

# Operations Manual

RTG Core 3.6

Real Time Genomics

*Edition: December 2015*



## **ABSTRACT**

This manual documents the use of RTG Core software from Real Time Genomics. It describes both product use and administration.

**Notice**

Real Time Genomics does not assume any liability arising out of the application or use of any software described herein. Further, Real Time Genomics does not convey any license under its patent, trademark, copyright, or common-law rights nor the similar rights of others.

Real Time Genomics reserves the right to make any changes in any processes, products, or parts thereof, described herein, without notice. While every effort has been made to make this guide as complete and accurate as possible as of the publication date, no warranty of fitness is implied.

© 2015 Real Time Genomics All rights reserved.

Illumina, Solexa, Complete Genomics, Ion Torrent, Roche, ABI, Life Technologies, and PacBio are registered trademarks and all other brands referenced in this document are the property of their respective owners.

---

# Table of Contents

<b>Chapter 1: Overview</b>	<b>8</b>
<b>1.1 Introduction</b>	<b>8</b>
<b>1.2 RTG software description</b>	<b>8</b>
<b>1.3 Sequence search and alignment</b>	<b>9</b>
1.3.1 Data formatting	10
1.3.2 Read mapping	11
1.3.3 Read mapping output files	11
1.3.4 Read mapping sensitivity tuning	11
1.3.5 Protein search	12
1.3.6 Protein search output files	12
1.3.7 Protein search sensitivity tuning	13
1.3.8 Benchmarking and optimization utilities	13
<b>1.4 Variant detection functions</b>	<b>14</b>
1.4.1 Sequence variation (SNPs, indels and complex variants)	14
1.4.2 Sequence variation with Mendelian pedigree	14
1.4.3 Somatic sequence variation	15
1.4.4 Coverage analysis	15
1.4.5 Copy number variation (CNV) analysis	16
<b>1.5 Standard output file formats</b>	<b>16</b>
1.5.1 SAM/BAM files created by the RTG map command	17
1.5.2 SNP caller output files	17
<b>1.6 Metagenomic analysis functions</b>	<b>18</b>
1.6.1 Contamination filtering	18
1.6.2 Taxon abundance breakdown	18
1.6.3 Sample relationships	18
1.6.4 Functional protein analysis	18
<b>1.7 Pipelines</b>	<b>18</b>
<b>1.8 Parallel processing</b>	<b>19</b>
<b>1.9 Installation and deployment</b>	<b>19</b>
1.9.1 Quick start instructions	20
1.9.2 License Management	21
<b>1.10 Technical assistance and support</b>	<b>21</b>
<b>Chapter 2: RTG Command Reference</b>	<b>22</b>
<b>2.1 Command line interface (CLI)</b>	<b>22</b>
<b>2.2 RTG command syntax</b>	<b>22</b>
<b>2.3 Data Formatting Commands</b>	<b>27</b>
2.3.1 format	27
2.3.2 cg2sdf	30
2.3.3 sdf2fasta	31
2.3.4 sdf2fastq	32

2.3.5	<i>sdf2sam</i> .....	34
<b>2.4</b>	<b>Read Mapping Commands</b> .....	<b>35</b>
2.4.1	<i>map</i> .....	35
2.4.2	<i>mapf</i> .....	41
2.4.3	<i>cgmap</i> .....	45
<b>2.5</b>	<b>Protein Search Commands</b> .....	<b>48</b>
2.5.1	<i>mapx</i> .....	48
<b>2.6</b>	<b>Assembly Commands</b> .....	<b>51</b>
2.6.1	<i>assemble</i> .....	51
2.6.2	<i>addpacbio</i> .....	55
<b>2.7</b>	<b>Variant Detection Commands</b> .....	<b>56</b>
2.7.1	<i>svprep</i> .....	56
2.7.2	<i>sv</i> .....	56
2.7.3	<i>discord</i> .....	59
2.7.4	<i>coverage</i> .....	61
2.7.5	<i>snp</i> .....	63
2.7.6	<i>family</i> .....	67
2.7.7	<i>somatic</i> .....	70
2.7.8	<i>population</i> .....	74
2.7.9	<i>lineage</i> .....	77
2.7.10	<i>avrpredict</i> .....	80
2.7.11	<i>avrbuild</i> .....	81
2.7.12	<i>cnv</i> .....	83
2.7.13	<i>calibrate</i> .....	85
<b>2.8</b>	<b>Metagenomics Commands</b> .....	<b>86</b>
2.8.1	<i>species</i> .....	86
2.8.2	<i>similarity</i> .....	88
<b>2.9</b>	<b>Pipeline Commands</b> .....	<b>89</b>
2.9.1	<i>composition-meta-pipeline</i> .....	89
2.9.2	<i>functional-meta-pipeline</i> .....	91
2.9.3	<i>composition-functional-meta-pipeline</i> .....	93
<b>2.10</b>	<b>Simulation Commands</b> .....	<b>95</b>
2.10.1	<i>genomesim</i> .....	95
2.10.2	<i>cgsim</i> .....	96
2.10.3	<i>readsim</i> .....	98
2.10.4	<i>readsimeval</i> .....	101
2.10.5	<i>popsim</i> .....	102
2.10.6	<i>samplesim</i> .....	103
2.10.7	<i>childsim</i> .....	104
2.10.8	<i>samlereplay</i> .....	106
<b>2.11</b>	<b>Utility Commands</b> .....	<b>106</b>
2.11.1	<i>bgzip</i> .....	106
2.11.2	<i>index</i> .....	107
2.11.3	<i>denovosim</i> .....	108
2.11.4	<i>extract</i> .....	109

2.11.5	<i>aview</i> .....	110
2.11.6	<i>sdfstats</i> .....	112
2.11.7	<i>sdfsplitt</i> .....	113
2.11.8	<i>sdfsubset</i> .....	114
2.11.9	<i>sdfsubseq</i> .....	116
2.11.10	<i>sam2bam</i> .....	117
2.11.11	<i>sammerge</i> .....	117
2.11.12	<i>samstats</i> .....	119
2.11.13	<i>samrename</i> .....	120
2.11.14	<i>mapxrename</i> .....	121
2.11.15	<i>chrstats</i> .....	122
2.11.16	<i>mendelian</i> .....	124
2.11.17	<i>vcfstats</i> .....	125
2.11.18	<i>vcfmerge</i> .....	127
2.11.19	<i>vcffilter</i> .....	128
2.11.20	<i>vcfannotate</i> .....	131
2.11.21	<i>vcfssubset</i> .....	132
2.11.22	<i>vcfeval</i> .....	134
2.11.23	<i>pedfilter</i> .....	138
2.11.24	<i>pedstats</i> .....	139
2.11.25	<i>avrstats</i> .....	140
2.11.26	<i>rocplot</i> .....	141
2.11.27	<i>ncbi2tax</i> .....	142
2.11.28	<i>taxfilter</i> .....	143
2.11.29	<i>taxstats</i> .....	144
2.11.30	<i>usageserver</i> .....	145
2.11.31	<i>version</i> .....	146
2.11.32	<i>license</i> .....	147
2.11.33	<i>help</i> .....	147

## **Chapter 3: RTG product usage - baseline progressions ..... 149**

<b>3.1</b>	<b>RTG mapping and sequence variant detection .....</b>	<b>149</b>
3.1.1	<i>Task 1 - Format reference data .....</i>	<i>150</i>
3.1.2	<i>Task 2 - Format read data .....</i>	<i>151</i>
3.1.3	<i>Task 3 - Map reads to the reference genome .....</i>	<i>153</i>
3.1.4	<i>Task 4 - View &amp; evaluate mapping performance .....</i>	<i>154</i>
3.1.5	<i>Task 5 - Generate and review coverage information .....</i>	<i>154</i>
3.1.6	<i>Task 6 - Call sequence variants .....</i>	<i>155</i>
3.1.7	<i>Task 7 - Report copy number variation statistics .....</i>	<i>156</i>
<b>3.2</b>	<b>Modifications to support Complete Genomics, Inc reads .....</b>	<b>156</b>
3.2.1	<i>Task 2 - Format read data .....</i>	<i>157</i>
3.2.2	<i>Task 3 - Map reads to the reference genome .....</i>	<i>157</i>
<b>3.3</b>	<b>Modifications to support processing exome sequencing .....</b>	<b>157</b>
<b>3.4</b>	<b>Modifications to support variant calling with multiple platforms .....</b>	<b>158</b>
<b>3.5</b>	<b>Modifications to support sex-aware mapping and variant calling .....</b>	<b>158</b>
3.5.1	<i>Task 1 - Format reference data .....</i>	<i>159</i>
3.5.2	<i>Task 3 - Map reads to the reference genome .....</i>	<i>161</i>

3.5.3	Task 6 - Call sequence variants .....	161
<b>3.6</b>	<b>Modifications to support joint family variant calling .....</b>	<b>162</b>
3.6.1	Task 3 - Map reads to the reference genome .....	162
3.6.2	Task 6 - Call sequence variants .....	163
<b>3.7</b>	<b>Modifications to support joint population variant calling .....</b>	<b>163</b>
3.7.1	Task 3 – Map reads to the reference genome .....	164
3.7.2	Task 6 - Call sequence variants .....	165
<b>3.8</b>	<b>Create and use population priors in variant calling .....</b>	<b>165</b>
3.8.1	Task 1 - Produce population priors file .....	166
3.8.2	Task 2 - Run variant calling using population priors .....	166
<b>3.9</b>	<b>Somatic variant detection in cancer .....</b>	<b>166</b>
3.9.1	Task 1 - Format reference data .....	167
3.9.2	Task 2 - Format read data .....	167
3.9.3	Task 3 - Map reads against the reference genome .....	167
3.9.4	Task 4 - Call somatic variants .....	168
3.9.5	Using site-specific somatic priors .....	168
<b>3.10</b>	<b>AVR scoring using HAPMAP for model building .....</b>	<b>169</b>
3.10.1	Task 1 - Create training data .....	171
3.10.2	Task 2 - Build and check AVR model .....	171
3.10.3	Task 3 - Use AVR model .....	173
3.10.4	Task 4 - Install AVR model .....	173
<b>3.11</b>	<b>RTG structural variant detection .....</b>	<b>174</b>
3.11.1	Task 1 - Format reference and read data .....	174
3.11.2	Task 2 - Map reads against a reference genome .....	174
3.11.3	Task 4 - Find structural variants with sv .....	175
3.11.4	Task 5 - Find structural variants with discord .....	176
<b>3.12</b>	<b>Ion Torrent bacterial mapping and sequence variant detection .....</b>	<b>176</b>
3.12.1	Task 1 - Format reference data .....	177
3.12.2	Task 2 - Format read data .....	177
3.12.3	Task 3 - Map reads to the reference genome .....	177
3.12.4	Task 4 - Call sequence variants in haploid mode .....	178
<b>3.13</b>	<b>RTG contaminant filtering .....</b>	<b>178</b>
3.13.1	Task 1 - Format reference data .....	178
3.13.2	Task 2 - Format read data .....	180
3.13.3	Task 3 - Run contamination filter .....	180
3.13.4	Task 4 - Manage filtered reads .....	181
<b>3.14</b>	<b>RTG translated protein searching .....</b>	<b>181</b>
3.14.1	Task 1 - Format protein data set .....	181
3.14.2	Task 2 - Format DNA read set .....	182
3.14.3	Task 3 - Search against protein data set .....	182
<b>3.15</b>	<b>RTG species frequency estimation .....</b>	<b>182</b>
3.15.1	Task 1 - Format reference data .....	183
3.15.2	Task 2 - Format read data .....	183
3.15.3	Task 3 - Run contamination filter (optional) .....	184
3.15.4	Task 4 - Map metagenomic reads against bacterial database .....	184

3.15.5	Task 5 - Run species estimator .....	184
<b>Chapter 4: Administration &amp; Capacity Planning .....</b>	<b>185</b>	
4.1	Advanced installation configuration .....	185
4.2	Run-time performance optimization .....	186
4.3	Alternate configurations .....	186
4.4	Exception management - TalkBack and log file .....	187
4.5	Usage logging .....	187
4.5.1	Single-user, single machine .....	188
4.5.2	Multi-user or multiple machines .....	188
4.5.3	Advanced configuration .....	189
<b>Chapter 5: Appendix .....</b>	<b>190</b>	
5.1	RTG gapped alignment technical description .....	190
5.1.1	Alignment computations .....	190
5.1.2	Alignment scoring .....	190
5.2	Using SAM/BAM Read Groups in RTG map .....	191
5.3	RTG reference file format .....	192
5.4	RTG taxonomic reference file format .....	195
5.4.1	RTG taxonomy file format .....	195
5.4.2	RTG taxonomy lookup file format .....	196
5.5	Pedigree PED input file format .....	196
5.6	RTG commands using indexed input files .....	197
5.7	RTG output results file descriptions .....	198
5.7.1	SAM/BAM file extensions (RTG map command output) .....	198
5.7.2	SAM/BAM file extensions (RTG cgmap command output) .....	201
5.7.3	VCF output file description .....	202
5.7.4	Regions BED output file description .....	208
5.7.5	SV command output file descriptions .....	209
5.7.6	Discord command output file descriptions .....	211
5.7.7	Coverage command output file descriptions .....	213
5.7.8	Mapx output file description .....	216
5.7.9	Species results file description .....	218
5.7.10	Similarity results file descriptions .....	220
5.8	Parallel processing approach .....	221
5.9	Distribution Contents .....	222
5.10	README.txt .....	222
5.11	RTG sample similarity .....	226
5.11.1	Task 1 - Prepare read sets .....	226
5.11.2	Task 2 - Generate read set name map .....	227
5.11.3	Task 3 - Run similarity tool .....	227

# 1 Overview

This chapter introduces the features, operational options, and installation requirements of the RTG Core data analysis software.

## 1.1 Introduction

RTG software enables the development of fast, efficient software pipelines for deep genomic analysis. RTG is built on innovative search technologies and new algorithms designed for processing high volumes of high-throughput sequencing data from different sequencing technology platforms. The RTG sequence search and alignment functions enable read mapping and protein searches with a unique combination of sensitivity and speed.

RTG-based data production pipelines support unprecedented breadth and depth of analysis on genomic data, transforming researcher visibility into DNA sequence analysis and biological investigation. A comprehensive suite of easy-to-integrate data analysis functions increases the productivity of bioinformatics specialists, freeing them to develop analytical solutions that amplify the investigative ability unique to their organization.

RTG software supports a variety of research and medical genomics applications, such as:

- **Medical Genomic Research** — Compare sequence variants and structural variation between normal and disease genomes, or over a disease progression in the same individual to identify causal loci.
- **Personalized Medicine** — Establish reliable, high-throughput processing pipelines that analyze individual human genomes compared to one or more reference genomes. Use RTG software for detection of sequence variants (SNP and indel calling, intersection scripting), as well as structural variation (coverage depth, and copy number variation).
- **Model Organisms and Basic Research** — Utilize RTG mapping and variant detection commands for focused research applications such as metagenomic species identification and frequency, and metabolic pathway analysis. Map microbial communities to generate gapped alignments of both DNA and protein sequence data.
- **Plant Genomics** — Enable investigations of new crop species and variant detection in genetically diverse strains by leveraging RTG's highly sensitive sequence search capabilities for strain and cross-species mapping applications. Flexible sensitivity tuning controls allow investigators to accommodate very high error rates associated with unique combinations of sequencing system error, genome-specific mutation, and aggressive cross-species comparisons.

## 1.2 RTG software description

RTG software is delivered as a single executable with multiple commands executed through a command line interface (CLI). Commands are delivered in product packages, and each command is independently enabled through a license key.

Usage:

```
rtg COMMAND [OPTIONS] <REQUIRED>
```



RTG software delivers features in four areas:

- **SEQUENCE SEARCH AND ALIGNMENT** — RTG software uses patented sequence search technology for the rapid production of genomic sequence data. The `map` command implements read mapping and gapped alignment of sequence data against a reference. The `mapx` command searches translated sequence data against a protein database.
- **DATA ANALYSIS** — RTG software supports two pipelines for data analysis - variant detection and metagenomics. Purpose-built variant detection pipeline functions include several commands to identify small sequence variants, a `cnv` command to report copy number variation statistics for structural variation, and a coverage command to report read depth across a reference.
- **REPORTING OPTIONS** — Standard result formats and utility commands report results for validation, and ease development of custom scripts for analysis. Scripts that produce publication quality graphics for visualization of data analysis results are available through Real Time Genomics technical support.
- **DATA CENTER DEPLOYMENT** — RTG software supports typical data center standards for enterprise deployment. RTG provides automated installation and supports industry standard operating environments and data processing systems to help maintain TCO objectives in enterprise data centers. The RTG software can be run in compute clusters of varying sizes, and commands take advantage of multi-core processors by default.

---

**NOTE:** For detailed information about RTG command syntax and usage, refer to Command Reference.

## 1.3 Sequence search and alignment

RTG software uses an edit-distance alignment score to determine best fit and alignment accuracy.

RTG software includes optimal sensitivity settings for error and mutation rates, plus command line controls and simulation tools that allow investigators to calibrate sensitivity settings for specific data sets. Extensive filtering and reporting options allow complete control over reported alignments, which leads to greater flexibility for downstream analysis functions.

Key functionality of RTG sequence search and alignment includes:

- Read mapping by nucleotide sequence alignment to a reference genome
- Protein database searching by translated nucleotide sequence searches against protein databases
- Sensitivity tuning using parameter options for substitutions, indels, indel lengths, word or step sizes, and alignment scores
- Filtering and reporting ambiguous reads that map to multiple locations
- Benchmarking and optimization using simulation and evaluation commands

RTG mapping commands have the following characteristics:

- Eliminates need for genome indexing
- Aligns sequence reads of any length
- Allows high mismatch levels for increased sensitivity in longer reads
- Allows detection of short indels with single end (SE) or paired end (PE) data
- Can optionally guarantee the mapping of reads with at least a specified number of substitutions and indels
- Supports a wide range of alignment scores

---

**NOTE:** For detailed information about sequence search and alignment functionality, refer to Section 2.4 *Command Reference*. For more information about the RTG integrated software pipeline, refer to Section 3 *Baseline Product Usage*.

### 1.3.1 Data formatting

Prior to RTG data production, reference genome and sometimes read data sequence files are typically first converted to the RTG Sequence Data File (SDF) format. This is an efficient storage format optimized for fast retrieval during data processing.

The RTG `format / cg2sdf` commands converts sequencing system read and reference genome sequence data into the SDF format. The `format` command accepts source data in standard file formats (such as FASTA / FASTQ / SAM / BAM) and maintains the integrity and consistency of the source data during the conversion to SDF. Similarly, the `cg2sdf` command accepts data in the custom data format used for read data by Complete Genomics, Inc. Read data may be single-end and paired-end reads of fixed or variable length. Sequence data can be formatted as nucleotide or protein.

An SDF is a directory containing a series of files that delineate sequence and quality score information stored in a binary format, along with metadata that describes the original sequencing system data format type:

```
03/19/2010 12:31 PM <DIR> .
03/19/2010 12:31 PM <DIR> ..
03/19/2010 12:31 PM      5,038 log
03/19/2010 12:31 PM    24,223 mainIndex
03/19/2010 12:31 PM        75 namedata0
03/19/2010 12:31 PM         8 nameIndex0
03/19/2010 12:31 PM        56 namepointer0
03/19/2010 12:31 PM    23,267,177 seqdata0
03/19/2010 12:31 PM        56 seqpointer0
03/19/2010 12:31 PM         8 sequenceIndex0
      8 File(s)      23,296,641 bytes
      2 Dir(s)  400,984,870,912 bytes free
```

---

**NOTE:** For detailed information about formatting sequencing system reads to RTG SDF, refer to Section 2.3 *Command Reference*.

### 1.3.2 Read mapping

The `map` command implements read mapping and alignment of sequence data against a reference genome, supporting gapped alignments for both single and paired-end reads. The `cmap` command performs the same function for the gapped, paired-end read data from Complete Genomics.

A summary of the mapping results is displayed at the command line following execution of the `map` command, as shown in the paired-end example below:

```
ARM MAPPINGS
  left    right    both
6650124  6650124  13300248  64.2% mated uniquely (NH = 1)
186812   186812   373624    1.8% mated ambiguously (NH > 1)
1538777  1539520    3078297  14.9% unmated uniquely (NH = 1)
 70667   70125   140792   0.7% unmated ambiguously (NH > 1)
    0      0      0      0.0% unmapped due to read frequency (XC = B)
 13624   13946   27570   0.1% unmapped with no matings but too many hits (XC = C)
109720   109765   219485   1.1% unmapped with poor matings (XC = d)
  984    1003    1987    0.0% unmapped with too many matings (XC = e)
212158   211688   423846   2.0% unmapped with no matings and poor hits (XC = D)
    0      0      0      0.0% unmapped with no matings and too many good hits (XC = E)
1569609  1569492   3139101  15.2% unmapped with no hits
10352475 10352475  20704950 100.0% total
```

The following display shows the summary output for single end mapped data from the `map` command:

```
READ MAPPINGS
875007  87.5% mapped uniquely (NH = 1)
25174   2.5% mapped ambiguously (NH > 1)
  71     0.0% unmapped due to read frequency (XC = B)
88729   8.9% unmapped with too many hits (XC = C)
8940    0.9% unmapped with poor hits (XC = D)
  0      0.0% unmapped with too many good hits (XC = E)
2079    0.2% unmapped with no hits
1000000 100.0% total
```

Read mapping commands also produce HTML summary reports containing more information about mapping results.

### 1.3.3 Read mapping output files

The `map` command creates alignment reports in BAM file format and a summary report file named `summary.txt`. There is also a file called `progress` that can be used to monitor overall progress during a run, and a file named `map.log` containing technical information that may be useful for debugging. Alignment reports may be filtered by alignment score, and/or unmapped, unmated, and ambiguous reads (those that map to multiple locations).

When mapping, the output BAM file is named `alignments.bam`. The reads that did not align to the reference will include XC attributes in the BAM file that describe why a read did not map.

---

**NOTE:** For more information about the RTG `map` command, refer to *Command Reference, Section 2.4.1*. For details on RTG extensions to the BAM file format, refer to *Appendix, Section 5.7.1*.

### 1.3.4 Read mapping sensitivity tuning

The RTG `map` command uses default sensitivity settings that balance mapping percentage and speed requirements. These settings deliver excellent results in most cases, especially in human read sequence data from Illumina runs with error rates of 2% or less.

However, some experiments demand read mapping that accommodates higher machine error, genome mutation, or cross-species comparison. For these situations, the investigator can set various tuning parameters to increase the mapping percentage.

For reads shorter than 64 bp, RTG allows an investigator to select the number of substitutions and indels that the `map` command will "at least" produce. For example, using the `-a` parameter to specify the number of allowed substitutions (i.e., mismatches) at 1, will guarantee that the `map` command finds all alignments with at least 1 substitution.

For reads equal to or longer than 64 base pairs, RTG allows an investigator to modify word and step size parameters related to the index. These parameters are set by default to 18 or half the read length, whichever is smaller. Decreasing the values (using `-w` for word size and `-s` for step size) will increase the percentage of mapped reads at the expense of additional processing time, and in the case of step size, increased memory usage.

The number of mismatches threshold can be altered to increase or decrease the number of mapped reads. Using the `--max-mated-mismatches` parameter for example, an investigator might limit reported alignments to only those at or lower than the given threshold value.

---

**NOTE:** For more information about the RTG `map` command's sensitivity and tuning parameters, refer to *Command Reference, Section 2.4.1*.

### 1.3.5 Protein search

The `mapx` command implements a search of translated nucleotide sequence data against one or more protein databases, with alignment sensitivity adjusted for gaps and mismatches. With `mapx`, an investigator can sort and classify knowns, and identify homologs and novels.

The `mapx` command accepts reads formatted as nucleotide data and a reference database formatted as protein data. In a two-step process, queries that have one or more exact matches of an *k*-mer against the database during the matching phase are then aligned to the subject sequence with a full edit-distance calculation using the BLOSUM62 scoring matrix.

The `mapx` command outputs the statistical significance of matches based on semi-global alignments (globally across query). Reported search results may be modified by a combination of one or more thresholds on % identity, E value, bit score and alignment score. The output results file is similar in construct to that reported by BLASTX.

---

**NOTE:** For more information about the RTG `mapx` command please refer to *Command Reference, Section 2.5.1*.

### 1.3.6 Protein search output files

The `mapx` command writes search results and a summary file in a directory specified by the `-o` parameter at the command line. The summary file is named `summary.txt`. There is also a file called `progress` that can be used to monitor overall progress during a run, and a file named `mapx.log` containing technical information that may be useful for debugging.

The protein search results are written to a file named `alignments.tsv.gz`. Each record in this results file, representing a valid search result, is written as tab-separated fields on a single line. The output fields are very similar to those reported by BLASTX.

---

**NOTE:** For detailed information about the RTG `mapx` command results file format refer to *Appendix, Section 5.7.8*.

### 1.3.7 Protein search sensitivity tuning

The RTG `mapx` command builds a set of indexes from the translated reads and scans each query for matches according to user-specified sensitivity settings. Sensitivity is set with two parameters. The word size (`-w` or `--word`) parameter specifies match length. The mismatches (`-a` or `--mismatches`) parameter specifies the number and placement of *k*-mers across each translated query.

The alignment score threshold can be altered to increase or decrease the number of mapped reads. Using the `--max-mated-score` parameter for example, an investigator might limit reported alignments to only those at or lower than the given threshold value.

---

**NOTE:** For more information about the RTG `mapx` command's sensitivity and tuning parameters, refer to *Command Reference, Section 5.7.8*.

### 1.3.8 Benchmarking and optimization utilities

RTG benchmarking and optimization utilities consist of simulators that generate read and reference genome sequence data, and evaluators that verify the accuracy of sequence search and data analysis functions. Investigators will use these utility commands to evaluate the use of RTG software in various read mapping and data analysis scenarios.

RTG provides several simulators:

- `genomesim`  
The `genomesim` command generates a reference genome with one or more segments of varying length and a percentage mix of nucleotide values. Use the command to create simulated genomes for benchmarking and evaluation.
- `readsim/cgsim`  
The `readsim/cgsim` commands generate experimental read sequence data from an input reference genome, introducing errors at a specified rate. Use the commands to create simulated read sets for benchmarking and evaluation.
- `popsim`, `samplesim`, `childsim`, `samlereplay`, `denovosim`  
These variant simulation commands are used to create mutated genomes from a known reference by adding variants. Use these commands to verify accuracy of variant detection analysis software for a particular experiment using different pipeline settings.

Simulated data that is produced in SDF format and can be converted into FASTA and FASTQ format sequence files using the `sdf2fasta` and `sdf2fastq` commands respectively.

---

**NOTE:** For more information about the RTG simulation commands, refer to *Command Reference, Section 2.10*. Advice is available to ensure best results. Please contact RTG technical support for assistance.

## 1.4 Variant detection functions

The RTG variant detection pipeline includes commands for both sequence and structural variation detection: `snp`, `family`, `population`, `somatic`, `cnv` and `coverage`. The types of data available for analysis from the RTG software pipeline include: Bayesian sequence variant calling (`snps.vcf`), structural variation analysis (`cnv.ratio`) and alignment coverage depth (`coverage.bed`).

### 1.4.1 Sequence variation (SNPs, indels and complex variants)

The `snp` command uses Bayesian probability to identify and locate single and multiple nucleotide polymorphisms (SNPs and MNPs), indels, and complex sequence variants. The command uses standard BAM format files as input and reports computed posterior scores, base calls, mapping quality, coverage depth, and supporting statistics for all positions and for all variants. The `snp` command may be instructed to run in either haploid or diploid calling mode, and can perform sex-aware calling to automatically switch between haploid and diploid calling according to sex chromosomes specified for your reference species.

The `snp` command calls single nucleotide polymorphisms (SNPs), multiple nucleotide polymorphisms (MNPs), and complex regions from the sorted chromosome-ordered gapped alignment (BAM) files. The `snp` command makes consensus SNP and MNP calls on a diploid organism at every position (homozygous, heterozygous, and equal) in the reference, and calls indels and complex variants of 1-50 bp (depending on input alignments).

At each position in the reference, a base pair determination is made based on statistical analysis of the accumulated read alignments, including any adjustments to priors and quality scores. The resulting predictions and accompanying statistics are reported in industry standard VCF format.

The `snps.vcf` output file displays each variant called with confidence. The location and type of the call, the base pairs (reference and called), and a confidence score are standard output in the `snps.vcf` output file. Additional support statistics in the output describe read alignment evidence that can be used to evaluate confidence in the called SNPs. Results may be filtered (post variant calling) by posterior scores, coverage depth, or indels, and filtered report results may be integrated with the SNP calls themselves.

---

**NOTE:** For more information about the SNP output data, refer to Section 2, *Command Reference* for syntax, parameters, and usage of the `map` and `snp` commands.

### 1.4.2 Sequence variation with Mendelian pedigree

The `family` command uses Bayesian analysis and the constraints of Mendelian inheritance to identify single and multiple nucleotide variants in each member of a family group. It will usually yield a better result than running the `snp` command on each individual because the Mendelian constraints help eliminate erroneous calls.

Family calling is restricted to families comprising a mother, father, and one or more sons and daughters. Family members are identified on the command line by sample names matching those used in the input BAM files. The family caller internally assigns the SAM records to the correct family member based on SAM read group information. If available, it automatically makes use of coverage and quality calibration information computed during mapping. It automatically selects the correct haploid/diploid calling depending on the sex of each individual.

The output is a multi-sample VCF file containing a call for each family member whenever any one of the family differs from the reference genome. Each sample reports a computed posterior, base call, and ancillary statistics as per the `snp` command. In addition, there is an overall posterior representing the joint likelihood of the call across all the samples. As with the other variant detection commands, the VCF output includes a filter column containing markers for high-coverage, high-ambiguity, and equivalent calls. It is not guaranteed that the resulting calls will always be Mendelian across the entire family, as *de novo* mutations are also identified and are automatically annotated in the output VCF.

The `population` command extends calling to multiple samples, which may or may not be related according to a supplied pedigree. Mendelian constraints are employed where appropriate, and in cases where many unrelated samples are being called, an iterative EM algorithm updates Bayesian priors to give improved accuracy compared to calling samples individually with the `snp` command.

### 1.4.3 Somatic sequence variation

The `somatic` command uses Bayesian analysis to identify putative cancer explanations in a cancerous sample. As with the `snp` command, it can identify SNPs, MNPs, indels, and complex sequence variants. It operates on two samples, an original sample (assumed to be non-cancerous) and a derived cancerous sample. The derived sample may be a mixture of non-cancerous and cancerous sequence data. The samples are provided to the `somatic` command in the form of BAM format files with appropriate sample names selected via the read group mechanism.

The somatic caller produces a VCF file detailing putative cancer explanations consisting of computed posterior scores, base calls, and ancillary statistics for both input samples. The somatic caller handles both haploid and diploid sequences and is sex aware. If available, it automatically makes use of coverage and quality calibration information computed during mapping.

By default the `snps.vcf` output file gives each variant called where the original and derived sample differ, together with a confidence. The file is sorted by genomic position. The same statistics reported by the `snp` command per VCF record are listed for both samples. The filter column contains markers for situations of high-coverage, high-ambiguity, and equivalent calls. This column can be used to discard unwanted results in subsequent processing.

### 1.4.4 Coverage analysis

The `coverage` command reports read depth across a reference genome with smoothing options, and outputs the results in the industry standard BED format. This can be used to view histograms of mapped coverage data and gap length distributions.

Use the `coverage` command as a tool to analyze mapping results and determine how much of the genome is covered with mapping alignments, and how many times the same location has been mapped.



Customizable scripts are available for enabling graphical plotting of the coverage results using `gnuplot`.

---

**NOTE:** For more information about the RTG variant detection coverage analysis, refer to *Command Reference, Section 2.7.4*.

### 1.4.5 Copy number variation (CNV) analysis

The `cnv` command identifies and reports copy number statistics that can be used for the investigation of structural variation.

It is used to identify aberrational CNV region(s) or copy number variations in a mapped read. The RTG `cnv` command identifies and reports the copy number variation ratio between two genomes.

The results of CNV detection are output to a BED file format. Customizable scripts are available for enabling graphical plotting of the CNV results using `gnuplot`.

---

**NOTE:** For more information about the CNV output data, refer to *Command Reference, Section 2.7.12*.

## 1.5 Standard output file formats

RTG software produces alignment and data analysis results in standard formats to allow pipeline validation and downstream analysis.

Table 1: Result file formats for validation and downstream analysis

File type	Description and Usage
BAM / SAM	The RTG <code>map</code> and <code>cmap</code> commands produces alignment results in the Binary Sequence Alignment/Map (BAM) format: <code>alignments.bam</code> or optionally the compressed ASCII (SAM format) equivalent <code>alignments.sam.gz</code> . This allows use of familiar pileup viewers for quick visual inspection of alignment results.
TXT	Many RTG commands output summary statistics as ASCII text files.
TSV	Many RTG commands output results in tab separated ASCII text files. These files can typically be loaded directly into a spreadsheet viewing program like Microsoft Excel or Open Office.
BED	Some RTG commands output results in standard BED formats for further analysis and reporting.
PED	Some RTG commands utilize standard PED format text files for supplying sample pedigree and sex information.
VCF	The <code>snp</code> , <code>family</code> , <code>population</code> and <code>somatic</code> commands output results in



File type	Description and Usage
	Variant Call Format (VCF) version 4.1.

---

**NOTE:** For more information about file format extensions, refer to *Appendix*, Section 5.7.

### 1.5.1 SAM/BAM files created by the RTG map command

The Sequence Alignment/Map (SAM/BAM) format (version 1.3) is a well-known standard for listing read alignments against reference sequences.

SAM records list the mapping and alignment data for each read, ordered by chromosome (or other DNA reference sequence) location.

A sample RTG SAM file is shown in the Appendix. It describes the relationship between a read and a reference sequence, including mismatches, insertions and deletions (indels) as determined by the RTG map aligner.

---

**NOTE:** RTG mapped alignments are stored in BAM format with RTG read IDs by default. This default can be overridden using the `--read-names` flag or changed after processing using the RTG `samrename` utility to label the reads with the sequence identifiers from the original source file. For more information, refer to the SAM 1.3 nomenclature and symbols online at:  
<https://samtools.github.io/hts-specs/SAMv1.pdf>

RTG has defined several extensions within the standard SAM/BAM format; be sure to review the SAM/BAM format information in Section 5.7.1 of the *Appendix* to this guide for a complete list of all extensions and differences.

By default the RTG map command produces output as compressed binary format BAM files but can be set to produce human readable SAM files instead with the `--sam` flag.

### 1.5.2 SNP caller output files

The Variant Call Format (version 4.1) is the emerging standard for storing SNPs, MNPs and indels.

A sample `snps.vcf` file is provided in the Appendix as an example of the output produced by an RTG SNP call run. Each line in a `snps.vcf` output has tab-separated fields and represents a SNP variation calculated from the mapped reads against the reference genome.

---

**NOTE:** RTG variant calls are stored in VCF format (version 4.1). For more information about the VCF format, refer to the specification online at:  
<https://samtools.github.io/hts-specs/VCFv4.1.pdf>

RTG employs several extensions within the standard VCF format; be sure to review the VCF format information in Section 5.7.3 of the *Appendix* to this guide for a complete list of all extensions and differences.

---

**NOTE:** For more information about file formats, refer to the *Appendix*, Section 5.7.

## 1.6 Metagenomic analysis functions

The RTG metagenomic analysis pipeline includes commands for sample contamination filtering, estimation of taxon abundances in a sample and finding relationships between samples.

### 1.6.1 Contamination filtering

The `mapf` command is used for filtering contaminant reads from a sample. It does this by performing alignment of the reads against a reference of known contaminants and producing an output of the reads that did not align successfully. A common use for this is to remove human DNA from a bacterial sample taken from a body site.

### 1.6.2 Taxon abundance breakdown

The `species` command is used to find the abundances of taxa within a given sample. This is accomplished by analyzing reference genome alignment data made with a metagenomic reference database of known organisms. It produces output in which each taxon is given a fraction representing its abundance in the sample with upper and lower bounds and a value indicating the confidence that the taxon is actually present in the sample.

### 1.6.3 Sample relationships

The `similarity` command is used to find relationships between sample read sets. It does this by examining *k*-mer word frequencies and the intersections between sets of reads. This results in the output of a similarity matrix, a principal component analysis and nearest neighbor trees in the Newick and phyloXML formats.

### 1.6.4 Functional protein analysis

The `mapx` command is used to perform a translated nucleotide search of short reads against a reference protein database. This results in an output similar to that reported by BLASTX.

## 1.7 Pipelines

Included in the RTG release are some pipeline commands which perform simple end-to-end tasks using other RTG commands. These pipelines use mostly default settings for each of the commands called, and are meant as a guideline to building more complex end-to-end pipelines using our tools. The metagenomic pipeline commands are:

- species composition (`composition-meta-pipeline`)
- functional protein analysis (`functional-meta-pipeline`)
- species composition and functional protein analysis (`composition-functional-meta-pipeline`).

For detailed information about individual pipeline commands see Section 2.9.

## 1.8 Parallel processing

The comparison of genomic variation on a large scale in real time demands parallel processing capability. Parallel processing of gapped alignments and variant detection is recommended by RTG because it significantly reduces wall clock time.

RTG software includes key features that make it easier for a person to prepare a job for parallel processing. First, RTG mapping commands can be performed on a subset of a large file or set of files either by using the `--start-read` and `--end-read` parameters or for commands that do not support this, by using `sdfspl` to break a large SDF into smaller pieces. Second, the data analysis commands accept multiple alignment files as input from the command. Third, many RTG commands take a `--region` or `--bed-regions` parameter to allow breaking up tasks into pieces across the reference genome.

---

**NOTE:** See Section 2 *RTG Command Reference* for command-specific details, Section 4 *RTG Administration* for detailed information about estimating the number of multi-core servers needed (capacity planning), and Section 5 *Appendix* for a deeper discussion of compute cluster operations.

## 1.9 Installation and deployment

RTG is a self-contained tool that sets minimal expectations on the environment in which it is placed. It comes with the application components it needs to execute completely, yet performance can be enhanced with some simple modifications to the deployment configuration. This section provides guidelines for installing and creating an optimal configuration, starting from a typical recommended system.

RTG software pipeline runs in a wide range of computing environments from dual-core processor laptops to compute clusters with racks of dual processor quad core server nodes. However, internal human genome analysis benchmarks suggest the use of six server nodes of the configuration shown in Table 2 below.

Table 2: Recommended system requirements

Processor	Intel Core i7-2600
Memory	48 GB RAM DDR3
Disk	5 TB, 7200 RPM (prefer SAS disk)

RTG Software can be run as a Java JAR file, but platform specific wrapper scripts are supplied to provide improved pipeline ergonomics. Instructions for a quick start installation are provided here.

For further information about setting up per-machine configuration files, please see the `README.txt` contained in the distribution zip file (a copy is also included in this manual's appendix).

## 1.9.1 Quick start instructions

These instructions are intended for an individual to install and operate the RTG software without the need to establish root / administrator privileges.

RTG software is delivered in a compressed zip file, such as:  
`rtg-core-3.3.zip`. Unzip this file to begin installation.

Linux and Windows distributions include a Java Virtual Machine (JVM) version 1.8 that has undergone quality assurance testing. RTG may be used on other operating systems for which a JVM version 1.7 or higher is available, such as MacOS X or Solaris, by using the “no-jre” distribution.

RTG for Java is delivered as a Java application accessed via executable wrapper script (`rtg` on UNIX systems, `rtg.bat` on Windows) that allows a user to customize initial memory allocation and other configuration options. It is recommended that these wrapper scripts be used rather than directly executing the Java JAR.

Here are platform-specific instructions for RTG deployment.

Linux/MacOS X:

- Unzip the RTG distribution to the desired location.
- If your distribution requires a license file (`rtg-license.txt`), copy the license file from Real Time Genomics into the RTG distribution directory.
- Test for success by entering '`./rtg version`' at the command line.
- On MacOS X, depending on your operating system version and configuration regarding unsigned applications, you may encounter the error message:  

```
-bash: rtg: /usr/bin/env: bad interpreter: Operation not permitted
```

  
If this occurs, you must clear the OS X quarantine attribute with the command:  

```
$ xattr -d com.apple.quarantine rtg
```
- The first time `rtg` is executed you will be prompted with some questions to customize your installation. Follow the prompts.
- Enter '`./rtg help`' for a list of `rtg` commands.
- By default, RTG software scripts establish a memory space of 90% of the available RAM - this is automatically calculated. One may override this limit in the `rtg.cfg` settings file or on a per-run basis by supplying `RTG_MEM` as an environment variable or as the first program argument, e.g.: '`./rtg RTG_MEM=48g map`'

Windows:

- Unzip the RTG distribution to the desired location.
- If your distribution requires a license, copy the license file from Real Time Genomics (`rtg-license.txt`) into the RTG distribution directory.

- Test for success by entering 'rtg version' at the command line. The first time rtg is executed you will be prompted with some questions to customize your installation. Follow the prompts.
- Enter 'rtg help' for a list of rtg commands.
- By default, RTG software scripts establish a memory space of 90% of the available RAM - this is automatically calculated. One may override this limit by setting the RTG\_MEM variable in the rtg.bat script or as an environment variable.

## 1.9.2 License Management

Some RTG products require the presence of a valid license key file for operation.

The license key file must be located in the same directory as the RTG executable. The license enables the execution of a particular command set for the purchased product(s) and features.

A license key allows flexible use of the RTG package on any node or CPU core.

To view the current license features at the command prompt, enter:

```
$ rtg license
```

---

**NOTE:** For more data center deployment and instructions for editing scripts, see Section 4 *Administration*.

## 1.10 Technical assistance and support

For assistance with any technical or conceptual issue that may arise during use of the RTG product, contact Real Time Genomics Technical Support via email at [support@realtimegenomics.com](mailto:support@realtimegenomics.com).

In addition, a discussion group is available at:

<https://groups.google.com/a/realtimegenomics.com/forum/#!forum/rtg-users>

A low-traffic announcements-only group is available at:

<https://groups.google.com/a/realtimegenomics.com/forum/#!forum/rtg-announce>

## 2 RTG Command Reference

This chapter describes RTG commands with a generic description of parameter options and usage. This section also includes expected operation and output results.

### 2.1 Command line interface (CLI)

RTG is installed as a single executable in any system subdirectory where permissions authorize a particular community of users to run the application. RTG commands are executed through the RTG command-line interface (CLI). Each command has its own set of parameters and options described in this section. The availability of each command may be determined by the RTG license that has been installed. Contact [support@realtimegenomics.com](mailto:support@realtimegenomics.com) to discuss changing the set of commands that are enabled by your license.

Results are organized in results directories defined by command parameters and settings. The command line shell environment should include a set of familiar text post-processing tools, such as `grep`, `awk`, or `perl`. Otherwise, no additional applications such as databases or directory services are required.

### 2.2 RTG command syntax

#### Usage:

```
rtg COMMAND [OPTIONS] <REQUIRED>
```

To run an RTG command at the command prompt (either DOS window or Unix terminal), type the product name followed by the command and all required and optional parameters. For example:

```
$ rtg format -o human_REF_SDF human_REF.fasta
```

Typically results are written to output files specified with the `-o` option. There is no default filename or filename extension added to commands requiring specification of an output directory or format.

Many times, unfiltered output files are very large; the built-in compression option generates block compressed output files with the `.gz` extension automatically unless the parameter `-Z` or `--no-gzip` is issued with the command.

Many command parameters require user-supplied information, as shown in the following:

User-specified	Description
DIR, FILE	File or directory name(s)
INT	Integer value
FLOAT	Floating point decimal value
STRING	A sequence of characters for comments, filenames, or labels

To display all parameters and syntax associated with an RTG command, enter the command and type `--help`. For example: all parameters available for the RTG `format` command are displayed when `rtg format --help` is executed, as shown below.

```
$ rtg format --help
Usage: rtg format [OPTION]... -o SDF FILE+
           [OPTION]... -o SDF -I FILE
           [OPTION]... -o SDF -l FILE -r FILE
```

Converts the contents of sequence data files (FASTA/FASTQ/SAM/BAM) into the RTG Sequence Data File (SDF) format.

#### File Input/Output

<code>-f</code>	<code>--format=FORMAT</code>	The format of the input file(s). (Must be one of [fasta, fastq, cgfastq, sam-se, sam-pe]) (Default is fasta).
<code>-I</code>	<code>--input-list-file=FILE</code>	Specifies a file containing a list of sequence data files (one per line) to be converted into an SDF.
<code>-l</code>	<code>--left=FILE</code>	The left input file for FASTA/FASTQ paired end data.
<code>-o</code>	<code>--output=SDF</code>	The name of the output SDF.
<code>-p</code>	<code>--protein</code>	Set if the input consists of protein. If this option is not specified, then the input is assumed to consist of nucleotides.
<code>-q</code>	<code>--quality-format=FORMAT</code>	The format of the quality data for fastq format files. (Use sanger for Illumina1.8+). (Must be one of [sanger, solexa, illumina]).
<code>-r</code>	<code>--right=FILE</code>	The right input file for FASTA/FASTQ paired end data.
	<code>FILE+</code>	Specifies a sequence data file to be converted into an SDF. May be specified 0 or more times.

#### Filtering

<code>--duster</code>	Treat lower case residues as unknowns.
<code>--exclude=STRING</code>	Exclude individual input sequences based on their name. If the input sequence name contains the specified string then that sequence is excluded from the SDF. May be specified 0 or more times.
<code>--select-read-group=STRING</code>	Set to only include only reads with this read group ID when formatting from SAM/BAM files.
<code>--trim-threshold=INT</code>	Set to trim the read ends to maximize the base quality above the given threshold.

## Utility

	<code>--allow-duplicate-names</code>	Set to disable duplicate name detection. Use this if you need to use less memory and you are certain there are no duplicate names in the input.
<code>-h</code>	<code>--help</code>	Prints help on command-line flag usage.
	<code>--no-names</code>	Do not include sequence names in the resulting SDF.
	<code>--no-quality</code>	Do not include sequence quality data in the resulting SDF.
	<code>--sam-rg=STRING FILE</code>	Specifies a file containing a single valid read group SAM header line or a string in the form "@RG\tID:READGROUP1\tSM:BACT_SAMPLE\tPL:ILLUMINA".

Required parameters are indicated in the Usage display; optional parameters are listed immediately below the Usage information in organized categories.

Use the double-dash when typing the full-word command option, as in `--output`:

```
$ rtg format --output human_REF_SDF human_REF.fasta
```

Alternatively, use the abbreviated character version of a full command parameter with only a single dash, as is typical for a command flag (`--output` is the same as command option as the abbreviated character `-o`):

```
$ rtg format -o human_REF human_REF.fasta
```

A set of utility commands are provided through the CLI: `version`, `license`, and `help`. Start with these commands to familiarize yourself with the software.

The `rtg version` command invokes the RTG software and triggers the launch of RTG product commands, options, and utilities:

```
$ rtg version
```

It will display the version of the RTG software installed, RAM requirements, and license expiration, for example:

```
Product: RTG Core 3.5
Core Version: 6236f4e (2014-10-31)
RAM: 40.0GB of 47.0GB RAM can be used by rtg (84%)
License: Expires on 2015-09-30
License location: /home/rtgcustomer/rtg/rtg-license.txt
Contact: support@realtimegenomics.com

Patents / Patents pending:
US: 7,640,256, 13/129,329, 13/681,046, 13/681,215, 13/848,653, 13/925,704,
14/015,295, 13/971,654, 13/971,630, 14/564,810
UK: 1222923.3, 1222921.7, 1304502.6, 1311209.9, 1314888.7, 1314908.3
New Zealand: 626777, 626783, 615491, 614897, 614560
Australia: 2005255348, Singapore: 128254

Citation:
John G. Cleary, Ross Braithwaite, Kurt Gaastra, Brian S. Hilbush, Stuart
Inglis, Sean A. Irvine, Alan Jackson, Richard Littin, Sahar Nohzadeh-
```



Malakshah, Mehul Rathod, David Ware, Len Trigg, and Francisco M. De La Vega. "Joint Variant and De Novo Mutation Identification on Pedigrees from High-Throughput Sequencing Data." *Journal of Computational Biology*. June 2014, 21(6): 405-419. doi:10.1089/cmb.2014.0029.

(c) Real Time Genomics Inc, 2014

To display all commands and usage parameters available to use with your license, type `rtg help`:

```
$ rtg help
Usage:
```

```
Type rtg help COMMAND for help on a specific command. The following
commands are available:
Data formatting:
```

```
format      convert a FASTA file to SDF
cg2sdf      convert Complete Genomics reads to SDF
sdf2fasta   convert SDF to FASTA
sdf2fastq   convert SDF to FASTQ
sdf2sam     convert SDF to SAM/BAM
```

*Read mapping:*

```
map         read mapping
mapf        read mapping for filtering purposes
cgmap       read mapping for Complete Genomics data
```

*Protein search:*

```
mapx        translated protein search
```

*Assembly:*

```
assemble    assemble reads into long sequences
addpachbio   add Pacific Biosciences reads to an assembly
```

*Variant detection:*

```
calibrate   create calibration data from SAM/BAM files
svprep      prepare SAM/BAM files for sv analysis
sv          find structural variants
discord     detect structural variant breakends using discordant reads
coverage    calculate depth of coverage from SAM/BAM files
snp         call variants from SAM/BAM files
family      call variants for a family following Mendelian inheritance
somatic     call variants for a tumor/normal pair
population  call variants for multiple potentially-related individuals
lineage     call de novo variants in a cell lineage
avrbuild    AVR model builder
avrpredict  run AVR on a VCF file
cnv         call CNVs from paired SAM/BAM files
```

*Metagenomics:*

```
species     estimate species frequency in metagenomic samples
similarity  calculate similarity matrix and nearest neighbor tree
```

*Simulation:*

```
genomesim   generate simulated genome sequence
cgsim       generate simulated reads from a sequence
readsim     generate simulated reads from a sequence
readsimeval evaluate accuracy of mapping simulated reads
popsim      generate a VCF containing simulated population variants
samplesim   generate a VCF containing a genotype simulated from a
population
```

childsim	generate a VCF containing a genotype simulated as a child of two parents
denovosim	generate a VCF containing a derived genotype containing de novo variants
samplereplay	generate the genome corresponding to a sample genotype
cnvsim	generate a mutated genome by adding CNVs to a template

*Utility:*

bgzip	compress a file using block gzip
index	create a tabix index
extract	extract data from a tabix indexed file
sdfstats	print statistics about an SDF
sdfsplitt	split an SDF into multiple parts
sdfsubset	extract a subset of an SDF into a new SDF
sdfsubseq	extract a subsequence from an SDF as text
sam2bam	convert SAM file to BAM file and create index
sammerge	merge sorted SAM/BAM files
samstats	print statistics about a SAM/BAM file
samrename	rename read id to read name in SAM/BAM files
mapxrename	rename read id to read name in mapx output files
mendelian	check a multi-sample VCF for Mendelian consistency
vcfstats	print statistics from about variants contained within a VCF file
vcfmerge	merge single-sample VCF files into a single multi-sample VCF
vcffilter	filter records within a VCF file
vcfannotate	annotate variants within a VCF file
vcfsubset	create a VCF file containing a subset of the original columns
vcfeval	evaluate called variants for agreement with a baseline variant set
pedfilter	filter and convert a pedigree file
pedstats	print information about a pedigree file
avrstats	print statistics about an AVR model
rocplot	plot ROC curves from vcfeval ROC data files
usageserver	run a local server for collecting RTG command usage information
version	print version and license information
license	print license information for all commands
help	print this screen or help for specified command

The help command will only list the commands for which you have a license to use.

To display help and syntax information for a specific command from the command line, type the command and then the --help option, as in:

```
$ rtg format --help
```

---

**NOTE:** The following commands are synonymous:

rtg help format and rtg format --help

---

**NOTE:** Refer to *Installation and deployment* for information about installing the RTG product executable.

## 2.3 Data Formatting Commands

### 2.3.1 format

#### Synopsis:

The `format` command converts the contents of sequence data files (FASTA/FASTQ/SAM/BAM) into the RTG Sequence Data File (SDF) format. This step ensures efficient processing of very large data sets, by organizing the data into multiple binary files within a named directory. The same SDF format is used for storing sequence data, whether it be genomic reference, sequencing reads, protein sequences, etc.

#### Syntax:

Format one or more files specified from command line into a single SDF:

```
$ rtg format [OPTION] -o SDF FILE+
```

Format one or more files specified in a text file into a single SDF:

```
$ rtg format [OPTION] -o SDF -I FILE
```

Format mate pair reads into a single SDF:

```
$ rtg format [OPTION] -o SDF -l FILE -r FILE
```

#### Examples:

For FASTA (.fa) genome reference data:

```
$ rtg format -o maize_reference maize_chr*.fa
```

For FASTQ (.fq) sequence read data:

```
$ rtg format -f FASTQ -o h1_reads -l h1_sample_left.fq -r  
h1_sample_right.fq
```

#### Parameters:

##### File Input/Output

-f	--format=FORMAT	The format of the input file(s). (Must be one of [fasta, fastq, cgfastq, sam-se, sam-pe]) (Default is fasta).
-I	--input-list-file=FILE	Specifies a file containing a list of sequence data files (one per line) to be converted into an SDF.
-l	--left=FILE	The left input file for FASTA/FASTQ paired end data.
-o	--output=SDF	The name of the output SDF.
-p	--protein	Set if the input consists of protein. If this option is not specified, then the input is assumed to consist of nucleotides.
-q	--quality-format=FORMAT	The format of the quality data for fastq format files. (Use sanger for Illumina1.8+). (Must be one of [sanger, solexa, illumina]).

<code>-r</code>	<code>--right=FILE</code>	The right input file for FASTA/FASTQ paired end data.
	<code>FILE+</code>	Specifies a sequence data file to be converted into an SDF. May be specified 0 or more times.

## Filtering

[illegible]

```
--select-read-group=STRING    Set to only include only reads with this
                               read group ID when formatting from SAM/BAM
                               files.
```

--trim-threshold=INT           Set to trim the read ends to maximise the  
base quality above the given threshold.

## Utility

Set to disable duplicate name detection. Use this if you need to use less memory and you are certain there are no duplicate names in the input.

```
Prints help on command-line flag usage.
```

Do not include sequence names in the resulting SDF.

Do not include sequence quality data in the resulting SDF.

Specifies a file containing a single valid read group SAM header line or a string in the form

```
"@RG\tID:READGROUP1\tSM:BACT_SAMPLE\tPL:ILLUMINA".
```

### Usage:

For input FASTA and FASTQ files which are compressed, they must have a filename extension of `.gz` (for gzip compressed data) or `.bz2` (for bzip2 compressed data).

When using FASTQ input files you must specify the quality format being used as one of `sanger`, `solexa` or `illumina`. As of Illumina pipeline version 1.8 and higher, quality values are encoded in Sanger format and so should be formatted using `--quality-format=sanger`. Output from earlier Illumina pipeline versions should be formatted using `--quality-format=illumina` for Illumina pipeline versions starting with 1.3 and before 1.8, or `--quality-format=solexa` for Illumina pipeline versions less than 1.3.

For files that represent paired-end read data, indicate each side respectively using the `--left=FILE` and `--right=FILE` flags.

The `mapx` command maps translated DNA sequence data against a protein reference. You must use the `-p`, `--protein` flag to format the protein reference used by `mapx`.

