

# HyperBlocker: Accelerating Rule-based Blocking in Entity Resolution using GPUs

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## ABSTRACT

This paper studies rule-based blocking in Entity Resolution (ER). We propose HyperBlocker, a GPU-accelerated system for blocking in ER. As opposed to previous blocking algorithms and parallel blocking solvers, HyperBlocker employs a pipelined architecture to overlap data transfer and GPU operations. It generates a data-aware and rule-aware execution plan on CPUs, for specifying how rules are evaluated, and develops a number of hardware-aware optimizations to achieve massive parallelism on GPUs. Using real-life datasets, we show that HyperBlocker is at least 6.8 $\times$  and 9.1 $\times$  faster than prior CPU-powered distributed systems and GPU-based ER solvers, respectively. Better still, by combining HyperBlocker with the state-of-the-art ER matcher, we can speed up the overall ER process by at least 30% with comparable accuracy.

## PVLDB Artifact Availability:

The source code, data, or other artifacts have been made available at <https://github.com/SICS-Fundamental-Research-Center/HyperBlocker>.

## 1 INTRODUCTION

Entity resolution (ER), also known as record linkage, data deduplication, merge/purge and record matching, is to identify tuples that refer to the same real-world entity. It is a routine operation in many data cleaning and integration tasks, such as detecting duplicate commodities [38] and finding duplicate customers [26].

Recently, with the rising popularity of deep learning (DL) models, research efforts have been made to apply DL techniques to ER. Although these DL-based approaches have shown impressive accuracy, they also come with high training/inference costs, due to the large number of parameters. Despite the effort to reduce parameters, the growth in the size of DL models is still an inevitable trend, leading to the increasing time for making matching decisions.

In the worst case, ER solutions have to spend quadratic time examining all pairs of tuples. As reported by Thomson Reuters, an ER project can take 3-6 months, mainly due to the scale of data [24]. To accelerate, most ER solutions divide ER into two phases: (a) a blocking phase, where a blocker discards unqualified pairs that are guaranteed to refer to distinct entities, and (b) a matching phase, where a matcher compares the remaining pairs to finally decide whether they are *matched*, i.e., refer to the same entity. The blocking phase is particularly useful when dealing with large data and “is the crucial part of ER with respect to time efficiency and scalability” [70].

To cope with the volume of big data, considerable research has been conducted on blocking techniques. As surveyed in [55, 70], we can divide blocking methods into *rule-based* [24, 39, 44, 49, 69] or *DL-based* [28, 45, 82, 85], both have their strengths and limitations.

DL-based blocking methods typically utilize pre-trained DL mod-

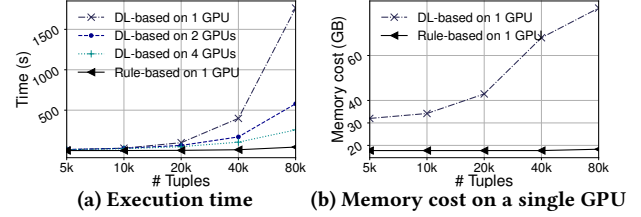


Figure 1: DL-based blocking vs. rule-based blocking

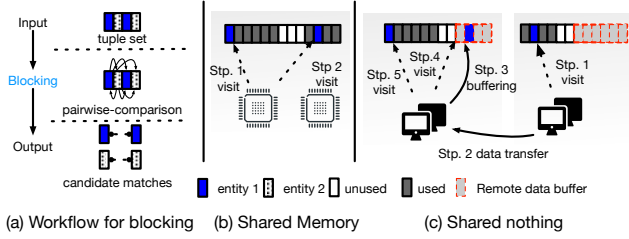
els to generate embeddings for tuples and discard tuple pairs with low similarity scores. While DL-based blocking can enhance ER by parallelizing computation and leveraging GPU acceleration [47], it often comes with long runtime and high memory costs. To justify this, we conducted a detailed analysis on DeepBlocker [82], the state-of-the-art (SOTA) DL-based blocker in Figure 1. We picked a rule-based blocker (a prototype of our method) with comparable accuracy with DeepBlocker and compared their runtime and memory. The evaluation was conducted on a machine equipped with V100 GPUs using the Songs dataset [64], varying the number of tuples. When running on one GPU, the runtime of DeepBlocker increases substantially when the number of tuples exceeds 40k. Worse still, it consumes excessive memory due to the large embeddings and intermediate results during similarity computation. Although the runtime of DeepBlocker can be reduced by using more GPUs, the issue remains, e.g., even with four GPUs in Figure 1(a), DeepBlocker is still slower than the rule-based blocker that runs on one GPU.

In contrast, rule-based blocking methods demonstrate potential for achieving scalability by leveraging multiple *blocking rules*. Each rule employs various comparisons with logical operators such as AND, OR, and NOT to discard unqualified tuple pairs. For instance, a blocking rule for books may state “If titles match and the number of pages match, then the two books match” [51]. We refer to the comparisons in this rule as *equality comparisons*, as they require exact equality. Another example, referred to as *similarity comparisons*, is presented in [69], which adopts the Jaccard similarity to determine whether a pair of tuples requires further matching. Rule-based approaches complement DL-based approaches by providing flexibility, explainability, and scalability in the blocking process [21]. Moreover, by incorporating domain knowledge into blocking rules, these approaches can readily adapt to different domains.

**Example 1:** As a critical step for data consistency, an e-commerce company (e.g., Amazon [6]) conducts ER for products, to enhance operations for e.g., product listings and inventory management.

To identify duplicate products, the blocking rule  $\phi_1$  may fit.  $\phi_1$ : Two products are potentially matched if (a) they have same color and price, (b) they are sold at same store, (c) their names are similar.

Here  $\phi_1$  is a conjunction of attribute-wise comparisons, where



**Figure 2: Shared memory vs shared nothing architectures**

both equality (parts (a) and (b)) and similarity comparisons (part (c)) are involved. In Section 2, we will formally define  $\phi_1$ .  $\square$

Rule-based blocking in ER has attracted a lot of attention (surveyed in [55, 70]). Unfortunately, most of existing rule-based blockers are designed for CPU-based (shared nothing) architectures, leading to unsatisfactory performance. Specifically, a blocker typically conducts pairwise comparisons on all pairs of tuples to obtain candidate matches (Figure 2(a)). In a shared-nothing architecture, data is partitioned and spread across a set of processing units. Each unit independently blocks data using its local memory, which may lead to skewed partitions/computations and rising communication costs, e.g., in Figure 2(c), the first unit is assigned more tuples than the second one and worse still, two tuples that both refer to entity 1 are distributed to different partitions. To avoid missing this match, the second unit has to visit its local memory (Stp. 1) and transfer its data to the first unit (Stp. 2). Then the first unit buffers the data (Stp. 3) and finally, conducts comparison locally (Stp. 4 & 5). The shared memory architecture (Figure 2(b)) is the opposite: all data is accessible from all processing units, allowing for efficient data sharing, collaboration between processing units and dynamic workload scheduling, e.g., the two tuples referred to entity 1 can be directly accessed by both units in Figure 2(b) (Stp. 1 & 2). GPUs are typically based on shared memory architectures, offering promising opportunities to achieve blocking parallelism. However, unlike DL-based blocking approaches, few rule-based methods support the massive parallelism offered by GPUs, despite their greater potential (see Figure 1).

To make practical use of rule-based blocking, several questions have to be answered. Can we parallelize it under a share memory architecture, utilizing massive parallelism of a GPU? Can we explore characteristics of GPUs and CPUs, to effectively collaborate them?

**HyperBlocker.** To answer these, we develop HyperBlocker, a GPU-accelerated system for rule-based blocking in Entity Resolution. As proof of concept, we adopt matching dependencies (MDs) [31] for rule-based blocking. As a class of rules developed for record matching, MDs are defined as a conjunction of (similarity) predicates and support both equality and similarity comparisons. Compared with prior works, HyperBlocker has the following unique features.

(1) *A pipelined architecture.* HyperBlocker adopts an architecture that pipelines the memory access from/to CPUs for data transfer, and operations on GPUs for rule-based blocking. In this way, the data transfer and the computation on GPUs can be overlapped.

(2) *Execution plan on CPUs.* To effectively filter unqualified pairs, blocking must be optimized for the underlying data (resp. blocking rules) for both equality and similarity comparisons; in this case, we say that the blocking is *data-aware* (resp. *rule-aware*). To our knowledge, prior methods either fail to consider data/rule-awareness or

cannot handle arbitrary comparisons well. HyperBlocker designs an execution plan generator to warrant efficient rule-based blocking.

(3) *Hardware-aware parallelism on GPUs.* Due to different characteristics of CPUs and GPUs, a naive approach that applies existing CPU-based blocking on GPUs makes substantial processing capacity untapped. We develop a variety of GPU-based parallelism strategies, designated for rule-based blocking, by exploiting the hardware characteristics of GPUs, to achieve massive parallelism.

(4) *Multi-GPUs collaboration.* It is already hard to offload tasks on CPUs. This problem is even exacerbated under multi-GPUs, due to the complexities of task decomposition, (inter-GPU) resource management, and workload balancing. HyperBlocker provides effective partitioning and scheduling strategies to scale with multiple GPUs.

**Contribution & organization.** After reviewing background in Section 2, we present HyperBlocker as follows: (1) its unique architecture and system overview (Section 3); (2) the rule/data-aware execution plan generator (Section 4); (3) the hardware-aware parallelism and the task scheduling strategy across GPUs (Section 5); and (4) an experimental study (Section 6). Section 7 presents related work.

Using real-life datasets, we find the following: (a) HyperBlocker speedups prior distributed blocking systems and GPU baselines by at least  $6.8\times$  and  $9.1\times$ , respectively. (b) Combining HyperBlocker with the SOTA ER matcher saves at least 30% time with comparable accuracy. (c) HyperBlocker is scalable, e.g., it can process 36M tuples in 1604s. (d) While promising, DL-based blocking methods are not always the best. By carefully optimizing rule-based blocking on GPUs, we share valuable lessons/insights about when rule-based approaches can beat the DL-based ones and vice versa.

## 2 PRELIMINARIES

We first review notations (more in [8]) for ER, blocking, and GPUs.

**Relations.** Consider a schema  $R = (eid, A_1, \dots, A_n)$ , where  $A_i$  is an attribute ( $i \in [1, n]$ ), and  $eid$  is an entity id, such that each tuple of  $R$  represents an entity. A relation  $D$  of  $R$  is a set of tuples of schema  $R$ .

**Entity resolution (ER).** Given a relation  $D$ , ER is to identify all tuple pairs in  $D$  that refer to the same real-life entity. It returns a set of tuple pairs  $(t_1, t_2)$  of  $D$  that are identified as *matches*. If  $t_1$  does not match  $t_2$ ,  $(t_1, t_2)$  is referred to as a *mismatch*.

Most existing methods typically conduct ER in three steps:

(1) *Data partitioning.* The tuples in relation  $D$  are divided into multiple data partitions, namely  $P_1, P_2, \dots, P_m$ , so that tuples of similar entities tend to be put into the same data partition.

(2) *Blocking.* Each tuple pair  $(t_1, t_2)$  from a partition  $P$  is a potential match that requires further verification. To reduce cost, a blocking method  $\mathcal{A}_{\text{block}}$  (i.e., blocker) is often adopted to filter out those pairs that are definitely mismatches *efficiently*, instead of directly verifying every tuple pair. Denote the set of remaining pairs obtained from  $P$  by  $\text{Ca}(P) = \{(t_1, t_2) \in P \times P \mid (t_1, t_2) \text{ is not filtered by } \mathcal{A}_{\text{block}}\}$ .

(3) *Matching.* For each pair in  $\text{Ca}(P)$ , an accurate (but expensive) matcher is applied, to make final decisions of matches/mismatches.

**Our scope: blocking.** Note that in some works, both steps (1) and (2) are called blocking. To avoid ambiguity, we follow [82] and distinguish partitioning from blocking. We mainly focus on *blocking*, i.e.,

**Table 1: A relation  $D$  of schema Products, where the dash (“-”) denotes a missing value.**

eid	pno	pname	price	sname	description	color	address
e1	t1	Apple Mac Air	\$909	Comp. World	Apple MacBook Air (13-inch, 8GB RAM, 256GB SSD)	Gray	9 Barton Grove, McCulloughmouth
e2	t2	ThinkPad	-	Smith's Tech	ThinkPad E15, 15.6-inch full HD IPS display, Intel Core i5-1235U processor, (16GB) RAM   512GB PCIe SSD)	Gray	Seg Plaza, Hua qiang North Road
e2	t3	ThinkPad	\$849	Smith's Tech	Lenovo E15 Business ThinkPad, 15.6-inch full HD IPS display, 12 generation Intel Core i5, 16GB RAM, 512GB SSD	Gray	Seg Plaza, Hua qiang North Road
e1	t4	MacBook Air	\$909	Comp. World	Apple 2022 MacBook Air M2 chip 13-inch, 8 GB RAM, 256 GB SSD storage gray	Gray	-
e1	t5	MacBook Air	\$909	Comp. World	-	Gray	Barton Grove, McCulloughmouth

- *Input*: A relation  $D$  of the tuples of schema  $R$ , where the tuples in  $D$  are divided into  $m$  partitions  $P_1, \dots, P_m$ .
- *Output*: The set  $\text{Ca}(P_i)$  of candidate tuple pairs on each  $P_i$ .

Although our work can be applied on data partitions generated by any existing method, we optimize over multiple data partitions, by exploiting designated GPU acceleration techniques (Section 5.3).

While blocking focuses more on efficiency and matching focuses more on accuracy, they can be used without each other, e.g., one can directly employ rules [31] for ER or apply an ER matcher [56] on the Cartesian product of the entire partition. When blocking is used alone on a given partition  $P$ , all tuple pairs in  $\text{Ca}(P)$  are identified as matches. In Section 6, we will test HyperBlocker with or without a matcher, to elaborate the trade-off between efficiency and accuracy.

**Rule-based blocking.** We study rule-based blocking in this paper, due to its efficiency and explainability remarked earlier. We review a class of matching dependencies (MDs), originally proposed in [31].

