# 2 Chapter 2

## 2.1 POLYNOMIALS OF SEVERAL VARIABLES

**Ex.** 2.1.1 Show that  $\langle x,y\rangle = \{xg + yh \mid g,h \in F[x,y]\} \subset F[x,y]$  is not a principal ideal in F[x,y].

*Proof.* We show first that  $\langle x,y\rangle\neq F[x,y]$ . If not,  $1\in\langle x,y\rangle$ , so

$$1 = xu + yv, \ u, v \in F[x, y].$$

If we evaluate this identity at x = 0, y = 0, we obtain 1 = 0, which is a contradiction, thus

$$\langle x, y \rangle \neq F[x, y].$$

If  $\langle x, y \rangle$  was a principal ideal, generated by  $p \in F[x, y]$ , then  $\langle x, y \rangle = \langle p \rangle$ , and

$$x = pq, y = pr, \quad q, r \in F[x, y].$$

deg(p) + deg(q) = deg(x) = 1, so  $deg(p) \le 1$ , and  $p \ne 0$ .

If deg(p) = 0, then  $p = \lambda \in F^*$ , and  $\langle x, y \rangle = \langle \lambda \rangle = F[x, y]$ , but we have proved that this is impossible.

Thus  $\deg(p)=1$ , so  $p=\alpha x+\beta y+\gamma,\ \alpha,\beta,\gamma\in F$ , and  $\deg(q)=\deg(r)=0$ , so  $q=\lambda\in F^*, r=\mu\in F^*$ :

$$x = \lambda(\alpha x + \beta y + \gamma),$$
  
$$y = \mu(\alpha x + \beta y + \gamma).$$

This implies  $\lambda \beta = 0$  and  $\mu \alpha = 0$ .

As  $\lambda \neq 0, \mu \neq 0, \alpha = \beta = 0$ , whitch is in contradiction with  $\deg(p) = 1$ .

We have proved that  $\langle x, y \rangle$  is not a principal ideal, and thus F[x, y] is not a principal ideal domain.

Ex. 2.1.2 Express each the following polynomials as a polynomial in y with coefficients that are polynomials in the remaining variables.

(a) 
$$x^2y + 3y^2 - xy^2 + 3x + xy^2 + 7x^2y^2$$
.

(b) 
$$(y - (x_1 + x_2))(y - (x_1 + x_3))(y - (x_2 + x_1)).$$

Proof. (a)

$$p = x^{2}y + 3y^{2} - xy^{2} + 3x + xy^{2} + 7x^{3}y^{3}$$
$$= (7x^{3})y^{3} + 3y^{2} + x^{2}y + 3x.$$

(b) Let

$$q = (y - (x_1 + x_2))(y - (x_1 + x_3))(y - (x_2 + x_3)).$$

Consider 
$$p = (x + x_1)(x + x_2)(x + x_3) = x^3 + \sigma_1 x^2 + \sigma_2 x + \sigma_3$$
.

Then

$$q = (y - \sigma_1 + x_3)(y - \sigma_1 + x_2)(y - \sigma_1 + x_1)$$

$$= p(y - \sigma_1)$$

$$= (y - \sigma_1)^3 + \sigma_1(y - \sigma_1)^2 + \sigma_2(y - \sigma_1) + \sigma_3$$

$$= (y^3 - 3\sigma_1y^2 + 3\sigma_1^2y - \sigma_1^3) + (\sigma_1y^2 - 2\sigma_1^2y + \sigma_1^3) + (\sigma_2y - \sigma_1\sigma_2) + \sigma_3$$

$$= y^3 - 2\sigma_1y^2 + (\sigma_1^2 + \sigma_2)y + (\sigma_3 - \sigma_1\sigma_2).$$

**Ex. 2.1.3** Given positive integers n and r with  $1 \le r \le n$ , let  $\binom{n}{r}$  be the number of ways of choosing r elements from a set with n elements. Recall that  $\binom{n}{r} = \frac{n!}{r!(n-r)!}$ .

- (a) Show that the polynomial  $\sigma_r$  is a sum of  $\binom{n}{r}$  terms.
- (b) Show that  $\sigma_r(-\alpha, \ldots, -\alpha) = (-1)^r \binom{n}{r} \alpha^r$ .
- (c) Let  $f = (x + \alpha)^n$ . Use part (b) and Corollary 2.1.5 to prove that

$$(x+\alpha)^n = \sum_{r=0}^n \binom{n}{r} \alpha^r x^{n-r},$$

where  $\binom{n}{0} = 1$ . This shows that the binomial theorem follows from Corollary 2.1.5.

*Proof.* (a) The number of terms in

$$\sigma_r = \sum_{1 \le i_1 < \dots < i_r \le n} x_{i_1} x_{i_2} \cdots x_{i_r} \tag{1}$$

is the number of strictly increasing sequences  $(i_1, i_2, \dots, i_r)$  in the integer interval [1, n]. It is equal to the number of subsets with r elements in the set [1, n] with n elements. Thus it is equal to  $\binom{n}{r}$ .

(b) Evaluating (??) with  $x_1 = x_2 = x_n = -\alpha$ , we obtain

$$\sigma_r(-\alpha, \dots, -\alpha) = \sum_{1 \le i_1 < \dots < i_r \le n} (-\alpha)^r$$
$$= (-1)^r \binom{n}{r} \alpha^r.$$

(c) By Corollary 2.1.5, with the substitution  $x_1 = -\alpha, x_2 = -\alpha, \dots, x_n = -\alpha,$ 

$$f = (x + \alpha)^n = x^n + a_1 x^{n-1} + \dots + a_n,$$

where

$$a_r = (-1)^r \sigma_r(-\alpha, \cdots, -\alpha)$$
  
=  $\binom{n}{r} \alpha^r$ .

Consequently,

$$(x+\alpha)^n = \sum_{i=1}^n \binom{n}{r} \alpha^r x^{n-r}.$$

With the substitution  $x = \beta$ ,  $\beta \in F$ , we obtain the binomial formula

$$(\alpha + \beta)^n = \sum_{i=1}^n \binom{n}{r} \alpha^r \beta^{n-r}.$$

2.2 SYMMETRIC POLYNOMIALS

**Ex. 2.2.1** Show that the leading term of  $\sigma_r$  is  $x_1x_2\cdots x_r$ .

*Proof.* We show that the leading term of  $\sigma_r$  for the graded lexicographic order is  $x_1x_2\cdots x_n$ . Let  $x_{i_1}x_{i_2}\cdots x_{i_r}(i_1< i_2< \cdots < i_r)$  any term of  $\sigma_r$ , distinct of  $x_1x_2\cdots x_r$ . We must show that  $x_1x_2\cdots x_r> x_{i_1}x_{i_2}\cdots x_{i_r}$ .

If  $i_1 > 1$ , then  $x_1$  as no occurrence in  $x_{i_1}x_{i_2}\cdots x_{i_r}$ . Its exponent is 0 in the right monomial, and 1 in the left monomial, so

$$x_1 x_2 \cdots x_r > x_{i_1} x_{i_2} \cdots x_{i_r},$$

and the proof is done in this case.

If  $i_1 = 1$ , let j (1 < j < n) the first subscript such that  $i_j \neq j$ . Then

$$i_1 = 1, i_2 = 2, \dots, i_{j-1} = j-1, i_j \neq j.$$

Such a subscript exists, otherwise  $x_1x_2\cdots x_r=x_{i_1}x_{i_2}\cdots x_{i_r}$ . As  $i_j>i_{j-1}=j-1$ ,  $i_j\geq j$ , and as  $i_j\neq j, i_j>j$ , so the exponent of  $x_j$  is 0 in the right monomial.

Therefore

$$x_1 x_2 \cdots x_{j-1} x_j \cdots x_r > x_1 x_2 \cdots x_{j-1} x_{i_j} \cdots x_{i_r} = x_{i_1} x_{i_2} \cdots x_{i_r}.$$

So the leading term of  $\sigma_r$  is  $x_1x_2\cdots x_r$ .

**Ex. 2.2.2** This exercise will study the order relation defined in (2.5). Given an exponent vector  $\alpha = (a_1, \ldots, a_n)$ , where each  $a_i \geq 0$  is an integer, let  $x^{\alpha}$  denote the monomial

$$x^{\alpha} = x_1^{a_1} \cdots x_n^{a_n}.$$

If  $\alpha$  and  $\beta$  are exponent vectors, note that  $x^{\alpha}x^{\beta} = x^{\alpha+\beta}$ . Also, the leading term of a nonzero polynomial  $f \in F[x_1, \ldots, x_n]$  will be denoted LT(f).

- (a) Suppose that  $x^{\alpha} > x^{\beta}$ , and let  $x^{\gamma}$  be any monomial. Prove that  $x^{\alpha+\gamma} > x^{\beta+\gamma}$ .
- (b) Suppose that  $x^{\alpha} > x^{\beta}$  and  $x^{\gamma} > x^{\delta}$ . Prove that  $x^{\alpha+\gamma} > x^{\beta+\delta}$ .
- (c) Let  $f, g \in F(x_1, ..., x_n]$  be nonzero. Prove that LT(fg) = LT(f)LT(g).

*Proof.* (a) Let  $\alpha = (a_1, a_2, \dots, a_n), \beta = (b_1, b_2, \dots, b_n), \gamma = (c_1, c_2, \dots, c_n)$  and suppose that  $x^{\alpha} > x^{\beta}$ .

Then  $a_1 + a_2 + \cdots + a_n \ge b_1 + b_2 + \cdots + b_n$ , otherwise  $x^{\alpha} < x^{\beta}$ .

If  $a_1 + a_2 + \cdots + a_n > b_1 + b_2 + \cdots + b_n$ , then  $(a_1 + c_1) + \cdots + (a_n + c_n) > (b_1 + c_1) + \cdots + (a_n + c_n)$ , thus  $x^{\alpha + \gamma} > x^{\beta + \gamma}$ .

We suppose now that  $a_1 + a_2 + \cdots + a_n = b_1 + b_2 + \cdots + b_n$ .

By definition of the graded lexicographical order,  $a_1 \geq b_1$ , otherwise  $x^{\alpha} < x^{\beta}$ .

If  $a_1 > b_1$ , then  $a_1 + c_1 > b_1 + c_1$ , which implies  $x^{\alpha + \gamma} > x^{\beta + \gamma}$ .

It remains the case where  $a_1 = b_1$ .

Let j (j < n) the first subscript such that  $a_i \neq b_j$ :

$$a_1 = b_1, a_2 = b_2, \cdots, a_{j-1} = b_{j-1}, a_j \neq b_j.$$

As  $x^{\alpha} > x^{\beta}$ , such a subscript exists, otherwise  $x^{\alpha} = x^{\beta}$ .

If  $a_i < b_i$ , we would have  $x^{\alpha} < x^{\beta}$ , which is false by hypothesis, so  $a_i > b_i$ .

Then  $a_1 + c_1 = b_1 + c_1, \dots, a_{j-1} + c_{j-1} = b_{j-1} + c_{j-1}$  and  $a_j + c_j > b_j + c_j$ , so

$$x^{\alpha+\gamma} > x^{\beta+\gamma}$$
.

Conclusion:

$$x^{\alpha} > x^{\beta} \Rightarrow x^{\alpha+\gamma} > x^{\beta+\gamma}$$
.

(b) If  $x^{\alpha} > x^{\beta}$  and  $x^{\gamma} > x^{\delta}$ , then by (a),

$$x^{\alpha+\gamma} > x^{\beta+\gamma}$$

$$x^{\beta+\gamma} > x^{\beta+\delta}$$

So, by transitivity

$$x^{\alpha+\gamma} > x^{\beta+\delta}$$
.

(c) Let  $cx^{\alpha} = LT(f), dx^{\beta} = LT(g)$ . By definition of the leading term, for every term  $ux^{\gamma}$  in f, distinct of LT(f),

$$x^{\alpha} > x^{\gamma}$$

and for every term  $vx^{\delta}$  in g, distinct of LT(g),

$$x^{\beta} > x^{\delta}$$
.

Every monomial in fg distinct of  $cdx^{\alpha+\beta}$  is a sum of terms of the form  $gx^{\gamma+\delta}$ , where  $\beta, \gamma$  verify  $\alpha \geq \gamma, \beta > \delta$ , or  $\alpha > \gamma, \beta \geq \delta$ . In both cases, by (a) and (b),

$$x^{\alpha+\beta} > x^{\gamma+\delta}$$

Therefore  $cdx^{\alpha+\beta}$  is the leading term of fg, so

$$LT(fg) = LT(f) LT(g).$$

**Ex. 2.2.3** Prove (2.13)-(2.16). For (2.13), a computer will be helpful; the others can be proved by hand using the identity

$$(y_1 + \dots + y_m)^2 = y_1^2 + \dots + y_m^2 + 2 \sum_{i < j} y_i y_j.$$

Proof. Let

$$f = \Sigma_4 x_1^3 x_2^2 x_3$$
.

We must write f as a polynomial in  $\sigma_1, \sigma_2, \sigma_3, \sigma_4$ .

The leading term of f for the graded lexicographical order being  $x_1^3x_2^2x_3^1x_4^0$ , the algorithm of section 2.2 asks to subtract to f the monomial  $\sigma_1^{3-2}\sigma_2^{2-1}\sigma_3^{1-0}\sigma_4^0 = \sigma_1\sigma_2\sigma_3$ .

