

## Calibrating gyrochronology using Galactic kinematics

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### ABSTRACT

Gyrochronology, the method of inferring the age of a star from its rotation period, could provide ages for millions of stars in the Milky Way over the coming decade of time-domain astronomy. Although significant progress has been made in calibrating empirical and semi-empirical gyrochronology relations over the last decade, these rotational evolution models remain poorly calibrated for old, cool dwarfs due to a lack of appropriate calibration stars. Now however, with proper motion measurements from Gaia, Galactic kinematics can be used to calculate kinematic ages for ensembles of Milky Way disc stars. We use the kinematic ages of Kepler field stars with measured rotation periods, plus stars in open clusters, to calibrate a new fully empirical gyrochronology relation, that captures the complex rotational evolution of cool dwarfs over a range of masses and ages. A Gaussian process model is used to augment a simple power-law function in order to capture the time and color-dependence of rotational evolution. We use cross validation to demonstrate that this relation predicts ages for the GKM dwarfs in our sample to within XX%.

*Keywords:* Stellar Rotation — Stellar Evolution — Stellar Activity — Stellar Magnetic Fields — Low Mass Stars — Solar Analogs — Milky Way Dynamics

### 1. INTRODUCTION

Main-sequence dwarfs are the most common stars in the Milky Way, and their ages could reveal the evolution of Galactic stellar populations and planetary systems. However, the ages of low-mass stars, particularly K and M dwarfs are difficult to measure because their luminosities and temperatures evolve slowly on the main sequence (see ?, for a review of stellar ages). Fortunately, rotation-dating, or ‘gyrochronology’ provides a promising means to measure precise ages for these cool dwarfs. (*e.g.* Schatzman 1962; Weber & Davis 1967; Kraft 1967; Skumanich 1972; Kawaler 1988; Pinsonneault et al. 1989; Barnes 2003, 2007; Mamajek & Hillenbrand 2008; Barnes 2010; Meibom et al. 2011; Epstein & Pinsonneault 2014; Meibom et al. 2015; van Saders et al. 2016, 2018; ?). The rotation periods of GKM stars evolve relatively rapidly, and a fully calibrated gyrochronology model that captures the time and mass-dependence of stellar spin down could provide ages that are precise to within 20% for millions of Milky Way stars in the time-domain era (Epstein & Pinsonneault 2014; Najita et al. 2016; Angus et al. 2019; ?). However, gyrochronology models are not yet fully calibrated, especially for K and M dwarfs. A lack of suitable low-mass and old calibration stars limits the reliable mass and age coverage of gyrochronology relations. For example, the oldest K dwarfs in an open cluster (and therefore having a precise age estimate), with measured rotation periods, are those in Ruprecht 147 (2.7 Gyr) (Curtis et al. 2020; ?). The oldest cluster M dwarfs with measured rotation periods are those in Praesepe (600-700 Myr) (Douglas et al. 2017; Rebull et al. 2017; ?). Gyrochronology relations can therefore be considered untested for K dwarfs older than 2.7 Gyr and M dwarfs older than 600-700 Myr.

Historically, gyrochronology calibration samples have mainly been comprised of stars in open clusters and stars with detectable acoustic pulsations, both of which can be precisely dated with stellar evolution models. The rotation periods of these calibration stars can often be measured with precise time-series photometry, and the Kepler spacecraft has played a leading role in providing light curves for stellar rotation studies (*e.g.* Borucki et al. 2010; Meibom et al. 2011; McQuillan et al. 2013, 2014; Howell et al. 2014; ?; García et al. 2014; ?; Meibom et al. 2015; Douglas et al. 2016, 2017; ?; Rebull et al. 2017; Santos et al. 2019; Reinhold et al. 2019; ?; ?; Curtis et al. 2020; ?). Magnetically active regions on the surfaces of stars create dark and bright surface features which produce periodic variability in the

overall brightness of a rotating star. This variability is, at most, on the order of 1% of the star’s overall flux. The rotation periods of stars can often be measured from their light curves using signal-processing techniques. TESS, the Transiting Exoplanet Survey Satellite, is continuing the legacy of Kepler and will provide rotation periods for many more stars (?).

To extend gyrochronology relations to older K and M dwarfs, it may be necessary to use alternative dating methods. Old open clusters are generally too distant for photometric rotation period measurements of faint M dwarfs, and asteroseismic analyses suffer from the large magnetic activity signals and low-amplitudes of oscillations for low-mass stars. There is great promise, however, for binary studies as an alternative method for calibrating gyrochronology. For example, low-mass K and M dwarfs with a precisely dateable co-moving companion (*e.g.* a white dwarf, a subgiant, or a star with detectable acoustic oscillations) could be good calibrators. We have recently investigated the promise of kinematics as an alternative age-dating method. In ? we explored the kinematic properties of Kepler field stars with measured rotation periods and found that the velocity dispersion of stars increase with stellar rotation period, as expected. In ? we used the velocity dispersions of Kepler field stars to estimate their ages using an Age-Velocity dispersion Relation (AVR). In this work, we use these kinematic ages for around 30,000 Kepler field stars to extend the gyrochronology relations into the old K and early M dwarf regime.

### 1.1. Core-envelope decoupling

In Angus *et al.* (2020) we demonstrated that Galactic kinematics can be used to explore the evolution of stellar rotation. We showed that velocity dispersion, an established age proxy in the Galactic thin disk, increases smoothly as a function of rotation period, indicating that rotation period increases with age as expected. Using velocity dispersion as an age proxy, we also showed that old K dwarfs spin down more slowly than G dwarfs: their rotational evolution appears to ‘stall’ after around 1 Gyr, in a manner that reflects the behavior of K dwarfs observed in open clusters (Curtis *et al.* 2019). At young ages ( $\sim 0.5 - 1$  Gyr), K dwarfs spin more slowly than G dwarfs of the same age, because their deeper convection zones generate stronger magnetic fields, which leads to more efficient magnetic braking. However, at old ages ( $\gtrsim 1$  Gyr) K dwarfs rotate at the same rate or more rapidly than contemporary G dwarfs. The leading explanation for this phenomenon is that angular momentum is transferred from the core to the surface over longer timescales for lower-mass stars (Spada & Lanzafame 2019), *i.e.* they experience a more extended phase of ‘core-envelope decoupling’.

A period of core-envelope decoupling is necessary to explain the observed rotation periods of stars in extremely young open clusters (1-10 Gyr) (*e.g.* Irwin *et al.* 2007; Bouvier 2008; Denissenkov *et al.* 2010; Spada *et al.* 2011; Reiners & Mohanty 2012; Gallet & Bouvier 2013). During this phase there is little transfer of angular momentum between radiative core and convective envelope and, as wind-braking removes angular momentum from the envelope, it decelerates while the core continues to spin rapidly. Over time however, angular momentum is transported across the interface between the two zones, and momentum from the rapidly spinning interior surfaces, inhibiting the deceleration of the outer envelope. Currently, the rotation periods of field and cluster stars can only be reproduced by semi-empirical models with a mass-dependent timescale for core-envelope coupling (Spada & Lanzafame 2019; Curtis *et al.* 2019, Angus *et al.*, 2020).

