# Solutions to David A.Cox "Galois Theory"

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# 5 Chapter 5

# 5.1 NORMAL AND SEPARABLE EXTENSIONS

**Ex. 5.1.1** Show that a splitting field of  $x^3 - 2$  over  $\mathbb{Q}$  is  $\mathbb{Q}(\omega, \sqrt[3]{2}), \omega = e^{2\pi i/3}$ .

*Proof.* The roots of  $x^3-2$  are  $\sqrt[3]{2}$ ,  $\omega\sqrt[3]{2}$ ,  $\omega^2\sqrt[3]{2}$ . A splitting field of  $x^3-2$  over  $\mathbb Q$  is thus  $\mathbb Q(\sqrt[3]{2},\omega\sqrt[3]{2},\omega^2\sqrt[3]{2})\subset\mathbb C$ .

As  $\omega$ ,  $\sqrt[3]{2} \in \mathbb{Q}(\omega, \sqrt[3]{2})$ , and as  $\mathbb{Q}(\omega, \sqrt[3]{2})$  is a field,  $\sqrt[3]{2}, \omega\sqrt[3]{2}, \omega^2\sqrt[3]{2}$  are elements of  $\mathbb{Q}(\omega, \sqrt[3]{2})$ . Since  $\mathbb{Q}(\sqrt[3]{2}, \omega\sqrt[3]{2}, \omega^2\sqrt[3]{2})$  is the smallest subfield of  $\mathbb{C}$  containing  $\mathbb{Q}$  and  $\sqrt[3]{2}, \omega\sqrt[3]{2}, \omega^2\sqrt[3]{2}$ ,

$$\mathbb{Q}(\sqrt[3]{2}, \omega\sqrt[3]{2}, \omega^2\sqrt[3]{2}) \subset \mathbb{Q}(\omega, \sqrt[3]{2}).$$

Moreover  $\omega = \omega \sqrt[3]{2}/\sqrt[3]{2} \in \mathbb{Q}(\sqrt[3]{2}, \omega \sqrt[3]{2}, \omega^2 \sqrt[3]{2})$  et  $\sqrt[3]{2} \in \mathbb{Q}(\sqrt[3]{2}, \omega \sqrt[3]{2}, \omega^2 \sqrt[3]{2})$ . As  $\mathbb{Q}(\omega, \sqrt[3]{2})$  is the smallest subfield of  $\mathbb{C}$  containing these two elements,

$$\mathbb{Q}(\omega, \sqrt[3]{2}) \subset \mathbb{Q}(\sqrt[3]{2}, \omega\sqrt[3]{2}, \omega^2\sqrt[3]{2}).$$

These two subfields are identical.

Conclusion: a splitting field of  $x^3 - 2$  over  $\mathbb{Q}$  is  $\mathbb{Q}(\omega, \sqrt[3]{2})$ .

**Ex. 5.1.2** Prove that  $f \in F[x]$  splits completely over F if and only if F is the splitting field of f over F.

*Proof.* Suppose that  $f \in F[x]$  splits completely over F:

$$f = a(x - x_1) \cdots (x - x_n), \ x_i \in F, i = 1, \dots, n.$$

The roots of f are so  $x_1, \ldots, x_n$ , with possibly some repetitions. As  $x_i \in F$ ,  $i = 1, \ldots, n$ ,  $F(x_1, \ldots, x_n) = F$ . By Definition 5.1.1, a splitting field of f over F is  $F(x_1, \ldots, x_n)$ .

Conversely, suppose that a splitting field of f over F is F. Let  $x_1, \ldots, x_n$  the roots of f in this splitting field of f. As this field is F,  $x_1, \ldots, x_n \in F$ , thus

$$f = a(x - x_1) \cdots (x - x_n), \ x_i \in F, \ i = 1, \dots, n.$$

So f splits completely over F.

### **Ex. 5.1.3** Prove that an extension $F \subset L$ of degree 2 is a splitting field.

*Proof.* Suppose that [L:F]=2. Then  $F\subsetneq L$ , so there exists  $\alpha\in L$  such that  $\alpha\not\in F$ .

As  $\alpha \notin F$ ,  $F \subsetneq F(\alpha)$ , thus  $[F(\alpha):F] > 1$ . Since  $F(\alpha) \subset L$ ,  $[F(\alpha):F] \leq 2$ , hence  $[F(\alpha):F] = 2$ , so  $F(\alpha) = L$ . Let f be the minimal polynomial of  $\alpha$  over F. Then  $\deg(f) = [F(\alpha):F] = 2$ , so  $f = x^2 + ax + b$ ,  $a,b \in F$ .

Since  $\alpha \in L$  is a root of  $x^2 + ax + b \in F[x] \subset L[x]$ , there exists a polynomial  $q(x) \in L[x]$  such that  $x^2 + ax + b = (x - \alpha)q(x)$ , where  $\deg(q) = 1$  and q is monic. Therefore there exists  $\beta \in L$  such that  $q(x) = x - \beta$ . So  $f = (x - \alpha)(x - \beta)$  splits completely over L, and since  $\beta \in L$ ,  $L = F(\alpha) = F(\alpha, \beta)$ . L is a splitting field of f.

Conclusion: Every quadratic extension L of a field F is a splitting field (so is a normal extension).

# **Ex. 5.1.4** Find the splitting field of $x^6 - 1 \in \mathbb{Q}[x]$ .

*Proof.* The set of roots of  $x^6-1$  in  $\mathbb C$  is  $S=\{1,\zeta,\zeta^2,\zeta^3,\zeta^4,\zeta^5\}$ , where  $\zeta=e^{2i\pi/6}=e^{i\pi/3}=-\omega^2$ . As  $\omega^3=1$ ,

$$S = \{1, -\omega^2, \omega, -1, \omega^2, -\omega\}.$$

the splitting field of  $x^6-1$  over  $\mathbb Q$  (included in  $\mathbb C$ ) is so  $\mathbb Q(1,-\omega^2,\omega,-1,\omega^2,-\omega)=\mathbb Q(S)$ . As  $S\subset\mathbb Q(\omega),\,\mathbb Q(S)\subset\mathbb Q(\omega)$ . Conversely,  $\omega\in S$ , thus  $\mathbb Q(\omega)\subset\mathbb Q(S)$ .

Conclusion: the splitting field of  $x^6 - 1$  over  $\mathbb{Q}$  is  $\mathbb{Q}(\omega)$ .

**Ex. 5.1.5** We showed in Section 4.1 that  $f = x^4 - 10x^2 + 1$  is irreducible over  $\mathbb{Q}$ . Show that  $L = \mathbb{Q}(\sqrt{2} + \sqrt{3})$  is the splitting field of f over  $\mathbb{Q}$ .

*Proof.* Recall the computing of Exercise 4.1.8(b):

$$f = (x - \sqrt{2} - \sqrt{3})(x + \sqrt{2} - \sqrt{3})(x - \sqrt{2} + \sqrt{3})(x + \sqrt{2} + \sqrt{3})$$

$$= [(x - \sqrt{3})^2 - 2][(x + \sqrt{3})^2 - 2]$$

$$= (x^2 - 2\sqrt{3}x + 1)(x^2 - 2\sqrt{3}x + 1)$$

$$= (x^2 + 1)^2 - (2\sqrt{3}x)^2$$

$$= x^4 - 10x^2 + 1$$

The splitting field of f over  $\mathbb{Q}$  is thus

$$K = \mathbb{Q}(\sqrt{2} + \sqrt{3}, \sqrt{2} - \sqrt{3}, -\sqrt{2} + \sqrt{3}, -\sqrt{2} + \sqrt{3}).$$
 As  $\sqrt{2} + \sqrt{3}, \sqrt{2} - \sqrt{3}, -\sqrt{2} + \sqrt{3}, -\sqrt{2} + \sqrt{3} \in \mathbb{Q}(\sqrt{2}, \sqrt{3})$ , then 
$$K \subset \mathbb{Q}(\sqrt{2}, \sqrt{3}).$$

Moreover,

$$\begin{split} &\sqrt{2} = \frac{1}{2} \left[ (\sqrt{2} + \sqrt{3}) - (-\sqrt{2} + \sqrt{3}) \right] \in K, \\ &\sqrt{3} = \frac{1}{2} \left[ (\sqrt{2} + \sqrt{3}) - (\sqrt{2} - \sqrt{3}) \right] \in K, \end{split}$$

thus

$$\mathbb{Q}(\sqrt{2},\sqrt{3})\subset K.$$

So  $K = \mathbb{Q}(\sqrt{2}, \sqrt{3})$ . Moreover, the Example 4.3.9 shows that  $\mathbb{Q}(\sqrt{2}, \sqrt{3}) = \mathbb{Q}(\sqrt{2} + \sqrt{3})$ .

(Or a direct proof is given in section 4.2, since  $\sqrt{2} = \frac{1}{2}(\alpha^3 - 9\alpha)$ , where  $\alpha = \sqrt{2} + \sqrt{3}$ , and  $\sqrt{3} = \alpha - \sqrt{2}$ , so  $\sqrt{2}$ ,  $\sqrt{3} \in \mathbb{Q}(\sqrt{2} + \sqrt{3})$ .)

Conclusion: the splitting field of  $x^4 - 10x^2 + 1$  over  $\mathbb{Q}$  is  $\mathbb{Q}(\sqrt{2} + \sqrt{3})$ .

**Ex. 5.1.6** Let  $f \in \mathbb{Q}[x]$  be the minimal polynomial of  $\alpha = \sqrt{2 + \sqrt{2}}$ 

- (a) Show that  $f = x^4 4x^2 + 2$ . Thus  $[\mathbb{Q}(\alpha) : \mathbb{Q}] = 4$ .
- (b) Show that  $\mathbb{Q}(\alpha)$  is the splitting field of f over  $\mathbb{Q}$ .

*Proof.* (a) Let  $\alpha = \sqrt{2 + \sqrt{2}}$ .

Then 
$$\alpha^2 = 2 + \sqrt{2}$$
,  $\alpha^2 - 2 = \sqrt{2}$ ,  $(\alpha^2 - 2)^2 - 2 = 0$ ,  $\alpha^4 - 4\alpha^2 + 2 = 0$ .

So  $\alpha$  is a root of

$$f = x^4 - 4x^2 + 2$$
.

The computing of the roots in  $\mathbb{C}$  of f gives (cf Ex. 4.3.2):

$$\begin{split} f(\beta) &= 0 \iff (\beta^2 - 2)^2 = 2 \\ &\iff \beta^2 = 2 + \varepsilon \sqrt{2}, \ \varepsilon \in \{-1, 1\} \\ &\iff \beta = \varepsilon' \sqrt{2 + \varepsilon \sqrt{2}}, \ \varepsilon, \varepsilon' \in \{-1, 1\} \\ &\iff \beta \in \left\{ \sqrt{2 + \sqrt{2}}, -\sqrt{2 + \sqrt{2}}, \sqrt{2 - \sqrt{2}}, -\sqrt{2 - \sqrt{2}} \right\}. \end{split}$$

Thus

$$f = \left(x - \sqrt{2 + \sqrt{2}}\right) \left(x + \sqrt{2 + \sqrt{2}}\right) \left(x - \sqrt{2 - \sqrt{2}}\right) \left(x + \sqrt{2 - \sqrt{2}}\right). \tag{1}$$

We show that f is irreducible over  $\mathbb{Q}$ . The Schönemann-Eisenstein Criterion, with p=2 applies to the polynomial  $f=x^4-4x^2+2=a_4x^4+a_3x^3+a_2x^2+a_1x+a_0$   $(2 \nmid a_4=1,2 \mid a_3=0,2 \mid a_2=-4,2 \mid a_1=0,2 \mid a_0=2,2^2 \nmid a_0=2)$ . f is so irreducible over  $\mathbb{Q}$ .