(a)

$$\begin{split} \sigma_1\sigma_2\sigma_3 &= (x_1+x_2+x_3+x_4)\times (x_1x_2+x_1x_3+x_1x_4+x_2x_3+x_2x_4+x_3x_4)\\ &\quad \times (x_1x_2x_3+x_1x_2x_4+x_1x_3x_4+x_2x_3x_4)\\ &= 3\,x_1x_2{}^3x_3x_4+8\,x_1{}^2x_2{}^2x_3x_4+8\,x_2{}^2x_4{}^2x_1x_3+8\,x_2{}^2x_3{}^2x_1x_4+8\,x_1{}^2x_2x_4{}^2x_3\\ &\quad +8\,x_1{}^2x_2x_3{}^2x_4+8\,x_2x_3{}^2x_4{}^2x_1+3\,x_1{}^3x_2x_3x_4+3\,x_2x_4{}^3x_1x_3+3\,x_2x_3{}^3x_1x_4\\ &\quad +x_1{}^3x_4{}^2x_3+3\,x_2{}^2x_3{}^2x_4{}^2+x_1{}^2x_2{}^3x_3+x_3{}^2x_4{}^3x_2+x_2{}^2x_3{}^3x_1+3\,x_1{}^2x_2{}^2x_3{}^2\\ &\quad +x_1{}^2x_3{}^3x_4+x_3{}^3x_4{}^2x_2+x_2{}^3x_3{}^2x_4+x_2{}^2x_3{}^3x_4+x_3{}^2x_4{}^3x_1+x_2{}^3x_3{}^2x_1\\ &\quad +x_2{}^3x_4{}^2x_1+x_2{}^2x_4{}^3x_1+x_2{}^3x_4{}^2x_3+x_3{}^3x_4{}^2x_1+x_1{}^3x_2{}^2x_3+x_1{}^3x_3{}^2x_2\\ &\quad +x_1{}^2x_4{}^3x_2+3\,x_1{}^2x_2{}^2x_4{}^2+x_1{}^3x_3{}^2x_4+x_1{}^3x_2{}^2x_4+3\,x_1{}^2x_3{}^2x_4{}^2+x_2{}^2x_4{}^3x_3\\ &\quad +x_1{}^3x_4{}^2x_2+x_1{}^2x_2{}^3x_4+x_1{}^2x_4{}^3x_3+x_1{}^2x_3{}^3x_2\\ &\quad =8\Sigma_4x_1^2x_2^2x_3x_4+3\Sigma_4x_1^3x_2x_3x_4+3\Sigma_4x_1^2x_2^2x_2^2+\Sigma_4x_1^3x_2^2x_3. \end{split}$$

We find the 96 terms of the product  $\sigma_1 \sigma_2 \sigma_3$  (see Ex. 2.2.12):

 $\Sigma_4 x_1^2 x_2^2 x_3 x_4$  has  $\frac{4!}{2!2!} = 6$  terms, with the coefficient 8 : 48 terms.

 $\Sigma_4 x_1^3 x_2 x_3 x_4$  has  $\frac{4!}{1!3!} = 4$  terms, with the coefficient 3 : 12 terms.

 $\Sigma_4 x_1^2 x_2^2 x_3^2$  has  $\frac{4!}{3!1!} = 4$  terms, with the coefficient 3 : 12 terms.

 $\Sigma_4 x_1^3 x_2^2 x_3$  has  $\frac{4!}{1!1!1!1!}=24$  terms, with the coefficient 1 : 24 terms..

We obtain this product with the following Maple instructions:

$$> P = (x + x_1).(x + x_2).(x + x_3)(x + x_4);$$

 $> p := \operatorname{expand}(P);$ 

 $> q := \operatorname{collect}(p, x);$ 

 $> \sigma_1 := \text{coeff}(q, x, 3); \sigma_2 := \text{coeff}(q, x, 2); \sigma_3 := \text{coeff}(q, x, 1); \sigma_4 := \text{coeff}(q, x, 1);$ 

 $> \operatorname{expand}(\sigma_1.\sigma_2.\sigma_3);$ 

With sage:

(b) So

$$f_1 = f - \sigma_1 \sigma_2 \sigma_3$$
  
=  $-8\Sigma_4 x_1^2 x_2^2 x_3 x_4 - 3\Sigma_4 x_1^3 x_2 x_3 x_4 - 3\Sigma_4 x_1^2 x_2^2 x_3^2$ .

The leading of  $f_1$  is  $-3x_1^3x_2x_3x_4$ , so we must subtract  $-3\sigma_1^2\sigma_4$  to  $f_1$ .

$$\sigma_1^2 \sigma_4 = (\Sigma_4 x_1)^2 (x_1 x_2 x_3 x_4)$$

$$= (\Sigma_4 x_1^2 + 2\Sigma_4 x_1 x_2) x_1 x_2 x_3 x_4$$

$$= \Sigma_4 x_1^3 x_2 x_3 x_4 + 2\Sigma_4 x_1^2 x_2^2 x_3 x_4,$$

therefore

$$f_2 = f - \sigma_1 \sigma_2 \sigma_3 + 3\sigma_1^2 \sigma_4 = -3\Sigma_4 x_1^2 x_2^2 x_3^2 - 2\Sigma_4 x_1^2 x_2^2 x_3 x_4.$$

(c) The leading term of  $f_2$  is  $-3x_1^2x_2^2x_3^2$ , so must subtract  $-3\sigma_3^2$  to  $f_2$ .

$$\sigma_3^2 = (\Sigma_4 x_1 x_2 x_3)^2$$

$$= \Sigma_4 x_1^2 x_2^2 x_3^2 + 2\Sigma_4 x_1^2 x_2^2 x_3 x_4,$$

$$f_3 = f - \sigma_1 \sigma_2 \sigma_3 + 3\sigma_1^2 \sigma_4 + 3\sigma_3^2 = 4\Sigma_4 x_1^2 x_2^2 x_3 x_4.$$

(d) The leading term of  $f_3$  is  $4x_1^2x_2^2x_3x_4$ , so we must subtract  $4\sigma_2\sigma_4$  to  $f_3$ .

$$\sigma_2 \sigma_4 = (\Sigma_4 x_1 x_2)(x_1 x_2 x_3 x_4)$$
  
=  $\Sigma_4 x_1^2 x_2^2 x_3 x_4$ ,

so 
$$f_4 = f - \sigma_1 \sigma_2 \sigma_3 + 3\sigma_1^2 \sigma_4 + 3\sigma_3^2 - 4\sigma_2 \sigma_4 = 0.$$

$$f = \Sigma_4 x_1^3 x_2^2 x_3 = \sigma_1 \sigma_2 \sigma_3 - 3\sigma_1^2 \sigma_4 - 3\sigma_3^2 + 4\sigma_2 \sigma_4.$$

**Ex. 2.2.4** Let  $f = x^3 + bx^2 + cx + d \in F[x]$  have roots  $\alpha_1, \alpha_2, \alpha_3$  in the field L containing F, and let g be the polynomial defined in (2.17). Show carefully that

$$g(x) = x^3 + 2bx^2 + (b^2 + c)x + bc - d.$$

*Proof.* Let

$$f = x^3 + bx^2 + cx + d$$
  
=  $(x - \alpha)(x - \beta)(x - \gamma)$   
=  $x^3 - \sigma_1(\alpha, \beta, \gamma)x^2 + \sigma_2(\alpha, \beta, \gamma)x - \sigma_3(\alpha, \beta, \gamma),$ 

which gives

$$\sigma_1(\alpha, \beta, \gamma) = -b,$$
  

$$\sigma_2(\alpha, \beta, \gamma) = +c,$$
  

$$\sigma_3(\alpha, \beta, \gamma) = -d.$$

Let

$$G(x) = (x - (x_1 + x_2))(x - (x_1 + x_3))(x - (x_2 + x_3)).$$

Then

$$g(x) = (x - (\alpha_1 + \alpha_2))(x - (\alpha_1 + \alpha_3))(x - (\alpha_2 + \alpha_3))$$

is obtained from G by the evaluation morphism which sends  $x_1, x_2, x_3$  on  $\alpha_1, \alpha_2, \alpha_3$ .

Let 
$$p = (x + x_1)(x + x_2)(x + x_3) = x + \sigma_1 x^2 + \sigma_2 x + \sigma_3$$
.

Then

$$G = (x - \sigma_1 + x_3)(x - \sigma_1 + x_2)(x - \sigma_1 + x_1)$$

$$= p(x - \sigma_1)$$

$$= (x - \sigma_1)^3 + \sigma_1(x - \sigma_1)^2 + \sigma_2(x - \sigma_1) + \sigma_3$$

$$= (x^3 - 3\sigma_1x^2 + 3\sigma_1^2x - \sigma_1^3) + (\sigma_1x^2 - 2\sigma_1^2x + \sigma_1^3) + (\sigma_2x - \sigma_1\sigma_2) + \sigma_3$$

$$= x^3 - 2\sigma_1x^2 + (\sigma_1^2 + \sigma_2)x + (\sigma_3 - \sigma_1\sigma_2).$$

The previous evaluation morphism sends  $\sigma_1$  on  $\sigma_1(\alpha_1, \alpha_2, \alpha_3) = -b$ ,  $\sigma_2$  on  $\sigma_2(\alpha_1, \alpha_2, \alpha_3) = c$ ,  $\sigma_3$  on  $\sigma_3(\alpha_1, \alpha_2, \alpha_3) = -d$ .

$$g(x) = x^3 + 2bx^2 + (b^2 + c)x + bc - d.$$

In the example 2.2.6,

$$f(x) = x^3 + 2x^2 + x + 7,$$

where

$$b = 2, c = 1, d = 7,$$

 $\alpha_1, \alpha_2, \alpha_3$  being the roots of g in  $\mathbb{C}$ , we obtain

$$g(x) = (x - (\alpha_1 + \alpha_2))(x - (\alpha_1 + \alpha_3))(x - (\alpha_2 + x\alpha_3))$$
  
=  $x^3 + 2bx^2 + (b^2 + c)x + bc - d$   
=  $x^3 + 4x^2 + 5x - 5$ .

**Ex. 2.2.5** This exercise will complete the proof of Theorem 2.2.7. Let  $h \in F[u_1, \ldots, u_n]$  be a nonzero polynomial. The goal is to prove that  $h(\sigma_1, \ldots, \sigma_n)$  is not the zero polynomial in  $x_1, \ldots, x_n$ .

- (a) If  $cu_1^{b_1} \cdots u_n^{b_n}$  is a term of h, then use Exercise 2 to show that the leading term of  $c\sigma_1^{b_1} \cdots \sigma_n^{b_n}$  is  $cx_1^{b_1+\cdots+b_n}x_2^{b_2+\cdots+b_n} \cdots x_n^{b_n}$ .
- (b) Show that  $(b_1, \ldots, b_n) \mapsto (b_1 + \cdots + b_n, b_2 + \cdots + b_n, \ldots, b_n)$  is one-to-one.

- (c) To see why  $h(\sigma_1, \ldots, \sigma_n)$  is nonzero, consider the term of  $h(u_1, \ldots, u_n)$  for which the leading term of  $c\sigma_1^{b_1} \cdots \sigma_n^{b_n}$  is maximal. Prove that this leading term is in fact the leading term of  $h(\sigma_1, \ldots, \sigma_n)$ , and explain how this proves what we want.
- *Proof.* (a) Let  $h \in F[u_1, u_2, \dots, u_n], h \neq 0$ , and  $cu_1^{b_1}u_2^{b_2}\cdots u_n^{b_n}$  a term of h.

The leading term of a product is the product of the leading term of the factors (Ex 2.2.2), and the leading term of  $\sigma_r$  is  $x_1x_2\cdots x_r$  (Ex 2.2.1), so the leading term of  $c\sigma_1^{b_1}\sigma_2^{b_2}\cdots\sigma_n^{b_n}$  is

$$LT(c\sigma_1^{b_1}\sigma_2^{b_2}\cdots\sigma_n^{b_n}) = c(x_1)^{b_1}(x_1x_2)^{b_2}\cdots(x_1x_2\cdots x_n)^{b_n}$$
$$= cx_1^{b_1+b_2+\cdots+b_n}x_2^{b_2+\cdots+b_n}\cdots x_n^{b_n}.$$

(b) If  $a_i, b_i \in \mathbb{Z}$ , the system of equations

$$b_1 + b_2 + \dots + b_n = a_1,$$
  

$$b_2 + \dots + b_n = a_2,$$
  

$$\dots$$
  

$$b_n = a_n,$$

is equivalent to

$$b_1 = a_1 - a_2,$$
  
 $b_2 = a_2 - a_3,$   
...  
 $b_{n-1} = a_n - a_{n-1},$   
 $b_n = a_n.$ 

So the application  $f: \mathbb{Z}^n \to \mathbb{Z}^n$  defined by

$$(b_1, b_2, \dots, b_n) \mapsto (b_1 + b_2 + \dots + b_n, b_2 + \dots + b_n, \dots, b_n)$$

is bijective (one-to-one and onto).

(c) As  $h \neq 0$ , there exists a term  $cu_1^{b_1}u_2^{b_2}\cdots u_n^{b_n}$  of h such that the leading term  $cx_1^{a_1}\cdots x_n^{a_n}$  of  $c\sigma_1^{b_1}\sigma_2^{b_2}\cdots \sigma_n^{b_n}$  is maximal. Then every other term  $c'u_1^{b_1'}u_2^{b_2'}\cdots u_n^{b_n'}$  of h verifies  $(b'_1,b'_2,\cdots,b'_n)\neq (b_1,b_2,\cdots,b_n)$  and the leading term  $c'x_1^{a'_1}\cdots x_n^{a'_n}$  of  $c'\sigma_1^{b'_1}\sigma_2^{b'_2}\cdots \sigma_n^{b'_n}$  is less than  $cx_1^{a_1}\cdots x_n^{a_n}$ : it can not be greater because this term is maximal, and  $(a_1,a_2,\cdots,a_n)\neq (a'_1,a'_2,\cdots,a'_n)$ , since the application f in (b) is bijective. The graded lexicographic order defined on the monomials  $x_1^{a_1}\cdots x_n^{a_n}$  being a total order,  $x_1^{a_1}\cdots x_n^{a_n}>x_1^{a'_1}\cdots x_n^{a'_n}$ .

So  $cx_1^{a_1} \cdots x_n^{a_n}$  is greater than the leading terms of every other term  $c'\sigma_1^{a'_1}\sigma_2^{a'_2}\cdots\sigma_n^{a'_n}$  of  $h(\sigma_1, \dots, \sigma_n) \neq 0$ , so is a fortiori greater than every other term of  $h(\sigma_1, \dots, \sigma_n)$ . It can't be cancelled in the sum of these terms, and consequently  $h(\sigma_1, \dots, \sigma_n) \neq 0$ .

Ex. 2.2.6 Here is an example of polynomials which are not algebraically independent. Consider  $x_1^2, x_1x_2, x_2^2 \in F[x_1, x_2]$ , and let  $\phi : F[u_1, u_2, u_3] \to F[x_1, x_2]$  be defined by

$$\phi(u_1) = x_1^2, \phi(u_2) = x_1 x_2, \phi(u_3) = x_2^2.$$

Show that  $\phi$  is not one-to-one by finding a nonzero polynomial  $h \in F[u_1, u_2, u_3]$  such that  $\phi(h) = 0.$ 

*Proof.* Let  $h = u_1 u_3 - u_2^2$ .

