# Spectral decomposition, purification and superdense coding

Ryuhei Mori

Tokyo Institute of Technology

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#### Pauli matrices in braket notation

•

$$Z = egin{bmatrix} 1 & 0 \ 0 & -1 \end{bmatrix} = \ket{0} ra{0} - \ket{1} ra{1}$$

• 
$$|+\rangle := (|0\rangle + |1\rangle)/\sqrt{2}, \quad |-\rangle := (|0\rangle - |1\rangle)/\sqrt{2}.$$

$$X = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} = |+\rangle \langle +|-|-\rangle \langle -|$$

• 
$$|a\rangle := (|0\rangle + i|1\rangle)/\sqrt{2}, \quad |b\rangle := (|0\rangle - i|1\rangle)/\sqrt{2}.$$

$$Y = \begin{bmatrix} 0 & -i \\ i & 0 \end{bmatrix} = |a\rangle \langle a| - |b\rangle \langle b|$$

## Spectral decomposition theorem

#### Definition (Normal operator)

 $A \in \mathcal{L}(\mathcal{X})$  is said to be normal if  $AA^{\dagger} = A^{\dagger}A$ .

Hermitian matrix  $(H^{\dagger} = H)$  and unitary matrix  $(UU^{\dagger} = I)$  are normal.

### Theorem (Spectral decomposition theorem)

 $A \in \mathcal{L}(\mathbb{C}^n)$  is normal if and only if there exist orthonormal basis  $\{|\psi_j\rangle\}$  of  $\mathbb{C}^n$  and complex numbers  $\{\lambda_i\}$  such that

$$A = \sum_{j} \lambda_{j} |\psi_{j}\rangle \langle \psi_{j}|.$$

## Any complex matrix has an eigenvalue

For any  $A \in \mathcal{L}(\mathbb{C}^n)$  and non-zero  $|\psi\rangle \in \mathbb{C}^n$ ,

$$|\psi\rangle$$
 ,  $A\,|\psi\rangle$  ,  $A^2\,|\psi\rangle$  , ... ,  $A^n\,|\psi\rangle$ 

are linearly dependent. There exist  $a_0, ..., a_n$  that are not all-zero satisfying

$$0 = a_0 |\psi\rangle + a_1 A |\psi\rangle + \dots + a_n A^n |\psi\rangle$$
  
=  $a_m (A - \lambda_1 I) (A - \lambda_2 I) \dots (A - \lambda_m I) |\psi\rangle$ 

where m is the largest i such that  $a_i \neq 0$ .

This means that there exist  $i\in\{1,2,\ldots,m\}$  and non-zero  $|\varphi\rangle\in\mathbb{C}^n$  such that

$$(A - \lambda_i I) |\varphi\rangle = 0.$$

## Orthogonal projection

For linear space V and its subspace W, the orthogonal projection onto W is defined by

$$P = \sum_{j} |\psi_{j}\rangle \langle \psi_{j}|$$

where  $(|\psi_j\rangle)_j$  forms an orthonormal basis of W.

- P is Hermitian
- $P^2 = P$
- $P|\psi\rangle \in W$  for any  $|\psi\rangle \in V$
- $P|\psi\rangle = |\psi\rangle$  for any  $|\psi\rangle \in W$
- I-P is the orthogonal projection onto  $W_{\perp}$

# Any normal matrix has a spectral decomposition

Induction on the dimension n. Spectral decomposition theorem obviously holds for n=1. A has a eigenvalue  $\lambda$  and corresponding eigenspace W. Let P be the orthogonal projection onto W. Let Q=I-P.

$$A = (P + Q)A(P + Q) = PAP + PAQ + QAP + QAQ$$

- $PAP = \lambda P$ .
- $QAP = Q\lambda P = 0$ .
- For  $|\psi\rangle \in W$ ,  $AA^{\dagger} |\psi\rangle = A^{\dagger}A |\psi\rangle = \lambda A^{\dagger} |\psi\rangle$  which means  $A^{\dagger} |\psi\rangle \in W$ . This implies  $(PAQ)^{\dagger} = QA^{\dagger}P = 0$ .

