# **Operations Manual**

RTG Tools 3.4.2

# **Real Time Genomics**

Edition: March 2015



# **ABSTRACT**

This manual documents the use of RTG Tools software from Real Time Genomics. It describes both product use and administration.

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# 1 Overview

This chapter introduces the features, operational options, and installation requirements of the RTG Tools data analysis software.

# 1.1 Introduction

RTG software enables the development of fast, efficient software pipelines for deep genomic analysis. RTG is built on innovative search technologies and new algorithms designed for processing high volumes of high-throughput sequencing data from different sequencing technology platforms. The RTG sequence search and alignment functions enable read mapping and protein searches with a unique combination of sensitivity and speed.

The RTG Tools platform provides a subset of the functionality available from the full suite of functions for analyzing and manipulating variant call results. These utilities can be used to perform a variety of tasks such as:

- Accuracy Evaluation Compare called variants to a set of known variants to find specificity and sensitivity, check mendelian consistency for the variants from a family, finding basic variant statistics for a set of calls.
- **Result Filtering** Find a subset of variants that match a given set of filtering criteria, extracting only the variant information required for a specific task.
- **Variant Set Manipulation** Merging multiple sets of variant results together, adding additional annotation information to existing variants.

# 1.2 RTG software description

RTG software is delivered as a single executable with multiple commands executed through a command line interface (CLI). Commands are delivered in product packages, and each command is independently enabled through a license key.

Usage:

```
rtg COMMAND [OPTIONS] <REQUIRED>
```

**NOTE:** For detailed information about RTG command syntax and usage, refer to Command Reference.

# 1.9 Installation and deployment

RTG is a self-contained tool that sets minimal expectations on the environment in which it is placed. It comes with the application components it needs to execute completely, yet performance can be enhanced with some simple modifications to the deployment configuration. This section provides guidelines for installing and creating an optimal configuration, starting from a typical recommended system.

RTG software pipeline runs in a wide range of computing environments from dual-core processor laptops to compute clusters with racks of dual processor quad core server nodes. However, internal

human genome analysis benchmarks suggest the use of six server nodes of the configuration shown in Table 2 below.

Table 2: Recommended system requirements

| Processor | Intel Core i7-2600               |
|-----------|----------------------------------|
| Memory    | 48 GB RAM DDR3                   |
| Disk      | 5 TB, 7200 RPM (prefer SAS disk) |

RTG Software can be run as a Java JAR file, but platform specific wrapper scripts are supplied to provide improved pipeline ergonomics. Instructions for a quick start installation are provided here.

For further information about setting up per-machine configuration files, please see the README.txt contained in the distribution zip file (a copy is also included in this manual's appendix).

# 1.9.1 Quick start instructions

These instructions are intended for an individual to install and operate the RTG software without the need to establish root / admin privileges.

RTG software is delivered in a compressed zip file, such as: rtg-core-3.3.zip. Unzip this file to begin installation.

Linux and Windows distributions include a Java Virtual Machine (JVM) version 1.7 that has undergone quality assurance testing. RTG may be used on other operating systems for which a JVM version 1.7 or higher is available, such as MacOS X or Solaris, by using the "no-jre" distribution.

RTG for Java is delivered as a Java application accessed via executable wrapper script (rtg on UNIX systems, rtg.bat on Windows) that allows a user to customize initial memory allocation and other configuration options. It is recommended that these wrapper scripts be used rather than directly executing the Java JAR.

Here are platform-specific instructions for RTG deployment.

#### Linux/MacOS X:

- Unzip the RTG distribution to the desired location.
- If your distribution requires a license file (rtg-license.txt), copy the license file from Real Time Genomics into the RTG distribution directory.
- Test for success by entering './rtg version' at the command line. The first time rtg is executed you will be prompted with some questions to customize your installation. Follow the prompts.
- Enter'./rtg help' for a list of rtg commands.
- By default, RTG software scripts establish a memory space of 90% of the available RAM this is automatically calculated. One may override this limit in the rtg.cfg settings file or

on a per-run basis by supplying RTG\_MEM as an environment variable or as the first program argument, e.g.: './rtq RTG\_MEM=48q map'

#### Windows:

- Unzip the RTG distribution to the desired location.
- If your distribution requires a license, copy the license file from Real Time Genomics (rtg-license.txt) into the RTG distribution directory.
- Test for success by entering 'rtg version' at the command line. The first time rtg is executed you will be prompted with some questions to customize your installation. Follow the prompts.
- Enter 'rtg help' for a list of rtg commands.
- By default, RTG software scripts establish a memory space of 90% of the available RAM this is automatically calculated. One may override this limit by setting the RTG\_MEM variable in the rtq.bat script or as an environment variable.

# 1.9.2 License Management

Some RTG products require the presence of a valid license file for operation.

The license file must be located in the same directory as the RTG executable. The license enables the execution of a particular command set for the purchased product(s) and features.

A license key allows flexible use of the RTG package on any node or CPU core.

To view the current license features at the command prompt, enter:

```
$ rtg license
```

**NOTE:** For more data center deployment and instructions for editing scripts, see Section 5 *Administration*.

# 1.10 Technical assistance and support

For assistance with any technical or conceptual issue that may arise during use of the RTG product, contact Real Time Genomics Technical Support via email at <a href="mailto:support@realtimegenomics.com">support@realtimegenomics.com</a>.

In addition, a discussion group is available at:

https://groups.google.com/a/realtimegenomics.com/forum/#!forum/rtg-users

A low-traffic announcements-only group is available at:

https://groups.google.com/a/realtimegenomics.com/forum/#!forum/rtg-announce

# 2 RTG Command Reference

This chapter describes RTG commands with a generic description of parameter options and usage. This section also includes expected operation and output results.

# 2.1 Command line interface (CLI)

RTG is installed as a single executable in any system subdirectory where permissions authorize a particular community of users to run the application. RTG commands are executed through the RTG command-line interface (CLI). Each command has its own set of parameters and options described in this section. The availability of each command may be determined by the RTG license that has been installed. Contact support@realtimegenomics.com to discuss changing the set of commands that are enabled by your license.

Results are organized in results directories defined by command parameters and settings. The command line shell environment should include a set of familiar text post-processing tools, such as grep, awk, or perl. Otherwise, no additional applications such as databases or directory services are required.

# 2.2 RTG command syntax

# **Usage:**

```
rtg COMMAND [OPTIONS] <REQUIRED>
```

To run an RTG command at the command prompt (either DOS window or Unix terminal), type the product name followed by the command and all required and optional parameters. Example:

```
$ rtg format -o human REF SDF human REF.fasta
```

Typically results are written to output files specified with the −o option. There is no default filename or filename extension added to commands requiring specification of an output directory or format.

Many times, unfiltered output files are very large; the built-in compression option generates block compressed output files with the .gz extension automatically unless the parameter -Z or --no-gzip is issued with the command.

Many command parameters require user-supplied information, as shown in the following:

| User-specified | Description   |
|----------------|---|
| DIR, FILE      | File or directory name(s)                                   |
| INT            | Integer value   |
| FLOAT          | Floating point decimal value                                |
| STRING         | A sequence of characters for comments, filenames, or labels |

To display all parameters and syntax associated with an RTG command, enter the command and type --help. For example: all parameters available for the RTG format command are displayed when rtg format --help is executed, as shown below.

Converts the contents of sequence data files (FASTA/FASTQ/SAM/BAM) into the RTG Sequence Data File (SDF) format.

#### File Input/Output

| -f | format=FORMAT         | The format of the input file(s). (Must be one of [fasta, fastq, cgfastq, sam-se, sam-pe]) (Default is fasta).                      |
|----|-----------------------|--|
| -I | input-list-file=FILE  | Specifies a file containing a list of sequence data files (one per line) to be converted into an SDF.                              |
| -1 | left=FILE             | The left input file for FASTA/FASTQ paired end data.   |
| -0 | output=SDF            | The name of the output SDF.  |
| -р | protein               | Set if the input consists of protein. If this option is not specified, then the input is assumed to consist of nucleotides.        |
| -d | quality-format=FORMAT | The format of the quality data for fastq format files. (Use sanger for Illumina1.8+). (Must be one of [sanger, solexa, illumina]). |
| -r | right=FILE            | The right input file for FASTA/FASTQ paired end data.  |
|    | FILE+                 | Specifies a sequence data file to be converted into an SDF. May be specified 0 or more times.                                      |

#### Filtering

| duster                   | Treat lower case residues as unknowns.  |
|--------------------------|---|
| exclude=STRING           | Exclude individual input sequences based on their name. If the input sequence name contains the specified string then that sequence is excluded from the SDF. May be specified 0 or more times. |
| select-read-group=STRING | Set to only include only reads with this read group ID when formatting from SAM/BAM files.  |
| trim-threshold=INT       | Set to trim the read ends to maximise the base quality above the given threshold.   |

#### Utility

```
--allow-duplicate-names
                                   Set to disable duplicate name detection. Use
                                    this if you need to use less memory and you
                                    are certain there are no duplicate names in
                                    the input.
-h
     --help
                                   Prints help on command-line flag usage.
                                   Do not include sequence names in the
      --no-names
                                   resulting SDF.
                                   Do not include sequence quality data in the
      --no-quality
                                   resulting SDF.
      --sam-rq=STRING|FILE
                                   Specifies a file containing a single valid
                                    read group SAM header line or a string in
                                    the form
                                    "@RG\tID:READGROUP1\tSM:BACT_SAMPLE\tPL:ILLU
                                   MINA".
```

Required parameters are indicated in the Usage display; optional parameters are listed immediately below the Usage information in organized categories.

Use the double-dash when typing the full-word command option, as in --output:

```
$ rtg format --output human_REF_SDF human_REF.fasta
```

Alternatively, use the abbreviated character version of a full command parameter with only a single dash, as is typical for a command flag (--output is the same as command option as the abbreviated character -o):

```
$ rtg format -o human_REF human_REF.fasta
```

A set of utility commands are provided through the CLI: version, license, and help. Start with these commands to familiarize yourself with the software.