Consequently, f of degree 4, is the minimal polynomial of  $\alpha = \sqrt{2 + \sqrt{2}}$  over  $\mathbb{Q}$ , so,

$$\left\lceil \mathbb{Q}\left(\sqrt{2+\sqrt{2}}\right):\mathbb{Q}\right\rceil = 4.$$

(b) The splitting field of f over  $\mathbb{Q}$  is

$$K=\mathbb{Q}\left(\sqrt{2+\sqrt{2}},-\sqrt{2+\sqrt{2}},\sqrt{2-\sqrt{2}},-\sqrt{2-\sqrt{2}}\right)=\mathbb{Q}\left(\sqrt{2+\sqrt{2}},\sqrt{2-\sqrt{2}}\right).$$

Let 
$$\alpha = \sqrt{2 + \sqrt{2}}, \gamma = \sqrt{2 - \sqrt{2}}$$
. Then  $K = \mathbb{Q}(\alpha, \gamma)$ .

Note that  $\alpha \gamma = \sqrt{4-2} = \sqrt{2}$ 

Moreover  $\alpha^2 = 2 + \sqrt{2}$ ,  $\gamma^2 = 2 - \sqrt{2}$ , thus  $\alpha^2 - \gamma^2 = 2\sqrt{2} = 2\alpha\gamma$ .

$$\alpha^2 - \frac{2}{\alpha^2} = 2\alpha\gamma.$$

So

$$\gamma = \frac{1}{2} \left( \alpha - \frac{2}{\alpha^3} \right) \in \mathbb{Q}(\alpha).$$

Consequently  $\mathbb{Q}(\alpha) = \mathbb{Q}(\alpha, \gamma)$  is the splitting field of f over  $\mathbb{Q}$ . The splitting field of  $x^4 - 4x^2 + 2$  over  $\mathbb{Q}$  is  $\mathbb{Q}(\sqrt{2 + \sqrt{2}})$ , of degree 4 over  $\mathbb{Q}$ .

Note: With Sage, we obtain  $\gamma = \frac{1}{2} \left( \alpha - \frac{2}{\alpha^3} \right) = \alpha^3 - 3\alpha$ , and the factorization

$$x^{4} - 4x^{2} + 2 = (x - \alpha)(x + \alpha)(x - \alpha^{3} + 3\alpha)(x + \alpha^{3} - 3\alpha).$$

**Ex.** 5.1.7 Let  $f = x^3 - x + 1 \in \mathbb{F}_3[x]$ .

- (a) Show that f is irreducible over  $\mathbb{F}_3$ .
- (b) Let L be the splitting field of f over  $\mathbb{F}_3$ . Prove that  $[L:\mathbb{F}_3]=3$ .
- (c) Explain why L is a field with 27 elements.

*Proof.* (a) Let  $f = x^3 - x + 1 \in \mathbb{F}_3[x]$ .

As  $\deg(f) = 3$ , to prove the irreducibility of f, it is sufficient to show that f has no root in  $\mathbb{F}_3$ . This is the case, since every element  $\alpha$  of  $\mathbb{F}_3$  is a root of  $x^3 - x$  (little Fermat's theorem), so  $\alpha^3 - \alpha + 1 = 1 \neq 0$ : f(0) = f(1) = f(2) = 1.

 $f = x^3 - x + 1$  is irreducible over  $\mathbb{F}_3$ .

(b) Let L the splitting of f over  $\mathbb{F}_3$ , and  $\alpha$  a root of f in L. As the characteristic of  $\mathbb{F}_3$  is 3,

$$f(x+1) = (x+1)^3 - (x+1) + 1$$
$$= (x^3 + 1) - (x+1) + 1$$
$$= x^3 - x + 1$$
$$= f(x)$$

Consequently,  $\alpha$ ,  $\alpha + 1$ ,  $\alpha + 2$  are the distinct roots of f, since 0, 1, 2 are distinct in  $\mathbb{F}_3$ :

$$f(x) = (x - \alpha)(x - \alpha - 1)(x - \alpha - 2).$$

As  $\alpha + 1, \alpha + 2 \in \mathbb{F}_3(\alpha)$ ,

$$L = \mathbb{F}_3(\alpha, \alpha + 1, \alpha + 2) = \mathbb{F}_3(\alpha).$$

 $f = x^3 - x + 1$  being the minimal polynomial of  $\alpha$ ,  $[\mathbb{F}_3(\alpha) : \mathbb{F}_3] = \deg(f) = 3$ .

In conclusion,  $L = \mathbb{F}_3(\alpha)$  is the splitting field of  $x^3 - x + 1$  over  $\mathbb{F}_3$ . Its degree is 3 over  $\mathbb{F}_3$ . As a vector space over  $\mathbb{F}_3$ , its dimension is 3, so  $L \simeq \mathbb{F}_3^3$ , so its cardinality is  $3^3 = 27$ .

**Ex. 5.1.8** Let n be a positive integer. Then the polynomial  $f = x^n - 2$  is irreducible over  $\mathbb{Q}$  by the Schönemann-Eisenstein Criterion for the prime 2.

- (a) Determine the splitting field L of f over  $\mathbb{Q}$ .
- (b) Show that  $[L:\mathbb{Q}] = n(n-1)$  when n is prime.

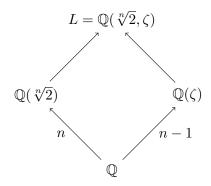
*Proof.* (a) The set of the roots of  $x^n - 2 \in \mathbb{Q}[x]$  is  $S = \{\zeta^k \sqrt[n]{2}, k = 0, \dots, n-1\}$ , where  $\zeta = e^{2i\pi/n}$ : the splitting field L of  $x^n - 2$  over  $\mathbb{Q}$  is so  $\mathbb{Q}(S)$ .

As 
$$\zeta = \zeta \sqrt[n]{2} / \sqrt[n]{2} \in \mathbb{Q}(S)$$
,  $L = \mathbb{Q}(\zeta, \sqrt[n]{2})$ .

(b) Suppose that n is prime.

As  $f = x^n - 2$  is irreducible over  $\mathbb{Q}$ , f is the minimal polynomial of  $\sqrt[n]{2}$  over  $\mathbb{Q}$ , so  $[\mathbb{Q}(\sqrt[n]{2}) : \mathbb{Q}] = \deg(f) = n$ .

As n is prime,  $1 + x + \cdots + x^{n-1}$  is irreducible over  $\mathbb{Q}$ , thus  $[\mathbb{Q}(\zeta) : \mathbb{Q}] = n - 1$ .



From the Tower Theorem,

$$[L:\mathbb{Q}] = [L:\mathbb{Q}(\sqrt[n]{2})][\mathbb{Q}(\sqrt[n]{2}):\mathbb{Q}] = n [L:\mathbb{Q}(\sqrt[n]{2})]$$
$$[L:\mathbb{Q}] = [L:\mathbb{Q}(\zeta)][Q(\zeta):\mathbb{Q}] = (n-1)[L:\mathbb{Q}(\zeta)]$$
(2)

Thus  $n \mid [L : \mathbb{Q}]$  and  $n - 1 \mid [L : \mathbb{Q}]$ .

As n, n-1 are relatively prime,

$$n(n-1) \mid [L:\mathbb{Q}]. \tag{3}$$

Moreover, the minimal polynomial p of  $\sqrt[n]{2}$  over  $\mathbb{Q}(\zeta)$  divides  $x^n - 2 \in \mathbb{Q}[x] \subset \mathbb{Q}(\zeta)[x]$ , thus  $[L:\mathbb{Q}(\zeta)] = \deg(p) \leq n$ . By (2),  $[L:\mathbb{Q}] \leq n(n-1)$ , and by (3)  $n(n-1) \mid [L:\mathbb{Q}]$ , thus

$$[L:\mathbb{Q}] = n(n-1).$$

**Ex. 5.1.9** Let  $f \in F[x]$  have degree n > 0, and let L be the splitting field of f over F.

- (a) Suppose that [L:F] = n!. Prove that f is irreducible over F.
- (b) Show that the converse of part (a) is false.

*Proof.* (a) Let  $f \in F[x]$ ,  $\deg(f) = n > 0$ , and L be the splitting field of f over F.

Suppose that f is reducible over F. We show then that [L:F] < n!.

In this case, f = gh, where  $1 \le k = \deg(g) \le n - 1$  (then  $\deg(h) = n - k$ ).

The roots  $\alpha_1, \dots, \alpha_k$  of g, and the roots  $\beta_1, \dots, \beta_{n-k}$  of h, are the roots of f. They are thus in L, and

$$L = F(\alpha_1, \cdots, \alpha_k, \beta_1, \cdots, \beta_{n-k}).$$

Let  $K = F(\alpha_1, \dots, \alpha_k)$ . This is the splitting field of g over F. Theorem 5.1.5 shows that  $[K : F] \leq k!$ .

As  $L = K(\beta_1, \dots, \beta_{n-k})$  is the splitting field of h over K, the same theorem shows that  $[L:K] \leq (n-k)!$ .

Hence

$$[L:F] = [L:K] [K:F] \le k!(n-k)!.$$

If  $1 \le k \le n - 1$ ,

$$\binom{n}{k} = \frac{n!}{k!(n-k)!} = \prod_{i=0}^{k-1} \frac{n-i}{k-i} > 1,$$

thus, for the same values of k,

$$k!(n-k)! < n!.$$

Consequently [L:F] < n!. In particular  $[L:F] \neq n!$ . The contraposition gives thus

 $[L:F] = n! \Rightarrow f$  is irreducible over F.

(b) We give a counterexample of the converse: by Exercise 5,  $L = \mathbb{Q}(\sqrt{2} + \sqrt{3})$  is the splitting field of the irreducible polynomial  $f = x^4 - 10x^2 + 1$ , but

$$[L:\mathbb{Q}] = [\mathbb{Q}(\sqrt{2}, \sqrt{3}):\mathbb{Q}] = 4 \neq 4! = 24.$$

**Ex. 5.1.10** Let  $F \subset L$  be the splitting field of  $f \in F[x]$ , and let K be a field such that  $F \subset K \subset L$ . Prove that  $K \subset L$  is the splitting field of some polynomial in K[x].

*Proof.* If  $F \subset K \subset L$ , and if L is the splitting field of f over K, then L is the splitting field of the same polynomial f over K.

Indeed, L contains the roots  $\alpha_1, \ldots, \alpha_n$  of f, and  $L = F(\alpha_1, \ldots, \alpha_n)$ . Moreover  $f = c(x - \alpha_1) \cdots (x - \alpha_n), c \in F$ .

 $F \subset K \subset L$  and  $\alpha_1, \ldots, \alpha_n \in L$ , thus  $K(\alpha_1, \ldots, \alpha_n) \subset L = F(\alpha_1, \ldots, \alpha_n) \subset K(\alpha_1, \ldots, \alpha_n)$ . Consequently,  $L = K(\alpha_1, \ldots, \alpha_n)$ , and f splits completely over the extension  $K \subset L$  since  $c \in F \subset K$ . The conditions (a), (b) of definition 5.1.1 are filled: L is the splitting field of f over K.

