

# Solutions to David A.Cox "Galois Theory"

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## 2 Chapter 2

### 2.1 POLYNOMIALS OF SEVERAL VARIABLES

**Ex. 2.1.1** Show that  $\langle x, y \rangle = \{xg + yh \mid g, h \in F[x, y]\} \subset F[x, y]$  is not a principal ideal in  $F[x, y]$ .

*Proof.* We show first that  $\langle x, y \rangle \neq F[x, y]$  : if not,  $1 \in \langle x, y \rangle$ , so

$$1 = xu + yv, \quad u, v \in F[x, y].$$

If we evaluate this identity at  $x = 0, y = 0$  we obtain  $1 = 0$ , which is a contradiction, thus

$$\langle x, y \rangle \neq F[x, y].$$

If  $\langle x, y \rangle$  was a principal ideal, generated by  $p \in F[x, y]$ , then  $\langle x, y \rangle = \langle p \rangle$ , and

$$x = pq, y = pr, \quad q, r \in F[x, y].$$

$\deg(p) + \deg(q) = \deg(x) = 1$ , so  $\deg(p) \leq 1$ , and  $p \neq 0$ .

If  $\deg(p) = 0$ , then  $p = \lambda \in F^*$ , and  $\langle x, y \rangle = \langle \lambda \rangle = F[x, y]$  : we have proved that this is impossible.

Thus  $\deg(p) = 1$  :  $p = \alpha x + \beta y + \gamma, \alpha, \beta, \gamma \in F$ , and  $\deg(q) = \deg(r) = 0$ , so  $q = \lambda \in F^*, r = \mu \in F^*$  :

$$x = \lambda(\alpha x + \beta y + \gamma)$$

$$y = \mu(\alpha x + \beta y + \gamma)$$

This implies  $\lambda\beta = 0$  and  $\mu\alpha = 0$ .

As  $\lambda \neq 0, \mu \neq 0, \alpha = \beta = 0$ , which is in contradiction with  $\deg(p) = 1$ .

We have proved that  $\langle x, y \rangle$  is not a principal ideal, et thus  $F[x, y]$  is not a principal ideal domain.  $\square$

**Ex. 2.1.2** Express each the following polynomials as a polynomial in  $y$  with coefficients that are polynomials in the remaining variables.

(a)  $x^2y + 3y^2 - xy^2 + 3x + xy^2 + 7x^2y^2$ .

(b)  $(y - (x_1 + x_2))(y - (x_1 + x_3))(y - (x_2 + x_1))$

*Proof.* (a)

$$\begin{aligned} p &= x^2y + 3y^2 - xy^2 + 3x + xy^2 + 7x^3y^3 \\ &= (7x^3)y^3 + 3y^2 + x^2y + 3x. \end{aligned}$$

(b) let

$$q = (y - (x_1 + x_2))(y - (x_1 + x_3))(y - (x_2 + x_3)).$$

Consider  $p = (x + x_1)(x + x_2)(x + x_3) = x + \sigma_1x^2 + \sigma_2x + \sigma_3$ .

Then

$$\begin{aligned} q &= (y - \sigma_1 + x_3)(y - \sigma_1 + x_2)(y - \sigma_1 + x_1) \\ &= p(y - \sigma_1) \\ &= (y - \sigma_1)^3 + \sigma_1(y - \sigma_1)^2 + \sigma_2(y - \sigma_1) + \sigma_3 \\ &= (y^3 - 3\sigma_1y^2 + 3\sigma_1^2y - \sigma_1^3) + (\sigma_1y^2 - 2\sigma_1^2y + \sigma_1^3) + (\sigma_2y - \sigma_1\sigma_2) + \sigma_3 \\ &= y^3 - 2\sigma_1y^2 + (\sigma_1^2 + \sigma_2)y + (\sigma_3 - \sigma_1\sigma_2) \end{aligned}$$

□

**Ex. 2.1.3** Given positive integers  $n$  and  $r$  with  $1 \leq r \leq n$ , let  $\binom{n}{r}$  be the number of ways of choosing  $r$  elements from a set with  $n$  elements. Recall that  $\binom{n}{r} = \frac{n!}{r!(n-r)!}$ .

(a) Show that the polynomial  $\sigma_r$  is a sum of  $\binom{n}{r}$  terms.

(b) Show that  $\sigma_r(-\alpha, \dots, -\alpha) = (-1)^r \binom{n}{r} \alpha^r$ .

(c) Let  $f = (x + \alpha)^n$ . Use part (b) and Corollary 2.1.5 to prove that

$$(x + \alpha)^n = \sum_{r=0}^n \binom{n}{r} \alpha^r x^{n-r},$$

where  $\binom{n}{0} = 1$ . This shows that the binomial theorem follows from Corollary 2.1.5.

*Proof.* (a) The number of terms in

$$\sigma_r = \sum_{1 \leq i_1 < \dots < i_r \leq n} x_{i_1} x_{i_2} \dots x_{i_r} \quad (1)$$

is the number of strictly increasing sequences  $(i_1, i_2, \dots, i_r)$  in the integer interval  $[1, n]$ . It is equal to the number of subsets with  $r$  elements in the set  $[1, n]$  with  $n$  elements. Thus it is equal to  $\binom{n}{r}$ .

(b) Evaluating (1) with  $x_1 = x_2 = \dots = x_n = -\alpha$ , we obtain

$$\begin{aligned} \sigma_r(-\alpha, \dots, -\alpha) &= \sum_{1 \leq i_1 < \dots < i_r \leq n} (-\alpha)^r \\ &= (-1)^r \binom{n}{r} \alpha^r \end{aligned}$$

(c) By Corollary 2.1.5, with the substitution  $x_1 = -\alpha, x_2 = -\alpha, \dots, x_n = -\alpha$ ,

$$f = (x + \alpha)^n = x^n + a_1 x^{n-1} + \dots + a_n,$$

where

$$\begin{aligned} a_r &= (-1)^r \sigma_r(-\alpha, \dots, -\alpha) \\ &= \binom{n}{r} \alpha^r \end{aligned}$$

Consequently,

$$(x + \alpha)^n = \sum_{i=1}^n \binom{n}{i} \alpha^i x^{n-i}.$$

With the substitution  $x = \beta$ ,  $\beta \in F$ , we obtain the binomial formula

$$(\alpha + \beta)^n = \sum_{i=1}^n \binom{n}{i} \alpha^i \beta^{n-i}.$$

□

## 2.2 SYMMETRIC POLYNOMIALS

**Ex. 2.2.1** Show that the leading term of  $\sigma_r$  is  $x_1 x_2 \dots x_r$ .

*Proof.* We show that the leading term of  $\sigma_r$  for the graded lexicographic order is  $x_1 x_2 \dots x_r$ .

Let  $x_{i_1} x_{i_2} \dots x_{i_r}$  ( $i_1 < i_2 < \dots < i_r$ ) any term of  $\sigma_r$ , distinct of  $x_1 x_2 \dots x_r$ . We must show that  $x_1 x_2 \dots x_r > x_{i_1} x_{i_2} \dots x_{i_r}$ .

If  $i_1 > 1$ , then  $x_1$  has no occurrence in  $x_{i_1} x_{i_2} \dots x_{i_r}$ : its exponent is 0 in the right monomial, and 1 in the left monomial, so

$$x_1 x_2 \dots x_r > x_{i_1} x_{i_2} \dots x_{i_r},$$

and the proof is done in this case.

If  $i_1 = 1$ , let  $j$  ( $1 < j < n$ ) the first subscript such that  $i_j \neq j$ . Then

$$i_1 = 1, i_2 = 2, \dots, i_{j-1} = j-1, i_j \neq j.$$

Such a subscript exist, otherwise  $x_1 x_2 \dots x_r = x_{i_1} x_{i_2} \dots x_{i_r}$ . As  $i_j > i_{j-1} = j-1$ ,  $i_j \geq j$ , and as  $i_j \neq j$ ,  $i_j > j$ , so the exponent of  $x_j$  is 0 in the right monomial.

Therefore

$$x_1 x_2 \dots x_{j-1} x_j \dots x_r > x_1 x_2 \dots x_{j-1} x_{i_j} \dots x_{i_r} = x_{i_1} x_{i_2} \dots x_{i_r}.$$

So the leading term of  $\sigma_r$  is  $x_1 x_2 \dots x_r$ .

□

**Ex. 2.2.0** This exercise will study the order relation defined in (2.5). Given an exponent vector  $\alpha = (a_1, \dots, a_n)$ , where each  $a_i \geq 0$  is an integer, let  $x^\alpha$  denote the monomial

$$x^\alpha = x_1^{a_1} \cdots x_n^{a_n}.$$

If  $\alpha$  and  $\beta$  are exponent vectors, note that  $x^\alpha x^\beta = x^{\alpha+\beta}$ . Also, the leading term of a nonzero polynomial  $f \in F[x_1, \dots, x_n]$  will be denoted  $\text{LT}(f)$ .

(a) Suppose that  $x^\alpha > x^\beta$ , and let  $x^\gamma$  be any monomial. Prove that  $x^{\alpha+\beta} > x^{\beta+\gamma}$ .

(b) Suppose that  $x^\alpha > x^\beta$  and  $x^\gamma > x^\delta$ . Prove that  $x^{\alpha+\gamma} > x^{\beta+\delta}$ .

(c) Let  $f, g \in F(x_1, \dots, x_n]$  be nonzero. Prove that  $\text{LT}(fg) = \text{LT}(f)\text{LT}(g)$ .

*Proof.* (a) Let  $\alpha = (a_1, a_2, \dots, a_n), \beta = (b_1, b_2, \dots, b_n), \gamma = (c_1, c_2, \dots, c_n)$  and suppose that  $x^\alpha > x^\beta$ .

Then  $a_1 + a_2 + \dots + a_n \geq b_1 + b_2 + \dots + b_n$ , otherwise  $x^\alpha < x^\beta$ .

If  $a_1 + a_2 + \dots + a_n > b_1 + b_2 + \dots + b_n$ , then  $(a_1 + c_1) + \dots + (a_n + c_n) > (b_1 + c_1) + \dots + (b_n + c_n)$ , thus  $x^{\alpha+\gamma} > x^{\beta+\gamma}$ .

We suppose now that  $a_1 + a_2 + \dots + a_n = b_1 + b_2 + \dots + b_n$ .

By definition of the graded lexicographical order,  $a_1 \geq b_1$ , otherwise  $x^\alpha < x^\beta$ .

If  $a_1 > b_1$ , then  $a_1 + c_1 > b_1 + c_1$ , which implies  $x^{\alpha+\gamma} > x^{\beta+\gamma}$ .

It remains the case where  $a_1 = b_1$ .

Let  $j$  ( $j < n$ ) the first subscript such that  $a_i \neq b_i$  :

$$a_1 = b_1, a_2 = b_2, \dots, a_{j-1} = b_{j-1}, a_j \neq b_j.$$

As  $x^\alpha > x^\beta$ , such a subscript exists, otherwise  $x^\alpha = x^\beta$ .

If  $a_j < b_j$ , we would have  $x^\alpha < x^\beta$ , which is false by hypothesis, so  $a_j > b_j$ .

Then  $a_1 + c_1 = b_1 + c_1, \dots, a_{j-1} + c_{j-1} = b_{j-1} + c_{j-1}$  et  $a_j + c_j > b_j + c_j$ , so

$$x^{\alpha+\gamma} > x^{\beta+\gamma}$$

. Conclusion :

$$x^\alpha > x^\beta \Rightarrow x^{\alpha+\gamma} > x^{\beta+\gamma}.$$

(b) If  $x^\alpha > x^\beta$  et  $x^\gamma > x^\delta$ , then by (a),

$$x^{\alpha+\gamma} > x^{\beta+\gamma}$$

$$x^{\beta+\gamma} > x^{\beta+\delta}$$

So, by transitivity

$$x^{\alpha+\gamma} > x^{\beta+\delta}.$$

(c) Let  $cx^\alpha = \text{LT}(f), dx^\beta = \text{LT}(g)$ . By definition of the leading term, for every term  $ux^\gamma$  in  $f$ , distinct of  $\text{LT}(f)$ ,

$$x^\alpha > x^\gamma,$$

and for every term  $vx^\delta$  in  $g$ , distinct of  $\text{LT}(g)$ ,

$$x^\beta > x^\delta$$

Every monomial in  $fg$  distinct of  $cdx^{\alpha+\beta}$  is a sum of terms of the form  $gx^{\gamma+\delta}$ , where  $\beta, \gamma$  verify  $\alpha \geq \gamma, \beta > \delta$ , or  $\alpha > \gamma, \beta \geq \delta$ . In both cases, by (a) and (b),

$$x^{\alpha+\beta} > x^{\gamma+\delta}.$$

Therefore  $cdx^{\alpha+\beta}$  is the leading term of  $fg$ , so

$$\text{LT}(fg) = \text{LT}(f) \text{LT}(g).$$

□

**Ex. 2.2.3** Prove (2.13)-(2.16). For (2.13), a computer will be helpful; the others can be proved by hand using the identity

$$(y_1 + \cdots + y_m)^2 = y_1^2 + \cdots + y_m^2 + 2 \sum_{i < j} y_i y_j.$$

*Proof.* Let

$$f = \Sigma_4 x_1^3 x_2^2 x_3.$$

We must write  $f$  as a polynomial in  $\sigma_1, \sigma_2, \sigma_3, \sigma_4$ .

The leading term of  $f$  for the graded lexicographical order being  $x_1^3 x_2^2 x_3^1 x_4^0$ , the algorithm of section 2.2 asks to subtract to  $f$  the monomial  $\sigma_1^{3-2} \sigma_2^{2-1} \sigma_3^{1-0} \sigma_4^0 = \sigma_1 \sigma_2 \sigma_3$ .