### 1.2. Using kinematics as an age proxy

The star forming molecular gas clouds observed in the Milky Way have a low out-of-plane, or vertical, velocity (*e.g.* Stark & Brand 1989; Stark & Lee 2005; Aumer & Binney 2009; Martig *et al.* 2014; Aumer *et al.* 2016). In contrast, the vertical velocities of older stars are observed to be larger in magnitude on average (Strömberg 1946; Wielen 1977; Nordström *et al.* 2004; Holmberg *et al.* 2007, 2009; Aumer & Binney 2009; Casagrande *et al.* 2011; Ting & Rix 2019; Yu & Liu 2018). There are two possible explanations for this observed increase in velocity dispersion with age: either stars are born kinematically ‘cool’ and their orbits are heated over time via interactions with giant molecular clouds (see Sellwood 2014, for a review of secular evolution in the MW), or stars formed kinematically ‘hotter’ in the past (*e.g.* Bird *et al.* 2013). Either way, the vertical velocity dispersions of thin disk stars are observed to increase with stellar age. This behavior is codified by Age-Velocity dispersion Relations (AVRs), which typically express the relationship between age and velocity dispersion as a power law:  $\sigma_v \propto t^\beta$ , with free parameter,  $\beta$  (*e.g.* Holmberg *et al.* 2009; Yu & Liu 2018). These expressions can be used to infer the ages of groups of stars from their velocity dispersions, as we do in this paper (see section ??).

Kinematic ages have been used to explore the evolution of cool dwarfs for over a decade. West *et al.* (2004, 2006) found that the fraction of magnetically active M dwarfs decreases over time, by using the vertical distances of stars

from the Galactic mid-plane as an age proxy, and West et al. (2008) used kinematic ages to calculate the expected activity lifetime for M dwarfs of different spectral types. Faherty et al. (2009) used tangential velocities to infer the ages of M, L and T dwarfs, and showed that dwarfs with lower surface gravities tended to be kinematically younger, and Kiman et al. (2019) used velocity dispersion as an age proxy to explore the evolution of  $H\alpha$  equivalent width (a magnetic activity indicator), in M dwarfs.

AVRs are usually calibrated in Galactocentric velocity coordinates ( $v_x$ ,  $v_y$ ,  $v_z$  or  $UVW$ ), and these velocities can only be calculated with full 6D positional and velocity information, however most Kepler rotators do not have RV measurements<sup>1</sup>. In Angus et al. (2020) we used velocity in the direction of Galactic latitude ( $v_b$ ) as a stand-in for  $v_z$  because, in the *Galactic* coordinate system, velocities can be calculated from 3D positions and *2D* proper motions. The Kepler field lies at low Galactic latitude, so  $v_b$  is a close approximation to  $v_z$ . Though  $v_b$  velocity dispersion does not equal  $v_z$  velocity dispersion, it still increases monotonically over time and provides accurate age rankings for Kepler stars. Unfortunately however, given that AVRs are calibrated in *Galactocentric* coordinates ( $v_x$ ,  $v_y$ ,  $v_z$ ), we could not directly translate  $v_b$  velocity dispersions to ages.

In this paper, our aim was to use kinematic ages to calibrate a new gyrochronology relation, for which four main steps were required. Firstly, we inferred *vertical* velocity,  $v_z$ , for each star without an RV measurement by marginalizing over missing RVs using a hierarchical Bayesian model (see section ??). Secondly, we calculated velocity dispersion for every star using a moving, or rolling dispersion method (see section ??). Thirdly, these velocity dispersions were converted into ages using an AVR (Yu & Liu 2018, section ??). Finally, we used a Gaussian process model to capture the complexities of stellar rotational evolution and calibrated a new gyrochronology relation using our kinematic ages, plus benchmark cluster and asteroseismic stars in section ??.

<sup>1</sup> Although RVs for most will be released in *Gaia* DR3

## 2. THE DATA

This study focuses on stellar rotation in the original Kepler field, partly because Kepler provides the largest samples of homogeneously measured rotation periods, and partly because its low Galactic latitude allows us to marginalize over missing RV measurements and precisely infer vertical velocity,  $v_z$ . We combined two large rotation period catalogs constructed from original Kepler data: McQuillan et al. (2014) and Santos et al. (2019). These two studies used different techniques to measure rotation periods from Kepler light curves: autocorrelation functions and wavelets respectively. The Santos et al. (2019) study was specifically focused on cooler stars: K and M dwarfs, and includes a larger number of rotation periods for these stars. The combined catalogs provided rotation periods for a total of 38,710 stars.

We used the publicly available Kepler-Gaia DR2 crossmatched catalog<sup>2</sup> to combine the McQuillan et al. (2014) and Santos et al. (2019) rotation catalogs with the Gaia DR2 catalog of parallaxes, proper motions and apparent magnitudes. Reddening and extinction from dust was calculated for each star using the Bayestar dust map implemented in the `dustmaps` *Python* package (M. Green 2018), and `astropy` (Astropy Collaboration et al. 2013; Price-Whelan et al. 2018). We used Gaia DR2 photometric color,  $G_{BP} - G_{RP}$ , to estimate effective temperatures for the stars in our sample, using the calibrated relation in Curtis et al. (2020).

3705 stars in our sample had RV measurements available in Gaia DR2, with a median uncertainty of  $1.88 \text{ km s}^{-1}$ . Gaia DR2 included RVs for stars with Gaia apparent magnitudes between 4 and 13, and  $3550 \text{ K} \lesssim T_{\text{eff}} \lesssim 6900 \text{ K}$  (Gaia Collaboration et al. 2018). We also crossmatched the McQuillan et al. (2014) sample with the 5th LAMOST data release (Cui et al. 2012; Xiang et al. 2019), adding a further 10623 RV measurements to the sample, and expanding the total number of stars with measured RVs to 14,328. The median uncertainty of the LAMOST RV measurements was  $4.71 \text{ km s}^{-1}$  and, given that the Gaia RVs were more precise, on average, than the LAMOST RVs, we adopted the Gaia value in cases where both were available. We note that the third Gaia data release will contain a large number of new RV measurements for the stars in our sample.

We removed stars with a Gaia parallax signal-to-noise ratio of less than 10, stars with negative parallaxes, and stars with a Gaia astrometric excess noise value greater than 5. After these cuts, 35,328 stars remained in our sample, of which 11,050 had RV measurements from either Gaia or LAMOST. We calculated 3D velocities for all stars with measured RVs using `astropy`, and *inferred* 3D velocities for the remaining 24,278 stars using the method described in section 3.

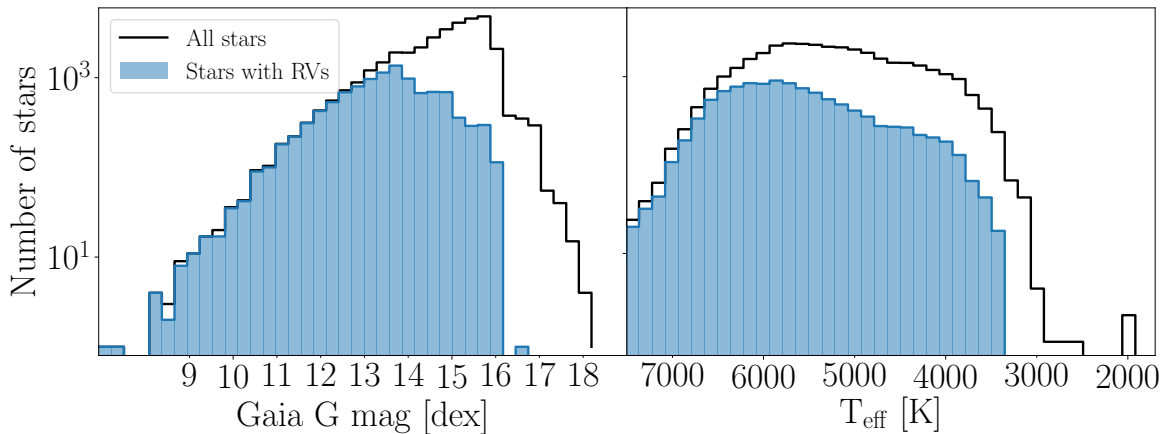
<sup>2</sup> Available at `gaia-kepler.fun`