The rtg version command invokes the RTG software and triggers the launch of RTG product commands, options, and utilities:

```
$ rtg version
```

It will display the version of the RTG software installed, RAM requirements, and license expiration, for example:

```
Product: RTG
Core Version: v3.4 build 6236f4e (2014-10-31)
RAM: 40.0GB of 47.0GB RAM can be used by rtg (84%)
License: Expires on 2015-03-30
License location: /home/rtgcustomer/rtg/rtg-license.txt
Contact: support@realtimegenomics.com

Patents / Patents pending:
US: 7,640,256, 13/129,329, 13/681,046, 13/681,215, 13/848,653, 13/925,704,
14/015,295, 13/971,654, 13/971,630, 14/564,810
UK: 1222923.3, 1222921.7, 1304502.6, 1311209.9, 1314888.7, 1314908.3
New Zealand: 626777, 626783, 615491, 614897, 614560
Australia: 2005255348, Singapore: 128254

Citation:
John G. Cleary, Ross Braithwaite, Kurt Gaastra, Brian S. Hilbush, Stuart Inglis, Sean A. Irvine, Alan Jackson, Richard Littin, Sahar Nohzadeh-
```

Malakshah, Mehul Rathod, David Ware, Len Trigg, and Francisco M. De La Vega. "Joint Variant and De Novo Mutation Identification on Pedigrees from High-Throughput Sequencing Data." Journal of Computational Biology. June 2014, 21(6): 405-419. doi:10.1089/cmb.2014.0029.

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#### To see what commands you are licensed to use, type rtg license:

License: Expires on 2015-03-30

Licensed to: John Doe

License location: /home/rtgcustomer/rtg/rtg-license.txt

| Licensed? | Release Level  |
|-----------|--|
|           |  |
| Licensed  | GA   |
| Licensed  | GA   |
| Licensed  | GA   |
|           |  |
| Licensed  | GA   |
|           | Licensed |

#### To display all commands and usage parameters available to use with your license, type rtq help:

```
$ rtg help
Usage:
Type rtg help COMMAND for help on a specific command. The following
commands are available:
Data formatting:
format
             convert a FASTA file to SDF
cg2sdf
            convert Complete Genomics reads to SDF
sdf2fasta convert SDF to FASTA
             convert SDF to FASTQ
sdf2fastq
Read mapping:
map
              read mapping
              read mapping for filtering purposes read mapping for Complete Genomics data
mapf
cqmap
Protein search:
             translated protein search
mapx
Assembly:
```

assemble assemble reads into long sequences

addpacbio add Pacific Biosciences reads to an assembly

#### Variant detection:

calibrate create calibration data from SAM/BAM files syprep prepare SAM/BAM files for sv analysis

sv find structural variants

discord detect structural variant breakends using discordant reads

coverage calculate depth of coverage from SAM/BAM files

snp call variants from SAM/BAM files

family call variants for a family following Mendelian inheritance

somatic call variants for a tumor/normal pair

population call variants for multiple potentially-related individuals

lineage call de novo variants in a cell lineage

avrbuild AVR model builder avrpredict run AVR on a VCF file

cnv call CNVs from paired SAM/BAM files

#### Metagenomics:

species estimate species frequency in metagenomic samples similarity calculate similarity matrix and nearest neighbor tree

#### Simulation:

genomesim generate simulated genome sequence

cgsim generate simulated reads from a sequence readsim generate simulated reads from a sequence readsimeval evaluate accuracy of mapping simulated reads

popsim generate a VCF containing simulated population variants samplesim generate a VCF containing a genotype simulated from a

population

childsim generate a VCF containing a genotype simulated as a child of

two parents

denovosim generate a VCF containing a derived genotype containing de

novo variants

samplereplay generate the genome corresponding to a sample genotype cnvsim generate a mutated genome by adding CNVs to a template

#### Utility:

bgzip compress a file using block gzip

index create a tabix index

extract data from a tabix indexed file

sdfstats print statistics about an SDF sdfsplit split an SDF into multiple parts sdfsubset extract a subset of an SDF into a new SDF

sdfsubset extract a subset of an SDF into a new SDF sdfsubseq extract a subsequence from an SDF as text sam2bam convert SAM file to BAM file and create index

sammerge merge sorted SAM/BAM files

samstats print statistics about a SAM/BAM file rename read id to read name in SAM/BAM files mapxrename read id to read name in mapx output files mendelian check a multi-sample VCF for Mendelian consistency

vcfstats print statistics from about variants contained within a VCF

file

vcfmerge merge single-sample VCF files into a single multi-sample VCF

 $\begin{array}{ll} \text{vcffilter} & \text{filter records within a VCF file} \\ \text{vcfannotate} & \text{annotate variants within a VCF file} \\ \end{array}$ 

vcfsubset create a VCF file containing a subset of the original columns vcfeval evaluate called variants for agreement with a baseline variant

set

pedfilter filter and convert a pedigree file pedstats print information about a pedigree file avrstats print statistics about an AVR model

rocplot plot ROC curves from vcfeval ROC data files

```
usageserver run a local server for collecting RTG command usage information
version print version and license information
license print license information for all commands
help print this screen or help for specified command
```

The help command will only list the commands for which you have a license to use.

To display help and syntax information for a specific command from the command line, type the command and then the --help option, as in:

```
$ rtg format --help
```

```
NOTE: The following commands are synonymous:

rtg help format and rtg format --help
```

**NOTE:** Refer to *Installation and deployment* for information about installing the RTG product executable.

# 2.3 Data Formatting Commands

#### **2.3.1** format

## **Synopsis:**

The format command converts the contents of sequence data files (FASTA/FASTQ/SAM/BAM) into the RTG Sequence Data File (SDF) format. This step ensures efficient processing of very large data sets, by organizing the data into multiple binary files within a named directory.

#### **Syntax:**

Format one or more files specified from command line into a single SDF:

```
$ rtg format [OPTION] -o SDF FILE+
```

Format one or more files specified in a text file into a single SDF:

```
$ rtg format [OPTION] -o SDF -I FILE
```

Format mate pair reads into a single SDF:

```
$ rtg format [OPTION] -o SDF -l FILE -r FILE
```

#### **Examples:**

For FASTA (.fa) genome reference data:

```
$ rtg format -o maize_reference maize_chr*.fa
```

For FASTQ (.fq) sequence read data:

```
$ rtg format -f FASTQ -o h1_reads -l h1_sample_left.fq -r
h1_sample_right.fq
```

#### **Parameters:**

```
File Input/Output
```

```
-f -format=FORMAT The format of the input file(s). (Must be
```

one of [fasta, fastq, cgfastq, sam-se, sam-pe]) (Default is fasta). -I--input-list-file=FILE Specifies a file containing a list of sequence data files (one per line) to be converted into an SDF. -1 --left=FILE The left input file for FASTA/FASTQ paired end data. --output=SDF The name of the output SDF. -0 --protein Set if the input consists of protein. If -p this option is not specified, then the input is assumed to consist of nucleotides. --quality-format=FORMAT The format of the quality data for fastq -q format files. (Use sanger for Illumina1.8+). (Must be one of [sanger, solexa, illumina]). --right=FILE The right input file for FASTA/FASTQ paired -r end data. FILE+ Specifies a sequence data file to be converted into an SDF. May be specified 0 or more times. Filtering --duster Treat lower case residues as unknowns. --exclude=STRING Exclude individual input sequences based on their name. If the input sequence name contains the specified string then that sequence is excluded from the SDF. May be specified 0 or more times. --select-read-group=STRING Set to only include only reads with this read group ID when formatting from SAM/BAM files. --trim-threshold=INT Set to trim the read ends to maximise the base quality above the given threshold. Utility --allow-duplicate-names Set to disable duplicate name detection. Use this if you need to use less memory and you are certain there are no duplicate names in the input. -h --help Prints help on command-line flag usage. Do not include sequence names in the --no-names resulting SDF. Do not include sequence quality data in the --no-quality resulting SDF.

--sam-rg=STRING|FILE

Specifies a file containing a single valid read group SAM header line or a string in the form "@RG\tID:READGROUP1\tSM:BACT\_SAMPLE\tPL:ILLU MINA"

#### **Usage:**

Formatting takes one or more input data files and creates a single SDF. Specify the type of file to be converted, or allow default to FASTA format. When using FASTQ you must specify the quality format being used as one of sanger, solexa or illumina. As of Illumina pipeline version 1.8 and higher, quality values are encoded in Sanger format and so should be formatted using --quality-format=sanger. Output from earlier Illumina pipeline versions should be formatted using --quality-format=illumina for Illumina pipeline versions starting with 1.3 and before 1.8, or --quality-format=solexa for Illumina pipeline versions less than 1.3.

To aggregate multiple input data files, such as when formatting a reference genome consisting of multiple chromosomes, list all files on the command line or use the <code>--input-list-file</code> flag to specify a file containing the list of files to process.

For files that represent mate pair data, indicate each side respectively using the --left=FILE and --right=FILE flags.

For input FASTA and FASTQ files which are compressed, they must have a filename extension of .gz (for gzip compressed data) or .bz2 (for bzip2 compressed data).

The maps command maps translated DNA sequence data against a protein reference. You must use the -p, --protein flag to format the protein reference used by maps.

Use the sam-se format for single end SAM/BAM input files and the sam-pe format for paired end SAM/BAM input files. The SAM input format is the same as the output format of Picards FastqToSam command line tool.

See: <a href="http://picard.sourceforge.net/command-line-overview.shtml#FastqToSam">http://picard.sourceforge.net/command-line-overview.shtml#FastqToSam</a>.

The SDF for a read set can contain a SAM read group which will be automatically picked up from the input SAM/BAM files if they contain only one read group. If the input SAM/BAM files contain multiple read groups you must select a single read group from the SAM/BAM file to format using the <code>--select-read-group</code> flag or specify a custom read group with the <code>--sam-rg</code> flag. The <code>--sam-rg</code> flag can also be used to add read group information to reads given in other input formats. The SAM read group stored in an SDF will be automatically used during mapping the reads it contains to provide tracking information in the output BAM files.

The <code>--trim-threshold</code> flag can be used to trim poor quality read ends from the input reads by inspecting base qualities from FASTQ input. If and only if the quality of the final base of the read is less than the threshold given, a new read length is found which maximizes the overall quality of the retained bases using the following formula.

$$arg \max x \{ \sum_{i=x+1}^{l} (T - q(i)) \} if q(l) < T$$

Where l is the original read length, x is the new read length, T is the given threshold quality and q(n) is the quality of the base at the position n of the read.

**NOTE:** Sequencing system read files and reference genome files often have the same extension and it may not always be obvious which file is a read set and which is a genome. Before formatting a sequencing system file, open it to see which type of file it is. For example:

\$ less pf3.fa

In general, a read file typically begins with an @ or + character; a reference file typically begins with the characters chr.

See also: cg2sdf, map, sdf2fasta, sdf2fastq, sdfstats, sdfsplit

#### 2.3.3 sdf2fasta

# **Synopsis:**

Convert SDF data into a FASTA file.