Note: therefore, if  $F \subset K \subset L$ , and if  $F \subset L$  is a normal extension, so is  $K \subset L$ .  $\square$ 

**Ex.** 5.1.11 Suppose that  $f \in F[x]$  is irreducible of degree n > 0, and let L be the splitting field of f over F.

- (a) Prove that  $n \mid [L:F]$ .
- (b) Give an example to show that n = [L : F] can occur in part (a).

*Proof.* (a) Let  $\alpha \in L$  a root of f. Then  $F \subset F(\alpha) \subset L$ , thus

$$[L:F] = [L:F(\alpha)] [F(\alpha):F].$$

As f is the minimal polynomial of  $\alpha$ ,  $[F(\alpha):F]=\deg(f)=n$ , thus  $n\mid [L:F]$ .

(b) In Exercise 6, we have seen that  $f = x^4 - 4x^2 + 2$ , of degree n = 4, has for splitting field  $L = \mathbb{Q}(\sqrt{2} + \sqrt{3}) = \mathbb{Q}(\sqrt{2}, \sqrt{3})$ , of degree 4 over  $\mathbb{Q}$ . Here  $[L : \mathbb{Q}] = 4 = \deg(f)$ , the equality in relation (a) is so a possibility.

**Ex. 5.1.12** In the situation of Theorem 5.1.6, explain why  $[L_1:F_1]=[L_2:F_2]$ .

*Proof.*  $\overline{\varphi}: L_1 \to L_2$  is a field isomorphism, whose restriction to  $F_1$  (and co-restriction to  $F_2$ ) is the field isomorphism  $\varphi: F_1 \mapsto F_2$ .

 $[L_1:F_1]<\infty$ . Let  $(f_1,\ldots,f_d)$  a basis of  $L_1$  over  $F_1$ . We show that  $(\overline{\varphi}(f_1),\ldots,\overline{\varphi}(f_d))$  is a basis of  $L_2$  over  $F_2$ .

• If  $\sum_{i=1}^d b_i \overline{\varphi}(f_i) = 0$ , where  $b_i \in F_2$ , then, since  $\varphi : F_1 \to F_2$  is surjective,  $b_i = \varphi(a_i), \ a_i \in F_1, \ i = 1, \dots d$ .

As the restriction of  $\overline{\varphi}$  to  $F_1$  is  $\varphi$ ,  $b_i = \varphi(a_i) = \overline{\varphi}(a_i)$ .  $\overline{\varphi}$  being a ring homomorphism,

$$0 = \sum_{i=1}^{d} b_i \overline{\varphi}(f_i) = \sum_{i=1}^{d} \overline{\varphi}(a_i) \overline{\varphi}(f_i) = \overline{\varphi}\left(\sum_{i=0}^{d} a_i f_i\right).$$

As the kernel of  $\overline{\varphi}$  is 0,  $\sum_{i=0}^{d} a_i f_i = 0$ , where the family  $(f_i)_{0 \le i \le d}$  is free, thus  $a_1 = \cdots = a_d = 0$ , and since  $b_i = \varphi(a_i)$ ,  $b_1 = \cdots = b_d = 0$ . So the family  $(\overline{\varphi}(f_i))_{1 \le i \le d}$  is free.

• Let y be any element in  $L_2$ . As  $\overline{\varphi}$  is surjective, there exists  $x \in L_1$  such that  $y = \overline{\varphi}(x)$ .  $(f_1, \dots, f_d)$  being a basis, there exists  $(a_0, \dots, a_d) \in F_1^d$  such that  $x = \sum_{i=0}^d a_i f_i$ . Then

$$y = \overline{\varphi}(x) = \sum_{i=0}^{d} \overline{\varphi}(a_i)\overline{\varphi}(f_i) = \sum_{i=0}^{d} \varphi(a_i)\overline{\varphi}(f_i) = \sum_{i=0}^{d} b_i\overline{\varphi}(f_i),$$

where  $b_i = \varphi(a_i) \in F_2$ . Consequently  $(\overline{\varphi}(f_i))_{1 \leq i \leq d}$  is a basis of  $L_2/F_2$ , and so

$$[L_2:F_2]=d=[L_1:F_1].$$

**Ex.** 5.1.13 Let  $L = \mathbb{Q}(\sqrt{2}, \sqrt{3})$ . Use Proposition 5.1.8 to prove that there is an isomorphism  $\sigma: L \simeq L$  such that  $\sigma(\sqrt{2}) = \sqrt{2}$  and  $\sigma(\sqrt{3}) = -\sqrt{3}$ .

Proof.  $\mathbb{Q} \subset \mathbb{Q}(\sqrt{2}) \subset L = \mathbb{Q}(\sqrt{2}, \sqrt{3}).$ 

 $f=x^2-3$  is irreducible over  $\mathbb{Q}(\sqrt{2})$ . Indeed,  $\deg(f)=2$ , and f has no root in  $\mathbb{Q}(\sqrt{2})$ , otherwise  $\sqrt{3}=a+b\sqrt{2},\ a,b\in\mathbb{Q}$ . But then  $3=a^2+2b^2+2ab\sqrt{2}$ . If  $ab\neq 0$ , then  $\sqrt{2}=(3-a^2-2b^2)/(2ab)\in\mathbb{Q}$ , which is false, thus ab=0. If b=0, then  $\sqrt{3}\in\mathbb{Q}$ , and if  $a=0,\ \sqrt{3/2}\in\mathbb{Q}$ : the two cases are impossible. Consequently  $f=x^2-3$  is irreducible over  $\mathbb{Q}(\sqrt{2})$ .

(This gives an alternative proof of  $[\mathbb{Q}(\sqrt{2},\sqrt{3}):\mathbb{Q}]=4$ .)

As  $\mathbb{Q}(\sqrt{2}, \sqrt{3})$  is the splitting field of  $x^2 - 3$  over  $\mathbb{Q}(\sqrt{2})$ , by Proposition 5.1.8 there exists a field isomorphism  $\sigma: L \to L$  which is the identity on  $\mathbb{Q}(\sqrt{2})$  and which takes  $\sqrt{3}$  to  $-\sqrt{3}$ . As  $\sigma$  is the identity on  $\mathbb{Q}(\sqrt{2})$ , we have also  $\sigma(\sqrt{2}) = \sqrt{2}$ .

## 5.2 NORMAL EXTENSIONS

**Ex. 5.2.1** Prove that  $\mathbb{Q}(\sqrt[4]{2})$  is not the splitting field of any polynomial in  $\mathbb{Q}[x]$ .

*Proof.* This is equivalent to show that  $\mathbb{Q}(\sqrt[4]{2})$  is not a normal extension of  $\mathbb{Q}$ .

 $x^4 - 2$  is an irreducible polynomial over  $\mathbb Q$  by Schönemann-Eisenstein Criterion with p = 2.

The roots of the minimal polynomial of  $\sqrt[4]{2}$  over  $\mathbb{Q}$  are  $\sqrt[4]{2}$ ,  $i\sqrt[4]{2}$ ,  $-i\sqrt[4]{2}$ .

As the root  $i\sqrt[4]{2}$  is a non real complex, it is not in  $\mathbb{Q}(\sqrt[4]{2}) \subset \mathbb{R}$ . So  $\mathbb{Q} \subset \mathbb{Q}(\sqrt[4]{2})$  is not a normal extension, thus  $\mathbb{Q}(\sqrt[4]{2})$  is not the splitting field of any polynomial in  $\mathbb{Q}[x]$ .  $\square$ 

**Ex. 5.2.2** Prove that an algebraic extension  $F \subset L$  is normal if and only if for every  $\alpha \in L$ , the minimal polynomial of  $\alpha$  over F splits completely over L.

*Proof.* Let  $F \subset L$  a normal extension. Let  $\alpha \in L$ . Its minimal polynomial  $f \in F[x]$  is irreducible, thus this polynomial splits completely over F by definition of a normal extension.

Conversely, suppose that every  $\alpha \in L$  is such that its minimal polynomial splits completely over F.

Let  $g \in F[x]$  any irreducible polynomial, and  $\alpha$  a root of g in L. Then g is the minimal polynomial of  $\alpha$  over L. So g splits completely over L by hypothesis. Hence every irreducible polynomial g which has a root in L splits completely over L. So the extension  $F \subset L$  is normal.

Ex. 5.2.3 Determine wether the following extensions are normal. Justify your answers.

- (a)  $\mathbb{Q} \subset \mathbb{Q}(\zeta_n)$ , where  $\zeta_n = e^{2\pi i/n}$ .
- (b)  $\mathbb{Q} \subset \mathbb{Q}(\sqrt{2}, \sqrt[3]{2})$ .
- (c)  $F = \mathbb{F}_3(t) \subset F(\alpha)$ , where t is a variable and  $\alpha$  is a root of  $x^3 t$  in a splitting field.

*Proof.* (a) As  $\mathbb{Q}(\zeta_n)$  contient  $\zeta_n^k$  pour tout  $k \in \mathbb{Z}$ ,

$$\mathbb{Q}(\zeta_n) = \mathbb{Q}(1, \zeta_n, \zeta_n^2, \cdots, \zeta_n^{n-1}).$$

 $\mathbb{Q}(1,\zeta_n,\zeta_n^2,\cdots,\zeta_n^{n-1})=\mathbb{Q}(\zeta_n)$  is the splitting field of  $x^n-1$  over  $\mathbb{Q}$ .

Conclusion:  $\mathbb{Q} \subset \mathbb{Q}(\zeta_n)$  is a normal extension.

- (b) The minimal polynomial of  $\sqrt[3]{2} \in \mathbb{Q}(\sqrt{2}, \sqrt[3]{2}) = L$  over  $\mathbb{Q}$  is  $f = x^3 2$ . The roots of f are  $\sqrt[3]{2}, \omega\sqrt[3]{2}, \omega^2\sqrt[3]{2}$ . But  $\omega\sqrt[3]{2} \notin \mathbb{R}$ , and  $L \subset \mathbb{R}$ , thus  $\omega\sqrt[3]{2} \notin L$ . So  $\mathbb{Q} \subset \mathbb{Q}(\sqrt{2}, \sqrt[3]{2})$  is not a normal extension.
- (c) By Exercise 4.2.9, the polynomial  $f = x^3 t$  is irreducible over  $\mathbb{F}_3(t)$ . Let  $\alpha$  a root of f in the spitting field L of  $x^3 t$  over F.

As the characteristic of F is 3,  $f = x^3 - t = (x - \alpha)^3$ , where  $\alpha \in L$ . The splitting field of f over F is so  $F(\alpha)$ , thus  $F \subset F(\alpha)$  is a normal extension.

**Ex. 5.2.4** Give an example of a normal extension of  $\mathbb{Q}$  that is not finite.

*Proof.*  $\overline{\mathbb{Q}}$  is by definition the set of all complex algebraic numbers over  $\mathbb{Q}$ . Theorem 4.4.10 shows that  $\overline{\mathbb{Q}}$  is an algebraically closed field. If  $f \in \mathbb{Q}[x]$  is an irreducible polynomial over  $\mathbb{Q}$ , a fortiori  $f \in \overline{\mathbb{Q}}[x]$ , and by definition of an algebraically closed field, f splits completely over  $\overline{\mathbb{Q}}$ . Thus  $\mathbb{Q} \subset \overline{\mathbb{Q}}$  is a normal extension. In Exercise 4.4.1, we showed that  $[\overline{\mathbb{Q}} : \mathbb{Q}] = \infty$ . This extension is so an example of a normal extension of  $\mathbb{Q}$  that is not finite.

