

**SMPTE STANDARD - CD**

**Interoperable Master Format —  
Application #4 Cinema  
Mezzanine**



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## Foreword

SMPTE (the Society of Motion Picture and Television Engineers) is an internationally-recognized standards developing organization. Headquartered and incorporated in the United States of America, SMPTE has members in over 80 countries on six continents. SMPTE's Engineering Documents, including Standards, Recommended Practices, and Engineering Guidelines, are prepared by SMPTE's Technology Committees. Participation in these Committees is open to all with a bona fide interest in their work. SMPTE cooperates closely with other standards-developing organizations, including ISO, IEC and ITU.

SMPTE Engineering Documents are drafted in accordance with the rules given in its Standards Operations Manual.

SMPTE ST 2067-40 was prepared by Technology Committee 35PM.

The following summarizes the substantive changes made from SMPTE ST 2067-40:2016, as amended by ST 2067-40:2016 Am1:2017, to this edition:

- support is added for image and timed text essence that conform to the D-Cinema Distribution Master as specified in the SMPTE ST 428 family of documents (sub-clause 5.2 and 6.3);
- the SMPTE labels identifying the image transfer characteristics supported by this document are modified (sub-clause 0);
- constraints on the Composition timeline and contents are relaxed (clause 7); and
- constraints on Display Mastering Metadata are clarified and relaxed (sub-clause 6.1.3.1.5)

## Intellectual Property

At the time of publication no notice had been received by SMPTE claiming patent rights essential to the implementation of this Engineering Document. -However, attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. SMPTE shall not be held responsible for identifying any or all such patent rights.

## 1 Scope

This document specifies compositions for IMF Application #4. IMF Application #4 is a specialization of the IMF Framework, ~~and. It is intended for the exchange content and preservation of cinematographic workcontent~~ after digital postproduction, either sourced from film or from digital media ~~and can be used. In particular, it supports Digital Cinema Distribution Master (DCDM) content as specified in a preservation framework. It specifies the ST 428 family of specifications.~~

- ~~▪—images encoded using 16-bit XYZ color primaries and a linear transfer function;~~
- ~~▪—maximum image frame width and height of 8192 and 6224 pixels, respectively; and~~
- ~~▪—a constrained Composition structure that mimics the segmentation of movie into individual reels.~~

To simplify implementation, IMF Application #4 reuses constraints from IMF Application #2 whenever appropriate.

## 2 Conformance Notation

Normative text is text that describes elements of the design that are indispensable or contains the conformance language keywords: "shall", "should", or "may". Informative text is text that is potentially helpful to the user, but not indispensable, and can be removed, changed, or added editorially without affecting interoperability. Informative text does not contain any conformance keywords.

All text in this document is, by default, normative, except: the Introduction, any section explicitly labeled as "Informative" or individual paragraphs that start with "Note:"

The keywords "shall" and "shall not" indicate requirements strictly to be followed in order to conform to the document and from which no deviation is permitted.

The keywords, "should" and "should not" indicate that, among several possibilities, one is recommended as particularly suitable, without mentioning or excluding others; or that a certain course of action is preferred but not necessarily required; or that (in the negative form) a certain possibility or course of action is deprecated but not prohibited.

The keywords "may" and "need not" indicate courses of action permissible within the limits of the document.

The keyword "reserved" indicates a provision that is not defined at this time, shall not be used, and may be defined in the future. The keyword "forbidden" indicates "reserved" and in addition indicates that the provision will never be defined in the future.

A conformant implementation according to this document is one that includes all mandatory provisions ("shall") and, if implemented, all recommended provisions ("should") as described. A conformant implementation need not implement optional provisions ("may") and need not implement them as described.

Unless otherwise specified, the order of precedence of the types of normative information in this document shall be as follows: Normative prose shall be the authoritative definition; Tables shall be next; followed by formal languages; then figures; and then any other language forms.

### 3 Normative References

The following standards contain provisions which, through reference in this text, constitute provisions of this standard. At the time of publication, the editions indicated were valid. All standards are subject to revision, and parties to agreements based on this standard are encouraged to investigate the possibility of applying the most recent edition of the standards indicated below.

SMPTE ~~RP-224~~[ST 400:2012](#), SMPTE Labels ~~Registry~~[Structure](#)

SMPTE ST 379-1:2009, Material Exchange Format (MXF) — MXF Generic Container

SMPTE ST 422:~~2014~~[2019](#), Material Exchange Format — Mapping JPEG 2000 Codestreams into the MXF Generic Container

SMPTE ST ~~434~~[428](#)-1:~~2006~~[2019](#) - D-Cinema ~~Quality — Screen Luminance Level, Chromaticity Distribution Master — Image Characteristics~~

[SMPTE ST 428-7:2014 - Digital Cinema Distribution Master — Subtitle](#)

[SMPTE ST 428-10:2008 - D-Cinema Distribution Master — Closed Caption and Uniformity Closed Subtitle](#)

[SMPTE ST 428-11:2013 - Additional Frame Rates for D-Cinema](#)

[SMPTE ST 428-21:2011 - Archive Frame Rates for D-Cinema](#)

[SMPTE ST 429-5:2017 - D-Cinema Packaging — Timed Text Track File](#)

SMPTE ST 2067-2:2016, Interoperable Master Format — Core Constraints

SMPTE ST 2067-~~2120~~[2016](#), Interoperable Master Format — Application [2](#)

[SMPTE ST 2067-21:2020, Interoperable Master Format — Application 2E](#)

