

# PHYS 500 (Quantum Mechanics I) Notes

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## Introduction:

This is a set of lecture notes taken from UBC's PHYS 500 (Graduate Quantum Mechanics I) course, taught by Dr. Ariel Zhinitsky. The course covers Angular momentum and spin, electromagnetic interactions, Time-independent perturbation theory, the WKB approximation, Time-dependent perturbation theory, the adiabatic approximation, and scattering. If any errors are found in the notes, feel free to email me at [ryoheiweil@phas.ubc.ca](mailto:ryoheiweil@phas.ubc.ca).

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# 1 Angular Momentum

## 1.1 Units

We set  $\hbar = 1$  for this course (natural units), unless we are doing a numerical estimate of some quantity.

## 1.2 Angular Momentum - Definitions

Angular momentum operators obey the commutation relations:

$$[L_i, L_j] = i\epsilon_{ijk}L_k. \quad (1.1)$$

Where  $\epsilon_{ijk}$  is the Levi-Civita symbol, defined as:

$$\epsilon_{ijk} = \begin{cases} +1 & ijk \text{ is an even permutation of } 123 \\ -1 & ijk \text{ is an odd permutation of } 123 \\ 0 & \text{otherwise} \end{cases} \quad (1.2)$$

We also follow the Einstein summation convention, where repeated indices are implicitly summed over. We also define the raising/lowering operators:

$$L_{\pm} = L_x \pm iL_y \quad (1.3)$$

and the total angular momentum:

$$\mathbf{L}^2 = L_x^2 + L_y^2 + L_z^2. \quad (1.4)$$

It can be easily verified that:

$$[\mathbf{L}^2, L_i] = 0 \quad (1.5)$$

and that:

$$[L_z, L_+] = [L_z, L_x + iL_y] = +L_+ \quad (1.6)$$

$$[L_z, L_-] = -L_- \quad (1.7)$$

$$[\mathbf{L}^2, L_{\pm}] = 0 \quad (1.8)$$

Note that while  $L_i$  are Hermitian operators (they are observables), the  $L_{\pm}$  are not (this can be verified by the definition of the Hermitian conjugate). However this does not mean that it is not useful. Now, we ask, what is the physical meaning of:

$$[\mathbf{L}^2, L_z] = 0 \quad (1.9)$$

The answer is that we can know/measure  $\mathbf{L}^2$  and  $L_z$  simultaneously. Next, what is the meaning of:

$$[\mathbf{L}^2, L_{\pm}] = 0 \quad (1.10)$$

This means that if we apply  $L_{\pm}$  to an eigenstate of  $\mathbf{L}^2$ , we do not change the eigenstate. Now, what is the physical meaning of:

$$[L_z, L_+] = L_+ \quad (1.11)$$

It tells us that  $L_+$  is a raising operator for  $L_z$ ; it increments the eigenvalue of  $L_z$ .

### 1.3 Angular Momentum - Eigenvalues

Let us now proceed with our construction. Consider the simultaneous eigenbasis of  $\mathbf{L}^2$  and  $L_z$ . Let us call the kets of this eigenbasis as  $|l, m\rangle$ . We want to solve the eigenvalue problem:

$$\begin{aligned}\mathbf{L}^2|l, m\rangle &= \lambda|l, m\rangle. \\ L_z|l, m\rangle &= m|l, m\rangle\end{aligned}\tag{1.12}$$

Let us back up for a moment; why can we define a simultaneous eigenbasis? Of course this follows from the fact that  $\mathbf{L}^2$  and  $L_z$  commute:

$$[\mathbf{L}^2, L_z] = 0 \implies [\mathbf{L}^2, L_z]|l, m\rangle = 0.\tag{1.13}$$

Let us also check our physical interpretation of  $L_+$ . We know that  $L_+|l, m\rangle$  should give us another eigenstate of  $\mathbf{L}^2$  and  $L_z$  (which we can call  $|x\rangle$ ), but to this end we calculate:

$$[\mathbf{L}^2, L_\pm] = 0 \implies [\mathbf{L}^2, L_\pm]|l, m\rangle = 0.\tag{1.14}$$

so we know that:

$$\mathbf{L}^2|x\rangle - \lambda|x\rangle = 0.\tag{1.15}$$

Now, we know that  $[L_z, L_+] = L_+$ , so:

$$[L_z, L_+]|l, m\rangle = L_+|l, m\rangle.\tag{1.16}$$

Expanding the above, we have:

$$L_z|x\rangle - m|x\rangle = |x\rangle.\tag{1.17}$$

So rearranging we have:

$$L_z|x\rangle = (m+1)|x\rangle\tag{1.18}$$

And we can find an analogous result for  $L_-$ . We don't yet know how to normalize these states (we will do so later). But the above result is purely algebraic; no differential equations or spherical harmonics to be found. Let us continue and find the eigenvalues in an algebraic manner. If we recall the definition of  $\mathbf{L}^2$  in Eq. (1.4), we have:

$$\mathbf{L}^2 = L_z^2 + L_y^2 + L_x^2 = L_z^2 + (L_x + iL_y)(L_x - iL_y) + i(L_xL_y - L_yL_x).\tag{1.19}$$

Now using what we know of the angular momentum commutation relations and the raising/lowering operators:

$$\mathbf{L}^2 = L_z^2 + L_+L_- - L_z = L_z^2 + L_-L_+ + L_z\tag{1.20}$$

Now, we consider applying the lowering operator  $L_-$  many many times. We then get to a state with the lowest projection  $m_{min}$ . We then have that:

$$L_-|l, m_{min}\rangle = 0.\tag{1.21}$$

This arises from the fact that we cannot decrease  $m$  further than the total angular momentum value (much in the same way that we cannot go below the ground state of the quantum harmonic oscillator). Analogously, we have:

$$L_+|l, m_{max}\rangle = 0.\tag{1.22}$$

Now, we apply  $\mathbf{L}^2$  to the minimum projection eigenstate. Then using the form of  $\mathbf{L}^2$  derived above, we have:

$$\mathbf{L}^2|l, m_{min}\rangle = (L_z^2 + L_+L_- - L_z)|l, m_{min}\rangle = (L_z^2 - L_z)|l, m_{min}\rangle = (m_{min}^2 - m_{min})|l, m_{min}\rangle\tag{1.23}$$

and analogously:

$$\mathbf{L}^2|l, m_{\max}\rangle = (L_z^2 + L_-L_+ + L_z)|l, m_{\max}\rangle = (L_z^2 + L_z)|l, m_{\max}\rangle = (m_{\max}^2 + m_{\max})|l, m_{\max}\rangle. \quad (1.24)$$

From this we obtain that:

$$(m_{\min}^2 - m_{\min}) = (m_{\max}^2 + m_{\max}) \quad (1.25)$$

as the magnitude/eigenvalue of  $\mathbf{L}^2$  on the min/max projections should be the same. The above equation only has one nontrivial solution:

$$m_{\max} = -m_{\min}. \quad (1.26)$$

Now, we observe that we have an integer number of steps (as  $L_+/L_-$  raise/lower by integers), so:

$$m_{\max} - m_{\min} = N \in \mathbb{N} \quad (1.27)$$

And therefore:

$$2m_{\max} = N \implies m_{\max} = \frac{N}{2}. \quad (1.28)$$

That is to say that the eigenvalues of angular momentum can be integers or half-integers. We can conclude the eigenvalue relations:

$$\mathbf{L}^2|l, m\rangle = l(l+1)|l, m\rangle \quad (1.29)$$

$$L_z|l, m\rangle = m|l, m\rangle \quad (1.30)$$

where  $l$  or  $m$  are either integers or half integers.

Now, we move onto the question of degeneracy. We have a  $2l + 1$  degeneracy, where we count:

$$m = -l, -l+1, \dots, 0, \dots, l-1, l. \quad (1.31)$$

Now, we suppose we want to compute  $\langle l, m|L_x|l, m\rangle$ . It turns out to be zero, but how do we show this? Physically, we can say that  $L_x$  is completely uncorrelated with  $L_z$  and so we should get zero. Mathematically we can use ladder operators:

$$\langle l, m|L_x|l, m\rangle = \langle l, m|L_+ + L_-|l, m\rangle = \langle l, m|(|l, m+1\rangle + |l, m-1\rangle) = 0 \quad (1.32)$$

where in the last relation we use that the  $|l, m\rangle$  are orthogonal. Now we ask what about  $\langle l, m|L_x^2|l, m\rangle$ ? It is nonzero. We can calculate this by:

$$\langle l, m|L_x^2|l, m\rangle = \langle l, m|\mathbf{L}^2 - L_z^2 - L_y^2|l, m\rangle \quad (1.33)$$

by symmetry we can conclude that  $\langle l, m|L_x^2|l, m\rangle = \langle l, m|L_y^2|l, m\rangle$ , and so:

$$\langle l, m|L_x^2|l, m\rangle = \frac{1}{2}\langle l, m|(\mathbf{L}^2 - L_z^2)|l, m\rangle = \frac{1}{2}[l(l+1) - m^2]. \quad (1.34)$$

## 2 Angular Momentum and Spin

### 2.1 Review of Angular Momentum Operators

We start by reviewing the important points of last class. Using the commutation relations for  $\mathbf{L}^2, L_z, L_{\pm}$ , we established that  $L_{\pm}$  do not change the eigenvalue of  $\mathbf{L}^2$  when acting on a joint eigenstate of  $\mathbf{L}^2/L_z$ , and we established the equations:

$$\mathbf{L}^2 = L_z^2 + L_{\pm}L_{\mp} \mp L_z. \quad (2.1)$$

$\mathbf{L}^2$  is the same for the highest and lowest states for  $L_z$ , and we established that  $m_{\max} = -m_{\min}$ . We found that the eigenvalues of  $L_z$  jump in integer steps, and can take either integer or half-integer values. The main point is that we derived this purely algebraically (we did not solve Legendre polynomials). Note that

$$L_{+}|l, m_{\max}\rangle = L_{-}|l, m_{\min}\rangle = 0 \quad (2.2)$$

is equivalent to the boundary conditions when solving this problem in the differential equations approach. We found that:

$$\langle l, m | L_{\pm} | l, m \rangle = 0. \quad (2.3)$$

by orthogonality, and using that  $L_{x/y} = (L_{+} \pm L_{-})/2$  that:

$$\langle l, m | L_{x/y} | l, m \rangle = \langle l, m | \frac{L_{+} + L_{-}}{2} | l, m \rangle = 0. \quad (2.4)$$

### 2.2 Parity and Eigenkets of Angular Momentum

It is clear that:

$$\langle l, m | L_z | l, m \rangle = m. \quad (2.5)$$

We now ask, what is the value of  $\langle l, m | Z | l, m \rangle$  and  $\langle l, m | X | l, m \rangle$ ? We find that:

$$\langle l, m | Z | l, m \rangle = \langle l, m | X | l, m \rangle = 0 \quad (2.6)$$

as when we specify the angular momentum, we know nothing of the position.

How would we do this rigorously? We will come back to this when we do selection rules. For now, let us consider defining the parity operator  $P$  that takes a vector  $\mathbf{v}$  and maps it to  $-\mathbf{v}$ . So, each of the position operators get mapped to their negative (i.e.  $P^{\dagger}XP = -X$ ). Using this in tandem with the fact that  $|l, m\rangle$  are eigenvalues of parity (with eigenvalues  $(-1)^l$ , as we will discuss below), we could conclude that the above expectation values vanish, as:

$$\langle l, m | X | l, m \rangle = \langle l, m | (-1)^l X (-1)^l | l, m \rangle = \langle l, m | P^{\dagger}XP | l, m \rangle = \langle l, m | (-X) | l, m \rangle = -\langle l, m | X | l, m \rangle \quad (2.7)$$

and comparing the first and last expressions we find that the expectation value is zero. However, we may then ask why does the expectation value of  $L_z$  not vanish? This is because angular momentum (like torque and magnetic fields) are not vectors, but rather pseudovectors.

Let us take a closer look at how parity is related to eigenkets of angular momentum. In the position basis, we can write them as spherical harmonics:

$$|l, m\rangle \cong Y_l^m(\theta, \varphi). \quad (2.8)$$

Consider a unit vector in 3d:

$$\hat{n} = (n_x, n_y, n_z) = (\sin \theta \cos \varphi, \sin \theta \sin \varphi, \cos \theta). \quad (2.9)$$

How do the spherical harmonics behave under  $Y_l^m(\hat{n}) \rightarrow Y_l^m(-\hat{n})$  (in terms of angles,  $\theta \rightarrow \pi - \theta, \varphi \rightarrow \varphi + \pi$ ) They transform as:

$$Y_l^m(-\hat{n}) = (-1)^l Y_l^m(\hat{n}). \quad (2.10)$$

Let us look at a couple examples.  $Y_0^0 \sim \frac{1}{\sqrt{4\pi}}$  so is unchanged under the flip of the vector.  $Y_1^0 \sim \cos \theta$  so this maps to  $\cos(-\theta) \rightarrow -\cos \theta$  under a flip of the vector.  $Y_1^1 \sim \sin \theta e^{i\varphi}$ , so the  $\sin \theta$  stays the same under interchange but  $e^{i\varphi}$  flips sign so it maps to  $-Y_1^1$ .

Note this discussion is really trying to motivate the use of symmetry to skip doing computations; we don't have to compute integrals if we know the symmetry of the system.

Another example (returning to the above discussion of expectation values of position). Hopefully by now we would be convinced that:

$$\langle l, m | \mathbf{R} | l, m \rangle = 0. \quad (2.11)$$

by the above arguments showing that  $|l, m\rangle$  are eigenvalues of parity with eigenvalue  $(-1)^l$ . Now what about  $\langle l+1, m | \mathbf{R} | l, m \rangle$ ? In this case it is *nonzero* as the negative signs cancel when we consider the parity properties.

