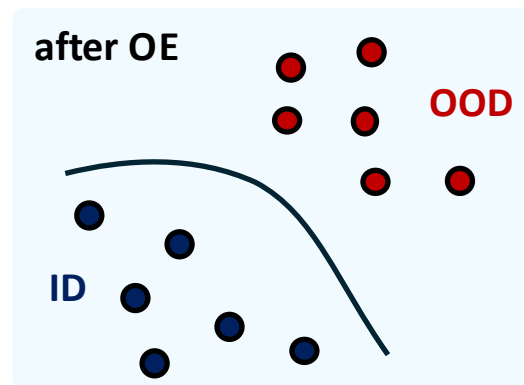
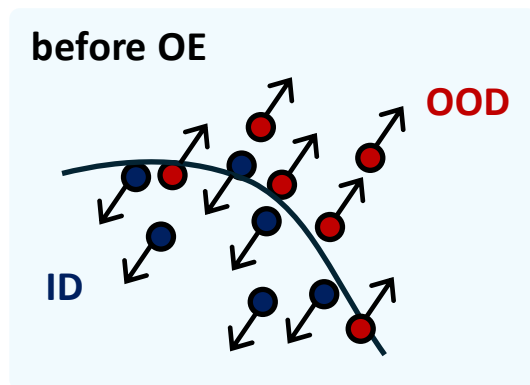
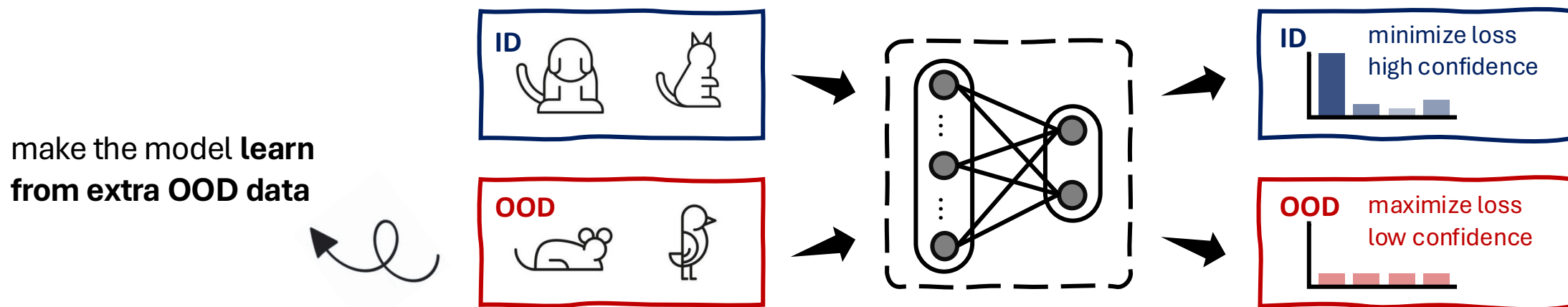


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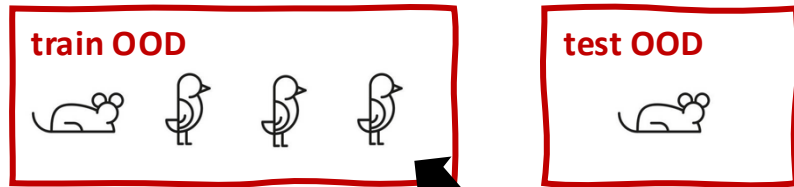
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# Outlier Exposure: Challenges

Training and test OOD can differ, and **such distribution gap degrades OOD performance** [a].

## ❖ Case 1.

### Redundant Data



Training data include test data,  
but many samples are uninformative.



How to address Case 1?

Data sampling / reweighting to remove those uninformative samples.

## ❖ Case 2.

### Scarce Data



Training data contain no test data.



How to address Case 2?

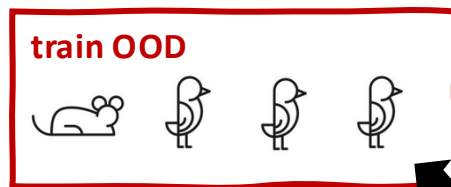
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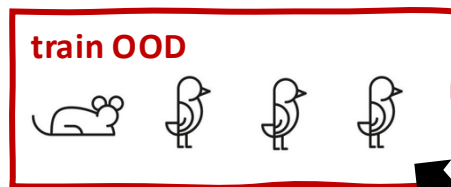
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# Outlier Exposure: Improvements

Data sampling learns from fewer examples and data augmentation from more.

## ❖ Case 1.

### Redundant Data → Data Sampling



learn from less data



$$\mathbb{E}_{x \sim \mathcal{D}_{ood}} [w_x \ell_{ood}(x; \theta)]$$

reweighting

learn from resampled data

## ❖ Case 2.

### Scarce Data → Data Augmentation



learn from extra data



$$\mathbb{E}_{x \sim \mathcal{D}_{ood}} [\ell_{ood}(x'; \theta)], x' = \Phi(x)$$

augmentation

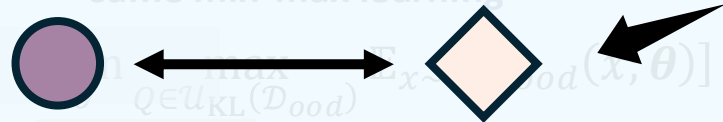
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# Outlier Exposure: Improvements

Data sampling and augmentation **both improve distribution robustness** [a].

## ❖ Case 1.

original distribution gap,  $\min_{\theta} \mathbb{E}_{x \sim \mathcal{D}}[\ell(x; \theta)]$



original distribution      real distribution

different distribution set

The distribution gap degrade model performance.

$$w_x = \frac{\exp\{\ell_{ood}(x; \theta) / \eta\}}{\int \exp\{\ell_{ood}(x; \theta) / \eta\} dx}$$

up-weight OOD with larger loss

## ❖ Case 2.

Scarce Data → Data Augmentation

same min-max learning

$$\min_{\theta} \max_{Q \in \mathcal{U}_W(\mathcal{D}_{ood})} \mathbb{E}_{x \sim Q}[\ell_{ood}(x; \theta)]$$

$$\mathcal{U}_W(\mathcal{D}_{ood}) := \{Q: \text{Wass}(Q, \mathcal{D}_{ood}) \leq \rho\}$$

different distribution set

$$\min_{\theta} \mathbb{E}_{x \sim \mathcal{D}_{ood}}[\ell_{ood}(x'; \theta)]$$

$$x' = \sup_{x'} \{\ell_{ood}(x'; \theta) - \gamma \|x', x\|_2\}$$

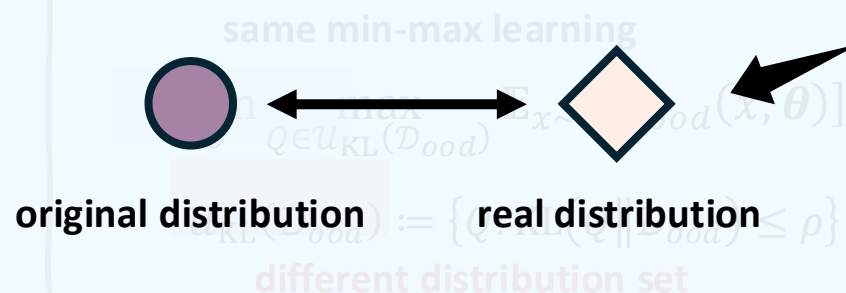
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Scarce Data  $\rightarrow$  Data Augmentation

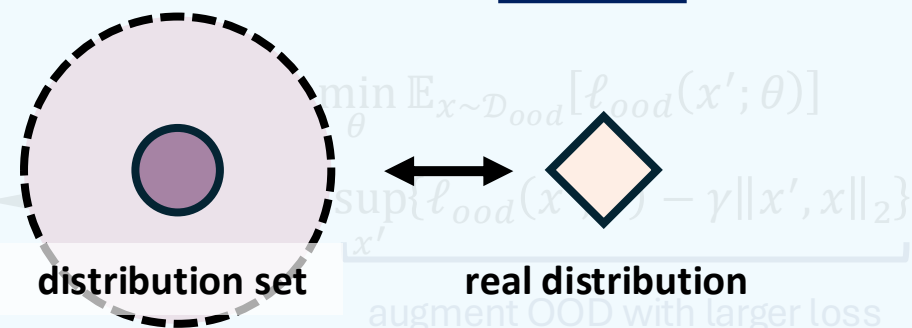
same min-max learning

The model performs well for all distribution within the set.

$$\mathcal{U}_W(\mathcal{D}_{\text{ood}}) := \{Q: \text{Wass}(Q, \mathcal{D}_{\text{ood}}) \leq \rho\}$$

different distribution set

augmented distribution gap,  $\min_{\theta} \max_{Q \in \mathcal{U}(\mathcal{D})} \mathbb{E}_{x \sim Q}[\ell(x; \theta)]$





# Outlier Exposure: Improvements

Data sampling and augmentation **both improve distribution robustness** [a].