[SMPTE ST 2067-101:2018, Interoperable Master Format – Output Profile List – Common Image Definitions and Macros](#)

[SMPTE ST 2067-102:2017, Interoperable Master Format - Common Image Pixel Color Schemes](#)

ISO 11664-3:2012 (CIE S014-3/E:2011), Colorimetry — Part 3: CIE Tristimulus Values

ISO/IEC 15444-1:~~2004~~[2019](#), Information Technology — JPEG 2000 Image Coding System: Core Coding System

~~ISO/IEC 15444-1:2004 AMD 7:2015, Information Technology — JPEG 2000 Image Coding System~~

~~Basic Constraints~~[World Wide Web Consortium \(W3C\) \(2004, October 28\). XML Schema Part 1: Structures \(Second Edition\)](#)

[World Wide Web Consortium \(W3C\) \(2004, October 28\). XML Schema Part 2: Datatypes \(Second Edition\)](#)

EDITOR'S NOTE: Highlighted UL and URI values defined herein are temporary and will be replaced by their final values prior to publication, at which point this note will be removed.

## 4 Overall

### 4.1 General

All provisions of SMPTE ST 2067-2 shall apply.

### 4.2 Format

Track Files shall conform to SMPTE ST 379-1.

#### ~~4.31.1.1 Shim Parameters~~

~~Track Files shall be associated with the shim parameter values specified in Table 1.~~

### 4.3 XML Schema and Namespace

XML elements defined by this specification shall conform to the XML schema definitions (see W3C XML Schema Part 1: Structures as referenced in SMPTE ST 2067-21) found in this specification. In the event of a conflict between schema definitions and the prose, the prose shall take precedence.

The XML schema root element shall be as defined in Table 1.

Table 14 – ~~Shim Parameter Values Definitions~~ XML Schema root element definition.

Shim Parameter	Value
shim_id	<a href="http://www.smp-te-ra.org/schemas/2067-40/2016">http://www.smp-te-ra.org/schemas/2067-40/2016</a>
<pre>&lt;xs:schema targetNamespace="http://www.smp-te-ra.org/ns/2067-40/2020"   xmlns:app4="http://www.smp-te-ra.org/ns/2067-40/20XX"   xmlns:xs="http://www.w3.org/2001/XMLSchema"   elementFormDefault="qualified" attributeFormDefault="unqualified"&gt;   &lt;!-- schema definitions found in this document --&gt; &lt;/xs:schema&gt;</pre>	
ge_type	<del>379-1 ge</del>
picture_family	<del>JPEG2000</del>
picture_bitrate	<del>ST 2067 40</del>
picture_format	<del>ST 2067 40</del>
picture_custom_ANC	false
picture_render_ANC	false

## 23.5 Image Essence

### 23.15.1 General

Image essence shall consist of image frames, each a rectangular pixel array.

### 23.25.2 Constraints

Image frames shall conform to the combinations of characteristics allowed in [Table 2](#)~~Table 2~~.

**Table 22 – Image Characteristics**

	<u>General characteristics</u>			<u>DCDM characteristics</u>
Image Frame Width	1..2048	1..4096	1..8192	<u>See 5.3.1</u>
Image Frame Height	1..1556	1..3112	1..6224	
Pixel Bit Depth	16			<u>12</u>
Frame Structure	Progressive			
Stereoscopy	Monoscopic Stereoscopic			
Frame Rate	16 200/11 20 240/11 24 25 30 48 50 60 100 120			<u>See 5.3.2</u>
Sampling	4:4:4			
<u>Color Components</u> <u>Quantization</u>	<u>§5.3.2</u> <u>§5.3.3</u>	<u>X<sub>Tc</sub>Y<sub>Tc</sub>Z<sub>Tc</sub></u> <u>QE.APP4.1 (integer)</u>		
<u>Colorimetry</u> <u>Color Components</u>	<u>§5.3.4</u> <u>§5.3.4</u>	<u>XYZ</u> <u>COLOR.APP4.1</u>		<u>COLOR.APP4.2</u>
<u>Quantization</u> <u>Colorimetry</u>	<u>§5.3.4</u> <u>§5.3.5</u>	<u>QE.APP4</u>		

**23.45.3 Characteristics**

**5.3.1 DCDM characteristics size constraints**

For the DCDM characteristics, combinations of image height and width shall be as specified at SMPTE ST 428-1.

**5.3.2 DCDM characteristics Frame Rate**

For the DCDM characteristics, the frame rate values shall be as specified at SMPTE ST 428-1, SMPTE ST 428-11 and SMPTE ST 428-21.

**5.3.3 Color Components ~~and~~**

The image shall be sampled using  $X_{TC}Y_{TC}Z_{TC}$  component triplets, as defined in 5.3.4.

**23.4.15.3.4 Colorimetry**

The ~~Image Pixels~~ $X_{TC}Y_{TC}Z_{TC}$  components triplet shall be ~~described using~~mapped to the XYZ tristimulus values (color component triplet), as specified in ISO 11664-3, as specified in Table 3.