#### 5.3 SEPARABLE EXTENSION

**Ex. 5.3.1** *Prove* (5.6) :

$$(ag + bh)' = ag' + bh'$$
$$(gh)' = g'h + gh'$$

where  $f, g \in F[x], a, b \in F$ .

Proof. Write

$$g = \sum_{i=0}^{n} a_i x^i, \qquad h = \sum_{i=0}^{m} b_j x^j \in F[x].$$
 (4)

(We suppose  $a_i = 0$  if i > m or i < 0,  $b_j = 0$  if j > m or j < 0.)

(a) Write  $N = \max(n, m)$ : then

$$g = \sum_{i=0}^{N} a_i x^i, \qquad h = \sum_{i=0}^{N} b_i x^i.$$

$$g' = \sum_{i=1}^{N} i a_i x^{i-1}, \qquad h' = \sum_{i=1}^{N} i b_i x^{i-1}.$$

If  $a, b \in F$ , then

$$ag' + bh' = \sum_{i=1}^{N} i(aa_i + bb_i)x^{i-1}.$$

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Moreover

$$ag + bh = \sum_{i=0}^{N} (aa_i + bb_i)x^i,$$
$$(ag + bh)' = \sum_{i=1}^{N} i(aa_i + bb_i)x^{i-1},$$

thus

$$(ag + bh)' = ag' + bh'.$$

(b) By (4), the definition of the product of two polynomials gives

$$gh = \sum_{k=0}^{m+n} \left( \sum_{i=0}^{k} a_i b_{k-i} \right) x^k.$$

Thus

$$(gh)' = \sum_{k=1}^{m+n} k \left( \sum_{i=0}^{k} a_i b_{k-i} \right) x^{k-1} = \sum_{k=0}^{m+n-1} (k+1) \left( \sum_{i=0}^{k+1} a_i b_{k+1-i} \right) x^k.$$

As

$$g' = \sum_{i=1}^{n} i a_i x^{i-1} = \sum_{i=0}^{n-1} (i+1) a_{i+1} x^i,$$
$$h' = \sum_{j=1}^{m} j b_j x^{j-1} = \sum_{j=0}^{m-1} (j+1) b_{j+1} x^j,$$

we obtain

$$g'h = \sum_{k=0}^{m+n-1} \left( \sum_{i=0}^{k} (i+1)a_{i+1}b_{k-i} \right) x^k$$
$$= \sum_{k=0}^{m+n-1} \left( \sum_{i=1}^{k+1} ia_ib_{k+1-i} \right) x^k$$
$$= \sum_{k=0}^{m+n-1} \left( \sum_{i=0}^{k+1} ia_ib_{k+1-i} \right) x^k,$$

and also

$$gh' = \sum_{k=0}^{m+n-1} \left( \sum_{i=0}^{k} (k+1-i)a_i b_{k+1-i} \right) x^k$$
$$= \sum_{k=0}^{m+n-1} \left( \sum_{i=0}^{k+1} (k+1-i)a_i b_{k+1-i} \right) x^k.$$

Thus

$$g'h + gh' = \sum_{k=0}^{m+n-1} \left( \sum_{i=0}^{k+1} i a_i b_{k+1-i} + \sum_{i=0}^{k+1} (k+1-i) a_i b_{k+1-i} \right) x^k$$

$$= \sum_{k=0}^{m+n-1} \left( \sum_{i=0}^{k} (i+k+1-i) a_i b_{k+1-i} \right) x^k$$

$$= \sum_{k=0}^{m+n-1} (k+1) \left( \sum_{i=0}^{k+1} a_i b_{k+1-i} \right) x^k$$

$$= (gh)'.$$

We have proved the equations 5.6:

$$(ag + bh)' = ag' + bh',$$
  
$$(gh)' = g'h + gh'.$$

**Ex. 5.3.2** Let F have characteristic p, and suppose that  $\alpha, \beta \in F$ . Lemma 5.3.10 shows that  $(\alpha + \beta)^p = \alpha^p + \beta^p$ .

- (a) Prove that  $(\alpha \beta)^p = \alpha^p \beta^p$  if  $\alpha, \beta \in F$ .
- (b) Prove that  $(\alpha + \beta)^{p^e} = \alpha^{p^e} + \beta^{p^e}$  for all  $e \ge 0$ .

*Proof.* (a) Let F have characteristic  $p, p \neq 0$ . Then p is prime. Let  $\alpha, \beta \in F$ . If p is an odd prime,

$$(\alpha - \beta)^p = \alpha + (-\beta)^p = \alpha^p + (-1)^p \beta^p = \alpha^p - \beta^p.$$

In the remaining case p = 2, then 1 = -1, thus

$$(\alpha - \beta)^p = (\alpha + \beta)^p = \alpha^p + \beta^p = \alpha^p - \beta^p.$$

(b) Let  $H: F \to F, x \mapsto x^p$  the Frobenius homomorphism of F. By induction, we show that  $H^n(x) = x^{p^n}$  for all  $x \in F$ :

$$H^0(x) = x = x^{p^0}$$
, et

$$H^n(x) = x^{p^n} \Rightarrow H^{n+1}(x) = H(H^n(x)) = (x^{p^n})^p = x^{p \cdot p^n} = x^{p^{n+1}}.$$

If  $e \in \mathbb{N}$ , as  $H^e$ , power of a homomorphism, is a homomorphism, so

$$H^e(\alpha + \beta) = H^e(\alpha) + H^e(\beta),$$

namely

$$(\alpha + \beta)^{p^e} = \alpha^{p^e} + \beta^{p^e}.$$

**Ex. 5.3.3** Let F be a field of characteristic p. The nth roots of unity are defined to be the roots of  $x^n - 1$  in the splitting field  $F \subset L$  of  $x^n - 1$ .

- (a) If  $p \nmid n$ , show that there are n distinct nth roots of unity in L.
- (b) Show that there is only one pth root of unity, namely  $1 \in F$ .

Proof. (a) Here  $n \geq 1$ .

As  $f = x^n - 1$ , then  $f' = nx^{n-1}$ .

If  $p \nmid n$ , then  $n \neq 0$  in the field F of characteristic p, thus n is a unit in F[x].  $x(nx^{n-1}) - n(x^n - 1) = n = xf' - nf$  is a Bézout's relation between f and f', which proves that  $f \land f' = 1$ . So f is a separable polynomial, and the n roots of f in its splitting field, which are the nth roots of unity, are distinct.

(b) If the characteristic of F is p, by Exercise 2,

$$x^p - 1 = (x - 1)^p$$
.

The only pth root of unity is thus 1.

**Ex. 5.3.4** Let  $f \in \mathbb{Z}[x]$  be monic and nonconstant and have discriminant  $\Delta(f)$ . Then let  $f_p \in \mathbb{F}_p[x]$  be obtained from f by reducing modulo p. Prove that  $\Delta(f_p) \in \mathbb{F}_p$  is the congurence class of  $\Delta(f)$ .

Proof. Write  $\Delta = \Delta(\sigma_1, \dots, \sigma_n) \in F(\sigma_1, \dots, \sigma_n) \subset F(x_1, \dots, x_n)$  the discriminant. Let  $f = x^n + a_1 x^{n-1} + \dots + a_0 \in \mathbb{Z}[x]$  be a monic nonconstant polynomial. In the section 2.4,  $\Delta(f)$  is defined by

$$\Delta(f) = \Delta(-a_1, \dots, (-1)^i a_i, \dots, (-1)^n a_n).$$

obtained by applying to  $\Delta(\sigma_1, \dots, \sigma_n)$  the evaluation homomorphism defined by  $\sigma_i \mapsto (-1)^i a_i$ , which sends  $\tilde{f} = x^n - \sigma_1 x^{n-1} + \dots + (-1)^n \sigma_n$  on f, and  $\Delta \operatorname{sur} \Delta(f)$ .

Write  $f_p$  the reduction of f modulo p:

 $f_p = x^{n} + \overline{a}_1 x^{n-1} + \dots + \overline{a}_0$ , where we write  $\overline{k} = [k]_p$  the class of  $k \in \mathbb{Z}$  modulo p. By definition,

$$\Delta(f_p) = \Delta(-\overline{a}_1, \cdots, (-1)^i \overline{a}_i, \cdots, (-1)^n \overline{a}_n).$$

 $\Delta$  is a polynomial with coefficients in  $\mathbb{Z}$  of  $\sigma_1, \dots, \sigma_n$ , thus  $\Delta(-\overline{a}_1, \dots, (-1)^i \overline{a}_i, \dots, (-1)^n \overline{a}_n)$  is the reduction modulo p of  $\Delta(-a_1, \dots, (-1)^i a_i, \dots, (-1)^n a_n)$ , so  $\Delta(f_p)$  is the reduction modulo p of  $\Delta(f)$ :

$$\Delta(f_p) = [\Delta(f)]_p.$$

**Ex. 5.3.5** For  $f = x^7 + x + 1$ , find all primes for which  $f_p$  is not separable, and compute  $gcd(f_p, f'_p)$  as in (5.14).

*Proof.* The following Sage instructions give the wanted factorisation of the discriminant of f:

```
P.<x> = PolynomialRing(QQ)
 f = x^7 + x + 1
 g = diff(f(x),x)
 d = f.resultant(g);d
                                      870199
f.discriminant()
                                     -870199
 d.factor()
                                   11 \cdot 239 \cdot 331
P.<x> = PolynomialRing(GF(11))
f = x^7 + x + 1; df = diff(f(x),x)
gcd(f,df)
                                      x + 3
factor(f)
                     (x+3)^2 \cdot (x^5+5x^4+5x^3+2x^2+9x+5)
P.<x> = PolynomialRing(GF(239))
f = x^7 + x + 1; df = diff(f(x),x)
gcd(f,df)
                                      x + 41
factor(f)
                 (x+41)^2 \cdot (x^5+157x^4+24x^3+122x^2+81x+30)
P.<x> = PolynomialRing(GF(331))
f = x^7 + x + 1; df = diff(f(x),x)
gcd(f,df)
                                     x + 277
factor(f)
              (x + 277)^2 \cdot (x^2 + 188x + 203) \cdot (x^3 + 251x^2 + 84x + 80).
   So
```

 $\gcd(f_p, f_p') = \begin{cases} x+3, & p = 11, \\ x+41, & p = 239, \\ x+277, & p = 331, \\ 1 & \text{otherwise.} \end{cases}$ 

**Ex. 5.3.6** Use part (a) of Theorem 5.3.15 to show that the splitting field of a separable polynomial gives a separable extension.

*Proof.* Let  $F \subset L$  the splitting field of a separable polynomial  $f \in F[x]$ :  $L = F(\alpha_1, \ldots, \alpha_n)$ , where  $\alpha_1, \ldots, \alpha_n$  are the roots of f, and

$$f = c(x - \alpha_1) \cdots (x - \alpha_n).$$

Let  $f_i$  be the minimal polynomial of  $\alpha_i$  over F. Then  $f_i$  divides f, thus  $f_i \in F[x]$  is a separable polynomial, since the unicity of the decomposition in irreducible factors in L[x] shows that the only irreducible factors of  $f_i$  in L[x] are associate to  $x - \alpha_j$ . Consequently the  $\alpha_i$  are separable for all i,  $1 \le i \le n$ . Part (a) of Theorem 5.3.15 shows then that  $F \subset L$  is a separable extension.