### 3. STELLAR VELOCITIES

It has been demonstrated that the dispersion in vertical velocity,  $v_z$ , of a population of stars increases with the age of that population (*e.g.* Strömberg 1946; Wielen 1977; Nordström et al. 2004; Holmberg et al. 2007, 2009; Aumer & Binney 2009; Casagrande et al. 2011; Ting & Rix 2019; Yu & Liu 2018). However, velocities in Galactocentric coordinates,  $v_x$ ,  $v_y$  and  $v_z$ , can only be calculated with full 6-D position and velocity information, *i.e.* proper motions, position and radial velocity. In Angus et al. (2020) we used velocity dispersions to explore rotational evolution, however we used velocity in the direction of Galactic latitude,  $v_b$ , instead of  $v_z$  because it can be calculated without an RV measurement but is a close approximation to  $v_z$  for Kepler stars. This is because the Kepler field lies at low Galactic latitudes, ( $\sim 5 - 20^\circ$ ), so the  $z$ -direction is similar to the  $b$ -direction for Kepler stars. However, even at such low latitudes, kinematic ages calculated with  $v_b$  instead of  $v_z$  are likely to be systematically larger because of mixing between  $v_z$ ,  $v_x$  and  $v_y$ . A direct measurement or precise estimate of  $v_z$  is necessary to calculate accurate kinematic ages. Less than 1 in 3 stars in our sample of Kepler rotators had a directly measured RV available, and for these  $\sim 11,000$  stars we calculated vertical velocities,  $v_z$ , using the `coordinates` library of `astropy` (Astropy Collaboration et al. 2013; Price-Whelan et al. 2018).

Although RVs were available for almost one in three stars in our Kepler rotation sample, few cool dwarfs had RV measurements because of the selection functions of the *Gaia* and *LAMOST* surveys. In our sample, one in 2.5 stars hotter than 5000 K had RV measurements, whereas only one in six stars cooler than 5000 K had RVs. *Gaia* DR2 only includes RVs for stars brighter than around 13th magnitude, and *LAMOST* only provides RVs for Kepler stars brighter than around 17th magnitude in *Gaia*  $G$ -band. Ruth, check the actual LAMOST selection function. Figure 1 shows the apparent magnitude and temperature distributions of the stars in our sample, with and without RVs. This figure reveals the combined selection functions of the *Gaia* DR2 and *LAMOST* RV surveys and shows that faint and cool stars have fewer RV measurements than hot, bright ones. Given that rotational evolution is particularly

**Figure 1.** The apparent magnitude (left) and temperature (right) distributions of stars in our sample, with and without RV measurements from *Gaia* and *LAMOST*.



poorly understood for M dwarfs, the cool stars with missing RVs are arguably the most interesting. To fill-in the low-temperature regime, we inferred velocities for stars without RV measurements, by marginalizing over missing RVs.

#### 3.1. Inferring 3D velocities (marginalizing over missing RV measurements)

For each star in our sample, we inferred  $v_x$ ,  $v_y$ , and  $v_z$  from the 3D positions (right ascension,  $\alpha$ , declination,  $\delta$ , and parallax,  $\pi$ ) and 2D proper motions ( $\mu_\alpha$  and  $\mu_\delta$ ) provided in the *Gaia* DR2 catalog (Brown et al. 2011). We also simultaneously inferred distance, (instead of using inverse-parallax), to model velocities (see *e.g.* Bailer-Jones 2015; Bailer-Jones et al. 2018).

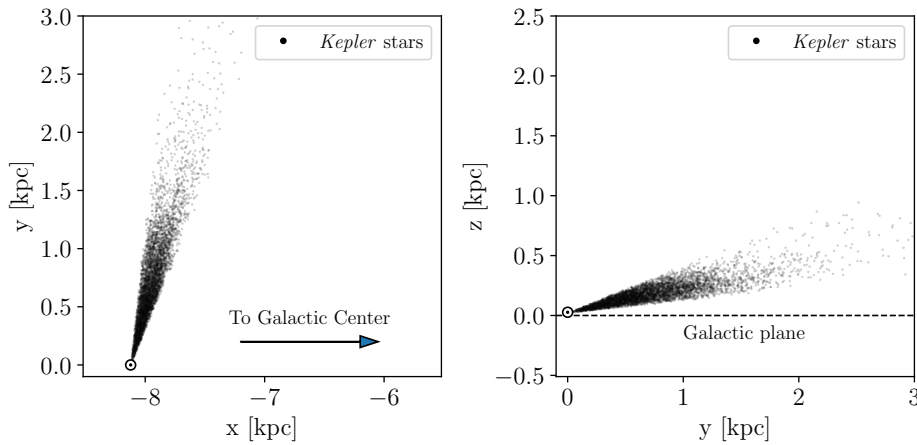
Using Bayes rule, the posterior probability of the parameters given the data can be written:

$$p(\mathbf{v}_{\mathbf{xyz}}, D | \mu_\alpha, \mu_\delta, \alpha, \delta, \pi) = p(\mu_\alpha, \mu_\delta, \alpha, \delta, \pi | \mathbf{v}_{\mathbf{xyz}}, D) p(\mathbf{v}_{\mathbf{xyz}}) p(D), \quad (1)$$

where  $D$  is distance and  $\mathbf{v}_{xyz}$  is the 3D vector of velocities. To evaluate the likelihood function, our model predicted observable data from model parameters, *i.e.* it converted  $v_x$ ,  $v_y$ ,  $v_z$  and  $D$  to  $\mu_\alpha$ ,  $\mu_\delta$  and  $\pi$ . In the first step of the model, cartesian coordinates,  $\mathbf{x}$ ,  $\mathbf{y}$ , and  $\mathbf{z}$  were calculated from  $\alpha$  and  $\delta$  measurements and  $D$  ( $1/\pi$ ) for each star, by applying a series of matrix rotations, and a translation to account for the Solar position. The cartesian Galactocentric velocity parameters,  $v_x$ ,  $v_y$ , and  $v_z$ , were then converted to equatorial coordinates,  $\mu_\alpha$  and  $\mu_\delta$ , via another rotation.

As mentioned previously, the specific positioning of the Kepler field (at low Galactic latitude) allows  $v_z$  to be well-constrained from proper motion measurements alone. This also happens to be the case for  $v_x$ , because the direction of the Kepler field is almost aligned with the  $\mathbf{y}$ -axis of the Galactocentric coordinate system and is almost perpendicular to both the  $\mathbf{x}$  and  $\mathbf{z}$ -axes (see figure 2). For this reason, the  $\mathbf{y}$ -direction is similar to the radial direction for observers near the Sun, so  $v_y$  will be poorly constrained for Kepler stars without RV measurements. On the other hand,  $v_x$  and  $v_z$  are almost perpendicular to the radial direction and can be precisely inferred with proper motions alone.