~~XYZ values are deduced from the print element or from digital source. They should correspond to XYZ values measured off screen from a calibrated projection. Therefore the luminance of the maximum white on screen is 48 cd/m<sup>2</sup>.~~

**Table 3 – Colorimetry systems**

System	Description
<u>COLOR.APP4.1</u>	$X_{TC} = X \times 10^3 \div 65535, X \in [0, 52.37]$
	$Y_{TC} = Y \times 10^3 \div 65535, Y \in [0, 48]$
	$Z_{TC} = Z \times 10^3 \div 65535, Z \in [0, 52.37]$
<u>COLOR.APP4.2</u>	$X_{TC} = \left(\frac{X}{L_{vw}}\right)^{1/2.6}, X \in [0, 52.37]$
	$Y_{TC} = \left(\frac{Y}{L_{vw}}\right)^{1/2.6}, Y \in [0, 52.37]$
	$Z_{TC} = \left(\frac{Z}{L_{vw}}\right)^{1/2.6}, Z \in [0, 52.37]$
<u>where <math>L_{vw} = 52.37 \text{ cd/m}^2</math></u>	

NOTE: The combination of the COLOR.APP4.2 colorimetry system and the QE.APP4 quantization system is mathematically equivalent to the color encoding specified at Section 4 of SMPTE ST 428-1:2019.

**23.4.25.3.5 Quantization**

The ~~Image Pixels~~ $X_{TC}Y_{TC}Z_{TC}$  components triplet shall be ~~described with XYZ component triplets quantized according to~~use the system quantization equation as specified in ~~Table 3~~Table 4.



For QE.APP4.1 the INT() operator returns the lower integer value for fractional parts in the range of 0 to 0.4999... and the closest higher integer for fractional parts in the range 0.5 to 0.9999..., i.e. it rounds up fractions above 0.5.

**Table 43 – Quantization Systems**

System	Component Triplet	Quantization equations
QE.APP4.1		$XYZCV(X_{TC}) = \text{ROUND}[(2^n - 1) \times X_{TC}]$ <del><math>D_X = \text{INT}(X \times 10^3); 0 \leq X \leq 52.37</math></del>
		$CV(Y_{TC}) = \text{ROUND}[(2^n - 1) \times Y_{TC}]$ <del><math>D_Y = \text{INT}(Y \times 10^3); 0 \leq Y \leq 48.0</math></del>
		$CV(Z_{TC}) = \text{ROUND}[(2^n - 1) \times Z_{TC}]$ <del><math>D_Z = \text{INT}(Z \times 10^3); 0 \leq Z \leq 52.37</math></del>
		where $n$ is the pixel bit depth

The ROUND(x) operator returns the largest integral value not greater than x if the fractional part of x is less than 0.5; or the smallest integral not less than x, if the fractional part of x is greater or equal to 0.5.

#### 23.55.4 Encoding

##### 23.5.1 General

##### 23.5.25.4.1 Single Codestream

Each frame shall be a single codestream, as specified in ISO/IEC 15444-1.

##### 23.5.35.4.2 Profile and Operating Levels

The JPEG 2000 profile and operating level (as specified in ISO/IEC 15444-1 Amendment 7) for each image frame dimension shall conform to Table 4. Table A.53 of the ISO/IEC 15444-1 Amendment 7 shall be used to select the Level/Sublevel. Table 5.

**Table 54 – JPEG 2000 Profiles**

Image Frame Width	1..2048	1..4096	1..8192
Image Frame Height	1..1556	1..3112	1..6224
JPEG 2000 Profile	2k IMF single/multi-tile reversible profile	4k IMF single/multi-tile reversible profile	8k IMF single/multi-tile reversible profile
JPEG 2000 Operating Levels	Mainlevel 1 Sublevel 0 Mainlevel 2 Sublevel 0 Mainlevel 3 Sublevel 0 Mainlevel 4 Sublevel 0 Mainlevel 5 Sublevel 0 Mainlevel 6 Sublevel 0	Mainlevel 1 Sublevel 0 Mainlevel 2 Sublevel 0 Mainlevel 3 Sublevel 0 Mainlevel 4 Sublevel 0 Mainlevel 5 Sublevel 0 Mainlevel 6 Sublevel 0 Mainlevel 7 Sublevel 0  Mainlevel 8 Sublevel 0	Mainlevel 1 Sublevel 0 Mainlevel 2 Sublevel 0 Mainlevel 3 Sublevel 0 Mainlevel 4 Sublevel 0 Mainlevel 5 Sublevel 0 Mainlevel 6 Sublevel 0 Mainlevel 7 Sublevel 0 Mainlevel 8 Sublevel 0 Mainlevel 9 Sublevel 0 Mainlevel 10 Sublevel 0

The ~~J2K~~JPEG 2000 profile should be selected such that its maximum supported image frame dimensions (as specified in Table 45) are the smallest encompassing the image frame dimensions. Similarly, the ~~J2K~~JPEG

2000 operating level should be chosenselected such as notthat the image essence does not conform to any lower operating levelwould support the image essence.

23.5.45.4.3 Component Ordering

In a codestream, color components shall be ordered as specified in Table 6Table 5.

Table 65 – JPEG 2000 Color Component Ordering

Component Index	<del>XYZ</del> <sub>TC</sub> <del>Y</del> <sub>TC</sub> <del>Z</del> <sub>TC</sub> Component
0	<del>X</del> <sub>TC</sub>
1	<del>Y</del> <sub>TC</sub>
2	<del>Z</del> <sub>TC</sub>

246Track Files

24.16.1 Image Track Files

24.1.16.1.1 Essence

Image Track Files shall contain image essence conforming to Section 5.

6.1.2 Shim Parameters

Track Files shall be associated with the shim parameter values specified in Table 7.

