

## 4 Chapter 4

### 4.1 FIELDS

**Ex. 4.1.1** Let  $\alpha \in L \setminus \{0\}$  be algebraic over a subfield  $F$ . Prove that  $1/\alpha$  is also algebraic over  $F$ .

*Proof.* Suppose that  $\alpha \in L \setminus \{0\}$  is algebraic over a subfield  $F$  of  $L$ . Then there exists a polynomial  $p = \sum_{k=0}^d a_k x^k \in F[x]$ , with  $a_d \neq 0$ , whose  $\alpha$  is a root:

$$\sum_{k=0}^d a_k \alpha^k = 0.$$

Dividing by  $\alpha^d$ , we obtain  $\sum_{k=0}^d a_k \left(\frac{1}{\alpha}\right)^{d-k} = 0$ , which we can write  $\sum_{i=0}^d a_{d-i} \left(\frac{1}{\alpha}\right)^i = 0$ .

So  $1/\alpha$  is a root of the polynomial  $q = \sum_{i=0}^d a_{d-i} x^i \in F[x]$ , and  $q \neq 0$  since  $a_d \neq 0$ , thus  $1/\alpha$  is algebraic over  $F$ .  $\square$

**Ex. 4.1.2** Complete the proof of Lemma 4.1.3 by showing that if  $f$  and  $g$  are monic polynomials in  $F[x]$  each of which divides the other, then  $f = g$ .

*Proof.* Suppose that  $f, g \in F[x]$  are monic, and  $f \mid g, g \mid f$ .

$f = gh, h \in F[x]$  and  $g = fl, l \in F[x]$ , so  $f = fh l$ , where  $f \neq 0$  since  $f$  is monic, thus  $hl = 1$ , and so  $\deg(h) + \deg(l) = 0$ ,  $\deg(h) = \deg(l) = 0$ .

Therefore  $h = \lambda \in F^*$ ,  $f = \lambda g$ . In particular,  $f, g$  have the same degree  $d$ .

Write  $f = \sum_{k=0}^d a_k x^k, g = \sum_{k=0}^d b_k x^k$ .

As  $f, g$  are monic,  $a_d = b_d = 1$ , and  $a_d = \lambda b_d$ , so  $\lambda = 1$ , and  $f = g$ .

Conclusion: If  $f$  and  $g$  are monic polynomials in  $F[x]$  each of which divides the other, then  $f = g$ .  $\square$

**Ex. 4.1.3** Suppose that  $F \subset L$  is a field extension and that  $\alpha_1, \dots, \alpha_n \in L$ . Show that  $F[\alpha_1, \dots, \alpha_n]$  is a subring of  $L$  and that  $F(\alpha_1, \dots, \alpha_n)$  is a subfield of  $L$ .

*Proof.* • By hypothesis,  $F \subset L$  and  $\alpha_1, \dots, \alpha_n \in L$ .

$1 \in F[\alpha_1, \dots, \alpha_n]$ , so  $F[\alpha_1, \dots, \alpha_n] \neq \emptyset$ .

Let  $x, y \in F[\alpha_1, \dots, \alpha_n]$ . By definition, there exist polynomials  $p, q \in F[x_1, \dots, x_n]$  such that

$$x = p(\alpha_1, \dots, \alpha_n), \quad y = q(\alpha_1, \dots, \alpha_n).$$

As  $p - q, pq \in F[x_1, \dots, x_n]$ , and as  $x - y = (p - q)(\alpha_1, \dots, \alpha_n), xy = pq(\alpha_1, \dots, \alpha_n)$ , so  $x - y \in F[\alpha_1, \dots, \alpha_n], xy \in F[\alpha_1, \dots, \alpha_n]$ .

Conclusion:  $F[\alpha_1, \dots, \alpha_n]$  is a subring of  $L$ .

• The same argument, where we take rational fractions  $p, q$  in place of polynomials show that  $p, q \in F(x_1, \dots, x_n) \Rightarrow p - q, pq \in F(x_1, \dots, x_n)$ , so  $x - y = (p - q)(\alpha_1, \dots, \alpha_n), xy = pq(\alpha_1, \dots, \alpha_n) \in F(\alpha_1, \dots, \alpha_n)$ . Thus  $F(\alpha_1, \dots, \alpha_n)$  is a subring of  $L$ .

Moreover, if  $x \in F(\alpha_1, \dots, \alpha_n), x \neq 0$ , then  $x = \frac{p(\alpha_1, \dots, \alpha_n)}{q(\alpha_1, \dots, \alpha_n)}$ , where  $p, q \in F[x_1, \dots, x_n]$ , and  $q(\alpha_1, \dots, \alpha_n) \neq 0$ . Since  $x \neq 0$ , we have also  $p(\alpha_1, \dots, \alpha_n) \neq 0$ .

Hence  $\frac{1}{x} = \frac{q(\alpha_1, \dots, \alpha_n)}{p(\alpha_1, \dots, \alpha_n)} \in F(\alpha_1, \dots, \alpha_n)$ .

Conclusion:  $F(\alpha_1, \dots, \alpha_n)$  is a subfield of  $L$ . □

**Ex. 4.1.4** Complete the proof of Corollary 4.1.11 by showing that

$$F(\alpha_1, \dots, \alpha_r)(\alpha_{r+1}, \dots, \alpha_n) \subset F(\alpha_1, \dots, \alpha_n).$$

*Proof.*  $F(\alpha_1, \dots, \alpha_r) \subset F(\alpha_1, \dots, \alpha_n)$ ,  $1 \leq r \leq n$ , since  $F(\alpha_1, \dots, \alpha_n)$  contains  $F$  and  $\alpha_1, \dots, \alpha_r$ , and since  $F(\alpha_1, \dots, \alpha_r)$  is the smallest subfield of  $L$  containing  $F$  and  $\alpha_1, \dots, \alpha_r$ .

Moreover  $F(\alpha_1, \dots, \alpha_n)$  contains  $\alpha_{r+1}, \dots, \alpha_n$ .

By Lemma 4.1.9,  $F(\alpha_1, \dots, \alpha_r)(\alpha_{r+1}, \dots, \alpha_n)$  is the smallest subfield of  $L$  containing  $F(\alpha_1, \dots, \alpha_r)$  and  $\alpha_{r+1}, \dots, \alpha_n$ , thus

$$F(\alpha_1, \dots, \alpha_r)(\alpha_{r+1}, \dots, \alpha_n) \subset F(\alpha_1, \dots, \alpha_n).$$

From the reciprocal inclusion proved in section 4.1, we conclude that

$$F(\alpha_1, \dots, \alpha_r)(\alpha_{r+1}, \dots, \alpha_n) = F(\alpha_1, \dots, \alpha_n).$$

□

**Ex. 4.1.5** Prove carefully that  $F[\alpha_1, \dots, \alpha_{n-1}][\alpha_n] = F[\alpha_1, \dots, \alpha_n]$ .

*Proof.* • Let  $\gamma \in F[\alpha_1, \dots, \alpha_{n-1}][\alpha_n]$ . Write  $R = F[\alpha_1, \dots, \alpha_{n-1}]$ . By definition, there exists a polynomial  $p = \sum_{k=0}^d a_k x_n^k \in R[x_n]$  such that  $\gamma = p(\alpha_n)$ , and for every  $a_k \in R$ ,  $0 \leq k \leq d$ , there exists  $f_k \in F[x_1, \dots, x_{n-1}]$  such that  $a_k = f_k(\alpha_1, \dots, \alpha_{n-1})$ .

Thus

$$\gamma = \sum_{k=0}^d f_k(\alpha_1, \dots, \alpha_{n-1}) \alpha_n^k.$$

Let  $f = \sum_{k=0}^d f_k(x_1, \dots, x_{n-1}) x_n^k$ . Then  $f \in F[x_1, \dots, x_n]$ , and  $\gamma = f(\alpha_1, \dots, \alpha_n)$ , so  $\gamma \in F[\alpha_1, \dots, \alpha_n]$ . We have proved

$$F[\alpha_1, \dots, \alpha_{n-1}][\alpha_n] \subset F[\alpha_1, \dots, \alpha_n].$$

• Conversely, let  $\gamma \in F[\alpha_1, \dots, \alpha_n]$ .

There exists  $f \in F[x_1, \dots, x_n]$  such that  $\gamma = f(\alpha_1, \dots, \alpha_n)$ .

As  $F[x_1, \dots, x_n] = F[x_1, \dots, x_{n-1}][x_n]$ ,  $f = \sum_{k=0}^d f_k(x_1, \dots, x_{n-1}) x_n^k$ , where  $f_k \in F[x_1, \dots, x_{n-1}]$ .

So  $\gamma = \sum_{k=0}^d f_k(\alpha_1, \dots, \alpha_{n-1}) \alpha_n^k = \sum_{k=0}^d a_k x_n^k$ , with  $a_k = f_k(\alpha_1, \dots, \alpha_{n-1}) \in F[\alpha_1, \dots, \alpha_{n-1}] = R$ .

Let  $p = \sum_{k=0}^d a_k x_n^k$ . Then  $p \in R[x_n]$  and  $\gamma = p(\alpha_n)$ , thus  $\gamma \in R[\alpha_n] = F[\alpha_1, \dots, \alpha_{n-1}][\alpha_n]$ .

The reciprocal inclusion

$$F[\alpha_1, \dots, \alpha_n] \subset F[\alpha_1, \dots, \alpha_{n-1}][\alpha_n]$$

is proved, and so

$$F[\alpha_1, \dots, \alpha_n] = F[\alpha_1, \dots, \alpha_{n-1}][\alpha_n].$$

Note: in an alternative way, we could write a lemma analogous to Lemma 4.1.9 and show that  $F[\alpha_1, \dots, \alpha_n]$  is the smallest subring of  $L$  containing  $\alpha_1, \dots, \alpha_n$  (where  $L$  is a ring containing  $F$  and  $\alpha_1, \dots, \alpha_n$ ), and prove as in Exercise 4 that

$$F[\alpha_1, \dots, \alpha_r][\alpha_{r+1}, \dots, \alpha_n] = F[\alpha_1, \dots, \alpha_n].$$

□

**Ex. 4.1.6** Suppose that  $F \subset L$  and that  $\alpha_1, \dots, \alpha_n \in L$  are algebraically independent over  $F$  (as defined in the Mathematical Notes to section 2.2). Prove that there is an isomorphism of fields

$$F(\alpha_1, \dots, \alpha_n) \simeq F(x_1, \dots, x_n),$$

where  $F(x_1, \dots, x_n)$  is the field of rational functions in variables  $x_1, \dots, x_n$ .