(a)

$$\begin{aligned} \sigma_1 \sigma_2 \sigma_3 &= (x_1 + x_2 + x_3 + x_4) \times (x_1 x_2 + x_1 x_3 + x_1 x_4 + x_2 x_3 + x_2 x_4 + x_3 x_4) \\ &\quad \times (x_1 x_2 x_3 + x_1 x_2 x_4 + x_1 x_3 x_4 + x_2 x_3 x_4) \\ &= 3x_1 x_2^3 x_3 x_4 + 8x_1^2 x_2^2 x_3 x_4 + 8x_2^2 x_4^2 x_1 x_3 + 8x_2^2 x_3^2 x_1 x_4 + 8x_1^2 x_2 x_4^2 x_3 \\ &\quad + 8x_1^2 x_2 x_3^2 x_4 + 8x_2 x_3^2 x_4^2 x_1 + 3x_1^3 x_2 x_3 x_4 + 3x_2 x_4^3 x_1 x_3 + 3x_2 x_3^3 x_1 x_4 \\ &\quad + x_1^3 x_4^2 x_3 + 3x_2^2 x_3^2 x_4^2 + x_1^2 x_2^3 x_3 + x_3^2 x_4^3 x_2 + x_2^2 x_3^3 x_1 + 3x_1^2 x_2^2 x_3^2 \\ &\quad + x_1^2 x_3^3 x_4 + x_3^3 x_4^2 x_2 + x_2^3 x_3^2 x_4 + x_2^2 x_3^3 x_4 + x_3^2 x_4^3 x_1 + x_2^3 x_3^2 x_1 \\ &\quad + x_2^3 x_4^2 x_1 + x_2^2 x_4^3 x_1 + x_2^3 x_4^2 x_3 + x_3^3 x_4^2 x_1 + x_1^3 x_2^2 x_3 + x_1^3 x_3^2 x_2 \\ &\quad + x_1^2 x_4^3 x_2 + 3x_1^2 x_2^2 x_4^2 + x_1^3 x_3^2 x_4 + x_1^3 x_2^2 x_4 + 3x_1^2 x_3^2 x_4^2 + x_2^2 x_4^3 x_3 \\ &\quad + x_1^3 x_4^2 x_2 + x_1^2 x_2^3 x_4 + x_1^2 x_4^3 x_3 + x_1^2 x_3^3 x_2 \\ &= 8\Sigma_4 x_1^2 x_2^2 x_3 x_4 + 3\Sigma_4 x_1^3 x_2 x_3 x_4 + 3\Sigma_4 x_1^2 x_2^2 x_3^2 + \Sigma_4 x_1^3 x_2^2 x_3 \end{aligned}$$

We find the 96 terms of the product  $\sigma_1 \sigma_2 \sigma_3$  (see Ex. 2.2.12):

$\Sigma_4 x_1^2 x_2^2 x_3 x_4$  has  $\frac{4!}{2!2!} = 6$  terms, with the coefficient 8 : 48 terms.

$\Sigma_4 x_1^3 x_2 x_3 x_4$  has  $\frac{4!}{1!3!} = 4$  terms, with the coefficient 3 : 12 terms.

$\Sigma_4 x_1^2 x_2^2 x_3^2$  has  $\frac{4!}{3!1!} = 4$  terms, with the coefficient 3 : 12 terms.

$\Sigma_4 x_1^3 x_2^2 x_3$  has  $\frac{4!}{1!1!1!1!} = 24$  terms, with the coefficient 1 : 24 terms..

We obtain this product with the following Maple instructions :

```
> P = (x + x1).(x + x2).(x + x3)(x + x4);
> p := expand(P);
> q := collect(p, x);
> sigma_1 := coeff(q, x, 3); sigma_2 := coeff(q, x, 2); sigma_3 := coeff(q, x, 1); sigma_4 := coeff(q, x, 1);
> expand(sigma_1.sigma_2.sigma_3);
```

With sage :

```
e = SymmetricFunctions(QQ).e()
g = (e([1])* e([2])*e([3])).expand(4);g
```

(b) So

$$\begin{aligned} f_1 &= f - \sigma_1\sigma_2\sigma_3 \\ &= -8\Sigma_4x_1^2x_2^2x_3x_4 - 3\Sigma_4x_1^3x_2x_3x_4 - 3\Sigma_4x_1^2x_2^2x_3^2 \end{aligned}$$

The leading of  $f_1$  is  $-3x_1^3x_2x_3x_4$  : we must subtract  $-3\sigma_1^2\sigma_4$  to  $f_1$ .

$$\begin{aligned} \sigma_1^2\sigma_4 &= (\Sigma_4x_1)^2(x_1x_2x_3x_4) \\ &= (\Sigma_4x_1^2 + 2\Sigma_4x_1x_2)x_1x_2x_3x_4 \\ &= \Sigma_4x_1^3x_2x_3x_4 + 2\Sigma_4x_1^2x_2^2x_3x_4 \end{aligned}$$

done

$$f_2 = f - \sigma_1\sigma_2\sigma_3 + 3\sigma_1^2\sigma_4 = -3\Sigma_4x_1^2x_2^2x_3^2 - 2\Sigma_4x_1^2x_2^2x_3x_4$$

(c) The leading term of  $f_2$  is  $-3x_1^2x_2^2x_3^2$  : we must subtract  $-3\sigma_3^2$  à  $f_2$ .

$$\begin{aligned} \sigma_3^2 &= (\Sigma_4x_1x_2x_3)^2 \\ &= \Sigma_4x_1^2x_2^2x_3^2 + 2\Sigma_4x_1^2x_2^2x_3x_4 \end{aligned}$$

$$f_3 = f - \sigma_1\sigma_2\sigma_3 + 3\sigma_1^2\sigma_4 + 3\sigma_3^2 = 4\Sigma_4x_1^2x_2^2x_3x_4$$

(d) The leading term of  $f_3$  is  $4x_1^2x_2^2x_3x_4$  : we must subtract  $4\sigma_2\sigma_4$  to  $f_3$ .

$$\begin{aligned} \sigma_2\sigma_4 &= (\Sigma_4x_1x_2)(x_1x_2x_3x_4) \\ &= \Sigma_4x_1^2x_2^2x_3x_4 \end{aligned}$$

$$\text{so } f_4 = f - \sigma_1\sigma_2\sigma_3 + 3\sigma_1^2\sigma_4 + 3\sigma_3^2 - 4\sigma_2\sigma_4 = 0.$$

$$f = \Sigma_4x_1^3x_2^2x_3 = \sigma_1\sigma_2\sigma_3 - 3\sigma_1^2\sigma_4 - 3\sigma_3^2 + 4\sigma_2\sigma_4.$$

□

**Ex. 2.2.4** Let  $f = x^3 + bx^2 + cx + d \in F[x]$  have roots  $\alpha_1, \alpha_2, \alpha_3$  in the field  $L$  containing  $F$ , and let  $g$  be the polynomial defined in (2.17). Show carefully that

$$g(x) = x^3 + 2bx^2 + (b^2 + c)x + bc - d.$$

*Proof.* Let

$$\begin{aligned} f &= x^3 + bx^2 + cx + d \\ &= (x - \alpha)(x - \beta)(x - \gamma) \\ &= x^3 - \sigma_1(\alpha, \beta, \gamma)x^2 + \sigma_2(\alpha, \beta, \gamma)x - \sigma_3(\alpha, \beta, \gamma). \end{aligned}$$

Thus

$$\begin{aligned} \sigma_1(\alpha, \beta, \gamma) &= -b \\ \sigma_2(\alpha, \beta, \gamma) &= +c \\ \sigma_3(\alpha, \beta, \gamma) &= -d. \end{aligned}$$

Let

$$G(x) = (x - (x_1 + x_2))(x - (x_1 + x_3))(x - (x_2 + x_3))$$

Then

$$g(x) = (x - (\alpha_1 + \alpha_2))(x - (\alpha_1 + \alpha_3))(x - (\alpha_2 + \alpha_3))$$

is obtained from  $G$  by the evaluation morphism which sends  $x_1, x_2, x_3$  on  $\alpha_1, \alpha_2, \alpha_3$ .

$$\text{Let } p = (x + x_1)(x + x_2)(x + x_3) = x + \sigma_1 x^2 + \sigma_2 x + \sigma_3.$$

Then

$$\begin{aligned} G &= (x - \sigma_1 + x_3)(x - \sigma_1 + x_2)(x - \sigma_1 + x_1) \\ &= p(x - \sigma_1) \\ &= (x - \sigma_1)^3 + \sigma_1(x - \sigma_1)^2 + \sigma_2(x - \sigma_1) + \sigma_3 \\ &= (x^3 - 3\sigma_1 x^2 + 3\sigma_1^2 x - \sigma_1^3) + (\sigma_1 x^2 - 2\sigma_1^2 x + \sigma_1^3) + (\sigma_2 x - \sigma_1 \sigma_2) + \sigma_3 \\ &= x^3 - 2\sigma_1 x^2 + (\sigma_1^2 + \sigma_2)x + (\sigma_3 - \sigma_1 \sigma_2) \end{aligned}$$

The previous evaluation morphism sends  $\sigma_1$  on  $\sigma_1(\alpha_1, \alpha_2, \alpha_3) = -b$ ,  $\sigma_2$  on  $\sigma_2(\alpha_1, \alpha_2, \alpha_3) = c$ ,  $\sigma_3$  on  $\sigma_3(\alpha_1, \alpha_2, \alpha_3) = -d$ .

$$g(x) = x^3 + 2bx^2 + (b^2 + c)x + bc - d$$

In the example 2.2.6,

$$f(x) = x^3 + 2x^2 + x + 7,$$

where

$$b = 2, c = 1, d = 7,$$

$\alpha_1, \alpha_2, \alpha_3$  being the roots of  $g$  in  $\mathbb{C}$ , we obtain

$$\begin{aligned} g(x) &= (x - (\alpha_1 + \alpha_2))(x - (\alpha_1 + \alpha_3))(x - (\alpha_2 + \alpha_3)) \\ &= x^3 + 2bx^2 + (b^2 + c)x + bc - d \\ &= x^3 + 4x^2 + 5x - 5 \end{aligned}$$

□

**Ex. 2.2.5** This exercise will complete the proof of Theorem 2.2.7. Let  $h \in F[u_1, \dots, u_n]$  be a nonzero polynomial. The goal is to prove that  $h(\sigma_1, \dots, \sigma_n)$  is not the zero polynomial in  $x_1, \dots, x_n$ .

- (a) If  $cu_1^{b_1} \dots u_n^{b_n}$  is a term of  $h$ , then use Exercise 2 to show that the leading term of  $c\sigma_1^{b_1} \dots \sigma_n^{b_n}$  is  $cx_1^{b_1+\dots+b_n} x_2^{b_2+\dots+b_n} \dots x_n^{b_n}$ .
- (b) Show that  $(b_1, \dots, b_n) \mapsto (b_1 + \dots + b_n, b_2 + \dots + b_n, \dots, b_n)$  is one-to-one.
- (c) To see why  $h(\sigma_1, \dots, \sigma_n)$  is nonzero, consider the term of  $h(u_1, \dots, u_n)$  for which the leading term of  $c\sigma_1^{b_1} \dots \sigma_n^{b_n}$  is maximal. Prove that this leading term is in fact the leading term of  $h(\sigma_1, \dots, \sigma_n)$ , and explain how this proves what we want.

*Proof.* (a) Let  $h \in F[u_1, u_2, \dots, u_n]$ ,  $h \neq 0$ , and  $cu_1^{b_1} u_2^{b_2} \dots u_n^{b_n}$  a term of  $h$ .

The leading term of a product is the product of the leading term of the factors (Ex 2.2.2), and the leading term of  $\sigma_r$  is  $x_1 x_2 \dots x_r$  (Ex 2.2.1), so the leading term of  $c\sigma_1^{b_1} \sigma_2^{b_2} \dots \sigma_n^{b_n}$  is

$$\begin{aligned} \text{LT}(c\sigma_1^{b_1} \sigma_2^{b_2} \dots \sigma_n^{b_n}) &= c(x_1)^{b_1} (x_1 x_2)^{b_2} \dots (x_1 x_2 \dots x_n)^{b_n} \\ &= cx_1^{b_1+b_2+\dots+b_n} x_2^{b_2+\dots+b_n} \dots x_n^{b_n} \end{aligned}$$

- (b) Si  $a_i, b_i \in \mathbb{Z}$ , the system of equations

$$\begin{aligned} b_1 + b_2 + \dots + b_n &= a_1 \\ b_2 + \dots + b_n &= a_2 \\ &\dots \\ b_n &= a_n \end{aligned}$$

is equivalent to

$$\begin{aligned} b_1 &= a_1 - a_2 \\ b_2 &= a_2 - a_3 \\ &\dots \\ b_{n-1} &= a_{n-1} - a_n \\ b_n &= a_n \end{aligned}$$

So the application  $f : \mathbb{Z}^n \rightarrow \mathbb{Z}^n$  defined by

$$(b_1, b_2, \dots, b_n) \mapsto (b_1 + b_2 + \dots + b_n, b_2 + \dots + b_n, \dots, b_n)$$

is bijective (one-to-one and onto).

- (c) As  $h \neq 0$ , there exists a term  $cu_1^{b_1} u_2^{b_2} \dots u_n^{b_n}$  of  $h$  such that the leading term  $cx_1^{a_1} \dots x_n^{a_n}$  of  $c\sigma_1^{b_1} \sigma_2^{b_2} \dots \sigma_n^{b_n}$  is maximal. Then every other term  $c'u_1^{d_1} u_2^{d_2} \dots u_n^{d_n}$  of  $h$  verifies  $(b'_1, b'_2, \dots, b'_n) \neq (b_1, b_2, \dots, b_n)$  and the leading term  $c'x_1^{a'_1} \dots x_n^{a'_n}$  of  $c'\sigma_1^{d_1} \sigma_2^{d_2} \dots \sigma_n^{d_n}$  is less than  $cx_1^{a_1} \dots x_n^{a_n}$ : it can not be greater because this term is maximal, and  $(a_1, a_2, \dots, a_n) \neq (a'_1, a'_2, \dots, a'_n)$ , since the application  $f$  in (b) is bijective. The graded lexicographic order defined on the monomials  $x_1^{a_1} \dots x_n^{a_n}$  being a total order,  $x_1^{a_1} \dots x_n^{a_n} > x_1^{a'_1} \dots x_n^{a'_n}$ .



So  $cx_1^{a_1} \cdots x_n^{a_n}$  is greater than the leading terms of every other term  $c' \sigma_1^{d_1} \sigma_2^{d_2} \cdots \sigma_n^{d_n}$  of  $h(\sigma_1, \dots, \sigma_n) \neq 0$ , so is a fortiori greater than every other term of  $h(\sigma_1, \dots, \sigma_n)$ .