Table 7 – Shim Parameter Values Definitions

Shim Parameter	Value
<u>shim_id</u>	<u><a href="http://www.smp-te-ra.org/ns/2067-40/2020">http://www.smp-te-ra.org/ns/2067-40/2020</a></u>
<u>gc_type</u>	<u>379-1-gc</u>
<u>picture_family</u>	<u>JPEG2000</u>
<u>picture_bitrate</u>	<u>ST 2067-40</u>
<u>picture_format</u>	<u>ST 2067-40</u>
<u>picture_custom_ANC</u>	<u>false</u>
<u>picture_render_ANC</u>	<u>false</u>

24.1.26.1.3 Wrapping

Image Track Files shall conform to SMPTE ST 422.

The image essence shall be wrapped according to mode P1 specified in SMPTE ST 422 ("Frame-wrapping"→").

The Top-Level File Package of Image Track File shall reference an RGBA Picture Essence Descriptor.

### 24.1.2.16.1.3.1 Generic Picture Essence Descriptor

#### 24.1.2.1.16.1.3.1.1 General

The Generic Picture Essence Descriptor items (including those specified in SMPTE ST 2067-2) shall be constrained as specified in Table 8~~Table 6~~.

Table 86 – Generic Picture Essence Descriptor Items

Generic Picture Essence Descriptor Item	Constraints
Sample Rate	See Annex A of SMPTE ST 422.
Signal Standard	Shall be ignored by the decoder.
Frame Layout	00h (FULL_FRAME)
Stored Width	Shall be equal to Image Frame Width. See <del>Table 2</del> Table 2.
Stored Height	Shall be equal to Image Frame Height. See <del>Table 2</del> Table 2.
StoredF2Offset	Shall not be present.
Sampled Width	Shall not be present or shall be equal to Stored Width.
Sampled Height	Shall not be present or shall be equal to Stored Height.
SampledXOffset	Shall not be present or shall be 0.
SampledYOffset	Shall not be present or shall be 0.
DisplayWidth	Shall not be present or shall be equal to Stored Width.
DisplayHeight	Shall not be present or shall be equal to Stored Height.
DisplayXOffset	Shall not be present or shall be 0.
DisplayYOffset	Shall not be present or shall be 0.
ActiveWidth	<del>See Annex B for illustrative of this specification and Annex H in</del> SMPTE ST 2067-2 provide examples.  Note: Unless explicitly set, the Active Area Rectangle is by default equal to the Display Rectangle— <del>see, as specified in</del> SMPTE ST 2067-2.
ActiveHeight	
ActiveXOffset	
ActiveYOffset	
DisplayF2Offset	Shall not be present.
Aspect Ratio	Shall be present.  <del>See Annex B for illustrative</del> provides examples.
Active Format Descriptor	Shall be ignored by the decoder
Video Line Map	Shall be ignored by the decoder.
Alpha Transparency	Shall not be present.
Transfer Characteristic	Shall be present. See Section <del>06.1.2.1.2</del> .

Image Alignment Offset	Shall not be present.
Image Start Offset	Shall not be present.
Image End Offset	Shall not be present.
FieldDominance	Shall not be present.
Picture Essence Coding	Shall be present. See Section <a href="#">6.1.3.1.46.1.2.1.4</a> .
Coding Equations	Shall not be present.
Color Primaries	Shall be present. See Section <a href="#">6.1.3.1.36.1.2.1.3</a> .
Alternative Center Cuts	Shall be ignored by the decoder.
Mastering Display Primaries	<del>May</del> <u>Should</u> be present. See Section <a href="#">6.1.3.1.56.1.2.1.5</a> .
Mastering Display White Point Chromaticity	<del>May</del> <u>Should</u> be present. See Section <a href="#">6.1.2.1.66.1.3.1.5</a> .

~~Note: See Annex B in this specification and Annex H in SMPTE ST 2067-2 for examples of the use of active area rectangle.~~

#### [24.1.2.1.36.1.3.1.2](#) **Transfer Characteristic**

The value of the Transfer Characteristic item shall be equal to:

~~06.0E.2B.34.04.01.01.01.04.01.01.01.01.06.00.00~~ ["Identifies a linear transfer characteristic" in SMPTE RP 224], one of the following:

- Encoding the label specified at Table 21 if the COLOR.APP4.1 system is used.
- the label specified at Table 22 if the COLOR.APP4.2 system is used.

#### [24.1.2.1.46.1.3.1.3](#) **Color Primaries**

The value of the Color Primaries item shall be equal to the label specified ~~in Annex A at~~ Table 20.

#### [24.1.2.1.56.1.3.1.4](#) **Picture Essence Coding**

The value of the Picture Essence Coding item shall ~~reflect~~indicate the JPEG 2000 profile and operating level ~~used to encode of~~ the image essence.

~~SMPTE RP 224 lists the labels corresponding to~~NOTE: The SMPTE Labels register defined by SMPTE ST 400 defines a UL for each of the JPEG 2000 profiles and operating levels listed in Section [5.4.25.4.3](#).

#### [24.1.2.1.66.1.3.1.5](#) **Mastering Display ~~Primaries~~Color Volume Metadata**

Either none or both of the Mastering Display Primaries and Mastering Display White Point Chromaticity items as defined in SMPTE ST 2067-21 shall be present.

If the items are absent, no information on the mastering display is available.

Table 9 lists example values of the Mastering Display Primaries item~~defined in SMPTE ST 2067-21 is present,~~  
its value shall be one specified in Table 7.

