

ROBHOOT

Automated Discovery-Knowledge Graphs in Global Sustainable Ecosystems

v.1.0

April 27, 2020

Summary

Global sustainability is a major goal of humanity. Many studies have shown global sustainability could be achieved by strengthening transparency, feedbacks and rapid access to robust information among social, ecological, economical, technological and governance systems. Sustainability goals, however, strongly depend on global access to evidence-, and discovery-based knowledge gaps. Yet, science-enabled technologies targeting global knowledge gaps to reach sustainability goals are at a very incipient stage of development. We introduce automated data- and causal-knowledge graphs, the discovery-knowledge graphs, in intelligent federated networks for a sustainable- and knowledge-inspired society. Discovery-knowledge graphs running on a federated network encompasses a hybrid-automated-technology to lay out the foundation of an open- and cooperative-science ecosystem to automate discovery in global emergency and sustainability challenges. The project summarized here is not set out to deliver a finished automated discovery-knowledge graphs in federated networks, but to provide the architecture of a science-enabled technology, as a proof-of-principle, to connect global human sustainability challenges to knowledge-inspired societies.

1 Excellence

1.1 Radical vision of a science-enabled technology

- Describe the vision of a radically-new science-enabled technology that the project would contribute towards
- The project will contribute towards discovery-knowledge graphs in intelligent federate networks targeting robust and rapid discovery in the face of global sustainability challenges.
- Describe how this vision surpasses substantially any technological paradigms that currently exist or are under development.
- Technological paradigms for discovery targeting global sustainability are currently based on highly fragmented and not fully reproducible technologies. Discovery-knowledge graphs instead focus on cooperative intelligent networks and technological integration in a fully reproducibility scheme.

- Describe the overall and specific objectives for the project, which should be clear, measurable, realistic and achievable within the duration of the project. (The details of the project plan belong to the Implementation section).
- *ROBHOOT* will be developed in four stages, each containing measurable and achievable goals (Figure 2).

We are in the midst of the fourth industrial revolution, a transformation revolving around data driven intelligent machines. Yet, despite the rapid evolution of the digital ecosystem around data driven machines, discovery technologies facilitating global access to fully reproducible reports when solving complex governance, social, environmental and technological problems are particularly lacking (Figure 1 and Table 1) [1]. This is particularly relevant for targeting rapid information access in global emergency and sustainability situations. How can automated and explainable data- and causal-knowledge graphs be integrated into discovery networks to rapidly provide predictive scenarios for global scale emerging situations? How can discovery driven intelligent machines help to reach global sustainability goals? The *ROBHOOT* project integrates data- and causal-knowledge graphs, the discovery-knowledge multigraph, into intelligent networks to provide rapid access to robust information to help humanity to take informed decisions in emergency and sustainability challenges (Figure 1 and Table 1).

More than half (i.e., 3.9 billion) of the global population is now online and using the Internet, which represents a more inclusive global information society. People are applying technology for good in powerful ways, from adopting decentralized technologies for humanitarian efforts, to improving agricultural practices and reducing waste in the global food supply chain [2]. Yet, current technological paradigm assisting humans for scientific inquiry is currently based on competitive schemes instead of intelligent global collaborative protocols in the context of federated networks [3]. In addition, technologies for scientific inquiry are highly fragmented, partly solve reproducibility, are mostly developed in close-source software and contain many biases [4, 5, 6, 7, 8, 9, 10]. Thus, despite the importance of global access to discovery to close knowledge gaps for rapid information access in emergency and sustainability situations, open-source technologies integrating multigraph-knowledge discovery in federated, collaborative, intelligent networks are currently not in place. The goal of *ROBHOOT* is to propose a new hybrid-network technology concept integrating data- and causal-knowledge graphs into intelligent networks to lay the foundation for a novel scientific discovery technology.

ROBHOOT will contribute towards multigraph-knowledge discovery in intelligent networks to facilitate governance reproducible scenarios in rapidly changing global sustainability landscapes. *ROBHOOT* will be developed along four science-enabled technologies (Figures 1 and 2): *ROBHOOT v.1.0* will deploy discovery technologies to generate question- and data-knowledge graphs for an understanding of bias and diversification of information sources in data-architecture (section 3.1). *ROBHOOT v.2.0* will integrate automated and explainable biology-inspired neural-networks to decipher causal-knowledge graphs from high-dimensional data (section 3.2). *ROBHOOT v.3.0* will explore decentralization and security protocols for discovery in federated networks (section 3.3), and *ROBHOOT v.4.0* will integrate *ROBHOOT v.1.0* to *v.3.0* for automated discovery-knowledge multigraph in global federated cooperation networks.