### 2.3 Coefficients of Ladder Operators

We know that the ladder operators follow the relation:

$$L_+ |l, m\rangle = c_+ |l, m+1\rangle \quad (2.12)$$

but we have yet to calculate  $c_+$ . Let us do this now. We consider acting  $L_-$  on the dual of  $|l, m\rangle$ :

$$\langle l, m | L_- = c_+^* \langle l, m+1 | \quad (2.13)$$

So therefore:

$$\langle l, m | L_- L_+ |l, m\rangle = |c_+|^2 \langle l, m+1 | l, m+1 \rangle \quad (2.14)$$

so:

$$|c_+|^2 = \langle l, m | \mathbf{L}^2 - L_z^2 - L_z |l, m\rangle = l(l+1) - m^2 - m \quad (2.15)$$

so we conclude:

$$c_+ = \sqrt{l(l+1) - m(m+1)} \quad (2.16)$$

and we can do an analogous computation to conclude that:

$$c_- = \sqrt{l(l+1) - m(m-1)} \quad (2.17)$$

### 2.4 Spin 1/2

Because of the degeneracy of angular momentum  $(2l+1)$  derived via the Schrodinger equation, people expected to always see an odd number of lines when (e.g.) measuring the energy lines of atoms. But this turned out not to be true in experimental results; this is because not only does angular momentum come in orbital form, but also in an intrinsic form known as spin. This formalism and theory was developed by Pauli, and we now begin to explore it here through discussion of spin-1/2 systems. For such systems, we have  $s = 1/2$ , where the spin operators follow the commutation relations:

$$[S_i, S_j] = i\epsilon_{ijk} S_k. \quad (2.18)$$

Since there are  $(2s+1)$  states, we have only two spin eigenstates:

$$|s = \frac{1}{2}, s_z = +\frac{1}{2}\rangle, |s = \frac{1}{2}, s_z = -\frac{1}{2}\rangle \quad (2.19)$$

Which obey:

$$S_+ |s = \frac{1}{2}, s_z = +\frac{1}{2}\rangle = 0, S_- |s = \frac{1}{2}, s_z = -\frac{1}{2}\rangle = 0 \quad (2.20)$$

Given this, a natural notation for these states is:

$$|\uparrow\rangle := |s = \frac{1}{2}, s_z = +\frac{1}{2}\rangle, |\downarrow\rangle := |s = \frac{1}{2}, s_z = -\frac{1}{2}\rangle \quad (2.21)$$

So the above relations become (e.g.)  $S_+|\uparrow\rangle = 0$ . The total spin operator is given by:

$$\mathbf{S} \cong \frac{1}{2}\boldsymbol{\sigma} \quad (2.22)$$

where  $\boldsymbol{\sigma} = (\sigma_x, \sigma_y, \sigma_z)^T$ , with the Pauli matrices given by:

$$\sigma_x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \sigma_y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \sigma_z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}. \quad (2.23)$$

Note that there is no reference to coordinates whatsoever here; everything is purely algebraic. Now, what is the value of  $\mathbf{S}^2|\uparrow\rangle$ ? From the theory of angular momentum, we know that:

$$\mathbf{S}^2|\uparrow\rangle = s(s+1)|\uparrow\rangle = \frac{3}{4}|\uparrow\rangle. \quad (2.24)$$

But let us derive this result using the matrix form of  $\mathbf{S}^2$ . We can explicitly calculate to find that:

$$\sigma_i^2 = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \quad (2.25)$$

for each of the pauli matrices, so:

$$\mathbf{S}^2 \cong \frac{1}{4}(\sigma_x^2 + \sigma_y^2 + \sigma_z^2) = \frac{3}{4} \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}. \quad (2.26)$$

So with the choice of representation that  $|\uparrow\rangle \cong \begin{pmatrix} 1 \\ 0 \end{pmatrix}$  and  $|\downarrow\rangle \cong \begin{pmatrix} 0 \\ 1 \end{pmatrix}$ , we find:

$$\mathbf{S}^2|\uparrow\rangle = \frac{3}{4}|\uparrow\rangle, \quad (2.27)$$

along with:

$$S_z|\uparrow\rangle = |\uparrow\rangle. \quad (2.28)$$

by looking at these matrix expressions. From now on, we will focus on spin-1/2 (though we will explore spin-1 in the homework). We will discuss the most general spin 1/2 state. It is given by:

$$|\chi\rangle = c_+|\uparrow\rangle + c_-|\downarrow\rangle. \quad (2.29)$$

In the spinor representation, it is given by:

$$\chi = \begin{pmatrix} c_+ \\ c_- \end{pmatrix}. \quad (2.30)$$

How many real parameters are needed to specify the quantum state? Naively, we would say 4 (2 complex numbers). But it turns out to be only two. One parameter is reduced by the normalization condition:

$$|c_+|^2 + |c_-|^2 = 1. \quad (2.31)$$

We also have a reduction of one from the fact that the state is physically unchanged when multiplied by a global phase  $|\chi\rangle \sim e^{i\varphi}|\chi\rangle$ ; this comes from the fact that we can only measure probabilities in QM, and when we calculate these (using the Born rule,  $p(i) = \langle\psi|\Pi_i|\psi\rangle$  the global phase cancels out). An important distinction: *relative* phases are observable (e.g. in neutron interferometry experiments), while global ones are not. So in the case of a single spin-1/2 particle, we can neglect the phase, but when we have multiple particles we cannot neglect relative phases.



### 3 Electron in EM field

#### 3.1 Review of Spin

We have the spin commutation algebra (identical to the angular momentum commutation algebra):

$$[S_i, S_j] = i\epsilon_{ijk}S_k. \quad (3.1)$$

However, there is no way to represent the spin operators in spatial coordinate; we instead use matrices. Consider  $s = 1/2$ . Then we have  $\mathbf{S} = \frac{1}{2}\boldsymbol{\sigma}$ , where  $S_z$  has eigenstates  $|\uparrow\rangle \cong \begin{pmatrix} 1 \\ 0 \end{pmatrix}$  and  $|\downarrow\rangle \cong \begin{pmatrix} 0 \\ 1 \end{pmatrix}$ . Any state can be expressed as a linear combination of these two:

$$\chi = \frac{c_+}{\sqrt{|c_+|^2 + |c_-|^2}} \begin{pmatrix} 1 \\ 0 \end{pmatrix} + \frac{c_-}{\sqrt{|c_+|^2 + |c_-|^2}} \begin{pmatrix} 0 \\ 1 \end{pmatrix}. \quad (3.2)$$

#### 3.2 Inner and Outer Products, Completeness

We also have the inner product that gives us numbers:

$$\langle \uparrow | \uparrow \rangle = \begin{pmatrix} 1 & 0 \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} = 1. \quad (3.3)$$

Also note that outer products give us matrices:

$$P_+ = |\uparrow\rangle\langle\uparrow| \cong \begin{pmatrix} 1 \\ 0 \end{pmatrix} \begin{pmatrix} 1 & 0 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \quad (3.4)$$

The above  $P_+$  is a projector (that projects onto the spin-up subspace); as we have:

$$P_+|\uparrow\rangle = \uparrow, P_+|\downarrow\rangle = 0. \quad (3.5)$$

Note also the completeness relation:

$$|\uparrow\rangle\langle\uparrow| + |\downarrow\rangle\langle\downarrow| = \mathbb{I}. \quad (3.6)$$

As  $\{|\uparrow\rangle, |\downarrow\rangle\}$  is an ONB for the spin-1/2 Hilbert space. When we have infinitely many states in an ONB, we have:

$$\sum_{n=0}^{\infty} |n\rangle\langle n| = \mathbb{I}, \quad \int |x\rangle\langle x| dx = \mathbb{I} \quad (3.7)$$

where the former is for a discrete ONB, the latter is for a continuous one (of course there are the caveats that  $|x\rangle$  aren't really square-integrable states, but let us not worry about this). Now, a question: what is the  $|\uparrow\rangle\langle\downarrow|$ ? It is an operator that flips a down-spin to an up-spin:

$$|\uparrow\rangle\langle\downarrow| \cong \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \quad (3.8)$$

Of course this is just the  $S_+$  operator (it is not Hermitian, while  $P_+$  is).

#### 3.3 Electron in Magnetic Field

Consider the Hamiltonian:

$$H = -\boldsymbol{\mu} \cdot \mathbf{B} \quad (3.9)$$

where  $\mu = \gamma \mathbf{S}$  with  $\gamma$  is a scalar known as the gyromagnetic ratio. It is equal to:

$$\gamma = \frac{e\hbar}{2mc} 2 \quad (3.10)$$

Note we've written  $\gamma$  to include the  $\hbar$  factor; usually  $\hbar$  goes with  $\mathbf{S}$ , but with our units convention, we prefer to write it this way. Note that if we calculate the gyromagnetic moment just by classical methods, we would just get  $\frac{e}{2mc}$ . The coefficient 2 is the  $g$ -factor, which can be obtained by taking the nonrelativistic limit of the Dirac equation (we won't try to do this here); there is some hidden deep physics here we will leave for a different course.

Note that we have assumed that there is no orbital angular momentum for the electron (we only consider the intrinsic spin); a full treatment would take:

$$\mu = \frac{e\hbar}{2mc} (2\mathbf{S} + \mathbf{L}). \quad (3.11)$$

### 3.4 Electron in Magnetic Field in a single direction

Now, we suppose a more specific scenario, where  $\mathbf{B}$  is aligned along the  $z$ -axis. We then have:

$$H = -\gamma B_z \frac{1}{2} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}. \quad (3.12)$$

We have two easily solved eigenvalue equations:

$$H\chi_+ = E_+\chi_+, H\chi_- = E_-\chi_-. \quad (3.13)$$

Where  $E_+ = -\frac{1}{2}\gamma B_z$  and  $E_- = \frac{1}{2}\gamma B_z$ . Now, a question; why is there a minus sign in  $H$ ? The convention is such that the dipole moment wants to align with the magnetic field.

Note that in our problem here, the  $+$  denotes that we have a upwards projection (and a negative energy, as it is pointed along the field). Conversely,  $-$  denotes that we have a downwards projection (and a positive energy). Of course, we know that:

$$\chi_+ = \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \chi_- = \begin{pmatrix} 0 \\ 1 \end{pmatrix}. \quad (3.14)$$

and now everything is solved! We know the eigenvalues and eigenstates, so we have everything we need to determine the Schrodinger time evolution of the quantum state. We can say that:

$$\chi(t) = \frac{c_+ e^{-i\frac{E_+ t}{\hbar}}}{\sqrt{|c_+|^2 + |c_-|^2}} \begin{pmatrix} 1 \\ 0 \end{pmatrix} + \frac{c_- e^{-i\frac{E_- t}{\hbar}}}{\sqrt{|c_+|^2 + |c_-|^2}} \begin{pmatrix} 0 \\ 1 \end{pmatrix} \quad (3.15)$$

### 3.5 Time-dependence of Observables

For a most general spin-1/2 state, if we enforce the normalization condition of  $|c_+|^2 + |c_-|^2 = 1$ , then we can parameterize with just three parameters:

$$\chi = e^{i\alpha} \begin{pmatrix} \cos \frac{\theta}{2} \\ \sin \frac{\theta}{2} e^{-i\varphi} \end{pmatrix} \quad (3.16)$$

For now, let us discard the phases ( $\alpha = \varphi = 0$ ) and suppose that at  $t = 0$  we have:

$$\chi(t=0) = \begin{pmatrix} \cos \frac{\theta}{2} \\ \sin \frac{\theta}{2} \end{pmatrix}. \quad (3.17)$$

Question: Let us compute:

$$\langle S_z \rangle (t) = \langle \chi(t) | S_z | \chi(t) \rangle. \quad (3.18)$$

This turns out to be independent of time; let us see this in two ways. First, we can do the explicit calculation.  $\chi(t)$  is given by:

$$\chi(t) = \begin{pmatrix} \cos \frac{\theta}{2} e^{i\omega t/2} \\ \sin \frac{\theta}{2} e^{-i\omega t/2} \end{pmatrix} \quad (3.19)$$

Where  $\omega$  is defined as the difference between the two frequencies. When we do the explicit computation, we find:

$$\langle \chi(t) | S_z | \chi(t) \rangle = \begin{pmatrix} \cos \frac{\theta}{2} e^{-i\omega t/2} & \sin \frac{\theta}{2} e^{i\omega t/2} \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} \cos \frac{\theta}{2} e^{i\omega t/2} \\ \sin \frac{\theta}{2} e^{-i\omega t/2} \end{pmatrix} = \frac{1}{2} \left( \cos^2 \frac{\theta}{2} - \sin^2 \frac{\theta}{2} \right) = \frac{1}{2} \cos \theta. \quad (3.20)$$

If we do the same computation for  $\langle S_x \rangle (t)$ , we find that the time-dependence does not cancel out:

$$\langle \chi(t) | S_x | \chi(t) \rangle = \begin{pmatrix} \cos \frac{\theta}{2} e^{-i\omega t/2} & \sin \frac{\theta}{2} e^{i\omega t/2} \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} \cos \frac{\theta}{2} e^{i\omega t/2} \\ \sin \frac{\theta}{2} e^{-i\omega t/2} \end{pmatrix} = \frac{1}{2} \sin \frac{\theta}{2} \cos \frac{\theta}{2} (e^{i\omega t} + e^{-i\omega t}) = \frac{1}{2} \sin \theta \cos(\omega t). \quad (3.21)$$

We can picture this as the spin precessing at a fixed angle  $\theta$  (fixed z-position).

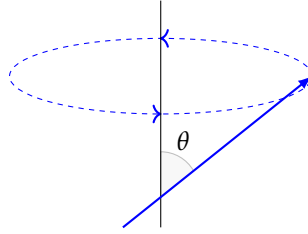


Figure 3.1: When the initial spinor state  $\chi(t=0)$  is given by Eq. (3.17) and evolves under a z-oriented magnetic field, the spin state can be visualized as precessing at fixed  $\theta$  (the same  $\theta$  as in the initial state). More technically, we could say that the spin state precesses at a fixed polar angle on the Bloch sphere; Note that the general spin state given in Eq. (3.16) invites the visualization of a single spin-1/2 particle as a point on the unit sphere with polar coordinates  $(\theta, \varphi)$  (of course the global phase  $\alpha$  is physically irrelevant).

However, there is a *much* easier way to see why there is no time-dependence in the  $S_z$  case, while there is a time-dependence in the  $S_x$  case. The way to see this is that  $[S_z, H] = 0$  ( $S_z$  commutes with the Hamiltonian) so there is no time-dependence. Conversely,  $S_x$  does not commute with the Hamiltonian, so we know that there is time dependence.