Predicates. Predicates over schema  $R$  are defined as follows:

$$p ::= t.A = c \mid t.A = s.B \mid t.A \approx s.B$$

where  $t$  and  $s$  are tuple variables denoting tuples of  $R$ ,  $A$  and  $B$  are attributes of  $R$  and  $c$  is a constant;  $t.A = s.B$  and  $t.A = c$  compare the equality on *compatible* values, e.g.,  $t.\text{eid} = s.\text{eid}$  says that  $(t, s)$  is a potential match;  $t.A \approx s.B$  compares the *similarity* of  $t.A$  and  $s.B$ . Here any similarity measure, symmetric or asymmetric, can be used as  $\approx$ , e.g., edit distance or KL divergence, such that  $t.A \approx s.B$  is true if  $t.A$  and  $s.B$  are “similar” enough w.r.t. a threshold. *Sophisticated similarity measures like ML models can also be used as in [20, 32].*

Rules. A (bi-variable) *matching dependency* (MD) over  $R$  is:

$$\varphi = X \rightarrow I,$$

where  $X$  is a conjunction of predicates over  $R$  with two tuple variables  $t$  and  $s$ , and  $I$  is  $t.\text{eid} = s.\text{eid}$ . We refer to  $X$  as the *precondition* of  $\varphi$ , and  $I$  as the *consequence* of  $\varphi$ , respectively.

**Example 2:** Consider a (simplified) e-commerce database with self-explained schema Products (eid, pno, pname, price, sname (store name), description, color, address (store address)). Below are some examples MDs, where the rule in Example 1 is written as  $\varphi_1$ .

(1)  $\varphi_1 : t.\text{color} = s.\text{color} \wedge t.\text{price} = s.\text{price} \wedge t.\text{sname} = s.\text{sname} \wedge t.\text{pname} \approx_{\text{ED}} s.\text{pname} \rightarrow t.\text{eid} = s.\text{eid}$ , where  $\approx_{\text{ED}}$  measures the edit distance. As stated before,  $\varphi_1$  identifies two products, by their colors, prices, product names and the stores sold.

(2)  $\varphi_2 : t.\text{sname} = s.\text{sname} \wedge t.\text{description} \approx_{\text{JD}} s.\text{description} \rightarrow t.\text{eid} = s.\text{eid}$ , where  $\approx_{\text{JD}}$  measures the Jaccard distance. The MD says that if two products are sold in the store and have a similar description, then they are identified as a potential match.

(3)  $\varphi_3 : t.\text{saddress} \approx_{\text{ED}} s.\text{saddress} \wedge t.\text{description} \approx_{\text{JD}} s.\text{description} \rightarrow t.\text{eid} = s.\text{eid}$ . It gives another condition for identifying two products, i.e., the two products with similar descriptions are sold from stores with similar addresses are potentially matched.  $\square$

Semantics. A *valuation* of tuple variables of an MD  $\varphi$  in  $D$ , or simply a *valuation* of  $\varphi$ , is a mapping  $h$  that instantiates the two variables  $t$  and  $s$  with tuples in  $D$ . A valuation  $h$  *satisfies* a predicate  $p$  over  $R$ , written as  $h \models p$ , if the following is satisfied: (1) if  $p$  is  $t.A = c$  or  $t.A = s.B$ , then it is interpreted as in tuple relational calculus following the standard semantics of first-order logic [17]; and (2) if  $p$  is  $t.A \approx s.B$ , then  $h(t).A \approx h(s).B$  returns true. Given a conjunction  $X$  of predicates, we say  $h \models X$  if for *all* predicates  $p$  in  $X$ ,  $h \models p$ .

Blocking. Rule-based blocking employs a set  $\Delta$  of MDs. Given a partition  $P$ , a pair  $(t_1, t_2) \in P \times P$  is in  $\text{Ca}(P)$  iff there exists an MD  $\varphi$  in  $\Delta$  such that the valuation  $h(t_1, t_2)$  of  $\varphi$  that instantiates variables  $t$  and  $s$  with tuples  $t_1$  and  $t_2$  satisfies the precondition of  $\varphi$ ; we call such  $\varphi$  as a *witness* at  $(t_1, t_2)$ , since it indicates that  $(t_1, t_2)$  is a potential match. Otherwise,  $(t_1, t_2)$  will be filtered. *Since a precondition is a conjunction of predicates, rule-based blocking is in Disjunctive normal form (DNF), i.e., it is to evaluate a disjunction of conjunctions.*

**Example 3:** Continuing with Example 2, consider  $D$  in Table 1 and  $h(t_1, t_4)$  that instantiates variables  $t$  and  $s$  with tuples  $t_1$  and  $t_4$  in  $D$ . Since  $h(t_1, t_4)$  satisfies the precondition of  $\varphi_1$ ,  $\varphi_1$  is a witness at  $(t_1, t_4)$ . Similarly, one can verify that  $\varphi_1$  is not a witness at  $(t_2, t_3)$ .  $\square$

Discovery of MDs. MDs can be considered as a special case of entity enhancing rules (REEs) [32, 33]. We can readily apply the discovery algorithms for REEs, e.g., [32, 33], to discover MDs (details omitted).

**GPU hardware.** As general processors for high-performance computation, GPUs offer the following benefits compared with CPUs.

First, GPUs provide massive parallelism by programming with CUDA (Compute Unified Device Architecture) [59]. A GPU has multiple SMs (Streaming Multiprocessors), where each SM accommodates multiple processing units. e.g., V100 has 80 SMs, each with 64 CUDA cores. SMs handle the parallel execution of CUDA cores. In CUDA programming, CUDA cores are conceptually organized into TBs (Thread Blocks) and physically grouped into thread warps, each comprising subgroups of 32 threads. This hierarchical organization allows thousands of threads running simultaneously on GPUs.

Second, GPUs utilize the DMA (Direct Memory Access) technology, which enables direct data transfer between GPU memory and system memory. This not only reduces CPU overhead but also allows the GPU to handle multiple data streams simultaneously. However, the number of PCIe lanes determines the maximum number of streams that can transfer data simultaneously (e.g., 16 PCIe lanes for V100). When multiple partitions perform data transfers over a PCIe lane, only one can utilize the lane at a time.

Third, GPUs adopt *SIMT* (Single Instruction, Multiple Threads) execution, where each SIMT lane is an individual unit that is responsible for executing a thread under a single instruction. *Thread divergence* can adversely affect the performance and it typically occurs in conditional statements (e.g., *if-else*), where some lanes take one execution path while the others take a different path. However, GPUs must execute different execution paths sequentially, rather than in parallel, resulting in underutilization of GPU resources.



### 3 HYPERBLOCKER: SYSTEM OVERVIEW

In this section, we present the overview of HyperBlocker, a GPU-accelerated system for rule-based blocking that optimizes the efficiency by considering rules, underlying data, and hardware simultaneously. In the literature, GPUs and CPUs are usually referred to as devices and hosts, respectively. We also follow this terminology.

**Challenges.** Existing parallel blocking methods typically rely on multiple CPU-powered machines under the shared nothing architecture, to achieve data partition-based parallelism. They reduce the runtime by using more machines, which, however, is not always feasible due to the increasing communication cost (see Section 1).

In light of these, HyperBlocker focuses on parallel blocking under a shared memory architecture; this introduces new challenges.

(1) *Execution plan for efficient blocking.* The efficiency of blocking depends heavily on how much/fast we can filter mismatches. Therefore, a good execution plan that specifies how rules are evaluated is crucial. However, most existing blocking optimizers fail to consider the properties of rules/data for blocking and even the optimizers of popular DBMS (e.g., PostgreSQL [13]) may not work well when handling similarity comparisons and evaluating queries in DNF (see Section 4). This motivates us to design a different plan generator.

(2) *Hardware-aware parallelism.* When GPUs are involved, those CPU-based techniques adopted in existing solvers no longer suffice, since GPUs have radically different characteristics (Section 2). Novel GPU-based parallelism for blocking is required to improve GPU utilization, e.g., by reducing thread wait stalls and thread divergence.

(3) *Multi-GPUs collaboration.* Existing parallel blocking solvers focus on minimizing the communication cost across all workers [24, 26]. However, this objective no longer applies in multi-GPUs scenarios, where unique challenges such as task decomposition, (inter-GPU) resource management and task scheduling arise.

**Novelty.** The ultimate goal of HyperBlocker is to generate the set  $\text{Ca}(P)$  of potential matches on each data partition  $P$ . To achieve this, we implement three novel components as follows:

(1) *Execution plan generator (EPG) (Section 4).* We develop a generator to generate data-aware and rule-aware execution plans, which support arbitrary comparisons and work well with DNF evaluation. Here we say an execution plan is data-aware, since it considers the distribution of data to decide which predicates are evaluated first; similarly, it is rule-aware, since it is optimized for underlying rules.

(2) *Parallelism optimizer (Section 5).* We implement a specialized optimizer that exploits the hierarchical structure of GPUs, to optimize the power of GPUs by utilizing thread blocks (TBs) and warps. With this optimizer, blocking can be effectively parallelized on GPUs.

(3) *Resource scheduler (Section 5).* To achieve optimal performance over multiple GPUs, a partitioning strategy and a resource scheduler are developed to manage the resources, and balance the workload across multiple GPUs, minimizing idle time and resource waste.

**Architecture.** The architecture of HyperBlocker is shown in Figure 3. Taken a relation  $D$  of tuples and a set  $\Delta$  of MDs discovered offline as input, HyperBlocker divides the tuples in  $D$  into  $m$  disjoint partitions and asynchronously processes these data partitions in a pipelined manner, so that the execution at devices and the data transfer can be overlapped. Such architecture helps to mitigate the exces-

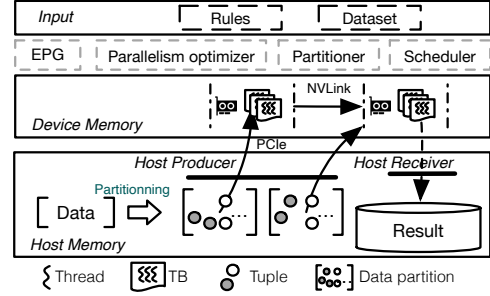


Figure 3: The pipelined architecture of HyperBlocker

sive I/O costs, reduce idle time, and improve hardware utilization.

**Workflow.** More specifically, HyperBlocker works in five steps:

(1) *Data partitioning.* HyperBlocker divides the tuples in  $D$  into  $m$  partitions, to allow parallel processing asynchronously.

(2) *Execution plan generation.* Given the set  $\Delta$  of MDs discovered offline, an execution plan that specifies in what order the rules (and the predicates in rules) should be evaluated is generated at the host.

(3) *Host scheduling.* The blocking on each partition forms a computational task and the host dynamically assigns tasks to the queue(s) of available devices without interrupting their ongoing execution, minimizing the idle time of devices and improving resource utilization.

(4) *Device execution.* When a device receives the task assigned, it conducts the rule-based blocking on the corresponding data partition, following the execution plan generated in Step (2).

(5) *Result retrieval.* Once a task is completed on a device, the host will pull/collect the result (i.e.,  $\text{Ca}(P)$ ) from the device.

To facilitate processing, HyperBlocker has two additional components: HostProducer and HostReceiver, where the former manages Steps (1), (2), and (3) and the latter handles Step (5). Steps (3) (4) (5) in HyperBlocker work asynchronously in a pipeline manner.

### 4 EPG: EXECUTION PLAN GENERATOR

Given the set  $\Delta$  of MDs and a partition  $P$  of  $D$ , a naive approach to compute  $\text{Ca}(P)$  is to evaluate each MD in  $\Delta$  for all pairs in  $P$ . That is, to decide whether  $(t_1, t_2)$  is in  $\text{Ca}(P)$ , we perform  $O(\sum_{\varphi \in \Delta} |\varphi|)$  predicate evaluation, where  $|\varphi|$  is the number of predicates in  $\varphi$ . Worse still, there are  $O(|\Delta|!|\varphi|!)$  possible ways to evaluate all MDs in  $\Delta$ , since both MDs in  $\Delta$  and predicates in each  $\varphi$  can be evaluated in arbitrary orders. However, not all orders are equally efficient.

**Example 4:** In Example 3,  $\varphi_1$  is a witness at  $(t_1, t_4)$  while  $\varphi_3$  is not. If we first evaluate  $\varphi_1$  for  $(t_1, t_4)$ ,  $(t_1, t_4)$  is identified as a potential match and there is no need to evaluate  $\varphi_3$ . Moreover, when evaluating  $\varphi_1$  for another pair  $(t_2, t_3)$ , we can conclude that  $\varphi_1$  is not a witness at  $(t_2, t_3)$ , as soon as we find  $h(t_2, t_3) \neq t.\text{price} = s.\text{price}$ .  $\square$

**Challenges.** Given the huge number of possible evaluation orders, it is non-trivial to define a good one, for three reasons:

(1) *Rule priority.* Recall that rule-based blocking is in DNF, i.e., as long as there exists a witness at  $(t_1, t_2)$ ,  $(t_1, t_2)$  will be considered as a potential match. This motivates us to prioritize the rules in  $\Delta$  so that promising ones can be evaluated early; once a witness is found, the evaluation of the remaining rules can be skipped.

(2) *Reusing computation.* MDs may have common predicates. To avoid evaluating a predicate repeatedly, we reuse previous results whenever possible, e.g., given  $(t_1, t_2)$ ,  $\varphi_1 : p \wedge X_1 \rightarrow l$  and  $\varphi_2 : p \wedge X_2 \rightarrow l$ , if  $\varphi_1$  is not a witness at  $(t_1, t_2)$  since  $h(t_1, t_2) \not\models p$ , neither is  $\varphi_2$ .

(3) *Predicate ordering.* Given  $(t_1, t_2)$  and  $\varphi : X \rightarrow l$ ,  $\varphi$  is not a witness at  $(t_1, t_2)$  if we find the first  $p$  in  $X$  such that  $h(t_1, t_2) \not\models p$ . However, to decide which predicate is evaluated first, we have to consider both its evaluation cost and its effectiveness/selectivity.

As remarked in [20, 34], blocking with a set of MDs can be implemented in a single DNF SQL query (i.e., an OR of ANDs), where similarity predicates in MDs are re-written as user-defined functions (UDFs). In light of this, one may want to adopt the optimizers of existing DBMS to tackle rule-based blocking, which, however, may not work well, for several reasons. (a) The mixture of relational operators and UDFs poses serious challenges to an optimizer [72]. It may lack “the information needed to decide whether they can be reordered with relational operators and other UDFs” [42] and worse still, it is hard to accurately estimate the runtime performance of UDFs [72]. (b) Using OR operators in WHERE clauses can be inefficient, since it can force the database to perform a full table scan to find matching tuples [7]. (c) Similar to [57], if a tuple pair fails to satisfy prior predicates in a blocking rule, the remaining evaluation of this rule can be bypassed directly. While this is undeniably obvious, “many approaches have not leveraged it effectively” [57].