**Table 97 – Defined Commonly used values of the Mastering Display Primaries item**

Value	Notes
{ { 34000, 16000 }, { 13250, 34500 }, { 7500, 3000 } }	Corresponds to the RGB color primaries, in order, of the reference projector defined in SMPTE <del>RP 431-2</del> <u>ST 2113</u> : Red (0. <del>6806800</del> , 0. <del>3293200</del> ), Green (0. <del>2652650</del> , 0. <del>6906900</del> ), Blue (0. <del>4501500</del> , 0. <del>0600600</del> )

~~If the Mastering Display Primaries item is absent, no information on the mastering display is available.~~

~~Note: The ColorPrimary type specified in SMPTE ST 2067-21 is expressed in units of 0.00002.~~

**24.1.2.1.7**      ~~Chromaticity Table 10 lists example value~~ of the Mastering Display White Point Chromaticity item.

~~If the Chromaticity of the white point item defined in SMPTE ST 2067-21 is present, its value shall be one specified in Table 8.~~

**Table 8 – Defined Table 10 – Commonly used values of the Mastering Display White Point Chromaticity item**

Value	Notes
{ { 15700, 17550 } }	Corresponds to the white chromatic coordinates <del>referenced as defined P3DCI</del> in SMPTE ST <del>431-1</del> <u>2113</u> : White (0. <del>3143140</del> , 0. <del>3513510</del> ).
{ { 15635, 16450 } }	Corresponds to the white chromatic coordinates <del>referenced as defined P3D65</del> in SMPTE <del>EG 432-1 : D65</del> <u>ST 2113</u> : White (0.3127, 0.3290).
{ { 15990, 16800 } }	Corresponds to the white chromatic coordinates as defined in SMPTE EG 432-1 <del>÷</del> <u>:</u> D61 White {0.3198, 0.3360}
{ { 16085, 16890 } }	Corresponds to the white chromatic coordinates <del>referenced as defined P3D60</del> in SMPTE <del>EG 432-1 : ST 2113</del> : D60 White (0. <del>321732168</del> , 0. <del>337833767</del> ).
{ { 16620, 17370 } }	Corresponds to the white chromatic coordinates as defined in SMPTE EG 432-1 <del>÷</del> <u>:</u> D55 White (0.3324, 0.3474)

~~The mastering display white point is the one used during the validation process of the content.~~

~~Note: The ColorPrimary type specified in SMPTE ST 2067-21 is expressed in units of 0.00002.~~

#### ~~24.1.2.4.16.1.3.2~~ **RGBA Picture Essence Descriptor**

##### ~~24.1.2.4.16.1.3.2.1~~ **General**

The RGBA Picture Essence Descriptor items shall be constrained as specified in ~~Table 9.~~Table 11.

**Table ~~9~~11 – RGBA Essence Descriptor items**

RGBA Picture Essence Descriptor Item	Constraints
Component Max Ref	Shall be present. See Section <del>6.1.3.2.26.1.2.2.2.</del>
Component Min Ref	Shall be present. See Section <del>6.1.3.2.26.1.2.2.2.</del>
Alpha Max Ref	Shall not be present.
Alpha Min Ref	Shall not be present.
ScanningDirection	Shall be present and shall be equal to 00h.
PixellLayout	Shall be present. See Section <del>6.1.3.2.36.1.2.2.3.</del>
Palette	Shall not be present.
PaletteLayout	Shall not be present.

#### ~~24.1.2.4.26.1.3.2.2~~ **Component Max Ref and Component Min Ref**

The values of the Component Max Ref and Component Min Ref items shall be as specified in ~~Table 10.~~Table 12.

**Table ~~10~~12 – Component Max Ref and Component Min Ref values**

<u>Pixel Bit Depth</u>	<u>16</u>	<u>12</u>
<u>Component Min Ref</u> <del>Integer</del>	<u>0</u> <del>Component Min Ref</del>	0
<u>Component Max Ref</u>	<u>65535</u> <del>Component Max Ref</del>	<u><math>2^{16}-1</math></u> <del>14095</del>

#### ~~24.1.2.4.36.1.3.2.3~~ **PixellLayout**

The value of the PixellLayout item shall be equal to { D8h, ~~46~~n, D9h, ~~46~~n, DAh, ~~46~~n, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0 } where n is the pixel bit depth.

### 24.1.2.56.1.3.3 JPEG 2000 Picture Sub Descriptor

#### 24.1.2.5.16.1.3.3.1 General

The Top-Level File Package of the Image Track File shall reference a JPEG 2000 Picture Sub Descriptor SMPTE ST 422 as constrained by ~~Table 11.~~Table 13.

**Table ~~11~~13 – JPEG 2000 Picture Subdescriptor items**

JPEG 2000 Picture Subdescriptor Item	Constraints
Coding Style <u>Default</u>	Shall be present.
J2CLayout	Shall be present. See Section <u>6.1.3.3.26.1.2.3.2.</u>

#### 24.1.2.5.26.1.3.3.2 J2CLayout

The value of the J2CLayout item shall be equal to the PixelLayout item of the RGBA Descriptor (see Section 6.1.3.2.36.1.2.2.3).

### 24.26.2 Audio Track Files

Each Audio Track File shall contain at least one audio channel.

## 6.3 DCDM Timed Text Track Files

### 6.3.1 General

## ~~251~~Composition

### ~~Segments~~

~~A Composition Segment should correspond~~DCDM Timed Text Track File is a Track File that conforms to Section 6.3 of this document.

A Timed Text Track File primarily contains timed Text essence, e.g. subtitle and caption.

The DataEssenceCoding item shall not be present in a Timed Text Track File.