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### Redundant Data → Data Sampling

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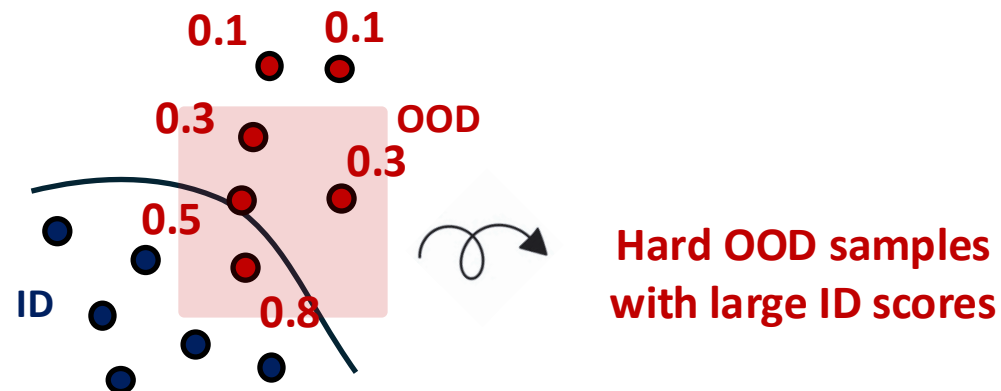
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# Outlier Exposure: Improvements | Sampling

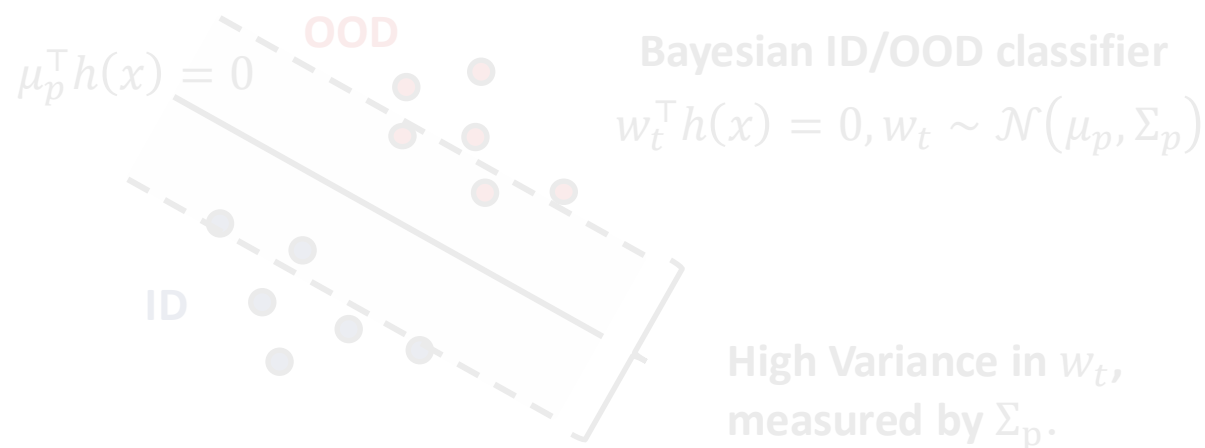
## ATOM [a]

- ❖ **Hard OOD data** should be sampled more during training.
- ❖ **Large ID scores on OOD samples** indicates hard OOD data.



## POEM [b]

- ❖ OOD data **near the ID/OOD boundary** should be sampled more.
- ❖ Bayesian classifiers capture parameter uncertainty, **improving exploration**.



[a] Chen et al. ATOM: Robustifying Out-of-distribution Detection Using Outlier Mining. In ECML PKDD, 2021.

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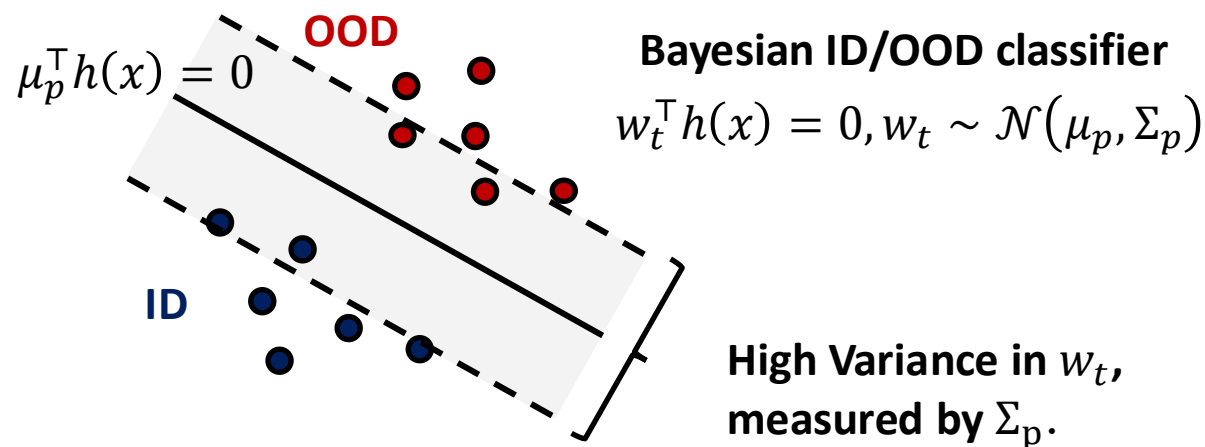
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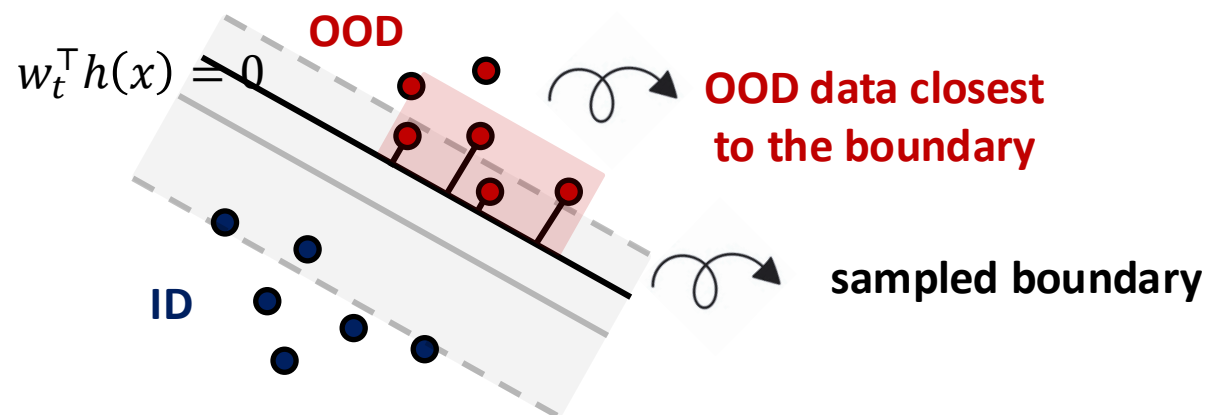
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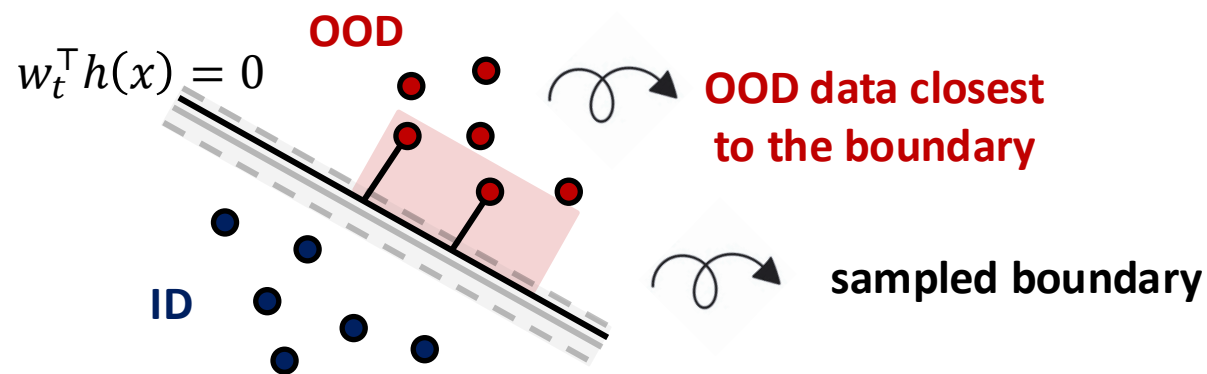
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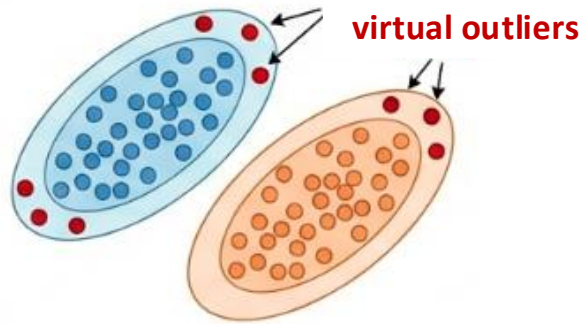
# Outlier Exposure: Improvements | Augmentation

Augmentation can be conducted in either **embedding space** or input space.