Let us discuss the simple case where:

$$\chi(t=0) = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 1 \end{pmatrix}. \quad (3.22)$$

Now, we want the probability of finding the spin to be in spin-up  $P_{\uparrow}$  as a function of time. In this case, this will again be independent of time; a physical picture is that the spin precesses at a fixed angle, so the projection onto the  $s_z$  axis does not change with time. A more concrete argument is that if we measure the probability of getting some outcome of an  $S_z$  measurement, since  $S_z$  commutes with  $H$  then this probability should be independent of time. Let's see how this works out explicitly:

$$\chi(t) = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 0 \end{pmatrix} e^{i\omega t/2} + \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ 1 \end{pmatrix} e^{-i\omega t/2}. \quad (3.23)$$

By the Born rule we have::

$$P_{\uparrow} = |\langle \uparrow | \chi \rangle|^2 = \frac{1}{2} \quad (3.24)$$

which is time-independent. Let us now ask the probability of measuring  $P_{+\hat{x}}$ ; how do we proceed? We start by finding the eigenstates of  $S_x$ :

$$S_x |\chi_{+\hat{x}}\rangle = \lambda |\chi_{+\hat{x}}\rangle \cong \frac{1}{2} \sigma_x \chi_{+\hat{x}} = \lambda \chi_{+\hat{x}} \implies \chi_{+\hat{x}} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 1 \end{pmatrix}. \quad (3.25)$$

We therefore can calculate:

$$P_{+\hat{x}} = \left| \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \end{pmatrix} \chi(t) \right|^2 = \left| \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \end{pmatrix} \frac{1}{\sqrt{2}} \begin{pmatrix} e^{i\omega t/2} \\ e^{-i\omega t/2} \end{pmatrix} \right|^2 = \left| \frac{1}{2} (e^{i\omega t/2} + e^{-i\omega t/2}) \right|^2 = \frac{1}{2} (1 + \cos \omega t) \quad (3.26)$$

Because the measurement is dichotomic, we can use completeness to find  $P_{-\hat{x}}$ :

$$P_{-\hat{x}} = 1 - P_{+\hat{x}} = \frac{1}{2} (1 - \cos \omega t). \quad (3.27)$$

## 4 Electromagnetic Fields

### 4.1 The Hamiltonian and Gaussian units

We consider the Hamiltonian:

$$H = \frac{1}{2m} \left( \mathbf{p} - \frac{q}{c} \mathbf{A} \right)^2 + qV \quad (4.1)$$

We use Gaussian units, where  $\frac{e^2}{4\pi\epsilon_0} \rightarrow e^2$ . The potential will (for the Coulomb interaction) will later be  $qV = -\frac{Ze^2}{r}$  (the sign will be relevant later, to distinguish attractive (negative sign) and repulsive). For now take  $q = -e$ . Note for the magnetic moment we take:

$$\mu = \frac{|e|\hbar}{2mc} \quad (4.2)$$

why Gaussian units? Because in SI units, the electric and magnetic fields have different units, but in Gaussian, they have the same units. Things will become unbelievably tedious to keep track of if we keep the  $4\pi\epsilon_0$ s in. The Hydrogen atom Hamiltonian takes the form:

$$H = \frac{1}{2m} \mathbf{p}^2 - \frac{Ze^2}{r}. \quad (4.3)$$

And to solve this problem, we take  $\mathbf{p} \rightarrow -i\hbar\nabla$  and use the standard techniques (covered in undergrad QM).

### 4.2 Potentials and Gauge Invariance

Let us make some comments about the Hamiltonian in Eq. (4.1). We may be familiar with the Lorentz force law in classical E&M:

$$m\ddot{\mathbf{r}} = \mathbf{F} = q(\mathbf{E} + \mathbf{v} \times \mathbf{B}) \quad (4.4)$$

where the dynamics are completely governed by the fields  $\mathbf{E}, \mathbf{B}$ . We can specify the fields by the scalar/vector potentials  $V/\mathbf{A}$ :

$$\mathbf{B} = \nabla \times \mathbf{A}, \quad \mathbf{E} = -\nabla V - \frac{1}{c} \frac{\partial \mathbf{A}}{\partial t}. \quad (4.5)$$

$V, \mathbf{A}$  have features known as *Gauge invariance*; a fundamental principle of nature. Consider the gauge transformation:

$$V' = V - \frac{1}{c} \frac{\partial f(\mathbf{r}, t)}{\partial t}, \quad \mathbf{A}' = \mathbf{A} + \nabla f(\mathbf{r}, t). \quad (4.6)$$

When we do computations for electric and magnetic fields, we find that  $V'/\mathbf{A}'$  specify the same physical fields:

$$\mathbf{E}' = -\nabla V' - \frac{1}{c} \frac{\partial \mathbf{A}'}{\partial t} = \mathbf{E} + \left( \frac{\partial}{\partial t} \nabla - \nabla \frac{\partial}{\partial t} \right) f(\mathbf{r}, t) = \mathbf{E} \quad (4.7)$$

and analogously:

$$\mathbf{B}' = \mathbf{B}. \quad (4.8)$$

In classical physics, this is a triviality; a gauge transformation does nothing to the physics. What about quantum mechanics? In quantum mechanics we solve the SE:

$$H\psi = E\psi \quad (4.9)$$

with the Hamiltonian Eq. (4.1). Now it begins to seem like we have a different equation; is this indeed the case?

In classical physics, we tend to fix gauges based on convenience. When we study electrostatics, we choose the Coloumb gauge:

$$\nabla \cdot \mathbf{A} = 0 \quad (4.10)$$

When we study radiation, we choose the Lorentz gauge (convenient as it is Lorentz covariant):

$$\nabla \cdot \mathbf{A} + \frac{1}{c} \frac{\partial V}{\partial t} = 0. \quad (4.11)$$

We mention this as we want a quantum-classical correspondence; we want to be able to compare the quantum and classical calculation at the end.

### 4.3 QM Resolution of the Problem

Let us precisely study what happens when we change the Gauge.

$$\left( \mathbf{p}' - \frac{q}{c} \mathbf{A}' \right) \psi' \quad (4.12)$$

where:

$$\psi' = e^{i \frac{q}{\hbar c} f} \psi \quad (4.13)$$

If there is no degeneracy, we have no problem as changing the Gauge only introduces a physically meaningless phase; however the problem appears when we have degeneracy (e.g. in the Landau levels HW problem; we have an infinite degeneracy). Let us study this further:

$$-i\hbar \nabla \psi' - \frac{q}{c} \mathbf{A}' \psi' = -i\hbar \nabla \psi e^{i \frac{q}{\hbar c} f} + \frac{q}{c} (\nabla f) e^{i \frac{q}{\hbar c} f} \psi - \frac{q}{c} \mathbf{A} \psi e^{i \frac{q}{\hbar c} f} - \frac{q}{c} (\nabla f) e^{i \frac{q}{\hbar c} f} \psi \quad (4.14)$$

The second and fourth terms cancel, so we have:

$$\left( \mathbf{p}' - \frac{q}{c} \mathbf{A}' \right) \psi' = \left[ \left( \mathbf{p} - \frac{q}{c} \mathbf{A} \right) \psi \right] e^{i \frac{q}{\hbar c} f} \quad (4.15)$$

We therefore obtain the following: when we do the SE, the phase appears on the LHS and RHS, and cancels. So though the Hamiltonian may be different, the eigenfunctions are the same. So we say that the covariant derivative  $\left( \mathbf{p} - \frac{q}{c} \mathbf{A} \right)$  is gauge invariant. If we break gauge invariance, then we break fundamental principles.

### 4.4 Analyzing the EM Hamiltonian

We work with the Hamiltonian:

$$H = \frac{1}{2m} \left( \mathbf{p} - \frac{q}{c} \mathbf{A} \right)^2 \quad (4.16)$$

where we have neglected the trivial  $V$  term (if we have a time-independent gauge transformation, the  $V$  remains unchanged). Let us write this in tensorial notation:

$$H = \frac{1}{2m} \left( \mathbf{p}_i - \frac{q}{c} \mathbf{A}_i \right) \left( \mathbf{p}_i - \frac{q}{c} \mathbf{A}_i \right) \quad (4.17)$$

We can represent this as follows:

$$H = \frac{1}{2m} \left( \mathbf{p}^2 + \left( \frac{q}{c} \mathbf{A} \right)^2 - \frac{q}{c} (\mathbf{A} \cdot \mathbf{p} + \mathbf{p} \cdot \mathbf{A}) \right) \quad (4.18)$$

Now we want to calculate  $[p_i, A_j]$ . Let us build up to this. We know already that:

$$[p_x, x] = -i\hbar \quad (4.19)$$

We can derive:

$$[p_i, f(\mathbf{r})] = -i\hbar \nabla_i f(\mathbf{r}) \quad (4.20)$$

where the RHS is determined by explicitly writing out the commutator and acting it on a test function (alternatively: Taylor expand the  $f$  and use induction). Directly applying this we have:

$$[p_i, A_j(\mathbf{r})] = -i\hbar \nabla_i A_j(\mathbf{r}). \quad (4.21)$$

Now, pay attention to how we fix the Gauge:

$$\delta_{ij}[p_i, A_j(\mathbf{r})] = -i\hbar \nabla_i A_j(\mathbf{r}) \delta_{ij} \quad (4.22)$$

so then:

$$[p_i, A_j] = -i\hbar \nabla \cdot \mathbf{A} = 0. \quad (4.23)$$

so we work in the Coloumb gauge, where  $p_i, A_j$  commute (note this is NOT true in any other gauge). So our Hamiltonian in this gauge becomes:

$$H = H = \frac{1}{2m} \left( \mathbf{p}^2 + \left( \frac{q}{c} \mathbf{A} \right)^2 - \frac{2q}{c} \mathbf{A} \cdot \mathbf{p} \right). \quad (4.24)$$

We have the vector potential:

$$\mathbf{A} = -\frac{1}{2} \mathbf{r} \times \mathbf{B} \quad (4.25)$$

and we work in a cylindrical system, with  $A_z = 0, A_r = 0, A_\varphi = \frac{1}{2} r B_z$ . The magnetic field is calculated to be:

$$\mathbf{B} = \nabla \times \mathbf{A} = \frac{1}{r} \left[ \frac{\partial}{\partial r} (r A_\varphi) - \frac{\partial}{\partial \varphi} A_r \right] \hat{\mathbf{z}} = \frac{1}{r} \frac{\partial r^2 B_z / 2}{\partial r} = B_z \quad (4.26)$$

(it can be checked that the radial/angular parts of the magnetic field are zero). We compute the  $\mathbf{A} \cdot \mathbf{p}$  term:

$$\mathbf{A} \cdot \mathbf{p} = -\frac{1}{2} (\mathbf{r} \times \mathbf{B}) \cdot \mathbf{p} = \frac{1}{2} \mathbf{B} \cdot (\mathbf{r} \times \mathbf{p}) = \frac{1}{2} \mathbf{B} \cdot \mathbf{L}. \quad (4.27)$$

So the term in the Hamiltonian is:

$$-\frac{2q}{2mc} \mathbf{A} \cdot \mathbf{p} = -\frac{q}{mc} \frac{1}{2} \mathbf{B} \cdot \mathbf{L} = -\boldsymbol{\mu} \cdot \mathbf{B} \quad (4.28)$$

where we have taken:

$$\boldsymbol{\mu} = \mu \mathbf{L} = \frac{q}{2mc} \mathbf{L} \quad (4.29)$$

So we find the gyromagnetic ratio:

$$\mu = \frac{q\hbar}{2mc}. \quad (4.30)$$

where we take  $\hbar$  to be included in the  $\mu$  so we can work with angular momentum dimensionlessly.

## 5 Gauge Invariance Part II, Angular Momentum Addition

### 5.1 Gauge Invariance, Symmetry, Conservation Laws

In addition to the gauge invariance we are familiar from classical electromagnetism, in QM we get an extra phase factor:

$$\psi' = e^{i\frac{q}{\hbar c}f}\psi. \quad (5.1)$$

If the wavefunction describes a single state (no degeneracy), then we can ignore this global phase. But normally we do have degeneracy (and often infinite degeneracy), and here this phase factor will be significant. See HW1Q9 for a concrete example of this.

Why do we go on about gauge invariance? Because gauge invariance is connected to some symmetry (which leads to a conserved quantity via Noether's theorem). In this case, the conserved quantity is charge. We are familiar with the examples of momentum being conserved due to translation invariance, angular momentum being conserved due to rotational invariance, and energy being conserved due to time invariance.

### 5.2 Analyzing the Coulomb Hamiltonian

We recall the Hamiltonian, with the choice of Coulomb gauge  $\nabla \cdot \mathbf{A} = 0$ .

$$H = \left( \mathbf{p} - \frac{q}{c}\mathbf{A} \right)^2 \frac{1}{2m} = \frac{1}{2m} \left( \mathbf{p}^2 + \frac{q^2}{c^2}\mathbf{A}^2 - \frac{2q}{c}\mathbf{A} \cdot \mathbf{p} \right) \quad (5.2)$$

If we have  $\mathbf{A} = \frac{1}{2}\mathbf{r} \times \mathbf{B}$ ,  $\mathbf{A}$  gives us the correct magnetic field. We recall that the  $\mathbf{A} \cdot \mathbf{p}$  term precisely describes the interaction of the magnetic moment with the magnetic field:

$$-\frac{2q}{c}\mathbf{A} \cdot \mathbf{p} \rightarrow \frac{q}{2mc}B_z L_z. \quad (5.3)$$

We have not introduced spin here, as this would be more complicated (we would be working with the Dirac equation, and work with an extra  $+2S_z$  piece). If we represent  $\mathbf{A}^2$  in terms of what we did last class, we can write the entire Hamiltonian as:

$$H = \frac{p_z^2}{2m} + \left( \frac{p_x^2 + p_y^2}{2m} + \frac{q^2 B^2}{8mc^2} (x^2 + y^2) \right) - \frac{q}{2mc} B_z L_z \quad (5.4)$$

we note the structure of the above expression; we have three terms:

$$H_z = \frac{p_z^2}{2m}, \quad H_{\perp} = \left( \frac{p_x^2 + p_y^2}{2m} + \frac{q^2 B^2}{8mc^2} (x^2 + y^2) \right), \quad H_{\parallel} = -\frac{q}{2mc} B_z L_z \quad (5.5)$$