**Figure 2.**  $\mathbf{x}$ ,  $\mathbf{y}$  and  $\mathbf{z}$  positions of stars observed by Kepler, showing the orientation of the Kepler field. The direction of the field is almost aligned with the  $\mathbf{y}$ -axis and almost perpendicular to the  $\mathbf{x}$  and  $\mathbf{z}$ -axes, which is why  $v_x$  and  $v_z$  can be tightly constrained for Kepler stars without RVs, but  $v_y$  cannot.



### 3.2. The prior

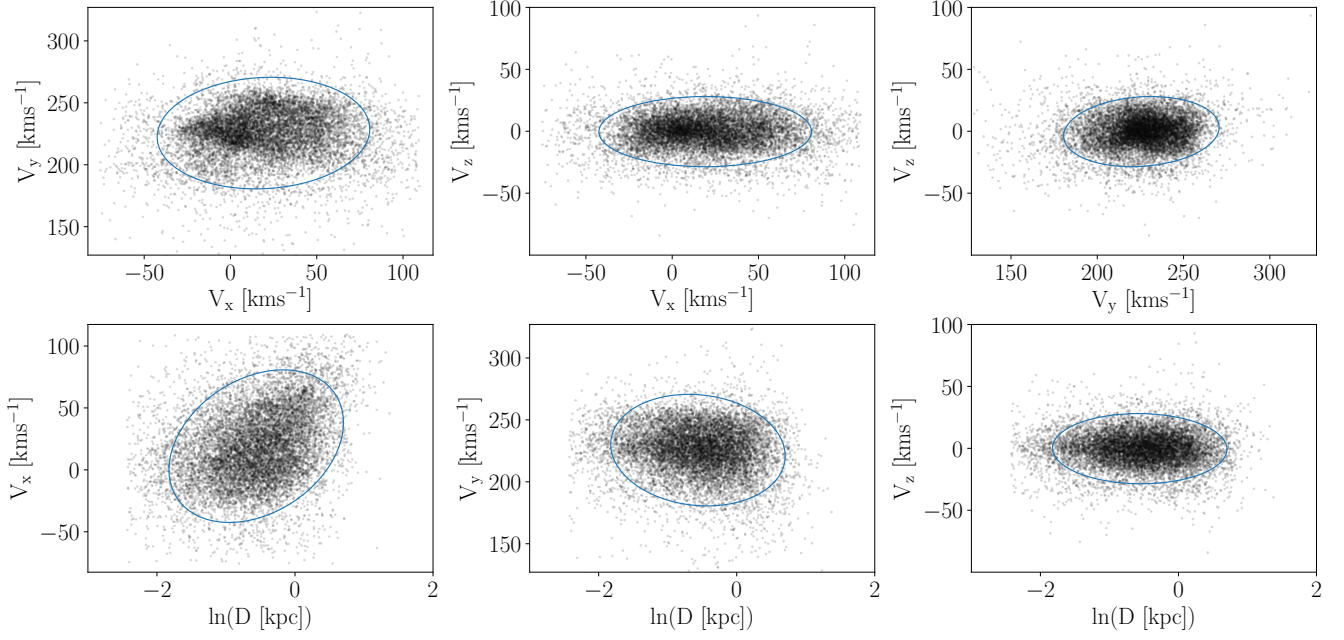
The prior distribution over distance and velocities was constructed from the data. We calculated the means and covariances of the  $v_x$ ,  $v_y$ ,  $v_z$  and  $\ln(D)$  distributions of stars *with measured RVs* and then used these means and covariances to construct a multivariate Gaussian prior over the parameters for stars *without RVs*. Velocity outliers greater than  $3\sigma$  were removed before calculating the means and covariances of the distributions. The 2-dimensional log-distance and velocity distributions are displayed in figure 3, with  $2\sigma$  contours shown in blue.

Our goal was to infer the velocities of stars *without* RV measurements using a prior calculated from stars *with* RV measurements. However, stars with and without RVs are likely to be different populations, with parameters that depend on the *Gaia* and *LAMOST* selection functions. In particular, stars without RV measurements are more likely to be fainter, cooler, and further away (*e.g.* figure 1). Lower-mass stars are, on average, older, and have larger velocity dispersions, plus stars in different locations in the Galaxy have different orbital velocities. So a prior based on the velocity distributions of stars with RVs will not necessarily reflect the velocities of those without. However, given that  $v_x$  and  $v_z$  are strongly informed by proper motion measurements, and therefore likely to be relatively prior-insensitive, the prior may not impact our final vertical velocity, and subsequent kinematic ages, significantly. Remember, we are mainly interested in the  $v_z$  parameter because *vertical* velocity dispersion is a well-studied age indicator.

We tested the influence of the prior on the velocities we inferred. One of the main features of the *Gaia* and *LAMOST* RV selection functions is brightness: *Gaia* DR2 RVs are only available for stars brighter than around 13th magnitude, and *LAMOST* RVs for stars brighter than around 17th magnitude. [Ruth, check the actual LAMOST selection function.](#) For this reason, we tested priors based on stellar populations with different apparent magnitudes. Three priors were tested: one calculated from the velocity distributions of the brightest half of the RV sample (*Gaia* *G*-band apparent magnitude  $< 13.9$ ), one from the faintest half ( $G > 13.9$ ), and one from all stars with RVs. Figure 4 shows the



**Figure 3.** The 2D velocity and distance distributions for stars with RV measurements, used to construct a multivariate Gaussian prior over velocity and distance parameters for stars *without* RVs.  $2\text{-}\sigma$  contours are shown in blue.



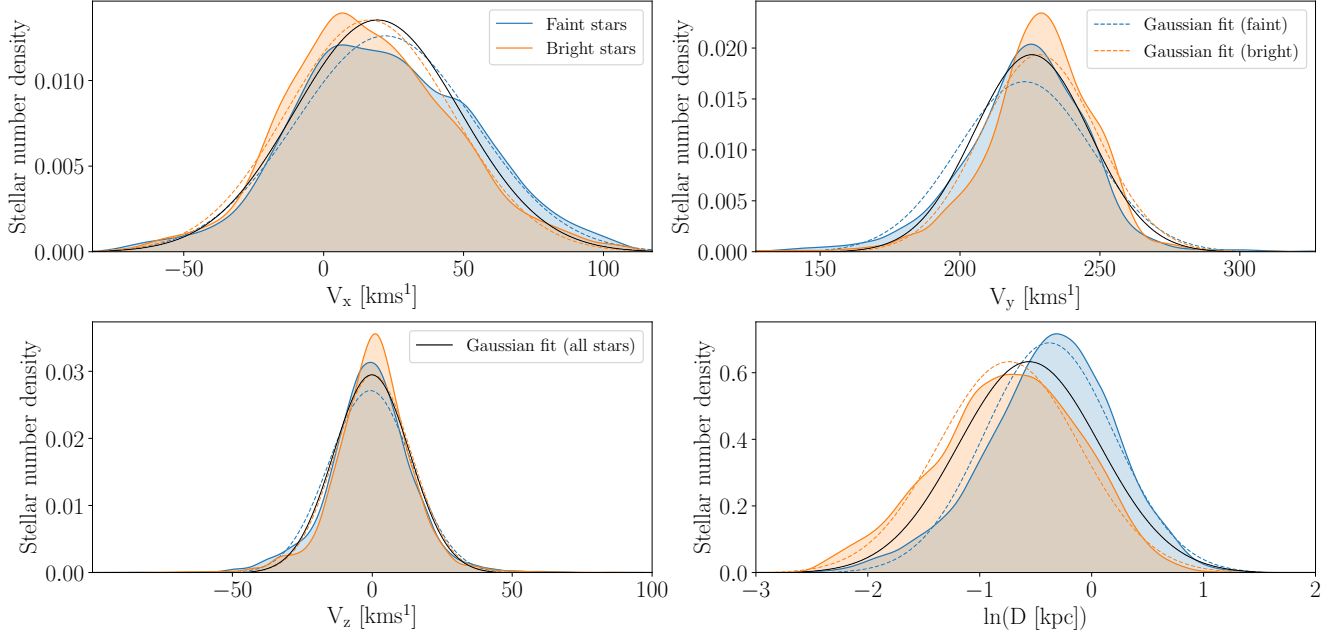
distributions of the faint (blue) and bright (orange) halves of the RV sample as kernel density estimates (KDEs). The distributions are different because bright stars are more massive, younger, and closer to the Sun on average than faint stars. As a result, these stars occupy slightly different Galactic orbits. The Gaussian fits to these distributions, which were used as prior PDFs, are shown in figure ?? as dashed, colored lines. The Gaussian fit to *all* the data, both bright and faint, is shown as a black solid line. The means of the faint and bright distributions differed by  $6 \text{ km s}^{-1}$ ,  $5 \text{ km s}^{-1}$ ,  $1 \text{ km s}^{-1}$  and  $0.21 \text{ kpc}$ , for  $v_x$ ,  $v_y$ ,  $v_z$  and  $\ln(D)$ , respectively. The  $v_x$ ,  $v_y$ , and distance distributions of these stars are strongly dependent on brightness. However, the  $v_z$  distribution does not vary significantly with stellar brightness. Since  $v_z$  is the only velocity we actually used to infer kinematic ages, this indicates that the choice of prior does not strongly impact our final results. To confirm this, we tested the influence of the prior on the parameters.