## ❖ Synthesis

VOS [a]

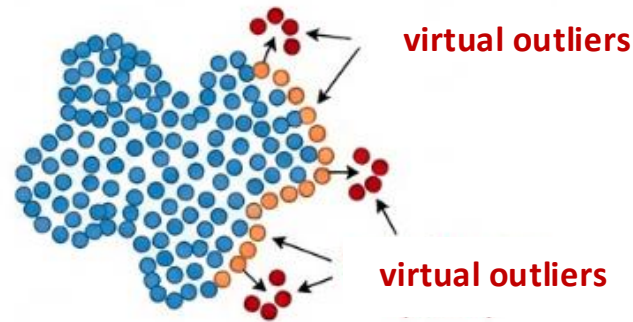
class-conditional **Gaussian modelling**



- ❖ estimate  $\mu$  and  $\Sigma$  per class.
- ❖ sample  $v \sim \mathcal{N}(\mu_c, \Sigma)$ .
- ❖ keep only low likelihood samples with  $p(v) < \epsilon$ .

NPOS [b]

**Non-parametric K-NN modelling**



- ❖ Identify boundary ID with high k-NN.
- ❖ sample locally around boundary  $v \sim \mathcal{N}(h_{bdy}, \sigma^2 I)$ .
- ❖ keep candidates with large k-NN.

## ❖ Augmentation

DAL [c]

worst-case **OOD augmentation**



- ❖ sample original OOD data.
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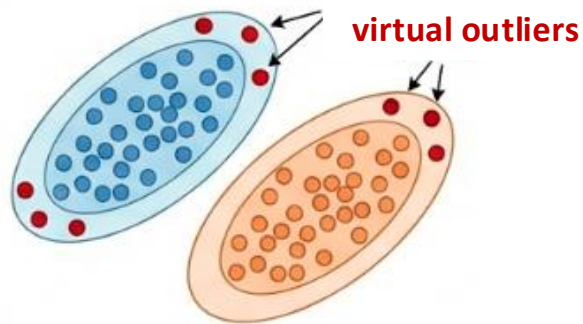
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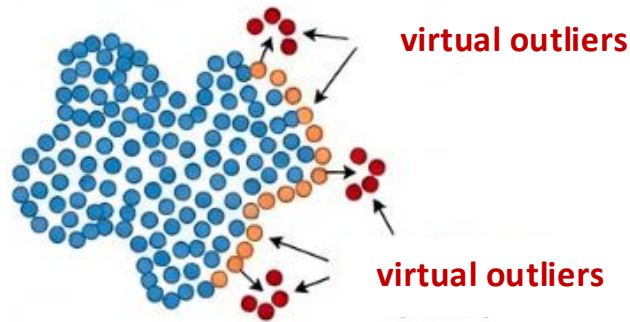
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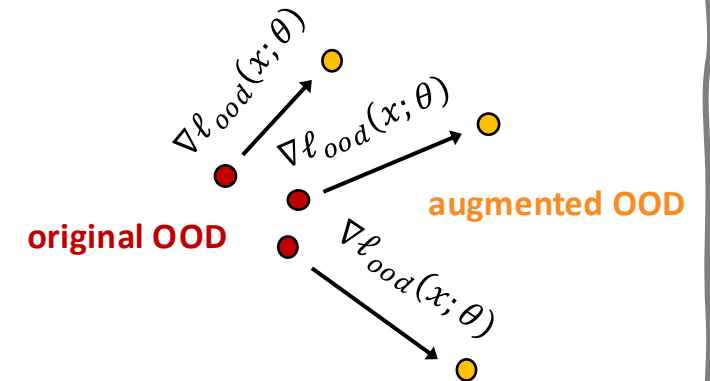


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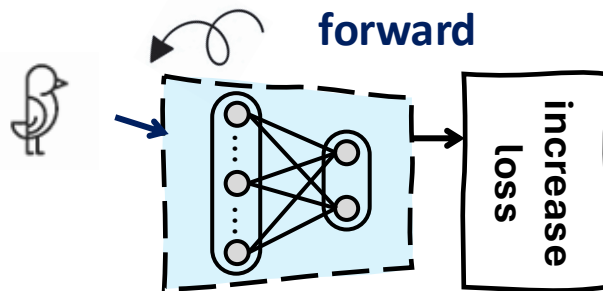
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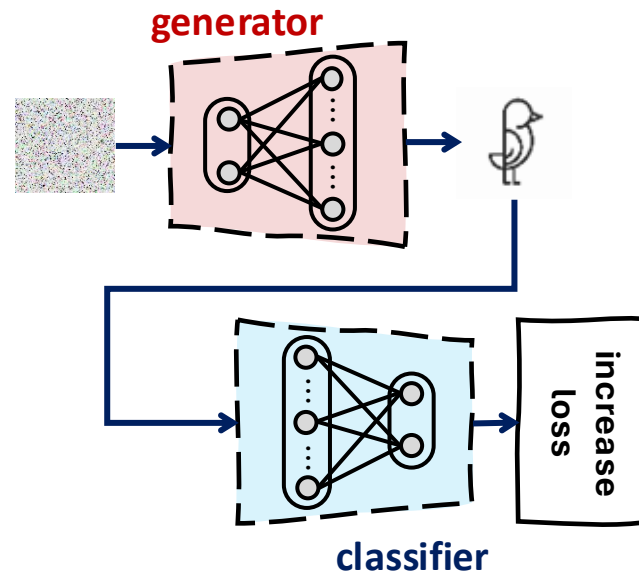
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## ❖ Adversarial Training [a]



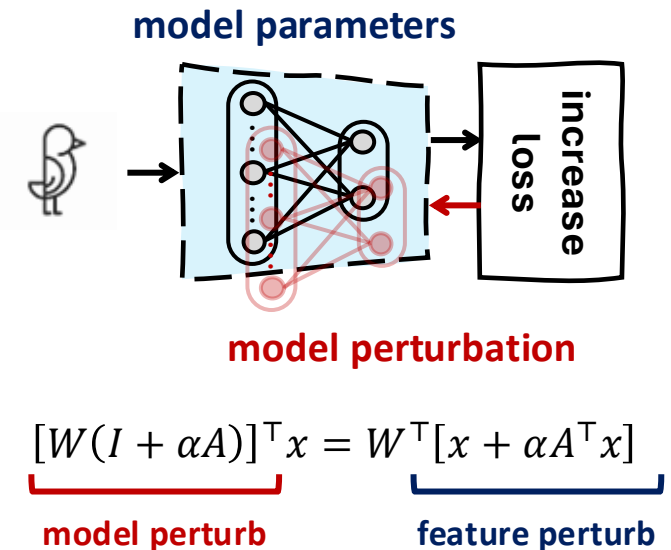
**perturb inputs** to maximize OOD loss values, thus creating worst-case examples.

## ❖ Generative Model [b]



**perturb latent embedding** to maximize OOD loss values, thus creating worst-case examples.

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**perturb parameters** that maximize OOD loss can implicitly create worst-case examples.