To justify, we tested PostgreSQL [13], a popular DBMS, on different queries, by (a) specifying similarity predicates in different orders and (b) evaluating SQLs with or without OR operators (see details in [8]). An in-depth analysis using PostgreSQL’s EXPLAIN feature reveals the following: (a) Although PostgreSQL may prioritize equality, it does not optimize the order of similarity predicates well, e.g., it evaluates similarity predicates simply as specified in the SQLs, leading to 20% slowdown on average. (b) When handling OR operators, PostgreSQL may perform sequential scans with nested loops, without utilizing the hash index. These findings highlight the needs of designated optimization for rule-based blocking, where we have to handle similarity comparisons and DNF evaluation efficiently.

**Novelty.** In light of these, EPG in HyperBlocker gives a lightweight solution, by generating an execution plan to make the overall evaluation cost of  $\Delta$  as small as possible. Its novelty includes (a) a new notion of execution tree *that works no matter what types of comparisons are used*, (b) a rule-aware scoring strategy, to decide which MDs in  $\Delta$  are evaluated first, and (c) a data-aware predicate ordering scheme, to strike for a balance between cost and effectiveness.

Below we first give the formal definition of execution plans and then show how EPG generates a good execution plan.

## 4.1 Execution plan

An execution plan specifies how rules and predicates in  $\Delta$  are evaluated. Although an execution plan can be represented in different ways, we represent it as an *execution tree*, denoted by  $\mathcal{T}$  in this paper for its conciseness and simplicity (an example is given in Figure 4, to be explained in more detail later). (1) A node in  $\mathcal{T}$  is denoted by  $N$ , where the root is denoted by  $N_0$ . (2) A *path*  $\rho$  from the root is a list  $\rho = (N_0, N_1, \dots, N_L)$  such that  $(N_{i-1}, N_i)$  is an edge of  $\mathcal{T}$  for  $i \in [1, L]$ ; the length of  $\rho$  is  $L$ , i.e., the number of edges on  $\rho$ . (3) We refer to  $N_2$  as a *child node* of  $N_1$  if  $(N_1, N_2)$  is an edge in  $\mathcal{T}$ , and as a

*descendant* of  $N_1$  if there exists a path from  $N_1$  to  $N_2$ ; conversely, we refer to  $N_1$  as a *parent node* (resp. predecessor) of  $N_2$ . (4) Each edge  $e$  represents a predicate  $p$  and is associated with a score, denoted by  $\text{score}(e)$ , indicating the priority of  $e$ . (5) A node is called a *leaf* if it has no children and  $\mathcal{T}$  has  $|\Delta|$  leaves, where each leaf is associated with a rule  $\varphi : X \rightarrow l$  in  $\Delta$ ; the length of the path from the root to the leaf is  $|X|$  (i.e., the number of predicates in  $X$ ) and for each predicate in  $X$ , it appears exactly once in an edge on the path. (6) The leaves of two MDs may have common predecessors, in addition to the root; intuitively, this means that the MDs have common predicates. With a slight abuse of notation, we also denote an execution plan by  $\mathcal{T}$ .

**Evaluating an execution plan.** For each pair  $(t_1, t_2)$ , it is evaluated by exploring  $\mathcal{T}$  via depth-first search (DFS), starting at the root. At each internal node  $N$  of  $\mathcal{T}$ , we pick a child  $N_c$  such that the edge  $(N, N_c)$ , whose associated predicate is  $p$ , has the highest score among all children of  $N$ . Then we check whether  $h(t_1, t_2) \models p$ . If it is the case, we move to  $N_c$  and process  $N_c$  similarly. Otherwise, we check whether  $N$  still has other unexplored children and we process them similarly, according to the decreasing order of scores. If all children of  $N$  are explored, we return to the parent  $N_p$  of  $N$  and repeat the process. The evaluation completes if we reach the first leaf of  $\mathcal{T}$ . Suppose the rule associated with this leaf is  $\varphi : X \rightarrow l$ . This means  $h(t_1, t_2)$  satisfies all predicates in  $X$ , along the path from the root to that leaf, and thus  $h(t_1, t_2) \models X$ , i.e., *we find a witness at  $(t_1, t_2)$ , and the remaining tree traversal can be skipped.*

**Example 5:** Consider an execution tree  $\mathcal{T}$  in Figure 4(b), which depicts MDs in Example 2. For simplicity, we denote a predicate  $t.A = s.A$  (resp.  $t.A \approx s.A$ ) by  $p_A^-$  (resp.  $p_A^{\approx}$ ) and the score associated with each edge is labeled. DFS starts at the root, which has two children. It first explores the edge labeled  $p_{\text{sname}}^-$  since its score is higher. When DFS completes, MDs  $\varphi_2$ ,  $\varphi_1$  and  $\varphi_3$  are checked in order.  $\square$

## 4.2 Execution plan generation

Taking the set  $\Delta$  of MDs as input, EPG in HyperBlocker returns an execution plan  $\mathcal{T}$  in the following two major steps:

- (1) We order all predicates appeared in  $\Delta$ , by estimating their evaluation costs via a shallow model and quantifying their probabilities of being satisfied, by investigating the underlying data distribution.
- (2) Based on the predicate ordering, we build an execution tree  $\mathcal{T}$  by iterating MDs in  $\Delta$ . Moreover, we compute a score for each edge in  $\mathcal{T}$ , by considering the probability of finding a witness, i.e., reaching a leaf, if we explore  $\mathcal{T}$  following this edge.

Note that plan generation in EPG can be regarded as a (quick) pre-processing step for blocking, i.e., once an execution plan is generated, it is applied in all partitions of  $D$ . Below we present these two steps. For simplicity, we assume w.l.o.g. that  $D$  is itself a partition.

**Predicate ordering.** Denote by  $\mathcal{P}$  the set of all predicates appeared in  $\Delta$ . Intuitively, not all predicates in  $\mathcal{P}$  are equally potent for evaluation, e.g., although texts (e.g., description) are often more informative than categorical attributes (e.g., color), the former comparison is more expensive. A simple idea is to order predicates by only considering attribute types and operators (e.g., *prioritize equality like traditional optimizers*). However, the time/effect of evaluating a predicate for distinct tuples can be different. Without taking the underlying data into account, it can lead to poor ordering. Motivated by this,

we order the predicates in  $\mathcal{P}$  by their “cost-effectiveness” on  $D$ .

For simplicity, below we consider a predicate  $p$  that compares  $A$ -values of two tuples, *i.e.*,  $t.A = s.A$  or  $t.A \approx s.A$  (simply  $p_A^=$  or  $p_A^{\approx}$ ). All discussion extends to other predicate types, *e.g.*,  $t.A = s.B$ .

**Evaluation cost.** We measure the evaluation cost of a predicate  $p$  by the time for evaluating  $p$ ; a predicate that can be evaluated quickly should be checked first. Given a predicate  $p$  in  $\mathcal{P}$  and a relation  $D$ , the evaluation cost of  $p$  on  $D$ , denoted by  $\text{cost}(p, D)$ , is:

$$\text{cost}(p, D) = \sum_{(t_1, t_2) \in D \times D} T_p(t_1, t_2).$$

where  $T_p(t_1, t_2)$  denotes the actual time for checking  $h(t_1, t_2) \models p$ .

Note that it can be costly to iterate all tuple pairs in  $D$  to compute the exact evaluation cost of  $p$  on  $D$ , *e.g.*, on average, it takes more than 100s to compute  $\text{cost}(p, D)$  on a dataset with 2M tuples (see more in [8]). Motivated by this, below we train a shallow NNs, denoted by  $\mathcal{N}$ , (*i.e.*, a small feed-forward neural network [52]) to estimate the exact  $T_p(t_1, t_2)$ , since it has been proven effective in approximating a continuous function on a closed interval [80].

**Shallow NNs.** The inputs of  $\mathcal{N}$  are two tuples  $t_1$  and  $t_2$ , and a predicate  $p$ , that compares the  $A$ -values of  $t_1$  and  $t_2$ . It first encodes the attribute type and the  $A$ -value of  $t_1$  into an embedding  $\vec{t}_1$ ; similarly for  $t_2$ . The embeddings are then fed to a feed-forward neural network, which outputs the estimated time for evaluating  $p$  on  $(t_1, t_2)$ . We train  $\mathcal{N}$  offline with training data sampled from historical logs, so that the training data follows the same distribution as  $D$  (see [8]).

**Estimated cost.** Based on  $\mathcal{N}$ , the estimated cost of  $p$  on  $D$  is

$$\hat{\text{cost}}(p, D) = \text{norm}\left(\sum_{(t_1, t_2) \in D \times D} \mathcal{N}(p, t_1, t_2)\right),$$

where  $\text{norm}(\cdot)$  normalizes the estimated cost in the range  $(0, 1]$ .

**Remark.** As will be verified in Section 6, although  $\hat{\text{cost}}(p, D)$  is computed by iterating tuple pairs in  $D$  (*i.e.*, quadratic cost), it is much faster than computing  $\text{cost}(p, D)$ . Better still, the predicate orderings derived from  $\hat{\text{cost}}(p, D)$  is close to that derived from  $\text{cost}(p, D)$ .

**Effectiveness.** We measure the effectiveness of predicate  $p$  by its selectivity, *i.e.*, the probability of being satisfied. Given the attribute  $A$  compared in  $p$ , we quantify how likely  $t_1$  and  $t_2$  have distinct/dissimilar values on  $A$ . If  $t_1$  and  $t_2$  do so with a high probability,  $p$  is less likely to be satisfied; such predicate should be evaluated first since it concludes that an MD involving  $p$  is not a witness early.

To achieve this, we investigate the data distribution in  $D$ . Specifically, we use LSH [19] to hash the  $A$ -values of all tuples into  $k$  buckets, so that similar/same values are hashed into the same bucket with a high probability, where  $k$  is a predefined parameter.

Denote the number of tuples hashed to the  $i$ -th bucket by  $b_i$ . Intuitively, the evenness of hashing results reflects the probability of  $p$  being satisfied. If all tuples are hashed into the same bucket, it means that the  $A$ -values of all tuples are similar and thus  $p$  (which compares the  $A$ -values) is likely to be satisfied by many pairs  $(t_1, t_2)$ ; such predicates should be evaluated with low-priority. Motivated by this, the probability of  $p$  being satisfied on  $D$ , denoted by  $\text{sp}(p, D)$ , is estimated by measuring the evenness of hashing, *i.e.*,

$$\text{sp}(p, D) = \text{norm}\left(\sqrt{\frac{1}{k} \sum_{i=1}^k (b_i - \frac{|D|}{k})^2}\right).$$

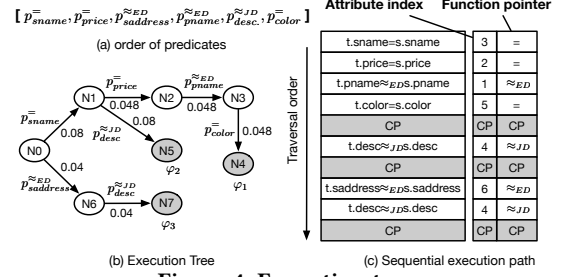


Figure 4: Execution tree

**Ordering scheme.** Putting these together, we can order all the predicates  $p$  in  $\mathcal{P}$  by the cost-effectiveness, defined to be  $\frac{1-\text{sp}(p, D)}{\text{cost}(p, D)}$ . Intuitively, hard-to-satisfied predicates will be evaluated first, since they are more likely to fail a rule, while costly predicates will be penalized, to strike a balance between the cost and the effectiveness.

**Example 6:** Consider two predicates  $p_{\text{color}}^=$  and  $p_{\text{pname}}^{\approx \text{ED}}$  in  $\phi_1$ . On the one hand, since  $p_{\text{color}}^=$  is an equality comparison while  $p_{\text{pname}}^{\approx \text{ED}}$  computes the edit distance,  $p_{\text{pname}}^{\approx \text{ED}}$  is more costly to evaluate, *e.g.*,  $\hat{\text{cost}}(p_{\text{color}}^=, D) = 0.1 < \hat{\text{cost}}(p_{\text{pname}}^{\approx \text{ED}}, D) = 0.6$ . On the other hand, since all tuples in  $D$  have the same color (and satisfy  $p_{\text{color}}^=$ ), we have  $\text{sp}(p_{\text{color}}^=, D) = 1$ ; similarly, let  $\text{sp}(p_{\text{pname}}^{\approx \text{ED}}, D) = 0.4$ . Then the cost-effectiveness of  $p_{\text{color}}^=$  and  $p_{\text{pname}}^{\approx \text{ED}}$  are  $\frac{1-1}{0.1} = 0$  and  $\frac{1-0.4}{0.6} = 0.8$ , respectively, and  $p_{\text{pname}}^{\approx \text{ED}}$  is ordered before  $p_{\text{color}}^=$  (see Figure 4(a)).  $\square$

**Constructing an execution tree.** We initialize the execution tree  $\mathcal{T}$  with a single root node  $N_0$ . Then based on the predicate ordering, we progressively construct  $\mathcal{T}$  by processing the MDs in  $\Delta$  one by one. For each MD  $\phi : X \rightarrow l \in \Delta$ , we assume the predicates in  $X$  are sorted in the descending order of their cost-effectiveness, *i.e.*, if  $X$  is  $p_1 \wedge p_2 \wedge \dots \wedge p_{|X|}$ , then  $\frac{1-\text{sp}(p_i, D)}{\text{cost}(p_i, D)} > \frac{1-\text{sp}(p_j, D)}{\text{cost}(p_j, D)}$  for  $1 \leq i < j \leq |X|$ . We traverse  $\mathcal{T}$ , starting from the root, and process the predicates in  $X$ , starting from  $p_1$ . Suppose that the traversal is at a node  $N$  and the predicate we are processing is  $p_i$ . We check the children of  $N$ . If there exists a child node  $N_c$  of  $N$  such that the edge  $(N, N_c)$  represents  $p_i$ , we move to this child and process the next predicate  $p_{i+1}$  in  $X$ . Otherwise, we create a new child node  $N_c$  for  $N$  such that the edge  $(N, N_c)$  represents  $p_i$ , move to this new child and process the next predicate  $p_{i+1}$  in  $X$ . The traversal process continues until all predicates in  $X$  are processed and we set the current node we reach as a leaf node, whose associated rule is  $\phi$ .