We inferred the velocities of 3000 stars chosen at random from the *Gaia-LAMOST* RV sample using each of these three priors and compared the inferred velocity distributions. If the inferred velocities were highly prior-dependent, the resulting distributions, obtained from different priors, would look very different. The results of this test are shown in figure 5. From left to right, the three panels show the distributions of inferred  $v_x$ ,  $v_y$ , and  $v_z$ . The blue dashed line shows a KDE, representing the distributions of velocities inferred using the prior calculated from the faint half of the RV sample. Similarly, the solid orange line shows the distribution of inferred velocities using the prior calculated from the bright half of the RV sample, and the solid black line shows the results of the prior calculated from *all* stars with measured RVs.

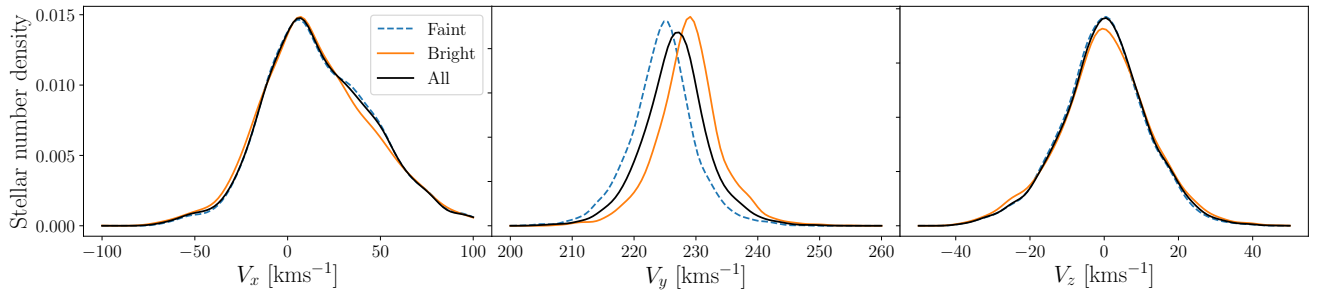
The median values of the  $v_y$  distributions, resulting from the faint and bright priors, differ by around  $4 \text{ km s}^{-1}$ . This is similar to the difference in means of the faint and bright populations ( $5 \text{ km s}^{-1}$ , as quoted above). The inferred  $v_x$  and  $v_z$  distributions differ by  $2 \text{ km s}^{-1}$  and  $0.3 \text{ km s}^{-1}$ , respectively. Regardless of the prior choice, the  $v_x$  and  $v_z$  distributions are similar because velocities in the  $x$  and  $z$ -directions are not strongly prior dependent: they are tightly constrained with proper motion measurements alone. However, the distribution of inferred  $v_y$  velocities *does* depend on the prior. This is because the  $y$ -direction is close to the radial direction for Kepler stars (see figure 2), and  $v_y$  cannot be tightly constrained without an RV measurement.

Although this test was performed on stars with RV measurements, which are brighter overall than the sample of stars without RVs (*e.g.* figure 1), figure 5 nevertheless shows that  $v_x$  and  $v_z$  are not strongly prior-dependent. In this work we are only concerned with  $v_z$ , as we only use *vertical* velocity dispersion as an age indicator. The difference in the dispersions of  $v_z$  velocities, calculated with the three different priors tested above was smaller than  $0.5 \text{ km s}^{-1}$ .

**Figure 4.** Velocity and distance distributions of faint (blue) and bright (orange) stars with RVs, shown as KDEs. Gaussian fits to these distributions are shown as dashed lines in corresponding colors. The solid black line shows the Gaussian fit to all data (bright and faint combined) and is the prior we ended up using in our model.



**Figure 5.** The distributions of velocity and distance parameters, inferred using three different priors. The orange line is a KDE that represents the distribution of parameters inferred with a Gaussian prior, estimated from the bright half of the RV sample ( $G < 13.9$ ). The blue dashed line shows the results from a prior estimated from the faint half of the RV sample ( $G > 13.9$ ). The black line shows the results from a prior calculated from all stars with RV measurements and is the prior we adopt in our final analysis.



We therefore conclude that vertical velocity dispersion is relatively insensitive to prior choice, and we adopt a prior calculated from the distributions of all stars with RV measurements (black Gaussians in figure 5).