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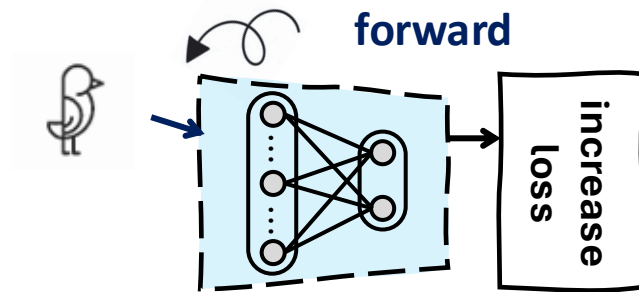
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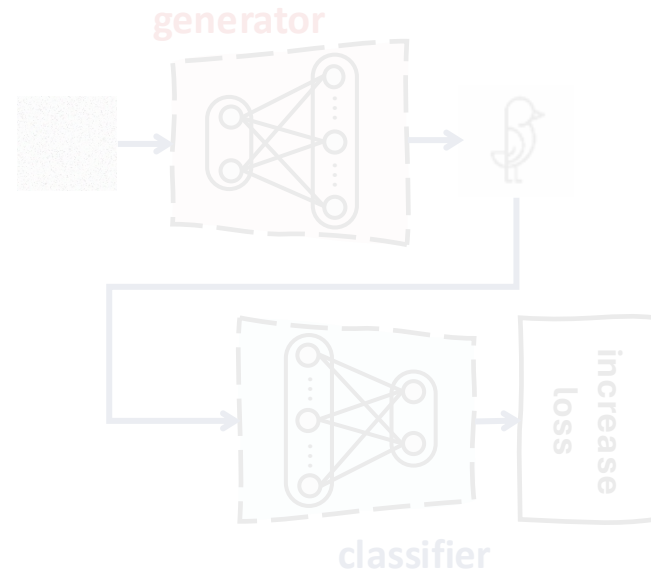
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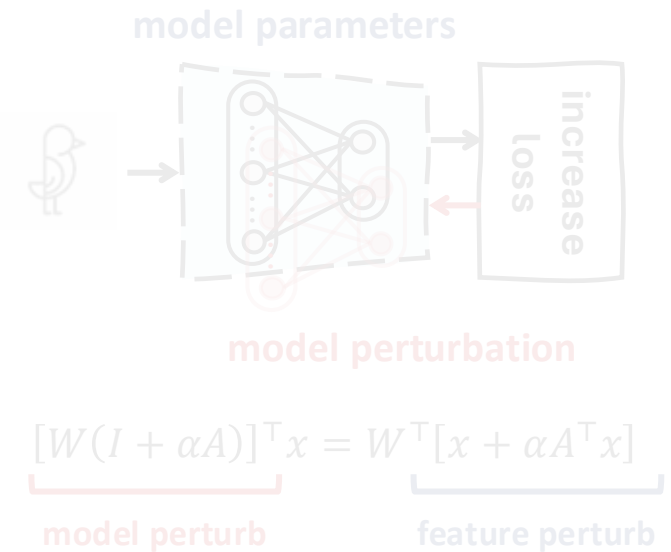
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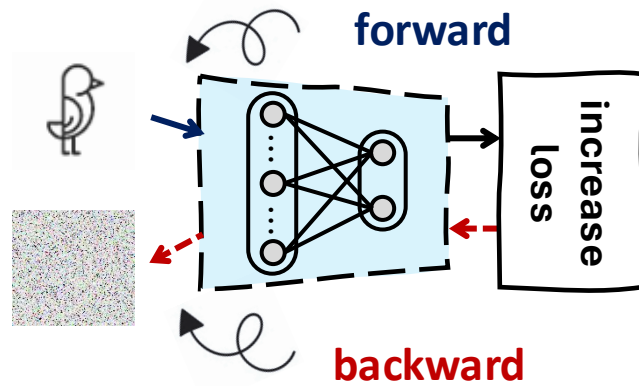
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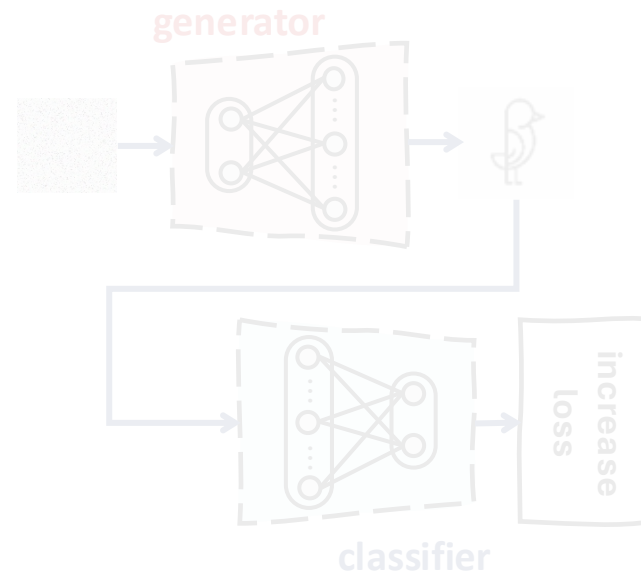
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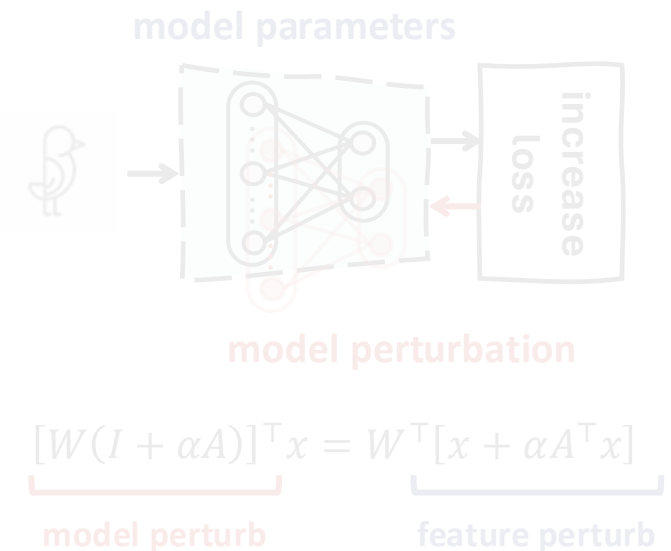
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## ❖ Implicit Synthesis [c]



**perturb parameters** that maximize OOD loss can implicitly create worst-case examples.

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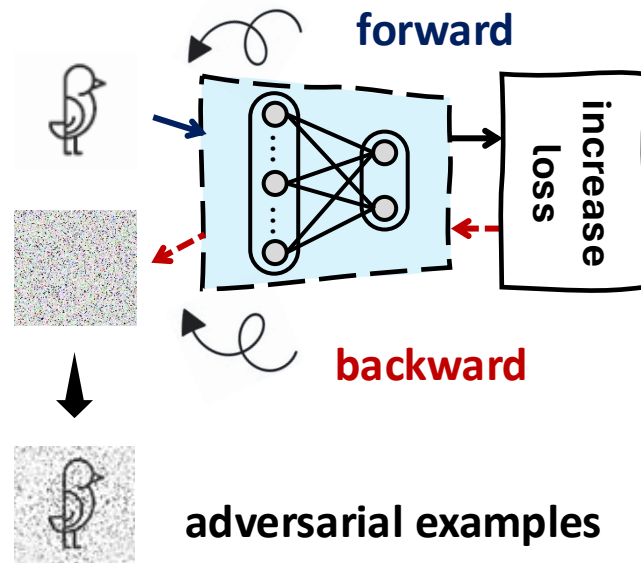
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# Outlier Exposure: Improvements | Augmentation

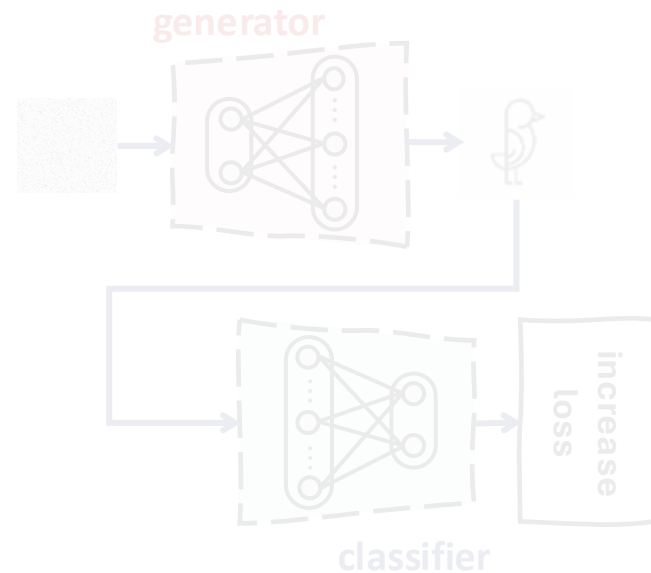
Augmentation can be conducted in either embedding space or **input space**.