*Proof.* Let  $f \in F(x_1, \dots, x_n)$ ,  $f = p/q$ ,  $p, q \in F[x_1, \dots, x_n]$ ,  $q \neq 0$ . Since  $\alpha_1, \dots, \alpha_n$  are algebraically independent over  $F$ ,  $q(\alpha_1, \dots, \alpha_n) \neq 0$ . We can so define

$$\begin{aligned} \varphi : F(x_1, \dots, x_n) &\rightarrow F(\alpha_1, \dots, \alpha_n) \\ f = p/q &\mapsto f(\alpha_1, \dots, \alpha_n) = p(\alpha_1, \dots, \alpha_n)/q(\alpha_1, \dots, \alpha_n). \end{aligned}$$

(this quotient doesn't depend on the choice of the representative  $p/q$  of  $f$ ).

$\varphi$  is a ring homomorphism.

By definition of  $F(\alpha_1, \dots, \alpha_n)$ ,  $\varphi$  is surjective.

Let  $f = p/q \in F(x_1, \dots, x_n)$ , with  $p, q \in F[x_1, \dots, x_n]$ ,  $q \neq 0$ . If  $f \in \ker(\varphi)$ , then  $p(\alpha_1, \dots, \alpha_n)/q(\alpha_1, \dots, \alpha_n) = 0$ , thus  $p(\alpha_1, \dots, \alpha_n) = 0$ . Since  $\alpha_1, \dots, \alpha_n$  are algebraically independent,  $p = 0$ . Consequently  $\ker(\varphi) = \{0\}$ , and so  $\varphi$  is a ring isomorphism between two fields: it is a field isomorphism.

Conclusion: If  $\alpha_1, \dots, \alpha_n \in L$  are algebraically independent over  $F$ , then

$$F(\alpha_1, \dots, \alpha_n) \simeq F(x_1, \dots, x_n).$$

□

**Ex. 4.1.7** In the proof of Proposition 4.1.14, we used the quotient ring  $F[x]/\langle p \rangle$  to show that  $F[\alpha]$  is a field when  $\alpha$  is algebraic over  $F$  with minimal polynomial  $p \in F[x]$ . Here, you will prove that  $F[\alpha]$  is a field without using quotient rings. Since we know that  $F[\alpha]$  is a ring, it suffices to show that every nonzero element  $\beta \in F[\alpha]$  has a multiplicative inverse in  $F[\alpha]$ . So pick  $\beta \neq 0$  in  $F[\alpha]$ . Then  $\beta = g(\alpha)$  for some  $g \in F[x]$ .

(a) Show that  $g$  and  $p$  are relatively prime in  $F[x]$ .

(b) By part (a) and the Euclidean algorithm, we have  $Ap + Bg = 1$  for some  $A, B \in F[x]$ . Prove that  $B(\alpha) \in F[\alpha]$  is the multiplicative inverse of  $g(\alpha)$ .

Do you see how this exercise relates to Exercise 5 of section 3.1?

*Proof.* As in Proposition 4.1.14, we assume that  $F \subset L$  is a field extension, and that  $\alpha \in L$ . Suppose that  $\alpha \in L$  is algebraic over  $F$ , where  $p \in F[x]$  is the minimal polynomial of  $\alpha$  over  $F$ , and  $\beta \in F[\alpha]$ ,  $\beta \neq 0$ .

There exists  $g \in F[x]$  such that  $\beta = g(\alpha)$ .

- (a) The minimal polynomial  $p$  of  $\alpha$  is irreducible over  $F$  (Prop. 4.1.5).

Let  $u \in F[x]$  such that  $u \mid p, u \mid g$ . Then  $p = uq$ ,  $q \in F[x]$ , and since  $p$  is irreducible over  $F$ ,  $u$  or  $q$  is a constant of  $F^*$ .

If  $q = \lambda \in F^*$ , then  $u = \lambda^{-1}p$  and  $p$  divides  $u$ , which divides  $g$ , thus  $p$  divides  $g$ . In this case, since  $p(\alpha) = 0$ ,  $\beta = g(\alpha) = 0$ , in contradiction with the hypothesis  $\beta \neq 0$ .

So  $u = \mu \in F^*$ ,  $u \mid 1$ . Consequently, for all  $u \in F[x]$ ,  $(u \mid p, u \mid g) \Rightarrow u \mid 1$ :  $p, g$  are relatively prime.

- (b) Then there exists a Bézout's relation between these two polynomials:

$$Ap + Bg = 1, \quad A, B \in F[x].$$

The evaluation of these polynomials in  $\alpha$ , since  $p(\alpha) = 0$ , gives

$$B(\alpha)g(\alpha) = 1, B(\alpha) \in F[\alpha]$$

So  $B(\alpha)$  is the multiplicative inverse of  $\beta = g(\alpha) \neq 0$  in  $F[\alpha]$ :  $F[\alpha]$  is a field.

Note: We have proved in Exercise 3.5.1 that  $F[x]/\langle f \rangle$ , where  $f$  is irreducible over  $F$ , is a field with the same argumentation. Here  $f = p$  is the minimal polynomial of  $\alpha$  over  $F$ , so it is irreducible over  $f$ .

□

**Ex. 4.1.8** *If a polynomial is irreducible over a field  $F$ , it may or may not remain irreducible over a large field. Here are examples of both types of behavior.*

- (a) *Prove that  $x^2 - 3$  is irreducible over  $\mathbb{Q}(\sqrt{2})$ .*  
 (b) *In Example 4.1.7, we showed that  $x^4 - 10x^2 + 1$  is irreducible over  $\mathbb{Q}$  (it is the minimal polynomial of  $\alpha = \sqrt{2} + \sqrt{3}$ ). Show that  $x^4 - 10x^2 + 1$  is not irreducible over  $\mathbb{Q}(\sqrt{3})$ .*

*Proof.* (a)  $x^2 - 3$  is irreducible over  $\mathbb{Q}$ . We show that it remains irreducible over  $\mathbb{Q}[\sqrt{2}]$ .

Suppose on the contrary that  $f$  is reducible over  $F$ :  $f = x^2 - 3 = uv$ ,  $uv \in \mathbb{Q}[\sqrt{2}][x]$ , where  $u, v$  are nonconstant polynomials. Then  $\deg(u) \geq 1, \deg(v) \geq 1$ , and as  $\deg(u) + \deg(v) = \deg(f) = 2$ ,  $\deg(u) = \deg(v) = 1$ ,

$$u = ax + b, \quad a, b \in \mathbb{Q}[\sqrt{2}], a \neq 0.$$

Then  $\alpha = -b/a \in \mathbb{Q}[\sqrt{2}]$  is a root of  $u$ , thus is a root of  $f = x^2 - 3$ . Since  $\sqrt{2}^{2n} = 2^n$  and  $\sqrt{2}^{2n+1} = 2^n\sqrt{2}$ , every element of  $\mathbb{Q}[\sqrt{2}]$  is of the form  $c + d\sqrt{2}$ ,  $c, d \in \mathbb{Q}$ .

We should have  $\alpha = c + d\sqrt{2} = \pm\sqrt{3}$ . Then

$$\alpha^2 = c^2 + 2d^2 + 2cd\sqrt{2} = 3.$$

If  $cd \neq 0$ ,  $\sqrt{2} = (c^2 + 2d^2 - 3)/(2cd) \in \mathbb{Q}$ , in contradiction with the irrationality of  $\sqrt{2}$ . Thus  $c = 0$  or  $d = 0$ .

$d = 0$  gives  $\sqrt{3} = \pm c \in \mathbb{Q}$ : this is in contradiction with the irrationality of  $\sqrt{3}$ .

$c = 0$  implies  $\sqrt{\frac{3}{2}} = \pm d \in \mathbb{Q}$ . But then  $\sqrt{\frac{3}{2}} = \frac{p}{q}, (p, q) \in \mathbb{Z} \times \mathbb{N}^*, p \wedge q = 1$ .

$3q^2 = 2p^2, q^2 \mid 2p^2$  and  $q^2 \wedge p^2 = 1$ . By Gauss Lemma,  $q^2 \mid 2, q \in \mathbb{N}^*$ , hence  $q = 1, 3 = 2p^2$ , thus 3 is even: this is absurd.

Conclusion:  $x^2 - 3$  is irreducible  $\mathbb{Q}[\sqrt{2}]$ .

(b)

$$\begin{aligned} f &= [(x - \sqrt{2} - \sqrt{3})(x + \sqrt{2} - \sqrt{3})][(x - \sqrt{2} + \sqrt{3})(x + \sqrt{2} + \sqrt{3})] \\ &= [(x - \sqrt{3})^2 - 2][(x + \sqrt{3})^2 - 2] \\ &= (x^2 - 2\sqrt{3}x + 1)(x^2 - 2\sqrt{3}x + 1) \\ &= (x^2 + 1)^2 - (2\sqrt{3}x)^2 \\ &= x^4 - 10x^2 + 1 \end{aligned}$$

The equality  $f = x^4 - 10x^2 + 1 = (x^2 - 2\sqrt{3}x + 1)(x^2 - 2\sqrt{3}x + 1)$  show that  $f$  is not irreducible over  $\mathbb{Q}[\sqrt{3}]$ .