### 6.3.2 Shim Parameters

A DCDM Timed Text Track Files is associated with the shim parameter values specified in Table 14

**Table 14 – Shim Parameter Values Definitions**

<u>Shim Parameter</u>	<u>Value</u>
<u>shim_id</u>	<u>http://www.smpte-ra.org/ns/2067-40/20XX</u>
<u>gc_type</u>	<u>379-1-gc</u>
<u>data_family</u>	<u>ST 428-7, ST 428-10</u>



6.3.3 Wrapping

DCDM Timed Text Track Files shall conform to SMPTE ST 429-5.

The Timed Text Resource shall contain a single digitization unit, i.e. a reel or part of a reel from Document Instance that conforms to SMPTE ST 428-7.

6.3.4 NamespaceURI

The NamespaceURI item of the Timed Text Descriptor shall be equal to the XML namespace name of the top-level XML element of the Document Instance.

6.3.5 RFC 5646 Language Tag List

The Timed Text Descriptor may contain the RFC 5646 Language Tag List property specified at SMPTE ST 2067-2. The value of the property shall indicate the languages associated with the data essence within the Data Essence Track File. Absence of the property shall indicate that no language is associated with the Data Essence Track File.

if the Language element of the SubtitleReel element of the Timed Text Resource is present, the RFC 5646 Language Tag List property shall be present and shall include one scan-pass instance of the value of the Language element.

6.3.6 ResourceID

The ResourceID property of the Timed Text Descriptor shall be equal to the Id element of the SubtitleReel element of the Timed Text Resource.

6.3.7 Image Resources

For each Image element in the Timed Text Resource, an Ancillary Resource shall exist in the Track File such that the AncillaryResourceID of Ancillary Resource is equal to the value of the Image element. This Ancillary Resource contains the external image resource referenced by the Image element.

6.3.8 Font Resources

For each LoadFont element in the Timed Text Resource, an Ancillary Resource shall exist in the Track File such that the AncillaryResourceID of Ancillary Resource is equal to the ID attribute of the LoadFont element. This Ancillary Resource contains the font resource referenced by the LoadFont element.

7 Composition

25.27.1 Application Identification

The ApplicationIdentification element (as defined in SMPTE ST 2067-2) shall include exactly one instance of the value listed in Table 15.

Table 15 – Application Identification

<a href="http://www.smpte-ra.org/schemas/2067-40/20162020">http://www.smpte-ra.org/schemas/2067-40/20162020</a>
---

**25.37.2 ~~Homogenous~~Homogeneous Essence**

Within a given ~~a~~ composition, the following shall remain constant:

- all image essence characteristics specified in Section 5.3.5.2 and 0.
- the codestream profile and level combination (see Section 5.4.15.4.2).

**25.47.3 ContentKind**

The ContentKind element shall be present in the Composition Playlist.

**25.57.4 Creator**

The Creator element shall be present in the Composition Playlist.

**25.67.5 Issuer**

The Issuer element shall be present in the Composition Playlist.

**~~25.7~~ ContentVersion****7.6 ~~At least~~ CompositionTimecode**

~~If Composition edit rate is equal to one ContentVersion of the values listed in Table 16, the CompositionTimecode element of the Composition Playlist instance shall not be present in the Composition Playlist.~~

**~~25.7~~ EntryPoint**

~~EntryPoint should be either 0 or omitted from each Resource, unless EntryPoint indicates the active start of the element following all calibration or leader sequences present in the original film element. In the latter case, the EntryPoint should be equal on all resources referenced in a segment.~~

**~~25.7~~ IntrinsicDuration**

~~Within a Segment, all Resources belonging to MainImageSequence and MainAudioSequence Sequences shall have equal IntrinsicDuration.RepeatCount~~

~~RepeatCount for each Resource shall be omitted or shall be equal to 1.~~

**~~25.7~~ Single Resource**

~~A Sequence shall contain exactly one Resource.~~

**Number of Audio-Table 16 – Edit rates not suitable for use with the CompositionTimecode element.**

<b>Frame Rate</b>
<u>16</u>
<u>200/11</u>
<u>20</u>
<u>240/11</u>

NOTE: The frame rates listed in Table 16 cannot be represented by the CompositionTimecode element.

**25.87.7 DCDM Timed Text Virtual Tracks**

A Composition shall contain zero or more ~~Audio~~DCDM Timed Text Virtual Tracks.

Each DCDM Timed Text Virtual Track, ~~which~~ shall consist of one or more ~~MainAudioSequence~~instances of one of the element specified in Table 17.

**Table 17 – DCDM Timed Text Sequence schema definition.**

```
<xs:element name="DCDMMainSubtitleSequence" type="cpl:SequenceType"/>
<xs:element name="DCDMMainCaptionSequence" type="cpl:SequenceType"/>
<xs:element name="DCDMClosedSubtitleSequence" type="cpl:SequenceType"/>
<xs:element name="DCDMClosedCaptionSequence" type="cpl:SequenceType"/>
```

Each Resource elements- within a DCDM Timed Text Virtual Track:

- ~~XYZ~~shall be of type TrackFileResourceType
- shall reference a DCDM Timed Text Track File that conforms to Section 6.3 and is constrained according to Table 18.
- shall have a native start point corresponding to time coordinate 0 in the timeline of the underlying Track File.
- shall have a native duration equal to or larger than the time coordinate after which no element will be active in the timeline of the underlying Track File.