## ❖ Adversarial Training [a]



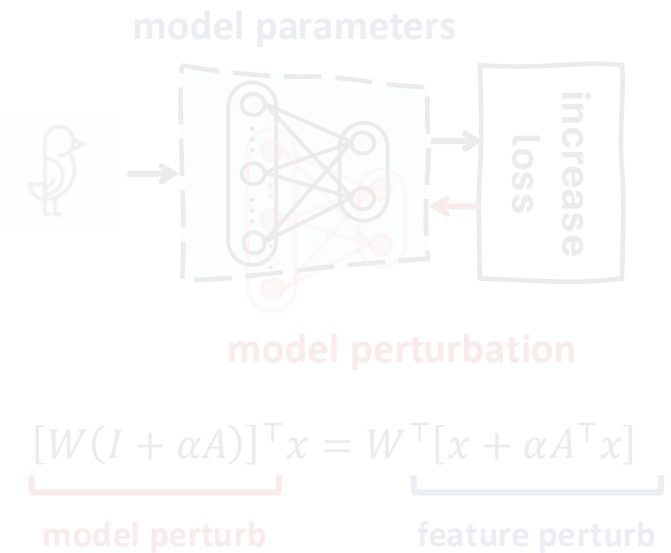
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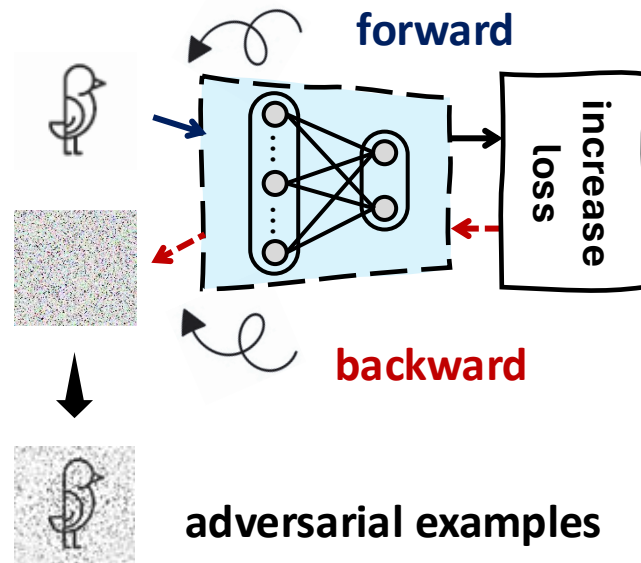
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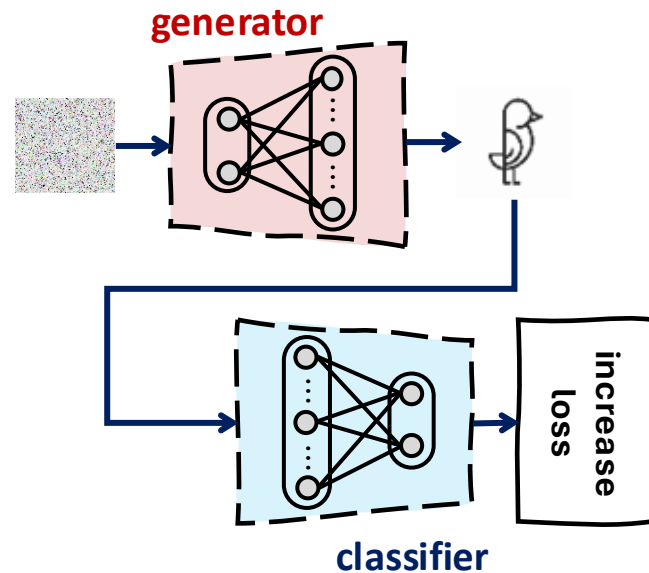
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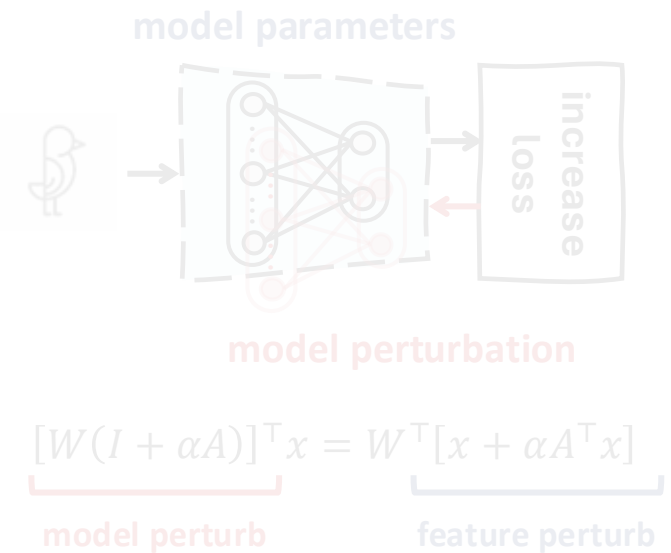
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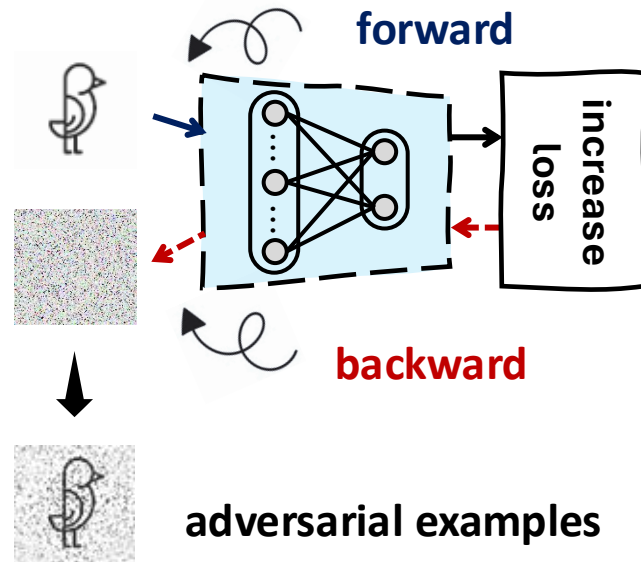
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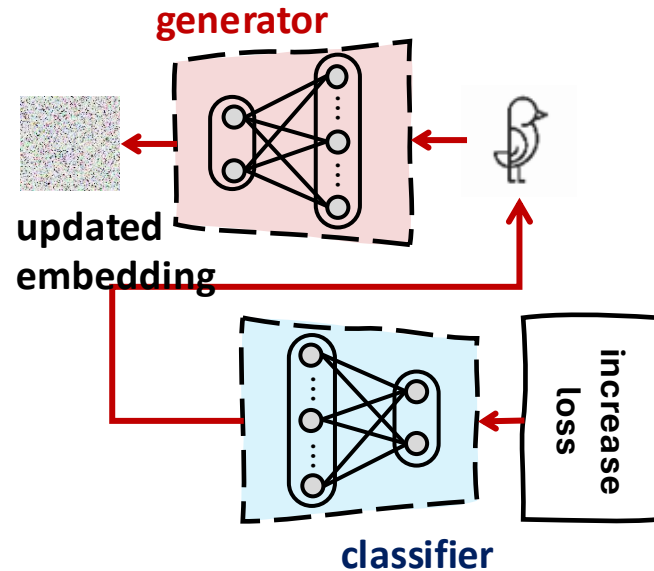
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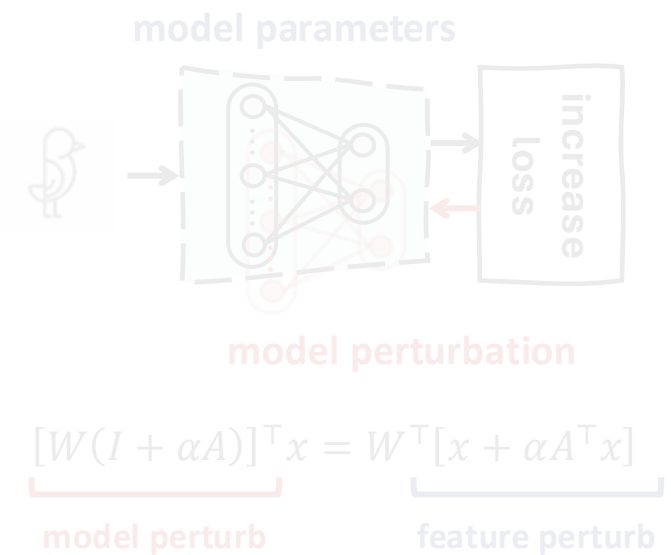
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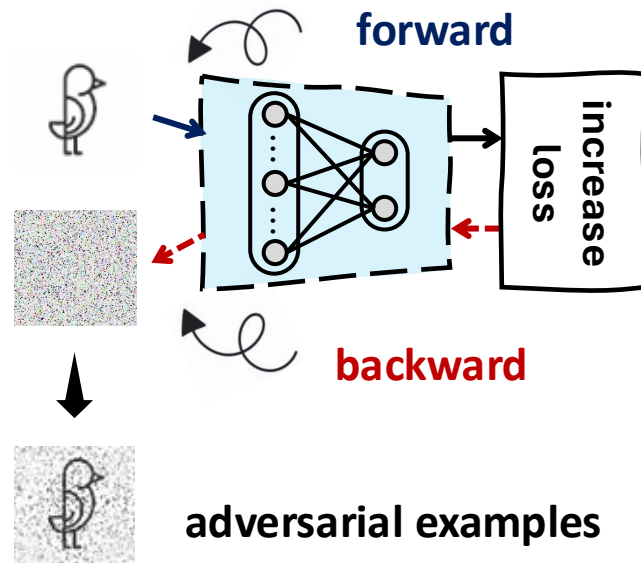