It can't be cancelled in the sum of these terms, and consequently  $h(\sigma_1, \dots, \sigma_n) \neq 0$ .  $\square$

**Ex. 2.2.6** Here is an example of polynomials which are not algebraically independent. Consider  $x_1^2, x_1x_2, x_2^2 \in F[x_1, x_2]$ , and let  $\phi : F[u_1, u_2, u_3] \rightarrow F[x_1, x_2]$  be defined by

$$\phi(u_1) = x_1^2, \phi(u_2) = x_1x_2, \phi(u_3) = x_2^2.$$

Show that  $\phi$  is not one-to-one by finding a nonzero polynomial  $h \in F[u_1, u_2, u_3]$  such that  $\phi(h) = 0$ .

*Proof.* Let  $h = u_1u_3 - u_2^2$ .

Then the unique algebra morphism  $\phi$  such that

$$\phi(u_1) = x_1^2, \phi(u_2) = x_1x_2, \phi(u_3) = x_2^2$$

verifies

$$\phi(h) = \phi(u_1)\phi(u_3) - (\phi(u_2))^2 = x_1^2x_2^2 - (x_1x_2)^2 = 0$$

So  $h \neq 0$  is in the kernel of  $\phi$ , so  $\phi$  is not one-to-one. Thus  $x_1^2, x_1x_2, x_2^2$  are not algebraically independent.  $\square$

**Ex. 2.2.7** Given a polynomial  $f \in F[x_1, \dots, x_n]$  and a permutation  $\sigma \in S_n$ , let  $\sigma \cdot f$  denote the polynomial obtained from  $f$  by permuting the variables according to  $\sigma$ . Show that  $\prod_{\sigma \in S_n} \sigma \cdot f$  and  $\sum_{\sigma \in S_n} \sigma \cdot f$  are symmetric polynomials.

*Proof.* We use the relations (2.31) p. 48, (or (6.7) p. 138) proved in Exercices 6.4.3 et 6.4.4 : for all  $\sigma, \tau \in S_n$ , and all  $f, g \in F[x_1, x_2, \dots, x_n]$  :

$$\sigma \cdot (f + g) = \sigma \cdot f + \sigma \cdot g \quad (2)$$

$$\sigma \cdot (fg) = (\sigma \cdot f)(\sigma \cdot g) \quad (3)$$

$$\tau \cdot (\sigma \cdot f) = (\tau \circ \sigma) \cdot f \quad (4)$$

(We will use the notation  $\tau \circ \sigma = \tau\sigma$ .)

Let  $g = \prod_{\sigma \in S_n} \sigma \cdot f$ .

Then, if  $\tau \in S_n$ , using (??) et (??)

$$\begin{aligned} \tau \cdot g &= \tau \cdot \prod_{\sigma \in S_n} \sigma \cdot f \\ &= \prod_{\sigma \in S_n} \tau \cdot (\sigma \cdot f) \\ &= \prod_{\sigma \in S_n} (\tau\sigma) \cdot f \end{aligned}$$

As the application  $S_n \rightarrow S_n, \sigma \mapsto \tau\sigma$  is bijective, the index change  $\sigma' = \tau\sigma$  gives

$$\prod_{\sigma \in S_n} (\tau\sigma) \cdot f = \prod_{\sigma' \in S_n} \sigma' \cdot f = \prod_{\sigma \in S_n} \sigma \cdot f = g$$

So, for all  $\tau \in S_n, \tau \cdot g = g$  : thus  $g$  is a symmetric polynomial.

Same proof for  $\tau \cdot \sum_{\sigma \in S_n} \sigma \cdot f = \sum_{\sigma \in S_n} \sigma \cdot f$  : use (2) in place of (3).

Conclusion :  $\prod_{\sigma \in S_n} \sigma \cdot f$  and  $\sum_{\sigma \in S_n} \sigma \cdot f$  are symmetric polynomials.  $\square$

**Ex. 2.2.8** In this exercise, you will prove that if  $\varphi \in F(x_1, \dots, x_n)$  is symmetric, then  $\varphi$  is a rational function in  $\sigma_1, \dots, \sigma_n$  with coefficients in  $F$ . To begin the proof, we know that  $\varphi = A/B$ , where  $A$  and  $B$  are in  $F[x_1, \dots, x_n]$ . Note that  $A$  and  $B$  need not be symmetric, only their quotient  $\varphi = A/B$  is. Let

$$C = \prod_{\sigma \in S_n \setminus \{e\}} \sigma \cdot B,$$

where we are using the notation of Exercise 7.

- (a) Use Exercise 7 to show that  $BC$  is a symmetric polynomial.
- (b) Then use the symmetry of  $\varphi = A/B$  to show that  $AC$  is a symmetric polynomial.
- (c) Use  $\varphi = (AC)/(BC)$  and theorem 2.2.2 to conclude that  $\varphi$  is a rational function in the elementary symmetric polynomials with coefficients in  $F$ .

*Proof.* Let  $\varphi = A/B \in F(x_1, \dots, x_n)$  a symmetric rational function :

$$\forall \sigma \in S_n, \sigma \cdot \varphi = \sigma \cdot A / \sigma \cdot B = \varphi = A/B.$$

- (a) Let

$$C = \prod_{\sigma \in S_n \setminus \{e\}} \sigma \cdot B.$$

Then

$$BC = \prod_{\sigma \in S_n} \sigma \cdot B.$$

By Exercise 2.2.7,  $BC$  is then a symmetric polynomial.

- (b) Note that the rules (2.31) for polynomials extend to rational functions. In particular, if  $\varphi = A/B, \psi = A_1/B_1 \in F(x_1, \dots, x_n)$ , and  $\sigma \in S_n$ ,

$$\sigma \cdot (\varphi\psi) = (\sigma \cdot \varphi) (\sigma \cdot \psi).$$

Indeed,

$$(\sigma \cdot \varphi) (\sigma \cdot \psi) = \frac{\sigma \cdot A}{\sigma \cdot B} \frac{\sigma \cdot A_1}{\sigma \cdot B_1} = \frac{\sigma \cdot (AA_1)}{\sigma \cdot (BB_1)} = \sigma \cdot (\varphi\psi).$$

Using this property, for all  $\sigma \in S_n$ , from  $AC = \varphi BC$ , we obtain

$$\sigma \cdot (AC) = (\sigma \cdot \varphi)(\sigma \cdot (BC)) = \varphi BC = AC$$

So  $AC$  is a symmetric polynomial.

- (c) So  $\varphi = \frac{AC}{BC}$  is the quotient of two symmetric polynomials, thus there exist  $h, k \in F[x_1, \dots, x_n]$  such that

$$\varphi = \frac{AC}{BC} = \frac{h(\sigma_1, \dots, \sigma_n)}{k(\sigma_1, \dots, \sigma_n)} = \left( \frac{h}{k} \right) (\sigma_1, \dots, \sigma_n).$$

$\varphi \in F(\sigma_1, \dots, \sigma_n)$  is a rational function in the elementary symmetric polynomials with coefficients in  $F$ .

□

**Ex. 2.2.9** In the Historical Notes, we gave Gauss's definition of lexicographic order.

- (a) Give a definition (in English) of lexicographic order.
- (b) In the proof of Theorem 2.2.2, we showed that grade lexicographic order has the property that there are only finitely many monomials less than a given monomial. In contrast this property fails for lexicographic order. Give an explicit example to illustrate this.
- (c) In spite of part (b), lexicographic order does have an interesting finiteness property. Namely, prove that there is no infinite sequence of polynomials  $f_1, f_2, f_3, \dots$  that have strictly decreasing terms according to lexicographic order.
- (d) Explain how part (c) allows one to prove Theorem 2.2.2 using lexicographic order.

*Proof.* (a) For the lexicographic order,  $x_1^{a_1} \cdots x_n^{a_n} < x_1^{b_1} \cdots x_n^{b_n}$  is equivalent by definition to

$$\exists j \in [1, n], (\forall i \in \mathbb{N}, 1 \leq i < j \Rightarrow a_i = b_i) \text{ and } a_j < b_j.$$

(The property  $(\forall i \in \mathbb{N}, 1 \leq i < j \Rightarrow a_i = b_i)$  is automatically verified for  $j = 1$ , since  $1 \leq i < j$  is false, so the implication is true.)

In informal terms :

$a_1 < b_1$  or  $(a_1 = b_1 \text{ and } a_2 < b_2)$  or  $(a_1 = b_1, a_2 = b_2 \text{ and } a_3 < b_3)$  or  $\dots$

In other words,  $x_1^{a_1} \cdots x_n^{a_n} < x_1^{b_1} \cdots x_n^{b_n}$  iff the first subscript  $i$  such that  $a_i \neq b_i$  exists and verifies  $a_i < b_i$ .

This relation  $\leq$  is a total order.

- (b) The monomial less than  $x_1 = x_1^1 x_2^0 \cdots x_n^0$  for the lexicographic order contain the monomial  $x_1^0 x_2^{a_2} \cdots x_n^{a_n}$ , where  $a_2, \dots, a_n$  are arbitrary integers in  $\mathbb{N} = \mathbb{Z}_{\geq 0}$ . There are infinitely many such monomials.
- (c) We show this property by induction on the numbers of variables  $x_i$ .

If there is a unique variable, say  $x_1$ , then a strictly decreasing sequence of monomial  $x_1^{n_0} > x_1^{n_1} > \cdots$ , with  $n_i \in \mathbb{N}$ , is such that  $n_0 > n_1 > \cdots$ : such a sequence is necessary finite. This is a property of the natural order in  $\mathbb{N}$ : every non empty subset of  $\mathbb{N}$  has a smallest element, so a strictly decreasing infinite sequence in  $\mathbb{N}$  doesn't exist.

Suppose that this property is true for  $n - 1$  variables, say  $x_2, \dots, x_n$ . Consider the sequence

$$x_1^{i_{1,1}} \cdots x_n^{i_{1,n}} > x_1^{i_{2,1}} \cdots x_n^{i_{2,n}} > \cdots > x_1^{i_{k,1}} \cdots x_n^{i_{k,n}} > \cdots$$

By the induction hypothesis, for each fixed exponent  $i_{k,1}$  of  $x_1$ , there exists only finitely monomial in this sequence with this exponent for  $x_1$ . As these exponents are at most  $i_{1,1}$ , the sequence is finite and the induction is done.

- (d) The beginning of the demonstration of Theorem 2.2.2 remains unchanged with the lexicographic order, et builds a sequence

$$f, f_1 = f - cg, f_2 = f - cg - c_1g_1, \dots$$

of polynomials whose leading terms constitute a strictly decreasing sequence for this order, until  $f_i = 0$ . By (c), this sequence is finite, so one polynomial  $f_i$  is zero, which completes the algorithm.  $\square$

**Ex. 2.2.10** Apply the proof of theorem 2.2.2 to express  $\sum_3 x_1^2 x_2$  in terms of  $\sigma_1, \sigma_2, \sigma_3$ .

*Proof.*

$$f = \sum_3 x_1^2 x_2 = x_1^2 x_2 + x_1^2 x_3 + x_1 x_2^2 + x_1 x_3^2 + x_2^2 x_3 + x_2 x_3^2$$

$x_1^2 x_2 = x_1^2 x_2 x_3^0$  is the leading term for the graded lexicographic order, so the following term in the sequence is  $g = f - \sigma_1^{2-1} \sigma_2^{1-0} \sigma_3^0 = f - \sigma_1 \sigma_2$ .

$$\begin{aligned} \sigma_1 \sigma_2 &= (x_1 + x_2 + x_3)(x_1 x_2 + x_1 x_3 + x_2 x_3) \\ &= x_1^2 x_2 + x_1^2 x_3 + x_1 x_2 x_3 + x_1 x_2^2 + x_2^2 x_3 + x_1 x_2 x_3 + x_1 x_3^2 + x_2 x_3^2 + x_1 x_2 x_3 \\ &= f + 3x_1 x_2 x_3, \end{aligned}$$

thus

$$f = \sum_3 x_1^2 x_2 = \sigma_1 \sigma_2 - 3\sigma_3. \quad \square$$

**Ex. 2.2.11** Let the roots of  $y^3 + 2y^2 - 3y + 5$  be  $\alpha, \beta, \gamma \in \mathbb{C}$ . Find polynomials with integers coefficients that have the following roots:

(a)  $\alpha\beta, \alpha\gamma$  and  $\beta\gamma$ .

(b)  $\alpha + 1, \beta + 1$ , and  $\gamma + 1$ .

(c)  $\alpha^2, \beta^2$ , and  $\gamma^2$ .

$$\begin{aligned} \text{(a)} \quad f &= y^3 + 2y^2 - 3y + 5 = (y - \alpha)(y - \beta)(y - \gamma) = y^3 - \sigma_1 y^2 + \sigma_2 y - \sigma_3 \\ \sigma_1 &= -2, \sigma_2 = -3, \sigma_3 = -5 \end{aligned}$$

$$\begin{aligned} g &= (y - \alpha\beta)(y - \alpha\gamma)(y - \beta\gamma) \\ &= y^3 - (\alpha\beta + \alpha\gamma + \beta\gamma)y^2 + (\alpha^2\beta\gamma + \alpha\beta^2\gamma + \alpha\beta\gamma^2)y + \alpha^2\beta^2\gamma^2 \\ &= y^3 - \sigma_2 y^2 + \sigma_3 \sigma_1 y + \sigma_3^2 \\ &= y^3 + 3y^2 + 10y + 25. \end{aligned}$$

$y^3 + 3y^2 + 10y + 25$  is the polynomial whose roots are  $\alpha\beta, \alpha\gamma, \beta\gamma$ .