**Ex. 5.3.7** Suppose that F is a field of characteristic p. The goal of this exercise is to prove Proposition 5.3.16. To begin the proof, let  $f \in F[x]$  be irreducible.

- (a) Assume that f' is not identically zero. Then use the argument of Lemma 5.3.5 to show that f is separable.
- (b) Now assume that f' is identically zero. Show that there is a polynomial  $g_1 \in F[x]$  such that  $f(x) = g_1(x^p)$ .
- (c) Show that the polynomial of part (b) is irreducible.
- (d) Now apply parts (a)-(c) to  $g_1$  repeatedly until you get a separable polynomial g, and conclude that  $f(x) = g(x^{p^e})$  where  $e \ge 0$  and  $g \in F[x]$  is irreducible and separable.

*Proof.* Soit F un corps de caractéristique p, et  $f \in F[x]$  irréductible sur F, de degré  $n \ge 1$ .

(a) We suppose first that  $f' \neq 0$ .

Let  $d = f \wedge f'$ . Then d divides f (and d is monic), and f is irreducible over F, thus d = 1 or  $d = \lambda f, \lambda \in F^*$ . If  $d = \lambda f$ , then  $f = \lambda^{-1}d$  divides f'. As  $f' \neq 0$ , f' = qf implies that  $\deg(f) = n \leq \deg(f') \leq n - 1$ : this is a contradiction.

Thus  $d = f \wedge f' = 1$ , and then Proposition 5.3.2 shows that f is separable.

(b) Suppose now that f'=0, where  $f=\sum_{i=0}^n a_i x^i$ . Then  $0=f'=\sum_{i=1}^n i a_i x^{i-1}$ , and consequently  $ia_i=0,\ i=1,\cdots,n$ . If  $p\nmid i,a_i=0$ , thus

$$f = \sum_{0 \le i \le n, \ p|i} a_i x^i = \sum_{k=0}^{\lfloor n/p \rfloor} a_{kp} x^{kp}.$$

If we write  $g_1 = \sum_{k=0}^{\lfloor n/p \rfloor} a_{kp} x^k$ , then  $f = g_1(x^p)$ .

(c) If  $g_1$  was reducible,

$$g_1 = uv, \ g_1, g_2 \in F[x], 1 \le \deg(u), 1 \le \deg(v).$$

But then  $f = g_1(x^p) = u(x^p)v(x^p)$ , where  $\deg(u(x^p)) = p \deg(u) \ge 1$ ,  $\deg(v(x^p)) \ge 1$ , and so f would be reducible, which contradicts the hypothesis on f.

(d) If  $g'_1 \neq 0$ , part (a) shows that  $g_1$  is separable, and then the wanted conclusion is obtained with e = 1. Otherwise the arguments of parts (b) and (c) shows that there exits an irreducible polynomial  $g_2 \in F[x]$  such that  $g_1 = g_2(x^p)$ , so  $f = g_2(x^{p^2})$ , and so on. While  $g'_i \neq 0$ , we can build a sequence  $g_1, \dots, g_k$  such that  $g_i = g_{i+1}(x^p)$ , where  $g_i$  irreducible over F.

This sequence is necessarily finite, since  $\deg(g_{i+1}) = \deg(g_i)/p < \deg(g_i)$ .

Thus there exists an integer  $e \ge 1$  such that  $f = g_1(x^p), g_1 = g_2(x^p), \dots, g_{e-1} = g_e(x^p)$ , and  $g'_e \ne 0$ , and so  $g = g_e$  is separable.

If we take the induction hypothesis  $f = g_k(x^{p^k})$ , for k < e, (verified for k = 1) then  $f = g_{k+1}((x^{p^k})^p) = g_{k+1}(x^{p \cdot p^k}) = g_{k+1}(x^{p^{k+1}})$ .

Hence  $f = g_e(x^{p^e}) = g(x^{p^e})$ .

Conclusion: if F is a field of characteristic p, and if  $f \in F[x]$  is irreducible over F, then there exists an integer  $e \ge 1$  and an irreducible separable polynomial  $g \in k[x]$  such that  $f = g(x^{p^e})$ .

**Ex. 5.3.8** Let F = k(t, u) and  $f = (x^2 - t)(x^3 - u)$  be as in Example 5.3.17. Then the splitting field of f contains elements  $\alpha, \beta$  such that  $\alpha^2 = t$  and  $\beta^3 = u$ .

- (a) Prove that  $x^2 t$  is the minimal polynomial of  $\alpha$  over F. Also show that  $x^2 t$  is separable.
- (b) Similarly, prove that  $x^3 u$  is the minimal polynomial of  $\beta$  over F, and show that  $x^3 u$  is not separable.

*Proof.* Here k is a field of characteristic 3.

Let F = k(t, u), where t, u are two variables,  $f = (x^2 - t)(x^3 - u)$ , and  $\alpha, \beta$  in a splitting field L of f such that  $\alpha^2 = t, \beta^3 = u$ .

(a) The Exercise 4.2.9, applied to the field k(u), shows that  $x^2 - t$  has no root in F = k(t, u) = k(u)(t), so it is irreducible over F. So  $x^2 - t$  is the minimal polynomial of  $\alpha$  over F.

In L,  $x^2 - u = (x - \alpha)(x + \alpha)$ , and  $\alpha \neq -\alpha$ , otherwise  $2\alpha = 0$ , with  $2 = -1 \neq 0$  in k, and  $\alpha \neq 0$  since  $\alpha^2 = t \neq 0$ .

Thus the minimal polynomial  $x^2-u\in F[x]$  of  $\alpha$  over F is separable, so  $\alpha$  is separable.

(b) Similarly,  $x^3 - u$  has no root in F = k(t, u) = k(t)(u), and its degree is 3, thus it is irreducible over F:  $x^3 - u$  is the minimal polynomial of  $\beta$  over F.

As the characteristic is 3,  $x^3 - u = (x - \beta)^3$ , so this polynomial is not separable :  $\beta$  is not separable.

So  $F \subset L$  is not a separable extension, and is not a purely inseparable extension.  $\square$ 

**Ex. 5.3.9** Let F be a field of characteristic p, and consider  $f = x^p - a \in F[x]$ . We will assume that f has no roots in F, so that f is irreducible by Proposition 4.2.6. Let  $\alpha$  be a root of f in some extension of F.

- (a) Argue as in Example 5.3.11 that  $F(\alpha)$  is the splitting field of f and that  $[F(\alpha):F]=p$ .
- (b) Let  $\beta \in F(\alpha) \setminus F$ . Use Lemma 5.3.10 to show that  $\beta^p \in F$ .
- (c) Use parts (a) and (b) to show that the minimal polynomial of  $\beta$  over F is  $x^p \beta^p$ .
- (d) Conclude that  $F \subset F(\alpha)$  is purely inseparable.
- *Proof.* (a) As the characteristic is p,  $f = x^p a = (x \alpha)^p$  has only one root  $\alpha$ . The splitting field of f over F is so  $F(\alpha)$ , and f being the minimal polynomial of  $\alpha$  over F,  $[F(\alpha):F] = \deg(f) = p$ .
  - (b) Let  $\beta \in F(\alpha) \setminus F$ . As  $\alpha$  is algebraic over F,  $F(\alpha) = F[\alpha]$ : there exists so a polynomial  $p = \sum_{i=0}^d a_i x^i \in F[x]$  such that

$$\beta = p(\alpha) = \sum_{i=0}^{d} a_i \alpha^i.$$

Then (by Lemma 5.3.10),

$$\beta^p = \sum_{i=0}^d a_i^p \alpha^{ip} = \sum_{i=0}^d a_i^p a^i \in F.$$

- (c) Write  $b = \beta^p \in F$ . Then  $\beta$  is a root of  $x^p b \in F[x]$ . As  $x^p - b = (x - \beta)^p$ , with  $\beta \notin F$ ,  $x^p - b$  has no root in F: by Proposition 4.2.6  $x^p - b$  is irreducible over F. Thus  $x^p - b = x^p - \beta^p$  is so the minimal polynomial of  $\beta$  over F.
- (d) Every element  $\beta \in F(\alpha) \setminus F$  has so a inseparable minimal polynomial, thus every  $\beta \in F(\alpha) \setminus F$  is inseparable. By definition, the extension  $F \subset F(\alpha)$  is purely inseparable.

**Ex. 5.3.10** Suppose that F has characteristic p and  $F \subset L$  is a finite extension.

- (a) Use Proposition 5.3.16 to prove that  $F \subset L$  is purely inseparable if and only if the minimal polynomial of every  $\alpha \in L$  is of the form  $x^{p^e} a$  for some  $e \geq 0$  and  $a \in F$ .
- (b) Now suppose that  $F \subset L$  is purely inseparable. Prove that [L:F] is a power of p. Proof. Suppose that F has characteristic p and  $F \subset L$  is a finite extension.
  - (a) Suppose that the extension F ⊂ L is purely inseparable. Let α any element in L. α is algebraic over F since [L:F] < ∞.</li>
    If α ∈ F, the minimal polynomial of α over F is x − α = x<sup>p0</sup> − a, where a = α ∈ F.
    Suppose now that α ∉ F. By definition of a purely inseparable extension, the minimal polynomial f of α over F is not separable.

By Proposition 5.3.16 (see Ex. 7),

$$f = g(x^{p^e}), \ g \in F[x], e \ge 1,$$

where g is a separable irreducible polynomial.

If  $\deg(g) > 1$ , then g has the root  $\beta = \alpha^{p^e} \in L$ , and  $\beta \notin F$ , otherwise g would be divisible by  $x - \beta$  and would so be reducible over F. As g is irreducible, the minimal polynomial of  $\beta$  over F is g, which is separable. Thus  $\beta$  is separable, and  $\beta \notin F$ , in contradiction with the hypothesis " $F \subset L$  is purely inseparable". Hence  $\deg(g) = 1$ . As f is monic, g is also monic, thus g = x - a,  $g \in F$ , and  $g \in F$ .

So the minimal polynomial over F of every  $\alpha \in L$  is of the form  $x^{p^e} - a, \ a \in F, e \ge 0$ .

• Conversely, suppose that the minimal polynomial over F of every  $\alpha \in L$  is of the form  $f = x^{p^e} - a, a \in F, e \ge 0$ .

If  $\alpha \in L \setminus F$ , then  $e \ge 1$ , otherwise  $\alpha = a \in F$ .

Consequently,  $f' = p^e x^{p^e - 1} = 0$ , since  $p \mid p^e, e \ge 1$ . So  $f \land f' = f \ne 1$ , thus f is not separable. No element of  $L \setminus F$  is separable, so the extension  $F \subset L$  is purely inseparable.

Conclusion:  $F \subset L$  is purely inseparable if and only if the minimal polynomial of every  $\alpha \in L$  is of the form  $x^{p^e} - a$  for some  $e \geq 0$  and  $a \in F$ .

(b) **Lemma.** If  $F \subset L$  is a finite purely inseparable extension, and if  $F \subset K \subset L$ , then  $K \subset L$  is purely inseparable.

