# **Refactoring Dynamic Languages**

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## **ABSTRACT**

The need to create better support tools rises with the growing importance of programming as a skill among areas non related with software development. One important tool of an IDE is the refactoring tool which allow the users to safely improve their program quality.

Racket, a descendant of Scheme is widely used in introductory courses for teaching computer science. Racket has an IDE, DrRacket which is a simple and pedagogic IDE that do not have refactoring operations besides the rename.

In this paper, we present a refactoring tool for beginner programmers in DrRacket. Which provides simple refactoring operations for the typical errors made by beginners. And it also provide an automatic detection of possible refactoring operations showing which refactoring operations could be applied.

### 1. INTRODUCTION

Programing relevance as a skill is growing in areas non related with any computation field. This urge to know how to program as a complementary skill to the main degree demands better support tools for the novice programmers. We consider a novice/beginner programmer as a user who had one semester of programming class.

There are several languages known to be suited for the initial contact with programming, such as Scheme, Racket, Python and JavaScript which are used in introductory courses around the world. In addition, there are integrated development environments (IDEs) targeted for users with little or no previous contact with programming [1]. The pedagogically-aware IDE provides the tools and the means to create better programs while simplifying the complexity of a typical IDE [2].

One important module of an IDE is the embedded refactoring tool, which provides support to refactoring operations intended to improve the design of an existing code base [3] without changing the behavior of the program. Languages used in introductory courses already have refactoring tools

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available, however they were made for more advanced users and not for beginners. The lack of refactoring operations for beginner users makes those refactoring tools unfit for a beginner.

A refactoring tool for beginner users needs to improve code made by them, with refactoring operations for the typical errors made, and simple enough to be used by a beginner user. Automatic detection would also help the users to know the refactoring operations available and where they are applicable.

In contrast, the typical refactoring tools do not provide any support for the detection of code which might or should be refactored. On top of that, the IDEs in which most of those tools are embedded, such as Eclipse[4], IntelliJ [5], NetBeans [6], VisualStudio[7], Vim[8], Emacs[9], are too complex for beginners to use, requiring the user to understand several complex menus do or to learn the special commands to properly use the IDE. Therefore having a steep learning curve, which makes it difficult to beginners explore the tool. For instance, Eclipse has around 300 menu bar options and Visual studio 280 witch is a massive amount of options for the user to select. On the other end, Dr-Racket has around 100. Regardless the number of options available, the options available in Eclipse or in Visual studio were in average more complex than the options available in DrRacket.

Provide a refactoring tool aimed (made) for beginners, students that have one semester of programing classes, that helps to improve typical design errors made and in addition can make suggestions showing the possible refactoring operations found. Such refactoring tool brings a new set of options for the beginners to use in order to safely improve their code and while they get used to a refactoring tool.

DrRacket, formely known as DrScheme is a pedagogical IDE [10] [11], tailor made for the Racket programming language, which currently does not have refactoring operations exept from the rename. Such refactoring tool would be an extension to the DrRacket IDE that is already used in several introductory courses, and known as a good language to learn how to program.

### 2. RELATED WORK

Several refactoring tools were analyzed to guide our development. Our focus was on dynamic languages which are used in introductory courses or that have similarities with Racket.

### 2.1 Scheme

A refactoring tool [12] for scheme, implemented in Lisp that uses two forms of information, AST (Abstract Syntax Tree) and PDG (Program Dependence Graph).

The AST represents the abstract syntactic structure of the program. While the PDG explicitly represents the key relationship of dependence between operations in the program. The graph vertices's represent program operations and the edges represent the flow of data and control between operations. However the PDG only has dependency information of the program and relying only in this information to represent the program could create problems. For example, if two semantically unrelated statements they could be placed arbitrarily with respect to each other. Using the AST as the main representation of the program ensures that statements are not arbitrarily reordered. And the PDG is only used as a notation to prove that transformations preserve the meaning and as a quick way to retrieve needed dependence information. Contours are used with the PDG providing scope information, which is non existent in the PDG, to help reason about transformations in the PDG. With these structures it is possible to have a single formalism to reason effectively about flow dependencies and scope structure.