1.2 Science-to-technology breakthrough that addresses this vision

- Discuss the relevant state-of-the-art and the extent of the advance the project would provide beyond this state-of-the-art

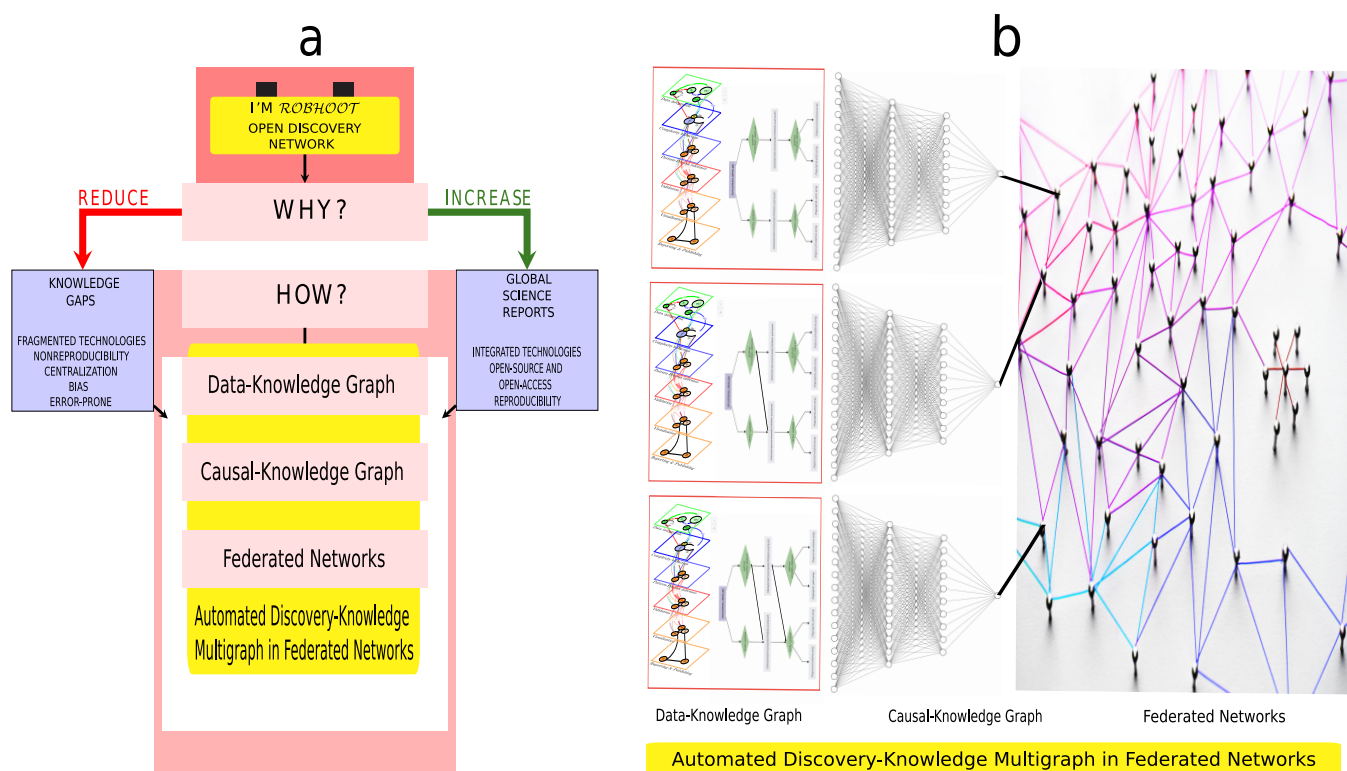


Figure 1: Discovery-knowledge multigraph technology. *ROBHOOT* is an automated federated network integrating data- and causal-knowledge graphs, the discovery-knowledge multigraph, for a sustainable knowledge-inspired society. **a)** *ROBHOOT* targets global knowledge gaps (red path) and open-access fully reproducible discovery reports (green path). It integrates four science-enabled technologies: **a,b) Data-Knowledge Graphs** for discovering global data-architecture in emergency and sustainability landscapes. **a,b) Causal-Knowledge Graphs** for automated and explainable biology-inspired neural networks discovery. **a,b) Federated Networks** for cooperative discovery and forecasting. **Automated Discovery-Knowledge Multigraph in Federated Networks** integrate data- and causal-knowledge graphs into intelligent federated networks technologies for robust cooperative forecasting to rapidly respond to global emergency and sustainability challenges.

- The state-of-the-art of automated and interpretable discovery is currently a fragmented and non-compact technology. The result is a non-robust and slow response to the rapidly growing global emergency and sustainability challenges. *ROBHOOT* aims to go beyond the state-of-the-art in automated and interpretable discovery: First, it will fusion automated data- and explainable-knowledge graphs into a more compact and robust discovery-knowledge multigraph technology. Second, it will fusion discovery-knowledge multigraph technology with cooperative federated networks to make a more flexible technology to rapidly respond to the growing global emergency and sustainability challenges.
- Describe the science-to-technology breakthrough, targeted by the project that would represent the first proof of concept of the envisioned technology
- Patterns from knowledge-graphs are emerging at a fast pace, but remains isolated from the full scientific process and have been developed in competitive environments. *ROBHOOT* will go beyond the state-of-the-art of knowledge-graphs by developing data- and causal-knowledge graphs, the discovery-knowledge multigraphs, in cooperative intelligent federated networks to advance knowledge-inspired societies towards reaching sustainability goals.