Proof (of Lemma). Let  $\beta \in L \setminus K$ , and  $f \in F[x]$  the minimal polynomial of  $\beta$  over F, and  $f_K \in K[x]$  the minimal polynomial of  $\beta$  over K. As  $f \in F[x] \subset K[x]$  and  $f(\beta) = 0$ ,  $f_K$  divides f.

By part (a), f is of the form  $f = x^{p^e} - a, a \in F, e \ge 1$ . As  $f = x^{p^e} - a = x^{p^e} - \beta^{p^e} = (x - \beta)^{p^e}, x - \beta$  is the only monic irreducible factor of f. Since  $f_K \mid f$ ,  $f_K = (x - \beta)^k, k \ge 1$ . As  $\beta \notin K, k \ge 2$ , thus  $\beta$  is not separable over K, and this is true for every  $\beta \in L \setminus K$ , so  $K \subset L$  is a purely inseparable extension.  $\square$ .

Suppose now that  $F \subset L$  is a purely inseparable extension. As  $F \subset L$  is finite, there exists  $\alpha_1, \ldots, \alpha_n \in L$  such that  $L = F(\alpha_1, \ldots, \alpha_n)$ . Let  $F_0 = F$  and  $F_i = F(\alpha_1, \ldots, \alpha_i)$ ,  $1 \le i \le n$ 

Reasoning by induction, suppose that  $[F_i : F]$  is a power of p. This is true for i = 0 since  $[F_0 : F] = [F : F] = 1 = p^0$ .

By the preceding Lemma, L is purely inseparable over  $F_i$ . By part (a) applied to  $F_i$ , we know that the minimal polynomial  $f_{i+1}$  of  $\alpha_{i+1}$  over  $F_i$  is of the form  $f = x^{p^e} - a, a \in F_i, e \geq 0$ . Thus  $[F_{i+1} : F_i] = [F_i(\alpha_{i+1}) : F_i] = \deg(f_{i+1}) = p^e$ . Consequently,  $[F_{i+1} : F] = [F_{i+1} : F_i][F_i : F]$  is a power of p, which conclude the induction.

Finally,  $[L:F] = [F(\alpha_1, \dots, \alpha_n):F]$  is a power of p.

**Ex. 5.3.11** Let  $f \in F[x]$  be nonconstant. We say that f is squarefree if f is not divisible by the square of a non constant polynomial in F[x].

- (a) Prove that f is squarefree if and only if f is a product of irreducible polynomials, no two of which are multiples of each other.
- (b) Assume that F has characteristic 0. Prove that f is separable if and only if f is squarefree.

*Proof.* (a) Suppose that f is squarefree.

Let  $f = f_1 \cdots f_r$  a decomposition of f in irreducible factors in k[x].

If two irreducible factors  $f_i, f_j, i \neq j$  in this decomposition are associate (i.e.  $f_i \mid f_j, f_j \mid f_i$ ), then  $f_j = \lambda f_i, \lambda \in F^*$ . Then  $f_i^2$  divides  $f_i f_j$  which divides f, and f is not squarefree.

Conversely, suppose that f is not squarefree. Then f is divisible by a square factor  $g^2$ , where g is a nonconstant polynomial. Let  $f_1$  an irreducible factor of g. The unicity of the decomposition in irreducible factors shows that any decomposition in irreducible factors contains two factors  $g_1, g_2$  associate to  $f_1$ , so  $g_1 \mid g_2, g_2 \mid g_1$ .

Conclusion: f is squarefree if and only if f is product of irreducible factors, no two of which are associate.

(b) Assume that F has characteristic 0.

Proposition 5.3.7(c) shows that f is separable if and only if f is product of irreducible factors, no two of which are associate.

By part (a), this is equivalent to f is squarefree.

Note: this equivalence remains true in a finite field.

Counterexample in  $F = \mathbb{F}_3(t)$ : the polynomial  $f = x^3 - t \in \mathbb{F}_3(t)[x]$  is irreducible over F, so is squarefree, but if  $\alpha$  is a root of f in a splitting field L,  $x^3 - t = x - \alpha^3 = (x - \alpha)^3$  is not separable. This is due to the fact that "squarefree" is a notion which depends of the field: f is squarefree over F, not over L.

**Ex. 5.3.12** Prove that  $f \in F[x]$  is separable if and only if f is nonconstant and f and f' have no common roots in any extension of F.

*Proof.* By Proposition 5.3.2(c),  $f \in F[x]$  is separable if and only if f is nonconstant and  $f \wedge f' = 1$ .

If f, f' have a common root  $\alpha$  in an extension L of F, then  $x - \alpha$  divides f dans L[x], and also f', so divides their gcd in L[x], and so  $gcd(f, f') \neq 1$  (we know that the gcd is the same in F[x] and in L[x]).

We have proved that if  $f \wedge f' = 1$ , then f, f' have no common root in any extension of F.

Conversely, if  $f \wedge f' \neq 1$ , then f, f' have a common nonconstant factor  $g \in F[x]$ . Let L an extension of F such that g has a root  $\alpha \in F$ . Then  $\alpha \in L$  is a root of f and f'.

Conclusion:  $f \in F[x]$  is separable if and only if f is nonconstant and f and f' have no common roots in any extension of F.

**Ex.** 5.3.13 Let F have characteristic p, and let  $F \subset L$  be a finite extension with  $p \nmid [L:F]$ . Prove that  $F \subset L$  is separable.

*Proof.* Let  $\alpha \in L$ , and f its minimal polynomial over F. Then  $F \subset F(\alpha) \subset L$ , thus  $[F(\alpha):F]=\deg(f)$  divides [L:F]. Consequently  $p \nmid \deg(f)$ . By Lemma 5.3.6, this implies that f is separable. Hence every  $\alpha \in L$  is separable over F. The extension  $F \subset L$  is so separable.

**Ex. 5.3.14** Let  $F \subset K \subset L$  be field extensions, and assume that L is separable over F. Prove that  $F \subset K$  and  $K \subset L$  are separable extensions.

*Proof.* By hypothesis,  $F \subset K \subset L$ , and L is separable over F.

- Every element of L is separable over F. A fortiori every element of K is separable over F, thus  $F \subset K$  is separable.
- Let  $\alpha$  any element of L. As  $\alpha$  is separable over F, the minimal polynomial  $f \in F[x]$  of  $\alpha$  over F is separable, thus f has only simple roots in a splitting field R of f over L. The minimal polynomial  $f_K$  of  $\alpha$  over K divides f (since  $f(\alpha) = 0$  and  $f \in F[x] \subset K[x]$ ). As  $f_K \mid f$ , the order of multiplicity of a root of  $f_K$  is at most the order of multiplicity of this root in f, thus all the roots of  $f_K$  in the splitting field R are simple, thus  $\alpha$  is separable over K. Therefore the extension  $K \subset L$  is separable.

**Ex. 5.3.15** Let f be the polynomial considered in Example 5.3.9. Use Maple or Mathematica to factor f and to verify that the product of the distinct irreducible factors of f is the polynomial given in (5.10).

*Proof.* Sage instructions:

$$f = x^11-x^10+2*x^8-4*x^7+3*x^5-3*x^4+x^3+3*x^2-x-1; f$$

$$x^{11} - x^{10} + 2x^8 - 4x^7 + 3x^5 - 3x^4 + x^3 + 3x^2 - x - 1$$

f1 = f.derivative(); f1

$$11x^{10} - 10x^9 + 16x^7 - 28x^6 + 15x^4 - 12x^3 + 3x^2 + 6x - 1$$

d = gcd(f,f1); d

$$x^6 - x^5 + x^3 - 2x^2 + 1$$

p = (f/d).simplify\_rational(); p

$$x^5 + x^2 - x - 1$$

v = p.factor(); v

$$(x^3 + x + 1)(x + 1)(x - 1)$$

w = d.factor(); w

$$(x^3 + x + 1)(x + 1)(x - 1)^2$$

s = f.factor(); s

$$(x^3 + x + 1)^2 (x + 1)^2 (x - 1)^3$$

s.expand()

$$x^{11} - x^{10} + 2x^8 - 4x^7 + 3x^5 - 3x^4 + x^3 + 3x^2 - x - 1$$

**Ex. 5.3.16** Let F have characteristic p and consider  $f = x^p - x + a \in F[x]$ .

- (a) Show that f is separable.
- (b) Let  $\alpha$  be a root of f in some extension of F. Show that  $\alpha + 1$  is also a root.
- (c) Use part (b) to show that f splits completely over  $F(\alpha)$ .
- (d) Use part (a) of Theorem 5.3.15 to show that  $F \subset F(\alpha)$  is separable and normal.

*Proof.* Let F have characteristic p and consider  $f = x^p - x + a \in F[x]$ .

- (a) f' = -1, thus  $f \wedge f' = 1$ , so f is separable.
- (b) Let  $\alpha$  be a root of f in some extension L of F. Then  $f(\alpha) = \alpha^p \alpha + a = 0$ , thus

$$f(\alpha + 1) = (\alpha + 1)^{p} - (\alpha + 1) + a$$
  
=  $\alpha^{p} + 1 - \alpha - 1 + a$   
= 0.

 $\alpha + 1 \in L$  is also a root of f.

(c) So  $\alpha, \alpha+1, \ldots, \alpha+p-1$  are roots of f. These roots are distinct since  $0, 1, \ldots, p-1$  are the p distinct elements of the prime subfield of F, isomorphic to  $\mathbb{F}_p$ , and identified with  $\mathbb{F}_p$ .

Thus f is divisible by  $(x - \alpha) \cdots (x - \alpha - p + 1)$ , of degree  $p = \deg(f)$ . As both polynomials are monic,

$$f = (x - \alpha)(x - \alpha - 1) \cdots (x - \alpha - p + 1). \tag{5}$$

(d)  $\bullet$   $F(\alpha)$  contains F and thus contains also  $\mathbb{F}_p$ . So  $F(\alpha)$  contains  $\alpha, \alpha+1, \ldots, \alpha+p-1$ , thus  $F(\alpha) = F(\alpha, \alpha+1, \ldots, \alpha+p-1)$ .

 $F(\alpha)$  is so the splitting field of f by (5).  $F \subset F(\alpha)$  is a normal extension.

• The minimal polynomial g of  $\alpha$  over F divides f, which has only simple roots, thus g has only simple roots. So  $\alpha$  is separable over F. By Theorem 5.3.15(a),  $F \subset F(\alpha)$  is a separable extension.

**Ex. 5.3.17** Let  $\beta$  be a root of a polynomial f.

- (a) Assume that  $f(x) = (x \beta)^m h(x)$  for some polynomial h(x), and let  $f^{(m)}$  denote the mth derivative of f. Prove that  $f^{(m)}(\beta) = m!h(\beta)$ .
- (b) Assume that we are in characteristic 0. Prove that  $\beta$  has multiplicity m as a root of f if and only if  $f(\beta) = f'(\beta) = \cdots = f^{(m-1)}(\beta) = 0$  and  $f^{(m)}(\beta) \neq 0$ .

(c) Assume that we are in characteristic p. How big does p need to be relative to m in order for the equivalence of part (b) to be still valid?

