# Convolutional neural networks

Support: python3 with Tensorflow

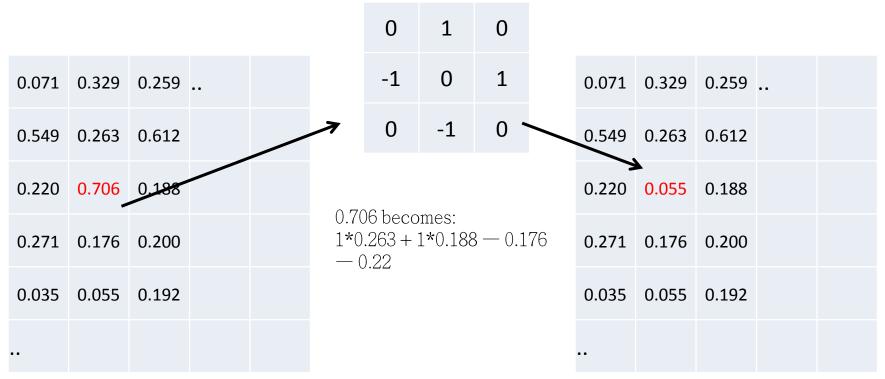
#### Mathieu RAVAUT July 21st, 2017

#### Outline:

- I. Convolutions.
- II. CNNs history
- III. CNNs today
- IV. Workshop 1: 2D CNN classifier on Cifar-10
- V. From 2D to 3D
- VI. Workshop 2: 3D CNN classifier on nodules

#### I. Convolutions: filters

Basic idea: slide a weights "small window" across all the image to capture spatial info

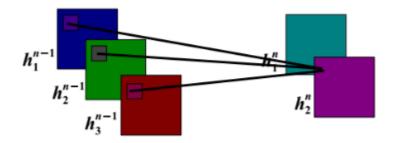


Input image Output image

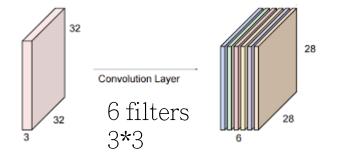
Typical window sizes: 3\*3, 5\*5, 7\*7 (square odd size)

#### I. Convolutions: filters

Filters on a multi-channel input (such as intermediate layers):



Filters get multichannel, and we sum contributions from each 2D filter

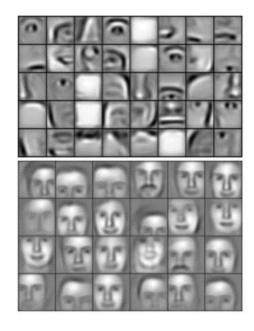


3\*6\*3\*3 = 162 parameters

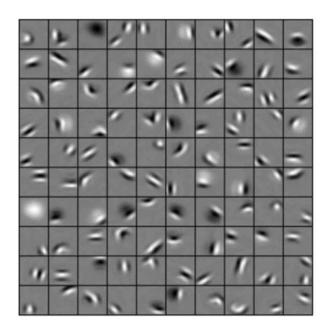
## I. Convolutions: intermediate representations

Sliding each filter across the entire image produces a **feature map**.

The deeper we go, the more high-level concepts these maps learn:



First layers

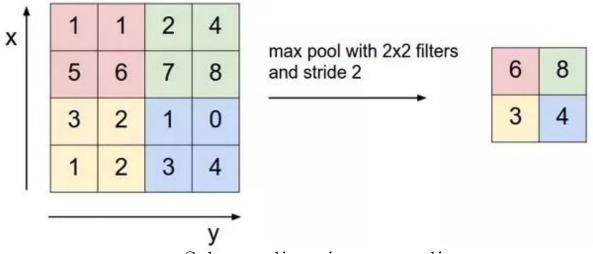


Last layers (higher-level concepts)

### I. Convolutions: sub-sampling

The idea is to reduce the dimension of the input, without loosing "too much" information.

A common way is to take the **local maximum** of group of nearby inputs:



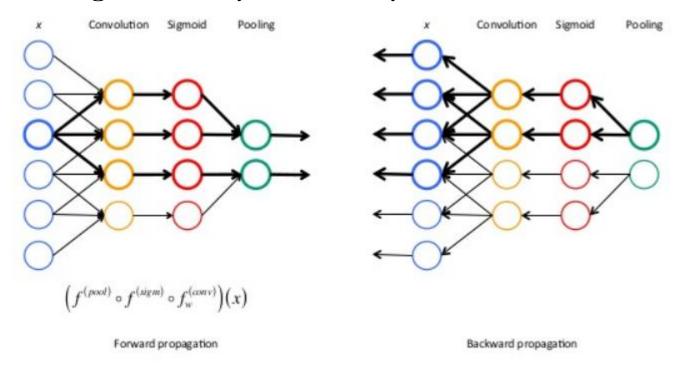
Sub-sampling via max-pooling

It is also possible to take the **average**, or minimum, etc. These pooling layers do **not contain any learning**.