Use the `sam-se` format for single end SAM/BAM input files and the `sam-pe` format for paired end SAM/BAM input files. Note that if the input SAM/BAM files are sorted in coordinate order (for example if they have already been aligned to a reference), it is recommended that they be shuffled before formatting, so that subsequent mapping is not biased by processing reads in chromosome order. For example, a BAM file can be shuffled using `samtools bamshuf` as follows:

```
$ samtools bamshuf -uOn 256 reads.bam tmp-prefix >reads_shuffled.bam
```

And this can be carried out on the fly during formatting using bash process redirection in order to reduce intermediate I/O, for example:

```
$ rtg format --format sam-pe <(samtools bamshuf -uOn 256 reads.bam temp-prefix) ...
```

The SDF for a read set can contain a SAM read group which will be automatically picked up from the input SAM/BAM files if they contain only one read group. If the input SAM/BAM files contain multiple read groups you must select a single read group from the SAM/BAM file to format using the `--select-read-group` flag or specify a custom read group with the `--sam-rg` flag. The `--sam-rg` flag can also be used to add read group information to reads given in other input formats. The SAM read group stored in an SDF will be automatically used during mapping the reads it contains to provide tracking information in the output BAM files.

The `--trim-threshold` flag can be used to trim poor quality read ends from the input reads by inspecting base qualities from FASTQ input. If and only if the quality of the final base of the read is less than the threshold given, a new read length is found which maximizes the overall quality of the retained bases using the following formula.

$$\arg \max x \{ \sum_{i=x+1}^l (T - q(i)) \} \text{ if } q(l) < T$$

Where  $l$  is the original read length,  $x$  is the new read length,  $T$  is the given threshold quality and  $q(n)$  is the quality of the base at the position  $n$  of the read.

---

**NOTE:** Sequencing system read files and reference genome files often have the same extension and it may not always be obvious which file is a read set and which is a genome. Before formatting a sequencing system file, open it to see which type of file it is. For example:

```
$ less pf3.fa
```

In general, a read file typically begins with an @ or + character; a reference file typically begins with the characters chr.

**See also:** cg2sdf, map, sdf2fasta, sdf2fastq, sdfstats, sdfsplit

## 2.3.2 cg2sdf

### Synopsis:

Converts Complete Genomics sequencing system reads to RTG SDF format.

### Syntax:

Multi-file input specified from command line:

```
$ rtg cg2sdf [OPTION]... -o SDF FILE+
```

Multi-file input specified in a text file:

```
$ rtg cg2sdf [OPTION]... -o SDF -I FILE
```

### Example:

```
$ rtg cg2sdf -I CG_source_files -o CG_reads
```

### Parameters:

#### File Input/Output

-I	--input-list-file=FILE	Specifies a file containing a list of Complete Genomics TSV sequence data files (one per line) to be converted into an SDF.
-o	--output=SDF	The name of the output SDF.
	FILE+	Specifies a Complete Genomics TSV sequence data file to be converted into an SDF. May be specified 0 or more times.

#### Filtering

--max-unknowns=INT	Maximum number of Ns allowed in either side of a read (Default is 5). Complete Genomics produces "no calls" in the reads, represented by multiple Ns. Sometimes, numerous Ns indicate a low quality read. This parameter limits how many Ns will be added to the SDF during conversion. If there are more than 5 Ns in one arm of the read, they will not be added to the SDF by default.
--------------------	---

#### Utility

-h	--help	Prints help on command-line flag usage.
	--no-quality	Does not include sequence quality data in the resulting SDF.
	--sam-rg=STRING FILE	Specifies a file containing a single valid read group SAM header line or a string in the form

```
"@RG\tID:READGROUP1\tSM:BACT_SAMPLE\tPL:COMPLETE".
```

## Usage:

The `cg2sdf` command converts Complete Genomics reads into an RTG SDF.

RTG supports two versions of Complete Genomics reads: the original 35 bp paired end read structure (“version 1”); and the newer 29 bp paired end structure (“version 2”). The 29 bp reads are sometimes equivalently represented as 30 bp with a redundant single base overlap containing an N at position 20. This alternate representation is automatically normalised by RTG during processing.

The command accepts input files in the Complete Genomics read data format entered at the command line. The reads for a single sample are typically supplied in a large number of files. For consistent operation with multiple samples, use the `-I, --input-list-file` flag to specify a text file that lists all the files to format, specifying one filename per line.

Using the `--sam-rg` flag the SDF for a read set can contain the SAM read group specified. The SAM read group stored in an SDF will be automatically used during mapping the reads it contains to provide tracking information in the output BAM files. For version 1 reads, the platform (PL) must be specified as `COMPLETE`, and for version 2 reads, the platform must be specified as `COMPLETEGENOMICS`.

**See also:** `format`, `cgmap`, `sdf2fasta`, `sdf2fastq`, `sdfstats`, `sdfsplint`

## 2.3.3 sdf2fasta

### Synopsis:

Convert SDF data into a FASTA file.

### Syntax:

```
$ rtg sdf2fasta [OPTION]... -i SDF -o FILE
```

### Example:

```
$ rtg sdf2fasta -i humanSDF -o humanFASTA_return
```

### Parameters:

#### File Input/Output

<code>-i</code>	<code>--input=SDF</code>	Specifies the SDF data to be converted.
<code>-o</code>	<code>--output=FILE</code>	Specifies the file name used to write the resulting FASTA output.

#### Filtering

<code>--end-id=INT</code>	Only output sequences with sequence id less than the given number. (Sequence ids start at 0).
<code>--start-id=INT</code>	Only output sequences with sequence id greater than or equal to the given number. (Sequence ids start at 0).

<code>-I</code>	<code>--id-file=FILE</code>	Name of a file containing a list of sequences to extract, one per line.
	<code>--names</code>	Interpret any specified sequence as names instead of numeric sequence ids.
	<code>--taxons</code>	Interpret any specified sequence as taxon ids instead of numeric sequence ids. This option only applies to a metagenomic reference species SDF.
	<code>STRING+</code>	Specify one or more explicit sequences to extract, as sequence id, or sequence name if <code>--names</code> flag is set.

### Utility

<code>-h</code>	<code>--help</code>	Prints help on command-line flag usage.
	<code>--interleave</code>	Interleave paired data into a single output file. Default is to split to separate output files.
<code>-l</code>	<code>--line-length=INT</code>	Set the maximum number of nucleotides or amino acids to print on a line of FASTA output. Should be nonnegative, with a value of 0 indicating that the line length is not capped. (Default is 0).
<code>-Z</code>	<code>--no-gzip</code>	Set this flag to create the FASTA output file without compression. By default the output file is compressed with blocked gzip.

### Usage:

Use the `sdf2fasta` command to convert SDF data into FASTA format. By default, `sdf2fasta` creates a separate line of FASTA output for each sequence. These lines will be as long as the sequences themselves. To make them more readable, use the `-l, --line-length` flag and define a reasonable record length like 75.

By default all sequences will be extracted, but flags may be specified to extract reads within a range, or explicitly specified reads (either by numeric sequence id or by sequence name if `--names` is set). Additionally, when the input SDF is a metagenomic species reference SDF, the `--taxons` option, any supplied id is interpreted as a taxon id and all sequences assigned directly to that taxon id will be output. This provides a convenient way to extract all sequence data corresponding to a single (or multiple) species from a metagenomic species reference SDF.

**See also:** `format`, `cg2sdf`, `sdf2fastq`, `sdfstats`, `sdfsplit`

## 2.3.4 sdf2fastq

### Synopsis:

Convert SDF data into a FASTQ file.

### Syntax:

```
$ rtg sdf2fastq [OPTION]... -i SDF -o FILE
```



## Example:

```
$ rtg sdf2fastq -i humanSDF -o humanFASTQ_return
```

## Parameters:

### File Input/Output

-i	--input=SDF	Specifies the SDF data to be converted.
-o	--output=FILE	Specifies the file name used to write the resulting FASTQ output.

### Filtering

	--end-id=INT	Only output sequences with sequence id less than the given number. (Sequence ids start at 0).
	--start-id=INT	Only output sequences with sequence id greater than or equal to the given number. (Sequence ids start at 0).
-I	--id-file=FILE	Name of a file containing a list of sequences to extract, one per line.
	--names	Interpret any specified sequence as names instead of numeric sequence ids.
	STRING+	Specify one or more explicit sequences to extract, as sequence id, or sequence name if --names flag is set.

### Utility

-h	--help	Prints help on command-line flag usage.
-q	--default-quality=INT	Set the default quality to use if the SDF does not contain sequence quality data (0-63).
	--interleave	Interleave paired data into a single output file. Default is to split to separate output files.
-l	--line-length=INT	Set the maximum number of nucleotides or amino acids to print on a line of FASTQ output. Should be nonnegative, with a value of 0 indicating that the line length is not capped. (Default is 0).
-Z	--no-gzip	Set this flag to create the FASTQ output file without compression. By default the output file is compressed with blocked gzip.

## Usage:

Use the `sdf2fastq` command to convert SDF data into FASTQ format. If no quality data is available in the SDF, use the `-q, --default-quality` flag to set a quality score for the FASTQ output. The quality encoding used during output is sanger quality encoding. By default, `sdf2fastq` creates a separate line of FASTQ output for each sequence. As with `sdf2fasta`, there is an option to use the `-l, --line-length` flag to restrict the line lengths to improve readability of long sequences.

By default all sequences will be extracted, but flags may be specified to extract reads within a range, or explicitly specified reads (either by numeric sequence id or by sequence name if `--names` is set).

It may be preferable to extract data to unaligned SAM/BAM format using `sdf2sam`, as this preserves read-group information stored in the SDF and may also be more convenient when dealing with paired-end data.

**See also:** `format`, `cg2sdf`, `sdf2fasta`, `sdf2sam`, `sdfstats`, `sdfsplint`

## 2.3.5 sdf2sam

### Synopsis:

Convert SDF read data into unaligned SAM or BAM format file.

### Syntax:

```
$ rtg sdf2sam [OPTION]... -i SDF -o FILE
```

### Example:

```
$ rtg sdf2sam -i samplereadsSDF -o samplereads.bam
```

### Parameters:

#### File Input/Output

<code>-i</code>	<code>--input=SDF</code>	Specifies the SDF data to be converted.
<code>-o</code>	<code>--output=FILE</code>	Specifies the file name used to write the resulting SAM/BAM to. The output format is automatically determined based on the filename specified. If '-' is given, the data is written as uncompressed SAM to standard output.

#### Filtering

	<code>--end-id=INT</code>	Only output sequences with sequence id less than the given number. (Sequence ids start at 0).
	<code>--start-id=INT</code>	Only output sequences with sequence id greater than or equal to the given number. (Sequence ids start at 0).
<code>-I</code>	<code>--id-file=FILE</code>	Name of a file containing a list of sequences to extract, one per line.
	<code>--names</code>	Interpret any specified sequence as names instead of numeric sequence ids.
	<code>STRING+</code>	Specify one or more explicit sequences to extract, as sequence id, or sequence name if <code>--names</code> flag is set.

#### Utility

<code>-h</code>	<code>--help</code>	Prints help on command-line flag usage.
<code>-Z</code>	<code>--no-gzip</code>	Set this flag when creating SAM format output to disable compression. By default SAM is compressed with blocked gzip, and

BAM is always compressed.

### Usage:

Use the `sdf2sam` command to convert SDF data into unaligned SAM/BAM format. By default all sequences will be extracted, but flags may be specified to extract reads within a range, or explicitly specified reads (either by numeric sequence id or by sequence name if `--names` is set). This command is a useful way to export paired-end data to a single output file while retaining any read group information that may be stored in the SDF.

**See also:** `format`, `cg2sdf`, `sdf2fastq`, `sdfstats`, `sdfsplint`

## 2.4 Read Mapping Commands

### 2.4.1 map

#### Synopsis:

The `map` command aligns sequence reads onto a reference genome, creating an alignments file in the Sequence Alignment/Map (SAM) format. It can be used to process single-end or paired-end reads, of equal or variable length.

#### Syntax:

Map using an SDF or a single end sequence file:

```
$ rtg map [OPTION]... -o DIR -t SDF -i SDF|FILE
```

Map using paired end sequence files:

```
$ rtg map [OPTION]... -o DIR -t SDF -l FILE -r FILE
```

#### Example:

```
$ rtg map -t strain_REF -i strain_READS -o strain_MAP -b 2 -U
```

#### Parameters:

##### File Input/Output

-F	--format=FORMAT	The format of the input file(s). (Must be one of [sdf, fasta, fastq, sam-se, sam-pe]) (Default is sdf).
-i	--input=SDF FILE	Specifies the path to the reads to be mapped.
-l	--left=FILE	The left input file for FASTA/FASTQ paired end reads.
	--no-merge	Set to output mated, unmated and unmapped alignment records into separate SAM/BAM files.
-o	--output=DIR	Specifies the directory where results are reported.
-q	--quality-format=FORMAT	The format of the quality data for fastq

		format files. (Use sanger for Illumina1.8+). (Must be one of [sanger, solexa, illumina]).
-r	--right=FILE	The right input file for FASTA/FASTQ paired end reads.
	--sam	Set to output results in SAM format instead of BAM format.
-t	--template=SDF	Specifies the SDF containing the reference genome to map against.

### Sensitivity Tuning

	--aligner-band-width=FLOAT	Set the fraction of the read length that is allowed to be an indel. Decreasing this factor will allow faster processing, at the expense of only allowing shorter indels to be aligned. (Default is 0.5).
	--aligner-mode=STRING	Set the aligner mode to be used. (Must be one of [auto, table, general]). (Default is auto).
	--bed-regions=FILE	Restrict calibration to mappings falling within the regions in the supplied BED file.
	--gap-extend-penalty=INT	Set the penalty for extending a gap during alignment. (Default is 1).
	--gap-open-penalty=INT	Set the penalty for a gap open during alignment. (Default is 19).
-c	--indel-length=INT	Guarantees number of positions that will be detected in a single indel. For example, -c 3 specifies 3 nucleotide insertions or deletions. (Default is 1).
-b	--indels=INT	Guarantees minimum number of indels which will be detected when used with read less than 64 bp long. For example -b 1 specifies 1 insertion or deletion. (Default is 1).
-M	--max-fragment-size=INT	The maximum permitted fragment size when mating paired reads. (Default is 1000).
-m	--min-fragment-size=INT	The minimum permitted fragment size when mating paired reads. (Default is 0).
	--mismatch-penalty=INT	Set the penalty for a mismatch during alignment. (Default is 9).
-d	--orientation=STRING	Set the orientation required for proper pairs. (Must be one of [fr, rf, tandem, any]) (Default is any).

	<code>--repeat-freq=INT%</code>	Where INT specifies the percentage of all hashes to keep, discarding the remaining percentage of the most frequent hashes. Increasing this value will improve the ability to map sequences in repetitive regions at a cost of run time. It is also possible to specify the option as an absolute count (by omitting the percent symbol) where any hash exceeding the threshold will be discarded from the index. (Default is 90%).
	<code>--sex=SEX</code>	Specifies the sex of the individual. (Must be one of [male, female, either]).
	<code>--soft-clip-distance=INT</code>	Set to soft clip alignments when an indel occurs within that many nucleotides from either end of the read. (Default is 5).
<code>-s</code>	<code>--step=INT</code>	Set the step size. (Default is word size).
<code>-a</code>	<code>--substitutions=INT</code>	Guarantees minimum number of substitutions to be detected when used with read data less than 64 bp long. (Default is 1).
	<code>--unknowns-penalty=INT</code>	Set the penalty for unknown nucleotides during alignment. (Default is 5).
<code>-w</code>	<code>--word=INT</code>	Specifies an internal minimum word size used during the initial matching phase. Word size selection optimizes the number of reads for a desired level of sensitivity (allowed mismatches and indels) given an acceptable alignment speed. (Default is 22, or read length / 2, whichever is smaller).

## Filtering

	<code>--end-read=INT</code>	Only map sequences with sequence id less than the given number. (Sequence ids start at 0).
	<code>--start-read=INT</code>	Only map sequences with sequence id greater than or equal to the given number. (Sequence ids start at 0).

## Reporting

	<code>--all-hits</code>	Output all alignments meeting thresholds instead of applying mating and N limits.
	<code>--max-mated-mismatches=INT</code>	The maximum mismatches for mappings across mated results, alias for <code>--max-mismatches</code> (as absolute value or percentage of read length). (Default is 10%).
<code>-e</code>	<code>--max-mismatches=INT</code>	The maximum mismatches for mappings in

		single-end mode (as absolute value or percentage of read length). (Default is 10%).
-n	--max-top-results=INT	Sets the maximum number of reported mapping results (locations) per read when it maps to multiple locations with the same alignment score (AS). Allowed values are between 1 and 255. (Default is 5).
-E	--max-unmated-mismatches=INT	The maximum mismatches for mappings of unmated results (as absolute value or percentage of read length). (Default is 10%).
	--no-unmapped	Do not output unmapped reads. Some reads that map multiple times will not be aligned, and are reported as unmapped. These reads are reported with XC attributes that indicate the reason they were not mapped.
	--no-unmated	Do not output unmated reads when in paired-end mode.
	--sam-rg=STRING FILE	Specifies a file containing a single valid read group SAM header line or a string in the form "@RG\tID:READGROUP1\tSM:BACT_SAMPLE\tPL:ILLUMINA".
	--top-random	If set, will only output a single random top hit for each read.

## Utility

-h	--help	Prints help on command-line flag usage.
	--legacy-cigars	Produce cigars in legacy format (using M instead of X or =) in SAM/BAM output. When set will also produce the MD field.
	--no-calibration	Set this flag to not produce the calibration output files.
-Z	--no-gzip	Set this flag to create the SAM output files without compression. By default the output files are compressed with tabix compatible blocked gzip.
	--no-index	Set this flag to not produce the indexes for the SAM/BAM output files.
	--no-svprep	Do not perform structural variant processing.
	--tempdir=DIR	Set the directory to use for temporary files during processing. (Defaults to output directory).

<p><code>-T</code>      <code>--threads=INT</code></p> <p><code>--read-names</code></p>	<p>Specify the number of threads to use in a multi-core processor. (Default is all available cores).</p> <p>Output read names instead of sequence ids in SAM/BAM files. (Uses more RAM).</p>
---	--

## Usage:

The `map` command locates reads against a reference using an indexed search method, aligns reads at each location, and then reports alignments within a threshold as a record in a BAM file. Some extensions have been made to the output format. Please consult Section 5.7.1 of the *Appendix*.

By default the alignment records will be output into a single BAM format file called `alignments.bam`. When the `--sam` flag is set it will instead be output in compressed SAM format to a file called `alignments.sam.gz`.

When using the `--no-merge` flag the output will be put into separate files for mated, unmated and unmapped records depending on the kind of reads being mapped. When mapping single end reads it will produce a single output file containing the mappings called `alignments.bam`. When mapping paired end reads it will produce two files, `mated.bam` with paired alignments and `unmated.bam` with unpaired alignments. A file containing the unmapped reads called `unmapped.bam` is also produced in both cases. When used in conjunction with the `--sam` flag each of the separate files will be in compressed SAM format rather than BAM format.

It is highly recommended to ensure that read group tracking information is present in the output BAM files. When mapping directly from a SAM/BAM file with a single read group, or from an SDF with the read group information stored this is automatically set and does not need to be set manually. This read group information can also be explicitly supplied by using the `--sam-rg` flag to provide a SAM-formatted read group header line. The header should specify at least the `ID`, `SM` and `PL` fields for the read group. For more details see Section 5.2.

During mapping RTG automatically creates calibration files containing information about base qualities, average coverage levels etc. This calibration information is utilized during variant calling to give more accurate results and to determine when coverage levels are abnormally high. When processing exome data, it is important that this calibration information should only be computed for mappings within the exome capture regions, using the `--bed-regions` flag to give the name of a bed file containing your vendor-supplied exome capture regions, otherwise the computed coverage levels will be much lower than actual and subsequent variant calling will be affected. Calibration computation is disabled when read group information is not present.

Alignments are measured with an alignment score where each match adds 0, each mismatch (substitution) adds `--mismatch-penalty` (default 9), each gap (insertion or deletion) adds `--gap-open-penalty` (default 19), and each gap extension adds `--gap-extend-penalty` (default 1). For more information about alignment scoring see Section 5.1.2.

The `--aligner-band-width` parameter controls the size of indels that can be aligned. It represents the fraction of the read length that can be aligned as an indel. Decreasing this factor will allow faster processing, at the expense of only allowing shorter indels to be aligned.

The `--aligner-mode` parameter controls which aligner is used during mapping. The `table` setting uses an aligner that constrains alignments to those containing at most one insertion or

deletion and uses an in-built non-affine penalty table (this is not currently user modifiable) with different penalties for insertions vs deletions of various lengths. This allows for faster alignment and better identification of longer indels. The `general` setting will use the same aligner as previous versions of RTG. The default `auto` setting will choose the table aligner when mapping Illumina data (as determined by the `PLATFORM` field of the SAM read group supplied) and the `general` aligner otherwise.

As indels near the ends of reads are not necessarily very accurate, the `--soft-clip-distance` parameter is used to set when soft clipping should be employed at read ends. If an indel is found within the distance specified from either end of the read, the bases leading to the indel from that end and the indel itself will be soft clipped instead.

The number of mismatches threshold is set with the `-e` parameter (`--max-mismatches`) as either an absolute value or a percentage of the read length.

The `map` command accepts formatted reference genome and read data in the sequence data format (SDF), which is generated with the `format` command. Sequences can be of any length.

The `map` command delivers reliable results with all sensitivity tuning and number of mismatches defaults. However, investigators can optimize mapping percentages with minimal introduction of noise (i.e., false positive alignments) by adjusting sensitivity settings.

For all read lengths, increasing the number of mismatches threshold percentage will pick up additional reads that haven't mapped as well to the reference. Take this approach when working with high error rates introduced by genome mutation or cross-species mapping.

For reads under 64 base pairs in length, setting the `-a` (`--substitutions`), `-b` (`--indels`), and `-c` (`--indel-length`) options will guarantee mapping of reads with at least the specified number of nucleotide substitutions and gaps respectively. Think of it as a floor rather than a ceiling, as all reads will be aligned within the number of mismatches threshold. Some of these alignments could have more substitutions (or more gaps and longer gap lengths) but still score within the threshold.

For reads equal to or greater than 64 base pairs in length, adjust the word and step size by setting the `-w` (`--word`) and `-s` (`--step`) options, respectively. RTG `map` is a hash-based alignment algorithm and the word flag defines the length of the hash used. Indexes are created for the read sequence data with each `map` command instance, which allows the flexible tuning.

Decreasing the word size increases the percentage mapped against the trade-off of time. Small word size reductions can deliver a material difference in mapping with minimal introduction of noise. Decreasing the step size increases the percentage mapped incrementally, but requires some more time and a cost of higher memory consumption. In both cases, the trade-offs get more severe as you get farther away from the default settings and closer to the percentage mapped maximum.

Another important parameter to consider is the `--repeat-freq` flag, which allows a trade-off to be made between run time and ability to map repetitive reads. When repetitive data is present, a relatively small proportion of the data can account for much of the run time, due to the large number of potential mapping locations. By discarding the most repetitive hashes during index building, we can dramatically reduce elapsed run time, without affecting the mapping of less-repetitive reads. There are two mechanisms by which this trade off can be controlled. The `--repeat-freq` flag accepts an integer that denotes the frequency at which hashes will be discarded. For example, `--repeat-freq=20` will discard all hashes that occur 20 or more times



in the index. Alternatively specify a percentage of total hashes to retain in the index, discarding most repetitive hashes first. For example `--repeat-freq=95%` will discard up to the most frequent 5% of hashes. Using a percentage based threshold is recommended, as this yields a more consistent trade off as the size of a data set varies, which is important when investigating appropriate flag settings on a subset of the data before embarking on large-scale mapping, or when performing mapping on a cluster of servers using a variety of read set sizes. The default value has been selected to provide a balance between speed and accuracy when mapping human whole genome sequencing reads against a non-repeat-masked reference.

Some reads will map to the reference more than once with the same alignment score. These ambiguous reads may add noise that reduces the accuracy of SNP calling, or increase the available information for copy number variation reporting in structural variation analysis. Rather than throw this information away, or make an arbitrary decision about the read, the `RTG map` command identifies all locations where a read maps and provides parameters to show or hide such alignments at varying thresholds. Parameter sweeps are typically used to determine the optimal settings that maximize percent mapped. If in doubt, contact RTG technical support for advice.

When the sex of the individual being mapped is specified using the `--pedigree` or `--sex` flag the reference genome SDF must contain a `reference.txt` file. For details of how to construct a reference text file see Section 5.3.

When running many copies of `map` in parallel on different samples within a larger project, special consideration should be made with respect to where the data resides. Reading and writing data from and to a single disk partition may result in undesirable I/O performance characteristics. To help alleviate this use the `--tempdir` flag to specify a separate disk partition for temporary files and arrange for inputs and outputs to reside on separate disk partitions where possible. For more details see Section 3.1.3.

**See also:** `cgmap`, `mapf`, `mapx`, `format`

## 2.4.2 `mapf`

### Synopsis:

Filters reads for contaminant sequences by mapping them against the contaminant reference. It outputs two SDF files, one containing the input reads that map to the reference and one that contains those that do not.

### Syntax:

Filter an SDF or a single end sequence file:

```
$ rtg mapf [OPTION]... -o DIR -t SDF -i SDF|FILE
```

Filter paired end sequence files:

```
$ rtg mapf [OPTION]... -o DIR -t SDF -l FILE -r FILE
```

### Example:

```
$ rtg mapf -i reads -o filtered -t sequences
```

## Parameters:

### File Input/Output

	--bam	Output the alignments in BAM files as well as the SDF output.
-F	--format=FORMAT	The format of the input file(s). (Must be one of [sdf, fasta, fastq, sam-se, sam-pe]) (Default is sdf).
-i	--input=SDF FILE	Specifies the path to the reads to be filtered.
-l	--left=FILE	The left input file for FASTA/FASTQ paired end reads.
	--no-merge	Set to output mated, unmated and unmapped alignment records into separate SAM/BAM files.
-o	--output=DIR	Specifies the directory where results are reported.
-q	--quality-format=FORMAT	The format of the quality data for fastq format files. (Use sanger for Illumina1.8+). (Must be one of [sanger, solexa, illumina]).
-r	--right=FILE	The right input file for FASTA/FASTQ paired end reads.
	--sam	Output the alignments in SAM files as well as the SDF output.
-t	--template=SDF	Specifies the SDF containing the reference genome to filter against.

### Sensitivity Tuning

	--aligner-band-width=FLOAT	Set the fraction of the read length that is allowed to be an indel. Decreasing this factor will allow faster processing, at the expense of only allowing shorter indels to be aligned. (Default is 0.5).
	--aligner-mode=STRING	Set the aligner mode to be used. (Must be one of [auto, table, general]). (Default is auto).
	--gap-extend-penalty=INT	Set the penalty for extending a gap during alignment. (Default is 1).
	--gap-open-penalty=INT	Set the penalty for a gap open during alignment. (Default is 19).
-c	--indel-length=INT	Guarantees number of positions that will be detected in a single indel. For example, -c 3 specifies 3 nucleotide

		insertions or deletions. (Default is 1).
-b	--indels=INT	Guarantees minimum number of indels which will be detected when used with read less than 64 bp long. For example -b 1 specifies 1 insertion or deletion. (Default is 1).
-M	--max-fragment-size=INT	The maximum permitted fragment size when mating paired reads. (Default is 1000).
-m	--min-fragment-size=INT	The minimum permitted fragment size when mating paired reads. (Default is 0).
	--mismatch-penalty=INT	Set the penalty for a mismatch during alignment. (Default is 9).
-d	--orientation=STRING	Set the orientation required for proper pairs. (Must be one of [fr, rf, tandem, any] (Default is any).
	--pedigree	Genome relationships pedigree containing sex of sample.
	--pedigree	Genome relationships pedigree containing sex of sample.
	--repeat-freq=INT%	Where INT specifies the percentage of all hashes to keep, discarding the remaining percentage of the most frequent hashes. Increasing this value will improve the ability to map sequences in repetitive regions at a cost of run time. It is also possible to specify the option as an absolute count (by omitting the percent symbol) where any hash exceeding the threshold will be discarded from the index. (Default is 90%).
	--sex=SEX	Specifies the sex of the individual. (Must be one of [male, female, either]).
	--soft-clip-distance=INT	Set to soft clip alignments when an indel occurs within that many nucleotides from either end of the read. (Default is 5).
-s	--step=INT	Set the step size. (Default is half word size).
-a	--substitutions=INT	Guarantees minimum number of substitutions to be detected when used with read data less than 64 bp long. (Default is 1).
	--unknowns-penalty=INT	Set the penalty for unknown nucleotides during alignment. (Default is 5).
-w	--word=INT	Specifies an internal minimum word size used during the initial matching phase. Word size selection optimizes the number of reads for a desired level of

sensitivity (allowed mismatches and indels) given an acceptable alignment speed. (Default is 22).

## Filtering

- end-read=INT Only map sequences with sequence id less than the given number. (Sequence ids start at 0).
- start-read=INT Only map sequences with sequence id greater than or equal to the given number. (Sequence ids start at 0).

## Reporting

- max-mated-mismatches=INT The maximum mismatches for mappings across mated results, alias for --max-mismatches (as absolute value or percentage of read length). (Default is 10%).
- e --max-mismatches=INT The maximum mismatches for mappings in single-end mode (as absolute value or percentage of read length). (Default is 10%).
- E --max-unmated-mismatches=INT The maximum mismatches for mappings of unmated results (as absolute value or percentage of read length). (Default is 10%).
- sam-rg=STRING|FILE Specifies a file containing a single valid read group SAM header line or a string in the form "@RG\tID:READGROUP1\tSM:BACT\_SAMPLE\tPL:ILLUMINA".

## Utility

- h --help Prints help on command-line flag usage.
- legacy-cigars Produce cigars in legacy format (using M instead of X or =) in SAM/BAM output. When set will also produce the MD field.
- Z --no-gzip Set this flag to create the SAM output files without compression. By default the output files are compressed with tabix compatible blocked gzip.
- no-index Set this flag to not produce the indexes for the SAM/BAM output files.
- read-names Output read names instead of sequence ids in SAM/BAM files. (Uses more RAM).
- tempdir=DIR Set the directory to use for temporary files during processing. (Defaults to

output directory).

<code>-T     --threads=INT</code>	Specify the number of threads to use in a multi-core processor. (Default is all available cores).
-----------------------------------	---

### Usage:

Use to filter out contaminant reads based on a set of possible contaminant sequences. The command maps the reads against the provided contaminant sequences and produces two SDF output files, one which contains the sequences which mapped to the contaminant and one which contains the sequences which did not. The SDF which contains the unmapped sequences can then be used as input to further processes having had the contaminant reads filtered out.

This command differs from regular `map` in that paired-end read arms are kept together – on the assumption that it does not make sense from a contamination viewpoint that one arm came from the contaminant genome and the other did not. Thus, with `mapf`, if either end of the read maps to the contaminant database, both arms of the read are filtered.

---

**NOTE:** The `--sam-rg` flag specifies the read group information when outputting to SAM/BAM and also adjusts the internal aligner configuration based on the platform given. Recognized platforms are `ILLUMINA`, `LS454`, and `IONTORRENT`.

**See also:** `map`, `cgmap`, `mapx`

## 2.4.3 cgmap

### Synopsis:

Mapping function for Complete Genomics data.

### Syntax:

```
$ rtg cgmap [OPTION]... -i SDF|FILE --mask STRING -o DIR -t SDF
```

### Example:

```
$ rtg cgmap -i CG_reads --mask cg1 -o CG_map -t HUMAN_reference
```

### Parameters:

#### File Input/Output

<code>-F     --format=FORMAT</code>	The format of the input file. (Must be one of [sdf, tsv]) (Default is sdf).
<code>-i     --input=SDF FILE</code>	Specifies the Complete Genomics reads to be mapped.
<code>      --no-merge</code>	Set to output mated, unmated and unmapped alignment records into separate SAM/BAM files.
<code>-o     --output=DIR</code>	Specifies the directory where results are reported.

	<code>--sam</code>	Set to output results in SAM format instead of BAM format.
<code>-t</code>	<code>--template=SDF</code>	Specifies the SDF containing the reference genome to map against.

### Sensitivity Tuning

	<code>--mask=STRING</code>	Read indexing method (Must be one of [cg1, cg1-fast, cg2])
<code>-M</code>	<code>--max-fragment-size=INT</code>	The maximum permitted fragment size when mating paired reads. (Default is 1000).
<code>-m</code>	<code>--min-fragment-size=INT</code>	The minimum permitted fragment size when mating paired reads. (Default is 0).
<code>-d</code>	<code>--orientation=STRING</code>	Set the orientation required for proper pairs. (Must be one of [fr, rf, tandem, any] (Default is any).
	<code>--pedigree</code>	Genome relationships pedigree containing sex of sample.
	<code>--penalize-unknowns</code>	If set, will treat unknown bases as mismatches.
	<code>--repeat-freq=INT%</code>	Where INT specifies the percentage of all hashes to keep, discarding the remaining percentage of the most frequent hashes. Increasing this value will improve the ability to map sequences in repetitive regions at a cost of run time. It is also possible to specify the option as an absolute count (by omitting the percent symbol) where any hash exceeding the threshold will be discarded from the index. (Default is 95%).
	<code>--sex=SEX</code>	Specifies the sex of the individual. (Must be one of [male, female, either]).

### Filtering

	<code>--end-read=INT</code>	Only map sequences with sequence id less than the given number. (Sequence ids start at 0).
	<code>--start-read=INT</code>	Only map sequences with sequence id greater than or equal to the given number. (Sequence ids start at 0).

### Reporting

	<code>--all-hits</code>	Output all alignments meeting thresholds instead of applying mating and N limits.
<code>-e</code>	<code>--max-mated-mismatches=INT</code>	The maximum mismatches allowed for mated results (as absolute value or percentage

		of read length). (Default is 10%).
-n	--max-top-results=INT	Sets the maximum number of reported mapping results (locations) with the same alignment score (AS). Allowed values are between 1 and 255. (Default is 5).
-E	--max-unmated-mismatches=INT	The maximum mismatches allowed for unmated results (as absolute value or percentage of read length). (Default is 10%).
	--no-unmapped	Do not output unmapped reads. Some reads that map multiple times will not be aligned, and are reported as unmapped. These reads are reported with XC attributes that indicate the reason they were not mapped.
	--no-unmated	Do not output unmated reads when in paired-end mode.
	--sam-rg=STRING FILE	Specifies a file containing a single valid read group SAM header line or a string in the form "@RG\tID:READGROUP1\tSM:BACT_SAMPLE\tPL:COMPLETE".

## Utility

-h	--help	Prints help on command-line flag usage.
	--legacy-cigars	Produce cigars in legacy format (using M instead of X or =) in SAM/BAM output. When set will also produce the MD field.
	--no-calibration	Set this flag to not produce the calibration output files.
-Z	--no-gzip	Set this flag to create the SAM output files without compression. By default the output files are compressed with tabix compatible blocked gzip.
	--no-index	Set this flag to not produce the indexes for the SAM/BAM output files.
	--no-svprep	Do not perform structural variant processing.
	--tempdir=DIR	Set the directory to use for temporary files during processing. (Defaults to output directory).
-T	--threads=INT	Specify the number of threads to use in a multi-core processor. (Default is all available cores).

## Usage:

The `cgmap` command is similar in functionality to the `map` command with some key differences for mapping the unique structure of Complete Genomics reads.

RTG supports two versions of Complete Genomics reads: the original 35 bp paired end read structure (“version 1”); and the newer 29 bp paired end structure (“version 2”). The 29 bp reads are sometimes equivalently represented as 30 bp with a redundant single base overlap containing an N at position 20. This alternate representation is automatically normalised by RTG during processing.

When specifying SAM read group information during mapping, the platform should be set according to the read structure. For version 1 reads, the platform (PL) must be specified as COMPLETE, and for version 2 reads, the platform must be specified as COMPLETEGENOMICS.

Where the `map` command allows you to control the mapping sensitivity using the substitutions (–a), indels (–b) and indel lengths (–c) flags, `cgmap` provides presets using the `--mask` flag. You will need to select a mask that is appropriate for the version of reads you are mapping. For version 1 the mask `cg1-fast` is approximately equivalent to setting the substitutions to 1 and indels to 1 in the `map` command, whereas the mask `cg1` provides more sensitivity to substitutions (somewhere between 1 and 2). For version 2 the mask `cg2` is approximately equivalent to the mask `cg1`.

**See also:** `map`, `mapf`, `mapx`

## 2.5 Protein Search Commands

### 2.5.1 mapx

#### Synopsis:

The RTG `mapx` command searches translated read data sets of defined length (e.g., 100 bp reads) against protein databases or translated nucleotide sequences. This similarity search with gapped alignment may be adjusted for sensitivity to gaps and mismatches. Reported search results may be modified by a combination of one or more thresholds on % identity, E value, bit score and alignment score. The output file of the command is similar to that reported by `Blastx`.

#### Syntax:

```
$ rtg mapx [OPTION]... -i SDF|FILE -o DIR -t SDF
```

#### Example:

```
$ rtg mapx -i SDF_reads -o DIR_Mappings -t SDF_proteinRef
```

#### Parameters:

##### File Input/Output

-F	--format=FORMAT	The format of the input file. (Must be one of [sdf, fasta, fastq, sam-se]) (Default is sdf).
-i	--input=SDF FILE	Specifies the path to the reads to be mapped.
-o	--output=DIR	Specifies the directory where results are reported.



-t     --template=SDF                   Specifies the SDF containing the protein database to map against.

### **Sensitivity Tuning**

-c     --gap-length=INT                Guarantees number of positions that will be detected in a single gap. (Default is 1).

-b     --gaps=INT                      Guarantees minimum number of gaps which will be detected (if this is larger than the minimum number of mismatches then the minimum number of mismatches is increased to the same value). (Default is 0).

       --matrix=STRING                The name of the scoring matrix used during alignment. (Must be one of [blosum45, blosum62, blosum80]) (Default is blosum62).

       --min-dna-read-length=INT      Specifies the minimum read length in nucleotides. Shorter reads will be ignored. (Defaults to 3 \* (word-size + mismatches + 1)).

-a     --mismatches=INT                Guarantees minimum number of identical mismatches which will be detected. (Default is 1).

       --repeat-freq=INT%             Where INT specifies the percentage of all hashes to keep, discarding the remaining percentage of the most frequent hashes. Increasing this value will improve the ability to map sequences in repetitive regions at a cost of run time. It is also possible to specify the option as an absolute count (by omitting the percent symbol) where any hash exceeding the threshold will be discarded from the index. (Default is 95%).

-w     --word=INT                      Specifies an internal minimum word size used during the initial matching phase. Word size selection optimizes the number of reads for a desired level of sensitivity (allowed mismatches and gaps) given an acceptable alignment speed. (Default is 7).

### **Filtering**

       --end-read=INT                 Only map sequences with sequence id less than the given number. (Sequence ids start at 0).

       --start-read=INT               Only map sequences with sequence id greater than or equal to the given number. (Sequence ids start at 0).

## Reporting

	--all-hits	Output all alignments meeting thresholds instead of applying topn/topequals N limits.
-e	--max-alignment-score=INT	The maximum alignment score at output (as absolute value or percentage of read length in protein space). (Default is 30%).
-E	--max-e-score=FLOAT	The maximum e-score at output. (Default is 10.0).
-n	--max-top-results=INT	Sets the maximum number of reported mapping results (locations) per read when it maps to multiple locations with the same alignment score (AS). Allowed values are between 1 and 255. (Default is 10).
-B	--min-bit-score=FLOAT	The minimum bit score at output.
-P	--min-identity=INT	The minimum percent identity at output. (Default is 60).
	--no-unmapped	Do not output unmapped reads. Some reads that map multiple times will not be aligned and can be optionally reported as unmapped in a separate unmapped.tsv file.
-f	--output-filter=STRING	The output filter. (Must be one of [topn, topequal]) (Default is topn).

## Utility

-h	--help	Prints help on command-line flag usage.
-Z	--no-gzip	Set this flag to create the output files without compression. By default the output files are compressed with blocked gzip.
	--read-names	Output read names instead of sequence ids in output files. (Uses more RAM)
	--suppress-protein	Suppresses the output of sequence protein information.
	--tempdir=DIR	Set the directory used for temporary files during processing. (Defaults to output directory).
-T	--threads=INT	Specify the number of threads to use in a multi-core processor. (Default is all available cores).

## Usage:

Use the `mapx` command for translated nucleotide search against a protein database. The command outputs the statistical significance of matches based on semi-global alignments (globally across query), in contrast to local alignments reported by BLAST.

This command requires a protein reference instead of a DNA reference. When formatting the protein reference, use the `-p (--protein)` flag in the `format` command.

The `mapx` command builds a set of indexes from the translated reads and scans each database query for matches according to user-specified sensitivity settings. Sensitivity is set with two parameters: the word size (`-w`) parameter that governs match length and the mismatches (`-a`) parameter that governs the number and placement of *k*-mers across each translated query.

The formation of an index group with `-w` and `-a` combinations permits the guaranteed return of all query-subject matches where the non-matching residue count is equal to or less than the `-a` setting. Higher levels of mismatches are typically detected but not explicitly guaranteed.

In a two-step matching and alignment process, queries that have one or more exact matches of an *k*-mer against the database during the matching phase are then aligned to the subject sequence. The alignment algorithm employs a full edit-distance calculation using the BLOSUM62 scoring matrix. Resulting alignment can be filtered on E value, bit score, % identity or raw alignment score.

The `mapx` command generates a tabular results file called `alignments.tsv` in the output directory. This ASCII file contains columns of reported data in a format similar to that produced by BLASTX.

**See also:** `map`, `cgmap`, `mapf`

## 2.6 Assembly Commands

### 2.6.1 assemble

#### Synopsis:

The `assemble` command combines short reads into longer contigs. It first constructs a de Bruijn graph and then maps those reads/read pairs into the graph in order to resolve ambiguities. The reads must be converted to RTG SDF format with the `format` command prior to assembly.

#### Syntax:

Assemble a set of Illumina reads into long contigs, and then use read mappings to resolve ambiguities:

```
$ rtg assemble [OPTION] --consensus-reads INT -k INT -o DIR SDF
```

Assemble a set of 454 reads into long contigs:

```
$ rtg assemble [OPTION] --consensus-reads INT -k INT -o DIR -f SDF
```

Assemble a set of 454 and Illumina reads at once:

```
$ rtg assemble [OPTION] --consensus-reads INT -k INT -o DIR ILLUMINA_SDF  
-f 454_SDF
```

Improve an existing assembly by mapping additional reads and attempting to improve the consensus:

```
$ rtg assemble [OPTION] -g GRAPH-DIR -k INT -o DIR SDF
```

### Example:

#### Illumina reads:

```
$ rtg assemble --consensus-reads 7 -k 32 -o assembled Illumina_reads.sdf
--alignments assembly.alignments
```

#### Combining Illumina and 454 reads:

```
$ rtg assemble --consensus-reads 7 -k 32 -o assembled Illumina_reads.sdf
-f 454_reads.sdf
```

### Parameters:

#### File Input/Output

-f	--454=DIR	SDF containing 454 sequences to assemble. May be specified 0 or more times.
-g	--graph=DIR	If you have already constructed an assembly and would like to map additional reads to it or apply some alternate filters you can use this flag to specify the existing graph directory. You will still need to supply a kmer size to indicate the amount of overlap between contigs.
-F	--input-list-454=FILE	File containing a list of SDF directories (1 per line) containing 454 sequences to assemble.
-I	--input-list-file=FILE	File containing a list of SDF directories (1 per line) containing Illumina sequences to assemble.
-J	--input-list-mate-pair=FILE	File containing a list of SDF directories (1 per line) containing mate pair sequences to assemble.
-j	--mate-pair	SDF containing mate pair reads. May be specified 0 or more times.
-o	--output=DIR	Specifies the directory where results are reported.
	DIR+	SDF directories containing Illumina sequences to assemble. May be specified 0 or more times.

#### Sensitivity Tuning

	--consensus-reads=INT	When using read mappings to disambiguate a graph, paths that are supported by fewer reads than the threshold supplied here will not be collapsed (Default is 0).
-k	--kmer-size=INT	K-mer length to use when constructing a de Bruijn graph.

-M	--max-insert=INT	Maximum insert size between fragments. (Default is automatically calculated.)
-m	--min-insert=INT	Minimum insert size between fragments. (Default is automatically calculated.)
-p	--min-path=INT	Prior to generating a consensus, long paths will be deleted if they are supported by fewer than --min-path reads.
-c	--minimum-kmer-frequency=INT	Set minimum k-mer frequency to retain, or -1 for automatic threshold (Default is -1).
-a	--mismatches=INT	Number of bases that may mismatch in an alignment or percentage of read that may mismatch (Default is 0).
	--preserve-bubbles=FLOAT	Avoid merging bubbles where the ratio of k-mers on the branches is below this (Default is 0.0). This can be used if you wish to preserve diploid information or some near repeats in graph construction.
-r	--read-count=INT	Prior to generating a consensus delete links in the graph that are supported by fewer reads than this threshold.
-s	--step=INT	Step size for mapping (Default is 18).
-w	--word=INT	Word size for mapping (Default is 18).

### Utility

-h	--help	Prints help on command-line flag usage.
-T	--threads=INT	Specify the number of threads to use in a multi-core processor. (Default is all available cores).

### Usage:

The `assemble` command attempts to construct long contigs from a large number of short reads. The reads must be converted into SDFs prior to assembly. Illumina reads can be supplied with either the unnamed flag or the `-I` flag, while 454 reads are supplied with `-f` or `-F`. This lets the assembler know the orientation of pairs and which alignment strategy to use. Alternatively this command can be used to improve an existing graph, by mapping additional reads or applying additional filters.

### Output

The output of this command is a number of directories in the RTG assembly graph format (documented separately) at each stage of the assembly. The consensus assembly is in the 'final' directory.

<code>assemble.log</code>	- log file for the run
<code>build/collapsed</code>	- contigs after tip removal/before bubble popping

<code>build/contigs</code>	- graph prior to tip removal
<code>build/popped</code>	- graph after bubble popping
<code>done</code>	- file that is created when run completes
<code>final</code>	- final consensus graph
<code>mapped</code>	- graph including read mapping paths and counts
<code>progress</code>	- progress file for the run
<code>unfiltered_final</code>	- consensus graph which preserves information about
<code>merged nodes</code>	

## Graph Construction

The first stage is the construction of a de Bruijn graph and the initial contig construction this includes tip removal and bubble merging. This produces the `build/popped` output directory. This stage may be skipped by using `--graph` to supply an existing graph. The `--minimum-kmer-frequency (-c)` flag affects the number of hashes that will be interpreted as being due to read error, and will be discarded when generating contigs. If -1 is used the first local minimum in the hash frequency distribution will be automatically selected.

## Read Mapping

The second stage is to map and pair the original reads against the contig graph. For each read/pair alignments we attempt to find a unique alignment at the best score within the graph. Alignments may cross multiple contigs. If a read/pair maps entirely within a single contig then that contig will have its 'readCount' attribute incremented. Reads/pairs that map along a series of contigs will increment the 'readCount' of a path joining those contigs.

If you would like to manually specify the insert sizes rather than rely on the automatically calculated fragment sizes you can use the `--max-insert (-M)` and `--min-insert (-m)` flags. Insert size is measured as the number of bases in between the reads (from the end of the first alignment, to the start of the second). An insert size of -10 indicates that the two fragments overlap by 10 bases, while 20 would mean that there is a gap of 20 bases between alignments. If `-m` and `-M` are omitted read mating distributions will be estimated using the distance between read pairs that are mapped within a single contig, if initial graph construction results in a highly fragmented graph or the insert size is large there may not be enough pairs mapping within a single contig to give an accurate estimate.

## Filtering

At this point optional filtering of mappings/paths can occur. You can use the `--min-path (-p)` flag to discard paths that are not supported by a significant number of reads. The `--read-count (-r)` flag will disconnect links with low mapping counts. The best value for `--read-count` should be related to the coverage of the sample. Higher values can often result in longer contigs but may result in a more fragmented assembly graph.

## Consensus

Finally read mappings are used to resolve ambiguities and repeats in the contig graph. The result is written to the `final` directory. Within consensus generation paths containing more than `--consensus-reads` mapped will potentially be merged into a single contig. Increasing this may help to reduce mis-assemblies.

**See also:** `format`

## 2.6.2 addpacbio

### Synopsis:

The `addpacbio` command adds long reads to an existing assembly to enable an improved consensus.

### Syntax:

Map a set of pacbio reads to an assembled graph:

```
$ rtg addpacbio -g DIR -o DIR SDF
```

### Example:

```
$ rtg addpacbio --trim -g initial_assembly/final -o output pac_bio.sdf
```

### Parameters:

#### File Input/Output

<code>-g</code>	<code>--graph=DIR</code>	Graph directory in the RTG assembly format. This will usually have been constructed from short reads.
<code>-I</code>	<code>--input-list-file=FILE</code>	File containing a list of SDF directories (1 per line) containing sequences to map.
<code>-o</code>	<code>--output=DIR</code>	Specifies the directory where results are written.
	<code>--trim</code>	Before mapping remove any short disconnected sequences from the graph. This can significantly reduce the time taken to perform mapping.
	<code>DIR+</code>	SDF directories containing Pacific Biosciences sequences to map. May be specified one or more times.

#### Utility

<code>-h</code>	<code>--help</code>	Prints help on command-line flag usage.
-----------------	---------------------	---

### Usage:

The `addpacbio` command uses an alternate mapping scheme designed to handle Pacific Biosciences reads which are longer with a higher error rate. The reads must be converted into SDFs prior to mapping.

The `--trim` option causes short contigs (<200 bp) that don't add connections to the graph to be removed. These sequences don't contribute to the consensus and are often highly repetitive resulting in lots of work for the mapper. Setting this option will often result in much faster execution.

**See also:** `assemble`, `format`

## 2.7 Variant Detection Commands

### 2.7.1 svprep

#### Synopsis:

Prepares mapping output for use with the `sv` and `discord` commands. This functionality is automatically performed by the `map` and `cmap` commands unless `--no-svprep` was given during mapping, and so does not ordinarily need to be executed separately.

#### Syntax:

```
$ rtg svprep [OPTION]... DIR
```

#### Example:

```
$ rtg svprep map_out
```

#### Parameters:

##### File Input/Output

DIR	Specifies the directory containing SAM/BAM format files for preparation.
-----	--

##### Utility

-h	--help	Prints help on command-line flag usage.
----	--------	---

#### Usage:

Use the `svprep` command to prepare mappings for structural variant analysis. The `svprep` command performs three functions. First, it identifies discordant reads (those where there exists a unique unmated mapping for each arm of a paired-end) and fills in the RNEXT/PNEXT/TLEN fields for these records. The augmented unmated SAM/BAM file will replace the original. Secondly it identifies unmapped reads for which there exists a unique unmated mapping for the other arm and fills in an estimated position for the unmapped read. The augmented unmapped SAM/BAM file will replace the original. Thirdly it generates per read-group statistics on observed length distributions used by subsequent structural variant analysis tools. The `svprep` functionality is integrated directly into the mapping commands by default.

**See also:** `sv`, `discord`

### 2.7.2 sv

#### Synopsis:

Analyses SAM records to determine the location of structural variants.

#### Syntax:

Multi-file input specified from command line:

```
$ rtg sv [OPTION]... -o DIR -t SDF -r FILE FILE+
```

Multi-file input specified in a text file:

```
$ rtg sv [OPTION]... -o DIR -t SDF -I FILE -R FILE
```



**Example:**

```
$ rtg sv -o sv_out -t genome -I sam-list.txt -R rgstats-list.txt
```

**Parameters:****File Input/Output**

-I	--input-list-file=FILE	Specifies a file containing a list of SAM/BAM format files (one per line) containing mapped reads.
-o	--output=DIR	Specifies the directory where results are reported.
	--readgroup-labels=FILE	Specifies a file containing read group relabel mappings (one remapping per line format: [input_readgroup_id][tab][output_readgroup_id]).
-r	--readgroup-stats=FILE	Specifies a text file containing read group statistics. May be specified 0 or more times.
-R	--rgstats-list-file=FILE	Specifies a file containing a list of read group statistics files (one per line).
	--simple-signals	Set to also output simple signals.
-t	--template=SDF	Specifies the SDF of the reference genome the reads have been mapped against.
	FILE+	Specifies a SAM/BAM format file containing mapped reads. May be specified 0 or more times.

**Sensitivity Tuning**

-f	--fine-step=INT	Set the step size in interesting regions. (Default is 10).
-m	--max-as-mated=INT	Set to ignore mated SAM records with an alignment score (AS attribute) that exceeds this value.
-u	--max-as-unmated=INT	Set to ignore unmated SAM records with an alignment score (AS attribute) that exceeds this value.
	--region=STRING	Set to only process SAM records within the specified range. The format is one of <sequence_name>, <sequence_name>:start-end or <sequence_name>:start+length.
-s	--step=INT	Set the step size. (Default is 100).

**Utility**

-h	--help	Prints help on command-line flag usage.
----	--------	---

-Z	--no-gzip	Set this flag to create the output files without compression. By default the output files are compressed with tabix compatible blocked gzip.
-T	--threads=INT	Specify the number of threads to use in a multi-core processor. (Default is all available cores).

### Usage:

This command takes as input a set of mappings and a reference genome. It applies Bayesian models to signals comprised of levels of mated, unmated and discordant mappings to predict the likelihood of various structural variant categories. The output of the `sv` command is in the form of two files: `sv_interesting.bed.gz` is a BED format file that identifies regions that potentially indicate a structural variant of some kind; `sv_bayesian.tsv.gz` is a tab separated format that contains the prediction strengths of each event model.

**Table 3: Bayesian SV indicators**

Indicator	Description
normal	No structural variant.
duplicate-left	The left border of a duplication.
duplicate	Position within a duplicated region.
duplicate-right	The right border of a duplication.
delete-left	The left border of a deletion.
delete	Position within a deletion.
delete-right	The right border of a deletion.
breakpoint	A breakpoint such as at the site where a duplicated section is inserted.
novel-insertion	A site receiving a novel insertion.

There are also heterozygous versions of each of these models.

The final column gives the index of the dominant hypothesis to allow easier extraction of sequences (for example a sequence of delete-left, delete, delete-right is a strong indicator of a deletion and can be used to identify the potential bounds of the deletion).

At this stage, analysis and filtering of the `sv` command output files is up to the end user.

The Bayesian `sv` command uses CPU proportional to the number of read groups, so it may be advantageous to merge related read groups (those that have the same read length and fragment size

characteristics). Supplying a relabel file which maps every input read group to the same logical read group name would treat all alignments as if there were only one read group.

For additional information about the `sv` command output files see Section 5.7.5.

See also: `svprep`, `discord`

## 2.7.3 discord

### Synopsis:

Analyses SAM records to determine the location of structural variant break-ends based on discordant mappings.

### Syntax:

Multi-file input specified from command line:

```
$ rtg discord [OPTION]... -o DIR -t SDF -r FILE FILE+
```

Multi-file input specified in a text file:

```
$ rtg discord [OPTION]... -o DIR -t SDF -I FILE -R FILE
```

### Example:

```
$ rtg discord -o break_out -t genome -I sam-list.txt -R rgstats-list.txt
```

### Parameters:

#### File Input/Output

<code>--bed</code>	Produce output in BED format in addition to the VCF output.
<code>-I --input-list-file=FILE</code>	Specifies a file containing a list of SAM/BAM format files (one per line) containing mapped reads.
<code>-o --output=DIR</code>	Specifies the directory where results are reported.
<code>-r --readgroup-stats=FILE</code>	Specifies a text file containing read group statistics. May be specified 0 or more times.
<code>-R --rgstats-list-file=FILE</code>	Specifies a file containing a list of read group statistics files (one per line).
<code>-t --template=SDF</code>	Specifies the SDF of the reference genome the reads have been mapped against.
<code>FILE+</code>	Specifies a SAM/BAM format file containing mapped reads. May be specified 0 or more times.