We don't have to solve the Hamiltonian, which is very complicated. We instead sit down and look at it. In particular, we want to know what operators commute with the Hamiltonian. First, we see:

$$[H, p_z] = 0 \quad (5.6)$$

as there are no terms with  $z$  in the Hamiltonian. So, the  $z$ -momentum is a conserved quantity, and the eigenstates in  $z$  are plane waves. Next, we have that:

$$[H, L_z] = 0. \quad (5.7)$$

How do we see this? The Hamiltonian is *radially symmetric*, i.e. although  $L_z$  has a nontrivial commutator with  $x, y$ , it commutes with  $x^2 + y^2 = r^2$ . Next, we ask whether we can measure  $p_z$  and  $L_z$  simultaneously; of course we can:

$$[p_z, L_z] = 0. \quad (5.8)$$



The next question we ask; we have  $H_{\perp}$  which is the Hamiltonian in the plane. We already have argued that:

$$[L_z, H_{\perp}] = 0. \quad (5.9)$$

We now have an equation that we can immediately solve. Our state is classified by three different numbers; first:

$$p_z \psi_k = \hbar k \psi_k. \quad (5.10)$$

where:

$$\psi_k = e^{i \frac{p_z z}{\hbar}} = e^{i \frac{\hbar k z}{\hbar}}. \quad (5.11)$$

We also have:

$$L_z |l\rangle = \hbar l |l\rangle \quad (5.12)$$

(and we already know what the eigenstates look like). We also have the third term:

$$H_{\perp} \psi_n = E_n \psi_n \quad (5.13)$$

but we can see by inspection that  $H_{\perp}$  is nothing but a two-dimensional quantum harmonic oscillator, so:

$$E_n = \hbar \omega (n + 1), \quad n = (n_x + \frac{1}{2}) + (n_y + \frac{1}{2}). \quad (5.14)$$

where  $\omega$  is as usual defined as:

$$\omega^2 = \frac{q^2 B^2}{4mc^2} \frac{1}{m} = \left( \frac{qB}{2mc} \right)^2. \quad (5.15)$$

$\omega$  is nothing more than the Larmour frequency which we know from classical electromagnetism. What is important is to look at the expression for  $H_{\parallel}$ ; it is precisely the Larmour frequency which appears. So, writing down the final expression for the energy, we have:

$$E_{klm} = \hbar \omega (n + l + 1) + \frac{\hbar^2 k^2}{2m} \quad (5.16)$$

Where the  $n + 1$  comes from the  $H_{\perp}$  term, the  $l$  comes from the  $H_{\parallel}$  term, and the  $k$  comes from the  $H_z$  term. Once we look at this expression (taking  $k = 0$  for expression), we see a *huge* degeneracy, as  $l$  goes over integer values, and  $n$  goes from 0 to  $\infty$  (note we have the constraint that  $(n + l) \geq 0$  as  $H$  is positively defined). We define:

$$N := n + l + 1 \quad (5.17)$$

and so we get:

$$E_{0N} = \hbar \omega N. \quad (5.18)$$

In HW1Q9, we go through the same calculation in a different gauge. We will see that there are infinitely many degenerate states, in a different basis when solved in a different gauge (but this is not a problem, as of course we can just express these different eigenfunctions in a different basis).

### 5.3 Addition of Angular Momentum - Motivation

In nature, we have many interactions that are not taken into account in the SE. For example, we have the magnetic moment-magnetic moment interaction:

$$\Delta V = \frac{-\boldsymbol{\mu}_1 \cdot \boldsymbol{\mu}_2}{r^3} \sim \mathbf{S}_1 \cdot \mathbf{S}_2 \quad (5.19)$$

this is the spin-spin interaction, also known as exchange forces in condensed matter physics. We also can have spin-orbit interactions of:

$$\Delta V \sim \mathbf{S} \cdot \mathbf{L} \quad (5.20)$$

There is no way to understand the periodic table, spectra, or transitions without understanding these interactions. What is the starting point when we ignore this interaction? When we discuss the hydrogen atom, we solve:

$$H = \frac{\mathbf{p}^2}{2m} - \frac{e^2}{r}. \quad (5.21)$$

Here we see no sign of the spin-orbit interactions. We classify all states by three quantum numbers,  $n$  (principle)  $l$  (orbital)  $m$  (projection of orbital). If we introduce spin (but not include it in the Hamiltonian), we can introduce a  $s = 1/2, s_z = \pm 1/2$  into our state:

$$|n, l, m, s = \frac{1}{2}, s_z = \pm \frac{1}{2}\rangle. \quad (5.22)$$

Now we ask; is this a good or bad classification of states? Let us for take our spin orbit interaction:

$$\Delta V = \mathbf{S} \cdot \mathbf{L}. \quad (5.23)$$

Does spin commute or not commute with the spin orbit interaction? It of course does not as  $S_i$  will not commute with the other components. So:

$$[S_i, \mathbf{S} \cdot \mathbf{L}] \neq 0, [L_i, \mathbf{S} \cdot \mathbf{L}] \neq 0. \quad (5.24)$$

This is why we need a better classification of states. We consider:

$$\Delta V = \mathbf{S}^{(1)} \cdot \mathbf{S}^{(2)} \quad (5.25)$$

we then have:

$$[S_i^{(1)}, \Delta V] = [S_i^{(1)}, S_j^{(1)} S_j^{(2)}] = [S_i^{(1)}, S_j^{(1)}] S_j^{(2)} = i\epsilon_{ijk} S_k^{(1)} S_j^{(2)}. \quad (5.26)$$

We now do the computations for  $S_2$ :

$$[S_i^{(2)}, \Delta V] = [S_i^{(2)}, S_j^{(1)} S_j^{(2)}] = S_j^{(1)} [S_i^{(2)}, S_j^{(2)}] = i\epsilon_{ijk} S_j^{(1)} S_k^{(2)}. \quad (5.27)$$

We now consider the following. If we introduce:

$$\mathbf{S}^{tot} = \mathbf{S}^{(1)} + \mathbf{S}^{(2)} \quad (5.28)$$

then we see that  $\mathbf{S}^{tot}$  commutes with the interaction:

$$[S_i^{(1)} + S_i^{(2)}, \Delta V] = i\epsilon_{ijk} S_k^{(1)} S_j^{(2)} + i\epsilon_{ijk} S_j^{(1)} S_k^{(2)} \quad (5.29)$$

We now do a trick, where we exchange  $j$  and  $k$ ; it does not matter as we sum over all of them:

$$[S_i^{(1)} + S_i^{(2)}, \Delta V] = i\epsilon_{ijk} S_k^{(1)} S_j^{(2)} + i\epsilon_{ikj} S_k^{(1)} S_j^{(2)} \quad (5.30)$$

then we introduce a minus sign by swapping  $j$  and  $k$  in the Levi-Civita symbol:

$$[S_i^{(1)} + S_i^{(2)}, \Delta V] = i\epsilon_{ijk} S_k^{(1)} S_j^{(2)} - i\epsilon_{ijk} S_k^{(1)} S_j^{(2)} = 0. \quad (5.31)$$

So, we can deduce a better classification of our states:

$$|n, l, s = \frac{1}{2}, J, J_z\rangle \quad (5.32)$$

where:

$$\mathbf{J} = \mathbf{L} + \mathbf{S}. \quad (5.33)$$

We will discuss this basis further next class.

## 6 Addition of Angular Momentum, Continued

### 6.1 Review of Last Class

We represent all magnetic-moment coupling interactions as:

$$\Delta V = \mathbf{S}^{(1)} \cdot \mathbf{S}^{(2)} \quad (6.1)$$

Where the coupling constant has been set to unity. We then found:

$$[S_i^{(1)}, \Delta V] \neq 0, [S_i^{(2)}, \Delta V] \neq 0. \quad (6.2)$$

But did find that the total angular momentum  $S_i^{tot} = S_i^{(1)} + S_i^{(2)}$  did commute:

$$[S_i^{tot}, \Delta V] = 0. \quad (6.3)$$

From this, we concluded that the classification of hydrogen atom states based on the quantum numbers  $|n, l, m, s = 1/2, s_z\rangle$  was a bad classification; instead, a better classification is  $|n, l, s = 1/2, J, J_z\rangle$ , where:

$$\mathbf{J} = \mathbf{L} + \mathbf{S}. \quad (6.4)$$

This is a good classification sense in the operators corresponding to each of the quantum numbers commute with each other and the Hamiltonian. Note that the  $J_i$ s also follow the angular momentum algebra:

$$[J_i, J_j] = i\epsilon_{ijk}J_k. \quad (6.5)$$

This can be verified by their definition:

$$[J_i, J_j] = [L_i + S_i, L_j + S_j] = [L_i, L_j] + [S_i, S_j] = i\epsilon_{ijk}(L_k + S_k) = i\epsilon_{ijk}J_k. \quad (6.6)$$

Hence our general results proven previously for arbitrary angular momentum operators still hold, i.e.:

$$[\mathbf{J}^2, J_i] = 0 \quad (6.7)$$

and all of the commutation relations with  $J_{\pm}, J_z$  etc.

### 6.2 Adding Two Spin-1/2 Particles

In practice, when adding up angular momenta we can just refer to tables (or computer programs) which tabulate Clebsch-Gordon coefficients, which tell us how states in the  $|s^{(1)}, s^{(2)}, s, s_z\rangle$  basis (where  $s$  is the joint spin) can be expanded in state in the  $|s^{(1)}, s_z^{(1)}, s^{(2)}, s_z^{(2)}\rangle$  basis (and vice versa). We discuss the simplest case here, with  $s^{(1)} = 1/2, s^{(2)} = 1/2$ . Also from now on we suppress the  $s^{(1)}, s^{(2)}$  as these always remain unchanged (always 1/2). Also note the notation:

$$|\uparrow\rangle \otimes |\uparrow\rangle = |\uparrow\uparrow\rangle \cong \begin{pmatrix} 1 \\ 0 \\ 0 \\ 0 \end{pmatrix} \otimes \begin{pmatrix} 1 \\ 0 \\ 0 \\ 0 \end{pmatrix} = \begin{pmatrix} 1 \\ 0 \\ 0 \\ 0 \end{pmatrix}. \quad (6.8)$$

Can we immediately say how  $|\uparrow\uparrow\rangle$  will be expressed in the  $|s, s_z\rangle$  basis? Yes.

$$|\uparrow\uparrow\rangle \mapsto |s = 1, s_z = +1\rangle. \quad (6.9)$$

Why is  $s = 2$  not possible here? This is because that would (of course) violate angular momentum conservation. The  $|\downarrow\downarrow\rangle$  works out in the same way:

$$|\downarrow\downarrow\rangle \mapsto |s = 1, s_1 = -1\rangle. \quad (6.10)$$

We now consider applying the raising and lowering operators to these states. In particular, we apply them in two different forms. First, applying this in the joint basis, we know that:

$$S_- |\uparrow\uparrow\rangle = \sqrt{2} |s = 1, s_z = 0\rangle \quad (6.11)$$

The constant was determined by using the coefficients of the raising/lowering operators derived in the second class. Applying  $S_-$  in the form  $S_- = S_-^{(1)} + S_-^{(2)}$  we have:

$$S_- |\uparrow\uparrow\rangle = (S_-^{(1)} + S_-^{(2)}) |\uparrow\uparrow\rangle = |\downarrow\uparrow\rangle + |\uparrow\downarrow\rangle. \quad (6.12)$$

Where again the coefficients can be obtained by the general raising/lowering coefficient formula. Comparing the two expressions, we find:

$$|s = 1, s_z = 0\rangle = \frac{|\uparrow\downarrow\rangle + |\downarrow\uparrow\rangle}{\sqrt{2}}. \quad (6.13)$$

Now, let's back up a bit. In the  $|s^{(1)}, s_z^{(1)}, s^{(2)}, s_z^{(2)}\rangle$  basis, we have three basis states;  $2 \times 2 = 4$  as each spin has two basis states (explicitly, a basis is  $|\uparrow\uparrow\rangle, |\uparrow\downarrow\rangle, |\downarrow\uparrow\rangle, |\downarrow\downarrow\rangle$ ). However, in our construction of the  $|s, s_z\rangle$  basis, we have only constructed three states. What is the fourth?

We need to find the last state. This last state will have  $s = 0$  (this is the only possibility left). How do we construct it? We can find it via the following observation; the state  $|s = 0, s_z = 0\rangle$  must be *orthogonal to all of the other three states found so far, as it has a different quantum number  $s$* . Note that it is immediate to see that:

$$\langle\uparrow\uparrow|s = 0, s_z = 0\rangle = \langle\downarrow\downarrow|s = 0, s_z = 0\rangle = 0 \quad (6.14)$$

as  $|s = 0, s_z = 0\rangle$  must be constructed out of parts that have spin-z projection zero, i.e. it must be constructed out of states  $|\uparrow\downarrow\rangle$  and  $|\downarrow\uparrow\rangle$  (which are orthogonal to  $|\uparrow\uparrow\rangle$  and  $|\downarrow\downarrow\rangle$ ). So, we find the coefficients  $a, b$  in:

$$|s = 0, s_z = 0\rangle = a|\uparrow\downarrow\rangle + b|\downarrow\uparrow\rangle \quad (6.15)$$

And we require this to be orthogonal to  $|s = 1, s_z = 0\rangle$ , so:

$$\frac{1}{\sqrt{2}} (\langle\uparrow\downarrow| + \langle\downarrow\uparrow|) (a|\uparrow\downarrow\rangle + b|\downarrow\uparrow\rangle) \quad (6.16)$$

and after doing the computation (and normalization of the coefficients), I find that  $a = 1/\sqrt{2}, b = -1/\sqrt{2}$  and so:

$$|s = 0, s_z = 0\rangle = \frac{|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle}{\sqrt{2}}. \quad (6.17)$$

So we have succeeded in constructing the four states in the  $|s, s_z\rangle$  basis. Our classification is complete.