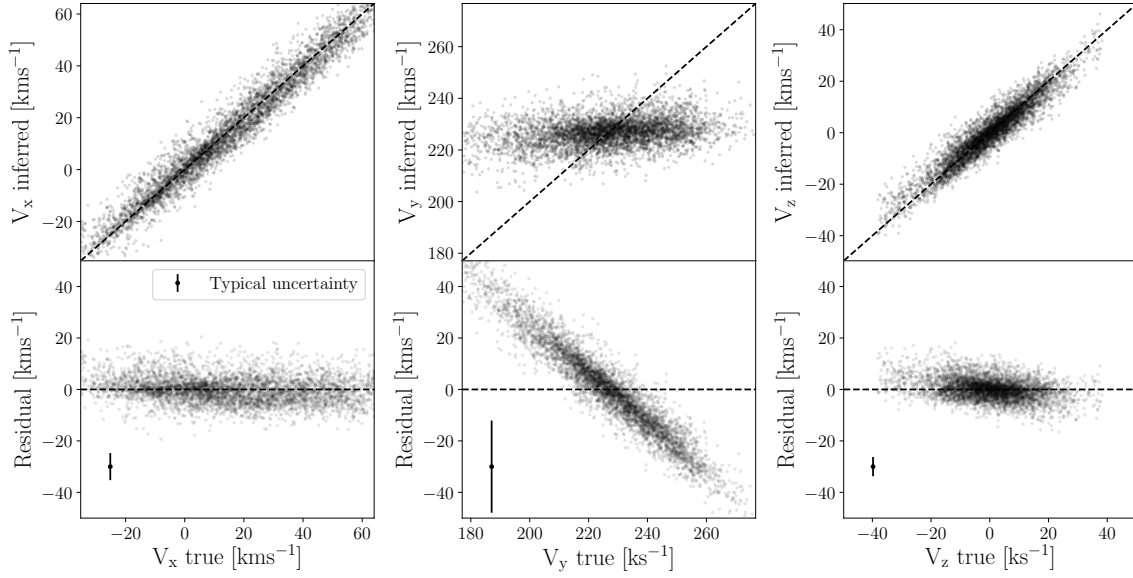
### 3.3. Inferred velocities

For each star in the Kepler field, we explored the posteriors of the four parameters,  $v_x$ ,  $v_y$ ,  $v_z$ , and  $\ln(D)$  using the *PyMC3* No U-Turn Sampler (NUTS) algorithm, and the *exoplanet* *Python* library (citations). We tuned the *PyMC3* sampler for 1500 steps, with a target acceptance fraction of 0.9, then ran four chains of 1000 steps for a total of 4000 steps. Using *PyMC3* made the inference procedure exceptionally fast – taking just a few seconds per star on a laptop. [Check what convergence criteria you should use, or justify these choices.](#)



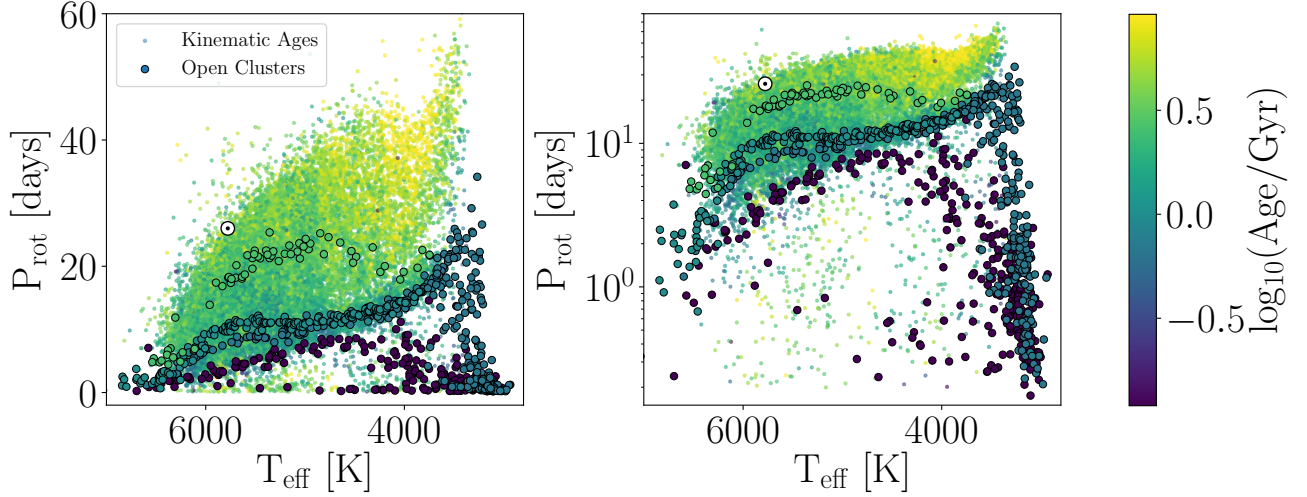
To validate our method, we inferred velocities for stars in our sample with measured RVs and compared those inferred values with velocities calculated directly from 6D position, proper motion, and RV measurements. Figure ?? shows the  $v_x$ ,  $v_y$  and  $v_z$  velocities we inferred, for 3000 stars chosen at random, compared with those calculated from measured RVs.

**Figure 6.** Vertical velocities calculated with full 6D information vs vertical velocities inferred without RVs, for 3000 [McQuillan et al. \(2014\)](#) stars with *Gaia* RV measurements.



The three velocity components,  $v_x$ ,  $v_y$  and  $v_z$  were recovered with differing levels of precision:  $v_x$  and  $v_z$  are inferred more precisely than  $v_y$ . This is because of the orientation of the Kepler field, shown in figure 2. Slight inaccuracies seen in the residual panels for  $v_x$  and  $v_z$  are caused by .... Quote some summary statistics.

**Figure 7.** The calibration data. Kepler field stars are shown as small points, and cluster stars are larger points with black outlines. Points are colored by either their kinematic ages or cluster ages. The left- and right-hand panels have a linear and logarithmic y-axis, respectively.



#### 4. KINEMATIC AGES

##### 4.1. Calculating velocity dispersions

A kinematic age can be calculated from the velocity dispersion, *i.e.* standard deviation, of a group of stars. These velocity dispersions can then be converted into an age using an AVR (*e.g.* Holmberg et al. 2009; Yu & Liu 2018). Kinematic ages represent the *average age* of a group of stars and are most informative when stars are grouped by age. If a group of stars have similar ages, their kinematic age will be close to the age of each individual. On the other hand, the kinematic age of a group with large age variance will not provide much information about the ages of individual stars. Velocity distributions themselves do not reveal whether a group of stars have similar or different ages, since either case the velocities are Gaussian-distributed. Fortunately however, we can group Kepler stars by age using the implicit assumption that underpins gyrochronology: that stars with the same rotation period and color are the same age.

In this paper, we use the kinematic ages published in ?. In that work, the kinematic age of each star in our sample was calculated by placing it in a bin with other stars with similar rotation periods, effective temperatures, absolute Gaia magnitudes and Rossby numbers. The kinematic age of each star was estimated by calculating the velocity dispersions of stars with these similar parameters, then using an AVR to calculate a corresponding age (?). The bin size was optimized using a number of Kepler stars with asteroseismic ages.

We used the Yu & Liu (2018) AVR to convert velocity dispersion to age. This relation was calibrated using the ages and velocities of red clump stars. They divided their sample into metal rich and poor subsets, and calibrated separate AVRs for each, plus a global AVR. Their AVR is a power law:

$$\sigma_{vz} = \alpha t^\beta, \quad (2)$$

where  $\alpha$  and  $\beta$  take values (6.38, 0.578) for metal rich stars (3.89, 1.01) for metal poor stars, and (5.47, 0.765) for all stars.

We used  $1.5 \times$  the Median Absolute Deviation (MAD) of velocities, which is a robust approximation to the standard deviation and is less sensitive to outliers. Velocity outliers could be binary stars or could be generated by underestimated parallax or proper motion uncertainties.

Figure ?? displays the data we used to calibrate our gyrochronology model in  $P_{\text{rot}}-T_{\text{eff}}$  space. Kepler field stars are shown as small points, and cluster stars are larger points with black outlines. Points are colored by either their kinematic ages or cluster ages. The left- and right-hand panels have a linear and logarithmic y-axis, respectively.

## 4.2. Calibrating a new gyrochronology relation

We used a two-dimensional power-law relation, augmented with a Gaussian process, as our new empirical gyrochronology model. This model was fitted to kinematic ages (described above) and open cluster stars with precise rotation periods measured from Kepler and K2 light curve and well-determined ages from cluster-based isochrone fitting. In this section we describe the model, and how we fit it to the data, in more detail.