#### Sensitivity Tuning

<code>--consistent-only</code>	Set to only include break-ends with
--------------------------------	-------------------------------------

		internally consistent supporting reads.
-m	--max-as-mated=INT	Set to ignore mated SAM records with an alignment score (AS attribute) that exceeds this value.
-u	--max-as-unmated=INT	Set to ignore unmated SAM records with an alignment score (AS attribute) that exceeds this value.
-c	--max-hits=INT	Set to ignore SAM records with an alignment count that exceeds this value.
-s	--min-support=INT	Set the minimum number of supporting reads for a break-end. (Default is 3).
	--region=STRING	Set to only process SAM records within the specified range. The format is one of <sequence_name>, <sequence_name>:start-end or <sequence_name>:start+length.

### Utility

-h	--help	Prints help on command-line flag usage.
-Z	--no-gzip	Set this flag to create the output files without compression. By default the output files are compressed with tabix compatible blocked gzip.
-T	--threads=INT	Specify the number of threads to use in a multi-core processor. (Default is all available cores).

### Usage:

This command takes as input a set of mapped and mated reads and a genome. It locates clusters of reads whose mates are not within the expected mating range but clustered somewhere else on the reference, indicating a potential structural variant.

The `discord` command considers each discordantly mapped read and calculates a constraint on the possible locations of the structural variant break-ends. When all discordant reads within a cluster agree on the possible break-end positions, this is considered consistent. It is also possible for the reads within a discordant cluster to be inconsistent, usually this is a spurious call but could indicate a more complex structural variant. By default these break-ends are included in the output VCF but marked as failing a consistency filter.

Also included in the output VCF is an `INFO` field indicating the number of discordant reads contributing to each break-end, which may be useful to filter out spurious calls. Those with too few contributing reads are likely to be incorrect, and similarly those with too many reads are likely to be a result of mapping artifacts.

For additional information about the `discord` command output files see Section 5.7.6.

**See also:** `svprep`, `sv`

## 2.7.4 coverage

### Synopsis:

The `coverage` command measures and reports coverage depth of read alignments across a reference.

### Syntax:

Multi-file input specified from command line:

```
$ rtg coverage [OPTION]... -o FILE+
```

Multi-file input specified in a text file:

```
$ rtg coverage [OPTION]... -o DIR -I FILE
```

### Example:

```
$ rtg coverage -o h1_coverage alignments.bam
```

### Parameters:

#### File Input/Output

	<code>--bedgraph</code>	If set, output in BEDGRAPH format. Suppresses BED file output.
<code>-I</code>	<code>--input-list-file=FILE</code>	Specifies a file containing a list of SAM/BAM format files (one per line) containing mapped reads.
<code>-o</code>	<code>--output=DIR</code>	Specifies the directory where results are reported.
	<code>--per-base</code>	If set, output per-base counts in TSV format. Suppresses BED file output.
<code>-t</code>	<code>--template=SDF</code>	Specifies the SDF of the reference genome the reads have been mapped against.
	<code>FILE+</code>	Specifies a SAM/BAM format file containing mapped reads. May be specified 0 or more times.

#### Sensitivity Tuning

	<code>--bed-regions=FILE</code>	Specifies a BED file containing regions to process.
	<code>--exclude-mated</code>	Set to exclude all mated SAM records.
	<code>--exclude-unmated</code>	Set to exclude all unmated SAM records. Unmated records may indicate structural variants or abnormalities in the genome; exclude unmated records when striving to not bias the coverage in some locations.
	<code>--keep-duplicates</code>	Set to disable the detection and filtering of duplicate reads based on mapping position.

-m	--max-as-mated=INT	Set to ignore mated SAM records with an alignment score (AS attribute) that exceeds this value; in other words, the maximum alignment score for a pair. AS indicates the incidence of mismatches. If there is a very high AS, there is a higher probability of a bad match; lower AS usually represents a reliable match.
-u	--max-as-unmated=INT	Set to ignore unmated SAM records with an alignment score (AS attribute) that exceeds this value; in other words, the maximum alignment score for the unpaired.
-c	--max-hits=INT	Set to ignore SAM records with an alignment count that exceeds this value.
	--min-mapq=INT	Set to ignore SAM records with MAPQ less than this value.
	--region=STRING	Set to only process SAM records within the specified range. The format is one of <sequence_name>, <sequence_name>:start-end or <sequence_name>:start+length.
-s	--smoothing=INT	Set to smooth (average out) with this number of neighboring values (0 means no smoothing) to define an average over a region instead of a single location. Typical values range from 0-100 but there is no limit. Use this flag to reduce noise in the coverage area. (Default is 50).

### Utility

-h	--help	Prints help on command-line flag usage.
-Z	--no-gzip	Set this flag to create the output files without compression. By default the output files are compressed with tabix compatible blocked gzip.
	--no-index	Set this flag to not produce the tabix indexes for the output files.
-T	--threads=INT	Specify the number of threads to use in a multi-core processor. (Default is all available cores).

### Usage:

The `coverage` command calculates coverage depth by counting all alignments from input SAM/BAM files against a specified reference genome. Sensitivity tuning parameters allow the investigator to test and identify the most appropriate set of alignments to use in downstream analysis.

The `coverage` command provides insight into sequencing coverage for each of the reference sequences. Use to validate mapping results and determine how much of the reference is covered

with alignments and how many times the same location is mapped. Gaps indicate no coverage in a specific location.

**See also:** `map`, `snp`, `cnv`

## 2.7.5 snp

### Synopsis:

The `snp` command calls sequence variants, such as single nucleotide polymorphisms (SNPs), multi-nucleotide polymorphisms (MNPs) and indels, from a set of alignments reported in genome-position sorted SAM/BAM. Bayesian statistics are used to determine the likelihood that a given base pair will be a SNP (either homozygous or heterozygous) given the sample evidence represented in the read alignments and prior knowledge about the experiment.

### Syntax:

Multi-file input specified from command line:

```
$ rtg snp [OPTION]... -o DIR -t SDF FILE+
```

Multi-file input specified in a text file:

```
$ rtg snp [OPTION]... -o DIR -t SDF -I FILE
```

### Example:

```
$ rtg snp -o hs_snp -t hs_reference hs_map/alignments.bam
```

### Parameters:

#### File Input/Output

<code>-I</code>	<code>--input-list-file=FILE</code>	Specifies a file containing a list of SAM/BAM format files (one per line) containing mapped reads.
	<code>--no-calibration</code>	If set, ignore mapping calibration files. By default detected and provided mapping calibration files are used.
<code>-o</code>	<code>--output=DIR</code>	Specifies the directory where results are reported.
<code>-t</code>	<code>--template=SDF</code>	Specifies the SDF containing the reference genome the reads have been mapped against.
	<code>FILE+</code>	Specifies a SAM/BAM format file containing mapped reads. The SAM records in the file must be sorted by genome position. May be specified 0 or more times.

#### Sensitivity Tuning

<code>--bed-regions=FILE</code>	BED file containing regions to process
<code>--exclude-mated</code>	Set to exclude all mated SAM records.

	<code>--exclude-unmapped</code>	Set to exclude all unmapped SAM records.
	<code>--exclude-unmated</code>	Set to exclude all unmated SAM records.
	<code>--keep-duplicates</code>	Set to disable the detection and filtering of duplicate reads based on mapping position.
<code>-m</code>	<code>--machine-errors=STRING</code>	If set, force the sequencer machine error settings. (One of [default, illumina, 454_se, 454_pe, complete, iontorrent]).
	<code>--max-as-mated=INT</code>	Set to ignore mated SAM records with an alignment score (AS attribute) that exceeds this amount.
	<code>--max-as-unmated=INT</code>	Set to ignore unmated SAM records with an alignment score (AS attribute) that exceeds this amount.
	<code>--max-coverage=INT</code>	Skip calling in sites with combined depth exceeding this fixed value. (Default is 200).
	<code>--max-coverage-multiplier=FLOAT</code>	Skip calling in sites with combined depth exceeding multiplier * average combined coverage determined from calibration. (Default is 5.0).
	<code>--max-hits=INT</code>	Set to ignore SAM records with an alignment count that exceeds this amount.
	<code>--min-mapq=INT</code>	Set to ignore SAM records with MAPQ less than this value.
<code>-p</code>	<code>--pedigree=FILE</code>	Genome relationships PED file containing sex of individual.
	<code>--ploidy=STRING</code>	The ploidy to use when the reference genome does not contain a reference text file. (Must be one of [diploid, haploid]) (Default is diploid).
	<code>--population-priors=FILE</code>	If set, will use the VCF file to generate population based site-specific priors.
	<code>--rdefault-mated=INT</code>	For mated reads that have no mapping quality supplied use this as the default quality. (In Phred format from 0 to 63) (Default is 20).
	<code>--rdefault-unmated=INT</code>	For unmated reads that have no mapping quality supplied use this as the default quality. (In Phred format from 0 to 63) (Default is 20).
	<code>--region=STRING</code>	Set to only process SAM records within the specified range. The format is one of <sequence_name>, <sequence_name>:start-



end or <sequence\_name>:start+length.

--sex=SEX  
Specifies the sex of the individual.  
(Must be one of [male, female, either])  
(Default is either).

## Reporting

-a --all  
Output a call at all positions.

--avr-model=FILE  
Set the name of AVR model to use when scoring variants.

--filter-ambiguity=INT  
Set the threshold for ambiguity filter applied to output variants.

--filter-bed=FILE  
Set to apply a position based filter, retaining only variants that fall in the regions specified in the BED file.

--filter-depth  
Set to apply a fixed depth of coverage filter to output variants.

--filter-depth-multiplier=FLOAT  
Set to apply a ratio based depth filter. The filter will be multiplier \* average coverage determined from calibration files.

--min-avr-score=FLOAT  
Set to apply a filter to variants with AVR scores below this value.

--snps-only  
If set, will output simple SNPs only.

## Utility

-h --help  
Prints help on command-line flag usage.

-Z --no-gzip  
Set this flag to create the output files without compression. By default the output files are compressed with tabix compatible blocked gzip.

--no-index  
Set this flag to not produce the tabix indexes for the output files.

-T --threads=INT  
Specify the number of threads to use in a multi-core processor. (Default is all available cores).

## Usage:

During variant calling, a posterior distribution is calculated for each variant, which represents the knowledge gained from the combination of prior estimates given the nature of the experiment and the actual evidence of the read alignments. The mean of the posterior distribution is calculated and displayed with the results.

The output of the `snp` command is industry standard VCF that includes each variant called with confidence. The location and type of the call, the base pairs (reference and called), and a confidence score are standard output. Additional support statistics describe read alignment evidence that can be used to evaluate confidence in the called SNPs.

The `--all` flag produces calls at all non-N base positions in the reference irrespective of thresholds and whether a variant is called at each position. Some calls cover multiple positions so there may not be a separate call for every nucleotide. This can be very useful for creating a full-reference consensus or for summarizing pileup information in a text format file. However, the resulting output is quite large (one output line per base pair in the reference), which takes longer to process and requires considerably more space to store.

---

**NOTE:** For more information about the `snp.vcf` output file column definitions, see Appendix.

### Quality calibration

Read data from Complete Genomics and from manufacturers that supply data in FASTQ format include a quality value for each base of the reads. This indicates the probability of an error in the base calling at that position in the read. Following industry best practice we calculate recalibration tables using data from the mapping process. These calibration files are automatically generated in the `map` and `cmap` commands or can be manually generated using the `calibrate` command. The `snp` command automatically detects the calibration files using the mapping file locations. To disable recalibration in the `snp` command set the `--no-calibration` flag.

### Coverage filtering

The variant calls made in regions of excessive coverage are often due to incorrect mappings, particularly with short reads. The `snp` command allows you to apply a maximum coverage filter with the `--filter-depth` and `--filter-depth-multiplier` parameters.

Similarly, regions of excessive coverage can negatively impact variant calling speed so a separate set of flags allow calling to be skipped in regions of excessive coverage. These regions are noted in the `regions.bed` file as an extreme coverage region. When recalibration information is available, the maximum coverage cutoff is calculated by multiplying a coverage multiplier with the average coverage for the genome sequence (the default multiplier is 5.0x). The `--max-coverage-multiplier` parameter can be used to set the multiplier. When recalibration information is not available, the maximum coverage cutoff is determined using the `--max-coverage` parameter, which sets a fixed value as the threshold (the default is 200).

### Prior distributions

The use of a prior distribution can increase the likelihood of calling novel variants by increasing the confidence that sample evidence supports a particular variant hypothesis. With priors, the calculated range of likely variants is smaller than that expected with a normal distribution. Currently, the genome-wide prior distribution is set by default for the human genome (for adjusted genome priors, contact Real Time Genomics technical support for assistance). An alternative is to supply site-specific prior information in the form of a VCF containing variants with allele-frequency information via the `--population-priors` flag. This will adjust the likelihood of calling variants that have been seen before in the population.

## Adaptive Variant Rescoring

The RTG Adaptive Variant Rescoring (AVR) system uses machine learning to build adaptive models that take into account factors not already accounted for in the bayesian statistics model in determining the probability that a given variant call is correct. Some pre-built AVR models are provided with the RTG software, to build your own models you can use the `avrbuild` command with VCF output from RTG variant callers filtered to a set of known correct calls and a set of known incorrect calls. These models when used either directly by the variant callers, or when applied using the `avrpredict` command produce a VCF format field called AVR which contains a probability between 0 and 1 that the call is correct. This can then be used to filter your results to remove calls unlikely to be correct.

**See also:** `vcffilter`, `vcfannotate`, `coverage`, `cnv`, `family`, `somatic`, `population`, `calibrate`

### 2.7.6 family

#### Synopsis:

The `family` command calls sequence variants on a combination of individuals using Mendelian inheritance.

#### Syntax:

Multi-file input specified from command line:

```
$ rtg family [OPTION]... -o DIR -t SDF --father STRING --mother STRING
  <--daughter STRING | --son STRING>+ FILE+
$ rtg family [OPTION]... -o DIR -t SDF -p FILE FILE+
```

Multi-file input specified in a text file:

```
$ rtg family [OPTION]... -o DIR -t SDF --father STRING --mother STRING
  <--daughter STRING | --son STRING>+ -I FILE
$ rtg family [OPTION]... -o DIR -t SDF -p FILE -I FILE
```

#### Example:

```
$ rtg family -o fam -t reference --father f_sample --mother m_sample
  --daughter d_sample --son s_sample -I samfiles.txt
```

#### Parameters:

##### File Input/Output

<code>--daughter=STRING</code>	Specifies a sample identifier used in read groups for a daughter sample. May be specified 0 or more times.
<code>--father=STRING</code>	Specifies the sample identifier used in read groups for the father sample.
<code>-I --input-list-file=FILE</code>	Specifies a file containing a list of SAM/BAM format files (one per line) containing mapped reads.
<code>--mother=STRING</code>	Specifies the sample identifier used in read groups for the mother sample.

	<code>--no-calibration</code>	If set, ignore mapping calibration files. By default detected and provided mapping calibration files are used.
<code>-o</code>	<code>--output=DIR</code>	Specifies the directory where results are reported.
<code>-p</code>	<code>--pedigree=FILE</code>	Specifies the PED file containing the genome relationships.
	<code>--son=STRING</code>	Specifies a sample identifier used in read groups for a son sample. May be specified 0 or more times.
<code>-t</code>	<code>--template=SDF</code>	Specifies the SDF containing the reference genome the reads have been mapped against.
	<code>FILE+</code>	Specifies a SAM/BAM format file containing mapped reads. The SAM records in the file must be sorted by genome position. May be specified 0 or more times.

### Sensitivity Tuning

	<code>--bed-regions</code>	BED file containing regions to process.
	<code>--keep-duplicates</code>	Set to disable the detection and filtering of duplicate reads based on mapping position.
<code>-m</code>	<code>--machine-errors=STRING</code>	If set, force the sequencer machine error settings. (One of [default, illumina, 454_se, 454_pe, complete, iontorrent]).
	<code>--max-coverage=INT</code>	Skip calling in sites with combined depth exceeding this fixed value. (Default is 200).
	<code>--max-coverage-multiplier=FLOAT</code>	Skip calling in sites with combined depth exceeding multiplier * average combined coverage determined from calibration. (Default is 5.0).
	<code>--min-mapq=INT</code>	Set to ignore SAM records with MAPQ less than this value.
	<code>--population-priors=FILE</code>	If set, will use the VCF file to generate population based site-specific priors.
	<code>--rdefault-mated=INT</code>	For mated reads that have no mapping quality supplied use this as the default quality. (In Phred format from 0 to 63) (Default is 20).
	<code>--rdefault-unmated=INT</code>	For unmated reads that have no mapping quality supplied use this as the default quality. (In Phred format from 0 to 63)

(Default is 20).

`--region=STRING` Set to only process SAM records within the specified range. The format is one of `<sequence_name>`, `<sequence_name>:start-end` or `<sequence_name>:start+length`.

## Reporting

`-a --all` Output a call at all positions.

`--avr-model=FILE` Set the name of AVR model to use when scoring variants.

`--filter-ambiguity=INT` Set the threshold for ambiguity filter applied to output variants.

`--filter-bed=FILE` Set to apply a position based filter, retaining only variants that fall in the regions specified in the BED file.

`--filter-depth` Set to apply a fixed depth of coverage filter to output variants.

`--filter-depth-multiplier=FLOAT` Set to apply a ratio based depth filter. The filter will be `multiplier * average coverage determined from calibration files`.

`--min-avr-score=FLOAT` Set to apply a filter to variants with AVR scores below this value.

`--snps-only` If set, will output simple SNPs only.

## Utility

`-h --help` Prints help on command-line flag usage.

`-Z --no-gzip` Set this flag to create the output files without compression. By default the output files are compressed with tabix compatible blocked gzip.

`--no-index` Set this flag to not produce the tabix indexes for the output files.

`-T --threads=INT` Specify the number of threads to use in a multi-core processor. (Default is all available cores).

## Usage:

The `family` command jointly calls samples corresponding to the parents and children of a family using Mendelian inheritance. The `family` command requires a sample for each of the father, mother one or more children, either daughters or sons.

The `family` command works by considering all the evidence at each nucleotide position and makes a joint Bayesian estimate that a given nucleotide position represents a variant in one or more

of the samples. As with the `snp` command, some calls may extend across multiple adjacent nucleotide positions.

The `family` command requires that each sample has appropriate read group information specified in the BAM files created during mapping. For information about how to specify read group information when mapping see Section 5.2.

By default the VCF output consists of calls where one or more samples differ from the reference genome. The `--all` flag produces calls at all non-N base positions for which there is some evidence, irrespective of thresholds and whether or not the call is equal to the reference. Using `--all` can incur a significant performance penalty and is best applied only in small regions of interest (selected with the `--region` or `--bed-regions` options).

When there is sufficient evidence, a call may be made that violates Mendelian inheritance consistency. When this happens the output VCF will contain a `DN` format field which will indicate if the call for a given sample is presumed to be a *de novo* call. This will also be accompanied by a `DNP` format field which contains a Phred scaled probability that the call is due to an actual *de novo* variant.

When a child can be unambiguously phased according to Mendelian inheritance, the VCF genotype field (`GT`) will use the phased separator `'|'` instead of the unphased separator `'/'`. The genotype field will be ordered such that the allele inherited from the father is first, and that from the mother is second.

For details concerning quality calibration, prior distributions and adaptive variant rescoring refer to the information for the `snp` command in 2.7.5.

**See also:** `snp`, `somatic`, `population`, `calibrate`

## 2.7.7 somatic

### Synopsis:

The `somatic` command calls sequence variants on an original and derived sample set.

### Syntax:

Multi-file input specified from command line:

```
$ rtg somatic [OPTION]... -o DIR -t SDF --contamination FLOAT
  --derived STRING --original STRING FILE+
```

Multi-file input specified in a text file:

```
$ rtg somatic [OPTION]... -o DIR -t SDF --contamination FLOAT
  --derived STRING --original STRING -I FILE
```

### Example:

```
$ rtg somatic -o som -t reference --derived c_sample --original n_sample
  -I samfiles.txt
```

### Parameters:

#### File Input/Output

<code>--derived=STRING</code>	Specifies the sample identifier used in read groups for the derived sample.
-------------------------------	---

-I	--input-list-file=FILE	Specifies a file containing a list of SAM/BAM format files (one per line) containing mapped reads.
	--no-calibration	If set, ignore mapping calibration files. By default detected and provided mapping calibration files are used.
	--original=STRING	Specifies the sample identifier used in read groups for the original sample.
-o	--output=DIR	Specifies the directory where results are reported.
-t	--template=SDF	Specifies the SDF containing the reference genome the reads have been mapped against.
	FILE+	Specifies a SAM/BAM format file containing mapped reads. The SAM records in the file must be sorted by genome position. May be specified 0 or more times.

### Sensitivity Tuning

	--bed-regions=FILE	BED file containing regions to process
	--contamination=FLOAT	Set the estimated fraction of contamination in the derived sample.
-G	--include-gain-of-reference	Include gain of reference somatic calls in the output VCF. By default any gain of reference calls will not be produced.
	--keep-duplicates	Set to disable the detection and filtering of duplicate reads based on mapping position.
	--loh=FLOAT	Set the prior probability that a loss of heterozygosity event has occurred. (Default is 0.0).
-m	--machine-errors=STRING	If set, force the sequencer machine error settings. (One of [default, illumina, 454_se, 454_pe, complete, iontorrent]).
	--max-coverage=INT	Skip calling in sites with combined depth exceeding this fixed value. (Default is 200).
	--max-coverage-multiplier=FLOAT	Skip calling in sites with combined depth exceeding multiplier * average combined coverage determined from calibration. (Default is 25.0).
	--min-mapq=INT	Set to ignore SAM records with MAPQ less than this value.

	<code>--population-priors=FILE</code>	If set, will use the VCF file to generate population based site-specific priors.
	<code>--rdefault-mated=INT</code>	For mated reads that have no mapping quality supplied use this as the default quality. (In Phred format from 0 to 63) (Default is 20).
	<code>--rdefault-unmated=INT</code>	For unmated reads that have no mapping quality supplied use this as the default quality. (In Phred format from 0 to 63) (Default is 20).
	<code>--region=STRING</code>	Set to only process SAM records within the specified range. The format is one of <code>&lt;sequence_name&gt;</code> , <code>&lt;sequence_name&gt;:start-end</code> or <code>&lt;sequence_name&gt;:start+length</code> .
	<code>--sex=SEX</code>	Specifies the sex of the individual. (Must be one of [male, female, either]) (Default is either).
<code>-s</code>	<code>--somatic=FLOAT</code>	Set the prior probability of a somatic SNP mutation in the derived. (Default is 0.000001).
	<code>--somatic-priors=FILE</code>	If set, use the supplied BED file to supply site-specific somatic priors.

## Reporting

<code>-a</code>	<code>--all</code>	Output a call at all positions.
	<code>--avr-model=FILE</code>	Set the name of AVR model to use when scoring variants.
	<code>--filter-ambiguity=INT</code>	Set the threshold for ambiguity filter applied to output variants.
	<code>--filter-bed=FILE</code>	Set to apply a position based filter, retaining only variants that fall in the regions specified in the BED file.
	<code>--filter-depth</code>	Set to apply a fixed depth of coverage filter to output variants.
	<code>--filter-depth-multiplier=FLOAT</code>	Set to apply a ratio based depth filter. The filter will be <code>multiplier * average coverage</code> determined from calibration files.
	<code>--min-avr-score=FLOAT</code>	Set to apply a filter to variants with AVR scores below this value.
	<code>--snps-only</code>	If set, will output simple SNPs only.

## Utility

<code>-h</code>	<code>--help</code>	Prints help on command-line flag usage.
-----------------	---------------------	---



<code>-Z</code>	<code>--no-gzip</code>	Set this flag to create the output files without compression. By default the output files are compressed with tabix compatible blocked gzip.
	<code>--no-index</code>	Set this flag to not produce the tabix indexes for the output files.
<code>-T</code>	<code>--threads=INT</code>	Specify the number of threads to use in a multi-core processor. (Default is all available cores).

## Usage:

The `somatic` command performs a joint calling on an original sample corresponding to ordinary cells and a derived sample corresponding to cancerous cells. The derived sample may be contaminated with the original sample and the contamination level should be specified. It is also desirable that a prior probability of somatic mutation be set. To compute a rough estimate for this, make an estimate of the number of mutations expected and divide it by the length of the genome.

The `somatic` command works by considering all the evidence at each nucleotide position and makes a joint Bayesian estimate that a given nucleotide position represents a somatic mutation in the derived sample. As with the `snp` command, some calls may extend across multiple adjacent nucleotide positions.

The `somatic` command requires that each sample has appropriate read group information specified in the BAM files created during mapping. For information about how to specify read group information when mapping see Section 5.2.

By default the VCF output consists of calls for both samples where there is a difference between the original and derived sample. The `--all` flag produces calls at all non-N base positions for which there is some evidence, irrespective of thresholds and whether or not the call is equal to the reference. Using `--all` can incur a significant performance penalty and is best applied only in small regions of interest (selected with the `--region` or `--bed-regions` options). More information regarding VCF fields output by the `somatic` command is given in Section 5.7.3.

The `--loh` parameter is used to control the sensitivity to variants occurring in regions of loss of heterozygosity. In heterozygous regions, a somatic mutation of the form  $XY \rightarrow ZZ$  (with  $X \neq Z$  and  $Y \neq Z$ ) is extremely unlikely; however, in a loss of heterozygosity region,  $XY \rightarrow Z$  is plausible. As the loss of heterozygosity prior is increased, the barrier to detecting and reporting such variants is reduced. If a region is known or suspected to have a loss of heterozygosity, then a value close to 1 should be used when calling that region.

The `-somatic-priors` option allows fine-grained control over the prior probability of a site being somatic. For further detail see Section 3.9.5.

For details concerning quality calibration prior distributions refer to the information for the `snp` command in Section 2.7.5.

**See also:** `snp`, `family`, `population`, `calibrate`

## 2.7.8 population

### Synopsis:

The `population` command calls sequence variants on a set of individuals.

### Syntax:

Multi-file input specified from command line:

```
$ rtg population [OPTION]... -o DIR -p FILE -t SDF FILE+
```

Multi-file input specified in a text file:

```
$ rtg population [OPTION]... -o DIR -p FILE -t SDF -I FILE
```

### Example:

```
$ rtg population -o pop -p relations.ped -t reference -I samfiles.txt
```

### Parameters:

#### File Input/Output

-I	--input-list-file=FILE	Specifies a file containing a list of SAM/BAM format files (one per line) containing mapped reads.
	--no-calibration	If set, ignore mapping calibration files. By default detected and provided mapping calibration files are used.
-o	--output=DIR	Specifies the directory where results are reported.
-p	--pedigree=FILE	Specifies the PED file containing the genome relationships.
-t	--template=SDF	Specifies the SDF containing the reference genome the reads have been mapped against.
	FILE+	Specifies a SAM/BAM format file containing mapped reads. The SAM records in the file must be sorted by genome position. May be specified 0 or more times.

#### Sensitivity Tuning

	--bed-regions=FILE	BED file containing regions to process.
	--keep-duplicates	Set to disable the detection and filtering of duplicate reads based on mapping position.
-m	--machine-errors=STRING	If set, force the sequencer machine error settings. (One of [default, illumina, 454_se, 454_pe, complete, iontorrent]).
	--max-coverage=INT	Skip calling in sites with combined depth exceeding this fixed value. (Default is

200).

<code>--max-coverage-multiplier=FLOAT</code>	Skip calling in sites with combined depth exceeding multiplier * average combined coverage determined from calibration. (Default is 5.0).
<code>--min-mapq=INT</code>	Set to ignore SAM records with MAPQ less than this value.
<code>--pedigree-connectivity=STRING</code>	Set the mode of operation based on how well connected the pedigree is. (Must be one of [auto, sparse, dense]). (Default is auto).
<code>--population-priors=FILE</code>	If set, will use the VCF file to generate population based site-specific priors.
<code>--rdefault-mated=INT</code>	For mated reads that have no mapping quality supplied use this as the default quality. (In Phred format from 0 to 63) (Default is 20).
<code>--rdefault-unmated=INT</code>	For unmated reads that have no mapping quality supplied use this as the default quality. (In Phred format from 0 to 63) (Default is 20).
<code>--region=STRING</code>	Set to only process SAM records within the specified range. The format is one of <sequence_name>, <sequence_name>:start-end or <sequence_name>:start+length.

## Reporting

<code>-a</code>	<code>--all</code>	Output a call at all positions.
	<code>--avr-model=FILE</code>	Set the name of AVR model to use when scoring variants.
	<code>--filter-ambiguity=INT</code>	Set the threshold for ambiguity filter applied to output variants.
	<code>--filter-bed=FILE</code>	Set to apply a position based filter, retaining only variants that fall in the regions specified in the BED file.
	<code>--filter-depth</code>	Set to apply a fixed depth of coverage filter to output variants.
	<code>--filter-depth-multiplier=FLOAT</code>	Set to apply a ratio based depth filter. The filter will be multiplier * average coverage determined from calibration files.
	<code>--impute=STRING</code>	Name of sample absent from mappings to impute genotype for. May be specified 0 or more times.

<code>--min-avr-score=FLOAT</code>	Set to apply a filter to variants with AVR scores below this value.
<code>--snps-only</code>	If set, will output simple SNPs only.

### Utility

<code>-h</code>	<code>--help</code>	Prints help on command-line flag usage.
<code>-Z</code>	<code>--no-gzip</code>	Set this flag to create the output files without compression. By default the output files are compressed with tabix compatible blocked gzip.
	<code>--no-index</code>	Set this flag to not produce the tabix indexes for the output files.
<code>-T</code>	<code>--threads=INT</code>	Specify the number of threads to use in a multi-core processor. (Default is all available cores).

### Usage:

The `population` command performs a joint calling on a set of samples corresponding to multiple individuals from a population.

The `population` command works by considering all the evidence at each nucleotide position and makes a joint Bayesian estimate that a given nucleotide position represents a variant in one or more of the samples. As with the `snp` command, some calls may extend across multiple adjacent nucleotide positions.

The `population` command requires that each sample has appropriate read group information specified in the BAM files created during mapping. For information about how to specify read group information when mapping see Section 5.2. Also required is a pedigree file describing the samples being processed, so that the caller can utilize pedigree information to improve the variant calling accuracy. This is provided in a PED format file using the `--pedigree` flag. For more information about the PED file format see Section 5.5.

The `--pedigree-connectivity` flag allows the specification of different modes for the population caller to run in based on how well connected the pedigree samples are.

The "dense" pedigree mode assumes that there are one or more samples connected by a pedigree. This can in principle be used for a single sample or for a family specified in the pedigree. It can also process a pedigree where there are many disconnected samples or fragments of pedigrees. However, it may be more appropriate to use the "sparse" mode in this case.

The "sparse" pedigree mode is intended for the case where there are many separate samples with no directly known pedigree connections. It uses Hardy-Weinberg equilibrium to ensure that the calls in the different samples are consistent with one another. Doing this may take more time than for the "dense" pedigree mode but will give better results when the samples are not connected by a pedigree. It is also useful when the pedigree consists of a large number of separate families or more complex situations where there are mixed separate samples and families or larger fragments of pedigrees.

The default "auto" setting selects "dense" pedigree mode when the called samples form fewer than three disconnected pedigree fragments, otherwise "sparse" mode is used.

The decision about whether to use the "dense" or "sparse" pedigree mode is not necessarily clear cut. If you have tens of separate families or samples then using the "sparse" pedigree mode will definitely improve performance (at the expense of additional run time). If you have only one or two families or samples or a single large connected pedigree then using the "dense" pedigree mode will be the best solution. When the coverage is lower the "sparse" pedigree mode will be more valuable. When significant prior information is available in the form of a prior VCF file, then the "sparse" mode will be less valuable.

By default the VCF output consists of calls where one or more samples differ from the reference genome. The `--all` flag produces calls at all non-N base positions for which there is some evidence, irrespective of thresholds and whether or not the call is equal to the reference. Using `--all` can incur a significant performance penalty and is best applied only in small regions of interest (selected with the `--region` or `--bed-regions` options).

When there is sufficient evidence, a call may be made that violates Mendelian inheritance consistency for family groupings in the pedigree. When this happens the output VCF will contain a DN format field which will indicate if the call for a given sample is presumed to be a *de novo* call. This will also be accompanied by a DNP format field which contains a Phred scaled probability that the call is due to an actual *de novo* variant.

When a variant call on a child in the pedigree can be unambiguously phased according to Mendelian inheritance, the VCF genotype field (GT) will use the phased separator '|' instead of the unphased separator '/'. The genotype field will be ordered such that the allele inherited from the father is first, and the mothers is second.

For details concerning quality calibration, prior distributions and adaptive variant rescoring refer to the information for the `snp` command in 2.7.5.

**See also:** `snp`, `family`, `somatic`, `calibrate`

## 2.7.9 lineage

### Synopsis:

The `lineage` command calls sequence variants on a set of cell lineage samples.

### Syntax:

Multi-file input specified from command line:

```
$ rtg lineage [OPTION]... -o DIR -p FILE -t SDF FILE+
```

Multi-file input specified in a text file:

```
$ rtg lineage [OPTION]... -o DIR -p FILE -t SDF -I FILE
```

### Example:

```
$ rtg lineage -o lin -p relations.ped -t reference -I samfiles.txt
```

## Parameters:

### File Input/Output

-I	--input-list-file=FILE	Specifies a file containing a list of SAM/BAM format files (one per line) containing mapped reads.
	--no-calibration	If set, ignore mapping calibration files. By default detected and provided mapping calibration files are used.
-o	--output=DIR	Specifies the directory where results are reported.
-p	--pedigree=FILE	Specifies the PED file containing the genome relationships.
-t	--template=SDF	Specifies the SDF containing the reference genome the reads have been mapped against.
	FILE+	Specifies a SAM/BAM format file containing mapped reads. The SAM records in the file must be sorted by genome position. May be specified 0 or more times.

### Sensitivity Tuning

	--bed-regions=FILE	BED file containing regions to process.
	--keep-duplicates	Set to disable the detection and filtering of duplicate reads based on mapping position.
-m	--machine-errors=STRING	If set, force the sequencer machine error settings. (One of [default, illumina, 454_se, 454_pe, complete, iontorrent]).
	--max-coverage=INT	Skip calling in sites with combined depth exceeding this fixed value. (Default is 200).
	--max-coverage-multiplier=FLOAT	Skip calling in sites with combined depth exceeding multiplier * average combined coverage determined from calibration. (Default is 5.0).
	--min-mapq=INT	Set to ignore SAM records with MAPQ less than this value.
	--population-priors=FILE	If set, will use the VCF file to generate population based site-specific priors.
	--rdefault-mated=INT	For mated reads that have no mapping quality supplied use this as the default quality. (In Phred format from 0 to 63) (Default is 20).

<code>--rdefault-unmated=INT</code>	For unmated reads that have no mapping quality supplied use this as the default quality. (In Phred format from 0 to 63) (Default is 20).
<code>--region=STRING</code>	Set to only process SAM records within the specified range. The format is one of <code>&lt;sequence_name&gt;</code> , <code>&lt;sequence_name&gt;:start-end</code> or <code>&lt;sequence_name&gt;:start+length</code> .

## Reporting

<code>-a    --all</code>	Output a call at all positions.
<code>--avr-model=FILE</code>	Set the name of AVR model to use when scoring variants.
<code>--filter-ambiguity=INT</code>	Set the threshold for ambiguity filter applied to output variants.
<code>--filter-bed=FILE</code>	Set to apply a position based filter, retaining only variants that fall in the regions specified in the BED file.
<code>--filter-depth</code>	Set to apply a fixed depth of coverage filter to output variants.
<code>--filter-depth-multiplier=FLOAT</code>	Set to apply a ratio based depth filter. The filter will be <code>multiplier * average coverage</code> determined from calibration files.
<code>--min-avr-score=FLOAT</code>	Set to apply a filter to variants with AVR scores below this value.
<code>--snps-only</code>	If set, will output simple SNPs only.

## Utility

<code>-h    --help</code>	Prints help on command-line flag usage.
<code>-Z    --no-gzip</code>	Set this flag to create the output files without compression. By default the output files are compressed with tabix compatible blocked gzip.
<code>--no-index</code>	Set this flag to not produce the tabix indexes for the output files.
<code>-T    --threads=INT</code>	Specify the number of threads to use in a multi-core processor. (Default is all available cores).

## Usage:

The lineage command performs a joint calling on a set of samples from a cell lineage.

The `lineage` command works by considering all the evidence at each nucleotide position and makes a joint Bayesian estimate that a given nucleotide position represents a variant in one or more of the samples. As with the `snp` command, some calls may extend across multiple adjacent nucleotide positions.

The `lineage` command requires that each sample has appropriate read group information specified in the BAM files created during mapping. For information about how to specify read group information when mapping see Section 5.2. Also required is a pedigree file describing the samples being processed, so that the caller can utilize pedigree information to improve the variant calling accuracy. This is provided in a PED format file using the `--pedigree` flag. For more information about the PED file format see Section 5.5.

By default the VCF output consists of calls where one or more samples differ from the reference genome. The `--all` flag produces calls at all non-N base positions for which there is some evidence, irrespective of thresholds and whether or not the call is equal to the reference. Using `--all` can incur a significant performance penalty and is best applied only in small regions of interest (selected with the `--region` or `--bed-regions` options).

For details concerning quality calibration, prior distributions and adaptive variant rescoring refer to the information for the `snp` command in 2.7.5.

See also: `snp`, `family`, `somatic`, `population`, `calibrate`

## 2.7.10 `avrpredict`

### Synopsis:

The `avrpredict` command is used to score variants in a VCF file using an adaptive variant rescoring model.

### Syntax:

```
$ rtg avrpredict [OPTION]... -i FILE -m FILE -o FILE
```

### Example:

```
$ rtg avrpredict -i snps.vcf.gz -m avr.model -o avr.vcf.gz
```

### Parameters:

#### File Input/Output

<code>-i</code>	<code>--input=FILE</code>	Specifies the input VCF file to be re-scored.
<code>-o</code>	<code>--ouptut=FILE</code>	Specifies the output VCF file.

#### Reporting

<code>--avr-model=FILE</code>	Set the name of AVR model to use when scoring variants.
<code>--min-avr-score=FLOAT</code>	Set to apply a filter to variants with AVR scores below this value.



### Utility

-h	--help	Prints help on command-line flag usage.
-Z	--no-gzip	Set this flag to create the output file without compression. By default the output file is compressed with tabix compatible blocked gzip.
	--no-index	Set this flag to not produce the tabix index for the output file.
-s	--sample=STRING	Set to re-score only the specified samples. Will re-score all samples by default. May be specified 0 or more times.

### Usage:

Used to apply an adaptive variant rescoring model to an existing VCF file produced by an RTG variant caller. The output VCF will contain a new or updated AVR score field for the samples to which the model is being applied. This can be used in combination with the `avrbuild` command to produce AVR scores from more detailed training data for a given experiment.

**See also:** `avrbuild`, `avrstats`, `snp`, `family`, `population`

## 2.7.11 avrbuild

### Synopsis:

The `avrbuild` command is used to create adaptive variant rescoring models from positive and negative training examples.

### Syntax:

```
$ rtg avrbuild [OPTION]... -n FILE -o FILE -p FILE
```

### Example:

```
$ rtg avrbuild -o avr.model -n fp.vcf.gz -p tp.vcf.gz  
--format-annotations GQ,DP
```

### Parameters:

#### File Input/Output

-n	--negative=FILE	Specifies a VCF file containing negative training examples. Must be specified 1 or more times.
-o	--output=FILE	The output AVR model file.
-p	--positive=FILE	Specifies a VCF file containing positive training examples. Must be specified 1 or more times.

#### Sensitivity Tuning

--derived-annotations=STRING	Specifies the derived annotations to use in the model in a comma separated list.
------------------------------	--

See below for valid derived annotation values.

`--format-annotations=STRING` Specifies the FORMAT fields from the VCF input files to use in the model in a comma separated list.

`--info-annotations=STRING` Specifies the INFO fields from the VCF input files to use in the model in a comma separated list.

`--qual-annotation` Set to use the QUAL field in the model.

`-s --sample=STRING` The name of the sample to build the model from, required when input VCF files contain multiple samples.

### Utility

`-h --help` Prints help on command-line flag usage.

`-T --threads=INT` Specify the number of threads to use in a multi-core processor. (Default is all available cores).

### Usage:

Used to produce an adaptive variant rescoring model using machine learning on a set of variants produced by RTG that have been divided into known positive and negative examples. The model will learn how to work out how likely a call is correct based on the set of annotations provided on the command line extracted from the input VCF files.

The model file produced can then be used directly when variant calling to produce an AVR score field by using the `--avr-model` parameter, or applied to an existing VCF output file using the `avrpredict` command.

**Table 4: Derived annotations**

Value	Description
IC	The inbreeding coefficient for the site.
EP	The Phred scaled probability that the site is not in Hardy-Weinberg equilibrium.
LAL	The length of the longest allele for the site.
QD	The quality field divided by the sum of the read depth for all samples.
NAA	The number of alternate alleles for the site.
AN	The total number of alleles in the called genotypes.

Value	Description
GQD	The genotype quality divided by the read depth of the sample.
COC	<p>The count of evidence that is considered contrary to the call made for this sample.</p> <p>For example, in a normal-cancer somatic call of 0/0 -&gt; 1/0, the COC value is the count of the somatic (1) allele in the normal sample.</p> <p>Usually a high COC value indicates an unreliable call.</p>
COF	<p>The fraction of evidence that is considered contrary to the call made for this sample.</p> <p>For example, in a somatic call of 0/0 -&gt; 1/0, the COF value is the fraction of the somatic (1) allele in the normal sample.</p> <p>The COF and COC attributes are also applicable to <i>de novo</i> calls, where the evidence of the parents for the <i>de novo</i> allele is considered contrary.</p> <p>Usually a high COF value indicates an unreliable call.</p>
ZY	The zygosity of the sample.
PD	The ploidy of the sample.

See also: `avrpredict`, `avrstats`, `snp`, `family`, `population`

## 2.7.12 `cnv`

### Synopsis:

The `cnv` command identifies copy number variation statistics and reports in a BED format file. Alignments for a test genome are compared to alignments for a base genome, and the ratios calculated.

### Syntax:

Multi-file input specified from command line:

```
$ rtg cnv [OPTION]... -o DIR -i FILE -j FILE
```

Multi-file input specified in a text file:

```
$ rtg cnv [OPTION]... -o DIR -I FILE -J FILE
```

### Example:

```
$ rtg cnv -b 1000 -o h1_cnv -i h1_base -j h1_test
```

## Parameters:

### File Input/Output

-i	--base-file=FILE	Specifies a SAM/BAM format file containing mapped reads for baseline. May be specified up to 400 times.
-I	--base-list-file=FILE	Specifies a file containing a list of SAM/BAM format files (one per line) containing mapped reads for baseline.
-o	--output=DIR	Specifies the directory where results are reported.
-t	--template=SDF	Specifies the SDF containing the reference genome the reads have been mapped against.
-j	--test-file=FILE	Specifies a SAM/BAM format file containing mapped reads for test. May be specified up to 400 times.
-J	--test-list-file=FILE	Specifies a file containing a list of SAM/BAM format files (one per line) containing mapped reads for test.

### Sensitivity Tuning

-b	--bucket-size=INT	Set size of the buckets in the genome. Use the bucket size to determine CNV coverage, bucket size defines the number of nucleotides to average the coverage for in a region. (Default is 100)
	--exclude-mated	Set to exclude all mated SAM records.
	--exclude-unmated	Set to exclude all unmated SAM records.
-m	--max-as-mated=INT	Set to ignore mated SAM records with an alignment score (AS attribute) that exceeds this value.
-u	--max-as-unmated=INT	Set to ignore unmated SAM records with an alignment score (AS attribute) that exceeds this value.
-c	--max-hits=INT	Set to ignore SAM records with an alignment count that exceeds this value. This flag is usually set to 1 because an alignment count of 1 represents uniquely mapped reads.
	--min-mapq=INT	Set to ignore SAM records with MAPQ less than this value.
	--region=STRING	Set to only process SAM records within the specified range. The format is one of <sequence_name>, <sequence_name>:start-end or <sequence_name>:start+length.

### Utility

-h	--help	Prints help on command-line flag usage.
-Z	--no-gzip	Set this flag to create the output files without compression. By default the output files are compressed with tabix compatible blocked gzip.
-T	--threads=INT	Specify the number of threads to use in a multi-core processor. (Default is all available cores).

### Usage:

The `cnv` command identifies aberrational CNV region(s) that support investigation of structural variation. It measures and reports the ratio of coverage depth in a test sample compared to a baseline sample. Use the `--bucket-size=INT` parameter to specify the range in which CNV ratios are calculated (for data smoothing). Filter settings allow different analytical comparisons with the same alignments.

**See also:** `snp`, `coverage`

## 2.7.13 calibrate

### Synopsis:

Creates a quality calibration file for each SAM/BAM file specified.

### Syntax:

Multi-file input specified from command line:

```
$ rtg calibrate [OPTION]... -t SDF FILE+
```

Multi-file input specified in a text file:

```
$ rtg calibrate [OPTION]... -t SDF -I FILE
```

### Example:

```
$ rtg calibrate -t hs_reference hs_map/alignments.bam
```

### Parameters:

#### File Input/Output

-I	--input-list-file	Specifies a file containing a list of SAM/BAM format files (one per line) containing mapped reads.
-m	--merge=FILE	merge records and calibration files
-t	--template=SDF	Specifies the SDF reference genome that the SAM/BAM files were mapped with.
	FILE+	Specifies a SAM/BAM format file containing mapped reads. May be specified 0 or more times.

### Sensitivity Tuning

<code>--bed-regions=FILE</code>	Restrict calibration to mappings falling within the regions in the supplied BED file.
---------------------------------	---

### Utility

<code>-f</code>	<code>--force</code>	Overwrite existing calibration files.
<code>-h</code>	<code>--help</code>	Prints help on command-line flag usage.
<code>-T</code>	<code>--threads=INT</code>	number of threads. Defaults to the number of available cores

### Usage:

Use to create quality calibration files for existing SAM/BAM mapping files which can be used in later commands to improve results. The calibration file will have `.calibration` appended to the SAM/BAM file name. If the `--merge` option is used, this command can be used to simultaneously merge input files to a single, calibrated output file.

**See also:** `snp`, `map`, `cmap`

## 2.8 Metagenomics Commands

### 2.8.1 species

#### Synopsis:

Calculates a taxon distribution from a metagenomic sample.

#### Syntax:

Multi-file input specified from command line:

```
$ rtg species [OPTION]... -t SDF -o DIR FILE+
```

Multi-file input specified in a text file:

```
$ rtg species [OPTION]... -t SDF -o DIR -I FILE
```

#### Example:

```
$ rtg species -t genomes -o sp_out alignments.bam
```

#### Parameters:

##### File Input/Output

<code>-t</code>	<code>--genomes=SDF</code>	Specifies the SDF containing the bacterial genomes.
<code>-I</code>	<code>--input-list-file</code>	Specifies a file containing a list of SAM/BAM format files (one per line) containing mapped reads.
<code>-o</code>	<code>--output=DIR</code>	Specifies the directory where results are reported.

-r	--relabel-species-file=FILE	Specifies a file containing a list of species to reference mappings. (One mapping per line format: [reference short name][tab][species]).
	FILE+	Specifies a SAM/BAM format file containing mapped reads. May be specified 0 or more times.

### Sensitivity Tuning

	--exclude-mated	Set to exclude all mated SAM records.
	--exclude-unmated	Set to exclude all unmated SAM records.
-m	--max-as-mated=INT	Set to ignore mated SAM records with an alignment score (AS attribute) that exceeds this value.
-u	--max-as-unmated=INT	Set to ignore unmated SAM records with an alignment score (AS attribute) that exceeds this value.

### Reporting

-c	--min-confidence=FLOAT	Set to not report species with lower confidence values. (Default is 10.0).
	--print-all	Set to print non present species in the output file.

### Utility

-h	--help	Prints help on command-line flag usage.
-T	--threads=INT	Specify the number of threads to use in a multi-core processor. (Default is all available cores).

### Usage:

This command takes as input a set of SAM/BAM alignment data from a sample of DNA and a set of known genomes. It outputs an estimate of the fraction of the sample taken up by each of the genomes. For best results the reference SDF containing the genomes should be in the RTG taxonomic reference file format. Existing metagenomics reference SDFs in this format are available from our website (<http://www.realtimegenomics.com>). For more information about this format see Section 5.4.

When not using RTG taxonomic reference SDFs, if more than one sequence in the reference corresponds to the same species, use the `--relabel-species-file` flag to specify a file containing the mappings of short reference names to species names.

The `species` command assumes that the mappings of the sample against the reference species are well-modeled by a Poisson distribution. A multi-dimensional direct non-linear optimization procedure is used to minimize the error according to the Poisson model, leading to a posterior probability assignment for each of the reference sequences. The computation can account for

stretches of reference sequence not appearing in the sample and for unmapped reads in the sample. So to get the best results, any unmapped reads should be included as part of the input.

The posterior probabilities are used to directly compute taxon representation in two ways. The first representation is the fractional abundance of particular taxon in the sample. The second representation is normalized to DNA size and reports the percentage of the particular DNA sequence in the sample.

Most of the columns in the `species.tsv` file are about estimating the abundance of particular species and clades. The output also contains a confidence score that addresses the subtly different question, "How likely is it that this species or clade is actually present in the sample?". The details of the calculation are somewhat complicated, but for a single species (more precisely, a leaf node in the taxonomy) the confidence is calculated as a log likelihood ratio between two posteriors. Internally, the species tool computes a posterior,  $P$ , connected to the abundance estimate for a species, corresponding to the null hypothesis "species present at level  $P$ ". For an alternative hypothesis, corresponding to "species not present", another posterior,  $P'$ , is computed by forcing the estimated abundance for that species to 0. Confidence is then the log ratio of the two values,

$C = \ln\left(\frac{P'}{P}\right)$ . The number reported in the `confidence` column is  $\sqrt{C}$ . Taking the square

root makes the units of confidence standard deviations and reduces the spread of values. By adjusting the `--min-confidence` parameter you can allow only results with a higher confidence to be output.

In addition to the raw output file, an interactive graphical view in HTML5 is also generated. Opening this shows the taxonomy and data on an interactive pie chart, with wedge sizes defined by either the abundance or DNA fraction (user selectable in the report).

**See also:** `similarity`

## 2.8.2 similarity

### Synopsis:

Produces a similarity matrix and nearest neighbor tree from the input sequences or reads.

### Syntax:

Single-file genome per sequence input:

```
$ rtg similarity [OPTION]... -o DIR -i SDF
```

Multi-file genome per label input specified in a text file:

```
$ rtg similarity [OPTION]... -o DIR -I FILE
```

### Example:

```
$ rtg similarity -o simil_out -i species_genomes
```

### Parameters:

#### File Input/Output

<code>-I</code>	<code>--input-list-file=FILE</code>	Specifies a file containing a labeled list of SDFs (one label and SDF per line format: <code>[label][space][SDF]</code> )
-----------------	-------------------------------------	---



<code>-i</code>	<code>--input=SDF</code>	Specifies the SDF containing a subject data set.
<code>-o</code>	<code>--output=DIR</code>	Specifies the directory where results are reported.

### Sensitivity Tuning

<code>-s</code>	<code>--step=INT</code>	Set the step size. (Default is 1).
	<code>--unique-words</code>	Set to count only unique words.
<code>-w</code>	<code>--word=INT</code>	Set the word size. (Default is 25).

### Utility

<code>-h</code>	<code>--help</code>	Print help on command-line flag usage.
	<code>--max-reads=INT</code>	Set the maximum number of reads to use from each input SDF. Use to reduce memory requirements in multi-file mode.

### Usage:

Use in single-file mode to produce a similarity matrix and nearest neighbor tree where each sequence in the SDF is treated as a single genome for the comparisons. However, if the input SDF contains a taxonomy, then individual sequences will be appropriately grouped in terms of the taxonomy and the resulting nearest neighbor tree will be in terms of the organisms of the taxonomy.

Use in multi-file mode to produce a similarity matrix and nearest neighbor tree for labeled sets of sequences where each label is treated as a single genome for the comparisons. The input file for this mode is of the form `[label] [space] [file]`, 1 per line where labels can be repeated to treat multiple SDFs as part of the same genome. Example:

```
SARS_coronavirus sars_sample1.sdf
SARS_coronavirus sars_sample2.sdf
Bacteriophage_KVP40 kvp40_sample1.sdf
Bacteriophage_KVP40 kvp40_sample2.sdf
```

The similarity tool outputs phylogenetic tree files, a similarity matrix file and a principal component analysis file. For more detail about the output files see Section 5.7.9.

**See also:** `species`

## 2.9 Pipeline Commands

RTG includes some pipeline commands that perform simple end-to-end tasks using a set of other RTG commands.

### 2.9.1 composition-meta-pipeline

#### Synopsis:

Runs a metagenomic composition pipeline. The pipeline consists of read filtering, read alignment, then species composition.

## Syntax:

### SDF or single-end FASTQ input:

```
$ rtg composition-meta-pipeline [OPTION]... --output DIR
    --input SDF|FILE
```

### Paired-end FASTQ input:

```
$ rtg composition-meta-pipeline [OPTION]... --output DIR
    --input-left FILE --input-right FILE
```

## Example:

```
$ rtg composition-meta-pipeline --output comp_out --input bact_reads
    --filter hg19 --species bact_db
```

## Parameters:

### File Input/Output

<code>--filter=SDF</code>	Specifies the SDF containing the filter reference sequences.
<code>--input=SDF FILE</code>	Specifies the path to the reads to be processed.
<code>--input-left=FILE</code>	The left input file for FASTQ paired end reads.
<code>--input-right=FILE</code>	The right input file for FASTQ paired end reads.
<code>--output=DIR</code>	Specifies the directory where results are reported.
<code>--platform</code>	Specifies the platform of the input data. (Must be one of [illumina, iontorrent]) (Default is illumina).
<code>--species=SDF</code>	Specifies the SDF containing species reference sequences.

### Utility

<code>-h</code>	<code>--help</code>	Print help on command-line flag usage.
-----------------	---------------------	--

## Usage:

The `composition-meta-pipeline` command runs a sequence of RTG commands to generate a species composition analysis from a set of input reads. Each command run outputs to a subdirectory within the output directory set with the `--output` flag.

The reads input data for this command must either be in SDF format, or be FASTQ files that use Sanger quality value encoding. If your data is not in this format, (e.g. FASTA or using Solexa quality value encoding), you should first create an SDF containing the reads using the `format` command, with appropriate command-line flags.

The reads are filtered to remove contaminant reads using the `mapf` command using the reference from the `--filter` flag. The `--sam-rg` flag of the `mapf` command is set with the platform

specified by the `--platform` flag. If the input is given as FASTQ instead of in SDF format, the `--quality-format` is set to `sanger`. All other flags are left as the defaults defined in the `mapf` command description. The output subdirectory for the filter results is called `mapf`.