# 2.2 Haskell

HaRe [13] is a refactoring tool for Haskell that integrates with Emacs and Vim. The HaRe system uses an AST of the program to be refactored in order to reason about the transformations to do. The system has also a token stream in order to preserve the comments and the program layout by keeping information about the source code location and the comments of all tokens. It retrieves scope information from the AST, that allows to have refactoring operations that require binding information of variables. The system also allows the users to design their own refactoring operations using the HaRe API.

### 2.3 Python

#### 2.3.1 Rope

Rope[14, p. 109] is a Python refactoring tool written in Python, which works like a Python library. In order to make it easier to create refactoring operations, Rope assumes that a Python program only has assignments and functions calls. Thus, by limiting the complexity of the language it reduces the complexity of the refactoring tool. Rope uses a Static Object Analysis, which analyses the modules or scope to get information about functions. Rope only analyses the scopes when they change and it only analyses the modules when asked by the user, because this approach is time consuming.

Rope also uses a Dynamic Object Analysis that requires running the program in order to work. The Dynamic Object Analysis gathers type information and parameters passed to and returned from functions in order to get all the information needed. It stores the information collected by the analysis in a database. If Rope needs the information and there is nothing on the database the Static object inference starts trying to infer the object information. This approach makes the program run much slower, thus it is only active when the user decides. Rope uses an AST in order to store the syntax information about the programs.

### 2.3.2 Bicycle Repair Man

Bicycle Repair Man Bicycle Repair Man is a Refactoring

Tool for Python written in Python. This refactoring tool can be added to IDEs and editors, such as Emacs, Vi, Eclipse, and Sublime Text. Bicycle Repair Man is an attempt to create the refactoring browser functionality for Python and has the following refactoring operations: extract method, extract variable, inline variable, move to module, and rename.

The tool has an AST to represent the program and a database that has information about several program entities and dependency information.

#### 2.3.3 Pycharm-edu

Pycharm Educational Edition<sup>1</sup>, or Pycharm edu, is a IDE for Python created by JetBrains, the creator of IntelliJ. The IDE was specially designed for the educational purpose, for programmers with little or no previous coding experience. Pycharm EDU is a simpler version of Pycharm community which is the free python IDE created by JetBrains. Therefore it is very similar to their normal IDEs and it has interesting features such as code completion, version control tools integration. However it has a simpler interface when compared with Pycharm Community and other IDEs such as Eclipse or Visual Studio.

It has integrated a python tutorial and the big advantage is the possibility of the teachers creating tasks/tutorials for the students. However the Refactoring Tool did not received the same care as the IDE itself. The refactoring operations are exactly the as the Pycharm community IDE which were made for more advanced users. Therefore it does not provide specific refactoring operations to beginners. The embedded refactoring tool uses the AST and Def-use relations in the refactoring operations.

# 2.4 Javascript

There are few refactoring tools for JavaScript but there is a framework [15] for refactoring JavaScript programs. In order to guarantee the correctness of the refactoring operation, the framework uses preconditions, expressed as query analyses provided by pointer analysis. Queries to the pointer analysis produces over-approximations of sets in a safe way to have correct refactoring operations. For example, while doing a rename operation, it over-approximates the set of expressions that must be modified when a property is renamed in a safe manner. To prove the concept, three refactoring operations were implemented, namely rename, encapsulate property, and extract module. By using overapproximations it is possible to be sure when a refactoring operation is valid. However, this approach has the disadvantage of not applying every possible refactoring operation, because the refactoring operations for which the framework cannot guarantee behavior preservation are prevented. The wrongly prevented operations accounts for 6.2% of all rejections.

#### 3. ARCHITECTURE

In order to create correct refactoring operations, the refactoring tool uses two sources of information, the def-use-relations and the AST of the program. The def-use-relations are visually represented in a form of Arrows in DrRacket. That information is especially relevant for refactoring operations such as Extrac-Function, Add-Prefix, Organize-Imports,

<sup>&</sup>lt;sup>1</sup>https://www.jetbrains.com/pycharm-edu/

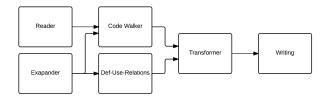


Figure 1: Information flow between modules.

etc.

The AST is represented by the syntax expressions (s-exp) which composes the racket program. In Racket everything is a syntax-expression and therefore accessing the list (tree) of the syntax-expressions has all the information that a normal AST provides.