# **Syntax:**

```
$ rtg sdf2fasta [OPTION]... -i SDF -o FILE
```

#### **Example:**

\$ rtg sdf2fasta -i humanSDF -o humanFASTA\_return

#### **Parameters:**

#### File Input/Output

--end-id=INT

| -i | input=SDF   | Specifies the SDF data to be converted.                           |
|----|-------------|---|
| -0 | output=FILE | Specifies the file name used to write the resulting FASTA output. |

#### Filtering

|              | than the given number. (Sequence ids start at 0).  |
|--------------|--|
| start-id=INT | Only output sequences with sequence id greater than or equal to the given number. (Sequence ids start at 0). |

Only output sequences with sequence id less

#### Utility

| -h | help            | Prints help on command-line flag usage.  |
|----|-----------------|--|
| -1 | line-length=INT | Set the maximum number of nucleotides or amino acids to print on a line of FASTA output. Should be nonnegative, with a value of 0 indicating that the line length is not capped. (Default is 0). |
| -Z | no-gzip         | Set this flag to create the FASTA output file without compression. By default the output file is compressed with blocked gzip.   |

Use the sdf2fasta command to convert SDF data into FASTA format. By default, sdf2fasta creates a separate line of FASTA output for each sequence. These lines will be as long as the sequences themselves. To make them more readable, use the -1, --line-length flag and define a reasonable record length like 75.

See also: format, cg2sdf, sdf2fastq, sdfstats, sdfsplit

# 2.3.4 sdf2fastq

# **Synopsis:**

Convert SDF data into a FASTQ file.

## **Syntax:**

```
$ rtg sdf2fastq [OPTION]... -i SDF -o FILE
```

# **Example:**

\$ rtg sdf2fastq -i humanSDF -o humanFASTQ\_return

#### **Parameters:**

# File Input/Output

| -i | input=SDF   | Specifies the SDF data                             | to be converted. |
|----|-------------|--|------------------|
| -0 | output=FILE | Specifies the file name<br>resulting FASTQ output. |                  |

#### Filtering

| end-id=INT   | Only output sequences with sequence id less than the given number. (Sequence ids start at 0).                |
|--------------|--|
| start-id=INT | Only output sequences with sequence id greater than or equal to the given number. (Sequence ids start at 0). |

#### Utility

|    | 332237             |  |
|----|--------------------|--|
| -h | help               | Prints help on command-line flag usage.  |
| -q | default-qualty=INT | Set the default quality to use if the SDF does not contain sequence quality data $(0-63)$ .  |
| -1 | line-length=INT    | Set the maximum number of nucleotides or amino acids to print on a line of FASTQ output. Should be nonnegative, with a value of 0 indicating that the line length is not capped. (Default is 0). |
| -Z | no-gzip            | Set this flag to create the FASTQ output file without compression. By default the output file is compressed with blocked gzip.   |

Use the sdf2fastq command to convert SDF data into FASTQ format. If no quality data is available in the SDF, use the -q, --default-quality flag to set a quality score for the FASTQ output. By default, sdf2fastq creates a separate line of FASTQ output for each sequence. As with sdf2fasta, there is an option to use the -1, --line-length flag to restrict the line lengths to improve readability of long sequences.

See also: format, cg2sdf, sdf2fasta, sdfstats, sdfsplit

# 2.11 Utility Commands

# 2.11.1 bgzip

# **Synopsis:**

Block compress a file or decompress a block compressed file. Block compressed outputs from the mapping and variant detection commands can be indexed with the index command. They can also be processed with standard gzip tools such as gunzip and zcat.

# **Syntax:**

```
$ rtg bgzip [OPTION]... FILE+
```

#### **Example:**

\$ rtg bgzip alignments.sam

#### **Parameters:**

#### File Input/Output

| -1 | compression-level=INT | the compression level to use, between 1 (least but fast) and 9 (highest but slow) (Default is 5)                             |
|----|-----------------------|--|
| -d | decompress            | Set to decompress the input file.  |
| -f | force                 | Overwrite the output file if it already exists.  |
|    | no-terminate          | if set, do not add the block gzip termination block  |
| -c | stdout                | Write output to standard output, keep the original files unchanged. Implied when using standard input.                       |
|    | FILE+                 | Specifies the file to be compressed or decompressed. Use '-' to read from standard input. Must be specified 1 or more times. |
|    | Utility               |  |
| -h | help                  | Prints help on command-line flag usage.  |

Use the bgzip command to block compress files. Files such as VCF, BED, SAM, TSV must be block-compressed before they can be indexed for fast retrieval of records corresponding to specific genomic regions.

See also: index

## 2.11.2 index

# **Synopsis:**

Create tabix index files for block compressed TAB-delimited genome position data files or BAM index files for BAM files.

# **Syntax:**

Multi-file input specified from command line:

```
$ rtg index [OPTION]... -f FORMAT FILE+
```

Multi-file input specified in a text file:

```
$ rtg index [OPTION]... -f FORMAT -I FILE
```

# **Example:**

```
$ rtg index -f sam alignments.sam.gz
```

#### **Parameters:**

#### File Input/Output

| -f | format=FORMAT        | Specifies format of the input files to be indexed. (Must be one of [sam, bam, sv, coveragetsv, bed, vcf]).                                 |
|----|----------------------|--|
| -I | input-list-file=FILE | Specifies a file containing a list of block compressed files (1 per line) containing data in the specified genome position format.         |
|    | FILE+                | Specifies a block compressed file containing data in the specified genome position format to be indexed. May be specified 0 or more times. |
|    | Utility              |  |
| -h | help                 | Prints help on command-line flag usage.  |

#### **Usage:**

Use the index command to produce tabix indexes for block compressed genome position data files like SAM files and the output from sv, discord, coverage and snp commands. The index command can also be used to produce BAM indexes for BAM files with no index.

See also: map, coverage, snp, sv, discord, extract, bgzip

# **2.11.3** extract

# **Synopsis:**

Extract specified parts of an indexed block compressed genome position data file.

# **Syntax:**

Extract whole file:

```
$ rtg extract [OPTION]... FILE
```

# Extract specific regions:

```
$ rtg extract [OPTION]... FILE STRING+
```

# **Example:**

```
$ rtg extract alignments.bam 'chr1:10000+10'
```

#### **Parameters:**

#### File Input/Output

| FILE | The indexed block compressed genome | ì |
|------|-------------------------------------|---|
|      | position data file to extract.      |   |

#### Filtering

| STRING+ | Specifies the region to display. The                            |  |
|---------|---|--|
|         | format is one of <sequence_name>,</sequence_name>               |  |
|         | <pre><sequence_name>:start-end or</sequence_name></pre>         |  |
|         | <pre><sequence_name>:start+length. May be</sequence_name></pre> |  |

specified 0 or more times.

# Reporting

```
--header Set to also display the file header.
--header-only Set to only display the file header.
```

#### Utility

-h --help Prints help on command-line flag usage.

#### **Usage:**

Use the extract command to view specific parts of indexed block compressed genome position data files.

See also: map, coverage, snp, sv, index, bgzip

# **2.11.5** sdfstats

#### **Synopsis:**

Print statistics that describe a directory of SDF formatted data.

## **Syntax:**

```
$ rtg sdfstats [OPTION]... SDF+
```

# **Example:**

\$ rtg sdfstats human\_READS\_SDF

Location : C:\human\_READS\_SDF

Parameters : format -f solexa -o human\_READS\_SDF

c:\users\Elle\human\SRR005490.fastq.qz

SDF Version : DNA Type : SOLEXA Source : UNKNOWN Paired arm Number of sequences: 4193903 Maximum length : 48 Minimum length : 48 : 931268 Ν Α : 61100096 С : 41452181 : 45262380 G Τ : 52561419

Quality scores available on this SDF

: 201307344

#### **Parameters:**

#### File Input/Output

Total residues

SDF+ Specifies an SDF on which statistics are

to be reported. May be specified 1 or

more times.

Reporting

--lengths Set to print out the name and length of

each sequence. (Not recommended for read

sets).

-p --position Set to include information about unknown

bases (Ns) by read position.

-q --quality Set to display mean of quality.

--sex=SEX Set to display the reference sequence list

for the given sex. (Must be one of [male, female, either]). May be specified 0 or

more times.

--taxonomy Set to display information about the

taxonomy.

-n --unknowns Set to include information about unknown

bases (Ns).

Utility

-h --help Prints help on command-line flag usage.

#### **Usage:**

Use the sdfstats command to get information about the contents of SDFs.

See also: format, cg2sdf, sdf2fasta, sdf2fastq, sdfstats, sdfsplit

# 2.11.7 sdfsubset

#### **Synopsis:**

Extracts a specified subset of sequences from one SDF and outputs them to another SDF.

#### **Syntax:**

Individual specification of sequence ids:

```
$ rtg sdfsubset [OPTION]... -i SDF -o SDF STRING+
```

File list specification of sequence ids:

```
$ rtg sdfsubset [OPTION]... -i SDF -o SDF -I FILE
```

## **Example:**

```
$ rtg sdfsubset -i reads -o subset_reads 10 20 30 40 50
```

#### **Parameters:**

#### File Input/Output

```
-i --input=SDF Specifies the input SDF.-o --output=SDF The name of the output SDF.
```

#### Filtering

| -I | id-file=FILE | Specifies a file containing sequence ids, or sequence names if the names flag is set, (one per line) to extract from the input SDF.   |
|----|--------------|---|
| -n | names        | Set to specify sequence names instead of sequence ids.  |
|    | STRING+      | Specifies the sequence id, or sequence name if the names flag is set to extract from the input SDF. May be specified 0 or more times. |

### Utility

```
-h --help Prints help on command-line flag usage.
```

# **Usage:**

Use this command to obtain a subset of sequences from an SDF. Either specify the subset on the command line as a list of space-separated sequence ids or using the --id-file parameter to specify a file containing a list of sequence ids, one per line. Sequence ids start from zero and are the same as the ids that map uses by default in the QNAME field of its BAM files.

# For example:

```
$ rtg sdfsubset -i reads -o subset_reads 10 20 30 40 50
```

This will produce an SDF called subset\_reads with sequences 10, 20, 30, 40 and 50 from the original SDF contained in it.

See also: sdfsubseq, sdfstats

# 2.11.8 sdfsubseq

# **Synopsis:**

Prints a subsequence of a given sequence in an SDF.