Hence, 
$$A=\lambda P+QAQ$$
. Since  $QA=QA(P+Q)=QAQ$  and  $QA^\dagger=QA^\dagger(P+Q)=QA^\dagger Q$ , 
$$(QAQ)(QA^\dagger Q)=QAA^\dagger Q$$
$$=QA^\dagger AQ=QA^\dagger QQAQ$$

Hence, QAQ is normal linear operator on  $W_{\perp}$ . From the hypothesis of induction, QAQ has a spectral decomposition.

## **Terminology**

- Density matrix, density operator: A Hermitian matrix  $\rho$  that represents a state, i.e.,  $\rho \succeq 0$ ,  $\text{Tr}(\rho) = 1$ .
- Pure state: A state that cannot be written as a convex combination of other states. Equivalently, its a density operator with rank one.
- State vector: A complex unit vector  $|\psi\rangle$  that represents a pure state  $\rho = |\psi\rangle\,\langle\psi|$ .
- Mixed state: A state that is not a pure state.
- Positive operator-valued measurement (POVM): A tuple  $\{P_j\}$  of Hermitian matrices that represents a measurement, i.e.,  $P_j \succeq 0$  and  $\sum_j P_j = I$ .

#### Ensemble of states

Let  $\rho_1, ..., \rho_k$  be density matrices. If  $\rho_i$  is prepared with probability  $p_i$ , and POVM  $\{P_j\}$  is applied, outcome j is obtained with probability

$$\sum_{i=1}^{k} p_i \operatorname{Tr}(\rho_i P_j) = \operatorname{Tr}\left(\sum_{i=1}^{k} \frac{p_i \rho_i P_j}{p_i \rho_i} P_j\right).$$

Hence, this ensemble of states is represented by  $\rho := \sum_{i} p_{i} \rho_{i}$ .

## Ensemble of pure states

Any quantum state

$$\rho = \sum_{i} \lambda_{i} \left| \psi_{i} \right\rangle \left\langle \psi_{i} \right|$$

for  $(\lambda_i \ge 0)_i$  can be regarded as an ensemble  $(\lambda_i, |\psi_i\rangle \langle \psi_i|)_i$  of pure states.

$$\begin{split} \rho &= \frac{3}{4} \left| 0 \right\rangle \left\langle 0 \right| + \frac{1}{4} \left| 1 \right\rangle \left\langle 1 \right| \\ &= \frac{1}{2} \left| a \right\rangle \left\langle a \right| + \frac{1}{2} \left| b \right\rangle \left\langle b \right| \end{split}$$

for

$$\begin{split} |\mathbf{a}\rangle &:= \sqrt{\frac{3}{4}}\,|0\rangle + \sqrt{\frac{1}{4}}\,|1\rangle \\ |\mathbf{b}\rangle &:= \sqrt{\frac{3}{4}}\,|0\rangle - \sqrt{\frac{1}{4}}\,|1\rangle\,. \end{split}$$

#### Observable

Let  $\{P_j\}$  be a POVM. If we assign real value  $a_j$  for each outcome j, its expectation is

$$\mathbb{E}[a] := \sum_{j} a_{j} \operatorname{Tr}(\rho P_{j}) = \operatorname{Tr}\left(\rho \sum_{j} a_{j} P_{j}\right) = \operatorname{Tr}(\rho A).$$

Here, Hermitian operator  $A := \sum_{i} a_{i} P_{j}$  is called a observable.

If  $\{P_j\}$  is a projective measurement, i.e.,  $P_jP_k=\delta_{j,k}P_j$ ,

$$\mathbb{E}[a^n] := \sum_j a_j^n \mathrm{Tr}(\rho P_j) = \mathrm{Tr}\left(\rho \sum_j a_j^n P_j\right) = \mathrm{Tr}(\rho A^n).$$

For example, X and Z are observables for POVMs  $\{|+\rangle \langle +|, |-\rangle \langle -|\}$  and  $\{|0\rangle \langle 0|, |1\rangle \langle 1|\}$  with the assignments  $\pm 1$ , respectively.