**Example 7:** The predicate ordering is shown in Figure 4(a). Assume that we have processed  $\phi_1$  and created path  $(N_0, N_1, N_2, N_3, N_4)$  in  $\mathcal{T}$  in Figure 4(b). Then we show how  $\phi_2 : p_{\text{sname}}^= \wedge p_{\text{description}}^{\approx \text{JD}} \rightarrow l$  is processed. We start from the root and process  $p_{\text{sname}}^=$ . Since there is a child  $N_1$  of root labeled  $p_{\text{sname}}^=$ , we move to  $N_1$  and process  $p_{\text{description}}^{\approx \text{JD}}$ . Since there is no child of  $N_1$  labeled  $p_{\text{description}}^{\approx \text{JD}}$ , we create a new  $N_5$  and label  $(N_1, N_5)$  as  $p_{\text{description}}^{\approx \text{JD}}$ . Since all predicates in  $\phi_2$  are processed,  $N_5$  is a leaf node, whose associated rule is  $\phi_2$ .  $\square$

Intuitively, given  $(t_1, t_2)$  and  $\phi \in \Delta$ , if  $\phi$  is more likely to be a witness at  $(t_1, t_2)$ , it should be evaluated earlier. Motivated by this, we compute the probability for  $\phi : X \rightarrow l$  to be a witness on  $D$  as:

$$\text{wp}(\phi, D) = \prod_{p \in X} \text{sp}(p, D),$$

if we assume the satisfaction of predicates as independent events; intuitively, if all predicates in  $X$  are satisfied,  $\varphi$  is a witness. If this does not hold, we can reuse historical logs and estimate  $\text{wp}(\varphi, D)$ , to be the proportion of historical pairs such that  $\varphi$  is a witness. Since the evaluation of MDs in  $\Delta$  is guided by edge scores during DFS on  $\mathcal{T}$ , below we define the score of a given edge  $e$  based on  $\text{wp}(\varphi, D)$ .

**Edge score.** For each MD  $\varphi$ , we denote by  $\rho_\varphi$  the path of  $\mathcal{T}$  from root to the leaf whose associated MD is  $\varphi$ . We compute the set of MDs  $\varphi$  in  $\Delta$  such that the given edge  $e$  is part of  $\rho_\varphi$  and denote it by  $\Psi_e$ , i.e.,  $\Psi_e = \{\varphi \in \Delta \mid e \text{ is part of } \rho_\varphi\}$ . The score of edge  $e$  is  $\text{score}(e) = \max_{\varphi \in \Psi_e} \text{wp}(\varphi, D)$ . This said, edges leading to promising MDs will have high scores and thus, will be explored early via DFS on  $\mathcal{T}$ .

**Example 8:** Let  $\text{sp}(p_{\text{sname}}, D) = 0.4$  and  $\text{sp}(p_{\text{description}}^{\approx \text{JD}}, D) = 0.2$ . Then  $\text{wp}(\varphi_2, D) = 0.4 \times 0.2 = 0.08$ . Assume that we also compute  $\text{wp}(\varphi_1, D) = 0.048$ . Then the score of edge  $e = (N_0, N_1)$  is  $\max\{\text{wp}(\varphi_1, D), \text{wp}(\varphi_2, D)\} = 0.08$ , since  $e$  is part of both  $\rho_{\varphi_1}$  and  $\rho_{\varphi_2}$ .  $\square$

**Complexity.** It takes EPG  $O(c_{\text{unit}}|\mathcal{P}| + |\mathcal{P}| \log(|\mathcal{P}|) + |\varphi||\Delta|)$  time to generate the execution plan, where  $c_{\text{unit}}$  is the unit time for computing the cost-effectiveness of a predicate. This is because the predicate ordering can be obtained in  $O(c_{\text{unit}}|\mathcal{P}| + |\mathcal{P}| \log(|\mathcal{P}|))$  time and the tree can be constructed in  $(|\varphi||\Delta|)$  time, by scanning  $\Delta$  once.

**Remark.** As a by-product of ensuring the predicate ordering and DFS tree traversal, we can reuse the evaluation results of common “prefix” predicates (i.e., common predecessors in  $\mathcal{T}$ ). Moreover, if a tuple pair fails to satisfy the predicate associated with edge  $(N, N_c)$  in  $\mathcal{T}$ , the evaluation of all descendants of  $N_c$  is bypassed directly.

**Example 9:** We evaluate  $\mathcal{T}$  in Figure 4(b) for  $(t_1, t_5)$  in  $D$ . After evaluating  $p_{\text{sname}}^{\approx}$ , we find that  $h(t_1, t_5) \not\models p_{\text{description}}^{\approx \text{JD}}$  and thus we cannot move to  $N_5$ . Then DFS will return back to  $N_1$  and continue to check unexplored children of  $N_1$  (i.e.,  $N_2$ ). In this way, the common “prefix” predicate  $p_{\text{sname}}^{\approx}$  of  $\varphi_1$  and  $\varphi_2$  is only evaluated once.  $\square$

## 5 OPTIMIZATIONS AND SCHEDULING

As remarked earlier, GPUs adopt SIMT execution, where a thread is idle if other threads take longer (i.e., thread divergence). Below are sources of divergence (some are specific to rule-based blocking).

- *Conditional statements.* GPUs may execute different paths in conditional statements (Section 2), e.g., one pair may be quickly identified as a potential match if the first MD checked is its witness, while another is found as a mismatch until all MDs are iterated.
- *Data-dependent execution.* The execution depends on the data being processed, e.g., even for the same predicate, the evaluation time on different tuples is different (e.g., long vs. short text).
- *Imbalanced workloads.* If the workload assigned to each thread is not evenly distributed, some may complete faster than others.

While thread divergence is a general issue in GPU-programming, rule-based blocking offers some unique opportunities to mitigate it, e.g., the evaluations of distinct pairs are often independent tasks, making it possible to (a) assign approximately equal tasks to threads, to enable *workload balancing*, and (b) “steal” tasks from other threads, to cope with different *execution paths* and *data-dependent execution*. Below we present the hardware-aware optimization and scheduling techniques that exploit GPU characteristics for massive

parallelism, including: (a) efficient device execution of an execution plan (Section 5.1), (b) strategies to mitigate divergence (Section 5.2), (c) collaboration of multiple GPUs (Section 5.3) and more in [8].

### 5.1 Execution plan on GPUs

The execution plan  $\mathcal{T}$ , initially generated on CPUs, will undergo the evaluation on GPUs in a DFS manner. However, DFS tree traversal is typically recursively implemented, which is not efficient on GPUs. It may exacerbate divergence since each call adds a recursive function to the stack and incurs message payloads (see Section 6). Moreover, although we can reuse “prefix” predicates via DFS, some predicates may still be evaluated repeatedly, e.g.,  $p_{\text{description}}^{\approx \text{JD}}$  in  $\varphi_2$  and  $\varphi_3$ . Optimized structures are required to harness the power of GPUs.

**Tree traversal on GPUs.** Note that upon completion of the tree construction, the evaluation order is fixed. Thus the DFS traversal of the tree on CPUs can be translated to a *sequential execution path*, which is an ordered list of predicates, on GPUs (see Figure 4(c) for the sequential execution path of the tree in Example 5).

We maintain two structures for each predicate  $p$  in the execution path: an index buffer and a function pointer buffer, which store the indices of attributes compared in  $p$ , and the function pointer of the comparison operator in  $p$ , respectively, e.g., for predicate  $p_{\text{sname}}^{\approx}$ :  $t.\text{sname} = s.\text{sname}$ , its comparison operator is “=” and its attribute index is 3 since `sname` is the 3rd attribute in schema `Products`. In addition, at the end of each rule, we set a checkpoint (CP). When a GPU thread encounters a CP, it knows that the undergoing tuple pair satisfies a rule and it can skip the subsequent computation.

**Reusing computation.** To avoid repeated evaluation, we additionally maintain a bitmap for all predicates on GPUs. The bit of a predicate  $p$  is set to true if  $p$  has been evaluated. If this is the case, we can directly reuse previous results. This bitmap can also be used for symmetric predicates (i.e.,  $h(t_1, t_2) \models p$  iff  $h(t_2, t_1) \models p$ ).

Note that to be general, we do not make the assumption that a witness  $\varphi$  at  $(t_1, t_2)$  is also a witness at  $(t_2, t_1)$ , due to, e.g., asymmetric similarity comparison (Section 2). However, we can extend HyperBlocker if such assumption holds, by maintaining a bitmap to avoid repeated evaluation for  $(t_2, t_1)$  if  $(t_1, t_2)$  is already evaluated.

### 5.2 Divergence mitigation strategies

To further mitigate divergence, we propose two GPU-oriented strategies, namely *parallel sliding windows (PSW)* and *task-stealing*.

**Parallel sliding windows (PSW).** Given a partition  $P$ , PSW processes it with only a few index jumps; it also helps GPUs evenly distribute workloads across SMs. Specifically, PSW works in 3 steps:

- (1) We divide  $P$  into  $\frac{|P|}{n_t}$  intervals, where each interval consists of  $n_t$  tuples. These intervals are processed with a fixed-size window, which slides the intervals from left to right. Within each window, we assign an interval to a Thread Block (TB) with warps of 32 threads; each thread in the TB is responsible for a tuple  $t_i$  in the interval.
- (2) Assume that a thread is responsible for tuple  $t_i$ . Then this thread compares  $t_i$  with all the other tuples, say  $t_j$ , in  $P$  according to the execution plan  $\mathcal{T}$  and decides whether  $(t_i, t_j)$  is a potential match.
- (3) When all threads of a TB finish, this TB writes the results back to the host memory and it will move on to process the next interval in the next sliding window until the window reaches the end.



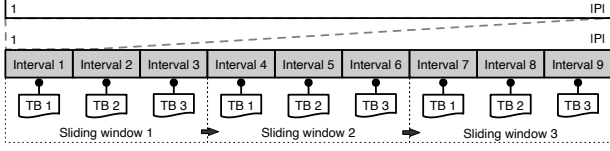


Figure 5: Parallel sliding windows

Note that in total, it requires  $\frac{|P|}{n_t n_w}$  sequential index jumps for each TB, where  $n_w$  is the size of the sliding window.

**Example 10:** As shown in Figure 5, a data partition  $P$  is divided 9 intervals and the size of the sliding window is 3 (i.e.,  $n_w = 3$ ). Interval 1 is assigned to TB1, where each thread in TB1 will compare a tuple in Interval 1 with all other tuples in  $P$ . When all threads of TB1 finish evaluation, TB1 moves on to process Interval 4.

*Remark.* Note that PSW achieves *tuple-level parallelism* (i.e., tuples are evaluated in parallel; each thread is responsible for a tuple). There are other design choices for parallelism, e.g., *rule-level*, *predicate-level* and *token-level* parallelisms, so that the entire warp is assigned to a tuple pair and rules, predicates and tokens in text are evaluated in parallel, respectively (one thread per rule/predicate/token, see [8] for more). However, these designs may exacerbate divergence, due to, e.g., synchronization cost (e.g., one thread has to wait for results from other threads to make a final decision), or incur unnecessary computation, e.g., when a thread finds a witness  $\varphi$  at  $(t_1, t_2)$  (resp. a predicate  $p$  in  $\varphi$  such that  $h(t_1, t_2) \models p$ ), other threads may still check other rules in  $\Delta$  (resp. other predicates in  $\varphi$ ).

**Task-stealing.** Although each TB will process roughly equal intervals, the execution time of different intervals is not the same, due to conditional statements and data-dependent execution remarked earlier. This said, the workloads of all TBs can still be imbalanced.

**Example 11:** Continuing Example 10, three TBs process 9 intervals in Figure 6(a). Even though each TB is assigned 3 intervals, the execution times can still skew, e.g., the total time units required by TB1 and TB3 are 10 and 3, respectively, i.e., TB3 is idle for 7 time units.

Below we introduce both the inter-interval and intra-interval task-stealing strategies to further balance the workloads.

*Inter-interval task-stealing.* It is commonly observed that the execution times of some TBs are longer than the others. In this case, a large number of TBs are idle, waiting for the slowest TB.

In light of this, we employ an inter-interval task-stealing strategy. Specifically, we maintain a bitmap in global memory, where each bit indicates the status of an interval, so that TBs can steal not-yet-processed intervals from each other. Each TB processes intervals in two stages: (a) It first processes its assigned intervals one by one. Whenever a TB starts to process an interval, the bitmap is checked. If the bit of the interval is false (i.e., not yet processed), it processes this interval and sets the bit true. (b) If this TB is idle after finishing all assigned intervals, it traverses the bitmap to steal a not-yet-processed interval, by setting the corresponding bit true and processing that interval. Other TBs will skip an interval if it has been stolen.

**Example 12:** In Example 11, TB3 finishes its assigned intervals after 3 time units. Then it checks the bitmap and steals Interval 4; similarly for TB2. Compared with the time in Figure 6(a), the total time units are reduced from 10 to 7 after stealing in Figure 6(b).

*Intra-interval task-stealing.* Recall that a thread for  $t_i$  will compare

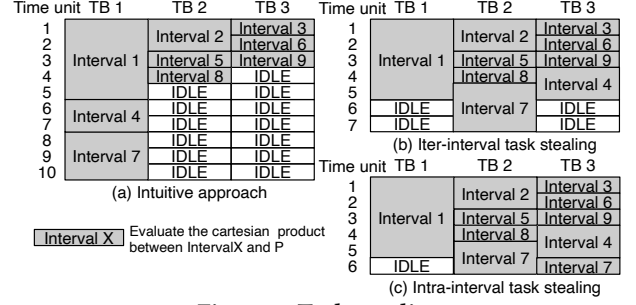


Figure 6: Task-stealing

$t_i$  with other tuples in  $P$ . Since the evaluation of distinct pairs is independent, we can even steal tasks from executing intervals. To facilitate this, we maintain two integers start and end, initialized to 1 and  $|P|$ , respectively, indicating the remaining range of tuples to be compared with  $t_i$ . Then this thread starts to evaluate  $(t_i, t_{\text{start}})$ . Upon completion, it sets  $\text{start} = \text{start} + 1$  and moves on to the next pair  $(t_i, t_{\text{start}})$ . When  $\text{start} = \text{end}$ , this thread finishes all evaluation for  $t_i$ .

