Assignment 1 - Written Component

Author: Callum Holmes, 899251 Tutorial: Friday 9:00am, Mr Colton Carner

1. Consider the four first-order predicate formulas:

$$F = \forall x (\neg P(x,x))$$

$$G = \forall x \forall y \forall z (P(x,y) \land P(y,z) \Rightarrow P(x,z))$$

$$G' = \forall x \forall y \forall z (P(x,y) \land P(y,z) \Rightarrow \neg P(x,z))$$

$$H = \forall x \forall y (P(x,y) \Rightarrow \neg P(y,x))$$

(a) Show that $F \vee G \vee G' \vee H$ is not valid.

Answer. To prove $F \vee G \vee G' \vee H$ is not valid, one simply needs to provide an interpretation (counterexample) \mathbb{I} under which it is \mathbf{f} .

Consider the interpretation \mathbb{I} where the domain $D = \mathbb{N}$ (the natural numbers starting from 1) and defining the predicate

$$P(x,y) = \begin{cases} \mathbf{t} & x+y \text{ is odd} \\ \mathbf{t} & x=y=1 \\ \mathbf{f} & \text{otherwise} \end{cases}$$

Under this interpretation:

- Consider F. As $P(1,1) \equiv \mathbf{t}$ then $\neg P(1,1) \equiv \mathbf{f}$, hence $F = \forall x (\neg P(x,x)) \equiv \mathbf{f}$ under \mathbb{I} .
- Consider G. Now $P(2,3) \wedge P(3,4) \equiv \mathbf{t}$ but $P(2,4) \equiv \mathbf{f}$. Hence a counterexample exists for G where x = 2, y = 3, z = 4 and so $G \equiv \mathbf{f}$ under \mathbb{I} .
- Consider G'. Now $P(1,1) \wedge P(1,1) \equiv \mathbf{t}$ but $\neg P(1,1) \equiv \mathbf{f}$. Hence a counterexample exists for G' where x = y = z = 1 and so $G' \equiv \mathbf{f}$ under \mathbb{I} .
- Consider H. $P(1,1) \Rightarrow \neg P(1,1) \equiv \mathbf{t} \Rightarrow \mathbf{f} \equiv \mathbf{f}$ and so a counterexample exists for H where x = y = 1; so $H \equiv \mathbf{f}$ under \mathbb{I} .

Hence, $\mathbb{I} \not\models F \lor G \lor G' \lor H$ and so it is not valid by definition.

(b) Show that $F \wedge G' \wedge H$ is satisfiable.

Answer. To prove $F \wedge G' \wedge H$ is satisfiable, one simply needs to provide an interpretation (example) \mathbb{I} under which it is \mathbf{t} .

Consider the interpretation \mathbb{I} where the domain D is the set of all nodes in a linked list where each node can have at most one child (succeeding node) and each node is unique. Also define the predicate

$$P(x,y) = \begin{cases} \mathbf{t} & x \text{ is the parent node of } y \\ \mathbf{f} & \text{otherwise.} \end{cases}$$

Under this interpretation:

- Consider F. P(x,x) is impossible for any node (it cannot precede itself) and so $\forall x \neg P(x,x) \equiv$ **t**. Hence $F \equiv$ **t** under \mathbb{I} .
- Consider G'. As nodes are unique and have exactly one child, for any node x, y, z then if $P(x,y) \wedge P(y,z)$ then x is immediately followed by y and y immediately followed by z, so P(x,z) must be \mathbf{f} . Hence $G' \equiv \mathbf{t}$ under \mathbb{I} by definition.
- Consider H. For any x,y if P(x,y) then x is immediately followed by y, thus it is impossible that P(y,x). Thus $\forall x \forall y \ P(x,y) \Rightarrow \neg P(y,x)$ and so $H \equiv \mathbf{t}$ under \mathbb{I} .

Overall $\mathbb{I} \models F \land G' \land H$ and so is satisfiable.

(c) Show that $(F \wedge G) \Rightarrow H$ is valid.

Answer.

This shall be done by showing instead that $\neg\{(F \land G) \Rightarrow H\}$ or $F \land G \land \neg H$ is unsatisfiable under any interpretation.

First, to find the clausal form for each.

For F:

$$F = \forall x(\neg P(x, x))$$
$$\neg P(x, x) \quad [Dropping universals]$$

yielding the clause $\{\neg P(x,x)\}.$

For G:

$$G = \forall x \ \forall y \ \forall z \ (P(x,y) \land P(y,z) \Rightarrow P(x,z))$$

$$\forall x \ \forall y \ \forall z \ (\neg P(x,y) \lor \neg P(y,z) \lor P(x,z)) \quad \text{[Simplifying \Rightarrow]}$$

$$\neg P(x,y) \lor \neg P(y,z) \lor P(x,z) \quad \text{[Dropping universals]}$$

Yielding the clause $\{\neg P(x,y), \neg P(y,z), P(x,z)\}.$

For $\neg H$:

$$\neg H = \neg \forall x \ \forall y \ (P(x,y) \Rightarrow \neg P(y,x))$$

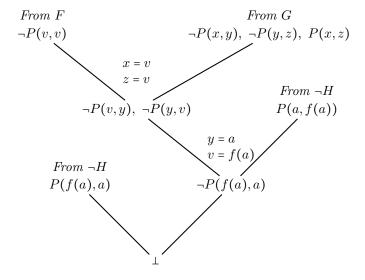
$$\exists x \ \exists y \ (P(x,y) \land P(y,x)) \quad [\text{Dragging } \neg \text{ inwards}]$$

$$\exists x \ P(x,f(x)) \land P(f(x),x) \quad [\text{Skolemizing}]$$

$$P(a,f(a)) \land P(f(a),a) \quad [\text{Further skolemizing}]$$

yielding the clauses $\{P(a, f(a))\}\$ and $\{P(f(a), a)\}\$.