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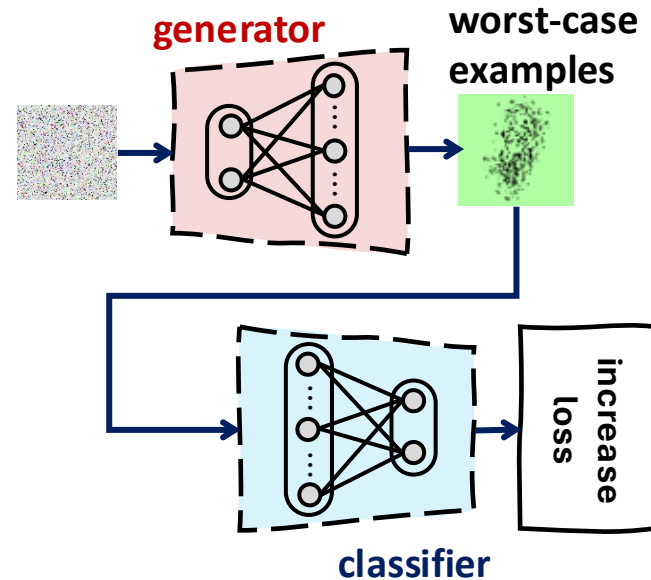
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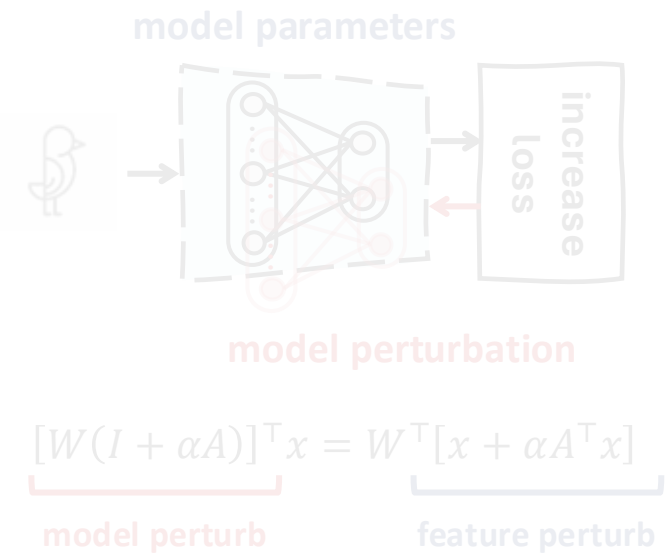
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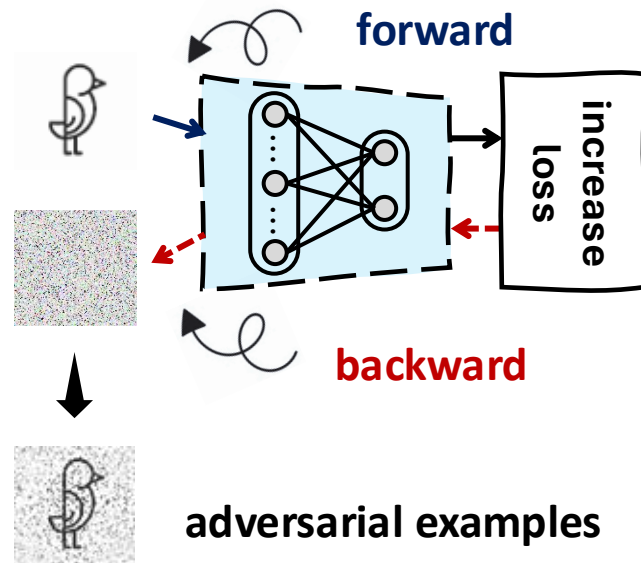
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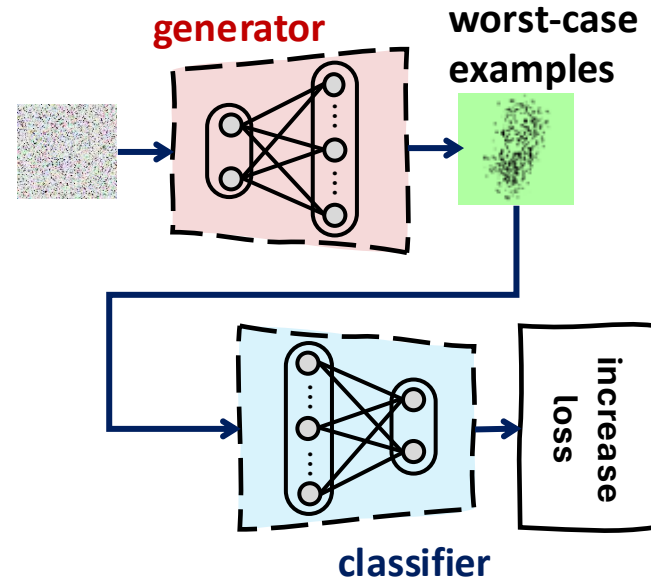
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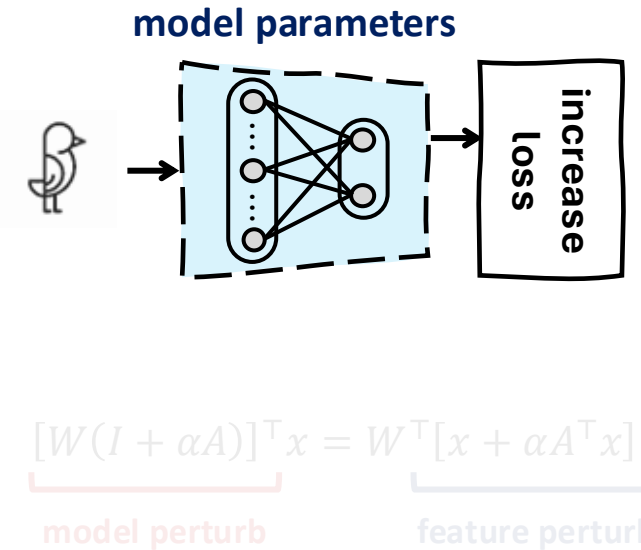
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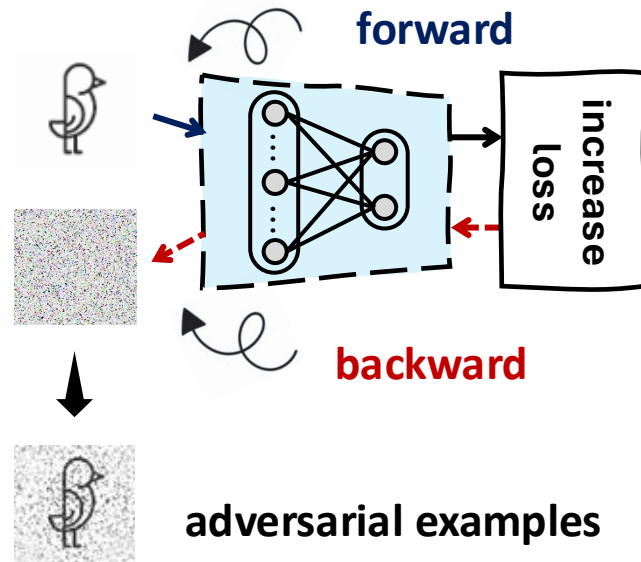
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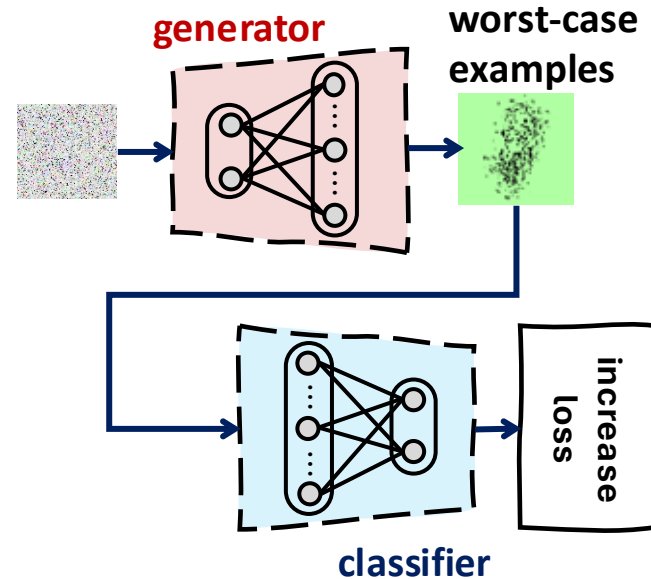
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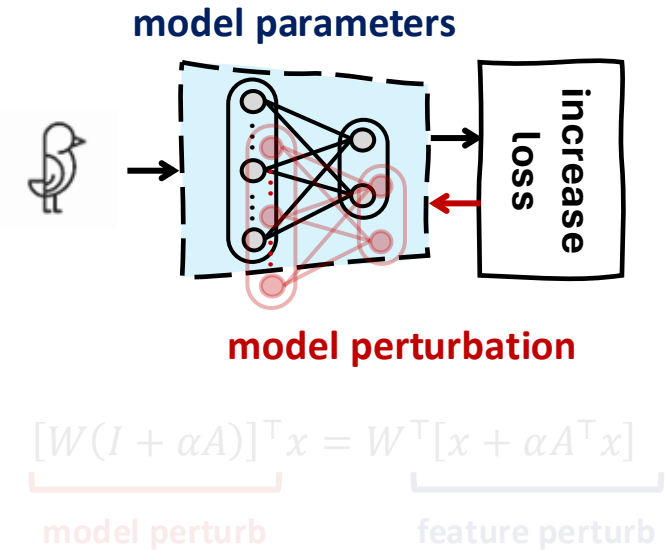
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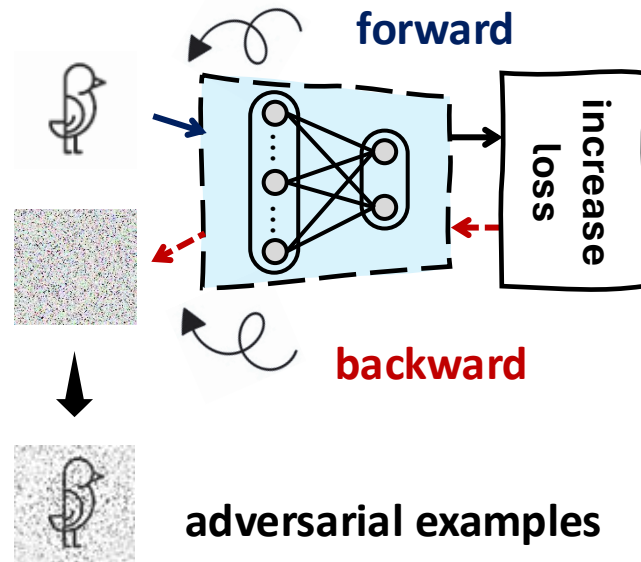