(b)

$$\begin{aligned} g &= (y - \alpha - 1)(y - \beta - 1)(y - \gamma - 1) \\ &= f(y - 1) \\ &= (y - 1)^3 + 2(y - 1)^2 - 3(y - 1) + 5 \\ &= y^3 - 3y^2 + 3y - 1 + 2y^2 - 4y + 2 - 3y + 3 + 5 \\ &= y^3 - y^2 - 4y + 9. \end{aligned}$$

(c) Let  $h(y) = (y - \alpha^2)(y - \beta^2)(y - \gamma^2)$ . Then

$$\begin{aligned}
h(y^2) &= (y^2 - \alpha^2)(y^2 - \beta^2)(y^2 - \gamma^2) \\
&= (y - \alpha)(y - \beta)(y - \gamma)(y + \alpha)(y + \beta)(y + \gamma) \\
&= (y^3 + 2y^2 - 3y + 5)(y^3 - 2y^2 - 3y - 5) \\
&= (y^3 - 3y)^2 - (2y^2 + 5)^2 \\
&= y^6 - 6y^4 + 9y^2 - 4y^4 - 20y^2 - 25 \\
&= y^6 - 10y^4 - 11y^2 - 25.
\end{aligned}$$

Thus

$$h(y) = (y - \alpha^2)(y - \beta^2)(y - \gamma^2) = y^3 - 10y^2 - 11y - 25.$$

(In particular,  $\sigma_2(\alpha^2, \beta^2, \gamma^2) = -11$ , which we can verify directly :

$$\alpha^2\beta^2 + \alpha^2\gamma^2 + \beta^2\gamma^2 = \sigma_2^2 - 2\sigma_1\sigma_3 = 9 - 20 = -11.)$$

**Ex. 2.2.12** Consider the symmetric polynomial  $f = \sum_n x_1^{a_1} \cdots x_n^{a_n}$ .

(a) Prove that  $f$  has  $n!$  terms when  $a_1, \dots, a_n$  are distinct.

(b) (More challenging) Suppose that the exponents  $a_1, \dots, a_n$  break up into  $r$  disjoint groups so that exponent within the same group are equal, but exponents from different groups are unequal. Let  $l_i$  denote the number of elements in the  $i$ th group, so that  $l_1 + l_2 + \cdots + l_r = n$ . Prove that the number of terms in  $f$  is

$$\frac{n!}{l_1! \cdots l_r!}.$$

*Proof.* (a) Here we suppose that the exponents  $a_i$  are distinct

If  $\sigma, \tau \in S_n$  and  $\sigma \neq \tau$ , then  $x_{\sigma(1)}^{a_1} \cdots x_{\sigma(n)}^{a_n} \neq x_{\tau(1)}^{a_1} \cdots x_{\tau(n)}^{a_n}$ .

Then  $\sum_n x_1^{a_1} \cdots x_n^{a_n} = \sum_{\sigma \in S_n} x_{\sigma(1)}^{a_1} \cdots x_{\sigma(n)}^{a_n}$  has  $n! = |S_n|$  terms.

(b) Now we suppose that the exponents have same value on  $I_1 = [1, l_1]$  and on each interval  $I_k = [l_1 + \cdots + l_{k-1} + 1, l_1 + \cdots + l_k]$ , ( $k = 2, \dots, r$ ), with distinct constants on each interval.

The terms of  $\sum_n x_1^{a_1} \cdots x_n^{a_n}$  are the terms of the image of the application

$$\begin{aligned}
\varphi : S_n &\rightarrow F[x_1, \dots, x_n] \\
\sigma &\mapsto x_{\sigma(1)}^{a_1} \cdots x_{\sigma(n)}^{a_n} = \sigma \cdot (x_1^{a_1} \cdots x_n^{a_n})
\end{aligned}$$

This image is the orbit  $\mathcal{O}_t$  de  $t = x_1^{a_1} \cdots x_n^{a_n}$  for the group operation defined by  $(\sigma, f) \mapsto \sigma \cdot f$ .

As  $|\mathcal{O}_t| = |S_n|/|\text{Stab}_{S_n}(t)|$ , it is sufficient to compute the cardinality of this stabilizer  $S = \text{Stab}_{S_n}(t)$ , stabilizer in  $S_n$  of  $x_1^{a_1} \cdots x_n^{a_n}$  :

$$S = \{\sigma \in S_n \mid x_{\sigma(1)}^{a_1} \cdots x_{\sigma(n)}^{a_n} = x_1^{a_1} \cdots x_n^{a_n}\}$$

$\sigma \in S$  iff  $\sigma$  applies  $I_k$  on itself :

$$\sigma(I_k) = I_k, k = 1, \dots, r.$$

Let  $\psi$  the application

$$\psi : \begin{array}{ccc} S & \rightarrow & S(I_1) \times S(I_2) \times \cdots S(I_r) \\ \sigma & \mapsto & (\sigma_1, \sigma_2, \cdots, \sigma_r) \end{array}$$

where  $\sigma_k = \sigma|_{I_k}$  is the restriction of  $\sigma$  to  $I_k$ .

$\psi$  is bijective, so

$$|S| = l_1! l_2! \cdots l_r!.$$

So the number of terms in  $\Sigma_n x_1^{a_1} \cdots x_n^{a_n}$ , equal to the cardinality of the orbit of the monomial  $t$ , is equal to

$$|\mathcal{O}_t| = |S_n| / |\text{Stab}_{S_n}(x_1^{a_1} \cdots x_n^{a_n})| = \frac{n!}{l_1! l_2! \cdots l_r!}$$

□

**Ex. 2.2.13** Let  $g_1, g_2 \in F[x_1, \dots, x_n]$  be homogeneous of total degree  $d_1, d_2$ .

(a) Show that  $g_1 g_2$  is homogeneous of total degree  $d_1 + d_2$ .

(b) When is  $g_1 + g_2$  homogeneous ?

*Proof.* (a) Every term  $m$  of  $g_1 g_2$  is a product of term  $m_1$  de  $g_1$  and a term  $m_2$  of  $g_2$ .  
 $\deg(m) = \deg(m_1 m_2) = \deg(m_1) + \deg(m_2) = d_1 + d_2$ . So  $g_1 g_2$  is homogeneous of degree  $d_1 + d_2$ .

(b)  $g_1 + g_2$  is homogeneous iff  $d_1 = d_2$ .

□

**Ex. 2.2.14** We define the weight of  $\sigma_1^{a_1} \cdots \sigma_n^{a_n}$  to be  $a_1 + 2a_2 + \cdots + na_n$ .

(a) Prove that  $\sigma_1^{a_1} \cdots \sigma_n^{a_n}$  is homogeneous and that its weight is the same as its total degree when considere as a polynomial in  $x_1, \dots, x_n$ .

(b) Let  $f = F(x_1, \dots, x_n]$  be symmetric and homogeneous of total degree  $d$ . Show that  $f$  is a linear combination of products  $\sigma_1^{a_1} \cdots \sigma_n^{a_n}$  of weight  $d$ .

*Proof.* (a) By Ex. 2.2.13, each  $\sigma_k$  being homogeneous of degree  $k$ , the product  $\sigma_1^{a_1} \cdots \sigma_n^{a_n}$  is homogeneous. As  $\deg(\sigma_k) = k$ ,  $\deg(\sigma_1^{a_1} \cdots \sigma_n^{a_n}) = a_1 + 2a_2 + \cdots + na_n$  is equal to the weight of  $\sigma_1^{a_1} \cdots \sigma_n^{a_n}$ .

(b) Since  $f$  is symmetric,  $f$  is a linear combination of products  $\sigma_1^{a_1} \cdots \sigma_n^{a_n}$ . These products being homogeneous of degree  $a_1 + 2a_2 + \cdots + na_n$ , and  $f$  being homogeneous, by Ex 2.2.13(b), each term of this sum has degree  $d$ .

Conclusion :  $f$  is a linear combination of products  $\sigma_1^{a_1} \cdots \sigma_n^{a_n}$  of weight  $d$ .

□

**Ex. 2.2.15** Given a polynomial  $f \in F[x_1, \dots, x_n]$ , let  $\deg_i(f)$  be the maximal exponent of  $x_i$  which appears in  $f$ . Thus  $f = x_1^3 x_2 + x_1 x_2^4$  has degree  $\deg_1(f) = 3$  and  $\deg_2(f) = 4$ .

(a) If  $f$  is symmetric, explain why the  $\deg_i(f)$  are the same for  $i = 1, \dots, n$ .

(b) Show that  $\deg_i(\sigma_1^{a_1} \cdots \sigma_n^{a_n}) = a_1 + a_2 + \cdots + a_n$  for  $i = 1, \dots, n$ .

*Proof.* (a) If  $x_1$  appears in a term  $c x_1^{a_1} x_2^{a_2} \cdots x_n^{a_n}$  of  $f$ , then the transposition  $\tau = (1, 2)$  applied to  $f$  show that  $c x_1^{a_2} x_1^{a_1} \cdots x_n^{a_n}$  is a term of  $f$ , so  $x_2$  appears in a term of  $f$  with the same exponent. Thus the maximal exponent is the same for the two variables :

$$\deg_1(f) = \deg_2(f),$$

and the same is true for any pair of variables.

(b) As  $\sigma_k = \sum_{1 \leq i_1 < \cdots < i_k \leq n} x_{i_1} x_{i_2} \cdots x_{i_k}$ ,  $\deg_i(\sigma_k) = 1$ . For polynomial of one variable  $x$ ,  $\deg(pq) = \deg(p) + \deg(q)$ , and  $\deg_1(f)$  is the degree in  $x_1$  of  $f$  as an element of  $k[x_2, \dots, x_n][x_1]$ , so

$$\deg_i(fg) = \deg_i(f) + \deg_i(g).$$

Therefore  $\deg_i(\sigma_1^{a_1} \cdots \sigma_n^{a_n}) = a_1 \deg_i(\sigma_1) + \cdots + a_n \deg_i(\sigma_n) = a_1 + \cdots + a_n$ . □

**Ex. 2.2.16** This exercise is based on [7, pp. 110-112] and will express the discriminant  $\Delta = (x_1 - x_2)^2 (x_1 - x_3)^2 (x_2 - x_3)^2$  in terms of the elementary symmetric functions without using a computer. We will use the terminology of Exercises 14 and 15. Note that  $\Delta$  is homogeneous of total degree 6 and  $\deg_i(\Delta) = 4$  for  $i = 1, 2, 3$ .

(a) Find all products  $\sigma_1^{a_1} \sigma_2^{a_2} \sigma_3^{a_3}$  of weight 6 and  $\deg_i(\sigma_1^{a_1} \sigma_2^{a_2} \sigma_3^{a_3}) \leq 4$ .

(b) Explain how part (a) implies that there are constants  $l_1, \dots, l_5$  such that

$$\Delta = l_1 \sigma_3^2 + l_2 \sigma_1 \sigma_2 \sigma_3 + l_3 \sigma_1^3 \sigma_3 + l_4 \sigma_2^3 + l_5 \sigma_1^2 \sigma_2^2.$$

(c) We will compute the  $l_i$  by using the universal property of the elementary symmetric polynomial. For example, to determine  $l_1$ , use the cube roots of unity  $1, \omega, \omega^2$  to show that  $x^3 - 1$  has coefficient  $-27$ . By applying the ring homomorphism defined by  $x_1 \mapsto 1, x_2 \mapsto \omega, x_3 \mapsto \omega^2$  to part (b), conclude that  $l_1 = -27$ .

(d) Show that  $x^3 - x$  has roots  $0, \pm 1$  and discriminant 4. By adapting the argument of part (c), conclude that  $l_4 = -4$ .

(e) Similarly, use  $x^3 - 2x^2 + x$  to show that  $l_4 = 1$ .

(f) Next, note that  $x^3 - 2x^2 - x + 2$  has roots  $\pm 1, 2$  and use this (together with the known values of  $l_1, l_4, l_5$ ) to conclude that  $l_5 = 1$ .

(g) Finally use  $x^3 - 3x^2 + 3x - 1$  to show  $l_2 + 3l_3 = 6$ . Using part (f), this implies  $l_2 = 18, l_3 = -4$  and gives the usual formula for  $\Delta$ .

*Proof.* (a) By Ex. 14, 15, to find all products  $\sigma_1^{a_1} \sigma_2^{a_2} \sigma_3^{a_3}$  of weight 6 verifying  $\deg_i(\sigma_1^{a_1} \sigma_2^{a_2} \sigma_3^{a_3}) \leq 4$ , it suffices to solve the system of equations

$$\begin{cases} a_1 + 2a_2 + 3a_3 &= 6 \\ a_1 + a_2 + a_3 &\leq 4 \end{cases}$$

The solutions of the first equation are

$$(0, 0, 2), (1, 1, 1), (3, 0, 1), (0, 3, 0), (2, 2, 0), (4, 1, 0), (6, 0, 0).$$

Only the two last solutions don't verify the second condition. So the solutions of the system are

$$(0, 0, 2), (1, 1, 1), (3, 0, 1), (0, 3, 0), (2, 2, 0),$$

which correspond to the symmetric polynomials

$$\sigma_3^2, \sigma_1\sigma_2\sigma_3, \sigma_1^3\sigma_3, \sigma_2^3, \sigma_1^2\sigma_2^2$$

- (b) As  $\Delta$  is homogeneous of total degree  $\deg(\Delta) = 6$  and as  $\deg_i(\Delta) = 4$ ,  $i = 1, 2, 3$ , by Ex. 14,15,  $\Delta$  is a linear combination of products  $\sigma_1^{a_1}\sigma_2^{a_2}\sigma_3^{a_3}$  of weight 6.

Moreover, the relative degree to the  $i$ -th variable of each of these products is at most 4 : if  $f$  has the form

$$\begin{aligned} f &= f_1 + c\sigma_1^4\sigma_2 + d\sigma_1^6 \\ &= f_1 + c(x_1 + x_2 + x_3)^4(x_1x_2 + x_1x_3 + x_2x_3) + b(x_1 + x_2 + x_3)^6, \end{aligned}$$

where  $\deg_i(f_1) \leq 4$ , then the comparison of degree of  $x_1^6$  gives  $d = 0$ , and the term in  $x_1^5$  gives  $c = 0$ .