Based on this, the intra-interval task-stealing works as follows. If  $\text{TB}_a$  finishes all assigned intervals and there are no not-yet-processed intervals, it finds an executing  $\text{TB}_b$  and iterates all threads in  $\text{TB}_b$ , so that the  $i$ -th thread in  $\text{TB}_a$  steals half workload (i.e., half pairs to be compared) from the  $i$ -th thread in  $\text{TB}_b$ . Assume the integers maintained for the  $i$ -th thread in  $\text{TB}_b$  (resp.  $\text{TB}_a$ ) are  $\text{start}_b$  and  $\text{end}_b$  (resp.  $\text{start}_a$  and  $\text{end}_a$ ). We set  $\text{start}_a = \text{start}_b + \frac{\text{start}_b + \text{end}_b}{2}$ ,  $\text{end}_a = \text{end}_b$ , and  $\text{end}_b = \text{start}_b + \frac{\text{start}_b + \text{end}_b}{2} - 1$ , i.e., the latter half of tuples remained to be compared is stolen from each thread in  $\text{TB}_b$ .

**Example 13:** Continuing Example 12, when TB3 finishes Interval 4 stolen from TB1 in Figure 6(b), it finds no not-yet-processed intervals. However, since TB2 is still evaluating Interval 7, TB3 steals half remaining workload from it, saving 1 more time unit (Figure 6(c)).

### 5.3 GPU collaboration

A GPU server nowadays usually has multiple GPUs connected via NVLink [54] or PCIe. Scaling blocking to multiple GPUs is beneficial for jointly utilizing the computation and storage powers of GPUs.

In pursuit of this, one can split data evenly so that each GPU handles exactly one [68], or assign multiple partitions to each GPU in a round-robin manner [73]. These, however, do not work well since (a) workload can be imbalanced due to skewed execution times of partitions (see statistic in [8]), (b) pending partitions may wait when multiple partitions compete for limited PCIe bandwidth or CUDA cores (see Section 2) and (c) they independently conduct blocking on partitions and do not effectively handle scenarios where  $t_i$  and  $t_j$  reside on different partitions, resulting in elevated false-negative rates. To address this issue, one can duplicate tuples in multiple partitions [24, 26], but it incurs both memory and data transfer costs.

In light of these, we present a collaborative approach integrating partitioning and scheduling strategies, where the former aims at minimizing data redundancy while reducing false negatives and the latter prioritizes load balancing and minimizes resource contention.

**Data partitioning.** A typical method for data partitioning computes a hash key for each tuple based on some attributes and tuples with same hash key are grouped together. Instead of sacrificing the accuracy (e.g., using only one hash function) or unnecessarily duplicating tuples, HyperBlocker applies  $s$  hash functions to obtain  $s$  partition-keys, where  $s$  is the number of children  $N_c$  of the root



Table 2: Datasets

Dataset	Domain	#Tuples	Max #Pairs	#GT Pairs	#Attrs	#Rules	#Partitions
Fodors-Zagat	restaurant	866	$1.8 \times 10^4$	112	6	1	1
DBLP-ACM	citation	4591	$6.0 \times 10^6$	2294	4	10	8
DBLP-Scholar	citation	66881	$1.7 \times 10^8$	5348	4	10	8
IMDB	movie	1.5M	$8.1 \times 10^{10}$	0.2M+	6	10	128
Songs	music	0.5M	$2.7 \times 10^{11}$	1.2M	8	10	128
NCV	vote	2M	$1.0 \times 10^{12}$	0.5M+	5	10	512
TFACC	traffic	10M	$1.0 \times 10^{14}$	#	16	50	1024
TFACC <sub>large</sub>	traffic	36M	$1.3 \times 10^{15}$	#	16	50	1024

node  $N_0$  in the execution tree  $\mathcal{T}$ ; each hash function is constructed from the predicate  $p$  associated with an edge  $(N_0, N_c)$ . In this way, the predicates that we adopt for data partitioning are those prioritized by  $\mathcal{T}$ , e.g., given  $p_{\text{sname}}^=$  associated with  $(N_0, N_1)$  in Figure 4(b), we hash tuples in  $D$  based on their values in  $\text{sname}$ . The benefits are two-fold: (1) According to the construction of  $\mathcal{T}$ , these hash functions are selective and might be shared by rules, i.e., we can achieve good hashing with a few hashing functions. (2) We can assign each tuple a branch ID, indicating the hash function used. Only tuples that share the same hash function are compared, thereby reducing redundant computations incurred by multiple hash functions.

**Scheduling.** HyperBlocker adopts a two-step scheduling strategy. Initially, data partitions and GPUs are hashed to random locations on a unit circle [62]. If a partition  $P_i$  is assigned to an *ineligible* GPU (where there is no idle core or available PCIe bandwidth), it is rerouted to the nearest available GPU in a clockwise direction.

*Remark.* If data partitioning is done by a hashing function from a similarity predicate  $p$ , it is possible that  $h(t_1, t_2) \models p$  but  $t_1$  and  $t_2$  reside on different partitions, leading to potential false negatives in blocking. In this case, a CUDA kernel [9] with local data  $P_i$  can optionally “pull” partition  $P_j$  from another kernel and evaluate  $\mathcal{T}$  across  $P_i$  and  $P_j$ . The pull operation retrieves data from locations outside  $P_i$ , depending on whether  $P_i$  and  $P_j$  reside on the same GPU. If  $P_i$  and  $P_j$  reside on the same GPU, the pull operation is executed directly without any data transfer. Otherwise, the pull operation for  $P_j$  can be carried out using `cudaMemcpyPeer()` to take the advantages of high bandwidth and low latency provided by NVLink.

## 6 EXPERIMENTAL STUDY

We evaluated HyperBlocker for its accuracy-efficiency and scalability. We also conducted sensitivity tests and ablation studies.

**Experimental setup.** We start with the experimental setting.

**Datasets.** We used eight real-world public datasets in Table 2, which are widely adopted ER benchmarks and real-life datasets [3, 4, 11]. Most datasets (except TFACC and TFACC<sub>large</sub>) have labeled matches or mismatches as the ground truths (GT). For datasets without ground truths, we assume the original datasets were correct, and randomly duplicated tuples as noises [34]. The training data consists of 50% of ground truths and 50% of randomly selected noise.

**Baselines.** As remarked in Section 2, although HyperBlocker is designed as a blocker, it can be used with or without a matcher. Thus, below we not only compared HyperBlocker against widely used blockers but also integrated ER solutions (i.e., blocker + matcher).

We compared three distributed ER systems: (1) Dedoop [1, 49], (2) SparkER [15, 37], (3) DisDedup [10, 24], where DisDedup is the SOTA CPU-based parallel ER system, designed to minimize communication and computation costs; Dedoop focuses on optimizing com-

Table 3: Comparison with the SOTA DL-based blocker

Method	Metric	Dataset		
		Fodors-Zagat	DBLP-Scholar	DBLP-ACM
DeepBlocker	Rec (%)	100 (+0)	98 (+5)	98 (+4)
	CSSR (‰)	15.1 (+14.5)	2.3 (+1.1)	2.2 (+1.8)
	Time (s)	6.1 (122×)	72.8 (11.0×)	8.0 (10.0×)
	Host Mem. cost (GB)	9.9 (49.5×)	14.0 (23.3×)	10.3 (34.3×)
	Device Mem. cost (GB)	0.9 (1.8×)	1.1 (1.6×)	0.9 (1.5×)
HyperBlocker	Rec (%)	100	93	94
	CSSR (‰)	0.6	1.2	0.4
	Time (s)	0.05	6.6	0.8
	Host Mem. cost (GB)	0.2	0.6	0.3
	Device Mem. cost (GB)	0.5	0.7	0.6

putation cost; SparkER integrates Blast blocking [76] on Spark [14].

We also compared four GPU-based baselines: (4) DeepBlocker [82], (5) GPUdet [35], (6) Ditto [2, 56], (7) DeepBlocker<sub>Ditto</sub>, where DeepBlocker is the SOTA DL-based blocker, GPUdet implements well-known similarity algorithms for tuple pair comparison, Ditto is the SOTA matcher, and DeepBlocker<sub>Ditto</sub> uses DeepBlocker as the blocker and Ditto as the matcher, respectively. Note that Ditto takes tuple pairs as input, instead of relations/partitions as other methods. Due to the high cost of Ditto, it is infeasible to feed the Cartesian product of data to Ditto. Thus, for each tuple in ground truths, we adopted a similarity-join method Faiss [47] to get the top-2 nearest neighbors, as a preprocessing step of Ditto. Denote the resulting baseline by Ditto<sub>top2</sub>. Since Faiss often serves as a key component of many ER solutions [28, 82], we also compared Faiss in [8].

Besides, we also implemented several variants: (1) HyperBlocker, the basic blocker with all optimizations. (2) HyperBlocker<sub>Ditto</sub>, an improved version that uses HyperBlocker as the blocker and Ditto as the matcher, respectively. Note that HyperBlocker<sub>Ditto</sub> is particularly compared against Ditto<sub>top2</sub> to show how we speed up the overall ER. (3) HyperBlocker<sub>noEPG</sub>, a variant without EPG (Section 4). (4) HyperBlocker<sub>noHO</sub> that disables all hardware optimizations (Section 5). We also compared more designated variants in Exp 3-5.

**Rules.** We mined MDs using [78] and the number of MDs is shown in Table 2. We checked the MDs manually to ensure correctness.

**Measurements.** Following typical ER settings, we measured the performance of each method (blocker, matcher, or the combination of the two) in terms of the runtime and the F1-score, defined as  $F1\text{-score} = \frac{2 \times \text{Prec} \times \text{Rec}}{\text{Prec} + \text{Rec}}$ . Here Prec is the ratio of correctly identified tuple pairs to all identified pairs and Rec is the ratio of correctly identified tuple pairs to all pairs that refer to the same real-world entity. All methods aim to achieve high Rec, Prec and F1-scores. Following [82], we also report the candidate set size ratio (CSSR), defined as  $\frac{|Ca(P)|}{|P| \times |P|}$ , when comparing HyperBlocker with DeepBlocker, to show the portion of tuple pairs that require further comparison by the matcher, i.e., the smaller the CSSR, the better the blocker.

**Environment.** We run experiments on a Ubuntu 20.04.1 LTS machine powered with 2 Intel Xeon Gold 6148 CPU @ 2.40GHz, 4TB Intel P4600 PCIe NVMe SSD, 128GB memory, and 8 Nvidia Tesla V100 GPUs with the widely adopted hybrid cube-mesh topology (see more in [67]). The programs were compiled with CUDA-11.0 and GCC 7.3.0 with -O3 compiler. DisDedup, SparkER, and Dedoop were run on a cluster of 30 HPC servers, powered with 2.40GHz Intel Xeon Gold CPU, 4TB Intel P4600 SSD, 128GB memory.

**Default parameters.** Unless stated explicitly, we used the following default parameters, which are best-tuned on each dataset via *gird search* [46]. Intuitively, *gird search* is a practical method for system-

**Table 4: Accuracy & runtime on benchmarks where “\*” denotes that integrating HyperBlocker with Ditto does not improve the F1-score and thus we report the result of HyperBlocker, and “/” denotes that the F1-score cannot be computed within 3 hours.**

Method	Backend	Category	DBLP-ACM		IMDB		Songs		NCV	
			F1-score	Time (s)	F1-score	Time (s)	F1-score	Time (s)	F1-score	Time (s)
SparkER	CPU	Blocker	0.77 (-0.17)	11.0 (13.8×)	0.31 (-0.65)	242.9 (6.8×)	0.08 (-0.72)	203.4 (15.2×)	0.26 (-0.66)	229.3 (49.8×)
GPUDet	GPU	Blocker	0.92 (-0.02)	20.1 (25.1×)	0.94 (-0.02)	323.8 (9.1×)	0.80 (+0)	404.8 (30.2×)	0.90 (-0.02)	1252.6 (272.3×)
DeepBlocker	GPU	Blocker	0.98 (+0.04)	8.3 (10.4×)	/	>3h	/	>3h	/	>3h
HyperBlocker <sub>noEPG</sub>	GPU	Blocker	0.94 (+0)	9.9 (12.4×)	/	>3h	0.80 (+0)	1904.1 (142×)	0.92 (+0)	2408.6 (523.6×)
HyperBlocker <sub>noHO</sub>	GPU	Blocker	0.94 (+0)	9.5 (11.9×)	0.96 (+0)	472.6 (13.2×)	0.80 (+0)	45.0 (3.4×)	0.92 (+0)	35.9 (7.8×)
<b>HyperBlocker</b>	<b>GPU</b>	<b>Blocker</b>	<b>0.94</b>	<b>0.8</b>	<b>0.96</b>	<b>35.7</b>	<b>0.80</b>	<b>13.4</b>	<b>0.92</b>	<b>4.6</b>
Dedoop	CPU	Blocker+Matcher	0.90 (-0.08)	59.4 (9.4×)	0.67 (-0.29)	534.0 (15.0×)	0.80 (-0.08)	7643.4 (6.5×)	/	>3h
DisDedup	CPU	Blocker+Matcher	0.45 (-0.53)	94.0 (14.9×)	0.67 (-0.29)	644.0 (18.0×)	0.06 (-0.82)	917.0 (0.8×)	/	>3h
Ditto <sub>top2</sub>	GPU	Blocker+Matcher	0.98 (+0)	9.0 (1.4×)	0.79 (-0.17)	6741.2 (188.8×)	0.88 (+0)	2308.6 (2.0×)	0.97 (+0.03)	381.8 (2.1×)
DeepBlocker <sub>Ditto</sub>	GPU	Blocker+Matcher	0.99 (+0.01)	12.4 (2.0×)	/	>3h	/	>3h	/	>3h
<b>HyperBlocker<sub>Ditto</sub></b>	<b>GPU</b>	<b>Blocker+Matcher</b>	<b>0.98</b>	<b>6.3</b>	<b>*0.96</b>	<b>*35.7</b>	<b>0.88</b>	<b>1179.0</b>	<b>0.94</b>	<b>180.6</b>

atically exploring the parameter space to get the set of parameters that results in the best performance. The maximum number of predicates in an MD is 10. The number  $m$  of data partitions is given in Table 2. The sizes of intervals and sliding windows, namely  $n_t$  and  $n_w$ , are 256 and 1024, respectively. For the offline model  $\mathcal{N}$ , we adopted a regression model with 3 hidden layers, with 2, 6, and 1 neurons, respectively. We used ReLU [65] as the activation function and Adam [48] as the optimizer. We used one GPU by default.

**Experimental results.** For lack of space, we report our findings on some datasets as follows; consistent on others datasets (more in [8]).

**Exp-1: Motivation study.** We motivate our study by comparing HyperBlocker, our rule-based blocker, with the SOTA DL-based blocker DeepBlocker (Table 3), where the bracket next to a metric of DeepBlocker gives its difference or deterioration factor to ours.