The unmapped reads from the read filtering step are aligned with the `map` command using the reference from the `--species` flag. The `--sam-rg` flag of the `map` command is set with the platform specified by the `--platform` flag. The `--max-mismatches` flag is set to 10% if the `--platform` flag is set to `illumina`, or 15% if set to `iontorrent`. The `--max-top-results` flag is set to 100. All other flags are left as the defaults defined in the `map` command description. The output subdirectory for the alignment results is called `map`.

The aligned reads are processed with the `species` command using the reference from the `--species` flag. Flag defaults defined in the `species` command description are used. The output subdirectory for the species composition results is called `species`.

A summary report about the results of all the steps involved is output to a subdirectory called `report`.

This pipeline command will use a default location for the reference SDF files when not specified explicitly on the command line. The default locations for each is within a subdirectory of the installation directory called `references`, with each SDF in the directory being the same name as the flag for it. For example the `--filter` flag will default to `"/path/to/installation/references/filter"`. To change the directory where it looks for these default references set the `RTG_REFERENCES_DIR` configuration property to the directory containing your default references (see Section 4.1). Reference SDFs for use with the pipeline are available for download from our website (<http://www.realtimegenomics.com>).

**See also:** `mapf`, `map`, `species`, `composition-functional-meta-pipeline`

## 2.9.2 functional-meta-pipeline

### Synopsis:

Runs a metagenomic functional pipeline. The pipeline consists of read filtering, then protein searching.

### Syntax:

SDF or single-end FASTQ input:

```
$ rtg functional-meta-pipeline [OPTION]... --output DIR
    --input SDF|FILE
```

Paired-end FASTQ input:

```
$ rtg functional-meta-pipeline [OPTION]... --output DIR
    --input-left FILE --input-right FILE
```

### Example:

```
$ rtg functional-meta-pipeline --output comp_out --input bact_reads
    --filter hg19 --protein protein_db
```

## Parameters:

### File Input/Output

<code>--filter=SDF</code>	Specifies the SDF containing the filter reference sequences.
<code>--input=SDF FILE</code>	Specifies the path to the reads to be processed.
<code>--input-left=FILE</code>	The left input file for FASTQ paired end reads.
<code>--input-right=FILE</code>	The right input file for FASTQ paired end reads.
<code>--output=DIR</code>	Specifies the directory where results are reported.
<code>--platform</code>	Specifies the platform of the input data. (Must be one of [illumina, iontorrent]) (Default is illumina).
<code>--protein=SDF</code>	Specifies the SDF containing protein reference sequences.

### Utility

<code>-h</code>	<code>--help</code>	Print help on command-line flag usage.
-----------------	---------------------	--

## Usage:

The `functional-meta-pipeline` command runs a sequence of RTG commands to generate a protein analysis from a set of input reads. Each command run outputs to a subdirectory within the output directory set with the `--output` flag.

The reads input data for this command must either be in SDF format, or be FASTQ files that use Sanger quality value encoding. If your data is not in this format, (e.g. FASTA or using Solexa quality value encoding), you should first create an SDF containing the reads using the `format` command, with appropriate command-line flags.

The reads are filtered to remove contaminant reads using the `mapf` command using the reference from the `--filter` flag. The `--sam-rg` flag of the `mapf` command is set with the platform specified by the `--platform` flag. If the input is given as FASTQ instead of in SDF format, the `--quality-format` is set to `sanger`. All other flags are left as the defaults defined in the `mapf` command description. The output subdirectory for the filter results is called `mapf`.

The unmapped reads from the read filtering step are processed with the `mapx` command using the reference from the `--protein` flag. The `--max-alignment-score` flag is set to 10% if the `--platform` flag is set to `illumina`, or 15% if set to `iontorrent`. The `--max-top-results` flag is set to 10. All other flags are left as the defaults defined in the `mapx` command description. If the input reads are single end the output will be to the `mapx1` subdirectory. If the input reads are paired end, the reads from each end are processed separately. The output for the left end will be the `mapx1` subdirectory and for the right end will be the `mapx2` subdirectory.

A summary report about the results of all the steps involved is output to a subdirectory called `report`.

This pipeline command will use a default location for the reference SDF files when not specified explicitly on the command line. The default locations for each is within a subdirectory of the installation directory called `references`, with each SDF in the directory being the same name as the flag for it. For example the `--filter` flag will default to `"/path/to/installation/references/filter"`. To change the directory where it looks for these default references set the `RTG_REFERENCES_DIR` configuration property to the directory containing your default references (see Section 4.1). Reference SDFs for use with the pipeline are available for download from our website (<http://www.realtimegenomics.com>).

**See also:** `mapf`, `mapx`, `composition-functional-meta-pipeline`

## 2.9.3 composition-functional-meta-pipeline

### Synopsis:

Runs the metagenomic composition and functional pipelines. The pipelines consist of read filtering, read alignment then species composition, and protein searching.

### Syntax:

SDF or single-end FASTQ input:

```
$ rtg composition-functional-meta-pipeline [OPTION]... --output DIR
    --input SDF|FILE
```

Paired-end FASTQ input:

```
$ rtg composition-functional-meta-pipeline [OPTION]... --output DIR
    --input-left FILE --input-right FILE
```

### Example:

```
$ rtg composition-functional-meta-pipeline --output comp_out
    --input bact_reads --filter hg19 --species bact_db
    --protein protein_db
```

### Parameters:

#### File Input/Output

<code>--filter=SDF</code>	Specifies the SDF containing the filter reference sequences.
<code>--input=SDF FILE</code>	Specifies the path to the reads to be processed.
<code>--input-left=FILE</code>	The left input file for FASTQ paired end reads.
<code>--input-right=FILE</code>	The right input file for FASTQ paired end reads.
<code>--output=DIR</code>	Specifies the directory where results are reported.
<code>--platform</code>	Specifies the platform of the input data. (Must be one of [illumina, iontorrent])

(Default is `illumina`).

`--species=SDF` Specifies the SDF containing species reference sequences.

`--protein=SDF` Specifies the SDF containing protein reference sequences.

### Utility

`-h` `--help` Print help on command-line flag usage.

### Usage:

The `composition-functional-meta-pipeline` command runs a sequence of RTG commands to generate a species composition analysis and a protein analysis from a set of input reads. Each command run outputs to a subdirectory within the output directory set with the `--output` flag.

The reads input data for this command must either be in SDF format, or be FASTQ files that use Sanger quality value encoding. If your data is not in this format, (e.g. FASTA or using Solexa quality value encoding), you should first create an SDF containing the reads using the `format` command, with appropriate command-line flags.

The reads are filtered to remove contaminant reads using the `mapf` command using the reference from the `--filter` flag. The `--sam-rg` flag of the `mapf` command is set with the platform specified by the `--platform` flag. If the input is given as FASTQ instead of in SDF format, the `--quality-format` is set to `sanger`. All other flags are left as the defaults defined in the `mapf` command description. The output subdirectory for the filter results is called `mapf`.

The unmapped reads from the read filtering step are aligned with the `map` command using the reference from the `--species` flag. The `--sam-rg` flag of the `map` command is set with the platform specified by the `--platform` flag. The `--max-mismatches` flag is set to 10% if the `--platform` flag is set to `illumina`, or 15% if set to `iontorrent`. The `--max-top-results` flag is set to 100. All other flags are left as the defaults defined in the `map` command description. The output subdirectory for the alignment results is called `map`.

The aligned reads are processed with the `species` command using the reference from the `--species` flag. Flag defaults defined in the `species` command description are used. The output subdirectory for the species composition results is called `species`.

The unmapped reads from the read filtering step are processed with the `mapx` command using the reference from the `--protein` flag. The `--max-alignment-score` flag is set to 10% if the `--platform` flag is set to `illumina`, or 15% if set to `iontorrent`. The `--max-top-results` flag is set to 10. All other flags are left as the defaults defined in the `mapx` command description. If the input reads are single end the output will be to the `mapx1` subdirectory. If the input reads are paired end, the reads from each end are processed separately. The output for the left end will be the `mapx1` subdirectory and for the right end will be the `mapx2` subdirectory.

A summary report about the results of all the steps involved is output to a subdirectory called `report`.

This pipeline command will use a default location for the reference SDF files when not specified explicitly on the command line. The default locations for each is within a subdirectory of the installation directory called `references`, with each SDF in the directory being the same name as the flag for it. For example the `--filter` flag will default to `"/path/to/installation/references/filter"`. To change the directory where it looks for these default references set the `RTG_REFERENCES_DIR` configuration property to the directory containing your default references (see Section 4.1). Reference SDFs for use with the pipeline are available for download from our website (<http://www.realtimegenomics.com>).

**See also:** `mapf`, `mapx`, `map`, `species`

## 2.10 Simulation Commands

RTG includes some simulation commands that may be useful for experimenting with effects of various RTG command parameters or when getting familiar with RTG work flows. A simple simulation series might involve the following commands:

```
$ rtg genomesim --output sim-ref-sdf --min-length 500000 --max-length
5000000 --num-contigs 5
$ rtg popsim --reference sim-ref-sdf --output population.vcf.gz
$ rtg samplesim --input population.vcf.gz --output sample1.vcf.gz --output-
sdf sample1-sdf --reference sim-ref-sdf --sample sample1
$ rtg readsim --input sample1-sdf --output reads-sdf --machine illumina_pe
-L 75 -R 75 --coverage 10
$ rtg map --template sim-ref-sdf --input reads-sdf --output sim-mapping
--sam-rg "@RG\tID:sim-rg\tSM:sample1\tPL:ILLUMINA"
$ rtg snp --template sim-ref-sdf --output sim-name-snp sim-
mapping/alignments.bam
```

### 2.10.1 genomesim

#### Synopsis:

Use the `genomesim` command to simulate a reference genome, or to create a baseline reference genome for a research project when an actual genome reference sequence is unavailable.

#### Syntax:

Specify number of sequences, plus minimum and maximum lengths:

```
$ rtg genomesim [OPTION]... -o SDF --max-length INT--min-length INT -n INT
```

Specify explicit sequence lengths (one more sequences):

```
$ rtg genomesim [OPTION]... -o SDF -l INT
```

#### Example:

```
$ rtg genomesim -o genomeTest -l 500000
```

#### Parameters:

##### File Input/Output

`-o`      `--output=SDF`                      The name of the output SDF.

##### Utility

`--comment=STRING`                      Specify a comment to include in the

		generated SDF.
	<code>--freq=STRING</code>	Set the relative frequencies of A,C,G,T in the generated sequence. (Default is 1,1,1,1).
<code>-h</code>	<code>--help</code>	Prints help on command-line flag usage.
<code>-l</code>	<code>--length=INT</code>	Specify the length of generated sequence. May be specified 0 or more times.
	<code>--max-length=INT</code>	Specify the maximum sequence length.
	<code>--min-length=INT</code>	Specify the minimum sequence length.
	<code>-n, --num-contigs=INT</code>	Specify the number of sequences to generate.
	<code>--prefix=STRING</code>	Specify a sequence name prefix to be used for the generated sequences. The default is to name the output sequences 'simulatedSequenceN', where N is increasing for each sequence.
	<code>-s, --seed=INT</code>	Specify seed for the random number generator.

### Usage:

The `genomesim` command allows one to create a simulated genome with one or more contiguous sequences - exact lengths of each contig or number of contigs with minimum and maximum lengths provided. The contents of an SDF directory created by `genomesim` can be exported to a FASTA file using the `sdf2fasta` command.

See also: `cgsim`, `readsim`, `popsim`, `samplesim`

## 2.10.2 `cgsim`

### Synopsis:

Simulates Complete Genomics reads, introducing machine errors.

### Syntax:

Generation by genomic coverage multiplier:

```
$ rtg cgsim [OPTION]... -V INT -t SDF -o SDF -c FLOAT
```

Generation by explicit number of reads:

```
$ rtg cgsim [OPTION]... -V INT -t SDF -o SDF -n INT
```

### Example:

```
$ rtg cgsim -V 1 -t HUMAN_reference -o CG_3x_readst -c 3
```



## Parameters:

### File Input/Output

-T	--diploid-input=SDF	Specifies the SDF with the second reference genome for simulating diploid genomes.
-t	--input=SDF	Specifies the SDF containing the reference genome.
-o	--output=SDF	The name of the output SDF.

### Fragment Generation

	--abundance	Set when the taxonomy distribution represents desired abundance.
-N	--allow-unknowns	Set to allow reads to be drawn from reference genome fragments containing unknown nucleotides.
-c	--coverage=FLOAT	Set the desired coverage level, must be positive.
-D	--distribution=FILE	Specifies a file containing the probability distribution for sequence selection. Format is [probability][space][seq name].
	--dna-fraction	Set when the taxonomy distribution represents desired DNA fraction.
-M	--max-fragment-size=INT	Set the maximum fragment size. (Default is 250).
-m	--min-fragment-size=INT	Set the minimum fragment size. (Default is 200).
	--n-rate=FLOAT	Set the rate that the machine will generate new unknowns in the read. (Default is 0.0).
-n	--num-reads=INT	Set the exact number of reads to be generated.
	--taxonomy-distribution=FILE	Specifies a file containing a probability distribution for sequence selection expressed using taxonomy identifiers. Format is [probability][space][taxon id].

### Complete Genomics

-V	--cg-read-version=INT	Select Complete Genomics read structure version, 1 (35 bp) or 2 (29 bp)
----	-----------------------	---

## Utility

	<code>--comment=STRING</code>	Specify a comment to include in the generated SDF.
<code>-h</code>	<code>--help</code>	Prints help on command-line flag usage.
	<code>--no-names</code>	Do not create read names in result SDF.
	<code>--no-qualities</code>	Do not create read qualities in result SDF.
<code>-q</code>	<code>--qual-range=STRING</code>	Set the range of base quality values permitted for example: 3-40. The default is fixed qualities corresponding to overall machine base error rate.
	<code>--sam-rg=STRING FILE</code>	file containing a single valid read group SAM header line or a string in the form " <code>@RG\tID:READGROUP1\tSM:BACT_SAMPLE\tPL:COMPLETE</code> "
<code>-s</code>	<code>--seed=INT</code>	Specify seed for the random number generator.

## Usage:

Use the `cgsim` command to set either `--coverage` or `--num-reads` in simulated Complete Genomics reads. For more information about Complete Genomics reads, refer to [www.completegenomics.com](http://www.completegenomics.com).

RTG simulation allows for experiment repetition. The `--seed` parameter, for example, allows for regeneration of exact same reads by setting the random number generator to be repeatable (the simulated reads are not repeatable without this flag).

The `--distribution` parameter allows you to specify the probability that a read will come from a particular named sequence for use with metagenomic databases. Probabilities are numbers between zero and one and must sum to one in the file.

**See also:** `genomesim`, `readsim`, `popsim`, `samplesim`

## 2.10.3 readsim

### Synopsis:

Use the `readsim` command to generate single or paired end reads of fixed or variable length from a reference genome, introducing machine errors.

### Syntax:

Generation by genomic coverage multiplier:

```
$ rtg readsim [OPTION]... -t SDF --machine STRING -o SDF -c FLOAT
```

Generation by explicit number of reads:

```
$ rtg readsim [OPTION]... -t SDF --machine STRING -o SDF -n INT
```

## Example:

```
$ rtg readsim -t genome_ref -o sim_reads -r 75 --machine illumina_se  
-c 30
```

## Parameters:

### File Input/Output

-t	--input=SDF	Specifies the SDF containing the reference genome.
	--machine=STRING	Sets the sequencing technology to model. (Must be one of [illumina_se, illumina_pe, complete_genomics, complete_genomics_2, 454_pe, 454_se, iontorrent]).
-o	--output=SDF	The name of the output SDF.

### Fragment Generation

	--abundance	Set when the taxonomy distribution represents desired abundance.
-N	--allow-unknowns	Set to allow reads to be drawn from reference genome fragments containing unknown nucleotides.
-c	--coverage=FLOAT	Set the desired coverage level, must be positive.
-D	--distribution=FILE	Specifies a file containing the probability distribution for sequence selection. Format is [probability][space][seq name].
	--dna-fraction	Set when the taxonomy distribution represents desired DNA fraction.
-M	--max-fragment-size=INT	Set the maximum fragment size. (Default is 250).
-m	--min-fragment-size=INT	Set the minimum fragment size. (Default is 200).
	--n-rate=FLOAT	Set the rate that the machine will generate new unknowns in the read. (Default is 0.0).
-n	--num-reads=INT	Set the exact number of reads to be generated.
	--taxonomy-distribution=FILE	Specifies a file containing a probability distribution for sequence selection expressed using taxonomy identifiers. Format is [probability][space][taxon id].

### Illumina PE

-L	--left-read-length=INT	Sets the read length on the left side for
----	------------------------	---

		Illumina paired end mode.
-R	--right-read-length=INT	Sets the read length on the right side for Illumina paired end mode.
<b>Illumina SE</b>		
-r	--read-length=INT	Sets the read length for Illumina single end mode.
<b>454 SE/PE</b>		
	--454-max-total-size=INT	Sets the maximum 454 read length. In paired end case the sum of the left and the right read lengths.
	--454-min-total-size=INT	Sets the minimum 454 read length. In paired end case the sum of the left and the right read lengths.
<b>IonTorrent SE</b>		
	--ion-max-total-size=INT	Sets the maximum IonTorrent read length.
	--ion-min-total-size=INT	Sets the minimum IonTorrent read length.
<b>Utility</b>		
	--comment=STRING	Specify a comment to include in the generated SDF.
-h	--help	Prints help on command-line flag usage.
	--no-names	Do not create read names in result SDF.
	--no-qualities	Do not create read qualities in result SDF.
-q	--qual-range=STRING	Set the range of base quality values permitted for example: 3-40. The default is fixed qualities corresponding to overall machine base error rate.
	--sam-rg=STRING FILE	Specifies a file containing a single valid read group SAM header line or a string in the form "@RG\tID:READGROUP1\tSM:BACT_SAMPLE\tPL:ILLUMINA".
-s	--seed=INT	Specify seed for the random number generator.

## Usage:

Create simulated reads from a reference genome by either specifying coverage depth or a total number of reads.

A typical use case involves creating a mutated genome by introducing SNPs or CNVs with `popsim`, and `samplesim`, generating reads from the mutated genome with `readsim`, and mapping them back to the original reference to verify the parameters used for mapping or variant detection.

The `--distribution` parameter allows you to specify the probability that a read will come from a particular named sequence for use with metagenomic databases. Probabilities are numbers between zero and one and must sum to one in the file.

See also: `cgsim`, `genomesim`, `popsim`, `samplesim`

## 2.10.4 readsimeval

### Synopsis:

Use the `readsimeval` command to examine the mapping accuracy of reads previously generated by the `readsim` command.

### Syntax:

```
$ rtg readsimeval [OPTION]... -o DIR -r FILE FILE+
```

### Example:

```
$ rtg readsimeval -t genome_ref -o map_rse -r reads_sd map/alignments.bam
```

### Parameters:

#### File Input/Output

<code>-M</code>	<code>--mutations-vcf=FILE</code>	VCF file containing genomic mutations to be compensated for.
<code>-o</code>	<code>--output=DIR</code>	The name of the output directory.
<code>-r</code>	<code>--reads=SDF</code>	Specifies the SDF containing the generated reads.
<code>-S</code>	<code>--sample=STRING</code>	Name of the sample to use from the mutation VCF file, will default to using the first sample in the file
	<code>FILE+</code>	SAM/BAM format alignment files. Must be specified.

#### Sensitivity Tuning

<code>--exclude-duplicates</code>	Exclude all SAM records flagged as a PCR or optical duplicate.
<code>--exclude-mated</code>	Exclude all mated SAM records.
<code>--exclude-unmated</code>	Exclude all unmated SAM records.
<code>--max-as-mated=INT</code>	If set, ignore mated SAM records with an alignment score (AS attribute) that exceeds this value.

<code>--max-as-unmated=INT</code>	If set, ignore unmated SAM records with an alignment score (AS attribute) that exceeds this value.
<code>--min-mapq=INT</code>	If set, ignore SAM records with MAPQ less than this value.
<code>-v --variance=INT</code>	Variation allowed in start position (Default is 0).

### Reporting

<code>--mapq-histogram</code>	Output histogram of MAPQ scores.
<code>--mapq-roc</code>	Output ROC table with respect to MAPQ scores.
<code>--score-histogram</code>	Output histogram of read alignment / generated scores.
<code>--verbose</code>	Provide more detailed breakdown of stats.

### Utility

<code>-h --help</code>	Prints help on command-line flag usage.
------------------------	---

### Usage:

This command can be used to evaluate the mapping accuracy on reads that have been generated by the `readsim` command. The ROC output files may be plotted with the `rocplot` command.

See also: `cgsim`, `readsim`, `rocplot`

## 2.10.5 popsim

### Synopsis:

Use the `popsim` command to generate a VCF containing simulated population variants. Each variant allele generated has an associated frequency INFO field describing how frequent in the population that allele is.

### Syntax:

```
$ rtg popsim [OPTION]... -o FILE -t SDF
```

### Example:

```
$ rtg popsim -o pop.vcf -t HUMAN_reference
```

### Parameters:

#### File Input/Output

<code>-o --output=FILE</code>	The output VCF file name.
<code>-t --reference=SDF</code>	The SDF containing the reference genome.

### Utility

-h	--help	Prints help on command-line flag usage.
-Z	--no-gzip	Set this flag to create the VCF output file without compression.
	--seed=INT	Set the seed for the random number generator.

### Usage:

The `popsim` command is used to create a VCF containing variants with frequency in population information that can be subsequently used to simulate individual samples using the `samplesim` command. The frequency in population is contained in a VCF `INFO` field called `AF`. The types of variants and the allele-frequency distribution has been drawn from observed variants and allele frequency distribution in human studies.

See also: `snpc`, `readsim`, `genomesim`, `samplesim`, `childsim`, `samlereplay`

## 2.10.6 samplesim

### Synopsis:

Use the `samplesim` command to generate a VCF containing a genotype simulated from population variants.

### Syntax:

```
$ rtg samplesim [OPTION]... -i FILE -o FILE -t SDF -s STRING
```

### Example:

From a population frequency VCF:

```
$ rtg samplesim -i pop.vcf -o 1samples.vcf -t HUMAN_reference -s person1
--sex male
```

From an existing simulated VCF:

```
$ rtg samplesim -i 1samples.vcf -o 2samples.vcf -t HUMAN_reference
-s person2 --sex female
```

### Parameters:

#### File Input/Output

-i	--input=FILE	The input VCF containing population variants.
-o	--output=FILE	The output VCF file name.
	--output-sdf=SDF	Set to output an SDF of the genome generated.
-t	--reference=SDF	The SDF containing the reference genome.
-s	--sample=STRING	The name for the sample.

## Utility

<code>-h</code>	<code>--help</code>	Prints help on command-line flag usage.
<code>-Z</code>	<code>--no-gzip</code>	Set this flag to create the VCF output file without compression.
	<code>--ploidy=STRING</code>	The ploidy to use when the reference genome does not contain a reference text file. (Must be one of [diploid, haploid]) (Default is diploid).
	<code>--seed=INT</code>	Set the seed for the random number generator.
	<code>--sex=SEX</code>	Specifies the sex of the individual. (Must be one of [male, female, either]).

## Usage:

The `samplesim` command is used to simulate an individual's genotype information from a population variant frequency VCF generated by the `popsim` command or by previous `samplesim` or `childsim` commands. The new output VCF will contain all the existing variants and samples with a new column for the new sample. The genotype at each record of the VCF will be chosen randomly according to the allele frequency specified in the AF field.

The ploidy for each genotype is generated according to the ploidy of that chromosome for the specified sex of the individual, as defined in the reference genome `reference.txt` file. For more information see Section 5.3.

The `--output-sdf` flag can be used to optionally generate an SDF of the individual's genotype which can then be used by the `readsim` command to simulate a read set for the individual.

**See also:** `snpsim`, `readsim`, `genomesim`, `popsim`, `childsim`, `samplereplay`

## 2.10.7 childsim

### Synopsis:

Use the `childsim` command to generate a VCF containing a genotype simulated as a child of two parents.

### Syntax:

```
$ rtg childsim [OPTION]... --father STRING -i FILE --mother STRING
-o FILE -t SDF -s STRING
```

### Example:

```
$ rtg childsim --father person1 --mother person2 -i 2samples.vcf
-o 3samples.vcf -t HUMAN_reference -s person3
```

### Parameters:

#### File Input/Output

<code>--father=STRING</code>	The name of the existing sample to use as the father.
------------------------------	---



-i	--input=FILE	The input VCF containing parent variants.
	--mother=STRING	The name of the existing sample to use as the mother.
-o	--output=FILE	The output VCF file name.
	--output-sdf=FILE	Set to output an SDF of the genome generated.
-t	--reference=SDF	The SDF containing the reference genome.
-s	--sample=STRING	The name for the new child sample.

### Utility

-h	--help	Prints help on command-line flag usage.
-Z	--no-gzip	Set this flag to create the VCF output file without compression.
	--num-crossovers=FLOAT	Set the likelihood of extra crossovers per chromosome. (Default is 0.01).
	--ploidy=STRING	The ploidy to use when the reference genome does not contain a reference text file. (Must be one of [diploid, haploid]) (Default is diploid).
	--seed=INT	Set the seed for the random number generator.
	--sex=SEX	Specifies the sex of the individual. (Must be one of [male, female, either]).
	--show-crossovers	Set to display information regarding haplotype selection and crossover points.

### Usage:

The `childsim` command is used to simulate an individual's genotype information from a VCF containing the two parent genotypes generated by previous `samplesim` or `childsim` commands. The new output VCF will contain all the existing variants and samples with a new column for the new sample.

The ploidy for each genotype is generated according to the ploidy of that chromosome for the specified sex of the individual, as defined in the reference genome `reference.txt` file. For more information see Section 5.3. The generated genotypes are all consistent with Mendelian inheritance (*de novo* variants can be simulated with the `denovosim` command).

The `--output-sdf` flag can be used to optionally generate an SDF of the child's genotype which can then be used by the `readsim` command to simulate a read set for the child.

**See also:** `snp`, `readsim`, `genomesim`, `popsim`, `samplesim`, `sample replay`

## 2.10.8 samplereplay

### Synopsis:

Use the `samplereplay` command to generate the genome SDF corresponding to a sample genotype in a VCF file.

### Syntax:

```
$ rtg samplereplay [OPTION]... -i FILE -o SDF -t SDF -s STRING
```

### Example:

```
$ rtg samplereplay -i 3samples.vcf -o child.sdf -t HUMAN_reference  
-s person3
```

### Parameters:

#### File Input/Output

<code>-i</code>	<code>--input=FILE</code>	The input VCF file containing the sample genotype.
<code>-o</code>	<code>--output=SDF</code>	The name of the output genome SDF.
<code>-t</code>	<code>--reference=SDF</code>	The SDF containing the reference genome.
<code>-s</code>	<code>--sample=STRING</code>	The name of the sample to select from the VCF.

#### Utility

<code>-h</code>	<code>--help</code>	Prints help on command-line flag usage.
-----------------	---------------------	---

### Usage:

The `samplereplay` command can be used to generate an SDF of a genotype for a given sample from an existing VCF file. This can be used to generate a genome from the outputs of the `samplesim` and `childsim` commands. The output genome can then be used in simulating a read set for the sample using the `readsim` command.

Every chromosome for which the individual is diploid will have two sequences in the resulting SDF.

See also: `snp`, `readsim`, `genomesim`, `popsim`, `samplesim`, `childsim`

## 2.11 Utility Commands

### 2.11.1 bgzip

#### Synopsis:

Block compress a file or decompress a block compressed file. Block compressed outputs from the mapping and variant detection commands can be indexed with the `index` command. They can also be processed with standard gzip tools such as `gunzip` and `zcat`.

**Syntax:**

```
$ rtg bgzip [OPTION]... FILE+
```

**Example:**

```
$ rtg bgzip alignments.sam
```

**Parameters:****File Input/Output**

-l	--compression-level=INT	the compression level to use, between 1 (least but fast) and 9 (highest but slow) (Default is 5)
-d	--decompress	Set to decompress the input file.
-f	--force	Overwrite the output file if it already exists.
	--no-terminate	if set, do not add the block gzip termination block
-c	--stdout	Write output to standard output, keep the original files unchanged. Implied when using standard input.
	FILE+	Specifies the file to be compressed or decompressed. Use '-' to read from standard input. Must be specified 1 or more times.

**Utility**

-h	--help	Prints help on command-line flag usage.
----	--------	---

**Usage:**

Use the `bgzip` command to block compress files. Files such as VCF, BED, SAM, TSV must be block-compressed before they can be indexed for fast retrieval of records corresponding to specific genomic regions.

**See also:** `index`

## 2.11.2 [index](#)

**Synopsis:**

Create tabix index files for block compressed TAB-delimited genome position data files or BAM index files for BAM files.

**Syntax:**

Multi-file input specified from command line:

```
$ rtg index [OPTION]... -f FORMAT FILE+
```

Multi-file input specified in a text file:

```
$ rtg index [OPTION]... -f FORMAT -I FILE
```

### Example:

```
$ rtg index -f sam alignments.sam.gz
```

### Parameters:

#### File Input/Output

-f	--format=FORMAT	Specifies format of the input files to be indexed. (Must be one of [sam, bam, sv, coveragetsv, bed, vcf]).
-I	--input-list-file=FILE	Specifies a file containing a list of block compressed files (1 per line) containing data in the specified genome position format.
	FILE+	Specifies a block compressed file containing data in the specified genome position format to be indexed. May be specified 0 or more times.

#### Utility

-h	--help	Prints help on command-line flag usage.
----	--------	---

### Usage:

Use the `index` command to produce tabix indexes for block compressed genome position data files like SAM files and the output from `sv`, `discord`, `coverage` and `snp` commands. The `index` command can also be used to produce BAM indexes for BAM files with no index.

**See also:** `map`, `coverage`, `snp`, `sv`, `discord`, `extract`, `bgzip`

## 2.11.3 denovosim

### Synopsis:

Use the `denovosim` command to generate a VCF containing a derived genotype containing *de novo* variants.

### Syntax:

```
$ rtg denovosim [OPTION]... -i FILE --original STRING -o FILE -t SDF
-s STRING
```

### Example:

```
$ rtg denovosim -i sample.vcf --original personA -o 2samples.vcf
-t HUMAN_reference -s personB
```

### Parameters:

#### File Input/Output

-i	--input=FILE	The input VCF containing parent variants.
	--original=STRING	The name of the existing sample to use as the original genotype.
-o	--output=FILE	The output VCF file name.

	<code>--output-sdf=FILE</code>	Set to output an SDF of the genome generated.
<code>-t</code>	<code>--reference=SDF</code>	The SDF containing the reference genome.
<code>-s</code>	<code>--sample=STRING</code>	The name for the new derived sample.
<b>Utility</b>		
<code>-h</code>	<code>--help</code>	Prints help on command-line flag usage.
<code>-Z</code>	<code>--no-gzip</code>	Set this flag to create the VCF output file without compression.
	<code>--num-mutations=INT</code>	Set the expected number of mutations per genome. (Default is 70).
	<code>--ploidy=STRING</code>	The ploidy to use when the reference genome does not contain a reference text file. (Must be one of [diploid, haploid]) (Default is diploid).
	<code>--seed=INT</code>	Set the seed for the random number generator.
	<code>--show-mutations</code>	Set this flag to display information regarding de novo mutation points.

### Usage:

The `denovosim` command is used to simulate a derived genotype containing *de novo* variants from a VCF containing an existing genotype. The new output VCF will contain all the existing variants and samples with a new column for the new sample.

The `--output-sdf` flag can be used to optionally generate an SDF of the derived genotype which can then be used by the `readsim` command to simulate a read set for the new genotype.

See also: `snpsim`, `readsim`, `genomesim`, `popsim`, `samplesim`, `samplereplay`

## 2.11.4 extract

### Synopsis:

Extract specified parts of an indexed block compressed genome position data file.

### Syntax:

Extract whole file:

```
$ rtg extract [OPTION]... FILE
```

Extract specific regions:

```
$ rtg extract [OPTION]... FILE STRING+
```

**Example:**

```
$ rtg extract alignments.bam 'chr1:10000+10'
```

**Parameters:****File Input/Output**

FILE	The indexed block compressed genome position data file to extract.
------	--

**Filtering**

STRING+	Specifies the region to display. The format is one of <sequence_name>, <sequence_name>:start-end or <sequence_name>:start+length. May be specified 0 or more times.
---------	---

**Reporting**

--header	Set to also display the file header.
--header-only	Set to only display the file header.

**Utility**

-h    --help	Prints help on command-line flag usage.
--------------	---

**Usage:**

Use the `extract` command to view specific parts of indexed block compressed genome position data files.

**See also:** `map`, `coverage`, `snp`, `sv`, `index`, `bgzip`

## 2.11.5    [aview](#)

**Synopsis:**

View read mapping and variants corresponding to a region of the genome, with output as ASCII to the terminal, or HTML.

**Syntax:**

```
$ rtg aview [OPTION]... --region STRING -t SDF FILE+
```

**Example:**

```
$ rtg aview -t hg19 -b omni.vcf -c calls.vcf map/alignments.bam -region  
Chr10:100000+3 -padding 30
```

**Parameters:****File Input/Output**

-b    --baseline=FILE	VCF file containing baseline variants
-B    --bed=FILE	BED file containing regions to overlay. May be specified 0 or more times.

-c	--calls=FILE	VCF file containing called variants. May be specified 0 or more times.
-I	--input-list-file=FILE	file containing a list of SAM/BAM format files (1 per line).
-r	--reads=SDF	read SDF (only needed to indicate correctness of simulated read mappings). May be specified 0 or more times.
-t	--template=SDF	reference SDF to which mappings and variants apply
	FILE+	The indexed block compressed genome position data file to extract.

### Filtering

-p	--padding=INT	Padding around region of interest. Default is to automatically determine padding to avoid read truncation.
	--region=STRING	Specifies the region to display. The format is one of <sequence_name>, <sequence_name>:start-end or <sequence_name>:start+length. May be specified 0 or more times.
	--sample=STRING	Specify name of sample to select. May be specified 0 or more times.

### Reporting

	--html	Output as HTML.
	--no-color	Do not use colors.
	--no-dots	Display nucleotide instead of dots.
	--print-cigars	Print alignment cigars.
	--print-mapq	Print alignment MAPQ values.
	--print-mate-position	Print mate position.
	--print-names	Print read names.
	--print-readgroup	Print read group id for each alignment.
	--print-reference-line=INT	print reference line every N lines (Default is 0).
	--project-track=INT	If set, project highlighting for the specified track down through reads. Default projects the union of tracks.
	--sort-readgroup	Sort reads first on read group and then

	on start position.
<code>--sort-reads</code>	Sort reads on start position.
<code>--unflatten</code>	display unflattened CGI reads when present

### Utility

<code>-h</code>	<code>--help</code>	Prints help on command-line flag usage.
-----------------	---------------------	---

### Usage:

Use the `aview` command to display a textual view of mappings and variants corresponding to a small region of the reference genome. This is useful when examining evidence for variant calls in a server environment where a graphical display application such as IGV is not available. The `aview` command is easy to script in order to output displays for multiple regions for later viewing (either as text or HTML).

**See also:** `map`, `snp`

## 2.11.6 sdfstats

### Synopsis:

Print statistics that describe a directory of SDF formatted data.

### Syntax:

```
$ rtg sdfstats [OPTION]... SDF+
```

### Example:

```
$ rtg sdfstats human_READS_SDF

Location          : C:\human_READS_SDF
Parameters        : format -f solexa -o human_READS_SDF
                   c:\users\Elle\human\SRR005490.fastq.gz
SDF Version       : 6
Type              : DNA
Source            : SOLEXA
Paired arm        : UNKNOWN
Number of sequences: 4193903
Maximum length    : 48
Minimum length    : 48
N                 : 931268
A                 : 61100096
C                 : 41452181
G                 : 45262380
T                 : 52561419
Total residues    : 201307344
Quality scores available on this SDF
```

### Parameters:

#### File Input/Output

<code>SDF+</code>	Specifies an SDF on which statistics are to be reported. May be specified 1 or more times.
-------------------	--



### Reporting

	<code>--lengths</code>	Set to print out the name and length of each sequence. (Not recommended for read sets).
<code>-p</code>	<code>--position</code>	Set to include information about unknown bases (Ns) by read position.
<code>-q</code>	<code>--quality</code>	Set to display mean of quality.
	<code>--sex=SEX</code>	Set to display the reference sequence list for the given sex. (Must be one of [male, female, either]). May be specified 0 or more times.
	<code>--taxonomy</code>	Set to display information about the taxonomy.
<code>-n</code>	<code>--unknowns</code>	Set to include information about unknown bases (Ns).

### Utility

<code>-h</code>	<code>--help</code>	Prints help on command-line flag usage.
-----------------	---------------------	---

### Usage:

Use the `sdfstats` command to get information about the contents of SDFs.

**See also:** `format`, `cg2sdf`, `sdf2fasta`, `sdf2fastq`, `sdfstats`, `sdfsplitt`

## 2.11.7 sdfsplit

### Synopsis:

Split SDF data into multiple equal segments, for parallel processing on a computer cluster when running commands that do not directly support processing a subset of a data set.

### Syntax:

Command line SDF list:

```
$ rtg sdfsplit [OPTION]... -n INT -o DIR SDF+
```

File-based SDF list:

```
$ rtg sdfsplit [OPTION]... -n INT -o DIR -I FILE
```

### Example:

```
$ rtg sdfsplit -n 260000 reads -o split_reads
```

### Parameters:

#### File Input/Output

<code>-I</code>	<code>--input-list-file=FILE</code>	Specifies a file containing a list of input SDFs (one per line).
<code>-o</code>	<code>--output=DIR</code>	Specifies the directory that will contain

the split output bases (must be empty if present).

SDF+ Specifies an input SDF. May be specified 0 or more times.

### Utility

--allow-duplicate-names	Set to disable duplicate name detection. Use this if you need to use less memory and you are certain there are no duplicate names in the input.
-h --help	Prints help on command-line flag usage.
--in-memory	Process in memory instead of from disk. (Faster but requires more RAM).
-n --num-sequences	Specifies the number of sequences allowed in each SDF. Generally, this command is used to split up read data sets of considerable size.

### Usage:

Use the `sdfsplit` command to break up very large read data sets into manageable chunks for processing. Use `-o` to specify the top level output directory and specify the input directories as a space separated list of paths. The subdirectories are constructed underneath the top level output directory.

The `-n` flag specifies the sequence count in each of the newly created SDF directories. Select the value here to match the RAM availability on the server node used for mapping and alignment.

The `-I, --input-list-file` flag allows aggregation of multiple SDF directories into one large data set, which can then be split into chunks of appropriate size for the machine configuration available.

For example, an organization has been using server nodes with 48GB of RAM. They split up the read data sets to optimize processing in this environment. Next year, they buy new server nodes with 96GB of RAM. They want to rerun the reads against a new reference, so they use all of the existing read data set SDF directories as input into `sdfsplit` and create new SDF directories with more reads in each.

Several RTG commands, like `map`, now have `--start-read` and `--end-read` flag options that may be preferable to using `sdfsplit` in most situations.

**See also:** `format`, `cg2sdf`, `sdf2fasta`, `sdfstats`, `sdfsplit`

## 2.11.8 sdfsubset

### Synopsis:

Extracts a specified subset of sequences from one SDF and outputs them to another SDF.

## Syntax:

Individual specification of sequence ids:

```
$ rtg sdfsubset [OPTION]... -i SDF -o SDF STRING+
```

File list specification of sequence ids:

```
$ rtg sdfsubset [OPTION]... -i SDF -o SDF -I FILE
```

## Example:

```
$ rtg sdfsubset -i reads -o subset_reads 10 20 30 40 50
```

## Parameters:

### File Input/Output

<code>-i</code>	<code>--input=SDF</code>	Specifies the input SDF.
<code>-o</code>	<code>--output=SDF</code>	The name of the output SDF.

### Filtering

<code>--end-id=INT</code>	Only output sequences with sequence id less than the given number. (Sequence ids start at 0).
<code>--start-id=INT</code>	Only output sequences with sequence id greater than or equal to the given number. (Sequence ids start at 0).
<code>-I</code> <code>--id-file=FILE</code>	Name of a file containing a list of sequences to extract, one per line.
<code>--names</code>	Interpret any specified sequence as names instead of numeric sequence ids.
<code>STRING+</code>	Specifies the sequence id, or sequence name if the names flag is set to extract from the input SDF. May be specified 0 or more times.

### Utility

<code>-h</code>	<code>--help</code>	Prints help on command-line flag usage.
-----------------	---------------------	---

## Usage:

Use this command to obtain a subset of sequences from an SDF. Either specify the subset on the command line as a list of space-separated sequence ids or using the `--id-file` parameter to specify a file containing a list of sequence ids, one per line. Sequence ids start from zero and are the same as the ids that `map` uses by default in the `QNAME` field of its BAM files.

For example:

```
$ rtg sdfsubset -i reads -o subset_reads 10 20 30 40 50
```

This will produce an SDF called `subset_reads` with sequences 10, 20, 30, 40 and 50 from the original SDF contained in it.

**See also:** `sdfsubseq`, `sdfstats`

## 2.11.9 sdfsubseq

### Synopsis:

Prints a subsequence of a given sequence in an SDF.

### Syntax:

Print sequences from sequence names:

```
$ rtg sdfsubseq [OPTION]... -i FILE STRING+
```

Print sequences from sequence ids:

```
$ rtg sdfsubseq [OPTION]... -i FILE -I STRING+
```

### Example:

```
$ rtg sdfsubseq -i reads -I 0:1+100
```

### Parameters:

#### File Input/Output

**-i**     **--input=FILE**                      Specifies the input SDF.

#### Filtering

**-I**     **--sequence-id**                      Set to use sequence id instead of sequence name in region flag (0-based).

STRING+                                      Specifies the region to display. The format is one of <sequence\_name>, <sequence\_name>:start-end or <sequence\_name>:start+length. Must be specified 1 or more times

#### Utility

**-f**     **--fasta**                              Set to output in FASTA format.

**-q**     **--fastq**                              Set to output in FASTQ format.

**-h**     **--help**                               Prints help on command-line flag usage.

**-r**     **--reverse-complement**               Set to output in reverse complement.

### Usage:

Prints out the nucleotides or amino acids of specified regions in a set of sequences.

For example:

```
$ rtg sdfsubseq --input reads --sequence-id 0:1+20
AGGCGTCTGCAGCCGACGCG
```

**See also:** sdfsubset, sdfstats

## 2.11.10 sam2bam

### Synopsis:

Convert coordinate sorted SAM/BAM format files to a BAM format file with index.

### Syntax:

```
$ rtg sam2bam [OPTION]... -o FILE FILE+
```

### Example:

```
$ rtg sam2bam -o alignments.bam alignments.sam.gz
```

### Parameters:

#### File Input/Output

<code>-o</code>	<code>--output=FILE</code>	Specifies the output BAM file. Use '-' to write to standard output.
	<code>FILE+</code>	Specifies a SAM/BAM result file (must be coordinate sorted). Must be specified 1 or more times.

#### Utility

<code>-h</code>	<code>--help</code>	Prints help on command-line flag usage.
-----------------	---------------------	---

### Usage:

Use `sam2bam` to convert SAM/BAM files containing mapped reads to BAM format.

**See also:** `map`, `cgmap`, `sammerge`

## 2.11.11 sammerge

### Synopsis:

Merge and filter coordinate sorted SAM/BAM files into one SAM/BAM output.

### Syntax:

Multi-file input specified from command line:

```
$ rtg sammerge [OPTION]... FILE+
```

Multi-file input specified in a text file:

```
$ rtg sammerge [OPTION]... -I FILE
```

### Example:

```
$ rtg sammerge alignments1.bam alignments2.bam -o alignments.bam
```

### Parameters:

#### File Input/Output

<code>-I</code>	<code>--input-list-file=FILE</code>	Specifies a file containing a list of SAM/BAM format files (one per line) containing mapped reads (must be
-----------------	-------------------------------------	--

coordinate sorted).

- o    --output=FILE                      Specifies the output file for the SAM/BAM output. If not set or '-' specified, will print the SAM to standard output.
- FILE+                                      Specifies a SAM/BAM result file (must be coordinate sorted). May be specified 0 or more times.

### **Sensitivity Tuning**

- bed-regions                              BED file containing regions to process
- exclude-duplicates                      Set to exclude all SAM records flagged as a PCR or optical duplicate.
- exclude-mated                            Set to exclude all mated SAM records.
- exclude-unmapped                        Set to exclude all unmapped SAM records.
- exclude-unmated                         Set to exclude all unmated SAM records.
- exclude-unplaced                        Set to exclude all SAM records with no alignment position.
- F    --filter-flags=INT                   Set a decimal mask indicating SAM FLAG bits that must not be set for the record.
- m    --max-as-mated=INT                   Set to ignore mated SAM records with an alignment score (AS attribute) that exceeds this amount.
- u    --max-as-unmated=INT                Set to ignore unmated SAM records with an alignment score (AS attribute) that exceeds this amount.
- c    --max-hits=INT                       Set to ignore SAM records with an alignment count that exceeds this amount.
- min-mapq=INT                      Set to ignore SAM records with MAPQ less than this value.
- region=STRING                     Set to only process SAM records within the specified range. The format is one of <sequence\_name>, <sequence\_name>:start-end or <sequence\_name>:start+length.
- f    --require-flags=INT                  Set a decimal mask indicating SAM FLAG bits that must be set for the record.

### **Utility**

- h    --help                               Prints help on command-line flag usage.
- legacy-cigars                      Produce cigars in legacy format (using M instead of X or =) in SAM/BAM output.

<code>-Z</code>	<code>--no-gzip</code>	Set this flag to create the output SAM file without compression. By default the output SAM file is compressed with tabix compatible blocked gzip.
	<code>--no-index</code>	Set this flag to not produce the index for the SAM/BAM output file.
<code>-T</code>	<code>--threads=INT</code>	Specify the number of threads to use in a multi-core processor. (Default is all available cores).

### Usage:

Use this command to merge multiple sorted SAM/BAM files into one sorted SAM/BAM file. It can also be used to produce a filtered set of SAM records based on the tuning criteria. If the extension of the given output file name is `.bam` the output will be in BAM format instead of SAM format.

**See also:** `map`, `cgmap`, `samstats`

## 2.11.12 `samstats`

### Synopsis:

Print alignment statistics from the contents of the output SAM/BAM file.

### Syntax:

```
$ rtg samstats [OPTION]... -t SDF FILE+
```

### Example:

```
$ rtg samstats -t genome -i alignments.bam
```

### Parameters:

#### File Input/Output

<code>-I</code>	<code>--input-list-file=FILE</code>	Specifies a file containing a list of SAM/BAM format files (one per line) containing mapped reads.
<code>-r</code>	<code>--reads=SDF</code>	Specifies the SDF containing the reads.
<code>-t</code>	<code>--template=SDF</code>	Specifies the reference genome SDF.
	<code>FILE+</code>	Specifies a SAM/BAM result file (must contain read-ids not read names). May be specified 0 or more times.

#### Reporting

	<code>--consensus</code>	Set to record consensus data. Requires roughly 5x reference genome length of RAM.
<code>-D</code>	<code>--distributions</code>	Set to display distributions of insert sizes, alignment scores and read hits.

<code>--per-file</code>	Set to output per-file statistics as well as the summary of all SAM/BAM files.
<code>--validate</code>	Set to validate mapping of read to reference. Tests matching of bases according to CIGAR format.

#### Utility

<code>-h</code> <code>--help</code>	Prints help on command-line flag usage.
-------------------------------------	---

#### Usage:

Use the `samstats` command to display information about a SAM/BAM file and the mapping run that created it. When used without the original reads, `samstats` reports on the file contents: total records, number unmapped and percentage accuracy of alignments compared to the reference.

When the original reads are included with the `-r` flag, the command reports more information about this particular SAM/BAM file in the context of the entire read data set. This choice reports: reads reported one or more times in the SAM/BAM file compared to the total number of reads in the SDF, the number of reads mapped at a single location (i.e. uniquely), the maximum number of records reported for a read set by the `--max-top-results` flag in the `map` command, and counts of the number of reads mapped at each top results level up to the maximum allowed.

For paired-end reads, the command additionally reports a distribution for the direction of the mate pairs: FF (forward-forward), RF (reverse-forward), FR (forward-reverse), and RR (reverse-reverse).

Add the `--consensus` flag to report the coverage depth across the entire alignment file and a consensus percentage. Consensus measures percentage agreement of alignments at base pair locations across the reference.

Set the `--distributions` flag to report summary detail on the number of reads mapped by alignment score (AS field). For mated paired-end reads, a distribution of insert size is reported.

Set the `--validate` flag to force the reporting of problems in the alignments file.

**See also:** `sdfstats`

### 2.11.13 `samrename`

#### Synopsis:

Replace read identifiers (QNAME field) in a SAM/BAM file generated by the RTG `map` command with the sequence identifiers from the original sequence file.

#### Syntax:

```
$ rtg samrename [OPTION]... -i SDF FILE
```

#### Example:

```
$ rtg samrename -i reads alignments.bam
```



## Parameters:

### File Input/Output

<code>-i</code>	<code>--input=SDF</code>	Specifies the SDF containing the reads in the SAM/BAM file.
<code>-o</code>	<code>--output=FILE</code>	Specifies the name for the output SAM/BAM file.
	<code>FILE</code>	Specifies the input SAM/BAM file.

### Filtering

<code>--end-read=INT</code>	Set the exclusive upper bound of the read id set to rename.
<code>--start-read=INT</code>	Set the inclusive lower bound of the read id set to rename.

### Utility

<code>-h</code>	<code>--help</code>	Prints help on command-line flag usage.
<code>-Z</code>	<code>--no-gzip</code>	Set this flag to create the SAM output file without compression. By default the output file is compressed with tabix compatible blocked gzip.
	<code>--no-index</code>	Set this flag to not produce the index for the SAM/BAM output file.

## Usage:

By default the `map` and `cgmap` commands will populate the SAM/BAM output files with internal numeric read identifiers rather than the original read names. The `samrename` command replaces those internal read identifiers with the original read names. If the output file is not specified, the command creates the new file in the same directory as the input file, adding `_rename` to the file name. For example, `alignments.bam` becomes `alignments_rename.bam`.

**See also:** `map`, `samstats`

## 2.11.14 mapxrename

### Synopsis:

Replaces read identifiers (`read-id` field) in a `mapx` output file generated by the RTG `mapx` command with the sequence identifiers from the original sequence file.

### Syntax:

```
$ rtg mapxrename [OPTION]... -i SDF FILE
```

### Example:

```
$ rtg mapxrename -i human_protein_reads mapx_out.txt.gz
```

## Parameters:

### File Input/Output

<code>-i</code>	<code>--input=SDF</code>	Specifies the SDF containing the reads in the mapx file.
<code>-o</code>	<code>--output=FILE</code>	Specifies the name for the output mapx file.
	<code>FILE</code>	Specifies the input mapx file.

### Utility

<code>-h</code>	<code>--help</code>	Prints help on command-line flag usage.
-----------------	---------------------	---

## Usage:

By default the `mapx` command will populate the output files with internal numeric read identifiers rather than the original read names. The `mapxrename` command replaces those internal read identifiers with the original read names. If the output file is not specified, the command creates the new file in the same directory as the input file, adding `_rename` to the file name. For example, `alignments.tsv.gz` becomes `alignments_rename.tsv.gz`.

See also: `mapx`

## 2.11.15 chrstats

### Synopsis:

The `chrstats` command checks chromosome coverage levels based on calibration files and produces warnings if levels depart from expected coverage levels.

### Syntax:

```
$ rtg chrstats [OPTION]... -t SDF FILE+
```

### Example:

Check all samples using sex information from pedigree:

```
$ rtg chrstats -t genome_ref --pedigree ceu_trio.ped trio_map/alignments.bam
```

sample	specified	consistent	possible	coverage
NA12892	FEMALE	true		56.00
NA12891	MALE	true		51.75
NA12878	FEMALE	true		58.11

Check a single sample without pedigree:

```
$ rtg chrstats -t genome_ref --sample NA12878 --sex=female NA12878_map/alignments.bam
```

sample	specified	consistent	possible	coverage
NA12878	MALE	false	FEMALE	58.34

(2 of 25 sequences have unexpected coverage level)

## Parameters:

### File Input/Output

<code>-I</code>	<code>--input-list-file=FILE</code>	File containing a list of SAM/BAM format
-----------------	-------------------------------------	--

		files (1 per line) containing mapped reads.
-t	--template=SDF	SDF containing reference genome.
	FILE+	alignment files to process. Must be specified 1 or more times

### Sensitivity Tuning

-s	--sample=STRING	the name of the sample to check (required when checking single sample from multiple samples alignments)
	--sex=SEX	sex setting that the individual was specified as during mapping (when not using pedigree) (Must be one of [male, female, either]) (Default is either)
	--pedigree=FILE	Genome relationships PED file containing sample sex information.
	--sex-z-threshold=NUM	The z-score deviation threshold for sex chromosome consistency (Default is 5.0)
	--z-threshold=NUM	The z-score deviation threshold for chromosome consistency (Default is 10.0)

### Utility

-h	--help	Prints help on command-line flag usage.
----	--------	---

### Usage:

Given a set of alignments which represent genomic mapping for one or more samples, the `chrstats` command examines chromosomal coverage levels and checks their expected levels with respect to each other. This can be used to indicate gross chromosomal abnormalities, or cases where the sample sex does not match expected (e.g. due to sample mislabelling, incorrect pedigree sex information, etc)

To ensure correct identification of expected ploidy on autosomes and sex chromosomes it is necessary to specify a template containing an appropriate `reference.txt` file. See Section 5.3 for more information on `reference.txt` files.

While it is best to give the template used during mapping, for checking third-party outputs any template containing the same chromosome names and an appropriate `reference.txt` file will work. Note that the input alignment files must have calibration information, as automatically produced during mapping by the `map` or `cgmap` commands, or explicitly created by the `calibrate` command.

This command can be used with the results of either whole genome or exome sequencing, although the latter requires that mapping (or subsequent calibration) employed the `--bed-regions` flag.

**See also:** `map`, `cgmap`, `calibrate`

## 2.11.16 mendelian

### Synopsis:

The `mendelian` command checks a multi-sample VCF file for variant calls which do not follow Mendelian inheritance, and compute aggregate sample concordance.

### Syntax:

```
$ rtg mendelian [OPTION]... -i FILE -t SDF
```

### Example:

```
$ rtg mendelian -i family.vcf.gz -t genome_ref
```

### Parameters:

#### File Input/Output

<code>-i</code>	<code>--input=FILE</code>	VCF file containing the multiple sample variant calls. Use '-' to read from standard input.
	<code>--output=FILE</code>	Set to output annotated calls to this VCF file.
	<code>--output-consistent=FILE</code>	Set to output only consistent calls to this VCF file.
	<code>--output-inconsistent=FILE</code>	Set to output only non-Mendelian calls to this VCF file.
<code>-t</code>	<code>--template=SDF</code>	SDF containing template to which was used to create the VCF.

#### Sensitivity Tuning

<code>-l</code>	<code>--lenient</code>	Set to allow homozygous diploid variant calls in place of haploid calls and assume missing values are equal to the reference.
	<code>--all-records</code>	Use all records, regardless of filters. Default is to only process records where FILTER is "." or "PASS".
	<code>--min-concordance=FLOAT</code>	The percentage concordance required for parentage to be considered as consistent. The default is 99.0.
	<code>--pedigree=FILE</code>	Specify a genome relationships PED file. The default is to extract pedigree information from the VCF header fields.