So there exists coefficients  $l_i \in \mathbb{Z}$  such that

$$\Delta = l_1\sigma_3^2 + l_2\sigma_1\sigma_2\sigma_3 + l_3\sigma_1^3\sigma_3 + l_4\sigma_2^3 + l_5\sigma_1^2\sigma_2^2.$$

- (c) The discriminant of  $x^3 - 1$  is equal to

$$\Delta(1, \omega, \omega^2) = (1 - \omega)^2(1 - \omega^2)^2(\omega - \omega^2)^2$$

$$\begin{aligned} \sqrt{\Delta} &= (1 - \omega)(1 - \omega^2)(\omega - \omega^2) \\ &= - \begin{vmatrix} 1 & 1 & 1 \\ 1 & \omega & \omega^2 \\ 1 & \omega^2 & \omega \end{vmatrix} \\ &= -(3\omega^2 - 3\omega) = 3(\omega - \omega^2) \\ &= 3i\sqrt{3}. \end{aligned}$$

Therefore

$$\Delta(1, \omega, \omega^2) = -27.$$

The ring homomorphism defined by  $x_1 \mapsto 1, x_2 \mapsto \omega, x_3 \mapsto \omega^2$  sends  $\Delta$  on  $\Delta(1, \omega, \omega^2)$  and  $\sigma_k$  on  $\sigma_k(1, \omega, \omega^2)$ . As

$$\sigma_1(1, \omega, \omega^2) = \sigma_2(1, \omega, \omega^2) = 0, \sigma_3(1, \omega, \omega^2) = 1,$$

$$l_1 = \Delta(1, \omega, \omega^2) = -27.$$



(d)  $x^3 - x = x(x-1)(x+1)$  has roots  $0, 1, -1$ .

$\Delta(0, 1, -1) = (0-1)^2(0+1)^2(1+1)^2 = 4$  and  $\sigma_1 = 0, \sigma_2 = -1, \sigma_3 = 0$ , so  $l_4\sigma_2^3 = -l_4 = 4$ .

$$l_4 = -4.$$

(e)  $x^3 - 2x^2 + x = x(x-1)^2$  has a discriminant equal to 0, and  $\sigma_1 = 2, \sigma_2 = 1, \sigma_3 = 0$ , so  $l_4 + 4l_5 = 0$ , with  $l_4 = -4$ .

$$l_5 = 1.$$

(f)  $x^3 - 2x^2 - x + 2 = x^2(x-2) - (x-2) = (x^2-1)(x-2)$  has roots  $1, -1, 2$ . Its discriminant is  $\Delta = 2^2 1^2 3^2 = 36$ , with  $\sigma_1 = 2, \sigma_2 = -1, \sigma_3 = -2$ .

Thus

$$\begin{aligned} 36 &= l_1\sigma_3^2 + l_2\sigma_1\sigma_2\sigma_3 + l_3\sigma_1^3\sigma_3 + l_4\sigma_2^3 + l_5\sigma_1^2\sigma_2^2 \\ &= 4l_1 + 4l_2 - 16l_3 - l_4 + 4l_5 \\ &= -4 \times 27 + 4l_2 - 16l_3 + 4 + 4 \end{aligned}$$

With a division by 4,  $l_2 - 4l_3 = \frac{36+4 \times 27-8}{4} = 9 + 27 - 2 = 34$ .

$$l_2 - 4l_3 = 34.$$

(g)  $x^3 - 3x^2 + 3x - 1 = (x-1)^3$  has a discriminant equal to 0, with  $\sigma_1 = 3, \sigma_2 = 3, \sigma_3 = 1$ .

$$\begin{aligned} 0 &= l_1 + 9l_2 + 27l_3 + 27l_4 + 81l_5 \\ &= -27 + 9l_2 + 27l_3 - 27 \times 4 + 81 \end{aligned}$$

With a division by 9,  $l_2 + 3l_3 = 3 + 12 - 9 = 6$ .  $l_2, l_3$  are solutions of the system of equations

$$\begin{cases} l_2 - 4l_3 &= 34 \\ l_2 + 3l_3 &= 6 \end{cases}$$

Thus  $l_2 = 18, l_3 = -4$ , and

$$\Delta = -27\sigma_3^2 + 18\sigma_1\sigma_2\sigma_3 - 4\sigma_1^3\sigma_3 - 4\sigma_2^3 + \sigma_1^2\sigma_2^2.$$

□

**Ex. 2.2.17** Use the Newton identities (2.22) to express the power sum  $s_2, s_3, s_4$  in terms of the elementary symmetric polynomials  $\sigma_1, \sigma_2, \sigma_3, \sigma_4$ .

*Proof.*  $s_r = x_1^r + x_2^r + \cdots + x_n^r$ .

We suppose here that the number  $n$  of variables is at least 4. Then

$$s_r = \sigma_1 s_{r-1} - \sigma_2 s_{r-2} + \cdots + (-1)^r \sigma_{r-1} s_1 + (-1)^{r-1} r \sigma_r.$$

$$s_1 = \sigma_1$$

$$\begin{aligned} s_2 &= \sigma_1 s_1 - 2\sigma_2 \\ &= \sigma_1^2 - 2\sigma_2 \end{aligned}$$

$$\begin{aligned} s_3 &= \sigma_1 s_2 - \sigma_2 s_1 + 3\sigma_3 \\ &= \sigma_1(\sigma_1^2 - 2\sigma_2) - \sigma_2 \sigma_1 + 3\sigma_3 \\ &= \sigma_1^3 - 3\sigma_1 \sigma_2 + 3\sigma_3 \end{aligned}$$

$$\begin{aligned} s_4 &= \sigma_1 s_3 - \sigma_2 s_2 + \sigma_3 s_1 - 4\sigma_4 \\ &= \sigma_1(\sigma_1^3 - 3\sigma_1 \sigma_2 + 3\sigma_3) - \sigma_2(\sigma_1^2 - 2\sigma_2) + \sigma_3 \sigma_1 - 4\sigma_4 \\ &= \sigma_1^4 - 4\sigma_1^2 \sigma_2 + 4\sigma_1 \sigma_3 + 2\sigma_2^2 - 4\sigma_4 \end{aligned}$$

Verification with Sage :

```
e = SymmetricFunctions(QQ).e()
e1, e2, e3, e4 = e([1]).expand(4), e([2]).expand(4), e([3]).expand(4), e([4]).expand(4)
R.<x0,x1,x2,x3,y1,y2,y3,y4> = PolynomialRing(QQ, order = 'lex')
J = R.ideal(e1-y1, e2-y2, e3-y3, e4-y4)
G = J.groebner_basis()
s2 = x0^2 + x1^2 + x2^2 + x3^2
s3 = x0^3 + x1^3 + x2^3 + x3^3
s4 = x0^4 + x1^4 + x2^4 + x3^4
g2, g3, g4 = s2.reduce(G), s3.reduce(G), s4.reduce(G)
var('sigma_1,sigma_2,sigma_3,sigma_4')
h2 = g2.subs(y1=sigma_1, y2=sigma_2, y3=sigma_3, y4=sigma_4)
h3 = g3.subs(y1=sigma_1, y2=sigma_2, y3=sigma_3, y4=sigma_4)
h4 = g4.subs(y1=sigma_1, y2=sigma_2, y3=sigma_3, y4=sigma_4)
h2, h3, h4
```

$$(\sigma_1^2 - 2\sigma_2, \sigma_1^3 - 3\sigma_1 \sigma_2 + 3\sigma_3, \sigma_1^4 - 4\sigma_1^2 \sigma_2 + 2\sigma_2^2 + 4\sigma_1 \sigma_3 - 4\sigma_4)$$

□

**Ex. 2.2.18** Suppose that complex numbers  $\alpha, \beta, \gamma$  satisfy the equations

$$\begin{aligned} \alpha + \beta + \gamma &= 3, \\ \alpha^2 + \beta^2 + \gamma^2 &= 5, \\ \alpha^3 + \beta^3 + \gamma^3 &= 12 \end{aligned}$$

Show that  $\alpha^n + \beta^n + \gamma^n \in \mathbb{Z}$  for all  $n \geq 4$ . Also compute  $\alpha^4 + \beta^4 + \gamma^4$ .

*Proof.*  $\alpha, \beta, \gamma$  are the root of

$$p = (x - \alpha)(x - \beta)(x - \gamma) = x^3 - \sigma_1 x^2 + \sigma_2 x - \sigma_3.$$

(We write  $\sigma_i$  in place of  $\sigma_i(\alpha, \beta, \gamma)$ .)

By Exercice 17, with  $n = 3$  :

$$\begin{cases} 3 &= s_1 = \sigma_1 \\ 5 &= s_2 = \sigma_1^2 - 2\sigma_2 \\ 12 &= s_3 = \sigma_1^3 - 3\sigma_1\sigma_2 + 3\sigma_3. \end{cases}$$

Thus  $\sigma_1 = 3, \sigma_2 = \frac{1}{2}(\sigma_1^2 - 5) = \frac{1}{2}(9 - 5) = 2$ .

$$\sigma_3 = \frac{1}{3}(12 - \sigma_1^3 + 3\sigma_1\sigma_2) = 4 + \sigma_1\sigma_2 - \frac{\sigma_1^3}{3} = 4 + 6 - 9 = 1.$$

$\alpha, \beta, \gamma$  are the roots of  $p = x^3 - 3x^2 + 2x - 1$ .

If  $n \geq 4$ ,  $\alpha^n = 3\alpha^{n-1} - 2\alpha^{n-2} + 1$ , and similar equations for  $\beta, \gamma$ . Summing these equations, we obtain

$$s_n = 3s_{n-1} - 2s_{n-2} + 3. \quad (5)$$

$s_0 = 3, s_1, s_2, s_3$  are in  $\mathbb{Z}$ . If we suppose that  $s_k \in \mathbb{Z}$  for all  $k, 1 \leq k < n$ , then (??) show that  $s_n \in \mathbb{Z}$ , and the induction is done.

$$\forall k \in \mathbb{N}, s_n \in \mathbb{Z}.$$

In particular,  $s_4 = 3s_3 - 2s_2 + 3 = 3 \times 12 - 2 \times 5 + 3 = 29$ . □

**Ex. 2.2.19** Suppose that  $F$  is a field of characteristic 0.

- (a) Use the Newton identities (2.22) and Theorem 2.2.2 to prove that every symmetric polynomial in  $F[x_1, \dots, x_n]$  can be expressed as a polynomial in  $s_1, \dots, s_n$ .
- (b) Show how to express  $\sigma_4 \in F[x_1, x_2, x_3, x_4]$  as a polynomial in  $s_1, s_2, s_3, s_4$ .

*Proof.* For all  $r, 1 \leq r \leq n$ ,

$$s_r = \sigma_1 s_{r-1} - \sigma_2 s_{r-2} + \dots + (-1)^r \sigma_{r-1} s_1 + (-1)^{r-1} r \sigma_r.$$

$$\sigma_1 = s_1.$$

If we suppose that  $\sigma_1, \sigma_2, \dots, \sigma_{r-1}$  are polynomials in  $s_1, s_2, \dots, s_n$ , the characteristic of the field  $F$  being 0, then

$$\sigma_r = \frac{(-1)^{r-1}}{r} (s_r - \sigma_1 s_{r-1} + \sigma_2 s_{r-2} + \dots + (-1)^{r-1} \sigma_{r-1} s_1)$$
 is a polynomial in  $s_1, \dots, s_n$ .

Conclusion : for all  $r, 1 \leq r \leq n$ ,  $\sigma_r$  can be expressed as a polynomial in  $s_1, \dots, s_n$ .

By Ex. 2.2.17, we obtain

$$\sigma_1 = s_1$$

$$\begin{aligned}\sigma_2 &= -\frac{1}{2}(s_2 - \sigma_1 s_1) \\ &= \frac{1}{2}(s_1^2 - s_2)\end{aligned}$$

$$\begin{aligned}\sigma_3 &= \frac{1}{3}(s_3 - \sigma_1 s_2 + \sigma_2 s_1) \\ &= \frac{1}{3}\left[s_3 - s_1 s_2 + \frac{1}{2}s_1(s_1^2 - s_2)\right] \\ &= \frac{1}{6}(2s_3 + s_1^3 - 3s_1 s_2)\end{aligned}$$

$$\begin{aligned}\sigma_4 &= -\frac{1}{4}(s_4 - \sigma_1 s_3 + \sigma_2 s_2 - \sigma_3 s_1) \\ &= -\frac{1}{4}\left[s_4 - s_1 s_3 + \frac{1}{2}s_2(s_1^2 - s_2) - \frac{s_1}{6}(2s_3 - 3s_1 s_2 + s_1^3)\right] \\ &= -\frac{1}{24}[6s_4 - 6s_1 s_3 + 3s_2(s_1^2 - s_2) - s_1(2s_3 - 3s_1 s_2 + s_1^3)] \\ &= \frac{1}{24}(-6s_4 + 8s_1 s_3 - 6s_1^2 s_2 + 3s_2^2 + s_1^4)\end{aligned}$$

□

**Ex. 2.2.20** Let  $\mathbb{F}_2$  be the field with two elements. Show that in  $\mathbb{F}_2[x_1, \dots, x_n]$ , it is impossible to express  $\sigma_2$  as a polynomial in  $s_1, \dots, s_n$  when  $n \geq 2$ .

*Proof.* Suppose that  $\sigma_2 = f(s_1, s_2, \dots, s_n)$ , where  $f$  is a polynomial with coefficients in  $\mathbb{F}_2$ . If we use the evaluation defined by  $x_1 = x_2 = \dots = x_n = 0$ , we obtain  $0 = f(0, \dots, 0)$ .

With the evaluation defined by  $x_1 = x_2 = 1$  et  $x_i = 0, i > 2$ , as  $\sigma_2 = \sum_{i < j} x_i x_j$ , then  $\sigma_2(1, 1, 0, \dots, 0) = 1 \times 1 = 1$  and  $s_k(1, 1, 0, \dots, 0) = 1^k + 1^k = 1 + 1 = 0$ , so  $1 = f(0, \dots, 0)$ . As  $1 \neq 0$  in  $\mathbb{F}_2$ , this is a contradiction. So it is impossible to express  $\sigma_2$  as a polynomial in  $s_1, \dots, s_n$  when  $n \geq 2$ . □

## 2.3 COMPUTING WITH SYMMETRIC POLYNOMIALS

**Ex. 2.3.1** Examples 2.3.1 and 2.3.2 showed that the roots of  $y^3 + 41y^2 + 138y + 125$  are the cubes of the roots of  $y^3 + 2y^2 - 3y + 5$ . Verify this numerically.