Then the unique algebra morphism  $\phi$  such that

$$\phi(u_1) = x_1^2, \phi(u_2) = x_1 x_2, \phi(u_3) = x_2^2$$

verifies

$$\phi(h) = \phi(u_1)\phi(u_3) - (\phi(u_2))^2 = x_1^2 x_2^2 - (x_1 x_2)^2 = 0.$$

So  $h \neq 0$  is in the kernel of  $\phi$ , and  $\phi$  is not one-to-one. Thus  $x_1^2, x_1x_2, x_2^2$  are not algebraically independent.

**Ex. 2.2.7** Given a polynomial  $f \in F[x_1, \ldots, x_n]$  and a permutation  $\sigma \in S_n$ , let  $\sigma \cdot f$ denote the polynomial obtained from f by permuting the variables according to  $\sigma$ . Show that  $\prod_{\sigma \in S_n} \sigma \cdot f$  and  $\sum_{\sigma \in S_n} \sigma \cdot f$  are symmetric polynomials.

Proof. We use the relations (2.31) p. 48, (or (6.7) p. 138) proved in Exercises 6.4.3 and 6.4.4: for all  $\sigma, \tau \in S_n$ , and all  $f, g \in F[x_1, x_2, \cdots, x_n]$ :

$$\sigma \cdot (f+g) = \sigma \cdot f + \sigma \cdot g,\tag{2}$$

$$\sigma \cdot (fg) = (\sigma \cdot f)(\sigma \cdot g),\tag{3}$$

$$\tau \cdot (\sigma \cdot f) = (\tau \circ \sigma) \cdot f. \tag{4}$$

(We will use the notation  $\tau \circ \sigma = \tau \sigma$ .)

Let  $g = \prod_{\sigma \in S_n} \sigma \cdot f$ . Then, if  $\tau \in S_n$ , using (??) and (??)

$$\tau \cdot g = \tau \cdot \prod_{\sigma \in S_n} \sigma \cdot f$$
$$= \prod_{\sigma \in S_n} \tau \cdot (\sigma \cdot f)$$
$$= \prod_{\sigma \in S_n} (\tau \sigma) \cdot f.$$

As the application  $S_n \to S_n, \sigma \mapsto \tau \sigma$  is bijective, the index change  $\sigma' = \tau \sigma$  gives

$$\prod_{\sigma \in S_n} (\tau \sigma) \cdot f = \prod_{\sigma' \in S_n} \sigma' \cdot f = \prod_{\sigma \in S_n} \sigma \cdot f = g.$$

So, for all  $\tau \in S_n$ ,  $\tau \cdot g = g$ : thus g is a symmetric polynomial.

Same proof for  $\tau \cdot \sum_{\sigma \in S_n} \sigma \cdot f = \sum_{\sigma \in S_n} \sigma \cdot f$ : use (2) in place of (3). Conclusion:  $\prod_{\sigma \in S_n} \sigma \cdot f \text{ and } \sum_{\sigma \in S_n} \sigma \cdot f \text{ are symmetric polynomials.}$ 

**Ex. 2.2.8** In this exercise, you will prove that if  $\varphi \in F(x_1, \ldots, x_n)$  is symmetric, then  $\varphi$  is a rational function in  $\sigma_1, \ldots, \sigma_n$  with coefficients in F. To begin the proof, we know that  $\varphi = A/B$ , where A and B are in  $F[x_1, \ldots, x_n]$ . Note that A and B need not be symmetric, only their quotient  $\varphi = A/B$  is. Let

$$C = \prod_{\sigma \in S_n \setminus \{e\}} \sigma \cdot B,$$

where we are using the notation of Exercise 7.

- (a) Use Exercise 7 to show that BC is a symmetric polynomial.
- (b) Then use the symmetry of  $\varphi = A/B$  to show that AC is a symmetric polynomial.
- (c) Use  $\varphi = (AC)/(BC)$  and theorem 2.2.2 to conclude that  $\varphi$  is a rational function in the elementary symmetric polynomials with coefficients in F.

*Proof.* Let  $\varphi = A/B \in F(x_1, \dots, x_n)$  a symmetric rational function:

$$\forall \sigma \in S_n, \ \sigma \cdot \varphi = \sigma \cdot A/\sigma \cdot B = \varphi = A/B.$$

(a) Let

$$C = \prod_{\sigma \in S_n \setminus \{e\}} \sigma \cdot B.$$

Then

$$BC = \prod_{\sigma \in S_n} \sigma \cdot B.$$

By Exercise 2.2.7, BC is then a symmetric polynomial.

(b) Note that the rules (2.31) for polynomials extend to rational functions. In particular, if  $\varphi = A/B$ ,  $\psi = A_1/B_1 \in F(x_1, \dots, x_n)$ , and  $\sigma \in S_n$ ,

$$\sigma \cdot (\varphi \psi) = (\sigma \cdot \varphi) \ (\sigma \cdot \psi).$$

Indeed,

$$(\sigma \cdot \varphi) \ (\sigma \cdot \psi) = \frac{\sigma \cdot A}{\sigma \cdot B} \frac{\sigma \cdot A_1}{\sigma \cdot B_1} = \frac{\sigma \cdot (AA_1)}{\sigma \cdot (BB_1)} = \sigma \cdot (\varphi \psi).$$

Using this property, for all  $\sigma \in S_n$ , from  $AC = \varphi BC$ , we obtain

$$\sigma \cdot (AC) = (\sigma \cdot \varphi)(\sigma \cdot (BC)) = \varphi BC = AC.$$

So AC is a symmetric polynomial.

(c) So  $\varphi = \frac{AC}{BC}$  is the quotient of two symmetric polynomials, thus there exists  $h, k \in F[x_1, \dots, x_n]$  such that

$$\varphi = \frac{AC}{BC} = \frac{h(\sigma_1, \cdots, \sigma_n)}{k(\sigma_1, \cdots, \sigma_n)} = \left(\frac{h}{k}\right)(\sigma_1, \cdots, \sigma_n).$$

 $\varphi \in F(\sigma_1, \dots, \sigma_n)$  is a rational function in the elementary symmetric polynomials with coefficients in F.

Ex. 2.2.9 In the Historical Notes, we gave Gauss's definition of lexicographic order.

- (a) Give a definition (in English) of lexicographic order.
- (b) In the proof of Theorem 2.2.2, we showed that grade lexicographic order has the property that there are only finitely many monomials less than a given monomial. In contrast this property fails for lexicographic order. Give an explicit example to illustrate this.
- (c) In spite of part (b), lexicographic order does have an interesting finiteness property. Namely, prove that there is no infinite sequence of polynomials  $f_1, f_2, f_3, \ldots$  that have strictly decreasing terms according to lexicographic order.
- (d) Explain how part (c) allows one to prove Theorem 2.2.2 using lexicographic order.

*Proof.* (a) For the lexicographic order,  $x_1^{a_1} \cdots x_n^{a_n} < x_1^{b_1} \cdots x_n^{b_n}$  is equivalent by definition to

$$\exists j \in [1, n], (\forall i \in \mathbb{N}, 1 \le i < j \Rightarrow a_i = b_i) \text{ and } a_j < b_j.$$

(The property  $(\forall i \in \mathbb{N}, \ 1 \leq i < j \Rightarrow a_i = b_i)$  is automatically verified for j = 1, since  $1 \leq i < j$  is false, so the implication is true.)

In informal terms:

 $a_1 < b_1$  or  $(a_1 = b_1 \text{ and } a_2 < b_2)$  or  $(a_1 = b_1, a_2 = b_2 \text{ and } a_3 < b_3)$  or ...

In other words,  $x_1^{a_1} \cdots x_n^{a_n} < x_1^{b_1} \cdots x_n^{b_n}$  iff the first subscript i such that  $a_i \neq b_i$  exists and verifies  $a_i < b_i$ .

This relation  $\leq$  is a total order.

- (b) The monomials less than  $x_1 = x_1^1 x_2^0 \cdots x_n^0$  for the lexicographic order contain the monomials  $x_1^0 x_2^{a_2} \dots x_n^{a_n}$ , where  $a_2, \dots, a_n$  are arbitrary integers in  $\mathbb{N} = \mathbb{Z}_{\geq 0}$ . There are infinitely many such monomials.
- (c) We show this property by induction on the numbers of variables  $x_i$ .

If there is a unique variable, say  $x_1$ , then a strictly decreasing sequence of monomial  $x_1^{n_0} > x_1^{n_2} > \cdots$ , with  $n_i \in \mathbb{N}$ , is such that  $n_0 > n_1 > \cdots$ : such a sequence is necessary finite. This is a property of the natural order in  $\mathbb{N}$ : Every non empty subset of  $\mathbb{N}$  has a smallest element, so a strictly decreasing infinite sequence in  $\mathbb{N}$  doesn't exist.

Suppose that this property is true for n-1 variables, say  $x_2, \dots, x_n$ . Consider the sequence

$$x_1^{i_{1,1}} \cdots x_n^{i_{1,n}} > x_1^{i_{2,1}} \cdots x_n^{i_{2,n}} > \cdots > x_1^{i_{k,1}} \cdots x_n^{i_{k,n}} > \cdots.$$

By the induction hypothesis, for each fixed exponent  $i_{k,1}$  of  $x_1$ , there exists only finitely monomial in this sequence with this exponent for  $x_1$ . As these exponents are at most  $i_{1,1}$ , the sequence is finite and the induction is done.

(d) The beginning of the demonstration of Theorem 2.2.2 remains unchanged with the lexicographic order. Then we builds a sequence

$$f, f_1 = f - cq, f_2 = f - cq - c_1q_1, \dots$$

of polynomials whose leading terms constitute a strictly decreasing sequence for this order, until  $f_i = 0$ . By (c), this sequence is finite, so one polynomial  $f_i$  is zero, which completes the algorithm.

**Ex. 2.2.10** Apply the proof of theorem 2.2.2 to express  $\sum_3 x_1^2 x_2$  in terms of  $\sigma_1, \sigma_2, \sigma_3$ . *Proof.* Explicitly,

$$f = \Sigma_3 x_1^2 x_2 = x_1^2 x_2 + x_1^2 x_3 + x_1 x_2^2 + x_1 x_3^2 + x_2^2 x_3 + x_2 x_3^2.$$

Note that  $x_1^2x_2 = x_1^2x_2^1x_3^0$  is the leading term for the graded lexicographic order, so the following term in the sequence is  $g = f - \sigma_1^{2-1}\sigma_2^{1-0}\sigma_3^0 = f - \sigma_1\sigma_2$ .

$$\sigma_1 \sigma_2 = (x_1 + x_2 + x_3)(x_1 x_2 + x_1 x_3 + x_2 x_3)$$

$$= x_1^2 x_2 + x_1^2 x_3 + x_1 x_2 x_3 + x_1 x_2^2 + x_2^2 x_3 + x_1 x_2 x_3 + x_1 x_3^2 + x_2 x_3^2 + x_1 x_2 x_3$$

$$= f + 3x_1 x_2 x_3,$$

thus

$$f = \Sigma_3 x_1^2 x_2 = \sigma_1 \sigma_2 - 3\sigma_3.$$

**Ex. 2.2.11** Let the roots of  $y^3 + 2y^2 - 3y + 5$  be  $\alpha, \beta, \gamma \in \mathbb{C}$ . Find polynomials with integers coefficients that have the following roots:

- (a)  $\alpha\beta$ ,  $\alpha\gamma$  and  $\beta\gamma$ .
- (b)  $\alpha + 1, \beta + 1, \text{ and } \gamma + 1.$
- (c)  $\alpha^2, \beta^2$ , and  $\gamma^2$ .

(a) 
$$f = y^3 + 2y^2 - 3y + 5 = (y - \alpha)(y - \beta)(y - \gamma) = y^3 - \sigma_1 y^2 + \sigma_2 y - \sigma_3,$$
  
so  $\sigma_1 = -2, \sigma_2 = -3, \sigma_3 = -5.$   
 $g = (y - \alpha \beta)(y - \alpha \gamma)(y - \beta \gamma)$   
 $= y^3 - (\alpha \beta + \alpha \gamma + \beta \gamma)y^2 + (\alpha^2 \beta \gamma + \alpha \beta^2 \gamma + \alpha \beta \gamma^2)y + \alpha^2 \beta^2 \gamma^2$   
 $= y^3 - \sigma_2 y^2 + \sigma_3 \sigma_1 y + \sigma_3^2$   
 $= y^3 + 3y^2 + 10y + 25.$ 

 $y^3 + 3y^2 + 10y + 25$  is the polynomial whose roots are  $\alpha\beta, \alpha\gamma, \beta\gamma$ .

(b)

$$g = (y - \alpha - 1)(y - \beta - 1)(y - \gamma - 1)$$

$$= f(y - 1)$$

$$= (y - 1)^3 + 2(y - 1)^2 - 3(y - 1) + 5$$

$$= y^3 - 3y^2 + 3y - 1 + 2y^2 - 4y + 2 - 3y + 3 + 5$$

$$= y^3 - y^2 - 4y + 9.$$

(c) Let 
$$h(y) = (y - \alpha^2)(y - \beta^2)(y - \gamma^2)$$
. Then
$$h(y^2) = (y^2 - \alpha^2)(y^2 - \beta^2)(y^2 - \gamma^2)$$

$$= (y - \alpha)(y - \beta)(y - \gamma)(y + \alpha)(y + \beta)(y + \gamma)$$

$$= (y^3 + 2y^2 - 3y + 5)(y^3 - 2y^2 - 3y - 5)$$

$$= (y^3 - 3y)^2 - (2y^2 + 5)^2$$

$$= y^6 - 6y^4 + 9y^2 - 4y^4 - 20y^2 - 25$$

$$= y^6 - 10y^4 - 11y^2 - 25.$$

Thus

$$h(y) = (y - \alpha^2)(y - \beta^2)(y - \gamma^2) = y^3 - 10y^2 - 11y - 25.$$

(In particular,  $\sigma_2(\alpha^2, \beta^2, \gamma^2) = -11$ , which we can verify directly:  $\alpha^2\beta^2 + \alpha^2\gamma^2 + \beta^2\gamma^2 = \sigma_2^2 - 2\sigma_1\sigma_3 = 9 - 20 = -11$ .)