### 4.2.1. The Model

To calibrate a new empirical gyrochronology relation we used a composite model, consisting of an underlying mean function, augmented with a Gaussian process. The mean function is similar to previous empirical gyrochronology models and consists of two separable power-law relations: one describing the relationship between rotation period and age, and the other the relationship between rotation period and color (*e.g.* Barnes 2003, 2007; Mamajek & Hillenbrand 2008; Meibom et al. 2015; Angus et al. 2015, 2019).

Our mean function is comprised of a single power-law in age,  $f(t)$ , and a broken power law in color,  $g(C)$ :

$$P_{\text{rot}} = f(t)g(C), \quad (3)$$

where  $P_{\text{rot}}$  is rotation period in days,  $t$  is age in Gyr, and  $C$  is Gaia color,  $G_{BP} - G_{RP}$ . The age power-law is defined as,

$$f(t) = at^n, \quad (4)$$

following the functional form first described in Barnes (2003), where  $a$  and  $n$  are free parameters. The broken power-law in color is defined as,

$$g(C) = \left[ \left( \frac{m_1}{1 + e^{s\delta}} \right) + \left( \frac{m_2}{1 + e^{-s\delta}} \right) \right]^\delta, \quad (5)$$

where  $s$  is a parameter that determines how smooth the break is,  $m_1$  is the slope below (bluewards of) the power-law break,  $m_2$  is the slope above (redwards of) the break, and

$$\delta = C - C_{\text{break}}, \quad (6)$$

where  $C_{\text{break}}$  is the color of the power-law break. In this model,  $s$ ,  $m_1$ ,  $m_2$ , and  $C_{\text{break}}$  are all free parameters.

We used a Gaussian process model to ‘distort’ this mean model, providing a better fit to the data. The GP models the residuals between the mean model and the data. We used a simple squared exponential kernel function which has only two free parameters, an amplitude,  $A$  and a length scale,  $l$ . This kernel function is defined as,

$$k_{ij} = A \exp \left[ \frac{-(x_i - x_j)^2}{2l^2} \right] \quad (7)$$

The parameters were optimized using the `exoplanet` code (?).

### 4.2.2. Formatting the data

A Gaussian process is only an appropriate model for data generated by a Gaussian process. Data with large numbers of outliers or with inaccurately estimated uncertainties are not Gaussian-distributed and therefore cannot be described with a Gaussian process. Rotation period measurements are rarely generated by a Gaussian process for two main reasons. Firstly, the uncertainties on rotation period measurements are often non-Gaussian due to erroneous detections of aliases or otherwise spurious measurements. Secondly, the relationship between rotation period and color often has a large amount of intrinsic scatter. This is especially true for young stars and M dwarfs, where there is little correlation between rotation period and age. This scatter is not generated purely by measurement uncertainties which means that it is not described as a Gaussian process. This non-Gaussian nature of rotational data necessitates a certain amount of data formatting or ‘massaging’ in order to fit our model to the data. We acknowledge that some of the choices we make during this stage are somewhat arbitrary, and other choices may have worked equally well. However, in the end our goal was to produce a gyrochronology model that is reasonably representative of the data, and these steps allowed us to achieve that goal. To obtain a good fit, we applied the following cuts to the data:

- **The cluster data.** We used a number of open clusters to calibrate our model: the Pleiades, Praesepe, the Hyades, NGC 6811, NGC 6819, and Ruprecht 147. Although the rotation periods of most G and K stars in these clusters fall on a tight sequence, the Pleiades and Praesepe contain a number of stars, particularly M dwarfs, with measured periods that are highly stochastic. Given that the scatter in M dwarf rotation periods is intrinsic: it is not produced by measurement uncertainties, these data are not well-described by a Gaussian process. For this reason, we removed stars with stochastic rotation periods from our calibration sample. To do this, we removed all cluster stars with rotation periods shorter than one day and  $G_{BP} - G_{RP} > 2.2$ . We also removed cluster stars with *both*  $P_{\text{rot}} < 11$  days *and*  $G_{BP} - G_{RP} > 1.5$ . We removed all Pleiades members with  $G_{BP} - G_{RP} > 1.4$ . We also removed three significantly outlying G stars from the Pleiades.
- **Applying cuts to the kinematic data.** As with the cluster data, the kinematic data also needed to be Gaussian distributed. For this reason, we removed stars whose rotation periods are not determined by their age and color. This includes subgiants, young stars, and binaries. Subgiants were cut by removing stars with Gaia  $M_G$  absolute magnitude less than 4 [check this](#), and stars with *both*  $G_{BP} - G_{RP} < 1.5$  *and* kinematic age  $> 6$  Gyr. Binaries were cut by fitting a 6th order polynomial... etc. Here we define ‘young stars’ to mean stars that are too young to have converged onto the slow rotator sequence. These stars have rotation periods shorter than the bulk of the rotation period distribution. These young stars were removed by calculating their gyro-ages according to the [Angus et al. \(2019\)](#) empirical relation, and then excluding stars with gyro-ages younger than 0.7 Gyr. Hot stars...
- **Converting kinematic data to a grid.** Once applying these cuts, 20,000 kinematic stars remained. Given that fitting a GP to such a large dataset is computationally expensive, and given that 20,000 stars is far more than necessary to obtain a reasonably accurate gyrochronology model, it made sense to reduce this data set in one of two ways. We could either have simply subsampled the data, however this would have thrown away information, so we opted instead to take averages of kinematic ages over a grid in rotation period and temperature. This provides the additional advantage of reducing the age uncertainties by the square root of the number of data points. This was beneficial because we did not include age uncertainties in our fit (so the smaller the age-uncertainties the better). For the clusters we can maybe get away with this, but the uncertainties on the kinematic are non-negligible (likely 1-2 Gyr ?). Figure ?? shows this grid in  $P_{\text{rot}}$ -color space. Grid points were spaced apart by 0.5 dex in both  $\log_{10}(P_{\text{rot}})$  and Gaia color,  $G_{BP} - G_{RP}$ . The median age of all stars falling in a bin, centered on each grid point, was adopted as the age at that grid point. Only grid points with more than one data point in the corresponding bin were adopted. Figure ?? illustrates how this grid is still representative of the raw data, while reducing the number of data points in the fit, decreasing parameter uncertainties by  $\sqrt{N}$ , and providing uniform data coverage across the  $P_{\text{rot}}$ -color plane.

#### 4.3. Cross Validation

In order to optimize the choices made above, and to quantify the overall age precision that can be expected from this model, we performed cross validation.

For each variable that needed to be optimized, we performed cross validation over a grid of values, and selected the value that resulted in the smallest root-mean-square (RMS) during cross validation. For example, for the uncertainties on the rotation periods of the kinematic data, we examined 10 uncertainty values between 1% and 10%. For each value, the GP model was re-trained and cross validation was performed. During cross validation we excluded 10 data points, selected at random from the combined cluster and kinematic calibration data set, and trained the model on the remaining data. We then

Figure 8.