*Proof.* We repeat Examples 2.3.1 and 2.3.2 with Sage :

• We build the Groebner basis of the ideal  $\langle e_1 - y_1, e_2 - y_2, e_3 - y_3 \rangle$ , where  $e_1, e_2, e_3$  are the elementary symmetric polynomials in  $x_0, x_1, x_2$  :

```
e = SymmetricFunctions(QQ).e()
e1, e2, e3 = e([1]).expand(3), e([2]).expand(3), e([3]).expand(3)
R.<x0,x1,x2,y1,y2,y3> = PolynomialRing(QQ, order = 'degrevlex')
```

```
J = R.ideal(e1-y1, e2-y2, e3-y3)
G = J.groebner_basis()
```

• We compute the coefficients of  $f = (x - x_0^3)(x - x_1^3)(x - x_2^3)$  as polynomials in  $x_1, x_2, x_3$  :

```
f = (x-x0^3) * (x-x1^3) * (x-x2^3)
coeffs = f.coefficients(x, sparse = False)
coeffs = map(lambda c : R(c), coeffs)
coeffs
```

$$[-x_0^3 x_1^3 x_2^3, x_0^3 x_1^3 + x_0^3 x_2^3 + x_1^3 x_2^3, -x_0^3 - x_1^3 - x_2^3, 1]$$

• The same coefficients as polynomials in  $\sigma_1, \sigma_2, \sigma_3$  :

```
var('sigma_1,sigma_2,sigma_3')
ncoeffs = [c.reduce(G) for c in coeffs]
nncoeffs = [c.subs(y1 = sigma_1, y2 = sigma_2, y3 = sigma_3) for c in ncoeffs]
nncoeffs
```

$$[-\sigma_3^3, \sigma_2^3 - 3\sigma_1\sigma_2\sigma_3 + 3\sigma_3^2, -\sigma_1^3 + 3\sigma_1\sigma_2 - 3\sigma_3, 1]$$

• We apply the substitution  $\sigma_1 \mapsto -2, \sigma_2 \mapsto -3, \sigma_3 \mapsto -5$  and compute the polynomial  $p$  whose roots are  $\alpha_1^3, \alpha_2^3, \alpha_3^3$ , where  $\alpha_1, \alpha_2, \alpha_3$  are the roots of  $y^3 + 2y^2 - 3y + 5$ .

```
nncoeffs = [c.subs(sigma_1 = -2, sigma_2 = -3, sigma_3 = -5) for c in nncoeffs]
p = sum(nncoeffs[i]*y^i for i in range(1+f.degree(x)))
p
```

$$y^3 + 41y^2 + 138y + 125$$

• Numerical verification :

```
S.<y> = PolynomialRing(ComplexField(prec = 40))
[c[0] for c in S(p).roots()]

[-37.399476110, -1.8002619448 - 0.31835473525 i, -1.8002619448 + 0.31835473525 i]

q = y^3+2*y^2-3*y+5
l = [c[0]^3 for c in q.roots()]
l

[-37.399476110, -1.8002619448 - 0.31835473525 i, -1.8002619448 + 0.31835473525 i]
```

□

**Ex. 2.3.1** Use the method of Example 2.3.1 or 2.3.2 to find the cubic polynomial whose roots are the fourth powers of the roots of the polynomial  $y^3 + 2y^2 - 3y + 5$ .

*Proof.* Same method in Sage as in Ex.2.3.1

```

e = SymmetricFunctions(QQ).e()
e1, e2, e3 = e([1]).expand(3), e([2]).expand(3), e([3]).expand(3)
R.<x0,x1,x2,y1,y2,y3> = PolynomialRing(QQ, order = 'degrevlex')
J = R.ideal(e1-y1, e2-y2, e3-y3)
G = J.groebner_basis()
f = (x-x0^4) * (x-x1^4) * (x-x2^4)
coeffs = f.coefficients(x, sparse = False)
coeffs = map(lambda c : R(c), coeffs)
coeffs

[-x0^4x1^4x2^4, x0^4x1^4 + x0^4x2^4 + x1^4x2^4, -x0^4 - x1^4 - x2^4, 1]
var('sigma_1,sigma_2,sigma_3,y')
ncoeffs = [c.reduce(G) for c in coeffs]
nncoeffs = [c.subs(y1 = sigma_1, y2 = sigma_2, y3 = sigma_3) for c in ncoeffs]
nncoeffs

[-x0^4x1^4x2^4, x0^4x1^4 + x0^4x2^4 + x1^4x2^4, -x0^4 - x1^4 - x2^4, 1]
nnncoeffs = [c.subs(sigma_1 = -2, sigma_2 = -3, sigma_3 = -5) for c in nncoeffs]
p = sum(nnncoeffs[i]*y^i for i in range(1+f.degree(x)))
p

```

$$y^3 - 122y^2 - 379y - 625$$

So the cubic polynomial whose roots are the fourth powers of the roots of the polynomial  $y^3 + 2y^2 - 3y + 5$  is

$$y^3 - 122y^2 - 379y - 625.$$

□

**Ex. 2.3.4** Given a cubic  $x^3 + bx^2 + cx + d$ , what condition must  $b, c, d$  satisfy in order that one root be the average of the other two ?

*Proof.* • Suppose that the polynomial  $f = x^3 + bx^2 + cx + d = (x - x_1)(x - x_2)(x - x_3)$  has one root be the average of the other two. We choose a numbering of the roots such that

$$x_3 = \frac{x_1 + x_2}{2}.$$

Then

$$\begin{aligned}
-b = \sigma_1 &= x_1 + x_2 + \left(\frac{x_1 + x_2}{2}\right) \\
&= \frac{3}{2}(x_1 + x_2) \\
c = \sigma_2 &= x_1x_2 + x_2x_3 + x_1x_3 \\
&= x_1x_2 + \left(\frac{x_1 + x_2}{2}\right)(x_1 + x_2) \\
&= x_1x_2 + \frac{1}{2}(x_1 + x_2)^2 \\
-d = \sigma_3 &= x_1x_2 \left(\frac{x_1 + x_2}{2}\right) \\
&= \frac{1}{2}(x_1 + x_2)x_1x_2
\end{aligned}$$

Let  $s = x_1 + x_2, p = x_1 x_2$ . The preceding equations give

$$b = -\frac{3}{2}s \quad (6)$$

$$c = p + \frac{1}{2}s^2 \quad (7)$$

$$d = -\frac{1}{2}sp \quad (8)$$

We eliminate  $s, p$  from these equations :

$$\begin{aligned} s &= -\frac{2}{3}b \\ p &= c - \frac{1}{2} \left( -\frac{2}{3}b \right)^2 \\ &= c - \frac{2}{9}b^2 \\ d &= -\frac{1}{2} \left( -\frac{2}{3}b + \frac{4}{27}b^3 \right) \\ &= \frac{1}{3}bc - \frac{2}{27}b^3 \end{aligned}$$

So the coefficients  $b, c, d$  verify

$$2b^3 - 9bc + 27d = 0.$$

• Reciprocally, suppose that  $b, c, d$  verify

$$2b^3 - 9bc + 27d = 0. \quad (9)$$

Let  $s = -\frac{2}{3}b, p = c - \frac{2}{9}b^2$ . Then  $b = -\frac{3}{2}s, c = p + \frac{2}{9}b^2 = p + \frac{1}{2}(\frac{2}{3}b)^2 = p + \frac{1}{2}s^2$  : (6) et (7) sont vérifiés.

By the equation (??),

$$\begin{aligned} d &= \frac{1}{3}bc - \frac{2}{27}b^3 \\ &= -\frac{1}{2} \left( -\frac{2}{3}b \right) \left( c - \frac{2}{9}b^2 \right) \\ &= -\frac{1}{2}sp. \end{aligned}$$

So  $s, p$  verify the system (??)(??)(??) :

$$\begin{aligned} b &= -\frac{3}{2}s \\ c &= p + \frac{1}{2}s^2 \\ d &= -\frac{1}{2}sp \end{aligned}$$

Let  $x_1, x_2$  the complex roots of  $x^2 - sx + p$ . Then  $x_1 + x_2 = s, x_1x_2 = p$ . Let  $x_3 = \frac{x_1+x_2}{2} = \frac{1}{2}s$ . Alors

$$\begin{aligned}\sigma_1 &= x_1 + x_2 + x_3 \\ &= \frac{3}{2}s \\ &= -b \\ \sigma_2 &= x_1x_2 + x_2x_3 + x_1x_3 \\ &= x_1x_2 + \left(\frac{x_1+x_2}{2}\right)(x_1+x_2) \\ &= x_1x_2 + \frac{1}{2}(x_1+x_2)^2 \\ &= p + \frac{1}{2}s^2 \\ &= c \\ \sigma_3 &= x_1x_2x_3 \\ &= \frac{1}{2}sp \\ &= -d\end{aligned}$$

Thus  $x_1, x_2, x_3$  are the roots of  $(x - x_1)(x - x_2)(x - x_3) = x^3 - \sigma_1x^2 + \sigma_2x - \sigma_3 = x^3 + bx^2 + cx + d$ , and  $x_3 = \frac{x_1+x_2}{2}$ .

Conclusion : one of the roots of  $x^3 + bx^2 + cx + d$  the average of the other two iff  $2b^3 - 9bc + 27d = 0$ .  $\square$

**Ex. 2.3.5** Given a quartic  $x^4 + bx^3 + cx^2 + dx + e$ , what condition must  $b, c, d, e$  satisfy in order that one root be the negative of another ?

*Proof.* The polynomial

$$f = x^4 + bx^3 + cx^2 + dx + e = (x - \alpha_1)(x - \alpha_2)(x - \alpha_3)(x - \alpha_4)$$

has two opposite roots iff

$$(\alpha_1 + \alpha_2)(\alpha_1 + \alpha_3)(\alpha_1 + \alpha_4)(\alpha_2 + \alpha_3)(\alpha_2 + \alpha_4)(\alpha_3 + \alpha_4) = 0$$

Let

$$u = (x_1 + x_2)(x_1 + x_3)(x_1 + x_4)(x_2 + x_3)(x_2 + x_4)(x_3 + x_4).$$

$u$  is symmetric, so is a polynomial in  $\sigma_1, \sigma_2, \sigma_3, \sigma_4$ .

We obtain this polynomial with the following Sage instructions

```
e = SymmetricFunctions(QQ).e()
e1,e2,e3,e4 = e([1]).expand(4),e([2]).expand(4),e([3]).expand(4),e([4]).expand(4)
R.<x0,x1,x2,x3,y1,y2,y3,y4> = PolynomialRing(QQ, order = 'lex')
J = R.ideal(e1-y1,e2-y2,e3-y3,e4-y4)
G = J.groebner_basis()
u = (x0+x1)*(x0+x2)*(x0+x3)*(x1+x2)*(x1+x3)*(x2+x3)
var('sigma_1,sigma_2,sigma_3,sigma_4')
u.reduce(G).subs(y1=sigma_1, y2 = sigma_2,y3=sigma_3,y4=sigma_4)
```



$$\sigma_1\sigma_2\sigma_3 - \sigma_1^2\sigma_4 - \sigma_3^2$$

So

$$u = \sigma_1\sigma_2\sigma_3 - \sigma_1^2\sigma_4 - \sigma_3^2.$$

The evaluation ring homomorphism defined by  $x_i \mapsto \alpha_i, i = 1, 2, 3, 4$  verifies

$$\sigma_1 \mapsto -b, \sigma_2 \mapsto c, \sigma_3 \mapsto -d, \sigma_4 \mapsto e.$$

So  $(\alpha_1 + \alpha_2)(\alpha_1 + \alpha_3)(\alpha_1 + \alpha_4)(\alpha_2 + \alpha_3)(\alpha_2 + \alpha_4)(\alpha_3 + \alpha_4) = bcd - b^2e - d^2$ .

Conclusion :  $f = x^4 + bx^3 + cx^2 + dx + e$  is such that one root is the negative of another iff  $bcd - b^2e - d^2 = 0$ .  $\square$

**Ex. 2.3.6** Find the quartic polynomial whose roots are obtained by adding 1 to each of the roots of  $x^4 + 3x^2 + 4x + 7$ .

*Proof.* Let  $f = x^4 + 3x^2 + 4x + 7 = (x - x_1)(x - x_2)(x - x_3)(x - x_4)$ .

The polynomial whose roots are  $1 + x_1, 1 + x_2, 1 + x_3, 1 + x_4$  is

$$\begin{aligned} g &= (x - 1 - x_1)(x - 1 - x_2)(x - 1 - x_3)(x - 1 - x_4) \\ &= f(x - 1) \\ &= (x - 1)^4 + 3(x - 1)^2 + 4(x - 1) + 7 \\ &= x^4 - 4x^3 + 6x^2 - 4x + 1 + 3x^2 - 6x + 3 + 4x - 4 + 7 \\ &= x^4 - 4x^3 + 9x^2 - 6x + 7 \end{aligned}$$

If  $x_1, x_2, x_3, x_4$  are the roots of  $f$ , then  $x_1 + 1, x_2 + 1, x_3 + 1, x_4 + 1$  are the roots of

$$g = x^4 - 4x^3 + 9x^2 - 6x + 7.$$

$\square$

## 2.4 THE DISCRIMINANT

**Ex. 2.4.1** Let  $M$  be the  $n \times n$  matrix appearing on the right-hand side of the Vandermonde formula given in Proposition 2.4.5. Prove that (2.32) follows from the fact that  $M$  and its transpose both have determinant  $\sqrt{\Delta}$ .

*Proof.* Let  $a_1, a_2, \dots, a_n$  be elements of a field  $F$ , and

$$A_n = \begin{pmatrix} 1 & 1 & \cdots & 1 \\ a_1 & a_2 & \cdots & a_n \\ a_1^2 & a_2^2 & \cdots & a_n^2 \\ \vdots & \vdots & \ddots & \vdots \\ a_1^{n-1} & a_2^{n-1} & \cdots & a_n^{n-1} \end{pmatrix}$$

We show by induction on  $n, n \geq 2$  that

$$\det(A_n) = \prod_{1 \leq i < j \leq n} (a_j - a_i).$$

$$\det(A_2) = \begin{vmatrix} 1 & 1 \\ a_1 & a_2 \end{vmatrix} = a_2 - a_1 = \prod_{1 \leq i < j \leq 2} (a_j - a_i).$$

Suppose that this formula is true for the integer  $n - 1, n \geq 3$ . We will show that it is true for the integer  $n$ .

If there exists a pair  $(i, j), i \neq j$  such that  $a_i = a_j$ , then two columns of  $A_n$  are identical, so  $\det(A_n) = 0 = \prod_{1 \leq i < j \leq n} (a_j - a_i)$ .