**Ex. 2.2.12** Consider the symmetric polynomial  $f = \sum_n x_1^{a_1} \cdots x_n^{a_n}$ .

- (a) Prove that f has n! terms when  $a_1, \ldots, a_n$  are distinct.
- (b) (More challenging) Suppose that the exponents  $a_1, \ldots, a_n$  break up into r disjoint groups so that exponent within the same group are equal, but exponents from different groups are unequal. Let  $l_i$  denote the number of elements in the ith group, so that  $l_1 + l_2 + \cdots + l_r = n$ . Prove that the number of terms in f is

$$\frac{n!}{l_1!\cdots l_r!}.$$

*Proof.* (a) Here we suppose that the exponents  $a_i$  are distinct

If 
$$\sigma, \tau \in S_n$$
 and  $\sigma \neq \tau$ , then  $x_{\sigma(1)}^{a_1} \cdots x_{\sigma(n)}^{a_n} \neq x_{\tau(1)}^{a_1} \cdots x_{\tau(n)}^{a_n}$ .  
Then  $\Sigma_n x_1^{a_1} \cdots x_n^{a_n} = \sum_{\sigma \in S_n} x_{\sigma(1)}^{a_1} \cdots x_{\sigma(n)}^{a_n}$  has  $n! = |S_n|$  terms.

(b) Now we suppose that the exponents have same value on  $I_1 = [\![1, l_1]\!]$  and on each interval  $I_k = [\![l_1 + \cdots + l_{k-1} + 1, l_1 + \cdots + l_k]\!]$ ,  $(k = 2, \cdots, r)$ , with distinct constants on each interval.

The terms of  $\Sigma_n x_1^{a_1} \cdots x_n^{a_n}$  are the terms of the image of the application

$$\varphi: \begin{array}{ccc} S_n & \to & F[x_1, \cdots, x_n] \\ \sigma & \mapsto & x_{\sigma(1)}^{a_1} \cdots x_{\sigma(n)}^{a_n} = \sigma \cdot (x_1^{a_1} \cdots x_n^{a_n}). \end{array}$$

This image is the orbit  $\mathcal{O}_t$  of  $t = x_1^{a_1} \cdots x_n^{a_n}$  for the group operation defined by  $(\sigma, f) \mapsto \sigma \cdot f$ .

As  $|\mathcal{O}_t| = |S_n|/|\mathrm{Stab}_{S_n}(t)|$ , it is sufficient to compute the cardinality of this stabilizer  $S = \mathrm{Stab}_{S_n}(t)$ , stabilizer in  $S_n$  of  $x_1^{a_1} \cdots x_n^{a_n}$ :

$$S = \{ \sigma \in S_n \mid x_{\sigma(1)}^{a_1} \cdots x_{\sigma(n)}^{a_n} = x_1^{a_1} \cdots x_n^{a_n} \}.$$

Note that  $\sigma \in S$  iff  $\sigma$  applies  $I_k$  on itself:

$$\sigma(I_k) = I_k, \ k = 1, \dots, r.$$

Let  $\psi$  be the application

$$\psi: \begin{array}{ccc} S & \to & S(I_1) \times S(I_2) \times \cdots S(I_r) \\ \sigma & \mapsto & (\sigma_1, \sigma_2, \cdots, \sigma_r) \end{array}$$

where  $\sigma_k = \sigma|_{I_k}$  is the restriction of  $\sigma$  to  $I_k$ .

 $\psi$  is bijective, so

$$|S| = l_1! l_2! \cdots l_r!.$$

So the number of terms in  $\sum_n x_1^{a_1} \cdots x_n^{a_n}$ , equal to the cardinality of the orbit of the monomial t, is equal to

$$|\mathcal{O}_t| = |S_n|/|\operatorname{Stab}_{S_n}(x_1^{a_1} \cdots x_n^{a_n})| = \frac{n!}{l_1! l_2! \cdots l_r!}$$

**Ex. 2.2.13** Let  $g_1, g_2 \in F[x_1, ..., x_n]$  be homogeneous of total degree  $d_1, d_2$ .

- (a) Show that  $g_1g_2$  is homogeneous of total degree  $d_1 + d_2$ .
- (b) When is  $g_1 + g_2$  homogeneous?

*Proof.* (a) Every term m of  $g_1g_2$  is a product of a term  $m_1$  of  $g_1$  with a term  $m_2$  of  $g_2$ .  $\deg(m) = \deg(m_1m_2) = \deg(m_1) + \deg(m_2) = d_1 + d_2$ . So  $g_1g_2$  is homogeneous of degree  $d_1 + d_2$ .

(b)  $g_1 + g_2$  is homogeneous iff  $d_1 = d_2$ .

**Ex. 2.2.14** We define the weight of  $\sigma_1^{a_1} \cdots \sigma_n^{a_n}$  to be  $a_1 + 2a_2 + \cdots + na_n$ .

- (a) Prove that  $\sigma_1^{a_1} \cdots \sigma_n^{a_n}$  is homogeneous and that its weight is the same as its total degree when considered as a polynomial in  $x_1, \ldots, x_n$ .
- (b) Let  $f = F(x_1, ..., x_n]$  be symmetric and homogeneous of total degree d. Show that f is a linear combination of products  $\sigma_1^{a_1} \cdots \sigma_n^{a_n}$  of weight d.
- *Proof.* (a) By Ex. 2.2.13, each  $\sigma_k$  being homogeneous of degree k, the product  $\sigma_1^{a_1} \cdots \sigma_n^{a_n}$  is homogeneous. As  $\deg(\sigma_k) = k$ ,  $\deg(\sigma_1^{a_1} \cdots \sigma_n^{a_n}) = a_1 + 2a_2 + \cdots + na_n$  is equal to the weight of  $\sigma_1^{a_1} \cdots \sigma_n^{a_n}$ .
  - (b) Since f is symmetric, f is a linear combination of products  $\sigma_1^{a_1} \cdots \sigma_n^{a_n}$ . These products being homogeneous of degree  $a_1 + 2a_2 + \cdots + na_n$ , and f being homogeneous, by Ex 2.2.13(b), each term of this sum has degree d.

Conclusion : f is a linear combination of products  $\sigma_1^{a_1} \cdots \sigma_n^{a_n}$  of weight d.

**Ex. 2.2.15** Given a polynomial  $f \in F[x_1, ..., x_n]$ , let  $\deg_i(f)$  be the maximal exponent of  $x_i$  which appears in f. Thus  $f = x_1^3x_2 + x_1x_2^4$  has degree  $\deg_1(f) = 3$  and  $\deg_2(f) = 4$ .

- (a) If f is symmetric, explain why the  $\deg_i(f)$  are the same for i = 1, ..., n.
- (b) Show that  $\deg_i(\sigma_1^{a_1} \cdots \sigma_n^{a_n}) = a_1 + a_2 + \cdots + a_n \text{ for } i = 1, \dots, n.$
- *Proof.* (a) If  $x_1$  appears in a term  $cx_1^{a_1}x_2^{a_2}\cdots x_n^{a_n}$  of f, then the transposition  $\tau=(1,2)$  applied to f show that  $cx_2^{a_1}x_1^{a_2}\cdots x_n^{a_n}$  is a term of f, so  $x_2$  appears in a term of f with the same exponent. Thus the maximal exponent is the same for the two variables:

$$\deg_1(f) = \deg_2(f),$$

and the same is true for any pair of variables.

(b) As  $\sigma_k = \sum_{1 \leq i_1 < \dots < i_k \leq n} x_{i_1} x_{i_2} \cdots x_{i_k}$ ,  $\deg_i(\sigma_k) = 1$ . For polynomial of one variable x,  $\deg(pq) = \deg(p) + \deg(q)$ , and  $\deg_1(f)$  is the degree in  $x_1$  of f as an element of  $k[x_2, \dots, x_n][x_1]$ , so

$$\deg_i(fg) = \deg_i(f) + \deg_i(g).$$

Therefore  $\deg_i(\sigma_1^{a_1}\cdots\sigma_n^{a_n})=a_1\deg_i(\sigma_1)+\cdots+a_n\deg_i(\sigma_n)=a_1+\cdots+a_n.$ 

**Ex. 2.2.16** This exercise is based on [7, pp. 110-112] and will express the discriminant  $\Delta = (x_1 - x_2)^2 (x_1 - x_3)^2 (x_2 - x_3)^2$  in terms of the elementary symmetric functions without using a computer. We will use the terminology of Exercises 14 and 15. Note that  $\Delta$  is homogeneous of total degree 6 and  $\deg_i(\Delta) = 4$  for i = 1, 2, 3.

- (a) Find all products  $\sigma_1^{a_1}\sigma_2^{a_2}\sigma_3^{a_3}$  of weight 6 and  $\deg_i(\sigma_1^{a_1}\sigma_2^{a_2}\sigma_3^{a_3}) \leq 4$ .
- (b) Explain how part (a) implies that there are constants  $l_1, \ldots, l_5$  such that

$$\Delta = l_1 \sigma_3^2 + l_2 \sigma_1 \sigma_2 \sigma_3 + l_3 \sigma_1^3 \sigma_3 + l_4 \sigma_2^3 + l_5 \sigma_1^2 \sigma_2^2.$$

- (c) We will compute the  $l_i$  by using the universal property of the elementary symmetric polynomial. For example, to determine  $l_1$ , use the cube roots of unity  $1, \omega, \omega^2$  to show that  $x^3 1$  has coefficient -27. By applying the ring homomorphism defined by  $x_1 \mapsto 1, x_2 \mapsto \omega, x_3 \mapsto \omega^2$  to part (b), conclude that  $l_1 = -27$ .
- (d) Show that  $x^3 x$  has roots  $0, \pm 1$  and discriminant 4. By adapting the argument of part (c), conclude that  $l_4 = -4$ .
- (e) Similarly, use  $x^3 2x^2 + x$  to show that  $l_5 = 1$ .
- (f) Next, note that  $x^3 2x^2 x + 2$  has roots  $\pm 1, 2$  and use this (together with the known values of  $l_1, l_4, l_5$ )) to conclude that  $l_2 4l_3 = 34$ .
- (g) Finally use  $x^3 3x^2 + 3x 1$  to show  $l_2 + 3l_3 = 6$ . Using part (f), this implies  $l_2 = 18, l_3 = -4$  and gives the usual formula for  $\Delta$ .

*Proof.* (a) By Ex. 14,15, to find all products  $\sigma_1^{a_1}\sigma_2^{a_2}\sigma_3^{a_3}$  of weight 6 verifying  $\deg_i(\sigma_1^{a_1}\sigma_2^{a_2}\sigma_3^{a_3}) \le 4$ , it suffices to solve the system of equations

$$\begin{cases} a_1 + 2a_2 + 3a_3 = 6 \\ a_1 + a_2 + a_3 \le 4 \end{cases}$$

The solutions of the first equation are

$$(0,0,2), (1,1,1), (3,0,1), (0,3,0), (2,2,0), (4,1,0)(6,0,0).$$

Only the two last solutions don't verify the second condition. So the solutions of the system are

$$(0,0,2), (1,1,1), (3,0,1), (0,3,0), (2,2,0),$$

which correspond to the symmetric polynomials

$$\sigma_3^2, \sigma_1\sigma_2\sigma_3, \sigma_1^3\sigma_3, \sigma_2^3, \sigma_1^2\sigma_2^2.$$

(b) As  $\Delta$  is homogeneous of total degree  $\deg(\Delta) = 6$  and as  $\deg_i(\Delta) = 4$ , i = 1, 2, 3, by Ex. 14,15,  $\Delta$  is a linear combination of products  $\sigma_1^{a_1} \sigma_2^{a_2} \sigma_3^{a_3}$  of weight 6.

Moreover, the relative degree to the i-th variable of each of these products is at most 4: if f has the form

$$f = f_1 + c\sigma_1^4 \sigma_2 + d\sigma_1^6$$
  
=  $f_1 + c(x_1 + x_2 + x_3)^4 (x_1 x_2 + x_1 x_3 + x_2 x_3) + d(x_1 + x_2 + x_3)^6$ ,

where  $\deg_i(f_1) \leq 4$ , then the comparison of degree of  $x_1^6$  gives d = 0, and the term in  $x_1^5$  gives c = 0.

So there exists coefficients  $l_i \in \mathbb{Z}$  such that

$$\Delta = l_1 \sigma_3^2 + l_2 \sigma_1 \sigma_2 \sigma_3 + l_3 \sigma_1^3 \sigma_3 + l_4 \sigma_2^3 + l_5 \sigma_1^2 \sigma_2^2.$$

(c) The discriminant of  $x^3 - 1$  is equal to

$$\Delta(1, \omega, \omega^2) = (1 - \omega)^2 (1 - \omega^2)^2 (\omega - \omega^2)^2$$

$$\sqrt{\Delta} = (1 - \omega)(1 - \omega^2)(\omega - \omega^2)$$

$$= -\begin{vmatrix} 1 & 1 & 1 \\ 1 & \omega & \omega^2 \\ 1 & \omega^2 & \omega \end{vmatrix}$$

$$= -(3\omega^2 - 3\omega) = 3(\omega - \omega^2)$$

$$= 3i\sqrt{3}.$$

Therefore

$$\Delta(1,\omega,\omega^2) = -27.$$

The ring homomorphism defined by  $x_1 \mapsto 1, x_2 \mapsto \omega, x_3 \mapsto \omega^2$  sends  $\Delta$  on  $\Delta(1, \omega, \omega^2)$  and  $\sigma_k$  on  $\sigma_k(1, \omega, \omega^2)$ . As

$$\sigma_1(1, \omega, \omega^2) = \sigma_2(1, \omega, \omega^2) = 0, \sigma_3(1, \omega, \omega^2) = 1,$$
  
 $l_1 = \Delta(1, \omega, \omega^2) = -27.$ 

- (d)  $x^3 x = x(x-1)(x+1)$  has roots 0, 1, -1.  $\Delta(0, 1, -1) = (0-1)^2(0+1)^2(1+1)^2 = 4 \text{ and } \sigma_1 = 0, \sigma_2 = -1, \sigma_3 = 0, \text{ so } l_4\sigma_2^3 = -l_4 = 4.$   $l_4 = -4.$
- (e)  $x^3 2x^2 + x = x(x-1)^2$  has a discriminant equal to 0, and  $\sigma_1 = 2, \sigma_2 = 1, \sigma_3 = 0$ , so  $l_4 + 4l_5 = 0$ , with  $l_4 = -4$ .
- (f)  $x^3 2x^2 x + 2 = x^2(x 2) (x 2) = (x^2 1)(x 2)$  has roots 1, -1, 2. Its discriminant is  $\Delta = 2^2 1^2 3^2 = 36$ , with  $\sigma_1 = 2$ ,  $\sigma_2 = -1$ ,  $\sigma_3 = -2$ .