Factorisation with Sage:

```
K = NumberField(x^2-3, 'a'); L.<X> = PolynomialRing(K)
p = X^4-10*X^2+1
factor(p)
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$$(X^2 - 2aX + 1).(X^2 + 2aX + 1).$$

□

## 4.2 IRREDUCIBLE POLYNOMIALS

**Ex. 4.2.1** This exercise will study the Lagrange interpolation formula. Suppose that  $F$  is a field and that  $b_0, \dots, b_d, c_0, \dots, c_d \in F$ , where  $b_0, \dots, b_d$  are distinct and  $d \geq 1$ . Then consider the polynomial

$$g(x) = \sum_{i=0}^d c_i \prod_{j \neq i} \frac{x - b_j}{b_i - b_j} \in F[x].$$

- (a) Explain why  $\deg(g) \leq d$ , and give an example for  $F = \mathbb{R}$  and  $d = 2$  where  $\deg(g) < 2$ .
- (b) Show that  $g(b_i) = c_i$  for  $i = 0, \dots, d$ .
- (c) Let  $h$  be a polynomial in  $F[x]$  with  $\deg(h) \leq d$  such that  $h(b_i) = c_i$  for  $i = 0, \dots, d$ . Prove that  $h = g$ .

*Proof.* Let  $p_i(x) = \prod_{j \neq i} \frac{x - b_j}{b_i - b_j}, 0 \leq i \leq d$ . Then  $g(x) = \sum_{i=0}^d c_i p_i(x)$ .

- (a)  $p_i$  is product of  $d$  linear polynomials, thus  $\deg(p_i) = d$ . Consequently  $\deg(g) \leq \max(\deg(p_0), \dots, \deg(p_d)) = d$ :

$$\deg(g) \leq d.$$

This inequality can be a strict inequality: We show such an example for  $d = 2$ .

$$(b_0, c_0) = (0, 0), (b_1, c_1) = (1, 1), (b_2, c_2) = (2, 2).$$

Then  $p_0(x) = \frac{1}{2}(x-1)(x-2)$ ,  $p_1(x) = -x(x-2)$ ,  $p_2(x) = \frac{1}{2}x(x-1)$ . So

$$\begin{aligned} g(x) &= 0.p_0(x) + 1.p_1(x) + 2.p_2(x) \\ &= -x(x-2) + x(x-1) \\ &= x. \end{aligned}$$

Here  $\deg(g) = 1 < d = 2$ .

- (b)  $p_i(b_i) = 1$  and  $p_i(b_j) = 0$  if  $j \neq i$ , so  $p_i(b_j) = \delta_{i,j}$ .

$$g(b_j) = \sum_{i=0}^d c_i \delta_{i,j} = c_j, \quad j = 0, \dots, d.$$

The graph of the polynomial  $g$  with degree at most  $d$  contains the  $d+1$  points  $(b_0, c_0), \dots, (b_d, c_d)$ .

- (c) Suppose that the polynomial  $h \in F[x]$  satisfies the same conditions as  $g$ :

$$h(b_i) = c_i, \quad 0 \leq i \leq d, \text{ with } \deg(h) \leq d.$$

Let  $p = g - h$ . Then  $\deg(p) \leq \max(\deg(g), \deg(h)) \leq d$ , and  $p(b_i) = g(b_i) - h(b_i) = c_i - c_i = 0, i = 0, \dots, d$ .

$p$  is a polynomial with degree at most  $d$  and has  $d+1$  roots, hence  $p = 0$ , so

$$g = h.$$

Conclusion: There exists one and only one polynomial  $g$  with degree at most  $d$  such that  $g(b_i) = c_i, i = 0, \dots, d$  (where  $b_0, \dots, b_d$  are distinct,  $d \geq 1$ )

□

**Ex. 4.2.2** This exercise deals with Schönemann's version of the irreducibility criterion.

- (a) Let  $f(x) = (x-a)^n + pF(x)$ , where  $a \in \mathbb{Z}$  and  $F(x) \in \mathbb{Z}[x]$  satisfy  $\deg(F) \leq n$ , and  $p \nmid F(a)$ . Prove that  $f$  is irreducible over  $\mathbb{Q}$ .
- (b) More generally, let  $g(x) \in \mathbb{Z}[x]$  be irreducible modulo  $p$  (i.e., reducing its coefficients modulo  $p$  gives an irreducible polynomial in  $\mathbb{F}_p[x]$ ). Then let  $f(x) = g(x)^n + pF(x)$ , where  $F(x) \in \mathbb{Z}[x]$  and  $g(x)$  and  $F(x)$  are relatively prime modulo  $p$ . Also assume that  $\deg(F) \leq n \deg(g)$ . Prove that  $f$  is irreducible over  $\mathbb{Q}$ .

*Proof.* (a) Let  $f(x) = (x - a)^n + pF(x)$ , where  $a \in \mathbb{Z}$ , and  $p$  is prime. We show that  $f$  is irreducible. If we suppose on the contrary that  $f$  is reducible over  $\mathbb{Q}$ , then by Corollary 4.2.1

$$f = gh, \quad g, h \in \mathbb{Z}[x], k = \deg(g) \geq 1, l = \deg(h) \geq 1.$$

As  $\deg(F) \leq n$ ,  $\deg(f) \leq n$ , and as the coefficient of  $x^n$  in  $f$  is congruent to 1 modulo  $p$ , it is nonzero, so  $\deg(f) = n$ , and  $k + l = n$ .

Write  $\bar{f} \in \mathbb{F}_p[x]$  the reduction modulo  $p$  of  $f$ , and write  $\bar{a} = [a]_p$  the class of  $a \in \mathbb{Z}$  modulo  $p$ .

The application

$$\begin{aligned} \varphi : \mathbb{Z}[x] &\rightarrow \mathbb{F}_p[x] \\ q = \sum_{i=0}^d a_i x^i &\mapsto \bar{q} = \sum_{i=0}^d \bar{a}_i x^i \end{aligned}$$

is a ring homomorphism, so  $\bar{f} = \bar{g}\bar{h} = \bar{g}\bar{h}$ .

Thus

$$\bar{f} = (x - \bar{a})^n = \bar{g}\bar{h}$$

As  $\deg(\bar{g}) \leq \deg(g)$ ,  $\deg(\bar{h}) \leq \deg(h)$  and as  $\deg(\bar{g}) + \deg(\bar{h}) = \deg((x - \bar{a})^n) = n = \deg(g) + \deg(h)$ , we conclude that  $\deg(\bar{g}) = \deg(g) = k$ ,  $\deg(\bar{h}) = \deg(h) = l$ .

$x - \bar{a}$  is irreducible in  $\mathbb{F}_p[x]$ , as every polynomial of degree 1.  $\mathbb{F}_p$  being a field, the unicity of the decomposition in irreducible factors in the principal ideal domain  $\mathbb{F}_p[x]$  shows that the only irreducible factors of  $\bar{g}, \bar{h}$  are associate to powers of  $x - \bar{a}$ :

$$\bar{g} = \bar{u}(x - \bar{a})^k, \bar{h} = \bar{v}(x - \bar{a})^l, \quad \bar{u}, \bar{v} \in \mathbb{F}_p^*.$$

Hence there exist polynomials  $G, H \in \mathbb{Z}[x]$  such that

$$g = u(x - a)^k + pG(x), h = v(x - a)^l + pH(x).$$

Consequently

$$f(x) = (x - a)^n + pF(x) = [u(x - a)^k + pG(x)][v(x - a)^l + pH(x)].$$

As  $k \geq 1, l \geq 1$ ,  $(x - a)^k$  and  $(x - a)^l$  have  $a$  as a root, thus

$$f(a) = pF(a) = p^2 G(a)H(a).$$

Then  $F(a) = pG(a)H(a)$  is divisible by  $p$ , in contradiction with the hypothesis  $p \nmid F(a)$ .

Conclusion:  $f \in \mathbb{Z}[x]$  is not a product of nonconstant polynomials in  $\mathbb{Z}[x]$ . By Corollary 4.2.1,  $f$  is irreducible over  $\mathbb{Q}$ .

- (b) More generally, suppose that  $u \in \mathbb{Z}[x]$  is such that  $\bar{u}$  is irreducible over  $\mathbb{F}_p$ , and that  $f(x) = u(x)^n + pF(x)$ ,  $F(x) \in \mathbb{Z}[x]$ ,  $\bar{u} \wedge \bar{F} = 1$  and  $\deg(F) \leq n \deg(u)$ .

We must suppose also that the leading coefficient of  $u$  is not divisible by  $p$ , so  $\deg(\bar{u}) = \deg(u)$ .

Then  $\deg(f) \leq n \deg(u)$ , and the coefficient of the monomial of degree  $n \deg(u)$  being nonzero modulo  $p$ ,  $\deg(f) = n \deg(u) = n \deg(\bar{u}) = \deg(\bar{f})$ .

If we suppose  $f$  reducible, then  $f = gh$ ,  $k = \deg(g) \geq 1$ ,  $l = \deg(h) \geq 1$ , which implies as in (a)

$$\bar{f} = \bar{u}^n = \bar{g}\bar{h}.$$

Since  $\bar{u}$  is irreducible,

$$\bar{g} = \bar{c}\bar{u}^i, \bar{h} = \bar{d}\bar{u}^j, \quad i, j \in \mathbb{N}, \quad \bar{c}, \bar{d} \in \mathbb{F}_p$$

As  $\deg(\bar{g}) \leq \deg(g)$ ,  $\deg(\bar{h}) \leq \deg(h)$ , and  $\deg(\bar{g}) + \deg(\bar{h}) = \deg(\bar{f}) = \deg(f) = \deg(g) + \deg(h)$ , we conclude  $\deg(\bar{g}) = \deg(g) \geq 1$ ,  $\deg(\bar{h}) = \deg(h) \geq 1$ . Consequently  $i \geq 1$ ,  $j \geq 1$ .

There exist polynomials  $G, H \in \mathbb{Z}[x]$  such that

$$g = cu^i + pG, h = du^j + pH.$$

Thus

$$f = u^n + pF = (cu^i + pG)(du^j + pH).$$

As  $i \geq 1$ ,  $j \geq 1$ ,  $u$  divides  $pF - p^2GH$  in  $\mathbb{Z}[x]$ , so there exists  $v \in \mathbb{Z}[x]$  such that

$$uv = p(F - pGH).$$

As  $\bar{u}\bar{v} = 0$ , and  $\bar{u} \neq 0$  in the integral domain  $\mathbb{F}_p[x]$ , then  $\bar{v} = 0$ : all the coefficients of  $v$  are divisible by  $p$ , thus  $w = v/p \in \mathbb{Z}[x]$ , and

$$uw = F - pGH, \quad \bar{u}\bar{w} = \bar{F}.$$

Hence  $\bar{u} \mid \bar{F}$ , in contradiction with the hypothesis  $\bar{u} \wedge \bar{F} = 1$ .

$f = u^n + pF$  is so irreducible. □

**Ex. 4.2.3** Use part (a) of Exercise 2 with  $a = 1$  to give another proof of Proposition 4.2.5.

*Proof.* **Lemma:** If  $p$  is prime, then for all  $k$ ,  $0 \leq k \leq p-1$ ,

$$\binom{p-1}{k} \equiv (-1)^k \pmod{p}.$$

Proof by induction on  $k$ .

- If  $k = 0$ ,  $\binom{p-1}{0} = 1 = (-1)^0$ .
- Suppose that this property is true for  $k-1$  ( $1 \leq k \leq p-1$ ):

$$\binom{p-1}{k-1} \equiv (-1)^{k-1} \pmod{p}$$

Then, as  $1 \leq k \leq p-1$ , we know that  $\binom{p}{k} \equiv 0 \pmod{p}$ , thus from Pascal's formula,

$$\binom{p-1}{k} = \binom{p}{k} - \binom{p-1}{k-1} \equiv 0 - (-1)^{k-1} \equiv (-1)^k \pmod{p},$$



which concludes the induction.  $\square$

If  $p = 2$ ,  $\Phi_2 = 1 + x$  is irreducible. Suppose now that  $p$  is an odd prime.

Applying the lemma, we obtain

$$\begin{aligned}\Phi_p(x) - (x-1)^{p-1} &= \sum_{k=0}^{p-1} x^k - \sum_{k=0}^{p-1} (-1)^{p-1-k} \binom{p-1}{k} x^k \\ &= \sum_{k=0}^{p-1} \left[ 1 - (-1)^{p-1-k} \binom{p-1}{k} \right] x^k \\ &= \sum_{k=0}^{p-1} \left[ 1 - (-1)^k \binom{p-1}{k} \right] x^k \\ &= p \sum_{k=0}^{p-1} a_k x^k \quad (a_k \in \mathbb{Z})\end{aligned}$$

since every coefficient  $[1 - (-1)^k \binom{p-1}{k}]$  is divisible by  $p$ , of the form  $pa_k$ ,  $a_k \in \mathbb{Z}$ .

Consequently

$$\Phi_p(x) = (x-1)^{p-1} + pF(x), \quad F(x) = \sum_{k=0}^{p-1} a_k x^k \in \mathbb{Z}[x], \quad \deg(F) \leq p-1.$$

Moreover

$$F(1) = \sum_{k=0}^{p-1} a_k = \sum_{k=0}^{p-1} \frac{1 - (-1)^k \binom{p-1}{k}}{p} = 1 - \frac{1}{p} \sum_{k=0}^{p-1} (-1)^k \binom{p-1}{k} = 1 - \frac{1}{p} (1-1)^{p-1} = 1.$$

$F(1) \not\equiv 0 \pmod{p}$ . By Exercise 2,  $\Phi_p$  is irreducible.  $\square$

**Ex. 4.2.4** For each of the following polynomials, use a computer to determine whether it is irreducible over the given field.

(a)  $x^4 + x^3 + x^2 + x + 2$  over  $\mathbb{Q}$ .

(b)  $3x^6 + 6x^5 + 9x^4 + 2x^3 + 3x^2 + 1$  over  $\mathbb{Q}$  and  $\mathbb{Q}(\sqrt[3]{2})$ .

*Proof.* (a) With Sage, the instructions

