

1 Regular languages

1.1 Deterministic Finite Automaton

Σ a finite non-empty set called an **alphabet**. Typically $\Sigma = 0, 1$.

A **string** w is a finite sequence of symbols -aka. alphabets) in Σ .

We have:

ϵ := zero symbols

$|w|$ = number of symbols in w

$\Sigma^k := \{w : w \text{ string over } \Sigma \mid |w| = k\}$

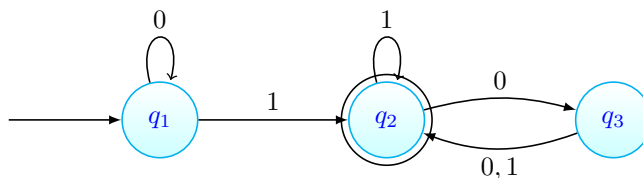
$\Sigma^* := \bigcup_{k \geq 0} \Sigma^k, \Sigma := \bigcup_{k \geq 1} \Sigma^k$

A **language** L over Σ is a subset of Σ^* . The concatenation of $x = x_1 \dots x_n$ and $y = y_1 \dots y_m$ is

$$xy = x_1 \dots x_n y_1 \dots y_m$$

Note : A language is called a **problem** when strings are given some interpretation (e.g. view $w \in \Sigma^*$ as the binary representation of an integer).

An **automaton** is an abstract model of computation :



Terminology:

- Transition diagram
- Start arrow
- Accept state

It reads an input $w = w_1 \dots w_n$ from left to right. It follows the arcs according to the w_i starting at the start state. It accepts IFF it ends up in an accepting state after reading the whole input. It rejects otherwise.

Formally, a **Deterministic Finite Automaton (DFA)** is a 5-tuple $\mathcal{D} = (Q, \sigma, \delta, q_0, F)$ where:

- Q is a finite set of states
- Σ is an alphabet
- $\delta : Q \times \Sigma \rightarrow Q$ is a transition function
- $q_0 \in Q$ is the start state

- $F \subseteq Q$ is the set of the accepting states

We define the **Extended Transition Function** $\hat{\delta}$ of D as follows :

$$\hat{\delta}(q, \epsilon) := q, \hat{\delta}(q, xa) := \delta(\hat{\delta}(q, x), a)$$

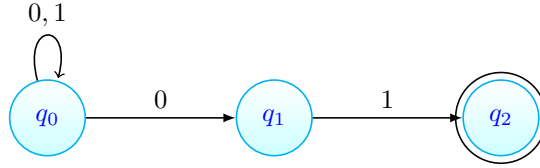
We define the **language of D** as follows :

$$\mathcal{L}(\mathcal{D}) := \{w \in \Sigma^* \mid \hat{\delta}(q_0, w) \in F\}$$

We say that D recognizes a language L if $L = \mathcal{L}(\mathcal{D})$ We say $L \subseteq \Sigma^*$ is **regular** if $L = \mathcal{L}(\mathcal{D})$ for some D

1.2 Non-Deterministic Finite Automaton

Nondeterminism : A generally useful concept in studying computation. It gives the power of being in several states at once. Example:



This automaton accepts any string ending in 01.

Formally : A **NFA** is a 5-tuple $\mathcal{N} = (Q, \Sigma, \delta, q_0, F)$ where Q, Σ, q_0, F are defined as previously and $\delta : Q \times \Sigma \rightarrow \mathcal{P}(Q)$ is the transition function.

The extended transition function is:

$$\hat{\delta}(q, \epsilon) = \{q\}, \hat{\delta}(q, xa) = \bigcup_{p \in \hat{\delta}(q, x)} \delta(p, a)$$

We let:

$$\mathcal{L}(\mathcal{N}) = \{w \in \Sigma^* \mid \hat{\delta}(q, xa) \cap F \neq \emptyset\}$$

1.3 Equivalence of DFAs and NFAs

Now, we want to find a way to convert an **NFA** \mathcal{N} into a **DFA**, ie. find some \mathcal{D} DFA such that $\mathcal{L}(\mathcal{N}) = \mathcal{L}(\mathcal{D})$

Construction :

Let $\mathcal{N} = (Q_{\mathcal{N}}, \Sigma, \delta_{\mathcal{N}}, q_0, F)$. Consider $\mathcal{D} = (Q_{\mathcal{D}}, \Sigma, \delta_{\mathcal{D}}, q_0, F)$ as follows :

- $Q_{\mathcal{D}} = \mathcal{P}(Q_{\mathcal{N}})$
- $\delta_{\mathcal{D}}$ so that : $\forall a \in \Sigma, \forall s \in Q_{\mathcal{D}}$, we have :

$$\delta_{\mathcal{D}}(s, a) := \bigcup_{p \in s} \delta_{\mathcal{N}}(p, a)$$

- $F_{\mathcal{D}} = \{s \subseteq Q_{\mathcal{N}} \mid s \cap F_{\mathcal{N}} \neq \emptyset\}$

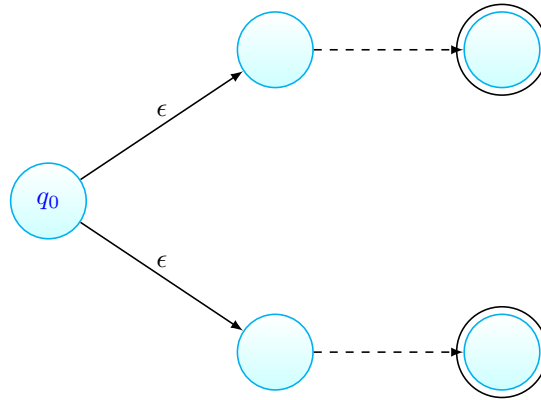
Theorem : These automaton denote the same language. ■

Note : When converting, the number of states grows exponentially.

1.4 ϵ -NFA

Idea : Allow transition without reading any input symbol (ie. reading ϵ).

Example : One can simply build an automaton denoting the union of two regular languages.



Definition : $\mathcal{E} - Closure(q) = \{q' \in Q \mid q' \text{ can be reached from } q \text{ by following only } \epsilon\text{-transitions over multiple transitions}\}$. We can hence extend the definition of the extended transition function to ϵ -NFAs by having:

$$\hat{\delta}(q, \epsilon) := \mathcal{E} - Closure(q)$$