 $36 = l_1 \sigma_3^2 + l_2 \sigma_1 \sigma_2 \sigma_3 + l_3 \sigma_1^3 \sigma_3 + l_4 \sigma_2^3 + l_5 \sigma_1^2 \sigma_2^2$ =  $4l_1 + 4l_2 - 16l_3 - l_4 + 4l_5$ =  $-4 \times 27 + 4l_2 - 16l_3 + 4 + 4$ .

With a division by 4,  $l_2 - 4l_3 = \frac{36 + 4 \times 27 - 8}{4} = 9 + 27 - 2 = 34$ .

$$l_2 - 4l_3 = 34.$$

(g)  $x^3 - 3x^2 + 3x - 1 = (x - 1)^3$  has a discriminant equal to 0, with  $\sigma_1 = 3, \sigma_2 = 3, \sigma_3 = 1$ .

$$0 = l_1 + 9l_2 + 27l_3 + 27l_4 + 81l_5$$
  
= -27 + 9l\_2 + 27l\_3 - 27 \times 4 + 81.

With a division by 9,  $l_2 + 3l_3 = 3 + 12 - 9 = 6$ . So  $l_2, l_3$  are solutions of the system of equations

$$\begin{cases} l_2 - 4l_3 &= 34, \\ l_2 + 3l_3 &= 6. \end{cases}$$

Thus  $l_2 = 18, l_3 = -4$ , and

Thus

$$\Delta = -27\sigma_3^2 + 18\sigma_1\sigma_2\sigma_3 - 4\sigma_1^3\sigma_3 - 4\sigma_2^3 + \sigma_1^2\sigma_2^2$$

**Ex. 2.2.17** Use the Newton identities (2.22) to express the power sum  $s_2, s_3, s_4$  in terms of the elementary symmetric polynomials  $\sigma_1, \sigma_2, \sigma_3, \sigma_4$ .

Proof.  $s_r = x_1^r + x_2^r + \dots + x_n^r$ .

We suppose here that the number n of variables is at least 4. Then

$$s_r = \sigma_1 s_{r-1} - \sigma_2 s_{r-2} + \dots + (-1)^r \sigma_{r-1} s_1 + (-1)^{r-1} r \sigma_r.$$

$$s_{2} = \sigma_{1}s_{1} - 2\sigma_{2}$$

$$= \sigma_{1}^{2} - 2\sigma_{2},$$

$$s_{3} = \sigma_{1}s_{2} - \sigma_{2}s_{1} + 3\sigma_{3}$$

$$= \sigma_{1}(\sigma_{1}^{2} - 2\sigma_{2}) - \sigma_{2}\sigma_{1} + 3\sigma_{3}$$

$$= \sigma_{1}^{3} - 3\sigma_{1}\sigma_{2} + 3\sigma_{3},$$

$$s_{4} = \sigma_{1}s_{3} - \sigma_{2}s_{2} + \sigma_{3}s_{1} - 4\sigma_{4}$$

$$= \sigma_{1}(\sigma_{1}^{3} - 3\sigma_{1}\sigma_{2} + 3\sigma_{3}) - \sigma_{2}(\sigma_{1}^{2} - 2\sigma_{2}) + \sigma_{3}\sigma_{1} - 4\sigma_{4}$$

$$= \sigma_{1}^{4} - 4\sigma_{1}^{2}\sigma_{2} + 4\sigma_{1}\sigma_{3} + 2\sigma_{2}^{2} - 4\sigma_{4}.$$

Verification with Sage:

 $s_1 = \sigma_1$ 

```
e = SymmetricFunctions(QQ).e()
e1, e2, e3, e4 = e([1]).expand(4),e([2]).expand(4),e([3]).expand(4),e([4]).expand(4)
R.<x0,x1,x2,x3,y1,y2,y3,y4> = PolynomialRing(QQ, order = 'lex')
J = R.ideal(e1-y1,e2-y2,e3-y3,e4-y4)
G = J.groebner_basis()
s2 = x0^2 + x1^2 + x2^2 + x3^2
s3 = x0^3 + x1^3 + x2^3 + x3^3
s4 = x0^4 + x1^4 + x2^4 + x3^4
g2, g3, g4 = s2.reduce(G),s3.reduce(G),s4.reduce(G)
var('sigma_1,sigma_2,sigma_3,sigma_4')
h2 = g2.subs(y1=sigma_1,y2=sigma_2,y3=sigma_3,y4=sigma_4)
h3 = g3.subs(y1=sigma_1,y2=sigma_2,y3=sigma_3,y4=sigma_4)
h4 = g4.subs(y1=sigma_1,y2=sigma_2,y3=sigma_3,y4=sigma_4)
h2, h3, h4
(\sigma_1^2 - 2\sigma_2, \sigma_1^3 - 3\sigma_1\sigma_2 + 3\sigma_3, \sigma_1^4 - 4\sigma_1^2\sigma_2 + 2\sigma_2^2 + 4\sigma_1\sigma_3 - 4\sigma_4).
```

Ex. 2.2.18 Suppose that complex numbers  $\alpha, \beta, \gamma$  satisfy the equations

$$\alpha + \beta + \gamma = 3,$$
  

$$\alpha^2 + \beta^2 + \gamma^2 = 5,$$
  

$$\alpha^3 + \beta^3 + \gamma^3 = 12.$$

Show that  $\alpha^n + \beta^n + \gamma^n \in \mathbb{Z}$  for all  $n \geq 4$ . Also compute  $\alpha^4 + \beta^4 + \gamma^4$ .

*Proof.*  $\alpha, \beta, \gamma$  are the root of

$$p = (x - \alpha)(x - \beta)(x - \gamma) = x^3 - \sigma_1 x^2 + \sigma_2 x - \sigma_3.$$

(We write  $\sigma_i$  in place of  $\sigma_i(\alpha, \beta, \gamma)$ .)

By Exercise 17, with n=3:

$$\begin{cases} 3 = s_1 = \sigma_1 \\ 5 = s_2 = \sigma_1^2 - 2\sigma_2 \\ 12 = s_3 = \sigma_1^3 - 3\sigma_1\sigma_2 + 3\sigma_3. \end{cases}$$

Thus  $\sigma_1 = 3$ ,  $\sigma_2 = \frac{1}{2}(\sigma_1^2 - 5) = \frac{1}{2}(9 - 5) = 2$ .

$$\sigma_3 = \frac{1}{3}(12 - \sigma_1^3 + 3\sigma_1\sigma_2) = 4 + \sigma_1\sigma_2 - \frac{\sigma_1^3}{3} = 4 + 6 - 9 = 1$$

 $\sigma_3 = \frac{1}{3}(12 - \sigma_1^3 + 3\sigma_1\sigma_2) = 4 + \sigma_1\sigma_2 - \frac{\sigma_1^3}{3} = 4 + 6 - 9 = 1.$   $\alpha, \beta, \gamma$  are the roots of  $p = x^3 - 3x^2 + 2x - 1$ . If  $n \ge 4$ ,  $\alpha^n = 3\alpha^{n-1} - 2\alpha^{n-2} + \alpha^{n-3}$ , and similar equations for  $\beta, \gamma$ . Summing these equations, we obtain

$$s_n = 3s_{n-1} - 2s_{n-2} + s_{n-3}. (5)$$

(This is a particular case of Newton identities (2.22).)

 $s_0 = 3, s_1, s_2, s_3$  are in  $\mathbb{Z}$ . If we suppose that  $s_k \in \mathbb{Z}$  for all  $k, 1 \leq k < n$ , then (??) show that  $s_n \in \mathbb{Z}$ , and the induction is done.

$$\forall k \in \mathbb{N}, \ s_n \in \mathbb{Z}.$$

In particular, 
$$s_4 = 3s_3 - 2s_2 + 3s_1 = 3 \times 12 - 2 \times 5 + 3 \times 3 = 35$$
.

#### Suppose that F is a field of characteristic 0. Ex. 2.2.19

- (a) Use the Newton identities (2.22) and Theorem 2.2.2 to prove that every symmetric polynomial in  $F[x_1, \ldots, x_n]$  can be expressed as a polynomial in  $s_1, \ldots, s_n$ .
- (b) Show how to express  $\sigma_4 \in F[x_1, x_2, x_3, x_4]$  as a polynomial in  $s_1, s_2, s_3, s_4$ .

*Proof.* For all  $r, 1 \le r \le n$ ,

$$s_r = \sigma_1 s_{r-1} - \sigma_2 s_{r-2} + \dots + (-1)^r \sigma_{r-1} s_1 + (-1)^{r-1} r \sigma_r$$

and  $\sigma_1 = s_1$ .

If we suppose that  $\sigma_1, \sigma_2, \cdots, \sigma_{r-1}$  are polynomials in  $s_1, s_2, \cdots, s_n$ , the characteristic of the field F being 0 (this allows the division by r), then

 $\sigma_r = \frac{(-1)^{r-1}}{r} (s_r - \sigma_1 s_{r-1} + \sigma_2 s_{r-2} + \dots + (-1)^{r-1} \sigma_{r-1} s_1) \text{ is a polynomial in } s_1, \dots, s_n.$ Conclusion: for all  $r, 1 \leq r \leq n$ ,  $\sigma_r$  can be expressed as a polynomial in  $s_1, \dots, s_n$ .

By Ex. 2.2.17, we obtain

$$\begin{split} \sigma_1 &= s_1, \\ \sigma_2 &= -\frac{1}{2}(s_2 - \sigma_1 s_1) \\ &= \frac{1}{2}(s_1^2 - s_2), \\ \sigma_3 &= \frac{1}{3}(s_3 - \sigma_1 s_2 + \sigma_2 s_1) \\ &= \frac{1}{3}\left[s_3 - s_1 s_2 + \frac{1}{2}s_1(s_1^2 - s_2)\right] \\ &= \frac{1}{6}(2s_3 + s_1^3 - 3s_1 s_2), \\ \sigma_4 &= -\frac{1}{4}(s_4 - \sigma_1 s_3 + \sigma_2 s_2 - \sigma_3 s_1) \\ &= -\frac{1}{4}\left[s_4 - s_1 s_3 + \frac{1}{2}s_2(s_1^2 - s_2) - \frac{s_1}{6}(2s_3 - 3s_1 s_2 + s_1^3)\right] \\ &= -\frac{1}{24}\left[6s_4 - 6s_1 s_3 + 3s_2(s_1^2 - s_2) - s_1(2s_3 - 3s_1 s_2 + s_1^3)\right] \end{split}$$

**Ex. 2.2.20** Let  $\mathbb{F}_2$  be the field with two elements. Show that in  $\mathbb{F}_2[x_1,\ldots,x_n]$ , it is impossible to express  $\sigma_2$  as a polynomial in  $s_1,\ldots,s_n$  when  $n\geq 2$ .

*Proof.* Suppose that  $\sigma_2 = f(s_1, s_2, \dots, s_n)$ , where f is a polynomial with coefficients in  $\mathbb{F}_2$ . If we use the evaluation defined by  $x_1 = x_2 = \dots = x_n = 0$ , we obtain  $0 = f(0, \dots, 0)$ .

With the evaluation defined by  $x_1 = x_2 = 1$  and  $x_i = 0, i > 2$ , as  $\sigma_2 = \sum_{i < j} x_i x_j$ , then  $\sigma_2(1, 1, 0, \dots, 0) = 1 \times 1 = 1$  and  $s_k(1, 1, 0, \dots, 0) = 1^k + 1^k = 1 + 1 = 0$ , so  $1 = f(0, \dots, 0)$ . As  $1 \neq 0$  in  $\mathbb{F}_2$ , this is a contradiction. So it is impossible to express  $\sigma_2$  as a polynomial in  $s_1, \dots, s_n$  when  $n \geq 2$ .

### 2.3 COMPUTING WITH SYMMETRIC POLYNOMIALS

 $= \frac{1}{24}(-6s_4 + 8s_1s_3 - 6s_1^2s_2 + 3s_2^2 + s_1^4).$ 

**Ex. 2.3.1** Examples 2.3.1 and 2.3.2 showed that the roots of  $y^3 + 41y^2 + 138y + 125$  are the cubes of the roots of  $y^3 + 2y^2 - 3y + 5$ . Verify this numerically.