Drawing a resolution tree, it is clear that the statement $F \wedge G \wedge \neg H$ is unsatisfiable and thus that $F \wedge G \Rightarrow H$ is valid:



- 2. For this challenge use the following predicates:
 - F(x), which stands for "the force is with x"
 - J(x), which stands for "x is a Jedi master"
 - E(x,y), which stands for "x exceeds y"
 - P(x,y), which stands for "x is a pupil of y"
 - V(x), which stands for "x is venerated"

and use the constant 'a' to denote Yoda.

(a) For each of the statements $S_1, ..., S_4$, express it as a formula in first-order predicate logic:

 S_1 : "The force is with every Jedi master."

 S_2 : "Yoda exceeds some Jedi master."

 S_3 : "A Jedi master is venerated if (1) the force is with him/her and (2) all his/her pupils exceed him/her."

 S_4 : "Every Jedi master without pupils is venerated."

Answer.

$$S_1 = \forall x (J(x) \Rightarrow F(x))$$

$$S_2 = \exists x (J(x) \land E(a, x))$$

$$S_3 = \forall x (J(x) \land F(x) \land \forall y (P(y, x) \Rightarrow E(y, x)) \Rightarrow V(x))$$

$$S_4 = \forall x (J(x) \land \forall y (\neg P(y, x)) \Rightarrow V(x))$$

(b) Translate S_1 - S_3 to clausal form.

Answer. S_1 :

$$S_1 = \forall x (J(x) \Rightarrow F(x))$$

 $\forall x (\neg J(x) \lor F(x))$ [Simplifying \Rightarrow]
 $\neg J(x) \lor F(x)$ [Removing universals, as no skolemizing necessary]

Which yields the clausal form $\{\neg J(x), F(x)\}$. Secondly

$$S_2 = \exists x (J(x) \land E(a, x))$$

 $J(b) \land E(a, b)$ [Use skolem constant to remove existential]

Which yields the clauses $\{J(b)\}, \{E(a,b)\}.$ Thirdly

$$S_{3} = \forall x (J(x) \land F(x) \land \forall y (P(y,x) \Rightarrow E(y,x)) \Rightarrow V(x))$$

$$\forall x (\neg \{J(x) \land F(x) \land \forall y (\neg P(y,x) \lor E(y,x))\} \lor V(x)) \quad [Simplify \Rightarrow]$$

$$\forall x (\neg J(x) \lor \neg F(x) \lor \neg \forall y (\neg P(y,x) \lor E(y,x)) \lor V(x)) \quad [Dragging \neg inward]$$

$$\forall x (\neg J(x) \lor \neg F(x) \lor V(x) \lor \exists y (P(y,x) \land \neg E(y,x))) \quad [Dragging \neg inward]$$

$$\forall x (\neg J(x) \lor \neg F(x) \lor V(x) \lor (P(f(x),x) \land \neg E(f(x),x))) \quad [Skolem function to remove \exists y]$$

$$\neg J(x) \lor \neg F(x) \lor V(x) \lor (P(f(x),x) \land \neg E(f(x),x)) \quad [Removing universal quantifier]$$

$$\{\neg J(x) \lor \neg F(x) \lor V(x) \lor P(f(x),x)\} \land \{\neg J(x) \lor \neg F(x) \lor V(x) \lor \neg E(f(x),x)\} \quad [Distributive law]$$

Which yields the final clauses

$$\{\neg J(x), \neg F(x), V(x), P(f(x), x)\}\$$
 and $\{\neg J(x), \neg F(x), V(x), \neg E(f(x), x)\}\$

(c) Translate the negation of S_4 to clausal form.

Answer. Simplifying $\neg S_4$:

$$\neg S_4 = \neg \forall x (J(x) \land \forall y (\neg P(y, x)) \Rightarrow V(x))$$

$$\neg \forall x (\neg \{J(x) \land \forall y (\neg P(y, x))\} \lor V(x)) \quad [Simplfiying \Rightarrow]$$

$$\exists x (\neg \neg \{J(x) \land \forall y (\neg P(y, x))\} \land \neg V(x)) \quad [Dragging \neg inward]$$

$$\exists x (J(x) \land \forall y (\neg P(y, x)) \land \neg V(x)) \quad [Negations cancel]$$

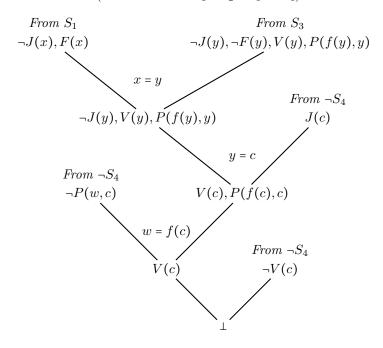
$$J(c) \land \forall y \neg P(y, c) \land \neg V(c) \quad [Using skolem constant to remove \exists x]$$

$$J(c) \land \neg P(y, c) \land \neg V(c) \quad [Free to remove universal quantifiers]$$

Which yields the separate clauses $\{J(c)\}$, $\{\neg P(y,c)\}$, $\{\neg V(c)\}$.