*DL-based blocking vs. rule-based blocking.* We report recall, CSSR, runtime, and (host and device) memory for both methods. Consistent with [82], for DeepBlocker, each tuple was paired with top- $K$  similar tuples as initial candidate pairs, where  $K = 5$  on all datasets (except DBLP-Scholar where  $K = 150$ ). As remarked in Section 1, both methods have strengths. (1) HyperBlocker effectively reduces the number of pairs to further compare while maintaining high Rec (>93%), e.g., its average CSSR is 5.8‰ less than DeepBlocker. (2) HyperBlocker is at least 10× faster. (3) HyperBlocker consumes less memory than DeepBlocker, e.g., the host memory it consumes is at least 23.3× less than DeepBlocker. (4) Note that the Rec of HyperBlocker is slightly lower than DeepBlocker, which is acceptable given its convincing speedup and memory saving, since the primary goal of a blocker is to improve the efficiency and scalability of ER, not to improve the accuracy of ER (the goal of a matcher).

**Exp-2: Accuracy-efficiency.** We report the F1-scores and runtime of all blockers and integrated ER solutions (i.e., blocker + matcher) in Table 4. Here DeepBlocker pairs each tuple with its top-2 tuples as initial candidate pairs. For all blockers, the bracket next to each F1-score (resp. time) gives the difference (resp. slowdown) in F1-score (resp. time) to HyperBlocker (marked yellow). For a fair comparison, the brackets of each integrated ER solution give the difference compared with HyperBlocker<sub>Ditto</sub> (marked yellow).

*Accuracy.* We mainly analyze the F1-scores of HyperBlocker, which are consistently above 0.8 over all datasets. Besides, we find:

(1) HyperBlocker outperforms CPU-based distributed solutions, e.g.,

it achieves up to 0.29, 0.74, and 0.72 improvement in F1-score against Dedoop, DisDedup, and SparkER, respectively, even though the former two are integrated with matchers. This is because these solutions exploit data partition-based parallelism only, which may lead to false negatives if matched tuples are put into different partitions.

(2) Compared with the four GPU-based baselines, HyperBlocker has comparable accuracy. In particular, it even beats Ditto<sub>top2</sub>, the SOTA matcher, by 0.17 F1-score in IMDB. This shows that even without a matcher, HyperBlocker alone is already accurate in certain cases. Moreover, DeepBlocker and DeepBlocker<sub>Ditto</sub> struggle to handle large datasets. When facing million-scale data, they cannot finish in 3 hours. This again motivates the need for rule-based alternatives.

(3) Combining HyperBlocker with Ditto, HyperBlocker<sub>Ditto</sub> further boosts the accuracy, achieving the best F1-score in Songs. Nevertheless, DL-based solutions still have the best F1-scores in other cases, justifying that none of them can dominate the other in all cases.

(4) HyperBlocker<sub>noEPG</sub> and HyperBlocker<sub>noHO</sub> are as accurate as HyperBlocker, since they only differ in the optimizations.

*Runtime.* We next report the runtime. (1) HyperBlocker runs substantially faster than all baselines, e.g., it is at least 6.8×, 9.1×, 10.4×, 15.0×, 18.0×, 11.3× and 15.5× faster than SparkER, GPUDet, DeepBlocker, Dedoop, DisDedup, Ditto, and DeepBlocker<sub>Ditto</sub> respectively. (2) HyperBlocker<sub>Ditto</sub> is slower than HyperBlocker as expected since it performs additional matching. Nonetheless, HyperBlocker<sub>Ditto</sub> is at least 1.4× (resp. 2.0×) faster than Ditto<sub>top2</sub> (resp. DeepBlocker<sub>Ditto</sub>). Given its comparable F1-score, we substantiate our claim (Section 1) that blocking is a crucial part of the overall ER process. (3) HyperBlocker is at least 12.4× and 3.4× faster than HyperBlocker<sub>noEPG</sub> and HyperBlocker<sub>noHO</sub>, respectively, verifying the usefulness of execution plans and hardware optimizations.

*Impact of  $m$ .* Figure 7 (a) reports how the number  $m$  of data partitions affects the recall (the right y-axis) and the runtime (the left y-axis) on NVC. As shown there, both metrics of HyperBlocker decreases with increasing  $m$ . This is because when there are more partitions, both the number of pairwise comparisons and the candidate matches that can be identified in each partition are reduced.

**Exp-3: Scalability.** We tested our scalability under multi-GPUs scenarios. The default number of GPUs is 4 in this set of experiments.

*Varying  $|D|/\#GPUs$ .* We varied the scale factor of  $D$  in TFACC<sub>large</sub> and tested HyperBlocker with different numbers of GPUs in Fig-

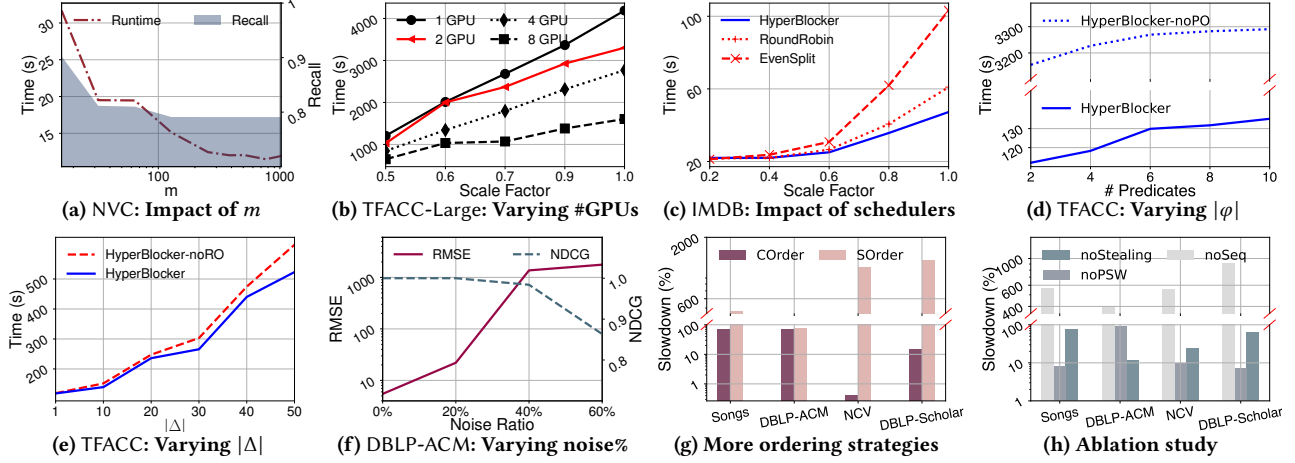


Figure 7: Efficiency, scalability, and effectiveness of HyperBlocker

ure 7(b). HyperBlocker scales well with data sizes, *e.g.*, with 8 GPUs, it takes 1604s to process 36M tuples; this is not feasible for both CPU- and GPU-based baselines. When the number of GPUs changes from 1 to 8, HyperBlocker is 2.6× faster, since HyperBlocker mainly accelerates the operations on GPUs, while other parts of the system (*e.g.*, I/O and data partitioning) may also limit the overall performance.

*Impact of task schedulers.* We tested the impact of task schedulers, by comparing HyperBlocker with two variants, that uses EvenSplit and RoundRobin for scheduling (Section 5.3), respectively, by varying  $|D|$  in Figure 7(c). HyperBlocker works better than the two, *e.g.*, when the scale factor is 100%, HyperBlocker is 1.3× and 2.2× faster than RoundRobin and EvenSplit, respectively, since both variants may limit CUDA’s ability of dynamically scheduling tasks.

**Exp-4: Tests on EPG (Section 4).** We evaluated EPG (and its offline model  $\mathcal{N}$ ) and justified the need of effective evaluation orders.

*Varying  $|\phi|$ .* We tested the number  $|\phi|$  of predicates in each MD  $\phi$  against HyperBlocker<sub>noPO</sub> that evaluates predicates in a random order in TFACC (Figure 7(d)). (1) HyperBlocker takes longer with larger  $|\phi|$ , as expected. (2) HyperBlocker is feasible in practice, *e.g.*, when  $|\phi| = 10$ , it only takes 135.2s. (3) On average, HyperBlocker shows 32.5× speedup to HyperBlocker<sub>noPO</sub>. This justifies the importance of predicate ordering in efficient rule-based blocking.

*Varying  $|\Delta|$ .* We evaluated the impact of the number  $|\Delta|$  of MDs in  $\Delta$  in Figure 7(e), where HyperBlocker takes longer with more rules, *e.g.*, it takes 523.2s when  $|\Delta| = 50$ , and consistently beats HyperBlocker<sub>noRO</sub>, a variant that evaluates rules in a random order.

*Shallow model  $\mathcal{N}$ .* We evaluated the performance of  $\mathcal{N}$  in EPG by (1) its sensitivity to noises, (1) the resulting predicate ordering, compared with the “ground truth” ordering derived from actual costs, and (3) the speedup of estimating the actual costs using  $\mathcal{N}$ .

(1) Given a noise ratio  $\beta\%$ , we injected  $\beta\%$  noises to training data of  $\mathcal{N}$ , to disturb its distribution, and report RMSE (Root Mean Squared Error), a widely used metric for regression, in Figure 7(f) (the left y-axis). The RMSE of  $\mathcal{N}$  does not degrade much when  $\beta\% = 20\%$ . However, when  $\beta\%$  continues to increase,  $\mathcal{N}$  becomes inaccurate. A case study about resulting orderings under different  $\beta\%$  is given in [8].

(2) We compared the predicate ordering estimated via  $\mathcal{N}$  with the ground truth one using NDCG (Normalized Discounted Cumula-

Table 5: Runtime info (↑: higher is better vs. ↓: lower is better)

Method	↓ Wait stalls (in terms of clock cycles)	↑ Branch efficiency	↑ Average number of active threads per warp
noSeq	4.25	89.9%	14.45
noPSW	13.79	96.3%	25.59
noStealing	4.11	96.2%	27.62
HyperBlocker	4.07	96.4%	28.21

tive Gain [83]), a widely used metric for evaluating ranking, in Figure 7(f) (the right y-axis). The result shows that the two orderings are close (*i.e.*, NDCG is high), even when the noise ratio is 40%.

(3) The average time for computing the actual cost of a predicate is 0.8s on DBLP-ACM, as opposed to 0.007s by  $\mathcal{N}$  (see more in [8]).

*More ordering strategies.* To justify the need for both cost and effectiveness, we compared two more strategies using designated MDs: (1) COrder, that prioritizes cheap predicates (*e.g.*, always evaluate equality first, a common strategy in existing DBMS, as remarked in Section 4) and (2) SOrder, that prioritizes selective predicates. For all orders, we applied the same partitioning strategy (Section 5.3). To better visualize the effects on different datasets, we report the slowdown percentages in Figure 7(g). SOrder (resp. COrder) is on average slowed by 733.6% (resp. 38.2%) compared with HyperBlocker. This said, we strike a balance between the two strategies.

**Exp-5: Tests on hardware optimizations (Section 5).** Finally, we conducted an ablation study on hardware optimizations and report the runtime statistics. We compared three baselines: (1) noSeq, that recursively implements DFS without sequential execution paths, (2) noPSW that assigns continuous intervals to each TB without parallel sliding windows, and (3) noStealing, where GPUs automatically schedule a new TB whenever one is done, without task stealing. To better visualize the effect, below we used  $D$  as a single partition.

*Ablation study.* We show the slowdown percentages compared to HyperBlocker in Figure 7(h). We find: (1) noSeq is much slower than HyperBlocker, since recursive DFS is not efficient on GPUs. (2) noStealing and noPSW are on average 43.1% and 28.8% slower than HyperBlocker, respectively, justifying the use of both optimizations.

*Runtime statistic.* We adopted NSight [12], a profiling tool provided by NVIDIA, and report *wait stalls* (*i.e.*, the number of clock cycles that the kernel spent on waiting), *branch efficiency* (*i.e.*, the ratio of correctly predicted branch instructions), and the *average number*



*active threads per warp* in TFACC (Table 5). HyperBlocker performs the best in all metrics. The reasons are twofold: (1) while divergence is sometimes unavoidable, a recursive DFS exacerbates it (*e.g.*, due to stacking), leading to more idle threads; and (2) the workloads can be imbalanced, *e.g.*, without parallel sliding windows, noPSW incurs a larger number of wait stalls compared with HyperBlocker.

**Summary.** We find the following. (1) HyperBlocker outperforms prior blockers and integrated ER solutions. It is at least 6.8 $\times$ , 9.1 $\times$ , 10.4 $\times$ , 15.0 $\times$ , 18.0 $\times$ , 11.3 $\times$  and 15.5 $\times$  faster than SparkER, GPUdet, DeepBlocker, Dedoop, DisDedup, Ditto, and DeepBlocker<sub>Ditto</sub> respectively. (2) By combining HyperBlocker with Ditto, we save at least 30% of time with comparable accuracy. (3) HyperBlocker beats all its variants (except HyperBlocker<sub>Ditto</sub>) in both runtime and accuracy, justifying the usefulness of various optimizations: (a) EPG specifies an effective evaluation order, improving the runtime by at least 12.4 $\times$  and (b) the hardware optimizations on GPUs speedups blocking by at least 3.4 $\times$ . (3) HyperBlocker scales well with various parameters, *e.g.*, it completes blocking in 1604s on 36M tuples.

## 7 RELATED WORK

We categorize the related work in the literature as follows.

**Blocking algorithms.** There has been a host of work on the blocking algorithms, classified as follows: (1) Rule-based [24, 39, 44, 49, 69], *e.g.*, [39] creates data partitions and then refines candidate pairs in every partition, by removing mismatches with similarity measures or length/count filtering [60]. (2) DL-based [28, 45, 82, 85], which cast the generation of candidate matches into a binary classification problem, where each tuple pair is labeled “likely match” or “unlikely match”, *e.g.*, [82] adopts similarity search to generate candidate matches for each tuple based on its top- $K$  probable matches in an embedding space. DL-based blocking and rule-based blocking share the same goal, but are different in their approaches, where the former focuses on learning the distributed representations of tuples, while the latter emphasizes explicit logical reasoning.

Although we study rule-based blocking, we are not to develop another blocking algorithm. Instead, we provide a GPU-accelerated blocking solution. As a testbed, we use MDs as our blocking rules, which subsume many existing rules [51, 69] as special cases.

**Parallel blocking solvers.** Several parallel blocking systems have been proposed, *e.g.*, [18, 24, 25, 30, 34, 37, 49, 50, 71, 81], mostly under MapReduce [24, 37, 49] or MPC [26, 34, 81], which aim at scaling to large data with a cluster of machines. DisDedup [24] uses a triangle distribution strategy to minimize both comparisons and communication over Spark[14]. Minoan [30] runs on top of Spark and applies parallel meta blocking [29] to minimize its overall runtime.