## **Syntax:**

Print sequences from sequence names:

```
$ rtg sdfsubseq [OPTION]... -i FILE STRING+
```

Print sequences from sequence ids:

```
$ rtg sdfsubseq [OPTION]... -i FILE -I STRING+
```

# **Example:**

```
$ rtg sdfsubseq -i reads -I 0:1+100
```

#### **Parameters:**

#### File Input/Output

```
-i --input=FILE Specifies the input SDF.
```

#### Filtering

| -I | sequence-id | Set to use sequence id instead of sequence name in region flag (0-based).   |
|----|-------------|---|
|    | STRING+     | Specifies the region to display. The format is one of <sequence_name>, <sequence name="">:start-end or</sequence></sequence_name> |
|    |             | <pre><sequence_name>:start+length. Must be specified 1 or more times</sequence_name></pre>  |

# Utility

```
-f --fasta Set to output in FASTA format.

-q --fastq Set to output in FASTQ format.

-h --help Prints help on command-line flag usage.

-r --reverse-complement Set to output in reverse complement.
```

#### **Usage:**

Prints out the nucleotides or amino acids of specified regions in a set of sequences.

# For example:

```
$ rtg sdfsubseq --input reads --sequence-id 0:1+20
AGGCGTCTGCAGCCGACGCG
```

See also: sdfsubset, sdfstats

# **2.11.15** mendelian

# **Synopsis:**

The mendelian command checks a multi-sample VCF file for variant calls which do not follow Mendelian inheritance, and compute aggregate sample concordance.

# **Syntax:**

```
$ rtg mendelian [OPTION]... -i FILE -t SDF
```

# **Example:**

\$ rtg mendelian -i family.vcf.gz -t genome\_ref

#### **Parameters:**

# File Input/Output

| -i | input=FILE               | VCF file containing the multiple sample variant calls. Use '-' to read from standard input. |
|----|--------------------------|---|
|    | output=FILE              | Set to output annotated calls to this VCF file.   |
|    | output-consistent=FILE   | Set to output only consistent calls to this VCF file.                                       |
|    | output-inconsistent=FILE | Set to output only non-Mendelian calls to this VCF file.                                    |
| -t | template=SDF             | SDF containing template to which was used to create the VCF.                                |

# Sensitivity Tuning

| -1 | lenient       | Set to allow homozygous diploid variant calls in place of haploid calls and assume missing values are equal to the reference. |
|----|---------------|---|
|    | all-records   | Use all records, regardless of filters. Default is to only process records where FILTER is "." or "PASS".                     |
|    | pedigree=FILE | Specify a genome relationships PED file. The default is to extract pedigree information from the VCF header fields.           |

# Utility

| -h | help    | Prints help on command-line flag usage.  |
|----|---------|--|
| -Z | no-gzip | Set this flag to create the VCF output file without compression. By default the output file is compressed with blocked gzip. |

Given a multi-sample VCF file for a nuclear family with a defined pedigree, the mendelian command examines the variant calls and outputs the number of violations of Mendelian inheritance. If the --output-inconsistent parameter is set, all detected violations are written into an output VCF file. As such, this command may be regarded as a VCF filter, outputting those variant calls needing a non-Mendelian explanation. Such calls may be the consequence of sequencing error, calling on low-coverage, or genuine novel variants in one or more individuals.

Pedigree information regarding the relationships between samples and the sex of each sample is extracted from the VCF headers automatically created by the RTG pedigree-aware variant calling commands. If this pedigree information is absent from the VCF header or is incorrect, a pedigree file can be explicitly supplied with the --pedigree flag.

To ensure correct behavior when dealing with sex chromosomes it is necessary to specify a template and ensure the sex of each sample is supplied as part of the pedigree information. While it is best to give the template used in the creation of the VCF, for checking third-party outputs any template containing the same chromosome names and an appropriate reference.txt file will work.

Particularly when evaluating VCF files that have been produced by third party tools or when the VCF is the result of combining independent per-sample calling, you can end up with situations where calls are not available for every member of the family. Under normal circumstances these will be reported as an allele count constraint violation. It is possible to treat missing values as equal to the reference by using the <code>--lenient</code> parameter. Note that while this approach will be correct in most cases, it will give inaccurate results where the calling between different samples has reported the variant in an equivalent but slightly different position or representation (e.g. positioning of indels within homopolymer regions, differences of representation such as splitting MNPs into multiple SNPs etc).

The mendelian command computes overall concordance between related samples to assist detecting cases where pedigree has been incorrectly recorded or samples have been mislabelled. For each child in the pedigree, pairwise concordance is computed with respect to each parent by identifying diploid calls where the parent does not contain either allele called in the child. Low pairwise concordance with a single parent may indicate that the parent is the source of the problem, whereas low pairwise concordance with both parents may indicate that the child is the source of the problem. A stricter three-way concordance is also recorded.

By default, only VCF records with the FILTER field set to PASS or missing are processed. All variant records can be examined by specifying the --all-records parameter.

See also: family, population, vcfstats

# 2.11.16 vcfstats

#### **Synopsis:**

Display simple statistics about the contents of a set of VCF files.

#### **Syntax:**

```
$ rtg vcfstats [OPTION]... FILE+
```

#### **Example:**

\$ rtg vcfstats /data/human/wgs/NA19240/snp\_chr5.vcf.gz

```
: /data/human/wgs/NA19240/snp_chr5.vcf.gz
Location
Passed Filters
                                                         : 283144
Failed Filters
                                                          : 83568
                                                         : 241595
SNPs
MNPs
                                                         : 5654
                                                         : 15424
Insertions
Deletions
                                                          : 14667
                                                          : 1477
Indels
                                                         : 4327
Unchanged
SNP Transitions/Transversions: 1.93 (210572/108835)
Total Het/Hom ratio : 2.13 (189645/89172)

SNP Het/Hom ratio : 2.12 (164111/77484)

MNP Het/Hom ratio : 3.72 (4457/1197)

Insertion Het/Hom ratio : 1.69 (9695/5729)

Deletion Het/Hom ratio : 2.33 (10263/4404)

Indel Het/Hom ratio : 3.13 (1119/358)

Insertion/Deletion ratio : 1.05 (15424/14667)

Indel/SNP+MNP ratio : 0.13 (31568/247249)
```

#### **Parameters:**

#### File Input/Output

|    | known          | Set to only calculate statistics for known variants.  |
|----|----------------|---|
|    | novel          | Set to only calculate statistics for novel variants.  |
|    | sample=FILE    | Set to only calculate statistics for the specified sample. (Default is to include all samples). May be specified 0 or more times. |
|    | FILE+          | VCF file from which to derive statistics. Use '-' to read from standard input. Must be specified 1 or more times.                 |
|    | Reporting      |   |
|    | allele-lengths | Set to output variant length histogram.   |
|    | Utility        |   |
| -h | help           | Prints help on command-line flag usage.   |

#### **Usage:**

Use the vcfstats command to display summary statistics for a set of VCF files. If a VCF file contains multiple sample columns, the statistics for each sample are shown individually.

See also: snp, family, somatic, vcfmerge, discord

# **2.11.17** vcfmerge

# **Synopsis:**

Combines the contents of two or more VCF files. The vcfmerge command can concatenate the outputs of per chromosome variant detection runs to create a complete genome VCF file, and also merge VCF outputs from multiple samples to form a multi-sample VCF file.

## **Syntax:**

```
$ rtg vcfmerge [OPTION]... -o FILE FILE+
```

## **Example:**

\$ rtg vcfmerge -o merged.vcf.gz snp1.vcf.gz snp2.vcf.gz

#### **Parameters:**

#### File Input/Output

| -a | add-header=STRING  | Add the supplied text to the output VCF header. May be specified 0 or more times.  |
|----|--------------------|--|
| -0 | output=FILE        | The output VCF file name. Use '-' to write to standard output.   |
|    | FILE+              | VCF files to be merged. Must be specified 1 or more times.   |
|    | Utility            |  |
|    | force-merge=STRING | Set to allow merging of specified header ID even when descriptions do not match. May be specified 0 or more times.   |
| -h | help               | Prints help on command-line flag usage.  |
| -Z | no-gzip            | Set this flag to create the VCF output file without compression. By default the output file is compressed with blocked gzip.   |
|    | no-index           | Set this flag to not produce the index for the VCF output file.  |
|    | preserve-formats   | If set, variants with different ALTs and unmergeable FORMAT fields will be kept unmerged (Default is to remove those FORMAT fields so the variants can be combined). |
|    | stats              | Set to output statistics for the merged VCF file.  |

#### **Usage:**

The vcfmerge command takes a list of VCF files and outputs to a single VCF file. The input files must have consistent header lines, although similar header lines can be forced to merge using the --force-merge parameter. Each VCF file must be block compressed and have a corresponding

tabix index file, which is the default for outputs from RTG variant detection tools, but may also be created from an existing VCF file using the RTG bgzip and index commands.

There are two primary usage scenarios for the vcfmerge command. The first is to combine input VCFs corresponding to different genomic regions (for example, if variant calling was carried out for each chromosome independently on different nodes of a compute cluster). The second scenario is when combining VCFs containing variant calls for different samples (e.g. combining calls made for separate cohorts into a single VCF). If the input VCFs contain multiple calls at the same position for the same sample, a warning is issued and only the first is kept.

When multiple records occur at the same position and the length on the reference is the same, the records will be merged into a single record. If the merge results in a change in the set of ALT alleles, any VCF FORMAT fields declared to be of type 'A', 'G', or 'R' will be set to the missing value ('.'), as they cannot be meaningfully updated. The --preserve-formats flag prevents this loss of information by refusing to merge the records (separate records will be output).

See also: snp, family, population, somatic, discord, bgzip, index

# **2.11.18** vcffilter

# **Synopsis:**

Filter VCF output files to include or exclude records based on various criteria.

## **Syntax:**

```
$ rtg vcffilter [OPTION]... -i FILE -o FILE
```

#### Example

```
$ rtq vcffilter -i snps.vcf.qz -o snps cov5.vcf.qz -d 5
```

#### **Parameters:**

## File Input/Output

|    | all-samples      | Set to apply sample-specific criteria to all samples contained in the input VCF.   |
|----|------------------|--|
|    | bed-regions=FILE | If set, only read VCF records that overlap the ranges contained in the specified BED file. Requires the input VCF to be tabix indexed.                                 |
| -i | input=FILE       | Specifies the VCF file containing variants to be filtered. Use '-' to read from standard input.  |
| -0 | output=FILE      | Specifies the output VCF file. Use '-' to write to standard output.  |
|    | region=STRING    | <pre>if set, only read VCF records within the specified range. The format is one of <template_name>, <template_name>:start- end.</template_name></template_name></pre> |
|    | sample=STRING    | Set to apply sample-specific criteria to the named sample contained in the input   |

VCF. May be specified 0 or more times.