Interconnected global societies constantly face new challenges that need to be rapidly addressed

Word	Meaning
Question-knowledge graph	Technology-driven information extraction from corpus or similar to detect question gaps in multidisciplinary research
Data-knowledge graph	Technology-driven information extraction from diverse data-sources to infer global data-architecture
Causal-knowledge graph	Technology-driven information extraction to provide interpretable scenarios on global and complex sustainability challenges
Discovery-knowledge multigraph	Novel interactions emerging from the integration of data- and causal-knowledge graphs to provide multidisciplinary responses to global sustainability challenges
Automation	Algorithms targeting minimal human-driven interference
Knowledge-inspired society	Open-access discovery to take informed decisions in global sustainability challenges
Neutral-knowledge generation	Open reproducible reports making transparent the many sources of bias in the discovery process

Table 1: Glossary of terms.

in emerging sustainability situations. Yet, technologies integrating data-driven causal inference into intelligent networks providing rapid and global access to robust information when solving complex governance, social, environmental and technological problems are particularly lacking. Despite rapid advances of research platforms for data analytics in the last decade [11, 12, 13, 14, 15, 16, 17, 18, 19], the integration of science-to-technology intelligent automation networks currently lack knowledge-inspired technologies impacting knowledge-inspired societies to solve global sustainability challenges (Figure 1 and Tables 1 to 3). In this regard, rapid access to global API-structured data to perform data-availability analysis, sampling efforts, heterogeneity and other features to be rapidly integrated to analyze global data architecture still present challenges (refs). This is particularly relevant in global emergency or sustainability landscapes, where data properties like availability, accuracy and transparency drive questions and scenarios which are constantly emerging to predict new situations more accurately.

ROBHOOT v.1.0 will deploy a data discovery technology to generate question- and data-knowledge graphs for a rapid global understanding of the data-architecture. Data-architecture alone is not sufficient to make predictive and plausible scenarios in complex sustainability situations. Therefore, data analytics complementing data-architecture discovery and mechanistic inference is desirable to outline plausible scenarios in rapidly global emerging situations. In this regard, there are also many gaps in connecting global data-architecture into rapid automated causal-knowledge graphs to facilitate discovery that can be transferred to governance decisions. *ROBHOOT v.2.0* will integrate automated and explainable biology-inspired neural-networks to decipher causal-knowledge graphs from open-ended space modeling scenarios. (Why biologically-inspired neural networks are needed to build causal-knowledge graphs?) Still, rapidly drawing scenarios from a few labs limit the phase space from where the discovery process is generated. (Why data- and causal-knowledge graphs would be explored in intelligent, federated networks?) The scalability of the discovery process strongly depends on cooperation and learning protocols in decentralized networks. Thus, *ROBHOOT v.3.0* will explore decentralization and security protocols for discovery in federated networks (section 3.3), and *ROBHOOT v.4.0* will integrate *ROBHOOT v.1.0* to *v.3.0* for automated discovery in global federated cooperation networks.

1.3 Interdisciplinarity and non-incrementality of the research proposed

- Describe the research disciplines necessary for achieving the targeted breakthrough of the project and the added value from the interdisciplinarity.
- Explain why the proposed research is non-incremental.

ROBHOOT is a science-enable multi-feature hybrid-technology for automating interpretable data-driven discovery in intelligent and cooperative federated networks (Figures 1 and 2 and Tables 1 and 2). It will contain four modules each characterized by a mixture of research disciplines necessary for achieving interdisciplinary breakthrough. *ROBHOOT v.1.0* will be composed by computer scientists and developers targeting API discovery protocols and ETLs algorithms. This module will be complemented with scientists from the physics of complex networks to develop methods for question- and data-knowledge graphs to decipher the existing gaps in data discovery and data-architecture technologies (section 3.1). *ROBHOOT v.2.0* team will be composed by data-scientists trained in deep learning networks and automation algorithms, theoreticians and biologists with expertise in modeling mechanistic and Bayesian networks and biology-inspired neural networks. The combination of data-scientists, theoreticians and biologists will generate a diverse team targeting synthesis between automated and explainable biology-inspired neural-networks to decipher causal-knowledge graphs from high-dimensional data (section 3.2). *ROBHOOT v.3.0* team will be characterized by combining computer scientists and developers targeting decentralized protocols (federated networks, gnnunet), with social scientist, and scientists specialized in ecology and evolutionary biology. Team for *ROBHOOT v.3.0* will explore cooperation protocols for discovery in federated networks (section 3.3). Furthermore, the complementarity of the teams in the modules one to three will strengthen collaboration between the modules for making *ROBHOOT* a science-enable functional technology in a rapidly evolving digital ecosystem [20]. *ROBHOOT v.4.0* team will combine computer- and data-scientists working in modules one and two, respectively, with developers, biologists, evolutionary biologists and social scientists working in modules 2 and 3. Such a diverse team will integrate automated and explainable data- and causal-knowledge graphs into federated cooperation networks to generate automated reporting for global emergency and sustainability problems.