### 6.3 Checking Joint Set Properties

Let us check that indeed the  $|s = 0, s_z = 0\rangle$  state we constructed has spin zero. We consider the operator:

$$\mathbf{S}^2 = (\mathbf{S}^{(1)} + \mathbf{S}^{(2)})^2 = (\mathbf{S}^{(1)})^2 + (\mathbf{S}^{(2)})^2 + 2\mathbf{S}^{(1)} \cdot \mathbf{S}^{(2)} = (\mathbf{S}^{(1)})^2 + (\mathbf{S}^{(2)})^2 + 2S_z^{(1)}S_z^{(2)} + S_+^{(1)}S_-^{(2)} + S_-^{(1)}S_+^{(2)} \quad (6.18)$$

Since the joint spin satisfies the angular momentum algebra, we already expect that:

$$\mathbf{S}^2|s=1, s_z=0\rangle = 1(1+1)|s=1, s_z=0\rangle = 2|s=1, s_z=0\rangle \quad (6.19)$$

$$\mathbf{S}^2|s=0, s_z=0\rangle = 0. \quad (6.20)$$

Let's apply  $\mathbf{S}^2$  to  $|\uparrow\downarrow\rangle$ . We go term by term:

$$(\mathbf{S}^{(1)})^2|\uparrow\downarrow\rangle = \frac{3}{4}|\uparrow\downarrow\rangle \quad (6.21)$$

$$(\mathbf{S}^{(2)})^2|\uparrow\downarrow\rangle = \frac{3}{4}|\uparrow\downarrow\rangle \quad (6.22)$$

$$2S_z^{(1)}S_z^{(2)}|\uparrow\downarrow\rangle = 2\frac{1}{2} \cdot \frac{-1}{2}|\uparrow\downarrow\rangle = -\frac{1}{2}|\uparrow\downarrow\rangle \quad (6.23)$$

$$S_+^{(1)}S_-^{(2)}|\uparrow\downarrow\rangle = 0. \quad (6.24)$$

$$S_-^{(1)}S_+^{(2)}|\uparrow\downarrow\rangle = |\downarrow\uparrow\rangle \quad (6.25)$$

so in total:

$$\mathbf{S}^2|\uparrow\downarrow\rangle = |\uparrow\downarrow\rangle + |\downarrow\uparrow\rangle. \quad (6.26)$$

And analogously, we find:

$$\mathbf{S}^2|\downarrow\uparrow\rangle = |\downarrow\uparrow\rangle + |\uparrow\downarrow\rangle. \quad (6.27)$$

so then:

$$\mathbf{S}^2(|\downarrow\uparrow\rangle + |\uparrow\downarrow\rangle) = 2(|\downarrow\uparrow\rangle + |\uparrow\downarrow\rangle) \quad (6.28)$$

which is exactly what we predicted.

## 6.4 Adding a Spin-1 and Spin-1/2 Particle

We take  $l=1, s=\frac{1}{2}$ , and take  $\mathbf{J} = \mathbf{L} + \mathbf{S}$ . The number of states is  $(2l+1)(2s+1) = 3 \cdot 2 = 6$ . We immediately have:

$$|l_z=1, s_z=\frac{1}{2}\rangle = |J=\frac{3}{2}, J_z=\frac{3}{2}\rangle. \quad (6.29)$$

There are four states total with  $J=\frac{3}{2}$  ( $J_z=\frac{3}{2}, \frac{1}{2}, \frac{-1}{2}, \frac{-3}{2}$ ) and two more states with  $J=\frac{1}{2}$  ( $J_z=\frac{1}{2}, \frac{-1}{2}$ ). For the rest of the states with  $J=\frac{3}{2}$ , we can apply  $J_-$  to the maximal projection state with  $J_z=\frac{3}{2}$  above.

$$J_-|l_z=1, s_z=\frac{1}{2}\rangle \sim |J=\frac{3}{2}, J_z=\frac{1}{2}\rangle \quad (6.30)$$

Explicitly:

$$(L_- + S_-)|l_z=1, s_z=\frac{1}{2}\rangle = \sqrt{2}|l_z=0, s_z=\frac{1}{2}\rangle + 1|l_z=1, s_z=-\frac{1}{2}\rangle \quad (6.31)$$

So normalizing:

$$|J=\frac{3}{2}, J_z=\frac{1}{2}\rangle = \frac{\sqrt{2}|l_z=0, s_z=\frac{1}{2}\rangle + 1|l_z=1, s_z=-\frac{1}{2}\rangle}{\sqrt{3}} \quad (6.32)$$

and we can go on. Next time, we briefly discuss the general case, and how to construct the wavefunctions of the hydrogen atom properly.

## 7 Addition of Angular Momentum (Concluded), Time-Independent Perturbation Theory

### 7.1 General Theory of Addition of Angular Momentum

Last time, we covered a few simple examples (spin-1/2 + spin-1/2 and spin-1/2 + spin-1) of angular momentum addition. Now, we proceed with a brief discussion of the general case, where we add a spin- $s_1$  particle to a spin- $s_2$  particle. We then have the relation:

$$|sm\rangle = \sum_{m=m_1+m_2} C_{mm_1m_2}^{ss_1s_2} |s_1m_1\rangle |s_2m_2\rangle \quad (7.1)$$

where  $s$  is the joint spin,  $m = m_1 + m_2$  is the joint spin- $z$  component, and the  $C_{mm_1m_2}^{ss_1s_2}$  are the Clebsch-Gordon coefficients (a table of which can be found in literally any quantum mechanics textbook).

We return to the question of degeneracy. The number of states in the  $|s_1m_1\rangle |s_2m_2\rangle$  basis is given by  $(2s_1 + 1)(2s_2 + 1)$ . We can then count the degeneracy in the  $|sm\rangle$  basis as:

$$(2s_1 + 1)(2s_2 + 1) = \sum_{s_{min}=|s_1-s_2|}^{s_{max}=|s_1+s_2|} (2s + 1) \quad (7.2)$$

Let's look at this formula for a couple examples. For  $s_1 = s_2 = \frac{1}{2}$  we have:

$$2 \cdot 2 = 1 + 3 \quad (7.3)$$

where the first term corresponds to  $s = 0$  and the second term corresponds to  $s = 1$ . For  $s_1 = \frac{1}{2}$  and  $s_2 = 1$  we have:

$$3 \cdot 2 = 2 + 4 \quad (7.4)$$

where the first term corresponds to  $s = \frac{1}{2}$  and the second term corresponds to  $s = \frac{3}{2}$ . We also discussed why we cannot exceed  $s = |s_1 + s_2|$ ; it comes down to rotational symmetry and angular momentum conservation.

In general, do not waste time computing CGCs (although the procedure to do so was illustrated last class, and you have one example in the homework); people have tabulated all of these coefficients already, and you can look them up.

### 7.2 Classification of Hydrogen Atom States

Normally, when we do computations with the Hydrogen atom, we have  $|n, l, m, s = 1/2, s_z\rangle$ . But this is not a good basis (discussed last class) so we consider instead the basis  $|n, l, s = 1/2, J, J_z\rangle$ . We use spectroscopic notation  $n^{2s+1}L_J$ . For the hydrogen atom we always have  $s = 1/2$  so we can omit this and write  $nL_J$ . We can write the energy levels as per the diagram below:

Old Classification	Degeneracy Counting (Old)	New classification	Degeneracy Counting
3s3p3d	2 + 6 + 10 = 18	$3s_{1/2} (2) 3p_{1/2} (2) 3p_{3/2} (4) 3d_{3/2} (4) 3d_{5/2} (6)$	2 + 2 + 4 + 4 + 6 = 18
2s2p	2 + 6 = 8	$2s_{1/2} (2) 2p_{1/2} (2) 2p_{3/2} (4)$	2 + 2 + 4 = 8
1s	2 = 2	$1s_{1/2} (2)$	2 = 2

Table 1: Spectroscopic classification of states of the hydrogen atom. On the left is the old classification, with  $s$  having two states (corresponding to  $l = 0$  and so  $J = 1/2 (2)$ ),  $p$  having six states (corresponding to  $l = 1$  and so  $J = 3/2 (4)$  and  $J = 1/2 (2)$ ), and  $d$  having 10 states (corresponding to  $l = 2$  and so  $J = 5/2 (6)$ ,  $J = 3/2 (4)$  and  $J = 1/2 (2)$ ). On the right is the new classification, which quantifies the states according to our better new set of quantum numbers. The degeneracy in the two cases is the same.

A fun little aside; D-wave (the quantum computing company in Burnaby) got its name from the d-waves inside high- $T_c$  superconductors, which they were working on when the company was started.

5 second question: Why is there no  $d = 1/2$ ? Because the minimum is  $s = |s_1 - s_2| = |2 - \frac{1}{2}| = \frac{3}{2}$ .

In conclusion, we see that the true classification is based on the joint angular momentum. Let us see how we construct the wavefunctions corresponding to different states:

$$1s_{1/2} \cong R_{10}Y_0^0 \begin{pmatrix} 1 \\ 0 \end{pmatrix}. \quad (7.5)$$

How do we construct the other states? We can use Clebsch-Gordon coefficients:

$$2p_{1/2}(J_z = \frac{1}{2}) = \sqrt{\frac{2}{3}}|1\frac{-1}{2}\rangle - \sqrt{\frac{1}{3}}|0\frac{1}{2}\rangle \cong \sqrt{\frac{2}{3}}R_{21}Y_1^1 \begin{pmatrix} 0 \\ 1 \end{pmatrix} - \sqrt{\frac{1}{3}}R_{21}Y_1^0 \begin{pmatrix} 1 \\ 0 \end{pmatrix}. \quad (7.6)$$

The probability of finding spin-up is  $\frac{1}{3}$ . The probability of finding spin-up in some region can be found by integrating  $R_{21}Y_1^0$  over a given spatial region. The probability of finding total angular momentum can also be calculated by reversing the Clebsch-Gordon table (it can be read both ways, depending on whether one goes by column or by row).

### 7.3 Non-Degenerate Time-Independent Perturbation Theory

Why do we want PT? Many systems are not analytically solvable<sup>1</sup>, but in many cases we have some kind of small parameter, for which we can treat as a perturbation and get an approximate result. Our starting point is the Hamiltonian:

$$H = H^{(0)} + \lambda H^{(1)} \quad (7.7)$$

where  $H^{(0)}$  is an analytically solvable Hamiltonian, and  $\lambda$  is a “small” parameter (we will comment on what this means later). We have the eigenfunctions/eigenenergies of  $H^{(0)}$ :

$$H^{(0)}\psi_n^{(0)} = E_n^{(0)}\psi_n^{(0)} \quad (7.8)$$

We expand the eigenfunctions and eigenenergies of  $H$  in  $\lambda$ :

$$\psi_n = \psi_n^{(0)} + \lambda\psi_n^{(1)} + \dots, \quad E_n = E_n^{(0)} + \lambda E_n^{(1)} + \dots \quad (7.9)$$

The eigenvalue equation then reads:

$$(H^{(0)} + \lambda H^{(1)})(\psi_n^{(0)} + \lambda\psi_n^{(1)} + \dots) = (E_n^{(0)} + \lambda E_n^{(1)} + \dots)(\psi_n^{(0)} + \lambda\psi_n^{(1)} + \dots). \quad (7.10)$$

We can then collect the terms in orders of  $\lambda$ . The  $\lambda^0 = 1$  terms read:

$$H^{(0)}\psi_n^{(0)} = E_n^{(0)}\psi_n^{(0)} \quad (7.11)$$

and of course these are just the eigenvalues/eigenfunctions of the exactly solvable Hamiltonian  $H^{(0)}$ . If we collect the terms in order  $\lambda^1$ , we find:

$$E_n^{(1)} = \langle \psi_n^{(0)} | H^{(1)} | \psi_n^{(0)} \rangle \quad (7.12)$$

and if we collect the terms in order  $\lambda^2$ , we find:

$$E_n^{(2)} = \sum_{m \neq n} \frac{|\langle \psi_m^{(0)} | H^{(1)} | \psi_n^{(0)} \rangle|^2}{E_n^{(0)} - E_m^{(0)}}. \quad (7.13)$$

---

<sup>1</sup>Indeed, the solvable problems are the free particle, the infinite box, the oscillator, and the hydrogen atom.

However we notice that when we have degeneracy, the second order energy corrections explode as  $E_m^{(0)} = E_n^{(0)}$  for some  $m \neq n$ . So, our standard procedure only works for non-degenerate systems. However many systems of physical interest (such as the hydrogen atom) exhibit a large amount of degeneracy, so let's look at how to treat this problem.

## 7.4 Degenerate Time-Independent Perturbation Theory

If we have a degeneracy, then:

$$H^{(0)}\psi_{a,b}^{(0)} = E^{(0)}\psi_{a,b}^{(0)} \quad (7.14)$$

for some  $a, b$ . We can then immediately convince ourselves that:

$$E_a^{(1)} = \langle \psi_a^{(0)} | H^{(1)} | \psi_a^{(0)} \rangle, \quad E_b^{(1)} = \langle \psi_b^{(0)} | H^{(1)} | \psi_b^{(0)} \rangle \quad (7.15)$$

is wrong. Why? Because if we can use  $a, b$ , then we can use an arbitrary superposition:

$$\tilde{\psi}^{(0)} = \alpha\psi_a^{(0)} + \beta\psi_b^{(0)} \quad (7.16)$$

but then the naive first-order correction could give any answer we want depending on what linear combination we take; of course this is wrong (things should be unique in physics). So let us repair this. We consider the case where the ground state is degenerate (but this procedure can be easily generalized). We go back to our expansion in Eq. (7.10) and replace  $\psi_n^{(0)}$  with the linear combination (7.16). We introduce matrix elements:

$$\begin{aligned} T_{aa} &= \langle \psi_0^a | H^{(1)} | \psi_0^a \rangle \\ T_{ab} &= \langle \psi_0^a | H^{(1)} | \psi_0^b \rangle \\ T_{ba} &= \langle \psi_0^b | H^{(1)} | \psi_0^a \rangle \\ T_{bb} &= \langle \psi_0^b | H^{(1)} | \psi_0^b \rangle \end{aligned} \quad (7.17)$$

Having introduced this, we can proceed precisely the same way that we did in the non-degenerate case. If we do so, the equations we obtain are:

$$\begin{aligned} \alpha T_{aa} + \beta T_{ab} - \alpha E^{(1)} &= 0 \\ \beta(T_{bb} - E^{(1)}) + \alpha T_{ba} &= 0. \end{aligned} \quad (7.18)$$

The claim now is that these equations cannot be satisfied for arbitrary  $\alpha, \beta$ ; in particular, we require that:

$$\det \begin{pmatrix} T_{aa} - E^{(1)} & T_{ab} \\ T_{ba} & T_{bb} - E^{(1)} \end{pmatrix} = 0. \quad (7.19)$$

i.e.  $E^{(1)}$  is a eigenvalue of the  $T$ -matrix corresponding to eigenvector  $\begin{pmatrix} \alpha \\ \beta \end{pmatrix}$ . After going through the linear algebra, we find:

$$E_{\pm}^{(1)} = \frac{1}{2}(T_{aa} + T_{bb}) \pm \frac{1}{2}\sqrt{(T_{aa} - T_{bb})^2 + 4T_{ab}T_{ba}} \quad (7.20)$$

This looks awful, and we have only dealt with a two-fold degeneracy! This looks very complicated, but we gave a theorem that shows that this is not so.