# Filtering

| -w | density-window=INT              | Set a window length in which multiple called variants are discarded.              |
|----|---------------------------------|---|
|    | exclude-bed=FILE                | Set to discard all variants within the regions contained in the BED file.         |
|    | exclude-vcf=FILE                | Set to discard all variants that overlap with the ones in this VCF file.          |
|    | include-bed=FILE                | Set to only keep variants within the regions contained in the BED file.           |
|    | include-vcf=FILE                | Set to only keep variants that overlap with the ones in this VCF file.            |
| -k | keep-filter=STRING              | Set to only keep variants with this FILTER tag. May be specified 0 or more times. |
| -K | keep-info=STRING                | Set to only keep variants with this INFO tag. May be specified 0 or more times.   |
| -A | max-ambiguity-ratio=FLOAT       | Set the maximum allowed ambiguity ratio.  |
|    | max-avr-score=FLOAT             | Set the maximum allowed AVR score.  |
| -C | max-combined-read-<br>depth=INT | Set the maximum allowed combined read depth.                                      |
|    | max-denovo-score=FLOAT          | Set the maximum allowed de novo score.  |
| -G | max-genotype-quality=FLOAT      | Set the maximum allowed genotype quality.   |
| -Q | max-quality=FLOAT               | Set the maximum allowed quality.  |
| -D | max-read-depth=INT              | Set the maximum allowed sample read depth.  |
|    | min-avr-score=FLOAT             | Set the minimum allowed AVR score.  |
| -c | min-combined-read-<br>depth=INT | Set the minimum allowed combined read depth.                                      |
|    | min-denovo-score=FLOAT          | Set the minimum allowed de novo score.  |
| -g | min-genotype-quality=FLOAT      | Set the minimum allowed genotype quality.   |
| -q | min-quality=FLOAT               | Set the minimum allowed quality.  |
| -d | min-read-depth=INT              | Set the minimum allowed sample read depth.  |

|    | non-snps-only          | Set to output MNPs and INDELs only.   |
|----|------------------------|---|
|    | remove-all-same-as-ref | Set to remove records where all the samples are same as the reference.  |
| -r | remove-filter=STRING   | Set to remove variants with this FILTER tag. May be specified 0 or more times.  |
| -R | remove-info=STRING     | Set to remove variants with this INFO tag. May be specified 0 or more times.  |
|    | remove-overlapping     | Set to remove records that overlap with previous records.   |
|    | remove-same-as-ref     | Set to remove variants where the sample is the same as reference.   |
|    | snps-only              | Set to output simple SNPs only.   |
|    | Reporting              |   |
|    | clear-failed-samples   | Set to have the GT field of failing samples set to the missing value instead of removing the record.                                      |
|    | fail=STRING            | Set to have the filter field of a failed record set to the provided value instead of removing it.   |
|    | Utility                |   |
| -h | help                   | Prints help on command-line flag usage.   |
| -Z | no-gzip                | Set this flag to create the output file without compression. By default the output file is compressed with tabix compatible blocked gzip. |
|    | no-index               | Set this flag to not produce the tabix  |

Use vcffilter to get a subset of the results from snp calling based on the filtering criteria supplied by the filter flags. When filtering on multiple samples, if any of the specified samples fail the criteria, the record will be filtered.

index for the output file.

The <code>--bed-regions</code> option makes use of tabix indexes to avoid loading VCF records outside the supplied regions, which can give faster filtering performance. If the input VCF is not indexed or being read from standard input, or if records failing filters are to be annotated via the <code>--fail</code> option, use the <code>--include-bed</code> option instead.

The flags —min—denovo—score and —max—denovo—score can only be used on a single sample. Records will only be kept if the specified sample is flagged as a *de novo* variant and the score is within the range specified by the flags. It will also only be kept if none of the other samples for the record are also flagged as a *de novo* variant within the specified score range.

See also: snp, family, somatic, population, vcfannotate, vcfsubset

#### vcfannotate 2.11.19

# **Synopsis:**

Used to add annotations to a VCF file.

## **Syntax:**

\$ rtg vcfannotate [OPTION]... -b FILE -i FILE -o FILE

# **Example:**

\$ rtg vcfannotate -b dbsnp.bed -i snps.vcf.gz -o snps-dbsnp.vcf.gz

#### **Parameters:**

# File Input/Output

| -i | input=FILE  | Specifies the VCF file containing variants. Use '-' to read from standard input.               |
|----|-------------|--|
| -0 | output=FILE | Specifies the output VCF file for the annotated variants. Use '-' to write to standard output. |

#### Reporting

| bed-ids=FILE  | Specifies a file in BED format containing variant ids in the name column to be added to the VCF id field. May be specified 0 or more times.   |
|---------------|---|
| bed-info=FILE | Specifies a file in BED format containing annotations in the name column to be added to the VCF info field. May be specified 0 or more times. |
| fill-an-ac    | Set to add or update the AN and AC info fields to the VCF.  |
| vcf-ids=FILE  | Specifies a file in VCF format containing variant ids to be added to the VCF id field. May be specified 0 or more times.                      |

#### Utility

| -h | help     | Prints help on command-line flag usage.   |
|----|----------|---|
| -Z | no-gzip  | Set this flag to create the output file without compression. By default the output file is compressed with tabix compatible blocked gzip. |
|    | no-index | Set this flag to not produce the tabix index for the output file.   |

Use vcfannotate to add text annotations to variants that fall within ranges specified in a BED file. The annotations from the BED file are added as an INFO field in the output VCF file.

If the --bed-ids flag is used, instead of adding the annotation to the INFO fields, it is added to the ID column of the VCF file instead. If the --vcf-ids flag is used, the ID column of the input VCF file is used to update the ID column of the output VCF file instead.

If the --fill-an-ac flag is set, the output VCF will have the AN and AC info fields (as defined in the VCF 4.1 specification) created or updated.

See also: snp, family, somatic, population, vcffilter, vcfsubset

#### **2.11.20** vcfsubset

#### **Synopsis:**

Create a VCF file containing a subset of the original columns.

#### **Syntax:**

```
$ rtg vcfsubset [OPTION]... -i FILE -o FILE
```

#### **Example:**

#### **Parameters:**

#### File Input/Output

| -i | input=FILE  | Specifies the VCF file containing variants to manipulate. Use '-' to read from standard input. |
|----|-------------|--|
| -0 | output=FILE | Specifies the output VCF file for the subset records. Use '-' to write to standard output.     |

#### Filtering

| •                    |   |
|----------------------|---|
| keep-filter=STRING   | Specifies a VCF FILTER tag to keep in the output. May be specified 0 or more times.     |
| keep-format=STRING   | Specifies a VCF FORMAT tag to keep in the output. May be specified 0 or more times.     |
| keep-info=STRING     | Specifies a VCF INFO tag to keep in the output. May be specified 0 or more times.       |
| keep-sample=STRING   | Specifies a sample to keep in the output. May be specified 0 or more times.             |
| remove-filter=STRING | Specifies a VCF FILTER tag to remove from the output. May be specified 0 or more times. |

| remove-filters       | Set to remove all of the FILTER tags from the output.                                   |
|----------------------|---|
| remove-format=STRING | Specifies a VCF FORMAT tag to remove from the output. May be specified 0 or more times. |
| remove-info=STRING   | Specifies a VCF INFO tag to remove from the output. May be specified 0 or more times.   |
| remove-infos         | Set to remove all of the INFO tags from the output.                                     |
| remove-sample=STRING | Specifies a sample to remove from the output. May be specified 0 or more times.         |
| remove-samples       | Set to remove all of the sample data from the output.                                   |

#### Utility

| -h | help     | Prints help on command-line flag usage.   |
|----|----------|---|
| -Z | no-gzip  | Set this flag to create the output file without compression. By default the output file is compressed with tabix compatible blocked gzip. |
|    | no-index | Set this flag to not produce the tabix index for the output file.   |

# **Usage:**

Use the vcfsubset command to produce a smaller copy of an original VCF file containing only the columns and information desired. For example, to produce a VCF containing only the information for one sample from a multiple sample VCF file use the --keep-sample flag to specify the sample to keep.

See also: snp, family, somatic, population, vcffilter, vcfannotate

# 2.11.21 vcfeval

#### **Synopsis:**

Use the vcfeval command to evaluate called variants for agreement with a known baseline variant set.

#### **Syntax:**

```
$ rtg vcfeval [OPTION]... -b FILE -c FILE -o DIR -t SDF
```

# **Example:**

```
$ rtg vcfeval -b simulated.vcf.gz -c snps.vcf.gz -t HUMAN_reference
--sample simsample -f AVR -o eval
```

#### **Parameters:**

#### File Input/Output

| -b - | baseline=FILE | The | VCF | file | containing | baseline |
|------|---------------|-----|-----|------|------------|----------|
|------|---------------|-----|-----|------|------------|----------|

variants.

-c --calls=FILE The VCF file containing called variants.

-o --output=DIR The name of the output directory.

-t --template=SDF The reference SDF on which the variants

were called.

#### Filtering

| all-records | Set to use all records regardless of |  |
|-------------|--------------------------------------|--|
|             | filters. Default is to only process  |  |
|             | records where FILTER is . or PASS.   |  |

--sample=STRING Set the name of the sample to select.

(Required when using multi-sample VCF

files).

--squash-ploidy Treat heterozygous variants as homozygous

ALT in both baseline and calls.

#### Reporting

--sort-order=STRING

--baseline-tp Also output a file containing the

baseline version of true positive variants (baseline-tp.vcf). This is in addition to the tp.vcf which contains the calls version of true-positive variants.

Set the order in which to sort the ROC scores so that "good" scores come before "bad" scores. (Must be one of [ascending, descending]). (Default is descending).

-f --vcf-score-field=STRING Set the VCF format field to sort the ROC

using. Also valid are "QUAL" or

"INFO=<name>" to select the named VCF

INFO field. (Default is GQ).

## Utility

-0

-h --help Prints help on command-line flag usage.

-Z --no-gzip Set this flag to create the output files

without compression.

-T --threads=INT Specify the number of threads to use in a

multi-core processor. (Default is all

available cores).

The vcfeval command can be used to generate VCF files containing called variants that were in the baseline VCF, called variants that were not in the baseline VCF and baseline variants that were not in the called variants. It also produces ROC curve data files based on a score contained in a VCF field which show the predictive power of that field for the quality of the variant calls.