We can so suppose that the  $a_i, 1 \leq i \leq n$  are distinct.

Let the polynomial  $P \in F[X]$  given by

$$P = \begin{pmatrix} 1 & 1 & \cdots & 1 & 1 \\ a_1 & a_2 & \cdots & a_{n-1} & X \\ a_1^2 & a_2^2 & \cdots & a_{n-1}^2 & X^2 \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ a_1^{n-1} & a_2^{n-1} & \cdots & a_{n-1}^{n-1} & X^{n-1} \end{pmatrix}$$

Then  $\det(A_n) = P(a_n)$ , and  $P(a_1) = P(a_2) = \cdots = P(a_{n-1}) = 0$ . As  $a_1, a_2, \dots, a_{n-1}$  are distinct roots of  $P$ , with  $\deg(P) = n - 1$ ,  $P$  is factored as

$$P = k(X - a_1) \cdots (X - a_{n-1}), k \in F,$$

where  $k$  is the coefficient of  $X^{n-1}$  in  $P$ , so  $k$  is the cofactor of  $X^{n-1}$  in  $\det(P)$ : so

$$k = \det(A_{n-1}) = \prod_{1 \leq i < j \leq n-1} (a_j - a_i)$$

by the induction hypothesis.

Therefore

$$\det(A_n) = P(a_n) = \prod_{1 \leq i < j \leq n-1} (a_j - a_i) \prod_{i=1}^n (a_n - a_i) = \prod_{1 \leq i < j \leq n} (a_j - a_i),$$

which completes the induction.

The matrix

$$B_n = \begin{pmatrix} a_1^{n-1} & a_2^{n-1} & \cdots & a_n^{n-1} \\ \vdots & \vdots & \ddots & \vdots \\ a_1 & a_2 & \cdots & a_n \\ 1 & 1 & \cdots & 1 \end{pmatrix}$$

is obtained from  $A_n$  by  $\frac{n(n-1)}{2}$  transpositions of rows:  $n - 1$  to put the last row in first position, then  $n - 2$  to put which is now the last row in second position, and so on.

Thus  $\det(B_n) = (-1)^{(n(n-1))/2} \det(A_n)$ .

As the number of factors in  $\prod_{1 \leq i < j \leq n} (a_j - a_i)$  is  $\frac{n(n-1)}{2}$ ,

$$\prod_{1 \leq i < j \leq n} (a_j - a_i) = (-1)^{(n(n-1))/2} \prod_{1 \leq i < j \leq n} (a_i - a_j).$$

Consequently,

$$\det(B_n) = \prod_{1 \leq i < j \leq n} (a_i - a_j).$$

Applying this result in the field  $F(x_1, \dots, x_n)$ , we obtain that

$$\sqrt{\Delta} = \prod_{1 \leq i < j \leq n} (x_i - x_j) = \begin{vmatrix} x_1^{n-1} & x_2^{n-1} & \cdots & x_n^{n-1} \\ x_1^{n-2} & x_2^{n-2} & \cdots & x_n^{n-2} \\ \vdots & \vdots & \ddots & \vdots \\ x_1 & x_2 & \cdots & x_n \\ 1 & 1 & \cdots & 1 \end{vmatrix}$$

If  $A = \begin{pmatrix} x_1^{n-1} & x_2^{n-1} & \cdots & x_n^{n-1} \\ x_1^{n-2} & x_2^{n-2} & \cdots & x_n^{n-2} \\ \vdots & \vdots & \ddots & \vdots \\ x_1 & x_2 & \cdots & x_n \\ 1 & 1 & \cdots & 1 \end{pmatrix}$ , then  ${}^t A = \begin{pmatrix} x_1^{n-1} & x_1^{n-2} & \cdots & x_1 & 1 \\ x_2^{n-1} & x_2^{n-2} & \cdots & x_2 & 1 \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ x_n^{n-1} & x_n^{n-2} & \cdots & x_n & 1 \end{pmatrix}$

thus

$$\Delta = \det(A)^2 = \det(A {}^t A) = \begin{vmatrix} s_{2n-2} & s_{2n-3} & \cdots & s_n & s_{n-1} \\ s_{2n-3} & s_{2n-4} & \cdots & s_{n-1} & s_{n-2} \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ s_n & s_{n-1} & \cdots & s_2 & s_1 \\ s_{n-1} & s_{n-2} & \cdots & s_1 & s_0 \end{vmatrix}$$

□

**Ex. 2.4.2** Let  $F$  have characteristic  $\neq 2$ , and let  $f \in F[x_1, \dots, x_n]$  satisfy  $\tau \cdot f = -f$  for all transpositions  $\tau \in S_n$ . Prove that  $f = B\sqrt{\Delta}$  for some  $B \in F[\sigma_1, \dots, \sigma_n]$ .

*Proof.* Here, the field  $F$  have characteristic  $\neq 2$ .

Let  $f \in F[x_1, \dots, x_n]$  such that  $\tau \cdots f = -f$  for all transpositions  $\tau \in S_n$ .

If  $\sigma \in A_n$  is an even permutation, then  $\sigma$  is product of an even number of permutations :

$$\sigma = \tau_1 \tau_2 \cdots \tau_{2k}.$$

As the group  $S_n$  acts on  $F[x_1, \dots, x_n]$ ,  $\sigma.f = \tau_1 \cdot \tau_2 \cdots \tau_{2k} \cdot f = f$  :  $f$  is invariant under  $A_n$  and so the theorem 2.4.4 applies :

there exist  $A, B \in F[\sigma_1, \dots, \sigma_n]$  such that

$$f = A + B\sqrt{\Delta}.$$

Therefore  $-f = \tau \cdot f = \tau \cdot A + (\tau \cdot B)(\tau \cdot \sqrt{\Delta}) = A - B\sqrt{\Delta}$  (by 2.31).

So  $f = A + B\sqrt{\Delta}$  and  $f = -A + B\sqrt{\Delta}$ , thus  $2A = 0$ . Since the characteristic is not 2,  $A = 0$ , therefore

$$f = B\sqrt{\Delta}, B \in F[\sigma_1, \dots, \sigma_n].$$

□

**Ex. 2.4.3** Let  $f = x^2 + bx + c \in F[x]$ . Use the definition of discriminant given in the text to show that  $\Delta(f) = b^2 - 4c$ .

*Proof.* Let  $f = x^2 + bx + c$ ,  $b, c \in F$ .

$$\Delta = (x_1 - x_2)^2 = x_1^2 + x_2^2 - 2x_1x_2 = (x_1 + x_2)^2 - 4x_1x_2 = \sigma_1^2 - 4\sigma_2.$$

The ring homomorphism which sends  $\sigma_1$  on  $-b$  and  $\sigma_2$  on  $c$  send  $\Delta$  on

$$\Delta(-b, c) = b^2 - 4c,$$

which is by definition the discriminant of  $x^2 + bx + c$ .

□

**Ex. 2.4.4** Let  $f \in F[x]$  be monic, and suppose that  $f = (x - \alpha_1) \cdots (x - \alpha_n)$  in some field  $F$  containing  $F$ . Prove that  $\Delta(f) \neq 0$  if and only if  $\alpha_1, \dots, \alpha_n$  are distinct. This shows that  $f$  has distinct roots if and only if its discriminant is nonvanishing.

*Proof.* Let  $f \in F[x]$  such that  $f = (x - \alpha_1) \cdots (x - \alpha_n)$  in an extension  $L$  of  $K$ .

By Proposition 2.4.3,

$$\Delta(f) = \prod_{1 \leq i < j \leq n} (\alpha_i - \alpha_j)^2. \quad (10)$$

- If  $\Delta(f) \neq 0$ , by (??), for all pairs  $(i, j)$ ,  $1 \leq i < j \leq n$ ,  $\alpha_i - \alpha_j \neq 0$ . The roots  $\alpha_i$  are so distinct.

- If the roots  $\alpha_i$ ,  $1 \leq i \leq n$ , are distinct roots, then  $\alpha_i - \alpha_j \neq 0$  for all  $(i, j)$  such that  $1 \leq i < j \leq n$ , thus  $\Delta(f) \neq 0$ . □

**Ex. 2.4.5** Show that  $\sqrt{\Delta} \in F[x_1, \dots, x_n]$  is symmetric if and only if  $F$  is a field of characteristic 2.

*Proof.* By Proposition 2.4.1, if  $\tau$  is a transposition in  $S_n$ ,

$$\tau \cdot \sqrt{\Delta} = -\sqrt{\Delta}.$$

- if the field  $F$  is of characteristic 2,  $-\sqrt{\Delta} = +\sqrt{\Delta}$ , so for all transpositions  $\tau$ ,

$$\tau \cdot \sqrt{\Delta} = \sqrt{\Delta}.$$

Therefore  $\sqrt{\Delta}$  is a symmetric polynomial.

- If the field  $F$  is not of characteristic 2, as  $\sqrt{\Delta} \neq 0$ ,

$$\tau \cdot \sqrt{\Delta} = -\sqrt{\Delta} \neq \sqrt{\Delta},$$

so  $f$  is not symmetric. □

**Ex. 2.4.6** This exercise will describe how to solve quadratic equations over a field  $F$  of characteristic 2.

- Given  $b \in F$ , we will assume there is a larger field  $F \subset L$  such that  $b = \beta^2$  for some  $\beta \in L$ . Show that  $\beta$  is unique and that  $\beta$  is the unique root of  $x^2 + b$ . Because of this, we denote  $\beta$  by  $\sqrt{b}$ .
- Now suppose that  $f = x^2 + ax + b$  is a quadratic polynomial in  $F[x]$  with  $a \neq 0$ . Suppose also that  $f$  is irreducible over  $F$ , so that it has no roots in  $F$ . We will see in Chapter 3 that  $f$  has a root  $\alpha$  in a field  $L$  containing  $F$ . Prove that  $\alpha$  cannot be written in the form  $\alpha = u + v\sqrt{w}$ , where  $u, v, w \in F$ .
- Part (b) shows that solving a quadratic equation with non-zero  $x$ -coefficient requires more than square roots. We do this as follows. If  $b \in F$ , let  $R(b)$  denote a root of  $x^2 + x + b$  (possibly lying in some larger field). We call  $R(b)$  and  $R(b) + 1$  the 2-roots of  $b$ . Prove that the roots of  $x^2 + x + b$  are  $R(b)$  and  $R(b) + 1$ , and explain why adding 1 to the second 2-root gives the first.
- Show that the roots of  $f = x^2 + ax + b$ ,  $a \neq 0$ , are  $aR(b/a^2)$  and  $a(R(b/a^2) + 1)$ .

*Proof.* (a) Let  $L$  and extension of  $F$  and  $\beta \in L$  such that  $\beta^2 = b$ .

As  $x^2 - b = x^2 - \beta^2 = (x - \beta)^2$ ,  $\beta$  is the unique root of  $x^2 - b = x^2 + b$ . We write  $\beta = \sqrt{b} \in L$ .

- (b) Suppose that  $f = x^2 + ax + b$ ,  $a \neq 0$  is irreducible on  $F$ . As  $\deg(f) = 2$ , this is equivalent to the fact that  $f$  has no root in  $F$ .  $f$  has a root  $\alpha$  in an extension  $L \supset F$ .

If  $\alpha = u + v\sqrt{w}$ ,  $u, v, w \in F$ , then  $v \neq 0$ , otherwise  $\alpha \in F$ , in contradiction with the irreducibility of  $f$ .

Then

$$\begin{aligned} 0 &= \alpha^2 + a\alpha + b \\ &= u^2 + wv^2 + a(u + v\sqrt{w}) + b \\ &= u^2 + wv^2 + au + b + av\sqrt{w} \\ &= s + t\sqrt{w} \end{aligned}$$

where  $s = u^2 + wv^2 + au + b \in F, t = av \in F, t \neq 0$ .

Thus  $\sqrt{w} = -s/t \in F$ , so  $\alpha \in F$ , in contradiction with the irreducibility of  $f$ .

Conclusion :  $\alpha = u + v\sqrt{w}$ ,  $u, v, w \in F$  is impossible.

- (c) Write  $R(b)$  a root of  $x^2 + x + b$  in an extension of  $F$ .

As  $R(b)^2 + R(b) + b = 0$ ,  $(R(b) + 1)^2 + (R(b) + 1) + b = R(b)^2 + 1 + R(b) + 1 + b = R(b)^2 + R(b) + b = 0$ .

As  $R(b) + 1 + 1 = R(b)$ , the two (distinct) roots of  $x^2 + x + b$  are  $R(b), R(b + 1)$ , and  $\sigma : x \mapsto x + 1$  exchanges the two roots.

- (d) For all  $y \in F$ ,

$$\begin{aligned} f(y) = 0 &\iff y^2 + ay + b = 0 \\ &\iff \left(\frac{y}{a}\right)^2 + \left(\frac{y}{a}\right) + \frac{b}{a^2} = 0 \\ &\iff \frac{y}{a} \in \left\{ R\left(\frac{b}{a^2}\right), R\left(\frac{b}{a^2}\right) + 1 \right\} \\ &\iff y \in \left\{ aR\left(\frac{b}{a^2}\right), a\left[R\left(\frac{b}{a^2}\right) + 1\right] \right\} \end{aligned}$$

The roots of  $x^2 + ax + b, a \neq 0$  are so  $aR\left(\frac{b}{a^2}\right), a\left[R\left(\frac{b}{a^2}\right) + 1\right]$ .

□

**Ex. 2.4.7** Explain how the third property of (2.31) was used (implicitly) in (2.28) in the proof of Proposition 2.4.1.

*Proof.* Knowing that  $\tau\sqrt{\Delta} = -\sqrt{\Delta}$  for a transposition  $\tau \in S_n$ , we show by induction on  $l$  that

$$(\tau_l \cdots \tau_1) \cdot \sqrt{\Delta} = (-1)^l \sqrt{\Delta}.$$

By the induction hypothesis  $(\tau_l \cdots \tau_1) \cdot \sqrt{\Delta} = -\sqrt{\Delta}$ , we deduce, using 2.31

$$\begin{aligned} (\tau_{l+1} \tau_l \cdots \tau_1) \cdot \sqrt{\Delta} &= \tau_{l+1} \cdot [(\tau_l \cdots \tau_1) \cdot \sqrt{\Delta}] \\ &= \tau_{l+1} \cdot ((-1)^l \sqrt{\Delta}) \\ &= (-1)^l \tau_{l+1} \cdot \sqrt{\Delta} \\ &= (-1)^{l+1} \sqrt{\Delta} \end{aligned}$$

□

**Ex. 2.4.8** In this exercise, you will prove that although  $\Delta$  factors in  $F[x_1, \dots, x_n]$ , it is irreducible in  $F[\sigma_1, \dots, \sigma_n]$  when  $F$  has characteristic different from 2. To begin the proof, assume that  $\Delta = AB$ , where  $A, B \in F[\sigma_1, \dots, \sigma_n]$  are nonconstant.