First, notice that if  $T_{ab} = 0$ , then:

$$\begin{aligned} E_+ &= \frac{1}{2}(T_{aa} + T_{bb}) + \frac{1}{2}(T_{aa} - T_{bb}) = T_{aa} \\ E_- &= T_{bb} \end{aligned} \quad (7.21)$$



In other words, if the off-diagonals are zero, we can go back to our non-degenerate perturbation theory formula and calculate:

$$E_{\pm} = T_{aa/bb} = \langle \psi_0^{a/b} | H^{(1)} | \psi_0^{a/b} \rangle \quad (7.22)$$

This observation motivates the theorem:

**Theorem.** Suppose there exists  $A$  such that  $[A, H^{(1)}] = 0$  and  $A|\psi_a\rangle = a|\psi_a\rangle$  and  $A|\psi_b\rangle = b|\psi_b\rangle$  with  $a \neq b$ , then  $T_{ab} = 0$ .

*Proof.*

$$0 = \langle \psi_b | 0 | \psi_a \rangle = \langle \psi_b | [A, H^{(1)}] | \psi_a \rangle = \langle \psi_b | AH^{(1)} - H^{(1)}A | \psi_a \rangle = (b - a) \langle \psi_b | H^{(1)} | \psi_a \rangle. \quad (7.23)$$

But since  $a \neq b$ ,  $\langle \psi_b | H^{(1)} | \psi_a \rangle = T_{ab}$  must vanish.  $\square$

## 8 Time-Independent Perturbation Theory Continued

### 8.1 Review of Last Lecture

Let us reformulate the most important lesson from the last class. We say that if we find an operator  $A$  such that  $[A, H'] = 0$ , such that  $A|\psi_a\rangle = a|\psi_a\rangle$  and  $B|\psi_b\rangle = b|\psi_b\rangle$  (i.e. the states of interest are eigenstates of the operator) then  $T_{ab} = 0$ . This is desirable as we have the matrix:

$$\begin{pmatrix} T_{aa} - E^{(1)} & T_{ab} \\ T_{ba} & T_{bb} - E^{(1)} \end{pmatrix} = \begin{pmatrix} T_{aa} - E^{(1)} & 0 \\ 0 & T_{bb} - E^{(1)} \end{pmatrix} \quad (8.1)$$

and so we have a trivial computation for  $a$  and for  $b$ . We can just use the non-degenerate perturbation theory results:

$$E_a^{(1)} = \langle \psi_a | H' | \psi_a \rangle, \quad E_b^{(1)} = \langle \psi_b | H' | \psi_b \rangle. \quad (8.2)$$

So the hard problem of doing perturbation theory reduces to a trivial calculation.

Note that this only works in the case we have a finite number of states; if we have an infinite degeneracy, this method does not work. Working with infinities is hard; so for example in QFT we remove them via a procedure known as normalization.

### 8.2 Comparing the two methods

We consider the perturbing Hamiltonian:

$$H' = \lambda \mathbf{S}^{(1)} \cdot \mathbf{S}^{(2)}. \quad (8.3)$$

We start by classifying all of our states; we have  $\{|\uparrow\uparrow\rangle, |\uparrow\downarrow\rangle, |\downarrow\uparrow\rangle, |\downarrow\downarrow\rangle\}$ . We construct our matrix:

$$T = \lambda \begin{pmatrix} \frac{1}{4} & 0 & 0 & 0 \\ 0 & -\frac{1}{4} & \frac{1}{2} & 0 \\ 0 & \frac{1}{2} & -\frac{1}{4} & 0 \\ 0 & 0 & 0 & \frac{1}{4} \end{pmatrix} \quad (8.4)$$

Ok, why are the borders of this matrix zero?

$$\begin{aligned} \langle \uparrow\uparrow | H' | \uparrow\downarrow \rangle &= \langle \uparrow\uparrow | \lambda \mathbf{S}^{(1)} \cdot \mathbf{S}^{(2)} | \uparrow\downarrow \rangle \\ &= \langle \uparrow\uparrow | \lambda (S_z^{(1)} S_z^{(2)} + \frac{1}{2} S_+^{(1)} S_-^{(2)} + \frac{1}{2} S_-^{(1)} S_+^{(2)}) | \uparrow\downarrow \rangle \\ &= 0 \end{aligned} \quad (8.5)$$

The  $S_z$  term is zero by the orthogonality of the the two basis states (the  $S_z$ s do not change the basis states; they are eigenstates of  $S_z$ ). The  $S_+^{(1)}$  kills the  $|\uparrow\rangle^{(1)}$  so it too is zero. Finally, the  $S_-^{(1)} S_+^{(2)}$  converts  $|\uparrow\downarrow\rangle$  to  $|\downarrow\uparrow\rangle$  but this too is orthogonal to  $|\uparrow\uparrow\rangle$  and so it vanishes. We can proceed via the same methods to compute the other matrix elements. Note that since  $H'$  is Hermitian, this saves many computations as well. We therefore have:

$$|T_{ab} - \lambda E^{(1)}| = 0 \implies \left| \begin{pmatrix} -\frac{\lambda}{4} - E^{(1)} & \frac{1}{2} \\ \frac{1}{2} & -\frac{\lambda}{4} - E^{(1)} \end{pmatrix} \right| = 0 \quad (8.6)$$

So we obtain the polynomial expression:

$$\left( E^{(1)} + \frac{\lambda}{4} \right)^2 - \frac{\lambda^2}{4} = 0 \quad (8.7)$$

This has two solutions,  $E_1^{(1)} = \frac{\lambda}{4}$  and  $E_2^{(1)} = -\frac{3}{4}\lambda$ . So the first order energy corrections are:

$$E^{(1)} = -\frac{\lambda}{4} \pm \frac{\lambda}{2} \quad (8.8)$$

Now, let's go back to our  $T$  matrix and find the eigenstates by substituting back  $E_1^{(1)}$  and  $E_2^{(1)}$  back in:

$$\begin{pmatrix} -\frac{\lambda}{4} - E^{(1)} & \frac{1}{2} \\ \frac{1}{2} & -\frac{\lambda}{4} - E^{(1)} \end{pmatrix} \begin{pmatrix} \alpha \\ \beta \end{pmatrix} = \mathbf{0} \quad (8.9)$$

What do we expect to get from our calculation? We would expect the singlet and triplet states. If we substitute back in  $E_1^{(1)} = \lambda/4$ , we should have  $\alpha = \beta = \frac{1}{\sqrt{2}}$  and we have the triplet state  $(|\uparrow\downarrow\rangle + |\downarrow\uparrow\rangle)/\sqrt{2}$ :

$$\begin{pmatrix} -\lambda/2 & \lambda/2 \\ \lambda/2 & -\lambda/2 \end{pmatrix} \begin{pmatrix} \alpha \\ \beta \end{pmatrix} = \mathbf{0} \implies \begin{pmatrix} \alpha \\ \beta \end{pmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 1 \end{pmatrix} \quad (8.10)$$

If we substitute back in  $E_2^{(1)} = -3\lambda/4$ , we have the singlet state with  $\alpha = -\beta = \frac{1}{\sqrt{2}}$ :

$$\begin{pmatrix} \lambda/2 & \lambda/2 \\ \lambda/2 & \lambda/2 \end{pmatrix} \begin{pmatrix} \alpha \\ \beta \end{pmatrix} = \mathbf{0} \implies \begin{pmatrix} \alpha \\ \beta \end{pmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ -1 \end{pmatrix} \quad (8.11)$$

This concludes the difficult way of doing things. Now we can use our theorem instead. We know that the operator  $\mathbf{S} = \mathbf{S}^{(1)} + \mathbf{S}^{(2)}$  commutes with the perturbing Hamiltonian:

$$[\mathbf{S}^{(1)} + \mathbf{S}^{(2)}, \lambda \mathbf{S}^{(1)} \cdot \mathbf{S}^{(2)}] = 0. \quad (8.12)$$

and so we can classify our states according to  $\mathbf{S}$  and then simply apply the non-degenerate perturbation theory result. Of course we already know that:

$$S_z |\uparrow\uparrow\rangle = |\uparrow\uparrow\rangle, \quad S_z |\downarrow\downarrow\rangle = -|\downarrow\downarrow\rangle \quad (8.13)$$

But we already constructed the other states which are the eigenstates of the  $S_z = S_z^{(1)} + S_z^{(2)}$  operator:

$$|s=1, s_z=0\rangle = \frac{|\uparrow\downarrow\rangle + |\downarrow\uparrow\rangle}{\sqrt{2}}, \quad |s=0, s_z=0\rangle = \frac{|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle}{\sqrt{2}} \quad (8.14)$$

Now, let us proceed with the calculation. We can write the perturbing Hamiltonian as:

$$H' = \lambda \frac{1}{2} [\mathbf{S}^2 - \mathbf{S}^{(1)2} - \mathbf{S}^{(2)2}] \quad (8.15)$$

And for the triplet case, we have:

$$\langle \text{triplet} | H' | \text{triplet} \rangle = \frac{\lambda}{2} \left[ 2 - \frac{3}{4} - \frac{3}{4} \right] = \frac{\lambda}{4} \quad (8.16)$$

And this is exactly what we got with the arduous method. And for the singlet case, we have:

$$\langle \text{singlet} | H' | \text{singlet} \rangle = \frac{\lambda}{2} \left[ 0 - \frac{3}{4} - \frac{3}{4} \right] = -\frac{3\lambda}{4}. \quad (8.17)$$

So let's review; we did a computation for a simple case of a perturbing Hamiltonian. We did the brute force approach, and we did the slick approach using the theorem. The results were consistent.

### 8.3 Wigner-Eckart Theorem

The expectation values of  $S_z = S_z^{(1)} + S_z^{(2)}$  are trivial:

$$\langle s = 1, s_z = 1 | S_z | s = 1, s_z = 1 \rangle = 1 \quad (8.18)$$

Now, a less trivial question; what is the expectation value of:

$$\langle s = 1, s_z = 1 | S_z^{(1)} | s = 1, s_z = 1 \rangle = \frac{1}{2} \quad (8.19)$$

this is also not hard as we know what the expression is in the original basis. We can do a similar calculation:

$$\langle s = 1, s_z = 0 | S_z^{(1)} | s = 1, s_z = 0 \rangle = \left( \frac{\langle \uparrow\downarrow | + \langle \downarrow\uparrow |}{\sqrt{2}} \right) S_z^{(1)} \left( \frac{|\uparrow\downarrow\rangle + |\downarrow\uparrow\rangle}{\sqrt{2}} \right) = 0 \quad (8.20)$$

and the same holds for the singlet state. But we could anticipate this without doing any computation. This is because:

$$\langle s = 1, s_z = 0 | \mathbf{S}^{(1)} | s = 1, s_z = 0 \rangle \sim \langle s = 1, s_z = 0 | \mathbf{S} | s = 1, s_z = 0 \rangle \quad (8.21)$$

The claim is that this is the only thing it could be proportional to, as it is the only vector we work with. I don't understand Ariel's argument here for why this is true; I think I need to understand the proof of the following theorem to see why it would be true. We also have a theorem to formalize this, known as the *Wigner-Eckart Theorem*:

$$\langle s, s_z | \mathbf{S}^{(1)} | s, s_z \rangle = a \langle s, s_z | \mathbf{S} | s, s_z \rangle \quad (8.22)$$

but note the theorem applies more broadly to vectors than spin. Here  $a$  is a number that does not depend on projection. It is a very powerful theorem, as we can do a projection to any state we want, find out what  $a$  is, and then apply this generally. For us, the convenient basis to pick is the basis we are used to. So, we compute  $a$  with:

$$\langle s, s_z | \mathbf{S} \cdot \mathbf{S}^{(1)} | s, s_z \rangle = a \langle s, s_z | \mathbf{S}^2 | s, s_z \rangle \quad (8.23)$$

But expanding things out we have:

$$\mathbf{S} \cdot \mathbf{S}^{(1)} = \mathbf{S}^{(1)2} + \mathbf{S}^{(1)} \cdot \mathbf{S}^{(2)} = \mathbf{S}^{(1)2} + \frac{1}{2} [\mathbf{S}^2 - \mathbf{S}^{(1)2} - \mathbf{S}^{(2)2}] = \frac{1}{2} [\mathbf{S}^2 + \mathbf{S}^{(1)2} - \mathbf{S}^{(2)2}] \quad (8.24)$$

We can then compute the coefficient  $a$  as:

$$\frac{1}{2} \cdot 2 = a \cdot 2 \quad (8.25)$$

So we can go back to our formula now:

$$\langle s, s_z | \mathbf{S}^{(1)} | s, s_z \rangle = \frac{1}{2} \langle s, s_z | \mathbf{S} | s, s_z \rangle \quad (8.26)$$

So we can obtain the expectation values of  $S_z$  in a much slicker way:

$$\langle s, s_z | S_z^{(1)} | s, s_z \rangle = \frac{1}{2} \langle s, s_z | S_z | s, s_z \rangle \quad (8.27)$$

and we can reproduce all of the results we had previously, but the calculation is now immediate. We do this to simplify our life; we can skip the whole song and dance of using Clebsch-Gordon coefficients to convert bases.

## 9 Corrections to the Hydrogen Atom

### 9.1 Units and Numbers

We introduce some numbers we will be using to do some numerical calculations. Our formula for the Hydrogen atom looks like:

$$H^{(0)} = \frac{\mathbf{p}^2}{2m} - \frac{e^2}{r} \quad (9.1)$$

Note we have used Gaussian units; going from SI to Gaussian looks like  $\frac{e^2}{4\pi\epsilon_0} \rightarrow e^2$ . We have the *Rydberg*:

$$\text{Ry} = \frac{me^4}{2\hbar^2} = 13.6\text{eV} \quad (9.2)$$

And the Bohr radius:

$$a_B = \frac{\hbar^2}{me^2} = 0.5 \times 10^{-5}\text{cm} \quad (9.3)$$

The energies of the hydrogen atom are given by:

$$E_n = \text{Ry} \left( -\frac{1}{n^2} \right) \quad (9.4)$$

where  $0 \leq l \leq n$  and  $-l \leq m \leq l$ . We have the Bohr magneton:

$$\mu_B = \frac{+|e|\hbar}{2mc} = 5.8 \times 10^{-5} \frac{\text{eV}}{\text{T}} \quad (9.5)$$

where:

$$1\text{T} = 10^4\text{Gauss} \quad (9.6)$$

A comment; some places may write  $\mu_B = \frac{|e|\hbar}{2m}$ . The  $\hbar$  comes from our choice of where to put the  $\hbar$ s in angular momentum. The  $c$  comes in from Gaussian units. Finally we have the fine structure coupling constant:

$$\alpha = \frac{e^2}{\hbar c} = \frac{1}{137} \quad (9.7)$$

We proceed to consider a variety of corrections to the energies of the hydrogen atom. We start by order-of-magnitude estimates, and then we will do actual computations (e.g. perturbation theory). We do this because we can only analytically solve the simple hydrogen atom; we can use this as our starting point and work out corrections that come from various (important!) physical effects.