**Table 18 – DCDM Timed Text Sequence essence constraints kind.**

<u>Sequence Element</u>	<u>Constraints</u>	<u>Description</u>
<u>DCDMMainSubtitleSequence</u>	<u>SMPTE ST 428-7</u>	<u>Subtitle essence to be reproduced on the main screen in the auditorium.</u>
<u>DCDMMainCaptionSequence</u>	<u>SMPTE ST 428-10</u>	<u>Open caption essence to be reproduced on the main screen of the auditorium.</u>
<u>DCDMClosedSubtitleSequence</u>	<u>SMPTE ST 428-10</u>	<u>Closed Subtitle essence to be reproduced on closed-style displays in the auditorium.</u>
<u>DCDMClosedCaptionSequence</u>	<u>SMPTE ST 428-10</u>	<u>Closed Caption essence to be reproduced on closed-style displays in the auditorium.</u>

## **8 DCDM Composition**

A DCDM Composition is intended to be transformed into a Composition specified in SMPTE ST 429-2.

A DCDM Composition shall conform to Section 7 and to the following constraints:

- the image essence shall conform to the DCDM characteristics specified in Table 2; and
- the ApplicationIdentification element of the Composition shall include exactly one instance of the value specified in Table 19.

**Table 19. DCDM Composition identification.**

<http://www.smpte-ra.org/ns/2067-40-DCDM/2020>

## **Annex A9 Pixel Color PrimariesSchemes**

Table A.1—XYZAnnex C defines Pixel Color Schemes, as specified in SMPTE ST 2067-101, for the use with IMF Application #4.

NOTE: Annex C is for the sole purpose of enabling Output Profile List (OPL) processing of Application #4 IMF packages.

## Annex A SMPTE Label definitions (Normative)

**Table 20 – Cinema Mezzanine Color Primaries**

<b>Name</b>	Cinema Mezzanine Color Primaries
<b>Symbol</b>	ColorPrimaries_CinemaMezzanine
<b>Namespace</b>	<a href="http://www.smp-te-ra.org/reg/400/2012">http://www.smp-te-ra.org/reg/400/2012</a>
<b>Item UL</b>	<a href="urn:smp-te:ul:060e2b34.0401010d.04010101.03080000">urn:smp-te:ul:060e2b34.0401010d.04010101.03080000</a>
<b>Definition</b>	<del>Identifies XYZ</del> Colors are sampled as the X, Y and Z tristimulus values <del>as</del> specified in ISO 11664-3 (No color primaries are specified)

**Table 21 – Cinema Mezzanine Linear Transfer Characteristic**

<b>Name</b>	Cinema Mezzanine Linear Transfer Characteristic
<b>Symbol</b>	TransferCharacteristic_CinemaMezzanineLinear
<b>Namespace</b>	<a href="http://www.smp-te-ra.org/reg/400/2012">http://www.smp-te-ra.org/reg/400/2012</a>
<b>Item UL</b>	<a href="urn:smp-te:ul:060e2b34.0401010d.04010101.01120000">urn:smp-te:ul:060e2b34.0401010d.04010101.01120000</a>
<b>Definition</b>	Identifies the COLOR.APP4.1 transfer characteristic specified in SMPTE ST 2067-40

**Table 22 – Cinema Mezzanine DCDM Transfer Characteristic**

<b>Name</b>	Cinema Mezzanine DCDM Transfer Characteristic
<b>Symbol</b>	TransferCharacteristic_CinemaMezzanineDCDM
<b>Namespace</b>	<a href="http://www.smp-te-ra.org/reg/400/2012">http://www.smp-te-ra.org/reg/400/2012</a>
<b>Item UL</b>	<a href="urn:smp-te:ul:060e2b34.0401010d.04010101.01130000">urn:smp-te:ul:060e2b34.0401010d.04010101.01130000</a>
<b>Definition</b>	Identifies the COLOR.APP4.2 transfer characteristic specified in SMPTE ST 2067-40

## Annex B     Active Area Rectangle Examples (Informative)

Table B.1 provides examples of the use of active area and aspect ratio for selected image frame sizes.

**Table B.1 – Example Image Frame Size**

	1.85 aspect ratio image within a 2K container (with black bands)	1.85 aspect ratio image within a 4K container (with black bands)	16/9 aspect ratio image within a 2K container (without black bands)	2.39 aspect ratio image within a 2K container (without black bands)
Stored Width	2048	4096	1920	2048
Stored Height	1556	3112	1080	858
StoredF2FOffset	0	0	0	0
Sampled Width	2048	4096	1920	2048
Sampled Height	1556	3112	1080	858
Sampled X Offset	0	0	0	0
Sampled Y Offset	0	0	0	0
Display Width	2048	4096	1920	2048
Display Height	1556	3112	1080	858
Display X Offset	0	0	0	0
Display Y Offset	0	0	0	0
Aspect Ratio	2048/1556	4096/3112	16/9	1024/429
Active Width	2048	4096	1920	2048
Active Height	1106	2212	1080	858
Active X Offset	0	0	0	0
Active Y Offset	215	430	0	0

## Annex C Pixel Color Schemes Definition (Normative)

### C.1 XML Schema Definition

XML elements defined by this Annex shall conform to the XML schema definitions (see W3C XML Schema Part 1: Structures and W3C XML Schema Part 2: Datatypes) found in this specification. In the event of a conflict between schema definitions and the prose, the prose shall take precedence.

The XML schema root element for Pixel Color Schemes shall be as defined in Table C.1.