```
factor(x^4+x^3+x^2+x+2)
factor(3*x^6+6*x^5+9*x^4+2*x^3+3*x^2+1);
```

give the same polynomials.

So  $x^4 + x^3 + x^2 + x + 2$  and  $3x^6 + 6x^5 + 9x^4 + 2x^3 + 3x^2 + 1$  are irreducible over  $\mathbb{Q}$ .

(b) The instructions

```
K = NumberField(x^3-2, 'a'); L.<X> = PolynomialRing(K)
p = 3*x^6 + 6*x^5 + 9*x^4 + 2*x^3 + 3*x^2 + 1
u = factor(p)
```

give the following decomposition, where  $a = \sqrt[3]{2}$ :

$$\begin{aligned} 3x^6 + 6x^5 + 9x^4 + 2x^3 + 3x^2 + 1 = \\ \frac{1}{3}(3x^2 + (-a^2 + a + 2)x + a^2 - a + 1) \times \\ (3x^4 + (a^2 - a + 4)x^3 + (a + 4)x^2 + (-a^2 - a)x + a + 1). \end{aligned}$$

Thus  $3x^6 + 6x^5 + 9x^4 + 2x^3 + 3x^2 + 1$  is not irreducible over  $\mathbb{Q}(\sqrt[3]{2})$ . □

**Ex. 4.2.5** Find the minimal polynomial of the 24th root of unity  $\zeta_{24}$  as follows.

- (a) Factor  $x^{24} - 1$  over  $\mathbb{Q}$ . Determine which of the factors is the minimal polynomial of  $\zeta_{24}$ .

*Proof.* (a) The instruction Sage 'factor' gives the decomposition

$$x^{24} - 1 = (x^8 - x^4 + 1)(x^4 - x^2 + 1)(x^4 + 1)(x^2 + x + 1)(x^2 - x + 1)(x^2 + 1)(x + 1)(x - 1)$$

- (b) The Sage instructions

```
zeta = exp(2*i*pi/24)
(x^8 - x^4 + 1).subs(x=zeta).expand()
```

return the value 0.

Thus  $\zeta_{24} = e^{2i\pi/24}$  is a root of  $x^8 - x^4 + 1$ , irreducible over  $\mathbb{Q}$  by (a).

$x^8 - x^4 + 1$  is so the minimal polynomial over  $\mathbb{Q}$  of  $\zeta_{24}$ .

Verification:  $\zeta_{24}^8 - \zeta_{24}^4 + 1 = e^{2i\pi/3} - e^{i\pi/3} + 1 = \omega + \omega^2 + 1 = 0$ .

Note: If we know the cyclotomic polynomials, since 3 is prime:

$$\begin{aligned} \Phi_3(x) &= x^2 + x + 1, \\ \Phi_6(x) &= \Phi_3(-x) = x^2 - x + 1, \\ \Phi_{24}(x) &= \Phi_{\text{rad}(24)}(x^{\frac{24}{\text{rad}(24)}}) = \Phi_6(x^4) = x^8 - x^4 + 1, \end{aligned}$$

$$(24 = 3 \times 2^3, \text{rad}(24) = 3 \times 2 = 6).$$

$\Phi_{24}$  is the minimal polynomial of  $\zeta_{24}$  over  $\mathbb{Q}$ . The decomposition in (a) is the decomposition

$$x^{24} - 1 = \prod_{d|24} \Phi_d(x) = \Phi_{24} \Phi_{12} \Phi_8 \Phi_3 \Phi_6 \Phi_4 \Phi_2 \Phi_1.$$

□

**Ex. 4.2.6** Let  $F$  be a finite field. Explain why there is an algorithm for deciding whether  $f \in F[x]$  is irreducible.

*Proof.* If  $f$  is reducible, of degree  $n$ ,  $f = gh$ ,  $g, h \in F[x]$ , where  $1 \leq \deg(g) \leq \deg(h) \leq n - 1$ .

As  $\deg(g) + \deg(h) = n$ ,  $2 \deg(g) \leq n$ ,  $\deg(g) \leq n/2$ . If we multiply  $g, h$  by appropriate constants, we can suppose that  $g$  is monic.

So  $f$  is reducible iff there exists a monic factor of  $f$  of degree  $d$ ,  $d, 1 \leq d \leq n/2$ .

As  $F$  is finite, with cardinality  $q$ , we can list all monic polynomials of degree  $k$ , of the form  $p = x^k + a_{k-1}x^{k-1} + \cdots + a_0$ , by listing all  $q^k$   $k$ -plets  $(a_0, \dots, a_{k-1})$ , and test the divisibility of  $f$  by each such polynomial, for every value of  $k, 1 \leq k \leq n/2$ .

If  $f$  is irreducible, the number of polynomial division to prove the irreducibility is so

$$q + q^2 + \cdots + q^r = q \frac{q^r - 1}{q - 1}, \quad r = \lfloor n/2 \rfloor.$$

□

**Ex. 4.2.7** For each of the following polynomials, determine, without using a computer, whether it is irreducible over the given field.

(a)  $x^3 + x + 1$  over  $\mathbb{F}_5$ .

(b)  $x^4 + x + 1$  over  $\mathbb{F}_2$ .

*Proof.* (a)  $f = x^3 + x + 1$  being of degree 3, it is reducible iff it has a linear factor (see Ex. 6), iff it has a root in  $\mathbb{F}_5$ , which request 5 verifications:

$f(0) = 1, f(1) = 3, f(2) = 1, f(-2) = 1, f(-1) = -1$ , all nonzero, so  $f$  is irreducible over  $\mathbb{F}_5$ .

(b)  $f = x^4 + x + 1$  has no root in  $\mathbb{F}_2$ .

It is so sufficient to test the divisibility of  $f$  by quadratic polynomials, which are

$$x^2, x^2 + 1, x^2 + x, x^2 + x + 1.$$

$x^2$  and  $x^2 + x$  are not irreducible, can be excluded of the list. It remains to test two divisions by

$$x^2 + 1, x^2 + x + 1$$

.

$$\begin{aligned} x^4 + x + 1 &= (x^2 + 1)(x^2 + 1) + x \\ &= (x^2 + x + 1)(x^2 + x) + 1 \end{aligned}$$

The remainders of these divisions being nonzero,  $x^4 + x + 1$  is so irreducible over  $\mathbb{F}_2$ .

Note: the factorization of  $\Phi_{15}$  over the field  $\mathbb{F}_2$ , gives the list of irreducible polynomials over  $\mathbb{F}_2$  of degree 4.