### 9.2 Corrections - Order of Magnitude Estimates

#### 9.2.1 Relativistic Corrections

The correct formula for the kinetic energy is not  $T = \frac{p^2}{2m}$ , but rather:

$$T = \sqrt{(pc)^2 + (mc^2)^2} - mc^2 = mc^2 \sqrt{1 + \frac{(pc)^2}{(mc^2)^2}} - mc^2 \quad (9.8)$$

We can taylor expand the last term using  $(1+x)^{1/2} = 1 + \frac{1}{2}x - \frac{1}{8}x^2 + \dots$  (Binomial series):

$$T \approx mc^2 \left[ 1 + \frac{p^2}{m^2c^2} \frac{1}{2} - \frac{1}{8} \frac{p^4}{m^4c^4} \right] = mc^2 + \frac{p^2}{2m} - \frac{1}{8} \frac{p^4}{m^3c^2} \quad (9.9)$$

So our relativistic correction to the Hamiltonian is:

$$H_{rel}^{(1)} = -\frac{1}{8} \frac{p^4}{m^3 c^2} \quad (9.10)$$

We can approximate the kinetic energy as half the total energy (assuming potential and kinetic contribute about the same)

$$\frac{p^2}{2m} \sim \frac{1}{2} \text{Ry} = \frac{1}{2} \left( \frac{me^4}{2\hbar^2} \right) \implies p^2 \sim \frac{m^2 e^4}{2\hbar^2} \quad (9.11)$$

We then have:

$$\Delta E^{(1)} \sim \left( \frac{m^2 e^4}{\hbar^2} \right)^2 \frac{1}{m^3 c^2} \sim \frac{me^4}{\hbar^2} \left( \frac{e^2}{\hbar c} \right)^2 \sim \alpha^2 \text{Ry} \quad (9.12)$$

Note we want to always express our results in terms of dimensionless numbers times our energy scale. So we find that the correction is  $\sim 10^{-5}$ , which is indeed order of magnitude that we see when we do experiments. Now, we consider:

$$\frac{p^2}{(mc)^2} \sim \frac{m^2 e}{\hbar^2 m^2 c^2} \sim \alpha^2 \quad (9.13)$$

and therefore:

$$\frac{v}{c} \sim \alpha \quad (9.14)$$

so although we are doing NRQM, since  $v \sim 10^{-3}c$  the velocities are still quite large.

### 9.2.2 Spin-Orbit Correction

The spin orbit coupling has the approximate the energy:

$$\frac{\boldsymbol{\mu}_s \cdot \boldsymbol{\mu}_L}{r^3} \sim \left( \frac{e\hbar}{mc} \right)^2 \left( \frac{me^2}{\hbar^2} \right)^3 = \left( \frac{me^4}{\hbar^2} \right) \left( \frac{e^4}{\hbar^2 c^2} \right) \alpha^2 \text{Ry} \quad (9.15)$$

where the  $\sim 1/r^3$  comes from the dipole-dipole interaction (recall classical E&M) and we take  $r \sim a_B$ .

### 9.2.3 Electron-Proton (Hyperfine) Correction

$$\frac{\boldsymbol{\mu}_e \cdot \boldsymbol{\mu}_p}{r^3} \sim \alpha^2 \text{Ry} \left( \frac{m_e}{m_p} \right) = 10^{-3} \alpha^2 \text{Ry} \quad (9.16)$$

where we replace one  $m$  with  $m_p$  in the previous approximation. This is of great importance to astrophysics; it corresponds to the 21cm line; we will discuss this in more detail soon, but this is effect we cannot observe on a lab on Earth but in galaxies. Much of what we know about the universe is based on this. We call this correction the hyperfine structure and the former two as fine structure as we have an additional suppression by  $10^{-3}$  here.

What other corrections are there? (There are of course corrections from the Earth's magnetic field etc. which are important for the Zeeman effect, but let's assume we're just looking at a hydrogen atom in a vacuum for now). One is a finite size effect; the proton is not truly pointlike!

### 9.2.4 Finite Size Effects

The radius of the proton is  $R \sim 10^{-13}$ cm. Compare this to the Bohr radius  $a_B \sim 10^{-8}$ cm. How do we estimate? The integral:

$$\int_0^R \frac{e^2}{r} r^2 dr \quad (9.17)$$

will of course be wrong as we are integrating “within” the proton. This can be estimated to be:

$$\int_0^R \frac{e^2}{r} r^2 dr \sim \text{Ry} \left( \frac{R^2}{a_B^2} \right) \quad (9.18)$$

Another correction (Which we will not consider, as it belongs to a QFT course and not here...) we could have virtual pair production and this would of course change our Green’s functions etc. but we say no more about this.

### 9.2.5 Proton Motion

Another effect is the motion of the proton. We should really use the reduced mass in everything:

$$m = \frac{m_e m_p}{m_e + m_p} \sim \frac{m_e m_p}{m_p} = m_e \quad (9.19)$$

but we neglect this.

## 9.3 Relativistic Correction - Computation

We have the perturbing Hamiltonian:

$$H_{rel}^{(1)} = -\frac{1}{8} \frac{p^4}{m^3 c^2} \quad (9.20)$$

And we have already solved the Schrodinger equation:

$$H^{(0)} |n, l, m, s = 1/2, s_z\rangle = E_n^{(0)} |n, l, m, s = 1/2, s_z\rangle \quad (9.21)$$

where:

$$E_n = \frac{m e^4}{2 \hbar^2} \left( -\frac{1}{n^2} \right) \quad (9.22)$$

So we can write the relativistic corrections as:

$$\Delta E_{rel}^{(1)} = \langle n, l, m, s, s_z | H_{rel}^{(1)} | n, l, m, s, s_z \rangle \quad (9.23)$$

Two questions: why do we use perturbation theory without degeneracy here? The answer is because  $p^4$  commutes with everything we have already have, so we can use the theorem last class which tells us we can just use the non-degenerate perturbation theory results. Another question; we have  $p^4 \sim (-i\hbar \nabla)^4$  so we have a crazy number of differentiation. What can we do? For one we can ignore spin:

$$\Delta E_{rel}^{(1)} = \langle n, l, m | H_{rel}^{(1)} | n, l, m \rangle \quad (9.24)$$

because the spin hilbert space is a different Hilbert space. This doesn’t help with our differentiation problem though. What we can do to rectify this is to write:

$$\begin{aligned} \langle n, l, m | H_{rel}^{(1)} | n, l, m \rangle &= -\frac{1}{8m^3 c^2} \langle nlm | p^4 | nlm \rangle = -\frac{4m^2}{8m^3 c^2} \langle nlm | m^3 c^2 | \langle nlm | [E_n - V(r)]^2 | nlm \rangle \\ &= -\frac{1}{2mc^2} \langle nlm | E_n^2 - 2E_n V(r) + V^2(r) | nlm \rangle \\ &= -\frac{1}{2mc^2} \left[ E_n^2 + 2E_n \left\langle \frac{e^2}{r} \right\rangle + \left\langle \frac{e^4}{r^2} \right\rangle \right] \end{aligned} \quad (9.25)$$

we will discuss the mathematical tricks required to compute the averages in the above expression next class.



## 10 Corrections to the Hydrogen Atom Continued

### 10.1 Review of Last Lecture

We started to discuss a real hydrogen atom which exists in nature. We did estimates for the so-called “fine structure” of the hydrogen atom. We call:

$$\alpha = \frac{e^2}{\hbar c} \quad (10.1)$$

the fine structure coupling constant. The relativistic and spin-orbit corrections were of order  $\alpha^2$ . The hyperfine structure (which we will discuss in a few lectures) was of order  $\alpha^2 10^{-3}$ . There are also a few other effects; for example the Zeeman splitting from the Earth’s magnetic field, and the Lamb shift which can be calculated from QFT (virtual pair production...) which is given by:

$$\Delta E = \frac{\alpha^3}{6\pi} \text{Ry} \ln \left( \frac{1}{\pi\alpha} \right) \quad (10.2)$$

Note that this has been measured, and this was computed by Feynman to high precision; leading to the birth of QFT!

### 10.2 Relativistic Correction - Computation

We calculated the relativistic correction last class:

$$\Delta E_{rel}^{(1)} = -\frac{1}{2mc^2} \left[ E_n^2 + 2E_n \left\langle \frac{e^2}{r} \right\rangle + \left\langle \frac{e^4}{r^2} \right\rangle \right] \quad (10.3)$$

where  $E_n = \text{Ry} \left( -\frac{1}{2n^2} \right)$ . The logic behind this was  $|n, l, m\rangle$  are eigenstates of the unperturbed Hamiltonian:

$$H^0 = \frac{p^2}{2m} + V(r) \quad (10.4)$$

We could then express  $p^4$  (the relativistic correction) in terms of  $H$  and hence obtain an expression in terms of eigenenergies. The remaining step is to compute the expectation values.

#### 10.2.1 The Feynman-Hellman Theorem

Let:

$$E_n(\lambda) = \langle n | H(\lambda) | n \rangle. \quad (10.5)$$

Then:

$$\frac{\partial E_n}{\partial \lambda} = \langle n | \frac{\partial H}{\partial \lambda} | n \rangle \quad (10.6)$$

*Proof.* We compute using the product rule:

$$\frac{\partial E_n}{\partial \lambda} = \left( \frac{\partial}{\partial \lambda} \langle n | \right) H(\lambda) | n(\lambda) \rangle + \langle n | \frac{\partial H}{\partial \lambda} | n \rangle + \langle n | H | \frac{\partial n}{\partial \lambda} \rangle \quad (10.7)$$

Now, since  $\langle n | n \rangle = 1$ , it follows that:

$$\frac{\partial}{\partial \lambda} \langle n | n \rangle = 0. \quad (10.8)$$

And therefore:

$$\frac{\partial}{\partial \lambda} \langle n | n \rangle = \left( \frac{\partial}{\partial \lambda} \langle n | \right) | n \rangle + \langle n | \frac{\partial n}{\partial \lambda} \rangle = 0 \quad (10.9)$$

and so the first/last terms in Eq. (10.7) vanish, and we are left with the result.  $\square$

### 10.2.2 Applying the Feynman-Hellman Theorem

We have the known standard integral:

$$\int_{-\infty}^{\infty} e^{-ax^2} dx = \sqrt{\frac{\pi}{a}} \quad (10.10)$$

which can be computed by the trick of going into polar coordinates. We can then obtain the solution for whole families of integrals, e.g.:

$$\int_{-\infty}^{\infty} x^2 e^{-ax^2} dx = \frac{\partial}{\partial a} \sqrt{\frac{\pi}{a}} \quad (10.11)$$

### 10.2.3 Applying the Feynman-Hellman Theorem for Relativistic Corrections

We have:

$$H^{(0)} = -\frac{\hbar^2}{2mr^2} \frac{\partial}{\partial r} \left( r^2 \frac{\partial}{\partial r} \right) + \frac{\hbar^2 l(l+1)}{2mr^2} - \frac{e^2}{r} \quad (10.12)$$

Now we observe:

$$\frac{\partial E_n}{\partial (e^2)} = -\frac{2me^2}{2\hbar^2} \frac{1}{n^2} = \left\langle -\frac{1}{r} \right\rangle \quad (10.13)$$

How did we know to pick this? We have to be a bit smart and choose to differentiate with respect to the variable that gives us the result we want. Next, we will want to differentiate w.r.t  $l$ . Recall however that  $n$  depends on  $l$ :

$$n = n_r + l + 1 \quad (10.14)$$

where  $n_r$  is the radial quantum number and  $l$  is the angular (and  $n$  is the composite, principle quantum number). When we differentiate w.r.t.  $l$ , we get:

$$\frac{\partial H^{(0)}}{\partial l} = \frac{(2l+1)\hbar^2}{2mr^2} \quad (10.15)$$

$$\frac{\partial E_n}{\partial l} = \frac{me^4}{\hbar^2(n_r + l + 1)^3} \quad (10.16)$$

Since the expectation value of the first term must be equal to the second by the theorem, we can solve for what  $\left\langle \frac{1}{r^2} \right\rangle$  should be. If we put everything in, we get:

$$\Delta E_{rel}^{(1)} = -\frac{1}{2mc^2} \left[ E_n^2 + 2E_n \left\langle \frac{e^2}{r} \right\rangle + \left\langle \frac{e^4}{r^2} \right\rangle \right] = \left( \frac{me^4}{\hbar^2} \right) \frac{\alpha^2}{(2n^2)^2} \left( -\frac{1}{2} \right) \left( \frac{4n}{l + \frac{1}{2}} - 3 \right) \quad (10.17)$$

So we get the  $\propto \alpha^2$  from our order of magnitude calculation! This is not at all surprising, because all the terms we add together are of the same order, and:

$$\frac{1}{mc^2} \left( \frac{me^4}{\hbar^2} \right)^2 = \left( \frac{me^4}{\hbar^2} \right) \left( \frac{me^4}{\hbar^2 mc^2} \right) = \alpha^2 \quad (10.18)$$

Some important notes: we see a dependence on  $l$  in our relativistic correction, and of course it should appear in all of our computations. 2s and 2p orbitals would have different corrections. Second remark; why can we use PT without degeneracy when we have huge degeneracy of  $g = 2n^2$ ? Because our perturbing Hamiltonian commutes with our classification scheme.