Figure 3 summarizes the work flow of the refactoring tool where the Reader produces the non expanded program while the Expander produces the expanded program. Afterwards the Code walker parses the AST produced by the Reader or the Expander depending the cases. In order to produce the Def-Use-Relations it is necessary to use the code produced by the Expander because it has the correct dependency information. The Transformer uses both information from the Code Walker and the Def Use Relations to transform the code. Then it goes to the Writing module that produces the output in the Definitions area.

# 3.1 Syntax Expressions

The s-exp list represent the AST, which provides information about the structure of the program. The s-exp list it is already being produced and used by the Racket language and in DrRacket. They represent the program and are computed in order to provide error information to the user. DrRacket already provides functions which computes the program's s-exp list and uses some of those functions in the online check syntax and in the check syntax button callback.

# 3.1.1 Syntax Expression tree forms

DrRacket provides functions to compute the s-exp list in two different formats. One format is the expanded program, this format is used by the Check Syntax and the online check syntax, and computes the program with all the macros expanded. The other format is the non-expanded program and computes the program with the macros unexpanded.

The expanded program has the macros expanded and the identifier information correctly computed. However it is harder to extract the relevant information when compared with the non expanded program.

For example, the following program is represented in the expanded program, and in the non expanded program.

Listing 1: "example"

(and #f #t)

Listing 2: "Expanded program"
#<syntax:2:0
(#%app call-with-values</pre>

```
(lambda () (if (quote #f)
  (quote #t) (quote #f)))
print-values)>
```

Listing 3: "Non expanded program"
#<syntax:2:0 (and #f #t)>

The expanded program transforms the "and", "or", "when" and "unless" forms into ifs and that makes refactoring operations harder to implement.

Racket adds internal representation information to the expanded-program which for most refactoring operations are not needed.

However, the expanded program has important information regarding the binding information that is not available in the non-expanded form and is rather useful to detect if two identifiers refer to the same binding. In addition, the expanded program has a format that is likely to change in the future. Racket is an evolving language and the expanded form is a low level and internal form of representation of the program.

Therefore it is desirable to use the non expanded form for the refactoring operations whenever possible and use the expanded form only for the necessary operations.

Macros usage could make the refactoring operations incorrect by modifying the program behavior. However, since the refactoring tool is targeted at unexperienced programmers macros will not be used often and therefore it is not considered part of the scope of this refactoring tool capabilities. Nevertheless, if we intended to create a tool that gives support to refactoring macros using the non expanded program would let to errors making the expanded program the representation of the program used. However, there are no guarantees that would be enough to ensure the correctness of such refactoring operations due to the reflection capabilities of Racket.

# 3.2 Def-Use-Relations

Def-Use-Relations holds an important information in order to produce correct refactoring operations. They can be used to check whether or not there will be a duplicated name or even to compute the arguments of a function to be extracted. DrRacket already uses the def-use-relations in the system and they are visually represented by arrows in the GUI. The def-use-relations is computed by the online-compiler that runs in the background. However it is only processed when a program is syntactically correct. (e.g. if a program has syntax errors there are no arrows produced in DrRacket)

### 3.3 Code-walker

The code-walker is used to parse the syntax tree represented by a syntax elements that is a list of s-exp in racket. A syntax element can contain either a symbol, a syntax-pair, a datum (number, boolean or string), or an empty list. While a syntax-pair is a pair containing a syntax object as it first element and either a syntax pair, a syntax element or an empty list as the second argument. Each syntax-object has information about the line where they are defined and this information is to search for the correct elements.

Most of the time using the code-walker we are searching for a specific syntax element and location information contained in the syntax-object is used to skip the syntax blocks that are before the syntax element wanted in the first place.

The Code-walker is a core part of the refactoring tool ensuring that the selected syntax is correctly fed to the refactoring operations.

# 3.4 Pretty-printer

Producing correct output is an important part of the refactoring tool. It is necessary to be careful to produce indented code and we decided to use a pretty-printer that is already incorporated in the language. However this pretty-printer does not follow the convention in the cond clauses should be surrounded by [] parenthesis. This is not considered a problem because Racket supports both representations. One possible solution is to use a different pretty-printer in order to keep the language convention.