When developing and validating sequencing pipelines and variant calling algorithms, the comparison of variant call sets is a common problem. The naïve way of computing these numbers is to look at the same reference locations in the baseline (ground truth) and called variant set, and see if genotype calls match at the same position. However, a complication arises due to possible differences in representation for indels between the baseline and the call sets within repeats or homopolymers, and in multiple-nucleotide polymorphisms (MNPs), which encompass several nearby nucleotides and are locally phased. The vcfeval command includes a novel dynamic-programming algorithm for comparing variant call sets that deals with complex call representation discrepancies, and minimizes false positives and negatives across the entire call sets for accurate performance evaluation.

The vcfeval command outputs the following primary files:

- tp.vcf contains those variants from the calls VCF which agree with variants in the baseline VCF
- fp.vcf contains variants from the calls VCF which do not agree with baseline variants.
- fn.vcf contains variants from the baseline VCF which were not correctly called.
- weighted\_roc.tsv contains data for ROC analysis, which can be plotted with the rocplot command.

It is also possible to output a true-positive VCF file that contains the baseline version of correct variants with the <code>--baseline-tp</code> option. This can be used to successively refine a highly sensitive baseline variant set to produce a consensus from several call sets.

Note that vcfeval operates at the level of local haplotypes for a sample, so for a diploid genotype, both alleles must match in order to be considered correct. The <code>--squash-ploidy</code> flag treats heterozygous genotypes from both baseline and calls as homozygous ALT to provide a more lenient comparison, however multiallelic sites where the genotype for the baseline or call employs multiple ALTs may still result in disagreement.

See also: snp, popsim, samplesim, childsim, rocplot

# 2.11.22 pedfilter

#### **Synopsis:**

Filter and convert a pedigree file.

#### **Syntax:**

```
$ rtg pedfilter [OPTION]... FILE
```

#### **Example:**

\$ rtg pedfilter --remove-parentage mypedigree.ped

#### **Parameters:**

#### File Input/Output

FILE The pedigree file to process, may be PED or VCF, use '-' to read from stdin.

#### Filtering

--keep-primary Keep only primary individuals (those with

a PED individual line / VCF sample

column).

--remove-parentage Remove all parent-child relationship

information.

#### Reporting

--vcf Output pedigree in in the form of a VCF

header rather than PED.

#### Utility

-h --help Prints help on command-line flag usage.

#### **Usage:**

The pedfilter comand can be used to perform manipulations on pedigree information and convert pedigree information between PED and VCF header format.

The VCF files output by the family and population commands contain full pedigree information represented as VCF header lines, and the pedfilter command allows this information to be extracted in PED format.

This command produces the pedigree output on stdout, which can be redirected to a file or another pipeline command as required.

See also: family, population, mendelian, pedstats

## **2.11.23** pedstats

# **Synopsis:**

Output information from pedigree files of various formats.

#### **Syntax:**

```
$ rtg pedstats [OPTION]... FILE
```

### **Example:**

For a summary of pedigree information:

```
$ rtg pedstats ceph_pedigree.ped
Pedigree file: /data/ceph/ceph_pedigree.ped

Total samples: 17
Primary samples: 17
Male samples: 9
```

```
Female samples: 8
Afflicted samples: 0
Founder samples: 4
Parent-child relationships: 26
Other relationships: 0
Families: 3
```

For quick pedigree visualization using graphviz and ImageMagick, use a command-line such as:

```
$ dot -Tpng <(rtg pedstats --dot "A Title" mypedigree.ped) | display -</pre>
```

# For a larger pedigree:

```
$ dot -Tpdf -o mypedigree.pdf <(rtg pedstats --dot "Study" mypedigree.ped)</pre>
```

### To output a list of all founders:

```
$ rtg pedstats --founder-ids ceph_pedigree.ped
NA12889
NA12890
NA12891
NA12892
```

#### **Parameters:**

#### File Input/Output

| FILE | The pedigree file to process, may be PED |
|------|--|
|      | or VCF, use '-' to read from stdin.      |

#### Reporting

| dot=STRING   | Output pedigree in GraphViz format, using the supplied text as a title. |
|--------------|---|
| families     | Output information about family structures.                             |
| female-ids   | Output ids of all females.  |
| founder-ids  | Output ids of all founders.   |
| male-ids     | Output ids of all males.  |
| maternal-ids | Output ids of maternal individuals.                                     |
| paternal-ids | Output ids of paternal individuals.                                     |
| primary-ids  | Output ids of all primary individuals.                                  |
| Utility      |   |
| help         | Prints help on command-line flag usage.                                 |

### **Usage:**

-h

Used to show pedigree summary statistics or select groups of individual Ids. In particular, it is possible to generate a simple pedigree visualization.

The VCF files output by the family and population commands contain full pedigree information represented as VCF header lines, and the pedstats command can also take these VCFs as input.

See also: family, population, pedfilter

## 2.11.25 rocplot

### **Synopsis:**

Plot ROC curves from readsimeval and vcfeval ROC data files, either to an image, or using an interactive GUI.

#### **Syntax:**

```
$ rtg rocplot [OPTION]... FILE+
$ rtg rocplot [OPTION]... --curve STRING
```

### **Example:**

```
$ rtg rocplot eval/weighted_roc.tsv.gz
```

#### **Parameters:**

# File Input/Output --curve=STRING

| 04210 0211210  | optionally specified (path[=title]). May be specified 0 or more times.               |
|----------------|--|
| png=FILE       | Set to output a PNG image to the given file instead of loading the interactive plot. |
| FILE+          | Specify the ROC data file to plot. May be specified 0 or more times.                 |
| Reporting      |  |
| hide-sidepane  | Set to hide the sidepane from the GUI on startup.                                    |
| line-width=INT | Set the line width for the plots. (Default is 2).                                    |
| scores         | Set to show scores on the plot.  |
| title=STRING   | Set the title for the plot.  |
| Utility        |  |

Specify a ROC data file with title

Prints help on command-line flag usage.

### **Usage:**

--help

-t

-h

Used to produce ROC plots from the ROC files produced by readsimeval and vcfeval. By default this opens the ROC plots in an interactive viewer. On a system with only console access the plot can be saved directly to a PNG file using the --png parameter.

See also: readsimeval, vcfeval

#### 2.11.30 version

#### **Synopsis:**

The RTG version display utility.

#### **Syntax:**

\$ rtg version

#### **Example:**

```
$ rtg version
Product: RTG Core 3.4
Core Version: v3.4 build 4586490 (2014-12-04)
RAM: 3.5GB of 3.8GB RAM can be used by RTG (91%)
License: Expires on 2015-03-30
Contact: support@realtimegenomics.com

Patents / Patents pending:
US: 7,640,256, 13/129,329, 13/681,046, 13/681,215, 13/848,653, 13/925,704,
14/015,295, 13/971,654, 13/971,630, 14/564,810
UK: 1222923.3, 1222921.7, 1304502.6, 1311209.9, 1314888.7, 1314908.3
New Zealand: 626777, 626783, 615491, 614897, 614560
Australia: 2005255348, Singapore: 128254

Citation:
John G. Cleary, Ross Braithwaite, Kurt Gaastra, Brian S. Hilbush, Stuart Inglis, Sean A. Irvine, Alan Jackson, Richard Littin, Sahar Nohzadeh-Malakshah, Mehul Rathod, David Ware, Len Trigg, and Francisco M. De La Vega. "Joint Variant and De Novo Mutation Identification on Pedigrees from High-Throughput Sequencing Data." Journal of Computational Biology. June 2014, 21(6): 405-419. doi:10.1089/cmb.2014.0029.

(c) Real Time Genomics, 2014
```

#### **Parameters:**

There are no options associated with the version command.

#### **Usage:**

Use the version command to instantiate the RTG product and display release and version information.

See also: help, license

#### 2.11.31 license

#### **Synopsis:**

The RTG license display utility.

### **Syntax:**

\$ rtg license

#### **Example:**

\$ rtg license

#### **Parameters:**

There are no options associated with the license command.

### **Usage:**

Use the license command to display license information and expiration date. Output at the command line (stdout) shows command name, licensed status, and command release level. It is possible to have access to commands prior to general availability (GA) release with certain support contracts from Real Time Genomics.

See also: help, version

# 2.11.32 help

### **Synopsis:**

The RTG help command provides online help for all RTG commands.

#### **Syntax:**

List all commands:

```
$ rtg help
```

Show usage syntax and flags for one command:

```
$ rtg help COMMAND
```

### **Example:**

```
$ rtg help format
```

#### **Parameters:**

There are no options associated with the help command.

#### **Usage:**

Use the help command to view syntax and usage information for the main rtg command as well as individual RTG commands.

See also: license, version

# 5 Administration & Capacity Planning

# **5.1 Advanced installation configuration**

RTG software can be shared by a group of users by installing on a centrally available file directory or shared drive. Assignment of execution privileges can be determined by the administrator, independent of the software license file. As described, the software license prepared by Real Time Genomics (rtg-license.txt) need only be included in the same directory as the executable (RTG.jar) and the run-time scripts (rtg or rtg.bat).

During installation on Unix systems, a configuration file named rtg.cfg is created in the installation directory. By editing this configuration file, one may alter further configuration

variables appropriate to the specific deployment requirements of the organization. On Windows systems, these variables are set in the rtg.bat file in the installation directory. These configuration variables include:

| Variable            | Description  |
|---------------------|--|
| RTG_MEM             | Specify the maximum memory for Java run-time execution. Use a G suffix for gigabytes, e.g.: RTG_MEM=48G. The default memory allocation is 90% of system memory.  |
| RTG_JAVA            | Specify the path to Java (default assumes current path).   |
| RTG_JAR             | Indicate the path to the RTG.jar executable (default assumes current path).  |
| RTG_JAVA_OPTS       | Provide any additional Java JVM options.   |
| RTG_DEFAULT_THREADS | By default any RTG module with athreads parameter will automatically use the number of cores as the number of threads. This setting makes the specified number the default for thethreads parameter instead. |
| RTG_PROXY           | Specify the http proxy server for TalkBack exception management (default is no http proxy).  |
| RTG_TALKBACK        | Send log files for crash-severity exception conditions (default is true, set to false to disable).   |
| RTG_USAGE           | If set to true, enable simple usage logging.   |
| RTG_USAGE_DIR       | Destination directory when performing single-user file-based usage logging.  |
| RTG_USAGE_HOST      | Server URL when performing server-based logging.   |
| RTG_USAGE_OPTIONAL  | May contain a comma-separated list of the names of optional fields to include in usage logging (when enabled). Any of username, hostname and commandline may be set here.                                    |
| RTG_REFERENCES_DIR  | Specifies an alternate directory containing metagenomic pipeline reference datasets.   |
| RTG_MODELS_DIR      | Specifies an alternate directory containing AVR models.  |

# 5.2 Run-time performance optimization

**CPU** — Multi-core operation finishes jobs faster by processing multiple application threads in parallel. By default RTG uses all available cores of a multi-processor server node. With a command line parameter setting, RTG operation can be limited to a specified number of cores if desired.