*Proof.* We repeat Examples 2.3.1 and 2.3.2 with Sage:

• We build the Groebner basis of the ideal  $\langle e_1 - y_1, e_2 - y_2, e_3 - y_3 \rangle$ , where  $e_1, e_2, e_3$  are the elementary symmetric polynomials in  $x_0, x_1, x_2$ :

e = SymmetricFunctions(QQ).e()
e1, e2, e3 = e([1]).expand(3),e([2]).expand(3),e([3]).expand(3)
R.<x0,x1,x2,y1,y2,y3> = PolynomialRing(QQ, order = 'degrevlex')

```
J = R.ideal(e1-y1, e2-y2, e3-y3)
G = J.groebner_basis()
```

• We compute the coefficients of  $f = (x - x_0^3)(x - x_1^3)(x - x_2^3)$  as polynomials in  $x_1, x_2, x_3$ :

 $f = (x-x0^3) * (x-x1^3) * (x-x2^3)$  coeffs = f.coefficients(x, sparse = False) coeffs = map(lambda c : R(c), coeffs)coeffs

$$\left[-x_0^3x_1^3x_2^3,\ x_0^3x_1^3+x_0^3x_2^3+x_1^3x_2^3,\ -x_0^3-x_1^3-x_2^3,1\right]$$

• The same coefficients as polynomials in  $\sigma_1, \sigma_2, \sigma_3$ :

var('sigma\_1,sigma\_2,sigma\_3')
ncoeffs = [c.reduce(G) for c in coeffs]
nncoeffs = [c.subs(y1 = sigma\_1,y2 = sigma\_2,y3 = sigma\_3) for c in ncoeffs]
nncoeffs

$$\left[ -\sigma_3^3, \ \sigma_2^3 - 3\,\sigma_1\sigma_2\sigma_3 + 3\,\sigma_3^2, \ -\sigma_1^3 + 3\,\sigma_1\sigma_2 - 3\,\sigma_3, \ 1 \right]$$

• We apply the substitution  $\sigma_1 \mapsto -2$ ,  $\sigma_2 \mapsto -3$ ,  $\sigma_3 \mapsto -5$  and compute the polynomial p whose roots are  $\alpha_1^3$ ,  $\alpha_2^3$ ,  $\alpha_3^3$ , where  $\alpha_1$ ,  $\alpha_2$ ,  $\alpha_3$  are the roots of  $y^3 + 2y^2 - 3y + 5$ .

nncoeffs = [c.subs(sigma\_1 = -2, sigma\_2 = -3, sigma\_3 = -5) for c in nncoeffs]  $p = sum(nncoeffs[i]*y^i for i in range(1+f.degree(x)))$  p

$$y^3 + 41y^2 + 138y + 125$$

• Numerical verification:

q = y^3+2\*y^2-3\*y+5
1 = [c[0]^3 for c in q.roots()]
1

 $[-37.399476110, -1.8002619448 - 0.31835473525 \ i, -1.8002619448 + 0.31835473525 \ i]$ 

**Ex. 2.3.2** Use the method of Example 2.3.1 or 2.3.2 to find the cubic polynomial whose roots are the fourth powers of the roots of the polynomial  $y^3 + 2y^2 - 3y + 5$ .

*Proof.* Same method in Sage as in Ex.2.3.1

e = SymmetricFunctions(QQ).e()
e1, e2, e3 = e([1]).expand(3),e([2]).expand(3),e([3]).expand(3)
R.<x0,x1,x2,y1,y2,y3> = PolynomialRing(QQ, order = 'degrevlex')
J = R.ideal(e1-y1, e2-y2, e3-y3)
G = J.groebner\_basis()
f = (x-x0^4) \* (x-x1^4) \* (x-x2^4)
coeffs = f.coefficients(x, sparse = False)
coeffs = map(lambda c : R(c), coeffs)
coeffs

$$\left[-x_0^4x_1^4x_2^4, x_0^4x_1^4 + x_0^4x_2^4 + x_1^4x_2^4, -x_0^4 - x_1^4 - x_2^4, 1\right]$$

var('sigma\_1,sigma\_2,sigma\_3,y')

ncoeffs = [c.reduce(G) for c in coeffs]

 $nncoeffs = [c.subs(y1 = sigma_1,y2 = sigma_2,y3 = sigma_3) for c in ncoeffs]$ nncoeffs

$$\left[-x_0^4x_1^4x_2^4, x_0^4x_1^4 + x_0^4x_2^4 + x_1^4x_2^4, -x_0^4 - x_1^4 - x_2^4, 1\right]$$

nnncoeffs = [c.subs(sigma\_1 = -2, sigma\_2 = -3, sigma\_3 = -5) for c in nncoeffs]
p = sum(nnncoeffs[i]\*y^i for i in range(1+f.degree(x)))
p

$$y^3 - 122y^2 - 379y - 625$$

So the cubic polynomial whose roots are the fourth powers of the roots of the polynomial  $y^3 + 2y^2 - 3y + 5$  is

$$y^3 - 122\,y^2 - 379\,y - 625.$$

**Ex. 2.3.3** Express  $\Sigma_3 x_1^3 x_2^2$  in terms of elementary symmetric polynomials.

*Proof.* Explicitly,

$$f = \Sigma_3 x_1^3 x_2^2 = x_1^2 x_2^3 + x_1^2 x_3^3 + x_1^3 x_2^2 + x_1^3 x_3^2 + x_2^2 x_3^3 + x_2^3 x_3^2.$$

Note that  $x_1^3x_2^2=x_1^3x_2^2x_3^0$  is the leading term for the graded lexicographic order, so the following term in the sequence is  $g=f-\sigma_1^{3-2}\sigma_2^{2-0}\sigma_3^0=f-\sigma_1\sigma_2^2$ .

$$\begin{split} \sigma_1\sigma_2^2 &= (x_1+x_2+x_3)(x_1x_2+x_1x_3+x_2x_3)^2 \\ &= (x_1+x_2+x_3)(x_1^2x_2^2+x_1^2x_3^2+x_2^2x_3^2+2x_1x_2x_3(x_1+x_2+x_3)) \\ &= x_1^2x_2^3+x_1^2x_3^3+x_1^3x_2^2+x_1^3x_3^2+x_2^2x_3^3+x_2^3x_3^2+x_1x_2x_3(x_1x_2+x_1x_3+x_2x_3)+2\sigma_3\sigma_1^2 \\ &= f+\sigma_3\sigma_2+2\sigma_3\sigma_1^2, \end{split}$$

thus

$$f = \sigma_1 \sigma_2^2 - \sigma_3 \sigma_2 - 2\sigma_3 \sigma_1^2$$

Verification with Sage:

```
R.
R.
x1,x2,x3,y1,y2,y3> = PolynomialRing(QQ, order = 'degrevlex')
elt = SymmetricFunctions(QQ).e()
e = [elt([i]).expand(3).subs(x0=x1, x1=x2, x2=x3) for i in range(5)]
J = R.ideal(e[1]-y1, e[2]-y2, e[3]-y3)
G = J.groebner_basis()
D = x1^3*x2^2;
u = D + D.subs(x1=x2, x2=x1) + D.subs(x1=x3, x2=x2) + \
D.subs(x1=x2, x2=x3) + D.subs(x1=x3, x2=x1)+D.subs(x1=x1, x2=x3)
var('sigma_1, sigma_2, sigma_3')
u.reduce(G).subs(y1 = sigma_1, y2 = sigma_2,y3 = sigma_3)
\sigma_1\sigma_2^2 - 2\sigma_1^2\sigma_3 - \sigma_2\sigma_3
```

**Ex. 2.3.4** Given a cubic  $x^3 + bx^2 + cx + d$ , what condition must b, c, d satisfy in order that one root be the average of the other two?

*Proof.* • Suppose that the polynomial  $f = x^3 + bx^2 + cx + d = (x - x_1)(x - x_2)(x - x_3)$  has one root which is the average of the other two. We choose a numbering of the roots such that

 $x_3 = \frac{x_1 + x_2}{2}.$ 

Then

$$-b = \sigma_1 = x_1 + x_2 + \left(\frac{x_1 + x_2}{2}\right)$$

$$= \frac{3}{2}(x_1 + x_2),$$

$$c = \sigma_2 = x_1x_2 + x_2x_3 + x_1x_3$$

$$= x_1x_2 + \left(\frac{x_1 + x_2}{2}\right)(x_1 + x_2)$$

$$= x_1x_2 + \frac{1}{2}(x_1 + x_2)^2,$$

$$-d = \sigma_3 = x_1x_2 \left(\frac{x_1 + x_2}{2}\right)$$

$$= \frac{1}{2}(x_1 + x_2)x_1x_2.$$

Let  $s = x_1 + x_2, p = x_1x_2$ . The preceding equations give

$$b = -\frac{3}{2}s,\tag{6}$$

$$c = p + \frac{1}{2}s^2, (7)$$

$$d = -\frac{1}{2}sp. (8)$$

We eliminate s, p from these equations:

$$s = -\frac{2}{3}b,$$

$$p = c - \frac{1}{2}\left(-\frac{2}{3}b\right)^{2}$$

$$= c - \frac{2}{9}b^{2},$$

$$d = -\frac{1}{2}\left(-\frac{2}{3}b + \frac{4}{27}b^{3}\right)$$

$$= \frac{1}{3}bc - \frac{2}{27}b^{3}.$$

So the coefficients b, c, d verify

$$2b^3 - 9bc + 27d = 0.$$

 $\bullet$  Conversely, suppose that b, c, d verify

$$2b^3 - 9bc + 27d = 0. (9)$$

Let  $s = -\frac{2}{3}b$ ,  $p = c - \frac{2}{9}b^2$ . Then  $b = -\frac{3}{2}s$ ,  $c = p + \frac{2}{9}b^2 = p + \frac{1}{2}(\frac{2}{3}b)^2 = p + \frac{1}{2}s^2$ : (6) and (7) are valid.

By the equation (??),

$$\begin{split} d &= \frac{1}{3}bc - \frac{2}{27}b^3 \\ &= -\frac{1}{2}\left(-\frac{2}{3}b\right)\left(c - \frac{2}{9}b^2\right) \\ &= -\frac{1}{2}sp. \end{split}$$

So s, p verify the system (??), (??), (??):

$$b = -\frac{3}{2}s,$$

$$c = p + \frac{1}{2}s^{2},$$

$$d = -\frac{1}{2}sp.$$

Let  $x_1, x_2$  the complex roots of  $x^2 - sx + p$ . Then  $x_1 + x_2 = s, x_1x_2 = p$ . Let

$$x_3 = \frac{x_1 + x_2}{2} = \frac{1}{2}s$$
. Then

$$\sigma_{1} = x_{1} + x_{2} + x_{3}$$

$$= \frac{3}{2}s$$

$$= -b$$

$$\sigma_{2} = x_{1}x_{2} + x_{2}x_{3} + x_{1}x_{3}$$

$$= x_{1}x_{2} + \left(\frac{x_{1} + x_{2}}{2}\right)(x_{1} + x_{2})$$

$$= x_{1}x_{2} + \frac{1}{2}(x_{1} + x_{2})^{2}$$

$$= p + \frac{1}{2}s^{2}$$

$$= c$$

$$\sigma_{3} = x_{1}x_{2}x_{3}$$

$$= \frac{1}{2}sp$$

$$= -d$$

Thus  $x_1, x_2, x_3$  are the roots of  $(x - x_1)(x - x_2)(x - x_3) = x^3 - \sigma_1 x^2 + \sigma_2 x - \sigma_3 = x^3 + bx^2 + cx + d$ , and  $x_3 = \frac{x_1 + x_2}{2}$ .

Conclusion: one of the roots of  $x^3 + bx^2 + cx + d$  is the average of the other two iff  $2b^3 - 9bc + 27d = 0$ .

**Ex. 2.3.5** Given a quartic  $x^4 + bx^3 + cx^2 + dx + e$ , what condition must b, c, d, e satisfy in order that one root be the negative of another?

*Proof.* The polynomial

$$f = x^4 + bx^3 + cx^2 + dx + e = (x - \alpha_1)(x - \alpha_2)(x - \alpha_3)(x - \alpha_4)$$

has two opposite roots iff

$$(\alpha_1 + \alpha_2)(\alpha_1 + \alpha_3)(\alpha_1 + \alpha_4)(\alpha_2 + \alpha_3)(\alpha_2 + \alpha_4)(\alpha_3 + \alpha_4) = 0$$

Let

$$u = (x_1 + x_2)(x_1 + x_3)(x_1 + x_4)(x_2 + x_3)(x_2 + x_4)(x_3 + x_4).$$

u is symmetric, so is a polynomial in  $\sigma_1, \sigma_2, \sigma_3, \sigma_4$ .

We obtain this polynomial with the following Sage instructions

```
e = SymmetricFunctions(QQ).e()
e1,e2,e3,e4 = e([1]).expand(4),e([2]).expand(4),e([3]).expand(4),e([4]).expand(4)
R.<x0,x1,x2,x3,y1,y2,y3,y4> = PolynomialRing(QQ, order = 'lex')
J = R.ideal(e1-y1,e2-y2,e3-y3,e4-y4)
G = J.groebner_basis()
u = (x0+x1)*(x0+x2)*(x0+x3)*(x1+x2)*(x1+x3)*(x2+x3)
var('sigma_1,sigma_2,sigma_3,sigma_4')
u.reduce(G).subs(y1=sigma_1, y2 = sigma_2,y3=sigma_3,y4=sigma_4)
```

$$\sigma_1\sigma_2\sigma_3-\sigma_1^2\sigma_4-\sigma_3^2$$
.

So

$$u = \sigma_1 \sigma_2 \sigma_3 - \sigma_1^2 \sigma_4 - \sigma_3^2.$$

The evaluation ring homomorphism defined by  $x_i \mapsto \alpha_i, i = 1, 2, 3, 4$  verifies

$$\sigma_1 \mapsto -b, \sigma_2 \mapsto c, \sigma_3 \mapsto -d, \sigma_4 \mapsto e.$$

So 
$$(\alpha_1 + \alpha_2)(\alpha_1 + \alpha_3)(\alpha_1 + \alpha_4)(\alpha_2 + \alpha_3)(\alpha_2 + \alpha_4)(\alpha_3 + \alpha_4) = bcd - b^2e - d^2$$
.

Conclusion:  $f = x^4 + bx^3 + cx^2 + dx + e$  is such that one root is the negative of another iff  $bcd - b^2e - d^2 = 0$ .

**Ex. 2.3.6** Find the quartic polynomial whose roots are obtained by adding 1 to each of the roots of  $x^4 + 3x^2 + 4x + 7$ .

Proof. Let 
$$f = x^4 + 3x^2 + 4x + 7 = (x - x_1)(x - x_2)(x - x_3)(x - x_4)$$
.

The polynomial whose roots are  $1 + x_1, 1 + x_2, 1 + x_3, 1 + x_4$  is

$$g = (x - 1 - x_1)(x - 1 - x_2)(x - 1 - x_3)(x - 1 - x_4)$$

$$= f(x - 1)$$

$$= (x - 1)^4 + 3(x - 1)^2 + 4(x - 1) + 7$$

$$= x^4 - 4x^3 + 6x^2 - 4x + 1 + 3x^2 - 6x + 3 + 4x - 4 + 7$$

$$= x^4 - 4x^3 + 9x^2 - 6x + 7.$$

If  $x_1, x_2, x_3, x_4$  are the roots of f, then  $x_1 + 1, x_2 + 1, x_3 + 1, x_4 + 1$  are the roots of

$$g = x^4 - 4x^3 + 9x^2 - 6x + 7.$$

## 2.4 THE DISCRIMINANT

**Ex. 2.4.1** Let M be the  $n \times n$  matrix appearing on the right-hand side of the Vandermonde formula given in Proposition 2.4.5. Prove that (2.32) follows from the fact that M and its transpose both have determinant  $\sqrt{\Delta}$ .