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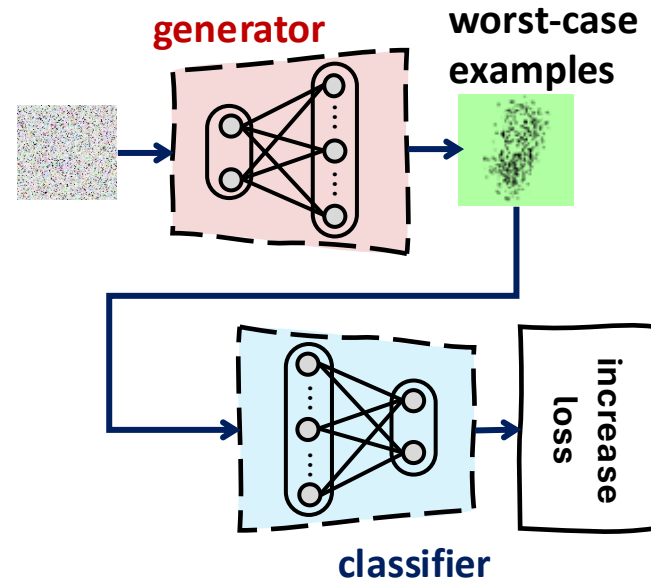
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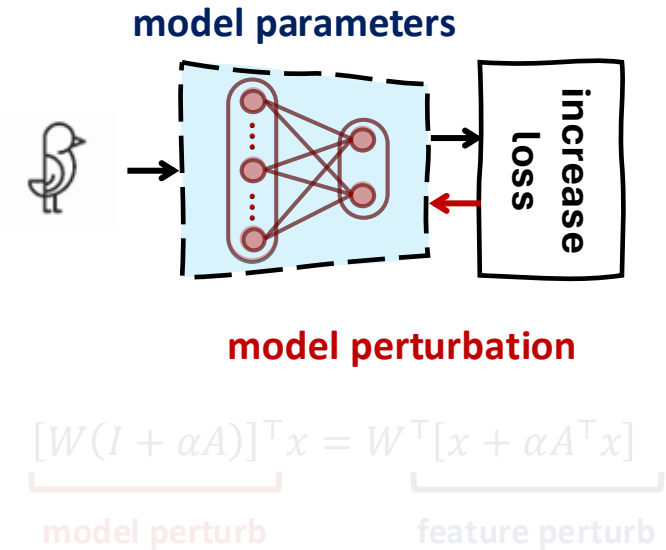
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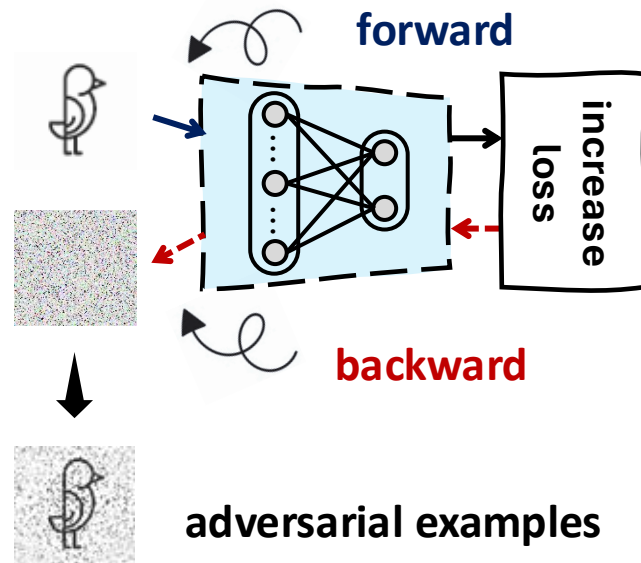
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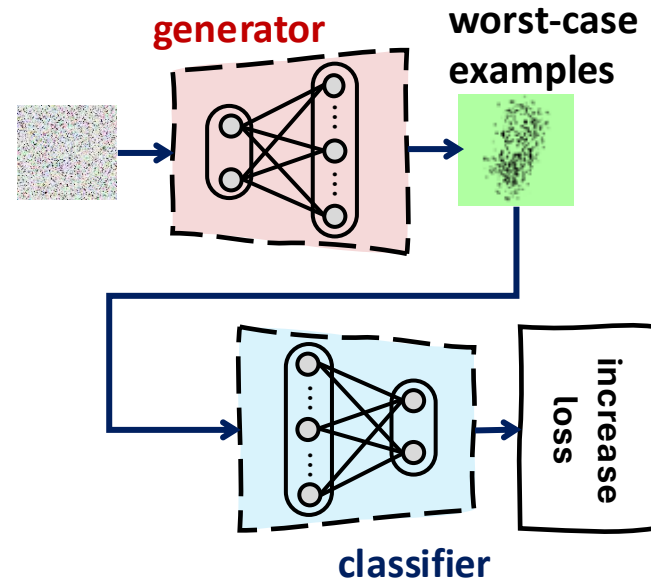
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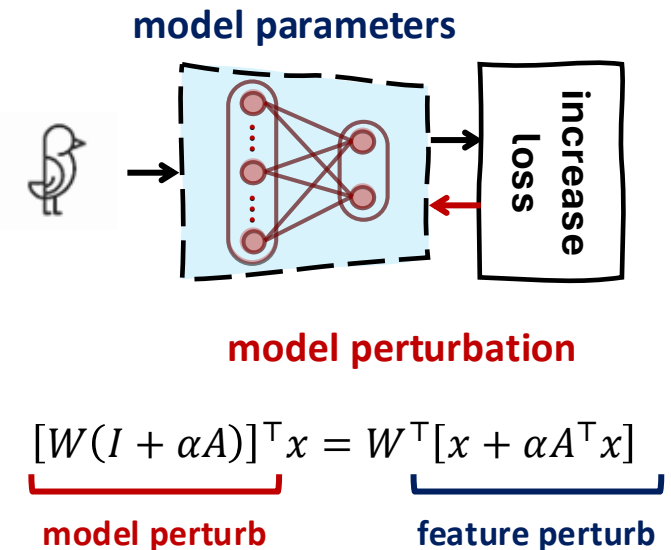
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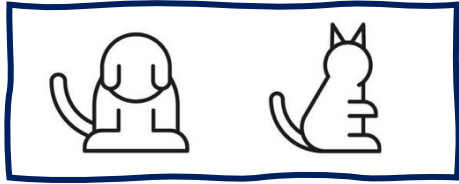
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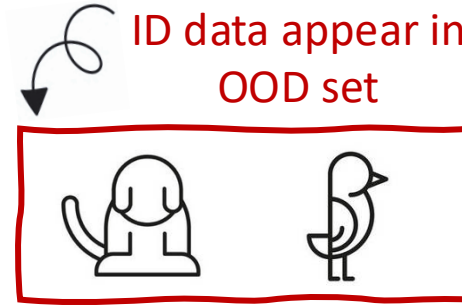
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# Challenges and Future Directions