#### Decoherence

For orthonormal basis  $\{|\psi_i\rangle\}$ , POVM  $\{|\psi_i\rangle\langle\psi_i|\}$  is performed to a quantum state  $\rho$ . If outcome is i, the quantum state  $\rho$  is transformed into  $|\psi_i\rangle\langle\psi_i|$ . If we don't see the measurement outcome, the state after the measurement is

$$\sum_{i} \mathsf{Tr}(\rho \left| \psi_{i} \right\rangle \left\langle \psi_{i} \right|) \left| \psi_{i} \right\rangle \left\langle \psi_{i} \right| = \sum_{i} \left\langle \psi_{i} \right| \rho \left| \psi_{i} \right\rangle \left| \psi_{i} \right\rangle \left\langle \psi_{i} \right|$$

$$\rho = \sum_{i,j} \rho_{i,j} |\psi_i\rangle \langle \psi_j| \longmapsto \sum_i \rho_{i,i} |\psi_i\rangle \langle \psi_i|$$

This phenomenon is called decoherence.

#### Partial trace

The partial trace  $\operatorname{Tr}_W: \mathcal{L}(V \otimes W) \to \mathcal{L}(V)$  is linear map defined by  $A \otimes B \mapsto \operatorname{Tr}(B)A$ 

for all  $A \in \mathcal{L}(V)$  and  $B \in \mathcal{L}(W)$ .

For  $A \in \mathcal{L}(V \otimes W)$ , A is a linear combination of the orthonormal basis  $(|a\rangle \langle b| \otimes |c\rangle \langle d|)_{a,b,c,d}$ 

$$A = \sum_{a,b,c,d} A_{a,b,c,d} |a\rangle \langle b| \otimes |c\rangle \langle d|$$

$$\mathsf{Tr}_{W}(A) = \sum_{a,b,c,d} A_{a,b,c,d} |a\rangle \langle b| \, \mathsf{Tr}(|c\rangle \langle d|)$$
$$= \sum_{a,b,c} A_{a,b,c,c} |a\rangle \langle b|$$

$$\mathsf{Tr}(\mathsf{Tr}_W(A)) = \sum_{a,c} A_{a,a,c,c} = \mathsf{Tr}(A)$$

## Local tomography

For measurements  $\{P_a\}_a$  of quantum system on V and  $\{Q_b\}_b$  of quantum system on W, a measurement  $\{P_a \otimes Q_b\}_{a,b}$  in the joint system is said to be local.

$$P(a, b) = \text{Tr}(\rho(P_a \otimes Q_b)).$$

## Marginal distribution and reduced density matrix

A probability of outcome of local measurement in a joint system is

$$P(a, b) = \text{Tr}(\rho(P_a \otimes Q_b)).$$

$$\sum_{b} P(a, b) = \sum_{b} Tr(\rho(P_a \otimes Q_b))$$

$$= Tr\left(\rho\left(P_a \otimes \sum_{b} Q_b\right)\right)$$

$$= Tr(\rho(P_a \otimes I))$$

$$= Tr(Tr_W(\rho)P_a).$$

Here,  $Tr_W(\rho)$  is called a reduced density matrix.

# Reduced state of a pure state is not necessarily pure

A two-qubit pure state (called Bell state, Bell pair or EPR pair)

$$|\Phi\rangle:=rac{1}{\sqrt{2}}(|00\rangle+|11\rangle).$$

$$\begin{split} |\Phi\rangle \left\langle \Phi \right| &= \frac{1}{2} (|0\rangle \left\langle 0| \otimes |0\rangle \left\langle 0| + |0\rangle \left\langle 1| \otimes |0\rangle \left\langle 1| \right. \\ &+ |1\rangle \left\langle 0| \otimes |1\rangle \left\langle 0| + |1\rangle \left\langle 1| \otimes |1\rangle \left\langle 1| \right. \right] \end{split}$$

By taking the partial trace for the second qubit, we obtain a reduced density matrix I/2.