*Proof.* Let  $a_1, a_2, \dots, a_n$  be elements of a field F, and

$$A_n = \begin{pmatrix} 1 & 1 & \cdots & 1 \\ a_1 & a_2 & \cdots & a_n \\ a_1^2 & a_2^2 & \cdots & a_n^2 \\ \vdots & \vdots & \ddots & \vdots \\ a_1^{n-1} & a_2^{n-1} & \cdots & a_n^{n-1} \end{pmatrix}$$

We show by induction on  $n, n \geq 2$  that

$$\det(A_n) = \prod_{1 \le i < j \le n} (a_j - a_i).$$

$$\det(A_2) = \begin{vmatrix} 1 & 1 \\ a_1 & a_2 \end{vmatrix} = a_2 - a_1 = \prod_{1 \le i < j \le 2} (a_j - a_i).$$

Suppose that this formula is true for the integer  $n-1, n \geq 3$ . We will show that it is true for the integer n.

If there exists a pair (i,j),  $i \neq j$  such that  $a_i = a_j$ , then two columns in  $A_n$  are identical, so  $\det(A_n) = 0 = \prod_{1 \leq i < j \leq n} (a_j - a_i)$ .

We can so suppose that the  $a_i, 1 \le i \le n$  are distinct.

Let the polynomial  $P \in F[X]$  given by

$$P = \begin{pmatrix} 1 & 1 & \cdots & 1 & 1 \\ a_1 & a_2 & \cdots & a_{n-1} & X \\ a_1^2 & a_2^2 & \cdots & a_{n-1}^2 & X^2 \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ a_1^{n-1} & a_2^{n-1} & \cdots & a_{n-1}^{n-1} & X^{n-1} \end{pmatrix}$$

Then  $\det(A_n) = P(a_n)$ , and  $P(a_1) = P(a_2) = \cdots = P(a_{n-1}) = 0$ . As  $a_1, a_2, \cdots, a_{n-1}$  are distinct roots of P, with  $\deg(P) = n - 1$ , P is factored as

$$P = k(X - a_1) \cdots (X - a_{n-1}), k \in F,$$

where k is the coefficient of  $X^{n-1}$  in P, so k is the cofactor of  $X^{n-1}$  in  $\det(P)$ : so

$$k = \det(A_{n-1}) = \prod_{1 \le i \le j \le n-1} (a_j - a_i)$$

by the induction hypothesis.

Therefore

$$\det(A_n) = P(a_n) = \prod_{1 \le i < j \le n-1} (a_j - a_i) \prod_{i=1}^n (a_n - a_i) = \prod_{1 \le i < j \le n} (a_j - a_i),$$

which completes the induction.

The matrix

$$B_n = \begin{pmatrix} a_1^{n-1} & a_2^{n-1} & \cdots & a_n^{n-1} \\ \vdots & \vdots & \ddots & \vdots \\ a_1 & a_2 & \cdots & a_n \\ 1 & 1 & \cdots & 1 \end{pmatrix}$$

is obtained from  $A_n$  by  $\frac{n(n-1)}{2}$  transpositions of rows: n-1 to put the last row in first position, then n-2 to put which is now the last row in second position, and so on.

Thus  $\det(B_n) = (-1)^{(n(n-1))/2} \det(A_n)$ .

As the number of factors in  $\prod_{1 \le i < j \le n} (a_j - a_i)$  is  $\frac{n(n-1)}{2}$ ,

$$\prod_{1 \le i < j \le n} (a_j - a_i) = (-1)^{(n(n-1))/2} \prod_{1 \le i < j \le n} (a_i - a_j).$$

Consequently,

$$\det(B_n) = \prod_{1 \le i < j \le n} (a_i - a_j).$$

Applying this result in the field  $F(x_1, \dots, x_n)$ , we obtain that

$$\sqrt{\Delta} = \prod_{1 \le i < j \le n} (x_i - x_j) = \begin{vmatrix} x_1^{n-1} & x_2^{n-1} & \cdots & x_n^{n-1} \\ x_1^{n-2} & x_2^{n-2} & \cdots & x_n^{n-2} \\ \vdots & \vdots & \ddots & \vdots \\ x_1 & x_2 & \cdots & x_n \\ 1 & 1 & \cdots & 1 \end{vmatrix}$$

If 
$$A = \begin{pmatrix} x_1^{n-1} & x_2^{n-1} & \cdots & x_n^{n-1} \\ x_1^{n-2} & x_2^{n-2} & \cdots & x_n^{n-2} \\ \vdots & \vdots & \ddots & \vdots \\ x_1 & x_2 & \cdots & x_n \\ 1 & 1 & \cdots & 1 \end{pmatrix}$$
, then  $A^t = \begin{pmatrix} x_1^{n-1} & x_1^{n-2} & \cdots & x_1 & 1 \\ x_2^{n-1} & x_2^{n-2} & \cdots & x_2 & 1 \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ x_{n-1}^{n-1} & x_{n-1}^{n-2} & \cdots & x_{n-1} & 1 \\ x_n^{n-1} & x_n^{n-2} & \cdots & x_n & 1 \end{pmatrix}$ 

thus

$$\Delta = \det(A)^{2} = \det(A^{t}A) = \begin{vmatrix} s_{2n-2} & s_{2n-3} & \cdots & s_{n} & s_{n-1} \\ s_{2n-3} & s_{2n-4} & \cdots & s_{n-1} & s_{n-2} \\ \vdots & \vdots & \ddots & \vdots & \\ s_{n} & s_{n-1} & \cdots & s_{2} & s_{1} \\ s_{n-1} & s_{n-2} & \cdots & s_{1} & s_{0} \end{vmatrix}$$

**Ex. 2.4.2** Let F have characteristic  $\neq 2$ , and let  $f \in F[x_1, \ldots, x_n]$  satisfy  $\tau \cdot f = -f$  for all transpositions  $\tau \in S_n$ . Prove that  $f = B\sqrt{\Delta}$  for some  $B \in F[\sigma_1, \ldots, \sigma_n]$ .

*Proof.* Here, the field F have characteristic  $\neq 2$ .

Let  $f \in F[x_1, \dots, x_n]$  such that  $\tau \cdot f = -f$  for all transpositions  $\tau \in S_n$ .

If  $\sigma \in A_n$  is an even permutation, then  $\sigma$  is product of an even number of permutations :

$$\sigma = \tau_1 \tau_2 \cdots \tau_{2k}$$
.

As the group  $S_n$  acts on  $F[x_1, \dots, x_n]$ ,  $\sigma \cdot f = \tau_1 \cdot (\tau_2 \cdot (\dots (\tau_{2k} \cdot f) \dots)) = (-1)^{2k} f = f$ . Therefore f is invariant under  $A_n$  and so the theorem 2.4.4 applies:

There exist  $A, B \in F[\sigma_1, \dots, \sigma_n]$  such that

$$f = A + B\sqrt{\Delta}$$
.

Therefore  $-f = \tau \cdot f = \tau \cdot A + (\tau \cdot B)(\tau \cdot \sqrt{\Delta}) = A - B\sqrt{\Delta}$  (by 2.31).

So  $f = A + B\sqrt{\Delta}$  and  $f = -A + B\sqrt{\Delta}$ , thus 2A = 0. Since the characteristic is not 2, A = 0, therefore

$$f = B\sqrt{\Delta}, \ B \in F[\sigma_1, \cdots, \sigma_n].$$

**Ex. 2.4.3** Let  $f = x^2 + bx + c \in F[x]$ . Use the definition of discriminant given in the text to show that  $\Delta(f) = b^2 - 4c$ .

Proof. Let  $f = x^2 + bx + c$ ,  $b, c \in F$ .

$$\Delta = (x_1 - x_2)^2 = x_1^2 + x_2^2 - 2x_1x_2 = (x_1 + x_2)^2 - 4x_1x_2 = \sigma_1^2 - 4\sigma_2.$$

The ring homomorphism which sends  $\sigma_1$  on -b and  $\sigma_2$  on c send  $\Delta$  on

$$\Delta(-b, c) = b^2 - 4c,$$

which is by definition the discriminant of  $x^2 + bx + c$ .

**Ex. 2.4.4** Let  $f \in F[x]$  be monic, and suppose that  $f = (x - \alpha_1) \cdots (x - \alpha_n)$  in some field L containing F. Prove that  $\Delta(f) \neq 0$  if and only if  $\alpha_1, \ldots, \alpha_n$  are distinct. This shows that f has distinct roots if and only if its discriminant is nonvanishing.

*Proof.* Let  $f \in F[x]$  such that  $f = (x - \alpha_1) \cdots (x - \alpha_n)$  in an extension L of F. By Proposition 2.4.3,

$$\Delta(f) = \prod_{1 \le i \le j \le n} (\alpha_i - \alpha_j)^2. \tag{10}$$

- If  $\Delta(f) \neq 0$ , by (??), for all pairs  $(i, j), 1 \leq i < j \leq n, \alpha_i \alpha_j \neq 0$ . The roots  $\alpha_i$  are so distinct.
- If the roots  $\alpha_i$ ,  $1 \le i \le n$ , are distinct roots, then  $\alpha_i \alpha_j \ne 0$  for all (i, j) such that  $1 \le i < j \le n$ , thus  $\Delta(f) \ne 0$ .

**Ex.** 2.4.5 Show that  $\sqrt{\Delta} \in F[x_1, ..., x_n]$  is symmetric if and only if F is a field of characteristic 2.

*Proof.* By Proposition 2.4.1, if  $\tau$  is a transposition in  $S_n$ ,

$$\tau \cdot \sqrt{\Delta} = -\sqrt{\Delta}$$
.

• If the field F is of characteristic 2,  $-\sqrt{\Delta} = +\sqrt{\Delta}$ , so for all transpositions  $\tau$ ,

$$\tau \cdot \sqrt{\Delta} = \sqrt{\Delta}.$$

Therefore  $\sqrt{\Delta}$  is a symmetric polynomial.

• If the field F is not of characteristic 2, as  $\sqrt{\Delta} \neq 0$ ,

$$\tau \cdot \sqrt{\Delta} = -\sqrt{\Delta} \neq \sqrt{\Delta},$$

so  $\sqrt{\Delta}$  is not symmetric.

**Ex. 2.4.6** This exercise will describe how to solve quadratic equations over a field F of characteristic 2.

- (a) Given  $b \in F$ , we will assume there is a larger field  $F \subset L$  such that  $b = \beta^2$  for some  $\beta \in L$ . Show that  $\beta$  is unique and that  $\beta$  is the unique root of  $x^2 + b$ . Because of this, we denote  $\beta$  by  $\sqrt{b}$ .
- (b) Now suppose that  $f = x^2 + ax + b$  is a quadratic polynomial in F[x] with  $a \neq 0$ . Suppose also that f is irreducible over F, so that it has no roots in F. We will see in Chapter 3 that f has a root  $\alpha$  in a field L containing F. Prove that  $\alpha$  cannot be written in the form  $\alpha = u + v\sqrt{w}$ , where  $u, v, w \in F$ .
- (c) Part (b) shows that solving a quadratic equation with nonzero x-coefficient requires more than square roots. We do this as follows. If  $b \in F$ , let R(b) denote a root of  $x^2 + x + b$  (possibly lying in some larger field). We call R(b) and R(b) + 1 the 2-roots of b. Prove that the roots of  $x^2 + x + b$  are R(b) and R(b) + 1, and explain why adding 1 to the second 2-root gives the first.
- (d) Show that the roots of  $f = x^2 + ax + b$ ,  $a \neq 0$ , are  $aR(b/a^2)$  and  $a(R(b/a^2) + 1)$ .

*Proof.* (a) Let L an extension of F and  $\beta \in L$  such that  $\beta^2 = b$ .

As  $x^2 - b = x^2 - \beta^2 = (x - \beta)^2$ ,  $\beta$  is the unique root of  $x^2 - b = x^2 + b$ . We write  $\beta = \sqrt{b} \in L$ .

(b) Suppose that  $f = x^2 + ax + b$ ,  $a \neq 0$  is irreducible on F. As  $\deg(f) = 2$ , this is equivalent to the fact that f has no root in F. f has a root  $\alpha$  in an extension  $L \supset F$ .

If  $\alpha = u + v\sqrt{w}$ ,  $u, v, w \in F$ , then  $v \neq 0$ , otherwise  $\alpha \in F$ , in contradiction with the irreducibility of f.

Then

$$0 = \alpha^2 + a\alpha + b$$

$$= u^2 + wv^2 + a(u + v\sqrt{w}) + b$$

$$= u^2 + wv^2 + au + b + av\sqrt{w}$$

$$= s + t\sqrt{w},$$

where  $s = u^2 + wv^2 + au + b \in F, t = av \in F, t \neq 0$ .

Thus  $\sqrt{w} = -s/t \in F$ , so  $\alpha \in F$ , in contradiction with the irreducibility of f.

Conclusion :  $\alpha = u + v\sqrt{w}, \ u, v, w \in F$  is impossible.

(c) Write R(b) a root of  $x^2 + x + b$  in an extension of F.

As  $R(b)^2 + R(b) + b = 0$ ,  $(R(b) + 1)^2 + (R(b) + 1) + b = R(b)^2 + 1 + R(b) + 1 + b = R(b)^2 + R(b) + b = 0$ .

As R(b) + 1 + 1 = R(b), the two (distinct) roots of  $x^2 + x + b$  are R(b), R(b) + 1, and  $\sigma: x \mapsto x + 1$  exchanges the two roots.

(d) For all  $y \in L$ ,

$$\begin{split} f(y) &= 0 \iff y^2 + ay + b = 0 \\ &\iff \left(\frac{y}{a}\right)^2 + \left(\frac{y}{a}\right) + \frac{b}{a^2} = 0 \\ &\iff \frac{y}{a} \in \left\{R\left(\frac{b}{a^2}\right), R\left(\frac{b}{a^2}\right) + 1\right\} \\ &\iff y \in \left\{aR\left(\frac{b}{a^2}\right), a\left[R\left(\frac{b}{a^2}\right) + 1\right]\right\}. \end{split}$$

The roots of  $x^2 + ax + b, a \neq 0$  are so  $aR\left(\frac{b}{a^2}\right), a\left[R\left(\frac{b}{a^2}\right) + 1\right]$ .