CNNs are made of convolutions, sub-sampling layers and dense layers.

### I. Convolutions: activations and propagation.

CNNs can use any activation function: sigmoid, tanh, ReLU, Propagation is done with regards to the filters and pooling zones. Fewer weights than fully connected layers are used.



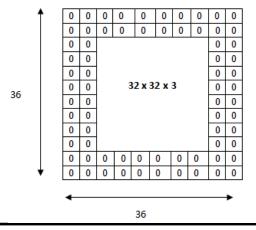
Forward and backward propagations on a Conv-activation-pooling block.

#### I. Convolutions: strides and padding

Moving filters can be done with a certain step in each direction: the stride value. Strides greater than 2 reduce dimension.

To preserve input dimension: pad around the image (with zeros for

instance):



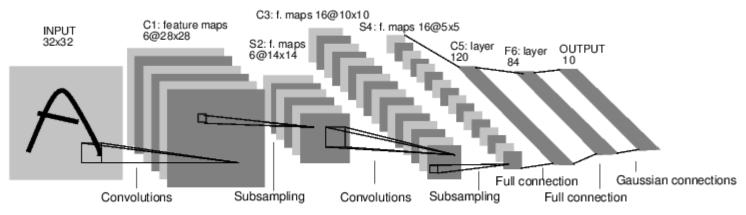
```
To conclude, setting a convolutional layer requires:
number of filters
```

- filter dimension
- \_stride value
- \_padding (yes or no)

(+ activation function, initialization, regularization)

#### II. CNNs history: LeNet (1994)

First successfully implemented CNN, originally for digits recognition (MNIST dataset).



paper: http://yann.lecun.com/exdb/publis/pdf/lecun-01a.pdf

2 Convolution + sub-sampling blocks + 3 fully connected layers

Achieves 96% classification accuracy on MNIST.

# II. CNNs history AlexNet (2012):



paper: https://papers.nips.cc/paper/4824-imagenet-classification-with-deep-convolutional-neural-networks.pdf

8 layers (5 convs + 3 FCs)

60 million parameters.

Uses ReLU as activations.

Won ImageNet 2012 by 10% margin.

#### VGG Net (2014):



19 layers (16 convs + 3 FCs)

Very heavy: 150 million

parameters

Introduces:

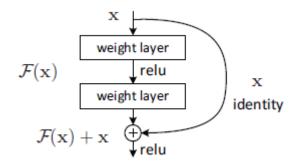
Convolution strides of 1

Won ImageNet 2014

paper: https://arxiv.org/pdf/1409.1556.pdf

### II. CNNs history: ResNet (2015)

Introduces **shortcut** connections:



paper: https://arxiv.org/pdf/1512.03385.pdf

The network keeps in mind residuals of the input layer.

As well as:

Fully convolutional (just 1 global pooling and 1 FC at then end)

**Light** model (compared to VGG) **Very deep** networks (up to 1000 layers)

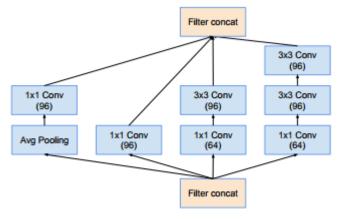
Generalized 3\*3 filters Generalized ReLU

ResNet-152 won ImageNet 2015.

It was the deepest network presented to ImageNet at the time, and was still less complex than VGG

### II. CNNs history: Inception (v4 in 2016)

#### Base block:



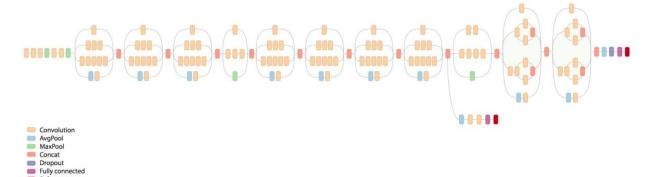
paper: https://arxiv.org/pdf/1602.07261.pdf

Multiple convolution branches with different filter size gathered together.

Produces multi-branches networks.

3.08% top-5 error on ImageNet (state-of-the-art).

#### Overall architecture:



### III. CNNs today: regularization.

Often, the dataset is too small to be used by these huge deep networks. Thus, regularization is crucial.