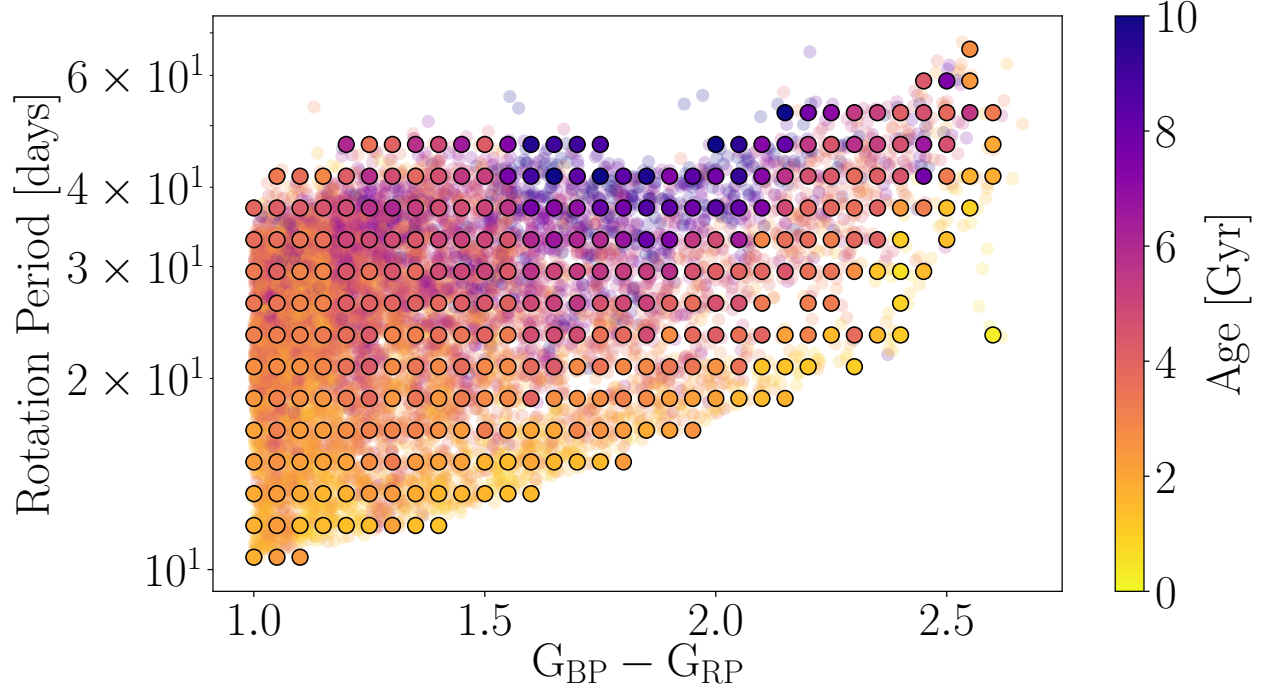


Figure 9.

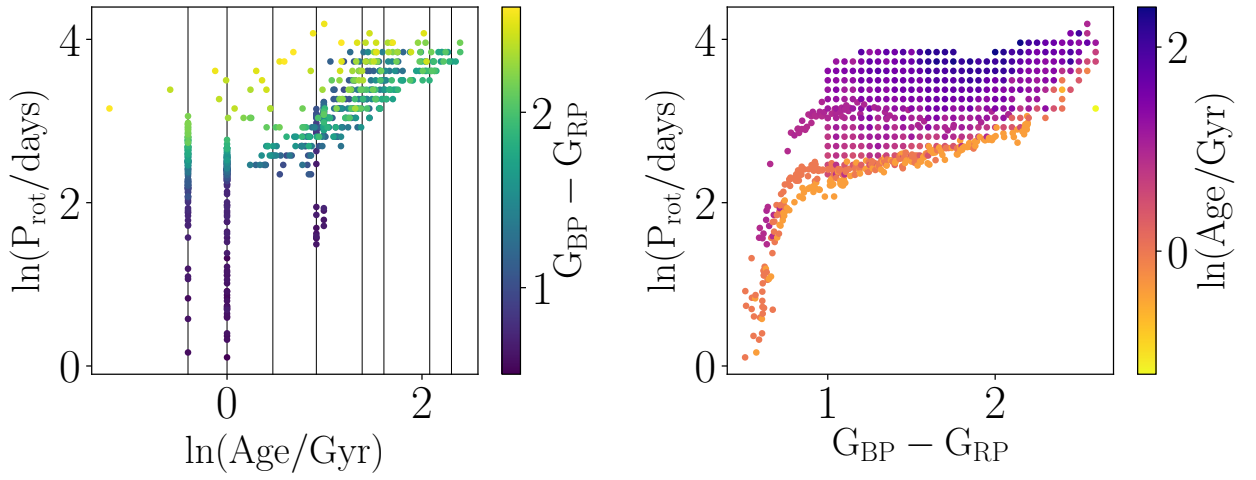
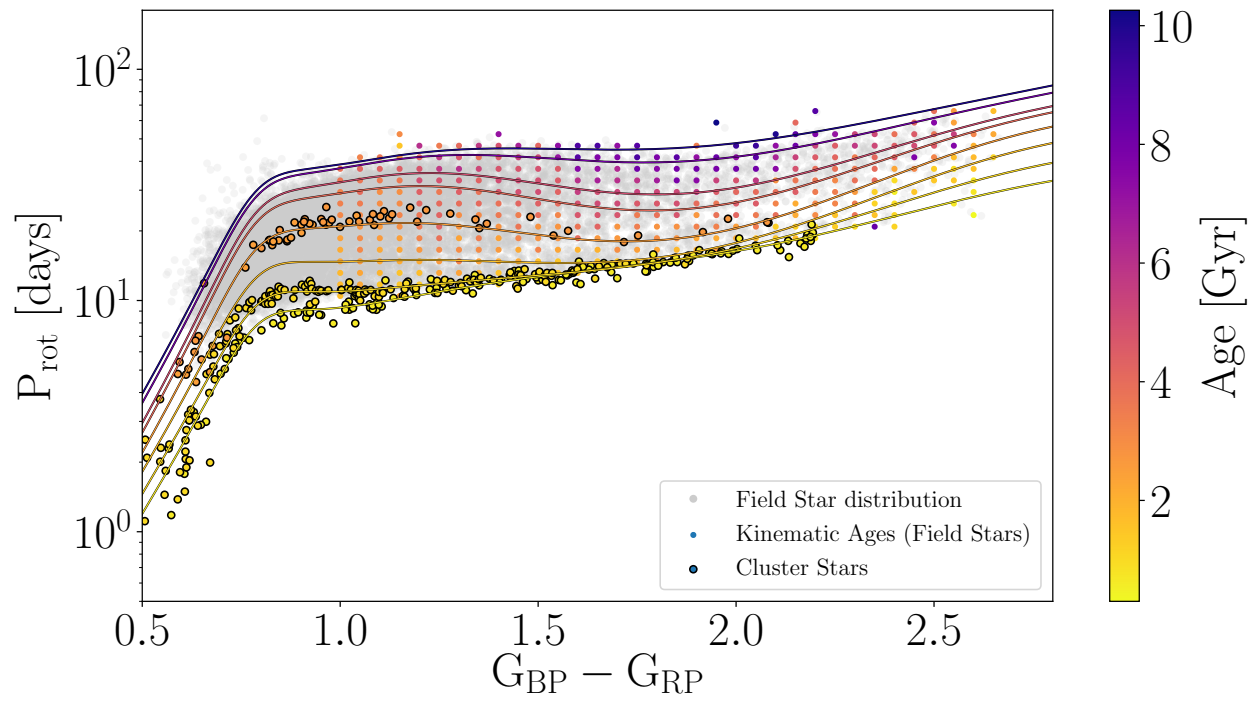


Figure 10.





## 5. DISCUSSION

## 6. CONCLUSION

This work was partly developed at the 2019 KITP conference ‘Better stars, better planets’. Parts of this project are based on ideas explored at the Gaia sprints at the Flatiron Institute in New York City, 2016 and MPIA, Heidelberg, 2017. This work made use of the `gaia-kepler.fun` crossmatch database created by Megan Bedell.

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