#### Purification

#### **Theorem**

For any density matrix  $\rho$  on V, there exists a pure state  $|\psi\rangle$  of a joint system on  $V\otimes W$  for some W such that  $\mathrm{Tr}_W(|\psi\rangle\langle\psi|)=\rho$ .

#### Proof.

For a spectral decomposition of  $\rho$ 

$$\rho = \sum_{i} \lambda_{i} |\psi_{i}\rangle_{V} \langle \psi_{i}|_{V}$$

let

$$|\psi\rangle_{V\otimes W} := \sum_{i} \sqrt{\lambda_{i}} |\psi_{i}\rangle_{V} |\psi_{i}\rangle_{W}.$$

Then,

$$\mathsf{Tr}_{W}(|\psi\rangle_{V\otimes W}\,\langle\psi|_{V\otimes W}) = \rho.$$

 $|\psi\rangle_{V\otimes W}$  is called a purification of  $\rho$ .

## Quantum states discrimination

Alice encodes her classical information  $\{1,2,\ldots,n\}$  into quantum states  $\rho_1,\rho_2,\ldots,\rho_n\in\mathcal{H}(\mathbb{C}^m)$ , and send it to Bob. Bob performs a POVM  $\{P_1,\ldots,P_n\}$  for estimating  $i\in\{1,\ldots,n\}$  that Alice encoded. Assume Bob could estimate i without error. Then,

$$\operatorname{Tr}(\rho_i P_j) = \delta_{i,j}.$$

$$\operatorname{Tr}(\rho_{i}P_{j}) = \operatorname{Tr}\left(\sum_{k} \lambda_{k}^{(i)} |\psi_{k}^{(i)}\rangle \langle \psi_{k}^{(i)} | P_{j}\right)$$
$$= \sum_{k} \lambda_{k}^{(i)} \langle \psi_{k}^{(i)} | P_{j} |\psi_{k}^{(i)}\rangle$$

 $\langle \psi_k^{(i)} | P_j | \psi_k^{(i)} \rangle = \delta_{i,j}$  implies  $|\psi_k^{(i)} \rangle$  is an eigenvector of  $P_j$  with eigenvalue  $\delta_{i,j}$ . Hence,  $\langle \psi_k^{(i)} | \psi_\ell^{(j)} \rangle = \delta_{i,j}$  which implies  $n \leq m$ .

## Superdense coding

Alice can send two bits to Bob by sending a single qubit and using a shared Bell state.

$$\begin{split} |\Phi_{00}\rangle &= \frac{1}{\sqrt{2}}(|0\rangle_A|0\rangle_B + |1\rangle_A|1\rangle_B) \\ |\Phi_{01}\rangle &= \frac{1}{\sqrt{2}}(|1\rangle_A|0\rangle_B + |0\rangle_A|1\rangle_B), \qquad \qquad \text{by } X \\ |\Phi_{10}\rangle &= \frac{1}{\sqrt{2}}(|0\rangle_A|0\rangle_B - |1\rangle_A|1\rangle_B), \qquad \qquad \text{by } Z \\ |\Phi_{11}\rangle &= \frac{1}{\sqrt{2}}(|1\rangle_A|0\rangle_B - |0\rangle_A|1\rangle_B), \qquad \qquad \text{by } XZ \end{split}$$

These are orthogonal.

## Assignments

**1** Show the reduced density matrix  $\rho_V \in \mathcal{H}(V)$  of

$$\rho = \sum_{i,j} \rho_{i,j} \ket{i} \bra{j} \otimes \ket{i} \bra{j} \in \mathcal{H}(V \otimes W)$$

where  $\{|i\rangle\}$  is a orthonormal basis of V and W.

- 2 For a single-qubit density matrix  $\rho = \sum_{i,j=0}^{1} \rho_{i,j} |i\rangle \langle j|$ , show the density matrix of an ensemble of quantum states  $\rho$  and  $Z\rho Z$  chosen with probabilities 1/2.
- **3** Show a purification of  $\rho = \frac{3}{4} |0\rangle \langle 0| + \frac{1}{4} |1\rangle \langle 1|$ .