#### Utility

<code>-h</code>	<code>--help</code>	Prints help on command-line flag usage.
<code>-Z</code>	<code>--no-gzip</code>	Set this flag to create the VCF output file without compression. By default the output file is compressed with blocked

gzip.

### Usage:

Given a multi-sample VCF file for a nuclear family with a defined pedigree, the `mendelian` command examines the variant calls and outputs the number of violations of Mendelian inheritance. If the `--output-inconsistent` parameter is set, all detected violations are written into an output VCF file. As such, this command may be regarded as a VCF filter, outputting those variant calls needing a non-Mendelian explanation. Such calls may be the consequence of sequencing error, calling on low-coverage, or genuine novel variants in one or more individuals.

Pedigree information regarding the relationships between samples and the sex of each sample is extracted from the VCF headers automatically created by the RTG pedigree-aware variant calling commands. If this pedigree information is absent from the VCF header or is incorrect, a pedigree file can be explicitly supplied with the `--pedigree` flag.

To ensure correct behavior when dealing with sex chromosomes it is necessary to specify a template and ensure the sex of each sample is supplied as part of the pedigree information. While it is best to give the template used in the creation of the VCF, for checking third-party outputs any template containing the same chromosome names and an appropriate `reference.txt` file will work.

Particularly when evaluating VCF files that have been produced by third party tools or when the VCF is the result of combining independent per-sample calling, you can end up with situations where calls are not available for every member of the family. Under normal circumstances these will be reported as an allele count constraint violation. It is possible to treat missing values as equal to the reference by using the `--lenient` parameter. Note that while this approach will be correct in most cases, it will give inaccurate results where the calling between different samples has reported the variant in an equivalent but slightly different position or representation (e.g. positioning of indels within homopolymer regions, differences of representation such as splitting MNPs into multiple SNPs etc).

The `mendelian` command computes overall concordance between related samples to assist detecting cases where pedigree has been incorrectly recorded or samples have been mislabelled. For each child in the pedigree, pairwise concordance is computed with respect to each parent by identifying diploid calls where the parent does not contain either allele called in the child. Low pairwise concordance with a single parent may indicate that the parent is the source of the problem, whereas low pairwise concordance with both parents may indicate that the child is the source of the problem. A stricter three-way concordance is also recorded.

By default, only VCF records with the `FILTER` field set to `PASS` or missing are processed. All variant records can be examined by specifying the `--all-records` parameter.

**See also:** `family`, `population`, `vcfstats`

### 2.11.17 `vcfstats`

#### Synopsis:

Display simple statistics about the contents of a set of VCF files.

#### Syntax:

```
$ rtg vcfstats [OPTION]... FILE+
```

## Example:

```
$ rtg vcfstats /data/human/wgs/NA19240/snp_chr5.vcf.gz

Location                : /data/human/wgs/NA19240/snp_chr5.vcf.gz
Passed Filters          : 283144
Failed Filters          : 83568
SNPs                    : 241595
MNPs                    : 5654
Insertions              : 15424
Deletions               : 14667
Indels                  : 1477
Unchanged               : 4327
SNP Transitions/Transversions: 1.93 (210572/108835)
Total Het/Hom ratio     : 2.13 (189645/89172)
SNP Het/Hom ratio       : 2.12 (164111/77484)
MNP Het/Hom ratio       : 3.72 (4457/1197)
Insertion Het/Hom ratio : 1.69 (9695/5729)
Deletion Het/Hom ratio  : 2.33 (10263/4404)
Indel Het/Hom ratio     : 3.13 (1119/358)
Insertion/Deletion ratio : 1.05 (15424/14667)
Indel/SNP+MNP ratio     : 0.13 (31568/247249)
```

## Parameters:

### File Input/Output

<code>--known</code>	Set to only calculate statistics for known variants.
<code>--novel</code>	Set to only calculate statistics for novel variants.
<code>--sample=FILE</code>	Set to only calculate statistics for the specified sample. (Default is to include all samples). May be specified 0 or more times.
<code>FILE+</code>	VCF file from which to derive statistics. Use '-' to read from standard input. Must be specified 1 or more times.

### Reporting

<code>--allele-lengths</code>	Set to output variant length histogram.
-------------------------------	---

### Utility

<code>-h</code> <code>--help</code>	Prints help on command-line flag usage.
-------------------------------------	---

## Usage:

Use the `vcfstats` command to display summary statistics for a set of VCF files. If a VCF file contains multiple sample columns, the statistics for each sample are shown individually.

**See also:** `snp`, `family`, `somatic`, `vcfmerge`, `discord`

## 2.11.18 vcfmerge

### Synopsis:

Combines the contents of two or more VCF files. The `vcfmerge` command can concatenate the outputs of per-chromosome variant detection runs to create a complete genome VCF file, and also merge VCF outputs from multiple samples to form a multi-sample VCF file.

### Syntax:

```
$ rtg vcfmerge [OPTION]... -o FILE FILE+
```

### Example:

```
$ rtg vcfmerge -o merged.vcf.gz snp1.vcf.gz snp2.vcf.gz
```

### Parameters:

#### File Input/Output

<code>-a</code>	<code>--add-header=STRING</code>	Add the supplied text to the output VCF header. May be specified 0 or more times.
<code>-o</code>	<code>--output=FILE</code>	The output VCF file name. Use '-' to write to standard output.
	<code>FILE+</code>	VCF files to be merged. Must be specified 1 or more times.

#### Utility

<code>-f</code>	<code>--force-merge=STRING</code>	Set to allow merging of specified header ID even when descriptions do not match. May be specified 0 or more times.
<code>-F</code>	<code>--force-merge-all</code>	Attempt merging of all non-matching header declarations.
<code>-h</code>	<code>--help</code>	Prints help on command-line flag usage.
<code>-Z</code>	<code>--no-gzip</code>	Set this flag to create the VCF output file without compression. By default the output file is compressed with blocked gzip.
	<code>--no-index</code>	Set this flag to not produce the index for the VCF output file.
	<code>--preserve-formats</code>	If set, variants with different ALTs and unmergeable FORMAT fields will be kept unmerged (Default is to remove those FORMAT fields so the variants can be combined).
	<code>--stats</code>	Set to output statistics for the merged VCF file.

## Usage:

The `vcfmerge` command takes a list of VCF files and outputs to a single VCF file. The input files must have consistent header lines, although similar header lines can be forced to merge using the `--force-merge` parameter. Each VCF file must be block compressed and have a corresponding tabix index file, which is the default for outputs from RTG variant detection tools, but may also be created from an existing VCF file using the RTG `bgzip` and `index` commands.

There are two primary usage scenarios for the `vcfmerge` command. The first is to combine input VCFs corresponding to different genomic regions (for example, if variant calling was carried out for each chromosome independently on different nodes of a compute cluster). The second scenario is when combining VCFs containing variant calls for different samples (e.g. combining calls made for separate cohorts into a single VCF). If the input VCFs contain multiple calls at the same position for the same sample, a warning is issued and only the first is kept.

When multiple records occur at the same position and the length on the reference is the same, the records will be merged into a single record. If the merge results in a change in the set of ALT alleles, any VCF `FORMAT` fields declared to be of type 'A', 'G', or 'R' will be set to the missing value ('.'), as they cannot be meaningfully updated. The `--preserve-formats` flag prevents this loss of information by refusing to merge the records (separate records will be output).

**See also:** `snp`, `family`, `population`, `somatic`, `discord`, `bgzip`, `index`

## 2.11.19 vcffilter

### Synopsis:

Filter VCF output files to include or exclude records based on various criteria.

### Syntax:

```
$ rtg vcffilter [OPTION]... -i FILE -o FILE
```

### Example:

```
$ rtg vcffilter -i snps.vcf.gz -o snps_cov5.vcf.gz -d 5
```

### Parameters:

#### File Input/Output

<code>--all-samples</code>	Set to apply sample-specific criteria to all samples contained in the input VCF.
<code>--bed-regions=FILE</code>	If set, only read VCF records that overlap the ranges contained in the specified BED file. Requires the input VCF to be tabix indexed.
<code>-i --input=FILE</code>	Specifies the VCF file containing variants to be filtered. Use '-' to read from standard input.
<code>-o --output=FILE</code>	Specifies the output VCF file. Use '-' to write to standard output.
<code>--region=STRING</code>	if set, only read VCF records within the specified range. The format is one of



<template\_name>, <template\_name>:start-end.

--sample=STRING Set to apply sample-specific criteria to the named sample contained in the input VCF. May be specified 0 or more times.

## Filtering

-w	--density-window=INT	Set a window length in which multiple called variants are discarded.
	--exclude-bed=FILE	Set to discard all variants within the regions contained in the BED file.
	--exclude-vcf=FILE	Set to discard all variants that overlap with the ones in this VCF file.
	--include-bed=FILE	Set to only keep variants within the regions contained in the BED file.
	--include-vcf=FILE	Set to only keep variants that overlap with the ones in this VCF file.
-k	--keep-filter=STRING	Set to only keep variants with this FILTER tag. May be specified 0 or more times.
-K	--keep-info=STRING	Set to only keep variants with this INFO tag. May be specified 0 or more times.
-A	--max-ambiguity-ratio=FLOAT	Set the maximum allowed ambiguity ratio.
	--max-avr-score=FLOAT	Set the maximum allowed AVR score.
-C	--max-combined-read-depth=INT	Set the maximum allowed combined read depth.
	--max-denovo-score=FLOAT	Set the maximum allowed de novo score.
-G	--max-genotype-quality=FLOAT	Set the maximum allowed genotype quality.
-Q	--max-quality=FLOAT	Set the maximum allowed quality.
-D	--max-read-depth=INT	Set the maximum allowed sample read depth.
	--min-avr-score=FLOAT	Set the minimum allowed AVR score.
-c	--min-combined-read-depth=INT	Set the minimum allowed combined read depth.
	--min-denovo-score=FLOAT	Set the minimum allowed de novo score.
-g	--min-genotype-quality=FLOAT	Set the minimum allowed genotype quality.
-q	--min-quality=FLOAT	Set the minimum allowed quality.

-d	--min-read-depth=INT	Set the minimum allowed sample read depth.
	--non-snps-only	Set to output MNPs and INDELs only.
	--remove-all-same-as-ref	Set to remove records where all the samples are same as the reference.
-r	--remove-filter=STRING	Set to remove variants with this FILTER tag. May be specified 0 or more times.
	--remove-hom	Remove where sample is homozygous.
-R	--remove-info=STRING	Set to remove variants with this INFO tag. May be specified 0 or more times.
	--remove-overlapping	Set to remove records that overlap with previous records.
	--remove-same-as-ref	Set to remove variants where the sample is the same as reference.
	--snps-only	Set to output simple SNPs only.

### Reporting

	--clear-failed-samples	Set to have the GT field of failing samples set to the missing value instead of removing the record.
	--fail=STRING	Set to have the filter field of a failed record set to the provided value instead of removing it.

### Utility

-h	--help	Prints help on command-line flag usage.
-Z	--no-gzip	Set this flag to create the output file without compression. By default the output file is compressed with tabix compatible blocked gzip.
	--no-index	Set this flag to not produce the tabix index for the output file.

### Usage:

Use `vcffilter` to get a subset of the results from variant calling based on the filtering criteria supplied by the filter flags. When filtering on multiple samples, if any of the specified samples fail the criteria, the record will be filtered.

The `--bed-regions` option makes use of tabix indexes to avoid loading VCF records outside the supplied regions, which can give faster filtering performance. If the input VCF is not indexed or being read from standard input, or if records failing filters are to be annotated via the `--fail` option, use the `--include-bed` option instead.

The flags `--min-denovo-score` and `--max-denovo-score` can only be used on a single sample. Records will only be kept if the specified sample is flagged as a *de novo* variant and the score is within the range specified by the flags. It will also only be kept if none of the other samples for the record are also flagged as a *de novo* variant within the specified score range.

**See also:** `snps`, `family`, `somatic`, `population`, `vcfannotate`, `vcfssubset`

## 2.11.20 `vcfannotate`

### Synopsis:

Used to add annotations to a VCF file, either to the VCF ID field, or as a VCF INFO sub-field.

### Syntax:

```
$ rtg vcfannotate [OPTION]... -b FILE -i FILE -o FILE
```

### Example:

```
$ rtg vcfannotate -b dbsnp.bed -i snps.vcf.gz -o snps-dbsnp.vcf.gz
```

### Parameters:

#### File Input/Output

<code>-i</code>	<code>--input=FILE</code>	Specifies the VCF file containing variants to annotate. Use '-' to read from standard input.
<code>-o</code>	<code>--output=FILE</code>	Specifies the output VCF file for the annotated variants. Use '-' to write to standard output.

#### Reporting

<code>--bed-ids=FILE</code>	Specifies a file in BED format containing variant ids in the name column to be added to the VCF id field. May be specified 0 or more times.
<code>--bed-info=FILE</code>	Specifies a file in BED format containing annotations in the name column to be added to the VCF info field. May be specified 0 or more times.
<code>--fill-an-ac</code>	Set to add or update the AN and AC info fields to the VCF.
<code>--info-description=STRING</code>	If the BED INFO field is not already declared, use this description in the header. May be specified 0 or more times (Default is 'Annotation').
<code>--info-id=STRING</code>	The INFO ID for BED INFO annotations. May be specified 0 or more times (Default is 'ANN').
<code>--relabel</code>	Relabel samples according to "old-name new-name" pairs in specified file. If only a single sample needs to be relabelled then a construct like "<(echo

old-name new-name)" can be used.

`--vcf-ids=FILE`

Specifies a file in VCF format containing variant ids to be added to the VCF id field. May be specified 0 or more times.

### Utility

`-h`     `--help`

Prints help on command-line flag usage.

`-Z`     `--no-gzip`

Set this flag to create the output file without compression. By default the output file is compressed with tabix compatible blocked gzip.

`--no-index`

Set this flag to not produce the tabix index for the output file.

### Usage:

Use `vcfannotate` to add text annotations to variants that fall within ranges specified in a BED file. The annotations from the BED file are added as an `INFO` field in the output VCF file.

If the `--bed-ids` flag is used, instead of adding the annotation to the `INFO` fields, it is added to the `ID` column of the VCF file instead. If the `--vcf-ids` flag is used, the `ID` column of the input VCF file is used to update the `ID` column of the output VCF file instead.

If the `--fill-an-ac` flag is set, the output VCF will have the `AN` and `AC` info fields (as defined in the VCF 4.1 specification) created or updated.

**See also:** `snp`, `family`, `somatic`, `population`, `vcffilter`, `vcfsubset`

## 2.11.21     `vcfsubset`

### Synopsis:

Create a VCF file containing a subset of the original columns.

### Syntax:

```
$ rtg vcfsubset [OPTION]... -i FILE -o FILE
```

### Example:

```
$ rtg vcfsubset -i snps.vcf.gz -o frequency.vcf.gz --keep-info AF
--remove-samples
```

### Parameters:

#### File Input/Output

`-i`     `--input=FILE`

Specifies the VCF file containing variants to manipulate. Use '-' to read from standard input.

`-o`     `--output=FILE`

Specifies the output VCF file for the subset records. Use '-' to write to standard output.

## Filtering

<code>--keep-filter=STRING</code>	Specifies a VCF FILTER tag to keep in the output. May be specified 0 or more times.
<code>--keep-format=STRING</code>	Specifies a VCF FORMAT tag to keep in the output. May be specified 0 or more times.
<code>--keep-info=STRING</code>	Specifies a VCF INFO tag to keep in the output. May be specified 0 or more times.
<code>--keep-sample=STRING</code>	Specifies a sample to keep in the output. May be specified 0 or more times.
<code>--remove-filter=STRING</code>	Specifies a VCF FILTER tag to remove from the output. May be specified 0 or more times.
<code>--remove-filters</code>	Set to remove all of the FILTER tags from the output.
<code>--remove-format=STRING</code>	Specifies a VCF FORMAT tag to remove from the output. May be specified 0 or more times.
<code>--remove-info=STRING</code>	Specifies a VCF INFO tag to remove from the output. May be specified 0 or more times.
<code>--remove-infos</code>	Set to remove all of the INFO tags from the output.
<code>--remove-qual</code>	Remove the QUAL field.
<code>--remove-sample=STRING</code>	Specifies a sample to remove from the output. May be specified 0 or more times.
<code>--remove-samples</code>	Set to remove all of the sample data from the output.

## Utility

<code>-h</code>	<code>--help</code>	Prints help on command-line flag usage.
<code>-Z</code>	<code>--no-gzip</code>	Set this flag to create the output file without compression. By default the output file is compressed with tabix compatible blocked gzip.
	<code>--no-index</code>	Set this flag to not produce the tabix index for the output file.

## Usage:

Use the `vcfssubset` command to produce a smaller copy of an original VCF file containing only the columns and information desired. For example, to produce a VCF containing only the information for one sample from a multiple sample VCF file use the `--keep-sample` flag to specify the sample to keep. The various `-keep` and `-remove` options can either be specified

multiple times or with comma separated lists, for example, `--keep-format GT --keep-format DP` is equivalent to `-keep-format GT,DP`.

**See also:** `snps`, `family`, `somatic`, `population`, `vcffilter`, `vcfannotate`

## 2.11.22 vcfeval

### Synopsis:

Use the `vcfeval` command to evaluate called variants for agreement with a known baseline variant set.

### Syntax:

```
$ rtg vcfeval [OPTION]... -b FILE -c FILE -o DIR -t SDF
```

### Example:

```
$ rtg vcfeval -b goldstandard.vcf.gz -c snps.vcf.gz -t HUMAN_reference
--sample daughter -f AVR -o eval
```

### Parameters:

#### File Input/Output

<code>-b</code>	<code>--baseline=FILE</code>	The VCF file containing baseline variants. For example, these may be the variants that were used to generate a synthetic sample, a gold-standard VCF corresponding to a reference sample such as NA12878, or simply an alternative call-set being used as a basis for comparison.
	<code>--bed-regions=FILE</code>	If set, only read VCF records that overlap the ranges contained in the specified BED file.
<code>-c</code>	<code>--calls=FILE</code>	The VCF file containing called variants.
<code>-o</code>	<code>--output=DIR</code>	The name of the output directory.
	<code>--region=STRING</code>	If set, only read VCF records that overlap the specified region. The format is one of <code>&lt;template_name&gt;</code> , <code>&lt;template_name&gt;:start-end</code> or <code>&lt;template_name&gt;:start+length</code>
<code>-t</code>	<code>--template=SDF</code>	The reference SDF on which the variants were called.

#### Filtering

<code>--all-records</code>	Set to use all records regardless of filters. Default is to only process records where <code>FILTER</code> is <code>.</code> or <code>PASS</code> .
<code>--ref-overlap</code>	Allow alleles to overlap where bases of either allele are same-as-ref. (Default is to only allow VCF anchor base

overlap).

<code>--sample=STRING</code>	Set the name of the sample to select. Use the form <code>&lt;baseline_sample&gt;</code> , <code>&lt;calls_sample&gt;</code> to select different sample names for baseline and calls. (Required when using multi-sample VCF files).
<code>--squash-ploidy</code>	Treat heterozygous variants as homozygous ALT in both baseline and calls.

### Reporting

<code>--output-mode</code>	Output reporting mode (Must be one of [split, annotate, combine]). (Default is split).
<code>-O --sort-order=STRING</code>	Set the order in which to sort the ROC scores so that "good" scores come before "bad" scores. (Must be one of [ascending, descending]). (Default is descending).
<code>-f --vcf-score-field=STRING</code>	Set the VCF format field to sort the ROC using. Also valid are "QUAL" or "INFO=<name>" to select the named VCF INFO field. (Default is GQ).

### Utility

<code>-h --help</code>	Prints help on command-line flag usage.
<code>-Z --no-gzip</code>	Set this flag to create the output files without compression.
<code>-T --threads=INT</code>	Specify the number of threads to use in a multi-core processor. (Default is all available cores).

### Usage:

The `vcfeval` command can be used to generate VCF files containing called variants that were in the baseline VCF, called variants that were not in the baseline VCF and baseline variants that were not in the called variants. It also produces ROC curve data files based on a score contained in a VCF field which show the predictive power of that field for the quality of the variant calls.

When developing and validating sequencing pipelines and variant calling algorithms, the comparison of variant call sets is a common problem. The naïve way of computing these numbers is to look at the same reference locations in the baseline (ground truth) and called variant set, and see if genotype calls match at the same position. However, a complication arises due to possible differences in representation for indels between the baseline and the call sets within repeats or homopolymers, and in multiple-nucleotide polymorphisms (MNPs), which encompass several nearby nucleotides and are locally phased. The `vcfeval` command includes a novel dynamic-programming algorithm for comparing variant call sets that deals with complex call representation discrepancies, and minimizes false positives and negatives across the entire call sets for accurate performance evaluation. A primary advantage of `vcfeval` (compared to other tools) is that the

evaluation does not depend on normalization or decomposition, and so the results of analysis can easily be used to relate to the original variant calls and their annotations.

Note that `vcfeval` operates at the level of local haplotypes for a sample, so for a diploid genotype, both alleles must match in order to be considered correct. Some of the `vcfeval` output modes (described below) automatically perform an additional haploid analysis phase to identify variants which may not have a diploid match but which share a common allele (for example, zygoty errors made during calling). If desired, this more lenient haploid comparison can be used at the outset by setting the `--squash-ploidy` flag.

Note that variants selected for inclusion in a haplotype cannot be permitted to overlap each other (otherwise the question arises of which variant should have priority when determining the resulting haplotype), and any well-formed call-set should not contain these situations in order to avoid such ambiguity. When such cases are encountered by `vcfeval`, the best non-overlapping result is determined. A special case of overlapping variants is where calls are denoted as partially the same as the reference (for example, a typical heterozygous call). Strictly speaking such variants are an assertion that the relevant haplotype bases must not be altered from the reference and overlap should not be permitted (this is the interpretation that `vcfeval` employs by default). However, sometimes as a result of using non-haplotype-aware variant calling tools or when using naïve merging of multiple call sets, a more lenient comparison is desired. The `--ref-overlap` flag will permit such overlapping variants to both match, as long as any overlap only occurs where one variant or other has asserted haplotype bases as being the same as reference.

The primary outputs of `vcfeval` are VCF files indicating which variants matched between the baseline and the calls VCF, and data files containing information used to generate ROC curves with the `rocplot` command (or via spreadsheet). `vcfeval` supports three different VCF output modes which can be selected with the `--output-mode` flag according to the type of analysis workflow desired. The following modes are available:

### **Split (`--output-mode=split`)**

This output mode is the default, and produces separate VCF files for each of the match categories. The individual VCF records in these files are not altered in any way, preserving all annotations present in the input files.

- `tp.vcf` – contains those variants from the *calls* VCF which agree with variants in the baseline VCF
- `tp-baseline.vcf` – contains those variants from the *baseline* VCF which agree with variants in the calls VCF. Thus, the variants in `tp.vcf` and `tp-baseline.vcf` are equivalent. This file can be used to successively refine a highly sensitive baseline variant set to produce a consensus from several call sets.
- `fp.vcf` – contains variants from the *calls* VCF which do not agree with baseline variants.
- `fn.vcf` – contains variants from the *baseline* VCF which were not correctly called.

This mode performs a single pass comparison, either in diploid mode (the default), or haploid mode (if `--squash-ploidy` has been set). The separate output files produced by this mode allow the use of `vcfeval` as an advanced haplotype-aware VCF intersection tool.



### Annotate (`--output-mode=annotate`)

This output mode does not split the input VCFs by match status, but instead adds `INFO` annotations containing the match status of each record:

- `calls.vcf` – contains variants from the *calls* VCF, augmented with match status annotations.
- `baseline.vcf` – contains variants from the *baseline* VCF, augmented with match status annotations.

This output mode automatically performs two comparison passes, the first finds diploid matches, and a second pass that applies a haploid mode to the false positives and false negatives in order to find calls (such as zygoty errors) that contain a common allele. This second category of match are annotated appropriately in the output VCFs.

### Combine (`--output-mode=combine`)

This output mode provides an easy way to view the baseline and call variants in a single two-sample VCF.

- `output.vcf` – contains variants from both the *baseline* and *calls* VCFs, augmented with match status annotations. The sample under comparison from each of the input VCFs is extracted as a column in the output. As the VCF records from the baseline and calls typically have very different input annotations which can be difficult to merge, and to keep the output format simple, there is no attempt to preserve any of the original variant annotations.

As with the annotation output mode, this output mode automatically performs two comparison passes to find both diploid matches and haploid (lenient) matches.

All of the output modes produce the following ROC data files:

- `weighted_roc.tsv` – contains ROC data derived from all analyzed call variants, regardless of their representation. Columns include the score field, and standard accuracy metrics such as true positives, false positives, false negatives, precision, sensitivity, and f-measure corresponding to each score threshold.
- `snp_roc.tsv` – contains ROC data derived from only those call variants which were represented as SNPs. This file includes a subset of accuracy metrics, as the computation of some metrics is not meaningful on a subset of the data where representation may differ between the baseline and the call.
- `non_snp_roc.tsv` – contains ROC data derived from only those call variants which were not represented as SNPs. As above, not all metrics are computed for this file.

Multiple ROC data files (from a single or several `vcfeval` runs) can be plotted with the `rocplot` command, which allows output to a PNG image or analysis in an interactive GUI that provides zooming and visualization of the effects of threshold adjustment. As these files are simple Tab-Separated-Value format, they can also be loaded into a spreadsheet tool or processed with shell scripts.

When evaluating exome variant calls, it may be useful to restrict analysis only to exome target regions (or similarly when evaluating calls against a baseline that is restricted to high confidence regions). In this case, supply a BED file containing the list of regions to restrict analysis to via the `--bed-regions` flag. For a quick way to restrict analysis only to a single region, the `--region` flag is also accepted. Note that when restricting analysis to regions, there may be variants which can not be correctly evaluated near the borders of each analysis region, if determination of equivalence would require inclusion of variants outside of the region. For this reason, it is recommended that regions be relatively large and inclusive.

**See also:** `snp`, `popsim`, `samplesim`, `childsim`, `rocplot`

### 2.11.23 `pedfilter`

#### Synopsis:

Filter and convert a pedigree file.

#### Syntax:

```
$ rtg pedfilter [OPTION]... FILE
```

#### Example:

```
$ rtg pedfilter --remove-parentage mypedigree.ped
```

#### Parameters:

##### File Input/Output

FILE	The pedigree file to process, may be PED or VCF, use '-' to read from stdin.
------	--

##### Filtering

<code>--keep-primary</code>	Keep only primary individuals (those with a PED individual line / VCF sample column).
<code>--remove-parentage</code>	Remove all parent-child relationship information.

##### Reporting

<code>--vcf</code>	Output pedigree in in the form of a VCF header rather than PED.
--------------------	---

##### Utility

<code>-h</code> <code>--help</code>	Prints help on command-line flag usage.
-------------------------------------	---

#### Usage:

The `pedfilter` comand can be used to perform manipulations on pedigree information and convert pedigree information between PED and VCF header format.

The VCF files output by the `family` and `population` commands contain full pedigree information represented as VCF header lines, and the `pedfilter` command allows this information to be extracted in PED format.

This command produces the pedigree output on standard output, which can be redirected to a file or another pipeline command as required.

**See also:** `family`, `population`, `mendelian`, `pedstats`

## 2.11.24 `pedstats`

### Synopsis:

Output information from pedigree files of various formats.

### Syntax:

```
$ rtg pedstats [OPTION]... FILE
```

### Example:

For a summary of pedigree information:

```
$ rtg pedstats ceph_pedigree.ped
Pedigree file: /data/ceph/ceph_pedigree.ped

Total samples:          17
Primary samples:        17
Male samples:           9
Female samples:         8
Afflicted samples:      0
Founder samples:        4
Parent-child relationships: 26
Other relationships:    0
Families:               3
```

For quick pedigree visualization using `graphviz` and `ImageMagick`, use a command-line such as:

```
$ dot -Tpng <(rtg pedstats --dot "A Title" mypedigree.ped) | display -
```

For a larger pedigree:

```
$ dot -Tpdf -o mypedigree.pdf <(rtg pedstats --dot "Study" mypedigree.ped)
```

To output a list of all founders:

```
$ rtg pedstats --founder-ids ceph_pedigree.ped
NA12889
NA12890
NA12891
NA12892
```

### Parameters:

#### File Input/Output

FILE	The pedigree file to process, may be PED or VCF, use '-' to read from stdin.
------	--

#### Reporting

--dot=STRING	Output pedigree in GraphViz format, using the supplied text as a title.
--------------	---

<code>--families</code>	Output information about family structures.
<code>--female-ids</code>	Output ids of all females.
<code>--founder-ids</code>	Output ids of all founders.
<code>--male-ids</code>	Output ids of all males.
<code>--maternal-ids</code>	Output ids of maternal individuals.
<code>--paternal-ids</code>	Output ids of paternal individuals.
<code>--primary-ids</code>	Output ids of all primary individuals.

#### Utility

<code>-h</code>	<code>--help</code>	Prints help on command-line flag usage.
-----------------	---------------------	---

#### Usage:

Used to show pedigree summary statistics or select groups of individual Ids. In particular, it is possible to generate a simple pedigree visualization.

The VCF files output by the `family` and `population` commands contain full pedigree information represented as VCF header lines, and the `pedstats` command can also take these VCFs as input.

**See also:** `family`, `population`, `pedfilter`

## 2.11.25 avrstats

#### Synopsis:

Print statistics that describe an AVR model.

#### Syntax:

```
$ rtg avrstats [OPTION]... FILE
```

#### Example:

```
$ rtg avrstats avr.model
```

#### Parameters:

##### Reporting

<code>FILE</code>	The name of the AVR model.
-------------------	----------------------------

##### Utility

<code>-h</code>	<code>--help</code>	Prints help on command-line flag usage.
-----------------	---------------------	---

**Usage:**

Used to show some simple information about the AVR model, including when the model was built and which predictor attributes were employed during the model build.

**See also:** `avrbuild`, `avrpredict`, `snp`, `family`, `population`

## 2.11.26 rocplot

**Synopsis:**

Plot ROC curves from `readsimeval` and `vcfeval` ROC data files, either to an image, or using an interactive GUI.

**Syntax:**

```
$ rtg rocplot [OPTION]... FILE+
$ rtg rocplot [OPTION]... --curve STRING
```

**Example:**

```
$ rtg rocplot eval/weighted_roc.tsv.gz
```

**Parameters:****File Input/Output**

<code>--curve=STRING</code>	Specify a ROC data file with title optionally specified ( <code>path[=title]</code> ). May be specified 0 or more times.
<code>--png=FILE</code>	Set to output a PNG image to the given file instead of loading the interactive plot.
<code>FILE+</code>	Specify the ROC data file to plot. May be specified 0 or more times.

**Reporting**

<code>--hide-sidepane</code>	Set to hide the sidepane from the GUI on startup.
<code>--line-width=INT</code>	Set the line width for the plots. (Default is 2).
<code>--scores</code>	Set to show scores on the plot.
<code>-t --title=STRING</code>	Set the title for the plot.

**Utility**

<code>-h --help</code>	Prints help on command-line flag usage.
------------------------	---

**Usage:**

Used to produce ROC plots from the ROC files produced by `readsimeval` and `vcfeval`. By default this opens the ROC plots in an interactive viewer. On a system with only console access the plot can be saved directly to a PNG file using the `--png` parameter.

Some quick tips for the interactive GUI:

- Select regions within the graph to zoom in. Right click to bring up a context menu that allows resetting the zoom.
- Click on a spot in the graph to show the equivalent accuracy metrics for that location in the status bar. Clicking to the left or below the axes will clear the cross-hair. Note that sensitivity depends on the baseline total number of variants being correct. If for example the ROC curve corresponds to evaluating an exome call-set against a whole-genome baseline, this number will be inaccurate.
- Additional ROC data files can be loaded by clicking on the 'Open...' button.
- Each ROC curve can be shown/hidden, renamed, and reordered in it's widget area on the right hand side of the UI.
- Each ROC curve has a slider to simulate the effect of applying a threshold on the scoring attribute. If the “show scores” option is set, this provides an easy way to select appropriate filter threshold values.
- The "Cmd" button will print to the console a command-line which is equivalent to the currently displayed set of ROC curves, which gives an easy way to replicate the current set of curves in another session.

**See also:** `readsimeval`, `vcfeval`

## 2.11.27 `ncbi2tax`

### Synopsis:

Converts the NCBI taxonomy into an RTG taxonomy for use in species database construction.

### Syntax:

```
$ rtg ncbi2tax [OPTION]... DIR
```

### Example:

```
$ rtg ncbi2tax ncbitaxdir >rtg_taxonomy.tsv
```

### Parameters:

#### File Input/Output

DIR	Directory containing the NCBI taxonomy dump.
-----	--

#### Utility

-h	--help	Prints help on command-line flag usage.
----	--------	---

## Usage:

Used to create an RTG taxonomy file from an NCBI taxonomy dump. The resulting taxonomy TSV file can be directly filtered with the `taxfilter` command prior to creating a species reference SDF according to project needs.

For more information on the RTG taxonomy format, and the associated sequence to taxon mapping file needed to create a species reference SDF, see Section 5.4 *RTG taxonomic reference file format*.

**See also:** `format`, `species`, `taxfilter`, `taxstats`

## 2.11.28 taxfilter

### Synopsis:

Provides filtering of a metagenomic species reference database taxonomy..

### Syntax:

```
$ rtg taxfilter [OPTION]... -i FILE -o FILE
```

### Example:

```
$ rtg taxfilter -P -i species-full.sdf -o species-pruned.sdf
```

### Parameters:

#### File Input/Output

<code>-i</code>	<code>--input=FILE</code>	Taxonomy input. May be either a taxonomy TSV file or an SDF containing taxonomy information.
<code>-o</code>	<code>--output=FILE</code>	Filename for output TSV or SDF.

#### Filtering

<code>-P</code>	<code>--prune-below-internal-sequences</code>	When filtering an SDF, remove nodes below the first containing sequence data.
<code>-p</code>	<code>--prune-internal-sequences</code>	When filtering an SDF, exclude sequence data from non-leaf output nodes.
<code>-r</code>	<code>--remove=FILE</code>	File containing ids of nodes to remove.
<code>-R</code>	<code>--remove-sequences=FILE</code>	File containing ids of nodes to remove sequence data from (if any).
	<code>--rename-norank=FILE</code>	Assign a rank to "no rank" nodes from file containing id/rank pairs.
<code>-s</code>	<code>--subset=FILE</code>	File containing ids of nodes to include in subset.
<code>-S</code>	<code>--subtree=FILE</code>	File containing ids of nodes to include as subtrees in subset.

## Utility

`-h`      `--help`                      Prints help on command-line flag usage.

### Usage:

Used to manage metagenomic species reference taxonomies and associated reference species SDF, primarily to allow redundancy reduction and extraction of a subset of the database according to project needs.

Building a metagenomic species database from all available data typically results in a very large database with high levels of redundancy, as often multiple strains of species are present, and often entire branches of the taxonomic structure are irrelevant for the project at hand. The `taxfilter` command allows pruning of the taxonomy to just the section of interest using the `--remove` and `--subset` options.

It is also often the case that ranks have not been fully assigned for each node in the taxonomic structure. The `--rename-norank` option allows manual rank assignment for any of these nodes for which rank information can be obtained via other means, such as manual curation.

The `species` command requires that the reference database not contain sequence data assigned to internal nodes of the taxonomy, so the application of `--prune-internal-sequences`, `--prune-below-internal-sequences`, or `--remove-sequences` may be required before using any such database with the `species` command. The `taxstats` command can be used to list the ids of internal taxons that have sequence data attached.

Note that a quick way to extract all the genomic sequence associated with a species (or multiple species) is to use the `sdf2fasta` command with the `--taxon` flag.

**See also:** `format`, `sdf2fasta`, `species`, `taxstats`

## 2.11.29 taxstats

### Synopsis:

Summarize and perform a verification of taxonomy and sequence information within a metagenomic species reference SDF.

### Syntax:

```
$ rtg taxstats [OPTION]... SDF
```

### Example:

```
$ rtg taxstats species-full.sdf
Warning: 340 nodes have no rank
214 nodes with no rank are internal nodes
126 nodes with no rank are leaf nodes
126 nodes with no rank have sequences attached
TREE STATS
internal nodes: 3724
leaf nodes:    5183
total nodes:   8907

RANK COUNTS
rank          internal leaf total
class          58      0     58
family        300      0    300
```



genus	940	1	941
no rank	214	126	340
order	127	0	127
phylum	34	0	34
species	1709	1703	3412
species group	34	0	34
species subgroup	7	0	7
strain	146	3347	3493
subclass	5	0	5
subfamily	17	0	17
subgenus	1	0	1
suborder	19	0	19
subphylum	1	0	1
subspecies	104	6	110
superkingdom	3	0	3
superphylum	3	0	3
tribe	2	0	2
TOTAL	3724	5183	8907

```

SEQUENCE LOOKUP STATS
total sequences:      309367
unique taxon ids:    5183
taxon ids in taxonomy: 5183
taxon ids not in taxonomy: 0
internal nodes:      0
leaf nodes:          5183
no rank nodes:       126

```

## Parameters:

### File Input/Output

SDF	SDF to verify the taxonomy information for.
-----	---

### Reporting

--show-details	List details of sequences attached to internal nodes of the taxonomy.
----------------	---

### Utility

-h    --help	Prints help on command-line flag usage.
--------------	---

## Usage:

The `taxstats` command outputs statistics regarding the contents of a metagenomic species reference database, in order to indicate the number of members of each rank, and how many have sequence information contained within the database.

Any discrepancies found within the database will be issued as warnings.

**See also:** `format`, `species`, `taxfilter`

## 2.11.30 usageserver

### Synopsis:

Start a local network usage logging server.

**Syntax:**

```
$ rtg usageserver [OPTION]...
```

**Example:**

```
$ rtg usageserver
```

**Parameters:****Utility**

-h	--help	Prints help on command-line flag usage.
-p	--port=INT	Set this flag to change which port to listen for usage logging connections. (Default is 8080).
-T	--threads=INT	Set this flag to change the number of threads for handling incoming connections. (Default is 4).

**Usage:**

Use the `usageserver` command to run a usage logging server for a local network. For more information about usage logging and setup see Section 4.5.

**2.11.31 version****Synopsis:**

The RTG version display utility.

**Syntax:**

```
$ rtg version
```

**Example:**

```
$ rtg version
Product: RTG Core 3.5
Core Version: 4586490 (2015-12-04)
RAM: 3.5GB of 3.8GB RAM can be used by RTG (91%)
License: Expires on 2016-03-30
Contact: support@realtimegenomics.com

Patents / Patents pending:
US: 7,640,256, 13/129,329, 13/681,046, 13/681,215, 13/848,653, 13/925,704,
14/015,295, 13/971,654, 13/971,630, 14/564,810
UK: 1222923.3, 1222921.7, 1304502.6, 1311209.9, 1314888.7, 1314908.3
New Zealand: 626777, 626783, 615491, 614897, 614560
Australia: 2005255348, Singapore: 128254

Citation:
John G. Cleary, Ross Braithwaite, Kurt Gaastra, Brian S. Hilbush, Stuart
Inglis, Sean A. Irvine, Alan Jackson, Richard Littin, Sahar Nohzadeh-
Malakshah, Mehul Rathod, David Ware, Len Trigg, and Francisco M. De La
Vega. "Joint Variant and De Novo Mutation Identification on Pedigrees from
High-Throughput Sequencing Data." Journal of Computational Biology. June
2014, 21(6): 405-419. doi:10.1089/cmb.2014.0029.

(c) Real Time Genomics, 2014
```

**Parameters:**

There are no options associated with the `version` command.

**Usage:**

Use the `version` command to display release and version information.

**See also:** `help`, `license`

## 2.11.32 `license`

**Synopsis:**

The RTG license display utility.

**Syntax:**

```
$ rtg license
```

**Example:**

```
$ rtg license
```

**Parameters:**

There are no options associated with the `license` command.

**Usage:**

Use the `license` command to display license information and expiration date. Output at the command line (standard output) shows command name, licensed status, and command release level. It is possible to have access to commands prior to general availability (GA) release with certain support contracts from Real Time Genomics.

**See also:** `help`, `version`

## 2.11.33 `help`

**Synopsis:**

The RTG help command provides online help for all RTG commands.

**Syntax:**

List all commands:

```
$ rtg help
```

Show usage syntax and flags for one command:

```
$ rtg help COMMAND
```

**Example:**

```
$ rtg help format
```

**Parameters:**

There are no options associated with the `help` command.

**Usage:**

Use the `help` command to view syntax and usage information for the main `rtg` command as well as individual RTG commands.

**See also:** `license`, `version`

## 3 RTG product usage - baseline progressions

This chapter provides baseline task progressions that describe expected use of the product for data analysis.

### 3.1 RTG mapping and sequence variant detection

Use the following set of ordered tasks to detect all sequence variants between a reference genome and a sequenced DNA sample. This set of tasks steps through the main functionality of the RTG variant detection software pipeline: generating and evaluating gapped alignments, testing coverage depth, calling sequence variants (SNPs, MNPs and indels), and analyzing copy number variation between samples.

The following example supports the steps typical to single sample human whole genome analysis in which high throughput sequencing with Illumina sequencing systems has generated reads at 25 to 30× coverage. This example does not demonstrate exome variant calling which is described in Section 3.3, the automatic sex-aware calling capabilities which are described in Section 3.5, or joint variant calling capabilities which are described in Section 3.6 and Section 3.7.

#### Data

The baseline uses actual data downloaded from National Center for Biotechnology Information (NCBI) databases. The reference sequence is human build 37 (hg19, Feb 2009) downloaded from <http://hgdownload.cse.ucsc.edu/goldenPath/hg19/chromosomes/>.

The paired end read data comes from a human genome sequencing of an African female (Yoruba) individual (HapMap: NA19240). This data can be found in the NCBI Short Read Archive (SRA).

Table 5: Overview of basic pipeline tasks

Task	Command & Utilities	Purpose
Task 1 Format reference data	\$ rtg format	Convert reference sequence from FASTA files to RTG Sequence Data Format (SDF)
Task 2 Format read data	\$ rtg format \$ rtg sdfstats	Convert read sequence from FASTA or FASTQ files to RTG Sequence Data Format (SDF)
Task 3 Map reads against a reference genome	\$ rtg map	Generate read alignments against a given reference, and report in a BAM file for downstream analysis
Task 4 View alignment results	\$ rtg samstats	Evaluate alignments and determine if the mapping job(s) should be repeated with different settings

Task	Command & Utilities	Purpose
Task 5 Generate coverage information	\$ rtg coverage	Run the coverage command to generate coverage breadth and depth statistics
Task 6 Call sequence variants	\$ rtg snp	Detect SNPs, MNPs, and indels in a sample relative to a reference genome
Task 7 Report copy number variation	\$ rtg cnv	Count copy number variation (CNV) ratios between two genomes, and present results that support structural variation analysis

### 3.1.1 Task 1 - Format reference data

RTG tools require a conversion of reference genome from FASTA files into the RTG SDF format. This task will be completed with the `format` command. The conversion will create an SDF directory containing the reference genome.

For variant calling and CNV analysis, the reference genome employed must have chromosome-based coordinates (as opposed to contig-based coordinates), such as hg18 or GRCh37/hg19.

First, observe a typical genome reference with multiple chromosomes stored in compressed FASTA format.

```
$ ls -l /data/human/hg19/
43026389 Mar 21 2009 chr10.fa.gz
42966674 Mar 21 2009 chr11.fa.gz
42648875 Mar 21 2009 chr12.fa.gz
31517348 Mar 21 2009 chr13.fa.gz
28970334 Mar 21 2009 chr14.fa.gz
26828094 Mar 21 2009 chr15.fa.gz
25667827 Mar 21 2009 chr16.fa.gz
25139792 Mar 21 2009 chr17.fa.gz
24574571 Mar 21 2009 chr18.fa.gz
17606811 Mar 21 2009 chr19.fa.gz
73773666 Mar 21 2009 chr1.fa.gz
19513342 Mar 21 2009 chr20.fa.gz
11549785 Mar 21 2009 chr21.fa.gz
11327826 Mar 21 2009 chr22.fa.gz
78240395 Mar 21 2009 chr2.fa.gz
64033758 Mar 21 2009 chr3.fa.gz
61700369 Mar 21 2009 chr4.fa.gz
58378199 Mar 21 2009 chr5.fa.gz
54997756 Mar 21 2009 chr6.fa.gz
50667196 Mar 21 2009 chr7.fa.gz
46889258 Mar 21 2009 chr8.fa.gz
39464200 Mar 21 2009 chr9.fa.gz
    5537 Mar 21 2009 chrM.fa.gz
49278128 Mar 21 2009 chrX.fa.gz
    8276338 Mar 21 2009 chrY.fa.gz
```

Now, use the `format` command to convert multiple input files into a single SDF directory for the reference genome.

```
$ rtg format -o hg19 /data/human/hg19/chrM.fa.gz
```

```
/data/human/hg19/chr[1-9].fa.gz /data/human/hg19/chr1[0-9].fa.gz
/data/human/hg19/chr2[0-9].fa.gz /data/human/hg19/chrX.fa.gz
/data/human/hg19/chrY.fa.gz
```

This takes the human reference FASTA files and creates a directory called `hg19` containing the SDF, with chromosomes ordered in the standard UCSC ordering. You can use the `sdfstats` command to show statistics for your reference SDF, including the individual sequence lengths.

```
$ rtg sdfstats --lengths hg19
Type           : DNA
Number of sequences: 25
Maximum length  : 249250621
Minimum length  : 16571
Sequence names  : yes
N               : 234350281
A               : 844868045
C               : 585017944
G               : 585360436
T               : 846097277
Total residues  : 3095693983
Residue qualities : no
```

```
Sequence lengths:
chrM      16571
chr1      249250621
chr2      243199373
chr3      198022430
chr4      191154276
chr5      180915260
chr6      171115067
chr7      159138663
chr8      146364022
chr9      141213431
chr10     135534747
chr11     135006516
chr12     133851895
chr13     115169878
chr14     107349540
chr15     102531392
chr16     90354753
chr17     81195210
chr18     78077248
chr19     59128983
chr20     63025520
chr21     48129895
chr22     51304566
chrX      155270560
chrY      59373566
```

---

**NOTE:** If you plan on performing sex-aware mapping and variant calling, you should copy or create an appropriate `reference.txt` file into the SDF directory. See Section 3.5 and Section 5.3

### 3.1.2 Task 2 - Format read data

RTG mapping tools accept input read sequence data either in the RTG SDF format or other common sequence formats such as FASTA, FASTQ, or SAM/BAM. There are pros and cons as to whether to perform an initial format of the read sequence data to RTG SDF:

- Pre-formatting requires an extra one-off workflow step (the `format` command), whereas native input file formats are directly accepted by many RTG commands.

- Pre-formatting requires extra disk space for the SDF (although these can be deleted after processing if required).
- With pre-formatting, decompression, parsing and error checking raw files is carried out only once, whereas native formats require this processing each time.
- Pre-formatting permits random access to individual sequences or blocks of sequences, whereas with native formats, the whole file leading up to the region of interest must also be decompressed, and parsed.
- Pre-formatting permits loading of sequence data, sequence names, and sequence quality values independently, allowing reduced RAM use during mapping

Thus, pre-formatting read sequence data can result in lower overall resource requirements (and faster throughput) than processing native file formats directly.

In this example we will be converting read sequence data from FASTA or FASTQ files into the RTG SDF format. This task will be completed with the `format` commands. The conversion will create one or more SDF directories for the reads.

Take a paired set of reads in FASTQ format and convert it into RTG data format (SDF). This example shows one run of data, taking as input both left and right mate pairs from the same run.

```
$ mkdir sample_NA19240
$ rtg format -f fastq -q sanger -o sample_NA19240/SRR002978
  -l /data/reads/NA19240/SRR002978_1.fq
  -r /data/reads/NA19240/SRR002978_2.fq
  --sam-rg "@RG\tID:SRR002978\tSM:NA19240\tPL:ILLUMINA"
```

This creates a directory named SRR002978 with two subdirectories, named left and right. Use the `sdfstats` command to verify this step.

```
$ rtg sdfstats sample_NA19240/SRR002978
```

It is good practice to ensure the output BAM files contain tracking information regarding the read set. This can be achieved by storing the tracking information in the reads SDF by using the `--sam-rg` flag to provide a SAM-formatted read group header line. This information will automatically be used during mapping to enable automatic creation of calibration files that are used to perform base-quality recalibration during variant calling. In addition, the sample field (SM) is used to segregate samples when using multi-sample variant calling commands such as `family`, `population`, and `somatic`. The header should specify at least the ID, SM and PL fields for the read group. For more details see Section 5.2 *Using SAM/BAM Read Groups in RTG map*.

If the tracking information is not specified when formatting the reads, it can be set during mapping instead using the `--sam-rg` flag.

Repeat for all available read data associated with the sample to be processed. This example shows how this can be done with the `format` command in a loop.

```
$ for left_fq in /data/reads/NA19240/*_1.fq; do
$   right_fq=${left_fq/_1.fq/_2.fq}
$   lane_id=$(basename ${left_fq/_1.fq})
$   rtg format -f fastq -q sanger -o ${lane_id} -l ${left_fq} -r $
{right_fq} --sam-rg "@RG\tID:${lane_id}\tSM:NA19240\tPL:ILLUMINA"
$ done
```



### 3.1.3 Task 3 - Map reads to the reference genome

Map the sequence reads against the human reference genome to generate alignments in the BAM format (Binary Sequence Alignment/Map file format).

The RTG map command provides multiple tuning parameters to adjust sensitivity and selectivity at the read mapping stage. In general, whole genome mapping strategies aim to capture the highest number of reads possessing variant data and which map with a high degree of specificity to the human genome.

Depending on the downstream analysis required, the mapping may be adjusted for restricting alignments to unique genomic positions or for allowing ambiguous mappings at equivalent regions throughout the genome. This example will show the baseline for human genome analysis.

By default, the map command will report all mated pairs and unmated reads that map to the reference genome.

```
$ rtg map -i sample_NA19240/SRR002978 -t hg19 -o  
map_sample_NA19240/SRR002978
```

Multiple files are written to the output directory of the mapping run. By default the `alignments.bam` file is produced and a BAM index is automatically created to permit efficient extraction of mappings within particular genomic regions. This behavior is necessary for subsequent analysis of the mappings, but can be performed manually using the `index` command. The additional file `alignments.bam.calibration` is also created, containing metadata regarding coverage and error rates which help to provide more accurate variant calling.

The size of the job should be tuned to the available memory of the server node. You can perform mapping in segments by using the `--start-read` and `--end-read` flags during mapping. Currently, a 48GB RAM system as specified in the technical requirements section can process 100M reads in about an hour. The following example would work to map a data set containing just over 100M reads in batches of 10M.

```
$ for ((j=0;j<10;j++)); do  
$   rtg map -i sample1-100M -t hg19 -o map_sample1-$j --start-read=$  
[j*100000000] --end-read=$((j+1)*100000000)  
$ done
```

Note that each of these runs can be executed independently of the others. This allows parallel processing in a compute cluster that can reduce wall clock time.

In a parallel compute cluster special consideration is needed with respect to where data resides during the mapping process. Reading and writing data from and to a single networked disk partition may result in undesirable I/O performance characteristics depending on the size and structure of the compute cluster. One way to minimize the adverse affects of I/O limitations is to separate input data sets and map output directories, storing them on different network disk partitions.

Using the `--tempdir` flag allows the map command to use a directory other than the output directory to store temporary files that are output during the mapping process. The size of the temporary files is the same as the total size of files in the map output directory after processing has finished. The following example shows how to modify the above example to place outputs on a separate partition to the inputs.

```
$ mkdir /partition2/map_sample_NA19240  
$ for ((j=0;j<9;j++)); do  
$   rtg map -i /partition1/map_sample_NA19240/SRR002978
```

```

-t /partition1/hg19
-o /partition2/map_sample_NA19240/SRR002978-$j
--start-read=${j*1000000} --end-read=$((j+1)*1000000]
--tempdir /partition3/map_temp_SRR002978-$j
$ done

```

### 3.1.4 Task 4 - View & evaluate mapping performance

An `alignments.bam` file can be viewed directly using the `RTG extract` command in conjunction with a shell command such as `less` to quickly inspect the results.

```
$ rtg extract map_sample_NA19240/SRR002978-0/alignments.bam | less -S
```

Since the mappings are indexed by default, it is also possible to view mappings corresponding to particular genomic regions. For example:

```
$ rtg extract map_sample_NA19240/SRR002978-0/alignments.bam
'chr6:1000000-5000000' | less -S
```

The `map` command also creates a simple HTML summary report containing information about mapping counts, alignment score distribution, paired-end insert size distribution, etc. This can be viewed in your web browser. For example:

```
$ firefox map_sample_NA19240/SRR002978-0/index.html
```

For more detailed summary statistics, use the `samstats` command. This example will report information such as total records, number unmapped, specific details about the mate pair reads, and distributions for alignment scores, insert sizes and read hits.

```
$ rtg samstats -t hg19 map_sample_NA19240/SRR002978-0/alignments.bam
-r /partition1/map_sample_NA19240/SRR002978 --distributions
```

### 3.1.5 Task 5 - Generate and review coverage information

For human genomic analysis, it's important to have sufficient coverage over the entire genome to detect variants accurately and minimize false negative calls. The `coverage` command provides detailed statistics for depth of coverage and gap size distribution. If coverage proves to be inadequate or spotty, one may elect to remap the data with different sensitivity tuning or rerun the sample with different sequencing technology.

This example shows the `coverage` command used with all alignments, both mated and unmated, for the entire sample. The `-s` flag is used to introduce smoothing of the data, by default the data will not be smoothed. While you can supply the `coverage` command with the names of BAM files individually on the command line, this becomes unwieldy when the mapping has been carried out in many smaller jobs. In this command we will use the `-I` flag to supply a text file containing the names of all the mapping output BAM files, one per line. One example way to create this file is with the following command (assuming all your mapping runs have used a common root directory):

```

$ find /partition2/map_sample_NA19240
-name "*.bam" > sample_NA19240-bam-files

$ rtg coverage -s 20 -o cov_sample_NA19240 -t hg19
-I sample_NA19240-bam-files

```

By default the `coverage` command will generate a BED formatted file containing regions of similar coverage. This BED file can be loaded into a genome browser to visualize the coverage, and may also be examined on the command line. For example:

```
$ rtg extract cov_sample_NA19240/coverage.bed.gz
```

```
'chr6:10000000-50000000' | less
```

The `coverage` command also creates a simple HTML summary report containing graphs of the depth of coverage distribution and cumulative depth of coverage. This can be viewed in your web browser. For example:

```
$ firefox cov_sample_NA19240/index.html
```

### 3.1.6 Task 6 - Call sequence variants

The `snp` command detects sequence variants, given adequate but not excessively high coverage of reads against the reference genome. As with the `coverage` command, we can supply a file containing a list of all the needed input files. In this case the mapping calibration files will be automatically detected at the location of the BAM files themselves and will be used in order to enable base quality recalibration during variant calling.

This example takes all available BAM files for the sample and calls SNP, MNP and indel sequence variants.

```
$ rtg snp -o snp_sample_NA19240 -t hg19 -I sample_NA19240-bam-files
```

This command will perform the Bayesian variant calling and will use a default AVR model for scoring variant call quality. If you have a more appropriate model available, you should supply this with the `--avr-model` flag. RTG supplies some pre-built models which work well in a wide variety of cases, and includes tools for building custom AVR models. See Section 3.10 for more information on building custom models.