ROBHOOT aims to bring global transparency in knowledge generation by minimizing human intervention to facilitate sustainability goals of humanity. This requires a non-incremental and multi-feature, science-enabled technology targeting a reduction in global knowledge gaps while transparently accounting for centralization [4, 7], bias [5], error-prone [6], and non-reproducibility [8] (Figure 1 and Table 1).

The evolving digital ecosystem is increasing continuously its computing capacity (ref Golem+ others), new methods accounting for automated and explainable AI are rapidly advancing, and their interconnection to open-source technologies is rapidly occurring in the digital ecosystem. Targeting automated data- and causal-knowledge discovery in federated cooperative network and transfer

requires the filling of many existing technological gaps, from identification and retrieval of heterogeneous data sources, to the integration of explainable modeling and causal-inference.

Technologies with the capacity to compactly account for neutral, borderless, immutable, and open-access information in hybrid, trusted-untrusted peer-to-peer interactions, accounting for the multilayer nature of science and engineering are currently not in place. We have already advanced in the integration of the different modules, from the automated identification, retrieval and data integration to inference and process-based discovery. We have implemented a prototype for the ongoing covid-19 pandemic (section 3.3). Each module includes state-of-the-art developments in computer science, complex systems, and theoretical evolutionary ecology. The proof- of-concept is not fully

automated yet and still requires human intervention in module integration and the development of a testnet stage. Nonetheless, we are currently exploring innovative solutions especially in the modules of automated data discovery, causal-knowledge graphs, reporting, and visualization. Producing such a technology will require integrating expertise from disparate disciplines like multilayer networks, deep learning, automation algorithmics, and distributed technologies. The integration of these disciplines will require to go beyond domain boundaries.

1.4 High risk, plausibility and flexibility of the research approach

- Explain how the research approach relates to the project objectives and how it is suitable to deal with the considerable science-and-technology uncertainties and appropriate for choosing alternative directions and options. (The risks and mitigation plan should be spelled out under the Implementation section).

2 Impact

2.1 Expected impacts

2.2 Measures to maximise impact

3 Implementation

- Describe here the objectives, list of work packages, list of deliverables (Ghent chart)

Automating the discovery process to tackle rapid global solutions to humanity challenges is highly informative by itself, but a diverse group of scientists across Europe have decided that merely taking discovery alone is not enough. Science is a highly dynamic and global process and there are many paths from where it can be achieved. To understand how discovery broadly, these scientists want to share the advantages of cooperative discovery in the global digital ecosystem. To this end, they formed the *ROBHOOT* consortium with the goal of developing a federated network integrating several technologies into a unified framework. *ROBHOOT* will develop quantitative novel methods such as question-, data-, and causal-knowledge graphs to understand how cooperative discovery networks might help towards knowledge-inspired societies to anticipate global sustainability challenges. This strategy is expected to improve early access to discovery to rapidly act in emergency global situations, and identify new emerging targets where automation and global reports can play a key role in knowledge-inspired societies. *ROBHOOT*'s goals will be developed in four different stages with sixteen work packages and four main deliverables.

3.1 *ROBHOOT* v.1.0: Data Knowledge Graphs

- Rapid API access to build robust and scalable automated interpretable data-driven discovery as an existing need. This is particularly relevant in global emergency or sustainability landscapes, where new questions and scenarios are constantly emerging to predict new situations more accurately.

- Data- and Question-Knowledge graphs as solutions for rapid data-driven discovery (defined in Table 1). Which are their features? Which is the state-of-the-art? DKGs will explore similarity patterns of database to discover existing gaps in data availability and patterns. DKGs will complement QKGs to explore poorly explored questions to new pattern discovery.
- **Global and rapid API access to build robust and scalable question- and data-knowledge graphs as a case study for automated interpretable data-driven discovery.**
- Similar to the idea of having a case study causal-knowledge graph in the *ROBHOOT* v.2.0, we can represent a data-knowledge graph following the Robhack examples.