Ex. 2.4.7 Explain how the third property of (2.31) was used (implicitly) in (2.28) in the proof of Proposition 2.4.1.

*Proof.* Knowing that  $\tau \cdot \sqrt{\Delta} = -\sqrt{\Delta}$  for a transposition  $\tau \in S_n$ , we show by induction on l that

$$(\tau_l \cdots \tau_1) \cdot \sqrt{\Delta} = (-1)^l \sqrt{\Delta}.$$

By the induction hypothesis  $(\tau_l \cdots \tau_1) \cdot \sqrt{\Delta} = (-1)^l \sqrt{\Delta}$ , we deduce, using 2.31

$$(\tau_{l+1}\tau_l\cdots\tau_1)\cdot\sqrt{\Delta} = \tau_{l+1}\cdot[(\tau_l\cdots\tau_1)\cdot\sqrt{\Delta}]$$

$$= \tau_{l+1}\cdot((-1)^l\sqrt{\Delta})$$

$$= (-1)^l\tau_{l+1}\cdot\sqrt{\Delta}$$

$$= (-1)^{l+1}\sqrt{\Delta}.$$

**Ex. 2.4.8** In this exercise, you will prove that although  $\Delta$  factors in  $F[x_1, \ldots, x_n]$ , it is irreducible in  $F[\sigma_1, \ldots, \sigma_n]$  when F has characteristic different from 2. To begin the proof, assume that  $\Delta = AB$ , where  $A, B \in F[\sigma_1, \ldots, \sigma_n]$  are nonconstant.

- (a) Using the definition of  $\Delta$  and unique factorization in  $F[x_1, \ldots, x_n]$ , show that A is divisible in  $F[x_1, \ldots, x_n]$  by  $x_i x_j$  for some  $1 \le i < j \le n$ .
- (b) Given  $1 \le i < j \le n$  and  $1 \le l < m \le n$ , show that there is a permutation  $\sigma \in S_n$  such that  $\sigma(i) = l$  and  $\sigma(j) = m$ .
- (c) Use part (a) and (b) to show that A is divisible by  $x_l x_m$  for all  $1 \le l < m \le n$ .
- (d) Conclude that A is a multiple of  $\sqrt{\Delta}$  and that the same is true for B.
- (e) Show that part (d) implies that A and B are constant multiples of  $\sqrt{\Delta}$  and explain why this contradicts  $A, B \in F[\sigma_1, \ldots, \sigma_n]$ .
- (f) Finally, suppose that F has characteristic 2. Prove that  $\Delta$  is not irreducible.

*Proof.* (a) Suppose that  $\Delta = AB$ , where  $A, B \in F[\sigma_1, \dots, \sigma_n]$  are nonconstant.

As A is not a constant, it is divisible by an irreducible factor  $h \in F[x_1, \dots, x_n]$ . This irreducible factor h divides  $\Delta$ , whose only irreducible factors are associate to  $x_i - x_j, 1 \le i < j \le n$ .  $F[x_1, \dots, x_n]$  being a factorial domain, there exists a pair of subscripts (i, j) and  $\lambda \in F^*$  such that  $h = \lambda(x_i - x_j), 1 \le i < j \le n$ .

Conclusion:

A is divisible in  $k[x_1, \ldots, x_n]$  by a factor  $x_i - x_j$ , for some  $(i, j), 1 \le i < j \le n$ .

(b) The set  $U = [1, n] \setminus \{i, j\}$  and  $V = [1, n] \setminus \{l, m\}$  have same cardinality n - 2, so there exists a bijection  $f: U \to V$ .

Let  $\sigma : [1, n] \to [1, n]$  defined by  $\sigma(k) = f(k)$  if  $k \in U, \sigma(i) = l, \sigma(j) = m$ . Then  $\sigma$  is bijective (the application  $\tau$  defined by  $\tau(m) = f^{-1}(m)$  if  $m \in V, \tau(l) = i, \tau(m) = j$  satisfies  $\tau \circ \sigma = \sigma \circ \tau = e$ ).

There exists  $\sigma \in S_n$  such that  $\sigma(i) = l, \sigma(j) = m$ .

(c) By (a),  $A = (x_i - x_j)C$ ,  $C \in k[x_1, \dots x_n]$ .

As A is symmetric, using the permutation  $\sigma$  of (b),

$$A = \sigma \cdot A$$

$$= \sigma \cdot [(x_i - x_j)C]$$

$$= \sigma \cdot (x_i - x_j) \ \sigma \cdot C$$

$$= (x_l - x_m)(\sigma \cdot C).$$

So A is divisible by  $x_l - x_m$ ,  $1 \le l < m \le n$ .

(d) As these factors are irreducible and not associate, their product divides A, thus

$$\sqrt{\Delta} = \prod_{1 \le l < m \le n} (x_l - x_m) \mid A.$$

The same reasoning applies to B, which is also divisible by  $\sqrt{\Delta}$ .

(e)  $A = A_1 \sqrt{\Delta}, B = B_1 \sqrt{\Delta}, \text{ where } A_1, B_1 \in F[x_1, \dots, x_n].$ 

Thus  $\Delta = AB = A_1B_1\Delta$ , with  $\Delta \neq 0$ , therefore  $A_1B_1 = 1$ , which implies that  $A_1 = a \in F^*, B_1 = b \in F^*$ :

$$A = a\sqrt{\Delta}, B = b\sqrt{\Delta}, \ a, b \in F^*$$

But  $A \in F[\sigma_1, \ldots, \sigma_n]$ , thus for all transposition  $\tau$  in  $S_n$ ,

$$A = \tau \cdot A = \tau \cdot (a\sqrt{\Delta}) = a\tau \cdot \sqrt{\Delta} = -a\sqrt{\Delta} = -A.$$

So 2A=0, and as the characteristic of F is not 2, A=0, so  $\Delta=0$ , which is a contradiction.

Conclusion :  $\Delta$  is irreducible in  $F[\sigma_1, \dots, \sigma_n]$ .

(f) If the characteristic of F is 2, then  $\sqrt{\Delta}$  is symmetric, since for all transposition  $\tau$ ,  $\tau \cdot \sqrt{\Delta} = -\sqrt{\Delta} = \sqrt{\Delta}$ .

Thus  $\Delta = (\sqrt{\Delta})^2 = D^2$ , where  $D = \sqrt{\Delta} \in F[\sigma_1, \dots, \sigma_n]$ : therefore  $\Delta$  is not irreducible in  $F[\sigma_1, \dots, \sigma_n]$  if the characteristic of F is 2.

**Ex. 2.4.9** For n = 4, the variables  $x_1, x_2, x_3, x_4$  have discriminant

$$\Delta = (x_1 - x_2)^2 (x_1 - x_3)^2 (x_1 - x_4)^2 (x_2 - x_3)^2 (x_2 - x_4)^2 (x_3 - x_4)^2.$$

Let  $y_1 = x_1x_2 + x_3x_4$ ,  $y_2 = x_1x_3 + x_2x_4$ ,  $y_3 = x_1x_4 + x_2x_3$ , and consider

$$\theta(y) = (y - y_1)(y - y_2)(y - y_3).$$

This is a cubic polynomial in y. As in the text, the discriminant of  $\theta$  will be denoted  $\Delta(\theta)$ . Show that  $\Delta(\theta) = \Delta$ .

Proof.

$$y_1 - y_2 = x_1 x_2 + x_3 x_4 - x_1 x_3 - x_2 x_4 = x_1 (x_2 - x_3) - x_4 (x_2 - x_3) = (x_1 - x_4)(x_2 - x_3)$$

$$y_1 - y_3 = x_1 x_2 + x_3 x_4 - x_1 x_4 - x_2 x_3 = x_1 (x_2 - x_4) - x_3 (x_2 - x_4) = (x_1 - x_3)(x_2 - x_4)$$

$$y_2 - y_3 = x_1 x_3 + x_2 x_4 - x_1 x_4 - x_2 x_3 = x_1 (x_3 - x_4) - x_2 (x_3 - x_4) = (x_1 - x_2)(x_3 - x_4)$$

Therefore

$$\Delta(\theta) = (y_1 - y_2)^2 (y_1 - y_3)^2 (y_2 - y_3)^2$$

$$= [(x_1 - x_4)(x_2 - x_3)(x_1 - x_3)(x_2 - x_4)(x_1 - x_2)(x_3 - x_4)]^2$$

$$= \Delta.$$

**Ex. 2.4.10** Let  $C, D \in F[\sigma_1, ..., \sigma_n]$  be nonzero and relatively prime. This exercise will show that C and D remain relatively prime when regarded as elements of  $F[x_1, ..., x_n]$ .

- (a) Show that  $C^m$ ,  $D^m$  are relatively prime in  $F[\sigma_1, \ldots, \sigma_n]$  for any positive integer m.
- (b) Suppose that  $p \in F[x_1, ..., x_n]$  is a nonconstant polynomial dividing C and D. Prove that  $\sigma \cdot p$  divides C and D for all  $\sigma \in S_n$ .
- (c) As in Exercise 7 of Section 2.2, let  $P = \prod_{\sigma \in S_n} \sigma \cdot p$ . Show that P divides  $C^{n!}$  and  $D^{n!}$ , and then use part (a) and Exercise 7 of Section 2.2 to obtain a contradiction.
- Proof. (a) If p is an irreducible factor in  $F[\sigma_1, \dots, \sigma_n]$  which divides  $C^m$  and  $D^m$   $(m \in \mathbb{N}^*)$ , as  $F[\sigma_1, \dots, \sigma_n] \simeq F[u_1, \dots, u_n]$  is a factorial domain, p divides C and p divides D, which is in contradiction with the fact that C, D are relatively prime in  $F[\sigma_1, \dots, \sigma_n]$ . Consequently  $C^m, D^m$  are relatively prime in  $F[\sigma_1, \dots, \sigma_n]$ .
  - (b) If p is an irreducible factor in  $F[x_1, \dots, x_n]$  which divides C and D, then C = pE,  $E \in F[x_1, \dots, x_n]$ . As C is symmetric, we obtain, using 2.31:

$$C = \sigma \cdot C = (\sigma \cdot p)(\sigma \cdot E). \tag{11}$$

Therefore  $\sigma \cdot p$  divides C for all  $\sigma \in S_n$ , and it is the same for D.

(c) The product, for all  $\sigma \in S_n$ , of the relations (??) gives:

$$C^{n!} = \prod_{\sigma \in S_n} \sigma \cdot p \prod_{\sigma \in S_n} \sigma \cdot E.$$

Therefore  $P = \prod_{\sigma \in S_n} \sigma \cdot p$  divides  $C^{n!}$  in  $F[x_1, \dots, x_n]$ , and similarly for D.

By Exercise 2.2.7, P is symmetric, and  $C^{n!} = PQ$ ,  $D^{n!} = PS$ ,  $Q, S \in F[x_1, \dots, x_n]$ . As  $C^{n!}$ ,  $D^{n!}$ , P are symmetric, Q, S are also symmetric. Indeed, for all  $\sigma \in S_n$ ,  $PQ = C^{n!} = \sigma \cdot C^{n!} = (\sigma \cdot P)(\sigma \cdot Q) = P(\sigma \cdot Q)$ , thus  $Q = \sigma \cdot Q$ .

Therefore  $P = P_1(\sigma_1, \dots, \sigma_n)$ , and  $P_1 \in F[\sigma_1, \dots, \sigma_n]$  divides  $C^{n!}, D^{n!}$  in  $F[\sigma_1, \dots, \sigma_n]$ . As the irreducible polynomial p divides P,  $P_1$  is not a constant. Therefore the two polynomials  $C^{n!}, D^{n!}$  are not relatively prime in  $F[\sigma_1, \dots, \sigma_n]$ , and by (a), C, D are not relatively prime in  $F[\sigma_1, \dots, \sigma_n]$ , in contradiction with the hypothesis.

Conclusion: two relatively prime polynomials in  $F[\sigma_1, \dots, \sigma_n]$  remain relatively prime when regarded as elements of  $F[x_1, \dots, x_n]$ .

**Ex. 2.4.11** Exercise 8 of section 2.2 showed that if  $\varphi \in F(x_1, ..., x_n)$  is symmetric, then  $\varphi \in F(\sigma_1, ..., \sigma_n)$ . In this exercise, you will refine this result as follows. Suppose that  $\varphi \in F(x_1, ..., x_n)$  is symmetric, and write  $\varphi = A/B$ , where  $A, B \in F[x_1, ..., x_n]$  are relatively prime. The claim is that A, B are themselves symmetric and hence lie in  $F[\sigma_1, ..., \sigma_n]$ . We can assume that A and B are nonzero.

- (a) Use the previous exercise and Exercise 8 of section 2.2 to show that  $\varphi = C/D$  where  $C, D \in F[\sigma_1, \ldots, \sigma_n]$  are relatively prime in  $F[x_1, \ldots, x_n]$ .
- (b) Show that AD = BC and then use unique factorization in  $F[x_1, ..., x_n]$  to show that A and B are constant multiples of C and D respectively.

- (c) Conclude that  $A, B \in F[\sigma_1, ..., \sigma_n]$  as claimed.
- *Proof.* (a) As  $\varphi \in F(\sigma_1, \dots, \sigma_n)$ , by Exercise 2.2.8,

$$\varphi = C/D, \ C, D \in F[\sigma_1, \cdots, \sigma_n].$$

Reducing this fraction, we can suppose that C, D are relatively prime in  $F[\sigma_1, \dots, \sigma_n]$ , thus relatively prime in  $F[x_1, \dots, x_n]$  by Exercise 2.4.10.

(b)  $\varphi = A/B = C/D$ , so AD = BC, where C, D are symmetric and relatively prime in  $F[x_1, \dots, x_n]$ , and also A, B relatively prime in  $F[x_1, \dots, x_n]$ .

As  $F[x_1, \ldots, x_n]$  is a unique factorisation domain, as  $A \mid BC$  and A, B are relatively prime,  $A \mid C$ . Similarly,  $C \mid AD$ , and C, D are relatively prime, so  $C \mid A : A$  and C are associate, therefore

$$A = kC, B = kD, k \in F^*.$$

(c) Since C, D are symmetric, A, B are also symmetric.

Conclusion: if  $\varphi = A/B$  is symmetric, where  $A, B \in F[x_1, \dots, x_n]$  are relatively prime, then A, B are symmetric.