# 3.5 Comments preservation

Preserving the comment after a refactoring transformation is an important task of the refactoring tool. If the comment in determined place of the program changes hits location affecting another structure it could confuse the programmer. However comment preservation is not implemented yet, making it a limitation of this prototype.

One possible solution is to modify the syntax reader and add a comment node to the AST. While the new node will not be used during refactoring transformations it is used during the output part of the refactoring operation preserving the comment with the correct syntax expression.

# 3.6 Syntax-Parse

The Syntax-Parse function provided by Racket is rather useful for the refactoring operations regarding mainly syntax information. It provides a wide range of options to help matching the correct syntax with backtracking. The backtracking it is possible to have several rules to be matched in the same syntax parser, which helps to create more sophisticated rules.

#### 4. REFACTORING OPERATIONS

In this section we explain some of the more relevant refactoring operations and some limitations of the refactoring tool.

### 4.1 Semantic problems

There are known semantic problems that might occur after doing a refactoring operation. One problem occurs when removing the and of the following example.

```
Listing 4: "And example"
(and (< 1 (foo 2)) (< (foo 2) 3))
```

The refactoring transforms the code into this:

```
Listing 5: "Example" (< 1 (foo 2) 3)
```

```
Listing 6: "Foo" (define (foo arg) (displayln "foo"))
```

Instead of applying the side effect that is displaying the the string "foo" it will only display the it once. Therefore changing the meaning of the program.

We still kept this refactoring operation because in the vast majority of the cases this refactoring operation does not change the semantic of the program. Furthermore, the possible solution would limit excessively this refactoring operation. Considering Racket's reflection capabilities we would only apply this refactoring operation safely when the arguments of the function, in this case " < " were datums (number, boolean or string).

### 4.2 Extract Function

Extract function is an important refactoring operation that every refactoring tool should have. However there are some concerns to have into account. In order to extract a function it is necessary to compute the arguments needed to the correct use of the function. While giving the name to a function seams quite straightforward it is necessary to check for name duplication in order to produce a correct refactoring. (e.g. having two identifiers with the same name, in the same scope produces an incorrect program and therefore modifying the meaning of the program). Then computing the body and replacing it by the call should be straightforward. Another problem is where should the function extracted to. A function can not be defined in an expression, (e.g inside a let) but it could be defined in the top-level or in any other level that is accessible from the top level.

e.g: When extracting the (+12) to a function where should it be defined? Top-level? level-0 level-1 or in the current level, level-2?

Listing 7: "Extract function levels"

The fact is that is extremely difficult to know the answer to this question because it depends on what the user is doing and the user interpretation. Accordingly we think that the best solution is to let the user decide where he want the function defined.

### 4.2.1 Computing the arguments

In order to compute the arguments we have to know in which scope the variables are being defined, in other words, if the variables are defined inside or outside the extracted function. The variables defined outside the function to be extract are the candidates to be the argument of that function, however imported variables, whether from the language or from other libraries does not have to be passed as arguments. We considered two possible solutions:

```
-Def-use-relations + Text information
```

-Def-use-relations + AST

The first approach is simpler to implement and more direct than the second one. However it is less tolerant to future changes and to errors. The second one combines the Arrow information with the syntax information to check whether it is imported from the language or from other library.

We choose the second approach in order to provide a more stable solution to compute correctly the arguments of the new function.

### 4.3 Let to Define

Changing a let form to a define could be rather useful when the user notices that instead of a let form it should be a function.

There are several types of let forms, but the most common are the let and the let\*. There is a subtle difference between this two keywords that influences directly the simplicity of the solution. The let defines variables independently, while let\* can use the value of the variable defined before.

```
Listing 8: "let form"
(define a 10)
(let ([a 1]
        [b (+ a 1)])
        ...
```

The let defines the variables independently thus the value of b is 11.

However in the case of let\* the value of b is 2.

Named let is a let that has a name and can be called, like a function. The named let is directly mapped as a function and therefore might be useful to transform to a function. The same applies from a function to a named let. However this has a problem, a let form can be used in expressions, but the define can not. In the vast majority of cases this refactoring is correct, but when a let is used in an expression it is not correct and it changes the meaning of the program, transforming a correct program in a incorrect one. e.g.

```
Listing 10: "Let in an expression" (and (let* test ((a 1)) (< a 2)) (< b c))
```

Modifying this named let into a define would raise a syntax error because a define could not be used in an expression context.