**Memory** — Adding more memory can improve performance where very high read coverage is desired. RTG creates and uses indexes to speed up genomic data processing. The more RAM you have, the more reads you can process in memory in a run. We use 48 GB as a rule of thumb for processing human data. However, a smaller number of reads can be processed in as little as 2 GB.

**Disk** Capacity requirements are highly dependent on the size of the underlying data sets, the amount of information needed to hold quality scores, and the number of runs needed to investigate the impact of varying levels of sensitivity. Though all data is handled and stored in compressed form (gzip), a realistic minimum disk size for handling human data is 1 TB. As a rule of thumb, for every 2 GB of input read data expect to add 1 GB of index data and 1 GB of output files per run. Additionally, leave another 2 GB free for temporary storage during processing.

# **5.3 Alternate configurations**

**Demonstration system** — For training, testing, demonstrating, processing and otherwise working with smaller genomes, RTG works just fine on a newer laptop system with an Intel processor. For example, product testing in support of this documentation was executed on a MacBook PC (Intel Core 2 Duo processor, 2.1 GHz clock speed, 1 processor, 2 cores, 3MB L2 Cache, 4 GB RAM, 290 GB 5400 RPM Serial-ATA disk)

Clustered system — The comparison of genomic variation on a large scale demands extensive processing capability. Assuming standard CPU hardware as described above, scale up to meet your institutional or major product needs by adding more rack-mounted boards and blades into rack servers in your data center. To estimate the number of cores required, first estimate the number of jobs to be run, noting size and sensitivity requirements. Then apply the appropriate benchmark figures for different size jobs run with varying sensitivity, dividing the number of reads to be processed by the reads/second/core.

# 5.4 Exception management - TalkBack and log file

Many RTG commands generate a log file with each run that is saved to the results output directory. The contents of the file contain lists of job parameters, system configuration, and run-time information.

In the case of exceptions, additional information is recorded in the log file specific to the problem encountered. Fatal exceptions are trapped and notification is sent to Real Time Genomics with a copy of the log file. This mechanism is called TalkBack and uses an embedded URL to which RTG sends the report.

The following sample log displays the software version information, parameter list, and run-time progress.

```
2009-09-05 21:38:10 RTG version = v2.0b build 20013 (2009-10-03) 2009-09-05 21:38:10 java.runtime.name = Java(TM) SE Runtime Environment 2009-09-05 21:38:10 java.runtime.version = 1.6.0_07-b06-153 2009-09-05 21:38:10 os.arch = x86_64 2009-09-05 21:38:10 os.freememory = 1792544768
```

```
2009-09-05 21:38:10 os.name = Mac OS X

2009-09-05 21:38:10 os.totalmemory = 4294967296

2009-09-05 21:38:10 os.version = 10.5.8

2009-09-05 21:38:10 Command line arguments: [-a, 1, -b, 0, -w, 16, -f, topn, -n, 5, -P, -o, pflow, -i, pfreads, -t, pftemplate]

2009-09-05 21:38:10 NgsParams threshold=20 threads=2

2009-09-05 21:39:59 Index[0] memory performance
```

TalkBack may be disabled by adding RTG\_TALK\_BACK=false to the rtg.cfg configuration file (Unix) or the rtg.bat file (Window) as described in Advanced installation configuration.

# 5.5 Usage logging

RTG has the ability to record simple command usage information for submission to Real Time Genomics. The first time RTG is run (typically during installation), the user will be asked whether to enable usage logging. This information may be required for customers with a pay-per-use license. Other customers may choose to send this information to give Real Time Genomics feedback on which commands and features are commonly used or to locally log RTG command use for their own analysis.

A usage record contains the following fields:

- Time and date
- License serial number
- Unique ID for the run
- Version of RTG software
- RTG command name, without parameters (e.g. map)
- Status (Started / Failed / Succeeded)
- A command-specific field (e.g. number of reads)

#### For example:

```
2013-02-11 11:38:38007   4f6c2eca-0bfc-4267-be70-b7baa85ebf66   RTG Core v2.7 build d74f45d (2013-02-04)   format Start N/A
```

No confidential information is included in these records. It is possible to add extra fields, such as the user name running the command, hostname of the machine running the command, and full command-line parameters, however as these fields may contain confidential information, they must be explicitly enabled as described in Advanced installation configuration.

When RTG is first installed, you will be asked whether to enable user logging. Usage logging can also be manually enabled by editing the rtg.cfg file (or rtg.bat file on Windows) and setting RTG\_USAGE=true. If the RTG\_USAGE\_DIR and RTG\_USAGE\_HOST settings are empty, the default behavior is to directly submit usage records to an RTG hosted server via HTTPS. This feature requires the machine running RTG to have access to the Internet.

For cases where the machines running RTG do not have access to the Internet, there are two alternatives for collecting usage information.

# 5.5.1 Single-user, single machine

Usage information can be recorded directly to a text file. To enable this option, edit the rtg.cfg file (or rtg.bat file on Windows), and set the RTG\_USAGE\_DIR to the name of a directory where the user has write permissions. For example:

```
RTG_USAGE=true
RTG_USAGE_DIR=/opt/rtg-usage
```

Within this directory, the RTG usage information will be written to a text file named after the date of the current month, in the form YYYY-MM.txt. A new file will be created each month. This text file can be manually sent to Real Time Genomics when requested.

# 5.5.2 Multi-user or multiple machines

In this case, a local server can be started to collect usage information from compute nodes and recorded to local files for later manual submission. To configure this method of collecting usage information, edit the rtg.cfg file (or rtg.bat file on Windows), and set the RTG\_USAGE\_DIR to the name of a directory where the local server will store usage logs, and RTG\_USAGE\_HOST to a URL consisting of the name of the local machine that will run the server and the network port on which the server will listen. For example if the server will be run on a machine named gridhost.mylan.net, listening on port 9090, writing usage information into the directory /opt/rtg-usage/, set:

```
RTG_USAGE=true
RTG_USAGE_DIR=/opt/rtg-usage
RTG_USAGE_HOST=http://gridhost.mylan.net:9090/
```

On the machine gridhost, run the command:

```
$ rtg usageserver
```

Which will start the local usage server listening. Now when RTG commands are run on other nodes or as other users, they will submit usage records to this sever for collation.

Within the usage directory, the RTG usage information will be written to a text file named after the date of the current month, in the form YYYY-MM.txt. A new file will be created each month. This text file can be manually sent to Real Time Genomics when requested.

# **5.5.3 Advanced configuration**

If you wish to augment usage information with any of the optional fields, edit the rtg.cfg file (or rtg.bat file on Windows) and set the RTG\_USAGE\_OPTIONAL to a comma separated list containing any of the following:

- username adds the username of the user running the RTG command.
- hostname adds the machine name running the RTG command.
- commandline adds the command line, including parameters, of the RTG command (this field will be truncated if the length exceeds 1000 characters).

#### For example:

```
RTG_USAGE_OPTIONAL=username, hostname, commandline
```

# 6 Appendix

# 6.3 RTG reference file format

Additional information about the structure of a reference genome can be provided for RTG mapping and variant calling by creating a reference.txt file in the reference genome's SDF directory. This file specifies information about the structure of the chromosomes in the reference genome including sex information. The file contains lines with TAB separated fields describing the properties of the chromosomes.

Comments within the reference.txt file are preceded by the character '#'. The first line of the file that is not a comment or blank must be the version line.

```
version1
```

The remaining lines have the following common structure:

```
<sex> <line-type> <line-setting>...
```

The sex field is one of "male", "female" or "either". The line-type field is one of "def" for default sequence settings, "seq" for specific chromosomal sequence settings and "dup" for defining pseudo-autosomal regions. The line-setting fields are a variable number of fields based on the line type given.

The default sequence settings line can only be specified with "either" for the sex field, can only be specified once and must be specified if there are not individual chromosome settings for all chromosomes and other contigs. It is specified with the following structure:

```
either def <ploidy> <shape>
```

The ploidy field is one of "diploid", "haploid", "polyploid" or "none". The shape field is one of "circular" or "linear".

The specific chromosome settings lines are similar to the default chromosome settings lines. All the sex field options can be used, however for any one chromosome you can only specify a single line for "either" or two lines for "male" and "female". They are specified with the following structure:

```
<sex> seq <chromosome-name> <ploidy> <shape> [allosome]
```

The ploidy and shape fields are the same as for the default chromosome settings line. The chromosome-name field is the name of the chromosome to which the line applies. The allosome field is optional and is used to specify the allosome pair of a haploid chromosome.

The pseudo-autosomal region settings line can be set with any of the sex field options and any number of the lines can be defined as necessary. It has the following format:

```
<sex> dup <region> <region>
```

The regions must be taken from two haploid chromosomes for a given sex, have the same length and not go past the end of the chromosome. The regions are given in the format <chromosome-name>:<start>-<end> where start and end are positions counting from one and the end is non-inclusive.