The `snp` command will output variant call summary information upon completion, and this is also available in the output directory in the file `summary.txt`.

One can quickly view and process the resulting compressed output file with the `zless` shell command.

```
$ zless -S snp_sample_NA19240/snps.vcf.gz
```

Since the variant calls are compressed and indexed by default, it is also possible to view calls corresponding to particular genomic regions. For example:

```
$ rtg extract snp_sample_NA19240/snps.vcf.gz 'chr6:10000000-50000000'
| less -S
```

The following example would work to call variants using a separate job for each reference sequence separately. Each of these runs could be executed on independent nodes of a cluster in order to reduce wall clock time.

```
$ for seq in M {1..22} X Y; do
$   rtg snp -o snp_sample_chr"$seq" -t hg19 -I sample_NA19240-bam-files
$   --region chr"$seq"
$ done
```

After the separate variant calling jobs complete, the VCF files for each chromosome can be combined into a single file if desired by using a `vcfmerge` command such as:

```
$ rtg vcfmerge -o snp_sample_NA19240.vcf.gz snp_sample_chr*/snps.vcf.gz
```

The individual `snp` commands will have output summary information about the variant calls made in each job. Combined summary information can be output for the merged VCF file with the `vcfstats` command.

```
$ rtg vcfstats snp_sample_NA19240.vcf.gz
```

Simple filtering of variants can be applied using the `vcffilter` command. For example, filtering calls by genotype quality or AVR score can be accomplished with a command such as:

```
$ rtg vcffilter --min-genotype-quality 50 -i snp_sample_NA19240.vcf.gz  
-o filtered_sample_NA19240.vcf.gz
```

In this case, any variants failing the filter will be removed. Alternatively, failing variants can be kept but marked with a custom VCF `FILTER` field, such as:

```
$ rtg vcffilter --fail LOW-GQ --min-genotype-quality 50  
-i snp_sample_NA19240.vcf.gz -o filtered_sample_NA19240.vcf.gz
```

### 3.1.7 Task 7 - Report copy number variation statistics

With two genome samples, one can compare the relative depth of coverage by region to identify copy number variation ratios that may indicate structural variation.

For a second genome called `sample2`, repeat tasks 2 through 6 with a related read data set. For example, you might have two samples from a cancer patient, one from a germline cell and another from a tumor.

Run the `cnv` command with the default bucket size of 100, this is the number of nucleotides for which to average the coverage.

```
$ rtg cnv -o cnv_s1_s2 -I sample1-map-files -J sample2-map-files
```

View the resulting output as a set of records that show `cnv` ration at locations across the genome, where the locations are defined by the bucket size.

```
$ zless cnv_s1_/cnv.txt.gz
```

For deeper investigation, contact Real Time Genomics technical support for extensible reporting scripts specific to copy number variation reporting.

## 3.2 Modifications to support Complete Genomics, Inc reads

RTG supports the custom read structure of Complete Genomics Inc data. Use the same set of ordered tasks as in Table 5 with the addition of the following differences to detect all sequence variants between a reference genome and a sequenced DNA sample.

Table 6: Overview of Complete Genomics pipeline tasks

Task	Command & Utilities	Purpose
Task 2 Format read data	<pre>\$ rtg cg2sdf \$ rtg sdfstats</pre>	Convert read sequence from CGI files to RTG Sequence Data Format (SDF)
Task 3 Map reads against a reference genome	<pre>\$ rtg cgmap</pre>	Generate read alignments against a given reference, and report in a BAM file for downstream analysis

### 3.2.1 Task 2 - Format read data

Variant detection requires a conversion of read sequence data from CGI files into the RTG SDF format. This task will be completed `cg2sdf`. The conversion will create one or more SDF directories for the reads.

Take a CGI format reads file and convert it into RTG sequence data format (SDF). This example shows one run of data, taking as input a CGI read data file. It is important when mapping Complete Genomics reads, that your read group information should set the platform field (PL) appropriately. For version 1 reads (35 base-pair reads), the platform should be "COMPLETE". For version 2 reads (29 or 30 base-pair reads) the platform should be "COMPLETEGENOMICS".

```
$ mkdir CGsample_NA19240
$ rtg cg2sdf -o CGsample_NA19240/GS002290
  /data/reads/cg/NA19240/GS002290.tsv
  --sam-rg "@RG\tID:GS002290\tSM:NA19240\tPL:COMPLETE"
```

This creates a directory named GS00290 with two subdirectories, named left and right. Use the `sdfstats` command to verify this step.

```
$ rtg sdfstats CGsample_NA19240/GS002290
```

### 3.2.2 Task 3 - Map reads to the reference genome

Map the sequence reads against the reference genome to generate alignments in the BAM format (Binary Sequence Alignment/Map file format).

The RTG `cgmap` command provides the means to map Complete Genomics reads to a reference genome. By default the `cgmap` command will report all mated pairs and unmated reads that map to the reference genome.

```
$ mkdir cgmap_sample_NA19240
$ rtg cgmap -i CGsample_NA19240/GS002290 -t hg19
  -o cgmap_sample_NA19240/GS002290 --mask cg1
```

As with the `map` command, you can use the `--start-read` and `--end-read` flags to perform the mapping in smaller sections if required.

The selection of the `--mask` parameter depends on the length of the Complete Genomics reads to be mapped. The `cg1` and `cg1-fast` masks are appropriate for 35 base-pair reads and the `cg2` mask is appropriate for 29 or 30 base-pair reads. See Section 2.4.3 for more detail.

## 3.3 Modifications to support processing exome sequencing

During mapping RTG automatically creates calibration files containing information about base qualities, average coverage levels etc. This calibration information is utilized during variant calling to give more accurate results and to determine when coverage levels are abnormally high. When processing exome data, it is important that this calibration information should only be computed for mappings within the exome capture regions, otherwise the computed coverage levels will be much lower than actual. This can result in RTG discarding many variant calls as being over-coverage. The recommended workflow for exome processing is to supply a BED file describing the exome regions at the same time as mapping, to ensure appropriate calibration is computed.

```
$ rtg map --bed-regions exome-regions.bed -i sample_NA19240/SRR002978
  -t hg19 -o map_sample_NA19240/SRR002978 -a 2 -b 1
```

It is also recommended to supply the BED file describing the exome regions during variant calling to filter the output produced to be within the exome regions. There are two approaches here. The first is to instruct the variant caller to only perform variant calling at sites within the exome regions by supplying the `--bed-regions` option:

```
$ rtg snp --bed-regions exome-regions.bed -o snp_sample_NA19240 -t hg19 -I
sample_NA19240-bam-files
```

This approach is computationally more efficient. However, if calls at off-target sites are potentially of interest, a second approach is to call variants at all sites but automatically filter variants as being off-target in the VCF `FILTER` field by using the `--filter-bed` option:

```
$ rtg snp --filter-bed exome-regions.bed -o snp_sample_NA19240 -t hg19 -I
sample_NA19240-bam-files
```

Note that the exome capture BED file must correspond to the correct reference you are mapping and calling against. You may need to run the BED file supplied by your sequencing vendor through a lift-over tool if the reference genome versions differ.

If you do not have a BED file that specifies the exome capture regions for your reference genome, you should supply an additional flag during variant calling to indicate an appropriate coverage threshold for over-coverage situations. In this case, the variant command would look like this:

```
$ rtg snp --max-coverage N -o snp_sample_NA19240 -t hg19
-I sample_NA19240-bam-files
```

Where `N` is typically 5 times the expected coverage level within exome regions.

## 3.4 Modifications to support variant calling with multiple platforms

When calling variants, the `snp` command will automatically set machine error calibration parameters according to the platform (`PL` attribute) specified in the SAM read group. The values currently recognized by the `snp` command for this attribute are `ILLUMINA`, `LS454`, `IONTORRENT` and `COMPLETE`. As long as each mapping run has set a read group with an appropriate platform attribute, data from multiple platforms can be supplied to the `snp` command. For example, to call variants using output from the mapping runs in the previous two sections:

```
$ cat sample_NA19240-map-files
sample_NA19240_cgmap-files > sample_NA19240-all-map-files
$ rtg snp -o snp_multiplatform -t hg19 -I sample_NA19240-all-map-files
```

## 3.5 Modifications to support sex-aware mapping and variant calling

RTG features the ability to adjust mapping and variant calling according to the sex of the individual that has been sequenced. During mapping, reads will only be mapped against chromosomes that are present in that individual's genome (for example, for a female individual, reads will not be mapped to the Y chromosome). Similarly, sex-aware variant calling will automatically determine when to switch between diploid and haploid prediction models.

This section builds on the tasks listed in Table 5 to perform sex-aware mapping and variant calling for a single individual. RTG is also capable of performing simultaneous joint calling of multiple family members with the `family` command, described in more detail in Section 3.6 *Modifications to support joint family variant calling*.

Table 7: Overview of sex-aware pipeline tasks

Task	Command & Utilities	Purpose
Task 1 Format reference data	\$ rtg format	Create reference specification file
Task 3 Map reads to reference genome	\$ rtg map	Perform sex-aware mapping to the reference
Task 6 Call sequence variants	\$ rtg snp	Perform sex-aware variant calls relative to the reference

### 3.5.1 Task 1 - Format reference data

Sex-aware processing requires some extra information to be placed in the reference SDF that specifies which chromosomes are present in each sex, and the expected ploidy of each chromosome. After formatting the reference genome as described in Section 3.1.1, create a `reference.txt` file in the reference SDF directory by copying an appropriate one of the examples provided in the `scripts` subdirectory of the RTG distribution (in this case corresponding to hg19):

```
$ cp /path/to/rtg/scripts/hg19.example.reference.txt hg19/reference.txt
```

---

**NOTE:** If your reference genome is for a species other than human or if it uses different chromosome naming conventions, the `reference.txt` file you create will need to be adjusted. See Section 5.3 *RTG reference file format* for more information about the `reference.txt` file format.

After placing this file in the reference SDF directory, you can use the `sdfstats` command to verify the chromosome listing for each sex.

```
$ rtg sdfstats hg19 --sex male --sex female --sex either
Type           : DNA
Number of sequences: 25
Maximum length  : 249250621
Minimum length  : 16571
Sequence names  : yes
N               : 234350281
A               : 844868045
C               : 585017944
G               : 585360436
T               : 846097277
Total residues  : 3095693983
Residue qualities : no

Sequences for sex=MALE:
chrM POLYPLDID circular 16571
chr1 DIPLOID linear 249250621
chr2 DIPLOID linear 243199373
chr3 DIPLOID linear 198022430
chr4 DIPLOID linear 191154276
chr5 DIPLOID linear 180915260
```

```

chr6 DIPLOID linear 171115067
chr7 DIPLOID linear 159138663
chr8 DIPLOID linear 146364022
chr9 DIPLOID linear 141213431
chr10 DIPLOID linear 135534747
chr11 DIPLOID linear 135006516
chr12 DIPLOID linear 133851895
chr13 DIPLOID linear 115169878
chr14 DIPLOID linear 107349540
chr15 DIPLOID linear 102531392
chr16 DIPLOID linear 90354753
chr17 DIPLOID linear 81195210
chr18 DIPLOID linear 78077248
chr19 DIPLOID linear 59128983
chr20 DIPLOID linear 63025520
chr21 DIPLOID linear 48129895
chr22 DIPLOID linear 51304566
chrX HAPLOID linear 155270560 ~=chrY
    chrX:60001-2699520   chrY:10001-2649520
    chrX:154931044-155260560   chrY:59034050-59363566
chrY HAPLOID linear 59373566 ~=chrX
    chrX:60001-2699520   chrY:10001-2649520
    chrX:154931044-155260560   chrY:59034050-59363566

```

Sequences for sex=FEMALE:

```

chrM POLYPLOID circular 16571
chr1 DIPLOID linear 249250621
chr2 DIPLOID linear 243199373
chr3 DIPLOID linear 198022430
chr4 DIPLOID linear 191154276
chr5 DIPLOID linear 180915260
chr6 DIPLOID linear 171115067
chr7 DIPLOID linear 159138663
chr8 DIPLOID linear 146364022
chr9 DIPLOID linear 141213431
chr10 DIPLOID linear 135534747
chr11 DIPLOID linear 135006516
chr12 DIPLOID linear 133851895
chr13 DIPLOID linear 115169878
chr14 DIPLOID linear 107349540
chr15 DIPLOID linear 102531392
chr16 DIPLOID linear 90354753
chr17 DIPLOID linear 81195210
chr18 DIPLOID linear 78077248
chr19 DIPLOID linear 59128983
chr20 DIPLOID linear 63025520
chr21 DIPLOID linear 48129895
chr22 DIPLOID linear 51304566
chrX DIPLOID linear 155270560
chrY NONE linear 59373566

```

Sequences for sex=EITHER:

```

chrM POLYPLOID circular 16571
chr1 DIPLOID linear 249250621
chr2 DIPLOID linear 243199373
chr3 DIPLOID linear 198022430
chr4 DIPLOID linear 191154276
chr5 DIPLOID linear 180915260
chr6 DIPLOID linear 171115067
chr7 DIPLOID linear 159138663
chr8 DIPLOID linear 146364022
chr9 DIPLOID linear 141213431
chr10 DIPLOID linear 135534747
chr11 DIPLOID linear 135006516
chr12 DIPLOID linear 133851895
chr13 DIPLOID linear 115169878
chr14 DIPLOID linear 107349540

```



```
chr15 DIPLOID linear 102531392
chr16 DIPLOID linear 90354753
chr17 DIPLOID linear 81195210
chr18 DIPLOID linear 78077248
chr19 DIPLOID linear 59128983
chr20 DIPLOID linear 63025520
chr21 DIPLOID linear 48129895
chr22 DIPLOID linear 51304566
chrX DIPLOID linear 155270560
chrY DIPLOID linear 59373566
```

If you receive an error message, check the names of the chromosomes in the `reference.txt` file match those used in the reference SDF.

### 3.5.2 Task 3 - Map reads to the reference genome

The only alteration to mapping is that the sex of the individual should be specified using the `--sex` flag (or the `--pedigree` flag). For example, continuing with our earlier NA19240 examples:

```
$ mkdir map_sample_NA19240
$ rtg map -i sample_NA19240/SRR002978 -t hg19
  -o map_sample_NA19240/SRR002978 --sex female
```

or for Complete Genomics reads:

```
$ mkdir cgmap_sample_NA19240
$ rtg cgmap -i CGsample_NA19240/GS002290 -t hg19
  -o cgmap_sample_NA19240/GS002290 --sex female --mask cg1
```

These commands will result in no mappings being made to the Y chromosome. If you were mapping the father of the YRI trio, NA19239, you would specify `--sex=male` instead. When no `--sex` flag is supplied, this is equivalent to specifying `--sex=either`.

---

**NOTE:** When performing many mapping runs for several samples, more streamlined processing can be achieved by using the `--pedigree` option to specify the sample sex via a pedigree PED file. See Section 3.6.1 for more information.

### 3.5.3 Task 6 - Call sequence variants

Performing sex-aware variant calling is just as simple as sex-aware mapping, simply specify the appropriate `--sex` flag when running the `snp` command.

```
$ rtg snp -o snp_sample_NA19240 -t hg19 --sex female
  -I sample_NA19240-map-files
```

Inspecting the output VCF for this run will show that no variants have been called for the Y chromosome. If you have carried out sex-aware mapping for the father of the trio, NA19239, the following command would perform sex-aware variant calling.

```
$ rtg snp -o snp_sample_NA19239 -t hg19 --sex male
  -I sample_NA19239-map-files
```

Inspection of the output VCF for this run will show haploid variant calls for the X and Y chromosomes.

```
$ rtg extract snp_sample_NA19239/snps.vcf.gz chrX | head
$ rtg extract snp_sample_NA19239/snps.vcf.gz chrY | head
```

RTG also supports automatic handling of pseudoautosomal regions and will produce haploid or diploid calls within the X PAR regions as appropriate for the sex of the individual.

---

**NOTE:** When performing many `snp` runs for several samples, more streamlined processing can be achieved by using the `--pedigree` option to specify the sample sex via a pedigree PED file. See Section 3.6.1 for more information.

## 3.6 Modifications to support joint family variant calling

This section builds on the tasks listed in Table 5 and Table 7 to extend variant calling to joint calling of multiple members of a Mendelian family.

Table 8: Overview of family variant calling pipeline tasks

Task	Command & Utilities	Purpose
Task 3 Map reads to reference genome	<code>\$ rtg map</code>	Perform sex-aware mapping to the reference
Task 6 Call sequence variants	<code>\$ rtg family</code>	Perform sex-aware joint variant calls relative to the reference on a Mendelian family

### 3.6.1 Task 3 - Map reads to the reference genome

For optimal results the mapping stage should be performed as described in Task 3 - Map reads to the reference genome. Also ensure that when mapping each individual they are given a unique sample ID and that all reads for that individual have the same sample ID (The sample ID is specified as the `SM` part of the `--sam-rg` flag either during the formatting of the reads or for the `map` command explicitly).

For example when mapping the trio NA19238, NA19239 and NA19240, we shall give the mappings for NA19238 `--sex=female` option and the sample ID NA19238:

```
$ mkdir map_sample_NA19238
$ rtg map -i sample_NA19238/SRR002998 -t hg19
  -o map_sample_NA19238/SRR002998 --sex female
  --sam-rg "@RG\tID:SRR002998\tSM:NA19238\tPL:ILLUMINA"
```

For NA19239 `--sex=male` and sample id NA19239:

```
$ mkdir map_sample_NA19239
$ rtg map -i sample_NA19239/SRR002879 -t hg19
  -o map_sample_NA19239/SRR002879 --sex male
  --sam-rg "@RG\tID:SRR002879\tSM:NA19239\tPL:ILLUMINA"
```

And lastly for NA19240 `--sex=female` option and the sample ID NA19240:

```
$ mkdir map_sample_NA19240
$ rtg map -i sample_NA19240/SRR002978 -t hg19
  -o map_sample_NA19240/SRR002978 --sex female
  --sam-rg "@RG\tID:SRR002978\tSM:NA19240\tPL:ILLUMINA"
```

---

**NOTE:** Per-sample sex information can also be specified using a pedigree PED file. See Section 3.7.1

### 3.6.2 Task 6 - Call sequence variants

The `family` command is invoked similarly to the `snps` command except you need to specify the way the samples relate to each other. This is done by specifying the appropriate sample ID given during mapping to the command line flags `--mother`, `--father`, `--daughter` and `--son`.

---

**NOTE:** The RTG `family` command currently only supports a basic family relationship of a mother, father and one or more children, either daughters or sons.

To run the `family` command on the trio of NA19238 (mother), NA19239 (father) and NA19240 (daughter) you need to provide all the mapping files for the samples. The mapping calibration files will be automatically detected at the locations of the mapping files. To specify these in a file list for input you could run:

```
$ find /partition2/map_trio
  -name "alignments.bam" > map_trio-bam-files
```

To run the `family` command you then specify the sample ID for each member of the trio to the appropriate flag.

```
$ rtg family --mother NA19238 --father NA19239 --daughter NA19240 -t hg19
  -o trio_variants -I map_trio-bam-files
```

Examining the `snps.vcf.gz` file in the output directory will show individual columns for the variants of each family member. For more details about the VCF output file see Section 5.7.3 *VCF output file description*.

---

**NOTE:** Per-family relationship information can also be specified using a pedigree PED file. See Section 3.7.1

## 3.7 Modifications to support joint population variant calling

This section builds on the tasks listed in Table 5 and Table 7 to extend variant calling to joint calling of multiple potentially related individuals in a population.

Table 9: Overview of population variant calling pipeline tasks

Task	Command & Utilities	Purpose
Task 3 Map reads to reference genome	<code>\$ rtg map</code>	Perform sex-aware mapping to the reference
Task 6 Call sequence variants	<code>\$ rtg population</code>	Perform sex-aware joint variant calls relative to the reference on a population

### 3.7.1 Task 3 – Map reads to the reference genome

For optimal results the mapping stage should be performed as described in Section 3.1.3 *Task 3 - Map reads to the reference genome*. Also ensure that when mapping each individual they are given a unique sample ID and that all reads for that individual have the same sample ID (The sample ID is specified as the SM part of the `--sam-rg` flag either during the formatting of the reads or for the map command explicitly).

RTG commands for mapping and variant calling multiple samples make use of a pedigree file specifying sample names, their sex, and any relations between them. This is done by creating a standard PED format file containing information about the individual samples using a text editor. For example a PED file for the individuals NA19238, NA19239, NA19240 and the unrelated NA12878 might look like the following.

```
$ cat population.ped

# PED format pedigree
# fam-id   ind-id   pat-id   mat-id   sex   phen
FAM01     NA19238   0        0        2    0
FAM01     NA19239   0        0        1    0
FAM01     NA19240   NA19239   NA19238   2    0
0         NA12878   0        0        2    0
```

Note that the IDs used in columns 2, 3, and 4 must match the sample IDs used during data formatting, and the family ID in column 1 is ignored. You can use the `pedstats` command to verify the correct format:

```
$ rtg pedstats population.ped
Pedigree file: population.ped

Total samples:          4
Primary samples:        4
Male samples:           1
Female samples:         3
Afflicted samples:      0
Founder samples:        3
Parent-child relationships: 2
Other relationships:    0
Families:               1
```

For more information about the PED file format see Section 5.5 *Pedigree PED input file format*.

For example when mapping the individuals NA19238, NA19239, NA19240 and NA12878, we supply the pedigree file to automatically determine the appropriate sex for mapping each sample. For sample NA19238:

```
$ mkdir map_sample_NA19238
$ rtg map -i sample_NA19238/SRR002998 -t hg19
-o map_sample_NA19238/SRR002998 --pedigree population.ped
```

For sample NA19239:

```
$ mkdir map_sample_NA19239
$ rtg map -i sample_NA19239/SRR002879 -t hg19
-o map_sample_NA19239/SRR002879 --pedigree population.ped
```

For sample NA19240:

```
$ mkdir map_sample_NA19240
$ rtg map -i sample_NA19240/SRR002978 -t hg19
-o map_sample_NA19240/SRR002978 --pedigree population.ped
```

And lastly for NA12878:

```
$ mkdir map_sample_NA12878
$ rtg map -i sample_NA12878/HS2000-907_85_5_1 -t hg19
  -o map_sample_NA12878/HS2000-907_85_5_1 --pedigree population.ped
```

### 3.7.2 Task 6 - Call sequence variants

The `population` command is invoked similarly to the `snps` command except you must specify the pedigree file containing information about each sample and any relations between them.

To run the `population` command on the population of NA19238, NA19239, NA19240 and NA12878 you need to provide all the mapping files for the samples. The mapping calibration files will be automatically detected at the locations of the mapping files. To specify these in a file list for input you could run:

```
$ find /partition2/map_population
  -name "alignments.bam" > map_population-bam-files
```

To run the `population` command you then specify the PED file containing the sample ID for each member of the population in the individual ID column.

```
$ rtg population --pedigree population.ped -t hg19 -o pop_variants
  -I map_population-bam-files
```

Examining the `snps.vcf.gz` file in the output directory will show individual columns for the variants of each member of the population. For more details about the VCF output file see Section 5.7.3 *VCF output file description*.

## 3.8 Create and use population priors in variant calling

To improve the accuracy of variant calling on new members of a population, a file containing the allele counts of the population's known variants may be supplied. This information is used as an extra set of prior probabilities when making calls.

Sources of this allele count data can be external, for instance the 1000 genomes project, or from prior variant calling on other members of the population. An example use case of the latter follows.

### Data

For this use case it is assumed that the following data is available:

- `/data/runs/20humans.vcf.gz` - output from a previous `population` command run on 20 humans from a population.
- `/data/reference/human_reference` - SDF containing the human reference sequences.
- `/data/mappings/new_human.txt` - text file containing a list of BAM files with the sequence alignments for the new member of the population.

Table 10: Overview of pipeline tasks

Task	Command & Utilities	Purpose
Task 1	<code>\$ rtg vcfannotate</code>	Produce a reusable set of population priors

Task	Command & Utilities	Purpose
Produce population priors file	\$ rtg vcfsubset	from an existing VCF file
Task 2 Run variant calling using population priors	\$ rtg snp	Perform variant calling on the new member of the population using the new population priors to improve results

### 3.8.1 Task 1 - Produce population priors file

Using a full VCF file for a large population as population priors can be slow, as it contains lots of unnecessary information. The AC and AN fields are the standard VCF specification fields representing the allele count in genotypes, and total number of alleles in called genotypes. For more information on these fields, see the VCF specification at <https://samtools.github.io/hts-specs/VCFv4.1.pdf>. Alternatively, retaining only the GT field for each sample is sufficient, however this is less efficient both computationally and size-wise.

To calculate and annotate the AC and AN fields for a VCF file, use the RTG command `vcfannotate` with the parameter `--fill-an-ac`:

```
$ rtg vcfannotate --fill-an-ac -i /data/runs/20humans.vcf.gz
-o 20humans_an_ac.vcf.gz
```

Then remove all unnecessary data from the file using the RTG command `vcfsubset`:

```
$ rtg vcfsubset --keep-info AC --keep-info AN --remove-samples
-i 20humans_an_ac.vcf.gz -o 20humans_priors.vcf.gz
```

This output is block compressed and tabix indexed by default, which is necessary for the population priors input. There will be an additional file output called `20humans_priors.vcf.gz.tbi` which is the tabix index file.

The resulting population priors can now be stored in a suitable location to be used for any further runs as required.

```
$ cp 20humans_priors.vcf.gz* /data/population_priors/
```

### 3.8.2 Task 2 - Run variant calling using population priors

The population priors can now be used to improve variant calling on new members of the population supplying the `--population-priors` parameter to any of the variant caller commands.

```
$ rtg snp -o new_human_snps -t /data/reference/human_reference
-I /data/mappings/new_human.txt
--population-priors /data/population_priors/20humans_priors.vcf.gz
```

## 3.9 Somatic variant detection in cancer

Use the following ordered steps to detect somatic variations between normal and tumor read samples.

Table 11: Overview of somatic pipeline tasks

Task	Command & Utilities	Purpose
Task 1 Format reference data	\$ rtg format	Convert reference sequence from FASTA file to RTG Sequence Data Format (SDF)
Task 2 Format read data	\$ rtg format	Convert read sequence from FASTA and FASTQ files to RTG Sequence Data Format (SDF)
Task 3 Map reads against the reference genome	\$ rtg map	Generate read alignments for the normal and cancer samples, and report in a BAM file for downstream analysis
Task 4 Call somatic variants	\$ rtg somatic	Detect somatic variants between the normal and tumor samples

### 3.9.1 Task 1 - Format reference data

Format the human reference data to RTG SDF using Task 1 of *RTG mapping and sequence variant detection*. In the following tasks it is assumed the human reference SDF is called hg19.

### 3.9.2 Task 2 - Format read data

Format the normal and tumor sample read data sets to RTG SDF using Task 2 of *RTG mapping and sequence variant detection*. In this example we assume there are 20 lanes of data for each sample.

```
$ for ((i=1;i<20;i++)); do
$   rtg format -f fastq -q solexa -o normal_reads_${i}
$   -l /data/reads/normal/${i}/reads_1.fq
$   -r /data/reads/normal/${i}/reads_2.fq
$   --sam-rg "@RG\tID:normal_${lane_id}\tSM:sm_normal\tPL:ILLUMINA"
$ done

$ for ((i=1;i<20;i++)); do
$   rtg format -f fastq -q solexa -o tumor_reads_${i}
$   -l /data/reads/tumor/${i}/reads_1.fq
$   -r /data/reads/tumor/${i}/reads_2.fq
$   --sam-rg "@RG\tID:tumor_${lane_id}\tSM:sm_tumor\tPL:ILLUMINA"$ done
```

### 3.9.3 Task 3 - Map reads against the reference genome

Map the normal and tumor sample reads against the reference genome using Task 3 of *RTG mapping and sequence variant detection*. The mapping must be done with appropriate read group information for each read set with the `--sam-rg` flag. All mappings on the normal should have the same sample ID and all mappings on the tumor should have the same sample ID, but the sample ID should be different between the tumor mappings and normal mappings.

```
$ for ((i=1;i<20;i++)); do
$   rtg map -i normal_reads_${i} -t hg19 -o normal_map_${i}
$ done

$ for ((i=1;i<20;i++)); do
$   rtg map -i cancer_reads_${i} -t hg19 -o cancer_map_${i}
```

```
$ done
```

### 3.9.4 Task 4 - Call somatic variants

The `somatic` command is invoked similarly to the `snp` command except you need to specify some extra details. Firstly you need to specify the sample IDs corresponding to the normal and cancer samples, with the `--original` and `--derived` flags respectively. Secondly you may optionally specify the estimated level of contamination from the normal sample in the tumor sample using the `--contamination` flag.

```
$ rtg somatic -t hg19 -o somatic_out --derived sm_tumor
--original sm_normal --contamination 0.1
normal_map_*/alignments.bam tumor_map_*/alignments.bam
```

Examining the `snps.vcf.gz` file in the output directory will show a column each for the variants of the normal and tumor samples and will contain variants where the tumor sample differs from the normal sample. The `somatic` command stores information in the VCF INFO fields `NCS`, and `LOH` and FORMAT field `SSC` and `SS`. For more details about the VCF output file see Section 5.7.3 *VCF output file description*.

### 3.9.5 Using site-specific somatic priors

The `somatic` command has a default prior of 0.000001 (1e-6) for a particular site being somatic. Since the human genome comprises some 3.2 GB, this prior corresponds to an expectation of about 3200 somatic variants in a whole genome sample. Depending on the expected number of variants for a particular sample, it may be appropriate to raise or lower this prior. In general, decreasing the prior increases specificity while increasing the prior increases sensitivity.

Of course, not every site is equally likely to lead to a somatic variant. To support different priors for different sites we provide a facility to set a prior on per site basis via a BED file we call *site-specific somatic priors*. The `--somatic-priors` command line option is used to provide the file to the `somatic` command.

The site-specific somatic priors can cover as many or as few sites as desired. Any site not covered by a specific prior will use the default prior. The format of the file is a BED file where the fourth column of each line gives the explicit prior for the indicated region, for example,

```
1      14906    14910    1e-8
```

denotes that the prior for bases 14907, 14908, 14909, and 14910 on chromosome 1 is 1e-8 rather than the default. (Recall that BED files use 0-based indices.) If the BED file contains more than one prior covering a particular site, then the largest prior covering that site is used. When making a complex call, the prior used is the arithmetic average of priors in the region of the complex call.

A typical starting point for making somatic site-specific priors might include a database of known cancer sites (for example, [COSMIC](#)) and a database of sites known to be variant in the population (for example, [dbSNP](#)). The idea is that the COSMIC sites are more likely to be somatic and should have a higher prior, while those in dbSNP are less likely to be somatic and should have a lower prior.

The following recipe can be used to build the BED file where some sites have a lower prior of 1e-8 and others have a higher prior of 1e-5. The procedure can be easily modified to incorporate additional inputs each with its own prior.



First, collect prerequisites in the form of VCF files (here using the names `cosmic.vcf.gz` and `dbsnp.vcf.gz`, but, of course, any other VCFs can also be used).

```
$ COSMIC=cosmic.vcf.gz
$ DBSNP=dbsnp.vcf.gz
```

Convert each VCF file into a BED file with the desired priors taking care to convert from 1-based coordinates in VCF to 0-based coordinates in VCF.

```
$ zcat ${COSMIC} | awk -vOFS='\t'
    '/^[^#]/{print $1,$2-1,$2+length($4)-1,"1e-5"}' | sort -Vu >p0.bed
$ zcat ${DBSNP} | awk -vOFS='\t'
    '/^[^#]/{print $1,$2-1,$2+length($4)-1,"1e-8"}' | sort -Vu >p1.bed
```

[Optional] Collapse adjacent intervals together. One way of doing this is to use the `bedtools` merge facility. This can result in a smaller final result when the intervals are dense.

```
$ bedtools merge -c 4 -o distinct -i p0.bed >p0.tmp && mv p0.tmp p0.bed
$ bedtools merge -c 4 -o distinct -i p1.bed >p1.tmp && mv p1.tmp p1.bed
```

In general, care must be taken to ensure intersecting sites are handled in the desired manner. Since in this case we want to use COSMIC in preference to dbSNP and `prior(COSMIC) > prior(dbSNP)`, we can simply merge the outputs because the somatic caller will choose the larger prior in the case of overlap.

```
$ sort --merge -V p0.bed p1.bed | rtg bgzip - >somatic-priors.bed.gz
```

To support somatic calling on restricted regions, construct a tabix index for the priors file.

```
$ rtg index -f bed somatic-priors.bed.gz
```

The site-specific somatic BED file is now ready to be used by the `somatic` command:

```
$ rtg somatic --somatic-priors somatic-priors.bed.gz ...
```

## 3.10 AVR scoring using HAPMAP for model building

AVR (Adaptive Variant Rescoring) is a machine learning technology for learning and predicting which calls are likely correct. It comprises of a learning algorithm that takes training examples and infers a model about what constitutes a good call and a prediction engine which applies the model to variants and estimates their correctness. It uses attributes of the call that are not considered by the internal Bayesian statistics model to make better predictions as to the correctness of a variant call.

Each of the RTG variant callers (`snp`, `family`, `population`) automatically runs a default AVR model, producing an AVR attribute for each sample. The model can be changed with the `--avr-model` parameter, and the AVR functionality can be turned off completely by specifying the special 'none' model.

Example command line usage. Turn AVR rescoring off:

```
$ rtg family --mother NA19238 --father NA19239 --daughter NA19240 -t hg19
    -o trio_variants -I map_trio-bam-files --avr-model none
```

Apply default RTG AVR model:

```
$ rtg family --mother NA19238 --father NA19239 --daughter NA19240 -t hg19
    -o trio_variants -I map_trio-bam-files
```

Apply a custom AVR model:

```
$ rtg family --mother NA19238 --father NA19239 --daughter NA19240 -t hg19
    -o trio_variants -I map_trio-bam-files
```

```
--avr-model /path/to/my/custom.avr
```

The effectiveness of AVR is strongly dependent on the quality of the training data. In general, the more training data you have, the better the model will be. Ideally the training data should have the same characteristics as the calls to be predicted; that is, the same platform, the same reference, the same coverage, etc. There also needs to be a balance of positive and negative training examples. In reality, these conditions can only be met to varying degrees, but AVR will try to make the most of what it is given.

A given AVR model is tied to a set of attributes corresponding to fields in VCF records or quantities that are derivable from those fields. The attributes chosen can take into account anomalies associated with different sequencing technologies. Examples of attributes are things like quality of the call, zygosity of the call, strand bias, allele balance, and whether or not the call is complex. Not all attributes are equally predictive and it is the job of the machine learning to determine which combinations of attributes lead to the best predictions. When building a model it is necessary to provide the list of attributes to be used. In general, providing more attributes gives the AVR model a better chance at learning what constitutes a good call. There are two caveats; the attributes used during training need to be present in the calls to be predicted and some attributes like DP are vulnerable to changes in coverage. AVR is able to cope with missing values during both training and prediction.

The training data needs to comprise both positive and negative examples. Ideally we would know exactly the truth status of each training example, but in reality this must be approximated by reference to some combination of baseline information.

In the example that follows, the HAPMAP database will be used to produce and then use an AVR model on a set of output variants, a process that can be used when no appropriate AVR model is already available. The HAPMAP database will be used to determine which of the variants will be considered correct for training purposes. This will introduce two types of error; correct calls which are not in HAPMAP will be marked as negative training examples and a few incorrect calls occurring at HAPMAP sites will be marked as positive training examples.

## Data

Reference SDF on which variant calling was performed, in this example assumed to be an existing SDF containing the 1000 genomes build 37 phase 2 reference

([ftp://ftp.1000genomes.ebi.ac.uk/vol1/ftp/technical/reference/phase2\\_reference\\_assembly\\_sequence/hs37d5.fa.gz](ftp://ftp.1000genomes.ebi.ac.uk/vol1/ftp/technical/reference/phase2_reference_assembly_sequence/hs37d5.fa.gz)). For this example this will be called `/data/reference/1000g_v37_phase2`.

The HAPMAP variants file from the Broad Institute data bundle

([ftp://gsapubftp-anonymous@ftp.broadinstitute.org/bundle/2.5/b37/hapmap\\_3.3.b37.vcf.gz](ftp://gsapubftp-anonymous@ftp.broadinstitute.org/bundle/2.5/b37/hapmap_3.3.b37.vcf.gz)). For this example this will be called `/data/hapmap_3.3.b37.vcf.gz`.

The example will be performed on the merged results of the RTG `family` command for the 1000 genomes CEU trio NA12878, NA12891 and NA12892. For this example this will be called `/data/runs/NA12878trio/family.vcf.gz`.

Table 12: Overview of basic pipeline tasks

Task	Command & Utilities	Purpose
Task 1 Create training data	<code>\$ rtg vcffilter</code>	To generate positive and negative examples for the AVR machine learning model to train on
Task 2 Build and check AVR model	<code>\$ rtg avrbuild</code> <code>\$ rtg avrstats</code>	To create and check an AVR model
Task 3 Use AVR model	<code>\$ rtg avrpredict</code> <code>\$ rtg snp</code> <code>\$ rtg family</code> <code>\$ rtg population</code>	To apply the AVR model to the existing output or to use it directly during variant calling
Task 4 Install AVR model	<code>\$ cp</code>	Install model in standard RTG model location for later reuse

### 3.10.1 Task 1 - Create training data

The first step is to use the `vcffilter` command to split the variant calls into positive and negative training examples with respect to HAPMAP. It is also possible to build training sets using the `vcfeval` command, however this is less appropriate for dealing with sites rather than calls and experiments indicate using `vcffilter` leads to better training sets.

```
$ rtg vcffilter --include-vcf /data/hapmap_3.3.b37.vcf.gz
-o pos-NA12878.vcf.gz -i /data/runs/NA12878trio/family.vcf.gz
--sample NA12878 --remove-same-as-ref
$ rtg vcffilter --exclude-vcf /data/hapmap_3.3.b37.vcf.gz
-o neg-NA12878.vcf.gz -i /data/runs/NA12878trio/family.vcf.gz
--sample NA12878 --remove-same-as-ref
```

Optionally check that the training data looks reasonable. There should be a reasonable amount of both positive and negative examples and all expected chromosomes should be represented.

### 3.10.2 Task 2 - Build and check AVR model

The next step is to build an AVR model. Select the attributes that will be used with some consideration to portability and the nature of the training set. Here we have excluded `XRX` and `LAL` because HAPMAP is primarily SNP locations and does not capture complex calls. By excluding `XRX` and `LAL` we prevent the model from learning that complex calls are bad. We have also excluded `DP` because we want a model somewhat independent of coverage level. Building the model can take a large amount of RAM and several hours. The amount of memory required is related to the number of training instances.

A list of derived annotations that can be used are available in Section 2.7.11, Table 4. For VCF `INFO` and `FORMAT` annotations check the header of the VCF file for available fields. The VCF fields output by RTG variant callers are described in Section 5.7.3.

```
$ rtg avrbuild -o NA12878model.avr --info-annotations DPR
--format-annotations DPR,AR,ABP,SBP,RPB,GQ
```

```
--derived-annotations IC,EP,QD,AN,PD,GQD,ZY
--sample NA12878 -p pos-NA12878.vcf.gz -n neg-NA12878.vcf.gz
```

Examine the statistics output to the screen to check things look reasonable. Due to how attributes are computed there can be missing values, but it is a bad sign if any attribute is missing from most samples.

```
Total number of examples: 5073752
Total number of positive examples: 680677
Total number of negative examples: 4393075
Total weight of positive examples: 2536873.27
Total weight of negative examples: 2536876.42
Number of examples with missing values:
  DERIVED-AN      0
  DERIVED-EP      0
  DERIVED-GQD     0
  DERIVED-IC    915424
  DERIVED-PD      0
  DERIVED-QD      0
  DERIVED-ZY      0
  FORMAT-ABP    13602
  FORMAT-AR     8375
  FORMAT-DPR     0
  FORMAT-GQ      0
  FORMAT-RPB     8375
  FORMAT-SBP    37129
  INFO-DPR       0

Hold-out score: 69.1821% (96853407/139997752)
Attribute importance estimate for DERIVED-AN: 0.0304% (29443/96853407)
Attribute importance estimate for DERIVED-EP: 1.2691% (1229135/96853407)
Attribute importance estimate for DERIVED-GQD: 4.7844% (4633873/96853407)
Attribute importance estimate for DERIVED-IC: 4.7748% (4624554/96853407)
Attribute importance estimate for DERIVED-PD: 0.0000% (0/96853407)
Attribute importance estimate for DERIVED-QD: 6.8396% (6624383/96853407)
Attribute importance estimate for DERIVED-ZY: 0.8693% (841925/96853407)
Attribute importance estimate for FORMAT-ABP: 5.4232% (5252533/96853407)
Attribute importance estimate for FORMAT-AR: 2.6008% (2518955/96853407)
Attribute importance estimate for FORMAT-DPR: 3.3186% (3214163/96853407)
Attribute importance estimate for FORMAT-GQ: 3.4268% (3319009/96853407)
Attribute importance estimate for FORMAT-RPB: 0.2176% (210761/96853407)
Attribute importance estimate for FORMAT-SBP: 0.0219% (21196/96853407)
Attribute importance estimate for INFO-DPR: 4.6525% (4506074/96853407)
```

Optionally check the resulting model file using the `avrstats` command. This will produce a short summary of the model including the attributes used during the build.

```
$ rtg avrstats NA12878model.avr

Location      : NA12878model.avr
Parameters    : avrbuilder -o NA12878model.avr --info-annotations DPR
--derived-annotations IC,EP,QD,AN,PD,GQD,ZY --format-annotations
DPR,AR,ABP,SBP,RPB,GQ --sample NA12878 -p pos-NA12878.vcf.gz -n neg-
NA12878.vcf.gz
Date built    : 2013-05-17-09-41-17
AVR Version   : 1
AVR-ID        : 7ded37d7-817f-467b-a7da-73e374719c7f
Type          : ML
QUAL used     : false
INFO fields   : DPR
FORMAT fields : DPR,AR,ABP,SBP,RPB,GQ
Derived fields: IC,EP,QD,AN,PD,GQD,ZY
```

### 3.10.3 Task 3 - Use AVR model

The model is now ready to be used. It can be applied to the existing variant calling output by using the `avrpredict` command.

```
$ rtg avrpredict --avr-model NA12878model.avr
-i /data/runs/NA12878trio/family.vcf.gz -o predict.vcf.gz
```

This will create or update the AVR FORMAT fields in the VCF output file with a score between 0 and 1. The higher the resulting score the more likely it is correct. To select an appropriate cutoff value for further analysis of variants some approaches might include measuring the Ti/Tv ratio or measuring sensitivity against another standard such as OMNI at varying score cutoffs.

The model can also be used directly in any new variant calling runs:

```
$rtg snp --avr-model NA12878model.avr -t hg19 -o snp_sample_NA19240
--sex female -I sample_NA19240-map-files
$rtg population --avr-model NA12878model.avr -t hg19 -o pop_variants
-I map_population-bam-files
```

### 3.10.4 Task 4 - Install AVR model

The custom AVR model can be installed into a standard location so that it can be referred to by a short name (rather than the full file path name) in the `avrpredict` and variant caller commands. The default location for AVR models is within a subdirectory of the RTG installation directory called `models`, and each file in that directory with a `.avr` extension is a model that can be accessed by its short name. For example if the `NA12878model.avr` model file is placed in `/path/to/rtg/installation/models/NA12878model.avr` it can be accessed by any user either using the full path to the model:

```
$ rtg snp --avr-model /path/to/rtg/installation/models/NA12878model.avr
-o snp_sample_NA19240 -t hg19 --sex female
-I sample_NA19240-map-files
```

or, by just the model file name:

```
$ rtg snp --avr-model NA12878model.avr -o snp_sample_NA19240 -t hg19
--sex female -I sample_NA19240-map-files
```

The AVR model directory will already contain the models prebuilt by RTG:

- `illumina-exome.avr` - model built from Illumina exome sequencing data. This model is the default model when running variant calling.
- `illumina-wgs.avr` - model built from Illumina whole genome sequencing data. If you are running variant calling Illumina WGS you may want to use this model instead of the default, although the default should still be effective.
- `alternate.avr` - model built using XRX, ZY and GQD attributes. This should be platform independent and may be a better choice if a more specific model for your data is unavailable. In particular, this model may be more appropriate for scoring the results of variant calling in situations where unusual allele-balance is expected (for example somatic calling with contamination, or calling high amplification data where allele drop out is expected)

## 3.11 RTG structural variant detection

RTG has developed tools to assist in discovering structural variant regions in whole genome sequencing data. The tools can be used to locate likely structural variant breakpoints and regions that have been duplicated or deleted. These tools are capable of processing whole genome mapping data containing multiple read groups in a streamlined fashion.

Table 13: Overview of structural variants analysis pipeline tasks

Task	Command & Utilities	Purpose
Task 1 Format reference and read data	<code>\$ rtg format</code> <code>\$ rtg sdfstats</code>	Convert reference and read sequence from FASTA file to RTG Sequence Data Format (SDF)
Task 2 Map reads against a reference genome	<code>\$ rtg map</code>	Generate read alignments against a given reference, and report in a BAM file for downstream analysis
Task 3 Find structural variants with sv	<code>\$ rtg sv</code>	Process prepared mapping results to identify likely structural variants
Task 4 Find structural variants with discord	<code>\$ rtg discord</code>	Process prepared mapping results to identify likely structural variant breakends

### 3.11.1 Task 1 - Format reference and read data

Format the human reference data and the sample read data to RTG SDF using tasks 1 and 2 of *RTG mapping and sequence variant detection*. In the following tasks it is assumed the human reference SDF is called `hg19` and the read sample SDF is called `sample_NA19240/RG0022101`.

### 3.11.2 Task 2 - Map reads against a reference genome

This mapping proceeds as normal, as described in task 3 of *RTG mapping and sequence variant detection*. For structural variant detection it is particularly important to specify the read group information with the `--sam-rg` flag either during the formatting of the reads or for the map command explicitly. The structural variant tools currently requires the `PL` (platform) attribute to be either `ILLUMINA` (for Illumina reads) or `COMPLETE` (for Complete Genomics reads).

```
$ rtg map -i sample_NA19240/RG0022101 -t hg19 -o map_NA19240/RG0022101  
--sam-rg "@RG\tID:RG0022101\tSM:NA19240\tPL:ILLUMINA"
```

Mapping identifies discordant read matings and inserts the pair information for unique unmated reads into the SAM records. The map command also produces a file within the directory called `rgstats.tsv` containing read group statistics.

The structural variant callers require the read group statistics files to be supplied, so as with multiple BAM files, it is possible to create a text file listing the locations of all the required statistics files:

```
$ find /partition2/map_sample_NA19240
    -name "rgstats.tsv" > sample_NA19240-rgstats-files
```

### 3.11.3 Task 4 - Find structural variants with sv

Once mapping is complete one can run the structural variants analysis tool. To run the `sv` tool you need to supply the mapping BAM files and the read group statistics files. As with the `snp`, `cnv` and `coverage` tools, this can be a large number of files and so input can be specified using list files.

```
$ rtg sv -t hg19 -o sample_NA19240-sv -I sample_NA19240-bam-files
    -R sample_NA19240-rgstats-files
```

```
$ ls -l sample_NA19240-sv
    30 Oct  1 16:56 done
   15440 Oct  1 16:56 progress
      0 Oct  1 16:56 summary.txt
  122762 Oct  1 16:56 sv_bayesian.tsv.gz
    9032 Oct  1 16:56 sv.log
```

The file `sv_bayesian.tsv.gz` contains a trace of the strengths of alternative bayesian hypothesis at points along the reference genome. The currently supported hypotheses are shown in the following table.

Table 14: Structural variant hypotheses

Hypothesis	Semantics
normal	Normal mappings, no structural variants
duplicate	Above normal mappings, potential duplication region
delete	Below normal mappings, potential deletion region
delete-left	Mapping data suggest the left breakpoint of a deletion
delete-right	Mapping data suggest the right breakpoint of a deletion
duplicate-left	Mapping data suggest the left boundary of a region that has been copied elsewhere
duplicate-right	Mapping data suggest the right boundary of a region that has been copied elsewhere
breakpoint	Mapping data suggest this location has received an insertion of copied genome
novel-insertion	Mapping data suggests this location has received an insertion of material not present in the reference

For convenience, the last column of the output file gives the index of the hypothesis with the maximum strength, to make it easier to identify regions where this changes for further investigation by the researcher.

The `sv` command also supports calling on individual chromosomes (or regions within a chromosome) with the `--region` parameter, and this can be used to increase overall throughput.

```
$ for seq in M {1..22} X Y; do
$   rtg sv -o sv_sample_NA19240-chr${seq} -t hg19
      -I sample_NA19240-sam-files -R sample_NA19240-rgstats-files
      --region chr${seq}
$ done
```

### 3.11.4 Task 5 - Find structural variants with discord

A second tool for finding structural variant break-ends is based on detecting cluster of discordantly mapped reads, those where both ends of a read are mapped but are mapped either in an unexpected orientation or with a TLEN outside the normal range for that read group. As with the `sv` command, `discord` requires the read group statistics to be supplied.

```
$rtg discord -t hg19 -o discord_sample_NA19240
-I sample_NA19240-bam-files -R sample_NA19240-rgstats-files --bed
```

As with `sv`, the `discord` command also supports using the `--region` flag:

```
$ for seq in M {1..22} X Y; do
$   rtg discord -o discord_sample_NA19240-chr${seq} -t hg19
      -I sample_NA19240-bam-files -R sample_NA19240-rgstats-files
      --region chr${seq}
$ done
```

The default output is in VCF format, following the VCF 4.1 specification for break-ends. However, as most third-party tools currently don't support this type of VCF, it is also possible to output each break-end as a separate region in a BED file.

## 3.12 Ion Torrent bacterial mapping and sequence variant detection

The following example supports the steps typical to bacterial genome analysis in which an Ion Torrent sequencer has generated reads at 10x coverage.

### Data

The baseline uses actual data pulled from the Ion community. The reference sequence is *Escherichia coli* K-12 sub-strain DH10B, available from the NCBI RefSeq database - accession NC\_010473 ([http://www.ncbi.nlm.nih.gov/nuccore/NC\\_010473](http://www.ncbi.nlm.nih.gov/nuccore/NC_010473)). The read data is comprised of Ion Torrent PGM run B14-387, which can be found at the Ion community (<http://lifetech-it.hosted.jivesoftware.com, requires registration>).

Table 15: Overview of basic pipeline tasks

Task	Command & Utilities	Purpose
Task 1 Format reference data	<code>\$ rtg format</code>	Convert reference sequence from FASTA file to RTG Sequence Data Format (SDF)
Task 2 Format read data	<code>\$ rtg format</code>	Convert read sequence from FASTA and FASTQ files to RTG Sequence Data Format (SDF)



Task	Command & Utilities	Purpose
Task 3 Map reads against the reference genome	\$ rtg map	Generate read alignments for the normal and cancer samples, and report in a BAM file for downstream analysis
Task 4 Call sequence variants in haploid mode	\$ rtg snp	Detect SNPs, MNPs, and indels in haploid sample relative to the reference genome

### 3.12.1 Task 1 - Format reference data

Mapping and variant detection requires a conversion of the reference genome from FASTA files into the RTG SDF format. This task will be completed with the `format` command. The conversion will create an SDF directory containing the reference genome.

Use the `format` command to convert the FASTA file into an SDF directory for the reference genome.

```
$ rtg format -o ecoli-DH10B
/data/bacteria/Escherichia_coli_K_12_substr__DH10B_uid58979/NC_010473.fna
```

### 3.12.2 Task 2 - Format read data

Mapping and variant detection of Ion Torrent data requires a conversion of the read sequence data from FASTQ files into the RTG SDF format. Additionally, it is recommended that read trimming based on the quality data present within the FASTQ file be performed as part of this conversion.

Use the `format` command to convert the read FASTQ file into an SDF directory, using the quality threshold option to trim poor quality ends of reads.

```
$ rtg format -f fastq -q solexa --trim-threshold=15 -o B14-387-reads
/data/reads/R_2011_07_19_20_05_38_user_B14-387-r121336-314_pool30-
ms_Auto_B14-387-r121336-314_pool30-ms_8399.fastq
```

### 3.12.3 Task 3 - Map reads to the reference genome

Map the sequence reads against the reference genome to generate alignments in BAM format.

The RTG `map` command provides a means for the mapping of Ion Torrent reads to a reference genome. When mapping Ion Torrent reads, a read group with the platform field (PL) set to "IONTORRENT" should be provided.

```
$ rtg map -i B14-387-reads -t ecoli-DH10B -o B14-387-map
--sam-rg "@RG\tID:B14-387-r121336\tSM:B14-387\tPL:IONTORRENT"
```

Multiple files are written to the output directory of the mapping run. For further variant calling, the `alignments.bam` file has the associated required index file `alignments.bam.bai`. The additional files `alignments.bam.calibration` contains metadata to provide more accurate variant calling.

### 3.12.4 Task 4 - Call sequence variants in haploid mode

Call haploid sequence variants in the mapped reads against the reference genome to generate a variants file in the VCF format.

The `snp` command will automatically set machine error calibration parameters according to the platform (PL attribute) specified in the SAM read group, in this example to the Ion Torrent parameters. The `snp` command defaults to diploid variant calling, so for this bacterial example haploid mode will be specified. The automatically included `.calibration` files provide additional information specific to the mapping data for improved variant calling.

```
$ rtg snp -t ecoli-DH10B -o B14-387-snp --ploidy=haploid
B14-387-map/alignments.bam
```

Examining the `snps.vcf.gz` file in the output directory will show that variants have been called in haploid mode. For more details about the VCF output file see Section 5.7.3 *VCF output file description*.

## 3.13 RTG contaminant filtering

Use the following set of tasks to remove contaminated reads from a sequenced DNA sample.

The RTG contamination filter, called `mapf`, removes contaminant reads by mapping against a database of potential contaminant sequences. For example, a bacterial metagenomic sample may have some amount of human sequence contaminating it. The following process removes any human reads leaving only bacteria reads.

Table 16: Overview of contaminant filtering tasks

Task	Command & Utilities	Purpose
Task 1 Format reference data	<pre>\$ rtg format \$ rtg sdfstats</pre>	Convert reference sequence from FASTA file to RTG Sequence Data Format (SDF)
Task 2 Format read data	<pre>\$ rtg format \$ rtg sdfstats</pre>	Convert read sequence from FASTA and FASTQ files to RTG Sequence Data Format (SDF)
Task 3 Run contamination filter	<pre>\$ rtg mapf</pre>	Produce the SDF file of reads which map to the contaminant and the SDF file of those that do not
Task 4 Manage filtered reads	<pre>\$ mv</pre>	Set up the results for use in further processing

### 3.13.1 Task 1 - Format reference data

RTG tools require a conversion of reference sequences from FASTA files into the RTG SDF format. This task will be completed with the `format` command. The conversion will create an SDF directory containing the reference genome.