```
S.<t> = GF(2) ['t']
phi15 = (x^15-1)*(x-1)*(x-1))/((x-1)*(x^3-1)*(x^5-1)); phi15
x^8 + x^7 + x^5 + x^4 + x^3 + x + 1
factor(phi15)
(x^4 + x + 1) * (x^4 + x^3 + 1)
```

□

**Ex. 4.2.8** Let  $a \in \mathbb{Z}$  be a product of distinct prime numbers. Prove that  $x^n - a$  is irreducible over  $\mathbb{Q}$  for any  $n \geq 1$ . What does this imply about  $\sqrt[n]{a}$  when  $n \geq 2$ .

*Proof.* Let  $a = p_1 \cdots p_r$  a product of distinct prime numbers.

We show that  $f = x^n - a$  is irreducible over  $\mathbb{Q}$ . Suppose on the contrary that  $f = x^n - a$  is reducible. By Gauss Lemma  $f$  has a monic factor  $g \in \mathbb{Z}[x]$ ,  $1 \leq \deg(g) < n$ .

The decomposition of  $f$  in  $\mathbb{C}[x]$  is

$$f = \prod_{\zeta \in \mathbb{U}_n} (x - \zeta \sqrt[n]{a}).$$

$\mathbb{C}[x]$  being a unique factorization domain,

$$g = \prod_{\zeta \in A} (x - \zeta \sqrt[n]{a}), \quad \emptyset \neq A \subsetneq \mathbb{U}_n,$$

where  $|A| = s$  satisfies  $1 \leq s < n$ .

As  $g \in \mathbb{Z}[x]$ , the constant term is an integer  $N$ , given by

$$N = \xi \sqrt[n]{a^s},$$

where  $\xi = \prod_{\zeta \in A} \zeta \in \mathbb{U}_n$  is a  $n$ -th root of unity.

Moreover  $\xi = N / \sqrt[n]{a^s} \in \mathbb{R}$ , thus  $\xi = \pm 1$ , and  $\sqrt[n]{a^s} = \pm N = M \in \mathbb{Z}$ .

But then  $p_1^s \cdots p_r^s = M^n$ .

The unicity of the decomposition in prime factors shows that the  $p_i$  are the only prime divisors of  $M$ :  $M = p_1^{k_1} \cdots p_r^{k_r}$ , and  $s = nk_i, i = 1, \dots, r$ .

Thus  $n \mid s$ , in contradiction with  $1 \leq s < n$ .

Conclusion:  $x^n - a$  is irreducible over  $\mathbb{Q}$ , if  $a = p_1 \cdots p_r$  is a product of distinct prime numbers.

The easy part of Proposition 4.2.6 shows that  $x^n - a, n \geq 2$  has no root in  $\mathbb{Q}$ , in other words  $\sqrt[n]{a}$  is irrational, for every  $a$  being a product of distinct prime numbers.  $\square$

**Ex. 4.2.9** Let  $k$  be a field, and let  $F = k(t)$  be the field of rational functions in  $t$  with coefficients in  $k$ . Then consider  $f = x^p - t \in F[x]$ , where  $p$  is prime. By Proposition 4.2.6,  $f$  is irreducible provided we can show that  $f$  has no roots in  $F$ . Prove this.

*Proof.* If  $f$  has a root in  $k(t)$ , then there exists a rational function  $u/v$ ,  $u, v \in k[t], u \wedge v = 1$  such that

$$t = \left( \frac{u(t)}{v(t)} \right)^p,$$

which is equivalent to the equality in  $k[t]$ :

$$u(t)^p = tv(t)^p.$$

As  $u \wedge v = 1$ , then  $u \wedge v^p = 1$ , and  $u$  divides  $tv^p$ , thus  $u$  divides  $t$ .

Since  $t$  is irreducible (as every polynomial of degree 1),  $u(t) = \lambda$ , or  $u(t) = \lambda t$ ,  $\lambda \in k^*$ .

The case  $u(t) = \lambda$  implies  $t \mid 1$ , which is false.

The case  $u(t) = \lambda t$  gives  $\lambda^p t^p = tv(t)^p$ , thus  $\lambda^p t^{p-1} = v(t)^p$ , and as  $p > 1$ ,  $t$  divides also  $v$ , which contradicts  $u \wedge v = 1$ .

Conclusion: If  $p$  is prime,  $f = x^p - t$  is irreducible over  $F = k(t)$ .  $\square$

### 4.3 THE DEGREE OF AN EXTENSION

**Ex. 4.3.1** In (4.9) we represented elements of  $F(\alpha)$  uniquely using remainders on division by the minimal polynomial of  $\alpha$ . In the exercise you will adapt the proof of Proposition 4.3.4 to the case of quotient rings. Suppose that  $f \in F[x]$  has degree  $n > 0$ . Prove that every coset on  $F[x]/\langle f \rangle$  can be written as

$$a_0 + a_1x + \cdots + a_{n-1}x^{n-1} + \langle f \rangle,$$

where  $a_0, a_1, \dots, a_{n-1} \in F$  are unique.

*Proof.* Let  $f \in F[x]$ ,  $\deg(f) = n > 0$ , and  $y \in F[x]/\langle f \rangle$ . There exists  $g \in F[x]$  such that  $y = g + \langle f \rangle$ .

The division of  $g$  by  $f$  gives

$$g = qf + r, \quad \deg(r) < \deg(f) = n.$$

Thus  $g - r = qf \in \langle f \rangle$ , and consequently  $y = g + \langle f \rangle = r + \langle f \rangle$ .

As  $\deg(r) < n$ ,  $r = a_0 + a_1x + \cdots + a_{n-1}x^{n-1}$ ,  $a_0, a_1, \dots, a_{n-1} \in F$ .

Every  $y \in F[x]/\langle f \rangle$  can be written as

$$y = a_0 + a_1x + \cdots + a_{n-1}x^{n-1} + \langle f \rangle, \quad a_0, a_1, \dots, a_{n-1} \in F.$$

Unicity:

Suppose that  $y \in g + \langle f \rangle$  is written as

$$\begin{aligned} y &= a_0 + a_1x + \cdots + a_{n-1}x^{n-1} + \langle f \rangle \\ &= b_0 + b_1x + \cdots + b_{n-1}x^{n-1} + \langle f \rangle \\ (a_i, b_i &\in F, i = 0, \dots, n-1). \end{aligned}$$

Then there exist two polynomials  $a, b \in \langle f \rangle$  such that

$$p = \sum_{k=0}^{n-1} a_k x^k + a = \sum_{k=0}^{n-1} b_k x^k + b.$$

Let  $r = \sum_{k=0}^{n-1} a_k x^k$ ,  $s = \sum_{k=0}^{n-1} b_k x^k$ . By definition of  $\langle f \rangle$ , there exists  $q_1, q_2 \in F[x]$  such that

$$p = q_1f + r = q_2f + s, \quad \deg(r) < n, \deg(s) < n.$$

The unicity of the remainder in the division of  $p$  by  $f$  shows that  $r = s$ , so  $a_i = b_i$ ,  $i = 0, \dots, n-1$ .

Conclusion: Every element in  $F[x]/\langle f \rangle$  is written as

$$a_0 + a_1x + \cdots + a_{n-1}x^{n-1} + \langle f \rangle, \quad a_0, a_1, \dots, a_{n-1} \in F.$$

where  $a_0, a_1, \dots, a_{n-1}$  are unique. □

**Ex. 4.3.2** Compute the degree of the following extensions:

(a)  $\mathbb{Q} \subset \mathbb{Q}(i, \sqrt[4]{2})$ .

(b)  $\mathbb{Q} \subset \mathbb{Q}(\sqrt{3}, \sqrt[3]{2})$ .

(c)  $\mathbb{Q} \subset \mathbb{Q}(\sqrt{2 + \sqrt{2}})$

(d)  $\mathbb{Q} \subset \mathbb{Q}(i, \sqrt{2 + \sqrt{2}})$ .

*Proof.* (a) Note that  $\sqrt[4]{2}$  is a root of  $p = x^4 - 2 \in \mathbb{Q}[x]$ , and  $p$  is irreducible over  $\mathbb{Q}$  by Exercise 4.2.8 (or Schönemann-Eisenstein Criterion for the prime 2). Thus

$$[\mathbb{Q}(\sqrt[4]{2}) : \mathbb{Q}] = 4.$$

$i$  is a root of  $x^2 + 1$ , which has no root in  $\mathbb{R}$ , a fortiori in  $\mathbb{Q}[\sqrt[4]{2}]$ . As its degree is 2, it is irreducible over  $\mathbb{Q}[\sqrt[4]{2}]$ , thus

$$[\mathbb{Q}(\sqrt[4]{2}, i) : \mathbb{Q}(\sqrt[4]{2})] = 2.$$

Moreover  $\mathbb{Q}(i, \sqrt[4]{2}) = \mathbb{Q}(\sqrt[4]{2}, i)$ . The Tower Theorem gives

$$[\mathbb{Q}(i, \sqrt[4]{2}) : \mathbb{Q}] = [\mathbb{Q}(\sqrt[4]{2}, i) : \mathbb{Q}(\sqrt[4]{2})] \times [\mathbb{Q}(\sqrt[4]{2}) : \mathbb{Q}] = 8.$$

(b)  $\sqrt[3]{2}$  is irrational, so  $f = x^3 - 2$  has no root in  $\mathbb{Q}$ , and  $\deg(f) = 3$ , thus  $f$  is irreducible over  $\mathbb{Q}$  and  $f$  is the minimal polynomial over  $\mathbb{Q}$  of  $\sqrt[3]{2}$ , and so

$$[\mathbb{Q}(\sqrt[3]{2}) : \mathbb{Q}] = 3.$$

The roots of  $x^2 - 3$  are  $\pm\sqrt{3}$  and are irrational. As  $\deg(x^2 - 3) = 2$ , and as  $x^2 - 3$  has no root in  $\mathbb{Q}$ ,  $x^2 - 3$  is irreducible over  $\mathbb{Q}$ . It is the minimal polynomial of  $\sqrt{3}$  over  $\mathbb{Q}$ , thus

$$[\mathbb{Q}(\sqrt{3}) : \mathbb{Q}] = 2.$$

Moreover

$$\begin{aligned} [\mathbb{Q}(\sqrt{3}, \sqrt[3]{2}) : \mathbb{Q}] &= [\mathbb{Q}(\sqrt{3}, \sqrt[3]{2}) : \mathbb{Q}(\sqrt[3]{2})] \times [\mathbb{Q}(\sqrt[3]{2}) : \mathbb{Q}] \\ &= [\mathbb{Q}(\sqrt{3}, \sqrt[3]{2}) : \mathbb{Q}(\sqrt{3})] \times [\mathbb{Q}(\sqrt{3}) : \mathbb{Q}], \end{aligned}$$

thus, if we write  $d = [\mathbb{Q}(\sqrt{3}, \sqrt[3]{2}) : \mathbb{Q}]$ , then  $2 \mid d, 3 \mid d$ , with  $2 \wedge 3 = 1$ , thus  $6 \mid d$ ,  $6 \leq d$ .