This could be solved by using the local keyword that is an expression like the let form. However the local is not used very often and can confuse the users. This reason made us keep the refactoring operation without the local keyword that works for most of the cases.

### 4.4 Wide-Scope Replacement

The Wide-Scope replacement brings the possibility to replace all the duplicated code with a function call. This is usually performed after an extract function refactoring.

The Wide-Scope replacement refactoring operation searches for the code that is duplicated of the extracted function and then it replaces for the call of the extracted function and it is divided in two steps:

- Detect duplicated code

- Replace the duplicated code

Replacing the duplicated code is the easy part, however the tool might has to compute the arguments for the duplicated code itself. The argument computation occurs when the code is the same, but it has different variable names. This is not yet in this version of the refactoring tool.

### 4.4.1 Detecting duplicated code

Correctly detect code duplication is a key part for the correctness of this refactoring. Even the simplest form of duplicated code detection, when it only detects code duplication when the code is exactly equal, may have some problems regarding the bindings. For example, if the duplicated code is inside a let that changes some binding that must be taken into consideration. Racket already provides functions that compute if the bindings are the same. However that does not work if we consider the program in the not expanded form because there is not enough information for those bindings to work.

Therefore, in order to compute the correct bindings, it is necessary to use the expanded form of the program.

The naive solution is to use the expanded program to detect the duplicated code and then use this information to do the replacing of the duplicated code. However when expanding the program Racket adds necessary internal information to run the program itself that are not visible for the user. While this does not change the detecting of the duplicated code, this adds unnecessary information that would have to be removed. In order to solve this problem in a simple way we can use the expanded code to detect the correctly duplicated code and use the non expand program to compute which code will be replaced.

However this detection is a quadratic algorithm which might have some performance problems for bigger programs.

Detecting duplicated code can be added to the automatic detection of possible refactoring operations to be applied. Notifying the users of a possible extract function operation if there is duplicated code. This is a rather useful notification because for programs that are bigger than the visible part of the screen. Which might be difficulty for the user to remember if a piece of code was duplicated or not.

### 4.5 Ambiguities

There are some cases where two types of syntax refactoring apply. E.g.:

The two different refactoring transformations are possible:

```
Listing 12: "Refactoring option 1" (when ?x ?y ...)
```

```
Listing 13: "Refactoring option 2" (and ?x (begin ?y ...))
```

The programmer may want in some situations choose one approach and in others choose the other one. For example if

a programmer is creating a predicate may choose the "and" version, whereas if the programmer is using another control structure may prefer the "when" version.

This example shows how hard it is to have an semi-automated refactoring tool that gives suggestions. It could displays both possibilities, but that will create an precedent meaning that if a refactoring has several possibilities the tool has to display every one. Or it could only display that there is a refactoring opportunity. This requires further reflection to choose the best approach to the problem.

#### 5. FEATURES

This section describes some of the features that improve the utility of this refactoring tool to beginner users.

#### 5.1 User FeedBack

It is important to give proper feedback to the user while the user is atempting or preforming refactoring operations. Instead of just disabling the refactoring operation button the tool should provide information about the steps needed in order to be possible to apply that refactoring operation. However, after an analysis it was clear that these situations rarely occur in Racket language and therefore it was not implemented. However if a language is statement based instead of expression based the situation changes and the importance of the User Feedback increases.

Previewing the outcome of a refactoring operation is an efficient form to help the users understand the result of a refactoring before even applying the refactoring. Previewing works by applying the refactoring operation in a copy version of the AST and displaying those changes to the user.

# 5.2 Automatic Suggesting

Beginner programmers usually do not know which refactoring operations exist or which can be applied. By having a automatic suggestion of the possible refactoring operations available the beginner programmer can have an idea what refactoring operations can be applied or not.

In order to detect possible refactoring operations it parses the code from the beginning to the end and tries to check if a refactoring is applicable. To do that it tries to match every syntax expression using syntax parse. In other words it uses brute force to check whether a expression can be applied a refactoring operation or not.