- Dataset augmentation (crops, flipping, rotations, etc)
- Early stopping
- Dropout Convolution layers: 0.7
- Weight decay with L1 and L2 norms

### III. CNNs today: guidelines.

Best working CNNs today typically make use of the following configuration:

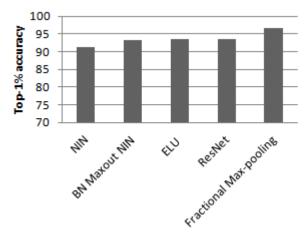
- Networks 10 to 200+ layers deep.
- Convolutions with filter size 3\*3 and shortcut connections Get rid of FCs layers Sub-sample via convolution strides
- ReLU or Leaky-ReLU as activation function everywhere
- SGD gradient descent with momentum or Adam or RMSProp optimizer
- Regularization with weight-decay with L2 norm
- Prevent gradient vanishing with batch-normalization -> go very deep

papers: https://arxiv.org/pdf/1502.03167.pdf

#### III. CNNs today: performance.

On Cifar-10 (32\*32 images)

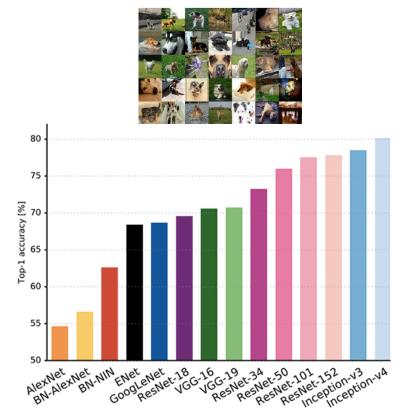




Classification accuracy of some CNNs on Cifar-10, including state-of-the-art, which is 96.5%

Human performance: 94%

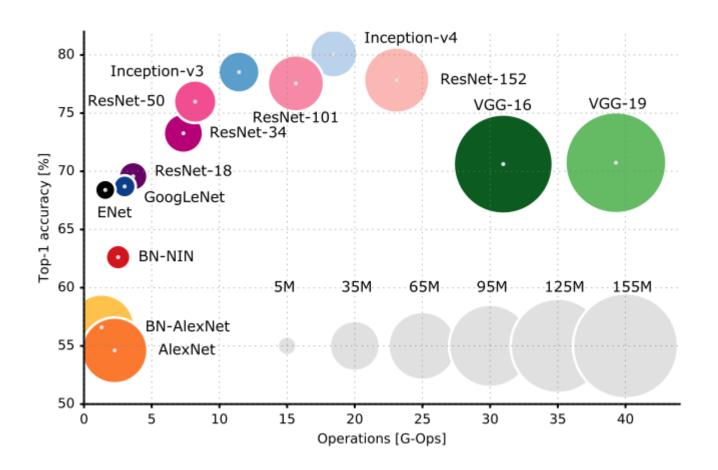
On ImageNet (224\*224 images):



Classification accuracy of some CNNs on ImageNet, including state-of-the-art, which is 80.2%

#### III. CNNs today: trade-off.

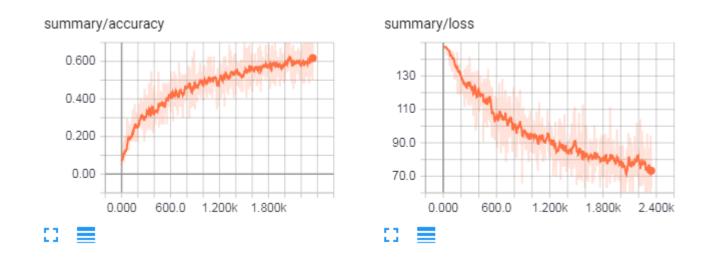
Comparing several famous models on ImageNet:



## IV. Workshop: building a 2D CNN classifier.

<u>Goal</u>: to classify images from the Cifar-10 dataset (32\*32 images) Multi-class classification problem (10 classes)

Neural network: adapted version of VGG (8 convolutions +3 dense layers)

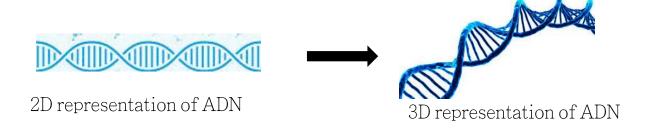


Expected training metrics

#### V. From 2D to 3D.

The curse of dimensionality.

3D representations are much, much more complex than 2D ones:



Thus we need more convolutional filters to capture spatial information BUT these filters are bigger: typically from 3\*3 to 3\*3\*3

Input tensor is 5D: (batch size, dim1, dim2, dim3, channels)

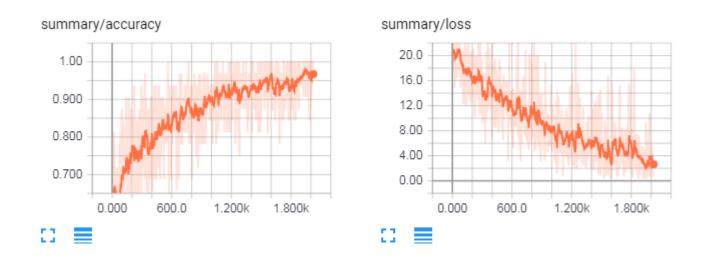
→ Intermediate representations make RAM blow up quickly

Filters are moved in 3 directions (x,y,z)

## VI. Workshop: building a 3D CNN classifier

<u>Goal</u>: to classify nodules (32\*32\*32 cubes) extracted from the LUNA-16 during the Kaggle Data Science Bowl 2017.
Binary classification problem.

#### Neural network: ResNet-18



Expected training metrics