$\sqrt{3}$  is a root of  $x^2 - 3$ , and the degree of  $x^2 - 3$  is 2. Its coefficients are in  $\mathbb{Q}$ , a fortiori in  $\mathbb{Q}(\sqrt[3]{2})$ . Thus the minimal polynomial  $p$  of  $\sqrt{3}$  over  $\mathbb{Q}(\sqrt[3]{2})$  divides  $x^2 - 3$ . Its degree  $\delta = \deg(p) = [\mathbb{Q}(\sqrt{3}, \sqrt[3]{2}) : \mathbb{Q}(\sqrt[3]{2})]$  satisfies then  $\delta \leq 2$ .

As  $d = 3\delta \geq 6$ , and so  $\delta \leq 2, d \leq 6$ . Therefore  $d = 6$ .

$$[\mathbb{Q}(\sqrt{3}, \sqrt[3]{2}) : \mathbb{Q}] = 6.$$

(c) Let  $\alpha = \sqrt{2 + \sqrt{2}}$ .

Then  $\alpha^2 = 2 + \sqrt{2}, \alpha^2 - 2 = \sqrt{2}, (\alpha^2 - 2)^2 - 2 = 0, \alpha^4 - 4\alpha^2 + 2 = 0$ .

$\alpha$  is a root of

$$f = x^4 - 4x^2 + 2.$$

We show that  $f$  is irreducible over  $\mathbb{Q}$ .  $f = x^4 - 4x^2 + 2 = a_4x^4 + a_3x^3 + a_2x^2 + a_1x + a_0$  satisfies  $2 \nmid a_4 = 1, 2 \mid a_3 = 0, 2 \mid a_2 = -4, 2 \mid a_1 = 0, 2 \mid a_0 = 2, 2^2 \nmid a_0 = 2$ , so the Schönemann-Eisenstein Criterion with  $p = 2$  implies that  $f$  is irreducible over  $\mathbb{Q}$ .

Conclusion:  $f = x^4 - 4x^2 + 2$  is irreducible over  $\mathbb{Q}$ .  $f$  is the minimal polynomial of  $\alpha = \sqrt{2} + \sqrt{2}$ , thus

$$\left[ \mathbb{Q} \left( \sqrt{2} + \sqrt{2} \right) : \mathbb{Q} \right] = 4.$$

- (d)  $x^2 + 1$  has no real root, a fortiori no root in  $\mathbb{Q}(\sqrt{2} + \sqrt{2})$ , and  $\deg(x^2 + 1) = 2$ . Thus  $x^2 + 1$  is irreducible over  $\mathbb{Q}(\sqrt{2} + \sqrt{2})$ , it is the minimal polynomial of  $i$  over  $\mathbb{Q}(\sqrt{2} + \sqrt{2})$ , thus

$$\left[ \mathbb{Q} \left( i, \sqrt{2} + \sqrt{2} \right) : \mathbb{Q} \left( \sqrt{2} + \sqrt{2} \right) \right] = 2.$$

Consequently

$$\left[ \mathbb{Q} \left( i, \sqrt{2} + \sqrt{2} \right) : \mathbb{Q} \right] = \left[ \mathbb{Q} \left( i, \sqrt{2} + \sqrt{2} \right) : \mathbb{Q} \left( \sqrt{2} + \sqrt{2} \right) \right] \times \left[ \mathbb{Q} \left( \sqrt{2} + \sqrt{2} \right) : \mathbb{Q} \right] = 8.$$

□

**Ex. 4.3.3** For each of the extensions in Exercise 2, find a basis over  $\mathbb{Q}$  using the method of Example 4.3.9.

*Proof.* (a)  $(1, \sqrt[4]{2}, \sqrt[4]{2}^2, \sqrt[4]{2}^3)$  is a basis of  $\mathbb{Q}(\sqrt[4]{2})$  over  $\mathbb{Q}$ , and  $(1, i)$  a basis of  $\mathbb{Q}(i, \sqrt[4]{2})$  over  $\mathbb{Q}(\sqrt[4]{2})$ , thus

$$(1, \sqrt[4]{2}, \sqrt[4]{2}^2, \sqrt[4]{2}^3, i, i\sqrt[4]{2}, i\sqrt[4]{2}^2, i\sqrt[4]{2}^3)$$

is a basis of  $\mathbb{Q}(i, \sqrt[4]{2})$  over  $\mathbb{Q}$

- (b)  $(1, \sqrt[3]{2}, \sqrt[3]{2}^2)$  is a basis of  $\mathbb{Q}(\sqrt[3]{2})$  over  $\mathbb{Q}$ , and  $(1, \sqrt{3})$  a basis of  $\mathbb{Q}(\sqrt{3}, \sqrt[3]{2})$  over  $\mathbb{Q}(\sqrt[3]{2})$ , thus

$$(1, \sqrt[3]{2}, \sqrt[3]{2}^2, \sqrt{3}, \sqrt{3}\sqrt[3]{2}, \sqrt{3}\sqrt[3]{2}^2)$$

is a basis of  $\mathbb{Q}(\sqrt{3}, \sqrt[3]{2})$  over  $\mathbb{Q}$ .

- (c) The minimal polynomial of  $\sqrt{2} + \sqrt{2}$  over  $\mathbb{Q}$  being of degree 4,

$$\left( 1, \sqrt{2} + \sqrt{2}, \sqrt{2} + \sqrt{2}^2 = 2 + \sqrt{2}, \sqrt{2} + \sqrt{2}^3 = (2 + \sqrt{2})\sqrt{2} + \sqrt{2} \right)$$

is a basis of  $\mathbb{Q}(\sqrt{2} + \sqrt{2})$  over  $\mathbb{Q}$ .

- (d) w A basis of  $\mathbb{Q}(i, \sqrt{2} + \sqrt{2})/\mathbb{Q}(\sqrt{2} + \sqrt{2})$  being  $(1, i)$ ,

$$(1, \alpha, \alpha^2, \alpha^3, i, i\alpha, i\alpha^2, i\alpha^3), \quad \text{where } \alpha = \sqrt{2} + \sqrt{2},$$

is a basis of  $\mathbb{Q}(i, \sqrt{2} + \sqrt{2})$  over  $\mathbb{Q}$ .

□

**Ex. 4.3.4** Suppose that  $F \subset L$  is a finite extension with  $[L : F]$  prime.

(a) Show that the only subfields of  $L$  containing  $F$  are  $F$  and  $L$ .

(b) Show that  $L = F(\alpha)$  for any  $\alpha \in L \setminus F$ .

*Proof.* (a) If a subfield  $K$  of  $L$  satisfies  $F \subset K \subset L$ , then

$$[L : F] = [L : K][K : F],$$

so  $[K : F]$  divides  $p = [L : F]$ , where  $p$  is a prime.

If  $[K : F] = 1$ , then  $K = F$ , and if  $[K : F] = p$ , then  $[L : K] = 1$ , thus  $K = L$ .

Conclusion: If  $[L : F]$  is a prime number, the only intermediate subfields of the extension  $F \subset L$  are  $L$  and  $F$ .

(b) Since  $\alpha \in L$ ,  $F \subset F(\alpha) \subset L$ . If  $\alpha \notin F$ , then  $F(\alpha) \neq F$ , thus by (a),  $F(\alpha) = L$ . □

**Ex. 4.3.5** Consider the extension  $\mathbb{Q} \subset L = \mathbb{Q}(\sqrt[4]{2}, \sqrt[3]{3})$ . We will compute  $[L : \mathbb{Q}]$ .

(a) Show that  $x^4 - 2$  and  $x^3 - 3$  are irreducible over  $\mathbb{Q}$ .

(b) Use  $\mathbb{Q} \subset \mathbb{Q}(\sqrt[4]{2}) \subset L$  to show that  $4 \mid [L : \mathbb{Q}]$  and  $[L : \mathbb{Q}] \leq 12$ .

(c) Use  $\mathbb{Q} \subset \mathbb{Q}(\sqrt[3]{3}) \subset L$  to show that  $[L : \mathbb{Q}]$  is also divisible by 3.

(d) Explain why parts (b) and (c) imply that  $[L : \mathbb{Q}] = 12$ . This works because 3 and 4 are relatively prime. Do you see why?

*Proof.* (a) The Schönemann-Eisenstein Criterion with  $p = 2$  shows that  $x^4 - 2$  is irreducible over  $\mathbb{Q}$ , and with  $p = 3$  shows that  $f = x^3 - 3$  is irreducible over  $\mathbb{Q}$  (alternatively, we can use Exercise 4.2.8).

(b) As  $x^4 - 2$  is irreducible over  $\mathbb{Q}$  by (a),  $x^4 - 2$  is the minimal polynomial over  $\mathbb{Q}$  of  $\sqrt[4]{2}$ .

$$[L : \mathbb{Q}] = [L : \mathbb{Q}(\sqrt[4]{2})] \times [\mathbb{Q}(\sqrt[4]{2}) : \mathbb{Q}],$$

thus  $4 = \deg(x^4 - 2) = [\mathbb{Q}(\sqrt[4]{2}) : \mathbb{Q}]$  divides  $[L : \mathbb{Q}]$ .

As  $x^3 - 3 \in \mathbb{Q}[x]$  is a fortiori in  $\mathbb{Q}(\sqrt[4]{2})[x]$ , the minimal polynomial  $P$  of  $\sqrt[3]{3}$  over  $\mathbb{Q}(\sqrt[4]{2})$  divides  $x^3 - 3$ , so its degree satisfies  $\deg(P) \leq 3$ . Consequently,  $[L : \mathbb{Q}(\sqrt[4]{2})] = \deg(P) \leq 3$  (et  $[\mathbb{Q}(\sqrt[4]{2}) : \mathbb{Q}] = 4$ ), thus

$$[L : \mathbb{Q}] = [L : \mathbb{Q}(\sqrt[4]{2})] \times [\mathbb{Q}(\sqrt[4]{2}) : \mathbb{Q}] \leq 12$$

(c) Similarly,  $x^3 - 3$  is the minimal polynomial of  $\sqrt[3]{3}$  over  $\mathbb{Q}$ .

$$[L : \mathbb{Q}] = [L : \mathbb{Q}(\sqrt[3]{3})] \times [\mathbb{Q}(\sqrt[3]{3}) : \mathbb{Q}],$$

thus  $3 = \deg(x^3 - 3) = [\mathbb{Q}(\sqrt[3]{3}) : \mathbb{Q}]$  divides  $[L : \mathbb{Q}]$ .

(d) As  $3 \mid [L : \mathbb{Q}]$ , and as  $4 \mid [L : \mathbb{Q}]$ , where 3 and 4 are relatively prime,

$$12 = 3 \times 4 \mid [L : \mathbb{Q}].$$

In particular,  $12 \leq [L : \mathbb{Q}]$ . By (b),  $12 \geq [L : \mathbb{Q}]$ , thus

$$[L : \mathbb{Q}] = 12.$$

□



**Ex. 4.3.6** Suppose that  $\alpha$  and  $\beta$  are algebraic over  $F$  with minimal polynomials  $f$  and  $g$  respectively. Prove the **Reciprocity theorem**:  $f$  is irreducible over  $F(\beta)$  if and only if  $g$  is irreducible over  $F(\alpha)$ .

*Proof.* Write  $d_1 = [F(\alpha) : F]$ ,  $\delta_1 = [F(\alpha, \beta) : F(\alpha)]$ ,  $d_2 = [F(\beta) : F]$ ,  $\delta_2 = [F(\alpha, \beta) : F(\beta)]$ .

The tower Theorem gives the two relations

$$[F(\alpha, \beta) : F] = \delta_1 d_1 = \delta_2 d_2. \quad (1)$$

Suppose that  $f$  is irreducible over  $F(\beta)$  (this makes sense because  $f \in F[x]$  has a fortiori its coefficients in  $F(\beta)$ ).

Then  $f$  is the minimal polynomial of  $\alpha$  over  $F(\beta)$ , thus

$$\delta_2 = [F(\alpha, \beta) : F(\beta)] = \deg(f) = d_1.$$

$\delta_2 = d_1$ , combined with the relation (1), gives  $\delta_1 = d_2$ .

Let  $G$  be the minimal polynomial of  $\beta$  over  $F(\alpha)$ .

As  $g \in F[x] \subset F(\alpha)[x]$ , and  $g(\beta) = 0$ , then  $G \mid g$ , and  $\deg(g) = d_2 = \delta_1 = \deg(G)$ , where  $g$  and  $G$  are monic, thus  $g = G$ .