First, observe a typical genome reference with multiple chromosome sequences stored in compressed FASTA format.

```
$ ls -l /data/human/hg19/
43026389 Mar 21 2009 chr10.fa.gz
42966674 Mar 21 2009 chr11.fa.gz
42648875 Mar 21 2009 chr12.fa.gz
31517348 Mar 21 2009 chr13.fa.gz
28970334 Mar 21 2009 chr14.fa.gz
26828094 Mar 21 2009 chr15.fa.gz
25667827 Mar 21 2009 chr16.fa.gz
25139792 Mar 21 2009 chr17.fa.gz
24574571 Mar 21 2009 chr18.fa.gz
17606811 Mar 21 2009 chr19.fa.gz
73773666 Mar 21 2009 chr1.fa.gz
19513342 Mar 21 2009 chr20.fa.gz
11549785 Mar 21 2009 chr21.fa.gz
11327826 Mar 21 2009 chr22.fa.gz
78240395 Mar 21 2009 chr2.fa.gz
64033758 Mar 21 2009 chr3.fa.gz
61700369 Mar 21 2009 chr4.fa.gz
58378199 Mar 21 2009 chr5.fa.gz
54997756 Mar 21 2009 chr6.fa.gz
50667196 Mar 21 2009 chr7.fa.gz
46889258 Mar 21 2009 chr8.fa.gz
39464200 Mar 21 2009 chr9.fa.gz
      5537 Mar 21 2009 chrM.fa.gz
49278128 Mar 21 2009 chrX.fa.gz
      8276338 Mar 21 2009 chrY.fa.gz
```

Now, use the `format` command to convert multiple input files into a single SDF directory for the reference.

```
$ rtg format -o hg19 /data/human/hg19/chrM.fa.gz
/data/human/hg19/chr[1-9].fa.gz /data/human/hg19/chr1[0-9].fa.gz
/data/human/hg19/chr2[0-9].fa.gz /data/human/hg19/chrX.fa.gz
/data/human/hg19/chrY.fa.gz
```

This takes the human reference FASTA files and creates a directory called `hg19` containing the SDF, with chromosomes ordered in the standard UCSC ordering. You can use the `sdfstats` command to show statistics for your reference SDF, including the individual sequence lengths.

```
$ rtg sdfstats --lengths hg19
Type                : DNA
Number of sequences: 25
Maximum length      : 249250621
Minimum length      : 16571
Sequence names      : yes
N                   : 234350281
A                   : 844868045
C                   : 585017944
G                   : 585360436
T                   : 846097277
Total residues      : 3095693983
Residue qualities    : no

Sequence lengths:
chrM      16571
chr1      249250621
chr2      243199373
chr3      198022430
chr4      191154276
chr5      180915260
chr6      171115067
chr7      159138663
chr8      146364022
```

```
chr9      141213431
chr10     135534747
chr11     135006516
chr12     133851895
chr13     115169878
chr14     107349540
chr15     102531392
chr16     90354753
chr17     81195210
chr18     78077248
chr19     59128983
chr20     63025520
chr21     48129895
chr22     51304566
chrX      155270560
chrY      59373566
```

### 3.13.2 Task 2 - Format read data

RTG tools require a conversion of read sequence data from FASTA or FASTQ files into the RTG SDF format. This task will be completed with the `format` command. The conversion will create an SDF directory for the sample reads.

Take a paired set of reads in FASTQ format and convert it into RTG data format (SDF). This example shows one run of data, taking as input both left and right mate pairs from the same run.

```
$ rtg format -f fastq -q sanger -o bacteria-sample
-l /data/reads/bacteria/sample_1.fq
-r /data/reads/bacteria/sample_2.fq
```

This creates a directory named `bacteria-sample` with two subdirectories, named `left` and `right`. Use the `sdfstats` command to verify this step.

```
$ rtg sdfstats bacteria-sample
```

### 3.13.3 Task 3 - Run contamination filter

The `mapf` command functions in much the same way as the `map` command, but instead of producing BAM files of the mappings it produces two SDF directories, one containing reads that map to the reference and the other with reads that do not map. As with the `map` command there are multiple tuning parameters to adjust sensitivity and selectivity of the mappings. As with the `map` command, you can use the `--start-read` and `--end-read` flags to perform the mapping in smaller sections if required. The default `mapf` settings are similar to `map` although the word size and step sizes have been adjusted to yield more sensitive mappings.

```
$ rtg mapf -t hg19 -i bacteria-sample -o filter-sample
```

In the `filter-sample` output directory there are, amongst other files, two directories named `alignments.sdf` and `unmapped.sdf`. The `alignments.sdf` directory is an SDF of the reads that mapped to the reference, and the `unmapped.sdf` directory is an SDF of the reads that did not map.

```
$ ls -l filter-sample/
4096 Sep 30 16:02 alignments.sdf/
33 Sep 30 16:02 done
2776886 Sep 30 16:02 mapf.log
12625 Sep 30 16:02 progress
143 Sep 30 16:02 summary.txt
4096 Sep 30 16:02 unmapped.sdf/
```

### 3.13.4 Task 4 - Manage filtered reads

Depending on the use case, either rename, move or delete the filtered SDF directories as necessary. In this example the reads that did not map to the contamination reference are to be used in further processing, so rename the `unmapped.sdf` directory.

```
$ mv filter-sample/unmapped.sdf bacteria-sample-filtered
```

The filtered read set is now ready for subsequent processing, such as with the `mapx` or `species` tools.

## 3.14 RTG translated protein searching

Use the following set of tasks to search DNA reads against a protein data set.

The RTG protein search tool, `mapx` translates nucleotide reads into protein space and search them against a protein data set. For example, a sample taken from a human gut can be searched against a protein data set to determine which kinds of protein families are present in the sample.

For this example we will search a human gut sample read set against an NCBI non-redundant protein data set. In the following tasks it is assumed non-redundant protein data set is called `nr.fasta` and the human gut sample is called `human-gut.fastq`.

Table 17: Overview of translated protein searching tasks

Task	Command & Utilities	Purpose
Task 1 Format protein data set	<code>\$ rtg format</code>	Convert protein data set from FASTA to RTG sequence data format (SDF)
Task 2 Format DNA read set	<code>\$ rtg format</code>	Convert read sequence from FASTA and FASTQ files to RTG Sequence Data Format (SDF)
Task 3 Search against protein data set	<code>\$ rtg mapx</code>	Generate search results with alignments in tabular format

### 3.14.1 Task 1 - Format protein data set

The `mapx` command requires a conversion of a protein data set from FASTA files into RTG SDF format. This task will be completed with the `format` command. The conversion will create an SDF directory containing the protein data set.

```
$ rtg format -p -o nr /data/NCBI-nr/nr.fasta
```

The above command will take the `nr.fasta` file and create a directory called `nr` containing the SDF. Note that the `-p` option is used to create the SDF with protein data.

### 3.14.2 Task 2 - Format DNA read set

The `mapx` command requires a conversion of the DNA read set data from FASTA or FASTQ files into RTG SDF format. This task will be completed with the `format` command. The following command assumes the sample read data set is in Solexa FASTQ format.

```
$ rtg format -f fastq -q solexa -o human-gut  
/data/human-gut-sample.fastq
```

### 3.14.3 Task 3 - Search against protein data set

Search the DNA reads against the protein data set and generate alignments in tabular format.

The `mapx` command provides multiple tuning parameters to adjust sensitivity and selectivity at the search stage. As with the `map` command, you can use the `--start-read` and `--end-read` flags to perform the mapping in smaller sections if required. In general, protein search strategies are based on protein similarity also known as identity.

The search example below uses a sensitivity setting that will guarantee reporting with reads that align with 4 substitutions and 1 indels.

```
$ rtg mapx -t nr -i human-gut -o mapx_results -a 3 -b 1
```

The `alignments.tsv.gz` file in the `mapx_results` output directory contains tabular output with alignments. For more information about this output format see Section 5.7.8.

## 3.15 RTG species frequency estimation

Use the following set of tasks to estimate the frequency of bacterial species in a metagenomic sample. The RTG `species` frequency estimator, called `species`, takes a set of reads mapped against a bacterial database and from this estimates the relative frequency of each species in the database.

Table 18: Overview of species frequency estimation tasks

Task	Command & Utilities	Purpose
Task 1 Format reference data	<pre>\$ rtg format \$ rtg sdfstats</pre>	Convert reference sequence from FASTA file to RTG Sequence Data Format (SDF)
Task 2 Format read data	<pre>\$ rtg format \$ rtg sdfstats</pre>	Convert read sequence from FASTA and FASTQ files to RTG Sequence Data Format (SDF)
Task 3 Run contamination filter (optional)	<pre>\$ rtg mapf</pre>	Produce the SDF file of reads which map to the contaminant and the SDF file of those that do not
Task 4 Map metagenomic reads against bacterial database	<pre>\$ rtg map</pre>	Generate read alignments against a given reference, and report in a BAM file for downstream analysis
Tasks 5	<pre>\$ rtg species</pre>	Produce a text file which contains a list of

Task	Command & Utilities	Purpose
Run species estimator		species, one per line, with an estimate of the relative frequency in the sample

### 3.15.1 Task 1 - Format reference data

RTG tools require a conversion of reference sequences from FASTA files into the RTG SDF format. This task will be completed with the `format` command. The conversion will create an SDF directory containing the reference sequences.

Use the `format` command to convert multiple input files into a single SDF directory for the reference database.

```
$ rtg format -o bacteria-db /data/bacteria/db/*.fa.gz
```

This takes the reference FASTA files and creates a directory called `bacteria-db` containing the SDF. You can use the `sdfstats` command to show statistics for your reference SDF.

```
$ rtg sdfstats bacteria-db
Type           : DNA
Number of sequences: 311276
Maximum length  : 13033779
Minimum length  : 0
Sequence names  : yes
N               : 33864547
A               : 4167856151
C               : 4080877385
G               : 4072353906
T               : 4177108579
Total residues  : 16532060568
Residue qualities : no
```

Alternatively a species reference SDF for running the `species` command can be obtained from our website (<http://www.realtimegenomics.com>).

### 3.15.2 Task 2 - Format read data

RTG tools require a conversion of read sequence data from FASTA or FASTQ files into the RTG SDF format. This task will be completed with the `format` command. The conversion will create an SDF directory for the sample reads.

Take a paired set of reads in FASTQ format and convert it into RTG data format (SDF). This example shows one run of data, taking as input both left and right mate pairs from the same run.

```
$ rtg format -f fastq -q sanger -o bacteria-sample
-l /data/reads/bacteria/sample_1.fq
-r /data/reads/bacteria/sample_2.fq
```

This creates a directory named `bacteria-sample` with two subdirectories, named `left` and `right`. Use the `sdfstats` command to verify this step.

```
$ rtg sdfstats bacteria-sample
```

### 3.15.3 Task 3 - Run contamination filter (optional)

Optionally filter the metagenomic read sample to remove human contamination using tasks 1 through 4 of Section 3.13.

### 3.15.4 Task 4 - Map metagenomic reads against bacterial database

Map the metagenomic reads against the reference database to generate alignments in the BAM format (Binary Sequence Alignment/Map file format). The read set in this example is paired end.

It is recommended that during mapping either the `--max-top-results` flag be set to a high value, such as 100, or that the `--all-hits` option be used. This helps ensure that all relevant species in the database are accurately represented in the output. However, note that a very large `--max-top-results` requires additional memory during mapping.

```
$ rtg map -i bacteria-sample -t bacteria-db -o map-sample -n 100
```

### 3.15.5 Task 5 - Run species estimator

The species estimator, `species`, takes as input the BAM format files from the mapping performed against the reference database.

```
$ rtg species -t bacteria-db -o species-result map-sample/alignments.bam
```

This run generates a new output directory `species_result`. The main result file in this directory will be called `species.tsv`. In the output the bacterial species are ordered from most to least abundant. The output file can be directly loaded into a spreadsheet program like Microsoft Excel.

The `species.tsv` file contains results for both species with associated genomic sequences and internal nodes in the taxonomy. In some scenarios it will only be necessary to examine those rows corresponding to sequences in the database, such rows have a "Y" in the "has-sequence" column. Internal taxonomy nodes (i.e. ones that have no associated sequence data) always have a breadth and depth of coverage of zero because no reads directly map to them. For further detail on the `species.tsv` file format see Section 5.7.9.

Also produced is an HTML5 summary file called `index.html` which contains an interactive pie chart detailing the results.

The best results are obtained when as many relevant records as possible are given to the species estimator. If you have insufficient memory to use all your mapping results then using the filtering options may help. You could filter the results by selecting mappings with good alignment scores or mated only reads.



## 4 Administration & Capacity Planning

### 4.1 Advanced installation configuration

RTG software can be shared by a group of users by installing on a centrally available file directory or shared drive. Assignment of execution privileges can be determined by the administrator, independent of the software license file. As described, the software license prepared by Real Time Genomics (`rtg-license.txt`) need only be included in the same directory as the executable (`RTG.jar`) and the run-time scripts (`rtg` or `rtg.bat`).

During installation on Unix systems, a configuration file named `rtg.cfg` is created in the installation directory. By editing this configuration file, one may alter further configuration variables appropriate to the specific deployment requirements of the organization. On Windows systems, these variables are set in the `rtg.bat` file in the installation directory. These configuration variables include:

Variable	Description
RTG_MEM	Specify the maximum memory for Java run-time execution. Use a G suffix for gigabytes, e.g.: <code>RTG_MEM=48G</code> . The default memory allocation is 90% of system memory.
RTG_JAVA	Specify the path to Java (default assumes current path).
RTG_JAR	Indicate the path to the <code>RTG.jar</code> executable (default assumes current path).
RTG_JAVA_OPTS	Provide any additional Java JVM options.
RTG_DEFAULT_THREADS	By default any RTG module with a <code>--threads</code> parameter will automatically use the number of cores as the number of threads. This setting makes the specified number the default for the <code>--threads</code> parameter instead.
RTG_PROXY	Specify the http proxy server for TalkBack exception management (default is no http proxy).
RTG_TALKBACK	Send log files for crash-severity exception conditions (default is true, set to false to disable).
RTG_USAGE	If set to true, enable simple usage logging.
RTG_USAGE_DIR	Destination directory when performing single-user file-based usage logging.

Variable	Description
RTG_USAGE_HOST	Server URL when performing server-based logging.
RTG_USAGE_OPTIONAL	May contain a comma-separated list of the names of optional fields to include in usage logging (when enabled). Any of <code>username</code> , <code>hostname</code> and <code>commandline</code> may be set here.
RTG_REFERENCES_DIR	Specifies an alternate directory containing metagenomic pipeline reference datasets.
RTG_MODELS_DIR	Specifies an alternate directory containing AVR models.

## 4.2 Run-time performance optimization

**CPU** — Multi-core operation finishes jobs faster by processing multiple application threads in parallel. By default RTG uses all available cores of a multi-processor server node. With a command line parameter setting, RTG operation can be limited to a specified number of cores if desired.

**Memory** — Adding more memory can improve performance where very high read coverage is desired. RTG creates and uses indexes to speed up genomic data processing. The more RAM you have, the more reads you can process in memory in a run. We use 48 GB as a rule of thumb for processing human data. However, a smaller number of reads can be processed in as little as 2 GB.

**Disk** Capacity requirements are highly dependent on the size of the underlying data sets, the amount of information needed to hold quality scores, and the number of runs needed to investigate the impact of varying levels of sensitivity. Though all data is handled and stored in compressed form (gzip), a realistic minimum disk size for handling human data is 1 TB. As a rule of thumb, for every 2 GB of input read data expect to add 1 GB of index data and 1 GB of output files per run. Additionally, leave another 2 GB free for temporary storage during processing.

## 4.3 Alternate configurations

**Demonstration system** — For training, testing, demonstrating, processing and otherwise working with smaller genomes, RTG works just fine on a newer laptop system with an Intel processor. For example, product testing in support of this documentation was executed on a MacBook PC (Intel Core 2 Duo processor, 2.1 GHz clock speed, 1 processor, 2 cores, 3MB L2 Cache, 4 GB RAM, 290 GB 5400 RPM Serial-ATA disk)

**Clustered system** — The comparison of genomic variation on a large scale demands extensive processing capability. Assuming standard CPU hardware as described above, scale up to meet your institutional or major product needs by adding more rack-mounted boards and blades into rack servers in your data center. To estimate the number of cores required, first estimate the number of jobs to be run, noting size and sensitivity requirements. Then apply the appropriate benchmark figures for different size jobs run with varying sensitivity, dividing the number of reads to be processed by the reads/second/core.

## 4.4 Exception management - TalkBack and log file

Many RTG commands generate a log file with each run that is saved to the results output directory. The contents of the file contain lists of job parameters, system configuration, and run-time information.

In the case of internal exceptions, additional information is recorded in the log file specific to the problem encountered. Fatal exceptions are trapped and notification is sent to Real Time Genomics with a copy of the log file. This mechanism is called TalkBack and uses an embedded URL to which RTG sends the report.

The following sample log displays the software version information, parameter list, and run-time progress.

```
2009-09-05 21:38:10 RTG version = v2.0b build 20013 (2009-10-03)
2009-09-05 21:38:10 java.runtime.name = Java(TM) SE Runtime Environment
2009-09-05 21:38:10 java.runtime.version = 1.6.0_07-b06-153
2009-09-05 21:38:10 os.arch = x86_64
2009-09-05 21:38:10 os.freememory = 1792544768
2009-09-05 21:38:10 os.name = Mac OS X
2009-09-05 21:38:10 os.totalmemory = 4294967296
2009-09-05 21:38:10 os.version = 10.5.8
2009-09-05 21:38:10 Command line arguments: [-a, 1, -b, 0, -w, 16, -f,
topn, -n, 5, -P, -o, pflow, -i, pflows, -t, pftemplate]
2009-09-05 21:38:10 NgsParams threshold=20 threads=2
2009-09-05 21:39:59 Index[0] memory performance
```

TalkBack may be disabled by adding `RTG_TALK_BACK=false` to the `rtg.cfg` configuration file (Unix) or the `rtg.bat` file (Window) as described in Advanced installation configuration.

## 4.5 Usage logging

RTG has the ability to record simple command usage information for submission to Real Time Genomics. The first time RTG is run (typically during installation), the user will be asked whether to enable usage logging. This information may be required for customers with a pay-per-use license. Other customers may choose to send this information to give Real Time Genomics feedback on which commands and features are commonly used or to locally log RTG command use for their own analysis.

A usage record contains the following fields:

- Time and date
- License serial number
- Unique ID for the run
- Version of RTG software
- RTG command name, without parameters (e.g. map)
- Status (Started / Failed / Succeeded)
- A command-specific field (e.g. number of reads)

For example:

No confidential information is included in these records. It is possible to add extra fields, such as the user name running the command, host name of the machine running the command, and full command-line parameters, however as these fields may contain confidential information, they must be explicitly enabled as described in Advanced installation configuration.

When RTG is first installed, you will be asked whether to enable user logging. Usage logging can also be manually enabled by editing the `rtg.cfg` file (or `rtg.bat` file on Windows) and setting `RTG_USAGE=true`. If the `RTG_USAGE_DIR` and `RTG_USAGE_HOST` settings are empty, the default behavior is to directly submit usage records to an RTG hosted server via HTTPS. This feature requires the machine running RTG to have access to the Internet.

For cases where the machines running RTG do not have access to the Internet, there are two alternatives for collecting usage information.

### 4.5.1 Single-user, single machine

Usage information can be recorded directly to a text file. To enable this option, edit the `rtg.cfg` file (or `rtg.bat` file on Windows), and set the `RTG_USAGE_DIR` to the name of a directory where the user has write permissions. For example:

```
RTG_USAGE=true  
RTG_USAGE_DIR=/opt/rtg-usage
```

Within this directory, the RTG usage information will be written to a text file named after the date of the current month, in the form `YYYY-MM.txt`. A new file will be created each month. This text file can be manually sent to Real Time Genomics when requested.

### 4.5.2 Multi-user or multiple machines

In this case, a local server can be started to collect usage information from compute nodes and recorded to local files for later manual submission. To configure this method of collecting usage information, edit the `rtg.cfg` file (or `rtg.bat` file on Windows), and set the `RTG_USAGE_DIR` to the name of a directory where the local server will store usage logs, and `RTG_USAGE_HOST` to a URL consisting of the name of the local machine that will run the server and the network port on which the server will listen. For example if the server will be run on a machine named `gridhost.mylan.net`, listening on port 9090, writing usage information into the directory `/opt/rtg-usage/`, set:

```
RTG_USAGE=true  
RTG_USAGE_DIR=/opt/rtg-usage  
RTG_USAGE_HOST=http://gridhost.mylan.net:9090/
```

On the machine `gridhost`, run the command:

```
$ rtg usageserver
```

Which will start the local usage server listening. Now when RTG commands are run on other nodes or as other users, they will submit usage records to this sever for collation.

Within the usage directory, the RTG usage information will be written to a text file named after the date of the current month, in the form `YYYY-MM.txt`. A new file will be created each month. This text file can be manually sent to Real Time Genomics when requested.

### 4.5.3 Advanced configuration

If you wish to augment usage information with any of the optional fields, edit the `rtg.cfg` file (or `rtg.bat` file on Windows) and set the `RTG_USAGE_OPTIONAL` to a comma separated list containing any of the following:

- `username` - adds the username of the user running the RTG command.
- `hostname` - adds the machine name running the RTG command.
- `commandline` - adds the command line, including parameters, of the RTG command (this field will be truncated if the length exceeds 1000 characters).

For example:

```
RTG_USAGE_OPTIONAL=username,hostname,commandline
```

## 5 Appendix

### 5.1 RTG gapped alignment technical description

This is the most critical departure point from all other current aligners in use for variant detection. I have a write-up on the alignment portion below. We must have a short piece here on the mapping that at least outlines the conceptual steps.]

Real Time Genomics utilizes its own DNA sequence alignment tool and scoring system for aligned reads. Most methods for sequence comparison and alignment use a small set of operations derived from the notion of *edit distance* [1] to discover differences between two DNA sequences. The edit operations introduce insertions, deletions, and substitutions to transform one sequence into another. Alignments are termed global if they extend over all residues of both sequences.

Most programs for finding global alignments are based on the Needleman-Wunsch algorithm [2]. Alternatively, alignments may be local, in which case reported alignments may contain subsequences of the input sequences. The Smith-Waterman variation on the Needleman-Wunsch algorithm finds such alignments [3]. The proprietary RTG algorithm employs a further variation of this approach, using a dynamic programming edit-distance calculation for alignment of reads to a reference sequence. The alignment is *semi-global* in that it always covers the entire read but usually only covers a portion of the reference.

#### 5.1.1 Alignment computations

Following the read mapping stage, the RTG aligner is presented with a read, a reference, a putative start position, and the frame. An alignment is produced with a corrected start position, which is subsequently converted by RTG into a SAM record.

If the corrected start position differs from the putative start position, then the alignment may be recomputed starting from the new start position (this is because slightly different alignments can result depending on the start position given to the aligner). Later stages in the RTG pipeline may decide to discard the alignment or to identify alignments together (for the purpose of removing duplicates). But the reference is always presented in the forward-sense and the edit-distance code itself makes the necessary adjustment for reverse complement cases. This avoids having to construct a reverse complement copy of the reference.

The matrix is initialized in a manner such that a small shift in start position incurs no penalty, but as the shift increases, an increasing penalty is applied. If after completing the alignment, such a path is still chosen, then the penalty is removed from the resulting score. This penalty is designed to prevent the algorithm from making extreme decisions like deleting the entire reference.

#### 5.1.2 Alignment scoring

The basic costs used in the alignment are 0 for a match, 9 for a substitution, 19 for initiating an insertion or deletion, and 1 for continuing an insertion or deletion. All of these except for the match score can be overridden using the `--mismatch-penalty` parameter (for substitutions), the `--gap-open-penalty` parameter (for initiating an insertion or deletion) and the `--gap-extend-penalty` parameter (for continuing an insertion or deletion).

By default the penalty for matching an unknown nucleotide (n) in the read or reference is 5, however this can be overridden using the `--unknowns-penalty` flag. Note that regardless of the penalty for unknown nucleotides the CIGAR will always indicate unknown bases as mismatches. Occasionally, alignments may go outside the limits of the reference (that is, off the left or right ends of the reference). Such virtual bases are considered as unknown nucleotides.

Once the alignment is determined, the sum of these costs for the alignment path is reported as the *alignment score* and produced in the AS field of the corresponding SAM record. If there is a tie between an indel versus substitution operation for a particular matrix cell, then the tie is broken in favor of the substitution (except in the special case of the last column on the reference).

In the following example, the alignment score is 48, comprising a penalty of 20 for a two-nucleotide insert in the reference, 9 for a mismatch, and 19 for a one-nucleotide insert in the read. Notice there is no penalty for unknown nucleotide.

```
accg--gactctctgacgctgcnctacgtgccaaaaataagt (reference)
||||| ||||| ||||| ||||| ||||| ||||| ||||| ||||| |||||
accggttgactctgtgacgctgcacgtacgt-ccaaaaataagt (read)
```

In addition to the alignment score, a CIGAR is also reported in SAM records.

#### *References for this section*

1. Levenshtein, VI.(1966) Binary codes capable of correcting deletions, insertions and reversal. Soviet Physics Doklady, 6:707-710.
2. Needleman, SB and Wunsch, CD. (1970) A general method applicable to the search for similarities in the amino acid sequence of two proteins. Journal of Molecular Biology, 48:443-453
3. Smith, TF and Waterman, MS. (1981) Identification of common molecular subsequences. Journal of Molecular Biology. 147:195-197.

## 5.2 Using SAM/BAM Read Groups in RTG map

It is good practice to ensure the output BAM files from the `map` command contain tracking information regarding the sample and read set. This is accomplished by specifying a read group to assign reads to. See the SAM specification for the full details of read groups, however for RTG tools, it is important to specify at least `ID`, `SM` and `PL` fields in the read group. The `ID` field should be a unique identifier for the read group, while the `SM` field should contain an identifier for the sample that was sequenced. Thus, you may have the same sample identifier present in multiple read groups (for example if the sample was sequenced in multiple lanes or by different sequencing technologies). All sample names employed by pedigree or sample-oriented commands should match the values supplied in the `SM` field, while sequencer calibration information is grouped by the read group `ID` field, and certain algorithm configuration (for example aligner penalties) may have appropriate defaults selected based on the `PL` field.

While it is possible to post-process BAM files to add this information, it is more efficient to supply the read group information either during mapping or when formatting read data to SDF. For the RTG software, the read group can either be specified in a string on the command line or by creating a file containing the SAM-formatted read group header entry to be passed to the command.

To specify a read group on the command line directly use a string encapsulated in double quotes using "\t" to denote a TAB character:

```
$ rtg map ... --sam-rg "@RG\tID:SRR002978\tSM:NA19240\tPL:ILLUMINA" ...
```

To specify a read group using a file, create or use a file containing a single SAM-formatted read group header line:

```
$ echo -e "@RG\tID:SRR002978\tSM:NA19240\tPL:ILLUMINA" > mysamrg.txt
$ cat mysamrg.txt
@RG      ID:SRR002978      SM:NA19240      PL:ILLUMINA
$ rtg map ... --sam-rg mysamrg.txt ...
```

Note that when supplying read group headers in a file literal TAB characters, not "\t", are required to separate fields.

The platform tags supported by RTG are ILLUMINA for Illumina reads, COMPLETE for Complete Genomics version 1 reads, COMPLETEGENOMICS for Complete Genomics version 2 reads, LS454 for 454 Life Sciences reads and IONTORRENT for Ion Torrent reads.

When mapping directly from SAM/BAM input with a single read group, this will automatically be set using that read group. The read group will also be automatically set when mapping from an SDF which had the read group information stored in it during formatting.

## 5.3 RTG reference file format

Additional information about the structure of a reference genome can be provided for RTG mapping and variant calling by creating a `reference.txt` file in the reference genome's SDF directory. This file specifies information about the structure of the chromosomes in the reference genome including sex information. Several example `reference.txt` files for common human reference versions are included as part of the RTG distribution in the `scripts` subdirectory, so for common reference versions it will suffice to copy the appropriate example file into the formatted reference SDF with the name `reference.txt`.

To see how a reference text file will be interpreted by the chromosomes in an SDF for a given sex you can use the `sdfstats` command with the `--sex` flag. For example:

```
$ rtg sdfstats --sex male /data/human/ref/hg19
Location          : /data/human/ref/hg19
Parameters        : format -o /data/human/ref/hg19 -I chromosomes.txt
SDF Version       : 11
Type              : DNA
Source            : UNKNOWN
Paired arm        : UNKNOWN
SDF-ID            : b6318de1-8107-4b11-bdd9-fb8b6b34c5d0
Number of sequences: 25
Maximum length    : 249250621
Minimum length    : 16571
Sequence names    : yes
N                 : 234350281
A                 : 844868045
C                 : 585017944
G                 : 585360436
T                 : 846097277
Total residues    : 3095693983
Residue qualities  : no

Sequences for sex=MALE:
chrM POLYPOID circular 16571
chr1 DIPOID linear 249250621
```



```

chr2 DIPLOID linear 243199373
chr3 DIPLOID linear 198022430
chr4 DIPLOID linear 191154276
chr5 DIPLOID linear 180915260
chr6 DIPLOID linear 171115067
chr7 DIPLOID linear 159138663
chr8 DIPLOID linear 146364022
chr9 DIPLOID linear 141213431
chr10 DIPLOID linear 135534747
chr11 DIPLOID linear 135006516
chr12 DIPLOID linear 133851895
chr13 DIPLOID linear 115169878
chr14 DIPLOID linear 107349540
chr15 DIPLOID linear 102531392
chr16 DIPLOID linear 90354753
chr17 DIPLOID linear 81195210
chr18 DIPLOID linear 78077248
chr19 DIPLOID linear 59128983
chr20 DIPLOID linear 63025520
chr21 DIPLOID linear 48129895
chr22 DIPLOID linear 51304566
chrX HAPLOID linear 155270560 ~=chrY
chrX:60001-2699520 chrY:10001-2649520
chrX:154931044-155260560 chrY:59034050-59363566
chrY HAPLOID linear 59373566 ~=chrX
chrX:60001-2699520 chrY:10001-2649520
chrX:154931044-155260560 chrY:59034050-59363566

```

The reference file is primarily intended for XY sex determination but should be able to handle ZW and X0 sex determination also.

The following describes the reference file text format in more detail. The file contains lines with TAB separated fields describing the properties of the chromosomes. Comments within the reference.txt file are preceded by the character '#'. The first line of the file that is not a comment or blank must be the version line.

```
version1
```

The remaining lines have the following common structure:

```
<sex> <line-type> <line-setting>...
```

The sex field is one of "male", "female" or "either". The line-type field is one of "def" for default sequence settings, "seq" for specific chromosomal sequence settings and "dup" for defining pseudo-autosomal regions. The line-setting fields are a variable number of fields based on the line type given.

The default sequence settings line can only be specified with "either" for the sex field, can only be specified once and must be specified if there are not individual chromosome settings for all chromosomes and other contigs. It is specified with the following structure:

```
either def <ploidy> <shape>
```

The ploidy field is one of "diploid", "haploid", "polyploid" or "none". The shape field is one of "circular" or "linear".

The specific chromosome settings lines are similar to the default chromosome settings lines. All the sex field options can be used, however for any one chromosome you can only specify a single line for "either" or two lines for "male" and "female". They are specified with the following structure:

```
<sex> seq <chromosome-name> <ploidy> <shape> [allosome]
```

The `ploidy` and `shape` fields are the same as for the default chromosome settings line. The `chromosome-name` field is the name of the chromosome to which the line applies. The `allosome` field is optional and is used to specify the allosome pair of a haploid chromosome.

The pseudo-autosomal region settings line can be set with any of the `sex` field options and any number of the lines can be defined as necessary. It has the following format:

```
<sex>  dup    <region>    <region>
```

The regions must be taken from two haploid chromosomes for a given sex, have the same length and not go past the end of the chromosome. The regions are given in the format `<chromosome-name>:<start>-<end>` where start and end are positions counting from one and the end is non-inclusive.

An example for the HG19 human reference:

```
# Reference specification for hg19, see
# http://genome.ucsc.edu/cgi-bin/hgTracks?hgsid=184117983&chromInfoPage=
version 1

# Unless otherwise specified, assume diploid linear. Well-formed
# chromosomes should be explicitly listed separately so this
# applies primarily to unplaced contigs and decoy sequences
either def      diploid linear

# List the autosomal chromosomes explicitly. These are used to help
# determine "normal" coverage levels during mapping and variant calling
either seq      chr1      diploid linear
either seq      chr2      diploid linear
either seq      chr3      diploid linear
either seq      chr4      diploid linear
either seq      chr5      diploid linear
either seq      chr6      diploid linear
either seq      chr7      diploid linear
either seq      chr8      diploid linear
either seq      chr9      diploid linear
either seq      chr10     diploid linear
either seq      chr11     diploid linear
either seq      chr12     diploid linear
either seq      chr13     diploid linear
either seq      chr14     diploid linear
either seq      chr15     diploid linear
either seq      chr16     diploid linear
either seq      chr17     diploid linear
either seq      chr18     diploid linear
either seq      chr19     diploid linear
either seq      chr20     diploid linear
either seq      chr21     diploid linear
either seq      chr22     diploid linear

# Define how the male and female get the X and Y chromosomes
male  seq      chrX      haploid linear  chrY
male  seq      chrY      haploid linear  chrX
female seq      chrX      diploid linear
female seq      chrY      none    linear
#PAR1 pseudoautosomal region
male  dup      chrX:60001-2699520      chrY:10001-2649520
#PAR2 pseudoautosomal region
male  dup      chrX:154931044-155260560      chrY:59034050-59363566

# And the mitochondria
either seq      chrM      polyploid      circular
```

As of the current version of the RTG software the following are the effects of various settings in the `reference.txt` file when processing a sample with the matching sex.

A ploidy setting of `none` will prevent reads from mapping to that chromosome and any variant calling from being done in that chromosome.

A ploidy setting of `diploid`, `haploid` or `polyploid` does not currently affect the output of mapping.

A ploidy setting of `diploid` will treat the chromosome as having two distinct copies during variant calling, meaning that both homozygous and heterozygous diploid genotypes may be called for the chromosome.

A ploidy setting of `haploid` will treat the chromosome as having one copy during variant calling, meaning that only haploid genotypes will be called for the chromosome.

A ploidy setting of `polyploid` will treat the chromosome as having one copy during variant calling, meaning that only haploid genotypes will be called for the chromosome. For variant calling with a pedigree, maternal inheritance is assumed for polyploid sequences.

The shape of the chromosome does not currently affect the output of mapping or variant calling.

The allosome pairs do not currently affect the output of mapping or variant calling (but are used by simulated data generation commands).

The pseudo-autosomal regions will cause the second half of the region pair to be skipped during mapping. During variant calling the first half of the region pair will be called as diploid and the second half will not have calls made for it. For the example `reference.txt` provided earlier this means that when mapping a male the X chromosome sections of the pseudo-autosomal regions will be mapped to exclusively and for variant calling the X chromosome sections will be called as diploid while the Y chromosome sections will be skipped. There may be some edge effects up to a read length either side of a pseudo-autosomal region boundary.

## 5.4 RTG taxonomic reference file format

When using a metagenomic reference SDF in the `species` command, a taxonomy can be applied to impute associations between reference sequences. This is done using two files contained in the SDF directory. The first file (`taxonomy.tsv`) contains an RTG taxonomy tree and the second file (`taxonomy_lookup.tsv`) contains a mapping between taxon IDs and reference sequence names. Using a reference SDF containing these files allows the output of certain commands to include results at different taxonomic ranks allowing analysis at differing taxonomic levels.

Pre-constructed metagenomic reference SDFs in this format will be available from our website (<http://www.realtimegenomics.com>). For custom reference SDF creation, the `ncbi2tax` and `taxfilter` commands can assist the creation of a custom `taxonomy.tsv` file from an NCBI taxonomy dump. The `taxstats` command can check the validity of a metagenomic reference SDF.

### 5.4.1 RTG taxonomy file format

The RTG taxonomy file format describes the structure of the taxonomy tree. It contains multiple lines with each line being either a comment or holding data required to describe a node in the taxonomy tree.

Lines starting with a '#' are comments and do not contain data. They may appear anywhere through the file. The first line of the file must be a comment containing the RTG taxonomy file version number.

Each data line in the file represents a node in the taxonomy tree and is comprised of four tab separated values. The values on each line are:

1. The unique taxon ID of the node in the tree. This must be an integer value greater than or equal to 1.
2. The taxon ID of the parent of this node. This must be an integer value corresponding to another node in the tree.
3. The rank of the node in the taxonomy. This is a free format string that can contain any character other than a tab.
4. The name of the node in the taxonomy. This is a free format string that can contain any character other than a tab.

The root of the tree is special and must have a taxon ID of 1. Since the root has no parent it can have a parent ID of either 1 (itself) or -1. The RTG taxonomy file should contain a complete and fully connected tree that has a single root and no loops.

An example of the first few lines of a `taxonomy.tsv` file:

```
#RTG taxonomy version 1.0
#taxID      parID      rank      name
1           -1          no rank   root
12908       1           no rank   unclassified sequences
408169      12908       no rank   metagenomes
410657      408169      no rank   ecological metagenomes
527640      410657      species   microbial mat metagenome
131567      1           no rank   cellular organisms
2           131567      superkingdom Bacteria
1117        2           phylum  Cyanobacteria
```

## 5.4.2 RTG taxonomy lookup file format

The RTG taxonomy lookup file format associates SDF sequence names with taxon IDs in the taxonomy tree. It contains one line for every sequence in the SDF, with each line containing two tab separated values.

The values on each line are:

1. The taxonomy node ID that the sequence is associated with. This must be an integer value that corresponds to a node ID from the taxonomy tree.
2. The name of the sequence as it appears in the SDF. (These can be discovered using the `--lengths` option of the `sdfstats` command)

A single taxon ID may be associated with multiple sequence names. This is a way to group the chromosomes and plasmids belonging to a single organism.

An example of some lines from a `taxonomy_lookup.tsv` file:

```
1219061      gi|407098174|gb|AMQV000000000.1|AMQV01000000
1219061      gi|407098172|gb|AMQV01000002.1|
1219061      gi|407098170|gb|AMQV01000004.1|
1219061      gi|407098168|gb|AMQV01000006.1|
```

## 5.5 Pedigree PED input file format

The PED file format is a white space (tab or space) delimited ASCII file. It has exactly six required columns in the following order.

Column	Definition
Family ID	Alphanumeric ID of a family group. This field is ignored by RTG commands.
Individual ID	Alphanumeric ID of an individual. This corresponds to the Sample ID specified in the read group of the individual (SM field).
Paternal ID	Alphanumeric ID of the paternal parent for the individual. This corresponds to the Sample ID specified in the read group of the paternal parent (SM field).
Maternal ID	Alphanumeric ID of the maternal parent for the individual. This corresponds to the Sample ID specified in the read group of the maternal parent (SM field).
Sex	The sex of the individual specified as using 1 for male, 2 for female and any other number as unknown.
Phenotype	The phenotype of the individual specified using -9 or 0 for unknown, 1 for unaffected and 2 for affected.

---

**NOTE:** The PED format is based on the PED format defined by the PLINK project: <http://pngu.mgh.harvard.edu/~purcell/plink/data.shtml#ped>

The value '0' can be used as a missing value for Family ID, Paternal ID and Maternal ID.

The following is an example of what a PED file may look like.

```
# PED format pedigree
# fam-id   ind-id   pat-id   mat-id   sex   phen
FAM01     NA19238   0         0         2     0
FAM01     NA19239   0         0         1     0
FAM01     NA19240   NA19239   NA19238   2     0
0         NA12878   0         0         2     0
```

When specifying a pedigree for the `lineage` command, use either the `pat-id` or `mat-id` as appropriate to the gender of the sample cell lineage. The following is an example of what a cell lineage PED file may look like.

```
# PED format pedigree
# fam-id   ind-id   pat-id   mat-id   sex   phen
LIN       BASE     0         0         2     0
LIN       GENA     0         BASE     2     0
LIN       GENB     0         BASE     2     0
LIN       GENA-A   0         GENA     2     0
```

RTG includes commands such as `pedfilter` and `pedstats` for simple viewing, filtering and conversion of pedigree files.

## 5.6 RTG commands using indexed input files

Several RTG commands require indexed input files to operate and several more require them when the `--region` or `--bed-regions` parameter is used.

The commands that always require indexed input files are `snp`, `family`, `somatic`, `population`, `vcfmerge` and `extract`. The commands that only require indexed input files if the `--region` or `--bed-regions` parameter is set are `coverage`, `cnv`, `sv`, `discord` and `sammerge`.

The RTG commands which produce the inputs used by these commands will by default produce them with index files. To produce indexes for files from third party sources or RTG command output where the `--no-index` or `--no-gzip` parameters were set, use the RTG `bgzip` and `index` commands.

## 5.7 RTG output results file descriptions

RTG software produces output results in standard formats that may be extended for the unique requirements of a particular data analysis function.

Several of the RTG commands that output results to a directory also output a simple summary report of the results of the command in HTML format. The report file for these commands will be called `"index.html"` and will be contained in the output directory.

### 5.7.1 SAM/BAM file extensions (RTG map command output)

The Sequence Alignment/Map (SAM/BAM) format is a well-known standard for listing read alignments against reference sequences. SAM records list the mapping and alignment data for each read, ordered by chromosome (or other DNA reference sequence) location.

---

**NOTE:** For a thorough description of the SAM format please refer to the specification at <https://samtools.github.io/hts-specs/SAMv1.pdf>

The `map` command reports alignments in the SAM/BAM format with some minor differences.

A sample RTG SAM file is shown below, describing the relationship between a read and a reference sequence, including gaps and mismatches as determined by the RTG map aligner.

```
@HD      VN:1.0  SO:coordinate
@PG      ID:RTG VN:v2.0-EAP2.1 build 25581 (2010-03-11) CL:map -t human_REF_SDF -i
human_READS_SDF -o humanMAPPING8 -w 22 -a 2 -b 2 -c 2
@SQ      SN:chr1  LN:643292
@SQ      SN:chr2  LN:947102
@SQ      SN:chr3  LN:1060087
@SQ      SN:chr4  LN:1204112
@SQ      SN:chr5  LN:1343552
@SQ      SN:chr6  LN:1418244
@SQ      SN:chr7  LN:1501719
@SQ      SN:chr8  LN:1419563
@SQ      SN:chr9  LN:1541723
@SQ      SN:chr10 LN:1694445
@SQ      SN:chr11 LN:2035250
@SQ      SN:chr12 LN:2271477
@SQ      SN:chr13 LN:2895605
@SQ      SN:chr14 LN:3291006
1035263 0      chr1      606      255      2X18=1X11=1X15= *      0      0
      AATACTTTTCATTCTTTACTATTACTTACTTATTCTTACTTACTTACT *      AS:i:4
      NM:i:4  IH:i:1  NH:i:1
1572864 16      chr1      2041      255      48= *      0      0
      TACTTACTTTCTTCTTACTTATGTGGTAATAAGCTACTCGGTTGGGCA *      AS:i:0
      NM:i:0  IH:i:1  NH:i:1
2649455 0      chr1      3421      255      2X46= *      0      0
      AAGTACTTCTTAGTTCAATTACTATCATCATCTTACCTAATTACTACT *      AS:i:2
      NM:i:2  IH:i:1  NH:i:1
```

RTG identifies each query name (or QNAME) with an RTG identifier, which replaced the original identifier associated with the read data. The RTG `samrename` utility is used to relabel the alignment records with the original sequence names.

The CIGAR format has evolved from the original SAM specification. Formerly, a CIGAR string might appear as `283M1D1I32N8M`, where M indicated a match or mismatch, I indicated an insertion, D indicated a deletion, and N indicated a skipped region.

In the sample SAM file above, the RTG CIGAR score characters are modified as represented by the string `2X18=1X11=1X15=`, where X indicates a mismatch, = indicates a match, I indicates an insertion, and D indicates a deletion. Obviously, this provides more specificity around the precise location of the mismatch in the alignment.

Notice that optional fields are reported as in SAM for alignment score (AS), number of nucleotide differences (NM), number of reported alignments for a particular read (NH), number of stored alignments (IH) and depending on flag settings, the field containing the string describing mismatching positions (MD) may be included. The alignment score is calculated and reported for RTG as described in the Section 5.1.

The following list describes RTG SAM/BAM file characteristics that may depart from or be undescribed in the SAM specification.

- Paired-end sequencing data
  - 'FLAG' is set to 0x02 in properly paired reads and unset for unmated or unmapped reads.
  - For all non-uniquely mapped reads 'FLAG' 0x100 is set.
  - Unmated and unmapped reads will have the 'FLAG' 0x08 set to reflect whether the mate has been mapped, however 'RNEXT' and 'PNEXT' will always be "\*".
  - For mapped reads, the SAM standard "NH" attribute is used, even for uniquely mapped reads ("NH:i:1").
- Single-end sequencing data
  - For all non-uniquely mapped reads 'FLAG' 0x100 is set.
  - For mapped reads, the SAM standard "NH" attribute is used, even for uniquely mapped reads ("NH:i:1").
- Unmapped reads
  - 'RNAME' and 'CIGAR' are set as "\*".
  - 'POS', and 'MAPQ' are set as 0.

For mated records, the XA attribute contains the sum of the alignment scores for each arm. It is this score that is used to determine the equality for the max top results for mated records. All the mated records for a read should have the same XA score.

In addition, for unmapped read arms, the optional attribute "XC" may be displayed in SAM/BAM files, using a character code that indicates the internal read mapping stage where the read arm was

discarded as unmated or unmapped. If this is reported, it means that the read arm had matching hits at one point during the mapping phase.

Single-end SAM character codes include:

Character	Definition
XC:A:B	Indicates that the number of raw index hits for the read exceeded the internal threshold of 65536.
XC:A:C	Indicates that after initial ranking of hits for the read, too many hits were present (affected by <code>--max-top-results</code> ).
XC:A:D	Indicates that after alignment scores are calculated, the ( $\leq N$ ) remaining hits were discarded because they exceeded the mismatches threshold (affected by <code>--max-mismatches</code> ).
XC:A:E	Indicates that there were good scoring hits, but the arm was discarded because there were too many of these hits (affected by <code>--max-top-results</code> ).

Paired-end SAM character codes include:

Character	Definition
XC:A:B	Indicates that the number of raw index hits for the read exceeded the internal threshold of 65536.
XC:A:C	Indicates that there were index matches for the read arm, but no potential mated hits were found (affected by <code>--min-fragment-size</code> and <code>--max-fragment-size</code> ), and after ranking candidate unpaired results there were too many hits (affected by <code>--max-top-results</code> ).
XC:A:d	Indicates that potential mated hits were found for this read arm with its mate, but were discarded because they exceeded the mismatches threshold (affected by <code>--max-mated-mismatches</code> ).
XC:A:D	Indicates that no potential mated hits were found, and after alignment scores are calculated, the ( $\leq N$ ) remaining hits were discarded because they exceeded the mismatches threshold (affected by <code>--max-unmated-mismatches</code> ).
XC:A:e	Indicates that good scoring hits were found for this read arm with its mate, but were discarded because there were too many hits at the best score (affected by <code>--max-top-results</code> ).



Character	Definition
XC:A:E	Indicates that no potential mated hits were found, there were good scoring unmated hits, but the arm was discarded because there were too many of these hits (affected by <code>--max-top-results</code> ).

## 5.7.2 SAM/BAM file extensions (RTG cgmmap command output)

In addition to the file extensions described for the `map` command in SAM/BAM file extensions (RTG `map` command output), the `cgmmap` command also outputs several additional fields specific to the nature of Complete Genomics reads.

A sample RTG SAM file is shown below, describing the relationship between some reads and a reference sequence, including gaps, mismatches and overlaps as determined by the RTG `cgmmap` aligner.

```
@HD      VN:1.0      SO:coordinate
@PG      ID:RTG      PN:RTG      VN:v2.3      CL:cgmmap -i bac_READS_SDF -t bac_REF_SDF -o bac_MAPPING -e
7 -E 5
@SQ      SN:bac      LN:100262
1       179      bac      441      55      24=5N10=      =      765      324
      TGACGCCTCTGCTCTTGCAAGTCNTTCACATTCA      544400/31/1*2*858154468!073./66222
AS:i:0      MQ:i:255      XU:Z:5=1B19=1R5N10=      XQ:Z:+      XA:i:0      IH:i:1      NH:i:1
1       115      bac      765      55      10=5N8=1I14=      =      441      -324
      ANAGAACTGGAACCATTCATGGAAGGCCAGTGA      5!5/1,+!431/..,153002076-13435001
AS:i:0      MQ:i:255      XU:Z:1=1R5=1R2=5N8=1I11=2B5=      XQ:Z:74      XR:Z:TA      XA:i:0      IH:i:1
      NH:i:1
83      179      bac      4963      55      3=1X19=5N10=      =      5257      294
      GGAAGGAGTGCTGCAGGCCGACCCCTCATGGAGA      42062-51/4-1,55.010456-27/2711032
AS:i:1      MQ:i:255      XU:Z:3=1X1=2B20=5N10=      XQ:Z:-.      XR:Z:A      XA:i:1      IH:i:1      NH:i:1
83      115      bac      5257      55      10=5N25=      =      4963      -294
      CCTCCTAGCGGTACATCTCCAGCCCTTCTAGNA      55541*,-/3+1,2,13525167".21806010!2
AS:i:0      MQ:i:255      XU:Z:10=5N23=1R1=      XA:i:1      IH:i:1      NH:i:1
```

The `XU` field is an extended CIGAR format which has additional characters for encoding extra information about a Complete Genomics read. The extra characters are `B` for encoding a backstep on the reference (overlap in the read), `T` for an unknown nucleotide in the reference and `R` for an unknown nucleotide in the read. In the case where both the reference and the read have an unknown nucleotide at the same place the `R` character is used.

The `XQ` field is the quality in the same format as the SAM `QUAL` field for the nucleotides in the overlap region of the read. It is present when backsteps exist in the extended CIGAR field.

The `XR` field contains the nucleotides from the read which differ from the reference (read delta). It is present when there are mismatches, inserts, unknowns on the reference or soft clipping represented in the extended CIGAR.

Using these three additional fields with the `QUAL` field, position that the read mapped to, and the reference, it is possible to reconstruct the original Complete Genomics read.

For example:

```
Record:
Position 4963 42062-51/4-1,55.010456-27/2711032 XU:Z:3=1X1=2B20=5N10=
XQ:Z:-. XR:Z:A
```

```
Reference 4960-5010:
CCTGGAGG GAGTGCTGCAGGCCGACCAGCAACTCATGGAGAAGACCAAGG
      GGAAGGAGTGAGTGCTGCAGGCCGACC      CTCATGGAGA
```

```

42062-. -51/4-1,55.010456-_____27/2711032

Flattened Read from SAM file:
GGAAGGAGTGCTGCAGGCCGACCCTCATGGAGA
42062-51/4-1,55.010456-27/2711032

Reconstructed Read:
GGAAGGGGAGTGCTGCAGGCCGACCCTCATGGAGA
42062-. -51/4-1,55.010456-27/2711032

```

This example shows how the mismatch and read delta replace the nucleotide from the reference to form the read. It also shows that the backstep in the extended CIGAR is used to record overlap in the read. Note that the number of additional quality characters in the corresponds to the number of backsteps and that the additional quality characters will always be inserted into the read qualities on the inner-most side of the overlap region.

```

Record:
Position 65 5!5/1,+!431/...,153002076-134735001 XU:Z:1=1R5=1T2=5N8=1I11=2B5=
XR:Z:TA XQ:Z:74

Reference 760-810
AGAGGAGAGAACNGGTTTGGGAACCATTC TGGAAGGCCAG TGAGCTGTGTT
  ANAGAACTGG_____AACCATTCAATGGAAGGCCAGAGTGA
    5!5/1,+143_____1/...,153002076-1347435001

Flattened Read from SAM file:
ANAGAACTGGAACCATTCATGGAAGGCCAGTGA
5!5/1,+!431/...,153002076-13435001

Reconstructed Read:
ANAGAACTGGAACCATTCATGGAAGGCCAGAGTGA
5!5/1,+1431/...,153002076-1347435001

```

This example shows how the R character in the extended CIGAR corresponds to an unknown in the read and how the T character corresponds to an unknown in the reference. Note that when there is an unknown in the reference but not in the read the nucleotide is included in the read delta as are inserted nucleotides. Also note that although the backstep is used in this case to reconstruct part of the outside five nucleotides the overlap quality characters still correspond to the inside nucleotides.

### 5.7.3 VCF output file description

The `snps`, `family`, `somatic` and `population` commands call single nucleotide polymorphisms (SNPs), multiple nucleotide polymorphisms (MNPs), and indels for a single individual, a family of individuals or a cancer and normal pair respectively. At each position in the reference, a base pair determination is made based on statistical analysis of the accumulated read alignments. The predictions and accompanying statistics are reported in a text-based output file named `snps.vcf`.

---

**NOTE:** RTG variant calls are stored in VCF format (version 4.1). For more information about the VCF format, refer to the specification online at:  
<https://samtools.github.io/hts-specs/VCFv4.1.pdf>

The `snps.vcf` output file displays each variant called with confidence. The location and type of the call, the base pairs (reference and called), and a confidence score are standard output in the `snps.vcf` output file. Additional support statistics in the output describe read alignment evidence that can be used to evaluate confidence in the called variants.

The `QUAL` column in the `snps.vcf` file has slightly different meaning for each of the commands. For the `snp` command it represents the phred scaled probability the sample is not identical to the reference. For the `family` and `population` commands it represents the phred scaled posterior probability that at least one sample is not identical to the reference.

The commands also produce a `summary.txt` file which has simple counts of the variants detected and some ratios which can be used as a quick indication of SNP calling performance.

The following sample `snps.vcf` file is an example of the output produced by an RTG SNP call run. Each line in a `snps.vcf` output has tab-separated fields and represents a SNP variation calculated from the mapped reads against the reference genome.

This file represents the variations per chromosome as a result of the SAM/BAM mapped alignments against a reference genome.

```
##fileformat=VCFv4.1
##fileDate=20110524
##source=RTGv2.2 build 35188 (2011-05-18)
##CL=snp -o snp-hslo-18-u -t hst1 hslo-18-u/alignments.bam
##RUN-ID=b1f96b37-7f77-4d74-b472-2a36ba21397e
##reference=hst1
##contig=<ID="chr1",length=207900>
##INFO=<ID=XXR,Number=0,Type=Flag,Description="RTG variant was called using complex caller">
##INFO=<ID=CT,Number=1,Type=Integer,Description="Coverage threshold that was applied">
##FILTER=<ID=OC,Description="Coverage threshold exceeded">
##FILTER=<ID=RC,Description="RTG variant is a complex region">
##FILTER=<ID=RX,Description="RTG variant contained within hypercomplex region">
##FORMAT=<ID=GT,Number=1,Type=String,Description="Genotype">
##FORMAT=<ID=DP,Number=1,Type=Integer,Description="Read Depth">
##FORMAT=<ID=RE,Number=1,Type=Float,Description="RTG Total Error">
##FORMAT=<ID=AR,Number=1,Type=Float,Description="Ambiguity Ratio">
##FORMAT=<ID=GQ,Number=1,Type=Float,Description="Genotype Quality">
##FORMAT=<ID=RS,Number=.,Type=String,Description="RTG Support Statistics">
#CHROM POS ID REF ALT QUAL FILTER INFO FORMAT SAMPLE
chr1 43230 . A G 17.1 PASS . GT:DP:RE:AR:GQ:RS
1/1:7:0.280:0.000:17.1:G,7,0.280
chr1 43494 . TTAAAT TT:CTTAAAC 181.6 PASS . GT:DP:RE:AR:GQ:RS
1/2:10:0.428:0.024:15.3:CTTAAAC,5,0.373
chr1 43638 . T C 15.3 PASS . GT:DP:RE:AR:GQ:RS
1/1:6:0.210:0.000:15.3:C,6,0.210
chr1 43918 . C T 11.4 PASS . GT:DP:RE:AR:GQ:RS
1/1:5:0.494:0.000:11.4:T,5,0.494
chr1 44038 . C T 18.9 PASS . GT:DP:RE:AR:GQ:RS
1/1:8:0.672:0.000:18.9:T,8,0.672
chr1 44173 . A G 12.0 PASS . GT:DP:RE:AR:GQ:RS
1/1:5:0.185:0.000:12.0:G,5,0.185
chr1 44218 . TCCTCCA ACCACCT 385.4 PASS . GT:DP:RE:AR:GQ:RS
1/1:43:3.925:0.015:80.8:ACCACCT,5,0.003,CCCCCA,1,0.631,CCCTCCA,1,0.631,
TCCTCCA,30,2.024,TCCTTCA,4,0.635,~A,1,0.000,~TCCA,1,0.001
chr1 44329 . A G 12.2 PASS . GT:DP:RE:AR:GQ:RS
1/1:5:0.208:0.000:12.2:G,5,0.208
chr1 44502 . T C 6.0 PASS . GT:DP:RE:AR:GQ:RS
1/1:3:0.160:0.000:6.0:C,3,0.160
chr1 44533 . G A 13.7 PASS . GT:DP:RE:AR:GQ:RS
1/1:6:0.421:0.000:13.7:A,6,0.421
chr1 202801 . A G 15.8 PASS . GT:DP:RE:AR:GQ:RS
0/1:66:30.544:0.000:15.8:A,50,24.508,C,1,0.502,G,13,4.529,T,2,1.004
```

RTG adds custom fields to the VCF format to better account for some of its unique variant calling features, described in the tables below.