Global and rapid access to data to build robust and scalable question- and data-knowledge graphs is key for automating interpretable data-driven discovery. This is particularly needed in emergency or sustainability challenging situations at the global scale, where new questions and scenarios are constantly emerging. Data access with different privacy requirements, formats, heterogeneity, dimensions, bias and spatiotemporal resolution is the norm and many projects are rapidly emerging to facilitate rapid data access [21, 22, 23, 24]. Yet, available automated science-enabled technologies to build data- and questions-knowledge graphs at the global scale to rapidly inform causal-knowledge graphs about the type of interpretable information that can be extracted, while key to make predictive scenarios, still remain at a very early stage of development despite standard protocols to automate data API access, knowledge extraction, and ETFs algorithms are rapidly advancing [25, 26, 27]. The technologies around automated API data-discovery and interpretable question- and data-knowledge graphs remain difficult to compactly link to the automated causal-knowledge graphs for scientific discovery. *ROBHOOT* v.1.0 will deploy the following four work packages each with its own milestone and a final deliverable: data discovery technology **WP1: API discovery (APIDIS)** aiming to build question- **WP2: Question-Knowledge graphs (QKGs)** and data-knowledge graphs **WP3: Data-Knowledge graphs (DKGs)** and a case study showing how merging question- and data-knowledge graphs help to gain information about global data-architecture **WP4: Global COVID-19 data-architecture (CODA)**.

3.2 *ROBHOOT* v.2.0: Causal Knowledge Graphs

- **Contrasting explainable biologically inspired Causal Knowledge Graphs to quantify the reproducibility, reusability, and recovery properties of discovery**
- **WP5: Causal Spiking Neural Networks (CSNN)**
- **Contrasting predictions from Causal Spiking Neural Networks in the framework of Bayesian Space Models to explore open-ended language of models combining Bayesian networks and optimization methods**
- **WP6: Bayesian Space Models (BSM)**
- **Case study**
- **Covid-19 Causal Knowledge Graph**

AI is rapidly advancing in automated discovery (i.e., AutoML [10]) making more transparent the processes underlying the discovery (i.e., Explainable or interpretable AI [28, 29]). Yet, both automated and explainable discovery methods are still at an incipient stage of integration, particularly in open-ended Bayesian machines [14]. This is particularly relevant in the context of biology, brain research, and evolutionary biology techniques where making automatic interpretation of complex systems can provide scenarios to help disentangling complex sustainability problems for humanity. *ROBHOOT* v.2.0 will develop novel causal knowledge graphs integrating automated and explainable