As  $G$  is irreducible over  $F(\alpha)$ ,  $g$  is also irreducible over  $F(\alpha)$ .

We have proved:

$$f \text{ is irreducible over } F(\beta) \Rightarrow g \text{ is irreducible over } F(\alpha).$$

The proof of the converse is similar, by exchange of  $\alpha, \beta$ .

$$f \text{ is irreducible over } F(\beta) \iff g \text{ is irreducible over } F(\alpha).$$

□

**Ex. 4.3.7** Suppose we have extensions  $L_0 \subset L_1 \subset \cdots \subset L_m$ . Use induction to prove the following generalization of Theorem 4.3.8:

(a) If  $[L_i : L_{i-1}] = \infty$  for some  $1 \leq i \leq m$ , then  $[L_m : L_0] = \infty$ .

(b) If  $[L_i : L_{i-1}] < \infty$  for all  $1 \leq i \leq m$ , then

$$[L_m : L_0] = [L_m : L_{m-1}][L_{m-1} : L_{m-2}] \cdots [L_2 : L_1][L_1 : L_0].$$

*Proof.* (a) The Tower Theorem shows that (a) and (b) are true for  $m = 2$ . Suppose that (a) and (b) are true for an integer  $m \geq 2$ . We prove that they remain true for the integer  $m + 1$ .

• If  $[L_i : L_{i-1}] = \infty$  for some  $i, 1 \leq i \leq m$ , the induction hypothesis show that  $[L_m : L_0] = \infty$ . As  $L_0 \subset L_m \subset L_{m+1}$ , the part (a) of Theorem 4.3.8 (Tower Theorem), shows that  $[L_{m+1} : L_0] = \infty$ .

Moreover, if  $[L_{m+1} : L_m] = \infty$ , this same part (a) of Tower Theorem gives also  $[L_{m+1} : L_0] = \infty$ .

For all  $i, 1 \leq i \leq m + 1$ ,

$$[L_i : L_{i-1}] = \infty \Rightarrow [L_{m+1} : L_0] = \infty,$$

so the part (a) is proved for the integer  $m + 1$ .

- Suppose that  $[L_i : L_{i-1}] < \infty$  for all  $i, 1 \leq i \leq m+1$ . Then the induction hypothesis gives

$$[L_m : L_0] = \prod_{1 \leq i \leq m} [L_i : L_{i-1}]$$

The part (b) of theorem 4.3.8 implies that

$$\begin{aligned} [L_{m+1} : L_0] &= [L_{m+1} : L_m] \times [L_m : L_0] \\ &= [L_{m+1} : L_m] \times \prod_{1 \leq i \leq m} [L_i : L_{i-1}] \\ &= \prod_{1 \leq i \leq m+1} [L_i : L_{i-1}]. \end{aligned}$$

So the induction is done. □

#### 4.4 ALGEBRAIC EXTENSIONS

**Ex. 4.4.1** Lemma 4.4.2 shows that a finite extension is algebraic. Here we will give an example to show that the converse is false. The field of algebraic numbers  $\overline{\mathbb{Q}}$  is by definition algebraic over  $\mathbb{Q}$ . You will show that  $[\overline{\mathbb{Q}} : \mathbb{Q}] = \infty$  as follows

- (a) Given  $n \geq 2$  in  $\mathbb{Z}$ , use Example 4.2.4 from section 4.2 to show that  $\overline{\mathbb{Q}}$  has a subfield  $L$  such that  $[L : \mathbb{Q}] = n$ .
- (b) Explain why part (a) implies that  $[\overline{\mathbb{Q}} : \mathbb{Q}] = \infty$ .

*Proof.* (a) In Example 4.2.4, we have seen that the Schönemann-Eisenstein Criterion implies that, for all  $n \geq 2$ , and  $p$  prime,

$$f = x^n + px + p$$

is irreducible over  $\mathbb{Q}$ . Let  $\alpha$  a root of  $f$  in  $\mathbb{C}$ . Since  $f$  is irreducible over  $\mathbb{Q}$ , the minimal polynomial of  $\alpha$  over  $\mathbb{Q}$  is  $f$ , and

$$[\mathbb{Q}(\alpha) : \mathbb{Q}] = \deg(f) = n.$$

As  $[\mathbb{Q}(\alpha) : \mathbb{Q}] < \infty$ , every element of  $\mathbb{Q}(\alpha)$  is algebraic, so

$$\mathbb{Q} \subset \mathbb{Q}(\alpha) \subset \overline{\mathbb{Q}}.$$

$L = \mathbb{Q}(\alpha)$  is so an answer to the question.

- (b) Suppose on the contrary that  $[\overline{\mathbb{Q}} : \mathbb{Q}] < \infty$ . The tower theorem gives then

$$[\overline{\mathbb{Q}} : \mathbb{Q}] = [\overline{\mathbb{Q}} : \mathbb{Q}(\alpha)] \times [\mathbb{Q}(\alpha) : \mathbb{Q}] \geq [\mathbb{Q}(\alpha) : \mathbb{Q}] \geq n.$$

Then for all integer  $n \geq 2$ ,  $[\overline{\mathbb{Q}} : \mathbb{Q}] \geq n$ , thus  $[\overline{\mathbb{Q}} : \mathbb{Q}] = \infty$ , which is a contradiction.

Conclusion :  $[\overline{\mathbb{Q}} : \mathbb{Q}] = \infty$ .

$\overline{\mathbb{Q}}$  is an algebraic extension of  $\mathbb{Q}$ , with infinite dimension. □

**Ex. 4.4.2** Let  $\alpha \in \mathbb{C}$  be a solution of (4.14). We will show that the minimal polynomial of  $\alpha$  over  $\mathbb{Q}$  has degree at most 1760. Let  $L = \mathbb{Q}(\sqrt{2}, \sqrt{5}, \sqrt[4]{12}, i, \sqrt[5]{17}, \alpha)$ .

(a) Show that  $[L : \mathbb{Q}] \leq 1760$ .

(b) Use Lemme 4.4.2 to show that the minimal polynomial of  $\alpha$  has degree at most 1760.

*Proof.* (a) Let  $\alpha \in \mathbb{C}$  be a root of

$$f = x^{11} - (\sqrt{2} + \sqrt{5})x^5 + 3\sqrt[4]{12}x^3 + (1 + 3i)x + \sqrt[5]{17}.$$

Let  $L = \mathbb{Q}(\sqrt{2}, \sqrt{5}, \sqrt[4]{12}, i, \sqrt[5]{17}, \alpha)$ , and  $K = \mathbb{Q}(\sqrt{2}, \sqrt{5}, \sqrt[4]{12}, i, \sqrt[5]{17})$ .

$f \in K[x]$ , and  $\alpha$  is a root of  $f$ . The minimal polynomial  $p$  of  $\alpha$  over  $K$  divides  $f$ , thus  $[L : K] = [K(\alpha) : K] = \deg(p) \leq \deg(f) = 11$ :

$$[L : K] \leq 11.$$

Moreover, if we write

$K_4 = \mathbb{Q}(\sqrt{2}, \sqrt{5}, \sqrt[4]{12}, i)$ ,  $K_3 = \mathbb{Q}(\sqrt{2}, \sqrt{5}, \sqrt[4]{12})$ ,  $K_2 = \mathbb{Q}(\sqrt{2}, \sqrt{5})$ ,  $K_1 = \mathbb{Q}(\sqrt{2})$ , then

$$\begin{aligned} [K : \mathbb{Q}] &= [K : K_4] \cdot [K_4 : K_3] \cdot [K_3 : K_2] \cdot [K_2 : K_1] \cdot [K_1 : \mathbb{Q}] \\ &= [K_4(\sqrt[5]{17}) : K_4] \cdot [K_3(i) : K_3] \cdot [K_2(\sqrt[4]{12}) : K_2] \cdot [K_1(\sqrt{5}) : K_1] \cdot [\mathbb{Q}(\sqrt{2}) : \mathbb{Q}] \end{aligned}$$

The minimal polynomial  $P$  of  $\sqrt[5]{17}$  over  $K_4$  divides  $x^5 - 17 \in \mathbb{Q}[x] \subset K_4[x]$ , thus  $[K_4(\sqrt[5]{17}) : K_4] = \deg(P) \leq 5$ . With similar arguments,

$$[K_3(i) : K_3] \leq 2, [K_2(\sqrt[4]{12}) : K_2] \leq 4, [K_1(\sqrt{5}) : K_1] \leq 2, [\mathbb{Q}(\sqrt{2}) : \mathbb{Q}] \leq 2,$$

Consequently

$$[K : \mathbb{Q}] \leq 5 \times 2 \times 4 \times 2 \times 2 = 160$$

and

$$[L : \mathbb{Q}] = [L : K][K : \mathbb{Q}] \leq 11 \times 160 = 1760.$$

(b) By Lemma 4.4.2(b), as  $\alpha \in L$ , the degree of the minimal polynomial of  $\alpha$  over  $\mathbb{Q}$  divides  $[L : \mathbb{Q}] \leq 1760$ , hence  $\deg(p) \leq 1760$ . □

**Ex. 4.4.3** In the Mathematical Notes, we defined an algebraic integer to be a complex number  $\alpha \in \mathbb{C}$  that is a root of a monic polynomial in  $\mathbb{Z}[x]$ .

(a) Prove that  $\alpha \in \mathbb{C}$  is an algebraic integer if and only if  $\alpha$  is an algebraic number whose minimal polynomial over  $\mathbb{Q}$  has integer coefficients.

(b) Show that  $\omega/2$  is not an algebraic integer, where  $\omega = (-1 + i\sqrt{3})/2$ .

*Proof.* (a) • Following this definition, suppose that  $p(\alpha) = 0$ , where  $p \in \mathbb{Z}[x]$  is monic.

Write  $P \in \mathbb{Q}[x]$  the minimal polynomial of  $\alpha$  over  $\mathbb{Q}$ . Then  $P$  divides  $p$  in  $\mathbb{Q}[x]$ : there exists  $q \in \mathbb{Q}[x]$  such that  $p = Pq$ .

By Gauss Lemma, Proposition A.3.2 of appendix A, there exists  $\delta \in \mathbb{Q}^*$  such that  $\tilde{P} = \delta P$  and  $\tilde{q} = \delta^{-1}q$  have integer coefficients. So  $p = \tilde{P}\tilde{q}$ ,  $\tilde{P}, \tilde{q} \in \mathbb{Z}[x]$ .

As  $p$  is monic,  $\pm\tilde{P}, \pm\tilde{q}$  are also monic. Possibly by multiplying  $\delta$  by  $-1$ , we can so suppose that  $\tilde{P}, \tilde{q}$  are monic. Thus  $P = \tilde{P}$ , and so  $P \in \mathbb{Z}[x]$ .

• The converse is straightforward: If the minimal polynomial  $P$  of  $\alpha$  over  $\mathbb{Q}$  has integer coefficients,  $P$  is an example of monic polynomial such that  $P(\alpha) = 0$ , so  $\alpha$  is an algebraic integer.