(d) Give a proof by resolution to show that S_4 follows from the other statements.

Answer. To prove that $S_1 \wedge S_2 \wedge S_3 \models S_4$, attempt to resolve $S_1 \wedge S_2 \wedge S_3 \wedge \neg S_4$ to a contradiction. After reparametrising to distinguish variables, the following proves that the clauses resolve to a contradiction (and hence that $S_1 \wedge S_2 \wedge S_3 \Rightarrow S_4$):



3. (Note: description omitted.)

(a) Consider the Boolean vector function $f_a(b_1, b_2) = (\neg b_1, b_1 \oplus b_2)$. Show that this function is reversible.

Answer. For a function to be reversible, there needs to be a clear one-to-one relation between the input space and output vector. In other words, the complete truth table needs each output vector to be unique.

Investigating the truth table for f_a :

b_1	b_2	$f_{\boldsymbol{a}}(\neg b_1, b_1 \oplus b_2)$
f	f	(\mathbf{t}, \mathbf{f})
f	t	(\mathbf{t}, \mathbf{t})
\mathbf{t}	\mathbf{f}	(\mathbf{f}, \mathbf{t})
\mathbf{t}	t	(\mathbf{f}, \mathbf{f})

Clearly each output vector has a unique input combination, and so f_a is reversible.

(b) Consider the Boolean vector function $f_b(b_1, b_2, b_3) = (b_1 \oplus b_2, b_2 \oplus b_3, b_3 \oplus b_1)$. Show that this function is *not* reversible.

Answer. It is readily deducible that

$$f_b(\mathbf{t}, \mathbf{f}, \mathbf{t}) = (\mathbf{t} \oplus \mathbf{f}, \mathbf{f} \oplus \mathbf{t}, \mathbf{t} \oplus \mathbf{t}) = (\mathbf{t}, \mathbf{t}, \mathbf{f})$$

Furthermore,

$$f_b(\mathbf{f}, \mathbf{t}, \mathbf{f}) = (\mathbf{f} \oplus \mathbf{t}, \mathbf{t} \oplus \mathbf{f}, \mathbf{f} \oplus \mathbf{f}) = (\mathbf{t}, \mathbf{t}, \mathbf{f})$$

So two different input combinations yield the same output; this violates the definition of a reversible function and so f_b is not reversible.

(c) Is the Boolean vector function $f_c(b_1, b_2, b_3) = (b_1 \oplus b_3, \neg b_3, b_1 \oplus b_2)$ reversible? Justify your answer.

Answer. By similar technique to (a):

b_1	b_2	b_3	$(b_1 \oplus b_3, \neg b_3, b_1 \oplus b_2)$
\mathbf{f}	f	f	$(\mathbf{f}, \mathbf{t}, \mathbf{f})$
\mathbf{f}	f	t	$(\mathbf{t}, \mathbf{f}, \mathbf{f})$
\mathbf{f}	t	f	$(\mathbf{f}, \mathbf{t}, \mathbf{t})$
\mathbf{f}	t	t	$(\mathbf{t}, \mathbf{f}, \mathbf{t})$
\mathbf{t}	f	f	$(\mathbf{t}, \mathbf{t}, \mathbf{t})$
\mathbf{t}	f	t	$(\mathbf{f}, \mathbf{f}, \mathbf{t})$
\mathbf{t}	t	f	$(\mathbf{t}, \mathbf{t}, \mathbf{f})$
\mathbf{t}	t	t	$(\mathbf{f}, \mathbf{f}, \mathbf{f})$

All outputs are distinctly unique and so all map to a unique input combination. thus, f_c is reversible.

(d) Give a formula, in terms of n, for the fraction of distinct n-dimensional Boolean vector functions that are reversible.

Answer. The formula is

$$\frac{(2^n)!}{(2^n)^{2^n}}$$

This is because of the following:

- The number of *n*-dimensional binary numbers is 2^n
- For a generic *n*-dimensional Boolean vector function (f(n)), any input combination can have any *n*-dimensional vector output, i.e. 2^n possible outputs.
- As there are also 2^n possible inputs for any f(n), in total there are $(2^n)^{2^n}$ total mappings of outputs to inputs.
- For reversible f(n), there must be unique outputs for the 2^n possible inputs; thus, there are 2^n unique outputs.
- The number of ways of arranging these are $(2^n)!$ (total reversible functions); so overall, the ratio is $(2^n)!/(2^n)^{2^n}$.