To properly display this information it highlights the sourcecode indicating that there is a possible refactoring. This feature could be improved by having a set of colors for the different types of refactoring operations. And the color intensity could be proportional to the level of suggestion. (e.g the recommended level to use extract function refactoring increases with the number of duplicated code found)

### 6. ANALYSIS

The table summarizes the data structures of the refactoring tools deeply analyzed. It is clear that the AST of a program is an essential part of the refactoring tool information with every Refactoring tool having an AST to represent the program. Regarding the PDG and Database it has mainly information about the def-use-relation of the program. The PDG has also control flow information among others.

HaRe only uses the AST as a source of information of the program. Thus, by not having the def-use-relation or a PDG

Table 1: Data Structures				
Name	AST	PDG	Database	Others
Griswold	X	X		
HaRe	X			
Rope	X		X	
Bicycle	X		X	
Pycharm	X		X	
Javascript				X

it has less information to perform the refactoring operations. However because HaRe is for the Haskell program language that is purely-functional programming language that extra information is not necessary to perform a good set of refactoring operations correctly.

Our implementation uses the same data structures, the AST and def-use-relations. The def-use-relation is often represented as a database, some refactoring tools annotate that information in the AST, some tools extract the information from the AST itself when it is possible and it is possible to extract that information from the PDG. Some tools have more information about the program, either because they need that information to perform the refactoring operation or because they need to prove that the refactoring is correct.

Some tools like the one build by Griswold focus on the correctness of the refactoring operations. Others, focus in offering refactoring operations for professional or advanced users. However, the goal of our refactoring tool is to provide refactoring operations designed for beginners. Therefore we are not interested in refactoring operations formerly proven correct or provide refactoring operations only used in advanced and complex use cases. We intend to have simple, useful, and correct refactoring operations for the usual use cases a beginner would use. With this set of scope we exclude macros usage, classes and other complex structures not used by beginners.

#### 7. EVALUATION

Case Study: (find a good ones) FP Project [INSERT EXAMPLES HERE]

Listing 15: "Refactoring and expression" (and

```
1 | #lang racket
2 | (>= n_plays 35)
```

```
(and (and (eq? #t (correct-moviment? player play))
2
3
                 (eq? #t (player-piece? player play)))
4
             (and (eq? #t (empty-destination? play))
5
                 (eq? #t (empty-start? play))))
   (and (eq? #t (correct-moviment? player play))
3
4
        (eq? #t (player-piece? player play))
5
        (eq? #t (empty-destination? play))
6
        (eq? #t (empty-start? play)))
  (and
   (eq? #t (movimento-valido? jogador jogada))
   (eq? #t (peca-jogador? jogador jogada)))
   (eq? #t (casa-destino-vazia? jogada))
   (eq? #t (casa-inicial-vazia? jogada))))
```

These are some real examples of pieces of code made for beginners, in the course project of the programming introductory course.

The examples show the usage of some of the refactoring operations previous presented and here is explained the motivation for their existence. This examples appear repeatedly in almost every project supporting the need to this kind of refactoring.

Beginners often use error and trial approach in code writing which led to peaces of code like the presented above. If the users had a refactoring tool that highlighted their code in areas that could be improved, they probably would not have this kind of code.

### 8. CONCLUSION

1

#lang racket

The growing interest in programming as a skill combined with the need of areas non related with any computation field creating the need to improve the support given to the beginner user. Therefore a refactoring tool designed for beginners in a pedagogical environment such as DrRacket would benefit those users as it would help them in their first contact with a refactoring tool and improve their code safely.

Our solution tries to help those users to improve their programs and to facilitate the first contact with a refactoring tool. By having a refactoring tool designed for beginners in a pedagogical environment that suggests possible refactoring operations.

We also shown the practicability of the refactoring tool with simple refactoring operations that improved safely the beginners code.

There are some improvements that we consider important and in the future it would be a huge improvement detecting when a developer is refactoring in order to help the developer finish the refactoring by doing it automatically [16].

The detection of duplicated code is still very naive and improving to understand if two variables represent the same even if the names are different or even if the order of some commutative expressions is not the same would make a huge improvement on the automatic suggestion.

It is possible to improve the automatic suggestion of refactoring operations by having different colors for different types of refactoring operations. This would let the user know what refactoring is being suggested without having to se-

lect the area. Another improvement that could be made is the color intensity of the suggestion. With a lower intensity for low "priority" refactoring operations and a high intensity for higher "priority". Thus giving the user a better knowledge of what is a better way to solve a problem or what is a strongly recommendation to change the code.

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