Conclusion:  $\alpha$  is an algebraic integer iff the minimal polynomial of  $\alpha$  over  $\mathbb{Q}$  has integer coefficients.

(b)  $\omega/2$  is a root of  $x^2 + \frac{1}{2}x + \frac{1}{4}$ , and  $f = \omega/2 \notin \mathbb{Q}$ , thus  $x^2 + \frac{1}{2}x + \frac{1}{4}$  is the minimal polynomial of  $\alpha$  over  $\mathbb{Q}$ . Since  $f \notin \mathbb{Z}[x]$ , by part (a),  $\omega/2$  is not an algebraic integer.  $\square$

**Ex. 4.4.4** Use (4.10) and (4.11) to prove the following weak form of Lemma 4.4.2: if  $n = [L : F] < \infty$ , then every  $\alpha \in L$  is a root of a nonzero polynomial of degree  $\leq n$ .

*Proof.* If  $n = [L : F] < \infty$ , and  $\alpha \in L$ , then  $(1, \alpha, \alpha^2, \dots, \alpha^n)$  has  $n+1$  elements in a space of dimension  $n$ . Thus there exists  $(a_0, \dots, a_n) \neq (0, \dots, 0)$  such that  $a_0 + a_1\alpha + \dots + a_n\alpha^n = 0$ . If we write  $P = \sum_{i=0}^n a_i x^i$ , then  $P \neq 0$ , and  $P(\alpha) = 0$ ,  $\deg(P) \leq n$ .

Conclusion: If  $n = [L : F] < \infty$ , every  $\alpha \in L$  is a root of a nonzero polynomial of degree at most  $n$ .  $\square$

**Ex. 4.4.5** In 1873 Hermite proved that the number  $e$  is transcendental over  $\mathbb{Q}$ , and in 1882, Lindemann showed that  $\pi$  is transcendental over  $\mathbb{Q}$ . It is unknown whether  $\pi + e$  and  $\pi - e$  are transcendental. Prove that **at least** one of these numbers is transcendental over  $\mathbb{Q}$ .

*Proof.* If  $\pi + e$  and  $\pi - e$  were both algebraic, then  $\pi + e, \pi - e \in \overline{\mathbb{Q}}$ . As  $\overline{\mathbb{Q}}$  is a field containing  $\mathbb{Q}$ , we should have

$$\pi = \frac{1}{2}((\pi + e) + (\pi - e))$$

element of  $\overline{\mathbb{Q}}$ , which is false.

At least one of the numbers  $\pi + e, \pi - e$  is transcendental over  $\mathbb{Q}$ .  $\square$

**Ex. 4.4.6** Let  $F$  be a field. Show that other than the elements of  $F$  itself, no elements of  $F(x)$  are algebraic over  $F$ .

*Proof.* Let  $f \in F(x)$ ,  $f \neq 0$ . Then  $f = p/q$ ,  $p, q \in F[x]$ ,  $p \wedge q = 1$ ,  $p \neq 0, q \neq 0$ .

If  $f$  is algebraic over  $F$ , let  $P = \sum_{i=0}^n a_i x^i \in F[x]$  be the minimal polynomial of  $f$  over  $F$ , with  $\deg(P) = n$ . Then  $a_n = 1 \neq 0$ , and  $a_0 \neq 0$  (if  $a_0 = 0$ ,  $P/x$  has the root  $f$  and so  $P$  should not be the minimal polynomial). Then

$$a_n \left(\frac{p}{q}\right)^n + a_{n-1} \left(\frac{p}{q}\right)^{n-1} + \cdots + a_0 = 0,$$

thus

$$a_n p^n + a_{n-1} p^{n-1} q + \cdots + a_0 q^n = 0.$$

This equality, with  $a_0 \neq 0, a_n \neq 0$ , shows that  $p \mid q^n$ , with  $p \wedge q = 1$ , so  $p \wedge q^n = 1$  shows that  $p \mid 1$ . Similarly  $q \mid 1$ . Thus  $\deg(p) = \deg(q) = 0$ , and so  $f = p/q \in F$ .

The only elements of  $F(x)$  which are algebraic over  $F$  are the elements of  $F$ .  $\square$

**Ex. 4.4.7** Suppose that  $F$  is an algebraically closed field, and let  $F \subset L$  be an algebraic extension. Prove that  $F = L$ .

*Proof.* Let  $\alpha \in L$ . As  $L$  is algebraic over  $F$ ,  $\alpha$  is algebraic over  $F$ . Let  $f \in F[x]$  be the minimal polynomial of  $\alpha$  over  $F$ .

As  $F$  is an algebraically closed field,  $f$  is a product of linear factors in  $F[x]$ , thus all the roots of  $f$  are in  $F$ . In particular,  $\alpha \in F$  (and so  $f$  has degree 1). This proves the inclusion  $L \subset F$ , and as  $F \subset L$ ,  $F = L$ .

An algebraically closed field has no proper algebraic extension.  $\square$

**Ex. 4.4.8** In this exercise you will show that every algebraic extension of  $\mathbb{R}$  is finite of degree at most 2. To prove this, consider an extension  $\mathbb{R} \subset L$ .

- (a) Explain why we can find an extension  $L \subset K$  such that  $x^2 + 1$  has a root  $\alpha \in K$ .
- (b) Prove that  $L(\alpha)$  is algebraic over  $\mathbb{R}(\alpha)$  and that  $\mathbb{R}(\alpha) \simeq \mathbb{C}$ .
- (c) Now use the previous exercise to conclude that  $[L : \mathbb{R}] \leq 2$  and that equality occurs if and only if  $L \simeq \mathbb{C}$ .

*Proof.* (a) Let  $\mathbb{R} \subset L$  be an algebraic extension.

If  $x^2 + 1$  has a root  $\alpha$  in  $L$ , we can take  $K = L$ . Otherwise  $x^2 + 1$ , being of degree 2, is irreducible over  $L$ , thus  $K = L[x]/\langle x^2 + 1 \rangle$  is an extension of  $L$  containing  $\alpha = \bar{x} = x + \langle x^2 + 1 \rangle$ , root of  $x^2 + 1$  in  $K$ .

In the two cases, there exists an extension  $L \subset K$  such that  $x^2 + 1$  has a root  $\alpha$  in  $K$  (and  $[L[\alpha] : L] \leq \deg(x^2 + 1) = 2$ ).

- (b) Let  $\beta \in L(\alpha)$ . As  $L[\alpha]$  is algebraic over  $L$  (since  $[L(\alpha) : L] \leq 2$ ), and as  $L$  is algebraic over  $\mathbb{R}$ , the Theorem 4.4.7 shows that  $\beta$  is algebraic over  $\mathbb{R}$ . As the coefficients of the minimal polynomial of  $\beta$  over  $\mathbb{R}$  are real, these coefficients are a fortiori in  $\mathbb{R}(\alpha)$ , thus  $L(\alpha)$  is algebraic over  $\mathbb{R}(\alpha)$ .

As  $\alpha$  is a root of  $x^2 + 1$ , irreducible over  $\mathbb{R}$ ,  $\mathbb{R}(\alpha) = \mathbb{R}[\alpha] \simeq \mathbb{R}[x]/\langle x^2 + 1 \rangle \simeq \mathbb{C}$ .

- (c) As  $\mathbb{R}(\alpha)$  is isomorphic to  $\mathbb{C}$ ,  $\mathbb{R}(\alpha)$  is an algebraically closed field. Moreover  $L(\alpha)$  is algebraic over  $\mathbb{R}(\alpha)$ . By Exercise 4.4.7,  $L(\alpha) = \mathbb{R}(\alpha)$ .

Since

$$2 = [\mathbb{R}(\alpha) : \mathbb{R}] = [L(\alpha) : \mathbb{R}] = [L(\alpha) : L] \times [L : \mathbb{R}], \quad (2)$$

$[L : \mathbb{R}]$  divides 2, thus  $[L : \mathbb{R}] = 1$  or 2.

Conclusion: Every algebraic extension of  $\mathbb{R}$  is finite of degree at most 2.

By (??),

$$\begin{aligned} [L : \mathbb{R}] = 2 &\iff [L(\alpha) : L] = 1 \\ &\iff L(\alpha) = L \\ &\Rightarrow \mathbb{C} \simeq L \end{aligned}$$

Conversely, if  $\mathbb{C} \simeq L$ , then  $L(\alpha) \simeq L$ . Let  $\varphi : L(\alpha) \rightarrow L$  be an isomorphism. Then  $\beta = \varphi(\alpha) \in L$  satisfies  $\beta^2 + 1 = 0$ , thus  $\beta \notin \mathbb{R}$ . Consequently  $\mathbb{R} \subsetneq L$ ,  $1 < [L : \mathbb{R}] \leq 2$ , thus  $[L : \mathbb{R}] = 2$ .

$$[L : \mathbb{R}] = 2 \iff L \simeq \mathbb{C}.$$

□

**Ex. 4.4.9** Prove that  $\alpha \in \mathbb{Q}$  is an algebraic integer if and only if  $\alpha \in \mathbb{Z}$ .

*Proof.* • If  $\alpha \in \mathbb{Z}$ ,  $\alpha$  is a root of the monic polynomial  $x - \alpha \in \mathbb{Z}[x]$ , thus  $\alpha$  is an algebraic integer.

• Conversely, let  $\alpha \in \mathbb{Q}$  be an algebraic integer.

$$\alpha = p/q, \quad (p, q) \in \mathbb{Z} \times \mathbb{N}^*, \quad p \wedge q = 1.$$

$\alpha$  is a root of  $f = x^n + a_{n-1}x^{n-1} + \cdots + a_0$ , where the coefficients  $a_i$  are integers. Thus

$$\left(\frac{p}{q}\right)^n + a_{n-1} \left(\frac{p}{q}\right)^{n-1} + \cdots + a_0 = 0,$$

that is

$$p^n + a_{n-1}p^{n-1}q + \cdots + a_0q^n = 0.$$

This implies  $q \mid p^n$ , where  $q \wedge p = 1$ , thus  $q \wedge p^n = 1$ . Hence  $q \mid 1$ , where  $q > 0$ , thus  $q = 1$ , and  $\alpha = p/q = p \in \mathbb{Z}$ .

Conclusion: For all  $\alpha \in \mathbb{Q}$ ,  $\alpha$  is an algebraic integer iff  $\alpha \in \mathbb{Z}$ .

$$\overline{\mathbb{Q}} \cap \mathbb{Q} = \mathbb{Z}.$$

□