- (a) Using the definition of  $\Delta$  and unique factorization in  $F[x_1, \dots, x_n]$ , show that  $A$  is divisible in  $F[x_1, \dots, x_n]$  by  $x_i - x_j$  for some  $1 \leq i < j \leq n$ .
- (b) Given  $1 \leq i < j \leq n$  and  $1 \leq l < m \leq n$ , show that there is a permutation  $\sigma \in S_n$  such that  $\sigma(i) = l$  and  $\sigma(j) = m$ .
- (c) Use part (a) and (b) to show that  $A$  is divisible by  $x_l - x_m$  for all  $1 \leq l < m \leq n$ .
- (d) Conclude that  $A$  is a multiple of  $\sqrt{\Delta}$  and that the same is true for  $B$ .
- (e) Show that part (d) implies that  $A$  and  $B$  are constant multiples of  $\sqrt{\Delta}$  and explain why this contradicts  $A, B \in F[\sigma_1, \dots, \sigma_n]$ .
- (f) Finally, suppose that  $F$  has characteristic 2. Prove that  $\Delta$  is not irreducible.

*Proof.* (a) Suppose that  $\Delta = AB$ , where  $A, B \in F[\sigma_1, \dots, \sigma_n]$  are nonconstant.

As  $A$  is not a constant, it is divisible by an irreducible factor  $h \in F[x_1, \dots, x_n]$ . This irreducible factor  $h$  divides  $\Delta$ , whose only irreducible factors are associate to  $x_i - x_j$ ,  $1 \leq i < j \leq n$ .  $F[x_1, \dots, x_n]$  being a factorial domain, there exists a pair of subscripts  $(i, j)$  and  $\lambda \in F^*$  such that  $h = \lambda(x_i - x_j)$ ,  $1 \leq i < j \leq n$ .

Conclusion :

$A$  is divisible in  $k[x_1, \dots, x_n]$  by a factor  $x_i - x_j$ , for some  $(i, j)$ ,  $1 \leq i < j \leq n$ .

- (b) The set  $U = [1, n] \setminus \{i, j\}$  et  $V = [1, n] \setminus \{l, m\}$  have same cardinality  $n - 2$  : so there exists a bijection  $f : U \rightarrow V$ .

Let  $\sigma : [1, n] \rightarrow [1, n]$  defined by  $\sigma(k) = f(k)$  if  $k \in U$ ,  $\sigma(i) = l$ ,  $\sigma(j) = m$ . Then  $\sigma$  is bijective (the application  $\tau$  defined by  $\tau(m) = f^{-1}(m)$  if  $m \in V$ ,  $\tau(l) = i$ ,  $\tau(m) = j$  satisfies  $\tau \circ \sigma = \sigma \circ \tau = e$ ).

There exists  $\sigma \in S_n$  such that  $\sigma(i) = l$ ,  $\sigma(j) = m$ .

- (c) By (a),  $A = (x_i - x_j)C$ ,  $C \in k[x_1, \dots, x_n]$ .

As  $A$  is symmetric, using the permutation  $\sigma$  of (b),

$$\begin{aligned} A &= \sigma \cdot A \\ &= \sigma \cdot [(x_i - x_j)C] \\ &= \sigma \cdot (x_i - x_j) \sigma \cdot C \\ &= (x_l - x_m)(\sigma \cdot C). \end{aligned}$$

So  $A$  is divisible by  $x_l - x_m$ ,  $1 \leq l < m \leq n$ .

(d) As these factors are not associate, their product divides  $A$ , thus

$$\sqrt{\Delta} = \prod_{1 \leq l < m \leq n} (x_l - x_m) \mid A.$$

The same reasoning applies to  $B$ , which is also divisible by  $\sqrt{\Delta}$ .

(e)  $A = A_1\sqrt{\Delta}, B = B_1\sqrt{\Delta}$ , where  $A_1, B_1 \in F[x_1, \dots, x_n]$ .

Thus  $\Delta = AB = A_1B_1\Delta$ , with  $\Delta \neq 0$ , therefore  $A_1B_1 = 1$ , which implies that  $A_1 = a \in F, B_1 = b \in F$  :

$$A = a\sqrt{\Delta}, B = b\sqrt{\Delta}, a, b \in F.$$

But  $A \in F[\sigma_1, \dots, \sigma_n]$ , thus for all transposition  $\tau$  in  $S_n$ ,

$$A = \tau \cdot A = \tau \cdot (a\sqrt{\Delta}) = a\tau \cdot \sqrt{\Delta} = -a\sqrt{\Delta} = -A.$$

So  $2A = 0$ , and as the characteristic of  $F$  is not 2,  $A = 0$ , so  $\Delta = 0$ , which is a contradiction.

Conclusion :  $\Delta$  is irreducible in  $F[\sigma_1, \dots, \sigma_n]$ .

(f) If the characteristic of  $F$  is 2, then  $\sqrt{\Delta}$  is symmetric, since for all transposition  $\tau$ ,  $\tau \cdot \sqrt{\Delta} = -\sqrt{\Delta} = \sqrt{\Delta}$ .

Thus  $\Delta = (\sqrt{\Delta})^2 = D^2$ , where  $D = \sqrt{\Delta} \in F[\sigma_1, \dots, \sigma_n]$  : therefore  $\Delta$  is not irreducible in  $F[\sigma_1, \dots, \sigma_n]$  if the characteristic of  $F$  is 2. □

**Ex. 2.4.9** For  $n = 4$ , the variables  $x_1, x_2, x_3, x_4$  have discriminant

$$\Delta = (x_1 - x_2)^2(x_1 - x_3)^2(x_1 - x_4)^2(x_2 - x_3)^2(x_2 - x_4)^2(x_3 - x_4)^2.$$

Let  $y_1 = x_1x_2 + x_3x_4, y_2 = x_1x_3 + x_2x_4, y_3 = x_1x_4 + x_2x_3$ , and consider

$$\theta(y) = (y - y_1)(y - y_2)(y - y_3).$$

This is a cubic polynomial in  $y$ . As in the text, the discriminant of  $\theta$  will be denoted  $\Delta(\theta)$ . Show that  $\Delta(\theta) = \Delta$ .

*Proof.*

$$\begin{aligned} y_1 - y_2 &= x_1x_2 + x_3x_4 - x_1x_3 - x_2x_4 = x_1(x_2 - x_3) - x_4(x_2 - x_3) = (x_1 - x_4)(x_2 - x_3) \\ y_1 - y_3 &= x_1x_2 + x_3x_4 - x_1x_4 - x_2x_3 = x_1(x_2 - x_4) - x_3(x_2 - x_4) = (x_1 - x_3)(x_2 - x_4) \\ y_2 - y_3 &= x_1x_3 + x_2x_4 - x_1x_4 - x_2x_3 = x_1(x_3 - x_4) - x_2(x_3 - x_4) = (x_1 - x_2)(x_3 - x_4), \end{aligned}$$

Therefore

$$\begin{aligned} \Delta(\theta) &= (y_1 - y_2)^2(y_1 - y_3)^2(y_2 - y_3)^2 \\ &= [(x_1 - x_4)(x_2 - x_3)(x_1 - x_3)(x_2 - x_4)(x_1 - x_2)(x_3 - x_4)]^2 \\ &= \Delta \end{aligned}$$

□

**Ex. 2.4.10** Let  $C, D \in F[\sigma_1, \dots, \sigma_n]$  be nonzero and relatively prime. This exercise will show that  $C$  and  $D$  remain relatively prime when regarded as elements of  $F[x_1, \dots, x_n]$ .

- (a) Show that  $C^m, D^m$  are relatively prime in  $F[\sigma_1, \dots, \sigma_n]$  for any positive integer  $m$ .
- (b) Suppose that  $p \in F[x_1, \dots, x_n]$  is a nonconstant polynomial dividing  $C$  and  $D$ . Prove that  $\sigma \cdot p$  divides  $C$  and  $D$  for all  $\sigma \in S_n$ .
- (c) As in Exercise 7 of Section 2.2, let  $P = \prod_{\sigma \in S_n} \sigma \cdot p$ . Show that  $P$  divides  $C^{n!}$  and  $D^{n!}$ , and then use part (a) and Exercise 7 of Section 2.2 to obtain a contradiction.

*Proof.* (a) If  $p$  is an irreducible factor in  $F[\sigma_1, \dots, \sigma_n]$  which divides  $C^m$  and  $D^m$  ( $m \in \mathbb{N}^*$ ), as  $F[\sigma_1, \dots, \sigma_n] \simeq F[u_1, \dots, u_n]$  is a factorial domain,  $p$  divides  $C$  and  $p$  divides  $D$ , which is in contradiction with the fact that  $C, D$  are relatively prime in  $F[\sigma_1, \dots, \sigma_n]$ . Consequently  $C^m, D^m$  are relatively prime in  $F[\sigma_1, \dots, \sigma_n]$ .

- (b) If  $p$  is an irreducible factor in  $F[x_1, \dots, x_n]$  which divides  $C$  et  $D$ , then  $C = pE, E \in F[x_1, \dots, x_n]$ . As  $C$  is symmetric, we obtain, using 2.31:

$$C = \sigma \cdot C = (\sigma \cdot p)(\sigma \cdot E). \quad (11)$$

Therefore  $\sigma \cdot p$  divides  $C$  for all  $\sigma \in S_n$ , and it is the same for  $D$ .

- (c) The product, for all  $\sigma \in S_n$  of the relations (??) gives :

$$C^{n!} = \prod_{\sigma \in S_n} \sigma \cdot p \prod_{\sigma \in S_n} \sigma \cdot E.$$

Therefore  $P = \prod_{\sigma \in S_n} \sigma \cdot p$  divides  $C^{n!}$  in  $F[x_1, \dots, x_n]$ , and similarly for  $D$ .

- (d) By Exercise 2.2.7,  $P$  is symmetric, and  $C^{n!} = PQ, D^{n!} = PS, Q, S \in F[x_1, \dots, x_n]$ .

As  $C^{n!}, D^{n!}, P$  are symmetric,  $Q, S$  are also symmetric : indeed, for all  $\sigma \in S_n$ ,  $P \cdot Q = C^{n!} = \sigma \cdot C^{n!} = \sigma \cdot P \sigma \cdot Q = P \sigma \cdot Q$ , thus  $Q = \sigma \cdot Q$ .

Therefore  $P = P_1(\sigma_1, \dots, \sigma_n)$ , and  $P_1 \in F[\sigma_1, \dots, \sigma_n]$  divides  $C^{n!}, D^{n!}$  in  $F[\sigma_1, \dots, \sigma_n]$ . As the irreducible polynomial  $p$  divides  $P$ ,  $P_1$  is not a constant. Therefore the two polynomials  $C^{n!}, D^{n!}$  are not relatively prime in  $F[\sigma_1, \dots, \sigma_n]$ , and by (a),  $C, D$  are not relatively prime in  $F[\sigma_1, \dots, \sigma_n]$ , in contradiction with the hypothesis.

Conclusion : two relatively prime polynomials in  $F[\sigma_1, \dots, \sigma_n]$  are also relatively prime in  $F[x_1, \dots, x_n]$ . □

**Ex. 2.4.11** Exercise 8 of section 2.2 showed that if  $\varphi \in F(x_1, \dots, x_n)$  is symmetric, then  $\varphi \in F(\sigma_1, \dots, \sigma_n)$ . In this exercise, you will refine this result as follows. Suppose that  $\varphi \in F(x_1, \dots, x_n)$  is symmetric, and write  $\varphi = A/B$ , where  $A, B \in F[x_1, \dots, x_n]$  are relatively prime. The claim is that  $A, B$  are themselves symmetric and hence lie in  $F[\sigma_1, \dots, \sigma_n]$ . We can assume that  $A$  and  $B$  are nonzero.

- (a) Use the previous exercise and Exercise 8 of section 2.2 to show that  $\varphi = C/D$  where  $C, D \in F[\sigma_1, \dots, \sigma_n]$  are relatively prime in  $F[x_1, \dots, x_n]$ .
- (b) Show that  $AD = BC$  and then use unique factorization in  $F[x_1, \dots, x_n]$  to show that  $A$  and  $B$  are constant multiples of  $C$  and  $D$  respectively.



(c) Conclude that  $A, B \in F[\sigma_1, \dots, \sigma_n]$  as claimed.

*Proof.* (a) As  $\varphi \in F(\sigma_1, \dots, \sigma_n)$ , by Exercise 2.2.8,

$$\varphi = C/D, \quad C, D \in F[\sigma_1, \dots, \sigma_n]$$

Reducing this fraction, we can suppose that  $C, D$  are relatively prime in  $F[\sigma_1, \dots, \sigma_n]$ , thus relatively prime in  $F[x_1, \dots, x_n]$  by Exercise 2.4.10.

(b)  $\varphi = A/B = C/D$ , so  $AD = BC$ , where  $C, D$  are symmetric and relatively prime in  $F[x_1, \dots, x_n]$ , and also  $A, B$  relatively prime in  $F[x_1, \dots, x_n]$ .

As  $F[x_1, \dots, x_n]$  is a unique factorisation domain, as  $A \mid BC$  and  $A, B$  are relatively prime,  $A \mid C$ . Similarly,  $C \mid AD$ , and  $C, D$  are relatively prime, so  $C \mid D$ :  $A$  and  $C$  are associate, therefore

$$A = kC, B = kD, k \in F^*.$$

(c) Since  $C, D$  are symmetric,  $A, B$  are also symmetric.

Conclusion : if  $\varphi = A/B$  is symmetric, where  $A, B \in F[x_1, \dots, x_n]$  are relatively prime, then  $A, B$  are symmetric.

□