(a)

$$f(x) = (x - \beta)^m h(x)$$
  

$$f'(x) = m(x - \beta)^{m-1} h(x) + (x - \beta)^m H'(x)$$
  

$$= (x - \beta)^{m-1} [mh(x) + (x - \beta)h'(x)]$$

Thus  $f'(x) = (x-\beta)^{m-1}h_1(x)$ , where  $h_1(x) = mh(x) + (x-\beta)h'(x)$ ,  $h_1(\beta) = mh(\beta)$ . By induction, suppose that there exists  $h_k \in F[x]$ , for k < m, such that

$$f^{(k)}(x) = (x - \beta)^{m-k} h_k(x)$$
 and  $h_k(\beta) = \frac{m!}{(m-k)!} h(\beta)$ .

Then

$$f^{(k+1)}(x) = (m-k)(x-\beta)^{m-k-1}h_k(x) + (x-\beta)^{m-k}h'_k(x)$$
$$= (x-\beta)^{m-k-1}[(m-k)h_k(x) + (x-\beta)h'_k(x)]$$
$$= (x-\beta)^{m-k-1}h_{k+1}(x)$$

where  $h_{k+1}(x) = (m-k)h_k(x) + (x-\beta)h'_k(x)$ , thus

$$h_{k+1}(\beta) = (m-k)h_k(\beta) = (m-k)\frac{m!}{(m-k)!}h(\beta) = \frac{m!}{(m-k-1)!}h(\beta),$$

and the induction is done. The property is so true up to rank k=m, so

$$f^{(m)}(x) = h_m(x), \qquad f^{(m)}(\beta) = h_m(\beta) = m!h(\beta).$$

Conclusion: if  $f(x) = (x - \beta)^m h(x)$ , then  $f^{(m)}(\beta) = m! h(\beta)$ .

(b) Let  $f \in F[x]$ , where the characteristic of F is 0. The multiplicity of  $\beta$  in f, written  $\operatorname{ord}_f(\beta)$ , is defined by

$$\operatorname{ord}_f(\beta) = m \iff (x - \beta)^m \mid f, \ (x - \beta)^{m+1} \nmid f.$$

• Suppose that  $\operatorname{ord}_f(\beta) = m$ . Then  $f(x) = (x - \beta)^m h(x), h \in F[x]$ , and  $h(\beta) \neq 0$ , otherwise  $(x - \beta) \mid h$ , and so  $(x - \beta)^{m+1} \mid f$ .

By part (a), for all integer  $k, 0 \le k \le m-1$ ,  $f^{(k)}(x) = (x-\beta)^{m-k} h_k(x)$ ,  $h_k \in F[x]$ , thus  $f(\beta) = f'(\beta) = \cdots = f^{(m-1)}(\beta) = 0$ .

Moreover,  $f^{(m)}(\beta) = m!h(\beta) \neq 0$ , since  $h(\beta) \neq 0$ , and since the characteristic is 0, so  $m! \neq 0$  in F.

We have proved  $f(\beta) = f'(\beta) = \dots = f^{(m-1)}(\beta) = 0, f^{(m)}(\beta) \neq 0.$ 

• Conversely, suppose that

$$f(\beta) = f'(\beta) = \dots = f^{(m-1)}(\beta) = 0, f^{(m)}(\beta) \neq 0.$$

As  $f(\beta) = 0$ ,  $x - \beta$  divides f. We take as induction hypothesis, for k < m, that  $(x - \beta)^k \mid f(x)$ .

Then  $f(x) = (x - \beta)^k h_k(x)$ , and part (a) shows that  $f^{(k)}(\beta) = k! h_k(\beta) = 0$ , since k < m. As the characteristic of F is 0,  $k! \neq 0$ , thus  $h_k(\beta) = 0$ , therefore  $(x - \beta) \mid h_k(x), \text{ so } (x - \beta)^{k+1} \mid f.$ 

This induction proves that  $(x - \beta)^m \mid f(x)$ .

Using again part (a),  $f(x) = (x - \beta)^m h(x)$ , gives  $h(\beta) = \frac{f^{(m)}}{m!} \neq 0$ , thus  $(x - \beta) \nmid 1$  $h_k(x)$ , so  $(x-\beta)^{m+1} \nmid f(x)$ . Consequently ord  $f(\beta) = m$ .

Conclusion: if the characteristic of f is 0,

$$\operatorname{ord}_f(\beta) = m \iff f(\beta) = f'(\beta) = \dots = f^{(m-1)}(\beta) = 0, f^{(m)}(\beta) \neq 0.$$

(c) If the characteristic of F is p, the preceding argumentation remains valid if  $m! \neq 0$ in F. In this case,  $k! \neq 0$  for all k = 0, 1, ..., m.

Moreover  $m! \neq 0$  is equivalent to p > m.

So we can state:

if the characteristic of F is p, and if m < p,

$$\operatorname{ord}_{f}(\beta) = m \iff f(\beta) = f'(\beta) = \dots = f^{(m-1)}(\beta) = 0, f^{(m)}(\beta) \neq 0.$$

#### 5.4 THEOREM OF THE PRIMITIVE ELEMENT

Use the hints given in the text to prove that (5.18) has coefficients in F.

*Proof.* s(x) is defined in (5.18) by

$$s(x) = \prod_{j=1}^{m} f(x - \lambda \gamma_j).$$

Let 
$$g = \prod_{j=1}^{m} f(x - \lambda x_j) \in F[x_1, \dots, x_m][x].$$

Let  $g = \prod_{j=1}^m f(x - \lambda x_j) \in F[x_1, \dots, x_m][x]$ . If  $u = u(x_1, \dots, x_m) \in F[x_1, \dots, x_m]$ , and  $\sigma \in S_m$ , we define  $\sigma \cdot u = u(x_{\sigma(1)}, \dots, x_{\sigma(m)})$ .

If 
$$v = u(x_1, \ldots, x_m, x) \in F[x_1, \ldots, x_m][x]$$
, where  $v = \sum_{i=0}^d p_i x^i, p_i \in F[x_1, \ldots, x_m]$ , we write  $\sigma \cdot v = \sum_{i=0}^d (\sigma \cdot p_i) x^i$ .

Then  $\sigma \cdot (\tau \cdot v) = (\sigma \tau) \cdot v$ , and  $\sigma \cdot (vw) = (\sigma \cdot v)(\sigma \cdot w)$ , for all  $\sigma, \tau \in S_n, v, w \in S_n$  $F[x_1,\ldots,x_m][x].$ 

For every permutation  $\sigma \in S_n$ ,

$$\sigma \cdot g = \sigma \cdot \prod_{j=1}^{m} f(x - \lambda x_j)$$

$$= \prod_{j=1}^{m} \sigma \cdot f(x - \lambda x_j)$$

$$= \prod_{j=1}^{m} f(x - \lambda x_{\sigma(j)})$$

$$= \prod_{j=1}^{m} f(x - \lambda x_j)$$

$$= a.$$

As  $g = \sum_{i=0}^{d} p_i(x_1, \dots, x_m) x^i$  (d = lm), and  $g = \sigma \cdot g = \sum_{i=0}^{d} \sigma \cdot p_i(x_1, \dots, x_m) x^i$ , every coefficient  $p_i(x_1, \dots, x_m) \in F[x_1, \dots, x_m]$  is a symmetric polynomial.

The evaluation homomorphism  $\varphi$  defined by  $x_1 \mapsto \gamma_1, \dots, x_m \mapsto \gamma_m$ , where  $\gamma_1, \dots, \gamma_m$  are the roots of  $g \in F[x]$  sends the coefficients of g on the coefficients of g. Corollary 2.2.5 show that  $p_i(\gamma_1, \dots, \gamma_m) \in F$ ,  $i = 0, \dots d$ , thus

$$s(x) = \sum_{i=0}^{d} p_i(\gamma_1, \dots, \gamma_m) x^i \in F[x].$$

**Ex. 5.4.2** Let F be a finite field, and let  $F \subset L$  be a finite extension. We claim that there is  $\alpha \in L$  such that  $L = F(\alpha)$  and  $\alpha$  is separable over F.

- (a) Show that L is a finite field.
- (b) The set  $L^* = L \setminus \{0\}$  is a finite group under multiplication and hence is cyclic by Proposition A.5.3. Let  $\alpha \in L^*$  be a generator. Prove that  $L = F(\alpha)$ .
- (c) Let m = |L| 1. Show that  $\alpha^i$  is a root of  $x^m 1$  for all  $0 \le i \le m 1$ , and conclude that

$$x^{m} - 1 = (x - 1)(x - \alpha)(x - \alpha^{2}) \cdots (x - \alpha^{m-1}).$$

(d) Use part (c) to show that  $\alpha$  is separable over F.

*Proof.* Let F a finite field, and  $F \subset L$  a finite extension.

- (a) As  $n = [L : F] < \infty$ , there exists a basis  $(l_1, \dots, l_n)$  of L over F, thus every element  $\alpha \in L$  is of the form  $\alpha = \gamma_1 l_1 + \dots + \gamma_n l_n$ , with a unique  $(\gamma_1, \dots, \gamma_n) \in F^n$ . Therefore L is isomorphic to  $F^n$  as vector space, thus  $|L| = |F|^n < \infty$ . L is so a finite field.
- (b)  $L^*$  being the finite multiplicative group of a field is cyclic (Proposition A.5.3), with a generator  $\alpha \in L$ :

$$L^* = \{1, \alpha, \alpha^2, \cdots, \alpha^{m-1}\}.$$

Every  $\gamma$  in  $L^*$  is so of the form  $\gamma = \alpha^k, k \in \mathbb{N}$ , thus  $L^* \subset F(\alpha)$ , and  $0 \in F[\alpha]$ , so  $L \subset F(\alpha)$ . Moreover  $F \subset L$ , and  $\alpha \in L$ , thus  $F(\alpha) \subset L$ .

$$L = F(\alpha)$$
.

(c) As  $(L^*, \times)$  is a group of cardinality m = |L| - 1, Lagrange's Theorem shows that every  $\gamma \in L^* = \{1, \alpha, \alpha^2, \cdots, \alpha^{m-1}\}$  satisfies  $\gamma^m = 1$ , and so is a root of  $x^m - 1$ . Since the order of  $\alpha$  is m,  $\alpha^i \neq \alpha^j$  if  $0 \leq i < j \leq m-1$ , thus the polynomial  $p = (x-1)(x-\alpha)\cdots(x-\alpha^{m-1})$  divides  $x^m - 1$ . The degree of the quotient is 0, so this quotient is a constant  $c \in F^*$ . Since p and  $x^m - 1$  are monic, c = 1.

$$x^{m} - 1 = (x - 1)(x - \alpha) \cdots (x - \alpha^{m-1}).$$

(d) The minimal polynomial f of  $\alpha$  over F divides  $x^m - 1$ , which is separable by part (c). Thus f is also separable. Therefore  $\alpha$  is separable, and  $L = F(\alpha)$ : the Theorem of the Primitive Element is proved in the case of a finite extension of a finite field.

**Ex. 5.4.3** In the equation  $\alpha = t_1\alpha_1 + \cdots + t_n\alpha_n$  in part (b) of Corollary 5.4.2, show that we can assume that  $t_1, \dots, t_n \in \mathbb{Z}$ .

*Proof.* Here we suppose that F has characteristic 0. So F has  $\mathbb{Q}$  as subfield, and  $\mathbb{Z}$  as subring.