This work differs as follows. Unlike MapReduce-based systems, which split data at the coordinator and execute tasks on workers, HyperBlocker focuses on collaborating GPUs and CPUs, to promote better resource utilization and massive parallelism. HyperBlocker is designed for the shared memory architecture of GPUs and is fine-tuned to exploit GPU hardware for rule-based blocking. To the best of our knowledge, incorporating both GPU and CPU characteristics has not been considered in prior parallel blocking solutions.

**GPU-accelerated techniques.** GPUs have been used extensively to speed up the training of DL tasks. Recent works exploit GPUs

to accelerate data processing, *e.g.*, GPU-based query answering [27, 41, 77] and similarity join [47, 58, 66]. Closer to this work are [47, 58] which leverage GPUs for similarity join, since blocking can be regarded as a similarity join problem under the assumption that two tuples refer to the same entity if their similarity is high. Similarity join is often served as a preprocessing step of ER.

In contrast, HyperBlocker aims at expediting rule-based blocking, addressing challenges in rule-based optimization that are not incurred in similarity join. The closest work is GPUdet [35], which employs GPUs to expedite similarity measures. HyperBlocker differs from GPUdet, in its data/rule-aware execution plan designated for rule evaluation, beyond similarity measures. It also incorporates hardware-aware optimizations for improving GPU utilization.

**Query optimizations.** Also related to EPG are query optimization in DBMS [53, 61, 63, 72, 74, 75], which use sampling, statistics, or profiling to get execution plans via cost and cardinality estimation. Since rule-based blocking is in DNF, with arbitrary similarity comparisons and multiple rules, EPG is particularly related to the optimizations on DNF SQLs with UDFs [36, 43, 75, 79], *e.g.*, [79] analyzes Python UDFs to reorder operators based on data/operation types.

However, EPG differs from existing query optimizations: (1) EPG optimizes the execution, no matter what comparisons (*e.g.*, equality or similarity) are adopted, while many DBMS optimizers struggle when similarity comparisons are encoded as UDFs, *e.g.*, SQL Server [16] restricts UDFs to a single thread, and PostgreSQL [13] treats UDFs as black boxes. This said, EPG solves a more specialized problem, beyond general query optimization, for supporting arbitrary comparisons. (2) It is hard for most optimizers to accurately estimate the runtime performance of UDFs [72], which may depend on specific measures, thresholds, and data (*i.e.*, data-awareness), while we consider both the time and the selectivity of predicates, using a learned and LSH-based model for accurate estimation. (3) EPG employs tree structures and bitmaps, to effectively handle the disjunction logic behind blocking and to reuse computation, while traditional DBMS may be forced to perform full scan when evaluating OR operations. (4) EPG also produces a data partitioning scheme based on the execution tree as a by-product, to coordinate across multiple GPUs. More in-depth analysis about EPG with the plans generated with DBMS and UDFs optimizations can be found in [8].

## 8 CONCLUSION

The novelty of HyperBlocker consists of (1) a pipelined architecture that overlaps the data transfer from/to CPUs and the operations on GPUs; (2) a data-aware and rule-aware execution plan generator on CPUs, that specifies how rules are evaluated; (3) a variety of hardware-aware optimization strategies that achieve massive parallelism, by exploiting GPU characteristics; and (4) partitioning and scheduling strategies to achieve workload balancing across multiple GPUs. Our experimental study has verified that HyperBlocker is much faster than existing CPU-powered distributed systems and GPU-based ER solvers, while maintaining comparable accuracy.

There are some future topics: (a) accelerate the evaluation of multi-variable rules, *e.g.*, REEs [32], using GPUs; (b) give a different plan on each partition; (c) explore the materialization of partial evaluation results to avoid divergence and (d) investigate whether EPG and traditional optimizers can complement/enhance each other.

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## A NOTATION TABLE

We summarize the frequently used notations in Table 6.

**Table 6: Summary of frequently used notations**

Notations	Definition
$R$	A relation schema ( $\text{eid}, A_1, \dots, A_n$ )
$D/P$	A set of tuples / a partition of $D$
$m$	The number of data partitions of $D$
$\text{Ca}(P)$	A set of potential matches from $P$
$\varphi/\Delta$	A matching dependency (MD) / a set of MDs
$p/X/\mathcal{P}$	A predicate / a precondition / all predicates appeared in $\Delta$
$h$ and $h(t_1, t_2)$	A valuation of $\varphi : X \rightarrow I$ that instantiates variables $t$ and $x$ with tuples $t_1$ and $t_2$ ; $\varphi$ is a witness at $(t_1, t_2)$ if $h \models \varphi$
$\mathcal{T}/N/p$	An execution plan (tree) / a node / a path of $\mathcal{T}$
$e/\text{score}(e)$	An edge ( $N_1, N_2$ ) of $\mathcal{T}$ / the score of $e$
$\text{cost}(p, D)$ ( $\text{cost}(p, D)$ )	The (estimated) evaluation cost of $p$ on $D$
$\text{sp}(p, D)$	The probability of $p$ being satisfied on $D$
$\text{wp}(p, D)$	The probability of $\varphi$ being a witness
$n_t/n_w$	The size of intervals / the size of window

## B TRAINING OF SHALLOW MODEL

To train  $\mathcal{N}$ , we collect training data from historical logs, where each training instance is in form  $(x, y)$ ; here  $x$  is triplet  $(p, t_1, t_2)$  and  $y$  is its label, *i.e.*, the measured time of evaluating  $p$  at  $(t_1, t_2)$ . We train  $\mathcal{N}$  offline, using stochastic gradient descent with the mean square error loss. While the training time is not our focus,  $\mathcal{N}$  converges quickly in a few pass [52], since it has few parameters.

## C MORE OPTIMIZATIONS

HyperBlocker is implemented in 4K+ lines of C++/CUDA code with the pipelined architecture. It also offers the following optimizations.

### C.1 Parallel write conflict

When thousands of threads on a GPU are executing in parallel, each thread may produce an uncertain number of results. Therefore, these threads will compete for GPU memory and it is hard for them to decide the position where they should write the results. This is called *parallel write conflict*. Many GPU-based systems, *e.g.*, Pangolin [23], address this by a costly two-round procedure, *i.e.*, they scan the threads twice to (a) record the number of results produced by each thread and (b) write results. Other methods, *e.g.*, GSI [84], estimate the maximum size of results of each thread for space pre-allocation, which, however, leads to low memory utilization.

To alleviate this, we maintain a local buffer for each TB at shared memory, so that only threads in this TB will compete for this buffer. Moreover, the local buffer is divided into two parts. Initially, all threads in a TB use their synchronization primitives to compute write offsets and write to the first part. When the first part is full, this TB will compete with other TBs and flush its buffered results to GPU memory, by computing the global offset with an atomic increment operation; meanwhile, all threads in this TB start to buffer in the second part of the local buffer, and the cycle repeats.

### C.2 Data transfer between host and devices

It is widely recognized that data transfer between CPUs and GPUs is a bottleneck in CPUs/GPUs computation [40]. Fortunately, the use of CUDA streams allows to conduct data transfer and GPU execution simultaneously, to “cancel” the excessive data shipment cost.

Recall that relation  $D$  is divided into multiple partitions  $P_1, \dots$ ,

$P_m$ . HyperBlocker extends [86] and iteratively processes partitions in a pipelined manner. Specifically, the out-of-device processing of each partition  $P$  is divided into three steps: (1) Read  $P$  into device memory. (2) Split  $P$  into intervals and process each interval. (3) Write the result  $\text{Ca}(P)$  back to host memory. Then HyperBlocker conducts the evaluation on in-device partitions while loading pending ones from and writing results back to the host memory.

## C.3 More choices for parallelism

There are indeed other design choices for parallelism:

- (1) *Rule-level parallelism*, where the entire warp is assigned to each tuple pair and rules are evaluated in parallel, *i.e.*, each thread in the warp is responsible for the evaluation of a rule;
- (2) *Predicate-level parallelism*, where the entire warp is assigned to each tuple pair and rules are evaluated sequentially. Within each rule, predicates are evaluated in parallel and each thread is responsible for evaluating a predicate. The warp moves to the next rule only after all predicates of the current rule are evaluated.
- (3) *Token-level parallelism*, that only works for texts. Each text comparison utilizes multiple threads for parallel processing, *e.g.*, each thread compares the equality of a character/token of two texts.

However, for rule-level parallelism, there can be unnecessary computation, *e.g.*, even a thread finds a witness  $\varphi$  at  $(t_1, t_2)$ , other threads may be still checking other rules in  $\Delta$ , which is not needed, since one witness suffices to decide  $(t_1, t_2)$  makes a potential match.

Similarly, in predicate-level parallelism, even a thread finds a predicate  $p$  in  $\varphi$  such that  $h(t_1, t_2) \models p$ , other threads may still be checking other predicates in  $\varphi$ , which is also not needed, since  $h(t_1, t_2) \models p$  suffices to conclude that  $\varphi$  is not a witness at  $(t_1, t_2)$ . Worse still, it treats all predicates equally potent for evaluation and may incur additional synchronization cost. Consider a rule  $\varphi : X \rightarrow I$ , where  $X$  consists of multiple predicates and each predicate is evaluated by a thread. Given a tuple pair  $(t_1, t_2)$ , its evaluation times on different predicates can be different. In other words, even a thread finds a predicate  $p$  in  $\varphi$  such that  $h(t_1, t_2) \models p$ , it has to wait for results from other threads to make a final decision, since  $\varphi$  is a witness at  $(t_1, t_2)$  only if  $h(t_1, t_2)$  satisfies all predicates in  $X$ . As evidence, when testing on a dataset with 6M tuple pairs, tuple-level parallelism is 7.3× faster than predicate-level one.

Token-level parallelism also inherits the synchronization issues and worse still, it only works for textual attributes.

## D ADDITIONAL EXPERIMENTS

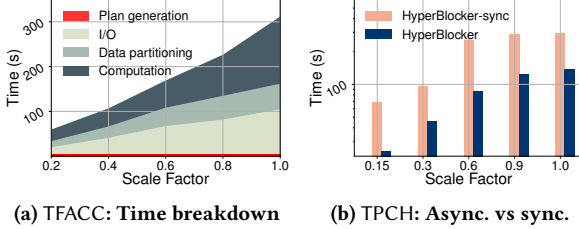
Below we report additional experimental results.

**Comparison with similarity join.** Recall that Faiss often serves as a key component of many ER solutions [28, 82], we compared Faiss with HyperBlocker as follows. We sampled 50,863 tuples from Songs, where HyperBlocker conducts rule-based blocking by taking these tuples as a single partition, while Faiss converts each tuple to an embedding using FastText [22] and gets its top- $K$  nearest neighbors, varying  $K$  from 1 to 100. As shown in Table 7, HyperBlocker is more accurate and 3.65× faster than Faiss on average.

**Varying  $n_t$ .** In Figures 9(a)-(b), we tested the impact of interval size  $n_t$  on HyperBlocker and HyperBlocker<sub>noHO</sub>, that disables all

**Table 7: Faiss vs. HyperBlocker**

Method	Metric	Top-1	Top-10	Top-50	Top-100
Faiss	Prec (%)	52.0 (+44.6)	69.0 (-27.6)	73.6 (-23.0)	76.0 (-20.6)
	Time (s)	7.65 (3.34×)	8.58 (3.74×)	8.58 (3.74×)	8.64 (3.77×)
HyperBlocker	Prec (%)			96.6	
	Time (s)			2.29	


**Figure 8: Efficiency analysis**

hardware-level optimizations (Section 5), varying  $n_t$  from 32 to 512. As shown there, on TFACC, HyperBlocker achieves the best performance when the interval size is 256, striking a balance between task granularity and parallelism. HyperBlocker runs up to 3.1× faster than HyperBlocker<sub>noHO</sub>, verifying the usefulness of hardware optimizations on GPUs (Section 5). The results on IMDB are consistent.

**Breakdown.** We break down the time of HyperBlocker on TFACC into 4 parts in Figure 8(a): (1) I/O, which loads data from disk to host memory; (2) data partition, which partitions data; (3) plan generation, which constructs the execution plan; (4) computation, that transfers data from the host to devices and computes matches. As shown there, plan generation (the bottom red line) is fast, and GPU operations do not dominate, consistent with findings in [40].

**Asynchronous vs. synchronous.** The (asynchronous) pipelined architecture (Section 3) plays a pivotal role in HyperBlocker. To justify this, we compared HyperBlocker with HyperBlocker<sub>sync</sub>, a variant without this architecture, *i.e.*, HyperBlocker<sub>sync</sub> initiates the next task after the prior one is fully submitted to devices, on a synthetic dataset TPCB generated using TPCB dbgen [5] in Figure 8(b). HyperBlocker is at least 2.1× faster than HyperBlocker<sub>sync</sub>, due to the synchronization cost. In contrast, by asynchronously overlapping the execution at devices and the data transfer in HyperBlocker, we reduce both the idle time and the unnecessary waiting.

**Experiments with more datasets.** Figures 9(c)-(n) report more experimental results that are not reported in Section 6, due to the space limit. These experiments were conducted under the same settings as their counter part in Section 6, using different datasets. The trends are consistent and thus, the detailed analysis is omitted.

**Skewness on partitions.** Figure 9(o) reports the maximum and minimum execution times over all partitions using the default numbers of partitions (Table 2). As shown there, workloads can be imbalanced due to skewed execution times of partitions, *e.g.*, the minimum execution time of a partition on Songs is 0.01s, as opposed to 11.91s for the maximum one, leading to performance degradation. The reason for the skewed execution times are twofold: there can be skewness in the sizes of partitions and moreover, the complexity/execution depends on the underlying data, *e.g.*, partitions containing long texts typically require more time compared to those with short texts. To address this, HyperBlocker not only adopts a

collaborative approach integrating effective partitioning and scheduling (Section 5.3), but also advocates asynchronous processing (see Figure 7(d)), to improve resource utilization and overall throughput.

**Time comparison for computing the exact vs. estimated evaluation cost.** We compared the time for computing the exact and estimated evaluation costs, denoted by  $\text{cost}(p, D)$  and  $\hat{\text{cost}}(p, D)$ , respectively, in Figure 9(p). Here  $\text{cost}(p, D)$  is computed by summing up the actual times for evaluating a predicate  $p$  overall tuple pairs in  $D$ , while  $\hat{\text{cost}}(p, D)$  is computed by replacing the actual times by the inference times of the shallow model  $\mathcal{N}$ . We compute  $\text{cost}(p, D)$  and  $\hat{\text{cost}}(p, D)$  by considering all predicates that appeared in the default rule set  $\Delta$  and report the average computational time per predicate.