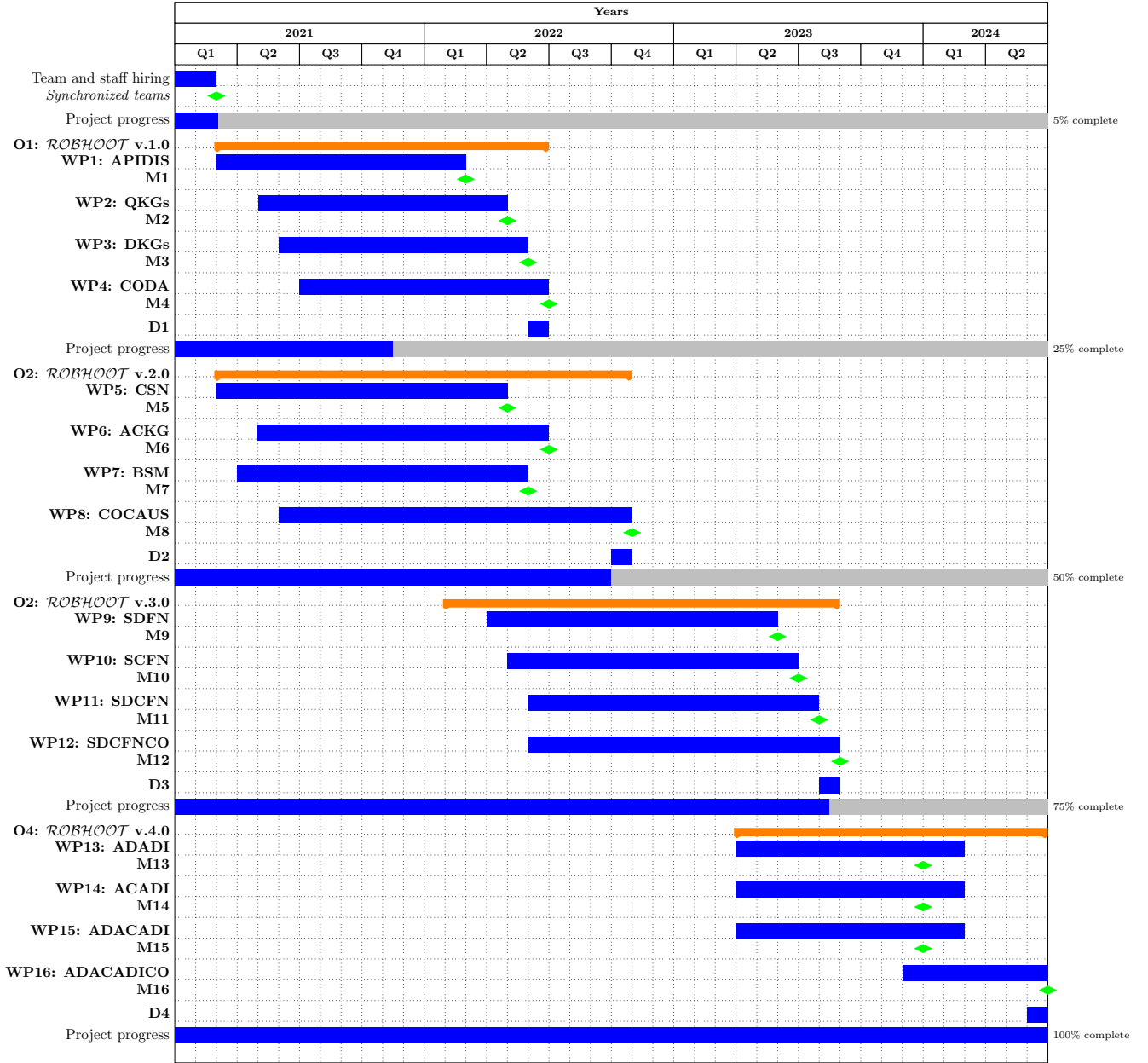


Figure 2: Roadmap: *ROBHOOT* v.1.0 work packages WP1 to WP4 will deploy question-, and data-knowledge graphs to decipher global data-architecture (Deliverable D1). *ROBHOOT* v.2.0 work packages WP5 to WP8 will develop causal-knowledge graphs to merge automation and interpretable data (Deliverable D2). *ROBHOOT* v.3.0 work packages WP9 to WP12 will develop cooperative forecasting protocols in federated networks aiming to generate global access reports in face of rapidly emerging global emergency and sustainability challenges (Deliverable D3), and *ROBHOOT* v.4.0 work packages WP13 to WP16 will deploy automated discovery-knowledge graphs in federated networks.

discovery accounting for biologically inspired neural networks and evolutionary biology techniques [30, 31]. Automatic interpretation of the causal processes underlying empirical patterns will be explored in a series of neuromorphic computing scenarios using neural networks of evolving spiking neurons (WP5: Causal Spiking Networks (CSN) (Figure 2).

Causal Spiking Neurons will explore open-ended language of models with varying biologically relevant functions like code insertions, deletions, inversions and other molecular and genotype-

phenotype processes to search for automated biologically inspired solutions to complex empirical patterns **WP6: Automated Causal Knowledge Graphs (ACKG)**. Causal knowledge graphs will help to enhance the connection between automated and explainable AI throughout prediction and knowledge power (Figure 3). Automated and interpretable data inference still present many challenges in the context of multidimensional landscapes [32, 33]. This is particularly relevant in Earth and Ecosystem science [34], where merging automation to interpretable data will increase human ability to make stronger inferences about future sustainability challenges and solutions. In order to make inference from complex data more robust we will contrast predictions from Causal Spiking Networks in the framework of Bayesian Space Models to explore open-ended language of models combining Bayesian networks and optimization methods (**WP7: Bayesian Space Models (BSM)**). The Bayesian space models module will ensure the search, the evaluation of models, trading-off complexity, fitting to the data and quantify resource usage [12, 14]. *ROBHOOT* v.2.0 will deploy the COVID-19 pandemic as a case study to automatically infer locally interpretable causal-knowledge graphs a global scale (**WP8: COVID-19 Causal-Knowledge Graphs (COCAUS)**).