## ❖ Wild OOD Detection



training ID



training OOD



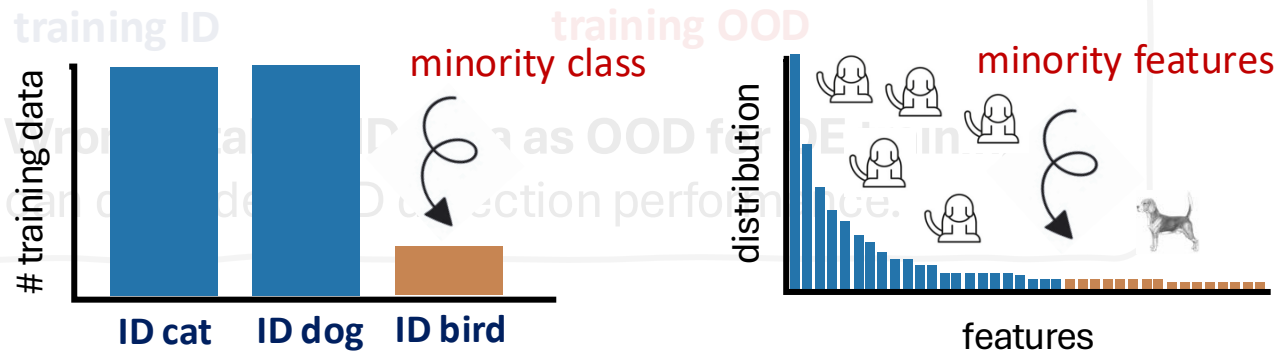
**Wrongly taking ID data as OOD for OE training**  
can degrade OOD detection performance.

# Challenges and Future Directions

## ❖ Wild OOD Detection

ID data appear in OOD set

## ❖ Imbalanced and Long-tailed OOD Learning



**Minority classes or features** are easier to be confused with OOD data.

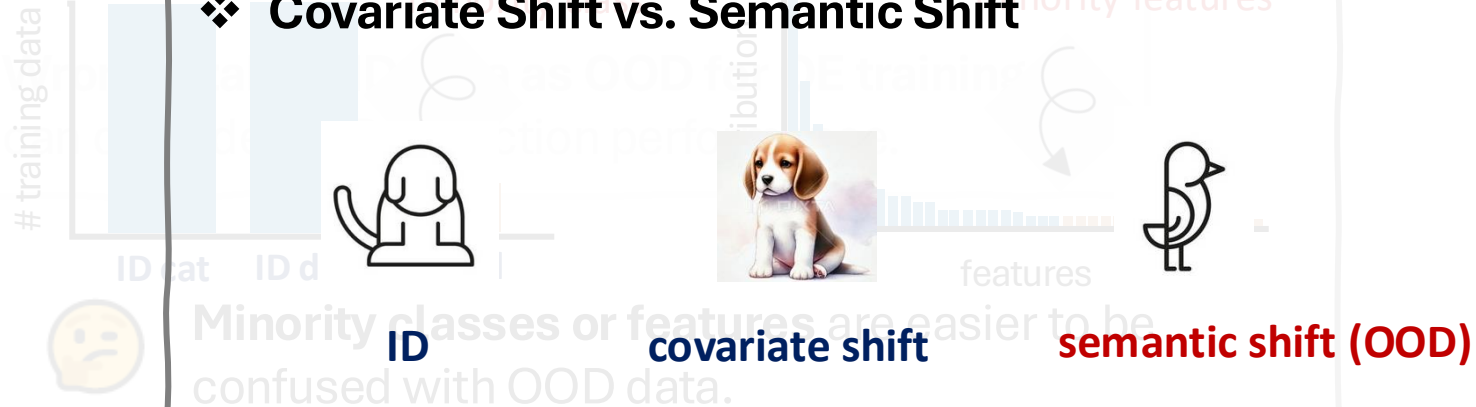
# Challenges and Future Directions

## ❖ Wild OOD Detection

ID data appear in OOD set

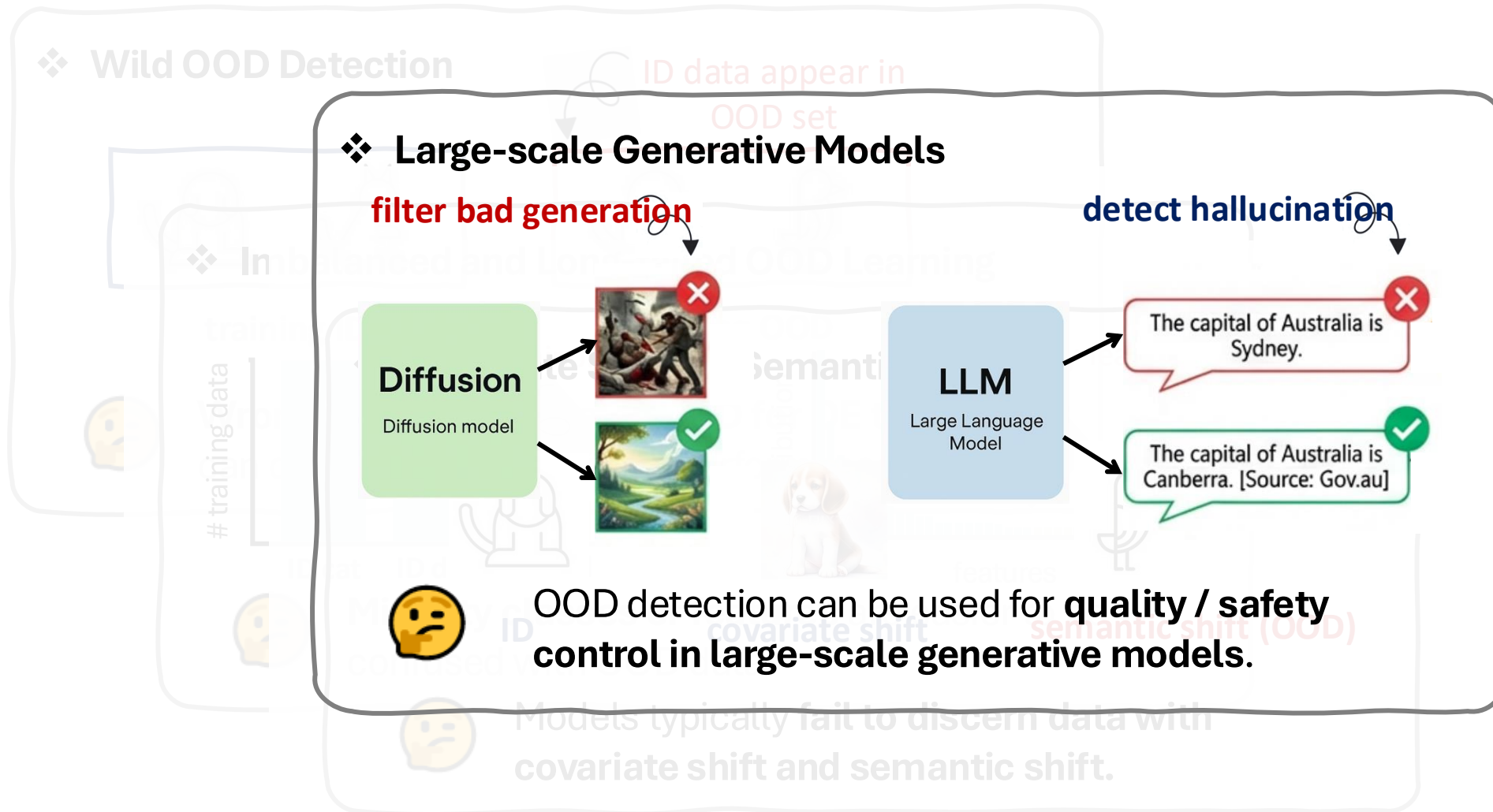
## ❖ Imbalanced and Long-tailed OOD Learning

### ❖ Covariate Shift vs. Semantic Shift



Models typically **fail to discern data with covariate shift and semantic shift.**

# Challenges and Future Directions





# Thank you for listening!

Find my slides from my homepage:  
<https://qizhouwang.github.io/homepage>