### 10.3 Spin-Orbit Correction - Calculation

We start with the Hamiltonian:

$$H_{SO} = -\boldsymbol{\mu} \cdot \mathbf{B} \quad (10.19)$$

where  $\mathbf{B}$  is a classical (dipole - from multipole expansion) field:

$$\mathbf{B} = \frac{|e|\hbar}{c} \frac{\mathbf{v} \times \mathbf{r}}{r^3} = \frac{|e|\hbar}{mc} \frac{\mathbf{L}}{r^3} \quad (10.20)$$

and we have:

$$\mu = -\frac{|e|\hbar}{2mc} (2\mathbf{S}) \quad (10.21)$$

therefore:

$$H_{SO} = \frac{e^2\hbar^2}{2m^2c^2} \frac{\mathbf{S} \cdot \mathbf{L}}{r^3}. \quad (10.22)$$

We now define  $\mathbf{J} = \mathbf{L} + \mathbf{S}$  as usual, and observing that:

$$[H_{SO}, \mathbf{J}_i] = 0 \quad (10.23)$$

we conclude that we can use the  $|n, l, s = 1/2, j, j_z\rangle$  basis/classification in our perturbation theory, and we can use the non-degenerate PT technique as  $\mathbf{J}$  commutes with the Hamiltonian. We are interested in:

$$\langle n, l, s = 1/2, j, j_z | \mathbf{L} \cdot \mathbf{S} | n, l, s = 1/2, j, j_z \rangle \quad (10.24)$$

But since:

$$\mathbf{L} \cdot \mathbf{S} = \frac{1}{2} (\mathbf{J}^2 - \mathbf{S}^2 - \mathbf{L}^2) \quad (10.25)$$

we then have:

$$\langle n, l, s = 1/2, j, j_z | \mathbf{L} \cdot \mathbf{S} | n, l, s = 1/2, j, j_z \rangle = \frac{1}{2} \left( j(j+1) - \frac{3}{4} - l(l+1) \right). \quad (10.26)$$

We still need to compute  $\left\langle \frac{1}{r^3} \right\rangle$ . We can use Kramer's Relation (whose proof comes down to integration by parts):

$$\left\langle \frac{1}{r^3} \right\rangle = \frac{1}{a_B^3} \frac{1}{n^3(l + \frac{1}{2})l(l+1)} \quad (10.27)$$

From this we can obtain the full solution:

$$\langle H^{(1)} \rangle = \frac{e^2\hbar^2}{2m^2c^2} \frac{1}{a_B^3} \frac{j(j+1) - \frac{3}{4} - l(l+1)}{2n^3(l + \frac{1}{2})l(l+1)} \quad (10.28)$$

But let's look at the constant prefactor here:

$$\frac{e^2\hbar^2}{2m^2c^2} \left( \frac{me^2}{\hbar^2} \right)^3 = \frac{me^4}{2\hbar^2} \left( \frac{e^2}{\hbar c} \right)^2 = \text{Ry} \alpha^2 \quad (10.29)$$

so again we see that our order of magnitude prediction of  $\propto \alpha^2$  checks out!

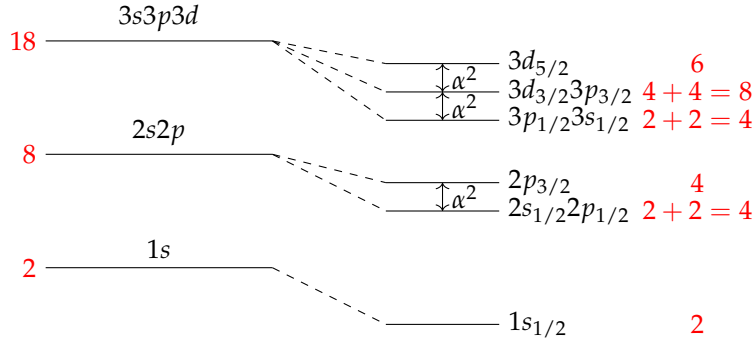


Figure 10.1: The energy levels of the hydrogen atom split due to the relativistic and spin-orbit corrections. The figure is not drawn to scale. The old classification with degeneracy counting is on the left. The new classification with appropriate energy splitting and degeneracy counting is on the right.

## 10.4 Combining the Corrections

In sum, the fine structure corrections to the hydrogen atom are given by:

$$\Delta E_{SO}^{(1)} + \Delta E_{rel}^{(1)} = -\frac{me^4}{\hbar^2} \frac{\alpha^2}{2n} \left[ \frac{n}{j + \frac{1}{2}} - \frac{3}{4} \right] \quad (10.30)$$

If we plot the energy levels with the fine structure corrections, we obtain Fig. 10.1.

We notice something very intriguing about the energy correction in Eq. (10.30); the net result does *not* have any dependence on  $l$  (only on  $j$ ). It is extremely nontrivial. For any potential in the world, we would have an  $l$  dependence; but for this *very* special (unique! - for further reading see the Runge Lentz vector and its connection to  $1/r^2$  potentials) case, we have this  $l$ -independent structure.

## 11 Hyperfine Structure of Hydrogen

Last time, we used perturbation theory to calculate the relativistic and spin-orbit corrections to the hydrogen atom. We then saw that the spectrum of the Hamiltonian split as seen in Fig. 10.1. Note that there is no splitting for a given  $l$  (as we found that the energy correction was independent of  $l$ ).

A note: if we have multiple perturbations to consider, we can categorize them by the order of magnitude of perturbations; then we can take different sets of perturbations as our base Hamiltonian in PT and then add the smaller ones as the perturbing Hamiltonians in PT (as we will do today when we consider the Zeeman effect (hyperfine structure) on top of the spin-orbit and relativistic corrections (fine structure)).

We now consider the hyperfine structure of hydrogen. We will see that the  $s$  states are sensitive, but the  $p$  and  $d$  states are not very sensitive to it. This is because for the  $s$  states there will be an overlap of the wavefunction with the origin, but there will be no such overlap for the higher angular momentum states.

### 11.1 A brief classical EM derivation

We will derive the form of the hyperfine Hamiltonian in classical electromagnetism to start, then we will move to quantum mechanics. The magnetic moments are given by:

$$\mu_e = \frac{|e|\hbar}{mc} \mathbf{S}_e, \quad \mu_p = \frac{|e|\hbar}{m_p c} g_p \mathbf{S}_p, \quad g_p = 2.79 \quad (11.1)$$

The hyperfine interaction has Hamiltonian:

$$H_{\text{hyper}} = -\boldsymbol{\mu}_e \cdot \mathbf{B} \quad (11.2)$$

where:

$$\mathbf{B} = \nabla \times \mathbf{A} \quad (11.3)$$

$$\mathbf{A} = \frac{\boldsymbol{\mu}_p \times \mathbf{r}}{r^3} \frac{1}{4\pi} \quad (11.4)$$

Normally, we would ignore singularities; but here they are important. We will use tensorial notation so as to not miss anything. The  $i$ th component of the  $B$ -field is given by:

$$B^i = \epsilon^{ijk} \nabla_j A_k = \frac{1}{4\pi} \epsilon^{ijk} \nabla_j \left( \epsilon^{klm} \mu_p^l \frac{r_m}{r^3} \right) \quad (11.5)$$

We recall the familiar formula (from the E-field of a point charge  $E_m = -\nabla_m(\varphi)$ ):

$$\frac{r_m}{r^3} = -\nabla_m \left( \frac{1}{r} \right) \quad (11.6)$$

Note that we have a singularity at the origin, which is the source of the hyperfine structure; we will return to this shortly. Using this result we have:

$$B^i = -\frac{1}{4\pi} \epsilon^{ijk} \epsilon^{klm} \mu_p^l \nabla_j \nabla_m \frac{1}{r} = -\frac{1}{4\pi} \left( \delta^{il} \delta^{jm} - \delta^{im} \delta^{jl} \right) \mu_p^l \nabla_j \nabla_m \frac{1}{r} \quad (11.7)$$

A derivation of the Levi-Civita identity can be found here; but it amounts to little more than just keeping track of indices [https://d197for5662m48.cloudfront.net/documents/publicationstatus/40398/preprint\\_pdf/4a9c3af307146df9d5f21f8ceeb61988.pdf](https://d197for5662m48.cloudfront.net/documents/publicationstatus/40398/preprint_pdf/4a9c3af307146df9d5f21f8ceeb61988.pdf). If we simplify the above expression, we have:

$$B^i = -\frac{1}{4\pi} \mu_p^l \left( \delta^{il} \delta^{jl} - \delta^{im} \delta^{jl} \right) \nabla_j \nabla_m \frac{1}{r} = \frac{1}{4\pi} \left( -\mu_p^i \left( \nabla^2 \frac{1}{r} \right) + (\mu_p^j \nabla^j) \nabla^i \right) \frac{1}{r} \quad (11.8)$$

Now from Gauss' law, we should be familiar with:

$$\nabla^2 \frac{1}{r} = -4\pi\delta^3(\mathbf{r}) \quad (11.9)$$

and for the other term (excepting  $r = 0$ ) we have:

$$\nabla_i \nabla_j \frac{1}{r} = \nabla_i \left( -\frac{r_j}{r^3} \right) = -\frac{\delta_{ij}}{r^3} + \frac{3r_i r_j}{r^5} \quad (11.10)$$

If we multiply both sides by  $\delta_{ij}$ , we find:

$$\nabla^2 \frac{1}{r} = \frac{-3 + 3}{r^3} = 0 \quad (11.11)$$

so we are missing something! Evidently, we are missing the  $r = 0$  part. We know this already. The proper expression is therefore the following; we add the extra delta function:

$$\nabla_i \nabla_j \frac{1}{r} = -\frac{\delta_{ij}}{r^3} + \frac{3r_i r_j}{r^5} - \delta_{ij} \frac{4\pi\delta^3(\mathbf{r})}{3} \quad (11.12)$$

where the  $\frac{1}{3}$  comes in to cancel the sum over indices  $\delta_{ii} = 3$ . So our final expression is:

$$B^i = -\frac{1}{4\pi r^3} \mu_i \left( \delta_{ijk} - 3n_i n_j \right) + \frac{2}{3} \mu_i \delta^3(\mathbf{r}) \quad (11.13)$$

where  $n_i = r_i/r$ . We had to keep track of these numbers/prefactors carefully as we will need to use these results to compare with experimentally measured values.

## 11.2 Solving the QM Problem

We now have the quantum-mechanical Hamiltonian:

$$H_{hyper} = -\boldsymbol{\mu} \cdot \mathbf{B} = \frac{\mu_e^i \mu_p^j}{4\pi r^3} \left( \delta_{ij} - 3n_i n_j \right) - \frac{2}{3} \boldsymbol{\mu}_e \cdot \boldsymbol{\mu}_p \delta^3(\mathbf{r}) \quad (11.14)$$

We will proceed to do PT to solve this problem. In doing so, we will have to integrate over wavefunctions.

### 11.2.1 Some 3D Integral Identities

Before we head into it, we consider some identities:

$$\int d\Omega n_i = 0 \quad (11.15)$$

this follows by thinking about the fact that  $n_i \rightarrow -n_i$  is a symmetry (or you can explicitly think about the fact that the positive/negative area under  $n_z = \cos \theta$  cancels when one integrates from  $\theta = 0$  to  $\theta = \pi$  - then this result should hold for all three). Now, we also have the identity:

$$\int d\Omega n_z^2 = \frac{4\pi}{3} \quad (11.16)$$

How to see this; of course:

$$\int d\Omega \mathbf{n}^2 = \int d\Omega = 4\pi \quad (11.17)$$

as we just do the angular integrals. But then by symmetry, we should find that each of the components gives an equal contribution, so:

$$\int d\Omega n_i^2 = \frac{4\pi}{3}. \quad (11.18)$$

Or in further generality:

$$\int d\Omega n_i n_j = \delta_{ij} \frac{4\pi}{3} \quad (11.19)$$

Looking at integrals over the terms in the Hamiltonian, we see that:

$$\int d\Omega (\delta_{ij} - 3n_i n_j) = 0. \quad (11.20)$$

so the entirety of the contribution to the integral arises from the delta function term; hence we had to be very precise with it.

### 11.2.2 Hyperfine corrections

We calculate (with knowledge that only the delta function term will contribute)

$$\langle ns | H_{\text{hyper}} | ns \rangle = -\frac{2}{3} \langle \boldsymbol{\mu}_e \cdot \boldsymbol{\mu}_p \rangle \int |\Psi_{n0}|^2 d^3r \delta^3(\mathbf{r}) = -\frac{2}{3} \left( \frac{1}{n^3 \pi a_B^3} \right) \mu_e \mu_p \langle \mathbf{S}_e \cdot \mathbf{S}_p \rangle \quad (11.21)$$

where we have used the Hydrogen atom result that:

$$|\psi_{n0}(r=0)|^2 = \frac{1}{n^3 \pi a_B^3} \quad (11.22)$$

and the dirac delta picks out the value of the wavefunction at the origin. We note something very important; we recall that:

$$R_{nl} \sim r^l \quad (11.23)$$

so for  $l > 0$ , there is no hyperfine correction!! Only for the  $s$  state there is corrections; for  $p, d$  (and higher) there is no correction. Finishing up the calculation by substituting in the  $\mu_e, \mu_p, a_B$ , and  $\langle \mathbf{S}_e \cdot \mathbf{S}_p \rangle$  we find:

$$\langle ns | H_{\text{hyper}} | ns \rangle = -\frac{2}{3} \frac{1}{n^3 \pi} \left( \frac{me^2}{\hbar^2} \right)^3 \left( \frac{|e|\hbar}{mc} \right) \left( \frac{e\hbar g_p}{m_p c} \right) \cdot \begin{cases} 1/4 & \text{triplet} \\ -3/4 & \text{singlet} \end{cases} \quad (11.24)$$

Or expressing things in terms of the fine structure constant and the Rydberg:

$$\langle ns | H_{\text{hyper}} | ns \rangle = \left( \frac{me^4}{\hbar} \right) \left( \frac{e^2}{\hbar c} \right)^2 \left( \frac{m}{m_p} \right) \left( \frac{2}{3} \frac{g_p}{\pi n^3} \right) = \text{Ry} \alpha^2 \left( \frac{m}{m_p} \right) \left( \frac{2}{3} \frac{g_p}{\pi n^3} \right) \quad (11.25)$$

Noting the order of magnitude, this is *exactly* what we estimated; we estimated  $\text{Ry} \alpha^2 (m/m_p)$  and that is exactly what we see.

Note that this  $\Delta E \sim 10\text{eV} 10^{-4} 10^{-3} \sim 10^{-6}\text{eV}$  (I think there is an error here - numbers don't line up) between the triplet and singlet states corresponds to  $\nu = 1420\text{MHz} \sim 14\text{GHz}$  or  $\lambda = 21\text{cm}$ . With the conversion  $1\text{eV} \sim 10^4\text{K}$  we can express these energy scales in terms of temperatures.

Our universe is very cold;  $2.7\text{K}$ . But,  $10^{-6}\text{eV} \sim 10^{-2}\text{eV}$  is much smaller.

Logistics: MT Oct 24th. Every topic from HW1/2 covered. PT and angular momentum. Exam is open-book, but not open-devices. 50 minutes. Next in class, we will discuss time-dependent PT, WKB, adiabatic approximation etc. but this is not covered on the MT.