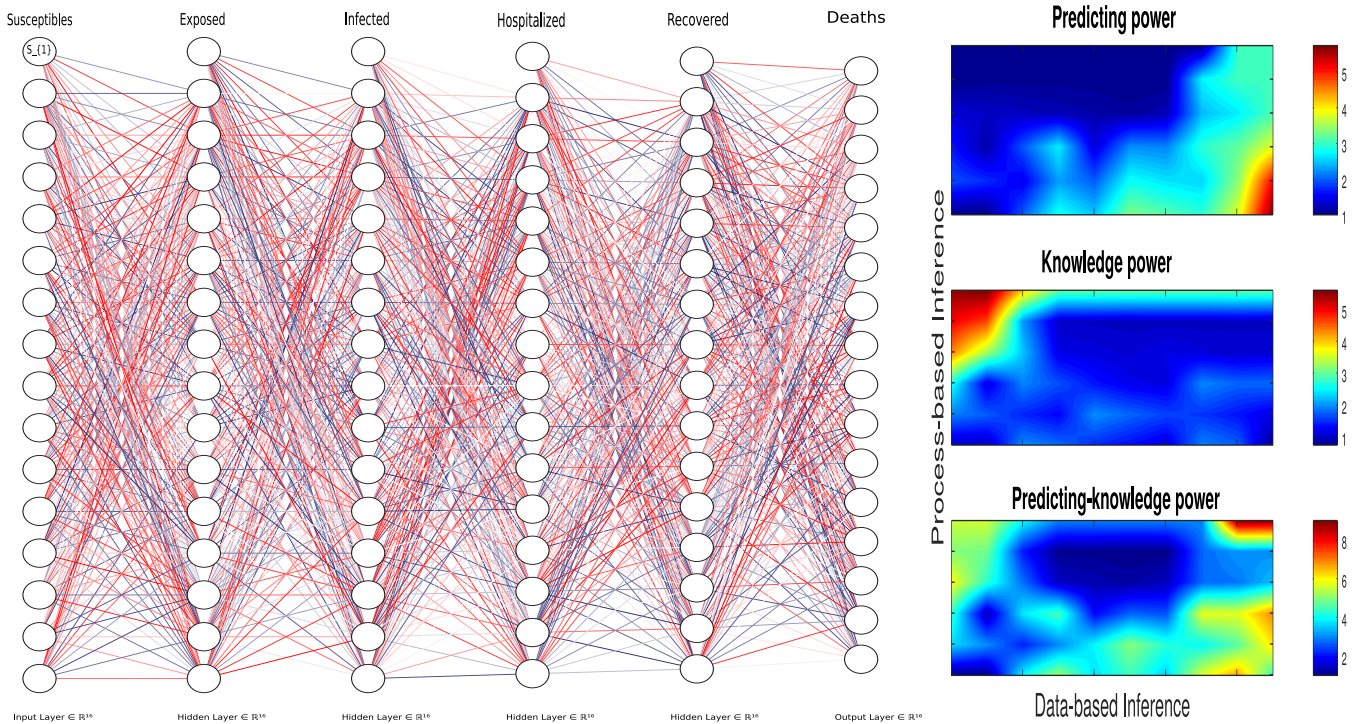


Figure 3: Prediction-explainable power map in Automated Causal Knowledge Graphs. A) Automated Causal Knowledge Graphs contain features to ... B) x-axis represents data-based inference (i.e., gradient of AI methods from low (left) to high (right) predictive power). y-axis represents process-based inference (i.e., gradient of process-based methods from low (bottom left) to high (top left) understanding power). The gradient of predicting power map (top) shows a hot spot red area in the bottom right highlighting the region where AI methods best predict the empirical data. The gradient of understanding power map (middle) shows a red hot spot in the top left highlighting the region where the best mechanistic understanding occur. The predicting-understanding power map (bottom) shows the sum of the two previous maps highlighting a red hot spot where the best synthesis research joining predicting and understanding power of the empirical data might occur. The first research goal of this proposal aims to build an automated research platform to maximize the predicting and understanding power highlighted in the red hot spot of the predicting-understanding power map (bottom).

3.3 *ROBHOOT* v.3.0: Federated Networks

- A science-based automated and explainable technology is not enough if we aim to globally contrast robustly paths for automatic interpretation of causal processes predicting the empirical patterns.
- A science-based technology to efficiently develop cooperative forecasting aiming to find robust populations of results in face of rapidly emerging global emergency and sustainability challenges.

A science-based automated and explainable technology is not enough if we aim to globally contrast robustly paths for automatic interpretation of causal processes predicting the empirical patterns. Efficient information sharing protocols are needed to develop scalable cooperative forecasting and strong inference. *ROBHOOT* v.3.0 will focus on the extension and development of protocols in digital networks to embed automated and explainable discovery-knowledge graphs into global cooperation schemes to increase robustness, reproducibility, decentralization and rapid reporting generation [3]. Technologies in decentralized digital ecosystems is rapidly advancing in a variety of sectors. Most progress is coming in the scalability, security and decentralization trade-offs [3, 35, 36, 37, 38, 39]. In the open science ecosystem, only a few implementations of decentralized technologies exist [7].

ROBHOOT v.3.0 will deploy the following four work packages each with its own milestone and a final deliverable: sharing question-, and data-knowledge graphs, the data-architecture, in federated networks using reproducible-knowledge graphs **WP9: Sharing data-architecture in federated networks (SDFN)**. Sharing causal-knowledge graphs in federated networks using reproducible-knowledge graphs **WP10: Sharing causal-knowledge graphs in federated networks (SCFN)**. Sharing data-architecture and causal-knowledge graphs in federated networks using reproducible-knowledge graphs **WP11: Sharing data-architecture and causal-knowledge graphs in federated networks (SDCFN)**. The last work package of *ROBHOOT* v.3.0, **WP12: Sharing data-architecture and causal-knowledge graphs in federated networks COVID-19 case study (SDCFNCO)**, will deploy sharing data-architecture and causal-knowledge graphs in federated networks for the COVID-19 case study. Despite recent decades have seen a dramatic rise in global pandemics (i.e., the SARS pandemic in 2003, to Avian Influenza in 2006, H1N1 in 2009, Ebola in 2014, the appearance of the Zika virus in Latin America in 2015, and the current Covid-19 pandemic), and these developments are inextricably bound up in modern socio-technical developments and processes of globalization, science-based technologies facilitating rapid sharing of information and automation to mitigate risks and enhance response efficiency with globally informed scenarios are particularly lacking [2].