As  $\mathbb{Z}$  is infinite, we can find in  $\mathbb{Z}$  an integer  $\lambda$  which satisfies (5.16):

$$\beta_r + \lambda \gamma_s \neq \beta_i + \lambda \gamma_i \text{ pour } (r, s) \neq (i, j).$$

The remainder of of the proof is unchanged, and at each step of the induction, we choose such a  $\lambda \in \mathbb{Z}$ , so the primitive element  $\alpha = t_1 \alpha_1 + \cdots + t_n \alpha_n$  satisfies  $t_i \in \mathbb{Z}$ .  $\square$ 

**Ex.** 5.4.4 In the extension  $F \subset L$  of example 5.4.4, we have F = k(t, u), where k has characteristic p and L is the splitting field of  $(x^p - t)(x^p - u) \in F[x]$ . We also have  $\alpha, \beta \in L$  satisfying  $\alpha^p = y, \beta^p = u$ . Prove the following properties of  $F \subset L$ :

- (a)  $L = F(\alpha, \beta)$  and  $[L : F] = p^2$ .
- (b)  $[F(\gamma):F] = p \text{ for all } \gamma \in L \setminus F.$
- (c)  $F \subset L$  is purely inseparable.

*Proof.* (a) As  $\alpha, \beta \in L$ , and as  $F \subset L$ ,  $F(\alpha, \beta) \subset L$ .

Since F has characteristic p,  $f = (x^p - t)(x^p - u) = (x - \alpha)^p(x - \beta)^p$  has only the roots  $\alpha, \beta$ . The splitting field of f over F is so  $F(\alpha, \beta)$ .

$$L = F(\alpha, \beta).$$

The polynomial  $x^p - u$  has no root in  $k(t, u, \alpha) = F(\alpha)$  by Exercise 4.2.9. applied to the field  $k(t, \alpha)$ . Moreover, p is prime, so Proposition 4.2.6 shows that  $h = x^p - u$  is irreducible over  $F(\alpha)$ . Therefore h is the minimal polynomial of  $\beta$  sur  $F(\alpha)$ . Consequently,

$$[L:F(\alpha)] = [F(\alpha,\beta):F(\alpha)] = \deg(x^p - u) = p.$$

With the same argument,  $x^p - t$  has no root in F(t) and is irreducible.  $x^p - t$  is the minimal polynomial of  $\alpha$  over F, thus  $[F(\alpha):F] = p$ .

Finally

$$[L:F] = [L:F(\alpha)][F(\alpha):F] = p^2.$$

(b) Let  $\gamma \in L \setminus F$ .

We have proved in Example 5.3.4 that the extension  $F \subset L$  has no primitive element, thus  $F(\gamma) \neq L$ :

$$F \subseteq F(\gamma) \subseteq L$$
.

So  $d = [F(\gamma) : F]$  divides  $p^2 = [L : F]$ . Moreover  $d \neq 1$ , otherwise  $F(\gamma) = F, \gamma \in F$ , and  $d \neq p^2$ , otherwise  $F(\gamma) = L$ , thus

$$[F(\gamma):F]=p$$

.

(c) By part (b), the minimal polynomial g of  $\gamma$  over F has degree p. Moreover  $b = \gamma^p \in F$  by Example 5.4.4, so  $\gamma$  is a root of  $x^p - b \in F[x]$ . Thus  $g \mid x^p - b$ . As  $\deg(g) = \deg(x^p - b)$ , and as g and  $x^p - b$  are monic,  $x^p - b = g$  is the minimal polynomial of  $\gamma$  over F. Since  $g = (x - \gamma)^p$ , this polynomial is not separable. Consequently every  $\gamma \in L \setminus F$  is inseparable, so the extension  $F \subset L$  is purely inseparable.

**Ex.** 5.4.5 Let  $F \subset L = F(\alpha, \beta)$  be as in Exercise 4, and consider the intermediate fields  $F \subset F(\alpha + \lambda \beta) \subset L$  as  $\lambda$  varies over all elements of F. Suppose that  $\lambda \neq \mu$  are two elements of F such that  $F(\alpha + \lambda \beta) = F(\alpha + \mu \beta)$ .

- (a) Show that  $\alpha, \beta \in F(\alpha + \lambda \beta)$ .
- (b) Conclude that  $F(\alpha + \lambda \beta) = F(\alpha, \beta)$ , and explain why this contradicts Example 5.4.4.

It follow that the fields  $F(\alpha + \lambda \beta)$ ,  $\lambda \in F$ , are all distinct. Since F is infinite, we see that there are infinitely many fields between F and L.

*Proof.* As in Exercise 4,  $F \subset L = F(\alpha, \beta)$ . Suppose that  $F(\alpha + \lambda \beta) = F(\alpha + \mu \beta), \ \lambda \neq \mu$ .

(a) Then

$$\alpha + \mu\beta \in F(\alpha + \lambda\beta),$$
  
 $\alpha + \lambda\beta \in F(\alpha + \lambda\beta).$ 

Consequently their difference is also in the subfield  $F(\alpha + \lambda \beta)$ :

$$(\mu - \lambda)\beta \in F(\alpha + \lambda\beta).$$

As  $\mu - \lambda \in F, \mu - \lambda \neq 0$ ,

$$\beta \in F(\alpha + \lambda \beta).$$

Since  $\alpha = (\alpha + \lambda \beta) - \lambda \beta$ , with  $\alpha + \lambda \beta, \beta \in F(\alpha + \lambda \beta)$ , and  $\lambda \in F$ , then

$$\alpha \in F(\alpha + \lambda \beta).$$

(b)  $\alpha + \lambda \beta \in F(\alpha, \beta)$ , thus  $F(\alpha + \lambda \beta) \subset F(\alpha, \beta)$ . Moreover, by part (a),  $\alpha, \beta \in F(\alpha + \lambda \beta)$ , thus  $F(\alpha, \beta) \subset F(\alpha + \lambda \beta)$ .

$$F(\alpha, \beta) = F(\alpha + \lambda \beta).$$

But Example 5.4.4 shows that  $F(\alpha, \beta)$  has no primitive element : this is a contradiction.

This reductio ad absurdum shows that all the fields  $F(\alpha + \lambda \beta)$ , where  $\lambda$  varies over all elements of F, are distinct. F being infinite, there exists infinitely many intermediate fields between F and L.

**Ex. 5.4.6** Explain why the proof of Theorem 5.4.1 implies that  $F(\beta + \lambda \gamma) = F(\beta, \gamma)$  when  $\gamma$  is separable over F,  $\beta$  is algebraic over F, and  $\lambda$  satisfies (5.17).

*Proof.* The proof of  $F(\alpha, \beta) = F(\alpha + \lambda \beta)$  uses only 5.17 (5.16 is used only to prove the separability of  $\alpha + \lambda \beta$ ). The separability of  $\gamma$  (thus of g) is used only to prove that another root of h, which is also a root of g, is one of the  $\gamma_j, j \geq 2$ . The separability of  $\beta$  is not used, only the algebraic nature of  $\beta, \gamma$ , to define their minimal polynomials.  $\square$ 

**Ex. 5.4.7** Let  $F \subset L = F(\alpha_1, \dots, \alpha_n)$  be a finite extension, and suppose that  $\alpha_1, \dots, \alpha_{n-1}$  are separable over F. Prove that  $F \subset L$  has a primitive element.

*Proof.* Let a finite extension  $F \subset L = F(\alpha_1, \dots, \alpha_n)$ , where  $\alpha_1, \dots, \alpha_{n-1}$  are separable over F (but not  $\alpha_n$ ). The Primitive Element Theorem (5.4.1) shows that  $F(\alpha_1, \dots, \alpha_{n-1})$  has a primitive element  $\beta$  separable over F.

The extension  $F \subset L = F(\beta, \alpha_n)$  is such that  $\beta$  is algebraic separable over F, and  $\alpha_n$  algebraic over F.

If F is infinite, by Exercise 6 this is sufficient to prove the existence of a primitive element of  $F \subset L$  (but perhaps not separable).

If F is a finite field, then L also, and it has a primitive element by Exercise 2(b).  $\Box$ 

**Ex. 5.4.8** Use Exercise 7 to find an explicit primitive element for  $F = k(t, u) \subset L$ , where k has characteristic 3 and L is the splitting field of  $(x^2 - t)(x^3 - u)$ . Note that this extension is not separable, by Exercise 8 of Section 5.3.

*Proof.* Here  $F = k(t, u) \subset L$ , where the characteristic of k is 3, L is the splitting field of  $(x^2 - t)(x^3 - u)$ , and  $\alpha, \beta \in L$  are such that  $\alpha^2 = t, \beta^3 = u$ .

 $\alpha$  is separable, but not  $\beta$  (cf Exercise 5.3.8).

We know (by Exercice 5.3.8) that

$$f(x) = x^3 - u,$$
  
$$g(x) = x^2 - t,$$

are the respective minimal polynomials of  $\beta$  and  $\alpha$  over F.

The two polynomials

$$g(x) = x^2 - t \in F[x] \subset F(\alpha + \beta)[x]$$
$$f(\alpha + \beta - x) = -x^3 + (\alpha + \beta)^3 - u \in F(\alpha + \beta)[x]$$

vanish at  $\alpha$ , since  $g(\alpha) = 0$ ,  $f(\beta) = 0$ , and they are both in  $F(\alpha + \beta)[x]$ .

Thus  $x - \alpha \mid h(x) = \gcd(g(x), f(\alpha + \beta - x))$ .

 $1 \le \deg(h) \le 2$ . If  $\deg(h) = 2$ , as  $h \mid g$ , we would have  $h = g = (x - \alpha)(x + \alpha)$ , and then  $x + \alpha \mid h \mid f(\alpha + \beta - x)$ .

As  $f(x) = (x - \beta)^3$ , then  $f(\alpha + \beta - x) = -(x - \alpha)^3$ , which is not divisible by  $x + \alpha$ , since  $-\alpha \neq \alpha$ .

Therefore deg(h) = 1, and  $h(x) = pgcd(g(x), f(\alpha + \beta - x)) = x - \alpha$ .

Thus there exists a Bézout's relation

$$A(x)q(x) + B(x)f(\alpha + \beta - x) = x - \alpha, A, B \in F(\alpha + \beta)[x].$$

This proves that  $\alpha \in F(\alpha + \beta)$ , thus also  $\beta = (\alpha + \beta) - \alpha \in F(\alpha + \beta)$ , which implies that  $L = F(\alpha, \beta) = F(\alpha + \beta)$ :  $\alpha + \beta$  is a primitive element of L/F.

We compute explicitly the gcd of the polynomials  $f(\alpha + \beta - x), g(x)$ : The first Euclidean division of  $f(\alpha + \beta - x)$  by g(x) gives

$$-x^{3} + (\alpha + \beta)^{3} - u + x(x^{2} - t) = -tx + (\alpha + \beta)^{3} - u$$
$$= -t\left(x - \frac{(\alpha + \beta)^{3} - u}{t}\right).$$

We must then have

$$\alpha = \frac{(\alpha + \beta)^3 - u}{t} \in F(\alpha + \beta).$$

We compute a direct proof of this equality:

$$\frac{(\alpha+\beta)^3-u}{t}=\frac{\alpha^3+\beta^3-u}{t}=\frac{\alpha^3}{t}=\frac{\alpha^3}{\alpha^2}=\alpha.$$

This equality proves also that  $\alpha + \beta$  is a primitive element of  $F \subset L$