#### C.1. XML Schema root element definition

```
<?xml version="1.0" encoding="UTF-8"?>
<xs:schema targetNamespace="http://www.smpte-ra.org/ns/2067-40/202X/opl-color-
  scheme"
  xmlns:oplcs="http://www.smpte-ra.org/ns/2067-101/2018/color-schemes"
  xmlns:oplc="http://www.smpte-ra.org/ns/2067-102/2017/
  xmlns:app4cs="http://www.smpte-ra.org/ns/2067-40/202X/opl-color-
  scheme"
  xmlns:xs="http://www.w3.org/2001/XMLSchema"
  elementFormDefault="qualified" attributeFormDefault="unqualified">
  <xs:import namespace="http://www.smpte-ra.org/ns/2067-101/2018/color-schemes"/>
  <xs:import namespace="http://www.smpte-ra.org/ns/2067-102/2017"/>
  <!-- schema definitions found in this document excluding this one -->
</xs:schema>
```

### C.2 APP4-XYZ-12

The APP4-XYZ-12 color scheme shall be as specified in Table C.2. The mathematical functions "floor(x)" and "clamp(a, b, x)" in Table C.1 shall be as defined in ST 2067-102. The XML datatype Integer4096TripletType shall be as defined in ST 2067-102.

#### C.2. APP4-XYZ-12 Color Scheme

Name	APP4-XYZ-12
URI	http://www.smpte-ra.org/ns/2067-40/20XX#APP4-XYZ-12
Description	$X_{TC}$ , $Y_{TC}$ and $Z_{TC}$ components as specified in Section 5.3.4, using 12-bit bit depth, COLOR.APP4.1 or COLOR.APP4.2 colorimetry and QE.APP4 quantization system.
Mapping from Reference Image Pixel	$X_{TC} = \text{floor}(4095 \cdot \text{clamp}(0, 1, P_1) + 0.5)$ $Y_{TC} = \text{floor}(4095 \cdot \text{clamp}(0, 1, P_2) + 0.5)$ $Z_{TC} = \text{floor}(4095 \cdot \text{clamp}(0, 1, P_3) + 0.5)$
Mapping to Reference Image Pixel	$P_1 = X_{TC} / 4095$ $P_2 = Y_{TC} / 4095$ $P_3 = Z_{TC} / 4095$ $P_4 = 1.0$
Pixel Encoding Type	<pre>&lt;xs:complexType name="APP4-XYZ-12-ColorEncodingType"&gt;   &lt;xs:simpleContent&gt;     &lt;xs:restriction base="oplcs:ColorEncodingType"&gt;       &lt;xs:simpleType&gt;</pre>

```
<xs:restriction base="oplc:Integer4096TripletType"/>
</xs:simpleType>
</xs:restriction>
</xs:simpleContent>
</xs:complexType>
```

The three elements of the triplet shall correspond to the  $X_{TC}$ ,  $Y_{TC}$  and  $Z_{TC}$  components.

C.3 APP4-XYZ-16

The APP4-XYZ-16 color scheme shall be as specified in Table C.3. The mathematical functions "floor(x)" and "clamp(a, b, x)" in Table C.1 shall be as defined in ST 2067-102. The XML datatype Integer65536TripletType shall be as defined in ST 2067-102.

Table C.3. APP4-XYZ-16 Color Scheme

Name	APP4-XYZ-16
URI	http://www.smpte-ra.org/ns/2067-40/20XX#APP4-XYZ-16
Description	R', G' and B' components as specified in Section 5.3.4, using 16-bit bit depth, COLOR.APP4.1 or COLOR.APP4.2 colorimetry and QE.APP4 quantization system.
Mapping from Reference Image Pixel	$X_{TC} = \text{floor}(65535 \cdot \text{clamp}(0, 1, P_1) + 0.5)$ $Y_{TC} = \text{floor}(65535 \cdot \text{clamp}(0, 1, P_2) + 0.5)$ $Z_{TC} = \text{floor}(65535 \cdot \text{clamp}(0, 1, P_3) + 0.5)$
Mapping to Reference Image Pixel	$P_1 = X_{TC} / 65535$ $P_2 = Y_{TC} / 65535$ $P_3 = Z_{TC} / 65535$ $P_4 = 1.0$
Pixel Encoding Type	<pre>&lt;xs:complexType name="APP4-XYZ-16-ColorEncodingType"&gt;   &lt;xs:simpleContent&gt;     &lt;xs:restriction base="oplcs:ColorEncodingType"&gt;       &lt;xs:simpleType&gt;         &lt;xs:restriction base="oplc:Integer65536TripletType"/&gt;       &lt;/xs:simpleType&gt;     &lt;/xs:restriction&gt;   &lt;/xs:simpleContent&gt; &lt;/xs:complexType&gt;</pre>

The three elements of the triplet shall correspond to the  $X_{TC}$ ,  $Y_{TC}$  and  $Z_{TC}$  components.

C.4 Consolidated Schema (Informative)

This specification is accompanied by the following element, which is an XML schema document as specified in W3C XML Schema Part 1: Structures.



st2067-40a-202X.xsd

This element collects the XML schema definitions defined in this specification. It is informative and, in case of conflict, this specification takes precedence.

## **Bibliography- (Informative)**

SMPTE EG 432-1:2010, Digital Source Processing — Color Processing for D-Cinema

SMPTE RP 431-2:2011, D-Cinema Quality — Reference Projector and Environment

[SMPTE ST 2113:2018, Colorimetry of P3 Color Spaces](#)