3.4 *ROBHOOT* v.4.0: Automated Discovery-Knowledge Graphs in Federated Networks

- WP13: ADADI2838
- WP14: ACADI2838
- WP15: ADACADI2838

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- WP16: ADACADICO3642

3.5 Research methodology and work plan – Work packages, deliverables

3.6 Management structure, milestones and procedures

- Describe the organisational structure and the decision-making (including a list of milestones (table 3.2a))
- Explain why the organisational structure and decision-making mechanisms are appropriate to the complexity and scale of the project.
- Describe any critical risks, relating to project implementation, that the stated project's objectives may not be achieved. Detail any risk mitigation measures. Please provide a table with critical risks identified and mitigating actions (table 3.2b) and relate these to the milestones.

3.7 Consortium as a whole

- The individual members of the consortium are described in a separate section 4
- Describe the consortium. Explain how it will support achieving the project objectives. Does the consortium provide all the necessary expertise? Is the interdisciplinarity in the breakthrough idea reflected in the expertise of the consortium?
- In what way does each of the partners contribute to the project? Show that each has a valid role and adequate resources in the project to fulfil that role. How do the members complement one another? Other countries and international organisations: If one or more of the participants requesting EU funding is based in a country or is an international organisation that is not automatically eligible for such funding (entities from Member States of the EU, from Associated Countries and from one of the countries in the exhaustive list included in General Annex A of the work programme are automatically eligible for EU funding), explain why the participation of the entity in question is considered essential for carrying out the action on the grounds that participation by the applicant has clear benefits for the consortium.

3.8 Resources to be committed

- Please make sure the information in this section matches the costs as stated in the budget table in section 3 of the administrative proposal forms, and the number of person months, shown in the detailed work package descriptions. Please provide the following:
- a table showing number of person months required (table 3.4a)
- a table showing 'other direct costs' (table 3.4b) for participants where those costs exceed 15% of the personnel costs (according to the budget table in section 3 of the administrative proposal forms)

4 Members of the consortium

4.1 Participants (applicants)

- For each participant, provide the following: a description of the legal entity and its main tasks, with an explanation of how its profile matches the tasks in the proposal

- a curriculum vitae or description of the profile of the persons, including their gender, who will be primarily responsible for carrying out the proposed research and/or innovation activities. Indicate each person who would be a first-time participant to FET under Horizon 2020
- a list of up to 5 relevant publications, and/or products, services (including widely-used datasets or software), or other achievements relevant to the call content
- a list of up to 5 relevant previous projects or activities, connected to the subject of this proposal
- a description of any significant infrastructure and/or any major items of technical equipment, relevant to the proposed work
- if operational capacity cannot be demonstrated at the time of submitting the proposal, describe the concrete measures that will be taken to obtain it by the time of the implementation of the task.¹

4.2 Third parties involved in the project (including use of third party resources)

- For each participant, does the participant plan to subcontract certain tasks (please note that core tasks of the project should not be sub-contracted) Y/N If yes, please describe and justify the tasks to be subcontracted
- Does the participant envisage that part of its work is performed by linked third parties² Y/N If yes, please describe the third party, the link of the participant to the third party, and describe and justify the foreseen tasks to be performed by the third party
- Does the participant envisage the use of contributions in kind provided by third parties (Articles 11 and 12 of the General Model Grant Agreement) Y/N If yes, please describe the third party and their contributions
- Does the participant envisage that part of the work is performed by International Partners³ (Article 14a of the General Model Grant Agreement)? Y/N If yes, please describe the International Partner(s) and their contributions.

5 Ethics and Security

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5.1 Ethics

For more guidance, see the document "How to complete your ethics self-assessment". If you have entered any ethics issues in the ethical issue table in the administrative proposal forms, you must:

- submit an ethics self-assessment, which:
- describes how the proposal meets the national legal and ethical requirements of the country or countries where the tasks raising ethical issues are to be carried out;
- explains in detail how you intend to address the issues in the ethical issues table, in particular as regards: research objectives (e.g. study of vulnerable populations, dual use, etc.) research methodology (e.g. clinical trials, involvement of children and related consent procedures, protection of any data collected, etc.)
- the potential impact of the research (e.g. dual use issues, environmental damage, stigmatisation of particular social groups, political or financial retaliation, benefit-sharing, misuse, etc.)

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- If you plan to request these documents specifically for the project you are proposing, your request must contain an explicit reference to the project title.

5.2 Security

- activities or results raising security issues: (YES/NO)
- EU-classified information as background or results: (YES/NO)

References

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