As shown there, computing the estimated evaluation cost is much faster than computing the exact evaluation cost, *e.g.*, on NCV, it only takes 0.008s to compute  $\hat{\text{cost}}(p, D)$  on average, as opposed to 104.3s for  $\text{cost}(p, D)$ . Given the closeness of predicate orderings derived from both  $\text{cost}(p, D)$  and  $\hat{\text{cost}}(p, D)$  (see Figure 7(f)), we justify the necessity of the use of  $\mathcal{N}$  to achieve speedup.

**Case study on predicate orderings.** Recall that in Figure 7(f), we report the performance of  $\mathcal{N}$  by varying the noise ratio  $\beta\%$ , and we show that with more noises,  $\mathcal{N}$  is less accurate, as expected. However, one may notice that even if the predictions of  $\mathcal{N}$  have a larger RMSE, it does not necessarily affect the resulting predicate orderings, as long as the relative orders of predicates are the same.

To show this, below we report a case study on the resulting predicate orderings under different noise ratios on DBLP-ACM using four predicates, namely  $p_1 : t.\text{year} = s.\text{year}$ ,  $p_2 : t.\text{authors} \approx_{\text{ED}} s.\text{authors}$ ,  $p_3 : t.\text{venue} \approx_{\text{JD}} s.\text{venue}$ , and  $p_4 : t.\text{title} \approx_{\text{JD}} s.\text{title}$ . When  $\beta\% = 0\%$ , the predicate ordering estimated via  $\mathcal{N}$  is O1:  $p_1, p_2, p_3, p_4$ , which is the same as the ground truth ordering derived from the actual costs. This ordering is unchanged even if we inject 20% noises to the training data of  $\mathcal{N}$ . However, when we injected 40% noises, the predicate ordering is changed to O2:  $p_1, p_3, p_2, p_4$ , *i.e.*, only the relative order of  $p_2$  and  $p_3$  is swapped. When we further increased the noise ratio to 60%, the predicate ordering becomes more messy, *i.e.*, O3:  $p_2, p_3, p_1, p_4$ .

**Case study on single vs. multi-execution plans.** As remarked in Section 4, plan generation in HyperBlocker can be regarded as a pre-processing step for blocking, *i.e.*, once a plan is generated, it is applied in *all* partitions of  $D$ . However, it is also possible to group tuples into different partitions/clusters and generate an execution tree for each partition/cluster. We report a carefully designed case study, comparing two methods: (1) HyperBlocker<sub>same</sub> that uses the same execution plan for all partitions and (2) HyperBlocker<sub>mult</sub> that generates a different execution plan for each partition. Here we used DBLP-Scholar and split it into two partitions  $P_1$  and  $P_2$ , where  $P_1$  (resp.  $P_2$ ) contains tuples with null (resp. non-null) values on year. We designed two blocking rules, namely  $\varphi_a$  and  $\varphi_b$ :

- $\varphi_a : t.\text{authors} \approx_{\text{JD}} s.\text{authors} \wedge t.\text{title} \approx_{\text{JD}} s.\text{title} \wedge t.\text{year} = s.\text{year} \rightarrow t.\text{eid} = s.\text{eid}$ ; and
- $\varphi_b : t.\text{year} = s.\text{year} \wedge t.\text{authors} \approx_{\text{JD}} s.\text{authors} \wedge t.\text{title} \approx_{\text{JD}} s.\text{title} \rightarrow t.\text{eid} = s.\text{eid}$ .

For simplicity, we assume each execution plan consists of exactly one rule, leading to two execution plans, namely  $\mathcal{T}_1 = \{\varphi_a\}$

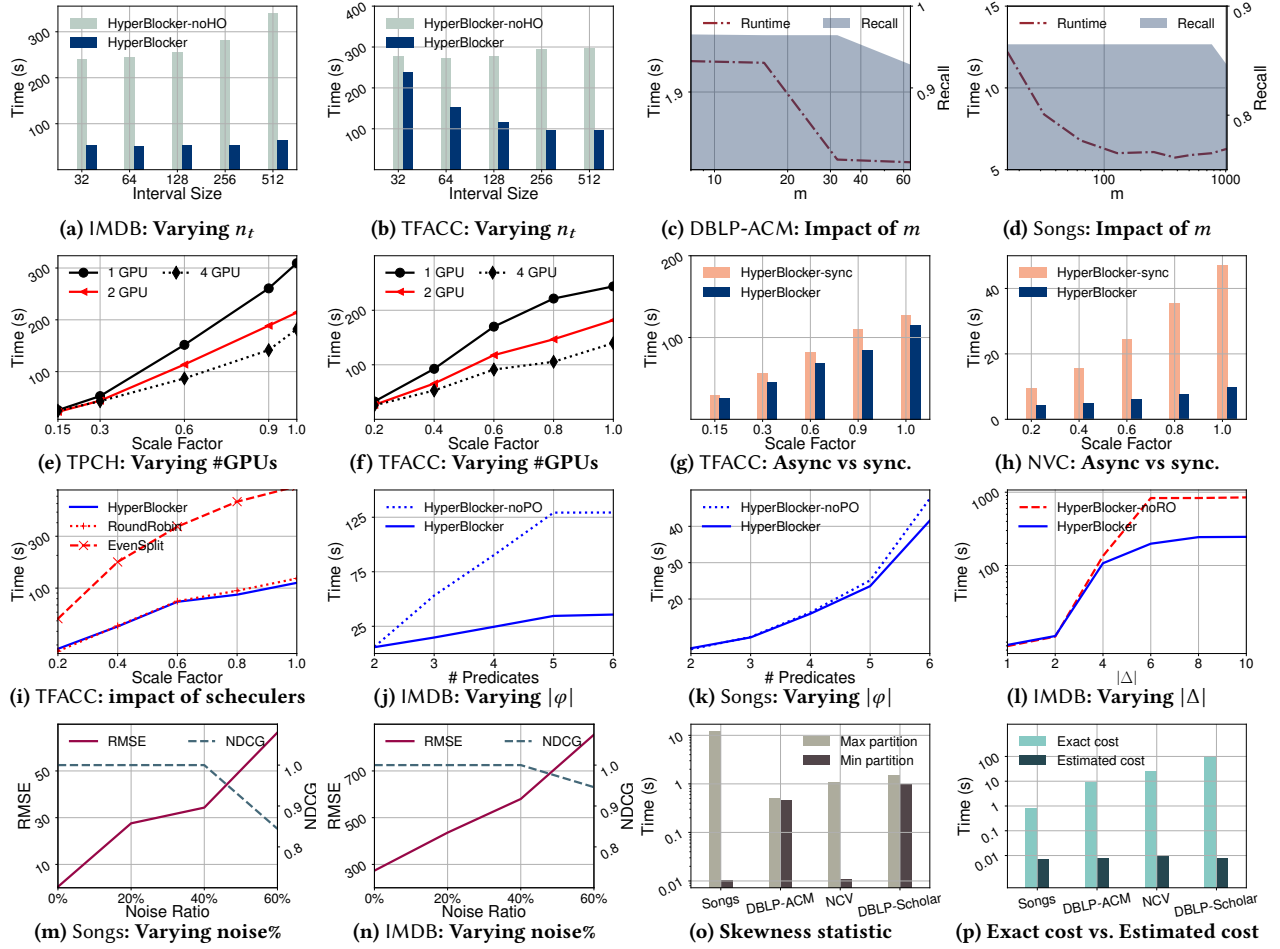


Figure 9: Additional experimental results

Table 8: Time comparison (s) under different execution plans

Method	Songs	DBLP-ACM	NCV	IMDB
PostgreSQL	40.6 (3.0×)	0.7 (2.3×)	13.5 (2.2×)	13036.0 (449.5×)
TupleX	14.2 (1.04×)	0.4 (1.3×)	12.4 (2.0×)	36.1 (1.2×)
EPG	13.6	0.3	6.2	29.0

and  $\mathcal{T}_2 = \{\varphi_b\}$ , where the predicates in each rule are evaluated in the order they appear above (e.g.,  $t.year = s.year$  is evaluated first in  $\mathcal{T}_2$ ). Moreover,  $\text{HyperBlocker}_{\text{same}}$  used  $\varphi_a$  for both partitions, while  $\text{HyperBlocker}_{\text{mult}}$  used  $\varphi_a$  for  $P_1$  and used  $\varphi_b$  for  $P_2$ .

By the results,  $\text{HyperBlocker}_{\text{mult}}$  accelerates  $\text{HyperBlocker}_{\text{same}}$  by 32.7%, since  $\text{HyperBlocker}_{\text{same}}$  adopts a poor execution plan: while distinct years are good indicators of mismatches and years are cheap to compare,  $\mathcal{T}_1$  compares the values on years at the end of plan. In contrast, by applying  $\mathcal{T}_2$ , that compares years first, on  $P_2$ , we can quickly discard tuple pairs with distinct years (but this is not possible for  $P_1$ , where all tuples have the same value on years).

This case study showcases that it is indeed possible to improve the overall performance using a different execution plan for each partition, compared with a global execution plan for all partitions. However, after conducting tests on other datasets using the general setting, we find that the performance gain is not remarkable. This is because the global execution plan generated by EPG is not as poor

as  $\mathcal{T}_1$  and it works sufficiently well for most partitions. Moreover, the effectiveness of multiple execution plans also depends on the data distributions in different partitions, e.g., in DBLP-ACM, the data distributions do not vary much across different partitions, so that a single execution plan suffices for reasonably good performance. Nevertheless, we plan to further optimize HyperBlocker over multiple execution plans as a promising future direction.

**Case analysis on the optimizers of existing DBMS.** To investigate the effect of existing DBMS when optimizing the ordering of UDFs and dealing with OR operations, we tested the performance of PostgreSQL [13], a widely adopted DBMS, on the following four SQL queries on the DBLP-ACM dataset [4], where each tuple is described by four attributes, namely title, authors, venue and year.

- $Q_1$ : `SELECT * FROM DBLP, ACM WHERE DBLP.title ≈ ACM.title AND DBLP.authors ≈ ACM.authors AND DBLP.year = ACM.year;`
- $Q_2$ : `SELECT * FROM DBLP, ACM WHERE DBLP.authors ≈ ACM.authors AND DBLP.title ≈ ACM.title AND DBLP.year = ACM.year;`
- $Q_3$ : `SELECT * FROM DBLP, ACM WHERE DBLP.venue ≈ ACM.venue AND DBLP.title ≈ ACM.title AND DBLP.author`



= ACM.author;

- $Q_4$ : SELECT \* FROM DBLP, ACM WHERE DBLP.authors  $\approx$  ACM.authors AND DBLP.title  $\approx$  ACM.title AND DBLP.year = ACM.year OR DBLP.venue  $\approx$  ACM.venue AND DBLP.title  $\approx$  ACM.title AND DBLP.authors = ACM.authors .

where  $DBLP.A \approx ACM.A$  denotes the similarity comparison on attribute  $A$  (where  $A \in \{\text{title, authors, venue}\}$ ) and it is implemented as a User Defined Function (UDF) following [20, 34]. Note that (a)  $Q_1$  and  $Q_2$  only differ in the order of two UDFs and (b) the condition of the WHERE clause of  $Q_4$  can be regarded as a disjunction (*i.e.*, OR) of those of  $Q_2$  and  $Q_3$ . They were used to evaluate the capability of the optimizer of PostgreSQL when handling UDFs and OR, respectively.

Each query was run 10 times. The average runtime was compared. With PostgreSQL 's EXPLAIN feature, we observe the following:

(1) Even though the equality comparison ( $DBLP.year = ACM.year$ ) is specified at the end of both  $Q_1$  and  $Q_2$ , PostgreSQL optimizes the execution, by prioritizing the equality comparison, to use the hash index on year early. In contrast,  $Q_2$  was consistently faster than  $Q_1$  by 20% on average. This is because, unlike equality comparisons, PostgreSQL does not optimize the order of UDFs for similarity comparisons well, *e.g.*, it evaluates similarity predicates simply in the same order as they are specified in the queries. This leads to a performance gap when the two UDFs are not equally potent for evaluation.

(2) On average,  $Q_4$  takes 12 $\times$  longer than  $Q_2$  and  $Q_3$ , respectively. This is because  $Q_4$  performs sequential scans with a nested loop, instead of utilizing the hash index like  $Q_2$  does. This said, using the OR operators can prevent the database from effectively using indexes, leading to suboptimal execution plans and poor performance.

These findings justify that existing optimizers of DMBS may struggle to handle rule-based blocking efficiently, even though it

can be implemented with a SQL query, due to the DNF nature of blocking and the UDFs for evaluating similarity comparisons.

**Execution plan of EPG vs. PostgreSQL and TupleX.** To further evaluate the performance of EPG, we replaced the execution plan generated by EPG in HyperBlocker with alternative plans generated by other systems and report the runtime of the resulting blocking. Specifically, we compared the plans from the following systems: (1) PostgreSQL [13], a widely adopted DBMS; we extract its execution plan using the EXPLAIN feature. (2) TupleX [79], which is designed for Python-based UDFs optimization for data processing; we format the query logic in Python and extract the plan from the log file.

As shown in Table 8, the execution plan generated by EPG consistently beats the one generated by PostgreSQL by 114 $\times$  (resp. TupleX by 38.5%) on average on all datasets. As remarked in the previous experiments, the reasons are threefold: (1) PostgreSQL treats UDFs as black boxes and may mistakenly prioritize high-cost predicates, *e.g.*, this leads to a 449.5 $\times$  slowdown on the IMDB dataset. In contrast, by analyzing the evaluation time and the selectivity using the learned LSH-based method, EPG accurately estimates the cost-effectiveness of each predicate. (2) EPG produces the evaluation order of predicates based on the aforementioned cost-effectiveness, while the evaluation order of multiple UDFs in PostgreSQL heavily depends on how the user writes them the SQLs. Although TupleX can reorder predicates by sampling data, it still leads to a suboptimal execution plan since its optimizations rely solely on the data/operation types, without accounting for the data distribution (*i.e.*, lack data-awareness), which can significantly affect performance. (3) EPG evaluates rules in a DFS manner on the tree structure to cope with the disjunction logic of blocking and uses bitmaps to reuse computations as much as possible (see Section 4), whereas PostgreSQL may require a sequential scan of all data in certain cases.