**Table 19: RTG VCF file FILTER fields**

Value	Description
PASS	Standard VCF "PASS", if variant meets all the filtering criteria.

Value	Description
OC	A predicted variation that has exceeded the maximum coverage filter threshold.
a<number>	A predicted variation that had greater than the given percentage of ambiguously mapped reads overlapping it. The number in the value is the percentage specified by the <code>--max-ambiguity</code> flag.
RCEQUIV	A predicted variation that is the same as a previous variant within a homopolymer or repeat region.
RC	The variant caller encountered a complex looking situation. A typical example would be a long insert. Some complex regions may result in simple calls.
RX	This call was made within a long complex region. Note that no attempt is made to generate complex calls in very long complex regions.
IONT	A predicted variation that failed to pass homopolymer constraints specific to IonTorrent reads.
OTHER	The variant was filtered for an unknown reason.
AVR<number>	A predicted variation that had less than the given value for the AVR score. The number in the value is the minimum AVR score specified by the <code>--min-avr-score</code> flag.
BED	The predicted variant falls outside the BED calling regions defined by the <code>--filter-bed</code> flag.

Table 20: RTG VCF file INFO fields

Value	Description
NCS=<value>	The Phred scaled posterior probability that the variant at this site is present in the cancer, output by the <code>somatic</code> command.
LOH=<value>	The value shows on a scale from -1 to 1 if the evidence of a call would suggest a loss of heterozygosity. A long run of high values is a strong indicator of a loss of heterozygosity event.
DP=<depth>	The combined read depth of multi-sample variant calls.

Value	Description
DPR=<ratio>	The ratio of combined read depth to the expected combined read depth.
XXR	Indicates the variant was called using the RTG complex caller. This means that a realignment of the reads relative to the reference and each other was required to make this call.
RCE	Indicates the variant is the same as one or more other variants within a homopolymer or repeat region.
CT=<value>	The maximum coverage threshold that was applied when the given variant has been filtered for being over the coverage threshold.
AC	The standard VCF allele count in genotypes field. For each ALT allele, in the same order as listed, the count of the allele in the genotypes.
AN	The standard VCF total number of alleles in called genotypes field.

Table 21: RTG VCF file FORMAT fields

Value	Description
GT	The standard VCF format genotype field.
DP	The standard VCF format read depth field.
DPR	The ratio of read depth to the expected read depth.
RE	The total error across bases of the reads at the SNP location. This is a corrective factor calculated from the r and q read mapping quality scores that adjusts the level of confidence higher or lower relative to read depth.
AR	The ratio of reads contributing to the variant that are considered to be ambiguous to uniquely mapped reads.
RQ	The Phred scaled posterior probability that the sample is not identical to the reference.
GQ	The standard VCF format genotype quality field. This is the Phred scaled posterior score of the call. It is not necessarily the same as the

Value	Description
	QUAL column score.
DN	Indicates with a value of 'Y' if the call for this sample is a putative <i>de novo</i> mutation, or 'N' to indicate that the sample is not a <i>de novo</i> mutation. Note that even in cases when the GT of the sample and the parents would otherwise seem to indicate a <i>de novo</i> mutation, this field may be set to 'N' when the variant caller has assigned a sufficiently low score to the likelihood that a <i>de novo</i> event has occurred.
DNP	The Phred scaled probability that the call for this sample is due to a <i>de novo</i> mutation.
ABP	The Phred scaled probability that allele imbalance is present in the call.
SBP	The Phred scaled probability that strand bias is present in the call.
RPB	The Phred scaled probability that read position bias is present in the call.
PPB	The Phred scaled probability that bias in the proportion of alignments that are properly paired is present in the call.
PUR	The ratio of placed unmapped reads to mapped reads.
RS	Statistical information about the evidence for the prediction which consists of a variable number of groups of three fields, each separated by commas. The three fields are allele, count, and the sum of probability error (computed from the Phred quality). The sum of counts should equal DP and the sum of the errors should equal RE.
DH	An alternative disagreeing hypothesis in the same format as the genotype field. This can occur when a sample intersects multiple families in a pedigree when doing population calling.
AD	The allelic depths for the reference and alternate alleles in the order listed.
SSC	The score for the somatic mutation specified by the GT field.

Value	Description
SS	The somatic status of the genotype for this sample. A value of 0 indicates none or wild type, a value of 1 indicates a germline variant, and a value of 2 indicates a somatic variant.
GL	The log10 scaled likelihoods for all possible genotypes given the set of alleles defined in the REF and ALT fields as defined in the VCF specifications.
AVR	The adaptive variant rescoring value. It is a value between 0 and 1 that represents the probability that the variant for the sample is correct.

The following examples of what a variant call may look like only includes the bare minimum sample field information for clarity.

```
#Examples of calls:
g1 64 . T A 74.0 PASS . GT 1/1 #Homozygous SNP
g1 9 . GAC G 9.0 PASS . GT 1/1 #Homozygous deletion
g1 16 . A ACGT 27.0 PASS . GT 1/1 #Homozygous insertion
g1 54 . T TA 14.0 PASS . GT 1/0 #Heterozygous insertion
g1 17 . ACGT A 71.0 PASS . GT 0/1 #Heterozygous deletion
g1 61 . TTA GCG, AAT 74.0 PASS . GT 1/2 #Heterozygous MNP
g1 3 . A . 11.0 PASS . GT 0/0 #Equality call
g1 32 . CGT . 89.0 PASS . GT 0/0 #Equality call
g1 88 . A G 249.5 PASS . GT 1 #Haploid SNP
g1 33 . A T 20.0 PASS RCE GT 1/1 #Variant which is equivalent to other
variants
g1 42 . A T 13.0 RCEQUIV RCE GT 1/1 #Variant filtered due to equivalence
to other variants
g1 45 . A C 5.0 OC CT=100 GT 1/1 #Variant which exceeds the coverage
threshold of 100
g1 76 . G C 10.0 a10.0 . GT 1/1 #Variant which had ambiguous mappings
overlapping it
g1 74 . A . 3.0 RC . GT 0/0 #Complex call with no prediction
g1 90 . G C 15.0 RX . GT 1/1 #Homozygous SNP called within a large
complex region
g1 99 . C G 15.0 IONT . GT 1/1 #Variant that failed IonTorrent
homopolymer constraints
g1 33 . A G,C 13.0 PASS . GT 0/1 0/2 0/2 1/2 #Mendelian family call
g1 90 . G A 20.0 PASS LOH=1 GT:SSC:SS 0/1 0/0:24.0:2 #Somatic mutation
```

In the outputs from the family, population and somatic commands some additional information about the samples are provided through the PEDIGREE and SAMPLE header lines.

The family or population command output includes additional sample sex and pedigree information within the headers like the following:

```
##PEDIGREE=<Child=SM_SON,Mother=SM_MOTHER,Father=SM_FATHER>
##SAMPLE=<ID=SM_SON,Sex=MALE>
##SAMPLE=<ID=SM_MOTHER,Sex=FEMALE>
##SAMPLE=<ID=SM_FATHER,Sex=MALE>
```

The pedigree information contained within VCF header fields is also used by the mendelian, pedfilter, and pedstats commands.

The somatic command output includes information about sample relationships and genome mixtures using headers like the following:

```
##PEDIGREE=<Derived=SM_TUMOR,Original=SM_BASE>
##SAMPLE=<ID=SM_BASE,Genomes=SM_BASE,Mixture=1.0,Sex=MALE,Description="Original genome">
##SAMPLE=<ID=SM_TUMOR,Genomes=SM_BASE;SM_TUMOR,Mixture=0.2;0.8,Sex=MALE,Description="Original
genome;Derived genome">
```

## 5.7.4 Regions BED output file description

The `snp`, `family` and `somatic` commands all output a BED file containing regions that were considered to be complex.

The following sample `regions.bed` file is an example of the output produced by an RTG variant calling run. Each line in a `regions.bed` output has tab-separated fields and represents a region in the reference genome that was considered to be complex.

CFTR.3.70s	7	7	complex-called
CFTR.3.70s	15	15	complex-called
CFTR.3.70s	18	18	complex-called
CFTR.3.70s	21	21	complex-called
CFTR.3.70s	26	30	complex-called
CFTR.3.70s	42	45	complex-over-coverage
CFTR.3.70s	84	93	extreme-coverage
CFTR.3.70s	133	165	hyper-complex
CFTR.3.70s	169	186	complex-called
CFTR.3.70s	189	195	complex-called
CFTR.3.70s	198	198	complex-no-variant
CFTR.3.70s	300	310	complex-no-hypotheses
CFTR.3.70s	435	439	complex-too-many-hypotheses

The columns in order are:

1. Sequence name
2. Region start, counting from 0
3. Region end, counting from 0, not inclusive
4. Name of the region type

Table 22: Region type names

Value	Description
complex-called	Complex regions that were called using complex calling.
hyper-complex	Long complex regions for which no call attempt was made.
extreme-coverage	No calls made in this region due to extreme coverage.
complex-over-coverage	Complex region has greater than the maximum coverage allowed.
complex-no-hypotheses	No hypotheses could be created for the complex region.
complex-no-variant	Complex region evaluation resulted in no variants.
complex-too-many-hypotheses	Complex region had too many hypotheses for evaluation.



## 5.7.5 SV command output file descriptions

The `sv` command is used to predict the likelihood of various structural variant categories. The outputs produced are `sv_interesting.bed.gz` which is a BED format file that identifies regions that could indicate a structural variant and `sv_bayesian.tsv.gz` a tab separated format file containing prediction strengths of event models.

The following is an example of the `sv_interesting.bed.gz` file output.

#chr	start	end	areas	maxscore	average
chr1	10	100	1	584.5470	315.8478
chr1	49760	53270	5	630.3273	380.2483

Table 23: SV\_INTERESTING.BED file output column descriptions

Column	Description
chr	The chromosome name.
start	The start position in the reference chromosome.
end	The end position in the reference chromosome.
areas	The number of distinct model areas contained in the region.
maxscore	The maximum score reached by a model in the given region.
average	The average score for the model areas covered by this region.

The following is an example of the `sv_bayesian.tsv.gz` file output.

```
#Version v2.3.2 build 5c2ee18 (2011-10-05), SV bayesian output v0.1
#CL sv --step 100 --fine-step 10 --readgroup-stats map/rgstats.tsv --template
/data/human/hg18.sdf -o sv map/alignments.bam
#RUN-ID 8acc8413-0daf-455d-bf9f-41d195dec4cd
#template-name position normal duplicate delete delete-left delete-right duplicate-
left duplicate-right
breakpoint novel-insertion max-index
chr1 11 -584.5470 -1582.1325 -3538.0052 -4168.1398 584.5470 -932.2432 -1219.9770
-630.1436 -664.3196 4
chr1 21 -521.2708 -1508.9226 -3617.4369 -4247.5716 521.2708 -865.7595 -1156.7007
-630.1450 -671.1980 4
chr1 31 -443.5759 -1425.2073 -3626.2318 -4256.3664 443.5759 -788.1160 -1079.0058
-630.1468 -662.5068 4
chr1 41 -372.8984 -1346.1013 -3676.6399 -4306.7745 372.8984 -715.6147 -1008.3284
-630.1490 -662.4542 4
chr1 51 -326.3469 -1288.4120 -3790.0943 -4420.2290 326.3469 -663.2324 -961.7768
-630.1516 -660.6529 4
chr1 61 -269.1376 -1223.0752 -3849.6462 -4479.7808 269.1376 -604.2883 -904.5676
-630.1545 -669.2223 4
chr1 71 -201.0995 -1146.3076 -3907.0182 -4537.1529 201.0995 -534.3735 -836.5295
-630.1578 -673.4514 4
chr1 81 -109.1116 -1046.1921 -3931.7913 -4561.9260 109.1116 -442.1511 -744.5415
-630.1612 -669.0146 4
chr1 91 -14.6429 -941.7897 -3980.0307 -4610.1653 14.6429 -345.7608 -650.0728
-630.1648 -664.5593 4
chr1 101 60.8820 -918.1163 -4095.1224 -4725.2570 -60.8820 -329.0012 -635.4300
-691.0506 -719.2296 0
chr1 111 117.6873 -911.1928 -4194.5855 -4824.7202 -117.6873 -327.5866 -635.4300
-747.8596 -775.8622 0
```

```
chr1 121 199.2098 -898.2490 -4380.5384 -5010.6731 -199.2098 -321.7577 -635.4300
-829.3856 -857.1902 0
```

Table 24: SV\_BAYESIAN.TSV file output column descriptions

Column	Description
template-name	The chromosome name.
position	The position in the reference chromosome.
normal	The prediction strength for the normal model.
duplicate	The prediction strength for the duplicate model.
delete	The prediction strength for the delete model.
delete-left	The prediction strength for the delete-left model.
delete-right	The prediction strength for the delete-right model.
duplicate-left	The prediction strength for the duplicate-left model.
duplicate-right	The prediction strength for the duplicate-right model.
breakpoint	The prediction strength for the breakpoint model.
novel-insertion	The prediction strength for the novel-insertion model.
max-index	The index of the model that has the maximum prediction strength for this line. The index starts from 0 meaning normal and is in the same order as the model columns.

When the `--simple-signals` parameter is set an additional file called `sv_simple.tsv.gz` is output which is a tab separated format file containing the raw signals used by the `sv` command. The following is an example of the `sv_simple.tsv.gz` output file.

```
#Version v2.3.2 build 5c2ee18 (2011-10-05), SV simple output v0.1
#CL sv --step 100 --fine-step 10 --readgroup-stats map/rgstats.tsv --template
/data/human/hg18.sdf -o sv map/alignments.bam --simple-signals
#RUN-ID 8acc8413-0daf-455d-bf9f-41d195dec4cd
#template-name position proper-left discordant-left unmated-left proper-right
discordant-right
unmated-right not-paired unique ambiguous n-count
chr1 11 17.0000 0.0000 1.0000 0.0000 0.0000 0.0000 0.0000 18.0000 0.0000 0.0000
chr1 21 14.0000 0.0000 1.0000 0.0000 0.0000 0.0000 0.0000 15.0000 0.0000 0.0000
chr1 31 13.0000 0.0000 0.0000 0.0000 0.0000 0.0000 0.0000 13.0000 0.0000 0.0000
chr1 41 10.0000 0.0000 1.0000 0.0000 0.0000 0.0000 0.0000 11.0000 0.0000 0.0000
chr1 51 11.0000 0.0000 0.0000 0.0000 0.0000 0.0000 0.0000 11.0000 0.0000 0.0000
chr1 61 12.0000 0.0000 0.0000 0.0000 0.0000 0.0000 0.0000 12.0000 0.0000 0.0000
chr1 71 11.0000 0.0000 0.0000 0.0000 0.0000 0.0000 0.0000 11.0000 0.0000 0.0000
chr1 81 17.0000 0.0000 0.0000 0.0000 0.0000 0.0000 0.0000 17.0000 0.0000 0.0000
```

chr1	91	17.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	17.0000	0.0000	0.0000
chr1	101	9.0000	0.0000	1.0000	0.0000	0.0000	0.0000	0.0000	10.0000	0.0000	0.0000
chr1	111	10.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	10.0000	0.0000	0.0000
chr1	121	12.0000	0.0000	1.0000	0.0000	0.0000	0.0000	0.0000	13.0000	0.0000	0.0000

Table 25: SV\_SIMPLE.TSV file output column descriptions

Column	Description
template-name	The chromosome name.
position	The position in the reference chromosome.
proper-left	Count of properly paired left reads mapped in this location.
discordant-left	Count of discordantly paired left reads mapped in this location.
unmated-left	Count of unmated left reads mapped in this location.
proper-right	Count of properly paired right reads mapped in this location.
discordant-right	Count of discordantly paired right reads mapped in this location.
unmated-right	Count of unmated right reads mapped in this location.
not-paired	Count of single end reads mapped in this location.
unique	Count of unique mappings in this location.
ambiguous	Count of ambiguous mappings in this location.
n-count	The number of unknown bases on the reference for this location.

### 5.7.6 Discord command output file descriptions

The discord command uses clusters of discordant reads to find possible locations for structural variant breakends. The breakends are output in a VCF file called `discord_pairs.vcf.gz` using the ALT and INFO fields as defined in the VCF specification.

---

**NOTE:** RTG structural variant calls are stored in VCF format (version 4.1). For more information about the VCF format, refer to the specification online at: <https://samtools.github.io/hts-specs/VCFv4.1.pdf>

The following is an example of the VCF output of the discord command:

```
##fileformat=VCFv4.1
##fileDate=20120305
```

```
##source=RTGv2.5 build 9f7b8a5 (2012-03-05)
##CL=discord --template hst1 -o discord --readgroup-stats map/rgstats.tsv map/alignments.bam
##RUN-ID=4157329b-edb9-419a-9129-44116e7a2195
##TEMPLATE-SDF-ID=4ecc9eb83e0ccec4
##reference=hst1
##contig=<ID="chr1",length=207900>
##INFO=<ID=CIPOS,Number=2,Type=Integer,Description="Confidence interval around POS for imprecise variants">
##INFO=<ID=IMPRECISE,Number=0,Type=Flag,Description="Imprecise structural variation">
##INFO=<ID=SVTYPE,Number=1,Type=String,Description="Type of structural variant">
##INFO=<ID=DP,Number=1,Type=Integer,Description="Read Depth">
##FILTER=<ID=INCONSISTENT,Description="Supporting reads are inconsistent as to breakend location">
##FORMAT=<ID=GT,Number=1,Type=String,Description="Genotype">
#CHROM POS ID REF ALT QUAL FILTER INFO FORMAT SAMPLE
chr1 50005 . A A[simulatedSequence1:52999[ . PASS IMPRECISE;SVTYPE=BND;DP=506;CIPOS=0,0
GT 1/1
chr1 52999 . G ]simulatedSequence1:50005]G . PASS IMPRECISE;SVTYPE=BND;DP=506;CIPOS=0,0
GT 1/1
```

RTG adds custom fields to the VCF format to better account for some of its unique structural variant calling features, described in the tables below.

**Table 26: RTG VCF file FILTER fields**

Value	Description
PASS	Standard VCF "PASS", if breakend meets all the filtering criteria.
INCONSISTENT	Breakend with discordant read cluster that does not agree on the possible positions.

**Table 27: RTG VCF file INFO fields**

Value	Description
DP=<depth>	Indicates the number of discordant reads contributing to the cluster at this breakend.

If the `--bed` parameter is set the `discord` command also outputs a BED format file called `discord_pairs.bed.gz` containing the break-end regions. Any break-ends which do not have a PASS in the filter field of the VCF output will be preceded by the comment character in the BED file output.

The following is an example of the BED output from the `discord` command:

```
#Version v2.5 build 9f7b8a5 (2012-03-05), Discordance output 1
#CL discord --bed --template hst1 -o discord --readgroup-stats map/rgstats.tsv
map/alignments.bam
#RUN-ID 4157329b-edb9-419a-9129-44116e7a2195
#chromosome start end remote count
chr1 50004 50004 remote:chr1:52998-52998 506
chr1 52998 52998 remote:chr1:50004-50004 506
```

The columns in the example are in BED file order:

1. Chromosome name

2. Start position in chromosome
3. End position in chromosome
4. Location of remote break-end matching this one
5. Count of discordant reads contributing to the break-end

### 5.7.7 Coverage command output file descriptions

The `coverage` command works out the coverage depth for a set of read alignments for a given reference. With default settings this will produce a BED format file containing the regions with a specific read depth. These regions are calculated by taking the read depth of each position as the average of itself and the read depths of the positions to the left and right of it out to the number specified with the `--smoothing` flag and then grouping the resulting values which have the same average read depth.

The following is an example of the BED output from the `coverage` command:

```
#Version v2.3.2 build 5c2ee18 (2011-10-05), Coverage BED output v1.0
#CL coverage -o coverage-hslo-18-u -t hst1 hslo-18-u/alignments.bam
#RUN-ID c0561a1d-fb3b-4062-96ca-cad2cc3c476a
chr1 0 4 coverage 2
chr1 4 13 coverage 3
chr1 13 22 coverage 4
chr1 22 29 coverage 5
chr1 29 38 coverage 6
chr1 38 45 coverage 7
chr1 45 53 coverage 8
chr1 53 64 coverage 9
chr1 64 80 coverage 10
chr1 80 128 coverage 11
chr1 128 137 coverage 10
chr1 137 157 coverage 11
chr1 157 164 coverage 12
chr1 164 169 coverage 13
chr1 169 174 coverage 14
chr1 174 177 coverage 15
chr1 177 181 coverage 16
```

The columns in the example are in BED file order:

1. Chromosome name
2. Start position in chromosome
3. End position in chromosome
4. Name or label of the feature, always "coverage"
5. Depth of coverage for the range specified

When the `--bedgraph` flag is set when running the `coverage` command will produce a BEDGRAPH format file with the regions calculated in the same way as for BED format output.

The following is an example of the BEDGRAPH output from the `coverage` command:

```
#Version v2.5.0 build 79d6626 (2011-10-05), Coverage BEDGRAPH output v1.0
#CL coverage -o coverage-hslo-18-u -t hst1 hslo-18-u/alignments.bam --bedgraph
#RUN-ID 36c48ec4-52b7-48d5-b68c-71dce5dba129
track type=bedGraph name=coverage
chr1 0 4 2
chr1 4 13 3
chr1 13 22 4
chr1 22 29 5
chr1 29 38 6
chr1 38 45 7
```

chr1	45	53	8
chr1	53	64	9
chr1	64	80	10
chr1	80	128	11
chr1	128	137	10
chr1	137	157	11
chr1	157	164	12
chr1	164	169	13
chr1	169	174	14
chr1	174	177	15
chr1	177	181	16

The columns in the example are in BEDGRAPH file order:

1. Chromosome name
2. Start position in chromosome
3. End position in chromosome
4. Depth of coverage for the range specified

When the `--per-base` flag is set when running the `coverage` command will produce a tab separated value file with the coverage information for each individual base in the reference.

The following is an example of the TSV output from the `coverage` command:

```
#Version v2.3.2 build 5c2ee18 (2011-10-05), Coverage output v1.0
#CL coverage -o coverage-hslo-18-u-per-base -t hst1 hslo-18-u/alignments.bam --per-base
#RUN-ID 7798b1a5-2159-48e6-976e-86b4f8e98fa6
#sequence      position      unique-count  ambiguous-count  score
chr1            0              0              0              0.00
chr1            1              0              0              0.00
chr1            2              1              0              1.00
chr1            3              1              0              1.00
chr1            4              1              0              1.00
chr1            5              1              0              1.00
chr1            6              1              0              1.00
chr1            7              2              0              2.00
chr1            8              2              0              2.00
chr1            9              2              1              2.50
```

Table 28: COVERAGE.TSV file output column descriptions

Column	Description
sequence	The chromosome name.
position	The position in the reference chromosome.
unique-count	The count of reads covering this position with <code>IH</code> equal to one.
ambiguous-count	The count of reads covering this position with <code>IH</code> greater than one.
score	The sum of one divided by the <code>IH</code> value for all the reads covering this position.

The `coverage` command produces a `stats.tsv` file with coverage statistics for each chromosome in the reference and the reference as a whole. The following is an example file:

#depth name	breadth	covered	size	non-N-depth	non-N-breadth	non-N-covered	non-N-size
28.3238	0.9998	23766	23770	28.3238	0.9998	23766	23770
28.0013	0.9997	41447	41459	28.0013	0.9997	41447	41459
28.3151	0.9994	24930	24946	28.3151	0.9994	24930	24946
28.4955	0.9999	87734	87741	28.4955	0.9999	87734	87741
28.3822	0.9999	32600	32604	28.3822	0.9999	32600	32604
28.7159	0.9999	55042	55047	28.7159	0.9999	55042	55047
28.1109	0.9998	34887	34894	28.1109	0.9998	34887	34894
28.3305	0.9999	50025	50032	28.3305	0.9999	50025	50032
28.2229	0.9998	49627	49639	28.2229	0.9998	49627	49639
28.3817	0.9999	15912	15914	28.3817	0.9999	15912	15914
28.3569	0.9998	415970	416046	28.3569	0.9998	415970	416046
							all sequences

Table 29: STATS.TSV column descriptions

Column	Description
depth	The average depth of coverage for the chromosome where each base position is calculated as the sum of one divided by the IH of each read alignment which covers the position.
breadth	The fraction of the chromosome base positions which have a depth of one or greater.
covered	The number of bases in the chromosome which have a depth of one or greater.
size	The number of bases in the chromosome.
non-n-depth	The same as for depth except only for bases in the chromosome which are not unknowns (N).
non-n-breadth	The same as for breadth except only for bases in the chromosome which are not unknowns (N).
non-n-covered	The same as for covered except only for bases in the chromosome which are not unknowns (N).
non-n-size	The same as for size except only for bases in the chromosome which are not unknowns (N).
name	The name of the chromosome, or "all sequences" for the entire reference.

The `coverage` command produces a `levels.tsv` file with some statistics about the coverage levels. The following is the start of an example file:

#coverage_level	count	%age	%cumulative
0 83	0.02	100.00	
1 84	0.02	99.98	
2 86	0.02	99.96	
3 87	0.02	99.94	
4 88	0.02	99.92	

Table 30: LEVELS.TSV column descriptions

Column	Description
coverage_level	The coverage level.
count	The count of the number of bases at this coverage level.
%age	The percentage of the reference at this coverage level.
%cumulative	The percentage of the reference at this coverage level or higher.

### 5.7.8 Mapx output file description

The `mapx` command searches protein databases with translated nucleotide sequences. It reports matches filtered on a combination of percent identity, e-score, bit-score and alignment score. The matches are reported in an ASCII file called `alignments.tsv` with each match reported on a single line as a tab-separated value record.

The following results file is an example of the output produced by `mapx`:

```
#template-name frame read-id template-start template-end template-length read-start read-end
read-length template-protein read-protein alignment identical %identical positive %positive
mismatches raw-score bit-score e-score
gi|212691090|ref|ZP_03299218.1| +1 0 179 211 429 1 99 100
nirqgsrtfgilcmpksgnypallrvpgagvr nirqgsrtfgifcmpksgnypallrvpgaggr
nirqgsrtfgi cmpksgnypallrvpgag r 31 94 31 94 2 -162 67.0
2.3e-11
gi|255013538|ref|ZP_05285664.1| +1 0 176 208 428 1 99 100
nvrpgsrtfgilcmpkkgkypallrvpgagvr nirqgsrtfgifcmpksgnypallrvpgaggr
n+r gsrt+gi cmpk g ypallrvpgag r 25 76 27 82 6 -136 57.0
2.3e-8
gi|260172804|ref|ZP_05759216.1| +1 0 185 217 435 1 99 100
nicngsrtfgilcipkkgkypallrvpgagvr nirqgsrtfgifcmpksgnypallrvpgaggr
ni gsrtfgi c+pk g ypallrvpgag r 25 76 26 79 7 -129 54.3
1.5e-7
gi|224537502|ref|ZP_03678041.1| +1 0 162 194 414 1 99 100
tdrwgsrtyfgvlgvcpkkgkypallrvpgagvr nirqgsrtfgifcmpksgnypallrvpgaggr
r gsr +g+ c+pk g ypallrvpgag r 21 64 24 73 9 -111 47.4
1.8e-5
```

The following table provides descriptions of each column in the `mapx` output file format, listed from left to right.

Table 31: ALIGNMENTS.TSV file output column descriptions

Column	Description
template-name	ID or description of protein (reference) with match.
frame	Denotes translation frame on forward (1,2,3) or reverse strand.
read-id	Numeric ID of read from SDF.



Column	Description
template-start	Start position of alignment on protein subject.
template-end	End position of alignment on protein subject.
template-length	Amino acid length of protein subject.
read-start	Start position of alignment on read nucleotide sequence.
read-end	End position of alignment on read nucleotide sequence.
read-length	Total nucleotide length of read.
template-protein	Amino acid sequence of aligned protein reference.
read-protein	Amino acid sequence of aligned translated read.
alignment	Amino acid alignment of match.
identical	Count of identities in alignment between reference and translated read.
%identical	Percent identity of match between reference and translated read, for exact matches only (global across translated read).
positive	Count of identical and similar amino acids in alignment between translated read and reference.
%positive	Percent similarity between reference and translated read, for exact and similar matches (global across translated read).
mismatches	Count of mismatches between reference and translated read.
raw-score	RTG alignment score (S); The alignment score is the negated sum of all single protein raw scores plus its penalties for gaps, which is the edit distance using one of the scoring matrices. Note that the RTG alignment score is the negated raw score of BLAST.

Column	Description
bit-score	Bit score is computed from the alignment score using the following formula: $\text{bit-score} = ((\text{lambda} * -S) - \ln(K)) / \ln(2)$ where lambda and K are taken from the matrix defaults [Blast pp.302-304] and S is the RTG alignment score.
e-score	e-score is computed from the alignment score using the following formula: $\text{e-score} = K * m' * n * e^{(\text{lambda} * S)}$ n is the total length of the database. m' is the effective length of the query (read): $m' = \max(1, \text{querylength} + \text{lambda} * S/H)$

When the `--unmapped-reads` flag is set, unmapped reads are reported in an ASCII file called `unmapped.tsv` with each read reported on a single line as a tab-separated value record. Each read in the unmapped output has a character code indicating the reason the read was not mapped, with no code indicating that read had no matches.

Character codes for unmapped reads include:

Character	Definition
d	Indicates that after alignment scores are calculated, the remaining hits were discarded because they exceeded the alignment score threshold (affected by <code>--max-alignment-score</code> ).
e	Indicates that there were good scoring hits, but the arm was discarded because there were too many of these hits (affected by <code>--max-top-results</code> ).
f	Indicates that there was a good hit which failed the percent identity threshold (affected by <code>--min-identity</code> ).
g	Indicates that there was a good hit which failed the e-score threshold (affected by <code>--max-e-score</code> ).
h	Indicates that there was a good hit which failed the bit score threshold (affected by <code>--min-bit-score</code> ).

### 5.7.9 Species results file description

The `species` command estimates the proportion of taxa in a given set of BAM files. It does this by taking a set of BAM files which were mapped against a set of known genome sequences. The

proportions are reported in a tab separated ASCII file called `species.tsv` with each taxon reported on a separate line. The header lines include the command line and reference sets used.

In addition to the raw output, some basic diversity metrics are produced and output to the screen and to a file named `summary.txt`. The metrics included are:

- Richness (see [http://en.wikipedia.org/wiki/Species\\_richness](http://en.wikipedia.org/wiki/Species_richness))
- Shannon (see [http://en.wikipedia.org/wiki/Diversity\\_index#Shannon\\_index](http://en.wikipedia.org/wiki/Diversity_index#Shannon_index))
- Pielou (see [http://en.wikipedia.org/wiki/Species\\_evenness](http://en.wikipedia.org/wiki/Species_evenness))
- Inverse Simpson (see [http://en.wikipedia.org/wiki/Diversity\\_index#Inverse\\_Simpson\\_index](http://en.wikipedia.org/wiki/Diversity_index#Inverse_Simpson_index))

For an interactive graphical view of the `species` command output, an HTML5 report named `index.html`. Opening this shows the taxonomy and data on an interactive pie chart, with wedge sizes defined by either the abundance or DNA fraction (user selectable in the report).

The following results file is an example of the output produced by `species`:

```
#abundance abundance-low abundance-high DNA-fraction DNA-fraction-low DNA-fraction-high
confidence coverage-depth coverage-breadth reference-length mapped-reads has-reference
taxa-count taxon-id parent-id rank taxonomy-name
0.1693 0.1677 0.1710 0.06157 0.06097 0.06217 4.2e+02 0.4919 0.3915 1496992 20456.00
Y 1 3 1 species Acholeplasma_laidlawii
0.1682 0.1671 0.1693 0.1384 0.1375 0.1393 6.6e+02 0.4892 0.3918 3389227 46059.50
Y 1 4 1 species Acidiphilium_cryptum
0.1680 0.1667 0.1692 0.09967 0.09891 0.1004 5.5e+02 0.4890 0.3887 2443540 33189.00
Y 1 6 1 species Acidothermus_cellulolyticus
0.1677 0.1668 0.1685 0.2301 0.2289 0.2313 8.7e+02 0.4877 0.3887 5650368 76543.00
Y 1 5 1 species Acidobacteria_bacterium
0.1646 0.1638 0.1655 0.2140 0.2129 0.2152 8.3e+02 0.4795 0.3886 5352772 71295.50
Y 1 7 1 species Acidovorax_avenae
0.1622 0.1614 0.1630 0.2562 0.2550 0.2574 9.2e+02 0.4721 0.3836 6503724 85288.00
Y 1 2 1 species Acaryochloris_marina
```

The following table provides descriptions of each column in the `species` output file format, listed from left to right.

**Table 32: SPECIES.TSV file output column descriptions**

Column	Description
abundance	Fraction of the individuals in the sample that belong to this taxon. The output file is sorted on this column.
abundance-low	Lower bound three standard deviations below the abundance.
abundance-high	Upper bound three standard deviations above the abundance.
DNA-fraction	Raw fraction of the DNA that maps to this taxon.
DNA-fraction-low	Lower bound three standard deviations below the DNA-fraction.
DNA-fraction-high	Upper bound three standard deviations above the DNA-fraction.

Column	Description
confidence	Confidence that this taxon is present in the sample. (Computed as the number of standard deviations away from the null hypotheses).
coverage-depth	The coverage depth of reads mapped to the taxon sequences, adjusted for the $I_H$ (number of output alignments) of the individual reads. (Zero if no reference sequences for the taxon).
coverage-breadth	The fraction of the taxon sequences covered by the reads. (Zero if no reference sequences for the taxon).
reference-length	The total length of the reference sequences, will be 0 if the taxon does not have associated reference sequences.
mapped-reads	The count of the reads which mapped to this taxon, adjusted for the $I_H$ (number of output alignments) of the individual reads.
has-reference	Y if the taxon has associated reference sequences, otherwise N.
taxa-count	The count of the number of taxa that are descendants of this taxon (including itself) and which are above the minimum confidence threshold.
taxon-id	The taxonomic ID for this result.
parent-id	The taxonomic ID of this results parent.
rank	The taxonomic rank associated with this result. This can be used to filter results into meaningful sets at different taxonomic ranks.
taxonomy-name	The taxonomic name for this result.

### 5.7.10 Similarity results file descriptions

The `similarity` command estimates how closely related a set of samples are to each other. It produces output in the form of a similarity matrix (`similarity.tsv`), a principal component analysis (`similarity.pca`) and two formats for phylogenetic trees showing relationships (`closest.tre` and `closest.xml`).

The similarity matrix file is a tab separated format file containing an NxN matrix of the matching *k*-mer counts, where N is the number of samples. An example of a `similarity.tsv` file:

```
#rtg similarity --unique-words -o similarity-out -I samples.txt -w 20 -s 15
F1_G_S_1M      F1_N_AN_1M      F1_O_BM_1M      F1_O_SP_1M      F1_O_TD_1M      F1_V_PF_1M
F1_G_S_1M8406725 4873      18425      13203      14746      10201
F1_N_AN_1M      4873      3551445      113310      117696      68118      245516
F1_O_BM_1M      18425      113310      6588017      579048      782011      152603
F1_O_SP_1M      13203      117696      579048      8583126      248654      161950
```

```
F1_O_TD_1M      14746   68118   782011  248654  9134232 79949
F1_V_PF_1M      10201   245516  152603  161950  79949   6000623
```

The principal component analysis file is a tab separated format file containing principal component groupings in three columns of real numbers, followed by the name of the sample. This can be turned into a 3D plot showing relationship groupings, by treating each line as a single point in three dimensional space. An example of a `similarity.pca` file:

```
0.0906   -0.1505 0.0471 F1_G_S_1M
-0.1207  -0.0610 -0.0348 F1_N_AN_1M
-0.0479   0.1889 -0.0119 F1_O_BM_1M
-0.0229   0.0893 0.0996 F1_O_SP_1M
0.0162   0.1375 -0.1384 F1_O_TD_1M
-0.1503  -0.0619 -0.0341 F1_V_PF_1M
```

The files containing the relationships as phylogenetic trees are in the Newick (`closest.tre`) and phyloXML (`closest.xml`) formats. For more information about the Newick tree format see [http://en.wikipedia.org/wiki/Newick\\_format](http://en.wikipedia.org/wiki/Newick_format). For more information about the phyloXML format see <http://www.phyloxml.org>.

## 5.8 Parallel processing approach

The comparison of genomic variation on a large scale in real time demands parallel processing capability. On a single server node, RTG automatically splits up compute-intensive commands like `map` and `snp` into multiple threads that run simultaneously (unless explicitly directed otherwise through the `-T` option).

To get a further reduction in wall clock time and optimize job execution on different size server nodes, a full read mapping and alignment run can be split into smaller jobs running in parallel on multiple servers.

### How it works

With read mapping, very large numbers of reads may be aligned to a single reference genome. For example, 35x coverage of the human genome with 2×36 base pair data requires processing of 1,500 million reads. Fortunately, multiple alignment files can be easily combined with other alignment files if the reference is held constant. Thus, the problem can easily be made parallel by breaking up the read data into multiple data sets and executing on separate compute nodes.

The steps for clustered read mappings with RTG are as follows:

Create multiple jobs of single end data, and start them on different nodes. By creating files with the individual jobs, these can be saved for repeated runs. This example shows the mapping of single end read data to a reference.

```
$ echo "rtg map -a 1 -b 0 -w 12 --start-read=0 --end-read=10000000
-o $RESULTS/00 -i $READ_DATA -t $REFERENCE" > split-job-00
$ rsh host0 split-job-00
$ echo "rtg map -a 1 -b 0 -w 12 --start-read=10000000 --end-read=20000000
-o $RESULTS/01 -i $READ_DATA -t $REFERENCE" > split-job-01
$ rsh host1 split-job-01
```

Repeat for as many segments of read data as required. This step can vary based on your configuration, but the basic idea is to create a command line script and then run it remotely. Store read, reference, and output results data in a central location for easier management. Shell variables that specify data location by URL are recommended to keep it all straight.

Run SNP caller to get variant detection results

```
$ rtg snp -t $REFERENCE -o $RESULTS $RESULTS/*/alignments.bam
```

With this pipeline, each job runs to completion on separate nodes mapping the reads against the same reference genome. Each node should have sufficient, dedicated memory. RTG will automatically use the available cores of the processor to run multi-threaded tasks.

---

**NOTE:** The map command does not require specific assignment of input files for left and right mate pair read data sets; this is handled automatically by the format command. The result is an SDF directory structure, with left and right SDF directories underneath a directory named by the `-o` parameter. Each of the left and right directories share a GUID and are identified as left or right arms respectively. The map command uses this information to verify that left and right arms are correct before processing.

### Complete Genomics use case

Complete Genomics data has 70 lanes, typically. For clustered processing, plan one lane of mapping per SDF. If you have 7 machines available, map 10 on each machine resulting in 70 SDFs per machine, and 70 directories of BAM files per machine.

SDF read data is loaded into RAM during execution of the RTG `cmap` command. For example, using human genomic data, ~1.28 billion reads (each with 70 bp of information) can be divided into chunks that can fit into memory by the `cmap` command.

If you have multi-core server nodes available, the `cmap` command will use multiple cores simultaneously. You can use the `-T` flag to adjust the number of cores used. Clustered processing dramatically reduces the wall clock time of the total job. At the end, the `snp` and `cnv` commands will accept multiple alignment files created by mapping runs at one time, where different sets of reads are mapped to the same reference.

## 5.9 Distribution Contents

The contents of the RTG distribution zip file should include:

- The RTG executable JAR file.
- RTG executable wrapper script.
- Example scripts and files.
- This operations manual.
- A release notes file and a readme file.

Some distributions also include an appropriate java runtime environment (JRE) for your operating system.

### 5.10 README.txt

For reference purposes, a copy of the distribution `README.txt` file follows:

```
=== RTG Software ===
```

```
RTG software from Real Time Genomics includes tools for the processing  
and analysis of plant, animal and human sequence data from high
```

throughput sequencing systems. Product usage and administration is described in the accompanying RTG Operations Manual.

#### Quick Start Instructions

=====

RTG software is delivered as a command-line Java application accessed via a wrapper script that allows a user to customize initial memory allocation and other configuration options. It is recommended that these wrapper scripts be used rather than directly accessing the Java JAR.

For individual use, follow these quick start instructions.

#### No-JRE:

The no-JRE distribution does not include a Java Runtime Environment and instead uses the system-installed Java. Ensure that at the command line you can enter 'java -version' and that this command reports a java version of 1.7 or higher before proceeding with the steps below. This may require setting your PATH environment variable to include the location of an appropriate version of java.

#### Linux/MacOS X:

Unzip the RTG distribution to the desired location.

If your RTG distribution requires a license file (rtg-license.txt), copy the license file from Real Time Genomics into the RTG distribution directory.

In a terminal, cd to the installation directory and test for success by entering './rtg version'

On MacOS X, depending on your operating system version and configuration regarding unsigned applications, you may encounter the error message:

```
-bash: rtg: /usr/bin/env: bad interpreter: Operation not permitted
```

If this occurs, you must clear the OS X quarantine attribute with the command:

```
xattr -d com.apple.quarantine rtg
```

The first time rtg is executed you will be prompted with some questions to customize your installation. Follow the prompts.

Enter './rtg help' for a list of rtg commands. Help for any individual command is available using the --help flag, e.g.: './rtg format --help'

By default, RTG software scripts establish a memory space of 90% of the available RAM - this is automatically calculated. One may override this limit in the rtg.cfg settings file or on a per-run basis by supplying RTG\_MEM as an environment variable or as the first program argument, e.g.: './rtg RTG\_MEM=48g map'

[OPTIONAL] If you will be running rtg on multiple machines and would like to customize settings on a per-machine basis, copy rtg.cfg to /etc/rtg.cfg, editing per-machine settings appropriately (requires root privileges). An alternative that does not require root privileges is to copy rtg.example.cfg to rtg.HOSTNAME.cfg, editing per-machine settings appropriately, where HOSTNAME is the short host name output by the command "hostname -s"

#### Windows:

Unzip the RTG distribution to the desired location.

If your RTG distribution requires a license file (rtg-license.txt), copy the license file from Real Time Genomics into the RTG distribution directory.

Test for success by entering 'rtg version' at the command line. The

first time rtg is executed you will be prompted with some questions to customize your installation. Follow the prompts.

Enter 'rtg help' for a list of rtg commands. Help for any individual command is available using the --help flag, e.g.: 'rtg format --help'

By default, RTG software scripts establish a memory space of 90% of the available RAM - this is automatically calculated. One may override this limit by setting the RTG\_MEM variable in the rtg.bat script or as an environment variable.

The scripts subdirectory contains demos, helper scripts, and example configuration files, and comprehensive documentation is contained in the RTG Operations Manual.

Using the above quick start installation steps, an individual can execute RTG software in a remote computing environment without the need to establish root privileges. Include the necessary data files in directories within the workspace and upload the entire workspace to the remote system (either stand-alone or cluster).

For data center deployment and instructions for editing scripts, please consult the Administration chapter of the RTG Operations Manual.

A discussion group is now available for general questions, tips, and other discussions. It may be viewed or joined at:  
<https://groups.google.com/a/realtimegenomics.com/forum/#!forum/rtg-users>

To be informed of new software releases, subscribe to the low-traffic rtg-announce group at:  
<https://groups.google.com/a/realtimegenomics.com/forum/#!forum/rtg-announce>

#### Citing RTG

=====

John G. Cleary, Ross Braithwaite, Kurt Gaastra, Brian S. Hilbush, Stuart Inglis, Sean A. Irvine, Alan Jackson, Richard Littin, Sahar Nohzadeh-Malakshah, Mehul Rathod, David Ware, Len Trigg, and Francisco M. De La Vega. "Joint Variant and De Novo Mutation Identification on Pedigrees from High-Throughput Sequencing Data." Journal of Computational Biology. June 2014, 21(6): 405-419. doi:10.1089/cmb.2014.0029.

#### Terms of Use

=====

This proprietary software program is the property of Real Time Genomics. All use of this software program is subject to the terms of an applicable end user license agreement.

#### Patents

=====

US: 7,640,256, 13/129,329, 13/681,046, 13/681,215, 13/848,653, 13/925,704, 14/015,295, 13/971,654, 13/971,630, 14/564,810  
UK: 1222923.3, 1222921.7, 1304502.6, 1311209.9, 1314888.7, 1314908.3  
New Zealand: 626777, 626783, 615491, 614897, 614560  
Australia: 2005255348, Singapore: 128254  
Other patents pending

#### Third Party Software Used

=====

RTG software uses the open source htsjdk library (<https://github.com/samtools/htsjdk>) for reading and writing SAM files, under the terms of following license:

#### The MIT License

Copyright (c) 2009 The Broad Institute

Permission is hereby granted, free of charge, to any person obtaining a copy of this software and associated documentation files (the "Software"), to deal



in the Software without restriction, including without limitation the rights to use, copy, modify, merge, publish, distribute, sublicense, and/or sell copies of the Software, and to permit persons to whom the Software is furnished to do so, subject to the following conditions:

The above copyright notice and this permission notice shall be included in all copies or substantial portions of the Software.

THE SOFTWARE IS PROVIDED "AS IS", WITHOUT WARRANTY OF ANY KIND, EXPRESS OR IMPLIED, INCLUDING BUT NOT LIMITED TO THE WARRANTIES OF MERCHANTABILITY, FITNESS FOR A PARTICULAR PURPOSE AND NONINFRINGEMENT. IN NO EVENT SHALL THE AUTHORS OR COPYRIGHT HOLDERS BE LIABLE FOR ANY CLAIM, DAMAGES OR OTHER LIABILITY, WHETHER IN AN ACTION OF CONTRACT, TORT OR OTHERWISE, ARISING FROM, OUT OF OR IN CONNECTION WITH THE SOFTWARE OR THE USE OR OTHER DEALINGS IN THE SOFTWARE.

-----  
RTG software uses the bzip2 library included in the open source Ant project (<http://ant.apache.org/>) for decompressing bzip2 format files, under the following license:

Copyright 1999-2010 The Apache Software Foundation

Licensed under the Apache License, Version 2.0 (the "License"); you may not use this file except in compliance with the License. You may obtain a copy of the License at

<http://www.apache.org/licenses/LICENSE-2.0>

Unless required by applicable law or agreed to in writing, software distributed under the License is distributed on an "AS IS" BASIS, WITHOUT WARRANTIES OR CONDITIONS OF ANY KIND, either express or implied. See the License for the specific language governing permissions and limitations under the License.

-----  
RTG Software uses a modified version of `java/util/zip/GZIPInputStream.java` (available in the accompanying `gzipfix.jar`) from OpenJDK 7 under the terms of the following license:

This code is free software; you can redistribute it and/or modify it under the terms of the GNU General Public License version 2 only, as published by the Free Software Foundation. Oracle designates this particular file as subject to the "Classpath" exception as provided by Oracle in the LICENSE file that accompanied this code.

This code is distributed in the hope that it will be useful, but WITHOUT ANY WARRANTY; without even the implied warranty of MERCHANTABILITY or FITNESS FOR A PARTICULAR PURPOSE. See the GNU General Public License version 2 for more details (a copy is included in the LICENSE file that accompanied this code).

You should have received a copy of the GNU General Public License version 2 along with this work; if not, write to the Free Software Foundation, Inc., 51 Franklin St, Fifth Floor, Boston, MA 02110-1301 USA.

Please contact Oracle, 500 Oracle Parkway, Redwood Shores, CA 94065 USA or visit [www.oracle.com](http://www.oracle.com) if you need additional information or have any questions.

-----  
RTG Software uses hierarchical data visualization software from <http://sourceforge.net/projects/krona/> under the terms of the following license:

Copyright (c) 2011, Battelle National Biodefense Institute (BNBI); all rights reserved. Authored by: Brian Ondov, Nicholas Bergman, and Adam Phillippy

This Software was prepared for the Department of Homeland Security (DHS) by the Battelle National Biodefense Institute, LLC (BNBI) as part of contract HSHQDC-07-C-00020 to manage and operate the National Biodefense Analysis and Countermeasures Center (NBACC), a Federally Funded Research and Development Center.

Redistribution and use in source and binary forms, with or without modification, are permitted provided that the following conditions are met:

- \* Redistributions of source code must retain the above copyright notice, this list of conditions and the following disclaimer.
- \* Redistributions in binary form must reproduce the above copyright notice, this list of conditions and the following disclaimer in the documentation and/or other materials provided with the distribution.
- \* Neither the name of the Battelle National Biodefense Institute nor the names of its contributors may be used to endorse or promote products derived from this software without specific prior written permission.

THIS SOFTWARE IS PROVIDED BY THE COPYRIGHT HOLDERS AND CONTRIBUTORS "AS IS" AND ANY EXPRESS OR IMPLIED WARRANTIES, INCLUDING, BUT NOT LIMITED TO, THE IMPLIED WARRANTIES OF MERCHANTABILITY AND FITNESS FOR A PARTICULAR PURPOSE ARE DISCLAIMED. IN NO EVENT SHALL THE COPYRIGHT HOLDER OR CONTRIBUTORS BE LIABLE FOR ANY DIRECT, INDIRECT, INCIDENTAL, SPECIAL, EXEMPLARY, OR CONSEQUENTIAL DAMAGES (INCLUDING, BUT NOT LIMITED TO, PROCUREMENT OF SUBSTITUTE GOODS OR SERVICES; LOSS OF USE, DATA, OR PROFITS; OR BUSINESS INTERRUPTION) HOWEVER CAUSED AND ON ANY THEORY OF LIABILITY, WHETHER IN CONTRACT, STRICT LIABILITY, OR TORT (INCLUDING NEGLIGENCE OR OTHERWISE) ARISING IN ANY WAY OUT OF THE USE OF THIS SOFTWARE, EVEN IF ADVISED OF THE POSSIBILITY OF SUCH DAMAGE.

## 5.11 RTG sample similarity

Use the following set of tasks to produce a similarity matrix from the comparison of a group of read sets. An example use case is in metagenomics where several bacteria samples taken from different sites need to be compared.

The `similarity` command performs a similarity analysis on multiple read sets independent of any reference genome. It does this by examining *k*-mer word frequencies and the intersections between sets of reads.

Table 33: Overview of sample similarity tasks

Task	Command & Utilities	Purpose
Task 1 Prepare read sets	<code>\$ rtg format</code> <code>\$ rtg sdfstats</code>	Convert reference sequence from FASTA file to RTG Sequence Data Format (SDF)
Task 2 Generate read set name map	<code>\$ text editor</code> <code>\$ cat</code>	Produce the map of names to read set SDF locations
Tasks 3 Run similarity tool	<code>\$ rtg similarity</code>	Process the read sets for similarity

### 5.11.1 Task 1 - Prepare read sets

RTG tools require a conversion of read sequence data from FASTA or FASTQ files into the RTG SDF format. This task will be completed with the `format` command. The conversion will create an SDF directory for the sample reads.

Take a paired set of reads in FASTQ format and convert it into RTG data format (SDF). This example shows one run of data, taking as input both left and right mate pairs from the same run.

```
$ rtg format -f fastq -q sanger -o /data/reads/read-sample1-sdf
  -l /data/reads/fastq/read-sample1_1.fq
  -r /data/reads/fastq/read-sample2_2.fq
```

This creates a directory named read-sample1-sdf with two subdirectories, named left and right. Use the `sdfstats` command to verify this step.

```
$ rtg sdfstats /data/reads/read-sample1-sdf
```

Repeat for all read samples to be compared. This example shows how this can be done with the `format` command in a loop.

```
$ for left_fq in /data/reads/fastq/*_1.fq; do
$   right_fq=${left_fq/_1.fq/_2.fq}
$   sample_id=$(basename ${left_fq/_1.fq})
$   rtg format -f fastq -q sanger
     -o /data/reads/${sample_id}-sdf -l ${left_fq} -r ${right_fq}
$ done
```

### 5.11.2 Task 2 - Generate read set name map

With a text editor, or other tools, create a text file containing a list of sample name to sample read SDF file locations. If two or more read sets are from the same sample they can be combined by giving them the same sample name in the file list.

```
$ cat read-set-list.txt
sample1 /data/reads/read-sample1-sdf
sample2 /data/reads/read-sample2-sdf
sample3 /data/reads/read-sample3-sdf
sample4 /data/reads/read-sample4-sdf
sample5 /data/reads/read-sample5-sdf
```

### 5.11.3 Task 3 - Run similarity tool

Run the `similarity` command setting the *k*-mer word size (`-w` parameter) and the step size (`-s` parameter) on the read sets by specifying the file listing the read sets. Some experimentation should be performed with different word and step size parameters to find good trade-offs between memory usage and run time. Should it be necessary to reduce the memory used it is possible to limit the number of reads used from each SDF by specifying the `--max-reads` parameter.

```
$ rtg similarity -w 25 -s 25 --max-reads 1000000 -I read-set-list.txt
  -o similarity-output
```

The program puts its output in the specified output directory.

```
$ ls similarity-output/
4693 Aug 29 20:17 closest.tre
19393 Aug 29 20:17 closest.xml
33 Aug 29 20:17 done
11363 Aug 29 20:17 similarity.log
48901 Aug 29 20:17 similarity.tsv
693 Aug 29 20:17 progress
```

The `similarity.tsv` file is a tab separated file containing a matrix of counts of the number of *k*-mers in common between each pair of samples. The `closest.tre` and `closest.xml` files are nearest neighbor trees built from the counts from the similarity matrix. The `closest.tre` is in Newick format and the `closest.xml` file is phyloXML. The `similarity.pca` file contains a principal component analysis on the similarity matrix in `similarity.tsv`.

You may wish to view `closest.tre` or `closest.xml` in your preferred tree viewing tool or use the principal component analysis output in `similarity.pca` to produce a three-dimensional grouping plot showing visually the clustering of samples.