An example for the HG19 human reference:

```
# Reference specification for hg19, see
# http://genome.ucsc.edu/cgi-bin/hgTracks?hgsid=184117983&chromInfoPage=
version 1
# Unless otherwise specified, assume diploid linear. Well-formed
# chromosomes should be explicitly listed separately so this
# applies primarily to unplaced contigs and decoy sequences
               diploid linear
either def
# List the autosomal chromosomes explicitly. These are used to help
# determine "normal" coverage levels during mapping and variant calling
either seq
                chr1
                       dipĺoid linear
                chr2
                        diploid linear
either
        seq
either
                chr3
                        diploid linear
        seq
either
               chr4
                        diploid linear
                       diploid linear
either seq
               chr5
either seq
               chr6
                        diploid linear
either
        seq
               chr7
                       diploid linear
either
        seq
               chr8
                        diploid linear
either
               chr9
                        diploid linear
either
        seq
               chr10
                       diploid linear
                       diploid linear
either
        seq
               chr11
                       diploid linear
either seq
               chr12
               chr13
chr14
either
        seq
                       diploid linear
either
                       diploid linear
        seq
either
        seq
               chr15
                       diploid linear
either seq
               chr16
                       diploid linear
either seq
either seq
                chr17
                        diploid linear
                       diploid linear
               chr18
                       diploid linear
either seq
               chr19
               chr20 diploid linear
chr21 diploid linear
chr22 diploid linear
either seq
either seq
               chr21
either seq
\# Define how the male and female get the X and Y chromosomes
male seq chrX haploid linear chrY
                        haploid linear
                chrY
        seq
male
female
                chrX
                        diploid linear
        seq
                       none
female
        seq
                chrY
#PAR1 pseudoautosomal region
                                     chrY:10001-2649520
male
       dup
               chrX:60001-2699520
#PAR2 pseudoautosomal region
                chrX:154931044-155260560
                                                chrY:59034050-59363566
male
        dup
# And the mitochondria
                        polyploid
                                       circular
                chrM
```

Several reference.txt files for common human reference versions are included as part of the RTG distribution in the scripts subdirectory.

To see how a reference text file will be interpreted by the chromosomes in an SDF for a given sex you can use the sdfstats command with the --sex flag. For example:

```
$ rtg sdfstats --sex male /data/human/ref/hg19
Location : /data/human/ref/hg19
                  : format -o /data/human/ref/hq19 -I chromosomes.txt
Parameters
                  : 11
SDF Version
                  : DNA
Type
                  : UNKNOWN
Source
                  : UNKNOWN
Paired arm
                  : b6318de1-8107-4b11-bdd9-fb8b6b34c5d0
SDF-ID
Number of sequences: 25
               : 249250621
Maximum length
                  : 16571
Minimum length
Sequence names
                  : yes
                  : 234350281
M
Α
                  : 844868045
C
                   : 585017944
                   : 585360436
G
                   : 846097277
                  : 3095693983
Total residues
Residue qualities
Sequences for sex=MALE:
chrM POLYPLOID circular 16571
```

```
chr1 DIPLOID linear 249250621
chr2 DIPLOID linear 243199373
chr3 DIPLOID linear 198022430
chr4 DIPLOID linear 191154276
chr5 DIPLOID linear 180915260
chr6 DIPLOID linear 171115067
chr7 DIPLOID linear 159138663
chr8 DIPLOID linear 146364022
chr9 DIPLOID linear 141213431
chr10 DIPLOID linear 135534747
chr11 DIPLOID linear 135006516
chr12 DIPLOID linear 133851895
chr13 DIPLOID linear 115169878
chr14 DIPLOID linear 107349540
chr15 DIPLOID linear 102531392
chr16 DIPLOID linear 90354753
chr17 DIPLOID linear 81195210
chr18 DIPLOID linear 78077248
chr19 DIPLOID linear 59128983
chr20 DIPLOID linear 63025520
chr21 DIPLOID linear 48129895
chr22 DIPLOID linear 51304566
chrX HAPLOID linear 155270560 ~=chrY
    chrX:60001-2699520 chrY:10001-2649520
chrX:154931044-155260560 chrY:59034050-59363566 chrY HAPLOID linear 59373566 ~=chrX
    chrX:60001-2699520 chrY:10001-2649520
    chrX:154931044-155260560 chrY:59034050-59363566
```

The reference file is primarily intended for XY sex determination but should be able to handle ZW and X0 sex determination also.

As of the current version of the RTG software the following are the effects of various settings in the reference.txt file when processing a sample with the matching sex.

A ploidy setting of none will prevent reads from mapping to that chromosome and any variant calling from being done in that chromosome.

A ploidy setting of diploid, haploid or polyploid does not currently affect the output of mapping.

A ploidy setting of diploid will treat the chromosome as having two distinct copies during variant calling, meaning that both homozygous and heterozygous diploid genotypes may be called for the chromosome.

A ploidy setting of haploid will treat the chromosome as having one copy during variant calling, meaning that only haploid genotypes will be called for the chromosome.

A ploidy setting of polyploid will treat the chromosome as having one copy during variant calling, meaning that only haploid genotypes will be called for the chromosome. For variant calling with a pedigree, maternal inheritance is assumed for polyploid sequences.

The shape of the chromosome does not currently affect the output of mapping or variant calling.

The allosome pairs do not currently affect the output of mapping or variant calling (but are used by simulated data generation commands).

The pseudo-autosomal regions will cause the second half of the region pair to be skipped during mapping. During variant calling the first half of the region pair will be called as diploid and the second half will not have calls made for it. For the example reference.txt provided earlier this

means that when mapping a male the X chromosome sections of the pseudo-autosomal regions will be mapped to exclusively and for variant calling the X chromosome sections will be called as diploid while the Y chromosome sections will be skipped. There may be some edge effects up to a read length either side of a pseudo-autosomal region boundary.

# 6.5 Pedigree PED input file format

The PED file format is a white space (tab or space) delimited ASCII file. It has exactly six required columns in the following order.

| Column        | Definition   |
|---------------|--|
| Family ID     | Alphanumeric ID of a family group.   |
| Individual ID | Alphanumeric ID of an individual. This corresponds to the Sample ID specified in the read group of the individual.                               |
| Paternal ID   | Alphanumeric ID of the paternal parent for the individual. This corresponds to the Sample ID specified in the read group of the paternal parent. |
| Maternal ID   | Alphanumeric ID of the maternal parent for the individual. This corresponds to the Sample ID specified in the read group of the maternal parent. |
| Sex           | The sex of the individual specified as using 1 for male, 2 for female and any other number as unknown.   |
| Phenotype     | The phenotype of the individual specified using -9 or 0 for unknown, 1 for unaffected and 2 for affected.  |

**NOTE:** The PED format is based on the PED format defined by the PLINK project: <a href="http://pngu.mgh.harvard.edu/~purcell/plink/data.shtml#ped">http://pngu.mgh.harvard.edu/~purcell/plink/data.shtml#ped</a>

The value '0' can be used as a missing value for Family ID, Paternal ID and Maternal ID.

The following is an example of what a PED file may look like.

```
# PED format pedigree
 fam-id ind-id pat-id
                            mat-id
                                     sex
                                           phen
          NA19238
                                     2
 FAM01
                            0
                                           0
          NA19239
 FAM01
                  0
                            0
                                     1
                                           0
                  NA19239
 FAM01
          NA19240
                            NA19238
                                           0
          NA12878
```

When specifying a pedigree for the lineage command, use either the pat-id or mat-id as appropriate to the gender of the sample cell lineage. The following is an example of what a cell lineage PED file may look like.

```
# PED format pedigree
# fam-id ind-id pat-id mat-id sex phen
LIN BASE 0 0 2 0
```

| LIN | GENA   | 0 | BASE | 2 | 0 |
|-----|--------|---|------|---|---|
| LIN | GENB   | 0 | BASE | 2 | 0 |
| LIN | GENA-A | 0 | GENA | 2 | 0 |

RTG includes commands such as pedfilter and pedstats for simple viewing, filtering and conversion of pedigree files.

# 6.6 RTG commands using indexed input files

Several RTG commands require indexed input files to operate and several more require them when the --region or --bed-regions parameter is used.

The commands that always require indexed input files are snp, family, somatic, population, vcfmerge and extract. The commands that only require indexed input files if the --region or --bed-regions parameter is set are coverage, cnv, sv, discord and sammerge.

The RTG commands which produce the inputs used by these commands will by default produce them with index files. To produce indexes for files from third party sources or RTG command output where the --no-index or --no-gzip parameters were set, use the RTG bgzip and index commands.

# **6.9 Distribution Contents**

The contents of the RTG distribution zip file should include:

- The RTG executable JAR file.
- RTG executable wrapper script.
- Example scripts and files.
- This operations manual.
- A release notes file and a readme file.

Some distributions also include an appropriate java runtime environment (JRE) for your operating system.

#### 6.10 README.txt

For reference purposes, a copy of the distribution README.txt file follows:

```
RTG software from Real Time Genomics includes tools for the processing and analysis of plant, animal and human sequence data from high throughput sequencing systems. Product usage and administration is described in the RTG Operations Manual.
```

Quick Start Instructions

=== RTG Software ===

RTG software is delivered as a Java application accessed via a wrapper script that allows a user to customize initial memory allocation and other configuration options.

For individual use, follow these quick start instructions.

Linux/MacOS X:

Unzip the RTG distribution to the desired location.

If your RTG distribution does not already include a license (rtg-license.txt), copy the license file from Real Time Genomics into the RTG distribution directory.

Test for success by entering './rtg version' at the command line. The first time rtg is executed you will be prompted with some questions to customize your installation. Follow the prompts.

Enter './rtg help' for a list of rtg commands. Help for any individual command is available using the --help flag, e.g.: './rtg format --help'

By default, RTG software scripts establish a memory space of 90% of the available RAM - this is automatically calculated. One may override this limit in the rtg.cfg settings file or on a per-run basis by supplying RTG\_MEM as an environment variable or as the first program argument, e.g.: './rtg RTG\_MEM=48g map'

[OPTIONAL] If you will be running rtg on multiple machines and would like to customize settings on a per-machine basis, copy rtg.cfg to /etc/rtg.cfg, editing per-machine settings appropriately (requires root privileges). An alternative that does not require root privileges is to copy rtg.example.cfg to rtg.HOSTNAME.cfg, editing per-machine settings appropriately, where HOSTNAME is the short host name output by the command "hostname -s"

#### Windows:

Unzip the RTG distribution to the desired location.

If your RTG distribution does not already include a license (rtg-license.txt), copy the license file from Real Time Genomics into the RTG distribution directory.

Test for success by entering 'rtg version' at the command line. The first time rtg is executed you will be prompted with some questions to customize your installation. Follow the prompts.

Enter 'rtg help' for a list of rtg commands. Help for any individual command is available using the --help flag, e.g.: 'rtg format --help'

By default, RTG software scripts establish a memory space of 90% of the available RAM – this is automatically calculated. One may override this limit by setting the RTG\_MEM variable in the rtg.bat script or as an environment variable.

Using quick start installation steps, an individual can execute RTG software in a remote computing environment without the need to establish root privileges. Include the necessary data files in directories within the workspace and upload the entire workspace to the remote system (either stand-alone or cluster).

For data center deployment and instructions for editing scripts, please consult the Administration chapter of the RTG Operations Manual.

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RTG software uses the open source Picard library (https://sourceforge.net/projects/picard/) for reading and writing SAM files, under the terms of following license:

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